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Form 990

Department of the Treasury  
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2011

Open to Public Inspection

A For the 2011 calendar year, or tax year beginning 01-01-2011 and ending 12-31-2011

B Check if applicable

☐ Address change

☐ Name change

☐ Initial return

☐ Terminated

☐ Amended return

☐ Application pending

C Name of organization

Electric Power Research Institute Inc

Doing Business As

Number and street (or P O box if mail is not delivered to street address)

Room/suite

3420 Hillview Avenue

City or town, state or country, and ZIP + 4

Palo Alto, CA 94304

F Name and address of principal officer

Michael W Howard

3420 Hillview Avenue

Palo Alto, CA 94304

D Employer identification number

23-7175375

E Telephone number

(650) 855-2000

G Gross receipts \$ 368,317,233

I Tax-exempt status

☒ 501(c)(3) ☐ 501(c) ( ) ☐ (Insert no ) ☐ 4947(a)(1) or ☐ 527

J Website:

www EPRI com

K Form of organization

☒ Corporation ☐ Trust ☐ Association ☐ Other

L Year of formation

1972

M State of legal domicile

DC

| Part I                                                                                                                 | Summary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                     |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
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| Activities & Governance                                                                                                | <div><div>1</div><div>Briefly describe the organization's mission or most significant activities</div><div>See Schedule O</div></div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                     |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
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|                                                                                                                        | <div><div>2</div><div>Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets</div></div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                     |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
|                                                                                                                        | <table><tr><td><div><div>3</div><div>Number of voting members of the governing body (Part VI, line 1a)</div></div></td><td><div>3</div></td><td><div>33</div></td></tr><tr><td><div><div>4</div><div>Number of independent voting members of the governing body (Part VI, line 1b)</div></div></td><td><div>4</div></td><td><div>32</div></td></tr><tr><td><div><div>5</div><div>Total number of individuals employed in calendar year 2011 (Part V, line 2a)</div></div></td><td><div>5</div></td><td><div>916</div></td></tr><tr><td><div><div>6</div><div>Total number of volunteers (estimate if necessary)</div></div></td><td><div>6</div></td><td></td></tr><tr><td><div><div>7a</div><div>Total unrelated business revenue from Part VIII, column (C), line 12</div></div></td><td><div>7a</div></td><td><div>33,171,437</div></td></tr><tr><td><div><div>7b</div><div>Net unrelated business taxable income from Form 990-T, line 34</div></div></td><td><div>7b</div></td><td></td></tr></table>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | <div><div>3</div><div>Number of voting members of the governing body (Part VI, line 1a)</div></div> | <div>3</div>              | <div>33</div> | <div><div>4</div><div>Number of independent voting members of the governing body (Part VI, line 1b)</div></div> | <div>4</div>           | <div>32</div>          | <div><div>5</div><div>Total number of individuals employed in calendar year 2011 (Part V, line 2a)</div></div> | <div>5</div>           | <div>916</div>         | <div><div>6</div><div>Total number of volunteers (estimate if necessary)</div></div>                                 | <div>6</div>           |                        | <div><div>7a</div><div>Total unrelated business revenue from Part VIII, column (C), line 12</div></div>     | <div>7a</div>         | <div>33,171,437</div> | <div><div>7b</div><div>Net unrelated business taxable income from Form 990-T, line 34</div></div>                      | <div>7b</div>          |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>3</div><div>Number of voting members of the governing body (Part VI, line 1a)</div></div>                    | <div>3</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <div>33</div>                                                                                       |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>4</div><div>Number of independent voting members of the governing body (Part VI, line 1b)</div></div>        | <div>4</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <div>32</div>                                                                                       |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>5</div><div>Total number of individuals employed in calendar year 2011 (Part V, line 2a)</div></div>         | <div>5</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <div>916</div>                                                                                      |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>6</div><div>Total number of volunteers (estimate if necessary)</div></div>                                   | <div>6</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                     |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>7a</div><div>Total unrelated business revenue from Part VIII, column (C), line 12</div></div>                | <div>7a</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <div>33,171,437</div>                                                                               |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>7b</div><div>Net unrelated business taxable income from Form 990-T, line 34</div></div>                      | <div>7b</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                     |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
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| Revenue                                                                                                                | <table><tr><th></th><th>Prior Year</th><th>Current Year</th></tr><tr><td><div><div>8</div><div>Contributions and grants (Part VIII, line 1h)</div></div></td><td><div>0</div></td><td><div>0</div></td></tr><tr><td><div><div>9</div><div>Program service revenue (Part VIII, line 2g)</div></div></td><td><div>361,989,545</div></td><td><div>360,794,351</div></td></tr><tr><td><div><div>10</div><div>Investment income (Part VIII, column (A), lines 3, 4, and 7d)</div></div></td><td><div>2,184,194</div></td><td><div>1,103,001</div></td></tr><tr><td><div><div>11</div><div>Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)</div></div></td><td><div>23,572,057</div></td><td><div>6,035,486</div></td></tr><tr><td><div><div>12</div><div>Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)</div></div></td><td><div>387,745,796</div></td><td><div>367,932,838</div></td></tr></table>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                     | Prior Year                | Current Year  | <div><div>8</div><div>Contributions and grants (Part VIII, line 1h)</div></div>                                 | <div>0</div>           | <div>0</div>           | <div><div>9</div><div>Program service revenue (Part VIII, line 2g)</div></div>                                 | <div>361,989,545</div> | <div>360,794,351</div> | <div><div>10</div><div>Investment income (Part VIII, column (A), lines 3, 4, and 7d)</div></div>                     | <div>2,184,194</div>   | <div>1,103,001</div>   | <div><div>11</div><div>Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)</div></div> | <div>23,572,057</div> | <div>6,035,486</div>  | <div><div>12</div><div>Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)</div></div>    | <div>387,745,796</div> | <div>367,932,838</div> |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
|                                                                                                                        | Prior Year                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Current Year                                                                                        |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>8</div><div>Contributions and grants (Part VIII, line 1h)</div></div>                                        | <div>0</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <div>0</div>                                                                                        |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>9</div><div>Program service revenue (Part VIII, line 2g)</div></div>                                         | <div>361,989,545</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <div>360,794,351</div>                                                                              |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>10</div><div>Investment income (Part VIII, column (A), lines 3, 4, and 7d)</div></div>                       | <div>2,184,194</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <div>1,103,001</div>                                                                                |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>11</div><div>Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)</div></div>            | <div>23,572,057</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <div>6,035,486</div>                                                                                |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>12</div><div>Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)</div></div>    | <div>387,745,796</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <div>367,932,838</div>                                                                              |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
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| Expenses                                                                                                               | <table><tr><th></th><th>Prior Year</th><th>Current Year</th></tr><tr><td><div><div>13</div><div>Grants and similar amounts paid (Part IX, column (A), lines 1–3)</div></div></td><td><div>1,394,756</div></td><td><div>2,082,370</div></td></tr><tr><td><div><div>14</div><div>Benefits paid to or for members (Part IX, column (A), line 4)</div></div></td><td><div>0</div></td><td><div>0</div></td></tr><tr><td><div><div>15</div><div>Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)</div></div></td><td><div>129,555,197</div></td><td><div>133,617,686</div></td></tr><tr><td><div><div>16a</div><div>Professional fundraising fees (Part IX, column (A), line 11e)</div></div></td><td><div>0</div></td><td><div>0</div></td></tr><tr><td><div><div>b</div><div>Total fundraising expenses (Part IX, column (D), line 25) <input type="checkbox"/> 0</div></div></td><td></td><td></td></tr><tr><td><div><div>17</div><div>Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)</div></div></td><td><div>211,064,586</div></td><td><div>223,079,834</div></td></tr><tr><td><div><div>18</div><div>Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)</div></div></td><td><div>342,014,539</div></td><td><div>358,779,890</div></td></tr><tr><td><div><div>19</div><div>Revenue less expenses Subtract line 18 from line 12</div></div></td><td><div>45,731,257</div></td><td><div>9,152,948</div></td></tr></table> |                                                                                                     | Prior Year                | Current Year  | <div><div>13</div><div>Grants and similar amounts paid (Part IX, column (A), lines 1–3)</div></div>             | <div>1,394,756</div>   | <div>2,082,370</div>   | <div><div>14</div><div>Benefits paid to or for members (Part IX, column (A), line 4)</div></div>               | <div>0</div>           | <div>0</div>           | <div><div>15</div><div>Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)</div></div> | <div>129,555,197</div> | <div>133,617,686</div> | <div><div>16a</div><div>Professional fundraising fees (Part IX, column (A), line 11e)</div></div>           | <div>0</div>          | <div>0</div>          | <div><div>b</div><div>Total fundraising expenses (Part IX, column (D), line 25) <input type="checkbox"/> 0</div></div> |                        |                        | <div><div>17</div><div>Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)</div></div> | <div>211,064,586</div> | <div>223,079,834</div> | <div><div>18</div><div>Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)</div></div> | <div>342,014,539</div> | <div>358,779,890</div> | <div><div>19</div><div>Revenue less expenses Subtract line 18 from line 12</div></div> | <div>45,731,257</div> | <div>9,152,948</div> |
|                                                                                                                        | Prior Year                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Current Year                                                                                        |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>13</div><div>Grants and similar amounts paid (Part IX, column (A), lines 1–3)</div></div>                    | <div>1,394,756</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | <div>2,082,370</div>                                                                                |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>14</div><div>Benefits paid to or for members (Part IX, column (A), line 4)</div></div>                       | <div>0</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <div>0</div>                                                                                        |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>15</div><div>Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)</div></div>   | <div>129,555,197</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <div>133,617,686</div>                                                                              |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>16a</div><div>Professional fundraising fees (Part IX, column (A), line 11e)</div></div>                      | <div>0</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | <div>0</div>                                                                                        |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>b</div><div>Total fundraising expenses (Part IX, column (D), line 25) <input type="checkbox"/> 0</div></div> |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                     |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>17</div><div>Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)</div></div>                        | <div>211,064,586</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <div>223,079,834</div>                                                                              |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>18</div><div>Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)</div></div>            | <div>342,014,539</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <div>358,779,890</div>                                                                              |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>19</div><div>Revenue less expenses Subtract line 18 from line 12</div></div>                                 | <div>45,731,257</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <div>9,152,948</div>                                                                                |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
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| Net Assets or Fund Balances                                                                                            | <table><tr><th></th><th>Beginning of Current Year</th><th>End of Year</th></tr><tr><td><div><div>20</div><div>Total assets (Part X, line 16)</div></div></td><td><div>263,415,220</div></td><td><div>284,161,545</div></td></tr><tr><td><div><div>21</div><div>Total liabilities (Part X, line 26)</div></div></td><td><div>221,988,497</div></td><td><div>233,582,444</div></td></tr><tr><td><div><div>22</div><div>Net assets or fund balances Subtract line 21 from line 20</div></div></td><td><div>41,426,723</div></td><td><div>50,579,101</div></td></tr></table>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                     | Beginning of Current Year | End of Year   | <div><div>20</div><div>Total assets (Part X, line 16)</div></div>                                               | <div>263,415,220</div> | <div>284,161,545</div> | <div><div>21</div><div>Total liabilities (Part X, line 26)</div></div>                                         | <div>221,988,497</div> | <div>233,582,444</div> | <div><div>22</div><div>Net assets or fund balances Subtract line 21 from line 20</div></div>                         | <div>41,426,723</div>  | <div>50,579,101</div>  |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
|                                                                                                                        | Beginning of Current Year                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | End of Year                                                                                         |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>20</div><div>Total assets (Part X, line 16)</div></div>                                                      | <div>263,415,220</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <div>284,161,545</div>                                                                              |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>21</div><div>Total liabilities (Part X, line 26)</div></div>                                                 | <div>221,988,497</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | <div>233,582,444</div>                                                                              |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
| <div><div>22</div><div>Net assets or fund balances Subtract line 21 from line 20</div></div>                           | <div>41,426,723</div>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | <div>50,579,101</div>                                                                               |                           |               |                                                                                                                 |                        |                        |                                                                                                                |                        |                        |                                                                                                                      |                        |                        |                                                                                                             |                       |                       |                                                                                                                        |                        |                        |                                                                                                 |                        |                        |                                                                                                             |                        |                        |                                                                                        |                       |                      |
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Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here

\*\*\*\*\*

Signature of officer

2012-08-09

Date

PAMELA J KEEFE VP, CFO AND TREASURER

Type or print name and title

Paid Preparer's Use Only

Preparer's signature

Date

Check if self-employed ☐

Preparer's taxpayer identification number (see instructions)

Firm's name (or yours if self-employed), address, and ZIP + 4

GRANT THORNTON LLP

ONE CALIFORNIA STREET SUITE 2300

SAN FRANCISCO, CA 94111

EIN

Phone no (415) 986-3900

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No 11282Y

Form 990 (2011)

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III ☐ Yes ☒ No

1

Briefly describe the organization's mission

SEE SCHEDULE O

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a

(Code ) (Expenses \$ 268,271,827 including grants of \$ 2,082,370 ) (Revenue \$ 362,796,528 )

See Schedule O

4b

(Code ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4c

(Code ) (Expenses \$ including grants of \$ ) (Revenue \$ )

4d

Other program services (Describe in Schedule O )

(Expenses \$ including grants of \$ ) (Revenue \$ )

4e

Total program service expenses \$ 268,271,827

Part IV

Checklist of Required Schedules

|     |                                                                                                                                                                                                                                                                                                    | Yes | No |
|-----|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1   | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A.                                                                                                                                                                 | Yes |    |
| 2   | Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?                                                                                                                                                                                                  |     | No |
| 3   | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I.                                                                                                              |     | No |
| 4   | <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II.                                                                                               | Yes |    |
| 5   | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III.                                                                       |     | No |
| 6   | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I.                                            |     | No |
| 7   | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas or historic structures? If "Yes," complete Schedule D, Part II.                                                                                     |     | No |
| 8   | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III.                                                                                                                                                 |     | No |
| 9   | Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV.                                               |     | No |
| 10  | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V.                                                                                              |     | No |
| 11  | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.                                                                                                                                                    |     |    |
| a   | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI.                                                                                                                                                               | Yes |    |
| b   | Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII.                                                                                             | Yes |    |
| c   | Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII.                                                                                             |     | No |
| d   | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX.                                                                                                              |     | No |
| e   | Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X.                                                                                                                                                                             | Yes |    |
| f   | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X.                                                    |     | No |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI, XII, and XIII.                                                                                                                                           |     | No |
| b   | Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI, XII, and XIII is optional.                                                            | Yes |    |
| 13  | Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E.                                                                                                                                                                                                 |     | No |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States?                                                                                                                                                                                                        | Yes |    |
| b   | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Part I. | Yes |    |
| 15  | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the U S? If "Yes," complete Schedule F, Part II and IV.                                                                                        | Yes |    |
| 16  | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the U S? If "Yes," complete Schedule F, Part III and IV.                                                                                            |     | No |
| 17  | Did the organization report a total of more than \$15,000, of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I.                                                                                                       |     | No |
| 18  | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II.                                                                                                                    |     | No |
| 19  | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III.                                                                                                                                              |     | No |
| 20a | Did the organization operate one or more hospitals? If "Yes," complete Schedule H.                                                                                                                                                                                                                 |     | No |
| b   | If "Yes" to line 20a, did the organization attach its audited financial statement to this return? <b>Note.</b> All Form 990 filers that operated one or more hospitals must attach audited financial statements.                                                                                   |     |    |

Part IV

Checklist of Required Schedules (continued)

|     |                                                                                                                                                                                                                                                                                            |     |     |    |
|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|----|
| 21  | Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> . . . . .                                                          | 21  | Yes |    |
| 22  | Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .                                                                           | 22  | Yes |    |
| 23  | Did the organization answer "Yes" to Part VII, Section A, questions 3, 4, or 5, about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .                | 23  | Yes |    |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer questions 24b–24d and complete Schedule K. If "No," go to line 25</i> . . . . . | 24a |     | No |
| b   | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . .                                                                                                                                                                                  | 24b |     |    |
| c   | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .                                                                                                                                       | 24c |     |    |
| d   | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . .                                                                                                                                                                            | 24d |     |    |
| 25a | <b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .                                                                      | 25a |     | No |
| b   | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> . . . . .       | 25b |     | No |
| 26  | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i> . . . . .                                    | 26  |     | No |
| 27  | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i> . . . . .            | 27  | Yes |    |
| 28  | Was the organization a party to a business transaction with one of the following parties? (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)                                                                                              |     |     |    |
| a   | A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .                                                                                                                                                                   | 28a |     | No |
| b   | A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .                                                                                                                                                | 28b |     | No |
| c   | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or owner? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .                                                                       | 28c | Yes |    |
| 29  | Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . . . .                                                                                                                                                                  | 29  |     | No |
| 30  | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> . . . . .                                                                                                  | 30  |     | No |
| 31  | Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> . . . . .                                                                                                                                                        | 31  |     | No |
| 32  | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .                                                                                                                                      | 32  |     | No |
| 33  | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> . . . . .                                                                                      | 33  |     | No |
| 34  | Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i> . . . . .                                                                                                                                         | 34  | Yes |    |
| 35a | Is any related organization a controlled entity of the filing organization within the meaning of section 512(b)(13)?                                                                                                                                                                       | 35a | Yes |    |
| b   | Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .                                                                               | 35b | Yes |    |
| 36  | <b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .                                                                                           | 36  |     | No |
| 37  | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> . . . . .                                             | 37  |     | No |
| 38  | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .                                                                                               | 38  | Yes |    |

|                                                                                                 |                                                                                                                                                                                                                                                                                                                                               |       |     |
|-------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|-----|
| <div>Part V</div> <div>Statements Regarding Other IRS Filings and Tax Compliance</div>          |                                                                                                                                                                                                                                                                                                                                               |       |     |
| Check if Schedule O contains a response to any question in this Part V <input type="checkbox"/> |                                                                                                                                                                                                                                                                                                                                               |       |     |
|                                                                                                 |                                                                                                                                                                                                                                                                                                                                               | Yes   | No  |
| 1a                                                                                              | Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.<br>.                                                                                                                                                                                                                                                            | 1a501 |     |
| b                                                                                               | Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.                                                                                                                                                                                                                                                              | 1b0   |     |
| c                                                                                               | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?                                                                                                                                                                                      | 1c    | Yes |
| 2a                                                                                              | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements filed for the calendar year ending with or within the year covered by this return.<br>.                                                                                                                                                            | 2a916 |     |
| b                                                                                               | If at least one is reported on line 2a, did the organization file all required federal employment tax returns?<br><br>Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).                                                                                                              | 2b    | Yes |
| 3a                                                                                              | Did the organization have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                 | 3a    | Yes |
| b                                                                                               | If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.                                                                                                                                                                                                                                             | 3b    | Yes |
| 4a                                                                                              | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account or securities account)?                                                                                                                              | 4a    | Yes |
| b                                                                                               | If "Yes," enter the name of the foreign country: <input type="text" value="JA, SP, UK"/><br>See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts                                                                                                                                     |       |     |
| 5a                                                                                              | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?                                                                                                                                                                                                                                         | 5a    | No  |
| b                                                                                               | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?                                                                                                                                                                                                                              | 5b    | No  |
| c                                                                                               | If "Yes" to line 5a or 5b, did the organization file Form 8886-T?                                                                                                                                                                                                                                                                             | 5c    |     |
| 6a                                                                                              | Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?                                                                                                                                                                   | 6a    | No  |
| b                                                                                               | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?                                                                                                                                                                                                 | 6b    |     |
| 7                                                                                               | Organizations that may receive deductible contributions under section 170(c).                                                                                                                                                                                                                                                                 |       |     |
| a                                                                                               | Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?                                                                                                                                                                                               | 7a    | No  |
| b                                                                                               | If "Yes," did the organization notify the donor of the value of the goods or services provided?                                                                                                                                                                                                                                               | 7b    |     |
| c                                                                                               | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?                                                                                                                                                                                                          | 7c    | No  |
| d                                                                                               | If "Yes," indicate the number of Forms 8282 filed during the year.                                                                                                                                                                                                                                                                            | 7d    |     |
| e                                                                                               | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?                                                                                                                                                                                                                               | 7e    | No  |
| f                                                                                               | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?                                                                                                                                                                                                                                  | 7f    | No  |
| g                                                                                               | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?                                                                                                                                                                                                              | 7g    |     |
| h                                                                                               | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?                                                                                                                                                                                                            | 7h    |     |
| 8                                                                                               | Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?                                                                         | 8     |     |
| 9                                                                                               | Sponsoring organizations maintaining donor advised funds.                                                                                                                                                                                                                                                                                     |       |     |
| a                                                                                               | Did the organization make any taxable distributions under section 4966?                                                                                                                                                                                                                                                                       | 9a    |     |
| b                                                                                               | Did the organization make a distribution to a donor, donor advisor, or related person?                                                                                                                                                                                                                                                        | 9b    |     |
| 10                                                                                              | Section 501(c)(7) organizations. Enter                                                                                                                                                                                                                                                                                                        |       |     |
| a                                                                                               | Initiation fees and capital contributions included on Part VIII, line 12.                                                                                                                                                                                                                                                                     | 10a   |     |
| b                                                                                               | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.                                                                                                                                                                                                                                                  | 10b   |     |
| 11                                                                                              | Section 501(c)(12) organizations. Enter                                                                                                                                                                                                                                                                                                       |       |     |
| a                                                                                               | Gross income from members or shareholders.                                                                                                                                                                                                                                                                                                    | 11a   |     |
| b                                                                                               | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).                                                                                                                                                                                                                  | 11b   |     |
| 12a                                                                                             | Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?                                                                                                                                                                                                                                    | 12a   |     |
| b                                                                                               | If "Yes," enter the amount of tax-exempt interest received or accrued during the year.                                                                                                                                                                                                                                                        | 12b   |     |
| 13                                                                                              | Section 501(c)(29) qualified nonprofit health insurance issuers.                                                                                                                                                                                                                                                                              |       |     |
| a                                                                                               | Is the organization licensed to issue qualified health plans in more than one state?<br>Note. All 501(c)(29) organizations must list in Schedule O each state in which they are licensed to issue qualified health plans, the amount of reserves required by each state, and the amount of reserves the organization allocated to each state. | 13a   |     |
| b                                                                                               | Enter the aggregate amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.                                                                                                                                                                          | 13b   |     |
| c                                                                                               | Enter the aggregate amount of reserves on hand.                                                                                                                                                                                                                                                                                               | 13c   |     |
| 14a                                                                                             | Did the organization receive any payments for indoor tanning services during the tax year?                                                                                                                                                                                                                                                    | 14a   | No  |
| b                                                                                               | If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.                                                                                                                                                                                                                                    | 14b   |     |

Part VI

**Governance, Management, and Disclosure** For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.  
Check if Schedule O contains a response to any question in this Part VI . . . . . ☒

Section A. Governing Body and Management

|    |                                                                                                                                                                                                                               |     |     |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
|    |                                                                                                                                                                                                                               | Yes | No  |
| 1a | Enter the number of voting members of the governing body at the end of the tax year . . . . .                                                                                                                                 |     |     |
| 1a | 33                                                                                                                                                                                                                            |     |     |
| b  | Enter the number of voting members included in line 1a, above, who are independent . . . . .                                                                                                                                  | 1b  | 32  |
| 2  | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . .                                               | 2   | No  |
| 3  | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . . . . | 3   | No  |
| 4  | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?                                                                                                              | 4   | Yes |
| 5  | Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . .                                                                                                          | 5   | No  |
| 6  | Did the organization have members or stockholders? . . . . .                                                                                                                                                                  | 6   | Yes |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . . . .                                                                  | 7a  | Yes |
| b  | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . . . .                                                           | 7b  | Yes |
| 8  | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following                                                                                              |     |     |
| a  | The governing body? . . . . .                                                                                                                                                                                                 | 8a  | Yes |
| b  | Each committee with authority to act on behalf of the governing body? . . . . .                                                                                                                                               | 8b  | Yes |
| 9  | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O . . . . .        | 9   | No  |

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

|     |                                                                                                                                                                                                                                                                                                        |     |     |
|-----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
|     |                                                                                                                                                                                                                                                                                                        | Yes | No  |
| 10a | Did the organization have local chapters, branches, or affiliates? . . . . .                                                                                                                                                                                                                           | 10a | No  |
| b   | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . . . .                                                                   | 10b |     |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?                                                                                                                                                                            | 11a | Yes |
| b   | Describe in Schedule O the process, if any, used by the organization to review the Form 990 . . . . .                                                                                                                                                                                                  |     |     |
| 12a | Did the organization have a written conflict of interest policy? If "No," go to line 13 . . . . .                                                                                                                                                                                                      | 12a | Yes |
| b   | Were officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .                                                                                                                                                           | 12b | Yes |
| c   | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done . . . . .                                                                                                                                           | 12c | Yes |
| 13  | Did the organization have a written whistleblower policy? . . . . .                                                                                                                                                                                                                                    | 13  | Yes |
| 14  | Did the organization have a written document retention and destruction policy? . . . . .                                                                                                                                                                                                               | 14  | Yes |
| 15  | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?                                                                                   |     |     |
| a   | The organization's CEO, Executive Director, or top management official . . . . .                                                                                                                                                                                                                       | 15a | Yes |
| b   | Other officers or key employees of the organization . . . . .                                                                                                                                                                                                                                          | 15b | Yes |
|     | If "Yes," to line 15a or 15b, describe the process in Schedule O (see instructions)                                                                                                                                                                                                                    |     |     |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .                                                                                                                                        | 16a | Yes |
| b   | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . . . . | 16b | Yes |

Section C. Disclosure

|    |                                                                                                                                                                                                                                                                                                                                                         |
|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 17 | List the States with which a copy of this Form 990 is required to be filed <input checked="" type="checkbox"/> CA                                                                                                                                                                                                                                       |
| 18 | Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.<br><input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request |
| 19 | Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public. See Additional Data Table.                                                                                                                                               |
| 20 | State the name, physical address, and telephone number of the person who possesses the books and records of the organization. <input checked="" type="checkbox"/><br>EPRI FINANCE DIVISION<br>3420 HILLVIEW AVENUE<br>Palo Alto, CA 94304<br>(650) 855-2000                                                                                             |

Check if Schedule O contains a response to any question in this Part VII . . . . . ☐

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organizations compensated any current or former officer, director, or trustee

[illegible]

## Part VII

|           |                                                              |            |   |           |
|-----------|--------------------------------------------------------------|------------|---|-----------|
| <b>1b</b> | <b>Sub-Total</b>                                             |            |   |           |
| <b>c</b>  | <b>Total from continuation sheets to Part VII, Section A</b> |            |   |           |
| <b>d</b>  | <b>Total (add lines 1b and 1c)</b>                           | 10,149,906 | 0 | 4,830,820 |

4 5 2

|   |                                                                                                                                                                                                                                               | Yes   | No |
|---|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------|----|
| 3 | Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .                                        | 3 Yes |    |
| 4 | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . . | 4 Yes |    |
| 5 | Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .                       | 5     | No |

## **Section B. Independent Contractors**

\$100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

| (A)<br>Name and business address                                                          | (B)<br>Description of services | (C)<br>Compensation |
|-------------------------------------------------------------------------------------------|--------------------------------|---------------------|
| ABB INC<br>100 DISTRIBUTION CIRCLE<br>MOUNT PLEASANT, PA 15666                            | CONTRACT R&D                   | 7,215,500           |
| CONTINUUM DYNAMICS INC<br>JAMES FORRESTAL CAMPUS BUILDING 9A<br>PRINCETON, NJ 08543       | CONTRACT R&D                   | 5,655,762           |
| SOUTHERN COMPANY SERVICES INC<br>270 PEACHTREE STREET<br>ATLANTA, GA 30308                | CONTRACT R&D                   | 5,222,813           |
| EDF ELECTRICITE DE FRANCE<br>1 AVENUE DU GENERAL DE GAULLE<br>CLAMART CEDEX, 0<br>FR      | CONTRACT R&D                   | 4,999,515           |
| ADVANCED RESOURCES INTERNATIONAL I<br>4501 FAIRFAX DRIVE SUITE 910<br>ARLINGTON, VA 22203 | CONTRACT R&D                   | 4,400,089           |

\$100,000 of compensation from the organization ➡385

Part VIII

Statement of Revenue

|                                                           |                                                                       |                                                                                                                                               |                | (A)           | (B)                                         | (C)                              | (D)                                                                      |  |
|-----------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|----------------|---------------|---------------------------------------------|----------------------------------|--------------------------------------------------------------------------|--|
|                                                           |                                                                       |                                                                                                                                               |                | Total revenue | Related or<br>exempt<br>function<br>revenue | Unrelated<br>business<br>revenue | Revenue<br>excluded from<br>tax under<br>sections<br>512, 513, or<br>514 |  |
| Contributions, gifts, grants<br>and other similar amounts | 1a                                                                    | Federated campaigns . . .                                                                                                                     | 1a             |               |                                             |                                  |                                                                          |  |
|                                                           | b                                                                     | Membership dues . . . . .                                                                                                                     | 1b             |               |                                             |                                  |                                                                          |  |
|                                                           | c                                                                     | Fundraising events . . . . .                                                                                                                  | 1c             |               |                                             |                                  |                                                                          |  |
|                                                           | d                                                                     | Related organizations . . . .                                                                                                                 | 1d             |               |                                             |                                  |                                                                          |  |
|                                                           | e                                                                     | Government grants (contributions)                                                                                                             | 1e             |               |                                             |                                  |                                                                          |  |
|                                                           | f                                                                     | All other contributions, gifts, grants, and<br>similar amounts not included above                                                             | 1f             |               |                                             |                                  |                                                                          |  |
|                                                           | g                                                                     | Noncash contributions included in<br>lines 1a-1f \$ _____                                                                                     |                |               |                                             |                                  |                                                                          |  |
|                                                           | h                                                                     | Total. Add lines 1a-1f . . . . .                                                                                                              |                | 0             |                                             |                                  |                                                                          |  |
| Program Service Revenue                                   |                                                                       |                                                                                                                                               | Business Code  |               |                                             |                                  |                                                                          |  |
|                                                           | 2a                                                                    | MEMBERSHIP FEE REVENUE                                                                                                                        | 541900         | 179,054,226   | 166,095,363                                 | 12,958,863                       |                                                                          |  |
|                                                           | b                                                                     | SUPPLEMENTAL FUNDING                                                                                                                          | 541900         | 172,321,644   | 161,527,551                                 | 10,794,093                       |                                                                          |  |
|                                                           | c                                                                     | BILLABLE SERVICES                                                                                                                             | 541900         | 9,418,481     |                                             | 9,418,481                        |                                                                          |  |
|                                                           | d                                                                     |                                                                                                                                               |                |               |                                             |                                  |                                                                          |  |
|                                                           | e                                                                     |                                                                                                                                               |                |               |                                             |                                  |                                                                          |  |
|                                                           | f                                                                     | All other program service revenue                                                                                                             |                |               |                                             |                                  |                                                                          |  |
|                                                           | g                                                                     | Total. Add lines 2a-2f . . . . .                                                                                                              |                | 360,794,351   |                                             |                                  |                                                                          |  |
| Other Revenue                                             | 3                                                                     | Investment income (including dividends, interest<br>and other similar amounts) . . . . .                                                      |                | 1,183,295     |                                             |                                  | 1,183,295                                                                |  |
|                                                           | 4                                                                     | Income from investment of tax-exempt bond proceeds . .                                                                                        |                | 0             |                                             |                                  |                                                                          |  |
|                                                           | 5                                                                     | Royalties . . . . .                                                                                                                           |                | 2,248,416     |                                             |                                  | 2,248,416                                                                |  |
|                                                           | 6a                                                                    | Gross rents                                                                                                                                   | (i) Real       | (ii) Personal |                                             |                                  |                                                                          |  |
|                                                           |                                                                       |                                                                                                                                               | 2,088,994      |               |                                             |                                  |                                                                          |  |
|                                                           |                                                                       |                                                                                                                                               | 304,101        |               |                                             |                                  |                                                                          |  |
|                                                           |                                                                       |                                                                                                                                               | 1,784,893      |               |                                             |                                  |                                                                          |  |
|                                                           | b                                                                     | Less rental<br>expenses                                                                                                                       |                |               |                                             |                                  |                                                                          |  |
|                                                           | c                                                                     | Rental income<br>or (loss)                                                                                                                    |                |               |                                             |                                  |                                                                          |  |
|                                                           | d                                                                     | Net rental income or (loss) . . . . .                                                                                                         |                | 1,784,893     |                                             |                                  | 1,784,893                                                                |  |
|                                                           | 7a                                                                    | Gross amount<br>from sales of<br>assets other<br>than inventory                                                                               | (i) Securities | (ii) Other    |                                             |                                  |                                                                          |  |
|                                                           |                                                                       |                                                                                                                                               |                |               |                                             |                                  |                                                                          |  |
|                                                           |                                                                       |                                                                                                                                               | 7,443          | 72,851        |                                             |                                  |                                                                          |  |
|                                                           |                                                                       |                                                                                                                                               | -7,443         | -72,851       |                                             |                                  |                                                                          |  |
|                                                           | b                                                                     | Less cost or<br>other basis and<br>sales expenses                                                                                             |                |               |                                             |                                  |                                                                          |  |
|                                                           | c                                                                     | Gain or (loss)                                                                                                                                |                |               |                                             |                                  |                                                                          |  |
|                                                           | d                                                                     | Net gain or (loss) . . . . .                                                                                                                  |                | -80,294       |                                             |                                  | -80,294                                                                  |  |
|                                                           | 8a                                                                    | Gross income from fundraising<br>events (not including<br>\$ _____<br>of contributions reported on line 1c)<br>See Part IV, line 18 . . . . . | a              |               |                                             |                                  |                                                                          |  |
|                                                           | b                                                                     | Less direct expenses . . . . .                                                                                                                | b              |               |                                             |                                  |                                                                          |  |
|                                                           | c                                                                     | Net income or (loss) from fundraising events . .                                                                                              |                | 0             |                                             |                                  |                                                                          |  |
| 9a                                                        | Gross income from gaming activities<br>See Part IV, line 19 . . . . . | a                                                                                                                                             |                |               |                                             |                                  |                                                                          |  |
| b                                                         | Less direct expenses . . . . .                                        | b                                                                                                                                             |                |               |                                             |                                  |                                                                          |  |
| c                                                         | Net income or (loss) from gaming activities . .                       |                                                                                                                                               | 0              |               |                                             |                                  |                                                                          |  |
| 10a                                                       | Gross sales of inventory, less<br>returns and allowances . . . . .    | a                                                                                                                                             |                |               |                                             |                                  |                                                                          |  |
| b                                                         | Less cost of goods sold . . . . .                                     | b                                                                                                                                             |                |               |                                             |                                  |                                                                          |  |
| c                                                         | Net income or (loss) from sales of inventory . .                      |                                                                                                                                               | 0              |               |                                             |                                  |                                                                          |  |
| Miscellaneous Revenue                                     |                                                                       | Business Code                                                                                                                                 |                |               |                                             |                                  |                                                                          |  |
| 11a                                                       | SALE OF R&D REPORTS                                                   | 900099                                                                                                                                        | 1,264,832      | 1,264,832     |                                             |                                  |                                                                          |  |
| b                                                         | OTHER INCOME                                                          | 900099                                                                                                                                        | 737,345        | 737,345       |                                             |                                  |                                                                          |  |
| c                                                         |                                                                       |                                                                                                                                               |                |               |                                             |                                  |                                                                          |  |
| d                                                         | All other revenue . . . . .                                           |                                                                                                                                               |                |               |                                             |                                  |                                                                          |  |
| e                                                         | Total. Add lines 11a-11d . . . . .                                    |                                                                                                                                               | 2,002,177      |               |                                             |                                  |                                                                          |  |
| 12                                                        | Total revenue. See Instructions . . . . .                             |                                                                                                                                               | 367,932,838    | 329,625,091   | 33,171,437                                  | 5,136,310                        |                                                                          |  |

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns  
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D)  
Check if Schedule O contains a response to any question in this Part IX

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. |                                                                                                                                                                                                                                       | (A)<br>Total expenses | (B)<br>Program service expenses | (C)<br>Management and general expenses | (D)<br>Fundraising expenses |
|--------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------|---------------------------------|----------------------------------------|-----------------------------|
| 1                                                                              | Grants and other assistance to governments and organizations in the United States See Part IV, line 21                                                                                                                                | 2,004,762             | 2,004,762                       |                                        |                             |
| 2                                                                              | Grants and other assistance to individuals in the United States See Part IV, line 22                                                                                                                                                  | 23,840                | 23,840                          |                                        |                             |
| 3                                                                              | Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16                                                                                                     | 53,768                | 53,768                          |                                        |                             |
| 4                                                                              | Benefits paid to or for members                                                                                                                                                                                                       | 0                     |                                 |                                        |                             |
| 5                                                                              | Compensation of current officers, directors, trustees, and key employees                                                                                                                                                              | 8,059,181             |                                 | 8,059,181                              |                             |
| 6                                                                              | Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)                                                                                         | 27,596                |                                 | 27,596                                 |                             |
| 7                                                                              | Other salaries and wages                                                                                                                                                                                                              | 87,474,317            | 47,561,047                      | 39,913,270                             |                             |
| 8                                                                              | Pension plan contributions (include section 401(k) and section 403(b) employer contributions)                                                                                                                                         | 10,767,742            | 5,995,473                       | 4,772,269                              |                             |
| 9                                                                              | Other employee benefits                                                                                                                                                                                                               | 20,898,794            | 11,656,553                      | 9,242,241                              |                             |
| 10                                                                             | Payroll taxes                                                                                                                                                                                                                         | 6,390,056             | 3,557,980                       | 2,832,076                              |                             |
| 11                                                                             | Fees for services (non-employees)                                                                                                                                                                                                     |                       |                                 |                                        |                             |
| a                                                                              | Management                                                                                                                                                                                                                            | 0                     |                                 |                                        |                             |
| b                                                                              | Legal                                                                                                                                                                                                                                 | 1,370,581             | 77,393                          | 1,293,188                              |                             |
| c                                                                              | Accounting                                                                                                                                                                                                                            | 115,974               |                                 | 115,974                                |                             |
| d                                                                              | Lobbying                                                                                                                                                                                                                              | 24,113                |                                 | 24,113                                 |                             |
| e                                                                              | Professional fundraising See Part IV, line 17                                                                                                                                                                                         | 0                     |                                 |                                        |                             |
| f                                                                              | Investment management fees                                                                                                                                                                                                            | 235,176               |                                 | 235,176                                |                             |
| g                                                                              | Other                                                                                                                                                                                                                                 | 706,277               |                                 | 706,277                                |                             |
| 12                                                                             | Advertising and promotion                                                                                                                                                                                                             | 0                     |                                 |                                        |                             |
| 13                                                                             | Office expenses                                                                                                                                                                                                                       | 14,691,796            | 13,878,140                      | 813,656                                |                             |
| 14                                                                             | Information technology                                                                                                                                                                                                                | 3,570,329             |                                 | 3,570,329                              |                             |
| 15                                                                             | Royalties                                                                                                                                                                                                                             | 0                     |                                 |                                        |                             |
| 16                                                                             | Occupancy                                                                                                                                                                                                                             | 3,737,258             | 3,505,480                       | 231,778                                |                             |
| 17                                                                             | Travel                                                                                                                                                                                                                                | 12,712,366            | 9,322,406                       | 3,389,960                              |                             |
| 18                                                                             | Payments of travel or entertainment expenses for any federal, state, or local public officials                                                                                                                                        | 0                     |                                 |                                        |                             |
| 19                                                                             | Conferences, conventions, and meetings                                                                                                                                                                                                | 7,279,006             | 6,425,842                       | 853,164                                |                             |
| 20                                                                             | Interest                                                                                                                                                                                                                              | 0                     |                                 |                                        |                             |
| 21                                                                             | Payments to affiliates                                                                                                                                                                                                                | 3,846,055             | 180,018                         | 3,666,037                              |                             |
| 22                                                                             | Depreciation, depletion, and amortization                                                                                                                                                                                             | 7,457,367             | 6,994,875                       | 462,492                                |                             |
| 23                                                                             | Insurance                                                                                                                                                                                                                             | 485,686               |                                 | 485,686                                |                             |
| 24                                                                             | Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24f If line 24f amount exceeds 10% of line 25, column (A) amount, list line 24f expenses on Schedule O )                                       |                       |                                 |                                        |                             |
| a                                                                              | R&D EXPENSES                                                                                                                                                                                                                          | 155,062,770           | 155,062,770                     |                                        |                             |
| b                                                                              | CONSULTING                                                                                                                                                                                                                            | 3,969,087             |                                 | 3,969,087                              |                             |
| c                                                                              | TELEPHONE                                                                                                                                                                                                                             | 2,371,132             |                                 | 2,371,132                              |                             |
| d                                                                              | PRINTING & PUBLICATIONS                                                                                                                                                                                                               | 1,198,751             | 974,112                         | 224,639                                |                             |
| e                                                                              |                                                                                                                                                                                                                                       |                       |                                 |                                        |                             |
| f                                                                              | All other expenses                                                                                                                                                                                                                    | 4,246,110             | 997,368                         | 3,248,742                              |                             |
| 25                                                                             | Total functional expenses. Add lines 1 through 24f                                                                                                                                                                                    | 358,779,890           | 268,271,827                     | 90,508,063                             | 0                           |
| 26                                                                             | Joint costs. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720) Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation |                       |                                 |                                        |                             |

Part X

Balance Sheet

|                             |                                                                                                                                                         |                                                                                                                                                                                 |     |             |  | (A)               |     | (B)         |
|-----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-------------|--|-------------------|-----|-------------|
|                             |                                                                                                                                                         |                                                                                                                                                                                 |     |             |  | Beginning of year |     | End of year |
| Assets                      | 1                                                                                                                                                       | Cash—non-interest-bearing . . . . .                                                                                                                                             |     |             |  | 25,353,430        | 1   | 13,819,874  |
|                             | 2                                                                                                                                                       | Savings and temporary cash investments . . . . .                                                                                                                                |     |             |  | 108,673,620       | 2   | 136,735,799 |
|                             | 3                                                                                                                                                       | Pledges and grants receivable, net . . . . .                                                                                                                                    |     |             |  | 0                 | 3   | 0           |
|                             | 4                                                                                                                                                       | Accounts receivable, net . . . . .                                                                                                                                              |     |             |  | 45,372,259        | 4   | 40,148,412  |
|                             | 5                                                                                                                                                       | Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L . . . . .                   |     |             |  | 0                 | 5   | 0           |
|                             | 6                                                                                                                                                       | Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L . . . . .      |     |             |  | 0                 | 6   | 0           |
|                             | 7                                                                                                                                                       | Notes and loans receivable, net . . . . .                                                                                                                                       |     |             |  | 0                 | 7   | 0           |
|                             | 8                                                                                                                                                       | Inventories for sale or use . . . . .                                                                                                                                           |     |             |  | 0                 | 8   | 0           |
|                             | 9                                                                                                                                                       | Prepaid expenses and deferred charges . . . . .                                                                                                                                 |     |             |  | 11,448,282        | 9   | 6,773,331   |
|                             | 10a                                                                                                                                                     | Land, buildings, and equipment, cost or other basis. Complete Part VI of Schedule D . . . . .                                                                                   | 10a | 114,436,778 |  |                   |     |             |
|                             | b                                                                                                                                                       | Less: accumulated depreciation . . . . .                                                                                                                                        | 10b | 70,301,241  |  | 36,373,023        | 10c | 44,135,537  |
|                             | 11                                                                                                                                                      | Investments—publicly traded securities . . . . .                                                                                                                                |     |             |  | 0                 | 11  | 0           |
|                             | 12                                                                                                                                                      | Investments—other securities. See Part IV, line 11 . . . . .                                                                                                                    |     |             |  | 34,405,250        | 12  | 40,768,559  |
|                             | 13                                                                                                                                                      | Investments—program-related. See Part IV, line 11 . . . . .                                                                                                                     |     |             |  | 0                 | 13  | 0           |
|                             | 14                                                                                                                                                      | Intangible assets . . . . .                                                                                                                                                     |     |             |  | 0                 | 14  | 0           |
|                             | 15                                                                                                                                                      | Other assets. See Part IV, line 11 . . . . .                                                                                                                                    |     |             |  | 1,789,356         | 15  | 1,780,033   |
|                             | 16                                                                                                                                                      | <b>Total assets.</b> Add lines 1 through 15 (must equal line 34) . . . . .                                                                                                      |     |             |  | 263,415,220       | 16  | 284,161,545 |
| Liabilities                 | 17                                                                                                                                                      | Accounts payable and accrued expenses . . . . .                                                                                                                                 |     |             |  | 64,612,212        | 17  | 63,652,574  |
|                             | 18                                                                                                                                                      | Grants payable . . . . .                                                                                                                                                        |     |             |  | 0                 | 18  | 0           |
|                             | 19                                                                                                                                                      | Deferred revenue . . . . .                                                                                                                                                      |     |             |  | 139,715,882       | 19  | 152,205,511 |
|                             | 20                                                                                                                                                      | Tax-exempt bond liabilities . . . . .                                                                                                                                           |     |             |  | 0                 | 20  | 0           |
|                             | 21                                                                                                                                                      | Escrow or custodial account liability. Complete Part IV of Schedule D . . . . .                                                                                                 |     |             |  | 0                 | 21  | 0           |
|                             | 22                                                                                                                                                      | Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L . . . . .  |     |             |  | 0                 | 22  | 0           |
|                             | 23                                                                                                                                                      | Secured mortgages and notes payable to unrelated third parties . . . . .                                                                                                        |     |             |  | 0                 | 23  | 0           |
|                             | 24                                                                                                                                                      | Unsecured notes and loans payable to unrelated third parties . . . . .                                                                                                          |     |             |  | 0                 | 24  | 0           |
|                             | 25                                                                                                                                                      | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D . . . . . |     |             |  | 17,660,403        | 25  | 17,724,359  |
|                             | 26                                                                                                                                                      | <b>Total liabilities.</b> Add lines 17 through 25 . . . . .                                                                                                                     |     |             |  | 221,988,497       | 26  | 233,582,444 |
| Net Assets or Fund Balances | <b>Organizations that follow SFAS 117, check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b> |                                                                                                                                                                                 |     |             |  |                   |     |             |
|                             | 27                                                                                                                                                      | Unrestricted net assets . . . . .                                                                                                                                               |     |             |  | 41,426,723        | 27  | 50,579,101  |
|                             | 28                                                                                                                                                      | Temporarily restricted net assets . . . . .                                                                                                                                     |     |             |  | 0                 | 28  | 0           |
|                             | 29                                                                                                                                                      | Permanently restricted net assets . . . . .                                                                                                                                     |     |             |  | 0                 | 29  | 0           |
|                             | <b>Organizations that do not follow SFAS 117, check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>                          |                                                                                                                                                                                 |     |             |  |                   |     |             |
|                             | 30                                                                                                                                                      | Capital stock or trust principal, or current funds . . . . .                                                                                                                    |     |             |  |                   | 30  |             |
|                             | 31                                                                                                                                                      | Paid-in or capital surplus, or land, building or equipment fund . . . . .                                                                                                       |     |             |  |                   | 31  |             |
|                             | 32                                                                                                                                                      | Retained earnings, endowment, accumulated income, or other funds . . . . .                                                                                                      |     |             |  |                   | 32  |             |
|                             | 33                                                                                                                                                      | <b>Total net assets or fund balances . . . . .</b>                                                                                                                              |     |             |  | 41,426,723        | 33  | 50,579,101  |
|                             | 34                                                                                                                                                      | <b>Total liabilities and net assets/fund balances . . . . .</b>                                                                                                                 |     |             |  | 263,415,220       | 34  | 284,161,545 |

**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response to any question in this Part XI ☒

|          |                                                                                                               |          |             |
|----------|---------------------------------------------------------------------------------------------------------------|----------|-------------|
| <b>1</b> | Total revenue (must equal Part VIII, column (A), line 12)                                                     | <b>1</b> | 367,932,838 |
| <b>2</b> | Total expenses (must equal Part IX, column (A), line 25)                                                      | <b>2</b> | 358,779,890 |
| <b>3</b> | Revenue less expenses Subtract line 2 from line 1                                                             | <b>3</b> | 9,152,948   |
| <b>4</b> | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))                     | <b>4</b> | 41,426,723  |
| <b>5</b> | Other changes in net assets or fund balances (explain in Schedule O)                                          | <b>5</b> | -570        |
| <b>6</b> | Net assets or fund balances at end of year Combine lines 3, 4, and 5 (must equal Part X, line 33, column (B)) | <b>6</b> | 50,579,101  |

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response to any question in this Part XII ☐

|           |                                                                                                                                                                                                                                                                                                                                                  | Yes | No |
|-----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>1</b>  | Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____<br>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O                                                                |     |    |
| <b>2a</b> | Were the organization's financial statements compiled or reviewed by an independent accountant?                                                                                                                                                                                                                                                  |     | No |
| <b>b</b>  | Were the organization's financial statements audited by an independent accountant?                                                                                                                                                                                                                                                               | Yes |    |
| <b>c</b>  | If "Yes," to 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?<br>If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | Yes |    |
| <b>d</b>  | If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a separate basis, consolidated basis, or both<br><input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separated basis             |     |    |
| <b>3a</b> | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?                                                                                                                                                                                         | Yes |    |
| <b>b</b>  | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits                                                                                                                             | Yes |    |

SCHEDULE A  
(Form 990 or 990EZ)

Department of the Treasury  
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public  
Inspection

|                                                                   |                                              |
|-------------------------------------------------------------------|----------------------------------------------|
| Name of the organization<br>Electric Power Research Institute Inc | Employer identification number<br>23-7175375 |
|-------------------------------------------------------------------|----------------------------------------------|

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

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| (i)<br>Name of supported organization | (ii)<br>EIN | (iii)<br>Type of organization (described on lines 1- 9 above or IRC section (see instructions)) | (iv)<br>Is the organization in col (i) listed in your governing document? |    | (v)<br>Did you notify the organization in col (i) of your support? |    | (vi)<br>Is the organization in col (i) organized in the U S ? |    | (vii)<br>Amount of support? |
|---------------------------------------|-------------|-------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|----|--------------------------------------------------------------------|----|---------------------------------------------------------------|----|-----------------------------|
|                                       |             |                                                                                                 | Yes                                                                       | No | Yes                                                                | No | Yes                                                           | No |                             |
|                                       |             |                                                                                                 |                                                                           |    |                                                                    |    |                                                               |    |                             |
|                                       |             |                                                                                                 |                                                                           |    |                                                                    |    |                                                               |    |                             |
|                                       |             |                                                                                                 |                                                                           |    |                                                                    |    |                                                               |    |                             |
|                                       |             |                                                                                                 |                                                                           |    |                                                                    |    |                                                               |    |                             |
|                                       |             |                                                                                                 |                                                                           |    |                                                                    |    |                                                               |    |                             |
|                                       |             |                                                                                                 |                                                                           |    |                                                                    |    |                                                               |    |                             |
| Total                                 |             |                                                                                                 |                                                                           |    |                                                                    |    |                                                               |    |                             |

Part II

Support Schedule for Organizations Described in IRC 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)  
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

| Section A. Public Support                                                                                                                                                                             |          |          |          |          |          |           |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in)                                                                                                                                                           | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")                                                                                                   |          |          |          |          |          |           |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf                                                                                                     |          |          |          |          |          |           |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge                                                                                             |          |          |          |          |          |           |
| 4 Total. Add lines 1 through 3                                                                                                                                                                        |          |          |          |          |          |           |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) |          |          |          |          |          |           |
| 6 Public Support. Subtract line 5 from line 4                                                                                                                                                         |          |          |          |          |          |           |

| Section B. Total Support                    |                                                                                                                                                                      |          |          |          |          |          |           |
|---------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|----------|----------|----------|----------|-----------|
| Calendar year (or fiscal year beginning in) |                                                                                                                                                                      | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
| 7                                           | Amounts from line 4                                                                                                                                                  |          |          |          |          |          |           |
| 8                                           | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources                                       |          |          |          |          |          |           |
| 9                                           | Net income from unrelated business activities, whether or not the business is regularly carried on                                                                   |          |          |          |          |          |           |
| 10                                          | Other income (Explain in Part IV ) Do not include gain or loss from the sale of capital assets                                                                       |          |          |          |          |          |           |
| 11                                          | Total support (Add lines 7 through 10)                                                                                                                               |          |          |          |          |          |           |
| 12                                          | Gross receipts from related activities, etc (See instructions )                                                                                                      |          |          |          |          | 12       |           |
| 13                                          | First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here |          |          |          |          |          |           |

| Section C. Computation of Public Support Percentage |                                                                                                                                                                                                                                                                                                                                                                                                        |                          |
|-----------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|
| 14                                                  | Public Support Percentage for 2011 (line 6 column (f) divided by line 11 column (f))                                                                                                                                                                                                                                                                                                                   | 14                       |
| 15                                                  | Public Support Percentage for 2010 Schedule A, Part II, line 14                                                                                                                                                                                                                                                                                                                                        | 15                       |
| 16a                                                 | <b>33 1/3% support test—2011.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization                                                                                                                                                                           | <input type="checkbox"/> |
| b                                                   | <b>33 1/3% support test—2010.</b> If the organization did not check the box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization                                                                                                                                                                    | <input type="checkbox"/> |
| 17a                                                 | <b>10%-facts-and-circumstances test—2011.</b> If the organization did not check a box on line 13, 16a, or 16b and line 14 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization      | <input type="checkbox"/> |
| b                                                   | <b>10%-facts-and-circumstances test—2010.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a and line 15 is 10% or more, and if the organization meets the "facts and circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts and circumstances" test The organization qualifies as a publicly supported organization | <input type="checkbox"/> |
| 18                                                  | <b>Private Foundation</b> If the organization did not check a box on line 13, 16a, 16b, 17a or 17b, check this box and see instructions                                                                                                                                                                                                                                                                | <input type="checkbox"/> |

Part IIISupport Schedule for Organizations Described in IRC 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

| Section A. Public Support                                                                                                                                                  |             |             |             |             |             |               |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-------------|-------------|-------------|-------------|---------------|
| Calendar year (or fiscal year beginning in)                                                                                                                                | (a) 2007    | (b) 2008    | (c) 2009    | (d) 2010    | (e) 2011    | (f) Total     |
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")                                                                        |             |             |             |             |             |               |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | 286,869,604 | 307,352,469 | 347,282,315 | 361,989,545 | 360,794,350 | 1,664,288,283 |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513                                                                             |             |             |             |             |             |               |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf                                                                          |             |             |             |             |             |               |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge                                                                  |             |             |             |             |             |               |
| 6 Total. Add lines 1 through 5                                                                                                                                             | 286,869,604 | 307,352,469 | 347,282,315 | 361,989,545 | 360,794,350 | 1,664,288,283 |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons                                                                                                |             |             |             |             |             |               |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year           | 95,552,709  | 118,015,256 | 115,756,636 | 115,845,139 | 121,175,441 | 566,345,181   |
| c Add lines 7a and 7b                                                                                                                                                      | 95,552,709  | 118,015,256 | 115,756,636 | 115,845,139 | 121,175,441 | 566,345,181   |
| 8 Public Support (Subtract line 7c from line 6.)                                                                                                                           |             |             |             |             |             | 1,097,943,102 |

| Section B. Total Support                                                                                                                                                |                          |             |             |             |             |               |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|-------------|-------------|-------------|-------------|---------------|
| Calendar year (or fiscal year beginning in)                                                                                                                             | (a) 2007                 | (b) 2008    | (c) 2009    | (d) 2010    | (e) 2011    | (f) Total     |
| 9 Amounts from line 6                                                                                                                                                   | 286,869,604              | 307,352,469 | 347,282,315 | 361,989,545 | 360,794,350 | 1,664,288,283 |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources                                      | 11,035,502               | 7,899,095   | 6,502,035   | 23,624,183  | 5,520,706   | 54,581,521    |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975                                                               |                          |             |             |             |             |               |
| c Add lines 10a and 10b                                                                                                                                                 | 11,035,502               | 7,899,095   | 6,502,035   | 23,624,183  | 5,520,706   | 54,581,521    |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on                                          |                          |             |             |             |             |               |
| 12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV.)                                                                       | 1,448,974                | 2,085,030   | 1,276,315   | 1,448,243   | 2,002,177   | 8,260,739     |
| 13 Total support (Add lines 9, 10c, 11 and 12.)                                                                                                                         | 299,354,080              | 317,336,594 | 355,060,665 | 387,061,971 | 368,317,233 | 1,727,130,543 |
| 14 First Five Years If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here | <input type="checkbox"/> |             |             |             |             |               |

| Section C. Computation of Public Support Percentage                                     |    |         |  |
|-----------------------------------------------------------------------------------------|----|---------|--|
| 15 Public Support Percentage for 2011 (line 8 column (f) divided by line 13 column (f)) | 15 | 63.570% |  |
| 16 Public support percentage from 2010 Schedule A, Part III, line 15                    | 16 | 62.992% |  |

| Section D. Computation of Investment Income Percentage |                                                                                                                                                                                                                                                                                                                                                                       |    |         |
|--------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|
| 17                                                     | Investment income percentage for <b>2011</b> (line 10c column (f) divided by line 13 column (f))                                                                                                                                                                                                                                                                      | 17 | 3 160 % |
| 18                                                     | Investment income percentage from <b>2010</b> Schedule A, Part III, line 17                                                                                                                                                                                                                                                                                           | 18 | 4 245 % |
| 19a                                                    | <b>33 1/3% support tests—2011.</b> If the organization did not check the box on line 14, and line 15 is more than 33 1/3% and line 17 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization            |    |         |
| b                                                      | <b>33 1/3% support tests—2010.</b> If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and <b>stop here</b> . The organization qualifies as a publicly supported organization  |    |         |
| 20                                                     | <b>Private Foundation</b> If the organization did not check a box on line 14, 19a or 19b, check this box and see instructions                                                                                                                                                    |    |         |

Part IV

**Supplemental Information.** Supplemental Information. Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Also complete this part for any additional information. (See instructions).

| Facts And Circumstances Test |
|------------------------------|
|                              |

| Explanation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Line 2 Beginning with the redesigned 2008 Form 990, EPRI is reporting its membership dues as gross receipts These payments are made in connection with the performance of tax exempt scientific research in the public interest, which by definition also incidentally benefits EPRI members who may in certain circumstances have a direct and immediate benefit from such research The research results may also in certain circumstances have a more direct benefit to the EPRI members than to the general public contemplated within Treasury Regulation Section 1.509(a)-3(g)(2) Line 12 Other income includes income from previously published scientific research reports and software available for sale to the general public and income from related scientific research activities conducted by EPRI in the public interest |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

Additional Data

Software ID:

Software Version:

EIN: 23-7175375

Name: Electric Power Research Institute Inc

Form 990, Special Condition Description:

|                               |
|-------------------------------|
| Special Condition Description |
|-------------------------------|

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

| (A)<br>Name and Title                         | (B)<br>Average<br>hours<br>per<br>week | (C)<br>Position (check all<br>that apply) |                       |         |              |                                 |        | (D)<br>Reportable<br>compensation<br>from the<br>organization (W-<br>2/1099-MISC) | (E)<br>Reportable<br>compensation<br>from related<br>organizations<br>(W- 2/1099-<br>MISC) | (F)<br>Estimated<br>amount of other<br>compensation<br>from the<br>organization and<br>related<br>organizations |
|-----------------------------------------------|----------------------------------------|-------------------------------------------|-----------------------|---------|--------------|---------------------------------|--------|-----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
|                                               |                                        | Individual trustee<br>or director         | Institutional Trustee | Officer | Key employee | Highest compensated<br>employee | Former |                                                                                   |                                                                                            |                                                                                                                 |
| THEODORE F CRAVER JR<br>CHAIRMAN OF THE BOARD | 10                                     | X                                         |                       | X       |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| NICHOLAS K AKINS<br>VICE CHAIRMAN             | 10                                     | X                                         |                       | X       |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| GERARD M ANDERSON<br>DIRECTOR                 | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| KENNETH J ANDERSON<br>DIRECTOR                | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| JAY APT<br>DIRECTOR                           | 10                                     | X                                         |                       |         |              |                                 |        | 6,500                                                                             | 0                                                                                          | 0                                                                                                               |
| PATRICK R ATKINS<br>DIRECTOR                  | 10                                     | X                                         |                       |         |              |                                 |        | 7,250                                                                             | 0                                                                                          | 0                                                                                                               |
| YVES BAMBERGER<br>DIRECTOR                    | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| JOHN A BOHN<br>DIRECTOR                       | 10                                     | X                                         |                       |         |              |                                 |        | 1,500                                                                             | 0                                                                                          | 0                                                                                                               |
| W TERRY BOSTON<br>DIRECTOR                    | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| CAREN BYRD<br>DIRECTOR                        | 10                                     | X                                         |                       |         |              |                                 |        | 1,150                                                                             | 0                                                                                          | 0                                                                                                               |
| DAVID CAMPBELL<br>DIRECTOR                    | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| JORGE CARRASCO<br>DIRECTOR                    | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| DANIEL F COLE<br>DIRECTOR                     | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| PAT K COLLAWN<br>DIRECTOR                     | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| ERROL B DAVIS JR<br>DIRECTOR                  | 10                                     | X                                         |                       |         |              |                                 |        | 1,500                                                                             | 0                                                                                          | 0                                                                                                               |
| BENJAMIN G S FOWKE III<br>DIRECTOR            | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| W GARY GATES<br>DIRECTOR                      | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| KIMBERLY S GREENE<br>DIRECTOR                 | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| JAMES Y KERR II<br>DIRECTOR                   | 10                                     | X                                         |                       |         |              |                                 |        | 750                                                                               | 0                                                                                          | 0                                                                                                               |
| JONG-SHIN KIM<br>DIRECTOR                     | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| GALE E KLAPPA<br>DIRECTOR                     | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| CONSTANCE H LAU<br>DIRECTOR                   | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| GARY R LEIDICH<br>DIRECTOR                    | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| STEPHEN J LENNON<br>DIRECTOR                  | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |
| JEFFREY J LYASH<br>DIRECTOR                   | 10                                     | X                                         |                       |         |              |                                 |        | 0                                                                                 | 0                                                                                          | 0                                                                                                               |

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

| (A)<br>Name and Title                                 | (B)<br>Average hours per week | (C)<br>Position (check all that apply) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W- 2/1099-MISC ) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|-------------------------------------------------------|-------------------------------|----------------------------------------|-----------------------|---------|--------------|------------------------------|--------|----------------------------------------------------------------------|-----------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
|                                                       |                               | Individual trustee or director         | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former |                                                                      |                                                                             |                                                                                               |
| DENIS P O'BRIEN<br>DIRECTOR                           | 1 0                           | X                                      |                       |         |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| GIL C QUINIONES<br>DIRECTOR                           | 1 0                           | X                                      |                       |         |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| DAVID SAGGAU<br>DIRECTOR                              | 1 0                           | X                                      |                       |         |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| MARK T SAVOFF<br>DIRECTOR                             | 1 0                           | X                                      |                       |         |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| THOMAS A SMITH<br>DIRECTOR                            | 1 0                           | X                                      |                       |         |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| THOMAS R STANDISH<br>DIRECTOR                         | 1 0                           | X                                      |                       |         |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| ANTHONY J TOPAZI<br>DIRECTOR                          | 1 0                           | X                                      |                       |         |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| STEPHEN J WRIGHT<br>DIRECTOR                          | 1 0                           | X                                      |                       |         |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| MICHAEL H DWORKIN<br>DIRECTOR CY PT                   | 1 0                           | X                                      |                       |         |              |                              |        | 5,750                                                                | 0                                                                           | 0                                                                                             |
| RICHARD C KELLY<br>DIRECTOR CY PT                     | 1 0                           | X                                      |                       |         |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| YAKOUT MANSOOR<br>DIRECTOR CY PT                      | 1 0                           | X                                      |                       |         |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| ERNEST J MONIZ<br>DIRECTOR CY PT                      | 1 0                           | X                                      |                       |         |              |                              |        | 750                                                                  | 0                                                                           | 0                                                                                             |
| RICHARD H SILVERMAN<br>CHAIRMAN OF THE BOARD CYPT     | 1 0                           | X                                      |                       | X       |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| ICHIRO TAKEKURO<br>DIRECTOR CYPT                      | 1 0                           | X                                      |                       |         |              |                              |        | 0                                                                    | 0                                                                           | 0                                                                                             |
| MICHAEL W HOWARD<br>PRESIDENT AND CEO                 | 40 0                          |                                        |                       | X       |              |                              |        | 924,500                                                              | 0                                                                           | 628,888                                                                                       |
| HENRY A COURTRIGHT<br>SVP MEMBER AND EXTRN RELATION   | 40 0                          |                                        |                       | X       |              |                              |        | 645,812                                                              | 0                                                                           | 298,354                                                                                       |
| NORMA FORMANEK<br>SVP GEN COUNSEL AND INST GOV        | 40 0                          |                                        |                       | X       |              |                              |        | 541,925                                                              | 0                                                                           | 263,710                                                                                       |
| CHRISTIAN B LARSEN<br>SVP OPERATIONS AND INTERIM CFO  | 40 0                          |                                        |                       | X       |              |                              |        | 371,745                                                              | 0                                                                           | 300,188                                                                                       |
| ARSHAD MANSOOR<br>SVP RESEARCH AND DVPMT              | 40 0                          |                                        |                       | X       |              |                              |        | 546,166                                                              | 0                                                                           | 399,550                                                                                       |
| C THOMAS ALLEY JR<br>VP GENERATION                    | 40 0                          |                                        |                       | X       |              |                              |        | 354,026                                                              | 0                                                                           | 206,083                                                                                       |
| SALVADOR A CASENTE JR<br>VP LEGAL ASST CORP SECRETARY | 40 0                          |                                        |                       | X       |              |                              |        | 400,329                                                              | 0                                                                           | 186,640                                                                                       |
| ROBERT N CHAPMAN<br>VP TECHNICAL ADVISORY SVCS        | 40 0                          |                                        |                       | X       |              |                              |        | 415,040                                                              | 0                                                                           | 207,770                                                                                       |
| BRYAN J HANNEGAN<br>VP ENVIR AND RENEWABLE ENERGY     | 40 0                          |                                        |                       | X       |              |                              |        | 473,410                                                              | 0                                                                           | 220,235                                                                                       |
| MARK MCGRANAGHAN<br>VP POWER DELIVERY AND UTIL        | 40 0                          |                                        |                       | X       |              |                              |        | 361,712                                                              | 0                                                                           | 202,475                                                                                       |
| DENNIS J MURPHY<br>VP MARKETING                       | 40 0                          |                                        |                       | X       |              |                              |        | 417,101                                                              | 0                                                                           | 202,464                                                                                       |

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

| (A)<br>Name and Title                       | (B)<br>Average hours per week | (C)<br>Position (check all that apply) |                       |         |              |                              |        | (D)<br>Reportable compensation from the organization (W-2/1099-MISC) | (E)<br>Reportable compensation from related organizations (W-2/1099-MISC) | (F)<br>Estimated amount of other compensation from the organization and related organizations |
|---------------------------------------------|-------------------------------|----------------------------------------|-----------------------|---------|--------------|------------------------------|--------|----------------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
|                                             |                               | Individual trustee or director         | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former |                                                                      |                                                                           |                                                                                               |
| CAROLYN SHOCKLEY<br>VP HUMAN RESOURCES      | 40 0                          |                                        |                       | X       |              |                              |        | 472,224                                                              | 0                                                                         | 250,179                                                                                       |
| NEIL M WILMSHURST<br>VP NUCLEAR             | 40 0                          |                                        |                       | X       |              |                              |        | 430,442                                                              | 0                                                                         | 247,497                                                                                       |
| STEVEN T YAMAMOTO<br>CFO AND TREASURER CYPT | 40 0                          |                                        |                       | X       |              |                              |        | 501,045                                                              | 0                                                                         | 30,173                                                                                        |
| NORRIS S HIROTA<br>DIRECTOR GENERATION      | 40 0                          |                                        |                       |         |              | X                            |        | 340,481                                                              | 0                                                                         | 159,743                                                                                       |
| GEORGE R OFFEN<br>SR TECHNICAL EXECUTIVE    | 40 0                          |                                        |                       |         |              | X                            |        | 332,676                                                              | 0                                                                         | 146,766                                                                                       |
| TUAN NGUYEN<br>DIR OF OPERATIONS & CIO      | 40 0                          |                                        |                       |         |              | X                            |        | 320,216                                                              |                                                                           | 138,960                                                                                       |
| MICHAEL MILLER<br>DIRECTOR ENVIRONMENT      | 40 0                          |                                        |                       |         |              | X                            |        | 331,309                                                              | 0                                                                         | 154,376                                                                                       |
| DAVID STEININGER<br>SR TECHNICAL EXECUTIVE  | 40 0                          |                                        |                       |         |              | X                            |        | 291,911                                                              | 0                                                                         | 90,901                                                                                        |
| STEVEN R SPECKER<br>PRESIDENT AND CEO       |                               |                                        |                       |         |              |                              | X      | 534,949                                                              | 0                                                                         | 3,480                                                                                         |
| DAVID J MODEEN<br>DIRECTOR EXTERNAL AFFAIRS | 40 0                          |                                        |                       |         |              |                              | X      | 379,225                                                              | 0                                                                         | 166,390                                                                                       |
| CLARK W GELLINGS<br>EPRI FELLOW             | 40 0                          |                                        |                       |         |              |                              | X      | 363,207                                                              | 0                                                                         | 157,751                                                                                       |
| ROSA L YANG<br>SENIOR TECHNICAL EXECUTIVE   | 40 0                          |                                        |                       |         |              |                              | X      | 375,305                                                              | 0                                                                         | 168,247                                                                                       |

SCHEDULE C  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below.  
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

If the organization answered “Yes,” to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes,” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes,” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

|                                                                   |                                              |
|-------------------------------------------------------------------|----------------------------------------------|
| Name of the organization<br>Electric Power Research Institute Inc | Employer identification number<br>23-7175375 |
|-------------------------------------------------------------------|----------------------------------------------|

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

|   |                                                                                                                                                                        |      |
|---|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------|
| 1 | Provide a description of the organization's direct and indirect political campaign activities on behalf of or in opposition to candidates for public office in Part IV |      |
| 2 | Political expenditures                                                                                                                                                 | ▶ \$ |
| 3 | Volunteer hours                                                                                                                                                        |      |

Part I-B Complete if the organization is exempt under section 501(c)(3).

|    |                                                                                         |                                                          |
|----|-----------------------------------------------------------------------------------------|----------------------------------------------------------|
| 1  | Enter the amount of any excise tax incurred by the organization under section 4955      | ▶ \$                                                     |
| 2  | Enter the amount of any excise tax incurred by organization managers under section 4955 | ▶ \$                                                     |
| 3  | If the organization incurred a section 4955 tax, did it file Form 4720 for this year?   | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 4a | Was a correction made?                                                                  | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| b  | If "Yes," describe in Part IV                                                           |                                                          |

Part I-C Complete if the organization is exempt under section 501(c) except section 501(c)(3).

|   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                          |
|---|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------|
| 1 | Enter the amount directly expended by the filing organization for section 527 exempt function activities                                                                                                                                                                                                                                                                                                                                                                                                                                | ▶ \$                                                     |
| 2 | Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt funtion activities                                                                                                                                                                                                                                                                                                                                                                                                        | ▶ \$                                                     |
| 3 | Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b                                                                                                                                                                                                                                                                                                                                                                                                                                          | ▶ \$                                                     |
| 4 | Did the filing organization file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 5 | Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV |                                                          |

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds If none, enter -0- | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0- |
|----------|-------------|---------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------|
|          |             |         |                                                                     |                                                                                                                                            |
|          |             |         |                                                                     |                                                                                                                                            |
|          |             |         |                                                                     |                                                                                                                                            |
|          |             |         |                                                                     |                                                                                                                                            |
|          |             |         |                                                                     |                                                                                                                                            |
|          |             |         |                                                                     |                                                                                                                                            |
|          |             |         |                                                                     |                                                                                                                                            |

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A

Check

☐

if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B

Check

☐

if the filing organization checked box A and "limited control" provisions apply

| Limits on Lobbying Expenditures<br>(The term "expenditures" means amounts paid or incurred.)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                   | (a) Filing<br>Organization's<br>Totals                   | (b) Affiliated<br>Group<br>Totals  |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------|----------------------------------------------------------|------------------------------------|--------------------|------------------------------|-----------------------------------------|-------------------------------------------------|-------------------------------------------|---------------------------------------------------|--------------------------------------------|--------------------------------------------------|-------------------|-------------|--|--|
| 1a Total lobbying expenditures to influence public opinion (grass roots lobbying)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                   |                                                          |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| b Total lobbying expenditures to influence a legislative body (direct lobbying)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                   | 24,113                                                   |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| c Total lobbying expenditures (add lines 1a and 1b)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                   | 24,113                                                   |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| d Other exempt purpose expenditures                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                   | 268,247,714                                              |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| e Total exempt purpose expenditures (add lines 1c and 1d)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                   | 268,271,827                                              |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| f Lobbying nontaxable amount Enter the amount from the following table in both columns                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                   | 1,000,000                                                |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| <table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table> |                                                   | If the amount on line 1e, column (a) or (b) is:          | The lobbying nontaxable amount is: | Not over \$500,000 | 20% of the amount on line 1e | Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000 | Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000 | Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000 | Over \$17,000,000 | \$1,000,000 |  |  |
| If the amount on line 1e, column (a) or (b) is:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | The lobbying nontaxable amount is:                |                                                          |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| Not over \$500,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 20% of the amount on line 1e                      |                                                          |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| Over \$500,000 but not over \$1,000,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | \$100,000 plus 15% of the excess over \$500,000   |                                                          |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| Over \$1,000,000 but not over \$1,500,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | \$175,000 plus 10% of the excess over \$1,000,000 |                                                          |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| Over \$1,500,000 but not over \$17,000,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | \$225,000 plus 5% of the excess over \$1,500,000  |                                                          |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| Over \$17,000,000                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | \$1,000,000                                       |                                                          |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| g Grassroots nontaxable amount (enter 25% of line 1f)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                   | 250,000                                                  |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| h Subtract line 1g from line 1a If zero or less, enter -0-                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                   |                                                          |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| i Subtract line 1f from line 1c If zero or less, enter -0-                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                   |                                                          |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |
| j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                   | <input type="checkbox"/> Yes <input type="checkbox"/> No |                                    |                    |                              |                                         |                                                 |                                           |                                                   |                                            |                                                  |                   |             |  |  |

4-Year Averaging Period Under Section 501(h)  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

| Lobbying Expenditures During 4-Year Averaging Period         |           |           |           |           |           |
|--------------------------------------------------------------|-----------|-----------|-----------|-----------|-----------|
| Calendar year (or fiscal year beginning in)                  | (a) 2008  | (b) 2009  | (c) 2010  | (d) 2011  | (e) Total |
| 2a Lobbying non-taxable amount                               | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 4,000,000 |
| b Lobbying ceiling amount<br>(150% of line 2a, column(e))    |           |           |           |           | 6,000,000 |
| c Total lobbying expenditures                                | 79,694    | 44,668    | 10,262    | 24,113    | 158,737   |
| d Grassroots non-taxable amount                              | 250,000   | 250,000   | 250,000   | 250,000   | 1,000,000 |
| e Grassroots ceiling amount<br>(150% of line 2d, column (e)) |           |           |           |           | 1,500,000 |
| f Grassroots lobbying expenditures                           |           |           |           |           |           |

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

|    |                                                                                                                                                                                                                              | (a) |    | (b)    |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|--------|
|    |                                                                                                                                                                                                                              | Yes | No | Amount |
| 1  | During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of |     |    |        |
| a  | Volunteers?                                                                                                                                                                                                                  |     |    |        |
| b  | Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?                                                                                                                                 |     |    |        |
| c  | Media advertisements?                                                                                                                                                                                                        |     |    |        |
| d  | Mailings to members, legislators, or the public?                                                                                                                                                                             |     |    |        |
| e  | Publications, or published or broadcast statements?                                                                                                                                                                          |     |    |        |
| f  | Grants to other organizations for lobbying purposes?                                                                                                                                                                         |     |    |        |
| g  | Direct contact with legislators, their staffs, government officials, or a legislative body?                                                                                                                                  |     |    |        |
| h  | Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?                                                                                                                                    |     |    |        |
| i  | Other activities? If "Yes," describe in Part IV                                                                                                                                                                              |     |    |        |
| j  | Total lines 1c through 1i                                                                                                                                                                                                    |     |    |        |
| 2a | Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?                                                                                                                                |     |    |        |
| b  | If "Yes," enter the amount of any tax incurred under section 4912                                                                                                                                                            |     |    |        |
| c  | If "Yes," enter the amount of any tax incurred by organization managers under section 4912                                                                                                                                   |     |    |        |
| d  | If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?                                                                                                                                 |     |    |        |

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

|   |                                                                                                  |   | Yes | No |
|---|--------------------------------------------------------------------------------------------------|---|-----|----|
| 1 | Were substantially all (90% or more) dues received nondeductible by members?                     | 1 |     |    |
| 2 | Did the organization make only in-house lobbying expenditures of \$2,000 or less?                | 2 |     |    |
| 3 | Did the organization agree to carryover lobbying and political expenditures from the prior year? | 3 |     |    |

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes".

|   |                                                                                                                                                                                                                                            |    |  |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--|
| 1 | Dues, assessments and similar amounts from members                                                                                                                                                                                         | 1  |  |
| 2 | Section 162(e) non-deductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).                                                                                | 2a |  |
| a | Current year                                                                                                                                                                                                                               |    |  |
| b | Carryover from last year                                                                                                                                                                                                                   |    |  |
| c | Total                                                                                                                                                                                                                                      |    |  |
| 3 | Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues                                                                                                                                            | 3  |  |
| 4 | If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4  |  |
| 5 | Taxable amount of lobbying and political expenditures (see instructions)                                                                                                                                                                   | 5  |  |

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, and Part II-B, line 1i. Also, complete this part for any additional information.

| Identifier | Return Reference | Explanation |
|------------|------------------|-------------|
|------------|------------------|-------------|

SCHEDULE D  
(Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2011

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b  
▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization  
Electric Power Research Institute Inc

Employer identification number  
23-7175375

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

|   |                                                                                                                                                                                                                                                                                                                                        |                              |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|
|   | (a) Donor advised funds                                                                                                                                                                                                                                                                                                                | (b) Funds and other accounts |
| 1 | Total number at end of year                                                                                                                                                                                                                                                                                                            |                              |
| 2 | Aggregate contributions to (during year)                                                                                                                                                                                                                                                                                               |                              |
| 3 | Aggregate grants from (during year)                                                                                                                                                                                                                                                                                                    |                              |
| 4 | Aggregate value at end of year                                                                                                                                                                                                                                                                                                         |                              |
| 5 | Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>                                                           |                              |
| 6 | Did the organization inform all grantees, donors, and donor advisors in writing that grant funds may be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit <div><input type="checkbox"/> Yes <input type="checkbox"/> No</div> |                              |

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or pleasure) ☐ Preservation of an historically importantly land area  
☐ Protection of natural habitat ☐ Preservation of a certified historic structure  
☐ Preservation of open space

2 Complete lines 2a–2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

|   |                                                                                    |
|---|------------------------------------------------------------------------------------|
|   | Held at the End of the Year                                                        |
| a | Total number of conservation easements                                             |
| b | Total acreage restricted by conservation easements                                 |
| c | Number of conservation easements on a certified historic structure included in (a) |
| d | Number of conservation easements included in (c) acquired after 8/17/06            |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the taxable year ▶ \_\_\_\_\_

4 Number of states where property subject to conservation easement is located ▶ \_\_\_\_\_

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting and enforcing conservation easements during the year ▶ \_\_\_\_\_

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ \_\_\_\_\_

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X ▶ \$ \_\_\_\_\_

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ \$ \_\_\_\_\_

b Assets included in Form 990, Part X ▶ \$ \_\_\_\_\_

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's accession and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

d

☐ Loan or exchange programs

b

☐ Scholarly research

e

☐ Other

c

☐ Preservation for future generations

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

|    |        |
|----|--------|
|    | Amount |
| 1c |        |
| 1d |        |
| 1e |        |
| 1f |        |

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIV

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

|    |                                                          |               |                   |                     |                    |
|----|----------------------------------------------------------|---------------|-------------------|---------------------|--------------------|
|    | (a)Current Year                                          | (b)Prior Year | (c)Two Years Back | (d)Three Years Back | (e)Four Years Back |
| 1a | Beginning of year balance . . . . .                      |               |                   |                     |                    |
| b  | Contributions . . . . .                                  |               |                   |                     |                    |
| c  | Investment earnings or losses . . . . .                  |               |                   |                     |                    |
| d  | Grants or scholarships . . . . .                         |               |                   |                     |                    |
| e  | Other expenditures for facilities and programs . . . . . |               |                   |                     |                    |
| f  | Administrative expenses . . . . .                        |               |                   |                     |                    |
| g  | End of year balance . . . . .                            |               |                   |                     |                    |

2

Provide the estimated percentage of the year end balance held as

a

Board designated or quasi-endowment ▶

b

Permanent endowment ▶

c

Term endowment ▶

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations . . . . .

(ii) related organizations . . . . .

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? . . . . .

4

Describe in Part XIV the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment. See Form 990, Part X, line 10.

| Description of property                                                                                | (a) Cost or other basis (investment) | (b)Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|--------------------------------------------------------------------------------------------------------|--------------------------------------|--------------------------------|------------------------------|----------------|
| 1a Land . . . . .                                                                                      |                                      | 2,293,299                      |                              | 2,293,299      |
| b Buildings . . . . .                                                                                  |                                      | 59,429,338                     | 36,855,538                   | 22,573,800     |
| c Leasehold improvements . . . . .                                                                     |                                      | 4,346,085                      | 3,410,120                    | 935,965        |
| d Equipment . . . . .                                                                                  |                                      | 24,240,313                     | 15,502,154                   | 8,738,159      |
| e Other . . . . .                                                                                      |                                      | 24,127,743                     | 14,533,429                   | 9,594,314      |
| Total. Add lines 1a-1e (Column (d) should equal Form 990, Part X, column (B), line 10(c).) . . . . . ▶ |                                      |                                |                              | 44,135,537     |



Part XI

Reconciliation of Change in Net Assets from Form 990 to Financial Statements

|    |                                                                                 |    |  |
|----|---------------------------------------------------------------------------------|----|--|
| 1  | Total revenue (Form 990, Part VIII, column (A), line 12)                        | 1  |  |
| 2  | Total expenses (Form 990, Part IX, column (A), line 25)                         | 2  |  |
| 3  | Excess or (deficit) for the year Subtract line 2 from line 1                    | 3  |  |
| 4  | Net unrealized gains (losses) on investments                                    | 4  |  |
| 5  | Donated services and use of facilities                                          | 5  |  |
| 6  | Investment expenses                                                             | 6  |  |
| 7  | Prior period adjustments                                                        | 7  |  |
| 8  | Other (Describe in Part XIV)                                                    | 8  |  |
| 9  | Total adjustments (net) Add lines 4 - 8                                         | 9  |  |
| 10 | Excess or (deficit) for the year per financial statements Combine lines 3 and 9 | 10 |  |

Part XII

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

|   |                                                                                            |    |  |
|---|--------------------------------------------------------------------------------------------|----|--|
| 1 | Total revenue, gains, and other support per audited financial statements . . . . .         | 1  |  |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12                         |    |  |
| a | Net unrealized gains on investments . . . . .                                              | 2a |  |
| b | Donated services and use of facilities . . . . .                                           | 2b |  |
| c | Recoveries of prior year grants . . . . .                                                  | 2c |  |
| d | Other (Describe in Part XIV) . . . . .                                                     | 2d |  |
| e | Add lines 2a through 2d . . . . .                                                          | 2e |  |
| 3 | Subtract line 2e from line 1 . . . . .                                                     | 3  |  |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1                        |    |  |
| a | Investment expenses not included on Form 990, Part VIII, line 7b . . . . .                 | 4a |  |
| b | Other (Describe in Part XIV) . . . . .                                                     | 4b |  |
| c | Add lines 4a and 4b . . . . .                                                              | 4c |  |
| 5 | Total Revenue Add lines 3 and 4c. (This should equal Form 990, Part I, line 12 ) . . . . . | 5  |  |

Part XIII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

|   |                                                                                             |    |  |
|---|---------------------------------------------------------------------------------------------|----|--|
| 1 | Total expenses and losses per audited financial statements . . . . .                        | 1  |  |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25                            |    |  |
| a | Donated services and use of facilities . . . . .                                            | 2a |  |
| b | Prior year adjustments . . . . .                                                            | 2b |  |
| c | Other losses . . . . .                                                                      | 2c |  |
| d | Other (Describe in Part XIV) . . . . .                                                      | 2d |  |
| e | Add lines 2a through 2d . . . . .                                                           | 2e |  |
| 3 | Subtract line 2e from line 1 . . . . .                                                      | 3  |  |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1:                          |    |  |
| a | Investment expenses not included on Form 990, Part VIII, line 7b . . . . .                  | 4a |  |
| b | Other (Describe in Part XIV) . . . . .                                                      | 4b |  |
| c | Add lines 4a and 4b . . . . .                                                               | 4c |  |
| 5 | Total expenses Add lines 3 and 4c. (This should equal Form 990, Part I, line 18 ) . . . . . | 5  |  |

Part XIV

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, Part XI, line 8, Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

| Identifier                 | Return Reference | Explanation                                                                                                                                                                                                                                                                                         |
|----------------------------|------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Schedule D, Part X, Line 2 |                  | EPRI adopted FIN48 (codified as ASC740) in 2009. The adoption in 2009 did not have a material impact on the EPRI financial statements. There was no change in 2010 or 2011. As a result, the financial statements do not have a FIN48 liability recorded and a footnote for FIN48 was not required. |

SCHEDULE F  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,  
Part IV, line 14b, 15, or 16.  
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2011

Open to Public  
Inspection

Name of the organization  
Electric Power Research Institute Inc

Employer identification number  
23-7175375

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1

For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .

☒ Yes

☐ No

2

For grantmakers. Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States

3

Activites per Region (Use Part V if additional space is needed )

| (a) Region                                 | (b) Number of offices in the region | (c) Number of employees or agents in region or independent contractors | (d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region/investments in region |
|--------------------------------------------|-------------------------------------|------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|---------------------------------------------------------|
| East Asia and the Pacific                  | 0                                   | 0                                                                      | Program Services                                                                                                                            | R&D PROJECT                                                                                        | 2,351,381                                               |
| Europe (Including Iceland and Greenland)   | 0                                   | 1                                                                      | Program Services                                                                                                                            | R&D PROJECT                                                                                        | 17,445,492                                              |
| Middle East and North Africa               | 0                                   | 0                                                                      | Program Services                                                                                                                            | R&D PROJECT                                                                                        | 49,248                                                  |
| North America                              | 0                                   | 0                                                                      | Program Services                                                                                                                            | R&D PROJECT                                                                                        | 3,437,215                                               |
| Russia and the Newly Independent States    | 0                                   | 0                                                                      | Program Services                                                                                                                            | R&D PROJECT                                                                                        | 14,000                                                  |
| South America                              | 0                                   | 0                                                                      | Program Services                                                                                                                            | R&D PROJECT                                                                                        | 50,605                                                  |
| Sub-Saharan Africa                         | 0                                   | 0                                                                      | Program Services                                                                                                                            | R&D PROJECT                                                                                        | 30,274                                                  |
| North America                              | 0                                   | 0                                                                      | Grantmaking                                                                                                                                 | SPONSORSHIP                                                                                        | 51,768                                                  |
| Sub-Saharan Africa                         | 0                                   | 0                                                                      | Grantmaking                                                                                                                                 | sponsorship                                                                                        | 2,000                                                   |
| East Asia and the Pacific                  | 0                                   | 0                                                                      | Unrelated Trade or Business                                                                                                                 |                                                                                                    | 593,091                                                 |
| Europe (Including Iceland and Greenland)   | 0                                   | 0                                                                      | Unrelated Trade or Business                                                                                                                 |                                                                                                    | 2,044,664                                               |
| North America                              | 0                                   | 0                                                                      | Unrelated Trade or Business                                                                                                                 |                                                                                                    | 25,885                                                  |
| East Asia and the Pacific                  | 0                                   | 0                                                                      | Investments                                                                                                                                 |                                                                                                    | 10,969,414                                              |
| Europe (Including Iceland and Greenland)   | 0                                   | 0                                                                      | Investments                                                                                                                                 |                                                                                                    | 34,970,561                                              |
| North America                              | 0                                   | 0                                                                      | Investments                                                                                                                                 |                                                                                                    | 11,679,780                                              |
|                                            |                                     |                                                                        |                                                                                                                                             |                                                                                                    |                                                         |
|                                            |                                     |                                                                        |                                                                                                                                             |                                                                                                    |                                                         |
| 3a Sub-total                               | 0                                   | 1                                                                      |                                                                                                                                             |                                                                                                    | 83,715,378                                              |
| b Total from continuation sheets to Part I |                                     |                                                                        |                                                                                                                                             |                                                                                                    |                                                         |
| c Totals (add lines 3a and 3b)             | 0                                   | 1                                                                      |                                                                                                                                             |                                                                                                    | 83,715,378                                              |

Part II

Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Check this box if no one recipient received more than \$5,000 . . . . . ☐

Use Part V if additional space is needed.

| 1 | (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region    | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|--------------------------|----------------------------------------------|---------------|----------------------|--------------------------|---------------------------------|-----------------------------------|----------------------------------------|-------------------------------------------------------|
|   |                          |                                              | North America | CONTRIBUTION         | 30,268                   | WIRE                            |                                   |                                        |                                                       |
|   |                          |                                              | North America | CONTRIBUTION         | 20,000                   | CHECK                           |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |
|   |                          |                                              |               |                      |                          |                                 |                                   |                                        |                                                       |

2

Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . .

2

3

Enter total number of other organizations or entities . . . . .

0

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16.  
Use Part V if additional space is needed.

[illegible]

**Part IV Foreign Forms**

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926 (see instructions for Form 926)*

☐

Yes

☒

No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If " Yes," the organization may be required to file Form 3520 and/or Form 3520-A. (see instructions for Forms 3520 and 3520-A)*

☐

Yes

☒

No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with respect to Certain Foreign Corporations. (see instructions for Form 5471)*

☐

Yes

☒

No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see instructions for Form 8621)*

☐

Yes

☒

No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with respect to Certain Foreign Partnerships. (see instructions for Form 8865)*

☐

Yes

☒

No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see instructions for Form 5713).*

☒

Yes

☐

No

## Supplemental Information

Complete this part to provide the information (see instructions) required in Part I, line 2, and any additional information.

[illegible]

Schedule I  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
Electric Power Research Institute Inc

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990

OMB No 1545-0047

2011

Open to Public  
Inspection

Employer identification number  
23-7175375

Part I

General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21 for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed . . . . . ☐

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|----------------------------------------------------|---------|------------------------------------|--------------------------|-----------------------------------|-------------------------------------------------------|----------------------------------------|------------------------------------|
| See Additional Data Table                          |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
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|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |
|                                                    |         |                                    |                          |                                   |                                                       |                                        |                                    |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . 24

3 Enter total number of other organizations listed in the line 1 table . . . . . 0

Part IIIGrants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Use Schedule I-1 (Form 990) if additional space is needed.

| (a)Type of grant or assistance                         | (b)Number of recipients | (c)Amount of cash grant | (d)Amount of non-cash assistance | (e)Method of valuation (book, FMV, appraisal, other) | (f)Description of non-cash assistance |
|--------------------------------------------------------|-------------------------|-------------------------|----------------------------------|------------------------------------------------------|---------------------------------------|
| (1) HONORARIUM FOR PARTICIP ON SCIENTIFIC ADV COMM     | 3                       | 3,000                   |                                  |                                                      |                                       |
| (2) HONORARIUM FOR SPEAKING AT EPRI N2O OFFSETS WRKSHP | 1                       | 1,000                   |                                  |                                                      |                                       |
| (3) HONORARIUM FOR SPEAK AT SWITCHING & RELIAB CONF    | 1                       | 2,000                   |                                  |                                                      |                                       |
| (4) HONORARIUM FOR SPEAK AT GLOBAL CLIMATE CHNG SEM    | 2                       | 3,000                   |                                  |                                                      |                                       |
| (5) HONORARIUM FOR INPUT TO UNDRGRND DIST SYS MANUAL   | 2                       | 10,000                  |                                  |                                                      |                                       |
| (6) HOUSING STIPEND FOR INTERN                         | 1                       | 3,840                   |                                  |                                                      |                                       |
| (7) HONORARIUM FOR REVIEWING DATA TAPES                | 1                       | 1,000                   |                                  |                                                      |                                       |

Part IVSupplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

| Identifier                                             | Return Reference           | Explanation                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|--------------------------------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Monitoring the Use of Grant Funds in the United States | Schedule I, Part I, Line 2 | EPRI occasionally makes grants to other institutions when doing so is consistent with and furthers EPRI's charitable purpose. Grants are made only to entities which are well known to EPRI and after appropriate due diligence. The permitted uses of the granted funds are spelled out to the grantee and EPRI typically receives reports, technical papers, conference and similar materials demonstrating the appropriate use of the granted funds. |

Software ID:

Software Version:

EIN: 23-7175375

Name: Electric Power Research Institute Inc

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government                                    | (b) EIN    | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---------------------------------------------------------------------------------------|------------|------------------------------------|--------------------------|-----------------------------------|-------------------------------------------------------|----------------------------------------|------------------------------------|
| ALLIANCE FOR SUSTAINABLE ENERGY LLC1617 COLE BLVD GOLDEN,CO 80401                     | 26-1939342 | 501(c)(3)                          | 50,000                   |                                   |                                                       |                                        | CONTRIBUTION                       |
| AMERICAN COUNCIL ON RENEWABLE ENERGY1600 K STREET NW WASHINGTON DC,DC 20006           | 52-2353661 | 501(c)(3)                          | 50,000                   |                                   |                                                       |                                        | Contribution                       |
| ARIZONA STATE UNIVERSITY577 ENGINEERING RSRCH CTR TEMPE,AZ 85287                      | 86-0196696 | 115                                | 155,443                  |                                   |                                                       |                                        | Contribution                       |
| BATELLE ENERGY ALLIANCE LLC2525 N FREMONT AVE IDAHO FALLS,ID 83415                    | 68-0588324 | 501(c)(3)                          | 20,000                   |                                   |                                                       |                                        | Contribution                       |
| BIOELECTROMAGNETICS SOCIETY2412 COBBLESTONE WAY FREDERICK,MD 21702                    | 51-0229774 | 501(c)(3)                          | 10,000                   |                                   |                                                       |                                        | Contribution                       |
| CARNEGIE MELLON UNIVERSITY5000 FORBES AVE PITTSBURGH,PA 15213                         | 25-0969449 | 501(c)(3)                          | 218,793                  |                                   |                                                       |                                        | Contribution                       |
| FOREST TRENDS ASSOCIATION1050 POTOMAC ST NW WASHINGTON DC,DC 20007                    | 52-2135531 | 501(c)(3)                          | 30,000                   |                                   |                                                       |                                        | Contribution                       |
| GEORGIA TECH FOUNDATION INC777 ATLANTIC DRIVE NW ATLANTA,GA 30332                     | 58-6043294 | 501(c)(3)                          | 61,000                   |                                   |                                                       |                                        | Contribution                       |
| GORDON RESEARCH CONFERENCES512 LIBERTY LANE WEST KINGSTON,RI 02892                    | 05-0300482 | 501(c)(3)                          | 7,000                    |                                   |                                                       |                                        | Contribution                       |
| HARVARD UNIVERSITY29 OXFORD STREET CAMBRIDGE,MA 02138                                 | 53-0199180 | 501(c)(3)                          | 10,000                   |                                   |                                                       |                                        | Contribution                       |
| MICHIGAN TECH FUND 1400 TOWNSEND DRIVE HOUGHTON,MI 49930                              | 38-1554664 | 501(c)(3)                          | 15,000                   |                                   |                                                       |                                        | Contribution                       |
| MRIGLOBAL425 VOLKER BLVD KANSAS CITY,MO 64110                                         | 44-0545878 | 501(c)(3)                          | 100,000                  |                                   |                                                       |                                        | Contribution                       |
| RESOURCES FOR THE FUTURE INC1616 P STREET NW WASHINGTON DC,DC 20036                   | 53-0220900 | 501(c)(3)                          | 25,000                   |                                   |                                                       |                                        | Contribution                       |
| SOUTHWEST RESEARCH INSTITUTE6220 CULEBRA ROAD SAN ANTONIO,TX 78238                    | 74-1070544 | 501(c)(3)                          | 12,500                   |                                   |                                                       |                                        | Contribution                       |
| STANFORD UNIVERSITY TERMAN ENG CTR STANFORD,CA 94305                                  | 94-1156365 | 501(c)(3)                          | 466,356                  |                                   |                                                       |                                        | Contribution                       |
| THE TRUSTEES OF COLUMBIA UNIVERSITY 2950 BROADWAY NEW YORK,NY 10027                   | 13-5598093 | 501(c)(3)                          | 30,800                   |                                   |                                                       |                                        | Contribution                       |
| UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DRIVE LA JOLLA,CA 92093                | 95-2872494 | 501(c)(3)                          | 450,000                  |                                   |                                                       |                                        | Contribution                       |
| UNIVERSTIY OF ILLINOIS AT URBANA-CHAMPAIGN 1901 SOUTH FIRST STREET CHAMPAIGN,IL 61820 | 37-6000511 | 501(c)(3)                          | 13,522                   |                                   |                                                       |                                        | CONTRIBUTION                       |
| UNIVERSITY OF KENTUCKY301 PETERSON SRV BLDG LEXINGTON,KY 40506                        | 61-6033693 | 501(c)(3)                          | 50,000                   |                                   |                                                       |                                        | CONTRIBUTION                       |
| UNIVERSITY OF MINNESOTA2221 UNIV AVE SE MINNEAPOLIS,MN 55414                          | 41-6007513 | 115                                | 66,668                   |                                   |                                                       |                                        | CONTRIBUTION                       |

**Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| <b>(a)</b> Name and address of organization or government                | <b>(b)</b> EIN | <b>(c)</b> IRC Code section if applicable | <b>(d)</b> Amount of cash grant | <b>(e)</b> Amount of non-cash assistance | <b>(f)</b> Method of valuation (book, FMV, appraisal, other) | <b>(g)</b> Description of non-cash assistance | <b>(h)</b> Purpose of grant or assistance |
|--------------------------------------------------------------------------|----------------|-------------------------------------------|---------------------------------|------------------------------------------|--------------------------------------------------------------|-----------------------------------------------|-------------------------------------------|
| UNIVERSTIY OF NORTH DAKOTA15 NORTH 23RD ST FGRAND FORKS, ND 58202        | 45-6002491     | 501(c)(3)                                 | 25,000                          |                                          |                                                              |                                               | CONTRIBUTION                              |
| UNIVERSITY OF TENNESSEE118 PERKINS HALL KNOXVILLE, TN 37996              | 62-6001636     | 115                                       | 50,000                          |                                          |                                                              |                                               | CONTRIBUTION                              |
| UNIVERSITY OF WEST FLORIDA 11000 UNIVERSITY PKWY PENSACOLA, FL 32514     | 59-6166292     | 501(c)(3)                                 | 10,000                          |                                          |                                                              |                                               | CONTRIBUTION                              |
| UTILITY ARBORIST ASSOCIATES INC 2101 WEST PARK COURT CHAMPAIGN, IL 61821 | 37-1404021     | 501(c)(3)                                 | 43,940                          |                                          |                                                              |                                               | CONTRIBUTION                              |

Schedule J  
(Form 990)

Compensation Information

OMB No 1545-0047

2011

Open to Public Inspection

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization  
Electric Power Research Institute Inc

Employer identification number  
23-7175375

Part I

Questions Regarding Compensation

|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |     |     |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Yes | No  |
| 1a | Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.<br><div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Housing allowance or residence for personal use</div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div> |     |     |
| b  | If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all the expenses described above? If "No," complete Part III to explain                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | 1b  | Yes |
| 2  | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | 2   | Yes |
| 3  | Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply.<br><div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>                                                                                                                                                                                                                                        |     |     |
| 4  | During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization:<br><div><div>a Receive a severance payment or change-of-control payment?</div><div>b Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div><div>c Participate in, or receive payment from, an equity-based compensation arrangement?</div></div> If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III                                                                                                                                                                                                                                                                                                                                              | 4a  | Yes |
|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 4b  | Yes |
|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 4c  | No  |
|    | Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |     |     |
| 5  | For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:<br><div><div>a The organization?</div><div>b Any related organization?</div></div> If "Yes," to line 5a or 5b, describe in Part III                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 5a  | No  |
|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 5b  | No  |
| 6  | For persons listed in form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:<br><div><div>a The organization?</div><div>b Any related organization?</div></div> If "Yes," to line 6a or 6b, describe in Part III                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 6a  | No  |
|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 6b  | No  |
| 7  | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 7   | Yes |
| 8  | Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53.4958-4(a)(3)? If "Yes," describe in Part III                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 8   | No  |
| 9  | If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 9   |     |

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

[illegible]

**Part III**   **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

| Identifier                       | Return Reference | Explanation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
|----------------------------------|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Questions Regarding Compensation |                  | <p>Schedule J, Line 1a First Class Travel. EPRI's CEO and senior vice presidents are required to travel frequently and often for extended periods away from home. To lessen the burden and increase productivity, first class travel is allowed and pre-approved for EPRI's CEO and senior vice presidents during periods when the individual is subjected to a heavy travel schedule and extended flight duration. Travel for Companions. EPRI recognizes exceptional employee achievement through an annual award program. EPRI officers and award winners may be reimbursed for companion travel for one guest to attend the annual award ceremony. The travel reimbursement is reported on the employees' Form W-2 as taxable income as required and reported on Schedule J, Part II, Column B, Part iii. Gross-Up Payments. EPRI provides a gross up related to the taxes payable on the additional taxable income reported for the reimbursement of companion travel to attend the annual award ceremony. The following officers received payments for reimbursement of companion travel in 2011: Henry A. Courtright \$ 375; Charles Thomas Alley Jr \$ 772. The following individuals received gross up payments for financial planning services in 2011: Steven R. Specker \$9,949; Steven T. Yamamoto \$6,651. Line 4a. Steven T. Yamamoto received severance of \$174,299 which is reported on his Form W-2 as taxable income as required and reported on Schedule J, Part II, Column B, Part iii. Line 4b. EPRI provides a retirement contribution for its employees based on their compensation. This retirement contribution is usually in the form of an employer contribution to its retirement plans. However, if the calculated retirement contribution exceeds the retirement plan employer contribution limit for the year, the amount of the retirement contribution in excess of the limit is reported on the employees' Form W-2 as taxable income as required and reported on Schedule J, Part II, Column B, Part iii. Michael W. Howard \$ 46,000; Henry A. Courtright \$ 20,507; Norma G. Formanek \$ 12,450; Arshad Mansoor \$ 12,387; Bryan J. Hannegan \$ 4,868; Carolyn R. Shockley \$ 4,956; Neil M. Wilmshurst \$ 1,719. Line 7. Officers, as well as employees, are eligible for incentive awards based on EPRI's results against Corporate Goals and each individual's performance and contribution toward achieving those goals. Incentive awards are strongly tied to both corporate and individual performance. Incentive awards are determined based as a percentage of base salary and are considered in the determination by EPRI of a total reasonable compensation package. The EPRI Board approves incentive payments for all officers. SCHEDULE J, PART II. Officers, Directors, Trustees, Key Employees, and Highest Comp. Employees. As required by the Form 990 instructions, Column B(ii) includes the prior year at-risk incentive accrued benefit actually paid in 2011. This amount is also reported in Column F. In addition, the current year accrual of an at-risk incentive benefit is included in Column C.</p> |

Software ID:  
Software Version:  
EIN: 23-7175375  
Name: Electric Power Research Institute Inc

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

| (A) Name              |             | (B) Breakdown of W-2 and/or 1099-MISC compensation |                                     |                          | (C) Deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported in prior Form 990 or Form 990-EZ |
|-----------------------|-------------|----------------------------------------------------|-------------------------------------|--------------------------|---------------------------|-------------------------|---------------------------------|------------------------------------------------------------|
|                       |             | (i) Base Compensation                              | (ii) Bonus & incentive compensation | (iii) Other compensation |                           |                         |                                 |                                                            |
| MICHAEL W HOWARD      | (i)<br>(ii) | 462,596<br>0                                       | 400,000<br>0                        | 61,904<br>0              | 618,269<br>0              | 11,105<br>0             | 1,553,874<br>0                  | 400,000<br>0                                               |
| HENRY A COURTRIGHT    | (i)<br>(ii) | 327,671<br>0                                       | 280,250<br>0                        | 37,891<br>0              | 289,000<br>0              | 9,821<br>0              | 944,633<br>0                    | 280,250<br>0                                               |
| NORMA FORMANEK        | (i)<br>(ii) | 285,285<br>0                                       | 242,841<br>0                        | 13,799<br>0              | 258,000<br>0              | 6,171<br>0              | 806,096<br>0                    | 242,841<br>0                                               |
| CHRISTIAN B LARSEN    | (i)<br>(ii) | 300,960<br>0                                       | 63,405<br>0                         | 7,380<br>0               | 293,791<br>0              | 6,860<br>0              | 672,396<br>0                    | 63,405<br>0                                                |
| ARSHAD MANSOOR        | (i)<br>(ii) | 298,986<br>0                                       | 229,372<br>0                        | 17,808<br>0              | 394,600<br>0              | 5,413<br>0              | 946,179<br>0                    | 229,372<br>0                                               |
| C THOMAS ALLEY JR     | (i)<br>(ii) | 236,772<br>0                                       | 109,079<br>0                        | 8,175<br>0               | 196,348<br>0              | 10,189<br>0             | 560,563<br>0                    | 0<br>0                                                     |
| SALVADOR A CASENTE JR | (i)<br>(ii) | 217,932<br>0                                       | 167,214<br>0                        | 15,183<br>0              | 186,640<br>0              | 451<br>0                | 587,420<br>0                    | 167,214<br>0                                               |
| ROBERT N CHAPMAN      | (i)<br>(ii) | 222,694<br>0                                       | 177,076<br>0                        | 15,270<br>0              | 199,100<br>0              | 9,122<br>0              | 623,262<br>0                    | 177,076<br>0                                               |
| BRYAN J HANNEGAN      | (i)<br>(ii) | 254,994<br>0                                       | 198,581<br>0                        | 19,835<br>0              | 211,100<br>0              | 9,601<br>0              | 694,111<br>0                    | 198,581<br>0                                               |
| MARK MCGRANAGHAN      | (i)<br>(ii) | 237,288<br>0                                       | 123,431<br>0                        | 993<br>0                 | 197,783<br>0              | 5,146<br>0              | 564,641<br>0                    | 0<br>0                                                     |
| DENNIS J MURPHY       | (i)<br>(ii) | 224,800<br>0                                       | 177,076<br>0                        | 15,225<br>0              | 195,900<br>0              | 7,016<br>0              | 620,017<br>0                    | 177,076<br>0                                               |
| CAROLYN SHOCKLEY      | (i)<br>(ii) | 254,065<br>0                                       | 198,000<br>0                        | 20,159<br>0              | 241,600<br>0              | 9,036<br>0              | 722,860<br>0                    | 198,000<br>0                                               |
| NEIL M WILMSHURST     | (i)<br>(ii) | 252,662<br>0                                       | 170,935<br>0                        | 6,845<br>0               | 241,100<br>0              | 6,853<br>0              | 678,395<br>0                    | 170,935<br>0                                               |
| STEVEN T YAMAMOTO     | (i)<br>(ii) | 125,799<br>0                                       | 167,166<br>0                        | 208,080<br>0             | 29,383<br>0               | 1,072<br>0              | 531,500<br>0                    | 167,166<br>0                                               |
| STEVEN R SPECKER      | (i)<br>(ii) | 0<br>0                                             | 525,000<br>0                        | 9,949<br>0               | 0<br>0                    | 3,480<br>0              | 538,429<br>0                    | 525,000<br>0                                               |
| DAVID J MODEEN        | (i)<br>(ii) | 238,419<br>0                                       | 139,800<br>0                        | 1,006<br>0               | 160,680<br>0              | 6,164<br>0              | 546,069<br>0                    | 137,800<br>0                                               |
| ROSA L YANG           | (i)<br>(ii) | 215,394<br>0                                       | 158,509<br>0                        | 1,402<br>0               | 157,537<br>0              | 11,162<br>0             | 544,004<br>0                    | 156,509<br>0                                               |
| CLARK W GELLINGS      | (i)<br>(ii) | 232,475<br>0                                       | 127,794<br>0                        | 2,938<br>0               | 151,354<br>0              | 6,850<br>0              | 521,411<br>0                    | 127,794<br>0                                               |

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

| (A) Name         |             | (B) Breakdown of W-2 and/or 1099-MISC compensation |                                     |                          | (C) Deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported in prior Form 990 or Form 990-EZ |
|------------------|-------------|----------------------------------------------------|-------------------------------------|--------------------------|---------------------------|-------------------------|---------------------------------|------------------------------------------------------------|
|                  |             | (i) Base Compensation                              | (ii) Bonus & incentive compensation | (iii) Other compensation |                           |                         |                                 |                                                            |
| NORRIS S HIROTA  | (i)<br>(ii) | 215,846<br>0                                       | 123,719<br>0                        | 916<br>0                 | 148,208<br>0              | 11,986<br>0             | 500,675<br>0                    | 123,719<br>0                                               |
| GEORGE R OFFEN   | (i)<br>(ii) | 216,568<br>0                                       | 111,943<br>0                        | 4,165<br>0               | 145,507<br>0              | 1,710<br>0              | 479,893<br>0                    | 0<br>0                                                     |
| TUAN NGUYEN      | (i)<br>(ii) | 208,955                                            | 110,013                             | 1,248                    | 138,900                   | 509                     | 459,625                         | 0                                                          |
| MICHAEL MILLER   | (i)<br>(ii) | 210,036<br>0                                       | 119,934<br>0                        | 1,339<br>0               | 143,248<br>0              | 11,578<br>0             | 486,135<br>0                    | 0<br>0                                                     |
| DAVID STEININGER | (i)<br>(ii) | 209,866<br>0                                       | 80,734<br>0                         | 1,311<br>0               | 81,766<br>0               | 9,585<br>0              | 383,262<br>0                    | 0<br>0                                                     |

Schedule L  
(Form 990 or 990-EZ)

Transactions with Interested Persons

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization  
Electric Power Research Institute Inc

Employer identification number  
23-7175375

Part I

Excess Benefit Transactions (section 501(c)(3) and section 501 (c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

| 1 | (a) Name of disqualified person | (b) Description of transaction | (c)<br>Corrected? |    |
|---|---------------------------------|--------------------------------|-------------------|----|
|   |                                 |                                | Yes               | No |
|   |                                 |                                |                   |    |
|   |                                 |                                |                   |    |
|   |                                 |                                |                   |    |
|   |                                 |                                |                   |    |
|   |                                 |                                |                   |    |
|   |                                 |                                |                   |    |

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 . . . . . ► \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ► \$

Part II

Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a

| (a) Name of interested person and purpose | (b) Loan to or from the organization? |      | (c)Original principal amount | (d)Balance due | (e) In default? |    | (f) Approved by board or committee? |    | (g)Written agreement? |    |
|-------------------------------------------|---------------------------------------|------|------------------------------|----------------|-----------------|----|-------------------------------------|----|-----------------------|----|
|                                           | To                                    | From |                              |                | Yes             | No | Yes                                 | No | Yes                   | No |
|                                           |                                       |      |                              |                |                 |    |                                     |    |                       |    |
|                                           |                                       |      |                              |                |                 |    |                                     |    |                       |    |
|                                           |                                       |      |                              |                |                 |    |                                     |    |                       |    |
|                                           |                                       |      |                              |                |                 |    |                                     |    |                       |    |
|                                           |                                       |      |                              |                |                 |    |                                     |    |                       |    |
|                                           |                                       |      |                              |                |                 |    |                                     |    |                       |    |
| Total . . . . . ► \$                      |                                       |      |                              |                |                 |    |                                     |    |                       |    |

Part III

Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

| (a) Name of interested person | (b)Relationship between interested person and the organization | (c)Amount of grant or type of assistance |
|-------------------------------|----------------------------------------------------------------|------------------------------------------|
| (1) DR JAY APT                | BOARD DIRECTOR                                                 | 218,793                                  |
|                               |                                                                |                                          |
|                               |                                                                |                                          |
|                               |                                                                |                                          |
|                               |                                                                |                                          |

**Part IV**

**Business Transactions Involving Interested Persons.**  
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? |    |
|-------------------------------|-----------------------------------------------------------------|---------------------------|--------------------------------|-----------------------------------------|----|
|                               |                                                                 |                           |                                | Yes                                     | No |
| See Additional Data Table     |                                                                 |                           |                                |                                         |    |
|                               |                                                                 |                           |                                |                                         |    |
|                               |                                                                 |                           |                                |                                         |    |
|                               |                                                                 |                           |                                |                                         |    |
|                               |                                                                 |                           |                                |                                         |    |
|                               |                                                                 |                           |                                |                                         |    |

**Part V**

**Supplemental Information**  
Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

| Identifier                                          | Return Reference     | Explanation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|-----------------------------------------------------|----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Grants or Assistance Benefitting Interested Persons | Schedule L, Part III | In 2011, EPRI contributed \$218,793 to Carnegie Mellon University to support the Carnegie Mellon Electricity Industry Center. This grant may have indirectly benefitted Dr. Jay Apt, who is a faculty member of the University. EPRI is filing a protective disclosure of this amount in Schedule L, Part III. Business Transactions Involving Interested Persons. Schedule L, Part IV. EPRI received payment from the organizations listed in Schedule L, Part IV to conduct services work associated with unrelated business activities. An individual that served as a current or former officer, director, trustee or key employee listed in EPRI's Form 990, Part VII, Section A may have served in a similar position for the listed organizations. All of the listed transactions were on an arms-length basis. EPRI made payment to the organizations listed in Schedule L, Part IV to conduct public interest and supplemental research. An individual that served as a current or former officer, director, trustee or key employee listed in EPRI's Form 990, Part VII, Section A may have served in a similar position for the listed organizations. All of the listed transactions were on an arms-length basis. |

Additional Data

Software ID:  
Software Version:  
EIN: 23-7175375  
Name: Electric Power Research Institute Inc

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

| (a) Name of interested person          | (b) Relationship between interested person and the organization | (c) Amount of transaction \$ | (d) Description of transaction | (e) Sharing of organization's revenues? |    |
|----------------------------------------|-----------------------------------------------------------------|------------------------------|--------------------------------|-----------------------------------------|----|
|                                        |                                                                 |                              |                                | Yes                                     | No |
| MARK T SAVOFF                          | BOARD DIRECTOR                                                  | 17,039                       | SON EMPLOYED BY EPRI           |                                         | No |
| C THOMAS ALLEY JR                      | OFFICER                                                         | 10,556                       | DAUGHTER EMPLOYED BY EPRI      |                                         | No |
| SEE SCH L PART V FOR FURTHER<br>DETAIL |                                                                 |                              |                                |                                         |    |
| INFORMATION ON THE<br>TRANSACTIONS BEL |                                                                 |                              |                                |                                         |    |
| THE FOLLOWING ARE PAYMENTS TO<br>EPRI  |                                                                 |                              |                                |                                         |    |
| AMEREN CORPORATION                     | BOARD DIRECTOR                                                  | 35,000                       | FUNDING FOR SERVICES           |                                         | No |
| AMERICAN ELECTRIC POWER                | BOARD DIRECTOR                                                  | 53,000                       | FUNDING FOR SERVICES           |                                         | No |
| DUKE ENERGY CORPORATION                | BOARD DIRECTOR                                                  | 33,362                       | FUNDING FOR SERVICES           |                                         | No |
| EDISON INTERNATIONAL                   | BOARD DIRECTOR                                                  | 880,758                      | FUNDING FOR SERVICES           |                                         | No |
| ELECTRICITE DE FRANCE                  | BOARD DIRECTOR                                                  | 27,500                       | FUNDING FOR SERVICES           |                                         | No |
| ENTERGY CORPORATION                    | BOARD DIRECTOR                                                  | 243,650                      | FUNDING FOR SERVICES           |                                         | No |
| ESKOM                                  | BOARD DIRECTOR                                                  | 134,595                      | FUNDING FOR SERVICES           |                                         | No |

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

| (a) Name of interested person       | (b) Relationship between interested person and the organization | (c) Amount of transaction \$ | (d) Description of transaction | (e) Sharing of organization's revenues? |    |
|-------------------------------------|-----------------------------------------------------------------|------------------------------|--------------------------------|-----------------------------------------|----|
|                                     |                                                                 |                              |                                | Yes                                     | No |
| EXELON CORPORATION                  | BOARD DIRECTOR                                                  | 151,500                      | FUNDING FOR SERVICES           |                                         | No |
| KOREA ELECTRIC POWER COMPANY        | BOARD DIRECTOR                                                  | 60,889                       | FUNDING FOR SERVICES           |                                         | No |
| NORTHEAST UTILITIES                 | BOARD DIRECTOR                                                  | 29,000                       | FUNDING FOR SERVICES           |                                         | No |
| PROGRESS ENERGY                     | BOARD DIRECTOR                                                  | 264,300                      | FUNDING FOR SERVICES           |                                         | No |
| SOUTHERN COMPANY                    | BOARD DIRECTOR                                                  | 369,094                      | FUNDING FOR SERVICES           |                                         | No |
| TENNESSE VALLEY AUTHORITY           | BOARD DIRECTOR                                                  | 593,987                      | FUNDING FOR SERVICES           |                                         | No |
| TOKYO ELECTRIC POWER COMPANY        | BOARD DIRECTOR                                                  | 673,977                      | FUNDING FOR SERVICES           |                                         | No |
| XCEL ENERGY INC                     | BOARD DIRECTOR                                                  | 78,923                       | FUNDING FOR SERVICES           |                                         | No |
| SEE SCHEDULE L PART V FOR FURTHER   |                                                                 |                              |                                |                                         |    |
| DETAILED INFORMATION ON THE TRANSAC |                                                                 |                              |                                |                                         |    |
| BELOW THE FOLLOWING ARE PAYMENTS B  |                                                                 |                              |                                |                                         |    |
| EPRI                                |                                                                 |                              |                                |                                         |    |

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

| (a) Name of interested person       | (b) Relationship between interested person and the organization | (c) Amount of transaction \$ | (d) Description of transaction | (e) Sharing of organization's revenues? |    |
|-------------------------------------|-----------------------------------------------------------------|------------------------------|--------------------------------|-----------------------------------------|----|
|                                     |                                                                 |                              |                                | Yes                                     | No |
| AMEREN CORPORATION                  | BOARD DIRECTOR                                                  | 218,560                      | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| AMERICAN ELECTRIC POWER             | BOARD DIRECTOR                                                  | 790,396                      | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| CARNEGIE MELLON UNIVERSITY          | BOARD DIRECTOR                                                  | 255,913                      | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| DUKE ENERGY CORPORATION             | BOARD DIRECTOR                                                  | 402,727                      | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| ELECTRICITE DE FRANCE               | BOARD DIRECTOR                                                  | 5,003,515                    | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| ENTERGY CORPORATION                 | Board Director                                                  | 2,786                        | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| EXELON CORPORATION                  | Board Director                                                  | 82,167                       | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| HAWAIIAN ELECTRIC INDUSTRIES        | Board Director                                                  | 567,220                      | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| KOREAN ELECTRIC POWER COMPANY       | Board Director                                                  | 996,028                      | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| MASSACHUSETTS INSTITUTE OF TECHNOLO | Board Director                                                  | 1,111,876                    | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| NORTHEAST UTILITIES                 | Board Director                                                  | 120,110                      | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| PROGRESS ENERGY                     | Board Director                                                  | 39,368                       | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction \$ | (d) Description of transaction | (e) Sharing of organization's revenues? |    |
|-------------------------------|-----------------------------------------------------------------|------------------------------|--------------------------------|-----------------------------------------|----|
|                               |                                                                 |                              |                                | Yes                                     | No |
| SOUTHERN COMPANY              | Board Director                                                  | 5,801,270                    | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| TENNESSEE VALLEY AUTHORITY    | Board Director                                                  | 417,229                      | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| TOKYO ELECTRIC POWER COMPANY  | BOARD DIRECTOR                                                  | 10,694                       | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |
| XCEL ENERGY INC               | BOARD DIRECTOR                                                  | 99,000                       | PYMT FOR PUBLIC INTEREST R&D   |                                         | No |

SCHEDULE O  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on  
Form 990 or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization  
Electnc Power Research Institute Inc

Employer identification number  
23-7175375

| Identifier                                           | Return Reference                              | Explanation                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|------------------------------------------------------|-----------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------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| Organization's Mission and Most Important Activities | Form 990, Part I, Line 1 and Part III, Line 1 | <p>EPRI conducts research and development relating to generation, delivery and use of electricity for the benefit of the public. An independent, nonprofit organization, EPRI brings together its scientists and engineers as well as experts from academia and industry to help address challenges in electricity, including reliability, efficiency, health, safety and the environment. EPRI also provides technology, policy and economic analyses to drive long-range research and development planning, and supports research in emerging technologies. EPRI's principal offices and laboratories are located in Palo Alto, Calif., Charlotte, N.C., Knoxville, Tenn., and Lenox, Mass.</p> <p>Program Service Activity Form 990, Part III, Line 4a. EPRI's mission is to conduct research &amp; development through science and technology with a view of providing benefits to its member's customers and the U.S. public. To accomplish this objective, EPRI develops and manages research and development programs for improving energy generation, delivery and usage. Program service expenses are direct charges to the research programs. Certain indirect expenses are included in the management and general expense category, as EPRI does not separate these expenses for financial accounting purposes.</p> <p>Governance, Management, and Disclosure Form 990, Part VI Line 4. The material changes in the Bylaws were to: (1) Increase the number of directors from 33 to 35 by 2013 through an increase in the number of Class III (international) directors serving on the Board, (2) Allow for service on the Executive Committee by former chairs of the Board who still serve on the Board, (3) Establish that independent power production entities were eligible to be represented on the Board in Class II director positions and made clarifying changes regarding sections including the record dates and procedures for elections of directors.</p> <p>Line 6. EPRI has three classes of members, namely: (a) Class I (1) Any person, firm, agency or corporation owned by the federal government, or by an agency, authority or instrumentality of the federal government, which is engaged to a substantial degree in the production, transmission or distribution of electric power for public use within the United States, (2) Any cooperative association or cooperative corporation which is engaged to a substantial degree in the production, transmission or distribution of electric power for public use within the United States, (3) Any nonfederal governmental or other public agency, authority or instrumentality, or any non-profit corporation, association or other non-profit organization operating pursuant to governmental oversight or regulation, which is engaged to a substantial degree in the production, transmission or distribution of electric power for public use within the United States, (4) Any corporation, association or other organization operating pursuant to governmental oversight or regulation, which is engaged to a substantial degree in operating, maintaining or assuring reliability of systems for the transmission of electric power for public uses within the United States and Canada, or (5) Any governmental or other public agency, authority or instrumentality within the United States which is engaged to a substantial degree in directly funding research and development related to the production, transmission, distribution, sale, and utilization of electric power, including but not limited to, energy efficiency, renewables, and environmental research.</p> <p>(b) Class II (1) Any investor-owned person, firm or corporation which is engaged to a substantial degree in the production, transmission or distribution of electric power for public use within the United States, or any person controlling such person, firm, corporation or other entity, (2) Any person, firm, corporation, or other entity which is engaged to a substantial degree within the United States in the production or sale of electric power and which is not regulated as a public utility, or any person controlling such person, firm or corporation.</p> <p>(c) Class III. Any non-United States government agency or person, firm, corporation or other entity regulated as a utility, which is engaged to a substantial degree in the production, transmission or distribution of electric power for public use outside the United States.</p> <p>Line 7a. In 2011, the members of EPRI's Board of Directors were elected and appointed as follows: Class I members may elect seven members of the Board. The Tennessee Valley Authority and the Bonneville Power Administrator may each appoint one member of the Board. Class II members may elect fifteen members of the Board. Class III members may elect four members of the Board. The EPRI Advisory Council may appoint six members of the Board.</p> <p>Line 7b. Members have the power to amend the articles of incorporation and may have other powers conferred by the Nonprofit Corporation Code of the District of Columbia.</p> <p>Line 11b. An external tax firm and EPRI staff work together to gather the required tax information necessary to complete the tax return. The tax firm prepares an initial draft return and reviews the initial draft return with the finance and legal management team; items are discussed and reviewed. Recommended changes are reflected in the return and a draft tax return is prepared. The draft tax return is presented to the Audit Committee for their review and a copy is provided through a secure link to the Board of Directors. The audit committee may question EPRI management and the external tax firm or ask for clarification on any item on the return. After the Committee has reviewed the tax return, the return is filed with the appropriate governing tax authorities.</p> <p>Line 12c. EPRI requires all employees to complete an annual statement disclosing any conflicts of interest and they have a requirement to update the disclosure on an ongoing basis.</p> <p>Line 15a and 15b. Officers' compensation decisions are determined by the Compensation and Benefits Committee of EPRI's Board of Directors, with the exception of determination of compensation levels for the CEO and new officers, which are usually determined by the Executive Committee of the Board of Directors. The Committees obtain and act in reliance upon appropriate comparability and benchmarking data for the officer positions from a nationally recognized independent source to support determinations of fair market value for the services rendered. The Committees vote on officer compensation at their meetings and documents the approval in the minutes.</p> <p>Line 16b. As defined by the Form 990 instructions, a joint venture or similar arrangement (or a "venture or arrangement") means any joint ownership or contractual arrangement through which there is an agreement to jointly undertake a specific business enterprise, investment, or exempt-purpose activity without regard to: (1) whether the organization controls the venture or arrangement, (2) the legal structure of the venture or arrangement. EPRI performs services work and other unrelated business activities and reports that work as unrelated business income for appropriate tax treatment. Particular care is taken in structuring business arrangements such as commercialization transactions, strategic alliances, service centers, and other similar arrangements, including obtaining advice early in the process, in order to protect EPRI's tax-exempt status and minimize liability.</p> <p>Line 19. EPRI makes its governing documents, conflict of interest policy, and audited financial statements for the past 3 years available to the public through its own website.</p> <p>Reconciliation of Net Assets Form 990, Part XI Line 5. The other change in net assets or fund balance is an unrealized loss of \$570.</p> |

SCHEDULE R  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2011

Open to Public Inspection

Name of the organization  
Electnc Power Research Institute Inc

Employer identification number  
23-7175375

Part I

Identification of Disregarded Entities (Complete if the organization answered "Yes" on Form 990, Part IV, line 33.)

| (a)<br>Name, address, and EIN of disregarded entity | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Total income | (e)<br>End-of-year assets | (f)<br>Direct controlling entity |
|-----------------------------------------------------|-------------------------|--------------------------------------------------|---------------------|---------------------------|----------------------------------|
|                                                     |                         |                                                  |                     |                           |                                  |
|                                                     |                         |                                                  |                     |                           |                                  |
|                                                     |                         |                                                  |                     |                           |                                  |
|                                                     |                         |                                                  |                     |                           |                                  |
|                                                     |                         |                                                  |                     |                           |                                  |

Part II

Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

| (a)<br>Name, address, and EIN of related organization                                                     | (b)<br>Primary activity | (c)<br>Legal domicile (state or foreign country) | (d)<br>Exempt Code section | (e)<br>Public charity status (if section 501(c)(3)) | (f)<br>Direct controlling entity | (g)<br>Section 512(b)(13) controlled organization |    |
|-----------------------------------------------------------------------------------------------------------|-------------------------|--------------------------------------------------|----------------------------|-----------------------------------------------------|----------------------------------|---------------------------------------------------|----|
|                                                                                                           |                         |                                                  |                            |                                                     |                                  | Yes                                               | No |
| (1) Electricity Innovation Institute<br><br>3420 Hillview Avenue<br><br>Palo Alto, CA 94304<br>77-0562327 | DORMANT                 | CA                                               | 501(c)(3)                  | g                                                   | na                               |                                                   | No |
|                                                                                                           |                         |                                                  |                            |                                                     |                                  |                                                   |    |
|                                                                                                           |                         |                                                  |                            |                                                     |                                  |                                                   |    |
|                                                                                                           |                         |                                                  |                            |                                                     |                                  |                                                   |    |
|                                                                                                           |                         |                                                  |                            |                                                     |                                  |                                                   |    |
|                                                                                                           |                         |                                                  |                            |                                                     |                                  |                                                   |    |
|                                                                                                           |                         |                                                  |                            |                                                     |                                  |                                                   |    |

Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

| (a)<br>Name, address, and EIN<br>of<br>related organization | (b)<br>Primary activity | (c)<br>Legal<br>domicile<br>(state or<br>foreign<br>country) | (d)<br>Direct controlling<br>entity | (e)<br>Predominant income<br>(related, unrelated,<br>excluded from tax<br>under sections 512-<br>514) | (f)<br>Share of total<br>income | (g)<br>Share of end-of-<br>year<br>assets | (h)<br>Dispropportionate<br>allocations? |    | (i)<br>Code V—UBI<br>amount in box 20 of<br>Schedule K-1<br>(Form 1065) | (j)<br>General or<br>managing<br>partner? |    | (k)<br>Percentage<br>ownership |
|-------------------------------------------------------------|-------------------------|--------------------------------------------------------------|-------------------------------------|-------------------------------------------------------------------------------------------------------|---------------------------------|-------------------------------------------|------------------------------------------|----|-------------------------------------------------------------------------|-------------------------------------------|----|--------------------------------|
|                                                             |                         |                                                              |                                     |                                                                                                       |                                 |                                           | Yes                                      | No |                                                                         | Yes                                       | No |                                |
|                                                             |                         |                                                              |                                     |                                                                                                       |                                 |                                           |                                          |    |                                                                         |                                           |    |                                |
|                                                             |                         |                                                              |                                     |                                                                                                       |                                 |                                           |                                          |    |                                                                         |                                           |    |                                |
|                                                             |                         |                                                              |                                     |                                                                                                       |                                 |                                           |                                          |    |                                                                         |                                           |    |                                |
|                                                             |                         |                                                              |                                     |                                                                                                       |                                 |                                           |                                          |    |                                                                         |                                           |    |                                |
|                                                             |                         |                                                              |                                     |                                                                                                       |                                 |                                           |                                          |    |                                                                         |                                           |    |                                |
|                                                             |                         |                                                              |                                     |                                                                                                       |                                 |                                           |                                          |    |                                                                         |                                           |    |                                |
|                                                             |                         |                                                              |                                     |                                                                                                       |                                 |                                           |                                          |    |                                                                         |                                           |    |                                |

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

| (a)<br>Name, address, and EIN of related organization                                   | (b)<br>Primary activity | (c)<br>Legal domicile<br>(state or<br>foreign<br>country) | (d)<br>Direct controlling<br>entity | (e)<br>Type of entity<br>(C corp, S corp,<br>or trust) | (f)<br>Share of total<br>income | (g)<br>Share of<br>end-of-year<br>assets | (h)<br>Percentage<br>ownership |
|-----------------------------------------------------------------------------------------|-------------------------|-----------------------------------------------------------|-------------------------------------|--------------------------------------------------------|---------------------------------|------------------------------------------|--------------------------------|
| (1) EPRI Solutions Inc<br>942 Corridor Park<br>Knoville, TN 37932<br>77-0449454         | R&D Applic              | DE                                                        | NA                                  | C Corp                                                 | -18,837                         | 1,910,607                                | 100 000 %                      |
| (2) EPRI International Inc<br>3420 Hillview Avenue<br>Palo Alto, CA 94304<br>52-2357247 | Intl Sales              | DE                                                        | na                                  | C Corp                                                 | 446,015                         | 5,486,397                                | 100 000 %                      |
|                                                                                         |                         |                                                           |                                     |                                                        |                                 |                                          |                                |
|                                                                                         |                         |                                                           |                                     |                                                        |                                 |                                          |                                |
|                                                                                         |                         |                                                           |                                     |                                                        |                                 |                                          |                                |
|                                                                                         |                         |                                                           |                                     |                                                        |                                 |                                          |                                |
|                                                                                         |                         |                                                           |                                     |                                                        |                                 |                                          |                                |

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35, 35A, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III or IV

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to related organization(s)

c

Gift, grant, or capital contribution from related organization(s)

d

Loans or loan guarantees to or for related organization(s)

e

Loans or loan guarantees by related organization(s)

f

Sale of assets to related organization(s)

g

Purchase of assets from related organization(s)

h

Exchange of assets with related organization(s)

i

Lease of facilities, equipment, or other assets to related organization(s)

j

Lease of facilities, equipment, or other assets from related organization(s)

k

Performance of services or membership or fundraising solicitations for related organization(s)

l

Performance of services or membership or fundraising solicitations by related organization(s)

m

Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

n

Sharing of paid employees with related organization(s)

o

Reimbursement paid to related organization(s) for expenses

p

Reimbursement paid by related organization(s) for expenses

q

Other transfer of cash or property to related organization(s)

r

Other transfer of cash or property from related organization(s)

Yes

No

1a

1b

1c

1d

1e

1f

1g

1h

1i

1j

1k

1l

1m

1n

1o

1p

1q

1r

No

No

No

No

No

No

No

No

No

No

Yes

Yes

Yes

Yes

No

No

No

No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

| (a)<br>Name of other organization | (b)<br>Transaction<br>type(a-r) | (c)<br>Amount involved | (d)<br>Method of determining amount<br>involved |
|-----------------------------------|---------------------------------|------------------------|-------------------------------------------------|
| (1) EPRI INTERNATIONAL INC        | L                               | 3,846,056              | ACTUAL EXPENSE                                  |
| (2) EPRI INTERNATIONAL INC        | K                               | 112,189                | ACTUAL EXPENSE                                  |
| (3)                               |                                 |                        |                                                 |
| (4)                               |                                 |                        |                                                 |
| (5)                               |                                 |                        |                                                 |
| (6)                               |                                 |                        |                                                 |

Schedule R (Form 990) 2011

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

| Identifier | Return Reference | Explanation |  |
|------------|------------------|-------------|--|
|------------|------------------|-------------|--|