Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning , 2011, and ending ,

Robert & Joan Dircks Foundation, Inc.
P. O. Box 6
Mountain Lakes, NJ 07046-0006

A Employer identification number
22-3135737

B Telephone number (see the instructions)
973-299-6344

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 9,551,014.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► ☒ if the foundn is **not** req to att Sch B				
	3 Interest on savings and temporary cash investments	16,144.	16,144.		
	4 Dividends and interest from securities	152,558.	152,558.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	168,702.	168,702.	0.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	48,812.	29,287.		19,525.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) See St 1	8,150.	4,890.		3,260.
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule)(see instrs)				
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	18,684.	11,210.		7,474.
	22 Printing and publications				
	23 Other expenses (attach schedule) See Statement 2	3,204.	1,942.		1,322.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	78,850.	47,329.		31,581.
	25 Contributions, gifts, grants paid Part XV	467,000.			467,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	545,850.	47,329.	0.	498,581.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-377,148.			
	b **Net investment income** (if negative, enter -0-)		121,373.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	116,240.	90,480.	90,480.
	2 Savings and temporary cash investments	2,889,321.	2,100,843.	2,100,843.
	3 Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
	4 Pledges receivable ►			
	Less. allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule) Statement 3	801,116.	817,249.	817,249.
	b Investments – corporate stock (attach schedule) Statement 4	6,256,618.	6,347,769.	6,347,769.
	c Investments – corporate bonds (attach schedule) Statement 5	142,227.	144,673.	144,673.
	11 Investments – land, buildings, and equipment: basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ► See Statement 6)		50,000.	50,000.
	16 **Total assets** (to be completed by all filers – see the instructions. Also, see page 1, item I)	10,205,522.	9,551,014.	9,551,014.
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.** ► [X]			
	24 Unrestricted	10,205,522.	9,551,014.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ► [ ]			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	10,205,522.	9,551,014.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	10,205,522.	9,551,014.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,205,522.
2	Enter amount from Part I, line 27a	2	-377,148.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	9,828,374.
5	Decreases not included in line 2 (itemize) ► See Statement 7	5	277,360.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	9,551,014.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	N/A			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 — **2**

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 — **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	554,664.	9,654,838.	0.057449
2009	469,208.	9,274,841.	0.050589
2008	545,386.	9,766,343.	0.055843
2007	563,112.	10,717,451.	0.052542
2006	553,348.	10,463,529.	0.052883

2	**Total** of line 1, column (d)	2	0.269306
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.053861
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	9,960,843.
5	Multiply line 4 by line 3	5	536,501.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,214.
7	Add lines 5 and 6	7	537,715.
8	Enter qualifying distributions from Part XII, line 4	8	498,581.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1. Date of ruling or determination letter. ________ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [ ] and enter 1% of Part I, line 27b		1	2,427.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,427.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	2,427.
6 Credits/Payments			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a 2,513.		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	2,513.
8 Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	0.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	86.
11 Enter the amount of line 10 to be. **Credited to 2012 estimated tax** ►	86. **Refunded** ►	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If 'Yes,' attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If 'Yes,' attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► N/A			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation* See Statement 8	8b		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► www.dircksfoundation.org

14 The books are in care of ► Thomas C. Dircks Telephone no ► 973-299-6344

Located at ► 20 North Briarcliff Mountain Lakes NJ ZIP + 4 ► 07046

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)*		3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	[ ] Yes	[X] No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	[ ] Yes	[X] No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	[ ] Yes	[X] No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	[ ] Yes	[X] No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	[ ] Yes	[X] No		
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?			5b	N/A
Organizations relying on a current notice regarding disaster assistance check here ► [ ]				
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	[ ] Yes	[ ] No		
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	[ ] Yes	[X] No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b	X (No)
If 'Yes' to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	[ ] Yes	[X] No		
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A			7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 9		48,812.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a Average monthly fair market value of securities		1a	10,021,884.
b Average of monthly cash balances		1b	90,647.
c Fair market value of all other assets (see instructions)		1c	
d **Total** (add lines 1a, b, and c)		1d	10,112,531.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	10,112,531.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	151,688.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	9,960,843.
6 **Minimum investment return.** Enter 5% of line 5		6	498,042.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	498,042.
2a Tax on investment income for 2011 from Part VI, line 5	2a 2,427.		
b Income tax for 2011. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b		2c	2,427.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	495,615.
4 Recoveries of amounts treated as qualifying distributions		4	
5 Add lines 3 and 4		5	495,615.
6 Deduction from distributable amount (see instructions)		6	
7 **Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	495,615.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	498,581.
b Program-related investments – total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	498,581.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	498,581.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				495,615.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007 12,945.				
c From 2008 65,673.				
d From 2009 7,287.				
e From 2010 74,116.				
f **Total** of lines 3a through e	160,021.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► $ 498,581.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2011 distributable amount				495,615.
e Remaining amount distributed out of corpus	2,966.			
5 Excess distributions carryover applied to 2011 (*If an amount appears in column (d), the same amount must be shown in column (a).*)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	162,987.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	162,987.			
10 Analysis of line 9.				
a Excess from 2007 12,945.				
b Excess from 2008 65,673.				
c Excess from 2009 7,287.				
d Excess from 2010 74,116.				
e Excess from 2011 2,966.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a 'Assets' alternative test – enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

See Statement 10

b The form in which applications should be submitted and information and materials they should include:

See Statement for Line 2a

c Any submission deadlines:

See Statement for Line 2a

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Statement for Line 2a

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
***a** Paid during the year*				
See Attached Schedule ,		501c3	See Attached Schedule	467,000.
Total			► **3a**	467,000.
***b** Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	16,144.	
4 Dividends and interest from securities			14	152,558.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				168,702.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 168,702.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions.			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 8/13/12 Title: Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Raymond A. Best, CPA	Raymond A. Best, CPA	5/24/12		P00036083

Firm's name ► Best & Sweeney CPA Associates, LLC — Firm's EIN ► 27-0243060

Firm's address ► 900 Lanidex Plaza, Suite 230, Parsippany, NJ 07054-2707 — Phone no (973) 599-0900

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting Fees	$ 8,150.	$ 4,890.		$ 3,260.
Total	$ 8,150.	$ 4,890.	$ 0.	$ 3,260.

Statement 2
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Charitable contribution	$ 100.	$ 60.		$ 100.
Dues	1,240.	744.		496.
Investment Fees	48.	48.		
Office Supplies	595.	357.		238.
Postage	333.	200.		133.
Telephone	358.	215.		143.
Website Maintenance	530.	318.		212.
Total	$ 3,204.	$ 1,942.	$ 0.	$ 1,322.

Statement 3
Form 990-PF, Part II, Line 10a
Investments - U.S. and State Government Obligations

U.S. Government Obligations	Valuation Method	Book Value	Fair Market Value
Educational Fdg Adj 2035	Mkt Val	$ 500,000.	$ 500,000.
Schwab US Treas Money Fd	Mkt Val	317,249.	317,249.
		$ 817,249.	$ 817,249.
Total		$ 817,249.	$ 817,249.

Statement 4
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
iShares Tr MSCI EAFE Index Fd (EFA)	Mkt Val	$ 576,034.	$ 576,034.
iShares Tr Russell 2000 Growth Index Fd	Mkt Val	756,385.	756,385.
iShares Tr Iboxx$ High Yield Corp Bd Fd	Mkt Val	81,828.	81,828.
Powershares Global Exchange Traded Fd	Mkt Val	163,476.	163,476.
S&P 500 Depository Receipt (SPY)	Mkt Val	576,045.	576,045.
SPDR Gold Tr Gold Shs (GLD)	Mkt Val	81,315.	81,315.
SPDR SER Tr DB Intl Govt Inflation Prote	Mkt Val	156,063.	156,063.

Statement 4 (continued)
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

Corporate Stocks	Valuation Method	Book Value	Fair Market Value
SPDR SER Tr S&P Oil & Gas Expl & Prodtn	Mkt Val	$ 75,083.	$ 75,083.
Sector SPDR Tr Shs Ben Int Energy (XLE)	Mkt Val	67,402.	67,402.
Vanguard Sector Index Fds Utils Vipers	Mkt Val	158,393.	158,393.
Vanguard Index Fds Vanguard Growth	Mkt Val	1,056,096.	1,056,096.
Vanguard Index Fds Vanguard Small Cap	Mkt Val	324,314.	324,314.
Wisdomtree Tr Intl Smallcap Divid Fd	Mkt Val	247,512.	247,512.
Vanguard REIT Index Fund (VGSIX)	Mkt Val	188,848.	188,848.
Wintergreen Fund (WGRNX)	Mkt Val	209,855.	209,855.
Barclays Bk Plc Ipath Index Lkd Secs Lkd	Mkt Val	85,408.	85,408.
Currencyshares CDN Dlr Tr CDN Dollars Sh	Mkt Val	99,572.	99,572.
General Electric Co (GE)	Mkt Val	30,447.	30,447.
iShares Inc MSCI Canada Index Fd (EWC)	Mkt Val	117,359.	117,359.
iShares Barclays Treas Inflation Protect	Mkt Val	87,518.	87,518.
iShares Tr MSCI Emerging Mkts Index Fd	Mkt Val	542,163.	542,163.
iShares IBOXX $ Investop Investment Grad	Mkt Val	477,792.	477,792.
SPDR Series Trust GLB Dow ETF (DGT)	Mkt Val	144,117.	144,117.
Vanguard Intl Equity Index Fds MSCI Euro	Mkt Val	44,744.	44,744.
	Total	$ 6,347,769.	$ 6,347,769.

Statement 5
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

Corporate Bonds	Valuation Method	Book Value	Fair Market Value
Fidelity Floating Rate High Inc (FFRHX)	Mkt Val	$ 144,673.	$ 144,673.
	Total	$ 144,673.	$ 144,673.

Statement 6
Form 990-PF, Part II, Line 15
Other Assets

	Book Value	Fair Market Value
Loan Receivable	$ 50,000.	$ 50,000.
Total	$ 50,000.	$ 50,000.

Statement 7
Form 990-PF, Part III, Line 5
Other Decreases

Change in Market Value	$ 277,360.
Total	$ 277,360.

Statement 8
Form 990-PF, Part VII-A, Line 8b
Copies of Form 990-PF to State Officials

New Jersey does not require annual filings or registration for charities whose sole source of contributions is from the foundation's founder.

Statement 9
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compen-sation	Contri-bution to EBP & DC	Expense Account/ Other
Robert E. Dircks 12 Henry Court Mt. Arlington, NJ 07856	Trustee 10.00	$ 16,500.	$ 0.	$ 0.
Thomas C. Dircks 20 North Briarcliff Mountain Lakes, NJ 07046	Trustee 0	0.	0.	0.
Joan Walsh 106 Nathaniel Lane Kennett Square, PA 19348	Trustee 0	0.	0.	0.
Carolyn Van Riper 36 Bridge Street Groton, MA 01450	Trustee 15.00	32,312.	0.	0.
William C. Dircks 21887 Chase Drive Novi, MI 48375	Trustee 0	0.	0.	0.
	Total	$ 48,812.	$ 0.	$ 0.

Statement 10
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program: The Robert and Joan Dircks Foundation
Name: The Robert and Joan Dircks Foundation
Care Of:
Street Address: PO Box 6
City, State, Zip Code: Mountain Lakes, NJ 07046
Telephone: 978-449-0072
Form and Content: The application process is as follows:

Step 1: Determine eligibility by view funding guidelines at the Robert and Joan Dircks Foundation's web site at www.dircksfoundation.org

If the criterion is met, the applicant will fill in the information requested in the on-line grant request information section. (This serves as a letter of inquiry and provides the necessary information needed by the

Statement 10 (continued)
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

review board). Within one week, the applying organization will be contacted regarding the status of the request. If the request is not initially denied the applicant will be provided a user name and password to enable them to submit an application.

Step 2: The applicant will complete the application and forward it, with information requested, to the Foundation. The trustees review applications five times each year, January, March, May, August and November.

Step 3: If a site visit is requested by the Foundation a representative from the Foundation will contact the organization to set a date.

Step 4: After an application has been reviewed at a board meeting, an acknowledgment regarding the status of the application is mailed. The acknowledgement states whether the request has been accepted or rejected, the amount awarded, the project being funded and the timing of when the grant will be mailed.

Step 5: After the program or project has been implemented, the Foundation requires a completed Robert and Joan Dircks Evaluation Form.

Incomplete applications are returned.

Additional grant requests for subsequent years require the same procedure.

Submission Deadlines: None

Restrictions on Awards: Funding Guidelines: The Robert and Joan Dircks Foundation focuses on programs and projects that provide opportunities to children and individuals who are physically, mentally or economically disadvantaged. The Foundation concentrates on small non-profit organizations that provide programs and projects that prevent or solve problems, rather than meet basic needs. Grants are awarded for one year only and typically range from $1,000 to $15,000. Recipients are required to report on the program that was funded and evaluate the effectiveness of the program.

Funding Restrictions: Grants are only awarded to organizations that are tax exempt under section 501(c)3 of the Internal Revenue Code. One grant can be awarded by the Foundation to an organization within one calendar year. The Robert and Joan Dircks Foundation does not award grants for political or lobbying activities, operating budgets, deficit or debt reduction, loans or housing projects. Also, grants are not made to programs of national or international scope.

Robert and Joan Dircks Foundation
Grants and Contributions Paid During the Year or
Approved for Future Payment

RECIPIENT NAME and ADDRESS	DATE	CHECK #	GRANTS PURPOSE	PAID AMOUNT
Cancer Hope Network 2 North Road Suite A Chester, NJ 07930	8/16/2011	1373	Toward partial funding of a Patient Service Coordinator for one year	$ 8,000
Pennsylvania Tourette Syndrome Alliance, Inc. 132 West Middle Street Gettysburg, PA 17325	5/13/2011	1353	Toward the Advocacy and Information Programs	$ 5,000
Brian's House/Camp Joy 3325 Swamp Creek Road Schwenksville, PA 19473			Toward 2011 Camp Joy Camperships - Camp was closed prior to 2011 camp - grant was never sent	$ -
Why Me, Inc. 1152 Pleasant Street Worcester, MA 01602	5/13/2011	1352	Toward the Childhood Cancer Lifeline & Sherry's House Programs	$ 20,000
The Children's Therapy Center 29-01 Berkshire Road Fairlawn, NJ 07410-3750	5/13/2011	1355	Toward scholarships and financial aid to Early Enrichment Program students	$ 15,000
Alzheimer's Association - Greater NJ Chapter 400 Morris Avenue, Suite 251 Denville, NJ 07834	9/20/2011	1376	Toward the Caregiver's Respite Care Program	$ 20,000
Court Appointed Special Advocates 100 Grove Street Worcester, MA 01605	5/13/2011	1361	Toward volunteer recruitment and training	$ 10,000
The Phoenix Center, Inc 16 Monsignor Owens Place Nutley, NJ 07110	3/21/2011	1350	Toward physical/occupational therapy with Rocking Horse Rehab	$ 10,000
Mommy's Light Lives On Fund P.O. Box 494 Lionville, PA 19353	5/13/2011	1362	Toward Tradition Fulfillment Services	$ 15,000
Maternal & Child Health Consortium of Chester County 30 W. Barnard Street, Suite 1 West Chester, PA 19382	5/13/2011	1368	Toward Kennett Square Family Center	$ 7,500
Employment Horizons, Inc. 10 Ridgedale Avenue Cedar Knolls, NJ 07927	12/15/2011	1391	Toward the Healthy Choices Culinary Arts Training Program	$ 7,500
Saint Athanasius Church 2154 61st Street Brooklyn, NY 11204	12/15/2011	1402	Toward Latino student academic scholarships	$ 20,000

RECIPIENT NAME and ADDRESS	DATE	CHECK #	GRANTS PURPOSE	PAID AMOUNT
All Saints Community Service & Development Corporation 601 Jackson Street Hoboken, NJ 07030	12/15/2011	1392	Toward the Jubilee Center Children's Program	$ 5,000
Roots & Wings Foundation 115 Route 46 West, Suite F1000 Mountain Lakes, NJ 07046	12/15/2011	1393	Toward Case Management Services	$ 5,000
Quest Therapeutic Services 461 Cann Road West Chester, PA 19382	12/15/2011	1394	Toward the Raintree Systems Pediatric Therapy Plus software	$ 17,000
The Alcove, Center for Grieving Children & Families, Inc. 950 Tilton Road, Suite 108 Northfield, NJ 08225	12/15/2011	1395	Toward volunteer facilitator training session	$ 6,000
Volunteer Management Centers, Inc. (VMC) 280 West Hanover Avenue Morristown, NJ 07960	5/13/2011	1359	Toward the Friendship at Heart Programs	$ 7,500
Happiness Is Camping 2169 Grand Concourse Bronx, NY 10453	3/21/2011	1349	Toward direct medical expenses for 2011 camp	$ 15,000
Main Street Counseling Center 8 Marcella Avenue West Orange, NJ 07052	12/15/2011	1397	Toward the School-Based Counseling program	$ 7,500
Collier Youth Services 160 Conover Road Wickatunk, NJ 07765	5/13/2011	1356	Toward 2011 Kateri Day Camp scholarships	$ 5,000
Ian Cali Fund 3535 Market Street, St. 750 Philadelphia, PA 19104	5/13/2011	1360	Toward FOP research	$ 7,500
Guest House, Inc. 1601 Joslyn Road P.O. Box 420 Lake Orion, MI 48360	9/20/2011	1377	Toward Annual Conference on Addictions materials	$ 5,000
Medical Needs Foundation P O. Box 303 Mountain Lakes, NJ 07046	9/20/2011	1378	Toward the Family Support Porgram	$ 20,000
Haven Of Hope For Kids PO Box 292 Hope, NJ 07844	5/13/2011	1354	Toward the 2011 program cycle	$ 7,500

RECIPIENT NAME and ADDRESS	DATE	CHECK #	GRANTS PURPOSE	PAID AMOUNT
Catholic Social Services of Morris County, Inc d/b/a Hope House 19-21 Belmont Avenue Dover, NJ 07802	12/15/2011	1396	Toward the Chore Program	$ 5,000
Saint Barnabas High School 425 East 240th Street Bronx, NY 10470	12/15/2011	1403	Toward the Sr. Georgette Dircks Scholarship Fund	$ 10,000
Prevent Blindness Tri-State 101 Whitney Avenue, STE 301-Corporate Headquarters New Haven, CT 06510	5/13/2011	1357	Toward the Healthy Eyes for New Jersey Kids Project	$ 5,000
The Valerie Fund 2101 Millburn Avenue Maplewood, NJ 07040	5/13/2011	1363	Toward the Child Life and Psychosocial Services Program	$ 10,000
College of Saint Elizabeth 2 Convent Road Morristown, NJ 07960	5/13/2011	1370	Toward education department programs	$ 2,500
North Jersey Navigators, Inc. P.O. Box 1517 Bayonne, NJ 07002	5/13/2011	1364	Toward uniforms and new equipment	$ 5,000
Saint Clare's Foundation, Inc. 140 Diamond Spring Road Denville, NJ 07834	4/1/2011	1351	Toward patient programs and services	$ 10,000
Interfaith Council for Homeless Families of Morris County P.O. Box 1494 Morristown, NJ 07962-1494	8/16/2011	1375	Toward the Permanent Supportive Housing Program	$ 10,000
Loaves & Fishes P.O. Box 1 Ayer, MA 01432	12/15/2011	1404	Toward products for the food pantry	$ 1,000
The Lake Drive Foundation for Deaf & Hard of Hearing Children P.O. Box 155 Mountain Lakes, NJ 07046	8/16/2011	1372	Toward the Sound Start Program	$ 15,000
Visiting Nurse Association of Northern New Jersey Affiliated Health Services, Inc. 175 South Street Morristown, NJ 07960	5/13/2011	1365	Toward client's daily meals at Friendship House.	$ 7,500
Community Soup Kitchen and Outreach Center 36 South Street Morristown, NJ 07960	9/21/2011	1379	Toward the Outreach Center Program	$ 5,000

RECIPIENT NAME and ADDRESS	DATE	CHECK #	GRANTS PURPOSE	PAID AMOUNT
Children on the Green 50 Park Place Morristown, NJ 07960	12/15/2011	1398	Toward the Shelter Slot Program	$ 2,500
Catholic Leadership Institute 440 East Swedesford Road Wayne, PA 19087	10/6/2011	1388	Toward the national campaign and 2011 Awards for Outstanding Leadership Dinner	$ 5,000
St. Cornelius Catholic Church 160 Ridge Road Chadds Ford, PA 19317	10/6/2011	1389	Toward the St. Cornelius Capital Campaign	$ 5,000
Somerset Home for Temporarily Displaced Children 49 Brahma Avenue Bridgewater, NJ 08807-0871	12/15/2011	1399	Toward 20 finanical literacy workshops	$ 5,000
Academy of Clinical and Applied Psychoanalysis 301 S Livingston Ave. Livingston, NJ 07039	9/22/2011	1380	Toward Joining Forces' tuition assistance program	$ 10,000
Adoption Center of Delaware Valley 1500 Walnut Street, Suite 701 Philadelphia, PA 19102	12/15/2011	1405	Toward the Teen Match Party Events	$ 5,000
FISH Hospitality Program, Inc. 456 New Market Road Piscataway, NJ 08854	9/22/2011	1381	Toward the shelter program	$ 5,000
HomeSharing, Inc. 120 Finderne Ave. Bridgewater, NJ 08807	9/22/2011	1382	Toward HomeSharing program	$ 7,500
The Crisis Ministry of Princeton and Trenton 123 Hanover Street Trenton, NJ 08608	5/13/2011	1358	Toward the Homelessness Prevention Direct Aid Program	$ 5,000
FC Greater Boston Bolts P.O. Box 50 Waban, MA 02468	5/13/2011	1371	Toward athletic scholarships	$ 1,500
St. Paul's Episcopal Church 451 Van Houten Street Paterson, NJ 07501	8/16/2011	1374	Toward the after school program	$ 5,000
Angel Flight East 1501 Narcissa Rd Blue Bell, PA 19442	5/13/2011	1366	Toward medical missions and the emergency fund	$ 5,000
Adler Aphasia Center 60 West Hunter Ave Maywood, NJ 07607	9/22/2011	1383	Toward the new app technology initiative	$ 10,000

RECIPIENT NAME and ADDRESS	DATE	CHECK #	GRANTS PURPOSE	PAID AMOUNT
Healing the Children New Jersey 112 5th Avenue Hawthorne, NJ 07506	9/22/2011	1384	Toward Domestic Aid Program	$ 5,000
Mine Hill Fire Department 230 Rt 46 Mine Hill, NJ 07803	10/6/2011	1387	Toward annual fundraiser	$ 1,000
St. Bonaventure Athletic Fund P.O Box G St. Bonaventure, NY 14778	5/13/2011	1369	Toward Team Locker Room improvements	$ 5,000
The Progeria Research Foundation Inc. P.O. Box 3453 Peabody, MA 01961-3453	12/15/2011	1400	Toward the Progeria 4-Drug Trial	$ 15,000
March of Dimes - Pennsylvania Chapter 1019 West 9th Avenue King of Prussia, PA 19406	10/6/2011	1386	These funds will be used for research to end premature birth.	$ 2,500
Boys & Girls Clubs of Gloucester County 15 E. High Street, Suite F Glassboro, NJ 08028	5/13/2011	1367	Toward the Power Hour program	$ 5,000
North Porch Women & Infants' Centers 31 Mulberry Street Newark, NJ 07102	12/5/2011	1390	Toward the purchase of food	$ 5,000
Celtic Charms Therapeutic Horsemanship 671 Fort Plains Rd. Howell, NJ 07731	12/15/2011	1401	Toward the Pink Sock Scholarship Program and Farm Maintenance	$ 20,000
Wings for Success 490 Lancaster Ave., PO Box 1184 Frazer, PA 19355	9/22/2011	1385	Toward clothing inventory	$ 5,000
TOTAL GRANTS				**$ 467,000**

Form **8868** (Rev January 2012)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions Robert & Joan Dircks Foundation, Inc.	Employer identification number (EIN) or [X] 22-3135737
	Number, street, and room or suite number If a P O box, see instructions P. O. Box 6	Social security number (SSN) [ ]
	City, town or post office, state, and ZIP code For a foreign address, see instructions Mountain Lakes, NJ 07046-0006	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► Thomas C. Dircks

Telephone No. ► 973-299-6344 FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ► [ ] If it is for part of the group, check this box ► [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 12, to file the exempt organization return for the organization named above The extension is for the organization's return for

► [X] calendar year 20 11 or

► [ ] tax year beginning ________, 20 ___, and ending ________, 20 ___.

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	**3a**	$ 2,421.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	**3b**	$ 2,513.
c Balance due. Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	**3c**	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions