Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning , 2011, and ending ,

KRIEGER CHARITABLE TRUST
63 BEETHOVEN AVENUE
WABAN, MA 02468

A Employer identification number 22-6374448

B Telephone number (see the instructions)

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 8,383,127.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► [X] if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	44.	44.	44.	
	4 Dividends and interest from securities	397,724.	397,724.	397,724.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	249,754.			
	b Gross sales price for all assets on line 6a 3,838,354.				
	7 Capital gain net income (from Part IV, line 2)		249,754.		
	8 Net short-term capital gain			48,724.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) SEE STATEMENT 1	312.	312.	312.	
	12 **Total.** Add lines 1 through 11	647,834.	647,834.	446,804.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SEE ST 2	188.	188.	188.	188.
	b Accounting fees (attach sch) SEE ST. 3	2,600.	2,600.	2,600.	2,600.
	c Other prof fees (attach sch) SEE ST 4	23,238.	23,238.	23,238.	23,238.
	17 Interest				
	18 Taxes (attach schedule)(see instrs) SEE STM. 5	8,243.	8,243.	8,243.	8,243.
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 6	202.	202.	202.	202.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	34,471.	34,471.	34,471.	34,471.
	25 Contributions, gifts, grants paid PART XV	351,530.			351,530.
	26 **Total expenses and disbursements.** Add lines 24 and 25	386,001.	34,471.	34,471.	386,001.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	261,833.			
	b **Net investment income** (if negative, enter -0-)		613,363.		
	c **Adjusted net income** (if negative, enter -0-)			412,333.	

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BAA For Paperwork Reduction Act Notice, see instructions. TEEA0504L 12/05/11 Form **990-PF** (2011)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	76,808.	64,179.	64,179.
	2 Savings and temporary cash investments	55,244.	149,509.	123,442.
	3 Accounts receivable ►			
	Less allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less: allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule). STATEMENT 7	4,446,861.	4,401,102.	4,592,175.
	b Investments – corporate stock (attach schedule). STATEMENT 8	2,108,130.	2,216,246.	2,392,472.
	c Investments – corporate bonds (attach schedule). STATEMENT 9	987,000.	989,153.	670,859.
	11 Investments – land, buildings, and equipment: basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans	502,200.	502,200.	540,000.
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ►			
	Less: accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ► SEE STATEMENT 10)		115,687.	
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	8,176,243.	8,438,076.	8,383,127.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here ► [ ] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	8,176,243.	8,438,076.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	8,176,243.	8,438,076.	
	31 Total liabilities and net assets/fund balances (see instructions)	8,176,243.	8,438,076.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,176,243.
2	Enter amount from Part I, line 27a	2	261,833.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	8,438,076.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	8,438,076.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	US TRUST - BOA - SHORT TERM TRANSACTIONS	P	VARIOUS	12/31/11
b	US TRUST - BOA - SHORT TERM TRANSACTIONS	P	VARIOUS	12/31/11
c	US TRUST - BOA - LONG TERM TRANSACTIONS	P	VARIOUS	12/31/11
d	RBC CAPITAL - SHORT TERM TRANSACTIONS	P	VARIOUS	12/31/11
e	RBC CAPITAL - LONG TERM TRANSACTIONS	P	VARIOUS	12/31/11

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	192,699.		200,201.	-7,502.
b	143,074.		141,763.	1,311.
c	1,491,270.		1,287,409.	203,861.
d	1,550,470.		1,495,555.	54,915.
e	460,841.		463,672.	-2,831.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				-7,502.
b				1,311.
c				203,861.
d				54,915.
e				-2,831.

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	249,754.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	48,724.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	302,605.	7,450,581.	0.040615
2009	359,651.	7,335,121.	0.049031
2008	468,499.	7,650,816.	0.061235
2007	348,995.	8,454,625.	0.041279
2006	397,256.	8,037,313.	0.049426

2	**Total** of line 1, column (d)	2	0.241586
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.048317
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	8,719,669.
5	Multiply line 4 by line 3.	5	421,308.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,134.
7	Add lines 5 and 6	7	427,442.
8	Enter qualifying distributions from Part XII, line 4	8	386,001.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary – see instrs)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b			1	12,267.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	12,267.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	12,267.
6 Credits/Payments:				
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a	6,500.		
b Exempt foreign organizations – tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	8,000.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	14,500.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ►			9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►			10	2,233.
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ► 2,233. **Refunded** ►			11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ► $ 0. (2) On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► N/A			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► ROGER KLEIN Telephone no. ►
Located at ► 63 BEETHOVEN AVENUE WABAN MA ZIP + 4 ► 02468

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐
and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions.)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__ .				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to.

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? — 5b: N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A. ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b: No X

If 'Yes' to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A. — 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation **(If not paid, enter -0-)**	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HERBERT C. KLEIN 10 OAK CRESCENT LITTLE FALLS, NJ 07424	TRUSTEE 5.00	0.	0.	0.
ROGER M. KLEIN 63 BEETHOVEN AVENUE WABAN, MA 02468	TRUSTEE 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:			
a Average monthly fair market value of securities		1a	8,659,110.
b Average of monthly cash balances		1b	193,346.
c Fair market value of all other assets (see instructions)		1c	
d Total (add lines 1a, b, and c)		1d	8,852,456.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	8,852,456.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	132,787.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	8,719,669.
6 **Minimum investment return.** Enter 5% of line 5		6	435,983.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	435,983.
2a Tax on investment income for 2011 from Part VI, line 5	2a 12,267.		
b Income tax for 2011. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b		2c	12,267.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	423,716.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	423,716.
6 Deduction from distributable amount (see instructions)		6	
7 **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	423,716.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes.		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	386,001.
b Program-related investments – total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	386,001.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	386,001.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				423,716.
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only			277,680.	
b Total for prior years: 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► $ 386,001.				
a Applied to 2010, but not more than line 2a			277,680.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2011 distributable amount				108,321.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				315,395.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	423,716.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include.

SEE STATEMENT FOR LINE 2A

c Any submission deadlines:

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE VARIOUS ,	VARIOUS	QUALIF IED	CHARITABLE	351,530.
Total ► 3a				351,530.
b Approved for future payment				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments	525920				44.
4 Dividends and interest from securities	525920				397,724.
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income	525920				312
8 Gain or (loss) from sales of assets other than inventory.	525920	-7,502.			257,256.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		-7,502.			655,336.

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 647,834.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
8	CONTRIBUTIONS TO VARIOUS CHARITABLE ORGANIZATIONS

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a (1)		X
(2) Other assets	1a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b (2)		X
(3) Rental of facilities, equipment, or other assets	1b (3)		X
(4) Reimbursement arrangements	1b (4)		X
(5) Loans or loan guarantees	1b (5)		X
(6) Performance of services or membership or fundraising solicitations	1b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee — Date: 7/30/12 — Title: TRUSTEE

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self employed	PTIN
EUGENE C. LUCCARELLI	EUGENE C. LUCCARELLI	7-26-12		P00017900

Firm's name ► EUGENE C. LUCCARELLI, CPA — Firm's EIN ► 22-3397590

Firm's address ► 234 MADISON AVENUE, WYCKOFF, NJ 07481 — Phone no (201) 848-1501

TEEA0503L 07/15/11

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	$ 312.	$ 312.	$ 312.
TOTAL	$ 312.	$ 312.	$ 312.

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KORF & ROSENBLATT	$ 188.	$ 188.	$ 188.	$ 188.
TOTAL	$ 188.	$ 188.	$ 188.	$ 188.

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	$ 2,600.	$ 2,600.	$ 2,600.	$ 2,600.
TOTAL	$ 2,600.	$ 2,600.	$ 2,600.	$ 2,600.

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
US TRUST - ALLOCABLE FEES	$ 23,238.	$ 23,238.	$ 23,238.	$ 23,238.
TOTAL	$ 23,238.	$ 23,238.	$ 23,238.	$ 23,238.

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL INCOME TAXES	$ 6,602.	$ 6,602.	$ 6,602.	$ 6,602.
FOREIGN TAXES PAID	1,641.	1,641.	1,641.	1,641.
TOTAL	$ 8,243.	$ 8,243.	$ 8,243.	$ 8,243.

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES & POSTAGE	$ 202.	$ 202.	$ 202.	$ 202.
TOTAL	$ 202.	$ 202.	$ 202.	$ 202.

STATEMENT 7
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
US & GOV'T OBLIGATIONS	COST	$ 4,401,102.	$ 4,592,175.
		$ 4,401,102.	$ 4,592,175.
	TOTAL	$ 4,401,102.	$ 4,592,175.

STATEMENT 8
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	COST	$ 2,216,246.	$ 2,392,472.
	TOTAL	$ 2,216,246.	$ 2,392,472.

STATEMENT 9
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

CORPORATE BONDS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	COST	$ 989,153.	$ 670,859.
	TOTAL	$ 989,153.	$ 670,859.

STATEMENT 10
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
BASIS ADJUSTMENT	$ 115,686.	
ROUNDING	1.	
TOTAL	$ 115,687.	$ 0.

STATEMENT 11
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:	
NAME:	ROGER M. KLEIN
CARE OF:	
STREET ADDRESS:	63 BEETHOVEN AVENUE
CITY, STATE, ZIP CODE:	WABAN, MA 02468
TELEPHONE:	
FORM AND CONTENT:	ANY FORM
SUBMISSION DEADLINES:	NONE
RESTRICTIONS ON AWARDS:	NONE

Form **8868** (Rev January 2012)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545 1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return. See instructions.

Name of exempt organization or other filer, see instructions.
KRIEGER CHARITABLE TRUST

Employer identification number (EIN) or
[X] 22-6374448

Number, street, and room or suite number. If a P O box, see instructions
63 BEETHOVEN AVENUE

Social security number (SSN)
[ ]

City, town or post office, state, and ZIP code For a foreign address, see instructions
WABAN, MA 02468

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ ROGER KLEIN

Telephone No. ▶ FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ If this is for the whole group, check this box ▶ [ ]. If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [X] calendar year 20 11 or

▶ [ ] tax year beginning ________, 20 ___, and ending ________, 20 ___

2 If the tax year entered in line 1 is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	$ 14,500.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	$ 6,500.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 8,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Short Term Covered Security Transactions (Form 8949, Part I, Box A)

Description (Box 9)	Cusip	Number of shares	Date of acquisition (Box 1b)	Sale date (Box 1a)	Sales price less commissions (Box 2)	Cost or other basis (Box 3)	Net gain or loss
GOLDMAN SACHS GROUP INC	38141G104	50	06/07/11	10/25/11	$5,077.65	$6,704.25	$-1,626.60
GOOGLE INC CL A	38259P508	25	01/13/11	10/25/11	$14,603.59	$15,395 62	$-792 03
GOOGLE INC CL A	38259P508	25	04/27/11	10/25/11	$14,603 59	$13,427.63	$1,175.96
JOY GLOBAL INC	481165108	75	03/07/11	10/25/11	$6,432.99	$7,030.88	$-597.89
CIGNA CORP	125509109	175	06/07/11	10/25/11	$7,949.21	$8,484.88	$-535.67
CELGENE CORP COM	151020104	175	03/07/11	10/25/11	$11,573.39	$9,415.81	$2,157.58
NORDSTROM INC	655664100	125	06/07/11	10/25/11	$6,349 25	$5,363.13	$986.12
PEPSICO INC	713448108	100	06/07/11	10/25/11	$6,222.39	$6,919.50	$-697.11
POTASH CORP SASK INC	73755L107	100	03/07/11	10/25/11	$4,883.40	$5,908.50	$-1,025.10
PRICE T ROWE GROUP INC	74144T108	100	01/13/11	10/25/11	$5,169.84	$6,729.44	$-1,559.60
FAIRCHILD SEMICONDUCTOR INTL INC	303726103	400	03/07/11	10/25/11	$5,507 89	$7,154.52	$-1,646.63
DISNEY WALT CO	254687106	250	06/07/11	10/25/11	$8,673.83	$9,911 08	$-1,237.25
DISNEY WALT CO	254687106	250	03/07/11	10/25/11	$8,673.83	$10,663.75	$-1,989.92
UNITED PARCEL SVC INC CL B	911312106	25	01/13/11	10/25/11	$1,723.84	$1,802 58	$-78.74
COSTCO WHSL CORP NEW	22160K105	250	04/27/11	10/25/11	$20,854 79	$20,151 25	$703.54
COSTCO WHSL CORP NEW	22160K105	75	06/07/11	10/25/11	$6,256 44	$5,906 63	$349.81
Total short term gain/loss from covered security transactions not subject to wash sale:					$192,698.62	$200,200.74	$-7,502 12

Total short term gain/loss from covered security transactions:	Gross Proceeds	Tax Cost	Gain or Loss	Loss Disallowed	Net Gain or Loss
Report each transaction on Form 8949, Part I, Box A	$192,698.62	$200,200.74	$-7,502.12	$0.00	$-7,502.12

Proceeds from Short Term Noncovered Security Transactions

This category includes transactions that are short-term gain or loss. Form 1099-B, Box 6 is checked because this category includes only sales of noncovered securities. For sales of noncovered securities we report security description, sale date, federal income tax withheld and gross proceeds to the Internal Revenue Service but we do not report acquisition date, tax cost, wash sale loss disallowed and type of gain or loss. If applicable, transactions subject to wash sale are shown separately. If wash sales are not shown separately, your account has no wash sales in this category. For individual taxpayers, these transactions are reportable on Form 8949, Part I, Box B.

Short Term Noncovered Security Transactions (Form 8949, Part I, Box B)

Description (Box 9)	Cusip	Number of shares	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2)	Cost or other basis	Net gain or loss
AMAZON COM INC	023135106	50	01/28/10	01/13/11	$9,277.09	$6,236.00	$3,041 09
AMGEN INC	031162100	125	11/10/10	01/13/11	$7,029 25	$6,834.38	$194.87
EMC CORPORATION	268648102	50	01/28/10	01/13/11	$1,196.73	$855.75	$340.98
CISCO SYSTEM INC	17275R102	200	01/28/10	01/13/11	$4,206.93	$4,489.00	$-282.07
GANNETT INC	364730101	600	08/06/10	03/07/11	$9,578.99	$7,887.00	$1,691.99
GANNETT INC	364730101	600	03/31/10	03/07/11	$9,579 00	$10,008.48	$-429.48
GANNETT INC	364730101	250	04/21/10	03/07/11	$3,991.25	$4,631.25	$-640.00
VANGUARD INTL EQUITY INDEX FD INC	922042858	500	11/10/10	03/07/11	$23,387.05	$24,347.20	$-960.15
AKAMAI TECHNOLOGIES INC	00971T101	300	06/08/10	04/27/11	$12,242.25	$12,190.50	$51.75
AVON PRODUCTS INC	054303102	325	08/06/10	04/27/11	$9,484.94	$10,027.88	$-542 94
PROCTER & GAMBLE CO	742718109	200	11/10/10	06/07/11	$13,040 74	$12,919.00	$121 74
WELLS FARGO & CO (NEW)	949746101	125	08/06/10	06/07/11	$3,243.25	$3,436.88	$-193.63
AMGEN INC	031162100	50	11/10/10	10/25/11	$2,840.25	$2,733.75	$106.50
PROCTER & GAMBLE CO	742718109	75	11/10/10	10/25/11	$4,856.52	$4,844.63	$11.89
QUESTAR CORP	748356102	425	11/10/10	10/25/11	$8,257 67	$7,435.38	$822.29
INTERCONTINENTALEXCHANGE INC	45865V100	100	11/10/10	10/25/11	$12,514.25	$11,551.50	$962.75
AUTOLIV INC	052800109	150	11/10/10	10/25/11	$8,348 19	$11,334.50	$-2,986.31
Total short term gain/loss from noncovered security transactions not subject to wash sale:					$143,074.35	$141,763 08	$1,311 27

Total short term gain/loss from noncovered security transactions:	Gross Proceeds	Tax Cost	Gain or Loss	Loss Disallowed	Net Gain or Loss
Report each transaction on Form 8949, Part I, Box B	$143,074.35	$141,763.08	$1,311.27	$0.00	$1,311.27

Proceeds from Long Term Noncovered Security Transactions

This category includes transactions that are long-term gain or loss. Form 1099-B, Box 6 is checked because this category includes only sales of noncovered securities. For sales of noncovered securities we report security description, sale date, federal income tax withheld and gross proceeds to the Internal Revenue Service but we do not report acquisition date, tax cost, wash sale loss disallowed and type of gain or loss. If applicable, transactions subject to wash sale are shown separately. If wash sales are not shown separately, your account has no wash sales in this category. For individual taxpayers, these transactions are reportable on Form 8949, Part II, Box B

Long Term Noncovered Security Transactions (Form 8949, Part II, Box B)

Description (Box 9)	Cusip	Number of shares	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2)	Cost or other basis	Net gain or loss
EMC CORPORATION	268648102	440	06/05/07	01/13/11	$10,531.21	$7,474 50	$3,056.71
EMC CORPORATION	268648102	10	07/05/07	01/13/11	$239.35	$168.68	$70.67
US BANCORP DEL NEW	902973304	360	03/19/09	01/13/11	$9,651.57	$5,193.90	$4,457.67
US BANCORP DEL NEW	902973304	200	11/04/09	01/13/11	$5,361 99	$4,655.00	$706.99
FLOWSERVE CORP	34354P105	50	11/04/09	01/13/11	$5,838.15	$4,965.35	$872 80
GENERAL ELECTRIC CO	369604103	65	09/23/96	01/13/11	$1,207.57	$955 99	$251.58
LOWES COMPANIES INC	548661107	350	11/04/09	01/13/11	$8,587 10	$6,872 25	$1,714.85
TEXAS INSTRUMENTS INC	882508104	200	11/04/09	01/13/11	$6,672.94	$4,769.00	$1,903.94
HEWLETT PACKARD CO	428236103	150	11/04/09	01/13/11	$6,816 63	$7,258.85	$-442.22
CISCO SYSTEM INC	17275R102	775	11/04/09	01/13/11	$16,301.84	$17,959 24	$-1,657.40
APPLE COMPUTER INC	037833100	25	12/22/09	01/13/11	$8,630.22	$4,979.88	$3,650.34
LOWES COMPANIES INC	548661107	215	03/19/09	01/13/11	$5,274.93	$3,740 46	$1,534 47
GENERAL ELECTRIC CO	369604103	605	03/19/09	01/13/11	$11,239.67	$6,520.39	$4,719.28
LABORATORY CORP AMER HLDGS NEW	50540R409	100	11/04/09	03/07/11	$9,090.62	$7,075.69	$2,014.93
VANGUARD INTL EQUITY INDEX FD INC	922042858	1000	08/28/09	03/07/11	$46,774.10	$35,690.00	$11,084.10
UNITED TECHNOLOGIES CORP	913017109	75	01/28/10	03/07/11	$6,157.75	$5,018.30	$1,139 45
RIO TINTO PLC SPONSORED ADR	767204100	100	03/04/10	03/07/11	$6,810.36	$5,440.88	$1,369 48
NORDSTROM INC	655664100	425	11/04/09	03/07/11	$18,501.67	$13,969 25	$4,532 42
NORDSTROM INC	655664100	150	01/28/10	03/07/11	$6,530.00	$5,240.25	$1,289 75
NAVISTAR INTL CORP NEW	63934E108	125	01/28/10	03/07/11	$7,568.00	$4,651.88	$2,916 12

Long Term Noncovered Security Transactions (Form 8949, Part II, Box B)

Description (Box 9)	Cusip	Number of shares	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2)	Cost or other basis	Net gain or loss
NAVISTAR INTL CORP NEW	63934E108	100	08/04/09	03/07/11	$6,054.40	$4,061 50	$1,992.90
AT&T INC	00206R102	75	11/01/06	03/07/11	$2,094 50	$2,364.32	$-269.82
AT&T INC	00206R102	150	09/08/08	03/07/11	$4,188.99	$4,833.82	$-644.83
MICROSOFT CORP	594918104	50	11/04/09	03/07/11	$1,280.76	$1,408 62	$-127.86
MICROSOFT CORP	594918104	275	01/28/10	03/07/11	$7,044.18	$7,992.88	$-948 70
FEDERAL HOME LN MTG CORP DEB	3134A4DY7	300000	07/12/01	03/15/11	$300,000 00	$300,000.00	$0.00
FEDERAL HOME LN MTG CORP DEB	3134A4DY7	100000	03/15/02	03/15/11	$100,000.00	$100,000 00	$0.00
AVON PRODUCTS INC	054303102	190	11/10/08	04/27/11	$5,545 04	$4,855 53	$689.51
AVON PRODUCTS INC	054303102	40	03/19/09	04/27/11	$1,167.38	$739.50	$427.88
CELANESE CORP DEL SER A	150870103	150	11/04/09	04/27/11	$7,383.60	$4,193 25	$3,190.35
HEWLETT PACKARD CO	428236103	25	11/04/09	04/27/11	$1,025.66	$1,209 81	$-184.15
GOLDMAN SACHS GROUP INC	38141G104	50	11/04/09	04/27/11	$7,613.10	$8,625 25	$-1,012.15
INTERNATIONAL BUSINESS MACHINES	459200101	50	01/28/10	04/27/11	$8,518 58	$6,205.25	$2,313.33
HEWLETT PACKARD CO	428236103	150	01/28/10	04/27/11	$6,153.96	$7,163.25	$-1,009.29
AVON PRODUCTS INC	054303102	325	11/04/09	04/27/11	$9,484.94	$10,573.00	$-1,088.06
HEWLETT PACKARD CO	428236103	50	10/24/05	04/27/11	$2,051.32	$1,402 00	$649.32
MCKESSON HBOC INC	58155Q103	75	11/04/09	04/27/11	$6,296.49	$4,585.13	$1,711.36
PACKAGING CORP AMER	695156109	300	01/28/10	04/27/11	$8,431.88	$6,625.23	$1,806 65
PACKAGING CORP AMER	695156109	250	03/19/09	04/27/11	$7,026.56	$3,131.88	$3,894.68
ABBOTT LABS	002824100	150	03/04/10	04/27/11	$7,796 12	$8,135.25	$-339.13
PNC BANK CORP	693475105	100	11/04/09	04/27/11	$6,211.38	$5,175.50	$1,035.88
ALPHA NAT RES INC	02076X102	150	01/28/10	04/27/11	$8,334.58	$6,617.75	$1,716.83
ABBOTT LABS	002824100	75	03/04/10	06/07/11	$3,866 60	$4,067 63	$-201 03
ABBOTT LABS	002824100	75	10/19/09	06/07/11	$3,866.61	$3,919.87	$-53.26
STAPLES INC	855030102	225	11/04/09	06/07/11	$3,478.48	$4,921.86	$-1,443.38
WELLS FARGO & CO (NEW)	949746101	200	11/04/09	06/07/11	$5,189.19	$5,485 00	$-295.81

Long Term Noncovered Security Transactions (Form 8949, Part II, Box B)

Description (Box 9)	Cusip	Number of shares	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2)	Cost or other basis	Net gain or loss
MYLAN LABS INC	628530107	950	11/04/09	06/07/11	$21,524.87	$16,107.16	$5,417.71
STAPLES INC	855030102	390	07/10/08	06/07/11	$6,029 36	$8,504.92	$-2,475 56
DISNEY WALT CO	254687106	275	11/04/09	10/25/11	$9,541.22	$7,811.37	$1,729.85
DISCOVER FINL SVCS	254709108	425	12/22/09	10/25/11	$9,849.27	$6,283.63	$3,565.64
DISCOVER FINL SVCS	254709108	475	01/28/10	10/25/11	$11,008 00	$6,428.89	$4,579.11
DOVER CORP	260003108	200	08/03/09	10/25/11	$10,997.78	$6,879 40	$4,118 38
EMC CORPORATION	268648102	210	07/05/07	10/25/11	$5,027.43	$3,542.23	$1,485.20
EMC CORPORATION	268648102	655	11/04/09	10/25/11	$15,680 78	$10,915 45	$4,765.33
EMC CORPORATION	268648102	275	03/19/09	10/25/11	$6,583.54	$3,167.31	$3,416 23
EXELON CORP	30161N101	200	11/04/09	10/25/11	$8,464 83	$9,349.00	$-884.17
FIFTH THIRD BANCORP	316773100	500	06/08/10	10/25/11	$5,862.49	$6,350 00	$-487.51
FIFTH THIRD BANCORP	316773100	550	02/24/10	10/25/11	$6,448.73	$6,950.90	$-502.17
FLOWSERVE CORP	34354P105	50	11/04/09	10/25/11	$4,352.16	$4,965.35	$-613.19
GOLDMAN SACHS GROUP INC	38141G104	25	11/04/09	10/25/11	$2,538.83	$4,312.63	$-1,773.80
GOLDMAN SACHS GROUP INC	38141G104	25	01/28/10	10/25/11	$2,538.82	$3,802.63	$-1,263 81
GOLDMAN SACHS GROUP INC	38141G104	90	03/19/09	10/25/11	$9,139.77	$9,216.43	$-76.66
HEWLETT PACKARD CO	428236103	370	10/24/05	10/25/11	$9,319.97	$10,374 80	$-1,054 83
INTERNATIONAL BUSINESS MACHINES	459200101	50	09/24/09	10/25/11	$9,054.57	$6,031.25	$3,023.32
JP MORGAN CHASE & CO	46625H100	50	11/04/09	10/25/11	$1,686.24	$2,159.75	$-473.51
JP MORGAN CHASE & CO	46625H100	115	02/28/06	10/25/11	$3,878.34	$4,735.70	$-857.36
JP MORGAN CHASE & CO	46625H100	10	09/08/08	10/25/11	$337 25	$407.28	$-70.03
JP MORGAN CHASE & CO	46625H100	200	01/28/10	10/25/11	$6,744.95	$7,919.00	$-1,174.05
JP MORGAN CHASE & CO	46625H100	410	11/10/08	10/25/11	$13,827.14	$15,148.48	$-1,321.34
MCKESSON HBOC INC	58155Q103	100	11/04/09	10/25/11	$7,664.35	$6,113.50	$1,550.85
MCKESSON HBOC INC	58155Q103	125	01/28/10	10/25/11	$9,580 43	$7,521.88	$2,058.55
MEDCO HEALTH SOLUTIONS INC	58405U102	100	04/21/10	10/25/11	$4,731.70	$6,464.50	$-1,732.80

Long Term Noncovered Security Transactions (Form 8949, Part II, Box B)

Description (Box 9)	Cusip	Number of shares	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2)	Cost or other basis	Net gain or loss
MEDCO HEALTH SOLUTIONS INC	58405U102	180	03/19/09	10/25/11	$8,517.05	$6,940.35	$1,576.70
AT&T INC	00206R102	65	11/01/06	10/25/11	$1,847.26	$2,049.08	$-201.82
AT&T INC	00206R102	90	11/10/08	10/25/11	$2,557.75	$2,472.08	$85.67
AT&T INC	00206R102	410	11/04/09	10/25/11	$11,651.98	$10,558.73	$1,093.25
AT&T INC	00206R102	325	01/28/10	10/25/11	$9,236.32	$8,324.62	$911.70
ALLERGAN INC	018490102	250	03/31/10	10/25/11	$21,958.37	$16,380 40	$5,577.97
ALLERGAN INC	018490102	125	08/06/10	10/25/11	$10,979.19	$7,948.13	$3,031.06
ALPHA NAT RES INC	02076X102	150	08/26/10	10/25/11	$3,377.18	$5,522.25	$-2,145.07
AMAZON COM INC	023135106	125	01/28/10	10/25/11	$28,400.07	$15,590.01	$12,810.06
AMERICAN TOWER SYS CORP CL A	029912201	250	03/04/10	10/25/11	$14,063 47	$10,708.75	$3,354.72
AMGEN INC	031162100	175	11/04/09	10/25/11	$9,940.87	$9,113.13	$827.74
APPLE COMPUTER INC	037833100	75	01/28/10	10/25/11	$29,901.55	$14,914.13	$14,987.42
APPLE COMPUTER INC	037833100	65	12/29/06	10/25/11	$25,914.67	$5,517.04	$20,397.63
CELANESE CORP DEL SER A	150870103	250	07/24/09	10/25/11	$10,256.33	$6,412 25	$3,844.08
MICROSOFT CORP	594918104	250	11/10/08	10/25/11	$6,723.62	$5,393.88	$1,329.74
NORDSTROM INC	655664100	150	11/04/09	10/25/11	$7,619.10	$4,930.32	$2,688 78
PARKER-HANNIFIN CP	701094104	150	11/04/09	10/25/11	$11,735.97	$8,232.74	$3,503.23
PARKER-HANNIFIN CP	701094104	120	03/19/09	10/25/11	$9,388.77	$3,929.70	$5,459.07
PEPSICO INC	713448108	150	08/05/09	10/25/11	$9,333.59	$8,782 27	$551 32
PEPSICO INC	713448108	50	09/09/09	10/25/11	$3,111.19	$2,897.11	$214.08
PHILIP MORRIS INTL INC	718172109	150	11/04/09	10/25/11	$10,354.78	$7,161.75	$3,193.03
PHILIP MORRIS INTL INC	718172109	125	01/28/10	10/25/11	$8,628.98	$5,757.81	$2,871 17
PRICE T ROWE GROUP INC	74144T108	150	02/24/10	10/25/11	$7,754.76	$7,571 25	$183 51
PRICE T ROWE GROUP INC	74144T108	200	08/06/10	10/25/11	$10,339.68	$9,977 00	$362 68
PRUDENTIAL FINL INC	744320102	200	01/28/10	10/25/11	$10,552.84	$10,055.00	$497.84
PRUDENTIAL FINL INC	744320102	200	06/24/09	10/25/11	$10,552.83	$7,406.70	$3,146 13

Long Term Noncovered Security Transactions (Form 8949, Part II, Box B)

Description (Box 9)	Cusip	Number of shares	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2)	Cost or other basis	Net gain or loss
QUESTAR CORP	748356102	150	03/04/10	10/25/11	$2,914 47	$2,090.43	$824.04
QUESTAR CORP	748356102	250	01/28/10	10/25/11	$4,857.46	$3,478.88	$1,378 58
RIO TINTO PLC SPONSORED ADR	767204100	100	03/04/10	10/25/11	$5,228.40	$5,440.87	$-212.47
RIO TINTO PLC SPONSORED ADR	767204100	100	12/22/09	10/25/11	$5,228.40	$5,148.63	$79.77
RIO TINTO PLC SPONSORED ADR	767204100	100	01/28/10	10/25/11	$5,228.39	$4,921.88	$306.51
SEMPRA ENERGY	816851109	275	11/04/09	10/25/11	$14,827.32	$13,848 84	$978.48
TJX COS INC NEW	872540109	75	03/19/10	10/25/11	$4,448.53	$3,231.06	$1,217.47
THERMO ELECTRON CORP	883556102	150	09/24/09	10/25/11	$8,073.62	$6,777.75	$1,295.87
THERMO ELECTRON CORP	883556102	80	10/05/09	10/25/11	$4,305.93	$3,560 09	$745.84
THERMO ELECTRON CORP	883556102	100	11/04/09	10/25/11	$5,382.42	$4,437 50	$944.92
UNITED PARCEL SVC INC CL B	911312106	100	02/24/10	10/25/11	$6,895.36	$5,864.50	$1,030 86
UNITED PARCEL SVC INC CL B	911312106	150	10/02/09	10/25/11	$10,343.05	$8,228.25	$2,114.80
UNITED TECHNOLOGIES CORP	913017109	75	01/28/10	10/25/11	$5,677.02	$5,018.29	$658.73
UNITED TECHNOLOGIES CORP	913017109	250	11/04/09	10/25/11	$18,923.38	$16,065.58	$2,857.80
UNITED TECHNOLOGIES CORP	913017109	245	10/23/01	10/25/11	$18,544.92	$6,513 32	$12,031.60
TYCO INTL LTD	H89128104	300	01/28/10	10/25/11	$13,573 24	$10,840.50	$2,732.74
TYCO INTL LTD	H89128104	100	08/04/09	10/25/11	$4,524.41	$3,067.50	$1,456.91
TYCO INTL LTD	H89128104	200	07/31/09	10/25/11	$9,048.82	$6,083 78	$2,965 04
MICROSOFT CORP	594918104	175	11/04/09	10/25/11	$4,706 53	$4,930 15	$-223.62
COLUMBIA FDS SER TR MID CAP VALUE	19765J830	340.35	09/08/08	12/02/11	$4,336.00	$4,404.06	$-68.06
COLUMBIA FDS SER TR MID CAP VALUE	19765J830	1216.28	01/16/08	12/02/11	$15,495.34	$16,346.74	$-851.40
COLUMBIA FDS SER TR I SMALL CAP	19765P810	1784.44	10/23/01	12/02/11	$27,373.31	$25,000.00	$2,373.31
COLUMBIA FDS SER TR I SMALL CAP	19765P810	4324.14	08/10/01	12/02/11	$66,332 37	$65,208 09	$1,124.28
Total long term gain/loss from noncovered security transactions not subject to wash sale:					$1,491,269.65	$1,287,409.43	$203,860.22

Total long term gain/loss from noncovered security transactions:	Gross Proceeds	Tax Cost	Gain or Loss	Loss Disallowed	Net Gain or Loss
Report each transaction on Form 8949, Part II, Box B	$1,491,269.65	$1,287,409.43	$203,860.22	$0.00	$203,860.22

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RECIPIENT'S ACCOUNT NUMBER
352-18807

1099-DIV (cont) RBC Capital Markets, LLC - Dividends

N Non-qualified dividend

1099-B RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

Please note that cost basis information for items marked as noncovered is not reported to the IRS. Please see enclosed insert for additional information.

BOXES 1a, 1b, 2, 3, 5, 6, 8 & 9 - Stocks, bonds, etc.

SECURITY ID & DESCRIPTION

DATE OF SALE (Box 1a)	NOTES	QUANTITY (Box 9)	NET PROCEEDS (Box 2)	DATE OF ACQUISITION (Box 1b)	NET COST (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	NON-COVERED (Box 6)	TERM (Box 8)
04778CAG0	ATLANTA GA INDPT SCH SYS CTFS EDUCATION REFORM SUCCESS INC REV 2011A							
08/26/11		250,000.000	259,994 00	6/14/2011	257,066 72		X	SHORT
09/26/11		270,000.000	284,844.00	6/14/2011	277,599.87		X	SHORT
167505NH5	CHICAGO ILL BRD ED GO BDS PRIMARY/SECONDARY EDUCATION G/O 2010-D							
06/14/11		350,000.000	355,244.00	11/3/2010	356,212.71		X	SHORT
167486MK2	CHICAGO ILL TAXABLE GO BDS GENERAL PURPOSE G/O 2010B							
06/14/11		140,000.000	157,319 00	VARIOUS	144,319.25		X	SHORT
235034AR6	DALLAS-FORT WORTH TEX INTL AIRPORTS REV 1998							
08/01/11		20,000.000	20,000.00	6/19/2003	20,000.00		X	LONG
31358UKQ8	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT							
01/25/11	P	0.000	43.91	6/24/1997	37.32		X	LONG
02/25/11	P	0.000	38.56	6/24/1997	32.78		X	LONG
03/25/11	P	0.000	29.03	6/24/1997	24 68		X	LONG
04/25/11	P	0.000	63.72	6/24/1997	54.16		X	LONG
05/25/11	P	0.000	40.35	6/24/1997	34.30		X	LONG
06/27/11	P	0.000	53.42	6/24/1997	45.41		X	LONG
07/25/11	P	0.000	63.04	6/24/1997	53.58		X	LONG
08/25/11	P	0.000	39 58	6/24/1997	33.64		X	LONG
09/26/11	P	0.000	39.81	6/24/1997	33.84		X	LONG
10/25/11	P	0.000	42.11	6/24/1997	35.79		X	LONG
11/25/11	P	0.000	39 73	6/24/1997	33.77		X	LONG
12/27/11	P	0.000	32 80	6/24/1997	27.88		X	LONG
452151LF8	ILLINOIS ST G/O PENSION GENERAL PURPOSE G/O JUNE-2003							
05/16/11		520,000.000	439,394.00	VARIOUS	442,478.55		X	LONG
5280961	IRIDIUM OPERATING ESCROW CUSIP							
01/06/11		195,000 000	174.02		0.00		X	UNKNOWN
64967BAA0	NEW YORK N Y CITY INDL DEV YANKEE STADIUM REV 2006							
09/21/11		550,000.000	493,069.00	5/16/2011	460,356.00		X	SHORT

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RECIPIENT'S ACCOUNT NUMBER
352-18807

1099-B (cont) RBC Capital Markets, LLC - Sales, Redemptions, and Returns of Principal

BOXES 1a, 1b, 2, 3, 5, 6, 8 & 9 - Stocks, bonds etc. (cont)

SECURITY ID & DESCRIPTION

DATE OF SALE (Box 1a)	NOTES	QUANTITY (Box 9)	NET PROCEEDS (Box 2)	DATE OF ACQUISITION (Box 1b)	NET COST (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	NON-COVERED (Box 6)	TERM (Box 8)
93934FGQ9 WASHINGTON MUTUAL MORTGAGE PTC WMALT MTGPC/SERIES 2005-10 4-CB-5-FIXED RT								
12/25/11	P	0.000	746.44	12/29/2006	746.44		[x]	LONG

	NET PROCEEDS	NET COST		WASH SALE LOSS DISALLOWED	NON-COVERED	TERM
Boxes 2, 3 & 5 SUBTOTALS	$174.02	$0.00	174.02	$0.00	[x]	UNKNOWN
	$1,550,470.00	$1,495,554.55	54,915.45	$0.00	[x]	SHORT
	$460,666.50	$463,672.14	(3,005.64)	$0.00	[x]	LONG
	$0.00	$0.00		$0.00	[ ]	SHORT
	$0.00	$0.00		$0.00	[ ]	LONG
Boxes 2, 3 & 5 TOTAL	$2,011,310.52	$1,959,226.69		$0.00		

P Return of principal or liquidation payment on securities. This may be subject to capital gain or loss; consult your tax advisor.

The following information is provided for your use in preparing tax returns for this and subsequent years; it is not furnished to the IRS

Important REMIC/CMO and WHFIT Information

The following is a list of Real Estate Mortgage Investment Conduit (REMIC) and/or Widely Held Fixed Income Trusts (WHFIT) securities you held during 2011 These securities generated interest and/or original issue discount income which will be reported to you on a separate substitute Form 1099 by March 15, 2012, per IRS regulations. You may want to delay filing your individual tax return until you receive this information.

SECURITY ID & DESCRIPTION

31358UKQ8 FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT
93934FGQ9 WASHINGTON MUTUAL MORTGAGE PTC WMALT MTGPC/SERIES 2005-10 4-CB-5-FIXED RT

Accrued Interest on Taxable Bond Purchases

SECURITY ID & DESCRIPTION

TRADE DATE	QUANTITY	AMOUNT	TRADE DATE	QUANTITY	AMOUNT
04778CAG0 ATLANTA GA INDPT SCH SYS CTFS EDUCATION REFORM SUCCESS INC REV 2011A					
06/14/11	520,000.000	$551.76			
			TOTAL		$551.76
072887TS1 BAYONNE N J G/O RFDG PENSION GENERAL PURPOSE G/O 2003					
04/04/11	35,000.000	$452.82			
			TOTAL		$452.82
167486MK2 CHICAGO ILL TAXABLE GO BDS GENERAL PURPOSE G/O 2010B					
01/03/11	10,000.000	$45.94			
			TOTAL		$45.94

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Schedule of Realized Gains and Losses

The detailed gain and loss information in this section is provided as a service to our clients. This information may not be furnished to the IRS in its entirety. Information reported to the IRS is shown on Form 1099-B.

This section includes a summary of the short- or long-term gain and loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less and "long-term" refers to securities held for more than one year. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. Cost basis information may also reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 550 and 1212 for more information and consult your tax advisor, as RBC does not provide tax advice.

Term Unknown

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
195,000.000	IRIDIUM OPERATING ESCROW CUSIP 10.875% DUE 07/15/2005 CUSIP. 462991AC4 SEE FOOTNOTES: A	[X]	N/A	01/06/11	174.02	N/A	N/A
	Term Unknown Subtotal				**174.02**		**0.00**

orig bought 6/2/98 202073-75 1/10 exchanged for this and recd $1904.97

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
250,000.000	ATLANTA GA INDPT SCH SYS CTFS EDUCATION REFORM SUCCESS INC REV 2011A 5.457% DUE 03/01/2025 ORIGINAL COST. 257,127.88, PREMIUM AMORTIZED 61.16, ORIGINAL PRICE: 102.850 CUSIP. 04778CAG0	[X]	06/14/11	08/26/11	259,994.00	257,066.72	2,927.28
270,000.000	ATLANTA GA INDPT SCH SYS CTFS EDUCATION REFORM SUCCESS INC REV 2011A 5.457% DUE 03/01/2025 ORIGINAL COST: 277,698.12, PREMIUM AMORTIZED: 98 25, ORIGINAL PRICE: 102 850 CUSIP: 04778CAG0	[X]	06/14/11	09/26/11	284,844.00	277,599.87	7,244.13
350,000.000	CHICAGO ILL BRD ED GO BDS PRIMARY/SECONDARY EDUCATION G/O 2010-D 6.519% DUE 12/01/2040 ORIGINAL COST: 356,257 00, PREMIUM AMORTIZED 44.29, ORIGINAL PRICE: 101 786 CUSIP: 167505NH5	[X]	11/03/10	06/14/11	355,244.00	356,212.71	-968.71
10,000.000	CHICAGO ILL TAXABLE GO BDS GENERAL PURPOSE G/O 2010B 7.517% DUE 01/01/2040 ORIGINAL COST: 10,306.00, PREMIUM AMORTIZED: 1.23, ORIGINAL PRICE: 103.000 CUSIP: 167486MK2	[X]	01/03/11	06/14/11	11,237.07	10,304.77	932.23

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KRIEGER CHARITABLE TRUST
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RECIPIENT'S ACCOUNT NUMBER
352-18807

Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
130,000.000	CHICAGO ILL TAXABLE GO BDS GENERAL PURPOSE G/O 2010B 7.517% DUE 01/01/2040 ORIGINAL COST: 134,036.00, PREMIUM AMORTIZED: 21.52, ORIGINAL PRICE: 103.100 CUSIP: 167486MK2	X	12/02/10	06/14/11	146,081.93	134,014.48	12,066.52
550,000.000	NEW YORK N Y CITY INDL DEV YANKEE STADIUM REV 2006 5.900% DUE 03/01/2046 CUSIP: 64967BAA0	X	05/16/11	09/21/11	493,069.00	460,356.00	32,713.00
	Short-Term Subtotal				**1,550,470.00**		**54,914.45**

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
21,000.000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5.750% DUE 04/25/2023 ADJUSTED QUANTITY: 43.910, ORIGINAL COST: 37.32, PURCHASE FACTOR: 0.00209095, SALE FACTOR: 0.00209095	X	06/24/97	01/25/11	43.91	37.32	6.59
21,000.000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5.750% DUE 04/25/2023 ADJUSTED QUANTITY: 38.560, ORIGINAL COST: 32.78, PURCHASE FACTOR: 0.00183619, SALE FACTOR: 0.00183619	X	06/24/97	02/25/11	38.56	32.78	5.78
21,000.000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5.750% DUE 04/25/2023 ADJUSTED QUANTITY: 29.030, ORIGINAL COST: 24.68, PURCHASE FACTOR: 0.00138238, SALE FACTOR: 0.00138238	X	06/24/97	03/25/11	29.03	24.68	4.35
21,000.000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5.750% DUE 04/25/2023 ADJUSTED QUANTITY: 63.720, ORIGINAL COST: 54.16, PURCHASE FACTOR: 0.00303429, SALE FACTOR: 0.00303429	X	06/24/97	04/25/11	63.72	54.16	9.56
21,000.000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5.750% DUE 04/25/2023 ADJUSTED QUANTITY: 40.350, ORIGINAL COST: 34.30, PURCHASE FACTOR: 0.00192143, SALE FACTOR: 0.00192143	X	06/24/97	05/25/11	40.35	34.30	6.05

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Schedule of Realized Gains and Losses (cont)

Long Term (cont)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
21,000.000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5 750% DUE 04/25/2023 ADJUSTED QUANTITY: 53.420, ORIGINAL COST: 45.41, PURCHASE FACTOR 0.00254381, SALE FACTOR 0.00254381	[X]	06/24/97	06/27/11	53.42	45.41	8.01
21,000 000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5.750% DUE 04/25/2023 ADJUSTED QUANTITY: 63.040, ORIGINAL COST. 53.58, PURCHASE FACTOR: 0.0030019, SALE FACTOR 0.0030019	[X]	06/24/97	07/25/11	63 04	53.58	9 46
21,000.000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5.750% DUE 04/25/2023 ADJUSTED QUANTITY 39.580, ORIGINAL COST: 33.64, PURCHASE FACTOR: 0 00188476, SALE FACTOR: 0.00188476	[X]	06/24/97	08/25/11	39.58	33 64	5 94
21,000.000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5.750% DUE 04/25/2023 ADJUSTED QUANTITY: 39 810, ORIGINAL COST: 33.84, PURCHASE FACTOR. 0 00189571, SALE FACTOR: 0.00189571	[X]	06/24/97	09/26/11	39.81	33.84	5.97
21,000.000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5.750% DUE 04/25/2023 ADJUSTED QUANTITY: 42.110, ORIGINAL COST: 35.79, PURCHASE FACTOR: 0.00200524, SALE FACTOR: 0.00200524	[X]	06/24/97	10/25/11	42.11	35.79	6.32
21,000.000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5.750% DUE 04/25/2023 ADJUSTED QUANTITY: 39.730, ORIGINAL COST: 33.77, PURCHASE FACTOR: 0.0018919, SALE FACTOR. 0.0018919	[X]	06/24/97	11/25/11	39.73	33.77	5.96
21,000.000*	FANNIE MAE REMIC TRUST CMO/SERIES 1993-53 53-K-FIXED RT 5.750% DUE 04/25/2023 ADJUSTED QUANTITY. 32.800, ORIGINAL COST: 27.88, PURCHASE FACTOR: 0.0015619, SALE FACTOR. 0.0015619	[X]	06/24/97	12/27/11	32.80	27.88	4.92
485,000.000	ILLINOIS ST G/O PENSION GENERAL PURPOSE G/O JUNE-2003 5.100% DUE 06/01/2033	[X]	02/18/10	05/16/11	409,819.40	412,256.00	-2,436.60
35,000.000	ILLINOIS ST G/O PENSION GENERAL PURPOSE	[X]	04/30/09	05/16/11	29,574.60	30,222.55	-647.95

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	G/O JUNE-2003 5.100% DUE 06/01/2033						
100,000.000*	WASHINGTON MUTUAL MORTGAGE PTC WMALT MTGPC/SERIES 2005-10 4-CB-5-FIXED RT 6 000% DUE 12/25/2035 ADJUSTED QUANTITY: 746.440, ORIGINAL COST 746.44, PURCHASE FACTOR: 0 0074644, SALE FACTOR. 0.0074644	X	12/29/06	12/25/11	746.44	746.44	0.00
20,000.000	DALLAS FORT WORTH TEX INTL FAC IMPT CORP RENT CAR FAC CHARGE-REV 7.070% DUE 11/01/2024 ORIGINAL COST: 23,254 40, PREMIUM AMORTIZED: 3,254 40, ORIGINAL PRICE: 116 272	X	06/19/03	08/01/11	20,000.00	20,000.00	0.00
	Long-Term Subtotal				**460,666.50**		**-3,005.64**
Total Gains and Losses					**2,011,310.52**		**51,908.81**
	Short-Term						**54,914.45**
	Long-Term						**-3,005.64**
	Term Unknown						**0.00**

* Return of principal. Quantity represents face value.

A Certain cost basis information is not available for this tax lot, therefore, gain/loss and term are not known. Please contact your Financial Advisor for more information.

Charities KCT 2011	
ADL	300
Aish.com	500
AJC	250
AJWS	400
Alz Dis&Rel Disord Assoc	500
Alzheimer Dis Research	500
Am Frnd Magen Dovid Adom	600
Am Frnds Tel Aviv U	2000
Amer Jewish Historical Soc	200
Amer Printg House for Blind	150
American Cancer Society	200
American Heart Assoc	100
American Kidney Foundatn	150
American Technion	37000
ARC	150
Armenian Missionary Assoc	300
Barnert Temple	5000
Beth Israel Deaconess Med	500
B'nai Brith	200
Boys & Girsl Club Clifton	800
Boys Town Jerusalem	150
Brookview House	5000
CCFA	200
CLAL	150
Clifton Jewish Center	1300
CNJG	760
Combined J Philanthropies	800
Comm Food Bank of NJ	1000
CPNJ-Horizon High Schl	500
Cumberland College	500
Daughters of Miriam	3000
Eye Bank for Sight Restorati	100
Family Service of Marin	3500
FDU Annual Fund	150
Foundation for Jewish Camp	150
Friends and Families United	2000
Friendship Circle	1000
Frmr Mmbrs Congress	1600
Gift of Life America	5000
Glass Roots	150
Great Notch Fire Co	100
Hadassah	100
Harvard Law Schl Fund	10000
Help Hospitalized Vets	100
Israel Project,The	1000
Israel Venture Network	1000
J Community Day School	6500
J Fam & Child Svc Boston	12000
J Found for the Righteous	150
JCC Associates	200

Jewish Braille Institute	200
JFS Clifton	500
JNF	15000
JOI	750
JWV	150
Komen Foundation	200
Manhattan Theater Club	2700
Masorti Foundation	150
MDA	100
Montclair Art Museum	5000
Moving Traditions	150
Natl Osteoporosis Found	100
Natnl Kidney Fndtn	150
Nature Conservancy	150
NDI	500
Newark Holiday Fund	150
Newton Tree Conservancy	4500
NJY Camps	35000
Occupational Knowledge	2000
Operation Smile	100
Parkinsons Disease Found	500
Paterson Habitat	200
Planned Parenthood Metro	150
Presby Iris Gardens	150
Recording for the Blind	200
Ronald McDonald House	100
Rutgers U Foundation	100000
Schl for Child w Hidden Intel	500
Seeing Eye	150
Senior Care & Activities Cntr	100
Solomon Schecter Boston	20000
Temple Emanuel	5000
Temple Hillel Bnai Torah	3170
Time Out Club	150
United Way North Essex	200
United Way Passaic Cnty	200
USO	100
Washington Institute	10000
Wellesley College	35000
West Point Jewish Chapel	150
	351530