SCANNED NOV 26 2012

Form 990-PF — Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , and ending

Name of foundation: JAMES M. COX JR. FOUNDATION	A Employer identification number: 23-7256190
Number and street (or P O box number if mail is not delivered to street address): 4TH AND LUDLOW STREET — Room/suite:	B Telephone number: (678) 645-0000
City or town, state, and ZIP code: DAYTON, OH 45402	C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 40,937,996. — J Accounting method: ☐ Cash [X] Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	1,191,443.	1,191,443.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,380,928.			
	b Gross sales price for all assets on line 6a 19,560,786.				
	7 Capital gain net income (from Part IV, line 2)		1,380,928.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	2,944.	2,944.		STATEMENT 1
	12 Total. Add lines 1 through 11	2,575,315.	2,575,315.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 2	373,182.	373,182.		0.
	17 Interest	2,847.	2,847.		0.
	18 Taxes STMT 3	65,181.	11,994.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	28,137.	16,450.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	469,347.	404,473.		0.
	25 Contributions, gifts, grants paid	7,710,000.			2,117,500.
	26 Total expenses and disbursements. Add lines 24 and 25	8,179,347.	404,473.		2,117,500.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<5,604,032.>			
	b Net investment income (if negative, enter -0-)		2,170,842.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	6,847,011.	953,530.	953,530.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 5	31,570,305.	37,467,057.	39,069,299.
	c Investments - corporate bonds STMT 6	1,000,000.	1,000,000.	904,970.
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶ INTEREST RECEIVABLE)	0.	10,197.	10,197.
	16 Total assets (to be completed by all filers)	39,417,316.	39,430,784.	40,937,996.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	332,500.	5,950,000.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	332,500.	5,950,000.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,000.	1,000.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	39,083,816.	33,479,784.	
	30 Total net assets or fund balances	39,084,816.	33,480,784.	
	31 Total liabilities and net assets/fund balances	39,417,316.	39,430,784.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	39,084,816.
2	Enter amount from Part I, line 27a	2	<5,604,032.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	33,480,784.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	33,480,784.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	19,560,786.		18,618,953.	1,380,928.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				1,380,928.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 1,380,928.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	1,803,750.	42,104,277.	.042840
2009	2,404,008.	37,106,952.	.064786
2008	2,911,087.	50,120,439.	.058082
2007	2,571,193.	57,692,278.	.044567
2006	1,186,000.	54,194,726.	.021884

2	Total of line 1, column (d)	2	.232159
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046432
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	45,055,640.
5	Multiply line 4 by line 3	5	2,092,023.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	21,708.
7	Add lines 5 and 6	7	2,113,731.
8	Enter qualifying distributions from Part XII, line 4	8	2,117,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	21,708.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	21,708.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	21,708.
6	Credits/Payments:			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a 43,000.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c 20,000.		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	63,000.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	41,292.
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ 41,292. **Refunded** ▶		11	0.

Part VII-A | Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b		X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ COX ENTERPRISES, INC. Telephone no. ▶ (678) 645-0000

Located at ▶ 6205 PEACHTREE DUNWOODY RD., ATLANTA, GA ZIP+4 ▶ 30328

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	37,568,085.
b	Average of monthly cash balances	1b	5,074,612.
c	Fair market value of all other assets	1c	3,099,069.
d	**Total** (add lines 1a, b, and c)	1d	45,741,766.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	45,741,766.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	686,126.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	45,055,640.
6	**Minimum investment return.** Enter 5% of line 5	6	2,252,782.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	2,252,782.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	21,708.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	21,708.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	2,231,074.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	2,231,074.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	2,231,074.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,117,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,117,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	21,708.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,095,792.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				2,231,074.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			2,080,803.	
b Total for prior years: 2009, ____, ____		24,512.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 2,117,500.				
a Applied to 2010, but not more than line 2a			2,080,803.	
b Applied to undistributed income of prior years (Election required - see instructions)		24,512.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				12,185.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				2,218,889.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 8

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CASH CONTRIBUTIONS PAID IN 2011 (STATEMENT 9)				2,117,500.
Total			▶ 3a	2,117,500.
b Approved for future payment				
STATEMENT 9				5,950,000.
Total			▶ 3b	5,950,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,191,443.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			01	2,944.	
8 Gain or (loss) from sales of assets other than inventory			18	1,380,928.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		2,575,315.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 2,575,315.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [X] Yes [ ] No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11/13/12 Title: Treasurer

May the IRS discuss this return with the preparer shown below (see instr)? [X] Yes [ ] No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [X] if self-employed	PTIN
STEPHANIE BARTH	[signature]	NOV 09 2012		P00542848

Firm's name ▶ DELOITTE TAX LLP — Firm's EIN ▶ 86-1065772

Firm's address ▶ 191 PEACHTREE STREET NE, SUITE 2000 ATLANTA, GA 30303-1924 — Phone no. 404-220-1500

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CRAMER ACCOUNT XXX-02850	P	VARIOUS	VARIOUS
b	SNOW ACCOUNT XXX-02852	P	VARIOUS	VARIOUS
c	BLAIR ACCOUNT XXX-02853	P	VARIOUS	VARIOUS
d	JR FOUNDATION ACCOUNT XXX-07369	P	VARIOUS	VARIOUS
e	COAST ACCOUNT	P	VARIOUS	VARIOUS
f	CRAMER ACCOUNT XXX-02850	P	VARIOUS	VARIOUS
g	SNOW ACCOUNT XXX-02852	P	VARIOUS	VARIOUS
h	BLAIR ACCOUNT XXX-02853	P	VARIOUS	VARIOUS
i	JR FOUNDATION ACCOUNT XXX-07369	P	VARIOUS	VARIOUS
j	CAPITAL GAINS FROM K-1	P	VARIOUS	VARIOUS
k	CAPITAL GAINS FROM K-1	P	VARIOUS	VARIOUS
l	CAPITAL GAINS FROM K-1	P	VARIOUS	VARIOUS
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,211,231.		2,716,829.	494,402.
b	2,585,773.		2,494,305.	91,468.
c	920,692.		734,442.	186,250.
d	2,168,296.		1,866,766.	301,530.
e	478,362.		614,591.	<136,229.>
f	6,556,256.		6,404,756.	151,500.
g	994,843.		1,131,554.	<136,711.>
h	995,773.		1,095,788.	<100,015.>
i	1,649,560.		1,559,922.	89,638.
j				429,379.
k				12,814.
l				<3,098.>
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				494,402.
b				91,468.
c				186,250.
d				301,530.
e				<136,229.>
f				151,500.
g				<136,711.>
h				<100,015.>
i				89,638.
j				429,379.
k				12,814.
l				<3,098.>
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	1,380,928.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF OTHER INCOME STATEMENT 1

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME	2,944.	2,944.	
TOTAL TO FORM 990-PF, PART I, LINE 11	2,944.	2,944.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	373,182.	373,182.		0.
TO FORM 990-PF, PG 1, LN 16C	373,182.	373,182.		0.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	65,181.	11,994.		0.
TO FORM 990-PF, PG 1, LN 18	65,181.	11,994.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT EXPENSES	28,137.	16,450.		0.
TO FORM 990-PF, PG 1, LN 23	28,137.	16,450.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CRAMER ROSENTHAL MCGLYNN - ACCT. XXX-02850 ATTACHMENT A	8,644,607.	8,609,054.
SNOW CAPITAL MGMT - ACCT. XXX-02852 ATTACHMENT A	5,701,101.	5,876,684.
WILLIAM BLAIR CONSULTS - ACCT. XXX-02853 ATTACHMENT A	2,261,490.	2,339,163.
JM COX JR FOUNDATION - ACCT. XXX-07369 ATTACHMENT A	17,802,279.	18,821,868.
BDT CAPITAL PARNTERS FUND I, L.P.	1,172,279.	1,324,453.
FALFURRIAS CAPITAL PARTNERS, LP	945,163.	1,141,462.
GOLUB CAPITAL PARTNERS INTERNATIONAL VII, LP	650,000.	670,235.
REGIMENT CAPITAL SPECIAL SITUATIONS FUND V, LP	290,138.	286,380.
TOTAL TO FORM 990-PF, PART II, LINE 10B	37,467,057.	39,069,299.

FORM 990-PF CORPORATE BONDS STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
JM COX JR FOUNDATION - ACCT. XXX-07369 ATTACHMENT A	1,000,000.	904,970.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,000,000.	904,970.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 7

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES C. KENNEDY 6205 PEACHTREE DUNWOODY RD. ATLANTA, GA 30328	PRESIDENT/TRUSTEE 1.00	0.	0.	0.
JAMES C. KENNEDY, JR. 6205 PEACHTREE DUNWOODY RD. ATLANTA, GA 30328	TRUSTEE 1.00	0.	0.	0.
R. DALE HUGHES 6205 PEACHTREE DUNWOODY RD. ATLANTA, GA 30328	VICE PRESIDENT/TREASURER 1.00	0.	0.	0.
SHAUNA SULLIVAN MUHL 6205 PEACHTREE DUNWOODY RD. ATLANTA, GA 30328	SECRETARY 1.00	0.	0.	0.
LEIGH ANN LAUNIUS 6205 PEACHTREE DUNWOODY RD. ATLANTA, GA 30328	ASSISTANT SECRETARY 1.00	0.	0.	0.
BARBARA K. HARTY 6205 PEACHTREE DUNWOODY RD. ATLANTA, GA 30328	TRUSTEE 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D STATEMENT 8

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

NANCY RIGBY
C/O COX ENTERPRISES, INC. PO BOX 105720
ATLANTA, GA 30348

TELEPHONE NUMBER

(678) 645-0000

FORM AND CONTENT OF APPLICATIONS

COMPLETE DETAIL OF PROPOSED PROJECT, FINANCIAL STATEMENTS, IRS DETERMINATION LETTER, DETAILED LIST OF OTHER CONTRIBUTORS, HISTORY OF ORGANIZATION

ANY SUBMISSION DEADLINES

DEADLINES ESTABLISHED ONE MONTH BEFORE BOARD MEETING; BOARD MEETINGS QUARTERLY

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

JAMES M. COX, JR. FOUNDATION
2011 Distributions Paid and Outstanding

Paid Contributions Through December 31, 2011

			purpose/use
Outward Bound Atlanta		75 500	General Operating
EarthCorps (Cox Conserves Heroes Program)		1 250	Cox Conserves Heroes Program
Andrew J Young Foundation Refund		(25 000)	Special Program
Keeping It Wild (Cox Conserves Heroes Program)		1 250	Cox Conserves Heroes Program
The FamilyHood Connection		1,250	Cox Conserves Heroes Program
Horatio Alger Associations Educational Program		10 000	General Operating
World Stewardship Institute (Cox Conserves Heroes Program)		1 250	Cox Conserves Heroes Program
Park Partners Inc (Cox Conserves Heroes Program)		5 000	Cox Conserves Heroes Program
Lynnhaven River NOW (Cox Conserves Heroes Program)		1,250	Cox Conserves Heroes Program
Newport News Green Foundation (Cox Conserves Heroes Program)		1 250	Cox Conserves Heroes Program
Virginia Beach Parks and Recreation Foundation (Cox Conserves Heroes Program)		1 250	Cox Conserves Heroes Program
King Conservation District (Cox Conserves Heroes Program)		1 250	Cox Conserves Heroes Program
Georgia Campaign for Adolescent Pregnancy Prevention		25 000	General Operating
Atlanta Police Foundation		5 000	General Operating
San Elijo Lagoon Conservancy (Cox Conserves Heroes Program)		10 000	Cox Conserves Heroes Program
Pie Ranch		5 000	Cox Conserves Heroes Program
Bay View Hunters Point (Cox Conserves Heroes Program)		1,250	Cox Conserves Heroes Program
Berkeley Partners for Parks (Cox Conserves Heroes Program)		1,250	Cox Conserves Heroes Program
Adaptive Learning Center (Internship Program)		15 000	Special Program
Atlanta Community Toolbank (HouseProud Home Repair Program)		12,000	Special Program
Atlanta Urban League (Family Empowerment Program)		50 000	Special Program
Bobby Dodd Institute (Workforce Resources Program)		25 000	Special Program
Community Mercy Health Partners Springfield Ohio (Regional Medical Center)		50 000	Capital Campaign
Emory University (Alzheimer's Disease Research Center)		450 000	Clinical Research
Georgia Historical Society (Capacity Building Campaign)		10 000	Capital Campaign
PATH Foundation (Capital Campaign)		1 000 000	Capital Campaign
Southeast Energy Assistance		50 000	Special Program
Steadman Philippon Research Institute		25 000	General Operating
Truly Living Well (Urban Garden Project)		100 000	Special Program
Oakland Based Urban Gardens		1 250	Cox Conserves Heroes Program
West Atlanta Watershed Alliance		5 000	Special Program
Sustainable Norcross Inc		1 250	Cox Conserves Heroes Program
Park Pride		1 250	Cox Conserves Heroes Program
Elachee Nature Science Ctr		1 250	Cox Conserves Heroes Program
Sierra Club Foundation		1,250	Cox Conserves Heroes Program
Cox Arboretum Foundation		170 000	Capital Campaign
Johns Creek Beautification Inc		1 250	Cox Conserves Heroes Program
TOTAL	$	2,092 500	
Andrew Young Foundation	$	25 000	
Grants for Charitable Purposes	$	2,117 500	

Contributions Pledged But Unpaid as of December 31, 2011

	2011	2012	2013	2014	2015	Total	purpose/use
Cox Arboretum Foundation						$ -	Capital
Emory University (Alzheimer's Disease Research Center)		462,500	462,500	462,500	462 500	1 850 000	
PATH Foundation (Capital Campaign)		1 000 000	1 000 000	1 000 000		3 000 000	
Teach for America - Atlanta						-	Special program
Woodward Academy (Humanities Building Capital Campaign)		250 000	250 000	250 000	250 000	1 000 000	
Cox Conserves Heroes Program		100 000				100 000	Cox Conserves Heroes Program
Total	$ -	$ 1 812 500	$ 1 712 500	$ 1 712 500	$ 712,500	$ 5 950 000	

Outstanding Check as of December 31, 2011

Johns Creek Beautification Inc	$ 1 250	Cox Conserves Heroes Program
	$ 1 250	

JAMES M. COX JR. FOUNDATION
EIN: 23-7256190
PART VII-A
QUESTION 8b

THE JAMES M. COX JR. FOUNDATION MEETS THE REQUIREMENTS AS SET BY THE OHIO ATTORNEY GENERAL. THE FOUNDATION FILES THE OHIO VERIFICATION OF FILING WITH THE INTERNAL REVENUE SERVICE, IN LIEU OF FORM 990-PF, RETURN OF PRIVATE FOUNDATION,.

JAMES M. COX JR. FOUNDATION
EIN: 23-7256190
TAX YEAR ENDED: DECEMBER 31, 2011

Pursuant to §4942(h)(2) and Reg. §53.4942(a)-3(d)(2), the James M Cox Jr. Foundation elects to treat current year qualifying distributions in excess of the immediately preceding tax year's undistributed income as coming from prior years undistributed income as follows:

Year End	Amount
2009	$24,512

Signature ______________ Date 11/13/12

Cramer Rosenthal SPA

Account Number: XXX-02850

MERRILL LYNCH STRATEGIC PORTFOLIO ADVISOR SERVICE

December 01, 2011 - December 30, 2011

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	15,064.18	15,064.18		15,064.18		
ML BANK DEPOSIT PROGRAM	482,221.00	482,221.00	1.0000	482,221.00	964	20
TOTAL		497,285.18		497,285 18	964	20

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AIR PRODUCTS&CHEM	APD	06/25/10	200	67 5888	13,517.76	85.1900	17,038.00	3,520 24	464	2.72
		06/29/10	100	65 6200	6,562.00	85.1900	8,519.00	1,957.00	232	2.72
		01/25/11	200	86.2400	17,248.00	85.1900	17,038.00	(210.00)	464	2 72
		01/27/11	300	86.6966	26,008.98	85 1900	25,557.00	(451 98)	696	2 72
		03/14/11	200	86 4533	17,290.66	85.1900	17,038 00	(252 66)	464	2 72
		04/20/11	100	94.0356	9,403.56	85 1900	8,519 00	(884.56)	232	2.72

+

ATTACHMENT A

Cramer Rosenthal SPA

Account Number. XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AIR PRODUCTS&CHEM	APD	08/12/11	100	82 5800	8,258.00	85.1900	8,519.00	261.00	232	2.72
		12/15/11	300	81.8189	24,545.67	85 1900	25,557.00	1,011.33	696	2.72
		12/16/11	100	82.6800	8,268.00	85.1900	8,519.00	251.00	232	2.72
Subtotal			*1,600*		*131,102 63*		*136,304.00*	*5,201 37*	*3,712*	*2 72*
AIRGAS INC COM	ARG	03/14/11	600	62 9304	37,758.24	78.0800	46,848.00	9,089.76	768	1.63
		03/24/11	400	65 2580	26,103.20	78 0800	31,232.00	5,128.80	512	1 63
		03/25/11	400	66.5065	26,602.60	78 0800	31,232.00	4,629.40	512	1 63
		08/11/11	100	62.8545	6,285.45	78 0800	7,808.00	1,522.55	128	1.63
		08/12/11	100	63 5000	6,350.00	78.0800	7,808.00	1,458 00	128	1.63
		08/18/11	150	59 5365	8,930.48	78.0800	11,712 00	2,781.52	192	1 63
		09/08/11	200	63.6363	12,727.26	78.0800	15,616.00	2,888.74	256	1.63
		09/13/11	100	61 7288	6,172.88	78 0800	7,808.00	1,635.12	128	1.63
		09/22/11	200	63 4539	12,690.78	78.0800	15,616.00	2,925.22	256	1.63
		09/23/11	150	64.8850	9,732 75	78.0800	11,712.00	1,979.25	192	1 63
Subtotal			*2,400*		*153,353 64*		*187,392 00*	*34,038.36*	*3,072*	*1 63*
ALLEGHENY TECH INC	ATI	09/03/10	200	44.7500	8,950 00	47.8000	9,560.00	610.00	144	1.50
		06/29/11	500	62 4900	31,245.00	47.8000	23,900.00	(7,345.00)	361	1.50
		07/07/11	200	61.0912	12,218.24	47.8000	9,560 00	(2,658 24)	144	1.50
		08/15/11	400	49.1705	19,668 20	47.8000	19,120.00	(548 20)	288	1.50
		10/28/11	200	49 7400	9,948 00	47 8000	9,560.00	(388 00)	144	1.50
		11/09/11	300	46 3564	13,906.92	47 8000	14,340.00	433.08	216	1.50
Subtotal			*1,800*		*95,936 36*		*86,040 00*	*(9,896.36)*	*1,297*	*1 50*
AMERICAN WTR WKS CO INC *NEW*	AWK	02/02/11	600	26.1900	15,714.00	31.8600	19,116.00	3,402.00	552	2.88
		02/03/11	1,400	26 3239	36,853 46	31 8600	44,604 00	7,750 54	1,288	2.88
		02/18/11	1,100	27.8450	30,629.50	31.8600	35,046 00	4,416.50	1,012	2 88
		02/22/11	900	27.3542	24,618.78	31.8600	28,674 00	4,055.22	828	2.88
		03/24/11	700	27.7500	19,425 00	31 8600	22,302 00	2,877 00	644	2 88
		08/03/11	600	27.9603	16,776.18	31.8600	19,116.00	2,339.82	552	2.88
		08/10/11	300	27.8455	8,353 65	31.8600	9,558.00	1,204.35	276	2.88
Subtotal			*5,600*		*152,370 57*		*178,416 00*	*26,045 43*	*5,152*	*2.88*

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ATTACHMENT A

Cramer Rosenthal SPA

Account Number. XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ARCH CAPITAL GRP LTD BM	ACGL	11/11/11	700	36 6918	25,684 26	37 2300	26,061.00	376.74		
		11/14/11	300	36.7788	11,033 64	37.2300	11,169.00	135.36		
		11/16/11	800	36.5112	29,208.96	37.2300	29,784.00	575.04		
		11/17/11	700	36.2258	25,358.06	37 2300	26,061.00	702.94		
		11/18/11	300	36.3569	10,907.07	37.2300	11,169.00	261.93		
		11/21/11	300	36 0175	10,805.25	37 2300	11,169 00	363.75		
		11/29/11	500	36.9408	18,470 40	37 2300	18,615 00	144.60		
		12/07/11	400	37.3711	14,948 44	37.2300	14,892 00	(56 44)		
		12/16/11	200	36 9100	7,382.00	37 2300	7,446.00	64.00		
Subtotal			*4,200*		*153,798 08*		*156,366.00*	*2,567.92*		
ASHLAND INC NEW	ASH	01/05/11	200	52.8500	10,570 00	57.1600	11,432.00	862.00	140	1.22
		01/06/11	350	53.6500	18,777.50	57.1600	20,006.00	1,228.50	245	1.22
		01/26/11	400	58.8690	23,547 60	57 1600	22,864 00	(683 60)	280	1.22
		01/27/11	200	59.4857	11,897.14	57.1600	11,432 00	(465 14)	140	1.22
		01/28/11	100	59.8500	5,985.00	57.1600	5,716.00	(269 00)	70	1.22
		03/04/11	200	56 9000	11,380.00	57.1600	11,432 00	52 00	140	1 22
		04/28/11	300	62.1000	18,630.00	57.1600	17,148.00	(1,482 00)	210	1.22
		05/04/11	100	60.1095	6,010.95	57 1600	5,716.00	(294.95)	70	1.22
		05/06/11	200	62 3500	12,470.00	57.1600	11,432.00	(1,038 00)	140	1 22
Subtotal			*2,050*		*119,268.19*		*117,178 00*	*(2,090 19)*	*1,435*	*1 22*
AVERY DENNISON CORP	AVY	06/10/10	200	32 7190	6,543.80	28 6800	5,736.00	(807.80)	200	3 48
		06/11/10	200	33 4247	6,684.94	28.6800	5,736 00	(948 94)	200	3.48
		06/16/10	200	35.7000	7,140.00	28 6800	5,736.00	(1,404 00)	200	3.48
		06/25/10	300	34 2003	10,260 09	28.6800	8,604.00	(1,656 09)	300	3.48
		07/16/10	200	33.5944	6,718.88	28.6800	5,736.00	(982 88)	200	3.48
		08/25/10	200	31.9556	6,391.12	28.6800	5,736.00	(655.12)	200	3.48
		11/02/10	100	36 1602	3,616.02	28.6800	2,868.00	(748.02)	100	3.48
		11/03/10	200	36.5080	7,301 60	28 6800	5,736 00	(1,565 60)	200	3.48
		11/09/10	200	36.9439	7,388.78	28.6800	5,736.00	(1,652 78)	200	3 48
		11/10/10	200	36 9782	7,395 64	28.6800	5,736 00	(1,659 64)	200	3.48

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ATTACHMENT A

Cramer Rosenthal SPA

Account Number XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AVERY DENNISON CORP	AVY	02/09/11	500	40.0370	20,018.50	28.6800	14,340.00	(5,678 50)	501	3.48
		04/28/11	700	42.3945	29,676.15	28.6800	20,076.00	(9,600.15)	701	3.48
		05/26/11	300	41.7400	12,522.00	28.6800	8,604.00	(3,918 00)	300	3.48
Subtotal			*3,500*		*131,657 52*		*100,380 00*	*(31,277 52)*	*3,502*	*3.48*
BMC SOFTWARE INC	BMC	02/01/10	30	38 4116	1,152.35	32.7800	983.40	(168 95)		
		05/27/10	100	36.8927	3,689.27	32.7800	3,278.00	(411 27)		
		05/28/10	100	36.8700	3,687.00	32.7800	3,278.00	(409.00)		
		06/01/10	100	36.5000	3,650 00	32 7800	3,278.00	(372 00)		
		07/29/10	600	36 1045	21,662.70	32.7800	19,668 00	(1,994 70)		
		08/09/10	100	36.0000	3,600.00	32 7800	3,278.00	(322 00)		
		08/10/10	100	36.0000	3,600.00	32.7800	3,278.00	(322 00)		
		08/11/10	200	35.2400	7,048.00	32.7800	6,556 00	(492 00)		
		02/03/11	400	48.4224	19,368 96	32.7800	13,112 00	(6,256 96)		
		03/15/11	400	48.4355	19,374.20	32.7800	13,112.00	(6,262 20)		
		03/30/11	400	49 6077	19,843.08	32.7800	13,112.00	(6,731 08)		
		07/28/11	1,100	44.7888	49,267.68	32.7800	36,058.00	(13,209 68)		
		08/01/11	600	42.7428	25,645 68	32.7800	19,668.00	(5,977.68)		
		09/09/11	200	38.1498	7,629.96	32 7800	6,556.00	(1,073 96)		
Subtotal			*4,430*		*189,218 88*		*145,215 40*	*(44,003 48)*		
CAMERON INTL CORP	CAM	10/11/10	50	43.4194	2,170.97	49.1900	2,459.50	288.53		
		11/01/10	300	44.5300	13,359.00	49 1900	14,757.00	1,398 00		
		12/20/10	800	50 5934	40,474 72	49 1900	39,352.00	(1,122.72)		
		01/06/11	400	49 6409	19,856.36	49.1900	19,676.00	(180 36)		
		04/07/11	400	54 9868	21,994 72	49 1900	19,676.00	(2,318.72)		
		05/31/11	600	48 1466	28,887.96	49.1900	29,514.00	626.04		
		06/03/11	200	46 6200	9,324 00	49.1900	9,838.00	514 00		
Subtotal			*2,750*		*136,067 73*		*135,272 50*	*(795.23)*		

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ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CBRE GROUP INC	CBG	09/29/11	1,600	14.2498	22,799.68	15 2200	24,352.00	1,552.32		
CL A		10/03/11	1,500	13.3723	20,058.45	15.2200	22,830.00	2,771.55		
		10/12/11	700	14.2760	9,993.20	15.2200	10,654.00	660.80		
		10/12/11	600	15 2100	9,126 00	15.2200	9,132.00	6.00		
		11/18/11	1,400	15.1929	21,270.06	15.2200	21,308.00	37.94		
Subtotal			*5,800*		*83,247 39*		*88,276.00*	*5,028.61*		
CBS CORP NEW CL B	CBS	03/30/11	900	25 6975	23,127 75	27.1400	24,426.00	1,298.25	360	1.47
		04/11/11	1,100	24.3448	26,779.28	27.1400	29,854.00	3,074 72	440	1.47
		04/28/11	500	25.1899	12,594.95	27.1400	13,570.00	975.05	200	1.47
		05/19/11	400	27.2379	10,895.16	27.1400	10,856.00	(39 16)	160	1.47
		08/03/11	200	26.0961	5,219.22	27.1400	5,428.00	208.78	80	1.47
		08/05/11	400	23 0439	9,217.56	27.1400	10,856.00	1,638.44	160	1.47
Subtotal			*3,500*		*87,833.92*		*94,990 00*	*7,156.08*	*1,400*	*1 47*
CIGNA CORP	CI	01/25/11	1,400	41.4809	58,073.26	42.0000	58,800 00	726.74	56	.09
		01/26/11	1,100	41.7820	45,960.20	42.0000	46,200.00	239.80	44	.09
		01/27/11	600	42.5886	25,553 16	42.0000	25,200.00	(353.16)	24	.09
		01/31/11	600	41.8524	25,111.44	42.0000	25,200.00	88.56	24	09
		04/28/11	400	47.1117	18,844 68	42 0000	16,800 00	(2,044 68)	16	.09
		05/06/11	500	47.4324	23,716.20	42.0000	21,000.00	(2,716 20)	20	.09
		05/19/11	300	49.6788	14,903.64	42.0000	12,600.00	(2,303 64)	12	.09
		06/16/11	300	49 1652	14,749 56	42.0000	12,600.00	(2,149 56)	12	09
		06/24/11	200	48.7981	9,759.62	42.0000	8,400 00	(1,359 62)	8	.09
		09/08/11	200	45 9098	9,181.96	42.0000	8,400.00	(781 96)	8	09
		09/13/11	200	44 9740	8,994 80	42 0000	8,400.00	(594 80)	8	09
		10/28/11	300	46.6500	13,995.00	42.0000	12,600.00	(1,395 00)	12	09
Subtotal			*6,100*		*268,843 52*		*256,200 00*	*(12,643 52)*	*244*	*09*

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ATTACHMENT A

Cramer Rosenthal SPA   Account Number XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CMS ENERGY CORP	CMS 12/26/06	600	16.8200	10,092.00	22.0800	13,248 00	3,156.00	504	3.80
	02/20/07	500	17.6000	8,800 00	22.0800	11,040 00	2,240.00	420	3.80
	02/21/07	800	17.6000	14,080.00	22.0800	17,664.00	3,584.00	672	3.80
	07/24/07	1,200	17 5991	21,118.92	22 0800	26,496 00	5,377 08	1,008	3.80
	02/28/08	600	14.8738	8,924.28	22.0800	13,248.00	4,323 72	504	3.80
	04/30/08	300	14.6000	4,380.00	22.0800	6,624 00	2,244.00	252	3.80
	05/01/08	100	14.7900	1,479.00	22.0800	2,208.00	729.00	84	3.80
	05/02/08	1,200	14.9628	17,955 36	22.0800	26,496.00	8,540.64	1,008	3.80
	05/09/08	900	14.9358	13,442 22	22.0800	19,872 00	6,429.78	756	3 80
	05/13/08	700	15 2300	10,661.00	22.0800	15,456 00	4,795.00	588	3 80
	05/14/08	400	15 1900	6,076 00	22 0800	8,832.00	2,756 00	336	3.80
	08/08/08	400	13 5724	5,428 96	22.0800	8,832.00	3,403.04	336	3.80
	08/11/08	300	13.6600	4,098.00	22.0800	6,624.00	2,526.00	252	3.80
Subtotal		*8,000*		*126,535 74*		*176,640 00*	*50,104 26*	*6,720*	*3.80*
COOPER INDUSTRIES PLC	CBE 07/25/11	800	57.9000	46,320 00	54.1500	43,320.00	(3,000 00)	928	2 14
	07/29/11	650	52 6881	34,247 27	54.1500	35,197.50	950.23	754	2.14
	07/29/11	500	52.2618	26,130.90	54.1500	27,075.00	944 10	580	2.14
	08/10/11	300	46.6646	13,999.38	54.1500	16,245.00	2,245.62	348	2 14
	09/06/11	400	43 0294	17,211.76	54 1500	21,660 00	4,448.24	464	2.14
	09/09/11	200	44.2922	8,858.44	54 1500	10,830.00	1,971.56	232	2.14
Subtotal		*2,850*		*146,767.75*		*154,327 50*	*7,559.75*	*3,306*	*2.14*
DOVER CORP	DOV 03/17/11	400	63.4759	25,390.36	58.0500	23,220 00	(2,170 36)	504	2.17
	03/24/11	400	65 2482	26,099.28	58 0500	23,220.00	(2,879.28)	504	2 17
	04/06/11	400	66.3351	26,534 04	58 0500	23,220.00	(3,314 04)	504	2 17
	04/26/11	200	67.5080	13,501.60	58.0500	11,610.00	(1,891 60)	252	2.17
	04/27/11	200	67 6000	13,520.00	58 0500	11,610.00	(1,910 00)	252	2.17
	04/29/11	200	68 0000	13,600.00	58 0500	11,610.00	(1,990 00)	252	2.17
	05/03/11	200	67 2933	13,458 66	58.0500	11,610.00	(1,848.66)	252	2.17

ATTACHMENT A

Cramer Rosenthal SPA   Account Number. XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
DOVER CORP	DOV	05/05/11	200	65.1618	13,032.36	58.0500	11,610.00	(1,422 36)	252	2.17
		05/09/11	100	66.2757	6,627.57	58.0500	5,805 00	(822.57)	126	2.17
		05/19/11	300	64 2814	19,284.42	58 0500	17,415 00	(1,869 42)	378	2 17
Subtotal			*2,600*		*171,048.29*		*150,930 00*	*(20,118 29)*	*3,276*	*2 17*
EATON CORP	ETN	12/06/11	1,000	46 1371	46,137 10	43 5300	43,530 00	(2,607 10)	1,361	3.12
		12/07/11	400	45.2164	18,086.56	43.5300	17,412.00	(674.56)	544	3.12
		12/08/11	200	44 1692	8,833.84	43.5300	8,706.00	(127 84)	272	3.12
		12/12/11	500	43.2934	21,646.70	43.5300	21,765.00	118.30	680	3.12
		12/22/11	300	43 7500	13,125.00	43.5300	13,059 00	(66 00)	408	3.12
		12/23/11	200	43.9785	8,795.70	43.5300	8,706.00	(89 70)	272	3.12
Subtotal			*2,600*		*116,624.90*		*113,178.00*	*(3,446 90)*	*3,537*	*3 12*
EDISON INTL CALIF	EIX	09/22/10	300	35 0253	10,507 59	41 4000	12,420.00	1,912.41	390	3.14
		09/23/10	700	34.5666	24,196.62	41.4000	28,980.00	4,783.38	910	3 14
		09/28/10	500	34.7648	17,382.40	41.4000	20,700 00	3,317.60	650	3 14
		10/29/10	500	36.9544	18,477 20	41 4000	20,700 00	2,222.80	650	3.14
		08/10/11	300	34.0900	10,227 00	41.4000	12,420.00	2,193.00	390	3.14
		08/10/11	800	34.1539	27,323.12	41.4000	33,120.00	5,796 88	1,040	3.14
		08/18/11	600	35 9303	21,558.18	41 4000	24,840.00	3,281 82	780	3.14
		09/22/11	200	36 4217	7,284 34	41.4000	8,280.00	995.66	260	3.14
Subtotal			*3,900*		*136,956 45*		*161,460 00*	*24,503 55*	*5,070*	*3 14*
EL PASO CORPORATION	EP	02/18/11	1,650	17.9496	29,616.84	26 5700	43,840.50	14,223.66	66	.15
		03/01/11	3,100	18.1929	56,397.99	26 5700	82,367.00	25,969 01	124	.15
		03/15/11	1,500	17.1301	25,695 15	26.5700	39,855.00	14,159 85	60	.15
		03/30/11	1,400	17.7545	24,856.30	26.5700	37,198.00	12,341 70	56	.15
		08/18/11	400	17 7692	7,107 68	26.5700	10,628.00	3,520.32	16	15
		10/12/11	500	19.2083	9,604.15	26 5700	13,285 00	3,680.85	20	.15
Subtotal			*8,550*		*153,278 11*		*227,173 50*	*73,895 39*	*342*	*.15*

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ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
FIDELITY NATL INFO SVCS	FIS	05/04/11	200	33.3677	6,673.54	26.5900	5,318 00	(1,355 54)	40	.75
INC		05/04/11	500	33.0500	16,525.00	26.5900	13,295.00	(3,230 00)	100	.75
		05/05/11	400	32 9402	13,176.08	26 5900	10,636.00	(2,540 08)	80	75
		05/27/11	300	31.8100	9,543.00	26.5900	7,977.00	(1,566 00)	60	.75
		05/31/11	400	32.0799	12,831.96	26.5900	10,636 00	(2,195.96)	80	.75
		08/03/11	400	28.6963	11,478.52	26.5900	10,636 00	(842 52)	80	75
		08/04/11	900	28.5350	25,681.50	26.5900	23,931.00	(1,750 50)	181	75
Subtotal			*3,100*		*95,909 60*		*82,429 00*	*(13,480 60)*	*621*	*75*
FIFTH THIRD BANCORP	FITB	11/01/10	800	12.5150	10,012.00	12 7200	10,176.00	164.00	256	2 51
		01/20/11	2,800	14 2000	39,760.00	12.7200	35,616 00	(4,144 00)	896	2 51
		03/02/11	900	13.8493	12,464.37	12.7200	11,448.00	(1,016 37)	288	2 51
		03/03/11	800	14.0803	11,264 24	12.7200	10,176.00	(1,088.24)	256	2.51
		04/20/11	800	13.2994	10,639.52	12.7200	10,176 00	(463 52)	256	2.51
		04/21/11	2,100	13.1195	27,550.95	12.7200	26,712.00	(838 95)	672	2 51
		05/06/11	900	12.9649	11,668 41	12.7200	11,448 00	(220 41)	288	2 51
Subtotal			*9,100*		*123,359 49*		*115,752 00*	*(7,607 49)*	*2,912*	*2 51*
GENERAL MILLS	GIS	08/08/11	1,700	36 2465	61,619.05	40.4100	68,697 00	7,077.95	2,075	3 01
		08/08/11	400	35.9851	14,394.04	40.4100	16,164.00	1,769.96	489	3 01
		08/10/11	1,100	35.3940	38,933.40	40.4100	44,451.00	5,517 60	1,343	3.01
		08/11/11	400	35.4317	14,172 68	40.4100	16,164.00	1,991 32	489	3.01
		08/12/11	500	35.7740	17,887.00	40.4100	20,205.00	2,318.00	611	3.01
		09/29/11	600	38.7849	23,270.94	40 4100	24,246.00	975 06	733	3.01
		10/25/11	100	38.9000	3,890.00	40.4100	4,041.00	151.00	122	3 01
		10/26/11	100	38.9500	3,895.00	40 4100	4,041.00	146.00	122	3 01
		11/01/11	500	38.3604	19,180.20	40.4100	20,205.00	1,024.80	611	3.01
Subtotal			*5,400*		*197,242 31*		*218,214 00*	*20,971 69*	*6,595*	*3 01*

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ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
HASBRO INC COM	HAS	01/26/11	250	44 8492	11,212 30	31 8900	7,972 50	(3,239 80)	300	3.76
		01/27/11	300	44.9000	13,470.00	31 8900	9,567 00	(3,903.00)	360	3.76
		01/28/11	200	44 7363	8,947 26	31 8900	6,378 00	(2,569 26)	240	3.76
		02/08/11	100	47 1490	4,714 90✦	31 8900	3,189 00	(1,525.90)	120	3.76
		02/08/11	100	46.1895	4,618 95✦	31 8900	3,189.00	(1,429 95)	120	3.76
		02/08/11	150	46 4828	6,972.43✦	31.8900	4,783 50	(2,188 93)	180	3.76
		02/08/11	150	47.0623	7,059.35✦	31 8900	4,783 50	(2,275 85)	180	3.76
		05/31/11	300	45 7489	13,724 67	31.8900	9,567 00	(4,157.67)	360	3.76
Subtotal			*1,550*		*70,719.86*		*49,429 50*	*(21,290 36)*	*1,860*	*3 76*
HOSPIRA INC	HSP	02/11/11	400	54.1726	21,669.04	30.3700	12,148.00	(9,521.04)		
		02/15/11	500	55 0000	27,500 00	30 3700	15,185.00	(12,315 00)		
		02/16/11	400	54 7766	21,910 64	30.3700	12,148.00	(9,762 64)		
		02/24/11	400	52 3330	20,933 20	30 3700	12,148 00	(8,785.20)		
		02/25/11	200	53 2500	10,650 00	30 3700	6,074 00	(4,576.00)		
		02/28/11	400	53 3500	21,340 00	30 3700	12,148 00	(9,192 00)		
		03/09/11	500	54 8500	27,425.00	30 3700	15,185 00	(12,240 00)		
		03/31/11	400	55 6748	22,269 92	30 3700	12,148 00	(10,121.92)		
		08/08/11	200	46 7213	9,344 26	30.3700	6,074.00	(3,270 26)		
		08/18/11	200	42 8500	8,570 00	30 3700	6,074.00	(2,496 00)		
		09/22/11	500	35 0003	17,500 15	30 3700	15,185 00	(2,315 15)		
		10/25/11	600	30 5912	18,354 72	30 3700	18,222.00	(132 72)		
		10/28/11	300	31 6480	9,494 40	30 3700	9,111 00	(383 40)		
		11/10/11	300	31.7473	9,524.19	30 3700	9,111 00	(413 19)		
Subtotal			*5,300*		*246,485 52*		*160,961 00*	*(85,524.52)*		
INTERCONTINENTAL HOTELS *GROUP PLC NEW ADR*	IHG	04/07/11	350	20 9000	7,315 00	17.9900	6,296 50	(1,018 50)	173	2.73
		04/08/11	1,100	21 0900	23,199 00	17 9900	19,789 00	(3,410.00)	542	2.73
		05/31/11	300	21 3973	6,419 19	17.9900	5,397 00	(1,022 19)	148	2.73
		06/01/11	900	21 1438	19,029 42	17 9900	16,191.00	(2,838 42)	443	2 73
		06/14/11	100	19 7000	1,970 00	17 9900	1,799 00	(171.00)	50	2 73

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ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
INTERCONTINENTAL HOTELS	IHG	06/15/11	300	19.4700	5,841 00	17.9900	5,397.00	(444 00)	148	2.73
		06/22/11	300	19.6000	5,880.00	17 9900	5,397.00	(483 00)	148	2.73
		06/23/11	900	19.2461	17,321.49	17.9900	16,191.00	(1,130 49)	443	2.73
Subtotal			*4,250*		*86,975 10*		*76,457 50*	*(10,517.60)*	*2,095*	2.73
J M SMUCKER CO	SJM	09/14/11	300	71 7500	21,525 00	78.1700	23,451.00	1,926.00	576	2.45
		09/19/11	300	73.5475	22,064.25	78.1700	23,451.00	1,386.75	576	2 45
		09/21/11	300	73.4863	22,045.89	78.1700	23,451.00	1,405.11	576	2.45
		09/22/11	100	72.5973	7,259.73	78.1700	7,817 00	557.27	192	2.45
		09/23/11	300	74.1382	22,241 46	78.1700	23,451.00	1,209.54	576	2.45
		09/26/11	200	74.8536	14,970.72	78.1700	15,634.00	663.28	384	2 45
		11/18/11	300	74 1100	22,233.00	78.1700	23,451.00	1,218.00	576	2.45
Subtotal			*1,800*		*132,340.05*		*140,706 00*	*8,365 95*	*3,456*	2.45
LIBERTY INTERACTIVE CORP *SERIES A*	LINTA	12/20/11	1,400	15.9500	22,330.00	16 2150	22,701.00	371.00		
		12/21/11	1,100	15.9083	17,499.13	16.2150	17,836.50	337 37		
		12/22/11	800	16.4885	13,190.80	16 2150	12,972.00	(218 80)		
		12/23/11	600	16.4000	9,840 00	16 2150	9,729.00	(111 00)		
		12/27/11	300	16.3468	4,904.04	16.2150	4,864 50	(39 54)		
Subtotal			*4,200*		*67,763 97*		*68,103 00*	*339.03*		
LIMITED BRANDS INC	LTD	12/22/11	200	39 5500	7,910.00	40 3500	8,070 00	160.00	160	1.98
LSI CORPORATION	LSI	12/05/06	1,800	9.2415	16,634 70	5.9500	10,710.00	(5,924.70)		
		12/22/06	3,200	9 2900	29,728.00	5.9500	19,040.00	(10,688.00)		
		12/26/06	3,200	9 2900	29,728.00	5.9500	19,040 00	(10,688 00)		
		02/12/07	1,700	8.9850	15,274.50	5.9500	10,115.00	(5,159 50)		
		04/30/07	1,100	8 5900	9,449 00	5.9500	6,545.00	(2,904 00)		
		05/02/07	1,700	8.4300	14,331.00	5.9500	10,115.00	(4,216 00)		
		11/16/07	400	5 9733	2,389 32	5.9500	2,380.00	(9 32)		
		11/04/08	900	4.0900	3,681.00	5.9500	5,355.00	1,674.00		
		11/05/08	900	4.1000	3,690 00	5.9500	5,355.00	1,665.00		
		03/24/09	700	3 2100	2,247.00	5 9500	4,165 00	1,918 00		
		03/25/09	2,800	3.1054	8,695.12	5.9500	16,660.00	7,964.88		
		10/29/09	1,900	5.2700	10,013.00	5 9500	11,305 00	1,292 00		

ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
LSI CORPORATION	LSI	10/30/09	1,500	5.1500	7,725.00	5.9500	8,925.00	1,200.00		
		12/21/09	1,000	5.9000	5,900.00	5.9500	5,950 00	50.00		
		12/22/09	2,900	5.9450	17,240.50	5.9500	17,255.00	14.50		
		02/01/10	2,300	5.1398	11,821.54	5.9500	13,685.00	1,863.46		
		02/03/10	1,800	5.1250	9,225 00	5.9500	10,710 00	1,485 00		
		07/29/10	3,400	4 1490	14,106 60	5.9500	20,230.00	6,123.40		
Subtotal			*33,200*		*211,879.28*		*197,540.00*	*(14,339.28)*		
MAXIM INTEGRATED PRODS	MXIM	10/14/11	500	25.3899	12,694.95	26 0400	13,020 00	325.05	440	3.37
		10/17/11	600	25.3912	15,234.72	26.0400	15,624.00	389.28	528	3 37
		10/18/11	700	25 5767	17,903.69	26.0400	18,228.00	324 31	616	3.37
		10/24/11	900	25.8506	23,265.54	26 0400	23,436.00	170.46	792	3.37
		10/26/11	400	26.1962	10,478.48	26.0400	10,416.00	(62 48)	352	3.37
		10/27/11	400	26.8928	10,757.12	26.0400	10,416.00	(341 12)	352	3.37
		11/30/11	600	25.2885	15,173.10	26 0400	15,624.00	450.90	528	3.37
		12/01/11	200	25.3900	5,078.00	26.0400	5,208.00	130.00	176	3.37
		12/02/11	500	25.9500	12,975.00	26 0400	13,020.00	45.00	440	3.37
		12/16/11	300	25.0297	7,508.91	26.0400	7,812.00	303.09	264	3.37
Subtotal			*5,100*		*131,069 51*		*132,804.00*	*1,734.49*	*4,488*	*3 37*
MEAD JOHNSON NUTRTION CO	MJN	02/02/11	700	57.5050	40,253 50	68.7300	48,111.00	7,857.50	729	1.51
		03/15/11	200	56.2490	11,249.80	68.7300	13,746.00	2,496.20	208	1.51
		03/24/11	200	57.4425	11,488 50	68.7300	13,746.00	2,257 50	208	1.51
		09/23/11	200	73 8099	14,761.98	68.7300	13,746.00	(1,015.98)	208	1.51
Subtotal			*1,300*		*77,753 78*		*89,349 00*	*11,595.22*	*1,353*	*1.51*
MOTOROLA SOLUTIONS INC	MSI	02/07/11	400	40.4992	16,199 68	46.2900	18,516.00	2,316.32	353	1.90
		02/08/11	600	40.0099	24,005.94	46.2900	27,774.00	3,768 06	529	1.90
		02/11/11	700	39.5414	27,678.98	46 2900	32,403.00	4,724.02	617	1.90
		02/17/11	400	38.8977	15,559 08	46.2900	18,516 00	2,956.92	353	1.90
		02/18/11	400	39.0460	15,618 40	46.2900	18,516.00	2,897.60	353	1.90
		02/23/11	400	37.4490	14,979 60	46 2900	18,516 00	3,536 40	353	1.90
		02/24/11	300	37.2901	11,187.03	46.2900	13,887 00	2,699 97	265	1.90
		02/28/11	700	38.3124	26,818.68	46 2900	32,403 00	5,584.32	617	1.90

ATTACHMENT A

Cramer Rosenthal SPA

Account Number. XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
MOTOROLA SOLUTIONS INC	MSI	03/01/11	700	38.2855	26,799.85	46 2900	32,403.00	5,603.15	617	1.90
		03/11/11	200	41 2500	8,250 00	46 2900	9,258 00	1,008.00	176	1.90
		03/14/11	100	40 9500	4,095 00	46 2900	4,629.00	534.00	88	1.90
		05/04/11	200	44 8119	8,962 38	46.2900	9,258 00	295 62	176	1.90
		05/10/11	300	47 0300	14,109 00	46 2900	13,887 00	(222 00)	265	1.90
		05/27/11	300	47 0789	14,123 67	46 2900	13,887 00	(236 67)	265	1.90
		09/22/11	250	41 7823	10,445 58	46 2900	11,572 50	1,126 92	220	1.90
		11/29/11	400	45 1153	18,046 12	46.2900	18,516 00	469 88	353	1.90
		12/08/11	150	46 8400	7,026.00	46 2900	6,943.50	(82.50)	132	1.90
		12/15/11	200	45 9047	9,180 94	46 2900	9,258.00	77.06	176	1.90
Subtotal			*6,700*		*273,085 93*		*310,143 00*	*37,057 07*	*5,908*	*1 90*
NETAPP INC	NTAP	07/18/11	250	46 4500	11,612 51✦	36 2700	9,067 50	(2,545 01)		
		07/19/11	350	51.6000	18,060 00	36 2700	12,694 50	(5,365 50)		
		07/20/11	150	51.2198	7,682.97	36 2700	5,440 50	(2,242.47)		
		07/21/11	250	50 1199	12,529 98	36 2700	9,067 50	(3,462 48)		
		07/23/11	200	44.9146	8,982 92✦	36 2700	7,254 00	(1,728.92)		
		08/03/11	550	45 9696	25,283 28	36 2700	19,948 50	(5,334 78)		
		08/04/11	550	44.8192	24,650 56	36 2700	19,948 50	(4,702 06)		
		08/08/11	200	40.5227	8,104 54	36.2700	7,254 00	(850 54)		
		08/10/11	300	42 5858	12,775 74	36.2700	10,881 00	(1,894.74)		
		08/11/11	150	43 2630	6,489.45	36 2700	5,440 50	(1,048 95)		
		09/09/11	200	35.7173	7,143 46	36.2700	7,254 00	110.54		
		09/21/11	400	36 7627	14,705 08	36.2700	14,508 00	(197.08)		
		10/11/11	300	38 6453	11,593 59	36 2700	10,881 00	(712.59)		
Subtotal			*3,850*		*169,614 08*		*139,639 50*	*(29,974 58)*		
NEWELL RUBBERMAID INC	NWL	05/31/10	150	16 7907	2,518 61✦	16 1500	2,422 50	(96.11)	48	1.98
		06/06/10	500	16 9207	8,460 35✦	16 1500	8,075 00	(385 35)	160	1.98
		06/07/10	500	16.9507	8,475 35✦	16 1500	8,075 00	(400 35)	160	1 98
		06/09/10	350	16 4950	5,773 25	16 1500	5,652 50	(120 75)	112	1 98
		06/12/10	50	15 9752	798 76✦	16 1500	807 50	8 74	16	1 98
		06/17/10	500	16.7441	8,372 05	16 1500	8,075 00	(297.05)	160	1.98

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ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
NEWELL RUBBERMAID INC	NWL	06/23/10	500	16.0800	8,040.00	16.1500	8,075.00	35.00	160	1.98
		06/25/10	300	15.8075	4,742.25	16.1500	4,845.00	102.75	96	1.98
		07/07/10	800	14.7099	11,767.92	16.1500	12,920.00	1,152.08	256	1.98
		07/16/10	300	14.9800	4,494.00	16.1500	4,845.00	351.00	96	1.98
		07/21/10	500	15.4939	7,746.95	16 1500	8,075 00	328.05	160	1.98
		07/28/10	600	15.7300	9,438.00	16.1500	9,690.00	252.00	192	1.98
		12/08/10	500	17.5750	8,787.50	16.1500	8,075.00	(712.50)	160	1.98
		01/12/11	1,100	18.1496	19,964.56	16 1500	17,765.00	(2,199.56)	352	1.98
		01/18/11	700	17.8868	12,520.76	16.1500	11,305 00	(1,215 76)	224	1.98
		01/19/11	600	17.8500	10,710.00	16 1500	9,690.00	(1,020 00)	192	1.98
		06/23/11	600	15.2697	9,161.82	16.1500	9,690.00	528.18	192	1.98
		06/24/11	1,100	15.7597	17,335.67	16.1500	17,765.00	429.33	352	1.98
		08/18/11	700	12.3541	8,647.87	16.1500	11,305.00	2,657 13	224	1.98
		11/29/11	800	15 0799	12,063.92	16 1500	12,920.00	856 08	256	1.98
		11/30/11	800	15 4029	12,322.32	16.1500	12,920.00	597 68	256	1.98
Subtotal			*11,950*		*192,141.91*		*192,992 50*	*850 59*	*3,824*	*1.98*
NORDSTROM INC	JWN	10/04/11	900	47.2390	42,515.10	49.7100	44,739 00	2,223 90	828	1.85
		10/05/11	400	47.0851	18,834 04	49 7100	19,884.00	1,049 96	368	1.85
		10/05/11	500	47.5807	23,790.35	49.7100	24,855 00	1,064.65	460	1 85
		10/11/11	400	49.6082	19,843.28	49.7100	19,884.00	40.72	368	1.85
		10/12/11	350	50.8353	17,792 36	49.7100	17,398 50	(393 86)	322	1.85
		10/14/11	200	50.3670	10,073 40	49.7100	9,942.00	(131 40)	184	1.85
		10/21/11	200	51 3500	10,270.00	49.7100	9,942 00	(328.00)	184	1 85
		11/08/11	200	51.1300	10,226.00	49.7100	9,942 00	(284 00)	184	1.85
		11/11/11	200	49 1159	9,823.18	49.7100	9,942.00	118 82	184	1 85
		11/29/11	500	44 6577	22,328 85	49.7100	24,855 00	2,526.15	460	1.85
		12/01/11	200	47 2751	9,455 02	49.7100	9,942 00	486.98	184	1.85
		12/02/11	100	47 5850	4,758.50	49 7100	4,971 00	212.50	92	1.85
		12/06/11	200	47.7900	9,558 00	49.7100	9,942 00	384 00	184	1.85
Subtotal			*4,350*		*209,268 08*		*216,238 50*	*6,970 42*	*4,002*	*1 85*

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ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
NORTHN TRUST CORP	NTRS	04/05/11	600	51.7497	31,049.82	39.6600	23,796.00	(7,253 82)	672	2.82
		04/07/11	800	51.9950	41,596.00	39.6600	31,728.00	(9,868 00)	896	2.82
		04/19/11	500	50.5116	25,255.80	39 6600	19,830.00	(5,425.80)	560	2.82
		05/09/11	300	48 4000	14,520.00	39.6600	11,898.00	(2,622.00)	336	2.82
		07/22/11	600	45 7940	27,476.40	39.6600	23,796.00	(3,680 40)	672	2 82
		12/05/11	400	39.1833	15,673.32	39.6600	15,864.00	190.68	448	2.82
		12/06/11	300	39.8505	11,955.15	39.6600	11,898.00	(57 15)	336	2.82
Subtotal			*3,500*		*167,526 49*		*138,810 00*	*(28,716 49)*	*3,920*	*2 82*
NYSE EURONEXT	NYX	10/12/11	1,700	27 3786	46,543.62	26.1000	44,370 00	(2,173 62)	2,040	4 59
		10/14/11	800	27.2500	21,800.00	26.1000	20,880.00	(920 00)	960	4.59
		10/17/11	800	27.3500	21,880.00	26.1000	20,880.00	(1,000 00)	960	4 59
		10/20/11	900	26 5402	23,886.18	26.1000	23,490.00	(396 18)	1,080	4 59
		10/24/11	300	26.5000	7,950.00	26 1000	7,830.00	(120.00)	360	4.59
		10/25/11	400	25 8775	10,351.00	26.1000	10,440.00	89.00	480	4.59
		10/26/11	400	25.8115	10,324 60	26.1000	10,440.00	115.40	480	4 59
		10/28/11	100	27.6966	2,769.66	26.1000	2,610 00	(159 66)	120	4.59
		10/31/11	500	27.5000	13,750 00	26 1000	13,050.00	(700.00)	600	4 59
		11/03/11	700	26.2091	18,346.37	26.1000	18,270 00	(76.37)	840	4.59
		11/04/11	200	26.6334	5,326 68	26.1000	5,220.00	(106 68)	240	4.59
		11/09/11	200	26.7500	5,350.00	26.1000	5,220 00	(130.00)	240	4.59
		11/10/11	100	26.9962	2,699 62	26.1000	2,610 00	(89 62)	120	4.59
		12/22/11	300	25.9817	7,794.51	26.1000	7,830 00	35.49	360	4.59
Subtotal			*7,400*		*198,772.24*		*193,140.00*	*(5,632 24)*	*8,880*	*4 59*
PARAMETRIC TECH CORP NEW	PMTC	06/13/07	400	19.4400	7,776.00	18 2600	7,304 00	(472.00)		
		07/06/07	3,600	17 0508	61,382.88	18 2600	65,736 00	4,353.12		
		02/29/08	200	15.3600	3,072.00	18 2600	3,652.00	580 00		
		03/03/08	300	15.0100	4,503 00	18 2600	5,478.00	975 00		
		04/15/08	800	14.9340	11,947.20	18 2600	14,608.00	2,660 80		

ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
PARAMETRIC TECH CORP NEW	PMTC	06/17/08	300	18.6400	5,592.00	18 2600	5,478.00	(114 00)		
		06/18/08	200	18 4500	3,690.00	18.2600	3,652 00	(38 00)		
		01/27/11	900	23 0769	20,769.21	18 2600	16,434 00	(4,335 21)		
Subtotal			*6,700*		*118,732.29*		*122,342 00*	*3,609.71*		
PPL CORPORATION	PPL	03/17/11	4,200	24.4185	102,557.70	29.4200	123,564 00	21,006.30	5,881	4.75
		04/12/11	1,800	25.6234	46,122.12	29 4200	52,956.00	6,833 88	2,521	4.75
		06/16/11	300	27 1888	8,156 64	29.4200	8,826 00	669.36	420	4.75
		06/17/11	200	27.1900	5,438 00	29 4200	5,884 00	446 00	280	4.75
		06/23/11	500	26 9979	13,498 95	29 4200	14,710 00	1,211.05	700	4.75
		06/29/11	600	27 7499	16,649 94	29 4200	17,652 00	1,002 06	841	4 75
		10/12/11	300	28 4900	8,547 00	29 4200	8,826.00	279 00	420	4.75
Subtotal			*7,900*		*200,970 35*		*232,418 00*	*31,447 65*	*11,063*	*4 75*
PRINCIPAL FINANCIAL GRP	PFG	11/14/11	1,900	24 0374	45,671 06	24 6000	46,740 00	1,068 94	1,330	2.84
		11/15/11	400	24 2165	9,686 60	24 6000	9,840 00	153.40	280	2 84
		11/16/11	1,600	23 5933	37,749 28	24 6000	39,360.00	1,610 72	1,120	2.84
		11/18/11	1,000	23 7014	23,701 40	24 6000	24,600.00	898 60	700	2 84
		11/21/11	900	23 0022	20,701 98	24 6000	22,140 00	1,438 02	630	2.84
Subtotal			*5,800*		*137,510 32*		*142,680 00*	*5,169 68*	*4,060*	*2 84*
REPUBLIC SERVICES INC	RSG	10/14/10	100	31 1412	3,114 12	27 5500	2,755 00	(359 12)	88	3.19
		10/15/10	700	30 8259	21,578 13	27 5500	19,285 00	(2,293 13)	616	3.19
		10/18/10	300	30.3297	9,098 91	27 5500	8,265 00	(833 91)	264	3.19
		10/19/10	600	29 9999	17,999 94	27 5500	16,530.00	(1,469 94)	528	3.19
		10/21/10	600	30 6700	18,402.00	27 5500	16,530 00	(1,872 00)	528	3 19
		10/27/10	400	30 0294	12,011 76	27 5500	11,020 00	(991.76)	352	3.19
		11/03/10	300	29.5952	8,878 58✦	27 5500	8,265 00	(613 58)	264	3.19
		11/04/10	300	29 4452	8,833 58✦	27 5500	8,265 00	(568 58)	264	3 19
		11/09/10	300	27 2628	8,178 84✦	27 5500	8,265 00	86 16	264	3.19
		11/10/10	800	28 4334	22,746 72	27 5500	22,040 00	(706.72)	704	3.19
		11/10/10	400	27 7846	11,113 87✦	27 5500	11,020 00	(93 87)	352	3 19
		11/11/10	300	27 4817	8,244 53✦	27 5500	8,265 00	20 47	264	3.19
		11/12/10	1,000	28 3396	28,339 60	27 5500	27,550 00	(789 60)	880	3 19

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ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
REPUBLIC SERVICES INC	RSG	12/08/10	300	28 6613	8,598 39	27.5500	8,265 00	(333 39)	264	3.19
		04/20/11	400	29 6905	11,876 20	27 5500	11,020 00	(856 20)	352	3 19
		11/08/11	400	27 9700	11,188 00	27 5500	11,020 00	(168 00)	352	3.19
		11/11/11	400	27 6600	11,064 00	27 5500	11,020.00	(44 00)	352	3.19
		11/14/11	400	27 1957	10,878 28	27.5500	11,020.00	141 72	352	3.19
		12/22/11	500	27 4998	13,749 90	27 5500	13,775 00	25 10	440	3.19
Subtotal			*8,500*		*245,895 35*		*234,175.00*	*(11,720.35)*	*7,480*	*3 19*
ROVI CORP	ROVI	05/05/11	100	58 8083	5,880 83✦	24 5800	2,458 00	(3,422 83)		
		05/05/11	250	53 9469	13,486 74✦	24 5800	6,145 00	(7,341 74)		
		05/06/11	250	48 5126	12,128.15	24 5800	6,145 00	(5,983.15)		
		05/06/11	50	53 3850	2,669.25✦	24 5800	1,229 00	(1,440.25)		
		05/06/11	50	54 5484	2,727 42✦	24 5800	1,229 00	(1,498.42)		
		05/09/11	600	49.5000	29,700 00	24 5800	14,748 00	(14,952.00)		
		05/10/11	600	48 9297	29,357 82	24 5800	14,748 00	(14,609 82)		
		05/26/11	250	28 9557	7,238.93✦	24.5800	6,145.00	(1,093 93)		
		05/31/11	500	57.5772	28,788 60	24 5800	12,290 00	(16,498 60)		
		07/14/11	400	55.0167	22,006 68	24 5800	9,832 00	(12,174.68)		
		07/25/11	500	57 9317	28,965 85	24 5800	12,290 00	(16,675.85)		
		08/03/11	200	51 7913	10,358 26	24 5800	4,916 00	(5,442 26)		
		08/04/11	200	50.4883	10,097 66	24 5800	4,916 00	(5,181 66)		
		08/09/11	200	44.0150	8,803 00	24 5800	4,916 00	(3,887 00)		
		08/10/11	200	42 9567	8,591 34	24 5800	4,916.00	(3,675 34)		
		08/11/11	200	43 1900	8,638 00	24.5800	4,916 00	(3,722.00)		
		08/12/11	200	46 0500	9,210 00	24 5800	4,916 00	(4,294.00)		
		11/10/11	150	28 5100	4,276 50	24 5800	3,687 00	(589 50)		
		11/11/11	700	29 6551	20,758 57	24 5800	17,206 00	(3,552 57)		
Subtotal			*5,600*		*263,683 60*		*137,648.00*	*(126,035 60)*		

ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ST JUDE MEDICAL INC	STJ	10/20/09	600	32.2145	19,328.70	34.3000	20,580 00	1,251.30	504	2.44
		10/22/09	600	34.1419	20,485.14	34.3000	20,580.00	94.86	504	2.44
		10/27/09	200	35.0500	7,010.00	34.3000	6,860.00	(150.00)	168	2.44
		11/17/09	300	34 6392	10,391.76	34.3000	10,290 00	(101 76)	252	2.44
		11/18/09	200	34.7400	6,948.00	34 3000	6,860.00	(88 00)	168	2.44
		12/18/09	500	36 6855	18,342.75	34.3000	17,150.00	(1,192 75)	420	2.44
		02/03/10	300	38.3469	11,504.07	34.3000	10,290.00	(1,214 07)	252	2.44
		02/04/10	200	38.4100	7,682.00	34.3000	6,860.00	(822.00)	168	2.44
		05/14/10	600	38.5670	23,140.20	34 3000	20,580.00	(2,560.20)	504	2 44
		05/25/10	300	36.5500	10,965.00	34.3000	10,290.00	(675.00)	252	2.44
		06/07/10	700	36 4419	25,509.33	34.3000	24,010.00	(1,499 33)	588	2.44
		07/19/10	200	35.7000	7,140.00	34.3000	6,860.00	(280 00)	168	2.44
		07/21/10	500	34.6660	17,333 00	34.3000	17,150.00	(183.00)	420	2.44
		09/15/10	400	36.9800	14,792.00	34.3000	13,720 00	(1,072.00)	336	2.44
		10/19/10	200	39 8200	7,964.00	34.3000	6,860.00	(1,104 00)	168	2.44
		02/02/11	300	41.0971	12,329 13	34.3000	10,290.00	(2,039 13)	252	2.44
		10/03/11	400	35 9072	14,362.88	34 3000	13,720.00	(642 88)	336	2.44
		12/22/11	400	35 0000	14,000.00	34.3000	13,720.00	(280 00)	336	2.44
		12/23/11	300	34.7800	10,434 00	34.3000	10,290.00	(144 00)	252	2.44
Subtotal			*7,200*		*259,661 96*		*246,960 00*	*(12,701 96)*	*6,048*	*2 44*
STANLEY BLACK & DECKER *INC*	SWK	12/16/09	400	51 8351	20,734.04	67.6000	27,040 00	6,305.96	656	2.42
		12/21/09	200	51.1233	10,224.66	67.6000	13,520.00	3,295.34	328	2.42
		12/22/09	300	52.4096	15,722 88	67.6000	20,280.00	4,557.12	492	2 42
		12/23/09	300	53.1185	15,935 55	67.6000	20,280 00	4,344.45	492	2.42
		05/07/10	400	58.3316	23,332.64	67.6000	27,040 00	3,707.36	656	2.42
		06/23/10	200	52.1858	10,437.16	67.6000	13,520 00	3,082.84	328	2 42
		06/29/10	200	50.1172	10,023.44	67.6000	13,520.00	3,496.56	328	2 42
		06/29/10	100	50.4571	5,045.71	67 6000	6,760 00	1,714.29	164	2.42
		07/16/10	200	51.4500	10,290.00	67.6000	13,520.00	3,230.00	328	2.42
		10/22/10	200	60 5000	12,100.00	67 6000	13,520.00	1,420.00	328	2.42
		10/25/10	200	61 2910	12,258 20	67.6000	13,520.00	1,261 80	328	2 42

ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
STANLEY BLACK & DECKER	SWK	11/01/10	300	60.6062	18,181.86	67.6000	20,280.00	2,098.14	492	2.42
		12/20/10	300	65.7377	19,721.31	67.6000	20,280.00	558.69	492	2.42
		01/21/11	400	66.8870	26,754.80	67.6000	27,040.00	285.20	656	2.42
		04/27/11	200	74.0500	14,810 00	67.6000	13,520.00	(1,290.00)	328	2.42
		05/05/11	400	73 2474	29,298.96	67.6000	27,040 00	(2,258.96)	656	2 42
		05/26/11	100	73.9800	7,398.00	67 6000	6,760.00	(638 00)	164	2.42
		07/20/11	200	69 2668	13,853.36	67.6000	13,520.00	(333 36)	328	2.42
		07/28/11	150	66.4892	9,973.38	67.6000	10,140.00	166.62	246	2.42
		08/03/11	200	63.7254	12,745 08	67.6000	13,520.00	774.92	328	2.42
		08/08/11	200	59.8670	11,973 40	67 6000	13,520.00	1,546.60	328	2.42
		08/09/11	100	57.3759	5,737.59	67.6000	6,760.00	1,022.41	164	2 42
		09/22/11	200	49.0453	9,809.06	67.6000	13,520 00	3,710.94	328	2 42
		11/30/11	100	64.7514	6,475 14	67.6000	6,760.00	284.86	164	2.42
Subtotal			*5,550*		*332,836 22*		*375,180 00*	*42,343 78*	*9,102*	*2 42*
STARWOOD HOTELS AND RESORTS WORLDWIDE NE	HOT	07/12/11	900	56.8596	51,173.64	47.9700	43,173.00	(8,000.64)	450	1.04
		07/14/11	400	55 7423	22,296 92	47 9700	19,188 00	(3,108.92)	200	1.04
		07/14/11	400	54 9349	21,973.96	47.9700	19,188.00	(2,785 96)	200	1.04
Subtotal			*1,700*		*95,444 52*		*81,549 00*	*(13,895 52)*	*850*	*1 04*
STATE STREET CORP	STT	10/20/11	1,200	38 0000	45,600.00	40.3100	48,372 00	2,772.00	865	1.78
		10/21/11	1,200	38.6072	46,328.64	40.3100	48,372 00	2,043 36	865	1.78
		10/26/11	500	38.7980	19,399.00	40.3100	20,155.00	756.00	361	1 78
		12/16/11	200	40 1100	8,022.00	40.3100	8,062.00	40.00	144	1.78
		12/19/11	200	40.1500	8,030 00	40.3100	8,062 00	32.00	144	1.78
Subtotal			*3,300*		*127,379 64*		*133,023 00*	*5,643.36*	*2,379*	*1 78*
STRYKER CORP	SYK	03/20/09	400	31.6264	12,650.56	49.7100	19,884 00	7,233.44	340	1.70
		04/14/09	400	36.1319	14,452.76	49.7100	19,884.00	5,431.24	340	1.70
		04/15/09	200	35.9000	7,180.00	49 7100	9,942.00	2,762.00	170	1.70
		07/31/09	200	39.1809	7,836.18	49.7100	9,942.00	2,105.82	170	1.70
		09/29/09	200	45 5800	9,116.00	49.7100	9,942.00	826.00	170	1.70
		09/30/09	100	45.3033	4,530.33	49 7100	4,971.00	440 67	85	1.70
		06/16/10	100	52.0088	5,200.88	49.7100	4,971 00	(229 88)	85	1.70

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ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
STRYKER CORP	SYK	07/27/10	300	47 6449	14,293 47	49.7100	14,913.00	619 53	255	1.70
		08/25/10	200	43.4300	8,686.00	49.7100	9,942 00	1,256.00	170	1.70
		04/20/11	100	58.4654	5,846 54	49.7100	4,971.00	(875 54)	85	1.70
		04/21/11	200	58.4389	11,687.78	49 7100	9,942.00	(1,745 78)	170	1.70
		04/28/11	100	59.2300	5,923.00	49.7100	4,971.00	(952.00)	85	1.70
		04/29/11	200	59.2330	11,846.60	49.7100	9,942.00	(1,904.60)	170	1.70
		08/01/11	100	54.1385	5,413.85	49.7100	4,971.00	(442.85)	85	1.70
		11/17/11	500	47.7998	23,899.90	49 7100	24,855.00	955.10	425	1.70
		11/18/11	400	47.7595	19,103 80	49 7100	19,884.00	780 20	340	1.70
		12/23/11	200	49.8700	9,974.00	49 7100	9,942.00	(32.00)	170	1.70
Subtotal			*3,900*		*177,641.65*		*193,869 00*	*16,227.35*	*3,315*	*1 70*
SUNTRUST BKS INC COM	STI	05/24/10	300	25.8635	7,759.05	17.7000	5,310.00	(2,449 05)	60	1.12
		06/25/10	300	25 1360	7,540.80	17.7000	5,310.00	(2,230 80)	60	1 12
		11/01/10	1,900	24 3752	46,312 88	17.7000	33,630.00	(12,682.88)	380	1.12
		03/21/11	1,500	30.2183	45,327.45	17 7000	26,550.00	(18,777 45)	300	1 12
		07/07/11	1,100	26.2999	28,929.89	17.7000	19,470 00	(9,459 89)	220	1.12
		10/24/11	1,200	19 4799	23,375.88	17.7000	21,240.00	(2,135.88)	240	1.12
		10/25/11	1,100	19.2750	21,202 50	17.7000	19,470 00	(1,732 50)	220	1 12
		11/09/11	200	18.7494	3,749.88	17.7000	3,540.00	(209.88)	40	1.12
		11/10/11	300	19 0083	5,702.49	17.7000	5,310.00	(392 49)	60	1.12
		11/10/11	500	18 8650	9,432.50	17.7000	8,850.00	(582.50)	100	1.12
		11/18/11	1,200	18 0049	21,605.88	17.7000	21,240.00	(365.88)	240	1.12
		12/20/11	1,300	16.6899	21,696 87	17 7000	23,010.00	1,313.13	260	1.12
Subtotal			*10,900*		*242,636 07*		*192,930.00*	*(49,706 07)*	*2,180*	*1 12*
TE CONNECTIVITY LTD	TEL	12/03/10	100	32.9877	3,298 77	30 8100	3,081.00	(217 77)	72	2.33
REG SHS		12/08/10	500	33.3475	16,673.75	30 8100	15,405.00	(1,268 75)	360	2 33
		12/14/10	700	34.1133	23,879.31	30.8100	21,567.00	(2,312 31)	504	2.33
		01/12/11	400	36 1989	14,479 56	30.8100	12,324.00	(2,155.56)	288	2 33
		04/20/11	300	35 7200	10,716.00	30 8100	9,243.00	(1,473 00)	216	2 33
		04/21/11	500	34 8682	17,434 10	30.8100	15,405.00	(2,029.10)	360	2.33

ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TE CONNECTIVITY LTD	TEL	06/29/11	300	35.9262	10,777 86	30.8100	9,243.00	(1,534 86)	216	2.33
		06/30/11	200	37.0000	7,400.00	30.8100	6,162.00	(1,238 00)	144	2.33
		09/09/11	1,200	28.3345	34,001.40	30.8100	36,972.00	2,970.60	864	2.33
Subtotal			*4,200*		*138,660 75*		*129,402 00*	*(9,258.75)*	*3,024*	*2 33*
TYCO INTL LTD NAMEN-AKT	TYC	12/09/09	200	35.1078	7,021.56	46.7100	9,342.00	2,320.44	200	2.14
		01/12/10	200	36.9532	7,390.65	46.7100	9,342 00	1,951.35	200	2.14
		01/13/10	200	37.0241	7,404.82	46.7100	9,342.00	1,937.18	200	2.14
		02/09/10	400	34 5564	13,822 56	46.7100	18,684.00	4,861 44	401	2.14
		05/06/10	600	37.1926	22,315.59	46.7100	28,026.00	5,710 41	601	2.14
		05/10/10	800	37.4997	29,999.78	46.7100	37,368.00	7,368.22	801	2.14
		05/11/10	1,100	38.1269	41,939.61	46.7100	51,381.00	9,441.39	1,101	2.14
		05/12/10	300	39.6212	11,886.36	46.7100	14,013.00	2,126.64	300	2 14
		05/20/10	300	36.1117	10,833.53	46 7100	14,013.00	3,179.47	300	2.14
		10/05/10	300	37.3973	11,219.19	46 7100	14,013.00	2,793.81	300	2.14
		10/06/10	400	37.3366	14,934 64	46 7100	18,684.00	3,749.36	401	2.14
		10/07/10	500	36.7634	18,381.71	46.7100	23,355 00	4,973.29	501	2.14
		10/08/10	600	37.1684	22,301 05	46.7100	28,026.00	5,724.95	601	2.14
		10/11/10	600	37.0384	22,223 07	46.7100	28,026.00	5,802.93	601	2.14
		10/12/10	600	37 0135	22,208.11	46.7100	28,026 00	5,817.89	601	2.14
		10/19/10	600	37.1932	22,315.97	46.7100	28,026.00	5,710.03	601	2.14
		12/02/10	300	40 4880	12,146.40	46.7100	14,013.00	1,866.60	300	2.14
		12/03/10	200	40.7209	8,144.19	46.7100	9,342.00	1,197.81	200	2.14
		05/25/11	200	48.2392	9,647.85	46.7100	9,342 00	(305 85)	200	2.14
		05/26/11	200	48.1499	9,629.99	46 7100	9,342.00	(287 99)	200	2 14
		05/27/11	300	48.6382	14,591 48	46.7100	14,013 00	(578 48)	300	2.14
		06/29/11	300	48.6999	14,609 99	46 7100	14,013.00	(596 99)	300	2.14
		08/03/11	300	42 9980	12,899.40	46.7100	14,013 00	1,113 60	300	2 14
		08/04/11	500	41.7323	20,866.15	46.7100	23,355.00	2,488 85	501	2.14

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ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TYCO INTL LTD NAMEN-AKT	TYC	08/08/11	250	39 3782	9,844 55	46 7100	11,677 50	1,832 95	250	2.14
		08/11/11	300	40 8106	12,243 18	46 7100	14,013.00	1,769.82	300	2.14
		12/14/11	100	44 9000	4,490 00	46 7100	4,671.00	181.00	100	2.14
Subtotal			*10,650*		*415,311 38*		*497,461 50*	*82,150.12*	*10,661*	*2.14*
WESTERN UN CO	WU	03/10/10	600	16 3850	9,831 00	18 2600	10,956 00	1,125.00	192	1.75
		03/16/10	900	16.3856	14,747.04	18 2600	16,434 00	1,686 96	289	1.75
		07/21/10	500	15 6700	7,835 00	18 2600	9,130 00	1,295 00	160	1.75
		08/09/10	500	16 5405	8,270 25	18 2600	9,130 00	859.75	160	1.75
		08/11/10	600	16 1100	9,666 00	18 2600	10,956 00	1,290 00	192	1 75
		09/14/10	600	16 9797	10,187 82	18 2600	10,956 00	768 18	192	1.75
		09/15/10	600	17.0700	10,242 00	18 2600	10,956.00	714 00	192	1.75
		12/22/10	600	18 3800	11,028 00	18 2600	10,956 00	(72 00)	192	1.75
		05/09/11	800	20 9349	16,747.92	18 2600	14,608 00	(2,139 92)	257	1.75
		06/30/11	700	20.1400	14,098 00	18.2600	12,782 00	(1,316 00)	224	1.75
		08/03/11	1,600	18 7248	29,959 68	18 2600	29,216 00	(743 68)	513	1.75
		08/10/11	1,000	16.6848	16,684 80	18 2600	18,260 00	1,575 20	321	1 75
		09/26/11	1,400	15 2599	21,363 86	18 2600	25,564 00	4,200 14	449	1.75
		10/11/11	800	16 4662	13,172 96	18 2600	14,608 00	1,435 04	257	1.75
		10/24/11	500	17 5100	8,755 00	18 2600	9,130 00	375 00	160	1 75
Subtotal			*11,700*		*202,589 33*		*213,642 00*	*11,052.67*	*3,750*	*1 75*
WILLIS GROUP HOLDINGS *PUBLIC LIMITED COMPANY*	WSH	01/31/11	300	37 4602	11,238 06	38 8000	11,640 00	401 94	312	2.68
		02/01/11	1,100	37 5315	41,284 65	38 8000	42,680 00	1,395 35	1,144	2.68
		03/14/11	500	39 2107	19,605 35	38 8000	19,400.00	(205 35)	520	2.68
		03/16/11	600	38 8963	23,337 78	38 8000	23,280 00	(57 78)	624	2 68
		05/24/11	200	39 6000	7,920 00	38 8000	7,760 00	(160 00)	208	2.68
		05/25/11	200	39 5480	7,909.60	38.8000	7,760.00	(149 60)	208	2 68
		06/23/11	900	39 7516	35,776 44	38 8000	34,920 00	(856 44)	936	2.68
Subtotal			*3,800*		*147,071.88*		*147,440 00*	*368 12*	*3,952*	*2 68*

ATTACHMENT A

Cramer Rosenthal SPA

Account Number: XXX-0285C

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
XCEL ENERGY INC	XEL	09/13/11	1,900	24 5138	46,576.22	27.6200	52,478 00	5,901 78	1,977	3.76
		09/14/11	900	24 4047	21,964.23	27.6200	24,858 00	2,893 77	936	3.76
		09/21/11	800	25 0460	20,036 80	27 6200	22,096 00	2,059 20	832	3.76
		09/22/11	500	24 3550	12,177.50	27.6200	13,810 00	1,632 50	520	3.76
		09/23/11	1,000	24 6161	24,616 10	27 6200	27,620.00	3,003 90	1,040	3.76
		10/12/11	400	24 6000	9,840.00	27 6200	11,048 00	1,208 00	416	3.76
Subtotal			*5,500*		*135,210.85*		*151,910 00*	*16,699 15*	*5,721*	3 76
XL GROUP PLC SHS	XL	03/02/11	600	22 3500	13,410 00	19 7700	11,862 00	(1,548 00)	264	2.22
		03/03/11	200	22 9900	4,598 00	19 7700	3,954 00	(644.00)	88	2 22
		03/04/11	400	22 8554	9,142 16	19 7700	7,908 00	(1,234 16)	176	2 22
		05/11/11	1,200	23 5980	28,317 60	19 7700	23,724 00	(4,593 60)	528	2.22
		05/23/11	300	23 3900	7,017 00	19 7700	5,931.00	(1,086 00)	132	2.22
		05/24/11	200	23 4008	4,680 16	19.7700	3,954 00	(726.16)	88	2.22
		05/31/11	700	23 6897	16,582 79	19 7700	13,839 00	(2,743 79)	308	2.22
		06/01/11	600	23 2175	13,930.50	19 7700	11,862 00	(2,068 50)	264	2.22
		06/14/11	500	21 7300	10,865 00	19 7700	9,885.00	(980 00)	220	2.22
		06/23/11	700	21 3230	14,926.10	19 7700	13,839 00	(1,087 10)	308	2.22
		07/20/11	900	21 3019	19,171 71	19 7700	17,793 00	(1,378.71)	396	2.22
		07/21/11	900	21 6411	19,476 99	19 7700	17,793 00	(1,683 99)	396	2.22
		09/09/11	400	19 3395	7,735 80	19.7700	7,908 00	172.20	176	2.22
		10/11/11	700	19 4974	13,648 18	19 7700	13,839 00	190 82	308	2.22
		11/21/11	800	19 3441	15,475 28	19 7700	15,816 00	340 72	352	2.22
Subtotal			*9,100*		*198,977 27*		*179,907 00*	*(19,070 27)*	*4,004*	2 22
TOTAL					8,653,940 30		8,609,053 90	(44,886 40)	169,728	1.97

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Snow Capital Acct

Account Number: XXX-02852

December 01, 2011 - December 30, 2011

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	457.66	457.66		457.66		
ML BANK DEPOSIT PROGRAM	207,955.00	207,955.00	1.0000	207,955 00	416	.20
TOTAL		208,412 66		208,412 66	416	.20

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
AECOM TECH CORP	ACM	08/18/11	3,415	18.9082	64,571.84	20.5700	70,246.55	5,674.71		
		09/13/11	2,470	21.1627	52,271.87	20 5700	50,807.90	(1,463.97)		
		11/17/11	3,325	20.9500	69,659 08	20.5700	68,395.25	(1,263 83)		
Subtotal			*9,210*		*186,502.79*		*189,449 70*	*2,946.91*		

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Snow Capital Acct

Account Number: XXX-02852

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ALLSTATE CORP DEL COM	ALL	01/30/09	1,680	21.4228	35,990.47	27.4100	46,048.80	10,058.33	1,412	3.06
		02/25/09	2,195	17 2881	37,947 38	27.4100	60,164.95	22,217.57	1,844	3.06
		03/27/09	895	20.4896	18,338.28	27 4100	24,531.95	6,193.67	752	3.06
		03/30/09	810	19.4506	15,754.99	27 4100	22,202.10	6,447.11	681	3.06
Subtotal			*5,580*		*108,031 12*		*152,947.80*	*44,916 68*	*4,689*	*3.06*
AMER EAGLE OUTFITTERS	AEO	09/01/11	5,680	11 0062	62,515.22	15 2900	86,847 20	24,331.98	2,500	2.87
		09/16/11	2,990	11.5065	34,404.73	15.2900	45,717 10	11,312.37	1,316	2.87
		09/19/11	2,735	11.2866	30,869.12	15.2900	41,818.15	10,949.03	1,204	2.87
Subtotal			*11,405*		*127,789 07*		*174,382.45*	*46,593.38*	*5,020*	*2 87*
AMGEN INC COM PV $0.0001	AMGN	01/24/08	2,800	46 0071	128,819 89	64.2100	179,788.00	50,968 11	4,032	2.24
		02/25/11	795	52 2266	41,520.15	64.2100	51,046.95	9,526 80	1,145	2.24
Subtotal			*3,595*		*170,340.04*		*230,834 95*	*60,494 91*	*5,177*	*2.24*
ARCHER DANIELS MIDLD	ADM	11/29/10	2,160	28.8280	62,268.48	28.6000	61,776.00	(492 48)	1,513	2.44
		12/21/10	2,310	30.2190	69,805 89	28 6000	66,066.00	(3,739 89)	1,618	2.44
		02/11/11	1,360	36.1375	49,147 00	28.6000	38,896.00	(10,251 00)	953	2.44
		06/14/11	875	30 1274	26,361.48	28.6000	25,025.00	(1,336 48)	613	2.44
		09/01/11	600	28 5891	17,153.46	28.6000	17,160 00	6.54	421	2.44
Subtotal			*7,305*		*224,736 31*		*208,923 00*	*(15,813 31)*	*5,118*	*2 44*
ASPEN INSURANCE HLDS LTD	AHL	08/09/11	2,665	24.2150	64,532.98	26.5000	70,622.50	6,089.52	1,600	2.26
		08/18/11	2,135	24.9248	53,214 45	26.5000	56,577 50	3,363 05	1,282	2.26
Subtotal			*4,800*		*117,747.43*		*127,200 00*	*9,452 57*	*2,882*	*2 26*
BARNES GROUP INC DE $ 01	B	02/23/11	1,650	20.4875	33,804.38	24.1100	39,781.50	5,977.12	661	1.65
		02/24/11	1,800	20 7278	37,310.04	24.1100	43,398.00	6,087 96	721	1.65
		05/02/11	1,895	24.5336	46,491.36	24 1100	45,688.45	(802 91)	759	1.65
		05/03/11	1,270	24.8232	31,525 59	24.1100	30,619.70	(905 89)	509	1 65
		06/14/11	970	23.0658	22,373.92	24.1100	23,386.70	1,012.78	389	1.65
		08/10/11	720	21 1424	15,222.53	24.1100	17,359.20	2,136 67	289	1.65
Subtotal			*8,305*		*186,727 82*		*200,233 55*	*13,505.73*	*3,328*	*1.65*

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Snow Capital Acct

Account Number: XXX-02852

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BIG LOTS INC COM	BIG	09/01/11	1,850	33.8409	62,605.67	37.7600	69,856.00	7,250 33		
		09/16/11	2,100	33.7067	70,784.07	37.7600	79,296.00	8,511.93		
		09/22/11	1,405	32.2963	45,376.44	37.7600	53,052.80	7,676.36		
Subtotal			*5,355*		*178,766 18*		*202,204.80*	*23,438.62*		
BOEING COMPANY	BA	11/04/09	1,170	48 5940	56,854 98	73.3500	85,819.50	28,964.52	2,060	2.39
		01/05/10	910	57.5209	52,344.02	73.3500	66,748.50	14,404 48	1,602	2.39
		06/14/10	385	65.8600	25,356 10	73.3500	28,239 75	2,883.65	678	2.39
		08/08/11	615	60.3886	37,138.99	73.3500	45,110.25	7,971.26	1,083	2.39
Subtotal			*3,080*		*171,694 09*		*225,918 00*	*54,223 91*	*5,423*	*2 39*
CHESAPEAKE ENERGY OKLA	CHK	10/07/10	2,710	22 4058	60,719 72	22.2900	60,405.90	(313 82)	949	1.57
		11/04/10	2,595	22.0737	57,281.51	22.2900	57,842.55	561.04	909	1.57
		12/21/10	1,055	25.3054	26,697.20	22.2900	23,515 95	(3,181.25)	370	1 57
		02/11/11	470	30.5090	14,339.23	22.2900	10,476.30	(3,862 93)	165	1.57
Subtotal			*6,830*		*159,037 66*		*152,240.70*	*(6,796 96)*	*2,393*	*1.57*
COMMUNITY HEALTH SYS NEW	CYH	05/05/11	1,695	29 8940	50,670 33	17.4500	29,577.75	(21,092.58)		
		05/06/11	865	30.2043	26,126.72	17.4500	15,094.25	(11,032.47)		
		06/13/11	925	25.7632	23,831.05	17 4500	16,141.25	(7,689.80)		
		06/14/11	375	26.2606	9,847.73	17.4500	6,543.75	(3,303 98)		
		08/04/11	1,760	22.8391	40,196 82	17 4500	30,712 00	(9,484 82)		
		08/10/11	3,695	19.0255	70,299.59	17.4500	64,477 75	(5,821 84)		
Subtotal			*9,315*		*220,972 24*		*162,546 75*	*(58,425 49)*		
GENERAL ELECTRIC	GE	05/18/06	4,870	34.4710	167,873.77	17.9100	87,221.70	(80,652 07)	3,312	3.79
		09/24/07	225	41.2800	9,288.00	17.9100	4,029.75	(5,258 25)	153	3.79
		01/28/08	760	34.4415	26,175.54	17.9100	13,611.60	(12,563 94)	517	3 79
		04/23/08	2,420	32.5533	78,778.99	17 9100	43,342.20	(35,436 79)	1,646	3 79
		10/24/08	735	17.7177	13,022 51	17 9100	13,163 85	141.34	500	3.79
Subtotal			*9,010*		*295,138 81*		*161,369 10*	*(133,769 71)*	*6,128*	*3.79*

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Snow Capital Acct

Account Number. XXX-02852

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
HARTFORD FINL SVCS GROUP	HIG	03/14/11	2,080	26.7017	55,539.54	16.2500	33,800.00	(21,739.54)	832	2.46
		04/20/11	805	27.2555	21,940.68	16.2500	13,081.25	(8,859.43)	322	2.46
		05/04/11	880	28.2095	24,824.36	16.2500	14,300 00	(10,524 36)	352	2.46
		08/10/11	1,805	18.9935	34,283 27	16.2500	29,331.25	(4,952.02)	722	2.46
Subtotal			*5,570*		*136,587.85*		*90,512.50*	*(46,075 35)*	*2,228*	*2.46*
HEALTH NET INC	HNT	06/20/05	2,180	37.5200	81,793.60	30.4200	66,315.60	(15,478.00)		
		08/03/05	1,965	40.1000	78,796.50	30.4200	59,775.30	(19,021 20)		
		03/12/08	180	34.5877	6,225.79	30.4200	5,475 60	(750 19)		
		05/01/08	2,490	28.3334	70,550.17	30.4200	75,745.80	5,195.63		
		10/22/08	550	16.9770	9,337.35	30.4200	16,731.00	7,393.65		
		08/10/11	730	21.9805	16,045 77	30.4200	22,206.60	6,160 83		
Subtotal			*8,095*		*262,749 18*		*246,249.90*	*(16,499 28)*		
HOSPIRA INC	HSP	12/12/11	2,280	27.8053	63,396 31	30.3700	69,243 60	5,847.29		
INTL PAPER CO	IP	11/30/10	2,510	24.9652	62,662.90	29.6000	74,296.00	11,633 10	2,636	3.54
		03/10/11	2,965	25.7689	76,404.79	29 6000	87,764 00	11,359.21	3,114	3 54
		06/17/11	685	26.7981	18,356.70	29.6000	20,276 00	1,919 30	720	3.54
		08/10/11	995	25.2525	25,126.24	29 6000	29,452 00	4,325.76	1,045	3.54
Subtotal			*7,155*		*182,550 63*		*211,788 00*	*29,237.37*	*7,515*	*3.54*
JPMORGAN CHASE & CO	JPM	08/03/05	2,050	35 5500	72,877.51	33.2500	68,162.50	(4,715.01)	2,050	3.00
		03/17/08	3,140	39 4190	123,775.66	33 2500	104,405.00	(19,370.66)	3,140	3.00
		10/15/10	855	37.3494	31,933 74	33.2500	28,428.75	(3,504.99)	855	3.00
		09/01/11	145	37.3275	5,412.49	33.2500	4,821.25	(591 24)	145	3 00
Subtotal			*6,190*		*233,999 40*		*205,817 50*	*(28,181 90)*	*6,190*	*3 00*
KINDRED HEALTHCARE INC	KND	08/10/11	5,060	12.5283	63,393.70	11 7700	59,556.20	(3,837 50)		
		08/18/11	3,930	13 0080	51,121.44	11.7700	46,256.10	(4,865 34)		
Subtotal			*8,990*		*114,515 14*		*105,812 30*	*(8,702 84)*		
LIFEPOINT HOSPS INC COM	LPNT	10/30/09	1,475	28 3786	41,858.58	37.1500	54,796 25	12,937.67		
		03/24/10	1,135	37.2945	42,329.37	37.1500	42,165 25	(164 12)		
Subtotal			*2,610*		*84,187 95*		*96,961 50*	*12,773 55*		

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Snow Capital Acct

Account Number: XXX-02852

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
MACYS INC	M 08/21/09	3,460	15.3938	53,262 89	32.1800	111,342.80	58,079.91	1,384	1.24
	11/06/09	2,530	19.1510	48,452.03	32.1800	81,415.40	32,963.37	1,012	1.24
	05/10/10	1,475	23.7749	35,067 98	32.1800	47,465.50	12,397.52	590	1.24
Subtotal		*7,465*		*136,782.90*		*240,223 70*	*103,440 80*	*2,986*	*1.24*
METLIFE INC COM	MET 12/12/08	1,825	29.1555	53,208 79	31.1800	56,903.50	3,694.71	1,351	2.37
	01/09/09	900	32 0411	28,836.99	31.1800	28,062.00	(774 99)	666	2.37
	01/15/09	1,430	26.7376	38,234.91	31.1800	44,587.40	6,352.49	1,059	2.37
	08/08/11	1,400	33.7906	47,306.84	31.1800	43,652.00	(3,654 84)	1,036	2.37
Subtotal		*5,555*		*167,587.53*		*173,204.90*	*5,617.37*	*4,112*	*2.37*
PATTERSON UTI ENERGY INC	PTEN 10/16/08	2,090	11.6015	24,247 14	19 9800	41,758 20	17,511.06	418	1.00
	10/14/11	4,715	19 3006	91,002.80	19.9800	94,205.70	3,202.90	943	1.00
	12/12/11	2,325	19 7802	45,988.97	19.9800	46,453.50	464.53	465	1.00
Subtotal		*9,130*		*161,238 91*		*182,417 40*	*21,178.49*	*1,826*	*1 00*
PFIZER INC	PFE 08/03/05	1,170	26.8100	31,367.70	21.6400	25,318.80	(6,048 90)	1,030	4.06
	09/24/07	2,355	24.6318	58,008.12	21.6400	50,962.20	(7,045 92)	2,073	4.06
	02/25/11	1,395	18.8398	26,281.66	21.6400	30,187 80	3,906.14	1,228	4.06
Subtotal		*4,920*		*115,657.48*		*106,468 80*	*(9,188 68)*	*4,331*	*4.06*
PNC FINCL SERVICES GROUP	PNC 06/19/09	1,240	39.7685	49,313.06	57.6700	71,510.80	22,197.74	1,736	2.42
	10/29/09	900	51.2037	46,083 33	57.6700	51,903.00	5,819.67	1,260	2.42
	10/15/10	655	51.2809	33,588.99	57.6700	37,773.85	4,184.86	917	2.42
	08/08/11	955	48 8885	46,688 61	57 6700	55,074.85	8,386.24	1,337	2.42
Subtotal		*3,750*		*175,673 99*		*216,262 50*	*40,588.51*	*5,250*	*2.42*
PRUDENTIAL FINANCIAL INC	PRU 06/22/09	1,350	36.3102	49,018.77	50.1200	67,662.00	18,643.23	1,958	2 89
	10/06/09	765	50.2186	38,417.23	50 1200	38,341.80	(75.43)	1,110	2 89
	11/10/09	1,500	47.4507	71,176.05	50.1200	75,180.00	4,003.95	2,176	2.89
Subtotal		*3,615*		*158,612 05*		*181,183 80*	*22,571 75*	*5,244*	*2.89*

ATTACHMENT A

Snow Capital Acct

Account Number. XXX-02852

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SAFEWAY INC NEW	SWY	07/28/09	2,725	18.8362	51,328.65	21.0400	57,334.00	6,005.35	1,581	2.75
		10/19/09	2,335	23.4528	54,762.29	21.0400	49,128.40	(5,633.89)	1,355	2.75
		02/25/11	565	21.5115	12,154.00	21 0400	11,887.60	(266 40)	328	2.75
Subtotal			*5,625*		*118,244 94*		*118,350 00*	*105.06*	*3,264*	*2 75*
SPIRIT AEROSYSTEMS HLDGS INC	SPR	06/30/11	3,355	22.0063	73,831 47	20.7800	69,716.90	(4,114 57)		
		08/04/11	3,665	18 3658	67,310 66	20 7800	76,158 70	8,848.04		
		08/08/11	3,455	15.5767	53,817.50	20.7800	71,794.90	17,977.40		
Subtotal			*10,475*		*194,959 63*		*217,670 50*	*22,710.87*		
TEREX CORP DEL NEW COM	TEX	02/11/10	490	19.0080	9,313.92	13.5100	6,619.90	(2,694.02)		
		02/12/10	720	18.7146	13,474 58	13.5100	9,727.20	(3,747 38)		
		04/30/10	1,195	26.8956	32,140 36	13.5100	16,144 45	(15,995.91)		
		06/04/10	3,390	21.2956	72,192 42	13.5100	45,798.90	(26,393 52)		
		06/14/11	2,115	26.0868	55,173.79	13 5100	28,573 65	(26,600 14)		
		08/10/11	3,005	16.0606	48,262.40	13 5100	40,597.55	(7,664 85)		
		10/05/11	1,915	11.0505	21,161.71	13 5100	25,871.65	4,709.94		
Subtotal			*12,830*		*251,719.18*		*173,333 30*	*(78,385.88)*		
TEVA PHARMACTCL INDS ADR	TEVA	04/07/11	1,515	50.8298	77,007.15	40.3600	61,145.40	(15,861 75)	1,188	1 94
		04/21/11	795	45.9045	36,494.08	40.3600	32,086 20	(4,407 88)	624	1.94
		05/02/11	885	47.1503	41,728.10	40.3600	35,718.60	(6,009 50)	694	1 94
		08/04/11	775	41 5928	32,234.42	40 3600	31,279.00	(955 42)	608	1.94
		12/12/11	795	40.7271	32,378.12	40.3600	32,086 20	(291 92)	624	1.94
Subtotal			*4,765*		*219,841 87*		*192,315 40*	*(27,526 47)*	*3,738*	*1 94*
TEXAS INSTRUMENTS	TXN	10/10/08	2,575	18.6642	48,060.57	29.1100	74,958.25	26,897.68	1,752	2.33
		02/05/09	740	16.8759	12,488 17	29 1100	21,541.40	9,053.23	504	2.33
		04/21/09	3,265	17 4338	56,921.68	29.1100	95,044.15	38,122.47	2,221	2.33
Subtotal			*6,580*		*117,470 42*		*191,543.80*	*74,073 38*	*4,477*	*2 33*

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ATTACHMENT A

Snow Capital Acct

Account Number: XXX-02852

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
VALERO ENERGY CORP NEW	VLO	04/14/10	3,215	20.1897	64,909.89	21.0500	67,675.75	2,765.86	1,930	2.85
		04/30/10	2,585	21.0608	54,442.17	21 0500	54,414.25	(27 92)	1,552	2.85
		05/10/10	2,910	19 5489	56,887.30	21.0500	61,255.50	4,368.20	1,747	2.85
Subtotal			*8,710*		*176,239 36*		*183,345.50*	*7,106.14*	*5,229*	*2 85*
WAL-MART STORES INC	WMT	08/18/11	2,470	51.3175	126,754.47	59.7600	147,607 20	20,852.73	3,607	2.44
		09/22/11	945	50.6948	47,906.59	59.7600	56,473 20	8,566.61	1,380	2.44
Subtotal			*3,415*		*174,661 06*		*204,080 40*	*29,419.34*	*4,987*	*2.44*
WELLS FARGO & CO NEW DEL	WFC	10/15/08	1,990	34.0595	67,778.41	27.5600	54,844.40	(12,934 01)	955	1.74
		10/27/08	1,680	32.0698	53,877.43	27.5600	46,300.80	(7,576 63)	807	1.74
		11/07/08	400	27.0200	10,808.00	27.5600	11,024.00	216.00	192	1.74
		11/07/08	220	27.0000	5,940.00	27.5600	6,063.20	123 20	106	1.74
		02/05/09	655	16.3103	10,683.25	27.5600	18,051.80	7,368.55	315	1.74
		02/25/11	755	32 6567	24,655.88	27 5600	20,807.80	(3,848 08)	363	1.74
Subtotal			*5,700*		*173,742 97*		*157,092 00*	*(16,650.97)*	*2,738*	*1.74*
WHIRLPOOL CORP	WHR	11/15/11	1,195	53.1366	63,498.24	47.4500	56,702.75	(6,795 49)	2,391	4.21
		12/12/11	1,430	48.7412	69,699 92	47 4500	67,853.50	(1,846 42)	2,861	4.21
Subtotal			*2,625*		*133,198 16*		*124,556 25*	*(8,641 91)*	*5,252*	*4 21*
TOTAL					5,701,100.47		5,876,684 35	175,583.88	109,525	1 86

ATTACHMENT A

Wm Blair Consults

Account Number: xxx-02853

MERRILL LYNCH CONSULTS SERVICE

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est Annual Yield%
CASH	167.65	167.65		167.65		
ML BANK DEPOSIT PROGRAM	59,353.00	59,353.00	1.0000	59,353.00	119	20
TOTAL		59,520 65		59,520 65	119	.20

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ABB LTD SPON ADR	ABB	10/11/06	819	13.7294	11,244 38	18 8300	15,421.77	4,177.39	939	6.08
		08/29/08	335	24.8436	8,322.61	18.8300	6,308 05	(2,014 56)	384	6.08
		09/03/08	47	24.3687	1,145.33	18.8300	885 01	(260.32)	54	6.08
		09/04/08	281	23.6350	6,641.46	18 8300	5,291.23	(1,350 23)	323	6.08
Subtotal			*1,482*		*27,353 78*		*27,906 06*	*552 28*	*1,700*	*6 08*

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ATTACHMENT A

Wm Blair Consults

Account Number. xxx-02853

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ACCENTURE PLC SHS	ACN	10/01/08	338	36 4732	12,327 97	53.2300	17,991.74	5,663 77	457	2.53
		10/13/08	221	32.4441	7,170.15	53 2300	11,763 83	4,593.68	299	2.53
		07/29/11	269	59 4807	16,000.31	53.2300	14,318.87	(1,681 44)	364	2.53
Subtotal			*828*		*35,498 43*		*44,074 44*	*8,576 01*	*1,120*	*2.53*
AIRLIQUIDE ADR	AIQUY	02/02/11	644	26.0993	16,807 95	24 6000	15,842 40	(965 55)	302	1.90
ANHEUSER-BUSCH INBEV ADR	BUD	11/23/10	577	59 1268	34,116 22	60 9900	35,191 23	1,075 01	560	1.59
		11/24/10	73	59 0353	4,309 58	60 9900	4,452 27	142 69	71	1.59
		03/08/11	231	57.2174	13,217.22	60 9900	14,088.69	871 47	225	1.59
Subtotal			*881*		*51,643 02*		*53,732 19*	*2,089 17*	*856*	*1.59*
ASML HLDG N V. NEW YORK *REGISTRY SHAR*	ASML	10/14/11	1,159	39.7501	46,070.48	41 7900	48,434 61	2,364 13	577	1.18
AXA -SPONS ADR	AXAHY	10/14/09	169	28.4724	4,811.85	12 8600	2,173 34	(2,638 51)	142	6.51
		10/15/09	841	28.5269	23,991 18	12 8600	10,815.26	(13,175 92)	705	6.51
		03/19/10	449	21.3567	9,589 20	12 8600	5,774 14	(3,815 06)	377	6.51
		01/19/11	508	19.6002	9,956 95	12 8600	6,532.88	(3,424 07)	426	6.51
Subtotal			*1,967*		*48,349.18*		*25,295 62*	*(23,053 56)*	*1,650*	*6 51*
BASF SE SPONSORED ADR	BASFY	02/02/11	278	80.2296	22,303 83	69 7300	19,384.94	(2,918 89)	638	3.28
		05/06/11	81	95 0509	7,699 13	69 7300	5,648 13	(2,051.00)	186	3.28
Subtotal			*359*		*30,002 96*		*25,033.07*	*(4,969 89)*	*824*	*3.28*
BG GROUP PLC SPON ADR	BRGYY	05/24/05	552	38 3693	21,179 88	107 0000	59,064 00	37,884.12	624	1.05
BHP BILLITON LTD ADR	BHP	06/26/09	1	54 3400	54 34	70 6300	70 63	16.29	3	2.86
		12/22/09	142	73 2528	10,401 91	70 6300	10,029 46	(372 45)	287	2.86
		03/11/10	135	78 3342	10,575 13	70 6300	9,535 05	(1,040.08)	273	2.86
		11/23/10	217	83 3503	18,087 02	70 6300	15,326 71	(2,760 31)	439	2.86
		01/20/11	145	87 3271	12,662 43	70 6300	10,241.35	(2,421 08)	293	2.86
		04/18/11	163	97 2688	15,854 83	70 6300	11,512.69	(4,342 14)	330	2.86
Subtotal			*803*		*67,635.66*		*56,715 89*	*(10,919 77)*	*1,625*	*2 86*
BNP PARIBAS SPONSORD ADR	BNPQY	10/19/11	1,975	21 8125	43,079 69	19 6500	38,808 75	(4,270.94)	2,187	5.63

ATTACHMENT A

Merrill Lynch Wealth Management
Bank of America Corporation

PRIVATE BANKING & INVESTMENT GROUP

Wm Blair Consults

Account Number: xxx-02853

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
BROOKFIELD ASSET MGMT	BAM	04/26/07	168	38.0692	6,395.64	27.4800	4,616.64	(1,779.00)	88	1.89
INC CL A		04/27/07	366	38 0300	13,918.98	27.4800	10,057.68	(3,861 30)	191	1.89
		08/08/07	523	34.5030	18,045.12	27.4800	14,372.04	(3,673.08)	272	1.89
		11/30/07	194	35.9689	6,977.97	27.4800	5,331.12	(1,646 85)	101	1.89
		12/03/07	383	36 1591	13,848.94	27.4800	10,524.84	(3,324 10)	200	1.89
		09/03/08	40	31 6487	1,265 95	27.4800	1,099.20	(166.75)	21	1.89
Subtotal			*1,674*		*60,452 60*		*46,001 52*	*(14,451 08)*	*873*	*1.89*
CANADIAN NATL RAILWAY CO	CNI	05/24/05	383	29.8969	11,450.52	78 5600	30,088.48	18,637.96	490	1.62
		11/06/06	100	48.3807	4,838 07	78 5600	7,856.00	3,017.93	128	1.62
		11/07/06	104	48.5501	5,049.22	78.5600	8,170.24	3,121.02	133	1 62
		09/03/08	31	51 8025	1,605.88	78.5600	2,435.36	829 48	40	1 62
Subtotal			*618*		*22,943 69*		*48,550.08*	*25,606 39*	*791*	*1.62*
CANON INC ADR REP5SH	CAJ	08/25/11	547	45.6234	24,956.00	44 0400	24,089.88	(866.12)	792	3.28
		08/26/11	400	45 7070	18,282 80	44.0400	17,616.00	(666 80)	579	3 28
Subtotal			*947*		*43,238 80*		*41,705.88*	*(1,532 92)*	*1,371*	*3 28*
CENTRICA PLC	CPYYY	08/26/10	1,136	20.1475	22,887.56	17 8200	20,243.52	(2,644 04)	1,050	5.18
SPR ADR NEW		12/14/10	725	20.8064	15,084 64	17.8200	12,919.50	(2,165.14)	670	5.18
		06/15/11	520	20.9921	10,915 94	17.8200	9,266 40	(1,649 54)	481	5 18
		07/27/11	247	21.0900	5,209.23	17 8200	4,401 54	(807.69)	229	5.18
		07/27/11	116	20.9200	2,426.72	17.8200	2,067.12	(359 60)	108	5.18
Subtotal			*2,744*		*56,524 09*		*48,898 08*	*(7,626 01)*	*2,538*	*5 18*
CHECK POINT SOFTWRE TECH	CHKP	04/18/11	682	53.0830	36,202.67	52 5400	35,832.28	(370 39)		
		09/09/11	227	52.9929	12,029.39	52 5400	11,926.58	(102 81)		
Subtotal			*909*		*48,232 06*		*47,758 86*	*(473 20)*		
CHINA UNICOM HONG KONG *LTD*	CHU	09/16/11	1,068	21.8832	23,371.36	21 1300	22,566 84	(804 52)	118	52
COMP DE BEBIDAS SPN ADR	ABV	08/29/11	1,001	33 9490	33,982.95	36.0900	36,126.09	2,143 14	771	2 13
		09/09/11	371	32.4316	12,032.16	36.0900	13,389.39	1,357 23	286	2.13
Subtotal			*1,372*		*46,015 11*		*49,515 48*	*3,500 37*	*1,057*	*2 13*

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ATTACHMENT A

Wm Blair Consults

Account Number xxx-02853

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
COMPASS GROUP PLC ADR	CMPGY	06/06/11	3,743	9.7571	36,520.83	9.4800	35,483.64	(1,037 19)	1,026	2.89
		08/16/11	2,245	8.8740	19,922.13	9.4800	21,282.60	1,360.47	616	2.89
Subtotal			*5,988*		*56,442.96*		*56,766.24*	*323 28*	*1,642*	*2 89*
COPA HOLDINGS S A CL A	CPA	09/03/08	29	41.1479	1,193.29	58.6700	1,701.43	508.14	48	2.79
		09/24/08	262	32.8251	8,600 20	58.6700	15,371.54	6,771 34	430	2.79
		09/25/08	7	33.1814	232.27	58.6700	410.69	178.42	12	2.79
		07/22/10	140	48.5739	6,800.35	58.6700	8,213.80	1,413.45	230	2.79
		09/12/11	86	67.5802	5,811 90	58.6700	5,045.62	(766 28)	142	2.79
Subtotal			*524*		*22,638.01*		*30,743.08*	*8,105 07*	*862*	*2 79*
DEUTSCHE BK AG REG SHS	DB	12/23/11	861	38.9209	33,510.98	37.8600	32,597.46	(913.52)	918	2 81
		12/27/11	62	38.7854	2,404.70	37.8600	2,347.32	(57.38)	67	2.81
Subtotal			*923*		*35,915.68*		*34,944.78*	*(970 90)*	*985*	*2 81*
DIAGEO PLC SPSD ADR NEW	DEO	08/04/11	486	78.1417	37,976.87	87.4200	42,486.12	4,509 25	1,262	2 96
EMBRAER S A SPONSRD ADR	ERJ	02/16/11	817	34.3560	28,068.93	25.2200	20,604.74	(7,464.19)		
		02/17/11	412	34.2042	14,092.17	25.2200	10,390.64	(3,701.53)		
Subtotal			*1,229*		*42,161.10*		*30,995 38*	*(11,165 72)*		
EXPERIAN PLC SP ADR	EXPGY	07/01/09	206	7.6003	1,565.68	13.5700	2,795.42	1,229.74	54	1.91
		07/02/09	1,911	7.4869	14,307.47	13.5700	25,932.27	11,624.80	497	1.91
		07/06/09	1,020	7.3889	7,536.68	13.5700	13,841 40	6,304.72	266	1.91
		07/07/09	304	7 4810	2,274.25	13.5700	4,125.28	1,851.03	80	1.91
		08/03/09	992	8 4039	8,336 67	13.5700	13,461.44	5,124 77	258	1.91
		08/04/09	113	8 4200	951.46	13.5700	1,533.41	581.95	30	1.91
		08/05/09	88	8.5195	749 72	13.5700	1,194.16	444.44	23	1.91
		08/06/09	94	8.4646	795 68	13.5700	1,275.58	479.90	25	1.91
Subtotal			*4,728*		*36,517 61*		*64,158 96*	*27,641 35*	*1,233*	*1 91*
FANUC LTD-UNSP	FANUY	11/02/10	1,538	24.0712	37,021.61	25 3000	38,911 40	1,889 79	571	1.46

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ATTACHMENT A

Wm Blair Consults

Account Number: xxx-02853

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
FRESENIUS MEDICAL CARE	FMS	04/15/11	86	73 5911	6,328 84	67 9800	5,846 28	(482.56)	57	.95
AG & CO SPON ADR		04/18/11	501	72 7240	36,434.77	67.9800	34,057 98	(2,376.79)	327	.95
		04/20/11	136	74.5350	10,136 76	67.9800	9,245 28	(891 48)	89	.95
		04/21/11	39	74 8471	2,919 04	67 9800	2,651.22	(267 82)	26	.95
		09/27/11	111	69 5647	7,721.69	67 9800	7,545 78	(175 91)	73	.95
		09/28/11	152	70 1390	10,661 13	67 9800	10,332 96	(328.17)	100	.95
Subtotal			1,025		74,202 23		69,679.50	(4,522 73)	672	95
GOLDCORP INC	GG	02/20/09	422	31 9233	13,471 67	44 2500	18,673.50	5,201.83	228	1.22
		12/23/09	242	40 4342	9,785 10	44 2500	10,708 50	923 40	131	1.22
		09/09/11	252	55 7267	14,043 15	44.2500	11,151 00	(2,892.15)	137	1.22
Subtotal			916		37,299 92		40,533 00	3,233.08	496	1.22
HSBC HLDG PLC SP ADR	HBC	04/23/09	233	34 1970	7,967 91	38 1000	8,877 30	909 39	455	5.11
		04/24/09	172	34.7626	5,979 18	38 1000	6,553 20	574 02	336	5.11
		05/04/09	357	36.9612	13,195 18	38 1000	13,601 70	406 52	697	5.11
Subtotal			762		27,142 27		29,032.20	1,889.93	1,488	5.11
INFINEON TECHS AG SPDADR	IFNNY	07/11/11	2,866	11.0098	31,554.09	7 5100	21,523 66	(10,030.43)	353	1.63
INTERCONTINENTAL HOTELS	IHG	07/27/10	496	17 3459	8,603 57	17.9900	8,923 04	319 47	245	2.73
GROUP PLC NEW ADR		07/28/10	970	17.3850	16,863 45	17.9900	17,450 30	586 85	478	2.73
Subtotal			1,466		25,467.02		26,373 34	906 32	723	2 73
ITAU UNIBANCO BANCO HOLD SA SPONSORED ADR	ITUB	03/11/10	1,749	21 4338	37,487 72	18 5600	32,461.44	(5,026.28)	149	.45
L OREAL CO ADR	LRLCY	04/26/10	1,418	22 1901	31,465 70	20 8300	29,536 94	(1,928 76)	525	1 77
		05/04/10	110	20.2031	2,222 35	20 8300	2,291 30	68 95	41	1.77
		05/05/10	150	19 8238	2,973 57	20 8300	3,124 50	150 93	56	1.77
		05/07/10	126	18 3543	2,312 65	20 8300	2,624 58	311 93	47	1.77
		07/22/10	477	21 2623	10,142 16	20 8300	9,935 91	(206 25)	177	1.77
Subtotal			2,281		49,116.43		47,513.23	(1,603.20)	846	1.77

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ATTACHMENT A

Wm Blair Consults

Account Number: xxx-02853

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
MITSUBISHI CP SPNSRD ADR	MSBHY 04/03/09	218	29.3144	6,390.54	40.0700	8,735.26	2,344.72	352	4.02
	08/26/09	527	40.8474	21,526.58	40.0700	21,116.89	(409.69)	851	4.02
	09/21/10	6	45.8650	275.19	40.0700	240.42	(34.77)	10	4.02
	09/22/10	222	46.0776	10,229.23	40.0700	8,895 54	(1,333.69)	359	4.02
Subtotal		*973*		*38,421 54*		*38,988.11*	*566.57*	*1,572*	*4.02*
NESTLE S A REP RG SH ADR	NSRGY 08/25/11	801	62.1052	49,746.27	57.7100	46,225.71	(3,520.56)	1,415	3.06
NOVO NORDISK A S ADR	NVO 02/01/08	26	62.3538	1,621.20	115.2600	2,996.76	1,375.56	36	1.17
	02/04/08	57	61.9952	3,533.73	115.2600	6,569.82	3,036.09	78	1.17
	02/15/08	34	65.3747	2,222.74	115.2600	3,918.84	1,696.10	47	1.17
	02/19/08	83	65.8356	5,464.36	115.2600	9,566.58	4,102.22	113	1.17
	07/21/08	135	62 4968	8,437.08	115.2600	15,560.10	7,123.02	184	1.17
	09/03/08	102	55.0012	5,610.13	115.2600	11,756.52	6,146.39	139	1.17
Subtotal		*437*		*26,889.24*		*50,368.62*	*23,479 38*	*597*	*1 17*
PEARSON SPONSORED ADR	PSO 07/22/11	2,994	19.1312	57,279.11	18 8700	56,496.78	(782.33)	1,920	3.39
PETRLEO BRAS VTG SPD ADR	PBR 09/02/10	552	35.9796	19,860.74	24.8500	13,717.20	(6,143.54)	83	.60
	04/13/11	406	38.4482	15,609.97	24.8500	10,089.10	(5,520.87)	61	.60
Subtotal		*958*		*35,470 71*		*23,806.30*	*(11,664 41)*	*144*	*.60*
PRUDENTIAL PLC ADR	PUK 03/16/11	889	21.5015	19,114.92	19.7400	17,548.86	(1,566 06)	718	4.08
	03/17/11	551	22.3133	12,294.68	19.7400	10,876.74	(1,417.94)	445	4.08
	05/06/11	354	24.6370	8,721.50	19.7400	6,987.96	(1,733.54)	286	4.08
	05/09/11	327	24.4404	7,992.04	19.7400	6,454.98	(1,537.06)	264	4 08
	05/10/11	154	25.1451	3,872.35	19.7400	3,039.96	(832.39)	125	4.08
	05/11/11	489	24.9608	12,205.88	19.7400	9,652.86	(2,553 02)	395	4.08
Subtotal		*2,764*		*64,201.37*		*54,561.36*	*(9,640.01)*	*2,233*	*4.08*
ROLLS ROYCE GRP SPN ADR	RYCEY 10/13/09	95	36.8607	3,501.77	57.7300	5,484.35	1,982.58		
	10/14/09	702	37.7272	26,484.50	57.7300	40,526.46	14,041.96		
	11/06/09	273	37.6907	10,289.57	57.7300	15,760.29	5,470.72		
Subtotal		*1,070*		*40,275.84*		*61,771.10*	*21,495.26*		

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ATTACHMENT A

Wm Blair Consults

Account Number: xxx-02853

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ROYAL DUTCH SHEL PLC	RDSB	10/25/11	387	73.3942	28,403.56	76.0100	29,415.87	1,012.31	1,301	4.42
SPONS ADR B		10/26/11	441	73.8775	32,580.02	76.0100	33,520.41	940.39	1,482	4.42
Subtotal			828		60,983.58		62,936 28	1,952 70	2,783	4 42
RYANAIR HLDGS PLC	RYAAY	08/26/08	134	23.2373	3,113 81	27.8600	3,733.24	619.43		
SP ADR		08/27/08	430	23.3336	10,033.45	27.8600	11,979.80	1,946.35		
		09/03/08	83	23 7785	1,973.62	27 8600	2,312.38	338.76		
		04/27/10	179	28.3602	5,076.49	27.8600	4,986.94	(89 55)		
		11/23/10	263	30.1352	7,925.58	27.8600	7,327.18	(598.40)		
		11/24/10	246	30 8171	7,581.03	27 8600	6,853.56	(727 47)		
Subtotal			1,335		35,703.98		37,193.10	1,489 12		
SAP AG SHS	SAP	04/03/09	533	36 7803	19,603.90	52.9500	28,222.35	8,618.45	547	1.93
		08/03/09	226	47.5342	10,742.73	52 9500	11,966.70	1,223.97	232	1.93
Subtotal			759		30,346 63		40,189.05	9,842 42	779	1.93
SASOL LTD SPONSORED ADR	SSL	03/09/11	181	54.5517	9,873.86	47 4000	8,579 40	(1,294 46)	306	3.56
		03/10/11	587	52.2257	30,656.49	47.4000	27,823.80	(2,832 69)	991	3.56
Subtotal			768		40,530 35		36,403 20	(4,127.15)	1,297	3.56
SHIRE PLC-ADR	SHPGY	06/28/11	363	92.1306	33,443 41	103.9000	37,715.70	4,272.29	145	.38
SOFTBANK CORP SHS	SFTBY	07/25/11	2,741	20.0719	55,017.35	14.6000	40,018.60	(14,998.75)	64	.15
SSE PLC SPONSORED ADR	SSEZY	06/24/11	1,856	22.4542	41,675.18	20 0900	37,287.04	(4,388 14)	2,146	5.75
		07/07/11	637	22.9762	14,635 84	20 0900	12,797.33	(1,838 51)	737	5.75
		07/27/11	543	21.9683	11,928.84	20.0900	10,908.87	(1,019 97)	628	5.75
Subtotal			3,036		68,239 86		60,993 24	(7,246 62)	3,511	5 75
SYNGENTA AG ADR	SYT	01/28/11	251	61.9995	15,561.88	58 9400	14,793.94	(767 94)		
		01/31/11	308	62.9584	19,391.19	58.9400	18,153.52	(1,237 67)		
		02/01/11	79	64.1831	5,070.47	58.9400	4,656.26	(414 21)		
Subtotal			638		40,023 54		37,603.72	(2,419 82)		

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Wm Blair Consults

Account Number. xxx-02853

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TEVA PHARMACTCL INDS ADR	TEVA 10/30/07	440	43.9895	19,355.40	40 3600	17,758.40	(1,597 00)	345	1.94
	01/10/08	309	47.2858	14,611.34	40 3600	12,471.24	(2,140.10)	243	1.94
	09/03/08	150	47.5485	7,132.28	40.3600	6,054.00	(1,078.28)	118	1.94
	01/28/10	160	56.8646	9,098.35	40.3600	6,457.60	(2,640.75)	126	1.94
Subtotal		*1,059*		*50,197 37*		*42,741.24*	*(7,456.13)*	*832*	*1 94*
TIM HORTONS INC	THI 02/23/09	332	23.9546	7,952.93	48 4200	16,075.44	8,122.51	218	1.35
	02/24/09	161	24 3880	3,926.47	48.4200	7,795.62	3,869.15	106	1.35
	02/25/09	69	24 4589	1,687.67	48.4200	3,340.98	1,653.31	46	1.35
	02/26/09	98	24.1390	2,365.63	48 4200	4,745.16	2,379.53	65	1.35
	07/22/10	230	34.5730	7,951 79	48.4200	11,136.60	3,184.81	151	1.35
Subtotal		*890*		*23,884 49*		*43,093 80*	*19,209 31*	*586*	*1 35*
TORONTO DOMINION BANK	TD 06/16/10	488	71.4605	34,872 77	74.8100	36,507.28	1,634.51	1,303	3 56
	07/08/10	127	67 7588	8,605.38	74.8100	9,500.87	895.49	340	3.56
	09/02/10	244	68.9054	16,812.92	74.8100	18,253.64	1,440.72	652	3.56
	06/06/11	219	82.1646	17,994.05	74.8100	16,383.39	(1,610.66)	585	3.56
Subtotal		*1,078*		*78,285 12*		*80,645 18*	*2,360 06*	*2,880*	*3.56*
UNILEVER NV NY REG SHS	UN 09/23/11	1,431	30.8531	44,150.79	34 3700	49,183.47	5,032.68	1,509	3.06
VALE SA	VALE 10/26/11	1,487	24.4905	36,417.52	21.4500	31,896.15	(4,521.37)	42	.13
VODAFONE GROP PLC SP ADR	VOD 08/02/11	1,454	27.6725	40,235 82	28.0300	40,755.62	519.80	2,097	5.14
WAL-MART DE MEX SPNADR V	WMMVY 08/06/09	1,102	17.9281	19,756.77	27.3900	30,183.78	10,427.01	362	1.19
SA DE CV	08/10/09	804	18.2584	14,679.79	27 3900	22,021.56	7,341.77	264	1 19
	10/01/09	854	17.0783	14,584.95	27.3900	23,391.06	8,806.11	280	1 19
Subtotal		*2,760*		*49,021 51*		*75,596 40*	*26,574.89*	*906*	*1 19*
ZURICH FINL SVCS SPN ADR	ZFSVY 02/04/10	545	22.8640	12,460 88	22 4500	12,235 25	(225 63)	998	8.15
EST MKT PRICE AS OF 12/29/11	04/27/10	208	22.5680	4,694 16	22 4500	4,669.60	(24 56)	381	8 15
	07/22/10	314	23.3756	7,339.94	22.4500	7,049.30	(290.64)	575	8.15
Subtotal		*1,067*		*24,494.98*		*23,954 15*	*(540 83)*	*1,954*	*8 15*
TOTAL				2,262,602 64		2,339,162.98	76,560 34	56,761	2.43

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ATTACHMENT A

JM Cox Jr Foundation | Account Number: XXX-07369 | 24-Hour Assistance: (800) MERRILL

December 01, 2011 - December 30, 2011

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	12,034 57	12,034 57		12,034.57		
ML BANK DEPOSIT PROGRAM	177,526.00	177,526 00	1 0000	177,526 00	355	.20
TOTAL		189,560.57		189,560 57	355	.20

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
SLM CORP *SER B-ED VAR% JUN 15 2012* *MOODY'S BA1 S&P BBB- CUSIP 78490FSX8*	03/07/05	500,000	500,000 00	99.7440	498,720.00	(1,280 00)	1,082.26		
BANK OF AMERICA CORP *NOTES SER MTN STEP% FEB 25 2025* *MOODY'S BAA1 S&P, A- CUSIP 06048WAY6* *PAR CALL DATE 02/25/13 PAR CALL PRICE 100 00*	02/23/10	500,000	500,000.00	81 2500	406,250 00	(93,750 00)	9,114.58	26,250	6.46
TOTAL		1,000,000	1,000,000 00		904,970 00	(95,030 00)	10,196 84	26,250	2.90

PREFERRED STOCKS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
ALABAMA POWER CO *SER 07-B 05 875% APR 01 2047* *MOODY'S A2 S&P A CUSIP 010392496*	08/22/11	15,000	390,286 50	26 5200	397,800 00	7,513 50		22,020	5.53

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JM Cox Jr Foundation

Account Number: XXX-07369

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

PREFERRED STOCKS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
AVIVA PLC (AV) CAPITAL *SECURITIES CUM 8 25% DUE DEC 1. 2041* *MOODY'S A3 S&P BBB+ CUSIP 05382A203*	11/17/11	10,000	250,000 00	25.1200	251,200.00	1,200.00			
BANK OF AMERICA *PERP SERIES J 7 25%* *MOODY'S BA3 S&P BB+ CUSIP 060505724*	11/14/07	10,000	250,000 00	19 9000	199,000 00	(51,000.00)		18,120	9.10
BANK OF AMERICA CORP *NON-CUM PRFD STOCK SER H 8 20% DEPOSITORY SHARES* *MOODY'S BA3 S&P BB+ CUSIP 060505765*	05/20/08	20,000	500,000 00	22.1000	442,000.00	(58,000.00)		41,000	9.27
CITIGROUP CAPITAL XIII *7 875% FIX/FLT RATE TRST PFD DUE OCT 30 2040* *MOODY'S BAA3 S&P BB CUSIP 173080201*	09/30/10	10,000	250,000 00	26 0600	260,600 00	10,600.00		19,680	7 55
DIGITAL REALTY TRUST INC *7 00% PERPETUAL PFD STK CUM REDEEMABLE SERIES E* *MOODY'S BAA3 S&P BB+ CUSIP 253868707*	09/08/11	10,000	250,000 00	25 6000	256,000 00	6,000.00		17,500	6.83
ENDURANCE SPECIALTY HLDG *(ENH) SERIES B NON CUM PFD SHARES 7 5% PERPETUA* *MOODY'S BAA3 S&P BBB- CINS G30397304*	05/24/11	20,000	500,000 00	25 5000	510,000 00	10,000 00		37,500	7.35
GEORGIA POWER COMPANY *SER 2007D SR NTS 06 375% JUL 15 2047* *MOODY'S A3 S&P A CUSIP 373334465*	08/17/11	23,600	623,040 00	26.6300	628,468 00	5,428 00		37,595	5.98
PROTECTIVE LIFE CORP *CAPITAL SECURITIES 7 25% JUN 15 2066* *MOODY'S BA1 S&P BBB CUSIP 743674400*	06/28/06	10,000	249,848 96	25 1400	251,400 00	1,551 04		18,120	7.20
PRUDENTIAL FINANCIAL *JUNIOR SUBORDINATED NOTE 9 00% 06/15/2038* *MOODY'S BAA3 S&P BBB+ CUSIP 744320508*	06/24/08	10,000	250,000 00	27 2600	272,600 00	22,600 00		22,501	8.25

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ATTACHMENT A

JM Cox Jr Foundation | Account Number: XXX-07369 | 24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

PREFERRED STOCKS (continued) Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
PUBLIC STORAGE	04/06/10	10,000	250,000.00	28.6600	286,600.00	36,600 00		17,180	5.99
PFD STK 06 875% PERPETUAL									
MOODY'S BAA1 S&P BBB+ CUSIP 74460D182									
QWEST CORP (CTL) $25 PAR	09/14/11	20,000	500,000 00	26 4000	528,000 00	28,000 00		37,501	7.10
$25 PAR SENIOR NOTES 07 500% SEP 15 2051									
*MOODY'S *** S&P BBB- CUSIP 74913G303*									
VORNADO REALTY TR SER J	04/13/11	10,000	250,000 00	26 8900	268,900 00	18,900 00		17,181	6.38
CUM REDEEMABLE PFD SHRS 6 875% PERPETUAL									
MOODY'S BAA3 S&P. BBB- CUSIP 929042869									
WELLS FARGO & CO NEW	12/18/07	20,000	500,000 00	28 4300	568,600 00	68,600.00		40,000	7.03
SER J 08 000% PERPETUAL									
MOODY'S BAA3 S&P BBB+ CUSIP 949746879									
TOTAL		198,600	5,013,175 46		5,121,168 00	107,992 54		345,898	6.75

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
SP500 STEPUP ISSUER BAC	MLPFG	10/27/11	100,000	10 0000	1,000,000.00	9.4100	941,000.00	(59,000.00)		
STEP 8% SV 1284 59										
DUE 11/26/12 BUF 5%										
AMERICAN CAP AGY CORP	AGNC	01/14/11	3,000	28.0000	84,000.00	28 0800	84,240.00	240 00	16,800	19.94
		04/28/11	145	28.8300	4,180.35	28 0800	4,071.60	(108 75)	812	19 94
		07/28/11	155	28.3789	4,398.74	28 0800	4,352.40	(46 34)	868	19.94
		10/28/11	1	28.5800	28.58	28.0800	28 08	(0 50)	6	19.94
		10/28/11	167	27.6059	4,610.20	28 0800	4,689.36	79.16	936	19.94
(2638 FRACTIONAL SHARE)		10/28/11		27.5966	7.28	28 0800	7.41	13	2	19 94
Subtotal			*3,468 2638*		*97,225 15*		*97,388 85*	*163 70*	*19,424*	*19 94*

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ATTACHMENT A

JM Cox Jr Foundation   Account Number: 706-07369

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ANADARKO PETE CORP	APC 10/30/03	10,000	21 3984	213,984 00	76.3300	763,300 00	549,316.00	3,600	.47
BANK OF AMERICA CORP	BAC 10/07/08	10,000	22 0000	220,000.00	5 5600	55,600.00	(164,400.00)	400	.71
BANKUNITED INC	BKU 01/27/11	2,000	27 0000	54,000.00	21.9900	43,980 00	(10,020 00)	1,120	2.54
CHEVRON CORP	CVX 12/24/03	5,000	41 8750	209,375.00	106 4000	532,000.00	322,625 00	16,200	3.04
	12/24/03	1,000	41 8650	41,865.00	106 4000	106,400 00	64,535.00	3,240	3.04
Subtotal		*6,000*		*251,240 00*		*638,400 00*	*387,160.00*	*19,440*	*3 04*
CONSOL ENERGY INC COM	CNX 03/25/10	5,000	42 5000	212,500 00	36.7000	183,500 00	(29,000.00)	2,500	1.36
COUSINS PROPS INC	CUZ 09/15/09	25,000	7.2500	181,250.00	6 4100	160,250 00	(21,000 00)	4,500	2.80
REIT	09/15/09	12,000	7 2500	87,000 00	6.4100	76,920 00	(10,080 00)	2,160	2.80
	12/11/09	292	7 2732	2,123 80	6 4100	1,871 72	(252 08)	53	2.80
	03/15/10	282	7.3000	2,058 60	6 4100	1,807.62	(250 98)	51	2.80
	06/18/10	275	6 9800	1,919 50	6 4100	1,762 75	(156 75)	50	2.80
	09/17/10	490	6 9500	3,405 50	6 4100	3,140 90	(264 60)	89	2.80
	12/17/10	237	7.7900	1,846.23	6 4100	1,519.17	(327 06)	43	2.80
Subtotal		*38,576*		*279,603 63*		*247,272 16*	*(32,331 47)*	*6,946*	*2.80*
EFA EEM STARS ISSUER BAC *PREM 10 93% SV 100 00* *DUE 04/02/12 BUF 5%*	MLOFA 03/24/11	105,000	10 0000	1,050,000 00	8 4700	889,350 00	(160,650 00)		
EXXON MOBIL CORP COM	XOM 11/30/99	5,400	39 8605	215,246.70	84.7600	457,704 00	242,457.30	10,152	2.21
	03/19/03	1,700	35 4300	60,231 00	84 7600	144,092 00	83,861 00	3,196	2.21
	03/19/03	400	35.4400	14,176 00	84 7600	33,904 00	19,728 00	752	2.21
Subtotal		*7,500*		*289,653 70*		*635,700 00*	*346,046 30*	*14,100*	*2 21*
FORD STEPS ISSUER SEK *CPN 9 75% SV 14 12* *DUE 03/23/12*	MLAUF 03/10/11	25,000	10.0000	250,000 00	7 5300	188,250 00	(61,750 00)	24,901	13.22

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ATTACHMENT A

JM Cox Jr Foundation | Account Number: 706-07369 | 24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
GENERAL ELECTRIC	GE	03/08/04	800	31.8300	25,464.00	17.9100	14,328.00	(11,136.00)	545	3.79
		03/10/04	4,500	31.4400	141,480.00	17 9100	80,595.00	(60,885.00)	3,061	3.79
		07/27/04	32	32.5000	1,040.00	17.9100	573.12	(466 88)	22	3.79
		09/07/04	5,000	33.2954	166,477.00	17.9100	89,550.00	(76,927.00)	3,401	3 79
		10/26/04	63	33.1009	2,085.36	17.9100	1,128.33	(957.03)	43	3.79
		01/26/05	63	35.9719	2,266.23	17.9100	1,128.33	(1,137.90)	43	3.79
		04/26/05	63	36 4179	2,294.33	17 9100	1,128.33	(1,166 00)	43	3.79
		07/26/05	67	34 8938	2,337.89	17.9100	1,199 97	(1,137 92)	46	3 79
		10/26/05	68	33.9800	2,310.64	17 9100	1,217 88	(1,092 76)	47	3.79
		01/26/06	81	32 9359	2,667.81	17.9100	1,450.71	(1,217.10)	56	3 79
		04/26/06	79	34.1789	2,700.14	17 9100	1,414.89	(1,285 25)	54	3.79
		07/26/06	82	32.7219	2,683.20	17 9100	1,468.62	(1,214 58)	56	3.79
		10/26/06	76	35.7428	2,716 46	17.9100	1,361.16	(1,355 30)	52	3.79
		01/26/07	85	36.5289	3,104.96	17.9100	1,522.35	(1,582 61)	58	3.79
		04/26/07	87	35 5559	3,093.37	17.9100	1,558.17	(1,535 20)	60	3.79
		07/26/07	78	40 1008	3,127 87	17.9100	1,396 98	(1,730 89)	54	3.79
		10/26/07	77	40.3509	3,107.02	17.9100	1,379.07	(1,727 95)	53	3 79
		01/28/08	102	34.4100	3,509.82	17.9100	1,826.82	(1,683 00)	70	3.79
		04/28/08	105	33 5909	3,527.05	17.9100	1,880.55	(1,646 50)	72	3.79
		07/28/08	125	28.7200	3,590.00	17.9100	2,238.75	(1,351.25)	85	3.79
		10/28/08	195	18.4460	3,596 97	17.9100	3,492.45	(104 52)	133	3 79
		01/27/09	287	12.8000	3,673.60	17 9100	5,140.17	1,466.57	196	3.79
		04/28/09	315	11 9080	3,751.02	17.9100	5,641.65	1,890 63	215	3.79
		07/28/09	101	12 2859	1,240.88	17.9100	1,808.91	568 03	69	3 79
		10/27/09	84	15.0519	1,264.36	17 9100	1,504 44	240.08	58	3.79
		01/26/10	77	16.3638	1,260.02	17.9100	1,379.07	119.05	53	3.79
		04/27/10	66	19 2400	1,269.84	17.9100	1,182 06	(87 78)	45	3.79
		07/27/10	78	16.3388	1,274 43	17.9100	1,396.98	122 55	54	3 79
		10/26/10	95	16 0849	1,528 07	17 9100	1,701 45	173 38	65	3.79
		01/26/11	1	16 4500	16 45	17 9100	17 91	1.46	1	3.79
		01/26/11	89	20.1268	1,791.29	17.9100	1,593 99	(197 30)	61	3 79
		04/26/11	91	20.0319	1,822.91	17.9100	1,629.81	(193 10)	62	3 79

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ATTACHMENT A

Merrill Lynch Wealth Management | PRIVATE BANKING & INVESTMENT GROUP
Bank of America Corporation

JM Cox Jr Foundation   Account Number: 706-07369

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
GENERAL ELECTRIC	GE	07/26/11	1	19.9800	19.98	17.9100	17.91	(2.07)	1	3.79
		07/26/11	103	19.0009	1,957.10	17 9100	1,844 73	(112.37)	71	3.79
		10/26/11	120	16 4479	1,973.75	17.9100	2,149 20	175 45	82	3.79
(9176 FRACTIONAL SHARE)		10/26/11		17 5348	16 09	17 9100	16 43	34	1	3.79
Subtotal			*13,336 9176*		*406,039.91*		*238,864 19*	*(167,175.72)*	*9,088*	*3 79*
GENERAL MOTORS CO	GMPRB	11/18/10	1,000	50.0000	50,000 00	34 2500	34,250 00	(15,750.00)	2,375	6.93
MANDATORY CONVERTIBLE		03/02/11	12	50.2200	602 64	34 2500	411.00	(191 64)	29	6.93
JR PFD CONV SER B										
		06/02/11	1	49 9600	49 96	34 2500	34 25	(15 71)	3	6 93
		06/02/11	12	48 1591	577 91	34 2500	411 00	(166.91)	29	6.93
		09/02/11	1	41 7100	41 71	34 2500	34 25	(7 46)	3	6 93
		09/02/11	15	38.0700	571 05	34 2500	513 75	(57 30)	36	6.93
		12/02/11	1	35 7800	35 78	34 2500	34.25	(1 53)	3	6.93
		12/02/11	17	34.5200	586.84	34.2500	582 25	(4 59)	41	6.93
(2646 FRACTIONAL SHARE)		12/02/11		34 5049	9.13	34 2500	9 06	(0.07)	1	6.93
Subtotal			*1,059 2646*		*52,475 02*		*36,279.81*	*(16,195 21)*	*2,520*	*6 93*
GENERAL MOTORS CO	GM	11/18/10	5,000	33 0000	165,000 00	20 2700	101,350 00	(63,650.00)		
COMMON SHARES		11/18/10	500	33 0000	16,500 00	20.2700	10,135 00	(6,365.00)		
Subtotal			*5,500*		*181,500 00*		*111,485 00*	*(70,015 00)*		
GOODYER STEPS ISSUER BAC	MLAUQ	06/09/11	50,000	10 0000	500,000 00	8.8700	443,500.00	(56,500.00)	48,051	10 83
CPN 9 5% SV 16 13										
DUE 06/25/12 BUF 5%										
JPMORGAN CHASE & CO	JPM	02/26/04	5,000	40 3200	201,600.00	33 2500	166,250.00	(35,350 00)	5,000	3.00
		03/11/04	5,000	41.3100	206,550 00	33 2500	166,250.00	(40,300.00)	5,000	3.00
		07/26/04	5,000	36.4000	182,000 00	33.2500	166,250.00	(15,750.00)	5,000	3.00
Subtotal			*15,000*		*590,150.00*		*498,750 00*	*(91,400 00)*	*15,000*	*3.00*
MARATHON OIL CORP	MRO	06/03/04	15,000	10 1017	151,525 91	29.2700	439,050.00	287,524.09	9,001	2.04
MARATHON PETROLEUM CORP	MPC	06/03/04	7,500	13.6665	102,499.08	33.2900	249,675.00	147,175.92	7,500	3.00

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ATTACHMENT A

JM Cox Jr Foundation | Account Number: 706-07369 | 24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
OCCIDENTAL PETE CORP CAL	OXY	06/12/03	8,200	17.0250	139,605.01	93.7000	768,340.00	628,734.99	15,088	1.96
		06/12/03	1,800	16.9450	30,501 00	93.7000	168,660.00	138,159.00	3,312	1.96
Subtotal			*10,000*		*170,106 01*		*937,000.00*	*766,893.99*	*18,400*	*1.96*
PEABODY ENERGY CORP COM	BTU	07/31/03	16,000	7.2186	115,498.29	33.1100	529,760.00	414,261.71	5,441	1.02
PFIZER INC	PFE	11/03/04	7,500	29.9001	224,250.75	21.6400	162,300.00	(61,950.75)	6,600	4 06
		03/22/05	10,000	25.6700	256,700.00	21.6400	216,400 00	(40,300 00)	8,800	4.06
		04/04/07	10,000	25 8300	258,300 00	21 6400	216,400.00	(41,900.00)	8,800	4.06
Subtotal			*27,500*		*739,250.75*		*595,100 00*	*(144,150.75)*	*24,200*	*4 06*
TOTAL S A. SP ADR	TOT	07/11/11	5,000	54.9600	274,800.00	51.1100	255,550.00	(19,250 00)	13,471	5.27
UNITED TECHS CORP COM	UTX	06/12/03	8,000	36.5150	292,120 00	73.0900	584,720 00	292,600 00	15,361	2 62
TOTAL					7,494,171.45		9,603,475.01	2,109,303.56	260,464	2.71

RESEARCH RATINGS

Security	Symbol	BofAML Research	Morningstar	S&P
AMERICAN CAP AGY CORP	AGNC	Neutral (C28)	No Coverage	No Coverage
ANADARKO PETE CORP	APC	Buy (C17)	Hold	Buy
CONSOL ENERGY INC COM	CNX	N/A	Buy	Hold
CHEVRON CORP	CVX	Neutral (A27)	Buy	Buy
COUSINS PROPS INC	CUZ	Buy (C17)	No Coverage	No Coverage
EXXON MOBIL CORP COM	XOM	Buy (A17)	Buy	Buy
GENERAL ELECTRIC	GE	Neutral (B27)	Buy	Buy
GENERAL MOTORS CO	GM	Buy (C19)	Buy	Buy
JPMORGAN CHASE & CO	JPM	Buy (B17)	Buy	Hold
MARATHON OIL CORP	MRO	Buy (B17)	Buy	Buy
MARATHON PETROLEUM CORP	MPC	Buy (C17)	No Coverage	Buy
OCCIDENTAL PETE CORP CAL	OXY	Buy (B17)	Buy	Hold
PEABODY ENERGY CORP COM	BTU	N/A	Buy	Buy
PFIZER INC	PFE	Buy (A17)	Buy	Buy

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ATTACHMENT A

JM Cox Jr Foundation

Account Number: 706-07369

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

RESEARCH RATINGS (continued)

Security	Symbol	BofAML Research	Morningstar	S&P
TOTAL S A SP ADR	TOT	Neutral (A27)	Buy	Buy
UNITED TECHS CORP COM	UTX	Buy (B17)	Buy	Buy

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BofAML AND THIRD PARTY RESEARCH RATINGS

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
CLOUGH GLBL OPPTYS	79,670	1,411,600 67	10 5700	842,111.90	(569,488.77)	1,000,000	(157,888)	86,044	10.21
SYMBOL GLO Initial Purchase 04/25/06									
Fixed Income 28% Equity 72%									
4488 Fractional Share		*5 48*	*10 5700*	*4 74*	*(0 74)*			*1*	*10 21*
COHEN & STEER SELECT PFD	40,000	1,000,000 00	21 6800	867,200 00	(132,800 00)	1,000,000	(132,800)	82,561	9.52
& INCOME FD INC									
SYMBOL PSF Initial Purchase 11/23/10									
Fixed Income 100%									
CRM INTERNATIONAL	41,218	586,817 99	11 6200	478,953 16	(107,864 83)	499,989	(21,036)		
OPPORTUNITY FUND CL INS									
SYMBOL CRIIX Initial Purchase 03/18/10									
Equity 100%									
3150 Fractional Share		*3 54*	*11 6200*	*3 66*	*12*				
WESTCORE INTERNATIONAL	15,403	249,990 69	14 5500	224,113 65	(25,877 04)	249,990	(25,877)	3,743	1.67
SMALL CAP FD RETAIL									
SYMBOL WTIFX Initial Purchase 03/29/11									
Equity 100%									
5740 Fractional Share		*9 32*	*14 5500*	*8 35*	*(0 97)*			*1*	*1 67*

+ ATTACHMENT A

JM Cox Jr Foundation | Account Number. 706-07369 | 24-Hour Assistance: (800) MERRILL

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return ($)	Estimated Annual Income	Current Yield%
Subtotal (Fixed Income)				*1,102,992.66*					
Subtotal (Equities)				*1,309,402.80*					
TOTAL		3,248,427.69		2,412,395.46	(836,032 23)		(337,601)	172,350	7.14

Total Client Investment· Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.
Cumulative Investment Return. Estimated Market Value minus Total Client Investment Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss)· Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts
Initial Purchase Date of your initial investment in this fund.

Market Timing· Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

ALTERNATIVE INVESTMENTS Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
COAST ACCESS II LTD	11/01/07	623,174	1.4326	892,759.09	1.0723	668,229.48	(224,529 61)		
(6240 FRACTIONAL SHARE)	*11/01/07*		*1 4262*	*0 89*	*1 0723*	*67*	*(0 22)*		
CLASS D EST MKT PRICE AS OF 11/30/11									
Subtotal		*623,174.6240*		*892,759 98*		*668,230 15*	*(224,529 83)*		
RICI ARN ISSUER EKS	01/28/11	115,000	10.0000	1,150,000.00	8.8400	1,016,600.00	(133,400 00)		
CAP 13 55% SV 2918 82 DUE 04/03/12									
TOTAL				2,042,759 98		1,684,830 15	(357,929 83)		

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ATTACHMENT A

Form **8868**
(Rev. January 2012)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. JAMES M. COX JR. FOUNDATION	Employer identification number (EIN) or [X] 23-7256190
	Number, street, and room or suite no. If a P.O. box, see instructions. 4TH AND LUDLOW STREET	Social security number (SSN) [ ]
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. DAYTON, OH 45402	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ COX ENTERPRISES, INC. 6205 PEACHTREE DUNWOODY RD. - ATLANTA, GA 30328
  Telephone No. ▶ 678-645-0000 FAX No. ▶ N/A
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2012 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [X] calendar year 2011 or
▶ [ ] tax year beginning ______ , and ending ______ .

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 63,000.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 43,000.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 20,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
File by the due date for filing your return. See instructions	JAMES M. COX JR. FOUNDATION	[X] 23-7256190
	Number, street, and room or suite no. If a P.O. box, see instructions. 4TH AND LUDLOW STREET	Social security number (SSN) [ ]
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. DAYTON, OH 45402	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	[illegible]	[illegible]
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ COX ENTERPRISES, INC. 6205 PEACHTREE DUNWOODY RD. - ATLANTA, GA 30328

Telephone No. ▶ 678-645-0000 FAX No. ▶ N/A

• If the organization does not have an office or place of business in the United States, check this box ▶ [ ]

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2012.

5 For calendar year 2011 , or other tax year beginning ________ , and ending ________ .

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS REQUIRED TO GATHER NECESSARY INFORMATION TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$	63,000.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$	63,000.
c **Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Stephanie M Smith Title ▶ Partner Date ▶ 6/21/2012

123842
01-06-12