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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
MICHAEL P BERGERON FOUNDATION INC

Number and street (or P O box number if mail is not delivered to street address)
49 GLEN RIDGE DRIVE

Room/suite

City or town, state, and ZIP code
LONG VALLEY, NJ 08753

A Employer identification number
22-3589110

B Telephone number (see page 10 of the instructions)
(973) 850-8739

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 384,922

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	290	290		
	4 Dividends and interest from securities.	6,613	6,613		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	44,261			
	b Gross sales price for all assets on line 6a _____ 280,553				
	7 Capital gain net income (from Part IV, line 2)		1,353		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	51,164	8,256		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule). 	7,305	1,826		
	c Other professional fees (attach schedule) 	872	872		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule). 	137	137		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	8,314	2,835		0
	25 Contributions, gifts, grants paid	96,650			96,650
	26 Total expenses and disbursements. Add lines 24 and 25	104,964	2,835		96,650
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-53,800			
	b Net investment income (if negative, enter -0-)		5,421		
c Adjusted net income (if negative, enter -0-)					

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	44,314	40,328	40,328
	2	Savings and temporary cash investments	58,658	58,944	58,944
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	226,763	189,666	181,655
	c	Investments—corporate bonds (attach schedule).	109,841	98,431	103,764
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,824	231	231
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	441,400	387,600	384,922	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	441,400	387,600	
	30	Total net assets or fund balances (see page 17 of the instructions)	441,400	387,600	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	441,400	387,600		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	441,400
2	Enter amount from Part I, line 27a	2	-53,800
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	387,600
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	387,600

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)	
1a					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a					
b					
c					
d					
e					
2	Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7				21,353
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8				3

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries				
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))	
2010	197,333	572,567	0 344646	
2009	197,101	692,370	0 284676	
2008	249,735	1,028,781	0 242748	
2007	270,464	1,387,230	0 194967	
2006	206,191	1,527,240	0 135009	
2 Total of line 1, column (d).			2	1 202046
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3	0 240409
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.			4	455,370
5 Multiply line 4 by line 3.			5	109,475
6 Enter 1% of net investment income (1% of Part I, line 27b).			6	54
7 Add lines 5 and 6.			7	109,529
8 Enter qualifying distributions from Part XII, line 4.			8	96,650
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18				

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	108
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	108
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	108
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,979	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	1,979
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,871
11		Enter the amount of line 10 to be Credited to 2012 estimated tax 1,871	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address  N/A	13	Yes	
14	The books are in care of  LESHNER FRANCHINO CO LLP Telephone no  (973) 539-1800 Located at  19 CATTANO AVE MORRISTOWN NJ ZIP +4  07960			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here  and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country 				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.  Yes  No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.  Yes  No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).  Yes  No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. 	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?.  Yes  No If "Yes," list the years  20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.  Yes  No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	5b		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	337,745
b	Average of monthly cash balances.	1b	124,560
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	462,305
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	462,305
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	6,935
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	455,370
6	Minimum investment return. Enter 5% of line 5.	6	22,769

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	22,769
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	108
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	108
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	22,661
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	22,661
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	22,661

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	96,650
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	96,650
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	96,650
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				22,661
2	Undistributed income, if any, as of the end of 2011				
a	Enter amount for 2010 only.				
b	Total for prior years 20____, 20____, 20____				
3	Excess distributions carryover, if any, to 2011				
a	From 2006.				131,127
b	From 2007.				205,874
c	From 2008.				198,776
d	From 2009.				162,710
e	From 2010.				168,839
f	Total of lines 3a through e.	867,326			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 96,650				
a	Applied to 2010, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .				
d	Applied to 2011 distributable amount.				22,661
e	Remaining amount distributed out of corpus	73,989			
5	Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	941,315			
b	Prior years' undistributed income Subtract line 4b from line 2b.				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .				
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).				
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).	131,127			
9	Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a.	810,188			
10	Analysis of line 9				
a	Excess from 2007. . . .				205,874
b	Excess from 2008. . . .				198,776
c	Excess from 2009. . . .				162,710
d	Excess from 2010. . . .				168,839
e	Excess from 2011. . . .				73,989

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	96,650
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	290	
4 Dividends and interest from securities. . . .			14	6,613	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,353	42,908
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e). .				8,256	42,908
13 Total. Add line 12, columns (b), (d), and (e).			13		51,164

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

[illegible]

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
	*****			2012-10-15	*****
	Signature of officer or trustee			Date	Title
	Paid Preparer's Use Only	Preparer's Signature MICHAEL J FRANCHINO		Date 2012-11-07	Check if self-employed ☒ PTIN
		Firm's name LESHNER FRANCHINO & COMPANY			Firm's EIN
19 CATTANO AVENUE					
Firm's address MORRISTOWN, NJ 079606839			Phone no (973) 539-1800		

May the IRS discuss this return with the preparer shown above? See instructions ☐ Yes ☒ No

Additional Data

Software ID:
Software Version:
EIN: 22-3589110
Name: MICHAEL P BERGERON FOUNDATION INC

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EDMOND J BERGERON  49 GLEN RIDGE DR LONG VALLEY, NJ 07853	PRESIDENT 1 00	0	0	0
JUDITH A BERGERON  49 GLEN RIDGE DR LONG VALLEY, NJ 07853	VICE PRES 1 00	0	0	0
DIANE BERGERON  262 FIRST ST APT D HOBOKEN, NJ 07030	TRUSTEE 1 00	0	0	0
CHRISTINE BERGERON  262 FIRST ST APT D HOBOKEN, NJ 07030	TRUSTEE 1 00	0	0	0
KAREN BERGERON  49 GLEN RIDGE DR LONG VALLEY, NJ 07853	TRUSTEE 1 00	0	0	0
JON BERGERON  49 GLEN RIDGE DR LONG VALLEY, NJ 07853	TRUSTEE 1 00	0	0	0
DAVID BERGERON  49 GLEN RIDGE DR LONG VALLEY, NJ 07853	TRUSTEE 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN CENTER OF LAW AND JUSTICE201 MARYLAND AVENUE NORTH WASHINGTON,DC 20002		EXEMPT	GENERAL PURPOSE CONTRIBUTION	500
APOSTOLATE FOR FAMILY CONNECTIONS3375 COUNTRY RD 36 BLOOMINGDALE,OH 43910		EXEMPT	GENERAL PURPOSE CONTRIBUTION	1,500
ARC OF ESSEX COUNTY123 NAYLON AVE LIVINGSTON,NJ 07039		EXEMPT	GENERAL PURPOSE CONTRIBUTION	8,000
BLESSED POPE JOHN XXIII16235 NORTH 60TH ST SCOTTSDALE,AZ 85254		EXEMPT	GENERAL PURPOSE CONTRIBUTION	2,000
CENTER FOR BIOETHICAL REFORMPO BOX 219 LAKE FOREST,CA 92609		EXEMPT	GENERAL PURPOSE CONTRIBUTION	500
CHILDHOOD CANCER RESEARCH 7301 OHMS LANE SUITE 460 MINNEAPOLIS,MN 55439		EXEMPT	GENERAL PURPOSE CONTRIBUTION	200
CHILDRENS MIRACLE NETWORK 4525 SOUTH 2500 EAST SALT LAKE CITY,UT 84117		EXEMPT	GENERAL PURPOSE CONTRIBUTION	5,000
CHRIST CHURCH OF THE VALLEY 7007 WEST HAPPY VALLEY RD PEORIA,AZ 85383		EXEMPT	GENERAL PURPOSE CONTRIBUTION	1,500
CLEVELAND DIOCESAN MISSION 1404 EAST 9TH ST CLEVELAND,OH 44114		EXEMPT	GENERAL PURPOSE CONTRIBUTION	3,000
CLEVELAND SOCIAL VENTURE PARTNERS10819 MAGNOLIA DR SUITE CLEVELAND,OH 44106		EXEMPT	GENERAL PURPOSE CONTRIBUTION	6,000
COMMUNITY FOOD BANK OF NJ31 EVANS TERMINAL HILLSIDE,NJ 07205		EXEMPT	GENERAL PURPOSE CONTRIBUTION	250
COVENANT HOUSETIMES SQUARE STATION PO B NEW YORK,NY 10108		EXEMPT	GENERAL PURPOSE CONTRIBUTION	1,000
DIOCESE OF PATERSON NJ77 VALLEY RD CLIFTON,NJ 07013		EXEMPT	GENERAL PURPOSE CONTRIBUTION	2,500
DOCTORS WITHOUT BORDERS333 SEVENTH AVENUE 2ND FL NEW YORK,NY 10001		EXEMPT	GENERAL PURPOSE CONTRIBUTION	500
DRESS FOR SUCCESS CLEVELAND 1495 WARREN RD LAKEWOOD,OH 44107		EXEMPT	GENERAL PURPOSE CONTRIBUTION	500
EASTER SEALS OF NJ25 KENNEDY BLVD SUITE 600 EAST BRUNSWICK,NJ 08816		EXEMPT	GENERAL PURPOSE CONTRIBUTION	2,250
EMILY CENTER1919 E THOMAS RD PHOENIX,AZ 85016		EXEMPT	GENERAL PURPOSE CONTRIBUTION	500
EVA'S VILLAGE393 MAIN ST PATERSON,NJ 07501		EXEMPT	GENERAL PURPOSE CONTRIBUTION	2,500
FRATERNAL ORDER OF POLICE NJ 108 WEST STATE ST TRENTON,NJ 08608		EXEMPT	GENERAL PURPOSE CONTRIBUTION	200
GLOBAL FAMILY PHILANTHROPY 10810 NORTH TATUM BLVD SU PHOENIX,AZ 85028		EXEMPT	GENERAL PURPOSE CONTRIBUTION	250
HOBOKEN SHELTER300 BLOOMFIELD ST HOBOKEN,NJ 07030		EXEMPT	GENERAL PURPOSE CONTRIBUTION	3,500
IMMACULATE HEART RADIO3256 PENRYN RD SUITE 100 LOOMIS,CA 95650		EXEMPT	GENERAL PURPOSE CONTRIBUTION	1,250
IN JESUS' NAME MINISTRIES400 WILLOWAVE HOBOKEN,NJ 07030		EXEMPT	GENERAL PURPOSE CONTRIBUTION	7,500
LIFE CHOICES411 SOUTH MAIN ST PHILIPSBURG,NJ 08865		EXEMPT	GENERAL PURPOSE CONTRIBUTION	2,500
LIFE NETPO BOX 1800 MONTCLAIR,NJ 07042		EXEMPT	GENERAL PURPOSE CONTRIBUTION	500
LIFE REFORMATION CENTER5724 S 249TH DR BUCKEYE,AZ 85326		EXEMPT	GENERAL PURPOSE CONTRIBUTION	500
MAGGIE'S PLACEPO BOX 1102 PHOENIX,AZ 85001		EXEMPT	GENERAL PURPOSE CONTRIBUTION	5,250
MARIANIST FAMILY RETREAT CENTER417 YALE AVENUE CAPE MAY POINT,NJ 08212		EXEMPT	GENERAL PURPOSE CONTRIBUTION	500
OPERATION SMILE6435 TIDEWATER DR NORFOLK,VA 23509		EXEMPT	GENERAL PURPOSE CONTRIBUTION	250
OUR LADY OF THE MOUNTAIN2 EAST SPRINGTOWN RD LONG VALLEY,NJ 07853		EXEMPT	GENERAL PURPOSE CONTRIBUTION	9,300

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PAUL HARRIS FOUNDATIONONE ROTARY CENTER 1560 SH EVANSTON,IL 60201		EXEMPT	GENERAL PURPOSE CONTRIBUTION	1,000
PINE HILL WALDORF SCHOOL77 PINE HILL DR WILTON,NH 03086		EXEMPT	GENERAL PURPOSE CONTRIBUTION	8,000
RONALD MCDONALD HOUSE OF NJ 131 BATH AVE LONG BRANCH,NJ 07740		EXEMPT	GENERAL PURPOSE CONTRIBUTION	500
SCOTTSDALE ROTARY CLUB FOUNDATIONPO BOX 5633 SCOTTSDALE,AZ 85261		EXEMPT	GENERAL PURPOSE CONTRIBUTION	1,200
SENTINELS OF FREEDOMPO BOX 1316 SAN RAMON,CA 94583		EXEMPT	GENERAL PURPOSE CONTRIBUTION	500
SEVERAL SOURCES FOUNDATION PO BOX 157 RAMSEY,NJ 07446		EXEMPT	GENERAL PURPOSE CONTRIBUTION	250
ST ANTHONY'S CATHOLIC HIGH SCHOOL175 EIGHTH ST JERSEY CITY,NJ 07302		EXEMPT	GENERAL PURPOSE CONTRIBUTION	2,500
ST THOMAS THE APOSTLE CHURCH4510 N 24TH ST PHOENIX,AZ 85016		EXEMPT	GENERAL PURPOSE CONTRIBUTION	4,500
UMOM NEW DAY CENTERS333 E VAN BUREN ST PHOENIX,AZ 85008		EXEMPT	GENERAL PURPOSE CONTRIBUTION	4,500
WOMANKIND INC3142 NORTHSIDE DR BLDG A KEY WEST,FL 33040		EXEMPT	GENERAL PURPOSE CONTRIBUTION	4,000
Total  3a				96,650

TY 2011 Accounting Fees Schedule

Name: MICHAEL P BERGERON FOUNDATION INC

EIN: 22-3589110

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
	7,305	1,826		

TY 2011 Compensation Explanation**Name:** MICHAEL P BERGERON FOUNDATION INC**EIN:** 22-3589110

Person Name	Explanation
EDMOND J BERGERON	
JUDITH A BERGERON	
DIANE BERGERON	
CHRISTINE BERGERON	
KAREN BERGERON	
JON BERGERON	
DAVID BERGERON	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Gain/Loss from Sale of Other Assets Schedule

Name: MICHAEL P BERGERON FOUNDATION INC
EIN: 22-3589110

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
		PURCHASE								
7 SHS ADOBE SYSTEMS INC CMN	2010-10	PURCHASE	2011-01		236	197			39	
6 SHS ADOBE SYSTEMS INC CMN	2010-10	PURCHASE	2011-01		203	169			34	
18 SHS ADOBE SYSTEMS INC CMN	2010-11	PURCHASE	2011-01		608	507			101	
40 SHS ADOBE SYSTEMS INC CMN	2009-05	PURCHASE	2011-01		1,351	1,099			252	
16 SHS ADOBE SYSTEMS INC CMN	2009-05	PURCHASE	2011-01		540	420			120	
4 SHS ADOBE SYSTEMS INC CMN	2010-02	PURCHASE	2011-01		135	128			7	
33 SHS ADOBE SYSTEMS INC CMN	2010-09	PURCHASE	2011-01		1,114	870			244	
6 SHS ADOBE SYSTEMS INC CMN	2009-07	PURCHASE	2011-01		203	193			10	
10 SHS ADOBE SYSTEMS INC CMN	2010-07	PURCHASE	2011-01		338	266			72	
12 SHS AFLAC INCORPORATED CMN	2009-08	PURCHASE	2011-01		682	494			188	
5 SHS AFLAC INCORPORATED CMN	2009-05	PURCHASE	2011-01		284	181			103	
4 SHS AFLAC INCORPORATED CMN	2009-08	PURCHASE	2011-01		227	165			62	
10 SHS AFLAC INCORPORATED CMN	2010-06	PURCHASE	2011-01		568	442			126	
7 SHS ALLERGAN INC CMN	2008-04	PURCHASE	2011-01		500	398			102	
10 SHS ALLERGAN INC CMN	2008-10	PURCHASE	2011-01		715	337			378	
14 SHS ALLERGAN INC CMN	2007-03	PURCHASE	2011-01		1,001	776			225	
27 SHS ALLERGAN INC CMN	2005-10	PURCHASE	2011-01		1,930	1,201			729	
5 SHS ALLERGAN INC CMN	2009-07	PURCHASE	2011-01		357	236			121	
2 SHS ALLERGAN INC CMN	2011-01	PURCHASE	2011-01		143	145			-2	
24 SHS ALLSTATE CORPORATION COMMON S	2010-06	PURCHASE	2011-01		735	730			5	
5 SHS AMERICAN ELECTRIC POWER INC CM	2010-06	PURCHASE	2011-01		179	166			13	
18 SHS AMERICAN ELECTRIC POWER INC C	2010-06	PURCHASE	2011-01		645	583			62	
18 SHS AMERICAN ELECTRIC POWER INC C	2010-06	PURCHASE	2011-01		645	606			39	
1 SHS AMERICAN ELECTRIC POWER INC CM	2009-04	PURCHASE	2011-01		36	26			10	
7 SHS APOLLO GROUP CLASS A COMMON ST	2010-09	PURCHASE	2011-01		295	346			-51	
19 SHS APOLLO GROUP CLASS A COMMON S	2010-09	PURCHASE	2011-01		800	910			-110	
7 SHS APOLLO GROUP CLASS A COMMON ST	2010-09	PURCHASE	2011-01		295	351			-56	
29 SHS APOLLO GROUP CLASS A COMMON S	2010-10	PURCHASE	2011-01		1,222	1,058			164	
12 SHS APOLLO GROUP CLASS A COMMON S	2010-09	PURCHASE	2011-01		506	582			-76	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
4 SHS APOLLO GROUP CLASS A COMMON ST	2010-09	PURCHASE	2011-01		169	205			-36	
8 SHS APOLLO GROUP CLASS A COMMON ST	2011-01	PURCHASE	2011-01		337	340			-3	
1 SHS APPLE, INC CMN	2008-01	PURCHASE	2011-01		339	161			178	
1 SHS APPLE, INC CMN	2008-02	PURCHASE	2011-01		339	133			206	
2 SHS APPLE, INC CMN	2008-10	PURCHASE	2011-01		678	185			493	
4 SHS APPLE, INC CMN	2008-01	PURCHASE	2011-01		1,356	527			829	
2 SHS APPLE, INC CMN	2008-09	PURCHASE	2011-01		678	285			393	
4 SHS APPLE, INC CMN	2008-10	PURCHASE	2011-01		1,356	375			981	
5 SHS APPLE, INC CMN	2008-11	PURCHASE	2011-01		1,696	459			1,237	
1 SHS APPLE, INC CMN	2010-02	PURCHASE	2011-01		339	203			136	
11 SHS ARCHER DANIELS MIDLAND CO CMN	2010-05	PURCHASE	2011-01		372	301			71	
6 SHS ARCHER DANIELS MIDLAND CO CMN	2010-06	PURCHASE	2011-01		203	160			43	
20 SHS ARCHER DANIELS MIDLAND CO CMN	2010-06	PURCHASE	2011-01		676	533			143	
7 SHS BANK OF AMERICA CORP CMN	2009-05	PURCHASE	2011-01		101	101				
24 SHS BANK OF AMERICA CORP CMN	2009-04	PURCHASE	2011-01		347	262			85	
40 SHS BANK OF AMERICA CORP CMN	2009-12	PURCHASE	2011-01		578	637			-59	
121 SHS BANK OF AMERICA CORP CMN	2009-05	PURCHASE	2011-01		1,749	1,063			686	
48 SHS BANK OF AMERICA CORP CMN	2009-06	PURCHASE	2011-01		694	551			143	
3 SHS BAXTER INTERNATIONAL INC CMN	2008-01	PURCHASE	2011-01		151	181			-30	
5 SHS BAXTER INTERNATIONAL INC CMN	2008-01	PURCHASE	2011-01		252	318			-66	
11 SHS BAXTER INTERNATIONAL INC CMN	2008-01	PURCHASE	2011-01		554	709			-155	
9 SHS BAXTER INTERNATIONAL INC CMN	2008-01	PURCHASE	2011-01		454	542			-88	
14 SHS BAXTER INTERNATIONAL INC CMN	2009-06	PURCHASE	2011-01		706	666			40	
10 SHS BAXTER INTERNATIONAL INC CMN	2010-05	PURCHASE	2011-01		504	452			52	
12 SHS BIOGEN IDEC INC CMN	2009-03	PURCHASE	2011-01		794	550			244	
9 SHS BIOGEN IDEC INC CMN	2009-08	PURCHASE	2011-01		596	436			160	
7 SHS BIOGEN IDEC INC CMN	2009-01	PURCHASE	2011-01		463	351			112	
4 SHS BIOGEN IDEC INC CMN	2009-08	PURCHASE	2011-01		265	193			72	
6 SHS BMC SOFTWARE INC CMN	2010-04	PURCHASE	2011-01		293	241			52	
12 SHS BMC SOFTWARE INC CMN	2010-06	PURCHASE	2011-01		586	449			137	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
10 SHS BOEING COMPANY CMN	2009-11	PURCHASE	2011-01		716	523			193	
2 SHS BOEING COMPANY CMN	2008-10	PURCHASE	2011-01		143	90			53	
5 SHS BOEING COMPANY CMN	2008-10	PURCHASE	2011-01		358	240			118	
9 SHS BOEING COMPANY CMN	2010-05	PURCHASE	2011-01		644	576			68	
15 SHS CBS CORPORATION CMN CLASS B	2010-03	PURCHASE	2011-01		303	221			82	
21 SHS CBS CORPORATION CMN CLASS B	2009-11	PURCHASE	2011-01		424	286			138	
18 SHS CBS CORPORATION CMN CLASS B	2010-12	PURCHASE	2011-01		363	325			38	
31 SHS CBS CORPORATION CMN CLASS B	2010-12	PURCHASE	2011-01		626	557			69	
12 SHS CLIFFS NATURAL RESOURCES INC	2011-01	PURCHASE	2011-01		1,055	1,043			12	
1 SHS CME GROUP INC CMN CLASS A	2008-07	PURCHASE	2011-01		308	308				
2 SHS CME GROUP INC CMN CLASS A	2008-07	PURCHASE	2011-01		615	714			-99	
1 SHS CME GROUP INC CMN CLASS A	2008-04	PURCHASE	2011-01		308	478			-170	
1 SHS CME GROUP INC CMN CLASS A	2008-07	PURCHASE	2011-01		308	312			-4	
3 SHS CME GROUP INC CMN CLASS A	2008-11	PURCHASE	2011-01		923	581			342	
1 SHS CME GROUP INC CMN CLASS A	2010-02	PURCHASE	2011-01		308	286			22	
1 SHS CME GROUP INC CMN CLASS A	2010-09	PURCHASE	2011-01		308	266			42	
1 SHS CME GROUP INC CMN CLASS A	2010-02	PURCHASE	2011-01		308	285			23	
47 SHS COMCAST CORPORATION CMN CLASS	2010-06	PURCHASE	2011-01		1,083	864			219	
39 SHS COMCAST CORPORATION CMN CLASS	2010-07	PURCHASE	2011-01		899	678			221	
24 SHS COMCAST CORPORATION CMN CLASS	2010-08	PURCHASE	2011-01		553	435			118	
10 SHS CROWN CASTLE INTL CORP COMMON	2009-07	PURCHASE	2011-01		414	236			178	
13 SHS CROWN CASTLE INTL CORP COMMON	2008-10	PURCHASE	2011-01		538	295			243	
9 SHS CROWN CASTLE INTL CORP COMMON	2008-11	PURCHASE	2011-01		373	107			266	
13 SHS CROWN CASTLE INTL CORP COMMON	2008-10	PURCHASE	2011-01		538	259			279	
30 SHS CROWN CASTLE INTL CORP COMMON	2008-11	PURCHASE	2011-01		1,242	378			864	
15 SHS CROWN CASTLE INTL CORP COMMON	2007-10	PURCHASE	2011-01		621	600			21	
4 SHS CROWN CASTLE INTL CORP COMMON	2007-08	PURCHASE	2011-01		166	149			17	
25 SHS CVS CAREMARK CORPORATION CMN	2010-03	PURCHASE	2011-01		857	871			-14	
18 SHS CVS CAREMARK CORPORATION CMN	2010-05	PURCHASE	2011-01		617	626			-9	
33 SHS DISH NETWORK CORPORATION CMN	2009-04	PURCHASE	2011-01		704	436			268	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
4 SHS DISH NETWORK CORPORATION CMN C	2009-05	PURCHASE	2011-01		85	70			15	
20 SHS DISH NETWORK CORPORATION CMN	2009-05	PURCHASE	2011-01		427	345			82	
30 SHS DISH NETWORK CORPORATION CMN	2009-10	PURCHASE	2011-01		640	519			121	
17 SHS DOW CHEMICAL CO CMN	2009-09	PURCHASE	2011-01		601	441			160	
28 SHS DOW CHEMICAL CO CMN	2009-11	PURCHASE	2011-01		989	747			242	
20 SHS DOW CHEMICAL CO CMN	2010-10	PURCHASE	2011-01		707	592			115	
29 SHS EMC CORPORATION MASS CMN	2010-07	PURCHASE	2011-01		701	587			114	
43 SHS EMC CORPORATION MASS CMN	2010-04	PURCHASE	2011-01		1,039	832			207	
18 SHS EMC CORPORATION MASS CMN	2010-11	PURCHASE	2011-01		435	387			48	
2 SHS EMERSON ELECTRIC CO CMN	2009-07	PURCHASE	2011-01		116	62			54	
13 SHS EMERSON ELECTRIC CO CMN	2009-11	PURCHASE	2011-01		752	551			201	
5 SHS ENTERGY CORPORATION CMN	2006-04	PURCHASE	2011-01		362	344			18	
18 SHS ENTERGY CORPORATION CMN	2006-02	PURCHASE	2011-01		1,305	1,256			49	
5 SHS EOG RESOURCES INC CMN	2008-04	PURCHASE	2011-01		509	636			-127	
3 SHS EOG RESOURCES INC CMN	2008-03	PURCHASE	2011-01		305	353			-48	
4 SHS EOG RESOURCES INC CMN	2008-04	PURCHASE	2011-01		407	548			-141	
8 SHS EOG RESOURCES INC CMN	2008-04	PURCHASE	2011-01		815	1,037			-222	
9 SHS EOG RESOURCES INC CMN	2009-06	PURCHASE	2011-01		916	610			306	
2 SHS EOG RESOURCES INC CMN	2010-08	PURCHASE	2011-01		204	198			6	
5 SHS EOG RESOURCES INC CMN	2008-06	PURCHASE	2011-01		509	645			-136	
4 SHS EOG RESOURCES INC CMN	2010-04	PURCHASE	2011-01		407	425			-18	
3 SHS EOG RESOURCES INC CMN	2010-09	PURCHASE	2011-01		305	273			32	
2 SHS EOG RESOURCES INC CMN	2011-01	PURCHASE	2011-01		204	204				
3 SHS EOG RESOURCES INC CMN	2011-01	PURCHASE	2011-01		305	281			24	
5 SHS EVEREST RE GROUP LTD CMN	2008-04	PURCHASE	2011-01		418	461			-43	
4 SHS EVEREST RE GROUP LTD CMN	2008-04	PURCHASE	2011-01		334	367			-33	
6 SHS EVEREST RE GROUP LTD CMN	2009-01	PURCHASE	2011-01		501	413			88	
3 SHS EVEREST RE GROUP LTD CMN	2007-02	PURCHASE	2011-01		251	291			-40	
14 SHS EXPEDITORS INTL WASH INC CMN	2006-08	PURCHASE	2011-01		764	574			190	
43 SHS EXPEDITORS INTL WASH INC CMN	2005-10	PURCHASE	2011-01		2,348	1,296			1,052	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
1 SHS EXPEDITORS INTL WASH INC CMN	2006-08	PURCHASE	2011-01		55	41			14	
92 SHS FORD MOTOR COMPANY CMN	2009-10	PURCHASE	2011-01		1,643	659			984	
6 SHS FRANKLIN RESOURCES INC CMN	2010-06	PURCHASE	2011-01		709	558			151	
6 SHS FRANKLIN RESOURCES INC CMN	2008-11	PURCHASE	2011-01		709	305			404	
10 SHS FREEPORT-MCMORAN COPPER & GOL	2010-10	PURCHASE	2011-01		1,153	947			206	
31 SHS GENERAL ELECTRIC CO CMN	2009-12	PURCHASE	2011-01		565	504			61	
14 SHS GENERAL ELECTRIC CO CMN	2009-11	PURCHASE	2011-01		255	225			30	
46 SHS GENERAL ELECTRIC CO CMN	2009-12	PURCHASE	2011-01		838	729			109	
30 SHS GENERAL ELECTRIC CO CMN	2010-02	PURCHASE	2011-01		546	487			59	
35 SHS GENERAL ELECTRIC CO CMN	2010-10	PURCHASE	2011-01		637	561			76	
29 SHS GENERAL ELECTRIC CO CMN	2010-04	PURCHASE	2011-01		528	550			-22	
24 SHS GENERAL ELECTRIC CO CMN	2010-04	PURCHASE	2011-01		437	445			-8	
27 SHS GENERAL ELECTRIC CO CMN	2010-12	PURCHASE	2011-01		492	487			5	
12 SHS GENERAL MILLS INC CMN	2010-04	PURCHASE	2011-01		430	421			9	
16 SHS GENERAL MILLS INC CMN	2010-01	PURCHASE	2011-01		574	571			3	
21 SHS GENERAL MILLS INC CMN	2008-12	PURCHASE	2011-01		753	633			120	
16 SHS GENERAL MILLS INC CMN	2010-04	PURCHASE	2011-01		574	569			5	
28 SHS GENERAL MOTORS COMPANY CMN	2010-11	PURCHASE	2011-01		1,046	995			51	
1 SHS GOOGLE, INC CMN CLASS A	2010-03	PURCHASE	2011-01		631	568			63	
1 SHS GOOGLE, INC CMN CLASS A	2010-10	PURCHASE	2011-01		631	614			17	
1 SHS GOOGLE, INC CMN CLASS A	2011-01	PURCHASE	2011-01		631	623			8	
1 SHS GOOGLE, INC CMN CLASS A	2006-06	PURCHASE	2011-01		640	384			256	
2 SHS GOOGLE, INC CMN CLASS A	2008-09	PURCHASE	2011-01		1,262	872			390	
1 SHS GOOGLE, INC CMN CLASS A	2006-08	PURCHASE	2011-01		631	376			255	
2 SHS GOOGLE, INC CMN CLASS A	2007-03	PURCHASE	2011-01		1,262	884			378	
1 SHS GOOGLE, INC CMN CLASS A	2010-12	PURCHASE	2011-01		631	568			63	
1 SHS GOOGLE, INC CMN CLASS A	2010-04	PURCHASE	2011-01		631	552			79	
1654 846 SHS GS CONCENTRATED GROWTH	2011-01	PURCHASE	2011-10		21,000	21,993			-993	
183 758 SHS GS CORE FIXED INCOME FU	2004-12	PURCHASE	2011-10		1,880	1,862			18	
105 777 SHS GS CORE FIXED INCOME FU	2004-07	PURCHASE	2011-10		1,082	1,056			26	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
106 652 SHS GS CORE FIXED INCOME FU	2004-11	PURCHASE	2011-10		1,091	1,096			-5	
392 555 SHS GS CORE FIXED INCOME FU	2004-12	PURCHASE	2011-10		4,016	3,977			39	
104 297 SHS GS CORE FIXED INCOME FU	2004-09	PURCHASE	2011-10		1,067	1,066			1	
27 315 SHS GS CORE FIXED INCOME FUN	2004-06	PURCHASE	2011-10		279	272			7	
117 909 SHS GS CORE FIXED INCOME FU	2004-12	PURCHASE	2011-10		1,206	1,199			7	
109 414 SHS GS CORE FIXED INCOME FU	2004-08	PURCHASE	2011-10		1,119	1,101			18	
119 493 SHS GS CORE FIXED INCOME FU	2005-01	PURCHASE	2011-10		1,222	1,207			15	
92 884 SHS GS CORE FIXED INCOME FUN	2005-01	PURCHASE	2011-10		950	940			10	
106 222 SHS GS CORE FIXED INCOME FU	2004-10	PURCHASE	2011-10		1,087	1,086			1	
54 333 SHS GS EMERGING MARKETS EQUI	2008-12	PURCHASE	2011-01		991	471			520	
110 141 SHS GS EMERGING MARKETS EQU	2008-12	PURCHASE	2011-01		2,009	955			1,054	
1428 571 SHS GS INTERNATIONAL EQUITY	2010-06	PURCHASE	2011-01		12,000	9,514			2,486	
1129 944 SHS GS LARGE CAP VALUE FUND	2011-01	PURCHASE	2011-10		12,000	13,650			-1,650	
29 SHS HARTFORD FINANCIAL SRVCS GROU	2010-03	PURCHASE	2011-01		798	813			-15	
18 SHS HARTFORD FINANCIAL SRVCS GROU	2010-06	PURCHASE	2011-01		495	441			54	
1 SHS HONEYWELL INTL INC CMN	2009-02	PURCHASE	2011-01		54	31			23	
6 SHS HONEYWELL INTL INC CMN	2009-10	PURCHASE	2011-01		325	224			101	
17 SHS HONEYWELL INTL INC CMN	2010-03	PURCHASE	2011-01		921	719			202	
17 SHS HONEYWELL INTL INC CMN	2009-07	PURCHASE	2011-01		921	550			371	
7 SHS HONEYWELL INTL INC CMN	2009-10	PURCHASE	2011-01		379	261			118	
16 SHS ILLINOIS TOOL WORKS CMN	2010-08	PURCHASE	2011-01		880	674			206	
5 SHS INTERCONTINENTALEXCHANGE INC C	2008-07	PURCHASE	2011-01		582	453			129	
5 SHS INTERCONTINENTALEXCHANGE INC C	2008-07	PURCHASE	2011-01		582	437			145	
9 SHS INTERCONTINENTALEXCHANGE INC C	2008-10	PURCHASE	2011-01		1,047	525			522	
11 SHS INTERCONTINENTALEXCHANGE INC	2007-06	PURCHASE	2011-01		1,279	1,642			-363	
4 SHS INTUIT INC CMN	2007-03	PURCHASE	2011-01		189	115			74	
23 SHS INTUIT INC CMN	2005-10	PURCHASE	2011-01		1,085	526			559	
30 SHS INTUIT INC CMN	2007-02	PURCHASE	2011-01		1,416	948			468	
15 SHS INTUIT INC CMN	2007-08	PURCHASE	2011-01		708	423			285	
15 SHS INTUIT INC CMN	2007-01	PURCHASE	2011-01		708	480			228	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
5 SHS INTUIT INC CMN	2007-08	PURCHASE	2011-01		237	141			96	
4 SHS INTUIT INC CMN	2009-08	PURCHASE	2011-01		189	114			75	
7 SHS INVESCO LTD CMN	2008-02	PURCHASE	2011-01		173	179			-6	
17 SHS INVESCO LTD CMN	2008-03	PURCHASE	2011-01		420	408			12	
7 SHS INVESCO LTD CMN	2008-07	PURCHASE	2011-01		173	162			11	
14 SHS INVESCO LTD CMN	2008-07	PURCHASE	2011-01		346	328			18	
10 SHS INVESCO LTD CMN	2008-07	PURCHASE	2011-01		247	232			15	
17 SHS JOHNSON CONTROLS INC CMN	2009-04	PURCHASE	2011-01		682	295			387	
15 SHS JOHNSON CONTROLS INC CMN	2010-05	PURCHASE	2011-01		601	443			158	
12 SHS JPMORGAN CHASE & CO CMN	2008-11	PURCHASE	2011-01		527	425			102	
14 SHS JPMORGAN CHASE & CO CMN	2006-06	PURCHASE	2011-01		614	568			46	
41 SHS JPMORGAN CHASE & CO CMN	2007-10	PURCHASE	2011-01		1,799	1,939			-140	
20 SHS JPMORGAN CHASE & CO CMN	2007-10	PURCHASE	2011-01		878	926			-48	
13 SHS JPMORGAN CHASE & CO CMN	2009-09	PURCHASE	2011-01		571	574			-3	
13 SHS JPMORGAN CHASE & CO CMN	2010-12	PURCHASE	2011-01		571	537			34	
15 SHS KOHL'S CORP (WISCONSIN) CMN	2010-11	PURCHASE	2011-01		771	795			-24	
38 SHS LOWES COMPANIES INC CMN	2009-10	PURCHASE	2011-01		926	748			178	
10 SHS LOWES COMPANIES INC CMN	2009-10	PURCHASE	2011-01		244	198			46	
26 SHS LOWES COMPANIES INC CMN	2009-11	PURCHASE	2011-01		633	567			66	
14 SHS LOWES COMPANIES INC CMN	2010-01	PURCHASE	2011-01		341	325			16	
12 SHS LOWES COMPANIES INC CMN	2009-11	PURCHASE	2011-01		292	247			45	
15 SHS LOWES COMPANIES INC CMN	2009-12	PURCHASE	2011-01		365	359			6	
5 SHS LOWES COMPANIES INC CMN	2010-09	PURCHASE	2011-01		122	111			11	
14 SHS LYONDELLBASELL INDUSTRIES N V	2010-11	PURCHASE	2011-01		508	395			113	
15 SHS LYONDELLBASELL INDUSTRIES N V	2010-11	PURCHASE	2011-01		539	423			116	
27 SHS MARSH & MCLENNAN CO INC CMN	2009-09	PURCHASE	2011-01		738	629			109	
2 SHS MASTERCARD INCORPORATED CMN CL	2010-05	PURCHASE	2011-01		473	420			53	
2 SHS MASTERCARD INCORPORATED CMN CL	2008-03	PURCHASE	2011-01		473	408			65	
4 SHS MASTERCARD INCORPORATED CMN CL	2008-03	PURCHASE	2011-01		946	778			168	
4 SHS MASTERCARD INCORPORATED CMN CL	2008-09	PURCHASE	2011-01		946	845			101	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
1 SHS MASTERCARD INCORPORATED CMN CL	2010-02	PURCHASE	2011-01		236	226			10	
4 SHS MASTERCARD INCORPORATED CMN CL	2008-10	PURCHASE	2011-01		946	502			444	
1 SHS MASTERCARD INCORPORATED CMN CL	2010-12	PURCHASE	2011-01		236	223			13	
5 SHS MEDCO HEALTH SOLUTIONS, INC C	2010-05	PURCHASE	2011-01		321	289			32	
7 SHS MEDCO HEALTH SOLUTIONS, INC C	2009-02	PURCHASE	2011-01		449	338			111	
24 SHS MEDCO HEALTH SOLUTIONS, INC	2009-01	PURCHASE	2011-01		1,541	1,086			455	
10 SHS MEDCO HEALTH SOLUTIONS, INC	2009-03	PURCHASE	2011-01		642	380			262	
9 SHS MEDCO HEALTH SOLUTIONS, INC C	2010-02	PURCHASE	2011-01		578	556			22	
2 SHS MEDCO HEALTH SOLUTIONS, INC C	2010-07	PURCHASE	2011-01		128	100			28	
4 SHS MEDCO HEALTH SOLUTIONS, INC C	2009-03	PURCHASE	2011-01		257	158			99	
7 SHS MEDCO HEALTH SOLUTIONS, INC C	2010-07	PURCHASE	2011-01		449	346			103	
4 SHS MEDCO HEALTH SOLUTIONS, INC C	2010-09	PURCHASE	2011-01		257	181			76	
10 SHS MEDCO HEALTH SOLUTIONS, INC	2010-09	PURCHASE	2011-01		642	512			130	
15 SHS MERCK & CO ,INC CMN	2009-12	PURCHASE	2011-01		508	565			-57	
17 SHS MERCK & CO ,INC CMN	2010-06	PURCHASE	2011-01		575	606			-31	
17 SHS MERCK & CO ,INC CMN	2010-03	PURCHASE	2011-01		575	643			-68	
29 SHS MERCK & CO ,INC CMN	2010-02	PURCHASE	2011-01		981	1,059			-78	
59 SHS NEWELL RUBBERMAID INC CMN	2009-09	PURCHASE	2011-01		1,043	925			118	
18 SHS NEWFIELD EXPLORATION CO CMN	2009-10	PURCHASE	2011-01		1,261	831			430	
13 SHS NEWFIELD EXPLORATION CO CMN	2009-10	PURCHASE	2011-01		911	568			343	
8 SHS NEWFIELD EXPLORATION CO CMN	2010-07	PURCHASE	2011-01		561	385			176	
13 SHS NEXTERA ENERGY INC CMN	2010-10	PURCHASE	2011-01		703	720			-17	
4 SHS NIKE CLASS-B CMN CLASS B	2009-04	PURCHASE	2011-01		333	210			123	
8 SHS NIKE CLASS-B CMN CLASS B	2010-06	PURCHASE	2011-01		667	557			110	
6 SHS NIKE CLASS-B CMN CLASS B	2009-04	PURCHASE	2011-01		500	316			184	
2 SHS NIKE CLASS-B CMN CLASS B	2009-04	PURCHASE	2011-01		167	105			62	
4 SHS NIKE CLASS-B CMN CLASS B	2009-04	PURCHASE	2011-01		334	215			119	
15 SHS NIKE CLASS-B CMN CLASS B	2009-06	PURCHASE	2011-01		1,251	775			476	
3 SHS NIKE CLASS-B CMN CLASS B	2009-05	PURCHASE	2011-01		250	163			87	
3 SHS NOVO-NORDISK A/S ADR ADR CMN	2009-03	PURCHASE	2011-01		341	140			201	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
7 SHS NOVO-NORDISK A/S ADR ADR CMN	2009-03	PURCHASE	2011-01		796	320			476	
5 SHS NOVO-NORDISK A/S ADR ADR CMN	2009-03	PURCHASE	2011-01		568	222			346	
1 SHS NOVO-NORDISK A/S ADR ADR CMN	2009-07	PURCHASE	2011-01		114	55			59	
3 SHS NOVO-NORDISK A/S ADR ADR CMN	2010-02	PURCHASE	2011-01		342	212			130	
10 SHS NOVO-NORDISK A/S ADR ADR CMN	2009-04	PURCHASE	2011-01		1,137	496			641	
4 SHS NOVO-NORDISK A/S ADR ADR CMN	2009-07	PURCHASE	2011-01		455	218			237	
7 SHS OCCIDENTAL PETROLEUM CORP CMN	2009-08	PURCHASE	2011-01		687	508			179	
8 SHS OCCIDENTAL PETROLEUM CORP CMN	2009-03	PURCHASE	2011-01		785	464			321	
7 SHS OCCIDENTAL PETROLEUM CORP CMN	2009-02	PURCHASE	2011-01		687	370			317	
4 SHS OCCIDENTAL PETROLEUM CORP CMN	2009-06	PURCHASE	2011-01		393	261			132	
7 SHS OCCIDENTAL PETROLEUM CORP CMN	2009-06	PURCHASE	2011-01		687	453			234	
6 SHS OCCIDENTAL PETROLEUM CORP CMN	2010-05	PURCHASE	2011-01		589	542			47	
5 SHS OCCIDENTAL PETROLEUM CORP CMN	2010-05	PURCHASE	2011-01		491	408			83	
2 SHS ORACLE CORPORATION CMN	2009-12	PURCHASE	2011-01		63	50			13	
20 SHS ORACLE CORPORATION CMN	2009-12	PURCHASE	2011-01		628	498			130	
13 SHS P G & E CORPORATION CMN	2010-10	PURCHASE	2011-01		612	605			7	
18 SHS P G & E CORPORATION CMN	2010-08	PURCHASE	2011-01		848	809			39	
13 SHS P G & E CORPORATION CMN	2010-12	PURCHASE	2011-01		612	622			-10	
18 SHS PEPSICO INC CMN	2010-07	PURCHASE	2011-01		1,183	1,101			82	
10 SHS PEPSICO INC CMN	2010-05	PURCHASE	2011-01		657	641			16	
2 SHS PERRIGO COMPANY CMN	2010-09	PURCHASE	2011-01		133	117			16	
5 SHS PERRIGO COMPANY CMN	2010-09	PURCHASE	2011-01		331	329			2	
14 SHS PERRIGO COMPANY CMN	2010-09	PURCHASE	2011-01		928	810			118	
8 SHS PERRIGO COMPANY CMN	2010-09	PURCHASE	2011-01		530	527			3	
14 SHS PNC FINANCIAL SERVICES GROUP	2010-09	PURCHASE	2011-01		867	732			135	
3 SHS PNC FINANCIAL SERVICES GROUP C	2010-10	PURCHASE	2011-01		186	159			27	
6 SHS PNC FINANCIAL SERVICES GROUP C	2010-10	PURCHASE	2011-01		371	315			56	
7 SHS PNC FINANCIAL SERVICES GROUP C	2010-12	PURCHASE	2011-01		433	422			11	
6 SHS POLO RALPH LAUREN CORPORATION	2010-10	PURCHASE	2011-01		650	571			79	
33 SHS POLYCOM INC CMN	2010-09	PURCHASE	2011-01		1,339	904			435	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
6 SHS POLYCOM INC CMN	2010-10	PURCHASE	2011-01		243	163			80	
19 SHS POLYCOM INC CMN	2010-09	PURCHASE	2011-01		771	535			236	
1 SHS PRICELINE COM INC CMN	2009-09	PURCHASE	2011-01		439	160			279	
1 SHS PRICELINE COM INC CMN	2009-09	PURCHASE	2011-01		439	165			274	
2 SHS PRICELINE COM INC CMN	2009-09	PURCHASE	2011-01		862	327			535	
1 SHS PRICELINE COM INC CMN	2009-10	PURCHASE	2011-01		431	176			255	
1 SHS PRICELINE COM INC CMN	2009-09	PURCHASE	2011-01		431	160			271	
1 SHS PRICELINE COM INC CMN	2009-10	PURCHASE	2011-01		431	176			255	
1 SHS PRICELINE COM INC CMN	2009-11	PURCHASE	2011-01		431	209			222	
2 SHS PRICELINE COM INC CMN	2010-02	PURCHASE	2011-01		862	464			398	
1 SHS PRICELINE COM INC CMN	2010-06	PURCHASE	2011-01		431	195			236	
1 SHS PRICELINE COM INC CMN	2010-05	PURCHASE	2011-01		431	219			212	
1 SHS PRICELINE COM INC CMN	2010-06	PURCHASE	2011-01		431	185			246	
15 SHS PROCTER & GAMBLE COMPANY (THE	2010-08	PURCHASE	2011-01		981	908			73	
23 SHS PROCTER & GAMBLE COMPANY (THE	2005-10	PURCHASE	2011-01		1,506	1,292			214	
4 SHS PROCTER & GAMBLE COMPANY (THE)	2009-03	PURCHASE	2011-01		262	190			72	
10 SHS PROCTER & GAMBLE COMPANY (THE	2006-02	PURCHASE	2011-01		655	594			61	
9 SHS PRUDENTIAL FINANCIAL INC CMN	2009-12	PURCHASE	2011-01		544	468			76	
19 SHS PRUDENTIAL FINANCIAL INC CMN	2009-06	PURCHASE	2011-01		1,148	777			371	
11 SHS PRUDENTIAL FINANCIAL INC CMN	2010-10	PURCHASE	2011-01		665	588			77	
40 SHS QUALCOMM INC CMN	2006-08	PURCHASE	2011-01		2,086	1,389			697	
6 SHS QUALCOMM INC CMN	2010-01	PURCHASE	2011-01		313	244			69	
41 SHS QUALCOMM INC CMN	2007-06	PURCHASE	2011-01		2,139	1,720			419	
10 SHS QUALCOMM INC CMN	2010-01	PURCHASE	2011-01		522	393			129	
2 SHS QUALCOMM INC CMN	2010-02	PURCHASE	2011-01		104	77			27	
9 SHS RANGE RESOURCES CORPORATION CM	2010-05	PURCHASE	2011-01		427	431			-4	
9 SHS RANGE RESOURCES CORPORATION CM	2010-04	PURCHASE	2011-01		427	459			-32	
11 SHS SCHLUMBERGER LTD CMN	2010-10	PURCHASE	2011-01		953	759			194	
6 SHS SCHLUMBERGER LTD CMN	2010-11	PURCHASE	2011-01		520	419			101	
3 SHS SLM CORPORATION CMN	2008-05	PURCHASE	2011-01		41	71			-30	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
41 SHS SLM CORPORATION CMN	2008-08	PURCHASE	2011-01		566	586			-20	
24 SHS SLM CORPORATION CMN	2008-08	PURCHASE	2011-01		331	340			-9	
64 SHS SLM CORPORATION CMN	2010-01	PURCHASE	2011-01		883	726			157	
16 SHS SPRINT NEXTEL CORPORATION CMN	2008-01	PURCHASE	2011-01		68	206			-138	
96 SHS SPRINT NEXTEL CORPORATION CMN	2008-05	PURCHASE	2011-01		408	832			-424	
106 SHS SPRINT NEXTEL CORPORATION CM	2008-04	PURCHASE	2011-01		450	836			-386	
13 SHS SPRINT NEXTEL CORPORATION CMN	2008-06	PURCHASE	2011-01		55	126			-71	
49 SHS SPRINT NEXTEL CORPORATION CMN	2008-06	PURCHASE	2011-01		208	401			-193	
107 SHS SPRINT NEXTEL CORPORATION CM	2009-07	PURCHASE	2011-01		455	490			-35	
9 SHS STAPLES,INC CMN	2006-08	PURCHASE	2011-01		206	208			-2	
116 SHS STAPLES,INC CMN	2005-10	PURCHASE	2011-01		2,655	2,654			1	
15 SHS STAPLES,INC CMN	2010-04	PURCHASE	2011-01		343	363			-20	
3 SHS STATE STREET CORPORATION (NEW)	2009-05	PURCHASE	2011-01		145	131			14	
11 SHS STATE STREET CORPORATION (NEW	2009-12	PURCHASE	2011-01		532	438			94	
12 SHS STATE STREET CORPORATION (NEW	2010-05	PURCHASE	2011-01		581	489			92	
19 SHS SUNTRUST BANKS INC 1 00 PAR	2010-02	PURCHASE	2011-01		541	447			94	
21 SHS SUNTRUST BANKS INC 1 00 PAR	2010-12	PURCHASE	2011-01		597	569			28	
4 SHS SUNTRUST BANKS INC 1 00 PAR C	2010-12	PURCHASE	2011-01		114	115			-1	
4 SHS SUNTRUST BANKS INC 1 00 PAR C	2010-12	PURCHASE	2011-01		114	111			3	
9 SHS SUNTRUST BANKS INC 1 00 PAR C	2010-12	PURCHASE	2011-01		256	259			-3	
16 SHS SYNGENTA AG SPONSORED ADR CMN	2009-02	PURCHASE	2011-01		1,001	687			314	
6 SHS SYNGENTA AG SPONSORED ADR CMN	2009-03	PURCHASE	2011-01		375	253			122	
5 SHS SYNGENTA AG SPONSORED ADR CMN	2009-03	PURCHASE	2011-01		313	194			119	
2 SHS SYNGENTA AG SPONSORED ADR CMN	2009-03	PURCHASE	2011-01		125	81			44	
9 SHS SYNGENTA AG SPONSORED ADR CMN	2009-03	PURCHASE	2011-01		563	392			171	
8 SHS SYNGENTA AG SPONSORED ADR CMN	2009-03	PURCHASE	2011-01		500	333			167	
1 SHS SYNGENTA AG SPONSORED ADR CMN	2009-11	PURCHASE	2011-01		63	53			10	
4 SHS SYNGENTA AG SPONSORED ADR CMN	2010-08	PURCHASE	2011-01		250	190			60	
2 SHS SYNGENTA AG SPONSORED ADR CMN	2009-11	PURCHASE	2011-01		125	107			18	
25 SHS TERADATA CORPORATION CMN	2007-10	PURCHASE	2011-01		1,147	684			463	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
8 SHS TERADATA CORPORATION CMN	2007-10	PURCHASE	2011-01		367	222			145	
33 SHS TERADATA CORPORATION CMN	2007-10	PURCHASE	2011-01		1,514	957			557	
10 SHS TEVA PHARMACEUTICAL IND LTD A	2010-11	PURCHASE	2011-01		536	507			29	
14 SHS TEVA PHARMACEUTICAL IND LTD A	2010-11	PURCHASE	2011-01		751	711			40	
15 SHS TEVA PHARMACEUTICAL IND LTD A	2010-11	PURCHASE	2011-01		805	757			48	
29 SHS TEXAS INSTRUMENTS INC CMN	2010-06	PURCHASE	2011-01		990	700			290	
20 SHS THE BANK OF NY MELLON CORP CM	2009-10	PURCHASE	2011-01		633	554			79	
6 SHS THE BANK OF NY MELLON CORP CMN	2009-10	PURCHASE	2011-01		190	177			13	
9 SHS THE BANK OF NY MELLON CORP CMN	2008-11	PURCHASE	2011-01		285	274			11	
13 SHS THE BANK OF NY MELLON CORP CM	2009-02	PURCHASE	2011-01		412	364			48	
45 SHS THE BANK OF NY MELLON CORP CM	2008-10	PURCHASE	2011-01		1,426	1,408			18	
18 SHS THE BANK OF NY MELLON CORP CM	2009-01	PURCHASE	2011-01		571	488			83	
18 SHS THE BANK OF NY MELLON CORP CM	2009-03	PURCHASE	2011-01		571	388			183	
4 SHS THE BANK OF NY MELLON CORP CMN	2010-01	PURCHASE	2011-01		127	117			10	
21 SHS THE TRAVELERS COMPANIES, INC	2009-01	PURCHASE	2011-01		1,145	818			327	
6 SHS THE TRAVELERS COMPANIES, INC C	2008-07	PURCHASE	2011-01		327	263			64	
25 SHS U S BANCORP CMN	2010-05	PURCHASE	2011-01		664	601			63	
26 SHS U S BANCORP CMN	2010-06	PURCHASE	2011-01		690	610			80	
27 SHS U S BANCORP CMN	2010-06	PURCHASE	2011-01		717	644			73	
24 SHS U S BANCORP CMN	2010-12	PURCHASE	2011-01		637	630			7	
30 SHS UNILEVER N V NY SHS (NEW) AD	2008-02	PURCHASE	2011-01		918	920			-2	
21 SHS UNILEVER N V NY SHS (NEW) AD	2011-01	PURCHASE	2011-01		642	640			2	
1 SHS VERISIGN INC CMN	2008-08	PURCHASE	2011-01		33	33				
16 SHS VERISIGN INC CMN	2008-07	PURCHASE	2011-01		534	533			1	
30 SHS VERISIGN INC CMN	2008-09	PURCHASE	2011-01		981	758			223	
23 SHS VERISIGN INC CMN	2008-08	PURCHASE	2011-01		752	756			-4	
20 SHS VERISIGN INC CMN	2008-10	PURCHASE	2011-01		654	392			262	
16 SHS VERISIGN INC CMN	2009-08	PURCHASE	2011-01		523	304			219	
1 SHS VERISIGN INC CMN	2010-01	PURCHASE	2011-01		33	24			9	
11 SHS VISA INC CMN CLASS A	2008-04	PURCHASE	2011-01		760	784			-24	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
9 SHS VISA INC CMN CLASS A	2008-04	PURCHASE	2011-01		622	636			-14	
17 SHS VISA INC CMN CLASS A	2008-10	PURCHASE	2011-01		1,175	941			234	
3 SHS VISA INC CMN CLASS A	2008-04	PURCHASE	2011-01		207	194			13	
4 SHS VISA INC CMN CLASS A	2008-06	PURCHASE	2011-01		277	326			-49	
3 SHS VISA INC CMN CLASS A	2008-10	PURCHASE	2011-01		207	153			54	
9 SHS VISA INC CMN CLASS A	2010-05	PURCHASE	2011-01		622	668			-46	
3 SHS VISA INC CMN CLASS A	2010-05	PURCHASE	2011-01		207	225			-18	
2 SHS VISA INC CMN CLASS A	2010-09	PURCHASE	2011-01		138	137			1	
1 SHS VISA INC CMN CLASS A	2010-05	PURCHASE	2011-01		69	74			-5	
4 SHS VISA INC CMN CLASS A	2010-06	PURCHASE	2011-01		277	291			-14	
3 SHS VISA INC CMN CLASS A	2010-09	PURCHASE	2011-01		207	204			3	
35 SHS WALGREEN CO CMN	2005-10	PURCHASE	2011-01		1,444	1,588			-144	
15 SHS WALGREEN CO CMN	2006-12	PURCHASE	2011-01		619	661			-42	
20 SHS WALGREEN CO CMN	2006-12	PURCHASE	2011-01		825	891			-66	
11 SHS WALGREEN CO CMN	2011-01	PURCHASE	2011-01		454	441			13	
24 SHS WEATHERFORD INTERNATIONAL LTD	2010-12	PURCHASE	2011-01		558	543			15	
22 SHS WEATHERFORD INTERNATIONAL LTD	2010-12	PURCHASE	2011-01		511	500			11	
13 SHS WELLPOINT, INC CMN	2007-01	PURCHASE	2011-01		800	998			-198	
8 SHS WELLPOINT, INC CMN	2008-06	PURCHASE	2011-01		493	453			40	
7 SHS WELLPOINT, INC CMN	2008-09	PURCHASE	2011-01		431	370			61	
7 SHS WELLPOINT, INC CMN	2008-09	PURCHASE	2011-01		431	364			67	
13 SHS YUM BRANDS, INC CMN	2011-01	PURCHASE	2011-01		640	642			-2	
3 SHS YUM BRANDS, INC CMN	2011-01	PURCHASE	2011-01		148	148				

**TY 2011 Investments Corporate
Bonds Schedule****Name:** MICHAEL P BERGERON FOUNDATION INC**EIN:** 22-3589110

Name of Bond	End of Year Book Value	End of Year Fair Market Value
9829.18 SHS GS CORE FIXED INCOME FU		
2082.763 SHS GS HIGH YIELD FUND INS		
8543.329 SHS GS CORE FIXED INCOME FU	82,192	88,167
2270.333 GS HIGH YIELD FUND INST SHS	16,239	15,597

TY 2011 Investments Corporate
Stock Schedule

Name: MICHAEL P BERGERON FOUNDATION INC
EIN: 22-3589110

Name of Stock	End of Year Book Value	End of Year Fair Market Value
851.927 SHS GS EMERGING MARKETS EQU		
6795.95 SHS GS INTERNATIONAL EQUITY		
31 SHS ADOBE SYSTEMS INC CMN		
109 SHS ADOBE SYSTEMS INC CMN		
31 SHS AFLAC INCORPORATED CMN		
63 SHS ALLERGAN INC CMN		
24 SHS ALLSTATE CORPORATION COMMON S		
42 SHS AMERICAN ELECTRIC POWER INC C		
78 SHS APOLLO GROUP CLASS A COMMON S		
20 SHS APPLE, INC. CMN		
37 SHS ARCHER DANIELS MIDLAND CO CMN		
240 SHS BANK OF AMERICA CORP CMN		
52 SHS BAXTER INTERNATIONAL INC CMN		
32 SHS BIOGEN IDEC INC. CMN		
18 SHS BMC SOFTWARE INC. CMN		
26 SHS BOEING COMPANY CMN		
85 SHS CBS CORPORATION CMN CLASS B		
11 SHS CME GROUP INC. CMN CLASS A		
110 SHS COMCAST CORPORATION CMN CLAS		
94 SHS CROWN CASTLE INTL CORP COMMON		
43 SHS CVS CAREMARK CORPORATION CMN		
87 SHS DISH NETWORK CORPORATION CMN		
65 SHS DOW CHEMICAL CO CMN		
90 SHS EMC CORPORATION MASS CMN		
15 SHS EMERSON ELECTRIC CO. CMN		
23 SHS ENTERGY CORPORATION CMN		
43 SHS EOG RESOURCES INC CMN		
18 SHS EVEREST RE GROUP LTD CMN		
58 SHS EXPEDITORS INTL WASH INC CMN		
92 SHS FORD MOTOR COMPANY CMN		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
12 SHS FRANKLIN RESOURCES INC CMN		
10 SHS FREEPORT-MCMORAN COPPER & GOL		
236 SHS GENERAL ELECTRIC CO CMN		
65 SHS GENERAL MILLS INC CMN		
28 SHS GENERAL MOTORS COMPANY CMN		
2 SHS GOOGLE, INC. CMN CLASS A		
8 SHS GOOGLE, INC. CMN CLASS A		
681.04 SHS GS FINANCIAL SQUARE FEDER		
1553.09 SHS GS FINANCIAL SQUARE FEDE		
47 SHS HARTFORD FINANCIAL SRVCS GROU		
48 SHS HONEYWELL INTL INC CMN		
16 SHS ILLINOIS TOOL WORKS CMN		
30 SHS INTERCONTINENTALEXCHANGE INC		
96 SHS INTUIT INC CMN		
55 SHS INVESCO LTD. CMN		
32 SHS JOHNSON CONTROLS INC CMN		
113 SHS JPMORGAN CHASE & CO CMN		
15 SHS KOHL'S CORP (WISCONSIN) CMN		
120 SHS LOWES COMPANIES INC CMN		
29 SHS LYONDELLBASELL INDUSTRIES N.V		
27 SHS MARSH & MCLENNAN CO INC CMN		
18 SHS MASTERCARD INCORPORATED CMN C		
82 SHS MEDCO HEALTH SOLUTIONS, INC.		
78 SHS MERCK & CO., INC. CMN		
59 SHS NEWELL RUBBERMAID INC CMN		
39 SHS NEWFIELD EXPLORATION CO. CMN		
13 SHS NEXTERA ENERGY INC CMN		
42 SHS NIKE CLASS-B CMN CLASS B		
33 SHS NOVO-NORDISK A/S ADR ADR CMN		
44 SHS OCCIDENTAL PETROLEUM CORP CMN		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
22 SHS ORACLE CORPORATION CMN		
44 SHS P G & E CORPORATION CMN		
28 SHS PEPSICO INC CMN		
29 SHS PERRIGO COMPANY CMN		
30 SHS PNC FINANCIAL SERVICES GROUP		
6 SHS POLO RALPH LAUREN CORPORATION		
58 SHS POLYCOM INC CMN		
13 SHS PRICELINE.COM INC CMN		
15 SHS PROCTER & GAMBLE COMPANY (THE		
37 SHS PROCTER & GAMBLE COMPANY (THE		
39 SHS PRUDENTIAL FINANCIAL INC CMN		
99 SHS QUALCOMM INC CMN		
18 SHS RANGE RESOURCES CORPORATION C		
17 SHS SCHLUMBERGER LTD CMN		
132 SHS SLM CORPORATION CMN		
387 SHS SPRINT NEXTEL CORPORATION CM		
140 SHS STAPLES, INC. CMN		
26 SHS STATE STREET CORPORATION (NEW		
57 SHS SUNTRUST BANKS INC 1.00 PAR		
53 SHS SYNGENTA AG SPONSORED ADR CMN		
66 SHS TERADATA CORPORATION CMN		
39 SHS TEVA PHARMACEUTICAL IND LTD A		
29 SHS TEXAS INSTRUMENTS INC. CMN		
26 SHS THE BANK OF NY MELLON CORP CM		
107 SHS THE BANK OF NY MELLON CORP C		
27 SHS THE TRAVELERS COMPANIES, INC		
1253.63 SHS U S DOLLAR		
102 SHS U.S. BANCORP CMN		
30 SHS UNILEVER N.V. NY SHS (NEW) AD		
107 SHS VERISIGN INC CMN		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
69 SHS VISA INC. CMN CLASS A		
70 SHS WALGREEN CO. CMN		
46 SHS WEATHERFORD INTERNATIONAL LTD		
35 SHS WELLPOINT, INC. CMN		
5117.163 SHS GS CONCENTRATED GROWTH	68,007	65,244
6337.904 SHS GS LARGE CAP VALUE	76,460	68,069
5817.523 SHS GS INTL EQUITY DIV & PR	39,084	38,396
690.679 SHS GS EMERGING MKTS EQTY FD	6,115	9,946

TY 2011 Investments - Other Schedule

Name: MICHAEL P BERGERON FOUNDATION INC

EIN: 22-3589110

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
GOLDMAN SACHS BDA	AT COST	231	231

TY 2011 Other Expenses Schedule**Name:** MICHAEL P BERGERON FOUNDATION INC**EIN:** 22-3589110

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
FOREIGN TAXES	137	137		

TY 2011 Other Professional Fees Schedule

Name: MICHAEL P BERGERON FOUNDATION INC

EIN: 22-3589110

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT & BANKING FEES	872	872		