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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
**or Section 4947(a)(1) Nonexempt Charitable Trust**  
**Treated as a Private Foundation**

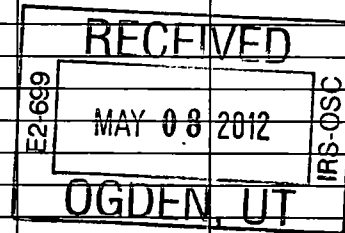
Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2011**For calendar year 2011 or tax year beginning **JANUARY 1**, 2011, and ending **DECEMBER 31**, 20 **11**

Name of foundation <b>THE DIXON FOUNDATION</b>		A Employer identification number <b>23 7297771</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>P.O. BOX 880</b>	Room/suite	B Telephone number (see instructions) <b>707-678-9216</b>
City or town, state, and ZIP code <b>DIXON, CA 95620</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$</b>	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	<b>.97</b>			
	4 Dividends and interest from securities	<b>495.53</b>			
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	<b>496.50</b>				
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23				
	25 Contributions, gifts, grants paid	<b>1000.00</b>			
26 Total expenses and disbursements. Add lines 24 and 25	<b>1000.00</b>				
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<b>&lt;503.50</b>				
b Net investment income (if negative, enter -0-)					



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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
<b>Assets</b>	1	Cash—non-interest-bearing . . . . .		<del>73,473.42</del>		
	2	Savings and temporary cash investments . . . . .		1,343.42	344.39	
	3	Accounts receivable ▶ . . . . .				
		Less: allowance for doubtful accounts ▶ . . . . .				
	4	Pledges receivable ▶ . . . . .				
		Less: allowance for doubtful accounts ▶ . . . . .				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .				
		Less: allowance for doubtful accounts ▶ . . . . .				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10a	Investments—U S. and state government obligations (attach schedule) . . . . .				
	b	Investments—corporate stock (attach schedule) . . . . .				
	c	Investments—corporate bonds (attach schedule) . . . . .				
	11	Investments—land, buildings, and equipment: basis ▶ . . . . .				
		Less: accumulated depreciation (attach schedule) ▶ . . . . .				
	12	Investments—mortgage loans . . . . .				
	13	Investments—other (attach schedule) . . . . .		39,981.50	40,889.72	
	14	Land, buildings, and equipment: basis ▶ . . . . .				
		Less: accumulated depreciation (attach schedule) ▶ . . . . .				
	15	Other assets (describe ▶ . . . . .)				
	16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .		41,324.92	41,234.11	
<b>Liabilities</b>	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ . . . . .)				
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .				
<b>Net Assets or Fund Balances</b>		<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input type="checkbox"/>				
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
		<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds . . . . .		41,324.92	41,234.11	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .				
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .		41,324.92	41,234.11	
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		41,324.92	41,234.11	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	41,324.92
2	Enter amount from Part I, line 27a . . . . .	2	<503.50>
3	Other increases not included in line 2 (itemize) ▶ <i>Change In Value</i> . . . . .	3	412.69
4	Add lines 1, 2, and 3 . . . . .	4	41,234.11
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	41,234.11

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . }		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010			
2009			
2008			
2007			
2006			
<b>2</b>	Total of line 1, column (d) . . . . .		<b>2</b>
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .		<b>3</b>
<b>4</b>	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5 . . . . .		<b>4</b>
<b>5</b>	Multiply line 4 by line 3 . . . . .		<b>5</b>
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b) . . . . .		<b>6</b>
<b>7</b>	Add lines 5 and 6 . . . . .		<b>7</b>
<b>8</b>	Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.		<b>8</b>

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>		
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>		
<b>3</b>	Add lines 1 and 2	<b>3</b>		
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>		
<b>6</b>	Credits/Payments:			
<b>a</b>	2011 estimated tax payments and 2010 overpayment credited to 2011	<b>6a</b>		
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>		
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>		
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>		
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2012 estimated tax</b> <b>Refunded</b>	<b>11</b>		

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		✓
<b>1c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		✓
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		✓
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
<b>4b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		✓
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ►		
<b>8b</b> <i>If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation</i>	✓	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
Website address ▶				
14	The books are in care of ▶ <u>Del Holly</u> Telephone no. ▶ <u>207-678-9216</u>			
	Located at ▶ <u>805 N. Lincoln St., DIXON, Ca</u> ZIP+4 ▶ <u>95620</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. <input checked="" type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 <u>.97</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No ✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	1b	
Organizations relying on a current notice regarding disaster assistance check here <u>NA</u> <input type="checkbox"/>		
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	1c	✓
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b> At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input type="checkbox"/> No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b> Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	2b	✓
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>b</b> If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	3b	✓
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	4a	✓
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	4b	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

6b

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Ted Seifert 6224 Silveyville Rd, Dixon, Ca.	Pres.	0	0	0
Del Holly 18040 Ruddy St. Woodland, Ca	Trustee	0	0	0
Adith Reidel 235 Cedar Pl, Dixon, Ca	Trustee	0	0	0
Barbara Beckworth 835 Sunset Dr. Dixon, Ca	Trustee	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ☐

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> .....	
<b>2</b> .....	
<b>3</b> .....	
<b>4</b> .....	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> .....	
<b>2</b> .....	
All other program-related investments. See instructions.	
<b>3</b> .....	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b> 40,700
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5 . . . . .	<b>2a</b>
<b>b</b>	Income tax for 2011. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b> 1000
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b> 0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:	
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7 . . . . .				
<b>2</b> Undistributed income, if any, as of the end of 2011:				
<b>a</b> Enter amount for 2010 only . . . . .				
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2011:				
<b>a</b> From 2006 . . . . .				
<b>b</b> From 2007 . . . . .				
<b>c</b> From 2008 . . . . .				
<b>d</b> From 2009 . . . . .				
<b>e</b> From 2010 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .				
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4: ► \$ _____				
<b>a</b> Applied to 2010, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2011 distributable amount . . . . .				
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2007 . . . . .				
<b>b</b> Excess from 2008 . . . . .				
<b>c</b> Excess from 2009 . . . . .				
<b>d</b> Excess from 2010 . . . . .				
<b>e</b> Excess from 2011 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . . ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test—enter $\frac{2}{3}$ of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

*NA*

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

*NA*

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

*BARBARA Beckworth, 835 Sunset Dr, Dixon, CA. 95620*

**b** The form in which applications should be submitted and information and materials they should include:

*Resume, Academic History, GPA, Activities, Future Plans*

**c** Any submission deadlines:

*NO*

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

*Must be a member of Dixon Community and graduating high school*

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year  <i>Stephanie CAlestini</i> <i>505 Spruce St.</i> <i>DIXON, CA. 95620</i>	<i>NONE</i>		<i>College Education</i>	<i>\$1000</i>
<b>Total</b>			<b>3a</b>	<i>1000</i>
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3b</b>	

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments . . . . .					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities . . . . .					
5	Net rental income or (loss) from real estate:					
a	Debt-financed property . . . . .					
b	Not debt-financed property . . . . .					
6	Net rental income or (loss) from personal property					
7	Other investment income . . . . .					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events . . . . .					
10	Gross profit or (loss) from sales of inventory . . . . .					
11	Other revenue: a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e) . . . . .					
13	Total. Add line 12, columns (b), (d), and (e) . . . . .					

(See worksheet in line 13 instructions to verify calculations.)

Line No.	▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

[illegible]

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |   |  |       |     |    |
|---|--|-------|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |       | Yes | No |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of:   |       |     |    |
|   | (1) Cash . . . . .   | 1a(1) |     |    |
|   | (2) Other assets . . . . .   | 1a(2) |     |    |
| b | Other transactions:  |       |     |    |
|   | (1) Sales of assets to a noncharitable exempt organization . . . . .   | 1b(1) |     |    |
|   | (2) Purchases of assets from a noncharitable exempt organization . . . . .   | 1b(2) |     |    |
|   | (3) Rental of facilities, equipment, or other assets . . . . .   | 1b(3) |     |    |
|   | (4) Reimbursement arrangements . . . . .   | 1b(4) |     |    |
|   | (5) Loans or loan guarantees . . . . .   | 1b(5) |     |    |
|   | (6) Performance of services or membership or fundraising solicitations . . . . .   | 1b(6) |     |    |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .   | 1c    |     |    |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☐ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Del C. Holly  
Signature of officer or trustee

15-3-12  
Date

**DIRECTOR**  
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

Check ☐ if self-employed

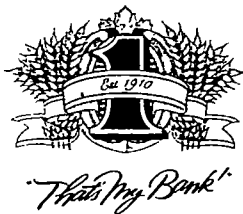
PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ►

Phone no.



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PAGE: 1  
ACCOUNT: 14411803 06/30/2011



DIXON FOUNDATION  
C/O DEL HOLLY  
PO BOX 880  
DIXON CA 95620-0880

101

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BUSINESS STATEMENT ACCOUNT 14411803

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DESCRIPTION	DEBITS	CREDITS	DATE	BALANCE
BALANCE LAST STATEMENT .....			03/31/11	1,343.75
INTEREST .....		.34	06/30/11	1,344.09
BALANCE THIS STATEMENT .....			06/30/11	1,344.09
TOTAL CREDITS (1)	.34			
TOTAL DEBITS (0)	.00			

- - - - - I N T E R E S T - - - - -

AVERAGE LEDGER BALANCE:	1,343.75	INTEREST EARNED:	.34
AVERAGE AVAILABLE BALANCE:	1,343.75	DAYS IN PERIOD:	91
INTEREST PAID THIS PERIOD:	.34	ANNUAL PERCENTAGE YIELD EARNED:	.10%
INTEREST PAID 2011:	.67		
INTEREST RATE:	.1000%		

- END OF STATEMENT -



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BUSINESS STATEMENT ACCOUNT 14411803

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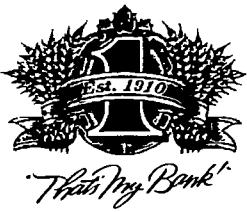
DESCRIPTION	DEBITS	CREDITS	DATE	BALANCE
BALANCE LAST STATEMENT .....			12/31/10	1,343.42
INTEREST		.33	03/31/11	1,343.75
BALANCE THIS STATEMENT .....			03/31/11	1,343.75
TOTAL CREDITS (1)	.33			
TOTAL DEBITS (0)	.00			

- - - - - I N T E R E S T - - - - -

AVERAGE LEDGER BALANCE:	1,343.42	INTEREST EARNED:	.33
AVERAGE AVAILABLE BALANCE:	1,343.42	DAYS IN PERIOD:	90
INTEREST PAID THIS PERIOD:	.33	ANNUAL PERCENTAGE YIELD EARNED:	.10%
INTEREST PAID 2011:	.33		
INTEREST RATE:	.1000%		

- END OF STATEMENT -





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BUSINESS STATEMENT ACCOUNT 14411803

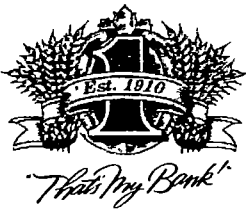
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DESCRIPTION	DEBITS	CREDITS	DATE	BALANCE
BALANCE LAST STATEMENT .....			06/30/11	1,344.09
WITHDRAWAL	1,000.00		08/16/11	344.09
INTEREST		.21	09/30/11	344.30
BALANCE THIS STATEMENT .....			09/30/11	344.30
TOTAL CREDITS (1)		.21		
TOTAL DEBITS (1)	1,000.00			

- - - - - I N T E R E S T - - - - -

AVERAGE LEDGER BALANCE:	844.09	INTEREST EARNED:	.21
AVERAGE AVAILABLE BALANCE:	844.09	DAYS IN PERIOD:	92
INTEREST PAID THIS PERIOD:	.21	ANNUAL PERCENTAGE YIELD EARNED:	.10%
INTEREST PAID 2011:	.88		
INTEREST RATE:	.1000%		

- END OF STATEMENT -



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ACCOUNT: 14411803 12/31/2011

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BUSINESS STATEMENT ACCOUNT 14411803

DESCRIPTION	DEBITS	CREDITS	DATE	BALANCE
BALANCE LAST STATEMENT .....			09/30/11	344.30
INTEREST .....		.09	12/31/11	344.39
BALANCE THIS STATEMENT .....			12/31/11	344.39
TOTAL CREDITS (1)	.09			
TOTAL DEBITS (0)	.00			

- - - - - I N T E R E S T - - - - -

AVERAGE LEDGER BALANCE:	344.30	INTEREST EARNED:	.09
AVERAGE AVAILABLE BALANCE:	344.30	DAYS IN PERIOD:	92
INTEREST PAID THIS PERIOD:	.09	ANNUAL PERCENTAGE YIELD EARNED:	.10%
INTEREST PAID 2011:	.97		
INTEREST RATE:	.1000%		

- END OF STATEMENT -

<b>RAYMOND JAMES</b> 880 Carillon Parkway P O Box 12749 Saint Petersburg, FL 33733-2749	<b>Income Summary Statement</b> Account 47250607 Dixon Foundation 1254 Redwood Ct Dixon, CA 95620-3229	<b>Statement Date: 02/07/2012</b> <b>Document ID: 4115434-991</b> Rep No: 21P8 Office Code: 4WE
PAYER'S Federal ID No: 59-1237041		<b>2011</b>

Dividends and Distributions		Not reported to IRS
2011	1a Total ordinary dividends (includes 1b)..... 490.00 1b Qualified dividends..... 0.00 2a Total capital gain distributions (includes 2b,2c,2d)..... 0.00 2b Unrecaptured section 1250 gain..... 0.00 2c Section 1202 gain..... 0.00 2d Collectibles (28%) gain..... 0.00 3 Nondividend distributions..... 0.00 4 <b>Federal income tax withheld</b> ..... 0.00 5 Investment expenses..... 0.00 6 Foreign tax paid..... 0.00 7 Foreign country or U.S. possession..... 0.00 8 Cash liquidation distributions..... 0.00 9 Noncash liquidation distributions..... 0.00	Not reported to IRS

Interest Income		Not reported to IRS
2011	1 Interest income (not included in 3)..... 0.00 2 Early withdrawal penalty..... 0.00 3 Interest on U.S. savings bonds and treas. obligations..... 0.00 4 <b>Federal income tax withheld</b> ..... 0.00 5 Investment expenses..... 0.00 6 Foreign tax paid..... 0.00 7 Foreign country or U.S. possession..... 0.00 8 Tax-exempt interest (includes 9)..... 0.00 9 Specified private activity bond interest (AMT)..... 0.00 10 Tax-exempt bond CUSIP no. (see instructions)..... <i>Lines 8 and 9 include tax-exempt interest, exempt-interest dividends and tax-exempt original issue discount</i>	Not reported to IRS

Regulated Futures Contracts		Not reported to IRS
2011	10 Profit (loss) realized in 2011... 0.00 11 Unrealized profit (loss)-open contracts 12/31/2010..... 0.00 12 Unrealized profit (loss)-open contracts 12/31/2011..... 0.00 13 Aggregate profit (loss)..... 0.00	Not reported to IRS

Gross Proceeds Summary		Not reported to IRS
2011	2 Gross proceeds less commissions..... 0.00 4 <b>Federal income tax withheld</b> ..... 0.00	Not reported to IRS

Miscellaneous Income		Not reported to IRS
2011	2 Royalties..... 0.00 3 Other income..... 0.00 4 <b>Federal income tax withheld</b> ..... 0.00 8 Substitute payments in lieu of dividends or interest..... 0.00	Not reported to IRS

Original Issue Discount Summary		Not reported to IRS
2011	1 Original issue discount for 2011..... 0.00 2 Other periodic interest..... 0.00 4 <b>Federal income tax withheld</b> ..... 0.00 6 Original issue discount on U.S. Treasury obligations..... 0.00 7 Investment expenses..... 0.00	Not reported to IRS

Changes to dividend tax classifications processed after your original tax form is issued for 2011 may require an amended form

Customer Service Phone - 800-647-7378



47250607 - 002

Raymond James &amp; Associates, Inc.

Account 47250607

2011

## Supplemental Information

**SUMMARY OF NON REPORTED INCOME, FEES, EXPENSES AND EXPENDITURES***The items in this section are not reported to the IRS. They are presented here for your reference when preparing your tax return.***Interest Payments And Other Adjustments**

Taxable accrued interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest AMT paid	0.00
Taxable accrued interest paid on OID bonds	0.00
Taxable non qualified interest received	0.00
Taxable non qualified interest paid	0.00
Projected interest shortfall on contingent payment debt	0.00

**Other Receipts**

Partnership distributions	0.00
Foreign tax paid - partnership distributions	0.00
Return of principal distributions	0.00
Deferred income payment	0.00

**Expenses**

Margin interest	0.00
Dividend paid - short position	0.00
Interest paid - short position	0.00
Non reportable distribution expense	0.00
Other expenses	0.00
Severance tax	0.00

**Opening Transactions**

Securities purchased	495.53
Installment payments	0.00
Short sales	0.00

**Fees And Payments Received**

Deemed premium	0.00
Organizational expense	0.00
Income accrual - UIT	0.00
Miscellaneous fees	0.00
Basis adjustments	0.00
Tax-exempt investment expense	0.00

**Options Transactions**

Sales	0.00
Short sales	0.00
Purchases	0.00
Purchases to close	0.00

Raymond James &amp; Associates, Inc.

Account 47250607

## Detail for Dividends and Distributions

2011

Security Description	CUSIP	Date	Amount	Country	Transaction Type
VANGUARD SHORT TERM TREASURY	922031703	02/01/11	26.78		Non qualified dividend
		03/02/11	22.69		Non qualified dividend
		04/01/11	22.72		Non qualified dividend
		05/02/11	22.75		Non qualified dividend
		06/01/11	22.24		Non qualified dividend
		07/01/11	22.46		Non qualified dividend
		08/01/11	23.11		Non qualified dividend
		09/01/11	21.56		Non qualified dividend
		10/03/11	21.69		Non qualified dividend
		11/01/11	25.09		Non qualified dividend
		12/01/11	22.43		Non qualified dividend
		12/30/11	214.79		Short-term capital gain
		01/03/12	21.69		Non qualified dividend
Dividends and Distributions:			490.00		
Total Dividends and Distributions:			490.00		



47250607 - 003

Raymond James &amp; Associates, Inc.

Account 47250607

## Opening Transactions

2011

Security Description	CUSIP	Purchase Date	Quantity	Amount	Transaction Type
VANGUARD SHORT TERM TREASURY	922031703	01/03/11	2.549	-27.22	Purchase
		02/01/11	2.503	-26.78	Purchase
		03/02/11	2.127	-22.69	Purchase
		04/01/11	2.133	-22.72	Purchase
		05/02/11	2.122	-22.75	Purchase
		06/01/11	2.065	-22.24	Purchase
		07/01/11	2.087	-22.46	Purchase
		08/01/11	2.138	-23.11	Purchase
		09/01/11	1.985	-21.56	Purchase
		10/03/11	2.003	-21.69	Purchase
		11/01/11	2.315	-25.09	Purchase
		12/01/11	2.071	-22.43	Purchase
		12/30/11	19.925	-214.79	Purchase
		Security Total:		-495.53	
		Total Opening Transactions:		-495.53	

Raymond James &amp; Associates, Inc.

Account 47250607

## Mutual Fund and UIT Supplemental Information

2011

The following information may be useful in the preparation of your federal and state tax return, if applicable. This information represents what was available at the time your 1099 was prepared and may not be all inclusive and is subject to change. Please contact your mutual fund or UIT directly if you need to verify or supplement this information. Not all Federal Source Income is state tax-exempt. For advice on your tax situation please consult a tax advisor, the IRS or your state tax authority.

Symbol CUSIP	922031703	State continued	922031703
Alabama	+	New Mexico	+
Alaska	-	New York	-
Arizona	+	North Carolina	+
Arkansas	-	North Dakota	-
California	+	Ohio	+
Colorado	-	Oklahoma	-
Connecticut	+	Oregon	+
Delaware	-	Pennsylvania	-
Dist. Columbia	+	Rhode Island	+
Florida	-	South Carolina	-
Georgia	+	South Dakota	+
Hawaii	-	Tennessee	-
Idaho	+	Texas	+
Illinois	-	Utah	-
Indiana	+	Vermont	+
Iowa	-	Virginia	-
Kansas	+	Washington	+
Kentucky	-	West Virginia	-
Louisiana	+	Wisconsin	+
Maine	-	Wyoming	-
Maryland	+	U.S. Possessions	+
Massachusetts	-	Fgn Source Inc Tot	-
Michigan	+	Fgn Source Inc Qual	+
Minnesota	-	Fgn Source Inc Adj	-
Mississippi	+	Fed Source Total+	93.38%
Missouri	-	U.S. Treasury	92.57%
Montana	+	Fed Home Loan	+
Nebraska	-	Fed Farm Credit	-
Nevada	+	Student Loan	+
New Hampshire	-	TN Valley Auth	-
New Jersey	+	Other Dir. Fed	0.81%

+ A detailed breakdown is listed when available. For more information, contact the mutual fund directly.



47250607 - 004



Account # 47250607

For more information,  
visit [raymondjames.com/investoraccess](http://raymondjames.com/investoraccess)

<sup>8</sup> Please see Cost Basis on the Understanding Your Statement page

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
01/03/2011	Dividend/Interest - 2010	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00727 per share x 3,742.158 shares		\$27.22
<b>Income Total</b>				<b>\$27.22</b>

‡ According to IRS rules, this payment was constructively received last year, although it has been paid to you this year.

01/03/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00727 per share x 3,742 158 shares	2 549	\$(27 22)
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December 31, 2010 to January 31, 2011

Account # 47250607

**MARC PEARL**

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800-647-SERV (7378)  
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**Online Account Access**

raymondjames.com/investoraccess

**Dixon Foundation Account Summary - #47250607**

**Value This Statement**

**\$40,083.61**

Last Statement

\$39,981.50

Prior Year-End

\$39,981.50

**Beginning Balance**

Deposits

Income

Withdrawals

Expenses

Change in Market Value

**Ending Balance**

**This Statement**

**\$39,981.50**

\$0.00

\$27.22

\$0.00

\$0.00

\$74.89

**\$40,083.61**

**Year to Date**

**\$39,981.50**

\$0.00

\$27.22

\$0.00

\$0.00

\$74.89

**\$40,083.61**

**Important Messages**

- Your primary objective is Income, with a high risk tolerance and a time horizon less than 5 years





**FIRST NORTHERN BANK**

January 31 to February 28, 2011

Account # 47250607

## Your Portfolio

For more information,  
visit [raymondjames.com/investoraccess](http://raymondjames.com/investoraccess)

	Quantity	Price	Value	Gain or (Loss)	Estimated Annual Income
<b>Cash &amp; Equivalents</b>					
Eagle Class-JPMorgan Prime Money Market Fund (JPEXX) 0.01%	15,250	\$1,000	\$15.25		
<b>Cash &amp; Equivalents Total</b>			<b>\$15.25</b>		<b>\$0.00</b>
<b>Mutual Funds</b>					
VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	3,747,210	\$10,670	\$39,982.73	\$(17.27) <sup>B</sup>	\$434.68
<b>Mutual Funds Total</b>			<b>\$39,982.73</b>	<b>\$(17.27)</b>	<b>\$434.68</b>
<b>Portfolio Total</b>			<b>\$39,997.98</b>	<b>\$(17.27)</b>	<b>\$434.68</b>

<sup>B</sup> Please see Cost Basis on the Understanding Your Statement page

## Your Activity

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
02/01/2011	Dividend - Taxable	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00715 per share x 3,744,707 shares		\$26.78
	<b>Income Total</b>			<b>\$26.78</b>
<b>Purchases, Sales and Redemptions</b>				
02/01/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00715 per share x 3,744,707 shares	2,503	\$(26.78)



January 31 to February 28, 2011

Account # 47250607

**MARC PEARL**

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**Online Account Access**  
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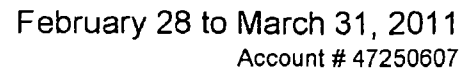
**Dixon Foundation Account Summary - #47250607**

		This Statement	Year to Date
<b>Value This Statement</b>			
<b>\$39,997.98</b>			
<b>Beginning Balance</b>		<b>\$40,083.61</b>	<b>\$39,981.50</b>
Deposits		\$0 00	\$0 00
Income		\$26 78	\$54 00
Withdrawals		\$0 00	\$0 00
Expenses		\$0 00	\$0 00
Change in Market Value		\$(112 41)	\$(37 52)
<b>Ending Balance</b>		<b>\$39,997.98</b>	<b>\$39,997.98</b>
<b>Last Statement</b>	<b>Prior Year-End</b>		
\$40,083 61	\$39,981 50		

**Important Messages**

- Your primary objective is Income, with a high risk tolerance and a time horizon less than 5 years





1 : 2011

00033844 02 AT 0 482 02 TR 00163 RJES4D07 000001

**Online Account Access**  
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**\$39,945.69**

## Page 1 of 6

079841 RIFCANN7 010706



**FIRST NORTHERN BANK**

February 28 to March 31, 2011

Account # 47250607

## Your Portfolio

For more information,  
visit [raymondjames.com/investoraccess](http://raymondjames.com/investoraccess)

	Quantity	Price	Value	Gain or (Loss)	Estimated Annual Income
<b>Cash &amp; Equivalents</b>					
Eagle Class-JPMorgan Prime Money Market Fund (JPEXX) 0.01%	15,250	\$1.000	\$15.25		
<b>Cash &amp; Equivalents Total</b>			<b>\$15.25</b>		<b>\$0.00</b>
<b>Mutual Funds</b>					
VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	3,749,337	\$10.650	\$39,930.44	\$(69.56) <sup>B</sup>	\$419.93
<b>Mutual Funds Total</b>			<b>\$39,930.44</b>	<b>\$(69.56)</b>	<b>\$419.93</b>
<b>Portfolio Total</b>			<b>\$39,945.69</b>	<b>\$(69.56)</b>	<b>\$419.93</b>

<sup>B</sup> Please see Cost Basis on the Understanding Your Statement page

## Your Activity

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
03/02/2011	Dividend - Taxable	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00606 per share x 3,747,210 shares		\$22.69
	<b>Income Total</b>			<b>\$22.69</b>
<b>Purchases, Sales and Redemptions</b>				
03/02/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00606 per share x 3,747,210 shares	2.127	\$(22.69)



FIRST NORTHERN BANK

March 31 to April 29, 2011

Account # 47250607

MARC PEARL

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Online Account Access  
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## Dixon Foundation Account Summary - #47250607

Value This Statement		Beginning Balance	This Statement	Year to Date
<b>\$40,231.01</b>			<b>\$39,945.69</b>	<b>\$39,981.50</b>
		Deposits	\$0.00	\$0.00
		Income	\$22.72	\$99.41
		Withdrawals	\$0.00	\$0.00
		Expenses	\$0.00	\$0.00
Last Statement	Prior Year-End	Change in Market Value	\$262.60	\$150.10
\$39,945.69	\$39,981.50	Ending Balance	<b>\$40,231.01</b>	<b>\$40,231.01</b>

### Important Messages

- Your primary objective is Income, with a high risk tolerance and a time horizon less than 5 years

*Transamerica Short Term Bond Classy Steady*  
*2.50 one-time charge*





**FIRST NORTHERN BANK**

March 31 to April 29, 2011

Account # 47250607

## Your Portfolio

For more information,  
visit [raymondjames.com/investoraccess](http://raymondjames.com/investoraccess)

	Quantity	Price	Value	Gain or (Loss)	Estimated Annual Income
<b>Cash &amp; Equivalents</b>					
Eagle Class-JPMorgan Prime Money Market Fund (JPEXX) 0.01%	15,250	\$1.000	\$15.25		
<b>Cash &amp; Equivalents Total</b>			<b>\$15.25</b>		<b>\$0.00</b>
<b>Mutual Funds</b>					
VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	3,751.470	\$10.720	\$40,215.76	\$215.76 <sup>B</sup>	\$397.66
<b>Mutual Funds Total</b>			<b>\$40,215.76</b>	<b>\$215.76</b>	<b>\$397.66</b>
<b>Portfolio Total</b>			<b>\$40,231.01</b>	<b>\$215.76</b>	<b>\$397.66</b>

<sup>B</sup> Please see Cost Basis on the Understanding Your Statement page

## Your Activity

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
04/01/2011	Dividend - Taxable	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$.00606 per share x 3,749.337 shares		\$22.72
<b>Income Total</b>				<b>\$22.72</b>
<b>Purchases, Sales and Redemptions</b>				
04/01/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$.00606 per share x 3,749.337 shares	2.133	\$(22.72)

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 raymondjames.com/investoraccess

**Dixon Foundation Account Summary - #47250607**

		This Statement	Year to Date
<b>Value This Statement</b>			
<b>\$40,441.44</b>			
<b>Beginning Balance</b>		<b>\$40,231.01</b>	<b>\$39,981.50</b>
Deposits		\$0 00	\$0 00
Income		\$22 75	\$122 16
Withdrawals		\$0 00	\$0 00
Expenses		\$0 00	\$0 00
Change in Market Value		\$187 68	\$337 78
<b>Ending Balance</b>		<b>\$40,441.44</b>	<b>\$40,441.44</b>
<b>Last Statement</b>	<b>Prior Year-End</b>		
\$40,231 01	\$39,981 50		

**Important Messages**

- Your primary objective is Income, with a high risk tolerance and a time horizon less than 5 years

JUN 09 2011







**FIRST NORTHERN BANK**

April 29 to May 31, 2011

Account # 47250607

## Your Portfolio

For more information,  
visit [raymondjames.com/investoraccess](http://raymondjames.com/investoraccess)

	Quantity	Price	Value	Gain or (Loss)	Estimated Annual Income
<b>Cash &amp; Equivalents</b>					
Eagle Class-JPMorgan Prime Money Market Fund (JPEXX) 0.01%	15,250	\$1,000	\$15.25		
<b>Cash &amp; Equivalents Total</b>			<b>\$15.25</b>		<b>\$0.00</b>
<b>Mutual Funds</b>					
VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	3,753.592	\$10,770	\$40,426.19	\$426.19 <sup>B</sup>	\$379.11
<b>Mutual Funds Total</b>			<b>\$40,426.19</b>	<b>\$426.19</b>	<b>\$379.11</b>
<b>Portfolio Total</b>			<b>\$40,441.44</b>	<b>\$426.19</b>	<b>\$379.11</b>

<sup>B</sup> Please see Cost Basis on the Understanding Your Statement page

## Your Activity

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
05/02/2011	Dividend - Taxable	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00606 per share x 3,751.470 shares		\$22.75
	<b>Income Total</b>			<b>\$22.75</b>
<b>Purchases, Sales and Redemptions</b>				
05/02/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00606 per share x 3,751.470 shares	2.122	\$(22.75)



May 31 to June 30, 2011

Account # 47250607

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00036912 02 AT 0 490 02 TR 00196 RJES4D08 000110

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**Online Account Access**  
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**Dixon Foundation Account Summary - #47250607**

		This Statement	Year to Date
<b>Value This Statement</b>	<b>Beginning Balance</b>	<b>\$40,441.44</b>	<b>\$39,981.50</b>
<b>\$40,426.12</b>	Deposits	\$0 00	\$0 00
	Income	\$22 24	\$144 40
	Withdrawals	\$0 00	\$0 00
	Expenses	\$0 00	\$0 00
	Change in Value	\$(37 56)	\$300 22
	<b>Ending Balance</b>	<b>\$40,426.12</b>	<b>\$40,426 12</b>
Last Statement	Prior Year-End		
\$40,441 44	\$39,981 50		

**Important Messages**

- Your primary objective is Income, with a high risk tolerance and a time horizon less than 5 years



**FIRST NORTHERN BANK**

May 31 to June 30, 2011

Account # 47250607

## Your Portfolio

For more information,  
visit [raymondjames.com/investoraccess](http://raymondjames.com/investoraccess)

	Quantity	Price	Value	Gain or (Loss)	Estimated Annual Income
<b>Cash &amp; Cash Alternatives</b>					
Eagle Class-JPMorgan Prime Money Market Fund (JPEXX) 0.01%	15,250	\$1,000	\$15.25		
<b>Cash &amp; Cash Alternatives Total</b>			<b>\$15.25</b>		<b>\$0.00</b>
<b>Mutual Funds</b>					
VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	3,755,657	\$10,760	\$40,410.87	\$410.87 <sup>B</sup>	\$356.79
<b>Mutual Funds Total</b>			<b>\$40,410.87</b>	<b>\$410.87</b>	<b>\$356.79</b>
<b>Portfolio Total</b>			<b>\$40,426.12</b>	<b>\$410.87</b>	<b>\$356.79</b>


<sup>B</sup> Please see Cost Basis on the Understanding Your Statement page

## Your Activity

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
06/01/2011	Dividend - Taxable	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00592 per share x 3,753,592 shares		\$22.24
				<b>\$22.24</b>
<b>Purchases, Sales and Redemptions</b>				
06/01/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00592 per share x 3,753,592 shares	2.065	\$(22.24)

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**Online Account Access**  
raymondjames.com/investoraccess

### Dixon Foundation Account Summary - #47250607

		This Statement	Year to Date
<b>Value This Statement</b>			
<b>\$40,636.46</b>			
<b>Beginning Balance</b>		<b>\$40,426.12</b>	<b>\$39,981.50</b>
Deposits		\$0 00	\$0 00
Income		\$22 46	\$166 86
Withdrawals		\$0 00	\$0 00
Expenses		\$0 00	\$0 00
Change in Value		\$187 88	\$488 10
<b>Ending Balance</b>		<b>\$40,636 46</b>	<b>\$40,636 46</b>
<b>Last Statement</b>	<b>Prior Year-End</b>		
\$40,426 12	\$39,981 50		

### Important Messages

- Your primary objective is Income, with a high risk tolerance and a time horizon less than 5 years





**FIRST NORTHERN BANK**

June 30 to July 29, 2011

Account # 47250607

## Your Portfolio

For more information,  
visit [raymondjames.com/investoraccess](http://raymondjames.com/investoraccess)

	Quantity	Price	Value	Gain or (Loss)	Estimated Annual Income
<b>Cash &amp; Cash Alternatives</b>					
Eagle Class-JPMorgan Prime Money Market Fund (JPEXX) 0.01%	15,250	\$1.000	\$15.25		
<b>Cash &amp; Cash Alternatives Total</b>			<b>\$15.25</b>		<b>\$0.00</b>
<b>Mutual Funds</b>					
VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	3,757,744	\$10.810	\$40,621.21	\$621.21 <sup>B</sup>	\$338.20
<b>Mutual Funds Total</b>			<b>\$40,621.21</b>	<b>\$621.21</b>	<b>\$338.20</b>
<b>Portfolio Total</b>			<b>\$40,636.46</b>	<b>\$621.21</b>	<b>\$338.20</b>

<sup>B</sup> Please see Cost Basis on the Understanding Your Statement page

## Your Activity

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
07/01/2011	Dividend - Taxable	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$0.00598 per share x 3,755,657 shares		\$22.46
	<b>Income Total</b>			<b>\$22.46</b>
<b>Purchases, Sales and Redemptions</b>				
07/01/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$.00598 per share x 3,755,657 shares	2.087	\$(22.46)



July 29 to August 31, 2011

Account # 47250607

**MARC PEARL**

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**Raymond James Client Services**

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Monday - Friday 8 a.m. to 6 p.m. ET

**Online Account Access**

raymondjames.com/investoraccess

**Dixon Foundation Account Summary - #47250607**

Value This Statement		Beginning Balance	This Statement	Year to Date
<b>\$40,847.57</b>			<b>\$40,636.46</b>	<b>\$39,981.50</b>
		Deposits	\$0 00	\$0 00
		Income	\$23 11	\$189 97
		Withdrawals	\$0 00	\$0 00
		Expenses	\$0 00	\$0 00
		Change in Value	\$188 00	\$676 10
		<b>Ending Balance</b>	<b>\$40,847.57</b>	<b>\$40,847.57</b>
Last Statement	Prior Year-End			
\$40,636 46	\$39,981 50			

**Important Messages**

- Your primary objective is Income, with a high risk tolerance and a time horizon less than 5 years





**FIRST NORTHERN BANK**

July 29 to August 31, 2011

Account # 47250607

## Your Portfolio

For more information,  
visit [raymondjames.com/investoraccess](http://raymondjames.com/investoraccess)

	Quantity	Price	Value	Gain or (Loss)	Estimated Annual Income
<b>Cash &amp; Cash Alternatives</b>					
Eagle Class-JPMorgan Prime Money Market Fund (JPEXX) 0.01%	15,250	\$1,000	\$15.25		
<b>Cash &amp; Cash Alternatives Total</b>			<b>\$15.25</b>		<b>\$0.00</b>
<b>Mutual Funds</b>					
VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	3,759,882	\$10,860	\$40,832.32	\$832.32 <sup>B</sup>	\$319.59
<b>Mutual Funds Total</b>			<b>\$40,832.32</b>	<b>\$832.32</b>	<b>\$319.59</b>
<b>Portfolio Total</b>			<b>\$40,847.57</b>	<b>\$832.32</b>	<b>\$319.59</b>

<sup>B</sup> Please see Cost Basis on the Understanding Your Statement page

## Your Activity

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
08/01/2011	Dividend - Taxable	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$0.00615 per share x 3,757,744 shares		\$23.11
<b>Income Total</b>				<b>\$23.11</b>
<b>Purchases, Sales and Redemptions</b>				
08/01/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$.00615 per share x 3,757,744 shares	2,138	\$(23.11)

August 31 to September 30, 2011

Account # 47250607

**MARC PEARL**

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DIXON FOUNDATION

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## Raymond James Client Services

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### Online Account Access

[raymondjames.com/investoraccess](http://raymondjames.com/investoraccess)

**Dixon Foundation Account Summary - #47250607**

Value This Statement		This Statement		Year to Date
<b>\$40,756.27</b>		<b>Beginning Balance</b>	<b>\$40,847.57</b>	<b>\$39,981.50</b>
		Deposits	\$0 00	\$0 00
		Income	\$21 56	\$211 53
		Withdrawals	\$0 00	\$0 00
		Expenses	\$0 00	\$0 00
		Change in Value	\$(112 86)	\$563 24
		<b>Ending Balance</b>	<b>\$40,756.27</b>	<b>\$40,756.27</b>
Last Statement	Prior Year-End			
\$40,847 57	\$39,981 50			

## Important Messages

- Your primary objective is Income, with a high risk tolerance and a time horizon less than 5 years







**FIRST NORTHERN BANK**

August 31 to September 30, 2011

Account # 47250607

**Your Portfolio**

For more information,  
visit [raymondjames.com/investoraccess](http://raymondjames.com/investoraccess)

	Quantity	Price	Value	Gain or (Loss)	Estimated Annual Income
<b>Cash &amp; Cash Alternatives</b>					
Eagle Class-JPMorgan Prime Money Market Fund (JPEXX) 0.01%	15,250	\$1,000	\$15.25		
<b>Cash &amp; Cash Alternatives Total</b>			<b>\$15.25</b>		<b>\$0.00</b>
<b>Mutual Funds</b>					
VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	3,761,867	\$10,830	\$40,741.02	\$741.02 <sup>B</sup>	\$304.71
<b>Mutual Funds Total</b>			<b>\$40,741.02</b>	<b>\$741.02</b>	<b>\$304.71</b>
<b>Portfolio Total</b>			<b>\$40,756.27</b>	<b>\$741.02</b>	<b>\$304.71</b>

<sup>B</sup> Please see Cost Basis on the Understanding Your Statement page

**Your Activity**

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
09/01/2011	Dividend - Taxable	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$0.00573 per share x 3,759,882 shares		\$21.56
	<b>Income Total</b>			<b>\$21.56</b>
<b>Purchases, Sales and Redemptions</b>				
09/01/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$0.00573 per share x 3,759,882 shares	1,985	\$(21.56)

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**Dixon Foundation Account Summary - #47250607**

		This Statement	Year to Date
<b>Value This Statement</b>	<b>Beginning Balance</b>	<b>\$40,756.27</b>	<b>\$39,981.50</b>
<b>\$40,815.60</b>	Deposits	\$0 00	\$0 00
	Income	\$21 69	\$233 22
	Withdrawals	\$0 00	\$0 00
	Expenses	\$0 00	\$0 00
	Change in Value	\$37 64	\$600 88
<b>Last Statement</b>	<b>Prior Year-End</b>	<b>Ending Balance</b>	<b>\$40,815.60</b>
\$40,756 27	\$39,981 50		

**Important Messages**

- Your primary objective is Income, with a high risk tolerance and a time horizon less than 5 years





**FIRST NORTHERN BANK**

September 30 to October 31, 2011

Account # 47250607

## Your Portfolio

For more information,  
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	Quantity	Price	Value	Gain or (Loss)	Estimated Annual Income
<b>Cash &amp; Cash Alternatives</b>					
Eagle Class-JPMorgan Prime Money Market Fund (JPEXX) 0.01%	15,250	\$1,000	\$15.25		
<b>Cash &amp; Cash Alternatives Total</b>			<b>\$15.25</b>		<b>\$0.00</b>
<b>Mutual Funds</b>					
VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	3,763,870	\$10,840	\$40,800.35	\$800.35 <sup>B</sup>	\$293.58
<b>Mutual Funds Total</b>			<b>\$40,800.35</b>	<b>\$800.35</b>	<b>\$293.58</b>
<b>Portfolio Total</b>			<b>\$40,815.60</b>	<b>\$800.35</b>	<b>\$293.58</b>

<sup>B</sup> Please see Cost Basis on the Understanding Your Statement page

## Your Activity

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
10/03/2011	Dividend - Taxable	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00577 per share x 3,761,867 shares		\$21.69
	<b>Income Total</b>			<b>\$21.69</b>
<b>Purchases, Sales and Redemptions</b>				
10/03/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00577 per share x 3,761,867 shares	2.003	\$(21.69)

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### Dixon Foundation Account Summary - #47250607

		This Statement	Year to Date
<b>Value This Statement</b>	<b>Beginning Balance</b>	<b>\$40,815.60</b>	<b>\$39,981.50</b>
<b>\$40,803.03</b>	Deposits	\$0 00	\$0 00
	Income	\$25 09	\$258 31
	Withdrawals	\$0 00	\$0 00
	Expenses	\$0 00	\$0 00
	Change in Value	\$(37 66)	\$563 22
<b>Last Statement</b>	<b>Ending Balance</b>	<b>\$40,803.03</b>	<b>\$40,803.03</b>
<b>Prior Year-End</b>			
\$40,815 60			
\$39,981 50			

### Important Messages

- Your primary objective is Income, with a high risk tolerance and a time horizon less than 5 years





**FIRST NORTHERN BANK**

October 31 to November 30, 2011

Account # 47250607

**Your Portfolio**

For more information,  
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	Quantity	Price	Value	Gain or (Loss)	Estimated Annual Income
<b>Cash &amp; Cash Alternatives</b>					
Eagle Class-JPMorgan Prime Money Market Fund (JPEXX) 0.01%	15,250	\$1,000	\$15.25		
<b>Cash &amp; Cash Alternatives Total</b>			<b>\$15.25</b>		<b>\$0.00</b>
<b>Mutual Funds</b>					
VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	3,766.185	\$10,830	\$40,787.78	\$787.78 <sup>B</sup>	\$286.23
<b>Mutual Funds Total</b>			<b>\$40,787.78</b>	<b>\$787.78</b>	<b>\$286.23</b>
<b>Portfolio Total</b>			<b>\$40,803.03</b>	<b>\$787.78</b>	<b>\$286.23</b>

<sup>B</sup> Please see Cost Basis on the Understanding Your Statement page

**Your Activity**

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
11/01/2011	Dividend - Taxable	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00667 per share x 3,763.870 shares		\$25.09
<b>Income Total</b>				<b>\$25.09</b>
<b>Purchases, Sales and Redemptions</b>				
11/01/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00667 per share x 3,763.870 shares	2.315	\$(25.09)

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### Dixon Foundation Account Summary - #47250607

		This Statement	Year to Date
<b>Value This Statement</b>	<b>Beginning Balance</b>	<b>\$40,803.03</b>	<b>\$39,981.50</b>
<b>\$40,889.72</b>	Deposits	\$0 00	\$0 00
	Income	\$237 22	\$495 53
	Withdrawals	\$0 00	\$0 00
	Expenses	\$0 00	\$0 00
	Change in Value	\$(150 53)	\$412 69
<b>Last Statement</b>	<b>Ending Balance</b>	<b>\$40,889.72</b>	<b>\$40,889.72</b>
<b>Prior Year-End</b>			
\$40,803 03			
\$39,981 50			

### Important Messages

- Your primary objective is Income, with a high risk tolerance and a time horizon less than 5 years



**FIRST NORTHERN BANK**

November 30 to December 30, 2011

Account # 47250607

## Your Portfolio

For more information,  
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	Quantity	Price	Value	Gain or (Loss)	Estimated Annual Income
<b>Cash &amp; Cash Alternatives</b>					
Eagle Class-JPMorgan Prime Money Market Fund (JPEXX) 0.02%	15,250	\$1,000	\$15.25		
<b>Cash &amp; Cash Alternatives Total</b>			<b>\$15.25</b>		<b>\$0.00</b>
<b>Mutual Funds</b>					
VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	3,788,181	\$10,790	\$40,874.47	\$874.47 <sup>B</sup>	\$280.33
<b>Mutual Funds Total</b>			<b>\$40,874.47</b>	<b>\$874.47</b>	<b>\$280.33</b>
<b>Portfolio Total</b>			<b>\$40,889.72</b>	<b>\$874.47</b>	<b>\$280.33</b>

<sup>B</sup> Please see Cost Basis on the Understanding Your Statement page

## Your Activity

Date	Activity Type	Description	Quantity	Amount
<b>Income</b>				
12/01/2011	Dividend - Taxable	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00596 per share x 3,766,185 shares		\$22.43
12/30/2011	Capital Gain	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) Short Term Capital Gain \$ 05700 per share x 3,768,256 shares		\$214.79
<b>Income Total</b>				<b>\$237.22</b>
<b>Purchases, Sales and Redemptions</b>				
12/01/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX) \$ 00596 per share x 3,766,185 shares	2.071	\$(22.43)
12/30/2011	Reinvest	VANGUARD SHORT TERM TREASURY FUND INVESTOR CLASS N/L (VFISX)	19,925	\$(214.79)