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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year **2011** or tax year beginning

, and ending

Name of foundation HARLAN E. ANDERSON FOUNDATION		A Employer identification number 23-7011480
Number and street (or P O box number if mail is not delivered to street address) 2113 MEADOW RIDGE	Room/suite	B Telephone number (203) 544-8488
City or town, state, and ZIP code REDDING, CT 06896		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 2887158.	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis)</i>	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>		

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		81299.	81299.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		142025.			
b Gross sales price for all assets on line 6a		1266594.			
7 Capital gain net income (from Part IV, line 2)			142025.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		223324.	223324.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees		17616.	17616.		0.
17 Interest		9.	9.		0.
18 Taxes		6253.	3400.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		1556.	1556.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		25434.	22581.		0.
25 Contributions, gifts, grants paid		134500.			134500.
26 Total expenses and disbursements. Add lines 24 and 25		159934.	22581.		134500.
27 Subtract line 26 from line 12		63390.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			200743.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	57392.	8245.	8245.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 6	1999825.	2106565.	2868778.
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 7	9172.	10136.	10135.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	2066389.	2124946.	2887158.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	2008997.	2116701.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	57392.	8245.		
30 Total net assets or fund balances	2066389.	2124946.		
31 Total liabilities and net assets/fund balances	2066389.	2124946.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2066389.
2 Enter amount from Part I, line 27a	2	63390.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	2129779.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	4833.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2124946.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1266594.		1124569.	142025.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			
b			
c			
d			
e			142025.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	142025.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	139807.	2977556.	.046954
2009	138941.	2620128.	.053028
2008	121590.	3248680.	.037428
2007	177485.	3804388.	.046653
2006	174009.	3506470.	.049625

2 Total of line 1, column (d)	2	.233688
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046738
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	2955760.
5 Multiply line 4 by line 3	5	138146.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2007.
7 Add lines 5 and 6	7	140153.
8 Enter qualifying distributions from Part XII, line 4	8	134500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	4015.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	4015.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	4015.
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1720.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	1720.
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2295.
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X		
14	The books are in care of ▶ HARLAN E. ANDERSON Telephone no ▶ (203) 544-8488 Located at ▶ 2113 MEADOW RIDGE, REDDING, CT ZIP+4 ▶ 06896			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶		Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? <input type="checkbox"/>	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARLAN E. ANDERSON 2113 MEADOW RIDGE REDDING, CT 06896	TRUSTEE 1.00	0.	0.	0.
LOIS J. ANDERSON 2113 MEADOW RIDGE REDDING, CT 06896	TRUSTEE 1.00	0.	0.	0.
SUSAN L ABBOTT GOODWIN PROCTER LLP, EXCHANGE PLACE BOSTON, MA 02109-2881	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
2	
All other program-related investments. See instructions. 3 N/A	0.
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2945095.
b	Average of monthly cash balances	1b	44216.
c	Fair market value of all other assets	1c	11461.
d	Total (add lines 1a, b, and c)	1d	3000772.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3000772.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	45012.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2955760.
6	Minimum investment return. Enter 5% of line 5	6	147788.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	147788.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	4015.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	4015.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	143773.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	143773.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	143773.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	134500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	134500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	134500.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				143773.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			42865.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 134500.				
a Applied to 2010, but not more than line 2a			42865.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				91635.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				52138.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

HARLAN E. ANDERSON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

HARLAN E. ANDERSON, 203-544-8488
 2113 MEADOW RIDGE, REDDING, CT 06896

b The form in which applications should be submitted and information and materials they should include

NO STANDARD FORMAT

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

LOCAL AREA PREFERENCE, ELDERLY AND EDUCATIONAL PROGRAMS PREFERRED.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p>a Paid during the year</p> <p>AMERICARES 88 HAMILTON AVENUE STAMFORD, CT 06902</p>	NONE		FOR CURRENT YEAR PROGRAMS	5000.
<p>BOSTON SYMPHONY ORCHESTRA-ANNUAL FUND SYMPHONY HALL 450 MASSACHUSETTS AVENUE BOSTON, MA 02115-4511</p>	NONE		FOR CURRENT YEAR PROGRAMS	16000.
<p>COMPUTER HISTORY MUSEUM 1401 N. SHORELINE BLVD MOUNTAIN VIEW, CA 94043</p>	NONE		FOR CURRENT YEAR PROGRAMS	3000.
<p>GREENWICH CHORAL SOCIETY 299 GREENWICH AVENUE GREENWICH, CT 06836</p>	NONE		FOR CURRENT YEAR PROGRAMS	1000.
<p>HORIZONS 545 PONUD RIDGE NEW CANAAN, CT 06840</p>	NONE		FOR CURRENT YEAR PROGRAMS	3000.
<p>Total SEE CONTINUATION SHEET(S) ▶ 3a</p>				134500.
<p>b Approved for future payment</p> <p>NONE</p>				
<p>Total ▶ 3b</p>				0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW CANAAN COMMUNITY FOUNDATION P.O. BOX 1285 NEW CANAAN, CT 06840	NONE		FOR CURRENT YEAR PROGRAMS	4000.
NORTHWESTERN UNIVERSITY 2020 RIDGE AVENUE EVANSTON, IL 60208-4305	NONE		FOR CURRENT YEAR PROGRAMS	2000.
NORWALK COMMUNITY COLLEGE FOUNDATION 188 RICHARDS AVENUE NORWALK, CT 06854	NONE		FOR CURRENT YEAR PROGRAMS	80000.
RENSSELAER POLYTECHNIC INSTITUTE 110 EIGHTH ST TROY, NY 12180-3590	NONE		FOR CURRENT YEAR PROGRAMS	3000.
THE MACULAR FOUNDATION 210 EAST 64TH ST NEW YORK, NY 10065	NONE		FOR CURRENT YEAR PROGRAMS	5000.
UNIVERSITY OF ILLINOIS FOUNDATION 1305 WEST GREEN ST URBANA, IL 61801-2962	NONE		FOR CURRENT YEAR PROGRAMS	5000.
VISITING NURSE & HOSPICES OF FAIRFIELD P.O. BOX 625 STAMFORD, CT 06950			FOR CURRENT YEAR PROGRAMS	2500.
WAVENEY CARE CENTER 3 FARM ROAD NEW CANAAN, CT 06840	NONE		FOR CURRENT YEAR PROGRAMS	5000.
Total from continuation sheets				106500.

HARLAN E. ANDERSON FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED JP MORGAN CHASE SCHEDULE	P		
b SEE ATTACHED JP MORGAN CHASE SCHEDULE	P		
c SEE ATTACHED JP MORGAN CHASE SCHEDULE	P		
d FOREIGN CURRENCY GAIN/LOSS	P		
e DORAL FINANCIAL CORPORATION SECURITIES LITIGATION	P		
f CAPITAL GAINS DIVIDENDS			
g			
h			
i			
j			
k			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 49569.		50335.	-766.
b 181462.		192768.	-11306.
c 1034979.		881466.	153513.
d 124.			124.
e 267.			267.
f 193.			193.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-766.
b			-11306.
c			153513.
d			124.
e			267.
f			193.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 if (loss), enter "-0-" in Part I, line 7 }	2	142025.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CUSTODIAN FEES	1457.	1457.			0.
BANK CHARGES	99.	99.			0.
TOTAL TO FORM 990-PF, PG 1, LN 23	1556.	1556.			0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	5
DESCRIPTION		AMOUNT	
ADJUSTMENT FOR DIFFERENCES IN BOOK VALUE & TAX COST		4833.	
TOTAL TO FORM 990-PF, PART III, LINE 5		4833.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHED SCHEDULE	2106565.	2868778.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	2106565.	2868778.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VARIOUS OTHER ASSETS	COST	10136.	10135.
TOTAL TO FORM 990-PF, PART II, LINE 13		10136.	10135.

The Harlan E. Anderson Foundat (9194)

Period from January 1, 2011 to December 31, 2011

Realized Gain/Loss Report

Symbol	Security Name	Shares	Acquisition Date	Liquidation Date	Original Cost	Proceeds	Cost	Realized Gain/Loss S/T	LT
Regular Gain/Loss									
002824AW0	Abbott Lab Nt, 4 125% 5/27/20 9194/1 3	20,000 0000	05/24/2010	07/14/2011	19,990 20	20,879 00	19,991 10		887 90
012330 KS	Hyundai Mobis 9194/1 2	40 0000	04/21/2010	03/15/2011	5,922 50	9,379 01	5,922 50	3,456 51	
	9194/1 2	14 0000	04/20/2010	03/17/2011	2,038 31	3,280 58	2,038 31	1,242 27	
	9194/1 2	6 0000	04/21/2010	03/17/2011	888 38	1,405 97	888 38	517 59	
	9194/1 2	20 0000	04/20/2010	03/18/2011	2,911 87	4,829 00	2,911 87	1,917 13	
	9194/1 2	16 0000	04/20/2010	03/21/2011	2,329 49	3,922 31	2,329 49	1,592 82	
	012330 KS Totals	96 0000			14,090 55	22,816 87	14,090 55	8,726 32	0 00
025816BA6	American Exp, 7 25% 5/20/14 9194/1 3	15,000 0000	05/13/2009	08/01/2011	14,976 30	17,262 00	14,985 39		2,276 61
053332AG7	Autozone, 6 95% Due 6/15/16 9194/1 3	15,000 0000	05/01/2009	05/12/2011	15,150 00	17,362 20	15,150 00		2,212 20
060505CS1	BOA Co 5 625% 10/14/16 9194/1 3	5,000 0000	09/09/2011	11/10/2011	5,106 80	4,900 20	5,103 97	(203 77)	
06051GDY2	BofA Corp Notes 7 375% 5/15/14 9194/1 3	5,000 0000	08/11/2011	09/09/2011	5,364 30	5,394 45	5,356 28	38 17	
06657011KS	LG Electronics Rts 12/21/11 9194/1 2	0 5365	03/09/2011	11/17/2011	0 00	0 00	0 00	0 00	
	9194/1 2	19 2814	03/09/2011	12/06/2011	0 00	343 32	0 00	343 32	
	9194/1 2	4 7186	03/15/2011	12/06/2011	0 00	84 01	0 00	84 01	
	9194/1 2	1 0000	11/17/2011	12/06/2011	0 00	17 81	0 00	17 81	
	06657011KS Totals	25 5365			0 00	445 14	0 00	445 14	0 00
097023AV7	Boeing, 5 00% 3/15/2014 9194/1 3	20,000 0000	03/10/2009	07/20/2011	19,911 60	22,080 40	19,950 13		2,130 27
1072 HK	Dongfang Electric Corp Ltd 9194/1 2	370 0000	03/22/2011	12/06/2011	1,304 33	1,197 04	1,304 33	(107 29)	
	9194/1 2	360 0000	03/22/2011	12/07/2011	1,269 08	1,177 83	1,269 08	(91 25)	
	9194/1 2	180 0000	03/22/2011	12/08/2011	634 54	582 97	634 54	(51 57)	
	9194/1 2	270 0000	03/22/2011	12/09/2011	951 81	835 89	951 81	(115 92)	

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Period from January 1, 2011 to December 31, 2011

Realized Gain/Loss Report

Symbol	Security Name	Shares	Acquisition Date	Liquidation Date	Original Cost	Proceeds	Cost	Realized Gain/Loss	LT
								ST	
1072 HK	Dongfang Electric Corp Ltd (cont'd) 9194/1 2	130 0000	03/22/2011	12/12/2011	458 28	394 69	458 28	(63 59)	
	9194/1 2	450 0000	03/22/2011	12/13/2011	1,586 34	1,354 73	1,586 34	(231 61)	
	9194/1 2	370 0000	03/22/2011	12/14/2011	1,304 33	1,076 99	1,304 33	(227 34)	
	9194/1 2	280 0000	03/22/2011	12/15/2011	987 06	800 43	987 06	(186 63)	
	9194/1 2	280 0000	03/22/2011	12/16/2011	987 06	802 55	987 06	(184 51)	
	9194/1 2	110 0000	03/22/2011	12/19/2011	387 77	310 43	387 77	(77 34)	
	9194/1 2	160 0000	03/23/2011	12/19/2011	562 39	451 54	562 39	(110 85)	
	9194/1 2	280 0000	03/23/2011	12/20/2011	984 18	793 45	984 18	(190 73)	
	9194/1 2	470 0000	03/23/2011	12/21/2011	1,652 01	1,357 53	1,652 01	(294 48)	
	9194/1 2	490 0000	03/23/2011	12/22/2011	1,722 30	1,378 29	1,722 30	(344 01)	
	Totals	4,200 0000			14,791 48	12,514 36	14,791 48	(2,277 12)	0 00
134429AV1	Campbell Soup Co 3 05% 7/15/17 9194/1 3	5,000 0000	07/25/2011	09/26/2011	5,220 60	5,257 20	5,215 08	42 12	
25468PCK0	Walt Disney, 5 50% 03/15/19 9194/1 3	20,000 0000	03/11/2009	11/17/2011	19,963 60	23,781 00	19,971 24	3,809 76	
31331GYP8	FFCB 2 125% due 06/18/12 9194/1 3	40,000 0000	06/12/2009	10/12/2011	39,904 00	40,523 60	39,977 44	546 16	
31331XLG5	FFCB 4 875% 01/17/17 9194/1 3	25,000 0000	06/01/2011	11/16/2011	28,724 75	29,380 50	28,438 66	941 84	
313370PH5	FHLB 3% 8/26/25 9194/1 3	25,000 0000	03/28/2011	04/21/2011	23,187 50	23,150 00	23,193 41	(43 41)	
31337ZU1	FHLB 4 125% 03/23/2021 9194/1 3	25,000 0000	03/11/2011	09/01/2011	25,000 00	25,437 50	25,000 00	437 50	
3137EACT4	Freddie Mac 2 5% 05/27/16 9194/1 3	25,000 0000	06/07/2011	11/08/2011	25,767 33	26,539 75	25,705 81	833 94	
3436 JP	Sumco Corporation 9194/1 2	100 0000	01/14/2010	03/11/2011	1,996 13	1,706 38	1,996 13	(289 75)	
	9194/1 2	200 0000	01/15/2010	03/11/2011	4,274 04	3,412 75	4,274 04	(861 29)	
	9194/1 2	200 0000	01/13/2010	04/04/2011	3,812 68	3,849 66	3,812 68	36 98	
	9194/1 2	200 0000	01/14/2010	04/04/2011	3,992 26	3,849 66	3,992 26	(142 60)	

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Period from January 1, 2011 to December 31, 2011

Realized Gain/Loss Report

Symbol	Security Name	Shares	Acquisition Date	Liquidation Date	Original Cost	Proceeds	Cost	Realized Gain/Loss S/T	L/T
	3436 JP Totals	700 0000			14,075 11	12,818 45	14,075 11	0 00	(1,256 66)
36962G4C5	GE Cap Corp. 5 9% 05/13/14 9194/1 3	25,000 0000	05/07/2009	10/14/2011	24,972 25	27,296 25	24,984 43		2,311 82
36966RV94	GE Cap Corp 4 00% 2/15/12 9194/1 3	40,000 0000	02/05/2008	05/25/2011	40,000 00	41,004 80	40,000 00		1,004 80
38141GER1	Goldman Sachs 5 75% 10/01/16 9194/1 3	5,000 0000	07/28/2011	11/10/2011	5,515 05	5,301 30	5,490 14	(188 84)	
438516AN6	Honeywell Bnds 6 125% 11/01/11 9194/1 3	75,000 0000	10/06/2004	05/17/2011	83,587 50	77,058 75	75,634 54		1,424 21
	9194/1 3	25,000 0000	10/06/2004	06/01/2011	27,862 50	25,579 25	25,193 45		385 80
	438516AN6 Totals	100,000 0000			100,827 99	102,638 00	100,827 99	0 00	1,810 01
585055AN6	Medtronic, Inc 5 6% 3/15/19 9194/1 3	20,000 0000	03/10/2009	07/14/2011	19,986 20	22,940 00	19,988 60		2,951 40
594918AB0	Microsoft Corp 2 95% 06/01/14 9194/1 3	15,000 0000	05/21/2009	05/31/2011	15,086 70	15,760 80	15,053 27		707 53
654902AB1	Nokia Corp 5 375% Due 05/15/19 9194/1 3	15,000 0000	05/21/2009	07/19/2011	15,030 00	14,700 00	15,024 74		(324 74)
658 HK	China High Speed Transmission 9194/1 2	1,230 0000	03/17/2010	03/22/2011	2,622 74	1,919 58	2,622 74		(703 16)
	9194/1 2	1,000 0000	03/18/2010	03/22/2011	2,186 09	1,560 64	2,186 09		(625 45)
	9194/1 2	1,000 0000	03/19/2010	03/22/2011	2,199 37	1,560 64	2,199 37		(638 73)
	9194/1 2	770 0000	03/17/2010	03/23/2011	1,641 87	1,194 93	1,641 87		(446 94)
	658 HK Totals	4,000 0000			8,650 07	6,235 79	8,650 07	0 00	(2,414 28)
68389XAD7	Oracle Corp 4 95% 4/15/2013 9194/1 3	15,000 0000	05/21/2009	06/07/2011	15,964 95	16,144 95	15,476 57		668 38
717081DB6	Pfizer Inc . 6 20% 3/15/19 9194/1 3	40,000 0000	03/17/2009	07/11/2011	39,959 60	47,607 20	39,966 35		7,640 85
85771PAA0	Statoil 9194/1 3	75,000 0000	11/03/2005	05/17/2011	74,622 00	82,342 50	74,074 54		8,267 96
	9194/1 3	25,000 0000	11/03/2005	08/16/2011	24,874 00	27,706 75	24,642 42		3,064 33
	85771PAA0 Totals	100,000 0000			98,716 96	110,049 25	98,716 96	0 00	11,332 29

The Harlan E. Anderson Foundat (9194)

Period from January 1, 2011 to December 31, 2011

Realized Gain/Loss Report

Symbol	Security Name	Shares	Acquisition Date	Liquidation Date	Original Cost	Proceeds	Cost	Realized Gain/Loss ST	Realized Gain/Loss LT
912828JX9	US Treas Inft 2 125% 01/15/19 9194/1 3	10,000 0000	05/20/2011	10/11/2011	11,707 41	12,240 91	11,637 56	603 35	
912828MX5	US Treas NB 1 75% 04/15/13 9194/1 3	15,000 0000	08/17/2011	10/11/2011	15,386 72	15,326 37	15,352 43	(26 06)	
949746NY3	Wells Fargo Co 4 375% 01/31/13 9194/1 3	40,000 0000	01/28/2008	06/28/2011	39,877 60	41,955 60	39,957 54		1,998 06
AER	AerCap Holdings NV 9194/1 2	20 0000	05/03/2010	03/21/2011	286 22	263 57	286 22	(22 65)	
	9194/1 2	60 0000	05/03/2010	03/22/2011	858 64	772 26	858 64	(86 38)	
	9194/1 2	120 0000	04/29/2010	03/23/2011	1,680 32	1,531 93	1,680 32	(148 39)	
	9194/1 2	50 0000	05/03/2010	03/23/2011	715 54	638 31	715 54	(77 23)	
	9194/1 2	40 0000	04/29/2010	03/24/2011	560 11	518 93	560 11	(41 18)	
	9194/1 2	10 0000	04/27/2010	03/25/2011	140 01	131 81	140 01	(8 20)	
	9194/1 2	60 0000	04/29/2010	03/25/2011	840 16	790 83	840 16	(49 33)	
	9194/1 2	50 0000	04/27/2010	03/28/2011	700 08	652 33	700 08	(47 75)	
	9194/1 2	30 0000	04/27/2010	03/29/2011	420 04	383 37	420 04	(36 67)	
	9194/1 2	40 0000	04/28/2010	03/29/2011	559 00	511 16	559 00	(47 84)	
	9194/1 2	90 0000	04/28/2010	03/30/2011	1,257 75	1,133 89	1,257 75	(123 86)	
	9194/1 2	50 0000	04/30/2010	03/30/2011	697 82	629 94	697 82	(67 88)	
	9194/1 2	60 0000	04/30/2010	03/31/2011	837 39	754 54	837 39	(82 85)	
	9194/1 2	10 0000	05/05/2010	03/31/2011	137 11	125 76	137 11	(11 35)	
	9194/1 2	10 0000	04/29/2010	04/01/2011	136 00	125 98	136 00	(10 02)	
	9194/1 2	40 0000	05/05/2010	04/01/2011	548 45	503 92	548 45	(44 53)	
	9194/1 2	120 0000	04/29/2010	04/04/2011	1,632 00	1,490 77	1,632 00	(141 23)	
	9194/1 2	40 0000	04/29/2010	04/05/2011	544 00	498 21	544 00	(45 79)	
	Totals	900 0000			12,550 64	11,457 51	12,550 64	(1,093 13)	0 00
ALXN	Alexion Pharmaceuticals, Inc 9194/1 2	80 0000	10/16/2009	03/08/2011	3,680 30	7,973 87	3,680 30		4,293 57
AMP	Ameriprise Financial, Inc 9194/1 2	60 0000	09/30/2009	03/08/2011	2,198 21	3,799 98	2,198 21		1,601 77

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Realized Gain/Loss Report

Symbol	Security Name	Shares	Acquisition Date	Liquidation Date	Original Cost	Proceeds	Cost	Realized Gain/Loss S/T	LT
AMP	Ameriprise Financial, Inc (cont'd)								
	9194/1 2	430 0000	09/30/2009	03/09/2011	15,753 82	26,840 38	15,753 82		11,086 56
	9194/1 2	50 0000	10/05/2009	03/09/2011	1,796 36	3,120 98	1,796 36		1,324 62
	AMP Totals	540 0000			19,748.39	33,761 34	19,748 39	0 00	14,012 95
AVY	Avery Dennison Corp								
	9194/1 2	50 0000	07/29/2009	03/23/2011	1,481 94	2,067 95	1,481 94		586 01
	9194/1 2	10 0000	07/28/2009	03/24/2011	295 64	411 71	295 64		116 07
	9194/1 2	260 0000	07/29/2009	03/24/2011	7,706 09	10,704 44	7,706 09		2,998 35
	9194/1 2	100 0000	07/28/2009	03/25/2011	2,956 39	4,123 28	2,956 39		1,166 89
	9194/1 2	90 0000	07/30/2009	03/25/2011	2,615 70	3,710 95	2,615 70		1,095 25
	9194/1 2	130 0000	07/30/2009	03/28/2011	3,778 23	5,342 69	3,778 23		1,564 46
	9194/1 2	110 0000	07/30/2009	03/29/2011	3,196 96	4,480 17	3,196 96		1,283 21
	AVY Totals	750 0000			22,030 95	30,841 19	22,030 95	0 00	8,810 24
BAC	Bank of America Corporation								
	9194/1 2	490 0000	08/28/2009	08/10/2011	8,811 82	3,368 83	8,811 82		(5,442 99)
	9194/1 2	980 0000	08/31/2009	08/10/2011	17,394 71	6,737 67	17,394 71		(10,657 04)
	9194/1 2	370 0000	10/29/2009	08/10/2011	5,678 98	2,543 81	5,678 98		(3,135 17)
	BAC Totals	1,840 0000			31,885 51	12,650 31	31,885 51	0 00	(19,235 20)
BNP FP	BNP Paribas								
	9194/1 2	180 0000	05/08/2009	08/04/2011	11,487 58	10,341 42	11,487 58		(1,146 16)
	9194/1 2	80 0000	05/11/2010	08/04/2011	5,242 58	4,596 19	5,242 58		(646 39)
	9194/1 2	10 0000	05/12/2010	08/04/2011	668 89	574 52	668 89		(94 37)
	9194/1.2	190 0000	05/08/2009	08/10/2011	12,125 77	10,501 54	12,125 77		(1,624 23)
	BNP FP Totals	460 0000			29,524 82	26,013 67	29,524 82	0 00	(3,511 15)
CIT	CIT Group, Inc								
	9194/1 2	240 0000	03/15/2010	03/22/2011	8,822 81	10,057 63	8,822 81		1,234 82
	9194/1 2	110 0000	03/15/2010	03/23/2011	4,043.79	4,578 32	4,043 79		534 53
	CIT Totals	350 0000			12,866 60	14,635 95	12,866 60	0 00	1,769 35
CSGN VX	Credit Suisse Group								
	9194/1 2	110 0000	06/20/2005	11/08/2011	4,399 77	2,894 93	4,399 77		(1,504 84)

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Realized Gain/Loss Report

Symbol	Security Name	Shares	Acquisition Date	Liquidation Date	Original Cost	Proceeds	Cost	Realized Gain/Loss	LT
CSGN VX	Credit Suisse Group (cont'd)	(cont'd)							
	9194/1 2	100 0000	06/21/2005	11/08/2011	4,031 76	2,631 76	4,031 76	(1,400 00)	
	9194/1 2	260 0000	06/20/2005	11/09/2011	10,399 47	6,378 44	10,399 47	(4,021 03)	
	CSGN VX Totals	470 0000			18,831 00	11,905 13	18,831 00	0 00	(6,925 87)
CYH	Community Health Care								
	9194/1 2	85 0000	04/20/2010	04/11/2011	3,537 84	2,022 87	3,537 84	(1,514 97)	
	9194/1 2	295 0000	04/20/2010	04/11/2011	12,278 37	8,072 28	12,278 37	(4,206 09)	
	9194/1 2	190 0000	04/21/2010	04/11/2011	7,877 53	5,199 10	7,877 53	(2,678 43)	
	CYH Totals	570 0000			23,693 74	15,294 25	23,693 74	(8,399 49)	0 00
HGSI	Human Genome Sciences Inc								
	9194/1 2	330 0000	05/18/2010	08/25/2011	8,351 97	4,221 31	8,351 97	(4,130 66)	
INGA NA	ING Groep								
	9194/1 2	290 0000	03/08/2011	11/25/2011	3,559 57	1,836 93	3,559 57	(1,722 64)	
	9194/1 2	1,320 0000	03/09/2011	11/25/2011	16,660 39	8,361 19	16,660 39	(8,299 20)	
	9194/1 2	840 0000	09/09/2011	11/25/2011	7,204 64	5,320 76	7,204 64	(1,883 88)	
	INGA NA Totals	2,450 0000			27,424 60	15,518 88	27,424 60	(11,905 72)	0 00
INTC	Intel Corp								
	9194/1 2	210 0000	12/19/2008	02/23/2011	3,074 15	4,428 20	3,074 15	1,354 05	
	9194/1 2	490 0000	12/19/2008	02/24/2011	7,173 01	10,372 17	7,173 01	3,199 16	
	9194/1 2	190 0000	12/22/2008	02/24/2011	2,731 44	4,021 86	2,731 44	1,290 42	
	INTC Totals	890 0000			12,978 60	18,822 23	12,978 60	0 00	5,843 63
ISPAXA IM	Intesa Sanpaolo Rits 06/03/11								
	9194/1 2	450 0000	01/19/2010	06/01/2011	0 00	71 81	0 00	71 81	
	9194/1 2	2,200 0000	01/20/2010	06/01/2011	0 00	351 08	0 00	351 08	
	9194/1 2	3,480 0000	01/21/2010	06/01/2011	0 00	555 34	0 00	555 34	
	9194/1 2	730 0000	01/22/2010	06/01/2011	0 00	116 49	0 00	116 49	
	9194/1 2	680 0000	05/11/2010	06/01/2011	0 00	108 52	0 00	108 52	
	9194/1 2	1,050 0000	05/12/2010	06/01/2011	0 00	167 56	0 00	167 56	
	ISPAXA IM Totals	8,590 0000			0 00	1,370 80	0 00	0 00	1,370 80

The Harlan E. Anderson Foundat (9194)

Period from January 1, 2011 to December 31, 2011

Realized Gain/Loss Report

Symbol	Security Name	Shares	Acquisition Date	Liquidation Date	Original Cost	Proceeds	Cost	Realized Gain/Loss SIT	LT
ISPR IM	Intesa Sanpaolo - RNC								
	9194/1 2	450 0000	01/19/2010	07/13/2011	1,563 64	845 61	1,563 64		(718 03)
	9194/1 2	1,520 0000	01/20/2010	07/13/2011	5,160 84	2,856 30	5,160 84		(2,304 54)
	9194/1 2	680 0000	01/20/2010	07/14/2011	2,308 79	1,254 07	2,308 79		(1,054 72)
	9194/1 2	580 0000	01/21/2010	07/14/2011	1,949 89	1,069 64	1,949 89		(880 25)
	9194/1 2	800 0000	01/21/2010	07/15/2011	2,689 50	1,474 85	2,689 50		(1,214 65)
	9194/1 2	2,100 0000	01/21/2010	07/18/2011	7,059 95	3,661 58	7,059 95		(3,398 37)
	9194/1 2	530 0000	01/22/2010	07/18/2011	1,713 11	924 11	1,713 11		(789 00)
	9194/1 2	200 0000	01/22/2010	07/19/2011	646 45	351 81	646 45		(294 64)
	9194/1 2	850 0000	05/12/2010	07/19/2011	2,099 82	1,495 19	2,099 82		(604 63)
	9194/1 2	680 0000	05/11/2010	07/20/2011	1,653 46	1,275 12	1,653 46		(378 34)
	9194/1 2	200 0000	05/12/2010	07/20/2011	494 08	375 03	494 08		(119 05)
	Totals	8,590 0000			27,339 53	15,583 31	27,339 53	0 00	(11,756 22)
MA	Mastercard Inc - Class A								
	9194/1 2	20 0000	09/30/2008	11/08/2011	3,579 04	7,296 03	3,579 04		3,716 99
	9194/1 2	37 0000	09/30/2008	11/09/2011	6,621 22	13,363 33	6,621 22		6,742 11
	Totals	57 0000			10,200 26	20,659 36	10,200 26	0 00	10,459 10
NEM	Newmont Mining Corporation								
	9194/1 2	400 0000	12/01/2006	03/16/2011	18,768 32	20,614 24	18,768 32		1,845 92
NLY	Annaly Mortgage Management Inc								
	9194/1 2	620 0000	04/18/2008	03/08/2011	10,596 61	10,974 54	10,596 61		377 93
	9194/1 2	210 0000	04/23/2008	03/08/2011	3,568 59	3,717 18	3,568 59		148 59
	9194/1 2	50 0000	05/16/2008	03/08/2011	852 50	885 04	852 50		32 54
	Totals	880 0000			15,017 70	15,576 76	15,017 70	0 00	559 06
RDS/A	Royal Dutch Shell PLC-ADR A								
	9194/1 2	0 8965	09/19/2011	09/27/2011	58 97	55 79	58 97	(3 18)	
REGN	Regeneron Pharmaceuticals								
	9194/1 2	60 0000	12/03/2010	12/06/2011	1,791 61	3,279 90	1,791 61		1,488 29
RSG	Republic Services Inc CIA								
	9194/1 2	70 0000	04/10/2007	02/22/2011	1,911 18	2,065 65	1,911 18		154 47

The Harlan E. Anderson Foundat (9194)

Period from January 1, 2011 to December 31, 2011

Realized Gain/Loss Report

Symbol	Security Name	Shares	Acquisition Date	Liquidation Date	Original Cost	Proceeds	Cost	Realized Gain/Loss	LT
								S/T	
RSG	Republic Services Inc CIA (cont'd)	(cont'd)							
	9194/1.2	250 0000	04/11/2007	02/22/2011	6,824 40	7,377 33	6,824 40	552 93	
	9194/1 2	200 0000	04/12/2007	02/22/2011	5,481 76	5,901 87	5,481 76	420 11	
	9194/1 2	40 0000	04/13/2007	02/22/2011	1,106 83	1,180 37	1,106 83	73 54	
	9194/1 2	200 0000	10/13/2008	02/22/2011	4,742 92	5,901 87	4,742 92	1,158 95	
	Totals	760 0000			20,067 09	22,427 09	20,067 09	0 00	2,360 00
SKFB SS	SKF AB - B shs								
	9194/1 2	170 0000	02/03/2010	11/11/2011	2,731 90	3,478 57	2,731 90	746 67	
	9194/1 2	30 0000	02/02/2010	11/14/2011	480 26	608 63	480 26	128 37	
	9194/1 2	180 0000	02/03/2010	11/14/2011	2,892 59	3,651 79	2,892 59	759 20	
	9194/1 2	170 0000	02/02/2010	11/15/2011	2,721 46	3,363 31	2,721 46	641 85	
	9194/1 2	250 0000	02/02/2010	11/16/2011	4,002 15	4,890 50	4,002 15	888 35	
	9194/1 2	230 0000	02/02/2010	11/17/2011	3,681 97	4,385 57	3,681 97	703 60	
	Totals	1,030 0000			16,510 33	20,378 37	16,510 33	0 00	3,868.04
STT	State Street Corp								
	9194/1 2	102 0000	10/07/2008	10/12/2011	4,472 69	3,432 92	4,472 69	(1,039 77)	
	9194/1 2	140 0000	10/08/2008	10/12/2011	5,891 62	4,711 84	5,891 62	(1,179 78)	
	9194/1 2	120 0000	03/19/2009	10/12/2011	3,345 10	4,038 73	3,345 10	693 63	
	9194/1 2	50 0000	03/20/2009	10/12/2011	1,309 93	1,682 80	1,309 93	372 87	
	9194/1 2	150 0000	10/29/2009	10/12/2011	6,526 29	5,048 41	6,526 29	(1,477 88)	
	Totals	562 0000			21,545 63	18,914 70	21,545 63	0 00	(2,630 93)
SU FP	Schneider Electric SA								
	9194/1 2	90 0000	11/01/2006	11/25/2011	4,710 46	4,305 19	4,710 46	(405 27)	
	9194/1 2	40 0000	11/01/2006	11/28/2011	2,093 53	1,961 47	2,093 53	(132 06)	
	9194/1 2	20 0000	05/06/2004	12/15/2011	680 02	975 79	680 02	295 77	
	9194/1 2	10 0000	09/17/2004	12/15/2011	319 58	487 89	319 58	168 31	
	9194/1 2	70 0000	11/01/2006	12/15/2011	3,663 69	3,415 26	3,663 69	(248 43)	
	9194/1 2	50 0000	09/17/2004	12/16/2011	1,597 92	2,451 06	1,597 92	853 14	
	9194/1 2	140 0000	09/17/2004	12/19/2011	4,474 18	6,799 57	4,474 18	2,325 39	
	9194/1 2	100 0000	09/17/2004	12/20/2011	3,195 85	5,043 10	3,195 85	1,847.25	

The Harlan E. Anderson Foundat (9194)

Period from January 1, 2011 to December 31, 2011

Realized Gain/Loss Report

Symbol	Security Name	SU FP	Totals	Shares	Acquisition Date	Liquidation Date	Original Cost	Proceeds	Cost	Realized Gain/Loss	ST	L/T
XOM	Exxon Mobil Corporation			520 0000			20,735 23	25,439 33	20,735 23	0 00		4,704 10
	9194/1 2			612 0000	01/01/1901	05/24/2011	1,572 72	49,889 28	1,572 72			48,316 56
	9194/1 1			316 0000	08/28/1974	06/20/2011	687 54	25,001 43	687 54			24,313 89
	9194/1 1			316 0000	08/28/1974	11/07/2011	687 54	24,988 79	687 54			24,301 25
				1,244 0000			2,947 80	99,879 50	2,947 80	0 00		96,931 70
YUM	Yum! Brands, Inc			20 0000	02/13/2006	12/08/2011	500 20	1,161 36	500 20			661 16
	9194/1 2			50 0000	02/15/2006	12/08/2011	1,260 54	2,903 41	1,260 54			1,642 87
	9194/1 2			10 0000	10/10/2008	12/08/2011	261 39	580 68	261 39			319 29
	9194/1 2			40 0000	02/13/2006	12/12/2011	1,000 39	2,298 55	1,000 39			1,298 16
	9194/1 2			35 0000	02/13/2006	12/13/2011	875 35	2,046 91	875 35			1,171 56
				155 0000			3,897 87	8,990 91	3,897 87	0 00		5,093 04
Regular Gain/Loss Totals:												
Report Totals:												
							1,124,569.76	1,266,009.54	1,124,569.76	(12,072.34)		153,512.12
										(12,072.34)		153,512.12

Summary Statement of Assets
 The Harlan E. Anderson Foundation (9194)
 As of 12/31/2011

	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Est. Ann. Income
Cash and Cash Equivalents	1,456 37	1,456 37*	0 00	0 05	0
Securities					
Fixed Income					
North America	655,973 89	680,276 91	24,303 02	23 62	24,937
United Kingdom	5,768 11	5,867 17	99 06	0 20	288
Total Fixed Income	661,742 00	686,144 08	24,402 08	23 82	25,224
Equity					
North America	808,972 34	1,503,836 57	694,864 23	52 21	26,646
United Kingdom	235,015 53	262,998 78	27,983 25	9 13	9,397
Europe ex United Kingdom	160,144 48	172,471 68	12,327 20	5 99	5,934
Japan	35,003 43	30,548 48	(4,454 95)	1 06	1,118
Asia ex Japan	91,947 70	99,511 87	7,564 17	3 45	2,941
Emerging Markets Asia	113,739 50	113,266 17	(473 33)	3 93	2,325
Total Equity	1,444,822 98	2,182,633 55	737,810 57	75 78	48,362
Total Securities	2,106,564 98	2,868,777 63	762,212 65	99 60	73,586
Other Assets	10,136 01	10,134 96	(1 05)	0 35	0
Total Account:	2,118,157 36	2,880,368 96	762,211 60	100 00	73,586

* - Settled cash is invested in JPM US Gov Inst MM, yield is estimated

Rockefeller Financial
Detailed Statement of Assets
The Harlan E. Anderson Foundation (9194)
As of 12/31/2011

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
Cash and Cash Equivalents								
Cash			1,456.37	1,456.37	0.00	0.05	0.00	0
Securities								
Fixed Income								
North America								
3M Company 4 375% Due 08/15/2013	10,000	106.30	10,640.45	10,629.77	(10.68)	0.37	4.12	438
BB&T Corporation 3 95% Due 4/29/2016	10,000	107.44	10,642.13	10,743.60	101.47	0.37	3.68	395
Boeing, 6 00% Due on 03/15/2019	5,000	121.28	5,881.36	6,064.12	182.76	0.21	4.95	300
Campbell Soup Co 4 25% Due 04/15/2021	5,000	111.58	5,525.48	5,578.78	53.30	0.19	3.81	213
Caterpillar Inc. 5 7% 8/15/16	5,000	117.35	5,794.63	5,867.30	72.67	0.20	4.86	285
Comcast Corp Notes, 5 300% Due 01/15/14	5,000	107.76	5,397.73	5,387.76	(9.97)	0.19	4.92	265
CSX Corp Debentures 6 250% Due 4/1/15	10,000	114.26	11,365.48	11,426.10	60.62	0.40	5.47	625
Dow Chemical Company 4 25% Due 11/15/2020 Callable @ 100 08/15/2020	10,000	103.94	10,360.43	10,394.39	33.96	0.36	4.09	425
Duke Energy Indiana Inc 3 75% Due 07/15/2020	5,000	107.38	5,341.44	5,368.80	27.36	0.19	3.49	188
E I Du Pont De Nemours, 6% 7/15/2018	5,000	122.23	6,128.36	6,111.40	(16.96)	0.21	4.91	300
Fannie Mae FNMA 6 625% Due 11/15/2030	20,000	148.12	28,904.53	29,623.06	718.53	1.03	4.47	1,325
Federal Home Loan Bank 5 00% Due 11/17/2017	25,000	120.01	30,001.64	30,002.50	0.86	1.04	4.17	1,250
Fedex Corp Notes, 8 0% Due on 01/15/19	10,000	131.31	13,023.22	13,130.91	107.69	0.46	6.09	800
Freddie Mac FHLMC 1 375% Due 02/25/2014	25,000	101.62	25,412.38	25,404.30	(8.08)	0.88	1.35	344
General Dynamics Corp Notes 5 25% Due 02/01/2014	5,000	109.11	5,477.09	5,455.64	(21.45)	0.19	4.81	263
General Mills Inc 5 70% Due 02/15/2017	10,000	117.17	11,701.79	11,716.58	14.79	0.41	4.86	570
Honeywell International Bonds, 5 00% Due on 2/15/2019	25,000	116.39	24,633.06	29,097.28	4,464.22	1.01	4.30	1,250
Houston Tex Build America Bonds-Taxable Ser B Direct Pay 4 611% Due 03/01/2021	25,000	109.05	26,222.83	27,263.50	1,040.67	0.95	4.23	1,153
IBM Corp Sr Unsec Notes, 4 750% Due 11/29/12	15,000	103.41	15,583.00	15,510.90	(72.10)	0.54	4.59	713
Johnson & Johnson 5 15% Due 07/15/2018	10,000	120.74	11,225.63	12,073.76	848.13	0.42	4.27	515
JP Morgan Chase 3 70% Due on 01/20/2015	5,000	103.68	5,201.12	5,184.03	(17.09)	0.18	3.57	185
McDonald's Corp 5 35% Due 3/1/2018	10,000	119.49	11,471.17	11,948.98	477.81	0.41	4.48	535
PA ST Build America Bonds-Taxable Third Ser B-Issuer Subsidy Direct Pay 4 75% Due 07/15/2022	25,000	110.60	26,286.10	27,650.00	1,363.90	0.96	4.29	1,188
Pepsico Inc Notes, 5 15% Due 05/15/2012	10,000	101.69	10,176.66	10,168.74	(7.92)	0.35	5.06	515
Toronto Dominion Bank 2 375% Due 10/19/2016	15,000	101.76	15,218.96	15,263.85	44.89	0.53	2.33	356
U S Treasury Bonds, 6 250%, Due 05/15/30	10,000	154.66	13,238.78	15,465.62	2,226.84	0.54	4.04	625
U S Treasury Infl Index Notes, 3 375%, Due 01/15/12	5,000	127.57	6,333.10	6,378.59	45.49	0.22	3.37	215
United Parcel Service 5 125% Due 4/1/19 Due on 4/1/19	10,000	120.80	11,234.52	12,079.50	844.98	0.42	4.24	513
US Treasury N/B 2 125% Due on 01/15/19	15,000	125.36	17,395.65	18,804.69	1,409.04	0.65	1.79	336

* - Settled cash is invested in JPM US Gov Inst MM, yield is estimated

Rockefeller Financial
Detailed Statement of Assets
 The Harlan E. Anderson Foundation (9194)
 As of 12/31/2011

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
US Treasury N/B 3 75% Due 08/15/2041	20,000	117.61	22,101.56	23,521.88	1,420.32	0.82	3.19	750
US Treasury N/B 1 75% Due 04/15/2013	15,000	101.98	15,300.07	15,297.66	(2.41)	0.53	1.72	263
US Treasury N/B 2 625% Due 11/15/2020	25,000	107.66	24,261.44	26,914.05	2,652.61	0.93	2.44	656
US Treasury N/B 4 25% Due 11/15/2017	25,000	118.12	27,687.64	29,529.30	1,841.66	1.03	3.60	1,063
US Treasury Note Inflation Indexed 1 625%, Due 01/15/2015	10,000	127.91	12,913.43	12,790.66	(122.77)	0.44	1.51	193
Utah St Build America Bonds - Ser D 4 154% Due 07/01/2019 Direct Pay	25,000	112.22	26,268.30	28,055.50	1,787.20	0.97	3.70	1,039
Vanguard GNMA Fund-INV	9,796	11.07	107,163.68	108,436.46	1,272.78	3.76	2.94	3,189
Verizon Communications 6 35% Due 4/01/19 Due on 4/01/19	5,000	121.85	5,903.25	6,092.54	189.29	0.21	5.21	318
Wal-Mart Stores Inc 5 8% 2/15/18	10,000	122.58	11,678.38	12,258.35	579.97	0.43	4.73	580
Waigreen Co. 5 25% Due 01/15/2019	10,000	118.88	11,152.69	11,888.42	735.73	0.41	4.42	525
Wells Fargo & Company, 5 625% Due 12/11/2017	5,000	113.95	5,724.73	5,697.64	(27.09)	0.20	4.94	281
Total North America			655,973.89	680,276.91	24,303.02	23.62	3.67	24,937
United Kingdom								
Diageo Cap Plc 5 750% due 10/23/17	5,000	117.34	5,768.11	5,867.17	99.06	0.20	4.90	288
Total Fixed Income			661,742.00	686,144.08	24,402.08	23.82	3.68	25,224
Equity								
North America								
Advance Auto Parts, Inc	320	69.63	12,262.03	22,281.60	10,019.57	0.77	0.34	77
Aflac, Inc	968	43.26	40,597.36	41,875.68	1,278.32	1.45	3.05	1,278
Alexion Pharmaceuticals, Inc	200	71.50	4,521.53	14,300.00	9,778.47	0.50	0.00	0
Allstate Corporation	645	27.41	21,825.67	17,679.45	(4,146.22)	0.61	3.21	568
Apple Inc	123	405.00	31,691.69	49,815.00	18,123.31	1.73	0.00	0
BioMarin Pharmaceutical Inc	530	34.38	12,718.26	18,221.40	5,503.14	0.63	0.00	0
CareFusion Corporation	1,190	25.41	24,493.89	30,237.90	5,744.01	1.05	0.00	0
Cisco Systems, Inc	1,580	18.08	27,704.17	28,566.40	862.23	0.99	1.77	506
Comcast Corporation - CL A	1,360	23.71	20,654.21	32,245.60	11,591.39	1.12	2.74	884
Comerica Incorporated	470	25.80	17,634.71	12,126.00	(5,508.71)	0.42	1.55	188
Continental Resources, Inc	400	66.71	9,433.95	26,684.00	17,250.05	0.93	0.00	0
Cree, Inc	210	22.04	9,602.90	4,628.40	(4,974.50)	0.16	0.00	0
Exxon Mobil Corporation	5,312	84.76	13,269.39	450,245.12	436,975.73	15.63	2.05	9,243
FedEx Corporation	310	83.51	20,034.21	25,888.10	5,853.89	0.90	0.62	161
Goldman Sachs Group, Inc	107	90.43	10,454.54	9,676.01	(778.53)	0.34	1.55	150
Google Inc - Class A	104	645.90	60,834.12	67,173.60	6,339.48	2.33	0.00	0
Hertz Global Holdings Inc	1,500	11.72	18,023.37	17,580.00	(443.37)	0.61	0.00	0

Internal Distribution Only

Rockefeller Financial
Detailed Statement of Assets
The Harlan E. Anderson Foundation (9194)
As of 12/31/2011

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
Hewlett-Packard Company	1,230	25 76	46,134 34	31,684 80	(14,449 54)	1 10	1 86	590
Honeywell Inc	690	54 35	26,242 66	37,501 50	11,258 84	1 30	2 74	1,028
Mastercard Inc - Class A	63	372 82	10,682 86	23,487 66	12,804 80	0 82	0 32	76
Microsoft Corp	1,940	25 96	50,740 57	50,362 40	(378 17)	1 75	3 08	1,552
Moody's Corporation	1,710	33 68	2,465 16	57,592 80	55,127 64	2 00	1 90	1,094
Morgan Stanley	890	15 13	23,729 71	13,465 70	(10,264 01)	0 47	1 32	178
MSCI Inc - A	390	32 93	14,369 36	12,842 70	(1,526 66)	0 45	0 00	0
NetApp, Inc	460	36 27	16,649 92	16,684 20	34 28	0 58	0 00	0
NYSE Euronext	650	26 10	16,636 46	16,965 00	328 54	0 59	4 60	780
Pepsico, Inc	610	66 35	31,787 97	40,473 50	8,685 53	1 41	3 10	1,257
Pfizer Inc	1,570	21 64	27,522 92	33,974 80	6,451 88	1 18	4 07	1,382
Qualcomm Inc	625	54 70	23,603 83	34,187 50	10,583 67	1 19	1 35	463
Quest Diagnostics Incorporated	510	58 06	29,210 19	29,610 60	400 41	1 03	1 17	347
Regeneron Pharmaceuticals	240	55 43	7,126 33	13,303 20	6,176 87	0 46	0 00	0
The Dun & Bradstreet Corporation	660	74 83	1,179 55	49,387 80	48,208 25	1 71	2 03	1,003
Thermo Fisher Scientific Inc	465	44 97	20,138 46	20,911 05	772 59	0 73	1 16	242
Toronto-Dominion Bank	335	74 81	21,561 10	25,061 35	3,500 25	0 87	3 89	975
Wal-Mart Stores, Inc	660	59 76	31,296 98	39,441 60	8,144 62	1 37	2 66	1,049
Wellpoint Inc	410	66 25	20,614 87	27,162 50	6,547 63	0 94	1 74	472
Wells Fargo Company	1,200	27 56	20,026 26	33,072 00	13,045 74	1 15	1 74	576
Yum! Brands, Inc	465	59 01	11,496 84	27,439 65	15,942 81	0 95	1 93	530
Total North America			808,972 34	1,503,836 57	694,864 23	52 21	1 77	26,646
United Kingdom								
Centrica plc	9,090	4 50	36,520 31	40,868 69	4,348 38	1 42	4 49	1,836
InterContinental Hotels Group PLC	1,230	17 98	12,470 01	22,116 53	9,646 52	0 77	2 94	650
Intertek Group plc	880	31 63	17,236 52	27,830 79	10,594 27	0 97	1 62	451
Johnson Matthey PLC	990	28 53	26,938 06	28,247 91	1,309 85	0 98	2 61	739
Prudential plc	1,790	9 92	18,043 73	17,762 02	(281 71)	0 62	0 00	0
Royal Dutch Shell PLC-ADR A	546	73 09	29,518 39	39,907 14	10,388 75	1 39	3 90	1,556
Smiths Group plc	1,440	14 22	27,522 84	20,476 80	(7,046 04)	0 71	3 93	806
Vedanta Resources PLC	830	15 77	29,920 13	13,092 50	(16,827 63)	0 45	5 12	671
Vodafone Group PLC - SP ADR	1,880	28 03	36,845 54	52,696 40	15,850 86	1 83	5 10	2,688
Total United Kingdom			235,015 53	262,998 78	27,983 25	9 13	3 57	9,397
Europe ex United Kingdom								
Boskalis Westminster - CVA	467	36 85	14,443 09	17,210 97	2,767 88	0 60	4 37	752
Covident PLC	530	45 01	18,975 90	23,855 30	4,879 40	0 83	2 00	477

Internal Distribution Only

Rockefeller Financial
Detailed Statement of Assets
The Harlan E. Anderson Foundation (9194)
As of 12/31/2011

Security Name	Shares	Price (US)	Cost (US)	Market Value	Unrealized Gain/Loss	% of Value	Current Yield	Est. Ann. Income
Intesa Sanpaolo	9,730	1 68	17,274 69	16,344 45	(930 24)	0 57	0 00	0
Novartis AG - ADR	870	57 17	48,216 94	49,737 90	1,520 96	1 73	3 64	1,810
Safran SA	880	30 12	25,138 45	26,508 64	1,370 19	0 92	2 67	708
Svenska Handelsbanken AB - A shares	815	26 40	22,208 71	21,518 39	(690 32)	0 75	5 39	1,159
SwedBank AB	1,330	13 00	13,886 70	17,296 03	3,409 33	0 60	5 95	1,028
Total Europe ex United Kingdom			160,144 48	172,471 68	12,327 20	5 99	3 44	5,934
Japan								
Mitsui & Co , Ltd	1,200	15 56	20,013 34	18,669 09	(1,344 25)	0 65	4 59	858
NGK Insulators Ltd	1,000	11 88	14,990 09	11,879 39	(3,110 70)	0 41	2 19	260
Total Japan			35,003 43	30,548 48	(4,454 95)	1 06	3 66	1,118
Asia ex Japan								
China Agri-Industries Holdings Ltd	11,000	0 76	13,911 65	8,370 46	(5,541 19)	0 29	1 86	156
China Mobile (Hong Kong) Limited Sp ADR	900	48 49	39,663 21	43,641 00	3,977 79	1 52	3 77	1,647
Galaxy Entertainment Group Limited	10,300	1 83	9,035 77	18,884 97	9,849 20	0 66	0 00	0
Santos Limited	1,340	12 55	19,857 58	16,814 91	(3,042 67)	0 58	2 45	412
Wynn Macau, Limited	4,700	2 51	9,479 49	11,800 53	2,321 04	0 41	6 15	726
Total Asia ex Japan			91,947 70	99,511 87	7,564 17	3 45	2 96	2,941
Emerging Markets Asia								
Chunghwa Telecom Ltd ADR	608	33 28	14,963 67	20,234 24	5,270 57	0 70	4 51	912
Hyundai Motor Co , Ltd - 2nd Preferred	330	58 25	18,880 08	19,221 35	341 27	0 67	2 76	530
Korea Electric Power Corporation (KEPCO)	1,370	22 18	34,778 11	30,384 98	(4,393 13)	1 05	0 00	0
LG Electronics Inc - PFD	260	20 83	9,586 54	5,416 67	(4,169 87)	0 19	1 04	56
Philippine Long Distance Telephone Company	150	57 62	8,403 08	8,643 00	239 92	0 30	4 18	362
Samsung Electronics Co., Ltd Pfd	30	578 99	17,266 46	17,369 79	103 33	0 60	0 83	145
Semen Gresik (Persero) Tbk	9,500	1 26	9,861 56	11,996 14	2,134 58	0 42	2 67	321
Total Emerging Markets Asia			113,739 50	113,266 17	(473 33)	3 93	2 05	2,325
Total Equity			1,444,822 98	2,182,633 55	737,810 57	75 78	2 22	48,362
Total Securities			2,106,564 98	2,868,777 63	762,212 65	99 60	2 57	73,586
Other Assets								
Accrued Dividends Receivable			3,707 89	3,707 89	0 00	0 13		
Accrued Interest Receivable			6,427 97	6,427 97	0 00	0 22		
Div Receivable - FIX Value Adjustment			0 00	(1 05)	(1 05)	0 00		
Dividends Receivable - MM Sweeps			0 15	0 15	0 00	0 00		
Total Other Assets			10,136 01	10,134 96	(1 05)	0 35	0 00	0
Total Account:			2,118,157 36	2,880,368 96	762,211 60	100 00	2 55	73,586