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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	20,355	96,520	96,520
	2	Savings and temporary cash investments . . . . .	152,071	350,616	350,616
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .			
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	5,030,415	4,309,590	5,037,039
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,202,841	4,756,726	5,484,175	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	5,202,841	4,756,726	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	5,202,841	4,756,726	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	5,202,841	4,756,726	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1 5,202,841
2	Enter amount from Part I, line 27a . . . . .	2 -458,003
3	Other increases not included in line 2 (itemize) ▶ _____	3 16,349
4	Add lines 1, 2, and 3 . . . . .	4 4,761,187
5	Decreases not included in line 2 (itemize) ▶ _____	5 4,461
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6 4,756,726

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-144,956
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	433,709	5,498,577	0 078877
2009	510,474	5,257,633	0 097092
2008	451,678	8,408,276	0 053718
2007	205,114	7,279,615	0 028176
2006	214,150	5,288,486	0 040494

2	Total of line 1, column (d). . . . .	2	0 298357
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 059671
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5. . . . .	4	5,456,438
5	Multiply line 4 by line 3. . . . .	5	325,591
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	532
7	Add lines 5 and 6. . . . .	7	326,123
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	387,790

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a

Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1

Date of ruling or determination letter \_\_\_\_\_ (attach copy of letter if necessary—see instructions)

b

Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b . . . . .

c

All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)

2

Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3

Add lines 1 and 2. . . . .

4

Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5

Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .

6

Credits/Payments

a

2011 estimated tax payments and 2010 overpayment credited to 2011

6a

2,800

b

Exempt foreign organizations—tax withheld at source . . . . .

6b

c

Tax paid with application for extension of time to file (Form 8868)

6c

d

Backup withholding erroneously withheld . . . . .

6d

7

Total credits and payments. Add lines 6a through 6d. . . . .

8

Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached

9

Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .

10

Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .

11

Enter the amount of line 10 to be Credited to 2012 estimated tax ☒ 2,268 Refunded ☐

1

532

2

0

3

532

4

0

5

532

7

2,800

8

9

10

2,268

11

0

Part VII-AStatements Regarding Activities

1a

During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .

b

Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c

Did the foundation file Form 1120-POL for this year? . . . . .

d

Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

(1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0

e

Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0

2

Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .

If "Yes," attach a detailed description of the activities.

3

Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .

4a

Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .

b

If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .

5

Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .

If "Yes," attach the statement required by General Instruction T.

6

Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .

7

Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8a

Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☒ NJ

b

If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .

9

Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .

10

Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

Yes

No

1a

No

1b

No

1c

No

2

No

3

No

4a

No

4b

5

No

6

Yes

7

Yes

8b

Yes

9

No








10

Yes

Form 990-PF (2011)

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address  N/A	13	Yes	
14	The books are in care of  ALAN AUFZIEN Telephone no  (973) 575-5132 Located at  350 PASSAIC AVENUE FAIRFIELD NJ ZIP +4  07004			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here  and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country 				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.  Yes  No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.  Yes  No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ).  Yes  No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. 	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?.  Yes  No If "Yes," list the years  20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see page 20 of the instructions ).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.  Yes  No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i> ).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.		5b		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?				
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b		No
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?				
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3. . . . .	0



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	5,072,787
b	Average of monthly cash balances. . . . .	1b	466,744
c	Fair market value of all other assets (see page 24 of the instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	5,539,531
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	5,539,531
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . .	4	83,093
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,456,438
6	Minimum investment return. Enter 5% of line 5. . . . .	6	272,822

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	272,822
2a	Tax on investment income for 2011 from Part VI, line 5. . . . .	2a	532
b	Income tax for 2011 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	532
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	272,290
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	272,290
6	Deduction from distributable amount (see page 25 of the instructions). . . . .	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	272,290

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	387,790
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	387,790
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . .	5	532
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	387,258
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				272,290
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006.				
b From 2007.				
c From 2008.				32,974
d From 2009.				247,662
e From 2010.				164,362
f Total of lines 3a through e.	444,998			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 387,790				
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions).	0			
d Applied to 2011 distributable amount.				272,290
e Remaining amount distributed out of corpus	115,500			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	560,498			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions.		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).	0			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a.	560,498			
10 Analysis of line 9				
a Excess from 2007.				
b Excess from 2008.				32,974
c Excess from 2009.				247,662
d Excess from 2010.				164,362
e Excess from 2011.				115,500

Part XIVPrivate Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

ALAN AUFZIEN

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

ALAN AUFZIEN  
350 PASSAIC AVENUE  
FAIRFIELD, NJ 07004  
(973) 575-5132

b

The form in which applications should be submitted and information and materials they should include

LETTER

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Form 990-PF (2011)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	387,790
b Approved for future payment				
Total . . . . .			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b>	Program service revenue					
<b>a</b>	_____					
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>f</b>	_____					
<b>g</b>	Fees and contracts from government agencies					
<b>2</b>	Membership dues and assessments. . . .					
<b>3</b>	Interest on savings and temporary cash investments					
<b>4</b>	Dividends and interest from securities. . . .			14	114,006	
<b>5</b>	Net rental income or (loss) from real estate					
<b>a</b>	Debt-financed property. . . . .					
<b>b</b>	Not debt-financed property. . . . .					
<b>6</b>	Net rental income or (loss) from personal property					
<b>7</b>	Other investment income. . . . .			14	2,711	
<b>8</b>	Gain or (loss) from sales of assets other than inventory			14	-161,416	
<b>9</b>	Net income or (loss) from special events					
<b>10</b>	Gross profit or (loss) from sales of inventory. .					
<b>11</b>	Other revenue <b>a</b> _____					
<b>b</b>	_____					
<b>c</b>	_____					
<b>d</b>	_____					
<b>e</b>	_____					
<b>12</b>	Subtotal Add columns (b), (d), and (e). .		0		-44,699	0
<b>13</b>	<b>Total.</b> Add line 12, columns (b), (d), and (e). . . . .			<b>13</b>		-44,699

[illegible]

<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		<b>Yes</b>	<b>No</b>
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash. . . . .	<b>1a(1)</b>		<b>No</b>
	(2) Other assets. . . . .	<b>1a(2)</b>		<b>No</b>
<b>b</b>	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization. . . . .	<b>1b(1)</b>		<b>No</b>
	(2) Purchases of assets from a noncharitable exempt organization. . . . .	<b>1b(2)</b>		<b>No</b>
	(3) Rental of facilities, equipment, or other assets. . . . .	<b>1b(3)</b>		<b>No</b>
	(4) Reimbursement arrangements. . . . .	<b>1b(4)</b>		<b>No</b>
	(5) Loans or loan guarantees. . . . .	<b>1b(5)</b>		<b>No</b>
	(6) Performance of services or membership or fundraising solicitations. . . . .	<b>1b(6)</b>		<b>No</b>
<b>c</b>	Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .	<b>1c</b>		<b>No</b>
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.			

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
--------------------------	--------------------------	---------------------------------

May the IRS discuss this return with the preparer shown above? See instructions . . . . . ☒ Yes ☐ No

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury  
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2011

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)( ) (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule—

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> AUFZIEN FOUNDATION INC	<b>Employer identification number</b> 22-2838652
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Part I

Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ALAN AUFZIEN 350 PASSAIC AVENUE  FAIRFIELD, NJ 07004	\$ 40,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
—		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
—		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
—		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
—		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
—		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )
—		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II if there is a noncash contribution )



Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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<div>Part II</div> <div>Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed</div>			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	<div></div> <div></div> <div></div> <div></div>	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	<div></div> <div></div> <div></div> <div></div>	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	<div></div> <div></div> <div></div> <div></div>	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	<div></div> <div></div> <div></div> <div></div>	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	<div></div> <div></div> <div></div> <div></div>	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	<div></div> <div></div> <div></div> <div></div>	\$ _____	_____

Name of organization AUFZIEN FOUNDATION INC	Employer identification number 22-2838652
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Part III

**Exclusively** religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry )  
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions ) ➤ \$  
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	
—	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	<div></div>	<div></div>	<div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	<div></div>	<div></div>	
	<div></div>	<div></div>	

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 22-2838652  
**Name:** AUFZIEN FOUNDATION INC

**Form 990PF - Special Condition Description:**

<b>Special Condition Description</b>
--------------------------------------

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
CMS STRUCTURED PRODUCTS FUND	P		
GEMS FUND LP	P		
GEMS FUND LP	P		
GOLUB CAPITAL PARTNERS VII LP	P		
GOLUB CAPITAL PARTNERS VII LP	P		
GOLUB CAPITAL PARTNERS V LP	P		
GOLUB CAPITAL PARTNERS V LP	P		
MUUS INDEDENDENCE FUND LP	P		
MUUS INDEDENDENCE FUND LP	P		
MUUS INDEDENDENCE FUND LP	P		
1,624 416 SHS ARIEL APPRECIATION FD	P	2008-12-08	2011-02-25
806 623 SHS ARIEL APPRECIATION FD	P	2010-04-29	2011-02-25
3,775 097 SHS DIAMOND HILL LONG SHORT FD CL A	P	2009-03-18	2011-02-25
20,991 253 SHS EAS GLOBAL CYCLE FD CL I	P	2011-02-25	2011-08-25
1,727 781 SHS FAIRHOLME	P	2006-08-17	2011-02-25
1,238 479 SHS FBR FOCUS FD INV CL	P	2009-08-03	2011-02-25
340 039 SHS FBR FOCUS FD INV CL	P	2010-04-30	2011-02-25
2,321 718 SHS FPA CRESCENT	P	2010-05-28	2011-06-15
1,907 048 SHS FPA CRESCENT	P	2010-07-01	2011-06-15
2,474 619 SHS GREENSPRING FUND	P	2006-07-14	2011-06-15
1 092 034 SHS GREENSPRING FUND	P	2007-07-18	2011-06-15
7,480 762 SHS HUSSMAN STRATEGIC GROWTH	P	2010-05-28	2011-02-25
6,847 182 SHS LEUTHOLD ASSET	P	2008-10-14	2011-02-25
1,663 286 SHS LEUTHOLD ASSET	P	2010-09-20	2011-02-25
2,277 299 SHS LONGLEAF PARTNERS INTL FD	P	2010-01-15	2011-02-25
4,745 499 SHS LONGLEAF PARTNERS INTL FD	P	2010-03-17	2011-02-25
1,569 SHS MARKET VECTORS EFT TR AGRIBUSINESS	P	2011-04-27	2011-06-21
2,568 SHS MARKET VECTORS EFT TR GOLD MINERS	P	2011-04-12	2011-12-15
1,703 SHS MEDTRONIC INC	P	2011-04-01	2011-09-20
2,711 042 SHS NATIXIS GATEWAY Y	P	2008-05-22	2011-05-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1,133 293 SHS NATIXIS GATEWAY Y	P	2011-02-25	2011-05-25
4,353 SHS NOKIA CORP	P	2011-09-06	2011-10-07
3,018 26 SHS OSTERWEIS FD	P	2008-12-18	2011-06-07
623 015 SHS OSTERWEIS FD	P	2010-12-03	2011-06-07
6,023 104 SHS PAYDEN HIGH INCOME FD	P	2009-04-15	2011-07-05
3,755 48 SHS PAYDEN HIGH INCOME FD	P	2010-09-17	2011-07-05
1,533 058 SHS PERMNANT PORTFOLIO	P	2007-06-12	2011-02-25
253 103 SHS PERMNANT PORTFOLIO	P	2010-09-17	2011-02-25
1,064 SHS PROSHARES SHORT DOW 30	P	2010-09-17	2011-03-01
659 SHS PORSHARES SHORT S&P 500	P	2011-04-01	2011-04-28
4,728 SHS SECTOR SPDR UTIL SELECT	P	2011-09-22	2011-11-25
630 SHS SPDR GOLD TR	P	2011-03-01	2011-06-30
3,145 55 SHS YACKMAN FOCUSED FD	P	2010-11-09	2011-03-10
108 SHS ISHARES IBOXX \$ HIGH YIELD CORPORATE BD FD	P	2011-01-06	2011-08-16
244 SHS ISHARES S&P NORTH AMERICAN NATL RESOURCES	P	2010-11-09	2011-11-02
200 SHS ISHARES SILVER TR	P	2010-08-27	2011-01-19
10 SHS ISHARES TR JP MORGAN USD EMERGING MKTS BD FD	P	2009-10-29	2011-05-18
685 SHS ISHARES TR S&P US PFD STK INDEX FD	P	2009-10-29	2011-09-06
4 SHS ISHARES TRUST BARCLAYS	P	2009-10-29	2011-05-18
27 SHS ISHARES TR MSCI EMERGING MKTS INDEX FD	P	2009-10-29	2011-05-18
23 SHS ISHARES TR RUSSELL 1000 GROWTH INDEX FFD	P	2009-10-29	2011-05-18
174 SHS ISHARES TR RUSSELL MIDCAP GROWTH INDEX FD	P	2009-10-29	2011-05-12
20 SHS MARKET VECTORS ETF EMERGING MKTS LOCAL	P	2011-01-06	2011-05-18
4 SHS MARKET VECTORS ETF TR GOLD MINERS	P	2010-11-09	2011-05-18
41 SHS SPDR GOLD TR	P	2009-10-29	2011-05-18
365 SHS SPDR INDEX SHARES FD S&P INTL SM CAP	P	2010-10-13	2011-09-23
198 SHS SPDR INDEX SHARES FD SPDR EMERGING MKT	P	2010-04-28	2011-09-30
172 SHS SPDR INDEX SHARES FD SPDR EMERGING MKT	P	2010-10-06	2011-09-30
120 SHS IPATH MSCI INDIA ETN DE	P	2008-01-29	2011-07-11
428 SHS ISAHRES MSCI EAFE INDEX FD	P	2008-01-01	2011-07-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
14 SHS ISHARES TR RUSSELL 1000 VALUE INDEX FD	P	2008-01-29	2011-07-11
147 SHS AIR PROD & CEHM	P	2011-06-28	2011-08-26
211 SHS AMER TOWER CORP CL A	P	2009-05-08	2011-12-08
137 SHS BHP BILLITON LIMITED	P	2009-12-23	2011-08-15
92 SHS BHP BILLITON LIMITED	P	2010-12-27	2011-08-15
93 SHS BLACKROCK INC	P	2010-10-25	2011-12-08
408 SHS BOEING COMANY	P	2010-11-23	2011-07-01
182 SHS BORG WARNER INC	P	2010-08-25	2011-12-08
25 SHS C H ROBINSON WORLDWIDE	P	2011-08-12	2011-12-08
157 SHS CATERPILLAR INC	P	2009-09-18	2011-08-19
403 SHS COCA-COLA ENT	P	2010-10-13	2011-01-19
204 SHS CUMMINS INC	P	2010-10-07	2011-06-01
218 SHS DEERE & CO	P	2010-12-10	2011-04-21
60 SHS DISCOVERY COMM	P	2010-12-02	2011-11-28
92 SHS DISCOVERY COMM	P	2010-12-02	2011-12-08
264 SHS EATON CORP	P	2011-07-15	2011-08-15
1,047 SHS EBAY INC	P	2011-03-22	2011-12-08
205 SHS ECOLAB INC	P	2010-07-08	2011-01-19
307 SHS EL PASO CORP	P	2011-05-27	2011-12-08
330 38 SHS ENTERPRISE PRODUCTS PTR	P	2006-12-31	2011-04-13
127 SHS EOG RESOURCES	P	2011-03-07	2011-08-15
260 SHS EXPRESS SCRIPTS	P	2011-08-17	2011-10-11
170 SHS FORTUNE BRANDS INC	P	2011-06-09	2011-09-20
125 SHS GOLDMAN SACHS GROUP INC	P	2009-04-07	2011-08-08
45 SHS GOLDMAN SACHS GROUP INC	P	2011-04-20	2011-08-08
646 SHS HEWLETT PACKARD	P	2011-03-22	2011-05-27
360 SHS HUNT JB TRANS	P	2010-09-24	2011-12-08
360 SHS INGERSOLL RAND CO LTD	P	2011-07-18	2011-08-16
84 SHS INTL BUSINESS MACH	P	2009-10-23	2011-12-08
231 SHS INTL FLAVORS & FRGRNCS	P	2011-03-16	2011-08-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
53 SHS IRON MTN	P	2011-08-10	2011-12-08
180 SHS ITT CORP	P	2011-01-18	2011-03-10
148 SHS LIBRIZOL CORP	P	2010-12-03	2011-03-28
193 SHS MONSANTO CO	P	2010-10-22	2011-12-08
647 SHS MORGAN STANLEY	P	2011-05-04	2011-08-08
114 SHS MSCI CL A	P	2011-02-24	2011-12-08
77 SHS NEXTERA ENERGY INC	P	2009-04-08	2011-12-08
145 SHS NEILSEN HOLDINGS B V	P	2011-03-24	2011-12-08
164 SHS NORFOLK STHN CORP	P	2010-01-12	2011-01-27
169 SHS OCCIDENTIAL PETROLEUM CORP	P	2010-08-16	2011-02-02
176 SHS PALL CORP	P	2010-12-22	2011-12-08
91 SHS PROCTER & GAMBLE CO	P	2009-04-22	2011-02-18
229 SHS PROCTER & GAMBLE CO	P	2010-08-19	2011-02-18
275 SHS ROVI CORP	P	2010-08-18	2011-05-16
167 SHS SCHLUMBERGER LTD NETHERLANDS	P	2010-10-22	2011-04-27
104 SHS SOLERA HOLDING INC	P	2011-08-10	2011-12-22
368 SHS SUNCOR ENERGY INC	P	2009-05-08	2011-10-05
178 SHS SUNCOR ENERGY INC	P	2011-07-13	2011-10-05
200 SHS TECK RESOURCES LTD CL B	P	2011-03-24	2011-05-12
73 SHS TEEKY CORP	P	2010-09-28	2011-12-08
152 SHS THERMO FISHER SCIENTIFIC	P	2011-11-01	2011-12-28
405 SHS TRANSCANADA	P	2011-08-22	2011-11-17
16 SHS UNITED PARCEL SERVICE	P	2010-06-22	2011-06-16
217 SHS UNITED PARCEL SERVICE	P	2010-05-27	2011-12-08
180 SHS VERISK ANALYTICS INC CL A	P	2009-11-30	2011-12-08
49 SHS VISA INC CL A	P	2011-07-14	2011-12-08
145 SHS BHP BILLITON LIMITED	P	2007-12-12	2011-10-27
20 SHS BRITISH AMERN TOB PLC	P	2007-12-12	2011-04-25
60 SHS CAMERON INTERNATIONAL	P	2007-12-12	2011-10-27
15 SHS CORE LABORATORIES	P	2007-12-12	2011-10-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
40 SHS MANULIFE FINANCIAL	P	2007-12-12	2011-10-27
35 SHARES NESTLE SA	P	2007-12-12	2011-04-25
170 SHS NOBLE CORP	P	2007-12-12	2011-10-27
30 SHS RIO TINTO	P	2007-12-12	2011-10-27
95 SHS SCHLUMBERGER LTD	P	2007-12-12	2011-10-27
59 SHS TRANSOCEAN INC	P	2007-12-12	2011-10-27
59 SHS TRANSOCEAN INC	P	2007-12-12	2011-10-27
150 SHS WEATHERFORD INTL LTD	P	2007-12-12	2011-10-27
200 SHS BANK OF MONTREAL	P	2011-12-05	2011-12-21
1 CALL ABV	P	2011-11-09	2011-12-17
2 CALLS BMO	P	2011-12-05	2011-12-20
3 CALLS CI	P	2011-11-07	2011-12-17
3 CALLS DRI	P	2011-11-14	2011-12-23
4 CALLS IP	P	2011-11-07	2011-12-17
2 CALLS PM	P	2011-11-09	2011-12-16
3 CALLS UNH	P	2011-11-14	2011-12-17
2 CALLS YUM	P	2011-11-07	2011-12-17
1 CALL YUM	P	2011-11-10	2011-12-17
300 SHS DAREN RESTAURANTS INC	P	2011-11-14	2011-12-23
100 SHS NABORS INTERNATIONAL	P	2011-04-25	2011-10-27
200 SHS PHILIP MORRIS INTL INC	P	2011-11-09	2011-12-19
145 SHS SUNCOR ENERGY	P	2011-04-25	2011-10-27
20 SHS TRANSOCEAN INC	P	2011-04-25	2011-10-27
300 SHS UNITEDHEALTH GROUP INC	P	2011-11-14	2011-12-19
425 SHS WEATHERFORD INTL LTD	P	2011-04-25	2011-10-27
200 SHS YUM BRANDS INC	P	2011-11-07	2011-12-19
100 SHS YUM BRANDS INC	P	2011-11-10	2011-12-19
145 SHS AGRUIM INC	P	2008-12-30	2011-10-27
175 SHS AXA	P	2007-12-31	2011-10-27
130 SHS BASF SE	P	2008-06-03	2011-10-27



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
140 SHS BHP BILLITON LTD	P	2007-12-31	2011-10-27
135 SHS BRITISH AMERICAN TOBACCO	P	2008-01-16	2011-10-27
65 SHS BUNGE	P	2008-12-30	2011-03-22
150 SHS CAMERON INTERNATIONAL	P	2008-01-16	2011-10-27
300 SHS CANADIAN NATL RAILWAY	P	2008-06-26	2011-10-27
170 SHS CANADIAN NATURAL RESOURCES	P	2010-02-23	2011-10-27
215 SHS CANADIAN PACIFIC RAILWAY	P	2007-12-31	2011-10-27
300 SHS COOPER INDUSTRIES	P	2007-12-31	2011-10-27
70 SHS CORE LABORATORIES	P	2007-12-31	2011-10-27
165 SHS DIAGEO	P	2007-12-31	2011-10-27
520 SHS ENSIGN ENERGY SERVICES INC	P	2008-01-16	2011-10-27
93 999 SHS FIBRA CELULOSE S A	P	2009-11-18	2011-03-22
35 SHS FINNING INTL INC	P	2010-12-23	2011-10-27
140 SHS INGERSOL RAND	P	2009-06-30	2011-10-27
100 SHS MANULIFE FINANCIAL	P	2008-01-16	2011-10-27
480 SHS NABORS INDUSTRIES LTD	P	2007-12-31	2011-10-27
352 SHS NESTLE SA	P	2007-12-13	2011-10-27
280 SHS NOBLE CORP	P	2008-01-16	2011-10-27
425 SHS NOVARTIS AG	P	2007-12-31	2011-10-27
65 SHS PARTNERRE LTD	P	2007-12-31	2011-10-27
420 SHS POTASH CORP	P	2007-12-31	2011-10-27
230 SHS RIO TINTO	P	2008-01-16	2011-10-27
120 SHS SCHLUMBERGER LTD	P	2008-01-16	2011-10-27
220 SHS SUNCOR ENERGY INC	P	2008-07-01	2011-10-27
205 SHS TALISMAN ENERGY INC	P	2010-02-23	2011-10-27
195 SHS TECK RESOURCES LTD	P	2007-12-31	2011-04-25
225 SHS TENARIS SA	P	2007-12-31	2011-10-27
82 SHS TRANSOCEAN INC	P	2008-07-01	2011-10-27
250 SHS UNILEVER N V	P	2007-12-31	2011-10-27
515 SHS VALE S A	P	2007-12-31	2011-10-27

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
325 SHS WEATHERFORD INTL LTD	P	2007-12-31	2011-10-27
BERNSTEIN GLOBAL OPPORTUNITIES FUND INC	P		
CMS PRIVATE REIT LP	P		
GC 2009 OPPORTUNITY FUND LP	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			1,043
			946
			1,512
			-346
			-130
			-60
			1,039
			-1,024
			-714
			-1,229
72,173		43,604	28,569
35,838		21,652	14,186
63,157		51,657	11,500
211,557		217,085	-5,528
61,059		44,616	16,443
61,367		49,840	11,527
16,849		13,684	3,165
64,942		57,335	7,607
53,343		48,332	5,011
60,207		57,786	2,421
26,569		26,595	-26
89,884		99,005	-9,121
73,237		61,774	11,463
17,790		15,006	2,784
35,811		31,750	4,061
74,623		65,520	9,103
80,642		88,450	-7,808
140,491		157,192	-16,701
58,527		63,227	-4,700
72,225		76,277	-4,052

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
30,192		29,897	295
22,626		28,381	-5,755
83,999		60,911	23,088
17,339		12,573	4,766
43,788		40,037	3,751
27,302		24,964	2,338
71,532		56,357	15,175
11,810		10,654	1,156
45,106		52,274	-7,168
26,905		27,312	-407
161,686		161,400	286
90,200		86,816	3,384
58,602		55,594	3,008
9,375		9,835	-460
9,531		10,012	-481
5,680		3,470	2,210
1,088		1,019	69
25,435		25,712	-277
441		413	28
1,287		1,054	233
1,409		1,070	339
10,963		7,282	3,681
543		531	12
221		245	-24
5,523		4,210	1,313
9,243		10,647	-1,404
7,998		10,486	-2,488
6,718		9,109	-2,391
8,382		9,866	-1,484
25,654		21,911	3,743

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
959		1,044	-85
11,171		13,479	-2,308
12,151		6,432	5,719
8,260		8,903	-643
5,547		7,335	-1,788
16,181		15,765	416
29,113		26,542	2,571
11,952		8,071	3,881
1,727		1,629	98
15,079		8,936	6,143
9,891		9,050	841
22,369		18,235	4,134
19,862		18,015	1,847
2,243		2,144	99
3,469		3,288	181
10,439		13,666	-3,227
30,863		28,269	2,594
10,142		9,202	940
7,740		6,243	1,497
14,219		9,870	4,349
11,465		13,702	-2,237
9,336		12,171	-2,835
9,825		10,699	-874
17,478		17,693	-215
5,960		6,975	-1,015
23,256		26,953	-3,697
13,819		12,770	1,049
10,361		16,609	-6,248
14,351		10,281	4,070
12,706		13,346	-640

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,590		1,619	-29
10,346		10,912	-566
19,803		15,625	4,178
13,958		11,134	2,824
13,600		16,884	-3,284
3,851		4,198	-347
4,333		4,044	289
4,295		3,900	395
10,538		8,610	1,928
16,022		12,941	3,081
8,239		8,802	-563
5,832		4,702	1,130
14,677		14,331	346
16,580		12,990	3,590
15,033		10,590	4,443
4,688		5,493	-805
9,421		11,782	-2,361
4,557		7,193	-2,636
10,108		10,697	-589
2,018		1,977	41
6,941		7,612	-671
16,063		17,302	-1,239
1,096		990	106
14,626		13,490	1,136
6,936		5,029	1,907
4,679		4,312	367
13,063		6,197	6,866
1,725		1,092	633
3,132		2,987	145
1,648		1,066	582

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
565		1,288	-723
2,149		3,015	-866
6,373		5,919	454
1,762		6,701	-4,939
7,229		5,926	1,303
3,538		4,207	-669
3,538		4,207	-669
2,475		6,035	-3,560
10,662		11,763	-1,101
390			390
320		17	303
309			309
345		21	324
400			400
370			370
330			330
326			326
176			176
13,728		14,178	-450
1,897		3,172	-1,275
14,000		13,938	62
4,832		6,512	-1,680
1,199		1,469	-270
14,400		14,094	306
7,013		8,772	-1,759
11,000		10,898	102
5,500		5,473	27
12,592		4,703	7,889
3,164		5,627	-2,463
10,722		9,385	1,337

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,757		11,015	742
12,624		9,612	3,012
4,504		3,229	1,275
7,830		3,621	4,209
23,286		14,128	9,158
6,215		5,743	472
13,295		13,540	-245
17,430		12,976	4,454
6,044		3,621	2,423
14,109		12,701	1,408
8,493		8,903	-410
1,461		1,429	32
858		953	-95
4,456		2,926	1,530
1,413		3,493	-2,080
9,106		14,239	-5,133
20,960		16,444	4,516
10,497		15,989	-5,492
24,884		23,348	1,536
4,047		4,861	-814
21,742		14,371	7,371
13,505		20,002	-6,497
9,132		11,848	-2,716
7,331		11,171	-3,840
2,985		3,686	-701
10,747		7,246	3,501
7,781		9,702	-1,921
4,917		12,366	-7,449
8,727		7,671	1,056
13,719		16,688	-2,969



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,363		12,721	-7,358
			-381,637
			72,246
			7,223
1,262			1,262

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,043
			946
			1,512
			-346
			-130
			-60
			1,039
			-1,024
			-714
			-1,229
			28,569
			14,186
			11,500
			-5,528
			16,443
			11,527
			3,165
			7,607
			5,011
			2,421
			-26
			-9,121
			11,463
			2,784
			4,061
			9,103
			-7,808
			-16,701
			-4,700
			-4,052

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			295
			-5,755
			23,088
			4,766
			3,751
			2,338
			15,175
			1,156
			-7,168
			-407
			286
			3,384
			3,008
			-460
			-481
			2,210
			69
			-277
			28
			233
			339
			3,681
			12
			-24
			1,313
			-1,404
			-2,488
			-2,391
			-1,484
			3,743

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-85
			-2,308
			5,719
			-643
			-1,788
			416
			2,571
			3,881
			98
			6,143
			841
			4,134
			1,847
			99
			181
			-3,227
			2,594
			940
			1,497
			4,349
			-2,237
			-2,835
			-874
			-215
			-1,015
			-3,697
			1,049
			-6,248
			4,070
			-640

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-29
			-566
			4,178
			2,824
			-3,284
			-347
			289
			395
			1,928
			3,081
			-563
			1,130
			346
			3,590
			4,443
			-805
			-2,361
			-2,636
			-589
			41
			-671
			-1,239
			106
			1,136
			1,907
			367
			6,866
			633
			145
			582

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-723
			-866
			454
			-4,939
			1,303
			-669
			-669
			-3,560
			-1,101
			390
			303
			309
			324
			400
			370
			330
			326
			176
			-450
			-1,275
			62
			-1,680
			-270
			306
			-1,759
			102
			27
			7,889
			-2,463
			1,337

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			742
			3,012
			1,275
			4,209
			9,158
			472
			-245
			4,454
			2,423
			1,408
			-410
			32
			-95
			1,530
			-2,080
			-5,133
			4,516
			-5,492
			1,536
			-814
			7,371
			-6,497
			-2,716
			-3,840
			-701
			3,501
			-1,921
			-7,449
			1,056
			-2,969

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-7,358
			-381,637
			72,246
			7,223
			1,262



Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALAN AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
NORMA AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
JONATHAN AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
LESLIE LEVINE	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
MEREDITH BAUER	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				
LISA AUFZIEN	DIRECTOR 0 00	0	0	0
350 PASSAIC AVENUE FAIRFIELD,NJ 07004				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN FRIENDS OF TEL AVIV UNIVERSITY360 LEXINGTON AVENUE NEW YORK,NY 10017		EXEMPT	DONATION	36,710
AMERICAN JEWISH COMMITTEE 165 EAST 56TH STREET NEW YORK,NY 10222		EXEMPT	DONATION	5,000
ANTI DEFAMATION LEAGUE605 THIRD AVENUE NEW YORK,NY 10158		EXEMPT	DONATION	6,000
BOYS TOWN JERUSALEM FOUNDAITON OF AMERICA INC91 FIFTH AVENUE NEW YORK,NY 10003		EXEMPT	DONATION	500
CONGREGATION SHEARITH ISRAEL8 WEST 70TH STREET NEW YORK,NY 10023		EXEMPT	DONATION	3,380
JEWISH FEDERATION OF GREATER CLIFTON-PASSAIC199 SCOLES AVENUE CLIFTON,NJ 07012		EXEMPT	DONATION	10,000
NEW JERSEY SEEDSTHE PEDDIE SCHOOL PO BOX 31 HIGHSTOWN,NJ 08520		EXEMPT	DONATION	10,000
THE RAMAZ SCHOOL114 EAST 85TH STREET NEW YORK,NY 10028		EXEMPT	DONATION	1,600
THE WASHINGTON INSTITUTE 5101 WISCONSIN AVE NW 100 WASHINGTON,DC 20036		EXEMPT	DONATION	125,000
UNIVERSITY OF PENNSYLVANIA 433 FRANKLIN BUILDING PHILADELPHIA,PA 19104		EXEMPT	DONATION	102,000
VASSAR COLLEGEBOX 14 POUGHKEEPSIE,NY 12601		EXEMPT	DONATION	50,000
YBH HILLELC/O JONATHAN GOULD 1633 CLIFTON AVE CLIFTON,NJ 07013		EXEMPT	DONATION	10,000
CJLPRINCETON HILLEL70 WASHINGTON ROAD PRINCETON,NJ 08540		EXEMPT	DONATIONDONATION	1,000
CITY HARVEST575 EIGHTH AVENUE NEW YORK,NY 10018		EXEMPT	DONATION	2,000
FRIENDS OF ISRAEL SCI-TECH SCHOOLS CO JOHN NESTON ADP CENTERONE ADP BLVD ROSELAND,NJ 07068		EXEMPT	DONATION	1,000
FRIENDS OF OFANIM INC308 EAST LANCASTER AVE STE 110 WYNNEWOOD,PA 19096		EXEMPT	DONATION	1,000
JEWISH FUNDERS NETWORK150 W 30TH ST STE 900 NEW YORK,NY 10001		EXEMPT	DONATION	600
JEWISH NATIONAL FUND42 EAST 69TH STREET NEW YORK,NY 10021		EXEMPT	DONATION	8,000
MONTCLAIR KIMBERLEY ACADEMY 201 VALLEY ROAD MONTCALIR,NJ 07042		EXEMPT	DONATION	1,000
PINE CREST SCHOOL1501 NE 62ND STREET FORT LAUDERDALE,FL 33334		EXEMPT	DONATION	1,000
PRINCETON UNIVERSITYPO BOX 5357 PRINCETON,NJ 08543		EXEMPT	DONATION	2,000
ROLLINS COLLEGE FUND1000 HOLT AVENUE WINTER PARK,FL 32789		EXEMPT	DONATION	1,000
UJA FEDERATION NYC130 EAST 59TH ST NEW YORK,NY 10022		EXEMPT	DONATION	9,000
Total . . . . . 3a				387,790

**TY 2011 Investments - Other Schedule****Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
STOCKS AND BONDS	AT COST	1,596,098	2,323,547
CMS STRUCTURED PRODUCTS FUND	AT COST	432,790	432,790
CMS PRIVATE REIT FUND	AT COST	469,120	469,120
MUUS INDEPENDENCE FUND	AT COST	32,547	32,547
MAGNITUDE INTERNATIONAL	AT COST	358,386	358,386
WYNNEFIELD SMALL CAP OFFSHORE	AT COST	223,854	223,854
ALLEN KONRAD MERIDIAN INVESTORS LLC	AT COST	73,164	73,164
BERNSTEIN GLOBAL OPPORTUNITIES FUND	AT COST	0	0
CGM 2007 OPPORTUNITY FUND LP	AT COST	156,927	156,927
GOLUB CAPITAL PARTNERS V LP	AT COST	176,518	176,518
WHITEBOX MULTI STRATEGY FUND	AT COST	583,360	583,360
GEMS FUND LP	AT COST	139,996	139,996
GOLUB CAPITAL PARTNERS VII LP	AT COST	66,830	66,830

TY 2011 Other Decreases Schedule

**Name:** AUFZIEN FOUNDATION INC

**EIN:** 22-2838652

Description	Amount
UNITED STATES TREASURY - TAX REFUND	4,461

**TY 2011 Other Expenses Schedule****Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	34,891	34,891		0
OTHER INVESTMENT EXPENSES	17,146	17,146		0
PROFESSIONAL FEES	9,303	9,303		0

**TY 2011 Other Income Schedule****Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MUUS INDEPENDENCE FUND	-1,220	-1,220	-1,220
GOLUB CAPITAL PARTNERS V LP	695	695	695
ALLEN KONRAD MERIDIAN REDEV VALUE FUND 1	-4,288	-4,288	-4,288
GEMS FUND LP	449	449	449
GOLUB CAPITAL PARTNERS VII LP	1,418	1,418	1,418
CMS STRUCTURED PRODUCTS FUND	4,230	4,230	4,230
CMS PRIVATE REIT LP	2	2	2
CHASE	1,391	1,391	1,391
OTHER INCOME	34	34	34

**TY 2011 Other Increases Schedule****Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Description	Amount
BOOK VERSES TAX DIFFERENCES	16,348
NON-DEDUCTIBLE EXPENSES	1

## TY 2011 Substantial Contributors Schedule

**Name:** AUFZIEN FOUNDATION INC

**EIN:** 22-2838652

Name	Address
ALAN AUFZIEN	350 PASSAIC AVENUE FAIRFIELD,NJ 07004



**TY 2011 Taxes Schedule****Name:** AUFZIEN FOUNDATION INC**EIN:** 22-2838652

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	678	0		0
FEDERAL TAXES	1,316	0		0