Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , and ending

Name of foundation
ECOSYSTEMS TECHNOLOGY TRANSFER, INC.
C/O CHRISTIAN J. LAMBERTSEN JR.

A Employer identification number
23-2619483

Number and street (or P O box number if mail is not delivered to street address) Room/suite
7624 KENNEBEC DRIVE

B Telephone number
919-929-6447

City or town, state, and ZIP code
CHAPEL HILL, NC 27517

C If exemption application is pending, check here ▶ [ ]

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 725,648.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received	1,000.			
	2 Check ▶ [X] if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	22,128.	21,517.	22,128.	STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	62,296.			
	b Gross sales price for all assets on line 6a 1,428,268.				
	7 Capital gain net income (from Part IV, line 2)		62,296.		
	8 Net short-term capital gain			0.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less. Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	-9,986.	-9,986.	-9,986.	STATEMENT 2
	12 Total. Add lines 1 through 11	75,438.	73,827.	12,142.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	1,750.	0.	0.	1,750.
	c Other professional fees STMT 4	10,632.	0.		10,632.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	607.	0.	0.	607.
	24 Total operating and administrative expenses. Add lines 13 through 23	12,989.	0.	0.	12,989.
	25 Contributions, gifts, grants paid	39,863.			39,863.
	26 Total expenses and disbursements. Add lines 24 and 25	52,852.	0.	0.	52,852.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	22,586.			
	b Net investment income (if negative, enter -0-)		73,827.		
	c Adjusted net income (if negative, enter -0-)			12,142.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	23,129.	18,264.	18,264.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 6	724,351.	749,602.	707,384.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	747,480.	767,866.	725,648.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 7)	2,200.	0.	
	23 **Total liabilities** (add lines 17 through 22)	2,200.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	745,280.	767,866.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	745,280.	767,866.	
	31 **Total liabilities and net assets/fund balances**	747,480.	767,866.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	745,280.
2	Enter amount from Part I, line 27a	2	22,586.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	767,866.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	767,866.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	1,428,268.		1,365,972.	62,296.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				62,296.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 62,296.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 3 -5,203.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2010	46,295.	785,307.	.058951
2009	52,898.	713,650.	.074123
2008	102,379.	927,797.	.110346
2007	1,231.	1,204,490.	.001022
2006	99,523.	1,025,954.	.097005

2	Total of line 1, column (d)	2	.341447
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.068289
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	811,551.
5	Multiply line 4 by line 3	5	55,420.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	738.
7	Add lines 5 and 6	7	56,158.
8	Enter qualifying distributions from Part XII, line 4	8	52,852.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions) b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).			1	1,477.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	1,477.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	1,477.
6 Credits/Payments				
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	137.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	4,000.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	4,137.
8 Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	6.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,654.
11 Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 2,654. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ PA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ CHRISTIAN J. LAMBERTSEN JR. Telephone no ▶ (610) 296-4200

Located at ▶ 7624 KENNEBEC DRIVE, CHAPEL HILL, NC ZIP+4 ▶ 27517

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? [ ] Yes [X] No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? [ ] Yes [X] No
(3) Provide a grant to an individual for travel, study, or other similar purposes? [ ] Yes [X] No
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? [ ] Yes [X] No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? [ ] Yes [X] No
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |
Organizations relying on a current notice regarding disaster assistance check here ▶ [ ]
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A [ ] Yes [ ] No
If "Yes," attach the statement required by Regulations section 53 4945-5(d)
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? [ ] Yes [X] No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X
If "Yes" to 6b, file Form 8870.
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? [ ] Yes [X] No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHRISTIAN J. LAMBERTSEN JR. 7624 KENNEBEC DRIVE CHAPEL HILL, NC 27517	PRESIDENT/TREASURER 5.00	0.	0.	0.
BRADLEY S. LAMBERTSEN 302 N. PROVIDENCE ROAD WALLINGFORD, PA 19086	SECRETARY 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 EXPENDITURES DIRECTLY RELATING TO DEVELOPMENT AND TRANSFER OF SCIENTIFIC TECHNOLOGY BENEFITTING THE GENERAL PUBLIC, INCLUDING ADMINISTRATIVE EXPENSES.	12,989.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	804,078.
b	Average of monthly cash balances	1b	19,832.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	823,910.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	823,910.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	12,359.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	811,551.
6	**Minimum investment return** Enter 5% of line 5	6	40,578.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ [X] and do not complete this part)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2011 from Part VI, line 5 2a		
b	Income tax for 2011 (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	52,852.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	52,852.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	52,852.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years ______ , ______ , ______				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	12,142.	39,265.	35,683.	24,065.	111,155.
b 85% of line 2a	10,321.	33,375.	30,331.	20,455.	94,482.
c Qualifying distributions from Part XII, line 4 for each year listed	52,852.	46,768.	53,105.	102,602.	255,327.
d Amounts included in line 2c not used directly for active conduct of exempt activities	0.	0.	0.	0.	0.
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	52,852.	46,768.	53,105.	102,602.	255,327.
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					0.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	27,052.	26,177.	23,789.	30,927.	107,945.
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

CHRISTIAN J. LAMBERTSEN JR.

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
DUKE MEDICAL CENTER LIBRARY 103H SEELEY MUDD BLDG. #103 DURHAM, NC 27710	N/A	CHARITY	ARCHIVE MEDICAL RECORDS	15,000.
PARTNERS IN HEALTH 888 COMMONWEALTH AVE., 3RD FLOOR BOSTON, MA 02215	N/A	CHARITY	HEALTH CARE AND SOCIAL JUSTICE FOR POOR/DEVASTATED COUNTRIES	14,863.
THE UNIVERSITY OF PENNSYLVANIA PHILADELPHIA, PA 19104	N/A	SCHOOL	DEVELOPMENT OF SCIENTIFIC TECHNOLOGY	10,000.
Total			▶ 3a	39,863.
b Approved for future payment NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	22,128.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	-9,986.	
8 Gain or (loss) from sales of assets other than inventory			14	6,513.	55,783.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		18,655.	55,783.

13 Total. Add line 12, columns (b), (d), and (e) 13 74,438.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
8	MARKETABLE SECURITIES SOLD TO ENHANCE ORGANIZATION'S FINANCIAL
8	POSITION TO ACCOMPLISH IT'S MISSION RELATING TO DEVELOPMENT AND
8	TRANSFER OF SCIENTIFIC TECHNOLOGY BENEFITTING THE GENERAL PUBLIC.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 5/14/2012 Title: PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
PAUL A. SIANA	[signature]	5/10/12		P00299891

Firm's name ▶ SIANA CARR O'CONNOR & LYNAM, LLP   Firm's EIN ▶ 23-1420296

Firm's address ▶ 1500 E. LANCASTER AVENUE, SUITE 202
PAOLI, PA 19301   Phone no (610)296-4200

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	MSSB ACCOUNT #138-72648-13 - SEE ATTACHMENT E	P	VARIOUS	12/31/11
b	MSSB ACCOUNT #138-72648-13 - SEE ATTACHMENT E	P	VARIOUS	12/31/11
c	NET SEC 1231 LOSS FROM PARTNERSHIPS - SEE ATTACHM	P	VARIOUS	12/31/11
d	NET LT CAP GAIN FROM PARTNERSHIPS	P	VARIOUS	12/31/11
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,071,061.		1,076,084.	-5,023.
b	350,668.		289,708.	60,960.
c			180.	-180.
d	26.			26.
e	6,513.			6,513.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				** -5,023.
b				60,960.
c				** -180.
d				26.
e				6,513.
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	62,296.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	-5,203.

123591
05-01-11

** (SHORT-TERM)

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	28,426.	6,513.	21,913.
DIVIDENDS FROM PARTNERSHIPS - SEE ATTACHMENT B	89.	0.	89.
INTEREST FROM PARTNERSHIPS - SEE ATTACHMENT A	126.	0.	126.
TOTAL TO FM 990-PF, PART I, LN 4	28,641.	6,513.	22,128.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS	128.	128.	128.
ORDINARY LOSS FROM PARTNERSHIPS - SEE ATTACHMENT C	-10,114.	-10,114.	-10,114.
TOTAL TO FORM 990-PF, PART I, LINE 11	-9,986.	-9,986.	-9,986.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	1,750.	0.	0.	1,750.
TO FORM 990-PF, PG 1, LN 16B	1,750.	0.	0.	1,750.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	8,632.	0.	0.	8,632.
	2,000.	0.	0.	2,000.
TO FORM 990-PF, PG 1, LN 16C	10,632.	0.	0.	10,632.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISCELLANEOUS	592.	0.	0.	592.
FILING FEE	15.	0.	0.	15.
TO FORM 990-PF, PG 1, LN 23	607.	0.	0.	607.

FORM 990-PF OTHER INVESTMENTS STATEMENT 6

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE SECURITIES	COST	675,255.	633,037.
PARTNERSHIPS	COST	74,347.	74,347.
TOTAL TO FORM 990-PF, PART II, LINE 13		749,602.	707,384.

FORM 990-PF OTHER LIABILITIES STATEMENT 7

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
OTHER LOANS PAYABLE	2,200.	0.
TOTAL TO FORM 990-PF, PART II, LINE 22	2,200.	0.

ATTACHMENT A

Ecosystems Technology Transfer, Inc.
2011
Interest Income from Publicly Traded Partnerships
#23-2619483

Partnership	Tax ID #	Interest Income
Amerigas Partners LP	23-2787918	13
Duncan Energy Partners LP	20-5639997	-
El Paso Partners LP	26-0789784	4
Energy Transfer Partners LP	73-1493906	4
Energy Transfer Equity LP	30-0108820	2
Enterprise Products Partners LP	76-0568219	4
Genesis Energy LP	76-0513049	51
Inergy LP	43-1918951	-
Kinder Morgan Energy Partners LP	76-0380342	19
Linn Energy LLC	65-1177591	1
Magellan Midstream Partners LP	73-1599053	-
Markwest Energy Partners LP	27-0005456	20
Nustar Energy LP	74-2956831	2
Oneok Partners LP	93-1120873	-
Plains All American Pipeline LP	76-0582150	-
Regency Energy Partners LP	16-1731691	6
Williams Partners LP	20-2485124	-
Total		**126**

ATTACHMENT B

Ecosystems Technology Transfer, Inc.
2011
Dividend Income from Publicly Traded Partnerships
#23-2619483

Partnership	Tax ID #	Dividend Income
Amerigas Partners LP	23-2787918	-
Duncan Energy Partners LP	20-5639997	-
El Paso Partners LP	26-0789784	-
Energy Transfer Partners LP	73-1493906	6
Energy Transfer Equity LP	30-0108820	2
Enterprise Products Partners LP	76-0568219	-
Genesis Energy LP	76-0513049	-
Inergy LP	43-1918951	3
Kinder Morgan Energy Partners LP	76-0380342	7
Linn Energy LLC	65-1177591	-
Magellan Midstream Partners LP	73-1599053	-
Markwest Energy Partners LP	27-0005456	69
Nustar Energy LP	74-2956831	1
Oneok Partners LP	93-1120873	-
Plains All American Pipeline LP	76-0582150	1
Regency Energy Partners LP	16-1731691	-
Williams Partners LP	20-2485124	-
Total		**89**

ATTACHMENT C

Ecosystems Technology Transfer, Inc.
2011
Ordinary Income (Loss) from Publicly Traded Partnerships
#23-2619483

Partnership	Tax ID #	Ordinary Income (Loss)
Amerigas Partners LP	23-2787918	(237)
Duncan Energy Partners LP	20-5639997	(40)
El Paso Partners LP	26-0789784	(278)
Energy Transfer Partners LP	73-1493906	(955)
Energy Transfer Equity LP	30-0108820	(850)
Enterprise Products Partners LP	76-0568219	(2,871)
Genesis Energy LP	76-0513049	(306)
Inergy LP	43-1918951	(440)
Kinder Morgan Energy Partners LP	76-0380342	(1,888)
Linn Energy LLC	65-1177591	80
Magellan Midstream Partners LP	73-1599053	(92)
Markwest Energy Partners LP	27-0005456	(194)
Nustar Energy LP	74-2956831	(205)
Oneok Partners LP	93-1120873	(464)
Plains All American Pipeline LP	76-0582150	(83)
Regency Energy Partners LP	16-1731691	(901)
Williams Partners LP	20-2485124	(390)
Total		**(10,114)**

ATTACHMENT D

Ecosystems Technology Transfer, Inc.
2011
Net Section 1231 Gain (Loss)
from Publicly Traded Partnerships
#23-2619483

Partnership	Tax ID #	Sec 1231 Gain (Loss)
Amerigas Partners LP	23-2787918	1
Duncan Energy Partners LP	20-5639997	-
El Paso Partners LP	26-0789784	(10)
Energy Transfer Partners LP	73-1493906	-
Energy Transfer Equity LP	30-0108820	-
Enterprise Products Partners LP	76-0568219	(149)
Genesis Energy LP	76-0513049	(13)
Inergy LP	43-1918951	-
Kinder Morgan Energy Partners LP	76-0380342	1
Linn Energy LLC	65-1177591	-
Magellan Midstream Partners LP	73-1599053	-
Markwest Energy Partners LP	27-0005456	-
Nustar Energy LP	74-2956831	(4)
Oneok Partners LP	93-1120873	(5)
Plains All American Pipeline LP	76-0582150	-
Regency Energy Partners LP	16-1731691	-
Williams Partners LP	20-2485124	(1)
Total		**(180)**

Ecosystems Technology Transfer, Inc.
12/31/2011
Ending Capital - Publicly Traded Partnerships
#23-2619483

Partnership	Tax ID #	Ending Capital
Amerigas Partners LP	23-2787918	1,682
Duncan Energy Partners LP	20-5639997	-
El Paso Partners LP	26-0789784	3,194
Energy Transfer Partners LP	73-1493906	3,948
Energy Transfer Equity LP	30-0108820	5,358
Enterprise Products Partners LP	76-0568219	16,114
Genesis Energy LP	76-0513049	3,217
Inergy LP	43-1918951	4,085
Kinder Morgan Energy Partners LP	76-0380342	6,061
Linn Energy LLC	65-1177591	4,165
Magellan Midstream Partners LP	73-1599053	3,515
Markwest Energy Partners LP	27-0005456	4,995
Nustar Energy LP	74-2956831	2,794
Oneok Partners LP	93-1120873	2,747
Plains All American Pipeline LP	76-0582150	6,058
Regency Energy Partners LP	16-1731691	3,193
Williams Partners LP	20-2485124	3,221
Total		**74,347**

ATTACHMENT E

FORM 990-PF
PART IV - CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME
ECOSYSTEMS TECHNOLOGY TRANSFER, INC.
EIN #23-2619483
FOR THE YEAR ENDED 12/31/11

	TOTAL SHORT-TERM	TOTAL LONG-TERM
MSSB ACCOUNT #138-72648-13 - SEE ATTACHED DETAILS		
NET SHORT-TERM CAPITAL LOSS	(5,023.22)	
NET LONG-TERM CAPITAL GAIN		60,960.45
TOTALS	(5,023.22)	60,960.45

MorganStanley SmithBarney

Ref. 00011178 00106607

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

NRA tax withheld

Details of Short Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	736.782	ALLIANZ NFJ INTERNATIONAL VALUE FD CL P CONFIRM #500011640244457 MorganStanley SmithBarney LLC	01/11/11	06/13/11	$ 15,568.20	$ 15,361.91		$ 206.29
125000020	310.61	ALLIANZ NFJ INTERNATIONAL VALUE FD CL P CONFIRM #500012000282012 MorganStanley SmithBarney LLC	01/11/11	07/19/11	6,470.01	6,476.22	(6 21)	
125000030	1,636 819	ALLIANZ NFJ INTERNATIONAL VALUE FD CL P CONFIRM #500012220359186 MorganStanley SmithBarney LLC	01/11/11	08/10/11	29,577.32	34,127.67	(4,550.35)	

Ref 00011178 00106608

MorganStanley SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2011 – *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000040	54.983	ALLIANZ NFJ INTERNATIONAL VALUE FD CL P CONFIRM #500013460205980 MorganStanley SmithBarney LLC	01/11/11	12/12/11	$ 991.89	$ 1,146.40	($ 154.51)	
125000050	7	AMERIGAS PARTNERS L P UNIT OF LIMITED PARTNERSHIP INTEREST MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/09/11	306.94	345.86	(38.92)	
125000060	3	AMERIGAS PARTNERS L P UNIT OF LIMITED PARTNERSHIP INTEREST MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/12/11	131.99	148.23	(16.24)	
125000070	1,709.115	ARTISAN MID CAP VALUE FUND CONFIRM #500011290194408 MorganStanley SmithBarney LLC acted as your agent	01/11/11	05/09/11	38,626.00	34,592.49		4,033 51
125000080	1,579.472 367.259	ARTISAN MID CAP VALUE FUND CONFIRM #500012220366827 MorganStanley SmithBarney LLC acted as your agent	01/11/11 02/15/11	08/10/11	29,630.89 6,889.78	31,968.51 7,896.07	(2,337.62) (1,006.29)	
125000090	214.967	ARTISAN MID CAP VALUE FUND CONFIRM #500013460223124 MorganStanley SmithBarney LLC acted as your agent	02/15/11	12/12/11	4,518.61	4,621.79	(103 18)	
125000100	1,151.457 688 597	EATON VANCE FLOATING RATE FUND CL I CONFIRM #500010110237801 MorganStanley SmithBarney LLC	03/11/10 05/20/10	01/11/11	10,374.63 6,204.26	Revised cost 10,074.87 R 6,038.80 R		299 76 165 46
125000110	779 98	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FD CL I CONFIRM #500010070376367 MorganStanley SmithBarney LLC	08/30/10	01/07/11	8,033.79	8,069 92 R	(36.13)	
125000120	1,611.165	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FD CL I CONFIRM #500010110267188 MorganStanley SmithBarney LLC	08/30/10	01/11/11	16,627.22	16,669.58 R	(42 36)	

2011 YEAR END SUMMARY

Ref 00011178 00106609

MorganStanley SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2011 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000130	786 777	EATON VANCE GLOBAL MACRO ABSOLUTE RETURN FD CL I CONFIRM #500010550293850 MorganStanley SmithBarney LLC	08/30/10	02/24/11	$ 8,025.13	$ 8,137.58 R	($ 112.45)	
125000150	25	EL PASO PIPELINE PARTNERS L P UNIT LTD PARTNERSHIP INT MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/09/11	819.72	844.45	(24.73)	
125000160	6	EL PASO PIPELINE PARTNERS L P UNIT LTD PARTNERSHIP INT MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/12/11	195.47	202.67	(7.20)	
125000170	19	ENERGY TRANSFER PARTNERS L.P UNIT L.P INT MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/09/11	841.05	1,009.23	(168 18)	
125000180	5	ENERGY TRANSFER PARTNERS L.P UNIT L.P INT MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/12/11	221.44	265.59	(44.15)	
125000190	18	ENERGY TRANSFER EQUITY L P UNIT LTD PARTNERSHIP MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/09/11	692.58	702.13	(9 55)	
125000200	6	ENERGY TRANSFER EQUITY L P UNIT LTD PARTNERSHIP MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/12/11	227.39	234.05	(6 66)	
125000210	84	ENTERPRISE PRODS PARTNERS L P MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/09/11	3,805.54	2,683.51		1,122.03
125000220	17 9	ENTERPRISE PRODS PARTNERS L P MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11 01/11/11	12/12/11	774.46 410.01	543.09 382.20		231.36 27.81

2011 YEAR END SUMMARY

Ref: 00011178 00106610

MorganStanley
SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000230	372 139	EUROPACIFIC GROWTH FUND CLASS F1 CONFIRM #500011640242832 MorganStanley SmithBarney LLC	01/11/11	06/13/11	$ 15,611.23	$ 15,224.20		$ 387.03
125000240	175 253	EUROPACIFIC GROWTH FUND CLASS F1 CONFIRM #500012000241257 MorganStanley SmithBarney LLC	01/11/11	07/19/11	7,353.62	7,169.60		184.02
125000250	823.821	EUROPACIFIC GROWTH FUND CLASS F1 CONFIRM #500012220375844 MorganStanley SmithBarney LLC	01/11/11	08/10/11	29,772.89	33,702.51	(3,929.62)	
125000260	5.999	EUROPACIFIC GROWTH FUND CLASS F1 CONFIRM #500013460215617 MorganStanley SmithBarney LLC	01/11/11	12/12/11	211.64	245.42	(33.78)	
125000270	1,678.462	FIDELITY ADVISOR NEW INSIGHTS FUND CLASS I CONFIRM #500011290246745 MorganStanley SmithBarney LLC	01/11/11	05/09/11	35,616.96	34,257.41		1,359.55
125000280	1,582.733 416.49	FIDELITY ADVISOR NEW INSIGHTS FUND CLASS I CONFIRM #500012220359889 MorganStanley SmithBarney LLC	01/11/11 02/15/11	08/10/11	29,597.11 7,788.38	32,303.59 8,804.60	(2,706.48) (1,016.24)	
125000290	153.952	FIDELITY ADVISOR NEW INSIGHTS FUND CLASS I CONFIRM #500013460205733 MorganStanley SmithBarney LLC	02/15/11	12/12/11	3,072.88	3,254.55	(181.67)	
125000300	77.462	ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS CONFIRM #500010070381680 MorganStanley SmithBarney LLC	01/19/10	01/07/11	839.69	818 77		20.92
125000310	2,248.366 1,339.276 237.288	ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS CONFIRM #500010110267238 MorganStanley SmithBarney LLC	01/19/10 02/09/10 05/20/10	01/11/11	24,372.29 14,517.75 2,572.20	23,765.23 14,049.00 2,517.63		607.06 468 75 54.57

2011 YEAR END SUMMARY

Ref· 00011178 00106611

MorganStanley
SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2011 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000320	759.412	ABSOLUTE STRATEGIES FUND INSTITUTIONAL CLASS CONFIRM #500010550293900 MorganStanley SmithBarney LLC	05/20/10	02/24/11	$ 8,282.40	$ 8,057.36		$ 205.04
125000340	611 953	FORWARD TACTICAL GROWTH FUND CL M CONFIRM #500010070373901 MorganStanley SmithBarney LLC	11/11/10	01/07/11	16,192.28	15,837.34		354.94
125000350	1,564.514	FORWARD TACTICAL GROWTH FUND CL M CONFIRM #500010110267121 MorganStanley SmithBarney LLC	11/11/10	01/11/11	41,522.20	40,489.62		1,032.58
125000370	125.005	FRANKLIN GOLD & PRECIOUS METALS CONFIRM #500012220355127 MorganStanley SmithBarney LLC	01/11/11	08/10/11	5,920.24	6,339.00	(418.76)	
125000380	35	GENESIS ENERGY L P MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/09/11	930.53	922.37		8.16
125000390	7	GENESIS ENERGY L P MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/12/11	185.07	184.47		.60
125000400	1,582.574	ING GLOBAL REAL ESTATE FD CL I CONFIRM #500012220362800 MorganStanley SmithBarney LLC	01/11/11	08/10/11	23,564.53	25,501.03 R	(1,936.50)	
125000410	74.983	ING GLOBAL REAL ESTATE FD CL I CONFIRM #500013460212218 MorganStanley SmithBarney LLC	01/11/11	12/12/11	1,103.00	1,204.58 R	(101 58)	
125000420	27	INERGY LP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/09/11	644.16	1,098.30	(454.14)	

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MorganStanley SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2011 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000430	5	INERGY LP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/12/11	$ 117.51	$ 203.39	($ 85 88)	
125000440	668	ISHARES GOLD TRUST MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/07/11	01/11/11	9,027.87	8,946.19		81 68
125000460	413 171 146	ISHARES MSCI EAFE SMALL CAP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/11/10 04/26/10 11/11/10	01/11/11	17,324.23 7,172.99 6,124.30	15,144.71 6,667.29 5,914.81		2,179.52 505.70 209.49
125000470	271.733	IVY ASSET STRATEGY FUND CLASS I CONFIRM #500010110243494 MorganStanley SmithBarney LLC	02/09/10	01/11/11	6,828.65	5,896.61		932.04
125000490	.1479	KINDER MORGAN MANAGEMENT LLC CASH IN LIEU OF .14793 RECORD 01/31/11 PAY 02/14/11	01/11/11	02/14/11	9.59	9.49		.10
125000500	.1458	KINDER MORGAN MANAGEMENT LLC CASH IN LIEU OF .14583 RECORD 04/29/11 PAY 05/13/11	01/11/11	05/13/11	9.20	9.20		
125000510	.2168	KINDER MORGAN MANAGEMENT LLC CASH IN LIEU OF .21686 RECORD 08/01/11 PAY 08/12/11	01/11/11	08/12/11	13.05	13.44	(.39)	
125000520	.2129	KINDER MORGAN MANAGEMENT LLC CASH IN LIEU OF 21295 RECORD 10/31/11 PAY 11/14/11	01/11/11	11/14/11	14.14	12.97		1.17
125000530	11	KINDER MORGAN MANAGEMENT LLC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/09/11	791.29	670.04		121 25
125000540	3	KINDER MORGAN MANAGEMENT LLC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/12/11	216.11	182.74		33.37

2011 YEAR END SUMMARY

Ref. 00011178 00106613

MorganStanley
SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2011 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000550	15	KINDER MORGAN ENERGY PRTNRS LP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	02/15/11	$ 1,080.27	$ 1,068.75		$ 11.52
125000560	27	KINDER MORGAN ENERGY PRTNRS LP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/09/11	2,134.33	1,923.75		210.58
125000570	6	KINDER MORGAN ENERGY PRTNRS LP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/12/11	474.41	427.50		46.91
125000580	4,393 465	LAZARD DEVELOPING MKTS EQUITY MKTS EQUITY PORT INST'L SHS CONFIRM #500010460521218 MorganStanley SmithBarney LLC	01/11/11	02/15/11	63,924.92	66,561.00	(2,636.08)	
125000590	459 292	LAZARD DEVELOPING MKTS EQUITY MKTS EQUITY PORT INST'L SHS CONFIRM #500012000282004 MorganStanley SmithBarney LLC	05/09/11	07/19/11	6,673.51	6,944.49	(270 98)	
125000600	1,699.29	LAZARD DEVELOPING MKTS EQUITY MKTS EQUITY PORT INST'L SHS CONFIRM #500012220354542 MorganStanley SmithBarney LLC	05/09/11	08/10/11	20,782.32	25,693.26	(4,910.94)	
125000610	24	LINN ENERGY LLC COMMON UNITS MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/09/11	899.36	923.73	(24 37)	
125000620	4	LINN ENERGY LLC COMMON UNITS MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/12/11	147.67	153.95	(6.28)	
125000630	15	MAGELLAN MIDSTREAM PARTNERS LP COM UNITS RPSTNG LP INTERESTS MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/09/11	968.87	838 44		130.43

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MorganStanley
SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2011 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000640	4	MAGELLAN MIDSTREAM PARTNERS LP COM UNITS RPSTNG LP INTERESTS MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/12/11	$ 258.95	$ 223.58		$ 35.37
125000650	21	MARKWEST ENERGY PARTNERS -UTS MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/09/11	1,177.78	899.62		278.16
125000660	7	MARKWEST ENERGY PARTNERS -UTS MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/12/11	392.13	299 87		92.26
125000670	8	NUSTAR ENERGY L.P COM UNITS REPSTG LTD PRTNR INT MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/09/11	432.39	551.50	(119.11)	
125000680	3	NUSTAR ENERGY L.P COM UNITS REPSTG LTD PRTNR INT MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/12/11	161.99	206.81	(44 82)	
125000690	14	ONEOK PARTNERS L.P MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/09/11	737.22	560.58		176.64
125000700	5	ONEOK PARTNERS L.P MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/12/11	265.99	200.21		65.78
125000720	461.385	PIMCO ALL ASSET FUND CL P CONFIRM #500010110233941 MorganStanley SmithBarney LLC acted as your agent	02/09/10	01/11/11	5,578.14	5,338.06 R		240.08
125000750	11	PLAINS ALL AMERN PIPELINE L P MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	12/12/11	746.99	704.73		42.26

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MorganStanley SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2011 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000760	2,354 917 1,153 353	PRICE T ROWE HIGH YIELD FD INC CONFIRM #500010110238593 MorganStanley SmithBarney LLC	04/26/10 09/30/10	01/11/11	$ 16,107.63 7,888.94	$ 15,777.94 7,739.00		$ 329.69 149.94
125000770	386.152	PRUDENTIAL JENNISON NATURAL RESOURCES FUND INC. CLASS Z CONFIRM #500012220366611 MorganStanley SmithBarney LLC	01/11/11	08/10/11	19,145.42	22,535.83	(3,390.41)	
125000780	31.984	PRUDENTIAL JENNISON NATURAL RESOURCES FUND INC. CLASS Z CONFIRM #500013460207176 MorganStanley SmithBarney LLC	01/11/11	12/12/11	1,553.78	1,866.59	(312.81)	
125000790	29	REGENCY ENERGY PARTNERS L P UNITS REPSTG LTD PARTNER MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/09/11	674.95	798.95	(124.00)	
125000800	9	REGENCY ENERGY PARTNERS L P UNITS REPSTG LTD PARTNER MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/12/11	209.24	247.95	(38.71)	
125000810	2,010.973	ROYCE VALUE FUND INVESTMENT CL CONFIRM #500011290246760 MorganStanley SmithBarney LLC acted as your agent	01/11/11	05/09/11	27,952.52	25,479.03		2,473.49
125000820	2,992.34	ROYCE VALUE FUND INVESTMENT CL CONFIRM #500012220358931 MorganStanley SmithBarney LLC acted as your agent	01/11/11	08/10/11	33,663.83	37,912.95	(4,249.12)	
125000830	85.994	ROYCE VALUE FUND INVESTMENT CL CONFIRM #500013460184474 MorganStanley SmithBarney LLC acted as your agent	01/11/11	12/12/11	941.63	1,089.54	(147 91)	
125000850	206 175 232 568	RYDEX SGI MANAGED FUTURES STRATEGY FUND CLASS H CONFIRM #64587689 MorganStanley SmithBarney LLC	01/19/10 02/09/10	01/11/11	5,286.33 5,963.04	5,476.00 6,077.00	(189.67) (113 96)	

2011 YEAR END SUMMARY

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MorganStanley SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2011 – *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000860	651	SPDR DOW JONES INTERNATIONAL REAL ESTATE ETF MorganStanley SmithBarney LLC acted as your agent	01/07/11	01/11/11	$ 24,930.27	$ 24,923.93		$ 6.34
125000870	2,746	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	08/10/11	12/09/11	125,851.43	125,927.72	(76.29)	
125000880	104	SPDR SER TR BARCLAYS 1-3 MONTH T-BILL ETF MorganStanley SmithBarney LLC acted as your agent	08/10/11	12/12/11	4,766.43	4,769.29	(2.86)	
125000890	548.281	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CONFIRM #500010110238684 MorganStanley SmithBarney LLC	09/30/10	01/11/11	7,434.69	7,495.00	(60.31)	
125000900	415	VANGUARD EUROPE PACIFIC ETF	03/11/10	01/11/11	14,861.73	14,193.04		668.69
	162	MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/11/10		5,801.44	5,919.48	(118 04)	
125000910	170	VANGUARD ENERGY ETF	04/26/10	01/11/11	17,173.79	15,403.53		1,770.26
	39	MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/20/10		3,939.87	2,995.91		943.96
125000920	154	VANGUARD EMERGING MARKETS ETF	03/11/10	01/11/11	7,350.60	6,365.98		984.62
	376	MorganStanley SmithBarney LLC	04/26/10		17,946.93	16,216.88		1,730 05
	94	acted as your agent	11/11/10		4,486.73	4,540.15	(53.42)	
	163	in this transaction.	01/07/11		7,780.18	7,783.02	(2.84)	
125000940	258	VANGUARD REIT ETF	04/26/10	01/11/11	14,136.09	13,722.99		413 10
	120	MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/30/10		6,574.93	6,245.86		329.07
125000950	84	VANGUARD MID-CAP	03/11/10	01/11/11	6,323.58	5,381.55		942 03
	83	ETF	04/26/10		6,248.30	5,762.68		485.62
	75	MorganStanley SmithBarney LLC acted as your agent	11/11/10		5,646.06	5,344.14		301 92

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MorganStanley SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Short Term Gain (Loss) 2011 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000960	277	VANGUARD LARGE-CAP ETF	03/11/10	01/11/11	$ 16,163.23	$ 14,524.77		$ 1,638 46
	120	MorganStanley SmithBarney LLC	11/11/10		7,002.12	6,670.56		331.56
	289	acted as your agent	01/07/11		16,863.45	16,827.31		36.14
		in this transaction.						
125000970	70	VANGUARD SMALL-CAP	03/11/10	01/11/11	5,160.59	4,368.28		792.31
	70	ETF	04/26/10		5,160.59	4,802.42		358.17
	68	MorganStanley SmithBarney LLC	11/11/10		5,013.15	4,671.19		341.96
		acted as your agent						
125000980	16	WILLIAMS PARTNERS L P	01/11/11	12/09/11	955.66	747.31		208 35
		UNIT LTD PARTNERSHIP INT						
		MorganStanley SmithBarney LLC						
		acted as your agent						
Total					$ 1,071,060.66	$ 1,076,083.88	($ 36,422.70)	$ 31,399.48

Details of Long Term Gain (Loss) 2011

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000140	121.941	EATON VANCE GLOBAL MACRO	08/30/10	12/12/11	$ 1,206.00	$ 1,258.00 R	($ 52.00)	
		ABSOLUTE RETURN FD CL I						
		CONFIRM #500013460184482						
		MorganStanley SmithBarney LLC						
125000300	470.035	ABSOLUTE STRATEGIES FUND	08/07/09	01/07/11	5,095.18	4,761.46		333.72
	159.79	INSTITUTIONAL CLASS	10/14/09		1,732.12	1,673.00		59.12
		CONFIRM #500010070381680						
		MorganStanley SmithBarney LLC						
125000330	218.931	ABSOLUTE STRATEGIES FUND	05/20/10	12/12/11	2,419.19	2,322.86		96.33
		INSTITUTIONAL CLASS						
		CONFIRM #500013460184490						
		MorganStanley SmithBarney LLC						

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Long Term Gain (Loss) 2011 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000360	50.994	FORWARD TACTICAL GROWTH FUND CL M CONFIRM #500013460211244 MorganStanley SmithBarney LLC	11/11/10	12/12/11	$ 1,270.26	$ 1,319.72	($ 49 46)	
125000440	2,410	ISHARES GOLD TRUST	08/07/09	01/11/11	32,570.60	22,574.33 R		9,996.27
	640	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/14/09		8,649.45	6,653.64 R		1,995 81
125000450	27	ISHARES BARCLAYS TREAS	06/04/09	01/11/11	2,914.65	2,699.25 R		215.40
	122	INFLATION PROTECTED SECS FD MorganStanley SmithBarney LLC acted as your agent	08/07/09		13,169.92	12,177.62 R		992.30
125000460	475	ISHARES MSCI EAFE SMALL CAP MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/07/09	01/11/11	19,924.96	15,696.14		4,228.82
125000470	712.663	IVY ASSET STRATEGY FUND CLASS I CONFIRM #500010110243494 MorganStanley SmithBarney LLC	08/07/09	01/11/11	17,909.22	14,737.87		3,171.35
125000480	329.935	IVY ASSET STRATEGY FUND CLASS I CONFIRM #500010550293744 MorganStanley SmithBarney LLC	02/09/10	02/24/11	8,119.70	7,159.59		960 11
125000710	197.994	PIMCO COMMODITY REAL RETURN STRATEGY FUND CL P CONFIRM #500013460207333 MorganStanley SmithBarney LLC	06/04/09	12/12/11	1,459.22	1,494 86	(35 64)	
125000720	867.778	PIMCO ALL ASSET FUND CL P CONFIRM #500010110233941 MorganStanley SmithBarney LLC acted as your agent	08/07/09	01/11/11	10,491.44	9,788.22 R		703.22
125000730	691.967	PIMCO ALL ASSET FUND CL P CONFIRM #500010550293694 MorganStanley SmithBarney LLC acted as your agent	02/09/10	02/24/11	8,435.08	8,005.81 R		429 27

2011 YEAR END SUMMARY

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MorganStanley SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Long Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000740	100.92	PIMCO ALL ASSET FUND CL P CONFIRM #500013460208950 MorganStanley SmithBarney LLC acted as your agent	02/09/10	12/12/11	$ 1,198.93	$ 1,167.60 R		$ 31.33
125000840	632.261	RYDEX SGI MANAGED FUTURES STRATEGY FUND CLASS H CONFIRM #64563849 MorganStanley SmithBarney LLC	08/07/09	01/07/11	16,091.04	17,184.85	(1,093.81)	
125000850	212 36	RYDEX SGI MANAGED FUTURES STRATEGY FUND CLASS H CONFIRM #64587689 MorganStanley SmithBarney LLC	08/07/09	01/11/11	5,444.91	5,771.94	(327.03)	
125000890	682 394	TEMPLETON GLOBAL BOND FUND ADVISOR CLASS CONFIRM #500010110238684 MorganStanley SmithBarney LLC	10/14/09	01/11/11	9,253.26	8,680.05		573.21
125000900	355	VANGUARD EUROPE PACIFIC ETF	08/07/09	01/11/11	12,713.05	11,377.75		1,335.30
	466	MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/23/09		16,688.11	16,402.92		285.19
125000910	211	VANGUARD ENERGY ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/07/09	01/11/11	21,315.70	15,701.71		5,613.99
125000920	275	VANGUARD EMERGING MARKETS ETF	08/07/09	01/11/11	13,126.08	9,906.88		3,219.20
	158	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/14/09		7,541.53	6,515.90		1,025 63
125000930	78	VANGUARD REIT ETF	06/04/09	01/07/11	4,279.97	2,642.05 R		1,637 92
	4	MorganStanley SmithBarney LLC	06/12/09		219.49	132.17 R		87.32
	209	acted as your agent in this transaction.	08/07/09		11,468.11	8,292.80 R		3,175.31
125000940	77	VANGUARD REIT ETF MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/07/09	01/11/11	4,218.91	3,055.24 R		1,163 67

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Ref: 00011178 00106620

MorganStanley
SmithBarney

2011 Year End Summary

ECOSYSTEMS TECH TRANSFER INC

Account Number 138-72648-13 106

Details of Long Term Gain (Loss) 2011 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000950	128	VANGUARD MID-CAP	08/07/09	01/11/11	$ 9,635.93	$ 6,806.27		$ 2,829.66
	284	ETF	11/23/09		21,379.72	16,367.43		5,012.29
		MorganStanley SmithBarney LLC acted as your agent						
125000960	139	VANGUARD LARGE-CAP ETF	08/07/09	01/11/11	8,110.79	6,401 86		1,708 93
	318	MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/23/09		18,555.62	16,006.85		2,548 77
125000970	160	VANGUARD SMALL-CAP	08/07/09	01/11/11	11,795.64	8,341.60		3,454 04
	302	ETF	11/23/09		22,264.27	16,629.36		5,634.91
		MorganStanley SmithBarney LLC acted as your agent						
Total					$ 350,668.05	$ 289,707.60	($ 1,557.94)	$ 62,518.39

R The basis for this tax lot has been adjusted due to a reclassification of income

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Form 8868
(Rev. January 2012)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file).* You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print		
File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. ECOSYSTEMS TECHNOLOGY TRANSFER, INC. C/O CHRISTIAN J. LAMBERTSEN JR.	Employer identification number (EIN) or [X] 23-2619483
	Number, street, and room or suite no. If a P.O. box, see instructions. 7624 KENNEBEC DRIVE	Social security number (SSN) [ ]
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. CHAPEL HILL, NC 27517	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ CHRISTIAN J. LAMBERTSEN JR.
7624 KENNEBEC DRIVE - CHAPEL HILL, NC 27517
Telephone No. ▶ (610) 296-4200 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ▶ [ ]. If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2012, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [X] calendar year 2011 or
▶ [ ] tax year beginning ______, and ending ______.

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	3a	$ 4,137.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit.	3b	$ 137.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 4,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions