Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation
ESTHER SIMON 1952 CHARITABLE TRUST P50418006

A Employer identification number
23-6286763

Number and street (or P O. box number if mail is not delivered to street address)
10 S DEARBORN IL1-0111

Room/suite

B Telephone number (see instructions)
(312) 732-1485

City or town, state, and ZIP code
CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply:
☐ Initial return
☐ Initial return of a former public charity
☐ Final return
☐ Amended return
☒ Address change
☐ Name change

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☒ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 7,438,046.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) ____________________
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	170,481.	168,816.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	265,386.			
	b	Gross sales price for all assets on line 6a 3,079,240.				
	7	Capital gain net income (from Part IV, line 2)		265,386.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	435,867.	434,202.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	21,248.	15,936.		5,312.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 1	4,273.	2,133.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	25,521.	18,069.		5,312.
	25	Contributions, gifts, grants paid	371,500.			371,500.
	26	**Total expenses and disbursements** Add lines 24 and 25	397,021.	18,069.		376,812.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	38,846.			
	b	**Net investment income** (if negative, enter -0-)		416,133.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	630,870.	101,162.	101,162.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶	29,205.		
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)	3,106,880.	2,597,126.	2,573,047.
	b	Investments - corporate stock (attach schedule)	3,516,738.	4,605,694.	4,763,837.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	7,283,693.	7,303,982.	7,438,046.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	7,283,693.	7,303,982.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	7,283,693.	7,303,982.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	7,283,693.	7,303,982.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,283,693.
2	Enter amount from Part I, line 27a	2	38,846.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	7,322,539.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 2	5	18,557.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,303,982.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,999,729.		2,813,854.	185,875.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				185,875.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 265,386.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	358,599.	7,659,832.	0.046816
2009	369,049.	7,143,543.	0.051662
2008	508,613.	8,290,840.	0.061346
2007	382,288.	9,476,808.	0.040339
2006	270,220.	8,909,395.	0.030330

2	**Total** of line 1, column (d)	2	0.230493
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.046099
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	7,846,667.
5	Multiply line 4 by line 3	5	361,724.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,161.
7	Add lines 5 and 6	7	365,885.
8	Enter qualifying distributions from Part XII, line 4	8	376,812.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	4,161.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2			3	4,161.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	4,161.
6	Credits/Payments				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,908.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,908.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	69.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	2,322.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶			10	
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶$ ____________ (2) On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY PA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ n/a

14 The books are in care of ▶ JPMORGAN CHASE BANK, NA Telephone no. ▶ (312)732-1485

Located at ▶ 10 S DEARBORN ST IL1-0111, CHICAGO, IL ZIP + 4 ▶ 60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☐ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 3		21,248.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,783,790.
b	Average of monthly cash balances	1b	182,369.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	7,966,159.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	7,966,159.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	119,492.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,846,667.
6	**Minimum investment return.** Enter 5% of line 5	6	392,333.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	392,333.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	4,161.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	4,161.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	388,172.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	388,172.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	388,172.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	376,812.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	376,812.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	4,161.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	372,651.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7					388,172.
2 Undistributed income, if any, as of the end of 2011					
a Enter amount for 2010 only				NONE	
b Total for prior years: 20_____, 20_____, 20_____			NONE		
3 Excess distributions carryover, if any, to 2011:					
a From 2006	NONE				
b From 2007	NONE				
c From 2008	NONE				
d From 2009	NONE				
e From 2010	NONE				
f **Total** of lines 3a through e		NONE			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 376,812.					
a Applied to 2010, but not more than line 2a				NONE	
b Applied to undistributed income of prior years (Election required - see instructions)			NONE		
c Treated as distributions out of corpus (Election required - see instructions)		NONE			
d Applied to 2011 distributable amount					376,812.
e Remaining amount distributed out of corpus		NONE			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		NONE			NONE
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		NONE			
b Prior years' undistributed income Subtract line 4b from line 2b			NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions			NONE		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				NONE	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012					11,360.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		NONE			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)		NONE			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a		NONE			
10 Analysis of line 9					
a Excess from 2007	NONE				
b Excess from 2008	NONE				
c Excess from 2009	NONE				
d Excess from 2010	NONE				
e Excess from 2011	NONE				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 4

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 5				
Total . . . . ▶ **3a**				371,500.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	170,481.	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	265,386.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue. a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				435,867.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . 13 435,867.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ BY Magali Gattereau 10/16/12 ▶ Trust officer

Signature of officer or trustee Date Title

JPMORGAN CHASE BANK, N.A.
MAGALI GATTEREAU, VICE PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	12.	12.
FEDERAL TAX PAYMENT - PRIOR YE	232.	
FEDERAL ESTIMATES - INCOME	1,908.	
FOREIGN TAXES ON QUALIFIED FOR	1,793.	1,793.
FOREIGN TAXES ON NONQUALIFIED	328.	328.
TOTALS	4,273.	2,133.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
PRIOR YEAR ADJUSTMENTS		18,557.
	TOTAL	18,557.

STATEMENT 2

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
JPMORGAN CHASE BANK, NA
ADDRESS:
270 PARK AVENUE
NEW YORK, NY 10017
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 10
COMPENSATION .... 12,444.

OFFICER NAME:
STEPHEN A SIMON C/O JPMORGAN CHASE
ADDRESS:
270 PARK AVENUE
NEW YORK, NY TRUSTEE
TITLE:
10
COMPENSATION .... 8,804.

TOTAL COMPENSATION: 21,248.

FORM 990PF, PART XV - LINES 2a - 2d
====================================

RECIPIENT NAME:
STEPHEN A SIMON C/O JPMORGAN CHASE BANK
ADDRESS:
270 PARK AVENUE
NEW YORK, NY 10017
FORM, INFORMATION AND MATERIALS:
NO FORMAL APPLICATION. SEND A LETTER WITH A REASON FOR THE REQUESTED GRANT

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
SEE ATTACHED
ADDRESS:
VARIOUS
VARIOUS,
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 371,500.

TOTAL GRANTS PAID: 371,500.
===============

STATEMENT 5

Esther Simon Charitable Trust 2011 Contributions

DONEE	ADDRESS	CITY	STATE		AMOUNT
Community					
Historic Highfield, Inc	Attn Barbara J Milligan PO Box 494, 56 Highfield Drive	Falmouth	MA 02541	4/11/2011	$20,000 00
Historic Highfield, Inc	Attn Barbara J Milligan PO Box 494, 56 Highfield Drive	Falmouth	MA 02541	5/6/2011	$25,000 00
Boston Crusaders Drum and Bugle Corps Inc	Attn Thomas I Spataro, PO Box 51391	Boston	MA 02205-1391	6/13/2011	$5,000 00
WCAI The Cape & Island NPR Station	3 Water Street, PO Box 82	Woods Hole	MA 02543	12/15/2011	$10,000 00
Aspen Public Radio	110 East Hallam Street, Suite 134	Aspen	CO 81611-1467	12/28/2011	$1,000 00
The Cape & Islands NPR Station	PO Box 82	Woods Hole	MA 02543	12/28/2011	$5,000 00
Compassion & Choices	PO Box 10810	Denver	CO 80250	12/28/2011	$1,000 00
Historic Highfield, Inc	PO Box 494	Falmouth	MA 02541	12/28/2011	$10,000 00
MSPAC	350 South Huntington Avenue	Boston	MA 02130	12/28/2011	$1,000 00
New York Public Library	Fifth Avenue & 42nd Street	New York	NY 10018-2788	12/28/2011	$5,000 00
New York Times Neediest Cases Fund	230 West 41st Street, Suite 1300	New York	NY 10036	12/28/2011	$10,000 00
Planned Parenthood Foundation of America	434 West 33rd Street	New York	NY 10001	12/28/2011	$10,000 00
Samaritans of Cape Cod	PO Box 65	Falmouth	MA 02541	12/28/2011	$1,000 00
WAMU 88 5	4000 Brandywine Street, NW	Washington	DC 20016-8082	12/28/2011	$1,000 00
WNYC	PO box 1550	New York	NY 10116-1550	12/28/2011	$1,000 00
Woods Hole Foundation	PO Box 603	Woods Hole	MA 02543	12/28/2011	$2,000 00
					$108,000.00
Conservation					
Marine Biological Laboratory	Attn Gary G Borisy, 7 MBL Street	Woods Hole	MA 02543	6/3/2011	$2,500 00
Woods Hole Oceanographic Institute Association	Attn Thomas B Wheeler, Fenno House MS#40	Woods Hole	MA 02543	10/7/2011	$1,000 00
Marine Biological Laboratory	Candle House, 7 MBL St , Attn Kristine Johnson	Woods Hole	MA 02543	12/13/2011	$10,000 00
Amnesty International	5 Penn Plaza	New York	NY 10001	12/28/2011	$2,500 00
Central Park Conservancy	14 East 60th Street	New York	NY 10022	12/28/2011	$25,000 00
Habitat for Humanity	111 John Street	New York	NY 10038-3101	12/28/2011	$2,500 00
Marine Biological Laboratory	7 MBL Street	Woods Hole	MA 02543	12/28/2011	$2,500 00
The 300 Committee Land Trust	157 Locust Street	Falmouth	MA 02540-0876	12/28/2011	$1,000 00
Quissett Harbor Preservation Trust	PO Box 197	Falmouth	MA 02541	12/28/2011	$1,000 00
Sierra Club Foundation	85 Second Street, 2nd Floor	San Francisco	CA 84105	12/28/2011	$2,500 00
SETI Institute	189 Bernardo Avenue, Suite 100	Mountain View	CA 94043	12/28/2011	$5,000 00
Woods Hole Research Center	149 Woods Hole Road, Attn Development Office	Falmouth	MA 02540-0876	12/28/2011	$1,000 00
					$56,500.00
Cultural					
Manhattan Theatre Club, Inc	311 West 43rd Street	New York	NY 10036-6413	5/31/2011	$2,000 00
National Trumpet Competition Association	Attn Dr Dennis Edelbrock, 3500 N Third St	Arlington	VA 22201	9/28/2011	$3,000 00
Roundabout Theater	231 West 39th Street, Suite 1200	New York	NY 10018	12/13/2011	$2,000 00
American Guild of Organists	475 Riverside Drive, Sutie 260	New York	NY 10115	12/28/2011	$1,000 00
Cape Cod Theatre Project	PO Box 410	Falmouth	MA 02541	12/28/2011	$1,000 00
Eric Carle Museum of Picture Book Art	125 West Bay Road	Amherst	MA 01002	12/28/2011	$1,000 00
Japan Society of Boston	420 Pond Street	Boston	MA 02130	12/28/2011	$1,000 00

Esther Simon Charitable Trust 2011 Contributions

DONEE	ADDRESS	CITY	STATE		AMOUNT
Lincoln Center for the Performing Arts, Inc	70 Lincoln Center Plaza	New York	NY 10023-6583	12/28/2011	$5,000 00
Metropolitan Museum of Art	1000 Fifth Avenue	New York	NY 10028	12/28/2011	$5,000 00
Museum of Natural History	79St & Central Park West	New York	NY 10024-5192	12/28/2011	$5,000 00
Museum of Modern Art	11 West 53rd Street	New York	NY 10019	12/28/2011	$5,000 00
Metropolitan Opera	Lincoln Center	New York	NY 10023-6583	12/28/2011	$5,000 00
					$36,000.00
Educational					
Falmouth Academy, Inc	Attn Donald C Faus, 7 Highfield Drive	Falmouth	MA 02540	4/11/2011	$20,000 00
Oberlin College	Office of Development 50 West Lorain Street - Attn Mike Nolan	Oberlin	OH 44074-1089	5/6/2011	$50,000 00
Falmouth Academy, Inc	Attn Barbara Campbell, 7 Highfield Drive	Falmouth	MA 02540	8/11/2011	$25,000 00
Children's School of Science	PO Box 522	Woods Hole	MA 02543	12/28/2011	$2,500 00
GSAS, Columbia University	622 West 113th Street, MC 4523	New York	NY 10025	12/28/2011	$5,000 00
Indiana University Foundation	1001 East 3rd Street	Bloomington	IN 47405	12/28/2011	$3,500 00
Penikese Island School	565 Woods Hole Road	Woods Hole	MA 02543-1041	12/28/2011	$1,000 00
Steppingstone Foundation, Inc	155 Federal Street, Suite 800	Boston	MA 02110	12/28/2011	$2,500 00
Vassar College	Box 14, 124 Raymond Avenue	Poughkeepsie	NY 12604	12/28/2011	$5,000 00
Yale School of Music	157 Church Street, Office of Development	New Haven	CT 06510-2100	12/28/2011	$5,000 00
Young Concert Artists	250 West 57th Street	New York	NY 10107	12/28/2011	$2,000 00
Falmouth Academy, Inc	Attn Barbara Campbell, 7 Highfield Drive	Falmouth	MA 02540	12/28/2011	$25,000 00
					$146,500.00
Medical					
Cape Cod Healthcare Foundation	Attn Deborah C Doutherty, PO Box 370	Hyannis	MA 02601-9978	8/25/2011	$5,000 00
Community Health Center	107 Commercial Street	Mashpee	MA 02649	12/28/2011	$1,000 00
Doctors Without Borders	333 Seventh Avenue, 2nd Floor	New York	NY 10001-5004	12/28/2011	$2,500 00
					$8,500.00
Religious					
Church of the Resurrection	119 East 74th Street, Attn David Enlow	New York	NY 10021	3/25/2011	$15,000 00
Church of the Messiah	13 Church Street	Woods Hole	MA 02543	12/28/2011	$1,000 00
					$16,000.00
Total Contributions					**$371,500.00**

SCHEDULE D
(Form 1041)
Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2011

Name of estate or trust	Employer identification number
ESTHER SIMON 1952 CHARITABLE TRUST P50418006	23-6286763

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	34,436.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	34,436.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a	LONG-TERM CAPITAL GAIN DIVIDENDS					79,511.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	151,439.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	230,950.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D (Form 1041) 2011**

Part III Summary of Parts I and II

Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			34,436.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			230,950.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			265,386.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:

a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17 If zero or less, enter -0-	23	
24	Enter the **smaller** of the amount on line 17 or $2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (.15)	30	
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust
ESTHER SIMON 1952 CHARITABLE TRUST P50418006

Employer identification number
23-6286763

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 39000. CS SPX NOTE 05/02/1	04/01/2010	01/11/2011	41,730.00	38,688.00	3,042.00
10. MERCK & CO INC	06/09/2010	01/14/2011	342.00	339.00	3.00
25. MICROSOFT CORP	02/01/2010	01/25/2011	705.00	709.00	-4.00
10. QUALCOMM INC	03/24/2010	01/25/2011	512.00	401.00	111.00
40. TD AMERITRADE HOLDING	VAR	01/25/2011	802.00	667.00	135.00
15. APACHE CORP	VAR	01/27/2011	1,758.00	1,537.00	221.00
15. QUALCOMM INC	03/24/2010	01/27/2011	818.00	602.00	216.00
5. ALEXION PHARMACEUTICALS	09/10/2010	01/28/2011	416.00	298.00	118.00
5. INTERNATIONAL BUSINESS	04/28/2010	02/03/2011	816.00	649.00	167.00
3183.154 MATTHEWS INTERNAT	07/28/2010	02/10/2011	69,297.00	65,000.00	4,297.00
8143.703 T ROWE PRICE INTE FUNDS INC	07/28/2010	02/10/2011	144,958.00	138,687.00	6,271.00
3628. VANGUARD EMERGING MA	VAR	02/10/2011	164,429.00	155,045.00	9,384.00
50. CISCO SYS INC	08/13/2010	02/11/2011	939.00	1,079.00	-140.00
15. SYSCO CORP	04/26/2010	02/22/2011	426.00	477.00	-51.00
25. JUNIPER NETWORKS INC	04/28/2010	03/03/2011	1,088.00	711.00	377.00
25. SOUTHERN CO	07/02/2010	03/10/2011	961.00	839.00	122.00
15. NOVELLUS SYSTEMS INC	03/24/2010	03/16/2011	524.00	373.00	151.00
85. NOVELLUS SYSTEMS INC	03/24/2010	03/23/2011	3,007.00	2,111.00	896.00
60. MOTOROLA MOBILITY HOLD	02/16/2011	03/30/2011	1,463.00	1,777.00	-314.00
10. ALEXION PHARMACEUTICAL	VAR	04/01/2011	984.00	571.00	413.00
5. APACHE CORP	04/30/2010	04/08/2011	654.00	507.00	147.00
20. WELLPOINT INC	08/09/2010	04/08/2011	1,373.00	1,108.00	265.00
5. APACHE CORP	07/23/2010	04/11/2011	639.00	459.00	180.00
10. EOG RESOURCES INC	04/12/2010	04/11/2011	1,135.00	1,068.00	67.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust	Employer identification number
ESTHER SIMON 1952 CHARITABLE TRUST P50418006	23-6286763

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10. FREEPORT-MCMORAN COPPE	06/01/2010	04/11/2011	561.00	340.00	221.00
10. FREEPORT-MCMORAN COPPE	06/01/2010	04/13/2011	533.00	340.00	193.00
30. NATIONAL-OILWELL VARCO	11/23/2010	04/13/2011	2,251.00	1,820.00	431.00
15. ALEXION PHARMACEUTICAL	08/11/2010	04/14/2011	1,510.00	818.00	692.00
1385. S & P 500 DEP RCPTS	06/15/2010	04/14/2011	181,531.00	154,057.00	27,474.00
35. PFIZER INC	11/02/2010	04/26/2011	706.00	610.00	96.00
20. DEVON ENERGY CORP	VAR	05/03/2011	1,749.00	1,396.00	353.00
20. SCHLUMBERGER LTD	03/18/2011	05/04/2011	1,679.00	1,720.00	-41.00
35. EXXON MOBIL CORPORATIO	VAR	05/05/2011	2,909.00	2,567.00	342.00
20. ANADARKO PETE CORP	01/10/2011	05/12/2011	1,472.00	1,485.00	-13.00
25. BAKER HUGHES INC	VAR	05/12/2011	1,740.00	1,349.00	391.00
55. EXXON MOBIL CORPORATIO	VAR	05/12/2011	4,412.00	3,731.00	681.00
50. DOW CHEM CO	11/19/2010	05/17/2011	1,836.00	1,582.00	254.00
90. DOW CHEM CO	VAR	05/18/2011	3,314.00	2,491.00	823.00
50. CISCO SYS INC	11/12/2010	05/25/2011	807.00	1,007.00	-200.00
50. SANDISK CORP	VAR	06/14/2011	2,163.00	2,185.00	-22.00
6. LAM RESEARCH CORP	01/25/2011	07/07/2011	267.00	316.00	-49.00
6. LAM RESEARCH CORP	01/25/2011	07/07/2011	270.00	316.00	-46.00
1. LAM RESEARCH CORP	01/25/2011	07/08/2011	44.00	53.00	-9.00
1. LAM RESEARCH CORP	01/25/2011	07/08/2011	44.00	53.00	-9.00
2. LAM RESEARCH CORP	01/25/2011	07/08/2011	88.00	105.00	-17.00
25. CITRIX SYSTEMS INC	12/14/2010	07/11/2011	1,977.00	1,717.00	260.00
10. DEVON ENERGY CORP	10/14/2010	07/15/2011	791.00	677.00	114.00
20. EOG RESOURCES INC	10/20/2010	07/15/2011	2,021.00	2,006.00	15.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

2011

Name of estate or trust: ESTHER SIMON 1952 CHARITABLE TRUST P50418006

Employer identification number: 23-6286763

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 10. PEPSICO INC	05/04/2011	07/25/2011	643.00	697.00	-54.00
15. HONEYWELL INTERNATIONA	10/22/2010	07/27/2011	811.00	704.00	107.00
15. PFIZER INC	11/02/2010	07/27/2011	291.00	261.00	30.00
21. XILINX INC	03/16/2011	07/27/2011	678.00	674.00	4.00
5. XILINX INC	03/16/2011	07/27/2011	161.00	160.00	1.00
14. BAKER HUGHES INC	11/23/2010	08/03/2011	1,002.00	688.00	314.00
30. TIME WARNER INC	VAR	08/03/2011	980.00	1,048.00	-68.00
18. BAKER HUGHES INC	11/23/2010	08/04/2011	1,193.00	884.00	309.00
80. LENNAR CORP	VAR	08/04/2011	1,272.00	1,377.00	-105.00
60000. MS WHITE METALS QRN	01/28/2011	08/04/2011	64,860.00	59,400.00	5,460.00
18. BAKER HUGHES INC	11/23/2010	08/05/2011	1,182.00	884.00	298.00
150. FIFTH THIRD BANCORP	VAR	08/09/2011	1,540.00	2,203.00	-663.00
5. CME GROUP INC	08/18/2010	08/10/2011	1,256.00	1,241.00	15.00
85. ADOBE SYS INC	VAR	08/11/2011	1,963.00	2,941.00	-978.00
8. CONSTELLATION ENERGY GR	04/13/2011	08/12/2011	286.00	266.00	20.00
2. STATE STREET CORP.	01/14/2011	08/12/2011	72.00	100.00	-28.00
4. STATE STREET CORP.	01/14/2011	08/12/2011	141.00	199.00	-58.00
4. STATE STREET CORP.	01/14/2011	08/12/2011	141.00	199.00	-58.00
1. STATE STREET CORP.	01/14/2011	08/12/2011	35.00	50.00	-15.00
5. STATE STREET CORP.	01/14/2011	08/12/2011	174.00	249.00	-75.00
13. CONSTELLATION ENERGY G	04/13/2011	08/15/2011	479.00	433.00	46.00
44. STATE STREET CORP.	VAR	08/15/2011	1,535.00	1,786.00	-251.00
10. CONSTELLATION ENERGY G	04/13/2011	08/16/2011	369.00	333.00	36.00
10. CONSTELLATION ENERGY G	04/13/2011	08/17/2011	375.00	333.00	42.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust
ESTHER SIMON 1952 CHARITABLE TRUST P50418006

Employer identification number
23-6286763

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4. CONSTELLATION ENERGY GR	04/13/2011	08/18/2011	146.00	133.00	13.00
10. FLUOR CORP	02/14/2011	08/18/2011	562.00	745.00	-183.00
10. LAM RESEARCH CORP	01/25/2011	08/18/2011	364.00	526.00	-162.00
10. 3M CO COM	02/16/2011	08/18/2011	779.00	926.00	-147.00
12. HOLLYFRONTIER CORPORAT	VAR	08/19/2011	808.00	860.00	-52.00
39. LAM RESEARCH CORP	VAR	08/25/2011	1,426.00	2,008.00	-582.00
15. PEPSICO INC	05/04/2011	09/09/2011	899.00	1,046.00	-147.00
25. BECTON DICKINSON & CO	04/21/2011	09/16/2011	1,933.00	2,100.00	-167.00
45. 3M CO COM	VAR	09/16/2011	3,617.00	3,965.00	-348.00
20. BROADCOM CORP	03/23/2011	09/20/2011	726.00	792.00	-66.00
20. CAMERON INTERNATIONAL	03/10/2011	09/20/2011	1,035.00	1,167.00	-132.00
25. XILINX INC	VAR	09/20/2011	759.00	764.00	-5.00
20. CITIGROUP INC NEW	VAR	09/21/2011	548.00	911.00	-363.00
30. CARNIVAL CORPORATION	02/22/2011	09/22/2011	935.00	1,266.00	-331.00
5. CITIGROUP INC NEW	11/10/2010	09/22/2011	120.00	221.00	-101.00
35. FLUOR CORP	01/14/2011	09/22/2011	1,713.00	2,491.00	-778.00
20. E I DU PONT DE NEMOURS	08/05/2011	09/28/2011	835.00	957.00	-122.00
15. E I DU PONT DE NEMOURS	08/09/2011	09/29/2011	619.00	675.00	-56.00
36. HOLLYFRONTIER CORPORAT	07/15/2011	09/29/2011	901.00	1,288.00	-387.00
32. CONOCOPHILLIPS	VAR	10/06/2011	2,035.00	2,134.00	-99.00
81000. DB GSCI OIL PART CP 01/13/12	01/07/2011	10/07/2011	64,841.00	80,190.00	-15,349.00
25. MORGAN ST DEAN WITTER	09/22/2011	10/17/2011	379.00	317.00	62.00
25. MORGAN ST DEAN WITTER	09/22/2011	10/17/2011	378.00	317.00	61.00
30. VERIFONE HOLDINGS INCO	04/18/2011	10/25/2011	1,201.00	1,564.00	-363.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2011**

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SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2011

Name of estate or trust: ESTHER SIMON 1952 CHARITABLE TRUST P50418006

Employer identification number: 23-6286763

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 3260.234 ARTIO INTERNATION - I	02/10/2011	10/26/2011	34,135.00	40,199.00	-6,064.00
51. PUBLIC SERVICE ENTERPR INC. (N.J.)	VAR	10/28/2011	1,733.00	1,632.00	101.00
35. BROADCOM CORP	VAR	11/01/2011	1,212.00	1,354.00	-142.00
35. NOVELLUS SYSTEMS INC	06/15/2011	11/01/2011	1,175.00	1,193.00	-18.00
15. MONSANTO COMPANY NEW	07/27/2011	11/02/2011	1,081.00	1,113.00	-32.00
70. DENDREON CORP	01/28/2011	11/03/2011	476.00	2,464.00	-1,988.00
14. COLGATE PALMOLIVE CO	07/11/2011	11/09/2011	1,229.00	1,239.00	-10.00
45. TE CONNECTIVITY LTD	04/14/2011	12/05/2011	1,444.00	1,549.00	-105.00
35. SEMPRA ENERGY	12/29/2010	12/09/2011	1,841.00	1,830.00	11.00
100. SPECTRA ENERGY CORPOR	VAR	12/09/2011	2,945.00	2,494.00	451.00
35. NOVELLUS SYSTEMS INC	VAR	12/15/2011	1,441.00	1,020.00	421.00
45. ST JUDE MEDICAL INC	06/02/2011	12/21/2011	1,507.00	2,229.00	-722.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 34,436.00

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side	Employer identification number
ESTHER SIMON 1952 CHARITABLE TRUST P50418006	23-6286763

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 15. PHILIP MORRIS INTERNAT	01/04/2001	01/05/2011	881.00	316.00	565.00
15. PARKER HANNIFIN CORP	07/08/2009	01/10/2011	1,301.00	597.00	704.00
40. PHILIP MORRIS INTERNAT	01/04/2001	01/10/2011	2,247.00	843.00	1,404.00
5. STARWOOD HOTELS & RESOR	07/01/2009	01/13/2011	306.00	107.00	199.00
15. MERCK & CO INC	09/14/2009	01/14/2011	513.00	491.00	22.00
. UNITEDHEALTH GROUP INC		01/14/2011	14.00		14.00
45537.341 JPMORGAN SHORT D FUND	VAR	01/24/2011	500,000.00	486,561.00	13,439.00
25. MERCK & CO INC	09/14/2009	01/27/2011	832.00	819.00	13.00
5. QUALCOMM INC	10/29/2008	01/27/2011	273.00	189.00	84.00
15. ABBOTT LABS	VAR	01/28/2011	684.00	827.00	-143.00
5. CELGENE CORP	12/13/2007	01/28/2011	262.00	245.00	17.00
25. STARWOOD HOTELS & RESO	05/06/2009	01/28/2011	1,465.00	524.00	941.00
5. INTERNATIONAL BUSINESS	02/13/2003	02/03/2011	816.00	373.00	443.00
8126.191 MATTHEWS INTERNAT	06/18/2009	02/10/2011	176,907.00	120,836.00	56,071.00
15. CELGENE CORP	12/13/2007	02/11/2011	779.00	734.00	45.00
50. CISCO SYS INC	09/12/2008	02/11/2011	939.00	1,171.00	-232.00
35. INTERNATIONAL BUSINESS	VAR	02/16/2011	5,717.00	2,594.00	3,123.00
65. SYSCO CORP	VAR	02/22/2011	1,845.00	1,713.00	132.00
45. MORGAN ST DEAN WITTER	09/12/2008	03/07/2011	1,273.00	1,679.00	-406.00
75. SOUTHERN CO	VAR	03/10/2011	2,882.00	2,209.00	673.00
15. QUALCOMM INC	10/29/2008	03/16/2011	766.00	567.00	199.00
10. OCCIDENTAL PETE CORP	10/15/2009	03/18/2011	997.00	815.00	182.00
38000. BARC EAFE BREN 03/2	03/05/2010	03/24/2011	38,312.00	37,620.00	692.00
5. APACHE CORP	10/29/2008	04/11/2011	639.00	378.00	261.00
5. FREEPORT-MCMORAN COPPER	02/09/2009	04/13/2011	266.00	75.00	191.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side
ESTHER SIMON 1952 CHARITABLE TRUST P50418006

Employer identification number
23-6286763

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 100000. MORGAN STANLEY ARN 4/14/11	03/28/2008	04/14/2011	131,050.00	98,000.00	33,050.00
7. GOOGLE INC	VAR	04/18/2011	3,672.00	2,284.00	1,388.00
80. PFIZER INC	VAR	04/26/2011	1,614.00	1,523.00	91.00
30. PROCTER & GAMBLE CO	VAR	04/26/2011	1,917.00	1,293.00	624.00
. BROADCOM CORP		05/05/2011	83.00		83.00
10. APACHE CORP	10/29/2008	05/12/2011	1,232.00	757.00	475.00
6805.808 JPMORGAN SHORT DU FUND	11/27/2007	05/23/2011	75,000.00	72,686.00	2,314.00
75. STAPLES INC	VAR	05/23/2011	1,249.00	1,725.00	-476.00
150. CISCO SYS INC	VAR	05/25/2011	2,420.00	2,259.00	161.00
35. ABBOTT LABS	VAR	05/27/2011	1,801.00	1,767.00	34.00
100. BANK OF AMERICA CORP	VAR	06/13/2011	1,083.00	3,009.00	-1,926.00
75. BANK OF AMERICA CORP N	09/30/2003	06/13/2011	809.00	2,040.00	-1,231.00
45. ADVANCED AUTO PARTS IN	VAR	06/14/2011	2,576.00	1,751.00	825.00
125. MARVELL TECHNOLOGY GR	VAR	06/14/2011	1,786.00	2,576.00	-790.00
. QWEST COMMUNICATIONS INT		07/07/2011	47.00		47.00
. ROYAL DUTCH PETE CO		07/08/2011	32.00		32.00
175. GENERAL ELEC CO	06/24/2010	07/12/2011	3,255.00	2,686.00	569.00
13599.275 JPMORGAN SHORT D FUND	11/27/2007	07/13/2011	150,000.00	145,240.00	4,760.00
15. DEVON ENERGY CORP	05/12/2010	07/15/2011	1,187.00	1,047.00	140.00
6. EOG RESOURCES INC	03/18/2010	07/15/2011	606.00	561.00	45.00
14. ABBOTT LABS	06/08/2009	07/21/2011	739.00	626.00	113.00
15. QUALCOMM INC	VAR	07/21/2011	847.00	363.00	484.00
15. ABBOTT LABS	VAR	07/27/2011	778.00	658.00	120.00
37. PFIZER INC	09/14/2009	07/27/2011	719.00	604.00	115.00
20. FREEPORT-MCMORAN COPPE	02/09/2009	08/03/2011	1,003.00	299.00	704.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	**Employer identification number**
ESTHER SIMON 1952 CHARITABLE TRUST P50418006	23-6286763

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 15. TIME WARNER INC	04/25/2006	08/03/2011	490.00	532.00	-42.00
18. FREEPORT-MCMORAN COPPE	02/09/2009	08/05/2011	839.00	269.00	570.00
130. STAPLES INC	VAR	08/09/2011	1,649.00	2,241.00	-592.00
15. APACHE CORP	10/29/2008	08/10/2011	1,489.00	1,135.00	354.00
7. CME GROUP INC	VAR	08/10/2011	1,758.00	2,045.00	-287.00
65. DISNEY WALT CO HOLDING	VAR	08/10/2011	2,006.00	1,790.00	216.00
17. DISNEY WALT CO HOLDING	VAR	08/12/2011	563.00	318.00	245.00
3270.44 EAGLE SER	03/09/2010	08/15/2011	82,971.00	78,000.00	4,971.00
20. APACHE CORP	VAR	08/16/2011	2,081.00	1,344.00	737.00
35. BB & T CORP	VAR	08/16/2011	732.00	716.00	16.00
40. BB & T CORP	VAR	08/16/2011	830.00	651.00	179.00
68. DISNEY WALT CO HOLDING	VAR	08/16/2011	2,268.00	1,217.00	1,051.00
12953.368 JPMORGAN STRATEG OPPTYS FUND SEL	VAR	08/19/2011	150,000.00	150,153.00	-153.00
6799.637 JPMORGAN SHORT DU FUND	11/27/2007	08/19/2011	75,000.00	72,620.00	2,380.00
38000. GS CONT BUFF EQ NOT 08/25/11	02/19/2010	08/25/2011	42,560.00	37,525.00	5,035.00
9066.183 JPMORGAN SHORT DU FUND	11/27/2007	08/26/2011	100,000.00	96,827.00	3,173.00
10. PEPSICO INC	10/29/2008	09/09/2011	600.00	565.00	35.00
13611.615 JPMORGAN SHORT D FUND	11/27/2007	09/12/2011	150,000.00	145,372.00	4,628.00
60. PEPSICO INC	10/29/2008	09/19/2011	3,635.00	3,390.00	245.00
15. FREEPORT-MCMORAN COPPE	02/09/2009	09/20/2011	594.00	224.00	370.00
30. CITIGROUP INC NEW	VAR	09/21/2011	822.00	1,362.00	-540.00
20. CITIGROUP INC NEW	03/25/2010	09/22/2011	479.00	876.00	-397.00
5. GOLDMAN SACHS GROUP INC	11/08/2007	09/22/2011	463.00	1,029.00	-566.00
35. CVS CORP	VAR	09/28/2011	1,204.00	1,066.00	138.00
20. FREEPORT-MCMORAN COPPE	02/09/2009	09/28/2011	657.00	299.00	358.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side.
ESTHER SIMON 1952 CHARITABLE TRUST P50418006

Employer identification number
23-6286763

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 8. BAIDU.COM-ADR	09/21/2010	09/29/2011	873.00	736.00	137.00
10. E I DU PONT DE NEMOURS	09/21/2010	09/29/2011	413.00	447.00	-34.00
25. FREEPORT-MCMORAN COPPE	VAR	09/29/2011	787.00	371.00	416.00
200. SPRINT CORP	08/11/2010	09/29/2011	629.00	908.00	-279.00
. BANK OF AMERICA CORP NEW		09/30/2011	13.00		13.00
35. COACH INC	VAR	09/30/2011	1,804.00	1,426.00	378.00
. MBNA CORP		10/04/2011	65.00		65.00
30. COACH INC	10/07/2009	10/05/2011	1,576.00	1,002.00	574.00
2. MASTERCARD INC - CLASS	05/05/2009	10/05/2011	614.00	366.00	248.00
3. CONOCOPHILLIPS	01/06/2010	10/06/2011	191.00	159.00	32.00
11. EOG RESOURCES INC	03/18/2010	10/14/2011	909.00	1,028.00	-119.00
55. NEXTERA ENERGY INC	VAR	10/14/2011	3,009.00	2,763.00	246.00
75. MORGAN ST DEAN WITTER	VAR	10/17/2011	1,136.00	1,270.00	-134.00
300. SPRINT CORP	VAR	10/20/2011	817.00	1,237.00	-420.00
100. SPRINT CORP	07/29/2009	10/21/2011	275.00	408.00	-133.00
3903.09 ARTIO INTERNATIONA - I	05/15/2009	10/26/2011	40,865.00	37,509.00	3,356.00
60. VERIZON COMMUNICATIONS	02/05/2002	10/28/2011	2,251.00	2,432.00	-181.00
50. CVS CORP	10/29/2008	10/31/2011	1,820.00	1,349.00	471.00
20. E I DU PONT DE NEMOURS	VAR	11/08/2011	981.00	852.00	129.00
35. NORFOLK SOUTHN CORP	08/15/2008	11/08/2011	2,566.00	2,467.00	99.00
3. COLGATE PALMOLIVE CO	09/21/2010	11/09/2011	263.00	236.00	27.00
40000. DB CONT BUFF EQ MID	11/03/2010	11/23/2011	41,800.00	39,600.00	2,200.00
25. KELLOGG CO	11/10/2010	11/28/2011	1,215.00	1,216.00	-1.00
50. AMERICAN EXPRESS CO	11/02/2010	12/05/2011	2,447.00	2,118.00	329.00
. SCHERING PLOUGH CORP		12/20/2011	235.00		235.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side.	**Employer identification number**
ESTHER SIMON 1952 CHARITABLE TRUST P50418006	23-6286763

Part II **Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2779.181 JPMORGAN US LARGE CORE PLUS FUND	11/27/2007	12/29/2011	55,000.00	58,224.00	-3,224.00
1812.191 NUVEEN NWQ VALUE S FUND	04/04/2008	12/29/2011	55,000.00	53,895.00	1,105.00
15438.247 JP MORGAN TAX AW RETURN FUND	03/09/2010	12/30/2011	158,396.00	155,000.00	3,396.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b 151,439.00