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**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

**2011**

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning , 2011, and ending ,

RAYMOND & BEVERLY SACKLER FOUNDATION, INC  
17 EAST 62ND STREET  
NEW YORK, NY 10021-7204

**A** Employer identification number  
23-7022467

**B** Telephone number (see the instructions)  
212-408-5520

**C** If exemption application is pending, check here

**D** 1 Foreign organizations, check here   
2 Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:  Initial return  Initial Return of a former public charity  
 Final return  Amended return  
 Address change  Name change

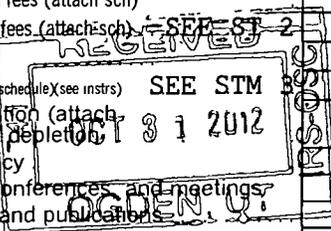
**H** Check type of organization.  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)  
▶ \$ 16,803,321.

**J** Accounting method.  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)	
<b>REVENUE</b>	<b>1</b> Contributions, gifts, grants, etc, received (att sch)	123,507.				
	<b>2</b> Ck <input type="checkbox"/> if the foundn is not req to att Sch B					
	<b>3</b> Interest on savings and temporary cash investments	518.	518.	518.		
	<b>4</b> Dividends and interest from securities	317,590.	317,590.	317,590.		
	<b>5a</b> Gross rents					
	<b>b</b> Net rental income or (loss)					
	<b>6a</b> Net gain/(loss) from sale of assets not on line 10	3,411,689.				
	<b>b</b> Gross sales price for all assets on line 6a	10,068,814.				
	<b>7</b> Capital gain net income (from Part IV, line 2)		3,411,689.			
	<b>8</b> Net short-term capital gain			35,389.		
	<b>9</b> Income modifications					
	<b>10a</b> Gross sales less returns and allowances					
<b>b</b> Less Cost of goods sold						
<b>c</b> Gross profit/(loss) (att sch)						
<b>11</b> Other income (attach schedule)	SEE STATEMENT 1	31.				
<b>12 Total.</b> Add lines 1 through 11		3,853,335.	3,729,797.	353,497.		
<b>ADMINISTRATIVE AND EXPENSES</b>	<b>13</b> Compensation of officers, directors, trustees, etc	0.				
	<b>14</b> Other employee salaries and wages	631,225.			631,225.	
	<b>15</b> Pension plans, employee benefits	102,660.			102,660.	
	<b>16a</b> Legal fees (attach schedule)					
	<b>b</b> Accounting fees (attach sch)					
	<b>c</b> Other prof. fees (attach sch)	SEE ST 2	130,499.	94,499.	94,499.	36,000.
	<b>17</b> Interest					
	<b>18</b> Taxes (attach schedule)(see instrs)	SEE STM 3	25,675.	21,675.	21,675.	
	<b>19</b> Depreciation (attach sch) and depletion		1,696.			
	<b>20</b> Occupancy		70,694.			70,694.
	<b>21</b> Travel, conferences, and meetings		7,389.			7,389.
	<b>22</b> Printing and publications		95.			95.
<b>23</b> Other expenses (attach schedule)	SEE STATEMENT 4	273,230.	238.	238.	272,992.	
<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23		1,243,163.	116,412.	116,412.	1,121,055.	
<b>25</b> Contributions, gifts, grants paid <b>PART XV</b>		2,350,000.			2,350,000.	
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25		3,593,163.	116,412.	116,412.	3,471,055.	
<b>27</b> Subtract line 26 from line 12:						
<b>a Excess of revenue over expenses and disbursements</b>		260,172.				
<b>b Net investment income</b> (if negative, enter -0-)			3,613,385.			
<b>c Adjusted net income</b> (if negative, enter -0-)				237,085.		

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>A S S E T S</b>	1	Cash – non-interest-bearing	319,683.	7,527,611.	7,527,611.
	2	Savings and temporary cash investments	1,086,676.	477,767.	477,767.
	3	Accounts receivable			
		Less: allowance for doubtful accounts	31,477.		
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) STATEMENT 5	7,084,686.	3,115,081.	3,558,621.
	c	Investments – corporate bonds (attach schedule) STATEMENT 6	2,442,225.	84,125.	76,310.
	11	Investments – land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments – mortgage loans				
13	Investments – other (attach schedule) STATEMENT 7	1,258,878.	1,258,878.	5,163,013.	
14	Land, buildings, and equipment. basis	194,954.			
	Less: accumulated depreciation (attach schedule) SEE STMT 8	187,233.	3,780.	7,721.	
15	Other assets (describe)				
16	<b>Total assets</b> (to be completed by all filers – see the instructions Also, see page 1, item I)	12,227,405.	12,471,183.	16,803,322.	
<b>L I A B I L I T I E S</b>	17	Accounts payable and accrued expenses	16,394.		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	16,394.	0.	
<b>N E T A S S E T S O R F U N D B A L A N C E S</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b>				
	27	Capital stock, trust principal, or current funds	12,211,011.	12,471,183.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see instructions)	12,211,011.	12,471,183.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	12,227,405.	12,471,183.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,211,011.
2	Enter amount from Part I, line 27a	2	260,172.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	12,471,183.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	12,471,183.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
<b>1 a</b> SEE STATEMENT 9			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]</span>	<b>2</b>	3,411,689.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 <span style="float: right;">]</span>	<b>3</b>	35,389.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	1,269,646.	18,821,965.	0.067456
2009	1,060,471.	16,518,797.	0.064198
2008	864,692.	18,501,503.	0.046736
2007	1,137,517.	22,055,628.	0.051575
2006	1,042,236.	20,674,435.	0.050412

<b>2</b> Total of line 1, column (d)	<b>2</b>	0.280377
<b>3</b> Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0.056075
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	<b>4</b>	18,652,345.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	1,045,930.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	36,134.
<b>7</b> Add lines 5 and 6	<b>7</b>	1,082,064.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	3,471,055.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 -- see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary -- see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	36,134.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	36,134.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	36,134.
6 Credits/Payments			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a	253.	
b Exempt foreign organizations -- tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	4,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	4,253.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	26.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	31,907.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: <b>Credited to 2012 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>NY NC CT MA RI OH NJ</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>	X	
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <span style="float:right">▶ N/A</span>		X	
14	The books are in care of ▶ <u>CHADBOURNE &amp; PARKE</u> Telephone no ▶ <u>212-408-5520</u> Located at ▶ <u>30 ROCKEFELLER PLAZA, ROOM 3223 NEW YORK NY</u> ZIP + 4 ▶ <u>10112</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15   <span style="float:right">N/A ▶ <input type="checkbox"/></span>			N/A
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ▶		Yes	No
		16		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? ( <b>Exception.</b> Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
1b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		X
1c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years ▶ 20__ , 20__ , 20__ , 20__		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20__ , 20__ , 20__ , 20__		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

**Part VII** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?.  Yes  No
  - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
  - (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
  - (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No
  - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d) N/A

- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No
- If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

5b	N/A	
6b		X
7b		

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RAYMOND R. SACKLER, MD 17 EAST 62ND STREET NEW YORK, NY 10021-7204	V-PRES/ASST 1.00	0.	0.	0.
RICHARD S. SACKLER, MD 17 EAST 62ND STREET NEW YORK, NY 10021-7204	V-PRES/DIREC 0	0.	0.	0.
JONATHAN D. SACKLER 17 EAST 62ND STREET NEW YORK, NY 10021-7204	PRES/CEO/DIR 1.00	0.	0.	0.
BEVERLY SACKLER 17 EAST 62ND STREET NEW YORK, NY 10021-7204	SECY-TREAS/D 0	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHRIS HARRIS 1009 CUMMINGS ROAD MONMOUTH JUNCTION, NJ 08852	RESEARCH 40	126,964.	7,430.	0.
EVAN VOSBURGH 17 EAST 62ND STREET NEW YORK, NY 10021-7204	DIRECTOR 40	103,764.	12,705.	0.

Total number of other employees paid over \$50,000  0



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	12,552,575.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	1,220,803.
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	5,163,013.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	18,936,391.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	18,936,391.
<b>4</b>	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	284,046.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	18,652,345.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	932,617.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	N/A	<b>1</b>	
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>		
<b>b</b>	Income tax for 2011. (This does not include the tax from Part VI)	<b>2b</b>		
<b>c</b>	Add lines 2a and 2b	<b>2c</b>		
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>		
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>		
<b>5</b>	Add lines 3 and 4	<b>5</b>		
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>		
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	<b>7</b>		

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
<b>a</b>	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	<b>1a</b>	3,471,055.
<b>b</b>	Program-related investments – total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	3,471,055.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	36,134.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	3,434,921.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ _____				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2011 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011. (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2010. Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling **▶** 8/17/88

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	237,085.	252,667.	357,025.	612,016.	1,458,793.
<b>b</b> 85% of line 2a	201,522.	214,767.	303,471.	520,214.	1,239,974.
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed	3,471,055.	1,274,414.	1,065,150.	872,235.	6,682,854.
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities	2,350,000.				2,350,000.
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	1,121,055.	1,274,414.	1,065,150.	872,235.	4,332,854.
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> 'Assets' alternative test – enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	621,744.	627,398.	550,626.	616,716.	2,416,484.
<b>c</b> 'Support' alternative test – enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)**

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
**SEE STATEMENT 10**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV. Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> NATIONAL ACADEMY OF SCIENCES 000 FIFTH STREET , NW, A&P WASHINGTON, DC 20001	N/A	PUBLIC		2,100,000.
WEILL MEDICAL COLLEGE OF CORNELL UNIV 575 LEXINGTON AVE. FLOOR 9 NEW YORK, NY 10022	N/A	PUBLIC		250,000.
<b>Total</b>				<b>2,350,000.</b>
<i>b Approved for future payment</i>				
<b>Total</b>				<b>3b</b>





**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

**2011**

Name of the organization

**RAYMOND & BEVERLY SACKLER FOUNDATION, INC**

Employer identification number

**23-7022467**

**Organization type** (check one)

**Filers of:**

Form 990 or 990-EZ

**Section:**

- 501(c)(\_\_\_\_) (enter number) organization  
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation  
 4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

**Special Rules**

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.**

Schedule **B** (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

RAYMOND & BEVERLY SACKLER FOUNDATION, INC

23-7022467

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RAYMOND R. SACKLER, M.D. ONE STAMFORD FORUM STAMFORD, CT 06901	\$ 123,507.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
---	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
---	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
---	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
---	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
---	-----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Name of organization

RAYMOND & BEVERLY SACKLER FOUNDATION, INC

Employer identification number

23-7022467

**Part III Exclusively religious, charitable, etc, individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.** Complete cols (a) through (e) and the following line entry

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc, contributions of \$1,000 or less for the year. (Enter this information once See instructions.)  
Use duplicate copies of Part III if additional space is needed

► \$ \_\_\_\_\_ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

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**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 11**  
**OTHER INCOME**

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST FEDERAL TAX PYMT			
	\$ 31.		
<b>TOTAL</b>	<b>\$ 31.</b>	<b>\$ 0.</b>	<b>\$ 0.</b>

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16C**  
**OTHER PROFESSIONAL FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGERIAL FEES	\$ 94,499.	\$ 94,499.	\$ 94,499.	
PROFESSIONAL FEES	36,000.			\$ 36,000.
<b>TOTAL</b>	<b>\$ 130,499.</b>	<b>\$ 94,499.</b>	<b>\$ 94,499.</b>	<b>\$ 36,000.</b>

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL DOMESTIC TAX	\$ 4,000.			
FOREIGN TAX WITHHELD	21,675.	\$ 21,675.	\$ 21,675.	
<b>TOTAL</b>	<b>\$ 25,675.</b>	<b>\$ 21,675.</b>	<b>\$ 21,675.</b>	<b>\$ 0.</b>

**STATEMENT 4**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	\$ 16.	\$ 16.	\$ 16.	
FOREIGN FEES	222.	222.	222.	
INSURANCE	18,180.			\$ 18,180.
LAB SUPPLIES	253,053.			253,053.
MISC.	147.			147.
OFFICE & FILING FEES	1,545.			1,545.
OFFICE SUPPLIES & POSTAGE	67.			67.
<b>TOTAL</b>	<b>\$ 273,230.</b>	<b>\$ 238.</b>	<b>\$ 238.</b>	<b>\$ 272,992.</b>

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**STATEMENT 5**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
BERKSHIRE HATHAWAY INC CL A	COST	\$ 0.	\$ 0.
COMCAST CORP CL A SPL	COST	103,270.	38,282.
DIAGEO, PLC	COST	50,827.	65,567.
HASBRO INC	COST	28,262.	11,162.
HEINEKEN HOLDING NV ORD-A	COST	135,534.	119,024.
MARTIN MARIETTA MATERIALS INC	COST	52,910.	41,476.
NESTLE REG ADR	COST	105,545.	210,780.
NORGES HANDELS OG SJOF	COST	22,362.	22,932.
WELLS FARGO & COM NEW COM	COST	84,536.	87,503.
WASHINGTON POST CO CL B	COST	75,005.	24,493.
BROWN-FORMAN CORP CL A	COST	59,261.	59,453.
CIE FINANC RICHEMONT A-SW	COST	47,443.	132,142.
AMERICAN EXPRESS COMPANY	COST	32,981.	21,227.
ALTRIA GROUP INC	COST	161,993.	88,950.
BERKSHIRE HATHAWAY CLASS B	COST	160,904.	129,710.
SCHIBSTED A/S	COST	43,392.	68,638.
TWEEDY BROWNE GLOBAL VALUE FUND	COST	1,463,886.	1,579,087.
UNILEVER NV WI	COST	51,718.	84,207.
CADBURY SCHWEPPEES ORD	COST	0.	0.
PERNOD RICARD SA ORD	COST	61,218.	120,919.
SCRIPPS NETWORKS	COST	129,518.	21,210.
PHILLIP MORRIS INTL	COST	35,071.	247,212.
WELLPOINT (TWEEDY BROWNE)	COST	0.	0.
BRITISH AMERICAN TOBACCO COMPANY	COST	52,687.	90,211.
SABMILLER	COST	50,741.	128,550.
ANHEUSER BUSCH BEVERAGE	COST	53,227.	67,089.
MASTERCARD INC CL A COM	COST	52,790.	98,797.
	TOTAL	\$ 3,115,081.	\$ 3,558,621.

**STATEMENT 6**  
**FORM 990-PF, PART II, LINE 10C**  
**INVESTMENTS - CORPORATE BONDS**

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
AP SHORT TERM INCOME FUND	COST	\$ 84,125.	\$ 76,310.
	TOTAL	\$ 84,125.	\$ 76,310.

**STATEMENT 7**  
**FORM 990-PF, PART II, LINE 13**  
**INVESTMENTS - OTHER**

<u>OTHER INVESTMENTS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
PERRY PARTNERS INTERNATIONAL	COST	\$ 1,258,878.	\$ 5,163,013.
	TOTAL	\$ 1,258,878.	\$ 5,163,013.

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**STATEMENT 8**  
**FORM 990-PF, PART II, LINE 14**  
**LAND, BUILDINGS, AND EQUIPMENT**

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
MACHINERY AND EQUIPMENT	\$ 194,954.	\$ 187,233.	\$ 7,721.	\$ 0.
TOTAL	<u>\$ 194,954.</u>	<u>\$ 187,233.</u>	<u>\$ 7,721.</u>	<u>\$ 0.</u>

**STATEMENT 9**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	50 BERKSHIRE HATHAWAY CL B	PURCHASED	1/21/2010	2/04/2011
2	50 BERKSHIRE HATHAWAY CL B	PURCHASED	10/25/2006	2/04/2011
3	175 NESTLE REG ADR	PURCHASED	3/09/2007	2/04/2011
4	125 PHILLIP MORRIS	PURCHASED	9/16/2010	2/04/2011
5	25 PHILLIP MORRIS	PURCHASED	5/19/2008	2/04/2011
6	300 CIE FINANCIERE RICHEMONT	PURCHASED	11/04/2008	4/05/2011
7	863 COMCAST	PURCHASED	11/05/2002	4/05/2011
8	163 COMCAST	PURCHASED	12/23/2005	4/05/2011
9	625 SCRIPPS NETWORK	PURCHASED	5/23/1995	4/05/2011
10	21 WASHINGTON POST	PURCHASED	11/24/1998	4/05/2011
11	475 COMCAST	PURCHASED	12/23/2005	4/11/2011
12	428 COMCAST	PURCHASED	12/23/2005	10/04/2011
13	1175 COMCAST	PURCHASED	10/20/2008	10/04/2011
14	842 COMCAST	PURCHASED	8/21/2002	10/04/2011
15	56 COMCAST	PURCHASED	10/17/2008	10/04/2011
16	1269 COMCAST	PURCHASED	10/17/2008	10/27/2011
17	375 COMCAST	PURCHASED	10/15/2002	10/27/2011
18	206 COMCAST	PURCHASED	8/16/2002	10/27/2011
19	1 BERKSHIRE HATHAWAY CL A	PURCHASED	9/29/2000	11/30/2011
20	1 BERKSHIRE HATHAWAY CL A	PURCHASED	3/28/1996	11/30/2011
21	400 PHILLIP MORRIS	PURCHASED	5/19/2008	11/30/2011
22	150 PHILLIP MORRIS	PURCHASED	11/29/2007	11/30/2011
23	625 PHILLIP MORRIS	PURCHASED	4/23/2008	11/30/2011
24	200 PHILLIP MORRIS	PURCHASED	3/28/2008	11/30/2011
25	525 PHILLIP MORRIS	PURCHASED	9/25/2008	11/30/2011
26	425 PHILLIP MORRIS	PURCHASED	11/12/2007	11/30/2011
27	1075 PHILLIP MORRIS	PURCHASED	4/03/2007	11/30/2011
28	175 PHILLIP MORRIS	PURCHASED	9/24/2009	11/30/2011
29	1750 PHILLIP MORRIS	PURCHASED	2/02/2010	11/30/2011
30	250 PHILLIP MORRIS	PURCHASED	3/15/2007	11/30/2011
31	525 PHILLIP MORRIS	PURCHASED	5/08/2009	11/30/2011
32	805 PHILLIP MORRIS	PURCHASED	4/09/2009	11/30/2011
33	1000 PHILLIP MORRIS	PURCHASED	4/17/2003	11/30/2011
34	1600 PHILLIP MORRIS	PURCHASED	3/28/1996	11/30/2011
35	500 PHILLIP MORRIS	PURCHASED	7/06/2000	11/30/2011
36	500 PHILLIP MORRIS	PURCHASED	9/25/2000	11/30/2011
37	281 PHILLIP MORRIS	PURCHASED	5/23/2000	11/30/2011
38	1122 PHILLIP MORRIS	PURCHASED	5/18/2000	11/30/2011
39	617 PHILLIP MORRIS	PURCHASED	5/15/2000	11/30/2011
40	250 AMERICAN EXPRESS	PURCHASED	3/24/2008	11/30/2011
41	439 AMERICAN EXPRESS	PURCHASED	9/28/2001	11/30/2011
42	1000 AMERICAN EXPRESS	PURCHASED	9/18/2001	11/30/2011
43	111 AMERICAN EXPRESS	PURCHASED	9/18/2001	11/30/2011

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**STATEMENT 9 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
44	3494 BRITISH AMERICAN TOBACCO	PURCHASED	10/28/2008	11/30/2011
45	1056 BRITISH AMERICAN TOBACCO	PURCHASED	11/03/2009	11/30/2011
46	1625 BRITISH AMERICAN TOBACCO	PURCHASED	12/29/2009	11/30/2011
47	425 BRITISH AMERICAN TOBACCO	PURCHASED	4/12/2010	11/30/2011
48	175 BRITISH AMERICAN TOBACCO	PURCHASED	4/29/2010	11/30/2011
49	825 BRITISH AMERICAN TOBACCO	PURCHASED	5/27/2010	11/30/2011
50	898 BROWN FORMAN CL A	PURCHASED	5/09/2000	11/30/2011
51	500 BROWN FORMAN CL A	PURCHASED	5/08/2000	11/30/2011
52	1000 BROWN FORMAN CL A	PURCHASED	2/19/1997	11/30/2011
53	280 BROWN FORMAN CL A	PURCHASED	7/27/1994	11/30/2011
54	224 BROWN FORMAN CL A	PURCHASED	9/27/1994	11/30/2011
55	164 BROWN FORMAN CL A	PURCHASED	11/23/1993	11/30/2011
56	92 BROWN FORMAN CL A	PURCHASED	11/23/1993	11/30/2011
57	500 BERKSHIRE HATHAWAY CL B	PURCHASED	10/25/2006	11/30/2011
58	1750 BERKSHIRE HATHAWAY CL B	PURCHASED	1/05/2009	11/30/2011
59	1050 BERKSHIRE HATHAWAY CL B	PURCHASED	7/24/2009	11/30/2011
60	800 BERKSHIRE HATHAWAY CL B	PURCHASED	7/27/2006	11/30/2011
61	1700 BERKSHIRE HATHAWAY CL B	PURCHASED	8/11/2000	11/30/2011
62	800 BERKSHIRE HATHAWAY CL B	PURCHASED	7/18/1991	11/30/2011
63	775 ANHEUSER BUSCH	PURCHASED	11/18/2010	11/30/2011
64	525 ANHEUSER BUSCH	PURCHASED	4/05/2011	11/30/2011
65	250 ANHEUSER BUSCH	PURCHASED	5/27/2011	11/30/2011
66	675 ANHEUSER BUSCH	PURCHASED	12/06/2010	11/30/2011
67	75 ANHEUSER BUSCH	PURCHASED	1/18/2011	11/30/2011
68	50 ANHEUSER BUSCH	PURCHASED	7/23/2010	11/30/2011
69	375 ANHEUSER BUSCH	PURCHASED	8/09/2010	11/30/2011
70	25 ANHEUSER BUSCH	PURCHASED	7/09/2010	11/30/2011
71	775 ANHEUSER BUSCH	PURCHASED	7/08/2010	11/30/2011
72	375 ANHEUSER BUSCH	PURCHASED	8/11/2010	11/30/2011
73	375 ANHEUSER BUSCH	PURCHASED	6/10/2010	11/30/2011
74	150 ANHEUSER BUSCH	PURCHASED	7/02/2010	11/30/2011
75	1205 CIE FINANCIERE RICHEMONT	PURCHASED	12/09/1998	11/30/2011
76	2500 CIE FINANCIERE RICHEMONT	PURCHASED	1/11/1999	11/30/2011
77	185 CIE FINANCIERE RICHEMONT	PURCHASED	2/09/2004	11/30/2011
78	510 CIE FINANCIERE RICHEMONT	PURCHASED	3/08/2004	11/30/2011
79	500 CIE FINANCIERE RICHEMONT	PURCHASED	3/19/2004	11/30/2011
80	925 CIE FINANCIERE RICHEMONT	PURCHASED	10/22/2008	11/30/2011
81	450 CIE FINANCIERE RICHEMONT	PURCHASED	10/23/2008	11/30/2011
82	650 CIE FINANCIERE RICHEMONT	PURCHASED	10/24/2008	11/30/2011
83	300 CIE FINANCIERE RICHEMONT	PURCHASED	10/27/2008	11/30/2011
84	775 CIE FINANCIERE RICHEMONT	PURCHASED	10/28/2008	11/30/2011
85	350 CIE FINANCIERE RICHEMONT	PURCHASED	10/30/2008	11/30/2011
86	450 CIE FINANCIERE RICHEMONT	PURCHASED	11/04/2008	11/30/2011
87	1575 CIE FINANCIERE RICHEMONT	PURCHASED	11/25/2008	11/30/2011
88	169 COMCAST	PURCHASED	8/16/2002	11/30/2011
89	3000 COMCAST	PURCHASED	3/29/1996	11/30/2011
90	846 COMCAST	PURCHASED	5/23/1995	11/30/2011
91	165 COMCAST	PURCHASED	5/23/1995	11/30/2011
92	2395 COMCAST	PURCHASED	8/23/1993	11/30/2011
93	1212 DIAGEO PLC	PURCHASED	8/17/1995	11/30/2011
94	4230 DIAGEO PLC	PURCHASED	3/29/1996	11/30/2011
95	2525 DIAGEO PLC	PURCHASED	12/03/1998	11/30/2011
96	680 DIAGEO PLC	PURCHASED	1/12/2001	11/30/2011
97	2353 DIAGEO PLC	PURCHASED	3/30/2004	11/30/2011
98	1000 DIAGEO PLC	PURCHASED	4/20/2006	11/30/2011
99	200 DIAGEO PLC	PURCHASED	12/06/2010	11/30/2011

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**STATEMENT 9 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
100	33 HASBRO	PURCHASED	9/25/2000	11/30/2011
101	1542 HASBRO	PURCHASED	8/14/1991	11/30/2011
102	1381 HEINEKEN HLDG	PURCHASED	7/17/1991	11/30/2011
103	1199 HEINEKEN HLDG	PURCHASED	4/28/1993	11/30/2011
104	977 HEINEKEN HLDG	PURCHASED	4/03/1996	11/30/2011
105	1953 HEINEKEN HLDG	PURCHASED	1/07/1997	11/30/2011
106	1953 HEINEKEN HLDG	PURCHASED	10/13/1997	11/30/2011
107	263 HEINEKEN HLDG	PURCHASED	4/27/2004	11/30/2011
108	1375 HEINEKEN HLDG	PURCHASED	1/19/2005	11/30/2011
109	75 HEINEKEN HLDG	PURCHASED	2/22/2008	11/30/2011
110	225 HEINEKEN HLDG	PURCHASED	8/05/2008	11/30/2011
111	1550 HEINEKEN HLDG	PURCHASED	11/05/2008	11/30/2011
112	600 HEINEKEN HLDG	PURCHASED	11/25/2008	11/30/2011
113	17 MASTERCARD CL A	PURCHASED	5/19/2011	11/30/2011
114	12 MASTERCARD CL A	PURCHASED	6/21/2011	11/30/2011
115	130 MASTERCARD CL A	PURCHASED	4/05/2011	11/30/2011
116	2 MASTERCARD CL A	PURCHASED	12/13/2010	11/30/2011
117	17 MASTERCARD CL A	PURCHASED	2/10/2011	11/30/2011
118	8 MASTERCARD CL A	PURCHASED	12/06/2010	11/30/2011
119	19 MASTERCARD CL A	PURCHASED	2/07/2011	11/30/2011
120	164 MASTERCARD CL A	PURCHASED	11/15/2010	11/30/2011
121	19 MASTERCARD CL A	PURCHASED	11/18/2010	11/30/2011
122	1 MASTERCARD CL A	PURCHASED	1/20/2011	11/30/2011
123	49 MASTERCARD CL A	PURCHASED	1/14/2011	11/30/2011
124	10 MASTERCARD CL A	PURCHASED	10/14/2010	11/30/2011
125	22 MASTERCARD CL A	PURCHASED	1/05/2011	11/30/2011
126	80 MASTERCARD CL A	PURCHASED	6/22/2010	11/30/2011
127	33 MASTERCARD CL A	PURCHASED	12/28/2010	11/30/2011
128	15 MASTERCARD CL A	PURCHASED	9/24/2010	11/30/2011
129	5 MASTERCARD CL A	PURCHASED	9/20/2010	11/30/2011
130	75 MASTERCARD CL A	PURCHASED	6/17/2010	11/30/2011
131	95 MASTERCARD CL A	PURCHASED	8/09/2010	11/30/2011
132	10 MASTERCARD CL A	PURCHASED	7/09/2010	11/30/2011
133	35 MASTERCARD CL A	PURCHASED	7/08/2010	11/30/2011
134	100 MASTERCARD CL A	PURCHASED	7/20/2010	11/30/2011
135	140 MASTERCARD CL A	PURCHASED	6/10/2010	11/30/2011
136	51 MARTIN MARIETTA MTL INC	PURCHASED	12/17/2002	11/30/2011
137	1000 MARTIN MARIETTA MTL INC	PURCHASED	10/01/1996	11/30/2011
138	842 MARTIN MARIETTA MTL INC	PURCHASED	7/19/1995	11/30/2011
139	420 MARTIN MARIETTA MTL INC	PURCHASED	3/29/1996	11/30/2011
140	71 MARTIN MARIETTA MTL INC	PURCHASED	3/10/1995	11/30/2011
141	25 ALTRIA GROUP INC	PURCHASED	11/12/2007	11/30/2011
142	980 ALTRIA GROUP INC	PURCHASED	4/17/2008	11/30/2011
143	1075 ALTRIA GROUP INC	PURCHASED	4/03/2007	11/30/2011
144	3525 ALTRIA GROUP INC	PURCHASED	9/12/2008	11/30/2011
145	250 ALTRIA GROUP INC	PURCHASED	3/15/2007	11/30/2011
146	625 ALTRIA GROUP INC	PURCHASED	5/21/2009	11/30/2011
147	1000 ALTRIA GROUP INC	PURCHASED	4/17/2003	11/30/2011
148	1600 ALTRIA GROUP INC	PURCHASED	3/28/1996	11/30/2011
149	500 ALTRIA GROUP INC	PURCHASED	7/06/2000	11/30/2011
150	500 ALTRIA GROUP INC	PURCHASED	9/25/2000	11/30/2011
151	281 ALTRIA GROUP INC	PURCHASED	5/23/2000	11/30/2011
152	1122 ALTRIA GROUP INC	PURCHASED	5/18/2000	11/30/2011
153	767 ALTRIA GROUP INC	PURCHASED	5/15/2000	11/30/2011
154	450 NESTLE REG ADR	PURCHASED	3/09/2007	11/30/2011
155	1250 NESTLE REG ADR	PURCHASED	6/27/2007	11/30/2011

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**STATEMENT 9 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

<u>ITEM</u>	<u>(A) DESCRIPTION</u>	<u>(B) HOW ACQUIRED</u>	<u>(C) DATE ACQUIRED</u>	<u>(D) DATE SOLD</u>
156	1000 NESTLE REG ADR	PURCHASED	5/05/2009	11/30/2011
157	625 NESTLE REG ADR	PURCHASED	7/27/2006	11/30/2011
158	625 NESTLE REG ADR	PURCHASED	7/05/2006	11/30/2011
159	625 NESTLE REG ADR	PURCHASED	10/20/2005	11/30/2011
160	625 NESTLE REG ADR	PURCHASED	12/22/2004	11/30/2011
161	1190 NESTLE REG ADR	PURCHASED	5/11/2004	11/30/2011
162	625 NESTLE REG ADR	PURCHASED	8/23/2004	11/30/2011
163	2105 NESTLE REG ADR	PURCHASED	3/05/2001	11/30/2011
164	1250 NESTLE REG ADR	PURCHASED	5/22/2001	11/30/2011
165	3750 NESTLE REG ADR	PURCHASED	3/29/1996	11/30/2011
166	555 NESTLE REG ADR	PURCHASED	6/09/1995	11/30/2011
167	25 PERNOD RICARD S A	PURCHASED	4/02/2008	11/30/2011
168	100 PERNOD RICARD S A	PURCHASED	12/06/2010	11/30/2011
169	328 PERNOD RICARD S A	PURCHASED	12/29/2009	11/30/2011
170	204 PERNOD RICARD S A	PURCHASED	7/17/2008	11/30/2011
171	775 PERNOD RICARD S A	PURCHASED	2/03/2010	11/30/2011
172	128 PERNOD RICARD S A	PURCHASED	9/23/2008	11/30/2011
173	325 PERNOD RICARD S A	PURCHASED	10/05/2011	11/30/2011
174	612 PERNOD RICARD S A	PURCHASED	7/27/2006	11/30/2011
175	184 PERNOD RICARD S A	PURCHASED	6/30/2006	11/30/2011
176	61 PERNOD RICARD S A	PURCHASED	7/05/2006	11/30/2011
177	204 PERNOD RICARD S A	PURCHASED	8/19/2009	11/30/2011
178	255 PERNOD RICARD S A	PURCHASED	10/07/2008	11/30/2011
179	179 PERNOD RICARD S A	PURCHASED	4/29/2009	11/30/2011
180	153 PERNOD RICARD S A	PURCHASED	10/20/2008	11/30/2011
181	536 PERNOD RICARD S A	PURCHASED	4/03/2009	11/30/2011
182	122 PERNOD RICARD S A	PURCHASED	1/11/2005	11/30/2011
183	135 PERNOD RICARD S A	PURCHASED	11/03/2004	11/30/2011
184	51 PERNOD RICARD S A	PURCHASED	11/25/2008	11/30/2011
185	663 PERNOD RICARD S A	PURCHASED	10/23/2008	11/30/2011
186	102 PERNOD RICARD S A	PURCHASED	10/24/2008	11/30/2011
187	49 PERNOD RICARD S A	PURCHASED	3/02/2004	11/30/2011
188	11 PERNOD RICARD S A	PURCHASED	2/20/2004	11/30/2011
189	125 SABMILLER PLC	PURCHASED	9/30/2011	11/30/2011
190	1250 SABMILLER PLC	PURCHASED	2/03/2010	11/30/2011
191	1475 SABMILLER PLC	PURCHASED	6/17/2008	11/30/2011
192	900 SABMILLER PLC	PURCHASED	6/19/2008	11/30/2011
193	50 SABMILLER PLC	PURCHASED	8/20/2009	11/30/2011
194	650 SABMILLER PLC	PURCHASED	6/24/2008	11/30/2011
195	650 SABMILLER PLC	PURCHASED	7/22/2008	11/30/2011
196	1500 SABMILLER PLC	PURCHASED	7/09/2008	11/30/2011
197	1725 SABMILLER PLC	PURCHASED	9/15/2008	11/30/2011
198	575 SABMILLER PLC	PURCHASED	8/05/2008	11/30/2011
199	3525 SABMILLER PLC	PURCHASED	10/07/2008	11/30/2011
200	1250 SABMILLER PLC	PURCHASED	11/04/2008	11/30/2011
201	725 SABMILLER PLC	PURCHASED	10/21/2008	11/30/2011
202	225 SABMILLER PLC	PURCHASED	10/20/2008	11/30/2011
203	536 SCRIPPS NETWORK	PURCHASED	5/23/1995	11/30/2011
204	1436 SCRIPPS NETWORK	PURCHASED	8/23/1993	11/30/2011
205	378 SCRIPPS NETWORK	PURCHASED	8/23/1993	11/30/2011
206	275 UNILEVER	PURCHASED	7/25/2011	11/30/2011
207	325 UNILEVER	PURCHASED	4/11/2011	11/30/2011
208	100 UNILEVER	PURCHASED	4/12/2010	11/30/2011
209	875 UNILEVER	PURCHASED	11/15/2010	11/30/2011
210	750 UNILEVER	PURCHASED	10/12/2009	11/30/2011
211	525 UNILEVER	PURCHASED	6/14/2010	11/30/2011

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STATEMENT 9 (CONTINUED)  
 FORM 990-PF, PART IV, LINE 1  
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
212	150 UNILEVER	PURCHASED	3/16/2007	11/30/2011
213	100 UNILEVER	PURCHASED	7/16/2008	11/30/2011
214	475 UNILEVER	PURCHASED	8/05/2008	11/30/2011
215	775 UNILEVER	PURCHASED	5/27/2010	11/30/2011
216	2700 UNILEVER	PURCHASED	2/18/2004	11/30/2011
217	500 UNILEVER	PURCHASED	7/27/2006	11/30/2011
218	750 UNILEVER	PURCHASED	3/31/2006	11/30/2011
219	750 UNILEVER	PURCHASED	5/26/2006	11/30/2011
220	850 UNILEVER	PURCHASED	12/23/2004	11/30/2011
221	475 WELLS FARGO	PURCHASED	5/31/2006	11/30/2011
222	50 WELLS FARGO	PURCHASED	4/05/2011	11/30/2011
223	1000 WELLS FARGO	PURCHASED	12/13/2004	11/30/2011
224	1500 WELLS FARGO	PURCHASED	11/08/2007	11/30/2011
225	970 WELLS FARGO	PURCHASED	11/23/2004	11/30/2011
226	625 WELLS FARGO	PURCHASED	4/13/2011	11/30/2011
227	450 WELLS FARGO	PURCHASED	12/06/2010	11/30/2011
228	125 WELLS FARGO	PURCHASED	2/02/2010	11/30/2011
229	625 WELLS FARGO	PURCHASED	5/27/2011	11/30/2011
230	200 WELLS FARGO	PURCHASED	11/15/2010	11/30/2011
231	225 WELLS FARGO	PURCHASED	7/15/2010	11/30/2011
232	1275 WELLS FARGO	PURCHASED	10/12/2011	11/30/2011
233	150 WELLS FARGO	PURCHASED	6/13/2011	11/30/2011
234	75 WELLS FARGO	PURCHASED	10/05/2010	11/30/2011
235	175 WELLS FARGO	PURCHASED	9/09/2010	11/30/2011
236	625 WELLS FARGO	PURCHASED	8/05/2011	11/30/2011
237	125 WELLS FARGO	PURCHASED	9/01/2010	11/30/2011
238	350 WELLS FARGO	PURCHASED	8/27/2010	11/30/2011
239	786 WELLS FARGO	PURCHASED	11/24/1998	11/30/2011
240	775 WELLS FARGO	PURCHASED	1/21/2009	11/30/2011
241	1122 WELLS FARGO	PURCHASED	10/03/1997	11/30/2011
242	947 WELLS FARGO	PURCHASED	9/19/1997	11/30/2011
243	18 WASHINGTON POST	PURCHASED	11/24/1998	11/30/2011
244	75 WASHINGTON POST	PURCHASED	9/25/2000	11/30/2011
245	56 WASHINGTON POST	PURCHASED	7/12/2000	11/30/2011
246	20 WASHINGTON POST	PURCHASED	12/09/2008	11/30/2011
247	91 WASHINGTON POST	PURCHASED	2/19/1997	11/30/2011
248	4872.611 CAVANAL HILL	PURCHASED	VARIOUS	8/26/2011
249	223404.255 CAVANAL HILL	PURCHASED	VARIOUS	9/28/2011
250	1 TWEEDY GLOBAL FUND	PURCHASED	VARIOUS	12/30/2011

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	4,138.		3,622.	516.				\$ 516.
2	4,138.		3,405.	733.				733.
3	9,469.		6,710.	2,759.				2,759.
4	7,289.		6,878.	411.				411.
5	1,458.		1,342.	116.				116.
6	17,208.		6,599.	10,609.				10,609.
7	20,360.		15,142.	5,218.				5,218.
8	3,836.		2,852.	984.				984.
9	31,739.		5,661.	26,078.				26,078.
10	9,252.		11,239.	-1,987.				-1,987.
11	10,982.		8,338.	2,644.				2,644.
12	8,726.		7,504.	1,222.				1,222.

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**STATEMENT 9 (CONTINUED)**  
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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
13	23,982.		18,296.	5,686.				\$ 5,686.
14	17,175.		12,968.	4,207.				4,207.
15	1,143.		838.	305.				305.
16	30,380.		19,000.	11,380.				11,380.
17	8,978.		5,235.	3,743.				3,743.
18	4,932.		2,820.	2,112.				2,112.
19	114,680.		63,100.	51,580.				51,580.
20	114,680.		33,886.	80,794.				80,794.
21	30,480.		21,478.	9,002.				9,002.
22	11,430.		7,844.	3,586.				3,586.
23	47,624.		32,396.	15,228.				15,228.
24	15,240.		10,307.	4,933.				4,933.
25	40,004.		26,885.	13,119.				13,119.
26	32,384.		21,447.	10,937.				10,937.
27	81,913.		51,995.	29,918.				29,918.
28	13,335.		8,461.	4,874.				4,874.
29	133,347.		83,107.	50,240.				50,240.
30	19,050.		11,193.	7,857.				7,857.
31	40,004.		21,529.	18,475.				18,475.
32	61,340.		30,874.	30,466.				30,466.
33	76,199.		17,012.	59,187.				59,187.
34	121,918.		24,871.	97,047.				97,047.
35	38,099.		7,476.	30,623.				30,623.
36	38,099.		7,384.	30,715.				30,715.
37	21,412.		4,094.	17,318.				17,318.
38	85,495.		15,790.	69,705.				69,705.
39	47,014.		8,592.	38,422.				38,422.
40	11,839.		12,038.	-199.				-199.
41	20,789.		11,229.	9,560.				9,560.
42	47,355.		24,303.	23,052.				23,052.
43	5,256.		2,698.	2,558.				2,558.
44	159,263.		96,889.	62,374.				62,374.
45	48,134.		33,451.	14,683.				14,683.
46	74,071.		52,529.	21,542.				21,542.
47	19,372.		14,835.	4,537.				4,537.
48	7,977.		5,648.	2,329.				2,329.
49	37,605.		24,533.	13,072.				13,072.
50	69,019.		18,129.	50,890.				50,890.
51	38,429.		9,809.	28,620.				28,620.
52	76,858.		16,385.	60,473.				60,473.
53	21,520.		2,901.	18,619.				18,619.
54	17,216.		2,296.	14,920.				14,920.
55	12,605.		1,449.	11,156.				11,156.
56	7,071.		813.	6,258.				6,258.
57	38,845.		34,050.	4,795.				4,795.
58	135,958.		116,912.	19,046.				19,046.
59	81,575.		64,635.	16,940.				16,940.
60	62,152.		48,582.	13,570.				13,570.
61	132,074.		70,705.	61,369.				61,369.
62	62,152.		4,578.	57,574.				57,574.
63	46,147.		47,067.	-920.				-920.
64	31,261.		31,333.	-72.				-72.
65	14,886.		14,685.	201.				201.

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RAYMOND &amp; BEVERLY SACKLER FOUNDATION,INC

23-7022467

10/15/12

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STATEMENT 9 (CONTINUED)  
 FORM 990-PF, PART IV, LINE 1  
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
66	40,193.		38,812.	1,381.				\$ 1,381.
67	4,466.		4,307.	159.				159.
68	2,977.		2,723.	254.				254.
69	22,329.		19,790.	2,539.				2,539.
70	1,489.		1,312.	177.				177.
71	46,147.		40,117.	6,030.				6,030.
72	22,329.		19,052.	3,277.				3,277.
73	22,329.		18,407.	3,922.				3,922.
74	8,932.		7,340.	1,592.				1,592.
75	62,678.		10,047.	52,631.				52,631.
76	130,038.		25,672.	104,366.				104,366.
77	9,623.		2,695.	6,928.				6,928.
78	26,528.		7,871.	18,657.				18,657.
79	26,008.		7,368.	18,640.				18,640.
80	48,114.		19,874.	28,240.				28,240.
81	23,407.		9,583.	13,824.				13,824.
82	33,810.		13,076.	20,734.				20,734.
83	15,605.		5,772.	9,833.				9,833.
84	40,312.		15,071.	25,241.				25,241.
85	18,205.		7,449.	10,756.				10,756.
86	23,407.		9,898.	13,509.				13,509.
87	81,924.		27,148.	54,776.				54,776.
88	3,766.		2,314.	1,452.				1,452.
89	66,856.		13,344.	53,512.				53,512.
90	18,854.		2,746.	16,108.				16,108.
91	3,677.		535.	3,142.				3,142.
92	53,374.		5,195.	48,179.				48,179.
93	25,486.		10,853.	14,633.				14,633.
94	88,950.		35,725.	53,225.				53,225.
95	53,096.		26,388.	26,708.				26,708.
96	14,299.		6,818.	7,481.				7,481.
97	49,479.		30,873.	18,606.				18,606.
98	21,028.		16,517.	4,511.				4,511.
99	4,206.		3,608.	598.				598.
100	1,181.		366.	815.				815.
101	55,186.		12,633.	42,553.				42,553.
102	54,483.		6,208.	48,275.				48,275.
103	47,285.		9,177.	38,108.				38,108.
104	38,518.		19,685.	18,833.				18,833.
105	77,036.		31,806.	45,230.				45,230.
106	77,036.		30,856.	46,180.				46,180.
107	10,355.		7,713.	2,642.				2,642.
108	54,237.		41,769.	12,468.				12,468.
109	2,958.		3,733.	-775.				-775.
110	8,875.		10,399.	-1,524.				-1,524.
111	61,140.		50,639.	10,501.				10,501.
112	23,667.		15,465.	8,202.				8,202.
113	6,316.		4,828.	1,488.				1,488.
114	4,458.		3,285.	1,173.				1,173.
115	48,298.		34,153.	14,145.				14,145.
116	743.		517.	226.				226.
117	6,316.		4,285.	2,031.				2,031.
118	2,972.		2,015.	957.				957.

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23-7022467

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**STATEMENT 9 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
119	7,059.		4,758.	2,301.				\$ 2,301.
120	60,930.		40,388.	20,542.				20,542.
121	7,059.		4,607.	2,452.				2,452.
122	372.		237.	135.				135.
123	18,205.		11,574.	6,631.				6,631.
124	3,715.		2,325.	1,390.				1,390.
125	8,174.		5,052.	3,122.				3,122.
126	29,722.		17,950.	11,772.				11,772.
127	12,260.		7,400.	4,860.				4,860.
128	5,573.		3,313.	2,260.				2,260.
129	1,858.		1,085.	773.				773.
130	27,864.		16,055.	11,809.				11,809.
131	35,295.		20,320.	14,975.				14,975.
132	3,715.		2,128.	1,587.				1,587.
133	13,003.		7,302.	5,701.				5,701.
134	37,152.		20,474.	16,678.				16,678.
135	52,013.		28,386.	23,627.				23,627.
136	3,929.		1,622.	2,307.				2,307.
137	77,032.		21,075.	55,957.				55,957.
138	64,861.		16,259.	48,602.				48,602.
139	32,353.		6,808.	25,545.				25,545.
140	5,469.		714.	4,755.				4,755.
141	711.		554.	157.				157.
142	27,872.		21,434.	6,438.				6,438.
143	30,574.		22,818.	7,756.				7,756.
144	100,255.		74,346.	25,909.				25,909.
145	7,110.		4,912.	2,198.				2,198.
146	17,776.		10,423.	7,353.				7,353.
147	28,441.		7,466.	20,975.				20,975.
148	45,506.		10,914.	34,592.				34,592.
149	14,221.		3,281.	10,940.				10,940.
150	14,221.		3,240.	10,981.				10,981.
151	7,992.		1,797.	6,195.				6,195.
152	31,911.		6,929.	24,982.				24,982.
153	21,814.		4,687.	17,127.				17,127.
154	25,179.		17,255.	7,924.				7,924.
155	69,941.		45,983.	23,958.				23,958.
156	55,953.		34,412.	21,541.				21,541.
157	34,971.		20,247.	14,724.				14,724.
158	34,971.		19,323.	15,648.				15,648.
159	34,971.		18,278.	16,693.				16,693.
160	34,971.		16,015.	18,956.				18,956.
161	66,584.		30,434.	36,150.				36,150.
162	34,971.		15,053.	19,918.				19,918.
163	117,781.		46,706.	71,075.				71,075.
164	69,941.		26,303.	43,638.				43,638.
165	209,824.		42,450.	167,374.				167,374.
166	31,054.		5,625.	25,429.				25,429.
167	2,334.		2,529.	-195.				-195.
168	9,335.		8,661.	674.				674.
169	30,618.		28,242.	2,376.				2,376.
170	19,043.		17,092.	1,951.				1,951.
171	72,345.		63,678.	8,667.				8,667.

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**STATEMENT 9 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
172	11,902.		10,297.	1,605.				\$ 1,605.
173	30,338.		26,166.	4,172.				4,172.
174	57,129.		47,928.	9,201.				9,201.
175	17,139.		14,017.	3,122.				3,122.
176	5,713.		4,611.	1,102.				1,102.
177	19,043.		14,948.	4,095.				4,095.
178	23,804.		16,954.	6,850.				6,850.
179	16,663.		10,485.	6,178.				6,178.
180	14,282.		8,985.	5,297.				5,297.
181	49,988.		29,760.	20,228.				20,228.
182	11,426.		6,801.	4,625.				4,625.
183	12,568.		7,388.	5,180.				5,180.
184	4,761.		2,774.	1,987.				1,987.
185	61,890.		32,808.	29,082.				29,082.
186	9,522.		4,892.	4,630.				4,630.
187	4,570.		2,335.	2,235.				2,235.
188	999.		504.	495.				495.
189	4,335.		4,111.	224.				224.
190	43,346.		34,492.	8,854.				8,854.
191	51,148.		34,815.	16,333.				16,333.
192	31,209.		20,884.	10,325.				10,325.
193	1,734.		1,126.	608.				608.
194	22,540.		14,589.	7,951.				7,951.
195	22,540.		14,468.	8,072.				8,072.
196	52,015.		32,932.	19,083.				19,083.
197	59,818.		37,545.	22,273.				22,273.
198	19,939.		12,497.	7,442.				7,442.
199	122,236.		63,635.	58,601.				58,601.
200	43,346.		20,099.	23,247.				23,247.
201	25,141.		11,266.	13,875.				13,875.
202	7,802.		3,397.	4,405.				4,405.
203	21,333.		4,855.	16,478.				16,478.
204	57,152.		8,694.	48,458.				48,458.
205	15,044.		2,289.	12,755.				12,755.
206	9,344.		9,105.	239.				239.
207	11,043.		10,438.	605.				605.
208	3,398.		3,125.	273.				273.
209	29,730.		26,592.	3,138.				3,138.
210	25,483.		22,625.	2,858.				2,858.
211	17,838.		15,187.	2,651.				2,651.
212	5,097.		4,325.	772.				772.
213	3,398.		2,794.	604.				604.
214	16,139.		13,209.	2,930.				2,930.
215	26,333.		21,219.	5,114.				5,114.
216	91,740.		66,906.	24,834.				24,834.
217	16,989.		11,748.	5,241.				5,241.
218	25,483.		17,408.	8,075.				8,075.
219	25,483.		17,220.	8,263.				8,263.
220	28,881.		18,752.	10,129.				10,129.
221	11,977.		15,813.	-3,836.				-3,836.
222	1,261.		1,603.	-342.				-342.
223	25,215.		31,570.	-6,355.				-6,355.
224	37,823.		47,151.	-9,328.				-9,328.

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**STATEMENT 9 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
225	24,459.		30,114.	-5,655.				\$ -5,655.
226	15,759.		19,215.	-3,456.				-3,456.
227	11,347.		13,024.	-1,677.				-1,677.
228	3,152.		3,593.	-441.				-441.
229	15,759.		17,689.	-1,930.				-1,930.
230	5,043.		5,604.	-561.				-561.
231	5,673.		6,199.	-526.				-526.
232	32,149.		34,466.	-2,317.				-2,317.
233	3,782.		4,049.	-267.				-267.
234	1,891.		1,971.	-80.				-80.
235	4,413.		4,508.	-95.				-95.
236	15,759.		16,042.	-283.				-283.
237	3,152.		3,073.	79.				79.
238	8,825.		8,361.	464.				464.
239	19,819.		15,145.	4,674.				4,674.
240	19,542.		12,368.	7,174.				7,174.
241	28,291.		16,295.	11,996.				11,996.
242	23,879.		12,871.	11,008.				11,008.
243	6,370.		9,634.	-3,264.				-3,264.
244	26,540.		37,716.	-11,176.				-11,176.
245	19,817.		27,004.	-7,187.				-7,187.
246	7,077.		8,042.	-965.				-965.
247	32,202.		32,502.	-300.				-300.
248	45,900.		50,334.	-4,434.				-4,434.
249	2100000.		2307766.	-207,766.				-207,766.
250	38,998.		0.	38,998.				38,998.
								TOTAL \$ 3411689.

**STATEMENT 10**  
**FORM 990-PF, PART XV, LINE 1A**  
**FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS**

RAYMOND R. SACKLER, MD  
 RICHARD S. SACKLER, MD  
 JONATHAN D. SACKLER  
 BEVERLY SACKLER

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RAYMOND &amp; BEVERLY SACKLER FOUNDATION,INC

23-7022467

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## STATEMENT 11

FORM 990-PF, PART IX-A, LINE 1  
SUMMARY OF DIRECT CHARITABLE ACTIVITIES

DURING 2011, MEDICAL RESEARCH WAS CONDUCTED BY THE FOUNDATION'S PERSONNEL AT THE FOUNDATION'S SEVEN MEDICAL RESEARCH LABORATORIES. AS OF 12/31/11, THE FOUNDATION CONDUCTED RESEARCH IN THE FIELDS OF CELLULAR AND MOLECULAR BIOLOGY. THE FOLLOWING IS A SUMMARY OF THE FOUNDATION'S ACTIVITY IN 2011:

RESEARCH FACILITY	NUMBER OF PERSONNEL @ 12/31/11	PUBLISHED PAPERS
TUFTS UNIVERSITY 136 HARRISON AVE BOSTON, MA	3	3
MEDICAL UNIVERSITY OF OHIO 3035 ARLINGTON AVE. TOLEDO, OH	3	1
YALE UNIVERSITY 300 CEDAR STREET NEW HAVEN, CT	3	1
BROWN UNIVERSITY 70 SHIP STREET PROVIDENCE, RI	2	1
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVE NEW YORK, NY	1	2
CANCER INSTITUTE OF NEW JERSEY 335 GEORGE STREET LIBERTY PLAZA, STE. 3450 NEW BRUNSWICK, NJ	9	1

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2011 FEDERAL BOOK DEPRECIATION SCHEDULE

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RAYMOND & BEVERLY SACKLER FOUNDATION, INC

23-7022467

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NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS PCT.	CUR 179 BONUS	SPECIAL DEPR ALLOW.	PRIOR 179/ BONUS/ SP_DEPR	PRIOR DEC BAL DEPR	SALVAG /BASIS REDUCT.	DEPR BASIS	PRIOR DEPR	METHOD	LIFE	RATE	CURRENT DEPR
FORM 990/990-PF																
MACHINERY AND EQUIPMENT																
1	LUMINOMETER	4/01/91		10,517							10,517	10,517	S/L	10		0
2	LAB COMPUTER	1/01/92		2,625							2,625	2,625	S/L	10		0
3	ROTOR W/TUBES AND CAPS	3/01/91		7,119							7,119	7,119	S/L	10		0
4	3000XI POWER SUPPLY	3/01/91		2,395							2,395	2,395	S/L	10		0
5	MDL 1000/500 POWER SUPPLY	3/01/91		2,190							2,190	2,190	S/L	10		0
6	583 GEL DRYER	3/01/91		1,047							1,047	1,047	S/L	10		0
7	PROTEAN II XI 16CM	3/01/91		1,070							1,070	1,070	S/L	10		0
8	SPACERS, 16CM X 1MM	3/01/91		45							45	45	S/L	10		0
9	TEFLON CONE	3/01/91		33							33	33	S/L	10		0
10	2 PROTEAN II INNER PLATES	3/01/91		34							34	34	S/L	10		0
11	TRANS BLOT CELL	3/01/91		510							510	510	S/L	10		0
12	SUPER COOLING COIL	3/01/91		120							120	120	S/L	10		0
13	3 MINI SUB CELL	3/01/91		645							645	645	S/L	10		0
14	3 COMB MINI-SUB	3/01/91		69							69	69	S/L	10		0
15	3 COMB MINI-SUB, 15	3/01/91		69							69	69	S/L	10		0
16	6 COMB HOLDERS	3/01/91		228							228	228	S/L	10		0
17	2 MINI PREP COMB	3/01/91		46							46	46	S/L	10		0
18	4 8X10 XRAY CASSETTE	3/01/91		320							- 320	320	S/L	10		0
19	2 14X17 XRAY CASSETTE	3/01/91		212							212	212	S/L	10		0
20	4 INTENSIFYING SCR N 8X10	3/01/91		300							300	300	S/L	10		0
21	2 INTENSIFYING SCR N 14X17	3/01/91		440							440	440	S/L	10		0
22	PLATFORM/G24	3/01/91		350							350	350	S/L	10		0
23	COMB CELL 20	3/01/91		30							30	30	S/L	10		0

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2011 FEDERAL BOOK DEPRECIATION SCHEDULE

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RAYMOND & BEVERLY SACKLER FOUNDATION, INC

23-7022467

10/15/12

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NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS PCT.	CUR 179 BONUS	SPECIAL DEPR. ALLOW.	PRIOR 179/ BONUS/ SP. DEPR.	PRIOR DEC BAL DEPR.	SALVAG /BASIS REDUCT	DEPR BASIS	PRIOR DEPR.	METHOD	LIFE	RATE	CURRENT DEPR.
24	WIDE MINI SUB CELL	3/01/91		316							316	316	S/L	10		0
25	SUB CELL SYSTEM	3/01/91		407							407	407	S/L	10		0
26	PER COMB 2 WELL	3/01/91		52							52	52	S/L	10		0
27	GEL TRAY 15X10	3/01/91		52							52	52	S/L	10		0
28	GEL TRAY 15X20	3/01/91		52							52	52	S/L	10		0
29	DUAL CHAMBER INCUBATOR	4/01/91		6,238							6,238	6,238	S/L	10		0
30	SHAKER INCUBATOR	4/01/91		3,120							3,120	3,120	S/L	10		0
31	UPRIGHT FREEZER	5/01/91		4,495							4,495	4,495	S/L	10		0
32	ELUTRAP SYSTEM	11/01/94		3,504							3,504	3,500	S/L	10		0
33	TISSUE CULTURE ROTATOR	8/01/92		1,052							1,052	1,052	S/L	10		0
34	PRODIGENE SOFTWARE & DGTZ	8/01/92		5,475							5,475	5,475	S/L	10		0
35	PRESSURE BLOTTER	8/01/92		1,400							1,400	1,400	S/L	10		0
36	NANOFUGE	10/01/92		639							639	639	S/L	10		0
37	CD ROM	3/01/93		651							651	651	S/L	10		0
38	SHAKER INCUBATOR	9/01/96		4,139							4,139	4,139	S/L	10		0
39	SHAKER PLATFORM	4/01/97		624							624	624	S/L	10		0
40	GNE AMP PCR SYSTEM	5/01/97		3,073							3,073	3,073	S/L	10		0
41	COMPUTER	6/01/97		2,385							2,385	2,385	S/L	10		0
42	MAC POWERBOOK	8/01/97		5,096							5,096	5,096	S/L	10		0
43	ROTATOR	11/01/98		631							631	631	S/L	10		0
44	LIGHTTOOLS CAMERA	12/01/98		7,728							7,728	7,728	S/L	10		0
45	PRINTER	12/01/98		7,420							7,420	7,420	S/L	10		0
46	OPTICAL UNIT	11/01/99		2,585							2,585	2,585	S/L	10		0
47	PRINTER	6/01/99		949							949	949	S/L	10		0
48	NIKON MICROSCOPE	4/01/99		8,378							8,378	8,378	S/L	10		0
49	COMPUTER	5/01/99		1,980							1,980	1,980	S/L	10		0
50	MICROSCOPE	5/01/99		5,666							5,666	5,666	S/L	10		0

12/31/11

2011 FEDERAL BOOK DEPRECIATION SCHEDULE

PAGE 3

CLIENT FOUNDATI

RAYMOND & BEVERLY SACKLER FOUNDATION, INC

23-7022467

10/15/12

02:24PM

NO.	DESCRIPTION	DATE ACQUIRED	DATE SOLD	COST/ BASIS	BUS PCL.	CUR 179 BONUS	SPECIAL DEPR. ALLOW.	PRIOR 179/ BONUS/ SP. DEPR.	PRIOR DEC BAL DEPR.	SALVAG /BASIS REDUCT	DEPR. BASIS	PRIOR DEPR.	METHOD	LIFE	RATE	CURRENT DEPR.	
51	PIPETTE PULLER	7/01/99		6,357							6,357	6,357	S/L	10		0	
52	CAMERA SYSTEM	8/01/99		13,819							13,819	13,819	S/L	10		0	
53	MINI TRANSBLOT SYSTEM	1/01/00		883							883	883	S/L	10		0	
54	POWERPAC 3000	3/01/00		1,814							1,814	1,814	S/L	10		0	
55	SCREEN CASSETTE	3/01/00		2,366							2,366	2,366	S/L	10		0	
56	PRESSURE VESSEL	3/01/00		1,354							1,354	1,354	S/L	10		0	
57	APPLE COMPUTER	8/01/00		1,468							1,468	1,468	S/L	10		0	
58	NIKON MICROSCOPE	6/01/00		25,048							25,048	25,048	S/L	10		0	
59	MJ RESEARCH DNMA ENGINE	1/01/01		8,017							8,017	8,017	S/L	10		0	
60	ILLUMINATOR	3/01/02		9,716							9,716	8,585	S/L	10		972	
61	BLOOD PRESSURE MONITOR	6/01/06		4,890							4,890	2,246	S/L	10		489	
62	MTX LAB UV SPECTRUM	1/01/01		5,063							5,063	5,063	S/L	10		0	
63	NUAIRE INCUBATOR W/DRYER	8/01/11		5,638							5,638		S/L	10		235	
TOTAL MACHINERY AND EQUIPME											194,954	185,537					1,696
TOTAL DEPRECIATION											194,954	185,537					1,696
GRAND TOTAL DEPRECIATION											194,954	185,537					1,696

## Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

<b>Type or print</b>  <small>File by the due date for filing your return. See instructions</small>	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	RAYMOND & BEVERLY SACKLER FOUNDATION, INC	<input checked="" type="checkbox"/> 23-7022467
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	17 EAST 62ND STREET	<input type="checkbox"/>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10021-7204	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

● The books are in the care of ▶ CHADBOURNE & PARKE -----

Telephone No ▶ 212-408-5520 ----- FAX No ▶ 212-408-1644 -----

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ▶  calendar year 20 11 or
- ▶  tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_

**2** If the tax year entered in line 1 is for less than 12 months, check reason  Initial return  Final return  Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	<b>3a</b>	\$	0.
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	<b>3b</b>	\$	253.
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	<b>3c</b>	\$	0.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

**BAA For Paperwork Reduction Act Notice, see Instructions.**

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

<p><b>Type or print</b></p> <p>File by the extended due date for filing the return See instructions</p>	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	RAYMOND & BEVERLY SACKLER FOUNDATION, INC	<input checked="" type="checkbox"/> 23-7022467
	Number, street, and room or suite number If a P O box, see instructions	Social security number (SSN)
	NORTH BAY ASSOCIATES 14000 QUAIL SPRINGS PKWY, SUITE 2200	<input type="checkbox"/>
	City, town or post office, state, and ZIP code For a foreign address, see instructions	
	OKLAHOMA CITY, OK 73134	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in care of  CHADBOURNE & PARKE  
Telephone No.  212-408-5520 FAX No.  212-408-1644
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN). \_\_\_\_\_ If this is for the whole group, check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until 11/15, 2012.
- For calendar year 2011, or other tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.
- If the tax year entered in line 5 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period
- State in detail why you need the extension TAXPAYER RESPECTFULLY REQUESTS ADDITIONAL TIME TO GATHER INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN.

<b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	<b>8a</b> \$	0.
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	<b>8b</b> \$	253.
<b>c Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	<b>8c</b> \$	0.

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature  [Signature] Title  CPA Date  8/3/12