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Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2011Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , and ending

Name of foundation NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		A Employer identification number 22-2809785
Number and street (or P O box number if mail is not delivered to street address) 461 NOTT STREET	Room/suite	B Telephone number (see instructions) 518-379-1863
City or town, state, and ZIP code SCHENECTADY NY 12308		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☒ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 19,090,578	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	1,263,359			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	9,004	9,004		
	4 Dividends and interest from securities	399,984	399,984		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	417,450			
	b Gross sales price for all assets on line 6a 4,251,912				
	7 Capital gain net income (from Part IV, line 2)		417,450		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns & allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) Stmt 1	6,011	6,011			
12 Total. Add lines 1 through 11	2,095,808	832,449	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) Stmt 2	139,307	139,307		139,307
	17 Interest Stmt 3	17,048			
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	3,890			
	22 Printing and publications				
	23 Other expenses (att sch) Stmt 4	2,935	2,461		2,461
	24 Total operating and administrative expenses. Add lines 13 through 23	163,180	141,768	0	141,768
	25 Contributions, gifts, grants paid	1,322,567			1,322,567
26 Total expenses and disbursements. Add lines 24 and 25	1,485,747	141,768	0	1,464,335	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	610,061				
b Net investment income (if negative, enter -0-)		690,681			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		13,076	14,955	14,955
	2	Savings and temporary cash investments		1,024,441	687,447	687,447
	3	Accounts receivable ▶	43		43	43
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (att. schedule) ▶				
		Less allowance for doubtful accounts ▶	0			
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges			18,093	18,093
	10a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule) See Stmt 5		1,770,509	2,640,502	8,096,454
	c	Investments—corporate bonds (attach schedule) See Stmt 6		8,005,913	7,939,665	8,297,378
	11	Investments—land, buildings, and equipment basis ▶				
Liabilities		Less accumulated depreciation (attach sch.) ▶				
	12	Investments—mortgage loans				
	13	Investments—other (attach schedule) See Statement 7		2,107,873	2,231,168	1,976,208
	14	Land, buildings, and equipment basis ▶				
		Less accumulated depreciation (attach sch.) ▶				
	15	Other assets (describe ▶)				
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		12,921,812	13,531,873	19,090,578
	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
Net Assets or Fund Balances	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0	0	
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		12,921,812	13,531,873	
	30	Total net assets or fund balances (see instructions)		12,921,812	13,531,873	
	31	Total liabilities and net assets/fund balances (see instructions)		12,921,812	13,531,873	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,921,812
2	Enter amount from Part I, line 27a	2	610,061
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	13,531,873
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	13,531,873

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Worksheet				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			2	417,450
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	60,553

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	895,228	18,539,427	0.048288
2009	1,034,840	17,168,850	0.060274
2008	982,280	17,447,850	0.056298
2007	1,313,871	18,190,231	0.072229
2006	1,106,233	17,214,854	0.064260
2 Total of line 1, column (d)			2 0.301349
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.060270
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 20,272,227
5 Multiply line 4 by line 3			5 1,221,807
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 6,907
7 Add lines 5 and 6			7 1,228,714
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 1,464,335

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	6,907
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	6,907
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	6,907
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	25,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	25,000
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	18,093
11	Enter the amount of line 10 to be Credited to 2012 estimated tax 18,093 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		

N/A

Stmt 8

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► NEIL M. GOLUB 461 NOTT STREET Located at ► SCHENECTADY NY Telephone no ► 518-355-5000 ZIP+4 ► 12308			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1b	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))	1c	X
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20	2b	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4a	X
		4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NEIL M. GOLUB 461 NOTT STREET JANE GOLUB 461 NOTT STREET MONA GOLUB 461 NOTT STREET	SCHENECTADY NY 12308 SCHENECTADY NY 12308 SCHENECTADY NY 12308	PRESIDENT, T 0.00 TRUSTEE 0.00 SECRETARY 0.00	0 0 0	0 0 0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 ATTENDANCE COST FOR CHARITABLE FUNCTIONS	
	3,890
2 FILING FEES TO MAINTAIN THE STATUS OF THE ORGANIZATION	
	1,566
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	19,584,442
b	Average of monthly cash balances	1b	996,499
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	20,580,941
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	20,580,941
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	308,714
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,272,227
6	Minimum investment return. Enter 5% of line 5	6	1,013,611

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,013,611
2a	Tax on investment income for 2011 from Part VI, line 5	2a	6,907
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	6,907
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,006,704
4	Recoveries of amounts treated as <u>qualifying</u> distributions	4	
5	Add lines 3 and 4	5	1,006,704
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,006,704

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,464,335
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,464,335
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	6,907
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,457,428

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				1,006,704
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011				
a From 2006				258,616
b From 2007				435,543
c From 2008				123,011
d From 2009				184,932
e From 2010				
f Total of lines 3a through e	1,002,102			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 1,464,335				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2011 distributable amount				1,006,704
e Remaining amount distributed out of corpus	457,631			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	1,459,733			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	258,616			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	1,201,117			
10 Analysis of line 9				
a Excess from 2007				435,543
b Excess from 2008				123,011
c Excess from 2009				184,932
d Excess from 2010				
e Excess from 2011	457,631			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include.

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 9				1,322,567
Total			▶ 3a	1,322,567
b Approved for future payment See Statement 10				374,167
Total			▶ 3b	374,167

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
 - a** Transfers from the reporting foundation to a noncharitable exempt organization of
 - (1)** Cash
 - (2)** Other assets
 - b** Other transactions
 - (1)** Sales of assets to a noncharitable exempt organization
 - (2)** Purchases of assets from a noncharitable exempt organization
 - (3)** Rental of facilities, equipment, or other assets
 - (4)** Reimbursement arrangements
 - (5)** Loans or loan guarantees
 - (6)** Performance of services or membership or fundraising solicitations
 - c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
 - d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes

**Sign
Here**

Neil M. Boland

Date _____

9/14/12
Date

PRESIDENT

Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date _____

Check ☐ if self-employed

09/11/12

Firm's name ▶

PTIN

Firm's address ▶

Firm's FIN ▶

Phone no

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2011

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Name of the organization

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer identification number

22-2809785

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

NEIL JANE WILLIAM ESTELLE GOLUB

Employer identification number

22-2809785

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NEIL M. GOLUB TRUST 461 NOTT STREET SCHENECTADY NY 12308	\$ 500,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	NEIL AND JANE GOLUB FOUNDATION 461 NOTT STREET SCHENECTADY NY 12308	\$ 763,359	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

NEIL JANE WILLIAM ESTELLE GOLUB

Employer identification number

22-2809785

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	STOCK DONATION @ COST	\$ 708,080	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Federal Statements

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
TAX ON INVESTMENT INCOME	\$ 17,048	\$	\$	\$
Total	\$ 17,048	\$ 0	\$ 0	\$ 0

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
FILING FEES	1,566	1,566		1,566
BANK FEES	258	258		258
FOREIGN TAX EXPENSE	637	637		637
	474			
Total	\$ 2,935	\$ 2,461	\$ 0	\$ 2,461

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) FROM K-1	P		
(2) FROM K-1	P		
(3) EATON VANCE RISK MANAGED 800 SHARES	P	03/08/11	05/12/11
(4) PUTNAM ABS RTRN 700 CL Y 873 SHARES	P	10/13/10	05/12/11
(5) PUTNAM ABS RTRN 700 CL Y 1 SHARES	P	12/27/10	05/12/11
(6) PUTNAM ABS RTRN 700 CL Y 31 SHARES	P	12/27/10	05/12/11
(7) PUTNAM ABS RTRN 700 CL Y 0.918 SHARE	P	01/18/11	05/12/11
(8) PUTNAM ABS RTRN 700 CL Y 882 SHARES	P	01/18/11	05/12/11
(9) SINGAPORE FD INC 75 SHARES	P	07/12/10	01/04/11
(10) SINGAPORE FD INC 40 SHARES	P	07/12/10	01/05/11
(11) SINGAPORE FD INC 35 SHARES	P	07/13/10	01/05/11
(12) SINGAPORE FD INC 75 SHARES	P	07/13/10	01/11/11
(13) JAPAN SMALLER CAPITALIZATION FD INC	P	08/26/10	01/11/11
(14) SINGAPORE FD INC 75 SHARES	P	07/13/10	01/12/11
(15) NUVEEN INSD OPP 2.558% AUCTION RT 14	P	04/15/10	01/21/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 11,333			11,333
(2) 100,118			100,118
(3) 9,816		10,305	-489
(4) 10,380		9,996	384
(5) 12		11	1
(6) 369		348	21
(7) 11		10	1
(8) 10,487		9,993	494
(9) 1,165		983	182
(10) 622		524	98
(11) 544		463	81
(12) 1,147		992	155
(13) 1,386		1,147	239
(14) 1,157		992	165
(15) 350,000		322,000	28,000

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			11,333
(2)			100,118
(3)			-489
(4)			384
(5)			1
(6)			21
(7)			1
(8)			494
(9)			182
(10)			98
(11)			81
(12)			155
(13)			239
(14)			165
(15)			28,000

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SINGAPORE FD INC 80 SHARES	P	07/13/10	02/01/11
(2) H & Q HEALTHCARE FD SBI 360 SHARES	P	10/08/10	02/07/11
(3) H & Q HEALTHCARE FD SBI 320 SHARES	P	10/08/10	02/08/11
(4) H & Q HEALTHCARE FD SBI 160 SHARES	P	10/08/10	02/09/11
(5) H & Q HEALTHCARE FD SBI 160 SHARES	P	10/08/10	02/09/11
(6) H & Q HEALTHCARE FD SBI 320 SHARES	P	10/08/10	02/10/11
(7) H & Q HEALTHCARE FD SBI 200 SHARES	P	10/08/10	02/11/11
(8) H & Q HEALTHCARE FD SBI 100 SHARES	P	10/08/10	02/15/11
(9) H & Q HEALTHCARE FD SBI 100 SHARES	P	10/08/10	02/16/11
(10) H & Q HEALTHCARE FD SBI 100 SHARES	P	10/08/10	02/17/11
(11) H & Q HEALTHCARE FD SBI 80 SHARES	P	10/08/10	02/22/11
(12) H & Q HEALTHCARE FD SBI 160 SHARES	P	10/08/10	02/25/11
(13) H & Q HEALTHCARE FD SBI 80 SHARES	P	10/08/10	02/28/11
(14) H & Q HEALTHCARE FD SBI 160 SHARES	P	10/08/10	03/01/11
(15) H & Q HEALTHCARE FD SBI 80 SHARES	P	10/08/10	03/02/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,193		1,058	135
(2) 5,170		4,388	782
(3) 4,614		3,901	713
(4) 2,306		1,950	356
(5) 2,306		1,950	356
(6) 4,617		3,901	716
(7) 2,922		2,438	484
(8) 1,463		1,219	244
(9) 1,439		1,219	220
(10) 1,444		1,219	225
(11) 1,129		975	154
(12) 2,225		1,950	275
(13) 1,120		975	145
(14) 2,232		1,950	282
(15) 1,118		975	143

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than 0-) or Losses (from col (h))
(1)			135
(2)			782
(3)			713
(4)			356
(5)			356
(6)			716
(7)			484
(8)			244
(9)			220
(10)			225
(11)			154
(12)			275
(13)			145
(14)			282
(15)			143

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
--	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) H & Q HEALTHCARE FD SBI 80 SHARES	P	10/08/10	03/04/11
(2) ISHARES FTSE CHINA 25 INDEX FUND 90	P	03/11/10	03/08/11
(3) H & Q HEALTHCARE FD SBI 40 SHARES	P	10/08/10	03/08/11
(4) VANGUARD LTD TERM TE FD ADM 925 SHAR	P	10/27/10	03/16/11
(5) NUVEEN INSD QLTY 5.088% AUCTION RT 2	P	09/27/10	03/17/11
(6) TEMPLETON DRAGON FUND INC 50 SHARES	P	05/06/10	04/13/11
(7) I SHARES MSCI SINGAPORE 100 SHARES	P	09/28/10	04/19/11
(8) I SHARES MSCI SINGAPORE 200 SHARES	P	10/01/10	04/20/11
(9) I SHARES MSCI SINGAPORE 150 SHARES	P	10/01/10	04/21/11
(10) I SHARES MSCI SINGAPORE 50 SHARES	P	10/08/10	04/21/11
(11) I SHARES MSCI SINGAPORE 100 SHARES	P	10/08/10	04/25/11
(12) JAPAN EQUITY FUND INC 65 SHARES	P	05/06/10	04/28/11
(13) JAPAN EQUITY FUND INC 15 SHARES	P	07/08/10	05/10/11
(14) JAPAN EQUITY FUND INC 100 SHARES	P	07/08/10	05/12/11
(15) I SHARES MSCI SINGAPORE 50 SHARES	P	10/08/10	05/12/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,131		975	156
(2) 3,967		3,721	246
(3) 562		488	74
(4) 10,175		10,305	-130
(5) 50,000		45,000	5,000
(6) 1,601		1,104	497
(7) 1,387		1,329	58
(8) 2,822		2,700	122
(9) 2,137		2,025	112
(10) 712		680	32
(11) 1,423		1,361	62
(12) 389		352	37
(13) 92		79	13
(14) 609		526	83
(15) 697		680	17

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			156
(2)			246
(3)			74
(4)			-130
(5)			5,000
(6)			497
(7)			58
(8)			122
(9)			112
(10)			32
(11)			62
(12)			37
(13)			13
(14)			83
(15)			17

Capital Gains and Losses for Tax on Investment Income		2011
Form 990-PF	For calendar year 2011, or tax year beginning , and ending	
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) I SHARES MSCI SINGAPORE 50 SHARES	P	10/11/10	05/12/11
(2) JAPAN EQUITY FUND INC 100 SHARES	P	07/08/10	05/16/11
(3) JAPAN EQUITY FUND INC 100 SHARES	P	07/08/10	05/18/11
(4) JAPAN EQUITY FUND INC 100 SHARES	P	07/08/10	05/19/11
(5) I SHARES MSCI SINGAPORE 50 SHARES	P	10/12/12	05/20/11
(6) I SHARES MSCI SINGAPORE 100 SHARES	P	10/12/10	05/20/11
(7) I SHARES MSCI SINGAPORE 100 SHARES	P	10/13/10	05/20/11
(8) I SHARES MSCI SINGAPORE 70 SHARES	P	10/14/10	05/20/11
(9) I SHARES MSCI SINGAPORE 30 SHARES	P	10/14/10	05/23/11
(10) I SHARES MSCI SINGAPORE 130 SHARES	P	10/15/10	05/23/11
(11) I SHARES MSCI SINGAPORE 70 SHARES	P	10/15/10	05/25/11
(12) I SHARES MSCI SINGAPORE 100 SHARES	P	10/19/10	05/25/11
(13) I SHARES MSCI SINGAPORE 70 SHARES	P	10/22/10	05/25/11
(14) H & Q LIFE SCIENCES INVESTORS 400 SH	P	02/07/11	05/31/11
(15) H & Q LIFE SCIENCES INVESTORS 300 SH	P	02/08/11	05/31/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 697		680	17
(2) 597		526	71
(3) 597		526	71
(4) 596		526	70
(5) 702		680	22
(6) 1,404		1,363	41
(7) 1,404		1,388	16
(8) 983		969	14
(9) 410		415	-5
(10) 1,775		1,813	-38
(11) 960		976	-16
(12) 1,371		1,373	-2
(13) 960		967	-7
(14) 5,347		4,692	655
(15) 4,010		3,515	495

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			17
(2)			71
(3)			71
(4)			70
(5)			22
(6)			41
(7)			16
(8)			14
(9)			-5
(10)			-38
(11)			-16
(12)			-2
(13)			-7
(14)			655
(15)			495

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) H & Q LIFE SCIENCES INVESTORS 100 SH	P	02/09/11	05/31/11
(2) H & Q LIFE SCIENCES INVESTORS 200 SH	P	02/10/11	05/31/11
(3) H & Q LIFE SCIENCES INVESTORS 300 SH	P	02/11/11	05/31/11
(4) H & Q LIFE SCIENCES INVESTORS 10 SHA	P	02/14/11	05/31/11
(5) I SHARES MSCI SINGAPORE 30 SHARES	P	10/22/10	06/03/11
(6) I SHARES MSCI SINGAPORE 100 SHARES	P	10/27/10	06/03/11
(7) I SHARES MSCI SINGAPORE 100 SHARES	P	11/02/10	06/03/11
(8) I SHARES MSCI SINGAPORE 10 SHARES	P	11/05/10	06/03/11
(9) MSDW ASIA PACIFIC FUND INC 11 SHARES	P	12/27/10	06/09/11
(10) MALAYSIA FD INC 148 SHARES	P	05/10/11	06/09/11
(11) I SHARES MSCI SINGAPORE 130 SHARES	P	11/05/10	06/13/11
(12) I SHARES MSCI SINGAPORE 30 SHARES	P	11/09/10	06/13/11
(13) ABERDEEN EMERGING MKTS TELEC 180 SHA	P	07/08/10	06/24/11
(14) H & Q LIFE SCIENCES INVESTORS 90 SHA	P	02/14/11	07/11/11
(15) H & Q LIFE SCIENCES INVESTORS 10 SHA	P	02/15/11	07/11/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,337		1,156	181
(2) 2,673		2,332	341
(3) 4,010		3,511	499
(4) 134		117	17
(5) 418		414	4
(6) 1,394		1,347	47
(7) 1,394		1,404	-10
(8) 139		144	-5
(9) 198		183	15
(10) 1,825		1,677	148
(11) 1,757		1,869	-112
(12) 406		435	-29
(13) 3,276		2,979	297
(14) 1,125		1,056	69
(15) 125		118	7

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			181
(2)			341
(3)			499
(4)			17
(5)			4
(6)			47
(7)			-10
(8)			-5
(9)			15
(10)			148
(11)			-112
(12)			-29
(13)			297
(14)			69
(15)			7

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785
For calendar year 2011, or tax year beginning		, and ending

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) H & Q LIFE SCIENCES INVESTORS 100 SH	P	02/15/11	07/12/11
(2) H & Q LIFE SCIENCES INVESTORS 90 SHA	P	02/15/11	07/13/11
(3) H & Q LIFE SCIENCES INVESTORS 10 SHA	P	02/16/11	07/13/11
(4) H & Q LIFE SCIENCES INVESTORS 160 SH	P	02/16/11	07/21/11
(5) H & Q LIFE SCIENCES INVESTORS 130 SH	P	02/16/11	07/25/11
(6) H & Q LIFE SCIENCES INVESTORS 170 SH	P	02/17/11	07/25/11
(7) H & Q LIFE SCIENCES INVESTORS 30 SHA	P	02/17/11	07/26/11
(8) H & Q LIFE SCIENCES INVESTORS 70 SHA	P	02/23/11	07/26/11
(9) BLACKROCK MUNI 11.3% AUCTION RT 8 SH	P	03/11/11	07/27/11
(10) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	10/12/10	08/09/11
(11) WESTCORE BLUE CHIP FUND 344.206 SHAR	P	10/13/10	08/09/11
(12) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	10/14/10	08/09/11
(13) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	10/15/10	08/09/11
(14) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	10/18/10	08/09/11
(15) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	10/19/10	08/09/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,242		1,177	65
(2) 1,125		1,059	66
(3) 125		116	9
(4) 1,996		1,853	143
(5) 1,606		1,506	100
(6) 2,101		1,969	132
(7) 367		347	20
(8) 856		791	65
(9) 200,000		178,000	22,000
(10) 1,829		1,757	72
(11) 3,659		3,542	117
(12) 1,829		1,765	64
(13) 1,829		1,770	59
(14) 1,829		1,773	56
(15) 1,829		1,753	76

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			65
(2)			66
(3)			9
(4)			143
(5)			100
(6)			132
(7)			20
(8)			65
(9)			22,000
(10)			72
(11)			117
(12)			64
(13)			59
(14)			56
(15)			76

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	10/25/10	08/09/11
(2) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	10/26/10	08/09/11
(3) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	11/01/10	08/09/11
(4) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	11/02/10	08/09/11
(5) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	11/04/10	08/09/11
(6) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	11/09/10	08/09/11
(7) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	11/10/10	08/09/11
(8) WESTCORE BLUE CHIP FUND 206.527 SHAR	P	11/11/10	08/09/11
(9) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	11/12/10	08/09/11
(10) WESTCORE BLUE CHIP FUND 137.684 SHAR	P	11/16/10	08/09/11
(11) WESTCORE BLUE CHIP FUND 137.684 SHAR	P	11/17/10	08/09/11
(12) WESTCORE BLUE CHIP FUND 137.684 SHAR	P	11/18/10	08/09/11
(13) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	11/22/10	08/09/11
(14) WESTCORE BLUE CHIP FUND 189.316 SHAR	P	11/24/10	08/09/11
(15) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	11/26/10	08/09/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,829		1,790	39
(2) 1,829		1,770	59
(3) 1,829		1,780	49
(4) 1,829		1,800	29
(5) 1,829		1,810	19
(6) 1,829		1,815	14
(7) 1,829		1,807	22
(8) 2,195		2,148	47
(9) 1,829		1,780	49
(10) 1,464		1,412	52
(11) 1,464		1,412	52
(12) 1,464		1,432	32
(13) 1,829		1,785	44
(14) 2,012		1,958	54
(15) 1,829		1,780	49

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			39
(2)			59
(3)			49
(4)			29
(5)			19
(6)			14
(7)			22
(8)			47
(9)			49
(10)			52
(11)			52
(12)			32
(13)			44
(14)			54
(15)			49

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) WESTCORE BLUE CHIP FUND 137.684 SHAR	P	11/30/10	08/09/11
(2) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	01/07/11	08/09/11
(3) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	01/11/11	08/09/11
(4) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	01/12/11	08/09/11
(5) WESTCORE BLUE CHIP FUND 206.527 SHAR	P	01/19/11	08/09/11
(6) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	01/21/11	08/09/11
(7) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	02/07/11	08/09/11
(8) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	02/08/11	08/09/11
(9) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	02/14/11	08/09/11
(10) WESTCORE BLUE CHIP FUND 172.106 SHAR	P	02/16/11	08/09/11
(11) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	02/22/11	08/09/11
(12) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	02/23/11	08/09/11
(13) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	02/24/11	08/09/11
(14) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	02/25/11	08/09/11
(15) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	02/28/11	08/09/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,464		1,412	52
(2) 1,098		1,125	-27
(3) 1,098		1,128	-30
(4) 1,098		1,134	-36
(5) 2,195		2,295	-100
(6) 1,098		1,140	-42
(7) 1,098		1,175	-77
(8) 1,098		1,168	-70
(9) 1,829		1,955	-126
(10) 1,829		1,967	-138
(11) 1,098		1,176	-78
(12) 1,098		1,153	-55
(13) 1,098		1,160	-62
(14) 1,098		1,179	-81
(15) 1,098		1,180	-82

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			52
(2)			-27
(3)			-30
(4)			-36
(5)			-100
(6)			-42
(7)			-77
(8)			-70
(9)			-126
(10)			-138
(11)			-78
(12)			-55
(13)			-62
(14)			-81
(15)			-82

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	03/07/11	08/09/11
(2) WESTCORE BLUE CHIP FUND 103.263 SHAR	P	03/08/11	08/09/11
(3) GENERAL AMERN INVS INC 150 SHARES	P	10/01/10	08/23/11
(4) BLACKROCK INTERNATIONAL GROW 100 SHA	P	08/08/11	08/23/11
(5) BLACKROCK INTERNATIONAL GROW 60 SHAR	P	08/08/11	09/08/11
(6) BLACKROCK INTERNATIONAL GROW 60 SHAR	P	08/09/11	09/08/11
(7) BLACKROCK INTERNATIONAL GROW 65 SHAR	P	08/09/11	09/09/11
(8) H & Q LIFE SCIENCES INVESTORS 30 SHA	P	02/23/11	09/21/11
(9) H & Q LIFE SCIENCES INVESTORS 70 SHA	P	02/25/11	09/21/11
(10) I SHARES MSCI SINGAPORE 160 SHARES	P	11/09/10	09/22/11
(11) H & Q LIFE SCIENCES INVESTORS 30 SHA	P	02/25/11	09/22/11
(12) H & Q LIFE SCIENCES INVESTORS 70 SHA	P	02/28/11	09/22/11
(13) I SHARES MSCI SINGAPORE 10 SHARES	P	11/09/10	09/23/11
(14) I SHARES MSCI SINGAPORE 100 SHARES	P	11/10/10	09/23/11
(15) I SHARES MSCI SINGAPORE 50 SHARES	P	11/12/10	09/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g).
(1) 1,098		1,170	-72
(2) 1,098		1,178	-80
(3) 3,665		3,681	-16
(4) 875		817	58
(5) 539		490	49
(6) 539		483	56
(7) 569		524	45
(8) 323		339	-16
(9) 753		801	-48
(10) 1,770		2,318	-548
(11) 315		344	-29
(12) 734		807	-73
(13) 112		145	-33
(14) 1,123		1,436	-313
(15) 562		708	-146

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-72
(2)			-80
(3)			-16
(4)			58
(5)			49
(6)			56
(7)			45
(8)			-16
(9)			-48
(10)			-548
(11)			-29
(12)			-73
(13)			-33
(14)			-313
(15)			-146

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) H & Q LIFE SCIENCES INVESTORS 130 SH	P	02/28/11	09/27/11
(2) H & Q LIFE SCIENCES INVESTORS 170 SH	P	03/01/11	09/27/11
(3) VANGUARD LTD TERM TE FD ADM 8240 SHA	P	10/27/10	10/03/11
(4) H & Q LIFE SCIENCES INVESTORS 30 SHA	P	03/01/11	10/03/11
(5) H & Q LIFE SCIENCES INVESTORS 100 SH	P	03/02/11	10/03/11
(6) H & Q LIFE SCIENCES INVESTORS 70 SHA	P	03/04/11	10/03/11
(7) VANGUARD INTM TERM TE FD ADM #542 15	P	08/04/11	10/03/11
(8) I SHARES MSCI SINGAPORE 50 SHARES	P	11/12/10	10/11/11
(9) I SHARES MSCI SINGAPORE 100 SHARES	P	01/04/11	10/11/11
(10) I SHARES MSCI SINGAPORE 100 SHARES	P	01/12/11	10/11/11
(11) I SHARES MSCI SINGAPORE 80 SHARES	P	02/01/11	10/11/11
(12) GREATER CHINA FD INC 270 SHARES	P	02/04/11	10/14/11
(13) MALAYSIA FD INC 2 SHARES	P	05/10/11	10/17/11
(14) MALAYSIA FD INC 98 SHARES	P	05/11/11	10/17/11
(15) H & Q LIFE SCIENCES INVESTORS 30 SHA	P	03/04/11	10/18/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,400		1,499	-99
(2) 1,831		1,939	-108
(3) 91,711		91,794	-83
(4) 309		342	-33
(5) 1,029		1,138	-109
(6) 720		809	-89
(7) 21,782		21,751	31
(8) 572		708	-136
(9) 1,145		1,393	-248
(10) 1,145		1,406	-261
(11) 916		1,114	-198
(12) 3,051		3,486	-435
(13) 21		23	-2
(14) 1,009		1,129	-120
(15) 322		347	-25

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-99
(2)			-108
(3)			-83
(4)			-33
(5)			-109
(6)			-89
(7)			31
(8)			-136
(9)			-248
(10)			-261
(11)			-198
(12)			-435
(13)			-2
(14)			-120
(15)			-25

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) H & Q LIFE SCIENCES INVESTORS 70 SHA	P	03/07/11	10/18/11
(2) H & Q LIFE SCIENCES INVESTORS 30 SHA	P	03/07/11	10/19/11
(3) H & Q LIFE SCIENCES INVESTORS 70 SHA	P	03/18/11	10/19/11
(4) H & Q LIFE SCIENCES INVESTORS 300 SH	P	03/18/11	10/24/11
(5) H & Q LIFE SCIENCES INVESTORS 100 SH	P	03/18/11	10/25/11
(6) H & Q LIFE SCIENCES INVESTORS 400 SH	P	03/18/11	10/26/11
(7) H & Q LIFE SCIENCES INVESTORS 300 SH	P	03/18/11	10/27/11
(8) H & Q LIFE SCIENCES INVESTORS 100 SH	P	03/18/11	10/28/11
(9) H & Q LIFE SCIENCES INVESTORS 300 SH	P	03/18/11	10/31/11
(10) H & Q LIFE SCIENCES INVESTORS 100 SH	P	03/18/11	11/01/11
(11) H & Q LIFE SCIENCES INVESTORS 330 SH	P	03/18/11	11/04/11
(12) MSDW ASIA PACIFIC FUND INC 317 SHARE	P	12/27/10	11/14/11
(13) MALAYSIA FD INC 2 SHARES	P	05/11/11	11/28/11
(14) MALAYSIA FD INC 70 SHARES	P	05/16/11	11/28/11
(15) HUMAN GENOME SCIENCE INC 5 SHARES	D	03/01/11	08/31/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 752		804	-52
(2) 324		345	-21
(3) 755		802	-47
(4) 3,276		3,438	-162
(5) 1,094		1,146	-52
(6) 4,364		4,584	-220
(7) 3,344		3,438	-94
(8) 1,114		1,146	-32
(9) 3,308		3,438	-130
(10) 1,094		1,146	-52
(11) 3,656		3,782	-126
(12) 5,173		5,288	-115
(13) 21		23	-2
(14) 743		798	-55
(15) 64		125	-61

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-52
(2)			-21
(3)			-47
(4)			-162
(5)			-52
(6)			-220
(7)			-94
(8)			-32
(9)			-130
(10)			-52
(11)			-126
(12)			-115
(13)			-2
(14)			-55
(15)			-61

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) IMMUNOGEN INC 5 SHARES	P	04/07/11	08/31/11
(2) ISIS PHARMACEUTICALS 5 SHARES	P	04/07/11	08/31/11
(3) ASML HOLDING NV-NY 7 SHARES	P	07/26/11	10/14/11
(4) BRITISH AMERN TOB PLC 7 SHARES	P	04/12/11	05/05/11
(5) COCA-COLA FEMSA S A B DE 4 SHARES	P	04/12/11	05/02/11
(6) FUJI HEAVY INDS LTD ADR 3 SHARES	D	08/13/10	08/08/11
(7) FUJITSU LTD ADR NEW 17 SHARES	P	04/12/11	05/02/11
(8) GLAXOSMITHKLINE PLC-ADR 25 SHARES	P	04/12/11	08/22/11
(9) HITACHI LTD ADR 10 SHARES	P	04/28/11	08/08/11
(10) HUANENG PWR INTL INC ADR 5 SHARES	D	11/16/10	07/26/11
(11) HUANENG PWR INTL INC ADR 31 SHARES	D	11/16/10	08/04/11
(12) HUANENG PWR INTL INC ADR 7 SHARES	P	04/12/11	08/04/11
(13) HUANENG PWR INTL INC ADR 5 SHARES	P	04/12/11	08/04/11
(14) IMPERIAL TOB GROUP PLC F 4 SHARES	P	05/04/11	08/08/11
(15) IMPERIAL TOB GROUP PLC F 2 SHARES	P	05/04/11	10/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 54		58	-4
(2) 37		46	-9
(3) 280		264	16
(4) 618		575	43
(5) 318		323	-5
(6) 213		164	49
(7) 473		455	18
(8) 1,056		1,005	51
(9) 546		533	13
(10) 99		115	-16
(11) 582		714	-132
(12) 131		163	-32
(13) 96		116	-20
(14) 259		287	-28
(15) 140		144	-4

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			-4
(2)			-9
(3)			16
(4)			43
(5)			-5
(6)			49
(7)			18
(8)			51
(9)			13
(10)			-16
(11)			-132
(12)			-32
(13)			-20
(14)			-28
(15)			-4

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785
For calendar year 2011, or tax year beginning _____, and ending _____		

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) KDDI CORP-UNSPONSORED 12 SHARES	D	01/14/11	08/08/11
(2) KDDI CORP-UNSPONSORED 8 SHARES	D	01/25/11	08/08/11
(3) KOC HOLDING AS-UNSPON 7 SHARES	D	03/11/11	10/14/11
(4) MIZUHO FINANCIAL GRP ADR 45 SHARES	P	05/10/11	08/08/11
(5) NEW WORLD DEV LTD ADR 55 SHARES	D	02/18/11	06/27/11
(6) NEW WORLD DEV LTD ADR 15 SHARES	D	02/18/11	06/27/11
(7) NEW WORLD DEV LTD ADR 30 SHARES	D	02/22/11	06/27/11
(8) NEW WORLD DEV LTD ADR 20 SHARES	D	02/22/11	06/28/11
(9) NEW WORLD DEV LTD ADR 35 SHARES	D	02/23/11	06/28/11
(10) NEW WORLD DEV LTD ADR 65 SHARES	D	02/23/11	06/29/11
(11) NEW WORLD DEV LTD ADR 5 SHARES	D	02/23/11	06/30/11
(12) NEW WORLD DEV LTD ADR 3 SHARES	D	02/23/11	07/05/11
(13) NEW WORLD DEV LTD ADR 71 SHARES	P	04/12/11	07/05/11
(14) NIPPON YUSEN KAB-SPN ADR 80 SHARES	D	11/30/10	06/24/11
(15) NIPPON YUSEN KAB-SPN ADR 6 SHARES	D	11/30/10	06/27/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 208		174	34
(2) 139		116	23
(3) 133		153	-20
(4) 134		151	-17
(5) 162		202	-40
(6) 44		55	-11
(7) 89		110	-21
(8) 59		73	-14
(9) 102		126	-24
(10) 190		234	-44
(11) 15		18	-3
(12) 9		11	-2
(13) 218		256	-38
(14) 592		701	-109
(15) 43		53	-10

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			34
(2)			23
(3)			-20
(4)			-17
(5)			-40
(6)			-11
(7)			-21
(8)			-14
(9)			-24
(10)			-44
(11)			-3
(12)			-2
(13)			-38
(14)			-109
(15)			-10

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name **NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.** Employer Identification Number **22-2809785**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) NIPPON YUSEN KAB-SPN ADR 81 SHARES	D	01/14/11	06/27/11
(2) NIPPON YUSEN KAB-SPN ADR 6 SHARES	D	01/14/11	10/11/11
(3) NIPPON YUSEN-KAB-SPN ADR 11 SHARES	D	02/07/11	10/11/11
(4) NIPPON YUSEN KAB-SPN ADR 53 SHARES	P	04/12/11	10/11/11
(5) NIPPON YUSEN KAB-SPN ADR 74 SHARES	P	04/12/11	10/12/11
(6) NOKIA CORP SPONSORED ADR 53 SHARES	P	04/12/11	07/26/11
(7) PEARSON PLC SPONS ADR 20 SHARES	P	04/12/11	05/09/11
(8) PEARSON PLC SPONS ADR 6 SHARES	P	04/12/11	05/10/11
(9) REED ELSEVIER NV SPN ADR 5 SHARES	P	04/28/11	10/14/11
(10) REED ELSEVIER NV SPN ADR 2 SHARES	P	05/11/11	10/14/11
(11) SEADRILL LTD 6 SHARES	P	05/09/11	10/14/11
(12) TAIWAN SEMICONDUCTOR MFG 15 SHARES	D	11/09/10	10/14/11
(13) TATA MOTORS LTD-SPNS ADR 6 SHARES	D	03/09/11	10/14/11
(14) TELE NORTE LESTE ADR RTS 100 SHARES	P	04/21/11	04/21/11
(15) AARONS INC 9 SHARES	D	10/14/10	05/04/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 584		746	-162
(2) 30		55	-25
(3) 55		99	-44
(4) 264		387	-123
(5) 383		540	-157
(6) 307		469	-162
(7) 369		356	13
(8) 112		107	5
(9) 121		131	-10
(10) 48		55	-7
(11) 190		200	-10
(12) 184		168	16
(13) 111		158	-47
(14)			
(15) 253		159	94

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			-162
(2)			-25
(3)			-44
(4)			-123
(5)			-157
(6)			-162
(7)			13
(8)			5
(9)			-10
(10)			-7
(11)			-10
(12)			16
(13)			-47
(14)			
(15)			94

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) AARONS INC 45 SHARES	D	10/14/10	06/29/11
(2) AARONS INC 5 SHARES	P	04/05/11	06/29/11
(3) AMERON INTERNATIONAL 1 SHARES	D	08/10/10	06/02/11
(4) AMSURG CORP 4 SHARES	D	08/10/10	05/12/11
(5) AMSURG CORP 4 SHARES	P	04/05/11	05/12/11
(6) ANWORTH MORTGAGE ASSET 22 SHARES	P	04/05/11	10/21/11
(7) APOLLO INVESTMENT CORP 7 SHARES	P	04/05/11	11/16/11
(8) APOLLO INVESTMENT CORP 15 SHARES	P	06/10/11	11/16/11
(9) CABOT CORPORATION 3 SHARES	P	08/24/11	12/20/11
(10) CAL DIVE INTERNATIONAL 21 SHARES	P	04/05/11	08/09/11
(11) CAL DIVE INTERNATIONAL 29 SHARES	P	06/10/11	08/09/11
(12) CENTRAL EURO DIST CORP 8 SHARES	D	03/04/11	08/24/11
(13) CENTRAL EURO DIST CORP 7 SHARES	P	04/05/11	08/24/11
(14) CHARLES RIVER LABS 2 SHARES	D	09/10/10	05/12/11
(15) CHIQUITA BRANDS INTL INC 14 SHARES	D	03/17/11	10/06/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,261		796	465
(2) 140		128	12
(3) 64		61	3
(4) 105		73	32
(5) 105		103	2
(6) 140		161	-21
(7) 53		85	-32
(8) 113		157	-44
(9) 98		94	4
(10) 63		147	-84
(11) 88		183	-95
(12) 44		101	-57
(13) 39		78	-39
(14) 80		59	21
(15) 115		196	-81

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			465
(2)			12
(3)			3
(4)			32
(5)			2
(6)			-21
(7)			-32
(8)			-44
(9)			4
(10)			-84
(11)			-95
(12)			-57
(13)			-39
(14)			21
(15)			-81

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) CHIQUITA BRANDS INTL INC 74 SHARES	D	03/17/11	11/02/11
(2) CHIQUITA BRANDS INTL INC 5 SHARES	P	04/05/11	11/02/11
(3) COEUR D ALENE MINES CORP 2 SHARES	P	05/13/11	09/02/11
(4) COLLECTIVE BRANDS INC 64 SHARES	P	04/14/11	12/02/11
(5) CURTISS WRIGHT CORP 2 SHARES	D	01/07/11	12/05/11
(6) EARTHLINK INC 18 SHARES	D	12/29/10	09/29/11
(7) EARTHLINK INC 13 SHARES	P	04/05/11	09/29/11
(8) GATX CORP 3 SHARES	D	10/21/10	05/05/11
(9) GATX CORP 3 SHARES	P	04/05/11	05/05/11
(10) INTERNATIONAL BANCSHARES 18 SHARES	P	10/03/11	10/05/11
(11) JDA SOFTWARE GROUP INC 14 SHARES	D	12/22/10	11/02/11
(12) LIFE TIME FITNESS INC 12 SHARES	P	04/14/11	12/22/11
(13) MEDICAL PROPERTIES TRUST 12 SHARES	P	04/05/11	09/22/11
(14) MEDNAX INC 3 SHARES	D	10/05/10	09/29/11
(15) MEDNAX INC 3 SHARES	P	04/05/11	09/29/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 636		1,037	-401
(2) 43		76	-33
(3) 59		50	9
(4) 882		1,378	-496
(5) 66		67	-1
(6) 121		156	-35
(7) 87		103	-16
(8) 124		94	30
(9) 124		119	5
(10) 266		231	35
(11) 443		399	44
(12) 536		437	99
(13) 110		140	-30
(14) 192		167	25
(15) 192		207	-15

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			-401
(2)			-33
(3)			9
(4)			-496
(5)			-1
(6)			-35
(7)			-16
(8)			30
(9)			5
(10)			35
(11)			44
(12)			99
(13)			-30
(14)			25
(15)			-15

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) MEREDITH CORP 1 SHARES	P	04/05/11	10/26/11
(2) MINEFINDERS CORP LTD 75 SHARES	D	12/01/10	04/14/11
(3) MINEFINDERS CORP LTD 18 SHARES	D	12/01/10	09/02/11
(4) MKS INSTRUMENTS INC 54 SHARES	P	06/16/11	08/24/11
(5) NASH-FINCH COMPANY 2 SHARES	D	03/15/11	09/22/11
(6) NASH-FINCH COMPANY 2 SHARES	P	04/05/11	09/22/11
(7) NCR CORP NEW 15 SHARES	D	12/09/10	07/12/11
(8) NCR CORP NEW 4 SHARES	D	12/09/10	12/05/11
(9) NEW GOLD INC 26 SHARES	D	05/05/10	04/14/11
(10) NEW GOLD INC 9 SHARES	P	04/05/11	05/13/11
(11) PORTLAND GENERAL ELEC 17 SHARES	D	01/12/11	08/11/11
(12) REGIS CORP MINN 11 SHARES	D	01/27/11	04/14/11
(13) REGIS CORP MINN 6 SHARES	P	04/05/11	04/14/11
(14) ROCK-TENN CO 22 SHARES	P	07/22/11	08/24/11
(15) SYNAPTICS INC 3 SHARES	D	03/15/11	06/16/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 29		35	-6
(2) 1,155		732	423
(3) 309		176	133
(4) 1,268		1,299	-31
(5) 57		77	-20
(6) 57		76	-19
(7) 280		220	60
(8) 71		59	12
(9) 280		152	128
(10) 83		100	-17
(11) 388		374	14
(12) 189		188	1
(13) 103		109	-6
(14) 1,063		1,411	-348
(15) 81		82	-1

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-6
(2)			423
(3)			133
(4)			-31
(5)			-20
(6)			-19
(7)			60
(8)			12
(9)			128
(10)			-17
(11)			14
(12)			1
(13)			-6
(14)			-348
(15)			-1

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) SYNAPTICS INC 3 SHARES	P	04/05/11	06/16/11
(2) UNIVERSAL CORP VIRGINIA 3 SHARES	P	04/05/11	12/01/11
(3) AMR CORP 6.25% 101514 1000 SHARES	D	09/24/10	07/22/11
(4) AMR CORP 6.25% 101514 1000 SHARES	P	04/06/11	11/30/11
(5) APACHE CORP 6% CONV PFD 8 SHARES	P	11/14/11	12/20/11
(6) CAMERON IN2.5% 06/15/26 1000 SHARES	P	04/06/11	08/16/11
(7) CEPHALON 2.5% 05/01/14 1000 SHARES	D	08/11/10	05/02/11
(8) CEPHALON 2.5% 05/01/14 1000 SHARES	P	04/06/11	05/02/11
(9) CHESAPEAK 2.75% 11/15/35 1000 SHARES	P	05/06/11	12/08/11
(10) CORE LABORATORIES INC 0.697 SHARES	P	11/03/11	11/03/11
(11) CORE LABORATORIES INC 11 SHARES	P	11/03/11	11/10/11
(12) GILEAD SCIENCES 1%050114 1000 SHARES	P	08/12/11	12/22/11
(13) GOODYEAR TIRE 5.875% PFD 6 SHARES	P	05/10/11	12/20/11
(14) MF GLOBA 1.875% 020116 1000 SHARES	D	02/22/11	10/27/11
(15) MYLAN LAB 1.25% 03/15/12 1000 SHARES	D	01/24/11	12/22/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 81		76	5
(2) 140		134	6
(3) 902		997	-95
(4) 183		1,014	-831
(5) 422		464	-42
(6) 1,427		1,570	-143
(7) 1,231		1,085	146
(8) 1,231		1,218	13
(9) 1,010		1,152	-142
(10) 77			77
(11) 1,175			1,175
(12) 1,068		1,081	-13
(13) 282		367	-85
(14) 490		1,046	-556
(15) 1,004		1,138	-134

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1) -			5
(2) -			6
(3) -			-95
(4) -			-831
(5) -			-42
(6) -			-143
(7) -			146
(8) -			13
(9) -			-142
(10) -			77
(11) -			1,175
(12) -			-13
(13) -			-85
(14) -			-556
(15) -			-134

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) OMNICON GRP % 070138 1000 SHARES	P	11/03/11	12/22/11
(2) SAVIENT 4.75% 020118 1000 SHARES	P	05/10/11	12/14/11
(3) TEVA PHAR 0.25% 02/01/26 1000 SHARES	P	11/02/11	12/08/11
(4) TEXTRON IN 4.5% 050113 1000 SHARES	D	11/24/10	10/13/11
(5) TRIUMPH GROUP INC 0.55974 SHARES	P	05/04/11	05/04/11
(6) TRIUMPH GROUP INC 6 SHARES	P	05/04/11	05/25/11
(7) ADOBE SYSTEMS INC 4 SHARES	D	11/03/10	10/28/11
(8) ALLSTATE CORP 11 SHARES	D	03/01/11	09/20/11
(9) ALLSTATE CORP 5 SHARES	D	03/01/11	12/13/11
(10) ALLSTATE CORP 22 SHARES	P	04/07/11	12/13/11
(11) ALTERA CORPORATION 5 SHARES	P	10/25/11	12/20/11
(12) AMERIPRISE FINANCIAL INC 3 SHARES	D	03/25/11	11/11/11
(13) AMERIPRISE FINANCIAL INC 3 SHARES	D	03/25/11	12/20/11
(14) AT & T INC 3 SHARES	P	12/12/11	12/20/11
(15) BAKER HUGHES INC 17 SHARES	P	05/25/11	08/19/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,030		1,080	-50
(2) 430		1,111	-681
(3) 1,040		1,052	-12
(4) 1,524		1,815	-291
(5) 48			48
(6) 545			545
(7) 114		115	-1
(8) 271		347	-76
(9) 130		158	-28
(10) 570		699	-129
(11) 180		191	-11
(12) 140		182	-42
(13) 146		182	-36
(14) 87		87	
(15) 936		1,224	-288

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-50
(2)			-681
(3)			-12
(4)			-291
(5)			48
(6)			545
(7)			-1
(8)			-76
(9)			-28
(10)			-129
(11)			-11
(12)			-42
(13)			-36
(14)			
(15)			-288

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) BANK NEW YORK MELLON 14 SHARES	P	04/07/11	05/12/11
(2) BANK OF AMERICA CORP 133 SHARES	P	04/07/11	11/22/11
(3) BANK OF AMERICA CORP 84 SHARES	P	05/17/11	11/22/11
(4) BAXTER INTERNATIONAL INC 11 SHARES	D	05/07/10	04/08/11
(5) BOSTON PROPERTIES INC 2 SHARES	P	08/10/11	12/20/11
(6) BOSTON SCIENTIFIC CORP 16 SHARES	D	03/01/11	10/28/11
(7) BOSTON SCIENTIFIC CORP 15 SHARES	D	03/01/11	11/30/11
(8) BOSTON SCIENTIFIC CORP 69 SHARES	D	03/01/11	12/13/11
(9) BOSTON SCIENTIFIC CORP 46 SHARES	P	04/07/11	12/13/11
(10) BOSTON SCIENTIFIC CORP 10 SHARES	P	04/07/11	12/20/11
(11) BOSTON SCIENTIFIC CORP 8 SHARES	P	05/17/11	12/20/11
(12) BOSTON SCIENTIFIC CORP 63 SHARES	P	05/17/11	12/22/11
(13) CAMERON INTERNATINL CRP 2 SHARES	D	03/07/11	11/11/11
(14) CAMERON INTERNATINL CRP 11 SHARES	D	03/07/11	12/15/11
(15) CAMERON INTERNATINL CRP 3 SHARES	D	03/07/11	12/16/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 401		423	-22
(2) 717		1,806	-1,089
(3) 453		996	-543
(4) 593		496	97
(5) 196		191	5
(6) 93		122	-29
(7) 86		114	-28
(8) 369		526	-157
(9) 246		339	-93
(10) 52		74	-22
(11) 41		54	-13
(12) 331		425	-94
(13) 103		124	-21
(14) 510		683	-173
(15) 140		186	-46

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-22
(2)			-1,089
(3)			-543
(4)			97
(5)			5
(6)			-29
(7)			-28
(8)			-157
(9)			-93
(10)			-22
(11)			-13
(12)			-94
(13)			-21
(14)			-173
(15)			-46

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) CAMERON INTERNATINL CRP 10 SHARES	P	04/07/11	12/16/11
(2) CBS CORP CL B 28 SHARES	D	12/08/10	06/03/11
(3) CBS CORP CL B 8 SHARES	D	12/08/10	08/03/11
(4) CBS CORP CL B 23 SHARES	D	12/13/10	08/03/11
(5) CBS CORP CL B 3 SHARES	D	12/13/10	08/05/11
(6) CBS CORP CL B 38 SHARES	P	04/07/11	08/05/11
(7) CBS CORP CL B 5 SHARES	P	08/08/11	08/09/11
(8) CBS CORP CL B 7 SHARES	P	08/08/11	11/11/11
(9) CBS CORP CL B 7 SHARES	P	08/08/11	12/20/11
(10) CELGENE CORP 12 SHARES	D	02/16/11	10/06/11
(11) CELGENE CORP 2 SHARES	D	02/16/11	10/18/11
(12) CELGENE CORP 7 SHARES	P	04/07/11	10/18/11
(13) CELGENE CORP 1 SHARES	P	04/07/11	12/20/11
(14) CELGENE CORP 2 SHARES	P	05/17/11	12/20/11
(15) CENTURYLINK INC 17 SHARES	D	01/31/11	10/27/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 466		550	-84
(2) 760		505	255
(3) 211		144	67
(4) 606		413	193
(5) 69		54	15
(6) 879		923	-44
(7) 116		108	8
(8) 187		151	36
(9) 182		151	31
(10) 757		645	112
(11) 131		107	24
(12) 460		393	67
(13) 67		56	11
(14) 133		119	14
(15) 596		736	-140

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-84
(2)			255
(3)			67
(4)			193
(5)			15
(6)			-44
(7)			8
(8)			36
(9)			31
(10)			112
(11)			24
(12)			67
(13)			11
(14)			14
(15)			-140

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) CENTURYLINK INC 5 SHARES	D	01/31/11	11/04/11
(2) CENTURYLINK INC 14 SHARES	P	04/07/11	11/04/11
(3) CHEVRON CORPORATION 3 SHARES	P	09/23/11	12/20/11 *
(4) CISCO SYS INC 9 SHARES	P	10/03/11	11/10/11
(5) CISCO SYS INC 13 SHARES	P	10/03/11	12/20/11
(6) CLIFFS NATURAL RESOURCES 7 SHARES	D	01/14/11	07/27/11
(7) CLIFFS NATURAL RESOURCES 2 SHARES	D	03/01/11	07/27/11
(8) CLIFFS NATURAL RESOURCES 3 SHARES	D	03/01/11	09/29/11
(9) CLIFFS NATURAL RESOURCES 8 SHARES	P	04/07/11	09/29/11
(10) COCA-COLA ENTERPRISES 40 SHARES	P	06/22/11	11/03/11
(11) COMCAST CORP NEW CL A 39 SHARES	D	08/12/10	07/15/11
(12) COMCAST CORP NEW CL A 60 SHARES	P	04/07/11	07/15/11
(13) CONAGRA FOODS INC 43 SHARES	P	08/01/11	10/31/11
(14) CONOCOPHILLIPS 11 SHARES	D	03/23/11	08/12/11
(15) CONOCOPHILLIPS 1 SHARES	D	03/23/11	08/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 179		216	-37
(2) 502		566	-64
(3) 311		271	40
(4) 168		138	30
(5) 239		199	40
(6) 655		606	49
(7) 187		193	-6
(8) 162		289	-127
(9) 432		782	-350
(10) 1,044		1,167	-123
(11) 938		698	240
(12) 1,443		1,478	-35
(13) 1,092		1,093	-1
(14) 731		864	-133
(15) 67		79	-12

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-37
(2)			-64
(3)			40
(4)			30
(5)			40
(6)			49
(7)			-6
(8)			-127
(9)			-350
(10)			-123
(11)			240
(12)			-35
(13)			-1
(14)			-133
(15)			-12

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning , and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) CONOCOPHILLIPS 6 SHARES	D	03/28/11	08/15/11
(2) CONOCOPHILLIPS 7 SHARES	P	04/06/11	08/15/11
(3) CONOCOPHILLIPS 3 SHARES	P	04/07/11	08/15/11
(4) CONOCOPHILLIPS 11 SHARES	P	04/07/11	10/25/11
(5) CONOCOPHILLIPS 8 SHARES	P	05/03/11	10/25/11
(6) CVS CAREMARK CORP 24 SHARES	P	04/07/11	10/06/11
(7) DEVON ENERGY CORP 9 SHARES	D	01/20/11	08/15/11
(8) DEVON ENERGY CORP 2 SHARES	D	01/20/11	11/30/11
(9) DEVON ENERGY CORP 4 SHARES	D	01/20/11	12/20/11
(10) DEVON ENERGY CORP 2 SHARES	D	01/25/11	12/27/11
(11) DISH NETWORK CORP CL A 37 SHARES	P	04/07/11	11/11/11
(12) DISNEY WALT COMPANY 4 SHARES	P	07/15/11	11/10/11
(13) DISNEY WALT COMPANY 6 SHARES	P	07/15/11	11/11/11
(14) DISNEY WALT COMPANY 18 SHARES	P	07/15/11	12/02/11
(15) DISNEY WALT COMPANY 8 SHARES	P	07/15/11	12/20/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 404		479	-75
(2) 472		565	-93
(3) 202		241	-39
(4) 780		882	-102
(5) 568		614	-46
(6) 805		850	-45
(7) 626		739	-113
(8) 131		164	-33
(9) 245		328	-83
(10) 126		167	-41
(11) 937		889	48
(12) 136		156	-20
(13) 223		235	-12
(14) 659		704	-45
(15) 290		313	-23

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-75
(2)			-93
(3)			-39
(4)			-102
(5)			-46
(6)			-45
(7)			-113
(8)			-33
(9)			-83
(10)			-41
(11)			48
(12)			-20
(13)			-12
(14)			-45
(15)			-23

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) DOW CHEMICAL COMPANY 16 SHARES	D	10/12/10	05/17/11
(2) DOW CHEMICAL COMPANY 7 SHARES	D	10/12/10	08/04/11
(3) DOW CHEMICAL COMPANY 35 SHARES	P	04/07/11	08/04/11
(4) EMC CORP 19 SHARES	D	04/29/10	04/28/11
(5) EMC CORP 8 SHARES	D	07/23/10	04/28/11
(6) ENTERGY CORP NEW 12 SHARES	P	04/07/11	08/02/11
(7) EQT CORP 2 SHARES	P	08/18/11	11/11/11
(8) EQT CORP 11 SHARES	P	08/18/11	12/05/11
(9) EQT CORP 5 SHARES	P	08/18/11	12/12/11
(10) EQT CORP 10 SHARES	P	09/01/11	12/12/11
(11) EQT CORP 9 SHARES	P	10/18/11	12/12/11
(12) EXXON MOBIL CORP 2 SHARES	P	08/05/11	11/10/11
(13) EXXON MOBIL CORP 2 SHARES	P	08/05/11	11/30/11
(14) EXXON MOBIL CORP 4 SHARES	P	08/05/11	12/20/11
(15) EXXON MOBIL CORP 2 SHARES	P	08/05/11	12/27/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 595		473	122
(2) 215		207	8
(3) 1,075		1,341	-266
(4) 535		373	162
(5) 225		160	65
(6) 792		800	-8
(7) 129		108	21
(8) 681		591	90
(9) 275		269	6
(10) 550		610	-60
(11) 495		566	-71
(12) 156		151	5
(13) 160		151	9
(14) 328		301	27
(15) 170		151	19

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			122
(2)			8
(3)			-266
(4)			162
(5)			65
(6)			-8
(7)			21
(8)			90
(9)			6
(10)			-60
(11)			-71
(12)			5
(13)			9
(14)			27
(15)			19

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) FIFTH THIRD BANCORP 51 SHARES	P	07/20/11	08/10/11
(2) FIFTH THIRD BANCORP 9 SHARES	P	07/20/11	11/30/11
(3) FIFTH THIRD BANCORP 9 SHARES	P	07/20/11	12/20/11
(4) FORD MOTOR COMPANY 50 SHARES	P	04/07/11	12/15/11
(5) FRPRT-MCMRN CPPR & GLD B 4 SHARES	P	11/02/11	12/20/11
(6) GENERAL MOTORS CO 25 SHARES	D	11/18/10	09/29/11
(7) GENERAL MOTORS CO 16 SHARES	P	04/07/11	09/29/11
(8) GOOGLE INC CL A 1 SHARES	P	04/07/11	12/09/11
(9) GOOGLE INC CL A 1 SHARES	P	04/07/11	12/16/11
(10) HESS CORPORATION 12 SHARES	D	02/24/11	05/04/11
(11) HESS CORPORATION 6 SHARES	D	02/25/11	05/04/11
(12) HESS CORPORATION 10 SHARES	P	04/07/11	05/04/11
(13) HOME DEPOT INC 4 SHARES	P	11/22/11	12/20/11
(14) JUNIPER NETWORK INC 5 SHARES	P	07/27/11	11/30/11
(15) JUNIPER NETWORK INC 9 SHARES	P	07/27/11	12/20/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 509		631	-122
(2) 105		111	-6
(3) 110		111	-1
(4) 513		776	-263
(5) 150		158	-8
(6) 522		882	-360
(7) 334		519	-185
(8) 622		578	44
(9) 625		578	47
(10) 935		1,011	-76
(11) 467		504	-37
(12) 779		843	-64
(13) 167		148	19
(14) 110		124	-14
(15) 178		222	-44

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-122
(2)			-6
(3)			-1
(4)			-263
(5)			-8
(6)			-360
(7)			-185
(8)			44
(9)			47
(10)			-76
(11)			-37
(12)			-64
(13)			19
(14)			-14
(15)			-44

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) KLA-TENCOR CORP 18 SHARES	P	07/26/11	10/28/11
(2) LEAR CORP 21 SHARES	P	10/03/11	11/22/11
(3) LIBERTY GLOBAL INC SER A 4 SHARES	P	10/10/11	12/20/11
(4) LIBERTY INTERACTIVE CORP 6 SHARES	P	06/08/11	11/21/11
(5) LIBERTY INTERACTIVE CORP 8 SHARES	P	06/08/11	12/20/11
(6) LYONDELLBASELL IND A 25 SHARES	P	05/17/11	10/10/11
(7) LYONDELLBASELL IND A 3 SHARES	P	05/17/11	11/21/11
(8) LYONDELLBASELL IND A 7 SHARES	P	05/17/11	12/20/11
(9) MAXIM INTEGRATED PRODS 5 SHARES	P	07/25/11	11/21/11
(10) MAXIM INTEGRATED PRODS 4 SHARES	P	07/25/11	12/20/11
(11) MICROSOFT CORP 5 SHARES	D	02/18/11	11/30/11
(12) MICROSOFT CORP 27 SHARES	D	02/18/11	10/10/11
(13) MICROSOFT CORP 3 SHARES	D	02/18/11	11/11/11
(14) MICROSOFT CORP 2 SHARES	D	02/18/11	12/27/11
(15) MICROSOFT CORP 2 SHARES	P	04/07/11	12/27/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 849		798	51
(2) 835		893	-58
(3) 161		149	12
(4) 93		104	-11
(5) 128		139	-11
(6) 681		971	-290
(7) 103		117	-14
(8) 229		272	-43
(9) 126		117	9
(10) 104		94	10
(11) 126		135	-9
(12) 724		730	-6
(13) 81		81	
(14) 52		54	-2
(15) 52		52	

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			51
(2)			-58
(3)			12
(4)			-11
(5)			-11
(6)			-290
(7)			-14
(8)			-43
(9)			9
(10)			10
(11)			-9
(12)			-6
(13)			
(14)			-2
(15)			

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) MORGAN STANLEY & CO 10 SHARES	P	11/01/11	12/20/11
(2) MOSAIC COMPANY 2 SHARES	P	07/08/11	11/11/11
(3) MOSAIC COMPANY 2 SHARES	P	07/08/11	12/20/11
(4) MYLAN INC 4 SHARES	P	12/14/11	12/20/11
(5) NATIONAL OILWELL VARCO 15 SHARES	P	10/26/11	12/05/11
(6) NETAPP INC 3 SHARES	P	11/18/11	12/20/11
(7) NEWELL RUBBERMAID INC 32 SHARES	P	04/07/11	06/08/11
(8) NEWFIELD EXPL COMPANY 3 SHARES	D	07/07/10	05/04/11
(9) NEWFIELD EXPL COMPANY 3 SHARES	P	04/07/11	08/08/11
(10) NEWFIELD EXPL COMPANY 13 SHARES	P	04/07/11	08/22/11
(11) NEXTERA ENERGY INC 12 SHARES	D	10/14/10	04/15/11
(12) NIKE INC CLASS B 15 SHARES	P	04/08/11	06/22/11
(13) NVIDIA CORP 8 SHARES	P	06/22/11	11/22/11
(14) NVIDIA CORP 6 SHARES	P	06/22/11	12/20/11
(15) OCCIDENTAL PETE CORP 3 SHARES	P	04/06/11	09/30/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 148		164	-16
(2) 115		140	-25
(3) 100		140	-40
(4) 85		80	5
(5) 1,089		1,027	62
(6) 108		105	3
(7) 460		607	-147
(8) 198		149	49
(9) 156		225	-69
(10) 590		975	-385
(11) 663		665	-2
(12) 1,243		1,167	76
(13) 120		127	-7
(14) 83		95	-12
(15) 218		308	-90

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-16
(2)			-25
(3)			-40
(4)			5
(5)			62
(6)			3
(7)			-147
(8)			49
(9)			-69
(10)			-385
(11)			-2
(12)			76
(13)			-7
(14)			-12
(15)			-90

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) OCCIDENTAL PETE CORP 2 SHARES	P	04/06/11	10/28/11
(2) OCCIDENTAL PETE CORP 3 SHARES	P	04/07/11	12/20/11
(3) PEPSICO INCORPORATED 9 SHARES	D	07/01/10	06/22/11
(4) PEPSICO INCORPORATED 8 SHARES	D	03/01/11	08/09/11
(5) PEPSICO INCORPORATED 2 SHARES	P	04/07/11	08/09/11
(6) PEPSICO INCORPORATED 2 SHARES	P	04/07/11	11/21/11
(7) PEPSICO INCORPORATED 3 SHARES	P	04/07/11	12/20/11
(8) PFIZER INCORPORATED 10 SHARES	P	10/07/11	11/21/11
(9) PFIZER INCORPORATED 14 SHARES	P	10/07/11	12/20/11
(10) PFIZER INCORPORATED 8 SHARES	P	10/07/11	12/27/11
(11) PNC FINANCIAL SERVICES 6 SHARES	D	09/29/10	07/20/11
(12) PNC FINANCIAL SERVICES 12 SHARES	D	10/19/10	07/20/11
(13) PNC FINANCIAL SERVICES 9 SHARES	D	12/13/10	07/20/11
(14) PNC FINANCIAL SERVICES 17 SHARES	P	04/07/11	07/20/11
(15) PPL CORPORATION 5 SHARES	P	04/12/11	08/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 194		205	-11
(2) 276		303	-27
(3) 621		549	72
(4) 494		507	-13
(5) 123		131	-8
(6) 126		131	-5
(7) 196		197	-1
(8) 191		184	7
(9) 300		258	42
(10) 174		147	27
(11) 334		313	21
(12) 667		635	32
(13) 501		541	-40
(14) 945		1,080	-135
(15) 130		133	-3

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-11
(2)			-27
(3)			72
(4)			-13
(5)			-8
(6)			-5
(7)			-1
(8)			7
(9)			42
(10)			27
(11)			21
(12)			32
(13)			-40
(14)			-135
(15)			-3

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2011
		For calendar year 2011, or tax year beginning _____, and ending _____		
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.			Employer Identification Number 22-2809785	
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1)	PPL CORPORATION 3 SHARES	P	04/12/11	11/21/11
(2)	PPL CORPORATION 6 SHARES	P	04/12/11	12/20/11
(3)	PPL CORPORATION 3 SHARES	P	04/12/11	12/27/11
(4)	PROCTER & GAMBLE CO 14 SHARES	D	08/18/10	06/22/11
(5)	PROCTER & GAMBLE CO 8 SHARES	P	04/07/11	06/22/11
(6)	PUB SVC ENTERPRISE 5 SHARES	P	08/03/11	12/20/11
(7)	PUB SVC ENTERPRISE 28 SHARES	P	08/03/11	12/21/11
(8)	RANGE RESOURCES CORP 9 SHARES	P	07/28/11	09/28/11
(9)	RANGE RESOURCES CORP 5 SHARES	P	07/28/11	09/29/11
(10)	RANGE RESOURCES CORP 4 SHARES	P	07/28/11	11/03/11
(11)	RANGE RESOURCES CORP 11 SHARES	P	08/09/11	11/03/11
(12)	SCHLUMBERGER LTD 2 SHARES	D	10/28/10	08/10/11
(13)	SCHLUMBERGER LTD 6 SHARES	D	11/01/10	08/10/11
(14)	SCHLUMBERGER LTD 2 SHARES	D	11/01/10	09/23/11
(15)	SCHLUMBERGER LTD 6 SHARES	P	04/07/11	09/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 87		80	7
(2) 172		159	13
(3) 89		80	9
(4) 897		853	44
(5) 512		494	18
(6) 156		160	-4
(7) 886		894	-8
(8) 540		590	-50
(9) 293		328	-35
(10) 281		262	19
(11) 772		609	163
(12) 148		140	8
(13) 443		418	25
(14) 123		139	-16
(15) 369		547	-178

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			7
(2)			13
(3)			9
(4)			44
(5)			18
(6)			-4
(7)			-8
(8)			-50
(9)			-35
(10)			19
(11)			163
(12)			8
(13)			25
(14)			-16
(15)			-178

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SCHLUMBERGER LTD 9 SHARES	P	07/08/11	09/23/11
(2) STARWOOD HOTELS & RES 3 SHARES	P	10/27/11	12/20/11
(3) STATE STR CORP 14 SHARES	P	04/07/11	09/26/11
(4) SUNTRUST BANKS INC 35 SHARES	D	12/27/10	08/10/11
(5) SUNTRUST BANKS INC 5 SHARES	D	12/27/10	11/30/11
(6) SUNTRUST BANKS INC 6 SHARES	D	12/27/10	12/20/11
(7) SUNTRUST BANKS INC 1 SHARES	P	04/07/11	12/20/11
(8) TEVA PHARMACEUTICAL ADR 4 SHARES	D	11/12/10	10/28/11
(9) TEVA PHARMACEUTICAL ADR 8 SHARES	D	11/12/10	11/02/11
(10) TEVA PHARMACEUTICAL ADR 11 SHARES	D	11/15/10	11/02/11
(11) TEVA PHARMACEUTICAL ADR 8 SHARES	D	03/01/11	12/14/11
(12) TEVA PHARMACEUTICAL ADR 2 SHARES	D	03/01/11	12/20/11
(13) TEX INSTRUMENTS INC 15 SHARES	P	04/07/11	07/22/11
(14) TEX INSTRUMENTS INC 3 SHARES	P	12/19/11	12/20/11
(15) THERMO FISHER SCI INC 3 SHARES	P	10/13/11	11/21/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 553		803	-250
(2) 140		155	-15
(3) 440		645	-205
(4) 656		1,023	-367
(5) 88		146	-58
(6) 101		175	-74
(7) 17		30	-13
(8) 169		202	-33
(9) 311		404	-93
(10) 428		560	-132
(11) 330		402	-72
(12) 85		101	-16
(13) 473		525	-52
(14) 88		85	3
(15) 135		162	-27

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-250
(2)			-15
(3)			-205
(4)			-367
(5)			-58
(6)			-74
(7)			-13
(8)			-33
(9)			-93
(10)			-132
(11)			-72
(12)			-16
(13)			-52
(14)			3
(15)			-27

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) THERMO FISHER SCI INC 4 SHARES	P	10/13/11	12/20/11
(2) UNITEDHEALTH GROUP 3 SHARES	P	12/19/11	12/20/11
(3) WELLPOINT INC 18 SHARES	P	04/07/11	12/16/11
(4) WILLIAMS COMPANIES INC 27 SHARES	P	11/02/11	12/16/11
(5) WILLIAMS COMPANIES INC 17 SHARES	P	11/04/11	12/16/11
(6) YUM BRANDS INC 15 SHARES	D	01/10/11	04/12/11
(7) AGCO CORP 1 SHARES	D	10/25/10	04/07/11
(8) AGCO CORP 7 SHARES	D	10/28/10	04/07/11
(9) AGCO CORP 5 SHARES	D	11/09/10	04/07/11
(10) ALCATEL-LUCENT ADR 139 SHARES	D	02/14/11	04/07/11
(11) ALCATEL-LUCENT ADR 23 SHARES	D	02/14/11	04/26/11
(12) ALCATEL-LUCENT ADR 64 SHARES	D	02/15/11	04/26/11
(13) ALCATEL-LUCENT ADR 28 SHARES	D	02/15/11	10/14/11
(14) ALCATEL-LUCENT ADR 63 SHARES	D	02/16/11	10/14/11
(15) ALCATEL-LUCENT ADR 156 SHARES	D	03/16/11	10/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 180		216	-36
(2) 149		147	2
(3) 1,164		1,245	-81
(4) 823		816	7
(5) 518		534	-16
(6) 752		744	8
(7) 54		45	9
(8) 379		293	86
(9) 271		229	42
(10) 796		651	145
(11) 146		108	38
(12) 406		290	116
(13) 84		127	-43
(14) 188		294	-106
(15) 466		815	-349

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			-36
(2)			2
(3)			-81
(4)			7
(5)			-16
(6)			8
(7)			9
(8)			86
(9)			42
(10)			145
(11)			38
(12)			116
(13)			-43
(14)			-106
(15)			-349

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) ALCATEL-LUCENT ADR 58 SHARES	P	05/16/11	10/14/11
(2) ALCATEL-LUCENT ADR 58 SHARES	P	05/18/11	10/14/11
(3) ALCATEL-LUCENT ADR 115 SHARES	P	07/05/11	10/14/11
(4) ALCOA INC 59 SHARES	D	10/11/10	09/19/11
(5) ALCOA INC 27 SHARES	D	10/13/10	09/19/11
(6) ALCOA INC 18 SHARES	D	10/15/10	09/19/11
(7) ALCOA INC 7 SHARES	D	10/15/10	10/12/11
(8) ALCOA INC 28 SHARES	D	11/04/10	10/12/11
(9) ALCOA INC 31 SHARES	D	12/07/10	10/12/11
(10) ALCOA INC 29 SHARES	D	12/22/10	10/12/11
(11) AMERISOURCEBERGEN CORP 4 SHARES	D	06/24/10	05/10/11
(12) AMERISOURCEBERGEN CORP 21 SHARES	D	06/24/10	05/19/11
(13) ANNALY CAPITAL MGMT REIT 51 SHARES	P	07/14/11	12/09/11
(14) ATLAS AIR WORLDWIDE HLDG 5 SHARES	D	07/01/10	05/03/11
(15) ATLAS AIR WORLDWIDE HLDG 6 SHARES	D	07/27/10	05/03/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 173		347	-174
(2) 173		345	-172
(3) 343		682	-339
(4) 675		768	-93
(5) 309		362	-53
(6) 206		235	-29
(7) 70		92	-22
(8) 278		377	-99
(9) 308		447	-139
(10) 288		441	-153
(11) 169		127	42
(12) 877		666	211
(13) 822		922	-100
(14) 325		237	88
(15) 390		357	33

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-174
(2)			-172
(3)			-339
(4)			-93
(5)			-53
(6)			-29
(7)			-22
(8)			-99
(9)			-139
(10)			-153
(11)			42
(12)			211
(13)			-100
(14)			88
(15)			33

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) ATLAS AIR WORLDWIDE HLDG 3 SHARES	D	09/07/10	05/03/11
(2) ATLAS AIR WORLDWIDE HLDG 2 SHARES	D	10/22/10	09/27/11
(3) ATLAS AIR WORLDWIDE HLDG 8 SHARES	D	01/10/11	09/27/11
(4) ATMEL CORP 22 SHARES	D	09/24/10	04/27/11
(5) ATMEL CORP 23 SHARES	D	10/05/10	04/27/11
(6) ATMEL CORP 27 SHARES	D	03/10/11	12/13/11
(7) ATMEL CORP 13 SHARES	P	04/12/11	12/13/11
(8) BIOMED REALTY TRUST INC 6 SHARES	D	07/29/10	04/25/11
(9) BRANDYWINE REALTY TRUST 22 SHARES	P	11/10/11	12/20/11
(10) CADENCE DESIGN SYS INC 13 SHARES	P	09/14/11	11/11/11
(11) CARDINAL HEALTH INC 8 SHARES	D	03/23/11	11/07/11
(12) CBL & ASSOC PPTYS INC 17 SHARES	D	12/06/10	11/07/11
(13) CBL & ASSOC PPTYS INC 2 SHARES	D	01/05/11	12/20/11
(14) CBS CORP CL B 8 SHARES	D	10/13/10	08/31/11
(15) CENTURYLINK INC 39 SHARES	P	04/01/11	05/05/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 195		143	52
(2) 77		106	-29
(3) 307		416	-109
(4) 331		171	160
(5) 346		195	151
(6) 222		316	-94
(7) 107		161	-54
(8) 113		109	4
(9) 203		203	
(10) 151		126	25
(11) 349		321	28
(12) 250		297	-47
(13) 32		36	-4
(14) 202		144	58
(15) 1,584		1,193	391

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			52
(2)			-29
(3)			-109
(4)			160
(5)			151
(6)			-94
(7)			-54
(8)			4
(9)			
(10)			25
(11)			28
(12)			-47
(13)			-4
(14)			58
(15)			391

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) CENTURYLINK INC 0 SHARES	P	04/04/11	04/04/11
(2) CONSTELLATION BRANDS -A 17 SHARES	D	08/26/10	04/07/11
(3) CONSTELLATION BRANDS -A 15 SHARES	D	08/27/10	04/07/11
(4) CONSTELLATION BRANDS -A 22 SHARES	D	09/21/10	04/07/11
(5) CONSTELLATION BRANDS -A 6 SHARES	D	10/13/10	04/07/11
(6) CONSTELLATION BRANDS -A 4 SHARES	D	10/13/10	06/27/11
(7) CONSTELLATION BRANDS -A 19 SHARES	D	10/19/10	06/27/11
(8) CONSTELLATION BRANDS -A 12 SHARES	D	10/29/10	06/27/11
(9) DENBURY RESOURCES INC 11 SHARES	D	03/01/11	10/06/11
(10) DENBURY RESOURCES INC 34 SHARES	D	03/02/11	10/06/11
(11) DENBURY RESOURCES INC 26 SHARES	D	03/03/11	10/06/11
(12) DENBURY RESOURCES INC 20 SHARES	D	03/03/11	10/06/11
(13) DENBURY RESOURCES INC 37 SHARES	P	04/20/11	10/06/11
(14) DENBURY RESOURCES INC 29 SHARES	P	04/28/11	10/06/11
(15) ENERGEN CORPORATION 8 SHARES	D	02/22/11	11/11/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 11			11
(2) 363		282	81
(3) 320		252	68
(4) 469		400	69
(5) 128		115	13
(6) 83		77	6
(7) 395		364	31
(8) 250		238	12
(9) 129		269	-140
(10) 399		815	-416
(11) 305		628	-323
(12) 235		486	-251
(13) 435		865	-430
(14) 341		635	-294
(15) 415		479	-64

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			11
(2)			81
(3)			68
(4)			69
(5)			13
(6)			6
(7)			31
(8)			12
(9)			-140
(10)			-416
(11)			-323
(12)			-251
(13)			-430
(14)			-294
(15)			-64

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) FAIRCHILD SEMICON INTL 44 SHARES	P	04/25/11	06/14/11
(2) FAIRCHILD SEMICON INTL 20 SHARES	P	04/28/11	06/14/11
(3) FINISAR CORPORATION 27 SHARES	D	01/21/11	04/27/11
(4) FINISAR CORPORATION 18 SHARES	D	03/09/11	04/27/11
(5) FIRSTENERGY CORP 11 SHARES	P	05/11/11	11/07/11
(6) FIRSTENERGY CORP 5 SHARES	P	05/11/11	12/20/11
(7) HARLEY DAVIDSON INC 5 SHARES	P	04/13/11	07/06/11
(8) HARLEY DAVIDSON INC 7 SHARES	P	04/13/11	11/11/11
(9) HARLEY DAVIDSON INC 9 SHARES	P	04/13/11	12/06/11
(10) HEALTH NET INC 23 SHARES	D	06/07/10	04/12/11
(11) HERTZ GLOBAL HLDGS INC 7 SHARES	D	10/20/10	04/15/11
(12) HERTZ GLOBAL HLDGS INC 28 SHARES	D	11/12/10	04/15/11
(13) HERTZ GLOBAL HLDGS INC 27 SHARES	D	11/19/10	04/15/11
(14) HERTZ GLOBAL HLDGS INC 1 SHARES	D	11/19/10	11/11/11
(15) HERTZ GLOBAL HLDGS INC 16 SHARES	D	11/22/10	11/11/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 699		876	-177
(2) 318		412	-94
(3) 720		834	-114
(4) 480		466	14
(5) 497		469	28
(6) 217		213	4
(7) 212		201	11
(8) 273		281	-8
(9) 342		361	-19
(10) 733		575	158
(11) 117		73	44
(12) 469		338	131
(13) 452		332	120
(14) 12		12	
(15) 194		195	-1

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-177
(2)			-94
(3)			-114
(4)			14
(5)			28
(6)			4
(7)			11
(8)			-8
(9)			-19
(10)			158
(11)			44
(12)			131
(13)			120
(14)			
(15)			-1

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
--	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) HUNTINGTON BANCSHARES IN 118 SHARES	D	01/14/11	10/27/11
(2) HUNTINGTON BANCSHARES IN 63 SHARES	D	01/20/11	10/27/11
(3) HUNTINGTON BANCSHARES IN 63 SHARES	D	02/04/11	10/27/11
(4) HUNTINGTON BANCSHARES IN 54 SHARES	D	02/09/11	10/27/11
(5) HUNTINGTON BANCSHARES IN 59 SHARES	D	02/11/11	10/27/11
(6) HUNTINGTON BANCSHARES IN 56 SHARES	P	05/20/11	10/27/11
(7) HUNTINGTON BANCSHARES IN 49 SHARES	P	06/30/11	10/27/11
(8) HUNTINGTON BANCSHARES IN 30 SHARES	P	07/12/11	10/27/11
(9) HUNTSMAN CORP 12 SHARES	D	11/15/10	04/07/11
(10) HUNTSMAN CORP 23 SHARES	D	11/22/10	04/07/11
(11) HUNTSMAN CORP 19 SHARES	D	12/23/10	12/23/11
(12) INVESCO PLC 17 SHARES	D	09/16/10	06/28/11
(13) INVESCO PLC 17 SHARES	D	09/16/10	06/28/11
(14) KBR INC 8 SHARES	D	01/20/11	11/30/11
(15) MACY'S INC 33 SHARES	D	11/04/10	10/20/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 642		854	-212
(2) 343		431	-88
(3) 343		469	-126
(4) 294		398	-104
(5) 321		434	-113
(6) 305		368	-63
(7) 267		322	-55
(8) 163		191	-28
(9) 226		160	66
(10) 434		317	117
(11) 185		298	-113
(12) 384		354	30
(13) 387		354	33
(14) 227		256	-29
(15) 975		823	152

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			-212
(2)			-88
(3)			-126
(4)			-104
(5)			-113
(6)			-63
(7)			-55
(8)			-28
(9)			66
(10)			117
(11)			-113
(12)			30
(13)			33
(14)			-29
(15)			152

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) MACY'S INC 4 SHARES	D	11/04/10	11/04/11
(2) MACY'S INC 9 SHARES	D	11/15/10	11/04/11
(3) MACY'S INC 13 SHARES	D	11/23/10	11/04/11
(4) MANITOWOC COMPANY INC 32 SHARES	P	04/07/11	06/20/11
(5) MANITOWOC COMPANY INC 12 SHARES	P	04/07/11	06/20/11
(6) MARSH&MC LENNAN COS INC 7 SHARES	D	03/14/11	11/11/11
(7) MARSH&MC LENNAN COS INC 31 SHARES	D	03/14/11	12/19/11
(8) MARSH&MC LENNAN COS INC 15 SHARES	D	03/16/11	12/19/11
(9) MCDERMOTT INTL INC 13 SHARES	D	11/29/10	05/17/11
(10) MCDERMOTT INTL INC 41 SHARES	D	12/10/10	05/17/11
(11) MCDERMOTT INTL INC 14 SHARES	D	01/21/11	05/17/11
(12) METROPCS COMMUNICATIONS 64 SHARES	D	01/06/11	08/04/11
(13) METROPCS COMMUNICATIONS 21 SHARES	P	04/07/11	08/04/11
(14) NEWFIELD EXPL COMPANY 8 SHARES	D	08/12/10	04/15/11
(15) NISOURCE INC 5 SHARES	D	07/09/10	06/16/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 125		100	25
(2) 281		225	56
(3) 406		335	71
(4) 482		709	-227
(5) 181		264	-83
(6) 217		215	2
(7) 940		952	-12
(8) 455		446	9
(9) 262		236	26
(10) 826		818	8
(11) 282		281	1
(12) 581		854	-273
(13) 191		345	-154
(14) 565		411	154
(15) 97		79	18

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			25
(2)			56
(3)			71
(4)			-227
(5)			-83
(6)			2
(7)			-12
(8)			9
(9)			26
(10)			8
(11)			1
(12)			-273
(13)			-154
(14)			154
(15)			18

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) NISOURCE INC 22 SHARES	D	07/09/10	06/23/11
(2) NISOURCE INC 26 SHARES	D	07/16/10	06/23/11
(3) PEABODY ENERGY CORP 4 SHARES	D	03/01/11	04/19/11
(4) PEABODY ENERGY CORP 12 SHARES	D	03/02/11	04/19/11
(5) PEABODY ENERGY CORP 8 SHARES	D	03/03/11	04/19/11
(6) PEABODY ENERGY CORP 8 SHARES	D	03/04/11	04/19/11
(7) PEABODY ENERGY CORP 7 SHARES	D	03/08/11	04/19/11
(8) PHILLIPS-VAN HEUSEN CORP 10 SHARES	D	07/09/10	04/21/11
(9) PIER 1 IMPORTS INC 24 SHARES	P	04/20/11	12/20/11
(10) PPL CORPORATION 8 SHARES	P	07/13/11	11/30/11
(11) PROGRESS SOFTWARE CORP 29.8305 SHARE	D	01/05/11	07/22/11
(12) PROGRESS SOFTWARE CORP 5.1695 SHARES	D	01/07/11	07/22/11
(13) PROGRESS SOFTWARE CORP 8.25422 SHARE	D	01/07/11	08/19/11
(14) PROGRESS SOFTWARE CORP 14.91525 SHAR	D	01/11/11	08/19/11
(15) PROGRESS SOFTWARE CORP 19.38983 SHAR	D	01/12/11	08/19/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 421		347	74
(2) 498		410	88
(3) 254		270	-16
(4) 761		787	-26
(5) 507		538	-31
(6) 507		551	-44
(7) 444		474	-30
(8) 685		478	207
(9) 330		290	40
(10) 238		223	15
(11) 770		863	-93
(12) 133		150	-17
(13) 159		240	-81
(14) 287		435	-148
(15) 373		566	-193

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			74
(2)			88
(3)			-16
(4)			-26
(5)			-31
(6)			-44
(7)			-30
(8)			207
(9)			40
(10)			15
(11)			-93
(12)			-17
(13)			-81
(14)			-148
(15)			-193

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) PROGRESS SOFTWARE CORP 10.4407 SHARE	D	01/18/11	08/19/11
(2) PROGRESS SOFTWARE CORP 8 SHARES	D	02/24/11	08/19/11
(3) QUESTAR CORP 7 SHARES	D	06/08/10	05/17/11
(4) QUESTAR CORP 38 SHARES	D	07/07/10	05/17/11
(5) RAYTHEON COMPANY 9 SHARES	D	01/18/11	06/14/11
(6) RAYTHEON COMPANY 8 SHARES	D	01/18/11	06/16/11
(7) RAYTHEON COMPANY 9 SHARES	D	02/17/11	06/16/11
(8) REYNOLDS AMERICAN INC 15 SHARES	P	08/16/11	09/29/11
(9) RYDER SYSTEM INC 7 SHARES	D	01/03/11	11/11/11
(10) SIGNET JEWELERS LTD 7 SHARES	D	11/22/10	11/07/11
(11) SIGNET JEWELERS LTD 10 SHARES	D	11/22/10	11/08/11
(12) SIGNET JEWELERS LTD 4 SHARES	D	11/23/10	11/08/11
(13) SLM CORP 15 SHARES	P	04/21/11	12/20/11
(14) SM ENERGY CO COM 11 SHARES	P	04/07/11	06/23/11
(15) SM ENERGY CO COM 7 SHARES	P	04/07/11	06/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 201		308	-107
(2) 154		233	-79
(3) 118		102	16
(4) 641		608	33
(5) 438		458	-20
(6) 389		407	-18
(7) 437		466	-29
(8) 551		522	29
(9) 369		374	-5
(10) 294		260	34
(11) 459		372	87
(12) 184		159	25
(13) 203		245	-42
(14) 716		819	-103
(15) 464		521	-57

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-107
(2)			-79
(3)			16
(4)			33
(5)			-20
(6)			-18
(7)			-29
(8)			29
(9)			-5
(10)			34
(11)			87
(12)			25
(13)			-42
(14)			-103
(15)			-57

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SM ENERGY CO COM 5 SHARES	P	04/14/11	06/28/11
(2) SM ENERGY CO COM 4 SHARES	P	04/20/11	06/28/11
(3) SM ENERGY CO COM 2 SHARES	P	04/20/11	07/06/11
(4) SM ENERGY CO COM 11 SHARES	P	05/25/11	07/06/11
(5) SMITHFIELD FOODS INC 11 SHARES	D	02/07/11	11/07/11
(6) SMITHFIELD FOODS INC 12 SHARES	D	02/07/11	11/30/11
(7) SPECTRA ENERGY CORP 47 SHARES	D	02/18/11	05/19/11
(8) SPECTRA ENERGY CORP 4 SHARES	D	02/18/11	05/24/11
(9) SPECTRA ENERGY CORP 11 SHARES	D	02/22/11	05/24/11
(10) SPECTRA ENERGY CORP 5 SHARES	D	02/24/11	05/24/11
(11) SPECTRA ENERGY CORP 17 SHARES	D	02/24/11	05/31/11
(12) SPECTRA ENERGY CORP 11 SHARES	P	04/14/11	05/31/11
(13) SUPERIOR ENERGY SVCS INC 25 SHARES	D	11/12/10	06/28/11
(14) SUPERIOR ENERGY SVCS INC 16 SHARES	D	11/12/10	07/01/11
(15) SUPERIOR ENERGY SVCS INC 5 SHARES	D	11/16/10	07/01/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 331		349	-18
(2) 265		295	-30
(3) 146		148	-2
(4) 803		717	86
(5) 245		251	-6
(6) 288		274	14
(7) 1,284		1,240	44
(8) 108		106	2
(9) 298		288	10
(10) 135		130	5
(11) 467		442	25
(12) 302		295	7
(13) 891		738	153
(14) 604		472	132
(15) 189		144	45

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-18
(2)			-30
(3)			-2
(4)			86
(5)			-6
(6)			14
(7)			44
(8)			2
(9)			10
(10)			5
(11)			25
(12)			7
(13)			153
(14)			132
(15)			45

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SUPERIOR ENERGY SVCS INC 8 SHARES	D	11/16/10	11/07/11
(2) SUPERIOR ENERGY SVCS INC 5 SHARES	D	11/16/10	11/11/11
(3) SUPERIOR ENERGY SVCS INC 1 SHARES	D	11/22/10	11/11/11
(4) SYSCO CORPORATION 40 SHARES	P	05/18/11	08/16/11
(5) SYSCO CORPORATION 14 SHARES	P	05/23/11	08/16/11
(6) TESORO CORPORATION 25 SHARES	D	11/05/10	04/07/11
(7) THE HAIN CELESTIAL GROUP 14 SHARES	D	09/08/10	08/31/11
(8) TIMKEN COMPANY 4 SHARES	D	02/25/11	11/11/11
(9) TRIUMPH GROUP INC 11 SHARES	D	01/07/11	08/31/11
(10) TRIUMPH GROUP INC 7 SHARES	D	01/07/11	12/20/11
(11) UNITED STATIONERS INC 6 SHARES	D	11/09/10	08/03/11
(12) VIACOM INC CL B 16 SHARES	D	05/11/10	04/13/11
(13) VORNADO REALTY TR 14 SHARES	D	06/24/10	04/07/11
(14) VORNADO REALTY TR 4 SHARES	D	08/04/10	05/10/11
(15) VORNADO REALTY TR 3 SHARES	D	08/04/10	05/13/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 228		230	-2
(2) 149		144	5
(3) 30		31	-1
(4) 1,114		1,295	-181
(5) 390		452	-62
(6) 669		349	320
(7) 440		344	96
(8) 178		196	-18
(9) 576		520	56
(10) 414		331	83
(11) 183		180	3
(12) 757		545	212
(13) 1,225		1,074	151
(14) 384		341	43
(15) 286		256	30

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-2
(2)			5
(3)			-1
(4)			-181
(5)			-62
(6)			320
(7)			96
(8)			-18
(9)			56
(10)			83
(11)			3
(12)			212
(13)			151
(14)			43
(15)			30

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) VORNADO REALTY TR 1 SHARES	D	08/12/10	05/13/11
(2) VORNADO REALTY TR 3 SHARES	D	08/12/10	08/03/11
(3) VORNADO REALTY TR 5 SHARES	D	10/13/10	08/22/11
(4) VORNADO REALTY TR 6 SHARES	D	11/10/10	09/28/11
(5) VORNADO REALTY TR 3 SHARES	D	11/11/10	09/28/11
(6) WALTER ENERGY INC 7 SHARES	D	03/29/11	07/18/11
(7) WALTER ENERGY INC 4 SHARES	P	04/07/11	07/18/11
(8) WALTER ENERGY INC 2 SHARES	P	04/07/11	07/19/11
(9) WALTER ENERGY INC 2 SHARES	P	04/19/11	07/19/11
(10) WALTER ENERGY INC 2 SHARES	P	04/19/11	08/04/11
(11) WALTER ENERGY INC 5 SHARES	P	05/12/11	08/04/11
(12) WEIGHT WATCHERS INTL INC 7 SHARES	D	03/18/11	05/18/11
(13) WEIGHT WATCHERS INTL INC 6 SHARES	D	03/18/11	05/19/11
(14) WEIGHT WATCHERS INTL INC 1 SHARES	D	03/21/11	05/19/11
(15) WEIGHT WATCHERS INTL INC 5 SHARES	D	03/21/11	05/26/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 95		81	14
(2) 259		244	15
(3) 399		441	-42
(4) 470		509	-39
(5) 235		253	-18
(6) 831		919	-88
(7) 475		560	-85
(8) 242		280	-38
(9) 242		262	-20
(10) 163		262	-99
(11) 407		618	-211
(12) 573		434	139
(13) 497		372	125
(14) 83		62	21
(15) 420		311	109

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1) -			14
(2)			15
(3)			-42
(4)			-39
(5)			-18
(6)			-88
(7)			-85
(8)			-38
(9)			-20
(10)			-99
(11)			-211
(12)			139
(13)			125
(14)			21
(15)			109

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) WEIGHT WATCHERS INTL INC 1 SHARES	P	05/03/11	05/26/11
(2) WEIGHT WATCHERS INTL INC 6 SHARES	P	05/03/11	10/27/11
(3) WEIGHT WATCHERS INTL INC 3 SHARES	P	05/06/11	10/27/11
(4) WEIGHT WATCHERS INTL INC 1 SHARES	P	05/06/11	10/27/11
(5) WEIGHT WATCHERS INTL INC 4 SHARES	P	06/20/11	10/27/11
(6) WEIGHT WATCHERS INTL INC 2 SHARES	P	06/20/11	12/01/11
(7) WEIGHT WATCHERS INTL INC 8 SHARES	P	08/19/11	12/01/11
(8) WESTERN REFINING INC 43 SHARES	D	02/04/11	07/25/11
(9) WESTERN REFINING INC 15 SHARES	D	02/04/11	11/18/11
(10) WESTERN REFINING INC 20 SHARES	D	02/10/11	11/18/11
(11) WESTERN REFINING INC 13 SHARES	D	02/22/11	11/18/11
(12) WESTERN REFINING INC 32 SHARES	P	04/07/11	11/18/11
(13) WSTN DIGITAL CORP 19 SHARES	P	06/24/11	10/28/11
(14) WSTN DIGITAL CORP 17 SHARES	P	06/27/11	10/28/11
(15) WSTN DIGITAL CORP 1 SHARES	P	06/29/11	10/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 84		75	9
(2) 454		452	2
(3) 227		207	20
(4) 77		69	8
(5) 307		287	20
(6) 119		144	-25
(7) 474		470	4
(8) 922		641	281
(9) 182		224	-42
(10) 243		300	-57
(11) 158		215	-57
(12) 389		594	-205
(13) 514		678	-164
(14) 459		594	-135
(15) 27		36	-9

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			9
(2)			2
(3)			20
(4)			8
(5)			20
(6)			-25
(7)			4
(8)			281
(9)			-42
(10)			-57
(11)			-57
(12)			-205
(13)			-164
(14)			-135
(15)			-9

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
--	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) WSTN DIGITAL CORP 11 SHARES	P	06/29/11	11/08/11
(2) WSTN DIGITAL CORP 5 SHARES	P	07/14/11	11/08/11
(3) WSTN DIGITAL CORP 3 SHARES	P	07/14/11	12/20/11
(4) WSTN DIGITAL CORP 3 SHARES	P	07/20/11	12/20/11
(5) WYNDHAM WORLDWIDE CORP 6 SHARES	D	02/01/11	12/20/11
(6) XEROX CORP 48 SHARES	D	10/21/10	09/26/11
(7) ZIMMER HOLDINGS INC 21 SHARES	D	01/31/11	11/21/11
(8) ZIMMER HOLDINGS INC 2 SHARES	D	02/03/11	11/21/11
(9) ZIMMER HOLDINGS INC 7 SHARES	D	02/03/11	11/29/11
(10) ZIMMER HOLDINGS INC 6 SHARES	D	02/03/11	12/07/11
(11) ZIMMER HOLDINGS INC 8 SHARES	D	02/14/11	12/07/11
(12) POWERSHARES ETF TRUST 150 SHARES	P	09/01/05	01/18/11
(13) POWERSHARES ETF TRUST 50 SHARES	P	03/16/06	01/18/11
(14) POWERSHARES ETF TRUST 200 SHARES	P	03/16/06	01/18/11
(15) ENTERPRISE PRDTS PRTN LP 200 SHARES	P	10/19/10	01/18/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 304		393	-89
(2) 138		185	-47
(3) 96		111	-15
(4) 96		115	-19
(5) 216		171	45
(6) 347		545	-198
(7) 1,008		1,235	-227
(8) 96		121	-25
(9) 336		423	-87
(10) 293		362	-69
(11) 390		487	-97
(12) 6,696		6,623	73
(13) 2,232		2,402	-170
(14) 8,930		9,606	-676
(15) 8,710		8,165	545

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			-89
(2)			-47
(3)			-15
(4)			-19
(5)			45
(6)			-198
(7)			-227
(8)			-25
(9)			-87
(10)			-69
(11)			-97
(12)			73
(13)			-170
(14)			-676
(15)			545

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) AT&T INC 300 SHARES	P	02/26/09	08/03/11
(2) ISHARES COHEN & STEERS 50 SHARES	P	04/29/09	08/10/11
(3) PNR GL HI YIELD FD CL Y 1282 SHARES	P	07/06/09	08/03/11
(4) PNR GL HI YIELD FD CL Y 0.074 SHARES	P	06/18/10	08/03/11
(5) ANNALY CAP MGMT INC 400 SHARES	P	05/19/08	10/18/11
(6) ANNALY CAP MGMT INC 300 SHARES	P	04/29/09	10/18/11
(7) ANNALY CAP MGMT INC 300 SHARES	P	10/14/09	10/18/11
(8) DIGITAL RIVER 1600 SHARES	P	02/25/10	08/18/11
(9) DONALDSON CO., INC. 300 SHARES	P	10/27/08	11/09/11
(10) MEREDITH CORP 1100 SHARES	P	04/21/06	08/12/11
(11) MEREDITH CORP 150 SHARES	P	06/02/06	08/12/11
(12) ZEBRA TECHNOLOGIES A 450 SHARES	P	06/21/06	06/02/11
(13) NUVEEN CA INV Q 2.558% AUCTION RT 1	P	01/21/09	01/07/11
(14) I SHARES MSCI SPAIN 90 SHARES	P	06/16/06	01/12/11
(15) NUVEEN DVD ADV 2.635% AUCTION RT 2 S	P	08/04/08	01/13/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 8,811		7,236	1,575
(2) 3,291		1,829	1,462
(3) 13,282		9,995	3,287
(4) 1		1	
(5) 6,372		7,004	-632
(6) 4,779		4,161	618
(7) 4,779		5,187	-408
(8) 31,993		42,177	-10,184
(9) 19,485		8,895	10,590
(10) 28,032		55,878	-27,846
(11) 3,823		7,642	-3,819
(12) 19,150		15,204	3,946
(13) 25,000		16,875	8,125
(14) 3,289		3,581	-292
(15) 50,000		47,075	2,925

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			1,575
(2)			1,462
(3)			3,287
(4)			
(5)			-632
(6)			618
(7)			-408
(8)			-10,184
(9)			10,590
(10)			-27,846
(11)			-3,819
(12)			3,946
(13)			8,125
(14)			-292
(15)			2,925

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) VANGUARD SMALL CAP ETF 90 SHARES	P	04/21/09	01/25/11
(2) BLACKROCK 4.136% AUCTION RT 1 SHARES	P	05/08/08	01/28/11
(3) NEW GERMANY FD INC 75 SHARES	P	08/28/07	01/31/11
(4) NEW GERMANY FD INC 80 SHARES	P	08/28/07	02/01/11
(5) JAPAN EQUITY FUND INC 35 SHARES	P	08/15/07	02/07/11
(6) JAPAN EQUITY FUND INC 50 SHARES	P	03/18/08	02/07/11
(7) JAPAN EQUITY FUND INC 20 SHARES	P	03/20/08	02/07/11
(8) JAPAN EQUITY FUND INC 35 SHARES	P	04/14/08	02/07/11
(9) JAPAN EQUITY FUND INC 30 SHARES	P	05/08/08	02/07/11
(10) JAPAN EQUITY FUND INC 25 SHARES	P	05/20/08	02/07/11
(11) JAPAN EQUITY FUND INC 5 SHARES	P	05/21/12	02/07/11
(12) EUROPEAN EQUITY FD INC 400 SHARES	P	08/16/07	02/08/11
(13) EUROPEAN EQUITY FD INC 5 SHARES	P	09/30/08	02/08/11
(14) EUROPEAN EQUITY FD INC 24 SHARES	P	10/01/08	02/08/11
(15) VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	02/16/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 6,494		3,592	2,902
(2) 25,000		23,000	2,000
(3) 1,243		1,200	43
(4) 1,336		1,280	56
(5) 230		270	-40
(6) 328		331	-3
(7) 131		132	-1
(8) 230		240	-10
(9) 197		221	-24
(10) 164		187	-23
(11) 33		37	-4
(12) 3,448		4,511	-1,063
(13) 43		35	8
(14) 207		166	41
(15) 1,152		661	491

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			2,902
(2)			2,000
(3)			43
(4)			56
(5)			-40
(6)			-3
(7)			-1
(8)			-10
(9)			-24
(10)			-23
(11)			-4
(12)			-1,063
(13)			8
(14)			41
(15)			491

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) I SHARES MSCI BRAZIL INDEX ETF 20 SH	P	04/30/09	02/17/11
(2) VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	02/23/11
(3) I SHARES MSCI BRAZIL INDEX ETF 15 SH	P	04/30/09	02/24/11
(4) VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	02/25/11
(5) JAPAN EQUITY FUND INC 5 SHARES	P	05/21/08	03/04/11
(6) JAPAN EQUITY FUND INC 20 SHARES	P	08/26/08	03/04/11
(7) JAPAN EQUITY FUND INC 40 SHARES	P	09/02/08	03/04/11
(8) JAPAN EQUITY FUND INC 180 SHARES	P	09/17/08	03/04/11
(9) JAPAN EQUITY FUND INC 20 SHARES	P	09/18/08	03/04/11
(10) JAPAN EQUITY FUND INC 40 SHARES	P	09/24/08	03/04/11
(11) JAPAN EQUITY FUND INC 20 SHARES	P	09/25/08	03/04/11
(12) JAPAN EQUITY FUND INC 50 SHARES	P	09/26/08	03/04/11
(13) JAPAN EQUITY FUND INC 25 SHARES	P	09/29/08	03/04/11
(14) JAPAN EQUITY FUND INC 65 SHARES	P	09/29/08	03/07/11
(15) JAPAN EQUITY FUND INC 135 SHARES	P	09/30/08	03/07/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,478		920	558
(2) 1,132		661	471
(3) 1,100		690	410
(4) 1,143		661	482
(5) 33		37	-4
(6) 131		123	8
(7) 262		250	12
(8) 1,179		990	189
(9) 131		106	25
(10) 262		226	36
(11) 131		114	17
(12) 328		286	42
(13) 164		135	29
(14) 423		352	71
(15) 878		726	152

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			558
(2)			471
(3)			410
(4)			482
(5)			-4
(6)			8
(7)			12
(8)			189
(9)			25
(10)			36
(11)			17
(12)			42
(13)			29
(14)			71
(15)			152

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2011
		For calendar year 2011, or tax year beginning , and ending		
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.			Employer Identification Number 22-2809785	
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1)	VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	03/09/11
(2)	VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	03/10/11
(3)	COHEN & STEERS INFRASTRUCTURE 160 SH	P	10/09/06	03/11/11
(4)	VANGUARD LONG TRM TE FD ADM 5848.861	P	09/14/09	03/14/11
(5)	VANGUARD LONG TRM TE FD ADM 2151.139	P	09/29/09	03/14/11
(6)	ADAMS EXPRESS CO 3200 SHARES	P	03/02/06	03/15/11
(7)	JAPAN EQUITY FUND INC 105 SHARES	P	09/30/08	03/15/11
(8)	JAPAN EQUITY FUND INC 50 SHARES	P	10/01/08	03/15/11
(9)	JAPAN EQUITY FUND INC 60 SHARES	P	10/02/08	03/15/11
(10)	JAPAN EQUITY FUND INC 50 SHARES	P	10/03/08	03/15/11
(11)	JAPAN EQUITY FUND INC 250 SHARES	P	10/06/08	03/15/11
(12)	JAPAN EQUITY FUND INC 85 SHARES	P	10/07/08	03/15/11
(13)	SPDR S&P 500 ETF TRUST 400 SHARES	P	12/31/08	03/15/11
(14)	VANGUARD MSCI EMERGING MARKETS ETF 1	P	04/21/09	03/15/11
(15)	VANGUARD SMALL CAP ETF 200 SHARES	P	04/21/09	03/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,178		661	517
(2) 1,155		661	494
(3) 2,844		4,571	-1,727
(4) 62,173		64,513	-2,340
(5) 22,867		24,157	-1,290
(6) 34,923		41,888	-6,965
(7) 575		565	10
(8) 274		267	7
(9) 329		318	11
(10) 274		268	6
(11) 1,369		1,266	103
(12) 465		423	42
(13) 50,923		36,124	14,799
(14) 6,793		3,965	2,828
(15) 14,714		7,982	6,732

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than 0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			517
(2)			494
(3)			-1,727
(4)			-2,340
(5)			-1,290
(6)			-6,965
(7)			10
(8)			7
(9)			11
(10)			6
(11)			103
(12)			42
(13)			14,799
(14)			2,828
(15)			6,732

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) IPATH DOW JONES UBS COMMODITY 700 SH	P	04/29/09	03/15/11
(2) I SHARES MSCI BRAZIL INDEX ETF 130 S	P	04/30/09	03/15/11
(3) SPDR DOW JONES INDUSTRIAL AVE ETF 75	P	12/04/09	03/15/11
(4) SPDR DOW JONES INDUSTRIAL AVE ETF 10	P	12/04/09	03/15/11
(5) VANGUARD MSCI PACIFIC ETF 10 SHARES	P	07/09/08	03/16/11
(6) VANGUARD MSCI PACIFIC ETF 25 SHARES	P	07/23/08	03/16/11
(7) VANGUARD MSCI PACIFIC ETF 10 SHARES	P	09/05/08	03/16/11
(8) VANGUARD MSCI PACIFIC ETF 5 SHARES	P	09/08/08	03/16/11
(9) VANGUARD MSCI PACIFIC ETF 10 SHARES	P	11/03/08	03/16/11
(10) POWERSHARES BUILD AMERICA PO 650 SHA	P	02/26/10	03/18/11
(11) POWERSHARES BUILD AMERICA PO 200 SHA	P	03/02/10	03/18/11
(12) POWERSHARES BUILD AMERICA PO 400 SHA	P	03/02/10	03/18/11
(13) POWERSHARES BUILD AMERICA PO 300 SHA	P	03/04/10	03/18/11
(14) POWERSHARES BUILD AMERICA PO 200 SHA	P	03/08/10	03/18/11
(15) POWERSHARES BUILD AMERICA PO 75 SHAR	P	03/09/10	03/18/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 33,292		23,283	10,009
(2) 9,399		5,979	3,420
(3) 8,804		7,829	975
(4) 11,739		10,437	1,302
(5) 515		611	-96
(6) 1,288		1,557	-269
(7) 515		542	-27
(8) 258		277	-19
(9) 515		428	87
(10) 16,425		16,311	114
(11) 5,054		5,020	34
(12) 10,108		10,040	68
(13) 7,581		7,545	36
(14) 5,054		4,980	74
(15) 1,895		1,871	24

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			10,009
(2)			3,420
(3)			975
(4)			1,302
(5)			-96
(6)			-269
(7)			-27
(8)			-19
(9)			87
(10)			114
(11)			34
(12)			68
(13)			36
(14)			74
(15)			24

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) COHEN & STEERS INFRASTRUCTURE 100 SH	P	10/09/06	03/21/11
(2) JAPAN EQUITY FUND INC 5 SHARES	P	10/07/08	03/21/11
(3) JAPAN EQUITY FUND INC 10 SHARES	P	10/10/08	03/21/11
(4) JAPAN EQUITY FUND INC 150 SHARES	P	10/13/08	03/21/11
(5) JAPAN EQUITY FUND INC 60 SHARES	P	10/14/08	03/21/11
(6) JAPAN EQUITY FUND INC 90 SHARES	P	10/16/08	03/21/11
(7) NUVEEN PERF PLUS 6.47% AUCTION RT 2	P	10/24/08	03/21/11
(8) JAPAN EQUITY FUND INC 40 SHARES	P	12/02/08	03/21/11
(9) JAPAN EQUITY FUND INC 145 SHARES	P	12/04/08	03/21/11
(10) JAPAN EQUITY FUND INC 15 SHARES	P	12/04/08	03/22/11
(11) JAPAN EQUITY FUND INC 50 SHARES	P	12/05/08	03/22/11
(12) JAPAN EQUITY FUND INC 70 SHARES	P	12/08/08	03/22/11
(13) JAPAN EQUITY FUND INC 15 SHARES	P	12/18/08	03/22/11
(14) COHEN & STEERS INFRASTRUCTURE 11.417	P	10/09/06	03/23/11
(15) COHEN & STEERS INFRASTRUCTURE 113.85	P	10/10/06	03/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,739		2,857	-1,118
(2) 31		25	6
(3) 61		43	18
(4) 922		700	222
(5) 369		302	67
(6) 553		453	100
(7) 50,000		45,250	4,750
(8) 246		179	67
(9) 891		632	259
(10) 93		65	28
(11) 310		216	94
(12) 434		319	115
(13) 93		70	23
(14) 196		326	-130
(15) 1,951		3,259	-1,308

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-1,118
(2)			6
(3)			18
(4)			222
(5)			67
(6)			100
(7)			4,750
(8)			67
(9)			259
(10)			28
(11)			94
(12)			115
(13)			23
(14)			-130
(15)			-1,308

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
--	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) COHEN & STEERS INFRASTRUCTURE 84.726	P	08/16/07	03/23/11
(2) JAPAN EQUITY FUND INC 15 SHARES	P	12/18/08	03/23/11
(3) JAPAN EQUITY FUND INC 285 SHARES	P	03/04/09	03/23/11
(4) COHEN & STEERS INFRASTRUCTURE 62.186	P	08/16/07	03/24/11
(5) COHEN & STEERS INFRASTRUCTURE 77.814	P	09/19/07	03/24/11
(6) JAPAN EQUITY FUND INC 135 SHARES	P	03/04/09	03/24/11
(7) JAPAN EQUITY FUND INC 15 SHARES	P	03/19/09	03/24/11
(8) VANGUARD MSCI EMERGING MARKETS ETF 7	P	04/21/09	03/24/11
(9) COHEN & STEERS INFRASTRUCTURE 100 SH	P	09/19/07	03/28/11
(10) JAPAN EQUITY FUND INC 135 SHARES	P	03/19/09	03/28/11
(11) JAPAN EQUITY FUND INC 15 SHARES	P	04/21/09	03/28/11
(12) COHEN & STEERS INFRASTRUCTURE 116.01	P	09/19/07	03/29/11
(13) COHEN & STEERS INFRASTRUCTURE 23.99	P	09/20/07	03/29/11
(14) JAPAN EQUITY FUND INC 150 SHARES	P	04/21/09	03/29/11
(15) COHEN & STEERS INFRASTRUCTURE 122.92	P	09/20/07	03/30/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,452		2,056	-604
(2) 93		70	23
(3) 1,763		1,096	667
(4) 1,076		1,509	-433
(5) 1,346		2,232	-886
(6) 836		519	317
(7) 93		60	33
(8) 3,556		1,982	1,574
(9) 1,724		2,868	-1,144
(10) 830		542	288
(11) 92		63	29
(12) 2,000		3,328	-1,328
(13) 413		688	-275
(14) 916		633	283
(15) 2,127		3,528	-1,401

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			-604
(2)			23
(3)			667
(4)			-433
(5)			-886
(6)			317
(7)			33
(8)			1,574
(9)			-1,144
(10)			288
(11)			29
(12)			-1,328
(13)			-275
(14)			283
(15)			-1,401

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) COHEN & STEERS INFRASTRUCTURE 17.078	P	09/26/07	03/30/11
(2) COHEN & STEERS INFRASTRUCTURE 19.65	P	09/26/07	03/31/11
(3) COHEN & STEERS INFRASTRUCTURE 110.18	P	09/28/07	03/31/11
(4) COHEN & STEERS INFRASTRUCTURE 73.456	P	10/02/07	03/31/11
(5) COHEN & STEERS INFRASTRUCTURE 6.71 S	P	10/09/07	03/31/11
(6) JAPAN EQUITY FUND INC 85 SHARES	P	04/21/09	03/31/11
(7) JAPAN EQUITY FUND INC 65 SHARES	P	10/16/09	03/31/11
(8) COHEN & STEERS INFRASTRUCTURE 246.71	P	10/09/07	04/01/11
(9) COHEN & STEERS INFRASTRUCTURE 33.287	P	09/16/08	04/01/11
(10) COHEN & STEERS INFRASTRUCTURE 76.897	P	09/16/08	04/04/11
(11) COHEN & STEERS INFRASTRUCTURE 63.103	P	09/17/08	04/04/11
(12) COHEN & STEERS INFRASTRUCTURE 69.118	P	09/17/08	04/05/11
(13) COHEN & STEERS INFRASTRUCTURE 140.88	P	09/18/08	04/05/11
(14) COHEN & STEERS INFRASTRUCTURE 140 SH	P	09/18/08	04/06/11
(15) COHEN & STEERS INFRASTRUCTURE 27.633	P	09/18/08	04/07/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 295		488	-193
(2) 344		562	-218
(3) 1,927		3,157	-1,230
(4) 1,285		2,129	-844
(5) 117		198	-81
(6) 523		359	164
(7) 400		341	59
(8) 4,382		7,274	-2,892
(9) 591		622	-31
(10) 1,376		1,438	-62
(11) 1,129		1,145	-16
(12) 1,237		1,254	-17
(13) 2,521		2,355	166
(14) 2,516		2,340	176
(15) 496		462	34

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-193
(2)			-218
(3)			-1,230
(4)			-844
(5)			-81
(6)			164
(7)			59
(8)			-2,892
(9)			-31
(10)			-62
(11)			-16
(12)			-17
(13)			166
(14)			176
(15)			34

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2011
		For calendar year 2011, or tax year beginning		, and ending
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.			Employer Identification Number 22-2809785	
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1)	COHEN & STEERS INFRASTRUCTURE 36.728	P	09/22/08	04/07/11
(2)	COHEN & STEERS INFRASTRUCTURE 5.639	P	09/23/08	04/07/11
(3)	JAPAN EQUITY FUND INC 135 SHARES	P	10/16/09	04/07/11
(4)	JAPAN EQUITY FUND INC 65 SHARES	P	11/24/09	04/07/11
(5)	COHEN & STEERS INFRASTRUCTURE 53.126	P	09/23/08	04/08/11
(6)	COHEN & STEERS INFRASTRUCTURE 47.746	P	09/24/08	04/08/11
(7)	COHEN & STEERS INFRASTRUCTURE 40.401	P	09/26/08	04/08/11
(8)	COHEN & STEERS INFRASTRUCTURE 68.727	P	09/29/08	04/08/11
(9)	COHEN & STEERS INFRASTRUCTURE 140 SH	P	09/29/08	04/11/11
(10)	COHEN & STEERS INFRASTRUCTURE 140 SH	P	09/29/08	04/12/11
(11)	COHEN & STEERS INFRASTRUCTURE 202.19	P	09/29/08	04/13/11
(12)	COHEN & STEERS INFRASTRUCTURE 7.807	P	10/02/08	04/13/11
(13)	VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	04/13/11
(14)	COHEN & STEERS INFRASTRUCTURE 140 SH	P	10/02/08	04/14/11
(15)	COHEN & STEERS INFRASTRUCTURE 160.70	P	10/02/08	04/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 659		693	-34
(2) 101		104	-3
(3) 801		707	94
(4) 385		315	70
(5) 951		979	-28
(6) 855		884	-29
(7) 723		730	-7
(8) 1,231		1,223	8
(9) 2,510		2,491	19
(10) 2,467		2,491	-24
(11) 3,571		3,598	-27
(12) 138		136	2
(13) 1,226		661	565
(14) 2,462		2,438	24
(15) 2,844		2,799	45

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			-34
(2)			-3
(3)			94
(4)			70
(5)			-28
(6)			-29
(7)			-7
(8)			8
(9)			19
(10)			-24
(11)			-27
(12)			2
(13)			565
(14)			24
(15)			45

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) COHEN & STEERS INFRASTRUCTURE 117.53	P	10/06/08	04/15/11
(2) COHEN & STEERS INFRASTRUCTURE 1.762	P	10/07/08	04/15/11
(3) COHEN & STEERS INFRASTRUCTURE 100 SH	P	10/07/08	04/18/11
(4) JAPAN EQUITY FUND INC 200 SHARES	P	11/24/09	04/18/11
(5) COHEN & STEERS INFRASTRUCTURE 70 SHA	P	10/07/08	04/19/11
(6) COHEN & STEERS INFRASTRUCTURE 70 SHA	P	10/07/08	04/20/11
(7) COHEN & STEERS INFRASTRUCTURE 19.007	P	10/07/08	04/21/11
(8) COHEN & STEERS INFRASTRUCTURE 190.99	P	10/08/08	04/21/11
(9) COHEN & STEERS INFRASTRUCTURE 140 SH	P	10/08/08	04/25/11
(10) COHEN & STEERS INFRASTRUCTURE 70 SHA	P	10/08/08	04/26/11
(11) COHEN & STEERS INFRASTRUCTURE 140 SH	P	10/08/08	04/28/11
(12) JAPAN EQUITY FUND INC 135 SHARES	P	10/08/08	04/28/11
(13) COHEN & STEERS INFRASTRUCTURE 140 SH	P	10/08/08	04/29/11
(14) COHEN & STEERS INFRASTRUCTURE 82.95	P	10/08/08	05/02/11
(15) COHEN & STEERS INFRASTRUCTURE 57.05	P	10/10/08	05/02/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 2,080		1,700	380
(2) 31		25	6
(3) 1,759		1,422	337
(4) 1,158		970	188
(5) 1,233		995	238
(6) 1,246		995	251
(7) 341		270	71
(8) 3,423		2,342	1,081
(9) 2,515		1,717	798
(10) 1,266		858	408
(11) 2,548		1,717	831
(12) 807		655	152
(13) 2,560		1,717	843
(14) 1,523		1,017	506
(15) 1,048		517	531

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			380
(2)			6
(3)			337
(4)			188
(5)			238
(6)			251
(7)			71
(8)			1,081
(9)			798
(10)			408
(11)			831
(12)			152
(13)			843
(14)			506
(15)			531

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) COHEN & STEERS INFRASTRUCTURE 140 SH	P	10/10/08	05/03/11
(2) COHEN & STEERS INFRASTRUCTURE 70 SHA	P	10/10/08	05/04/11
(3) VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	05/06/11
(4) JAPAN EQUITY FUND INC 100 SHARES	P	05/06/10	05/09/11
(5) VANGUARD MSCI PACIFIC ETF 15 SHARES	P	01/02/09	05/10/11
(6) VANGUARD MSCI PACIFIC ETF 25 SHARES	P	01/05/09	05/10/11
(7) VANGUARD MSCI PACIFIC ETF 20 SHARES	P	01/05/09	05/10/11
(8) VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	05/10/11
(9) JAPAN EQUITY FUND INC 135 SHARES	P	05/06/10	05/10/11
(10) POWERSHARES BUILD AMERICA PO 100 SHA	P	03/09/10	05/11/11
(11) POWERSHARES BUILD AMERICA PO 100 SHA	P	03/09/10	05/12/11
(12) POWERSHARES BUILD AMERICA PO 50 SHAR	P	03/09/10	05/13/11
(13) VANGUARD MSCI PACIFIC ETF 5 SHARES	P	01/05/09	05/19/11
(14) VANGUARD MSCI PACIFIC ETF 15 SHARES	P	01/06/09	05/19/11
(15) VANGUARD MSCI EMERGING MARKETS ETF 5	P	04/21/09	05/20/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 2,562		1,269	1,293
(2) 1,270		635	635
(3) 1,215		661	554
(4) 611		541	70
(5) 857		666	191
(6) 1,429		1,090	339
(7) 1,143		872	271
(8) 1,224		661	563
(9) 829		730	99
(10) 2,618		2,494	124
(11) 2,622		2,494	128
(12) 1,309		1,247	62
(13) 277		218	59
(14) 832		649	183
(15) 2,385		1,322	1,063

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			1,293
(2)			635
(3)			554
(4)			70
(5)			191
(6)			339
(7)			271
(8)			563
(9)			99
(10)			124
(11)			128
(12)			62
(13)			59
(14)			183
(15)			1,063

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	05/23/11
(2) ISHARES FTSE CHINA 25 INDEX FUND 50	P	03/11/10	05/24/11
(3) COHEN & STEERS INFRASTRUCTURE 140 SH	P	10/10/08	05/25/11
(4) VANGUARD MSCI EUROPE ETF 15 SHARES	P	01/23/09	05/25/11
(5) VANGUARD MSCI EUROPE ETF 15 SHARES	P	01/23/09	05/25/11
(6) VANGUARD MSCI EUROPE ETF 10 SHARES	P	02/02/09	05/25/11
(7) ISHARES FTSE CHINA 25 INDEX FUND 20	P	03/11/10	05/25/11
(8) NEW GERMANY FD INC 100 SHARES	P	08/28/07	05/26/11
(9) COHEN & STEERS INFRASTRUCTURE 70 SHA	P	10/10/08	05/26/11
(10) ISHARES FTSE CHINA 25 INDEX FUND 20	P	03/11/10	05/26/11
(11) ISHARES FTSE CHINA 25 INDEX FUND 25	P	03/11/10	05/27/11
(12) H & Q LIFE SCIENCES INVESTORS 200 SH	P	07/27/07	05/31/11
(13) H & Q LIFE SCIENCES INVESTORS 150 SH	P	09/25/07	05/31/11
(14) H & Q LIFE SCIENCES INVESTORS 100 SH	P	09/27/07	05/31/11
(15) H & Q LIFE SCIENCES INVESTORS 25 SHA	P	09/28/07	05/31/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,161		661	500
(2) 2,153		2,067	86
(3) 2,511		1,269	1,242
(4) 785		477	308
(5) 785		477	308
(6) 523		325	198
(7) 861		827	34
(8) 1,743		1,600	143
(9) 1,259		635	624
(10) 866		827	39
(11) 1,099		1,034	65
(12) 2,673		2,618	55
(13) 2,005		2,015	-10
(14) 1,337		1,358	-21
(15) 334		340	-6

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			500
(2)			86
(3)			1,242
(4)			308
(5)			308
(6)			198
(7)			34
(8)			143
(9)			624
(10)			39
(11)			65
(12)			55
(13)			-10
(14)			-21
(15)			-6

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name **NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.** Employer Identification Number **22-2809785**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) H & Q LIFE SCIENCES INVESTORS 50 SHA	P	10/02/07	05/31/11
(2) H & Q LIFE SCIENCES INVESTORS 50 SHA	P	10/09/07	05/31/11
(3) H & Q LIFE SCIENCES INVESTORS 150 SH	P	10/11/07	05/31/11
(4) H & Q LIFE SCIENCES INVESTORS 100 SH	P	10/16/07	05/31/11
(5) H & Q LIFE SCIENCES INVESTORS 50 SHA	P	10/18/07	05/31/11
(6) H & Q LIFE SCIENCES INVESTORS 150 SH	P	10/19/07	05/31/11
(7) H & Q LIFE SCIENCES INVESTORS 150 SH	P	10/25/07	05/31/11
(8) H & Q LIFE SCIENCES INVESTORS 100 SH	P	10/26/07	05/31/11
(9) H & Q LIFE SCIENCES INVESTORS 200 SH	P	10/29/07	05/31/11
(10) H & Q LIFE SCIENCES INVESTORS 100 SH	P	10/30/07	05/31/11
(11) H & Q LIFE SCIENCES INVESTORS 100 SH	P	10/31/07	05/31/11
(12) H & Q LIFE SCIENCES INVESTORS 75 SHA	P	11/01/07	05/31/11
(13) H & Q LIFE SCIENCES INVESTORS 25 SHA	P	11/05/07	05/31/11
(14) H & Q LIFE SCIENCES INVESTORS 25 SHA	P	11/06/07	05/31/11
(15) H & Q LIFE SCIENCES INVESTORS 110 SH	P	11/07/07	05/31/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 668		686	-18
(2) 668		700	-32
(3) 2,005		2,117	-112
(4) 1,337		1,398	-61
(5) 668		698	-30
(6) 2,005		2,068	-63
(7) 2,005		2,076	-71
(8) 1,337		1,394	-57
(9) 2,673		2,800	-127
(10) 1,337		1,396	-59
(11) 1,337		1,396	-59
(12) 1,003		1,040	-37
(13) 334		343	-9
(14) 334		344	-10
(15) 1,470		1,496	-26

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than 0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			-18
(2)			-32
(3)			-112
(4)			-61
(5)			-30
(6)			-63
(7)			-71
(8)			-57
(9)			-127
(10)			-59
(11)			-59
(12)			-37
(13)			-9
(14)			-10
(15)			-26

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) H & Q LIFE SCIENCES INVESTORS 100 SH	P	11/08/07	05/31/11
(2) H & Q LIFE SCIENCES INVESTORS 200 SH	P	11/09/07	05/31/11
(3) H & Q LIFE SCIENCES INVESTORS 150 SH	P	11/12/07	05/31/11
(4) H & Q LIFE SCIENCES INVESTORS 175 SH	P	11/13/07	05/31/11
(5) H & Q LIFE SCIENCES INVESTORS 50 SHA	P	11/14/07	05/31/11
(6) H & Q LIFE SCIENCES INVESTORS 50 SHA	P	11/15/07	05/31/11
(7) H & Q LIFE SCIENCES INVESTORS 1725 S	P	11/16/07	05/31/11
(8) H & Q LIFE SCIENCES INVESTORS 20 SHA	P	09/16/08	05/31/11
(9) H & Q LIFE SCIENCES INVESTORS 150 SH	P	09/17/08	05/31/11
(10) H & Q LIFE SCIENCES INVESTORS 140 SH	P	09/18/08	05/31/11
(11) H & Q LIFE SCIENCES INVESTORS 240 SH	P	10/08/08	05/31/11
(12) H & Q LIFE SCIENCES INVESTORS 180 SH	P	10/10/08	05/31/11
(13) H & Q LIFE SCIENCES INVESTORS 150 SH	P	10/10/08	05/31/11
(14) H & Q LIFE SCIENCES INVESTORS 100 SH	P	08/06/09	05/31/11
(15) H & Q LIFE SCIENCES INVESTORS 100 SH	P	08/07/09	05/31/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,337		1,344	-7
(2) 2,673		2,674	-1
(3) 2,005		1,996	9
(4) 2,339		2,333	6
(5) 668		674	-6
(6) 668		671	-3
(7) 23,058		23,060	-2
(8) 267		224	43
(9) 2,005		1,668	337
(10) 1,871		1,487	384
(11) 3,208		2,045	1,163
(12) 3,280		1,632	1,648
(13) 2,005		1,115	890
(14) 1,337		863	474
(15) 1,337		866	471

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) Or Losses (from col. (h))
(1)			-7
(2)			-1
(3)			9
(4)			6
(5)			-6
(6)			-3
(7)			-2
(8)			43
(9)			337
(10)			384
(11)			1,163
(12)			1,648
(13)			890
(14)			474
(15)			471

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) H & Q LIFE SCIENCES INVESTORS 100 SH	P	08/11/09	05/31/11
(2) H & Q LIFE SCIENCES INVESTORS 100 SH	P	08/12/09	05/31/11
(3) H & Q LIFE SCIENCES INVESTORS 100 SH	P	08/13/09	05/31/11
(4) H & Q LIFE SCIENCES INVESTORS 100 SH	P	08/17/09	05/31/11
(5) H & Q LIFE SCIENCES INVESTORS 100 SH	P	08/20/09	05/31/11
(6) H & Q LIFE SCIENCES INVESTORS 100 SH	P	08/24/09	05/31/11
(7) H & Q LIFE SCIENCES INVESTORS 100 SH	P	08/26/09	05/31/11
(8) H & Q LIFE SCIENCES INVESTORS 100 SH	P	08/27/09	05/31/11
(9) H & Q LIFE SCIENCES INVESTORS 100 SH	P	08/28/09	05/31/11
(10) H & Q LIFE SCIENCES INVESTORS 100 SH	P	09/02/09	05/31/11
(11) H & Q LIFE SCIENCES INVESTORS 100 SH	P	09/11/09	05/31/11
(12) COHEN & STEERS INFRASTRUCTURE 44.455	P	10/10/08	06/01/11
(13) COHEN & STEERS INFRASTRUCTURE 15.545	P	12/01/08	06/01/11
(14) VANGUARD LONG TRM TE FD ADM 14206.83	P	09/29/09	06/01/11
(15) VANGUARD LONG TRM TE FD ADM 4442.43	P	02/04/10	06/01/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,337		862	475
(2) 1,337		863	474
(3) 1,337		867	470
(4) 1,337		840	497
(5) 1,337		853	484
(6) 1,337		868	469
(7) 1,337		877	460
(8) 1,337		872	465
(9) 1,337		876	461
(10) 1,337		846	491
(11) 1,337		884	453
(12) 810		403	407
(13) 283		118	165
(14) 154,854		159,543	-4,689
(15) 48,422		49,000	-578

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			475
(2)			474
(3)			470
(4)			497
(5)			484
(6)			469
(7)			460
(8)			465
(9)			461
(10)			491
(11)			453
(12)			407
(13)			165
(14)			-4,689
(15)			-578

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) NEW GERMANY FD INC 100 SHARES	P	08/28/07	06/03/11
(2) EUROPEAN EQUITY FD INC 101 SHARES	P	10/01/08	06/03/11
(3) EUROPEAN EQUITY FD INC 49 SHARES	P	10/02/08	06/03/11
(4) COHEN & STEERS INFRASTRUCTURE 21.183	P	12/01/08	06/03/11
(5) COHEN & STEERS INFRASTRUCTURE 33.055	P	12/12/08	06/03/11
(6) COHEN & STEERS INFRASTRUCTURE 5.762	P	12/29/08	06/03/11
(7) ISHARES FTSE CHINA 25 INDEX FUND 20	P	03/11/10	06/03/11
(8) ISHARES FTSE CHINA 25 INDEX FUND 40	P	03/11/10	06/06/11
(9) ISHARES FTSE CHINA 25 INDEX FUND 20	P	03/11/10	06/07/11
(10) ISHARES FTSE CHINA 25 INDEX FUND 200	P	03/11/10	06/08/11
(11) MSDW ASIA PACIFIC FUND INC 1055 SHAR	P	03/10/06	06/09/11
(12) MSDW ASIA PACIFIC FUND INC 240 SHARE	P	10/10/08	06/09/11
(13) TAIWAN GREATER CHINA FUND 172 SHARES	P	02/22/10	06/10/11
(14) ISHARES FTSE CHINA 25 INDEX FUND 50	P	03/11/10	06/13/11
(15) VANGUARD MSCI EUROPE ETF 20 SHARES	P	02/02/09	06/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,808		1,600	208
(2) 830		698	132
(3) 403		341	62
(4) 380		161	219
(5) 592		246	346
(6) 103		48	55
(7) 870		827	43
(8) 1,735		1,654	81
(9) 874		827	47
(10) 8,636		8,268	368
(11) 19,001		16,943	2,058
(12) 4,322		2,242	2,080
(13) 1,309		1,037	272
(14) 2,125		2,067	58
(15) 1,028		649	379

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			208
(2)			132
(3)			62
(4)			219
(5)			346
(6)			55
(7)			43
(8)			81
(9)			47
(10)			368
(11)			2,058
(12)			2,080
(13)			272
(14)			58
(15)			379

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) VANGUARD MSCI EUROPE ETF 5 SHARES	P	02/05/09	06/15/11
(2) ISHARES FTSE CHINA 25 INDEX FUND 25	P	03/11/10	06/21/11
(3) VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	06/22/11
(4) ADAMS EXPRESS CO 655 SHARES	P	03/02/06	06/24/11
(5) ADAMS EXPRESS CO 1145 SHARES	P	03/06/06	06/24/11
(6) LIBERTY ALL STAR EQUITY FD SBI 25 SH	P	12/03/07	06/24/11
(7) LIBERTY ALL STAR EQUITY FD SBI 25 SH	P	12/04/07	06/24/11
(8) LIBERTY ALL STAR EQUITY FD SBI 175 S	P	12/05/07	06/24/11
(9) LIBERTY ALL STAR EQUITY FD SBI 25 SH	P	12/06/07	06/24/11
(10) LIBERTY ALL STAR EQUITY FD SBI 175 S	P	12/07/07	06/24/11
(11) LIBERTY ALL STAR EQUITY FD SBI 50 SH	P	12/10/07	06/24/11
(12) LIBERTY ALL STAR EQUITY FD SBI 125 S	P	12/11/07	06/24/11
(13) LIBERTY ALL STAR EQUITY FD SBI 50 SH	P	12/12/07	06/24/11
(14) LIBERTY ALL STAR EQUITY FD SBI 200 S	P	12/18/07	06/24/11
(15) LIBERTY ALL STAR EQUITY FD SBI 1475	P	12/20/07	06/24/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 257		172	85
(2) 1,033		1,034	-1
(3) 1,176		661	515
(4) 6,943		8,574	-1,631
(5) 12,137		14,948	-2,811
(6) 122		178	-56
(7) 122		176	-54
(8) 856		1,251	-395
(9) 122		181	-59
(10) 856		1,280	-424
(11) 245		368	-123
(12) 611		908	-297
(13) 245		362	-117
(14) 978		1,407	-429
(15) 7,213		10,258	-3,045

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			85
(2)			-1
(3)			515
(4)			-1,631
(5)			-2,811
(6)			-56
(7)			-54
(8)			-395
(9)			-59
(10)			-424
(11)			-123
(12)			-297
(13)			-117
(14)			-429
(15)			-3,045

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) LIBERTY ALL STAR EQUITY FD SBI 1600	P	07/15/08	06/24/11
(2) LIBERTY ALL STAR EQUITY FD SBI 900 S	P	07/16/08	06/24/11
(3) LIBERTY ALL STAR EQUITY FD SBI 200 S	P	07/17/08	06/24/11
(4) LIBERTY ALL STAR EQUITY FD SBI 100 S	P	07/22/08	06/24/11
(5) LIBERTY ALL STAR EQUITY FD SBI 35 SH	P	09/16/08	06/24/11
(6) LIBERTY ALL STAR EQUITY FD SBI 350 S	P	09/17/08	06/24/11
(7) LIBERTY ALL STAR EQUITY FD SBI 245 S	P	09/18/08	06/24/11
(8) LIBERTY ALL STAR EQUITY FD SBI 105 S	P	09/22/08	06/24/11
(9) LIBERTY ALL STAR EQUITY FD SBI 40 SH	P	09/23/08	06/24/11
(10) LIBERTY ALL STAR EQUITY FD SBI 75 SH	P	09/24/08	06/24/11
(11) LIBERTY ALL STAR EQUITY FD SBI 25 SH	P	09/29/08	06/24/11
(12) TS&W CLAYMORE T/A BALANCED 4900 SHAR	P	10/08/08	06/24/11
(13) TS&W CLAYMORE T/A BALANCED 2600 SHAR	P	10/09/08	06/24/11
(14) TS&W CLAYMORE T/A BALANCED 650 SHARE	P	10/10/08	06/24/11
(15) COHEN & STEERS INFRASTRUCTURE 128.54	P	12/29/08	06/24/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 7,824		8,428	-604
(2) 4,401		4,806	-405
(3) 978		1,096	-118
(4) 489		564	-75
(5) 171		172	-1
(6) 1,711		1,700	11
(7) 1,198		1,144	54
(8) 513		527	-14
(9) 196		193	3
(10) 367		363	4
(11) 122		117	5
(12) 54,798		33,271	21,527
(13) 29,077		17,004	12,073
(14) 7,269		3,660	3,609
(15) 2,156		1,062	1,094

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-604
(2)			-405
(3)			-118
(4)			-75
(5)			-1
(6)			11
(7)			54
(8)			-14
(9)			3
(10)			4
(11)			5
(12)			21,527
(13)			12,073
(14)			3,609
(15)			1,094

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785
For calendar year 2011, or tax year beginning		, and ending

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) COHEN & STEERS INFRASTRUCTURE 60.348	P	12/29/08	06/24/11
(2) COHEN & STEERS INFRASTRUCTURE 66.11	P	12/29/08	06/24/11
(3) COHEN & STEERS INFRASTRUCTURE 190.98	P	12/30/08	06/24/11
(4) COHEN & STEERS INFRASTRUCTURE 146.91	P	03/03/09	06/24/11
(5) COHEN & STEERS INFRASTRUCTURE 257.09	P	03/09/09	06/24/11
(6) I SHARES MSCI BRAZIL INDEX ETF 150 S	P	04/30/09	06/24/11
(7) THAI FUND INC 300 SHARES	P	07/21/09	06/24/11
(8) THAI FUND INC 200 SHARES	P	07/28/09	06/24/11
(9) THAI FUND INC 250 SHARES	P	07/29/09	06/24/11
(10) SUNAMERICA FOCUSED ALPHA GRWTH FD 7	P	02/23/10	06/24/11
(11) SUNAMERICA FOCUSED ALPHA GRWTH FD 30	P	02/24/10	06/24/11
(12) SUNAMERICA FOCUSED ALPHA GRWTH FD 10	P	02/25/10	06/24/11
(13) SUNAMERICA FOCUSED ALPHA GRWTH FD 20	P	02/26/10	06/24/11
(14) SUNAMERICA FOCUSED ALPHA GRWTH FD 20	P	03/01/10	06/24/11
(15) SUNAMERICA FOCUSED ALPHA GRWTH FD 30	P	03/02/10	06/24/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,012		508	504
(2) 1,109		559	550
(3) 3,203		1,561	1,642
(4) 2,464		780	1,684
(5) 4,311		1,149	3,162
(6) 10,419		6,899	3,520
(7) 3,681		2,278	1,403
(8) 2,454		1,567	887
(9) 3,067		1,938	1,129
(10) 138		95	43
(11) 5,901		4,098	1,803
(12) 1,967		1,342	625
(13) 3,934		2,719	1,215
(14) 3,934		2,750	1,184
(15) 5,901		4,148	1,753

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			504
(2)			550
(3)			1,642
(4)			1,684
(5)			3,162
(6)			3,520
(7)			1,403
(8)			887
(9)			1,129
(10)			43
(11)			1,803
(12)			625
(13)			1,215
(14)			1,184
(15)			1,753

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) SUNAMERICA FOCUSED ALPHA GRWTH FD 93	P	03/03/10	06/24/11
(2) POWERSHARES BUILD AMERICA PO 725 SHA	P	03/09/10	06/24/11
(3) POWERSHARES BUILD AMERICA PO 1075 SH	P	03/09/10	06/24/11
(4) ABERDEEN EMERGING MKTS TELEC 120 SHA	P	04/27/10	06/24/11
(5) ABERDEEN EMERGING MKTS TELEC 100 SHA	P	05/21/10	06/24/11
(6) HUSSMAN STRATEGIC GROWTH FD 925 SHAR	P	10/17/07	06/27/11
(7) VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	06/27/11
(8) SWISS HELVETIA FD INC 651 SHARES	P	03/07/06	06/30/11
(9) LMP CAP & INCOME FD INC 1590 SHARES	P	11/14/06	06/30/11
(10) LMP CAP & INCOME FD INC 4542 SHARES	P	09/26/07	06/30/11
(11) SPDR S&P 500 ETF TRUST 185 SHARES	P	12/31/08	07/07/11
(12) SPDR S&P 500 ETF TRUST 5 SHARES	P	12/31/08	07/07/11
(13) ISHARES INC MSCI JAPAN INDEX ETF 100	P	10/16/06	07/15/11
(14) ISHARES INC MSCI JAPAN INDEX ETF 100	P	10/16/06	07/20/11
(15) SWISS HELVETIA FD INC 300 SHARES	P	03/07/06	07/21/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,829		1,291	538
(2) 19,219		18,084	1,135
(3) 28,498		26,816	1,682
(4) 2,184		2,041	143
(5) 1,820		1,420	400
(6) 11,507		15,226	-3,719
(7) 1,165		661	504
(8) 10,292		10,540	-248
(9) 22,499		29,053	-6,554
(10) 64,269		90,795	-26,526
(11) 25,002		16,818	8,184
(12) 676		452	224
(13) 1,062		1,383	-321
(14) 1,067		1,383	-316
(15) 4,196		4,857	-661

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			538
(2)			1,135
(3)			1,682
(4)			143
(5)			400
(6)			-3,719
(7)			504
(8)			-248
(9)			-6,554
(10)			-26,526
(11)			8,184
(12)			224
(13)			-321
(14)			-316
(15)			-661

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) BLACKROCK MUN NY 5.55% AUCTION RT 18	P	05/18/10	07/21/11
(2) ISHARES INC MSCI JAPAN INDEX ETF 200	P	10/16/06	07/22/11
(3) ISHARES INC MSCI JAPAN INDEX ETF 190	P	10/16/06	07/25/11
(4) ISHARES INC MSCI JAPAN INDEX ETF 5 S	P	05/14/08	07/25/11
(5) ISHARES INC MSCI JAPAN INDEX ETF 5 S	P	05/20/08	07/25/11
(6) ISHARES INC MSCI JAPAN INDEX ETF 40	P	05/20/08	07/26/11
(7) ISHARES INC MSCI JAPAN INDEX ETF 80	P	05/21/08	07/26/11
(8) ISHARES INC MSCI JAPAN INDEX ETF 80	P	06/06/08	07/26/11
(9) VANGUARD MSCI EMERGING MARKETS ETF 3	P	04/21/09	08/05/11
(10) VANGUARD MSCI EMERGING MARKETS ETF 3	P	04/21/09	08/05/11
(11) VANGUARD MSCI EMERGING MARKETS ETF 3	P	04/21/09	08/05/11
(12) VANGUARD MSCI EMERGING MARKETS ETF 3	P	04/21/09	08/08/11
(13) VANGUARD MSCI EMERGING MARKETS ETF 2	P	04/21/09	08/10/11
(14) GABELLI DIVID & INCOME TR 5 SHARES	P	09/18/08	08/19/11
(15) GABELLI DIVID & INCOME TR 95 SHARES	P	09/22/08	08/19/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 450,000		378,000	72,000
(2) 2,162		2,766	-604
(3) 2,046		2,628	-582
(4) 54		67	-13
(5) 54		68	-14
(6) 432		543	-111
(7) 864		1,072	-208
(8) 864		1,081	-217
(9) 1,303		793	510
(10) 1,303		793	510
(11) 1,314		793	521
(12) 1,241		793	448
(13) 1,019		661	358
(14) 71		67	4
(15) 1,343		1,429	-86

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			72,000
(2)			-604
(3)			-582
(4)			-13
(5)			-14
(6)			-111
(7)			-208
(8)			-217
(9)			510
(10)			510
(11)			521
(12)			448
(13)			358
(14)			4
(15)			-86

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) GABELLI DIVID & INCOME TR 130 SHARES	P	09/22/08	08/22/11
(2) GABELLI DIVID & INCOME TR 70 SHARES	P	09/23/08	08/22/11
(3) ISHARES INC MSCI JAPAN INDEX ETF 10	P	06/06/08	08/23/11
(4) ISHARES INC MSCI JAPAN INDEX ETF 20	P	06/11/08	08/23/11
(5) ISHARES INC MSCI JAPAN INDEX ETF 95	P	07/09/08	08/23/11
(6) GABELLI DIVID & INCOME TR 100 SHARES	P	09/23/08	08/23/11
(7) GABELLI DIVID & INCOME TR 5 SHARES	P	09/23/08	08/24/11
(8) GABELLI DIVID & INCOME TR 60 SHARES	P	09/24/08	08/24/11
(9) GABELLI DIVID & INCOME TR 50 SHARES	P	09/26/08	08/24/11
(10) GABELLI DIVID & INCOME TR 185 SHARES	P	09/29/08	08/24/11
(11) GABELLI DIVID & INCOME TR 200 SHARES	P	09/29/08	08/26/11
(12) NEW GERMANY FD INC 100 SHARES	P	08/28/07	09/07/11
(13) I SHARES MSCI CANADA ETF 20 SHARES	P	10/22/08	09/08/11
(14) I SHARES MSCI CANADA ETF 20 SHARES	P	10/28/08	09/08/11
(15) I SHARES MSCI CANADA ETF 25 SHARES	P	10/28/08	09/09/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,861		1,956	-95
(2) 1,002		1,045	-43
(3) 95		135	-40
(4) 189		259	-70
(5) 900		1,165	-265
(6) 1,428		1,493	-65
(7) 72		75	-3
(8) 869		870	-1
(9) 724		724	
(10) 2,678		2,587	91
(11) 2,905		2,797	108
(12) 1,392		1,600	-208
(13) 586		357	229
(14) 586		325	261
(15) 721		406	315

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			-95
(2)			-43
(3)			-40
(4)			-70
(5)			-265
(6)			-65
(7)			-3
(8)			-1
(9)			
(10)			91
(11)			108
(12)			-208
(13)			229
(14)			261
(15)			315

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) I SHARES MSCI CANADA ETF 15 SHARES	P	10/30/08	09/09/11
(2) NEW GERMANY FD INC 100 SHARES	P	08/28/07	09/15/11
(3) NUVEEN DVD ADV 2.635% AUCTION RT 6 S	P	08/04/08	09/29/11
(4) LMP CAP & INCOME FD INC 338 SHARES	P	09/26/07	09/30/11
(5) LMP CAP & INCOME FD INC 120 SHARES	P	09/27/07	09/30/11
(6) LMP CAP & INCOME FD INC 55 SHARES	P	02/23/09	09/30/11
(7) LMP CAP & INCOME FD INC 248 SHARES	P	02/23/09	09/30/11
(8) VANGUARD LARGE CAP VIPERS FUND 200 S	P	01/20/09	10/03/11
(9) SPDR DOW JONES INDUSTRIAL AVE ETF 20	P	12/04/09	10/03/11
(10) VANGUARD MSCI PACIFIC ETF 5 SHARES	P	01/06/09	10/04/11
(11) VANGUARD MSCI PACIFIC ETF 10 SHARES	P	01/06/09	10/04/11
(12) VANGUARD MSCI PACIFIC ETF 5 SHARES	P	01/06/09	10/04/11
(13) VANGUARD MSCI PACIFIC ETF 10 SHARES	P	01/07/09	10/04/11
(14) VANGUARD MSCI PACIFIC ETF 5 SHARES	P	01/14/09	10/04/11
(15) VANGUARD MSCI PACIFIC ETF 35 SHARES	P	01/14/09	10/04/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 432		284	148
(2) 1,387		1,600	-213
(3) 150,000		141,225	8,775
(4) 4,130		6,757	-2,627
(5) 1,466		2,396	-930
(6) 672		392	280
(7) 3,031		1,749	1,282
(8) 10,232		7,327	2,905
(9) 21,662		20,876	786
(10) 235		216	19
(11) 470		433	37
(12) 235		216	19
(13) 470		428	42
(14) 235		197	38
(15) 1,643		1,376	267

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			148
(2)			-213
(3)			8,775
(4)			-2,627
(5)			-930
(6)			280
(7)			1,282
(8)			2,905
(9)			786
(10)			19
(11)			37
(12)			19
(13)			42
(14)			38
(15)			267

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2011
		For calendar year 2011, or tax year beginning		and ending
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.			Employer Identification Number 22-2809785	
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1)	VANGUARD MSCI PACIFIC ETF 5 SHARES	P	01/15/09	10/04/11
(2)	VANGUARD MSCI PACIFIC ETF 5 SHARES	P	01/16/09	10/04/11
(3)	VANGUARD MSCI PACIFIC ETF 15 SHARES	P	01/21/09	10/04/11
(4)	VANGUARD MSCI PACIFIC ETF 5 SHARES	P	01/22/09	10/04/11
(5)	VANGUARD MSCI PACIFIC ETF 15 SHARES	P	02/05/09	10/04/11
(6)	VANGUARD MSCI PACIFIC ETF 15 SHARES	P	02/09/09	10/04/11
(7)	VANGUARD MSCI PACIFIC ETF 30 SHARES	P	02/10/09	10/04/11
(8)	VANGUARD MSCI PACIFIC ETF 60 SHARES	P	02/10/09	10/05/11
(9)	SPDR S&P 500 ETF TRUST 40 SHARES	P	12/31/08	10/11/11
(10)	NEW GERMANY FD INC 75 SHARES	P	08/28/07	10/12/11
(11)	SPDR S&P 500 ETF TRUST 160 SHARES	P	12/31/08	10/12/11
(12)	BLACKROCK FL 6.47% AUCTION RT 2 SHAR	P	06/14/10	10/12/11
(13)	ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	10/12/11
(14)	SPDR S&P 500 ETF TRUST 65 SHARES	P	12/31/08	10/13/11
(15)	SPDR S&P 500 ETF TRUST 15 SHARES	P	12/31/08	10/13/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 235		200	35
(2) 235		199	36
(3) 704		584	120
(4) 235		192	43
(5) 589		515	74
(6) 589		532	57
(7) 1,178		1,029	149
(8) 2,442		2,057	385
(9) 4,765		3,636	1,129
(10) 1,046		1,200	-154
(11) 19,350		14,545	4,805
(12) 50,000		41,000	9,000
(13) 1,214		1,156	58
(14) 7,782		5,909	1,873
(15) 1,796		1,364	432

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			35
(2)			36
(3)			120
(4)			43
(5)			74
(6)			57
(7)			149
(8)			385
(9)			1,129
(10)			-154
(11)			4,805
(12)			9,000
(13)			58
(14)			1,873
(15)			432

Capital Gains and Losses for Tax on Investment Income		2011
Form 990-PF	For calendar year 2011, or tax year beginning _____, and ending _____	
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	10/13/11
(2) SPDR S&P 500 ETF TRUST 20 SHARES	P	12/31/08	10/14/11
(3) VANGUARD MSCI EMERGING MARKETS ETF 3	P	04/21/09	10/14/11
(4) VANGUARD MSCI EAFE ETF 105 SHARES	P	06/08/09	10/14/11
(5) SPDR DOW JONES INDUSTRIAL AVE ETF 60	P	12/04/09	10/14/11
(6) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	10/14/11
(7) SPDR S&P 500 ETF TRUST 10 SHARES	P	12/31/08	10/17/11
(8) VANGUARD MSCI PACIFIC ETF 15 SHARES	P	01/22/09	10/17/11
(9) VANGUARD MSCI PACIFIC ETF 10 SHARES	P	01/23/09	10/17/11
(10) VANGUARD MSCI EMERGING MARKETS ETF 3	P	04/21/09	10/17/11
(11) SPDR DOW JONES INDUSTRIAL AVE ETF 5	P	12/04/09	10/17/11
(12) SPDR DOW JONES INDUSTRIAL AVE ETF 5	P	12/29/09	10/17/11
(13) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	10/17/11
(14) SPDR S&P 500 ETF TRUST 40 SHARES	P	12/31/08	10/18/11
(15) VANGUARD MSCI PACIFIC ETF 5 SHARES	P	01/26/09	10/18/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,200		1,156	44
(2) 2,430		1,818	612
(3) 1,195		793	402
(4) 3,432		3,001	431
(5) 6,921		6,263	658
(6) 1,219		1,156	63
(7) 1,198		909	289
(8) 762		576	186
(9) 508		388	120
(10) 1,173		793	380
(11) 568		522	46
(12) 567		530	37
(13) 1,199		1,156	43
(14) 4,901		3,636	1,265
(15) 253		195	58

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			44
(2)			612
(3)			402
(4)			431
(5)			658
(6)			63
(7)			289
(8)			186
(9)			120
(10)			380
(11)			46
(12)			37
(13)			43
(14)			1,265
(15)			58

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2011
		For calendar year 2011, or tax year beginning		, and ending

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) VANGUARD MSCI PACIFIC ETF 5 SHARES	P	01/26/09	10/18/11
(2) VANGUARD MSCI PACIFIC ETF 15 SHARES	P	01/28/09	10/18/11
(3) VANGUARD MSCI EAFE ETF 35 SHARES	P	06/08/09	10/18/11
(4) VANGUARD MSCI EAFE ETF 35 SHARES	P	06/09/09	10/18/11
(5) SPDR DOW JONES INDUSTRIAL AVE ETF 30	P	12/29/09	10/18/11
(6) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	10/18/11
(7) SPDR DOW JONES INDUSTRIAL AVE ETF 10	P	12/29/09	10/19/11
(8) SPDR S&P 500 ETF TRUST 20 SHARES	P	12/31/08	10/20/11
(9) SPDR S&P 500 ETF TRUST 10 SHARES	P	12/31/08	10/21/11
(10) SPDR S&P 500 ETF TRUST 20 SHARES	P	12/31/08	10/24/11
(11) VANGUARD MSCI EMERGING MARKETS ETF 3	P	04/21/09	10/24/11
(12) SPDR S&P 500 ETF TRUST 20 SHARES	P	12/31/08	10/25/11
(13) VANGUARD MSCI EAFE ETF 5 SHARES	P	06/09/09	10/25/11
(14) VANGUARD MSCI EAFE ETF 30 SHARES	P	06/16/09	10/25/11
(15) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	10/25/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 253		195	58
(2) 759		617	142
(3) 1,119		1,000	119
(4) 1,119		1,016	103
(5) 3,467		3,179	288
(6) 1,221		1,156	65
(7) 1,147		1,060	87
(8) 2,426		1,818	608
(9) 1,229		909	320
(10) 2,500		1,818	682
(11) 1,226		793	433
(12) 2,457		1,818	639
(13) 165		145	20
(14) 987		861	126
(15) 1,233		1,156	77

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than 0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			58
(2)			142
(3)			119
(4)			103
(5)			288
(6)			65
(7)			87
(8)			608
(9)			320
(10)			682
(11)			433
(12)			639
(13)			20
(14)			126
(15)			77

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SPDR S&P 500 ETF TRUST 10 SHARES	P	12/31/08	10/26/11
(2) VANGUARD MSCI EUROPE ETF 15 SHARES	P	02/10/09	10/26/11
(3) VANGUARD MSCI EUROPE ETF 15 SHARES	P	02/11/09	10/26/11
(4) VANGUARD MSCI EUROPE ETF 30 SHARES	P	05/06/09	10/26/11
(5) VANGUARD MSCI EUROPE ETF 30 SHARES	P	05/07/09	10/26/11
(6) VANGUARD MSCI EUROPE ETF 20 SHARES	P	05/11/09	10/26/11
(7) VANGUARD MSCI EAFE ETF 40 SHARES	P	06/16/09	10/26/11
(8) VANGUARD MSCI EAFE ETF 30 SHARES	P	06/19/09	10/26/11
(9) SPDR DOW JONES INDUSTRIAL AVE ETF 40	P	12/29/09	10/26/11
(10) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	10/26/11
(11) SPDR DOW JONES INDUSTRIAL AVE ETF 20	P	12/29/09	10/27/11
(12) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	10/27/11
(13) SPDR S&P 500 ETF TRUST 10 SHARES	P	12/31/08	10/28/11
(14) SPDR DOW JONES INDUSTRIAL AVE ETF 10	P	12/29/09	10/28/11
(15) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	10/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,237		909	328
(2) 686		514	172
(3) 686		506	180
(4) 1,372		1,159	213
(5) 1,372		1,144	228
(6) 915		775	140
(7) 1,324		1,149	175
(8) 993		862	131
(9) 4,710		4,239	471
(10) 1,243		1,156	87
(11) 2,433		2,120	313
(12) 1,287		1,156	131
(13) 1,281		909	372
(14) 1,216		1,060	156
(15) 1,286		1,156	130

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			328
(2)			172
(3)			180
(4)			213
(5)			228
(6)			140
(7)			175
(8)			131
(9)			471
(10)			87
(11)			313
(12)			131
(13)			372
(14)			156
(15)			130

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning		and ending

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
--	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SPDR S&P 500 ETF TRUST 10 SHARES	P	12/31/08	10/31/11
(2) SPDR DOW JONES INDUSTRIAL AVE ETF 20	P	12/29/09	10/31/11
(3) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	11/01/11
(4) VANGUARD MSCI EAFE ETF 5 SHARES	P	06/19/09	11/02/11
(5) VANGUARD MSCI EAFE ETF 35 SHARES	P	07/02/09	11/02/11
(6) SPDR DOW JONES INDUSTRIAL AVE ETF 30	P	12/29/09	11/02/11
(7) VANGUARD MSCI EUROPE ETF 25 SHARES	P	05/11/09	11/03/11
(8) I SHARES MSCI CANADA ETF 10 SHARES	P	10/30/08	11/08/11
(9) I SHARES MSCI CANADA ETF 5 SHARES	P	10/30/08	11/08/11
(10) I SHARES MSCI CANADA ETF 10 SHARES	P	10/31/08	11/08/11
(11) I SHARES MSCI CANADA ETF 5 SHARES	P	11/03/08	11/08/11
(12) I SHARES MSCI CANADA ETF 35 SHARES	P	11/05/08	11/08/11
(13) I SHARES MSCI CANADA ETF 10 SHARES	P	11/05/08	11/08/11
(14) I SHARES MSCI CANADA ETF 10 SHARES	P	11/05/08	11/08/11
(15) I SHARES MSCI CANADA ETF 10 SHARES	P	11/07/08	11/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,254		909	345
(2) 2,384		2,120	264
(3) 1,220		1,156	64
(4) 162		144	18
(5) 1,130		997	133
(6) 3,523		3,179	344
(7) 1,143		969	174
(8) 283		189	94
(9) 141		95	46
(10) 283		195	88
(11) 141		98	43
(12) 990		722	268
(13) 283		206	77
(14) 283		206	77
(15) 283		193	90

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			345
(2)			264
(3)			64
(4)			18
(5)			133
(6)			344
(7)			174
(8)			94
(9)			46
(10)			88
(11)			43
(12)			268
(13)			77
(14)			77
(15)			90

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785
For calendar year 2011, or tax year beginning , and ending		

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) RYDEX S&P EQUAL WEIGHTED INDEX FD 19	P	12/31/08	11/08/11
(2) RYDEX S&P EQUAL WEIGHTED INDEX FD 30	P	12/31/08	11/08/11
(3) I SHARES MSCI CANADA ETF 10 SHARES	P	01/02/09	11/08/11
(4) I SHARES MSCI CANADA ETF 15 SHARES	P	01/06/09	11/08/11
(5) I SHARES MSCI CANADA ETF 5 SHARES	P	01/08/09	11/08/11
(6) I SHARES MSCI CANADA ETF 75 SHARES	P	01/15/09	11/08/11
(7) VANGUARD SMALL CAP ETF 50 SHARES	P	04/21/09	11/08/11
(8) VANGUARD MSCI EAFE ETF 70 SHARES	P	07/02/09	11/08/11
(9) VANGUARD MSCI EAFE ETF 35 SHARES	P	07/16/09	11/08/11
(10) VANGUARD MSCI EAFE ETF 35 SHARES	P	07/17/09	11/08/11
(11) VANGUARD MSCI EAFE ETF 35 SHARES	P	07/24/09	11/08/11
(12) VANGUARD MSCI EAFE ETF 40 SHARES	P	07/27/09	11/08/11
(13) VANGUARD MID CAP ETF 150 SHARES	P	08/24/09	11/08/11
(14) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	11/08/11
(15) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	11/09/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 9,249		5,446	3,803
(2) 1,423		833	590
(3) 283		180	103
(4) 424		283	141
(5) 141		90	51
(6) 2,121		1,204	917
(7) 3,583		1,996	1,587
(8) 2,320		1,993	327
(9) 1,160		1,024	136
(10) 1,160		1,029	131
(11) 1,160		1,073	87
(12) 1,326		1,231	95
(13) 11,185		8,082	3,103
(14) 1,278		1,156	122
(15) 1,231		1,156	75

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			3,803
(2)			590
(3)			103
(4)			141
(5)			51
(6)			917
(7)			1,587
(8)			327
(9)			136
(10)			131
(11)			87
(12)			95
(13)			3,103
(14)			122
(15)			75

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) VANGUARD MSCI EAFE ETF 30 SHARES	P	07/27/09	11/10/11
(2) VANGUARD MSCI EAFE ETF 10 SHARES	P	07/28/09	11/10/11
(3) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	11/10/11
(4) SPDR S&P 500 ETF TRUST 30 SHARES	P	12/31/08	11/11/11
(5) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	11/11/11
(6) SPDR S&P 500 ETF TRUST 30 SHARES	P	12/31/08	11/14/11
(7) VANGUARD MSCI EAFE ETF 25 SHARES	P	07/28/09	11/14/11
(8) VANGUARD MSCI EAFE ETF 15 SHARES	P	07/29/09	11/14/11
(9) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	11/14/11
(10) LIBERTY ALL-STAR GROWTH FD INC 40 SH	P	09/15/08	11/15/11
(11) LIBERTY ALL-STAR GROWTH FD INC 230 S	P	09/17/08	11/15/11
(12) LIBERTY ALL-STAR GROWTH FD INC 150 S	P	09/18/08	11/15/11
(13) LIBERTY ALL-STAR GROWTH FD INC 85 SH	P	09/23/08	11/15/11
(14) LIBERTY ALL-STAR GROWTH FD INC 40 SH	P	09/24/08	11/15/11
(15) LIBERTY ALL-STAR GROWTH FD INC 640 S	P	09/29/08	11/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 960		924	36
(2) 320		309	11
(3) 1,243		1,156	87
(4) 3,782		2,727	1,055
(5) 1,265		1,156	109
(6) 3,750		2,727	1,023
(7) 804		772	32
(8) 482		461	21
(9) 1,254		1,156	98
(10) 173		161	12
(11) 994		862	132
(12) 648		547	101
(13) 367		326	41
(14) 173		150	23
(15) 2,766		2,297	469

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			36
(2)			11
(3)			87
(4)			1,055
(5)			109
(6)			1,023
(7)			32
(8)			21
(9)			98
(10)			12
(11)			132
(12)			101
(13)			41
(14)			23
(15)			469

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) LIBERTY ALL STAR EQUITY FD SBI 1225	P	09/29/08	11/15/11
(2) LIBERTY ALL STAR EQUITY FD SBI 115 S	P	10/01/08	11/15/11
(3) LIBERTY ALL-STAR GROWTH FD INC 135 S	P	10/02/08	11/15/11
(4) LIBERTY ALL STAR EQUITY FD SBI 275 S	P	10/02/08	11/15/11
(5) LIBERTY ALL-STAR GROWTH FD INC 635 S	P	10/06/08	11/15/11
(6) LIBERTY ALL STAR EQUITY FD SBI 1589	P	10/06/08	11/15/11
(7) LIBERTY ALL-STAR GROWTH FD INC 130 S	P	10/07/08	11/15/11
(8) LIBERTY ALL-STAR GROWTH FD INC 330 S	P	10/08/08	11/15/11
(9) LIBERTY ALL-STAR GROWTH FD INC 860 S	P	10/10/08	11/15/11
(10) LIBERTY ALL-STAR GROWTH FD INC 470 S	P	10/14/08	11/15/11
(11) LIBERTY ALL-STAR GROWTH FD INC 105 S	P	12/01/08	11/15/11
(12) LIBERTY ALL-STAR GROWTH FD INC 180 S	P	12/29/08	11/15/11
(13) LIBERTY ALL-STAR GROWTH FD INC 120 S	P	12/29/08	11/15/11
(14) LIBERTY ALL-STAR GROWTH FD INC 9 SHA	P	12/29/08	11/15/11
(15) LIBERTY ALL-STAR GROWTH FD INC 215 S	P	12/30/08	11/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 6,207		5,714	493
(2) 583		520	63
(3) 583		461	122
(4) 1,393		1,238	155
(5) 2,744		1,898	846
(6) 8,051		6,432	1,619
(7) 562		357	205
(8) 1,426		863	563
(9) 3,717		1,927	1,790
(10) 2,031		1,410	621
(11) 454		247	207
(12) 778		464	314
(13) 519		312	207
(14) 39		23	16
(15) 929		565	364

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			493
(2)			63
(3)			122
(4)			155
(5)			846
(6)			1,619
(7)			205
(8)			563
(9)			1,790
(10)			621
(11)			207
(12)			314
(13)			207
(14)			16
(15)			364

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SPDR S&P 500 ETF TRUST 20 SHARES	P	12/31/08	11/15/11
(2) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	02/25/10	11/15/11
(3) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	03/01/10	11/15/11
(4) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	03/02/10	11/15/11
(5) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	03/03/10	11/15/11
(6) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	03/04/10	11/15/11
(7) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	03/05/10	11/15/11
(8) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	03/08/10	11/15/11
(9) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	03/23/10	11/15/11
(10) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	04/01/10	11/15/11
(11) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	04/13/10	11/15/11
(12) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	04/16/10	11/15/11
(13) LIBERTY ALL-STAR GROWTH FD INC 1000	P	04/21/10	11/15/11
(14) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	04/22/10	11/15/11
(15) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	04/23/10	11/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 2,518		1,818	700
(2) 1,297		1,031	266
(3) 1,297		1,056	241
(4) 1,297		1,056	241
(5) 1,297		1,068	229
(6) 1,297		1,073	224
(7) 1,297		1,083	214
(8) 1,297		1,095	202
(9) 1,297		1,121	176
(10) 1,297		1,134	163
(11) 1,297		1,173	124
(12) 1,297		1,189	108
(13) 4,322		3,959	363
(14) 1,297		1,182	115
(15) 1,297		1,196	101

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			700
(2)			266
(3)			241
(4)			241
(5)			229
(6)			224
(7)			214
(8)			202
(9)			176
(10)			163
(11)			124
(12)			108
(13)			363
(14)			115
(15)			101

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	04/26/10	11/15/11
(2) LIBERTY ALL-STAR GROWTH FD INC 600 S	P	04/27/10	11/15/11
(3) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	04/28/10	11/15/11
(4) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	04/29/10	11/15/11
(5) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	04/30/10	11/15/11
(6) LIBERTY ALL-STAR GROWTH FD INC 600 S	P	05/04/10	11/15/11
(7) LIBERTY ALL-STAR GROWTH FD INC 500 S	P	05/05/10	11/15/11
(8) LIBERTY ALL-STAR GROWTH FD INC 1200	P	05/07/10	11/15/11
(9) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	05/10/10	11/15/11
(10) LIBERTY ALL-STAR GROWTH FD INC 500 S	P	05/11/10	11/15/11
(11) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	05/12/10	11/15/11
(12) LIBERTY ALL-STAR GROWTH FD INC 500 S	P	05/13/10	11/15/11
(13) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	05/14/10	11/15/11
(14) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	05/17/10	11/15/11
(15) LIBERTY ALL-STAR GROWTH FD INC 500 S	P	05/18/10	11/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,297		1,203	94
(2) 2,593		2,386	207
(3) 1,297		1,186	111
(4) 1,297		1,199	98
(5) 1,297		1,197	100
(6) 2,593		2,352	241
(7) 2,161		1,926	235
(8) 5,186		4,414	772
(9) 1,297		1,133	164
(10) 2,161		1,883	278
(11) 1,297		1,143	154
(12) 2,161		1,920	241
(13) 1,297		1,115	182
(14) 1,297		1,104	193
(15) 2,161		1,858	303

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			94
(2)			207
(3)			111
(4)			98
(5)			100
(6)			241
(7)			235
(8)			772
(9)			164
(10)			278
(11)			154
(12)			241
(13)			182
(14)			193
(15)			303

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) LIBERTY ALL-STAR GROWTH FD INC 500 S	P	05/19/10	11/15/11
(2) LIBERTY ALL-STAR GROWTH FD INC 250 S	P	05/20/10	11/15/11
(3) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	06/23/10	11/15/11
(4) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	06/24/10	11/15/11
(5) LIBERTY ALL-STAR GROWTH FD INC 300 S	P	06/25/10	11/15/11
(6) LIBERTY ALL-STAR GROWTH FD INC 3289	P	07/12/10	11/15/11
(7) SPDR S&P 500 ETF TRUST 20 SHARES	P	01/14/09	11/16/11
(8) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/01/10	11/16/11
(9) SPDR S&P 500 ETF TRUST 20 SHARES	P	01/14/09	11/17/11
(10) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	11/17/11
(11) SPDR S&P 500 ETF TRUST 20 SHARES	P	01/14/09	11/18/11
(12) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	11/18/11
(13) SPDR S&P 500 ETF TRUST 10 SHARES	P	01/20/09	11/21/11
(14) SPDR S&P 500 ETF TRUST 20 SHARES	P	01/20/09	11/22/11
(15) SPDR S&P 500 ETF TRUST 10 SHARES	P	01/20/09	11/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 2,161		1,827	334
(2) 1,080		880	200
(3) 1,297		1,077	220
(4) 1,297		1,067	230
(5) 1,297		1,072	225
(6) 14,214		11,808	2,406
(7) 2,476		1,693	783
(8) 1,242		1,156	86
(9) 2,419		1,693	726
(10) 1,215		1,156	59
(11) 2,430		1,693	737
(12) 1,219		1,156	63
(13) 1,197		812	385
(14) 2,373		1,623	750
(15) 1,167		812	355

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			334
(2)			200
(3)			220
(4)			230
(5)			225
(6)			2,406
(7)			783
(8)			86
(9)			726
(10)			59
(11)			737
(12)			63
(13)			385
(14)			750
(15)			355

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
--	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) VANGUARD LARGE CAP VIPERS FUND 20 SH	P	01/20/09	11/23/11
(2) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	11/23/11
(3) SPDR S&P 500 ETF TRUST 10 SHARES	P	01/20/09	11/28/11
(4) VANGUARD LARGE CAP VIPERS FUND 20 SH	P	01/20/09	11/28/11
(5) VANGUARD LARGE CAP VIPERS FUND 20 SH	P	01/29/09	11/28/11
(6) SPDR S&P 500 ETF TRUST 10 SHARES	P	01/20/09	11/29/11
(7) VANGUARD LARGE CAP VIPERS FUND 60 SH	P	01/29/09	11/30/11
(8) VANGUARD MSCI EAFE ETF 20 SHARES	P	07/29/09	11/30/11
(9) VANGUARD MSCI EAFE ETF 20 SHARES	P	08/12/09	11/30/11
(10) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	11/30/11
(11) SPDR S&P 500 ETF TRUST 40 SHARES	P	01/20/09	12/01/11
(12) VANGUARD LARGE CAP VIPERS FUND 45 SH	P	01/29/09	12/01/11
(13) VANGUARD LARGE CAP VIPERS FUND 15 SH	P	01/30/09	12/01/11
(14) ISHARES S P 500 INDEX ETF 20 SHARES	P	09/27/10	12/01/11
(15) SPDR S&P 500 ETF TRUST 80 SHARES	P	01/20/09	12/02/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,066		733	333
(2) 1,172		1,156	16
(3) 1,185		812	373
(4) 1,082		733	349
(5) 1,082		767	315
(6) 1,197		812	385
(7) 3,393		2,302	1,091
(8) 643		615	28
(9) 643		641	2
(10) 1,246		1,156	90
(11) 4,976		3,247	1,729
(12) 2,556		1,727	829
(13) 852		572	280
(14) 2,495		2,312	183
(15) 9,972		6,493	3,479

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			333
(2)			16
(3)			373
(4)			349
(5)			315
(6)			385
(7)			1,091
(8)			28
(9)			2
(10)			90
(11)			1,729
(12)			829
(13)			280
(14)			183
(15)			3,479

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) VANGUARD LARGE CAP VIPERS FUND 60 SH	P	01/30/09	12/02/11
(2) ISHARES S P 500 INDEX ETF 20 SHARES	P	09/27/10	12/02/11
(3) SPDR S&P 500 ETF TRUST 30 SHARES	P	01/20/09	12/05/11
(4) VANGUARD LARGE CAP VIPERS FUND 60 SH	P	01/30/09	12/05/11
(5) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	12/05/11
(6) HUSSMAN STRATEGIC GROWTH FD 2800 SHA	P	10/17/07	12/06/11
(7) SPDR S&P 500 ETF TRUST 40 SHARES	P	01/20/09	12/06/11
(8) VANGUARD LARGE CAP VIPERS FUND 40 SH	P	01/30/09	12/06/11
(9) VANGUARD MSCI EAFE ETF 40 SHARES	P	08/12/09	12/06/11
(10) I SHARES MSCI SWEDEN INDEX FD 70 SHA	P	05/01/07	12/07/11
(11) I SHARES MSCI SWEDEN INDEX FD 75 SHA	P	05/02/07	12/07/11
(12) I SHARES MSCI SWEDEN INDEX FD 240 SH	P	05/04/07	12/07/11
(13) I SHARES MSCI SWEDEN INDEX FD 50 SHA	P	05/07/07	12/07/11
(14) SPDR S&P 500 ETF TRUST 15 SHARES	P	01/20/09	12/07/11
(15) VANGUARD LARGE CAP VIPERS FUND 100 S	P	01/30/09	12/07/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 3,415		2,288	1,127
(2) 2,501		2,312	189
(3) 3,766		2,435	1,331
(4) 3,444		2,288	1,156
(5) 1,258		1,156	102
(6) 35,448		46,088	-10,640
(7) 5,043		3,247	1,796
(8) 2,302		1,525	777
(9) 1,292		1,281	11
(10) 1,786		2,611	-825
(11) 1,913		2,806	-893
(12) 6,122		8,918	-2,796
(13) 1,275		1,876	-601
(14) 1,898		1,218	680
(15) 5,767		3,813	1,954

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1) -			1,127
(2)			189
(3)			1,331
(4)			1,156
(5)			102
(6)			-10,640
(7)			1,796
(8)			777
(9)			11
(10)			-825
(11)			-893
(12)			-2,796
(13)			-601
(14)			680
(15)			1,954

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
--	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SPDR S&P 500 ETF TRUST 25 SHARES	P	02/02/09	12/07/11
(2) VANGUARD MSCI EAFE ETF 120 SHARES	P	08/12/09	12/07/11
(3) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	12/07/11
(4) VANGUARD LARGE CAP VIPERS FUND 40 SH	P	01/30/09	12/08/11
(5) SPDR S&P 500 ETF TRUST 60 SHARES	P	02/02/09	12/08/11
(6) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	12/08/11
(7) VANGUARD LARGE CAP VIPERS FUND 40 SH	P	01/30/09	12/09/11
(8) SPDR S&P 500 ETF TRUST 40 SHARES	P	02/02/09	12/09/11
(9) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	12/09/11
(10) VANGUARD LARGE CAP VIPERS FUND 20 SH	P	01/30/09	12/12/11
(11) SPDR S&P 500 ETF TRUST 30 SHARES	P	02/02/09	12/12/11
(12) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	12/12/11
(13) VANGUARD LARGE CAP VIPERS FUND 55 SH	P	01/30/09	12/13/11
(14) VANGUARD LARGE CAP VIPERS FUND 25 SH	P	01/30/09	12/13/11
(15) SPDR S&P 500 ETF TRUST 40 SHARES	P	02/02/09	12/13/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 3,164		2,055	1,109
(2) 3,872		3,844	28
(3) 1,269		1,156	113
(4) 2,252		1,525	727
(5) 7,429		4,931	2,498
(6) 1,238		1,156	82
(7) 2,290		1,525	765
(8) 5,023		3,287	1,736
(9) 1,259		1,156	103
(10) 1,128		763	365
(11) 3,709		2,466	1,243
(12) 1,240		1,156	84
(13) 3,080		2,083	997
(14) 1,400		953	447
(15) 4,920		3,287	1,633

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			1,109
(2)			28
(3)			113
(4)			727
(5)			2,498
(6)			82
(7)			765
(8)			1,736
(9)			103
(10)			365
(11)			1,243
(12)			84
(13)			997
(14)			447
(15)			1,633

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	12/13/11
(2) HUSSMAN STRATEGIC GROWTH FD 2500 SHA	P	10/17/07	12/14/11
(3) VANGUARD LARGE CAP VIPERS FUND 30 SH	P	01/30/09	12/14/11
(4) SPDR S&P 500 ETF TRUST 20 SHARES	P	02/02/09	12/14/11
(5) VANGUARD LARGE CAP VIPERS FUND 10 SH	P	02/02/09	12/14/11
(6) VANGUARD LARGE CAP VIPERS FUND 20 SH	P	02/02/09	12/14/11
(7) VANGUARD MSCI EMERGING MARKETS ETF 3	P	04/21/09	12/14/11
(8) SPDR S&P 500 ETF TRUST 30 SHARES	P	12/30/09	12/14/11
(9) SHELTON GREATER CHINA FUND 17 SHARES	P	02/22/10	12/14/11
(10) SHELTON GREATER CHINA FUND 350 SHARE	P	02/23/10	12/14/11
(11) SHELTON GREATER CHINA FUND 133 SHARE	P	05/05/10	12/14/11
(12) VANGUARD LARGE CAP VIPERS FUND 60 SH	P	02/02/09	12/15/11
(13) SPDR S&P 500 ETF TRUST 40 SHARES	P	12/30/09	12/15/11
(14) VANGUARD LARGE CAP VIPERS FUND 40 SH	P	02/02/09	12/16/11
(15) VANGUARD MSCI EUROPE ETF 15 SHARES	P	05/11/09	12/16/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,229		1,156	73
(2) 31,975		41,150	-9,175
(3) 1,658		1,136	522
(4) 2,424		1,644	780
(5) 553		370	183
(6) 1,106		741	365
(7) 1,140		793	347
(8) 3,636		3,386	250
(9) 101		103	-2
(10) 2,083		2,091	-8
(11) 791		843	-52
(12) 3,327		2,224	1,103
(13) 4,875		4,515	360
(14) 2,224		1,483	741
(15) 624		581	43

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			73
(2)			-9,175
(3)			522
(4)			780
(5)			183
(6)			365
(7)			347
(8)			250
(9)			-2
(10)			-8
(11)			-52
(12)			1,103
(13)			360
(14)			741
(15)			43

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) VANGUARD MSCI EUROPE ETF 10 SHARES	P	05/12/09	12/16/11
(2) SPDR S&P 500 ETF TRUST 30 SHARES	P	12/30/09	12/16/11
(3) VANGUARD LARGE CAP VIPERS FUND 20 SH	P	02/02/09	12/19/11
(4) VANGUARD MSCI EAFE ETF 120 SHARES	P	08/12/09	12/19/11
(5) SPDR S&P 500 ETF TRUST 30 SHARES	P	12/30/09	12/19/11
(6) ISHARES S P 500 INDEX ETF 10 SHARES	P	09/27/10	12/19/11
(7) VANGUARD LARGE CAP VIPERS FUND 60 SH	P	02/02/09	12/20/11
(8) VANGUARD MSCI EAFE ETF 40 SHARES	P	08/12/09	12/20/11
(9) SPDR S&P 500 ETF TRUST 40 SHARES	P	12/30/09	12/20/11
(10) VANGUARD LARGE CAP VIPERS FUND 60 SH	P	02/02/09	12/21/11
(11) VANGUARD MSCI EAFE ETF 80 SHARES	P	08/12/09	12/21/11
(12) SPDR S&P 500 ETF TRUST 50 SHARES	P	12/30/09	12/21/11
(13) RYDEX S&P EQUAL WEIGHTED INDEX FD 75	P	12/31/08	12/22/11
(14) VANGUARD MSCI PACIFIC ETF 5 SHARES	P	01/28/09	12/22/11
(15) VANGUARD MSCI PACIFIC ETF 15 SHARES	P	02/02/09	12/22/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 416		388	28
(2) 3,632		3,386	246
(3) 1,100		741	359
(4) 3,646		3,844	-198
(5) 3,592		3,386	206
(6) 1,208		1,156	52
(7) 3,400		2,224	1,176
(8) 1,249		1,281	-32
(9) 4,937		4,515	422
(10) 3,404		2,217	1,187
(11) 2,396		2,562	-166
(12) 6,183		5,644	539
(13) 3,452		2,083	1,369
(14) 236		206	30
(15) 707		575	132

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			28
(2)			246
(3)			359
(4)			-198
(5)			206
(6)			52
(7)			1,176
(8)			-32
(9)			422
(10)			1,187
(11)			-166
(12)			539
(13)			1,369
(14)			30
(15)			132

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) VANGUARD MSCI PACIFIC ETF 30 SHARES	P	02/03/09	12/22/11
(2) VANGUARD MSCI EAFE ETF 40 SHARES	P	08/12/09	12/22/11
(3) SPDR S&P 500 ETF TRUST 60 SHARES	P	12/30/09	12/22/11
(4) RYDEX S&P EQUAL WEIGHTED INDEX FD 75	P	12/31/08	12/23/11
(5) VANGUARD LARGE CAP VIPERS FUND 30 SH	P	02/02/09	12/23/11
(6) VANGUARD LARGE CAP VIPERS FUND 40 SH	P	02/02/09	12/23/11
(7) VANGUARD LARGE CAP VIPERS FUND 50 SH	P	02/02/09	12/23/11
(8) SPDR S&P 500 ETF TRUST 40 SHARES	P	12/30/09	12/23/11
(9) VANGUARD LARGE CAP VIPERS FUND 20 SH	P	02/02/09	12/27/11
(10) SPDR S&P 500 ETF TRUST 20 SHARES	P	12/30/09	12/27/11
(11) ANADARKO PETROLEUM CORP 5 SHARES	D	12/27/06	08/31/11
(12) AUTODESK INC 5 SHARES	D	02/03/06	08/31/11
(13) BIOGEN IDEC INC 5 SHARES	D	12/27/06	08/31/11
(14) BIOGEN IDEC INC 20 SHARES	D	12/27/06	11/11/11
(15) BIOGEN IDEC INC 18 SHARES	D	12/27/06	12/05/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 1,414		1,173	241
(2) 1,210		1,281	-71
(3) 7,482		6,773	709
(4) 3,478		2,083	1,395
(5) 1,715		1,109	606
(6) 2,287		1,478	809
(7) 2,859		1,848	1,011
(8) 5,029		4,515	514
(9) 1,149		739	410
(10) 2,522		2,258	264
(11) 366		218	148
(12) 140		178	-38
(13) 467		246	221
(14) 2,278		986	1,292
(15) 2,048		887	1,161

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			241
(2)			-71
(3)			709
(4)			1,395
(5)			606
(6)			809
(7)			1,011
(8)			514
(9)			410
(10)			264
(11)			148
(12)			-38
(13)			221
(14)			1,292
(15)			1,161

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name **NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.** Employer Identification Number **22-2809785**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) BIOGEN IDEC INC 7 SHARES	D	07/17/07	12/05/11
(2) BROADCOM CORP 5 SHARES	D	12/27/06	08/31/11
(3) CABLEVISION SYS NY-GRP-A 10 SHARES	D	04/15/08	08/31/11
(4) COMCAST CORP CL A SPL 20 SHARES	D	12/27/06	08/31/11
(5) DIRECTV CLASS A COM 5 SHARES	D	12/27/06	08/31/11
(6) FLUOR CORP (NEW) 5 SHARES	D	11/19/08	08/31/11
(7) FOREST LABS INC 10 SHARES	D	12/27/06	08/31/11
(8) FRPRT-MCMRN CPPR & GLD B 5 SHARES	D	03/27/09	08/31/11
(9) LIBERTY MEDIA CAP SER A 5 SHARES	D	06/24/08	08/31/11
(10) LIBERTY MEDIA CORP A 2 SHARES	D	12/27/06	05/31/11
(11) LIBERTY MEDIA CORP A 7 SHARES	D	03/27/08	05/31/11
(12) LIBERTY MEDIA CORP A 3 SHARES	D	11/02/09	06/01/11
(13) LIBERTY MEDIA INTRACTV A 10 SHARES	D	12/27/06	08/31/11
(14) NUCOR CORP 5 SHARES	D	03/27/09	08/31/11
(15) PALL CORP 5 SHARES	D	02/03/06	08/31/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 797		 385	 412
(2) 177		 162	 15
(3) 180		 135	 45
(4) 420		 564	 -144
(5) 219		 89	 130
(6) 302		 166	 136
(7) 341		 508	 -167
(8) 234		 106	 128
(9) 355		 75	 280
(10) 153		 56	 97
(11) 534		 213	 321
(12) 233		 125	 108
(13) 157		 215	 -58
(14) 179		 204	 -25
(15) 253		 141	 112

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			 412
(2)			 15
(3)			 45
(4)			 -144
(5)			 130
(6)			 136
(7)			 -167
(8)			 128
(9)			 280
(10)			 97
(11)			 321
(12)			 108
(13)			 -58
(14)			 -25
(15)			 112

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name **NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.** Employer Identification Number **22-2809785**

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SANDISK CORP 5 SHARES	D	07/09/08	08/31/11
(2) SEAGATE TECHNOLOGY PLC 10 SHARES	D	12/27/06	08/31/11
(3) TE CONNECTIVITY LTD 10 SHARES	D	11/07/07	08/31/11
(4) TYCO INTERNATIONAL LTD 5 SHARES	D	02/03/06	08/31/11
(5) UNITEDHEALTH GROUP 10 SHARES	D	12/27/06	08/31/11
(6) UNITEDHEALTH GROUP 65 SHARES	D	12/27/06	12/20/11
(7) UNITEDHEALTH GROUP 55 SHARES	D	07/17/07	12/20/11
(8) UNITEDHEALTH GROUP 5 SHARES	D	05/01/08	12/20/11
(9) VERTEX PHARM INC 5 SHARES	D	03/27/09	08/31/11
(10) WEATHRFORD INLT LTD REG 15 SHARES	D	05/09/07	08/31/11
(11) BASF SE SPONSORED ADR 10 SHARES	D	01/20/06	12/05/11
(12) BHP BILLITON LTD 10 SHARES	D	01/20/06	12/05/11
(13) BRITISH AMERN TOB PLC 5 SHARES	D	01/20/06	12/05/11
(14) CORE LABORATORIES INC 5 SHARES	D	10/12/09	12/05/11
(15) NESTLE S A REG ADR 10 SHARES	D	01/20/06	12/05/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 182		87	95
(2) 115		269	-154
(3) 307		330	-23
(4) 207		196	11
(5) 473		533	-60
(6) 3,236		3,466	-230
(7) 2,738		2,922	-184
(8) 249		165	84
(9) 226		148	78
(10) 255		401	-146
(11) 726		374	352
(12) 766		368	398
(13) 459		224	235
(14) 592		267	325
(15) 557		298	259

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			95
(2)			-154
(3)			-23
(4)			11
(5)			-60
(6)			-230
(7)			-184
(8)			84
(9)			78
(10)			-146
(11)			352
(12)			398
(13)			235
(14)			325
(15)			259

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) POTASH CORP OF SASK INC 10 SHARES	D	01/20/06	12/05/11
(2) ASTRAZENECA PLC SPON ADR 4 SHARES	D	10/20/09	08/08/11
(3) ASTRAZENECA PLC SPON ADR 7 SHARES	D	10/20/09	10/14/11
(4) AUST & NZ BKG GRP SP ADR 15 SHARES	D	10/20/09	10/14/11
(5) AXIS CAPITAL HLDGS LTD 4 SHARES	D	10/20/09	12/29/11
(6) BAE SYSTEMS PLACE 9 SHARES	D	02/16/10	10/14/11
(7) BRITISH AMERN TOB PLC 5 SHARES	D	10/20/09	04/27/11
(8) BRITISH AMERN TOB PLC 16 SHARES	D	10/20/09	05/05/11
(9) CIA SANEAMENTO BASICO 4 SHARES	D	10/20/09	08/08/11
(10) CIA SANEAMENTO BASICO 3 SHARES	D	10/20/09	10/14/11
(11) COCA-COLA FEMSA S A B DE 10 SHARES	D	10/20/09	05/02/11
(12) COMPANHIA PARANAENSE DE 8 SHARES	D	10/20/09	12/29/11
(13) DELHAIZE GROUP-SPONS ADR 12 SHARES	D	10/20/09	04/29/11
(14) DIAGEO PLC 5 SHARES	D	10/20/09	08/08/11
(15) DIAGEO PLC 2 SHARES	D	10/20/09	10/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 419		89	330
(2) 170		180	-10
(3) 332		316	16
(4) 326		326	
(5) 129		121	8
(6) 162		197	-35
(7) 440		326	114
(8) 1,413		1,044	369
(9) 204		165	39
(10) 158		123	35
(11) 796		540	256
(12) 166		148	18
(13) 1,039		869	170
(14) 380		322	58
(15) 164		129	35

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			330
(2)			-10
(3)			16
(4)			
(5)			8
(6)			-35
(7)			114
(8)			369
(9)			39
(10)			35
(11)			256
(12)			18
(13)			170
(14)			58
(15)			35

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785
For calendar year 2011, or tax year beginning		, and ending

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) FUJITSU LTD ADR NEW 22 SHARES	D	11/10/09	05/02/11
(2) GLAXOSMITHKLINE PLC-ADR 44 SHARES	D	10/20/09	08/22/11
(3) GLAXOSMITHKLINE PLC-ADR 16 SHARES	D	10/20/09	08/08/11
(4) NEXEN INC F 43 SHARES	D	10/20/09	05/09/11
(5) NOKIA CORP SPONSORED ADR 75 SHARES	D	10/20/09	07/26/11
(6) PEARSON PLC SPONS ADR 45 SHARES	D	10/20/09	04/28/11
(7) PEARSON PLC SPONS ADR 49 SHARES	D	10/20/09	05/10/11
(8) PEARSON PLC SPONS ADR 5 SHARES	D	01/06/10	05/10/11
(9) POSCO SPONSORED ADR 1 SHARES	D	10/20/09	08/08/11
(10) RENAISSANCE RE HOLDING 15 SHARES	D	10/20/09	05/11/11
(11) RENAISSANCE RE HOLDING 2 SHARES	D	10/20/09	10/14/11
(12) ROYAL DUTCH SHL ADR CL A 6 SHARES	D	10/20/09	10/14/11
(13) SAGE GROUP PLC / UNSPON 10 SHARES	D	07/22/10	10/14/11
(14) SASOL LTD SPONS ADR 3 SHARES	D	10/20/09	10/14/11
(15) SK TELECOMMUNICATIONS 9 SHARES	D	10/20/09	10/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 612		660	-48
(2) 1,859		1,827	32
(3) 647		664	-17
(4) 1,007		1,061	-54
(5) 435		994	-559
(6) 859		633	226
(7) 916		690	226
(8) 93		70	23
(9) 97		115	-18
(10) 1,036		832	204
(11) 126		111	15
(12) 415		375	40
(13) 176		151	25
(14) 134		128	6
(15) 137		162	-25

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-48
(2)			32
(3)			-17
(4)			-54
(5)			-559
(6)			226
(7)			226
(8)			23
(9)			-18
(10)			204
(11)			15
(12)			40
(13)			25
(14)			6
(15)			-25

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2011
		For calendar year 2011, or tax year beginning _____, and ending _____		
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.			Employer Identification Number 22-2809785	
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1)	STATOIL ASA 15 SHARES	D	10/20/09	10/14/11
(2)	SVENSKA CELLULOSA AB F 2 SHARES	D	05/20/10	12/29/11
(3)	SVENSKA CELLULOSA AB F 7 SHARES	D	05/21/10	12/29/11
(4)	TELSTRA CORP LTD 10 SHARES	D	12/16/09	08/08/11
(5)	TELSTRA CORP LTD 7 SHARES	D	12/16/09	10/14/11
(6)	UNILEVER PLC SPONS ADR F 14 SHARES	D	10/20/09	08/08/11
(7)	UTD OVERSEAS BK SPON ADR 12 SHARES	D	05/04/10	08/08/11
(8)	YAMANA GOLD INC 41 SHARES	D	10/20/09	08/08/11
(9)	YAMANA GOLD INC 13 SHARES	D	10/20/09	10/14/11
(10)	ZURICH FINANCIAL SVCS 17 SHARES	D	05/07/10	10/14/11
(11)	ALERE INC 24 SHARES	D	10/20/09	10/24/11
(12)	ALERE INC 9 SHARES	D	06/08/10	10/24/11
(13)	ALLETE INC COM 2 SHARES	D	10/20/09	12/05/11
(14)	AMEDISYS INC 17 SHARES	D	10/20/09	12/01/11
(15)	AMEDISYS INC 4 SHARES	D	05/18/10	12/01/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 372		380	-8
(2) 29		22	7
(3) 103		77	26
(4) 143		159	-16
(5) 112		111	1
(6) 443		427	16
(7) 358		349	9
(8) 569		499	70
(9) 197		158	39
(10) 384		352	32
(11) 522		978	-456
(12) 196		267	-71
(13) 79		69	10
(14) 204		721	-517
(15) 48		196	-148

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			-8
(2)			7
(3)			26
(4)			-16
(5)			1
(6)			16
(7)			9
(8)			70
(9)			39
(10)			32
(11)			-456
(12)			-71
(13)			10
(14)			-517
(15)			-148

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2011
		For calendar year 2011, or tax year beginning		, and ending
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.			Employer Identification Number 22-2809785	
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1)	AMEDISYS INC 6 SHARES	D	07/13/10	12/01/11
(2)	AMEDISYS INC 15 SHARES	D	08/10/10	12/01/11
(3)	AMERON INTERNATIONAL 3 SHARES	D	01/05/10	05/12/11
(4)	AMERON INTERNATIONAL 9 SHARES	D	01/05/10	06/02/11
(5)	AMSURG CORP 27 SHARES	D	10/20/09	05/12/11
(6)	AMSURG CORP 18 SHARES	D	05/06/10	05/12/11
(7)	ANWORTH MORTGAGE ASSET 3 SHARES	D	10/20/09	10/03/11
(8)	ANWORTH MORTGAGE ASSET 115 SHARES	D	10/20/09	10/21/11
(9)	ANWORTH MORTGAGE ASSET 18 SHARES	D	12/08/09	10/21/11
(10)	ANWORTH MORTGAGE ASSET 10 SHARES	D	09/08/10	10/21/11
(11)	APOLLO INVESTMENT CORP 84 SHARES	D	01/11/10	11/16/11
(12)	APOLLO INVESTMENT CORP 18 SHARES	D	05/06/10	11/16/11
(13)	ATWOOD OCEANICS INC 6 SHARES	D	10/20/09	07/22/11
(14)	AURICO GOLD INC 22 SHARES	D	10/20/09	08/08/11
(15)	AURICO GOLD INC 9 SHARES	D	10/20/09	12/05/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 72		162	-90
(2) 180		372	-192
(3) 201		196	5
(4) 575		588	-13
(5) 708		615	93
(6) 472		368	104
(7) 19		23	-4
(8) 733		886	-153
(9) 115		131	-16
(10) 64		69	-5
(11) 633		928	-295
(12) 136		196	-60
(13) 289		232	57
(14) 263		200	63
(15) 84		82	2

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			-90
(2)			-192
(3)			5
(4)			-13
(5)			93
(6)			104
(7)			-4
(8)			-153
(9)			-16
(10)			-5
(11)			-295
(12)			-60
(13)			57
(14)			63
(15)			2

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
--	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) BANCORPSOUTH INC 27 SHARES	D	03/23/10	10/06/11
(2) BANK OF HAWAII CORP 2 SHARES	D	10/20/09	10/03/11
(3) BARNES GROUP INC 9 SHARES	D	10/20/09	06/29/11
(4) BARNES GROUP INC 7 SHARES	D	10/20/09	12/07/11
(5) BRINKER INTL INC 6 SHARES	D	10/20/09	06/29/11
(6) BRINKER INTL INC 7 SHARES	D	10/20/09	08/15/11
(7) CACI INTL INC CLASS A 5 SHARES	D	10/20/09	06/29/11
(8) CAL DIVE INTERNATIONAL 119 SHARES	D	11/04/09	08/09/11
(9) CAL DIVE INTERNATIONAL 33 SHARES	D	03/17/10	08/09/11
(10) CAL DIVE INTERNATIONAL 32 SHARES	D	05/07/10	08/09/11
(11) CENTRAL EURO DIST CORP 30 SHARES	D	10/20/09	08/24/11
(12) CENTRAL EURO DIST CORP 5 SHARES	D	05/04/10	08/24/11
(13) CENTRAL EURO DIST CORP 9 SHARES	D	05/19/10	08/24/11
(14) CONTANGO OIL & GAS < 4 SHARES	D	10/20/09	08/11/11
(15) CONTANGO OIL & GAS < 14 SHARES	D	10/20/09	09/26/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 260		556	-296
(2) 71		83	-12
(3) 215		162	53
(4) 172		126	46
(5) 147		90	57
(6) 168		105	63
(7) 313		231	82
(8) 359		952	-593
(9) 100		241	-141
(10) 97		186	-89
(11) 165		1,041	-876
(12) 28		165	-137
(13) 50		218	-168
(14) 237		212	25
(15) 770		742	28

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than 0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			-296
(2)			-12
(3)			53
(4)			46
(5)			57
(6)			63
(7)			82
(8)			-593
(9)			-141
(10)			-89
(11)			-876
(12)			-137
(13)			-168
(14)			25
(15)			28

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) CONTANGO OIL & GAS < 5 SHARES	D	06/28/10	09/26/11
(2) CORN PRODS INTL INC 3 SHARES	D	10/20/09	05/05/11
(3) CORN PRODS INTL INC 2 SHARES	D	10/20/09	12/05/11
(4) DST SYSTEMS INC 7 SHARES	D	10/20/09	06/15/11
(5) EARTHLINK INC 124 SHARES	D	03/16/10	09/29/11
(6) ENERSYS INC 3 SHARES	D	01/05/10	12/07/11
(7) ESTERLINE TECH CORP 5 SHARES	D	10/20/09	09/01/11
(8) GAMMON GOLD INC 11 SHARES	D	10/20/09	04/14/11
(9) GATX CORP 33 SHARES	D	10/20/09	05/05/11
(10) GENERAL CABLE CORP 9 SHARES	D	10/20/09	05/03/11
(11) HEALTHSPRING INC 9 SHARES	D	10/20/09	04/14/11
(12) HEALTHSPRING INC 4 SHARES	D	10/20/09	05/12/11
(13) HEALTHSPRING INC 16 SHARES	D	10/20/09	07/29/11
(14) HELEN OF TROY LIMITED 6 SHARES	D	10/20/09	06/29/11
(15) HOSPITALITY PPTYS TR 10 SHARES	D	10/20/09	09/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 275		226	49
(2) 161		94	67
(3) 104		63	41
(4) 410		307	103
(5) 833		1,064	-231
(6) 77		68	9
(7) 369		211	158
(8) 117		100	17
(9) 1,362		944	418
(10) 436		350	86
(11) 339		119	220
(12) 167		53	114
(13) 650		212	438
(14) 203		142	61
(15) 224		215	9

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			49
(2)			67
(3)			41
(4)			103
(5)			-231
(6)			9
(7)			158
(8)			17
(9)			418
(10)			86
(11)			220
(12)			114
(13)			438
(14)			61
(15)			9

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
--	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) IDACORP INC 6 SHARES	D	10/20/09	12/22/11
(2) JAKKS PAC INC 9 SHARES	D	10/20/09	10/03/11
(3) JAKKS PAC INC 25 SHARES	D	10/20/09	12/19/11
(4) LIFEPOINT HOSPS INC 10 SHARES	D	10/20/09	11/02/11
(5) MEDICAL PROPERTIES TRUST 108 SHARES	D	06/10/10	09/22/11
(6) MEDNAX INC 3 SHARES	D	10/20/09	05/12/11
(7) MEDNAX INC 13 SHARES	D	10/20/09	09/29/11
(8) NASH-FINCH COMPANY 24 SHARES	D	10/20/09	09/22/11
(9) NASH-FINCH COMPANY 4 SHARES	D	09/08/10	09/22/11
(10) NEW GOLD INC 121 SHARES	D	05/05/10	05/13/11
(11) NUTRI/SYSTEM INC 43 SHARES	D	03/29/10	04/14/11
(12) NV ENERGY INC 12 SHARES	D	10/20/09	09/01/11
(13) NV ENERGY INC 4 SHARES	D	10/20/09	12/05/11
(14) OM GROUP INC 2 SHARES	D	10/20/09	08/24/11
(15) REGIS CORP MINN 61 SHARES	D	10/20/09	04/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 252		174	78
(2) 165		132	33
(3) 349		367	-18
(4) 384		314	70
(5) 990		988	2
(6) 222		167	55
(7) 832		722	110
(8) 683		737	-54
(9) 114		160	-46
(10) 1,115		709	406
(11) 608		754	-146
(12) 176		137	39
(13) 61		46	15
(14) 60		57	3
(15) 1,051		1,024	27

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			78
(2)			33
(3)			-18
(4)			70
(5)			2
(6)			55
(7)			110
(8)			-54
(9)			-46
(10)			406
(11)			-146
(12)			39
(13)			15
(14)			3
(15)			27

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SCOTTS MIRACLE-GRO CO 11 SHARES	D	10/20/09	06/09/11
(2) SCOTTS MIRACLE-GRO CO 9 SHARES	D	10/20/09	08/24/11
(3) SCOTTS MIRACLE-GRO CO 4 SHARES	D	02/17/10	08/24/11
(4) SONIC CORP 19 SHARES	D	03/10/10	05/05/11
(5) STERIS CORP 1 SHARES	D	05/05/10	09/28/11
(6) SUPERIOR ENERGY SVCS INC 4 SHARES	D	10/20/09	04/14/11
(7) SYNAPTICS INC 28 SHARES	D	10/20/09	06/16/11
(8) SYNAPTICS INC 7 SHARES	D	10/22/09	06/16/11
(9) TELEFLEX INCORPORATED 2 SHARES	D	10/20/09	12/01/11
(10) UNIVERSAL CORP VIRGINIA 4 SHARES	D	10/20/09	08/08/11
(11) UNIVERSAL CORP VIRGINIA 18 SHARES	D	10/20/09	12/01/11
(12) UNIVERSAL CORP VIRGINIA 3 SHARES	D	06/28/10	12/01/11
(13) W&T OFFSHORE INC 16 SHARES	D	11/12/09	04/26/11
(14) WADDELL & REED FINL INC 3 SHARES	D	10/20/09	05/05/11
(15) WOLVERINE WORLD WIDE INC 2 SHARES	D	10/20/09	04/19/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 571		470	101
(2) 415		385	30
(3) 184		154	30
(4) 218		187	31
(5) 30		34	-4
(6) 154		99	55
(7) 760		668	92
(8) 190		159	31
(9) 123		100	23
(10) 146		176	-30
(11) 838		794	44
(12) 140		120	20
(13) 452		164	288
(14) 118		89	29
(15) 78		54	24

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			101
(2)			30
(3)			30
(4)			31
(5)			-4
(6)			55
(7)			92
(8)			31
(9)			23
(10)			-30
(11)			44
(12)			20
(13)			288
(14)			29
(15)			24

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) WOLVERINE WORLD WIDE INC 7 SHARES	D	10/20/09	06/29/11
(2) AFFIL MANAGERS5.10% PFD 5 SHARES	D	09/02/09	12/22/11
(3) AMGEN IN 0.375% 02/01/13 1000 SHARES	D	01/22/10	12/08/11
(4) BANK OF AMERICA 7.25% 1 SHARES	D	10/19/09	12/20/11
(5) CAMERON IN2.5% 06/15/26 2000 SHARES	D	10/21/08	08/16/11
(6) CHESAPEAKE 2.75%11/15/35 1000 SHARES	D	10/21/09	05/02/11
(7) CITIGROUP 7.5% CONV PFD 1 SHARES	D	03/15/10	12/20/11
(8) CORE LABS 0.25% 10/31/11 1000 SHARES	D	10/21/09	11/03/11
(9) CROWN CASTLE INTL 6.25% 3 SHARES	D	10/21/09	12/22/11
(10) GENERAL MOTORS 4.75% PFD 3 SHARES	D	11/22/10	12/20/11
(11) GILEAD SCI 0.625% 5/1/13 1000 SHARES	D	10/21/09	08/12/11
(12) HRTFRD FINL SVCS7.25%PFD 6 SHARES	D	03/26/10	12/20/11
(13) L-3 COMMUNIC 3% 08/01/35 1000 SHARES	D	10/21/09	12/22/11
(14) MOLSON 2.5% 07/30/13 1000 SHARES	D	03/20/09	12/22/11
(15) ON SEMIC 2.625% 12/15/26 1000 SHARES	D	10/21/09	12/22/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 286		189	97
(2) 220		163	57
(3) 985		1,002	-17
(4) 771		876	-105
(5) 2,855		1,915	940
(6) 1,164		1,053	111
(7) 79		117	-38
(8) 1,000		1,264	-264
(9) 183		176	7
(10) 99		152	-53
(11) 1,122		1,253	-131
(12) 110		158	-48
(13) 949		1,029	-80
(14) 1,055		1,020	35
(15) 1,091		1,035	56

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			97
(2)			57
(3)			-17
(4)			-105
(5)			940
(6)			111
(7)			-38
(8)			-264
(9)			7
(10)			-53
(11)			-131
(12)			-48
(13)			-80
(14)			35
(15)			56

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) PPL CORPORATION 9.5% PFD 6 SHARES	D	08/10/10	12/20/11
(2) STANLEY BLACK&DECKER PFD 3 SHARES	D	11/04/10	12/20/11
(3) TRANSOCEAN 1.5% 12/15/37 1000 SHARES	D	10/21/09	12/08/11
(4) TRIUMPH 2.625% 10/01/26 1000 SHARES	D	10/21/09	05/04/11
(5) VALE CAP II 6.75% CV PFD 2 SHARES	D	04/05/10	12/20/11
(6) ADOBE SYSTEMS INC 3 SHARES	D	11/03/10	11/30/11
(7) ADOBE SYSTEMS INC 5 SHARES	D	11/03/10	12/20/11
(8) AFLAC INC 2 SHARES	D	10/21/09	10/28/11
(9) AFLAC INC 3 SHARES	D	10/21/09	12/20/11
(10) AFLAC INC 1 SHARES	D	10/23/09	12/20/11
(11) ALLSTATE CORP 20 SHARES	D	06/23/10	09/20/11
(12) AMERICAN ELECTRIC POWER 4 SHARES	D	06/11/10	11/10/11
(13) AMERICAN ELECTRIC POWER 3 SHARES	D	06/11/10	11/30/11
(14) AMERICAN ELECTRIC POWER 4 SHARES	D	06/11/10	12/15/11
(15) AMERICAN ELECTRIC POWER 2 SHARES	D	06/17/10	12/15/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 327		331	-4
(2) 350		318	32
(3) 978		958	20
(4) 987		1,136	-149
(5) 120		186	-66
(6) 80		86	-6
(7) 142		143	-1
(8) 94		92	2
(9) 123		138	-15
(10) 41		45	-4
(11) 492		608	-116
(12) 154		129	25
(13) 117		97	20
(14) 158		129	29
(15) 79		67	12

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-4
(2)			32
(3)			20
(4)			-149
(5)			-66
(6)			-6
(7)			-1
(8)			2
(9)			-15
(10)			-4
(11)			-116
(12)			25
(13)			20
(14)			29
(15)			12

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
--	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) AMERICAN ELECTRIC POWER 3 SHARES	D	06/17/10	12/20/11
(2) AMERICAN ELECTRIC POWER 2 SHARES	D	06/17/10	12/27/11
(3) BANK NEW YORK MELLON 24 SHARES	D	10/27/09	05/12/11
(4) BANK OF AMERICA CORP 18 SHARES	D	05/01/09	08/08/11
(5) BANK OF AMERICA CORP 10 SHARES	D	05/08/09	08/08/11
(6) BANK OF AMERICA CORP 41 SHARES	D	06/02/09	08/08/11
(7) BANK OF AMERICA CORP 8 SHARES	D	10/21/09	08/08/11
(8) BANK OF AMERICA CORP 15 SHARES	D	10/21/09	08/09/11
(9) BANK OF AMERICA CORP 21 SHARES	D	10/21/09	10/28/11
(10) BANK OF AMERICA CORP 15 SHARES	D	10/21/09	11/11/11
(11) BANK OF AMERICA CORP 22 SHARES	D	10/21/09	11/22/11
(12) BANK OF AMERICA CORP 8 SHARES	D	10/22/09	11/22/11
(13) BANK OF AMERICA CORP 14 SHARES	D	10/26/09	11/22/11
(14) BANK OF AMERICA CORP 46 SHARES	D	12/07/09	11/22/11
(15) BAXTER INTERNATIONAL INC 1 SHARES	D	10/21/09	04/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 120		101	19
(2) 83		67	16
(3) 687		665	22
(4) 124		158	-34
(5) 69		139	-70
(6) 283		468	-185
(7) 55		135	-80
(8) 103		253	-150
(9) 150		354	-204
(10) 93		253	-160
(11) 119		371	-252
(12) 43		132	-89
(13) 75		220	-145
(14) 248		734	-486
(15) 54		56	-2

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			19
(2)			16
(3)			22
(4)			-34
(5)			-70
(6)			-185
(7)			-80
(8)			-150
(9)			-204
(10)			-160
(11)			-252
(12)			-89
(13)			-145
(14)			-486
(15)			-2

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) BAXTER INTERNATIONAL INC 2 SHARES	D	10/23/09	04/08/11
(2) BOEING CO 1 SHARES	D	10/26/09	10/28/11
(3) BOEING CO 1 SHARES	D	11/18/09	10/28/11
(4) BOEING CO 2 SHARES	D	11/18/09	11/30/11
(5) BOEING CO 6 SHARES	D	11/18/09	12/16/11
(6) BOEING CO 2 SHARES	D	11/18/09	12/20/11
(7) BOEING CO 1 SHARES	D	05/24/10	12/20/11
(8) COMCAST CORP NEW CL A 13 SHARES	D	06/22/10	07/15/11
(9) COMCAST CORP NEW CL A 48 SHARES	D	07/02/10	07/15/11
(10) CVS CAREMARK CORP 3 SHARES	D	03/04/10	08/09/11
(11) CVS CAREMARK CORP 16 SHARES	D	03/04/10	10/06/11
(12) CVS CAREMARK CORP 21 SHARES	D	05/07/10	10/06/11
(13) DISH NETWORK CORP CL A 18 SHARES	D	10/21/09	10/07/11
(14) DISH NETWORK CORP CL A 10 SHARES	D	10/26/09	10/07/11
(15) DISH NETWORK CORP CL A 32 SHARES	D	10/28/09	10/07/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 108		110	-2
(2) 68		48	20
(3) 68		52	16
(4) 136		105	31
(5) 426		314	112
(6) 145		105	40
(7) 73		64	9
(8) 313		238	75
(9) 1,154		838	316
(10) 98		105	-7
(11) 536		558	-22
(12) 704		731	-27
(13) 467		340	127
(14) 260		177	83
(15) 831		562	269

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			-2
(2)			20
(3)			16
(4)			31
(5)			112
(6)			40
(7)			9
(8)			75
(9)			316
(10)			-7
(11)			-22
(12)			-27
(13)			127
(14)			83
(15)			269

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) DISH NETWORK CORP CL A 1 SHARES	D	10/28/09	11/11/11
(2) DOW CHEMICAL COMPANY 6 SHARES	D	10/21/09	05/17/11
(3) DOW CHEMICAL COMPANY 8 SHARES	D	10/26/09	05/17/11
(4) DOW CHEMICAL COMPANY 22 SHARES	D	12/10/09	05/17/11
(5) EMC CORP 6 SHARES	D	07/23/10	11/11/11
(6) EMC CORP 5 SHARES	D	07/23/10	11/30/11
(7) EMC CORP 9 SHARES	D	07/23/10	12/20/11
(8) ENTERGY CORP NEW 7 SHARES	D	07/16/07	07/01/11
(9) ENTERGY CORP NEW 3 SHARES	D	02/26/08	07/01/11
(10) ENTERGY CORP NEW 7 SHARES	D	10/21/09	08/02/11
(11) ENTERGY CORP NEW 1 SHARES	D	10/21/09	07/01/11
(12) ENTERGY CORP NEW 2 SHARES	D	10/23/09	08/02/11
(13) EVEREST RE GROUP LTD 1 SHARES	D	04/24/08	11/30/11
(14) EVEREST RE GROUP LTD 2 SHARES	D	04/24/08	10/28/11
(15) EVEREST RE GROUP LTD 1 SHARES	D	01/21/09	11/30/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 25		18	7
(2) 223		156	67
(3) 298		201	97
(4) 818		587	231
(5) 148		120	28
(6) 116		100	16
(7) 202		180	22
(8) 478		763	-285
(9) 205		311	-106
(10) 462		567	-105
(11) 68		81	-13
(12) 132		159	-27
(13) 86		92	-6
(14) 179		183	-4
(15) 86		69	17

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			7
(2)			67
(3)			97
(4)			231
(5)			28
(6)			16
(7)			22
(8)			-285
(9)			-106
(10)			-105
(11)			-13
(12)			-27
(13)			-6
(14)			-4
(15)			17

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) FORD MOTOR COMPANY 9 SHARES	D	10/27/09	11/11/11
(2) FORD MOTOR COMPANY 72 SHARES	D	10/27/09	12/15/11
(3) FRANKLIN RESOURCES 2 SHARES	D	10/21/09	10/28/11
(4) GENERAL ELECTRIC COMPANY 15 SHARES	D	12/18/09	08/08/11
(5) GENERAL ELECTRIC COMPANY 11 SHARES	D	12/18/09	11/10/11
(6) GENERAL ELECTRIC COMPANY 6 SHARES	D	12/18/09	11/21/11
(7) GENERAL ELECTRIC COMPANY 7 SHARES	D	12/18/09	11/30/11
(8) GENERAL ELECTRIC COMPANY 1 SHARES	D	12/18/09	12/20/11
(9) GENERAL ELECTRIC COMPANY 23 SHARES	D	02/19/10	12/20/11
(10) GENERAL ELECTRIC COMPANY 7 SHARES	D	02/19/10	12/27/11
(11) GENL MILLS INC 5 SHARES	D	01/22/10	08/08/11
(12) GENL MILLS INC 3 SHARES	D	01/22/10	11/21/11
(13) GENL MILLS INC 2 SHARES	D	01/22/10	12/20/11
(14) GENL MILLS INC 1 SHARES	D	04/30/10	12/20/11
(15) GENL MILLS INC 4 SHARES	D	04/30/10	12/27/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 100		67	33
(2) 738		534	204
(3) 222		229	-7
(4) 239		238	1
(5) 177		174	3
(6) 92		95	-3
(7) 110		111	-1
(8) 17		16	1
(9) 397		371	26
(10) 127		113	14
(11) 182		178	4
(12) 115		107	8
(13) 78		71	7
(14) 39		36	3
(15) 163		143	20

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			33
(2)			204
(3)			-7
(4)			1
(5)			3
(6)			-3
(7)			-1
(8)			1
(9)			26
(10)			14
(11)			4
(12)			8
(13)			7
(14)			3
(15)			20

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) GOOGLE INC CL A 1 SHARES	D	03/31/10	09/14/11
(2) GOOGLE INC CL A 1 SHARES	D	03/31/10	12/09/11
(3) HARTFORD FINL SVCS GROUP 6 SHARES	D	03/26/10	11/11/11
(4) HARTFORD FINL SVCS GROUP 8 SHARES	D	03/26/10	12/20/11
(5) HONEYWELL INTERNATIONAL 2 SHARES	D	10/21/09	10/28/11
(6) HONEYWELL INTERNATIONAL 2 SHARES	D	10/21/09	11/11/11
(7) HONEYWELL INTERNATIONAL 3 SHARES	D	10/21/09	12/20/11
(8) HONEYWELL INTERNATIONAL 3 SHARES	D	10/26/09	12/20/11
(9) ILL TOOL WORKS INC 3 SHARES	D	08/19/10	11/21/11
(10) ILL TOOL WORKS INC 2 SHARES	D	08/19/10	12/27/11
(11) INVESCO PLC 4 SHARES	D	04/03/08	11/11/11
(12) INVESCO PLC 5 SHARES	D	04/03/08	12/20/11
(13) INVESCO PLC 1 SHARES	D	05/19/08	12/20/11
(14) JOHNSON CONTROLS INC 3 SHARES	D	10/21/09	10/28/11
(15) JOHNSON CONTROLS INC 3 SHARES	D	10/26/09	11/30/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 530		568	-38
(2) 622		568	54
(3) 106		169	-63
(4) 127		226	-99
(5) 108		75	33
(6) 111		75	36
(7) 163		113	50
(8) 163		113	50
(9) 131		127	4
(10) 96		84	12
(11) 81		105	-24
(12) 98		131	-33
(13) 20		28	-8
(14) 100		81	19
(15) 92		79	13

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than 0-) or Losses (from col. (h))
(1)			-38
(2)			54
(3)			-63
(4)			-99
(5)			33
(6)			36
(7)			50
(8)			50
(9)			4
(10)			12
(11)			-24
(12)			-33
(13)			-8
(14)			19
(15)			13

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) JOHNSON CONTROLS INC 2 SHARES	D	10/26/09	12/15/11
(2) JOHNSON CONTROLS INC 8 SHARES	D	05/18/10	12/15/11
(3) JOHNSON CONTROLS INC 3 SHARES	D	05/18/10	12/27/11
(4) JPMORGAN CHASE & CO 4 SHARES	D	10/31/07	08/08/11
(5) JPMORGAN CHASE & CO 6 SHARES	D	10/31/07	11/10/11
(6) JPMORGAN CHASE & CO 4 SHARES	D	10/31/07	11/21/11
(7) JPMORGAN CHASE & CO 1 SHARES	D	10/31/07	11/30/11
(8) JPMORGAN CHASE & CO 3 SHARES	D	11/14/08	11/30/11
(9) JPMORGAN CHASE & CO 7 SHARES	D	11/14/08	12/20/11
(10) JPMORGAN CHASE & CO 6 SHARES	D	09/30/09	12/20/11
(11) MARSH&MC LENNAN COS INC 4 SHARES	D	09/09/09	11/21/11
(12) MARSH&MC LENNAN COS INC 4 SHARES	D	09/09/09	12/27/11
(13) MERCK & CO INC NEW 6 SHARES	D	02/23/10	08/08/11
(14) MERCK & CO INC NEW 14 SHARES	D	02/23/10	10/12/11
(15) MERCK & CO INC NEW 14 SHARES	D	02/23/10	10/24/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 58		53	5
(2) 232		237	-5
(3) 93		89	4
(4) 143		188	-45
(5) 195		282	-87
(6) 119		188	-69
(7) 30		47	-17
(8) 90		108	-18
(9) 225		251	-26
(10) 193		264	-71
(11) 114		93	21
(12) 127		93	34
(13) 186		219	-33
(14) 454		511	-57
(15) 466		511	-45

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			5
(2)			-5
(3)			4
(4)			-45
(5)			-87
(6)			-69
(7)			-17
(8)			-18
(9)			-26
(10)			-71
(11)			21
(12)			34
(13)			-33
(14)			-57
(15)			-45

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.		Employer Identification Number 22-2809785
For calendar year 2011, or tax year beginning		, and ending

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) MERCK & CO INC NEW 10 SHARES	D	03/26/10	10/24/11
(2) MERCK & CO INC NEW 4 SHARES	D	03/26/10	11/10/11
(3) MERCK & CO INC NEW 5 SHARES	D	03/26/10	12/20/11
(4) MERCK & CO INC NEW 4 SHARES	D	06/28/10	12/20/11
(5) MERCK & CO INC NEW 3 SHARES	D	06/28/10	12/27/11
(6) NEWELL RUBBERMAID INC 7 SHARES	D	08/19/09	06/08/11
(7) NEWELL RUBBERMAID INC 18 SHARES	D	08/19/09	06/08/11
(8) NEWELL RUBBERMAID INC 6 SHARES	D	09/10/09	06/08/11
(9) NEWELL RUBBERMAID INC 21 SHARES	D	10/21/09	06/08/11
(10) NEWFIELD EXPL COMPANY 5 SHARES	D	07/29/09	04/06/11
(11) NEWFIELD EXPL COMPANY 2 SHARES	D	10/05/09	04/06/11
(12) NEWFIELD EXPL COMPANY 10 SHARES	D	10/21/09	04/28/11
(13) NEWFIELD EXPL COMPANY 1 SHARES	D	10/21/09	05/04/11
(14) NEWFIELD EXPL COMPANY 4 SHARES	D	10/22/09	05/04/11
(15) NEWFIELD EXPL COMPANY 8 SHARES	D	07/07/10	08/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 333		379	-46
(2) 139		152	-13
(3) 185		189	-4
(4) 148		143	5
(5) 113		107	6
(6) 101		95	6
(7) 259		245	14
(8) 86		92	-6
(9) 302		322	-20
(10) 379		186	193
(11) 151		87	64
(12) 699		496	203
(13) 66		50	16
(14) 264		188	76
(15) 417		398	19

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			-46
(2)			-13
(3)			-4
(4)			5
(5)			6
(6)			6
(7)			14
(8)			-6
(9)			-20
(10)			193
(11)			64
(12)			203
(13)			16
(14)			76
(15)			19

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) OCCIDENTAL PETE CORP 2 SHARES	D	10/21/09	05/25/11
(2) OCCIDENTAL PETE CORP 2 SHARES	D	10/22/09	05/25/11
(3) OCCIDENTAL PETE CORP 2 SHARES	D	10/23/09	05/25/11
(4) OCCIDENTAL PETE CORP 1 SHARES	D	10/26/09	05/25/11
(5) OCCIDENTAL PETE CORP 1 SHARES	D	10/26/09	08/19/11
(6) OCCIDENTAL PETE CORP 6 SHARES	D	05/03/10	08/19/11
(7) OCCIDENTAL PETE CORP 1 SHARES	D	05/27/10	08/19/11
(8) OCCIDENTAL PETE CORP 4 SHARES	D	05/27/10	09/30/11
(9) P G & E CORPORATION 11 SHARES	D	08/19/10	10/19/11
(10) P G & E CORPORATION 7 SHARES	D	10/12/10	10/19/11
(11) PEPSICO INCORPORATED 2 SHARES	D	05/07/10	06/22/11
(12) PEPSICO INCORPORATED 12 SHARES	D	07/01/10	07/08/11
(13) PEPSICO INCORPORATED 3 SHARES	D	07/01/10	08/09/11
(14) PG&E CORPORATION 4 SHARES.	D	10/12/10	11/21/11
(15) PG&E CORPORATION 2 SHARES	D	10/12/10	12/20/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 205		168	37
(2) 205		168	37
(3) 205		165	40
(4) 103		81	22
(5) 82		81	1
(6) 491		543	-52
(7) 82		81	1
(8) 290		324	-34
(9) 471		495	-24
(10) 300		323	-23
(11) 138		129	9
(12) 834		732	102
(13) 185		183	2
(14) 153		185	-32
(15) 80		92	-12

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(1)			37
(2)			37
(3)			40
(4)			22
(5)			1
(6)			-52
(7)			1
(8)			-34
(9)			-24
(10)			-23
(11)			9
(12)			102
(13)			2
(14)			-32
(15)			-12

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
--	---

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
(1) PG&E CORPORATION 2 SHARES	D	10/12/10	12/27/11
(2) PRUDENTIAL FINANCIAL INC 3 SHARES	D	10/21/09	08/09/11
(3) PRUDENTIAL FINANCIAL INC 2 SHARES	D	10/21/09	11/11/11
(4) PRUDENTIAL FINANCIAL INC 1 SHARES	D	10/23/09	11/11/11
(5) PRUDENTIAL FINANCIAL INC 2 SHARES	D	10/23/09	12/20/11
(6) PRUDENTIAL FINANCIAL INC 3 SHARES	D	12/23/09	12/20/11
(7) SLM CORP 18 SHARES	D	08/22/08	05/17/11
(8) SLM CORP 28 SHARES	D	10/22/09	05/17/11
(9) SLM CORP 19 SHARES	D	01/19/10	05/17/11
(10) SLM CORP 10 SHARES	D	01/19/10	11/10/11
(11) SLM CORP 13 SHARES	D	01/19/10	12/20/11
(12) SPRINT NEXTEL CORP 24 SHARES	D	05/06/08	08/09/11
(13) SPRINT NEXTEL CORP 11 SHARES	D	06/03/08	08/09/11
(14) SPRINT NEXTEL CORP 5 SHARES	D	06/03/08	11/10/11
(15) SPRINT NEXTEL CORP 35 SHARES	D	06/12/08	11/10/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 83		92	-9
(2) 149		154	-5
(3) 109		102	7
(4) 54		50	4
(5) 98		100	-2
(6) 147		155	-8
(7) 290		254	36
(8) 452		306	146
(9) 306		211	95
(10) 136		111	25
(11) 176		144	32
(12) 77		208	-131
(13) 35		107	-72
(14) 14		49	-35
(15) 99		283	-184

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) OR Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)			-9
(2)			-5
(3)			7
(4)			4
(5)			-2
(6)			-8
(7)			36
(8)			146
(9)			95
(10)			25
(11)			32
(12)			-131
(13)			-72
(14)			-35
(15)			-184

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) SPRINT NEXTEL CORP 14 SHARES	D	06/12/08	12/20/11
(2) SPRINT NEXTEL CORP 73 SHARES	D	07/28/09	12/20/11
(3) STATE STR CORP 9 SHARES	D	12/15/09	08/16/11
(4) STATE STR CORP 10 SHARES	D	05/18/10	08/16/11
(5) STATE STR CORP 5 SHARES	D	05/18/10	09/26/11
(6) SUNTRUST BANKS INC 3 SHARES	D	02/03/10	08/10/11
(7) TEVA PHARMACEUTICAL ADR 4 SHARES	D	11/15/10	11/22/11
(8) TEVA PHARMACEUTICAL ADR 8 SHARES	D	11/15/10	12/14/11
(9) TEX INSTRUMENTS INC 25 SHARES	D	06/25/10	07/22/11
(10) TRAVELERS COS INC/ THE 2 SHARES	D	01/21/09	11/10/11
(11) TRAVELERS COS INC/ THE 2 SHARES	D	01/21/09	11/30/11
(12) TRAVELERS COS INC/ THE 1 SHARES	D	01/21/09	12/20/11
(13) TRAVELERS COS INC/ THE 3 SHARES	D	10/21/09	12/20/11
(14) UNILEVER N V F 3 SHARES	D	02/11/08	08/09/11
(15) UNILEVER N V F 4 SHARES	D	02/11/08	10/25/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 32		113	-81
(2) 169		331	-162
(3) 311		358	-47
(4) 346		409	-63
(5) 157		204	-47
(6) 56		70	-14
(7) 154		204	-50
(8) 330		407	-77
(9) 788		604	184
(10) 114		79	35
(11) 111		79	32
(12) 58		40	18
(13) 175		146	29
(14) 94		92	2
(15) 135		122	13

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-81
(2)			-162
(3)			-47
(4)			-63
(5)			-47
(6)			-14
(7)			-50
(8)			-77
(9)			184
(10)			35
(11)			32
(12)			18
(13)			29
(14)			2
(15)			13

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning _____, and ending _____		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) UNILEVER N V F 14 SHARES	D	10/21/09	10/25/11
(2) UNILEVER N V F 4 SHARES	D	10/21/09	11/10/11
(3) UNILEVER N V F 3 SHARES	D	10/21/09	11/22/11
(4) UNILEVER N V F 1 SHARES	D	10/22/09	11/22/11
(5) UNILEVER N V F 3 SHARES	D	10/22/09	12/20/11
(6) UNILEVER N V F 1 SHARES	D	10/26/09	12/20/11
(7) UNILEVER N V F 3 SHARES	D	10/26/09	12/27/11
(8) US BANCORP NEW 7 SHARES	D	06/18/10	08/08/11
(9) US BANCORP NEW 40 SHARES	D	06/18/10	10/27/11
(10) US BANCORP NEW 4 SHARES	D	06/18/10	11/22/11
(11) US BANCORP NEW 9 SHARES	D	06/18/10	12/20/11
(12) WELLPOINT INC 3 SHARES	D	06/06/08	10/12/11
(13) WELLPOINT INC 11 SHARES	D	09/03/08	10/12/11
(14) WELLPOINT INC 1 SHARES	D	09/03/08	10/14/11
(15) WELLPOINT INC 4 SHARES	D	10/21/09	10/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 474		437	37
(2) 132		125	7
(3) 99		94	5
(4) 33		31	2
(5) 100		94	6
(6) 33		31	2
(7) 102		92	10
(8) 160		164	-4
(9) 1,049		937	112
(10) 99		94	5
(11) 239		211	28
(12) 202		169	33
(13) 739		580	159
(14) 67		53	14
(15) 266		184	82

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			37
(2)			7
(3)			5
(4)			2
(5)			6
(6)			2
(7)			10
(8)			-4
(9)			112
(10)			5
(11)			28
(12)			33
(13)			159
(14)			14
(15)			82

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) WELLPOINT INC 9 SHARES	D	10/21/09	10/28/11
(2) WELLPOINT INC 4 SHARES	D	10/26/09	12/16/11
(3) AMERICAN WATER WORKS CO 24 SHARES	D	08/11/10	08/23/11
(4) AMERICAN WATER WORKS CO 2 SHARES	D	08/12/10	08/23/11
(5) AMERICAN WATER WORKS CO 27 SHARES	D	08/12/10	10/03/11
(6) AMERICAN WATER WORKS CO 6 SHARES	D	08/20/10	12/20/11
(7) AMERISOURCEBERGEN CORP 3 SHARES	D	03/18/10	04/29/11
(8) AMERISOURCEBERGEN CORP 12 SHARES	D	04/08/10	04/29/11
(9) AMERISOURCEBERGEN CORP 7 SHARES	D	04/16/10	04/29/11
(10) AMERISOURCEBERGEN CORP 6 SHARES	D	04/16/10	05/10/11
(11) ATLAS AIR WORLDWIDE HLDG 7 SHARES	D	09/07/10	09/27/11
(12) ATMEL CORP 59 SHARES	D	10/05/10	12/02/11
(13) ATMEL CORP 36 SHARES	D	10/06/10	12/02/11
(14) ATMEL CORP 32 SHARES	D	10/06/10	12/02/11
(15) ATMEL CORP 8 SHARES	D	10/11/10	12/02/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 619		414	205
(2) 259		180	79
(3) 668		542	126
(4) 56		45	11
(5) 792		610	182
(6) 189		132	57
(7) 121		86	35
(8) 485		350	135
(9) 283		207	76
(10) 254		177	77
(11) 269		333	-64
(12) 524		500	24
(13) 319		297	22
(14) 284		259	25
(15) 71		68	3

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			205
(2)			79
(3)			126
(4)			11
(5)			182
(6)			57
(7)			35
(8)			135
(9)			76
(10)			77
(11)			-64
(12)			24
(13)			22
(14)			25
(15)			3

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2011
		For calendar year 2011, or tax year beginning		, and ending
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.				Employer Identification Number 22-2809785
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1)	ATMEL CORP 30 SHARES	D	10/11/10	12/13/11
(2)	ATMEL CORP 24 SHARES	D	11/17/10	12/13/11
(3)	BIOMED REALTY TRUST INC 13 SHARES	D	04/19/10	04/25/11
(4)	BIOMED REALTY TRUST INC 20 SHARES	D	04/22/10	04/25/11
(5)	BIOMED REALTY TRUST INC 4 SHARES	D	07/29/10	12/20/11
(6)	BIOMED REALTY TRUST INC 9 SHARES	D	08/02/10	12/20/11
(7)	CBL & ASSOC PPTYS INC 30 SHARES	D	12/06/10	12/20/11
(8)	CMS ENERGY CORP 14 SHARES	D	01/23/09	08/19/11
(9)	CMS ENERGY CORP 18 SHARES	D	02/09/09	08/19/11
(10)	CMS ENERGY CORP 15 SHARES	D	03/02/09	08/19/11
(11)	CMS ENERGY CORP 3 SHARES	D	03/02/09	11/11/11
(12)	CMS ENERGY CORP 6 SHARES	D	03/20/09	11/11/11
(13)	CMS ENERGY CORP 16 SHARES	D	03/20/09	12/20/11
(14)	DISCOVER FINANCIAL 26 SHARES	D	10/22/09	08/31/11
(15)	DUPONT FABROS TECHNOLOGY 11 SHARES	D	05/19/10	11/07/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 247		254	-7
(2) 197		234	-37
(3) 244		234	10
(4) 375		369	6
(5) 74		73	1
(6) 166		166	
(7) 476		524	-48
(8) 265		164	101
(9) 341		216	125
(10) 284		167	117
(11) 63		33	30
(12) 126		72	54
(13) 340		192	148
(14) 653		390	263
(15) 248		256	-8

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			-7
(2)			-37
(3)			10
(4)			6
(5)			1
(6)			
(7)			-48
(8)			101
(9)			125
(10)			117
(11)			30
(12)			54
(13)			148
(14)			263
(15)			-8

Form 990-PF		Capital Gains and Losses for Tax on Investment Income		2011
		For calendar year 2011, or tax year beginning _____, and ending _____		
Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.			Employer Identification Number 22-2809785	
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1)	DUPONT FABROS TECHNOLOGY 1 SHARES	D	05/21/10	11/07/11
(2)	DUPONT FABROS TECHNOLOGY 8 SHARES	D	05/21/10	12/20/11
(3)	EASTMAN CHEMICAL COMPANY 10 SHARES	D	03/25/10	06/29/11
(4)	FIFTH THIRD BANCORP 18 SHARES	D	01/21/10	11/11/11
(5)	FIRST NIAGARA FINL GRP 9 SHARES	D	07/17/09	10/21/11
(6)	FIRST NIAGARA FINL GRP 20 SHARES	D	09/21/09	10/21/11
(7)	FIRST NIAGARA FINL GRP 53 SHARES	D	10/22/09	10/21/11
(8)	FIRST NIAGARA FINL GRP 20 SHARES	D	11/05/09	10/26/11
(9)	FIRST NIAGARA FINL GRP 42 SHARES	D	07/23/10	10/26/11
(10)	FIRST NIAGARA FINL GRP 86 SHARES	D	10/21/10	10/26/11
(11)	HARTFORD FINL SVCS GROUP 30 SHARES	D	03/18/10	08/24/11
(12)	HARTFORD FINL SVCS GROUP 14 SHARES	D	03/19/10	08/24/11
(13)	HARTFORD FINL SVCS GROUP 10 SHARES	D	04/15/10	08/24/11
(14)	HARTFORD FINL SVCS GROUP 9 SHARES	D	04/20/10	08/24/11
(15)	HARTFORD FINL SVCS GROUP 4 SHARES	D	04/20/10	11/03/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 23		23	
(2) 192		188	4
(3) 997		636	361
(4) 221		218	3
(5) 81		106	-25
(6) 181		261	-80
(7) 479		696	-217
(8) 172		253	-81
(9) 362		560	-198
(10) 741		1,038	-297
(11) 508		837	-329
(12) 237		378	-141
(13) 169		280	-111
(14) 153		255	-102
(15) 70		114	-44

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
(1)			
(2)			4
(3)			361
(4)			3
(5)			-25
(6)			-80
(7)			-217
(8)			-81
(9)			-198
(10)			-297
(11)			-329
(12)			-141
(13)			-111
(14)			-102
(15)			-44

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) HARTFORD FINL SVCS GROUP 29 SHARES	D	05/11/10	11/03/11
(2) HARTFORD FINL SVCS GROUP 9 SHARES	D	05/18/10	11/03/11
(3) HEALTH NET INC 3 SHARES	D	06/07/10	11/11/11
(4) HEALTH NET INC 7 SHARES	D	06/07/10	11/11/11
(5) HEALTH NET INC 9 SHARES	D	06/07/10	12/20/11
(6) HOME PROPERTIES INC 17 SHARES	D	11/10/09	04/27/11
(7) HOME PROPERTIES INC 6 SHARES	D	11/10/09	05/10/11
(8) HOME PROPERTIES INC 1 SHARES	D	01/27/10	05/10/11
(9) HOME PROPERTIES INC 4 SHARES	D	01/27/10	05/13/11
(10) HOME PROPERTIES INC 4 SHARES	D	01/27/10	08/31/11
(11) HOME PROPERTIES INC 5 SHARES	D	02/04/10	08/31/11
(12) HOME PROPERTIES INC 1 SHARES	D	04/21/10	08/31/11
(13) HOME PROPERTIES INC 5 SHARES	D	04/21/10	12/20/11
(14) HOME PROPERTIES INC 1 SHARES	D	06/30/10	12/20/11
(15) HUNTSMAN CORP 3 SHARES	D	11/22/10	12/23/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 508		780	-272
(2) 158		237	-79
(3) 89		75	14
(4) 207		178	29
(5) 268		229	39
(6) 1,059		717	342
(7) 372		253	119
(8) 62		44	18
(9) 240		174	66
(10) 265		174	91
(11) 331		224	107
(12) 66		49	17
(13) 287		244	43
(14) 57		47	10
(15) 29		41	-12

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			-272
(2)			-79
(3)			14
(4)			29
(5)			39
(6)			342
(7)			119
(8)			18
(9)			66
(10)			91
(11)			107
(12)			17
(13)			43
(14)			10
(15)			-12

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) HUNTSMAN CORP 26 SHARES	D	11/29/10	12/23/11
(2) HUNTSMAN CORP 23 SHARES	D	12/07/10	12/23/11
(3) INVESCO PLC 1 SHARES	D	09/16/10	11/11/11
(4) INVESCO PLC 9 SHARES	D	09/20/10	11/11/11
(5) KEYCORP NEW 31 SHARES	D	04/07/10	11/11/11
(6) KEYCORP NEW 24 SHARES	D	04/07/10	12/20/11
(7) KEYCORP NEW 10 SHARES	D	04/13/10	12/20/11
(8) LEAR CORP 8 SHARES	D	02/11/10	07/07/11
(9) LEAR CORP 8 SHARES	D	02/12/10	07/07/11
(10) LEAR CORP 9 SHARES	D	03/18/10	07/07/11
(11) LEAR CORP 6 SHARES	D	03/18/10	11/11/11
(12) LINCOLN NATL CORP IND 12 SHARES	D	09/08/10	11/11/11
(13) MACY'S INC 10 SHARES	D	11/23/10	11/30/11
(14) MACY'S INC 9 SHARES	D	12/07/10	12/23/11
(15) NEWFIELD EXPL COMPANY 4 SHARES	D	11/06/09	04/07/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 254		368	-114
(2) 225		381	-156
(3) 20		21	-1
(4) 183		193	-10
(5) 231		266	-35
(6) 176		206	-30
(7) 74		81	-7
(8) 434		283	151
(9) 434		281	153
(10) 488		340	148
(11) 264		227	37
(12) 249		305	-56
(13) 319		258	61
(14) 289		232	57
(15) 299		171	128

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			-114
(2)			-156
(3)			-1
(4)			-10
(5)			-35
(6)			-30
(7)			-7
(8)			151
(9)			153
(10)			148
(11)			37
(12)			-56
(13)			61
(14)			57
(15)			128

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) NEWFIELD EXPL COMPANY 4 SHARES	D	12/11/09	04/07/11
(2) NEWFIELD EXPL COMPANY 6 SHARES	D	12/11/09	04/15/11
(3) NISOURCE INC 18 SHARES	D	07/16/10	08/31/11
(4) NISOURCE INC 9 SHARES	D	07/22/10	08/31/11
(5) NISOURCE INC 11 SHARES	D	07/22/10	11/30/11
(6) NU SKIN ENTERPRISES INC 12 SHARES	D	06/04/10	11/03/11
(7) OIL STATES INTL INC 1 SHARES	D	11/10/09	04/28/11
(8) OIL STATES INTL INC 6 SHARES	D	12/18/09	04/28/11
(9) OIL STATES INTL INC 2 SHARES	D	12/18/09	11/07/11
(10) OIL STATES INTL INC 8 SHARES	D	06/23/10	11/07/11
(11) PENTAIR INC 7 SHARES	D	04/12/10	07/06/11
(12) PENTAIR INC 9 SHARES	D	04/15/10	07/06/11
(13) PENTAIR INC 1 SHARES	D	04/15/10	11/11/11
(14) PENTAIR INC 4 SHARES	D	04/20/10	11/11/11
(15) PENTAIR INC 6 SHARES	D	04/20/10	12/14/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 299		165	134
(2) 424		247	177
(3) 385		284	101
(4) 192		146	46
(5) 248		178	70
(6) 609		325	284
(7) 81		37	44
(8) 488		230	258
(9) 147		77	70
(10) 588		336	252
(11) 290		253	37
(12) 372		331	41
(13) 38		37	1
(14) 151		148	3
(15) 206		222	-16

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(1)			134
(2)			177
(3)			101
(4)			46
(5)			70
(6)			284
(7)			44
(8)			258
(9)			70
(10)			252
(11)			37
(12)			41
(13)			1
(14)			3
(15)			-16

Form 990-PF	Capital Gains and Losses for Tax on Investment Income	2011
For calendar year 2011, or tax year beginning , and ending		

Name NEIL JANE WILLIAM ESTELLE GOLUB FAMILY FOUNDATION, INC.	Employer Identification Number 22-2809785
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(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) PENTAIR INC 13 SHARES	D	04/28/10	12/14/11
(2) PENTAIR INC 4 SHARES	D	04/29/10	12/14/11
(3) PENTAIR INC 7 SHARES	D	05/05/10	12/14/11
(4) PENTAIR INC 18 SHARES	D	10/27/10	12/14/11
(5) PHILLIPS-VAN HEUSEN CORP 1 SHARES	D	11/06/09	04/21/11
(6) PVH CORP 12 SHARES	D	07/09/10	10/12/11
(7) PVH CORP 5 SHARES	D	07/09/10	10/14/11
(8) PVH CORP 6 SHARES	D	07/09/10	11/03/11
(9) QUESTAR CORP 8 SHARES	D	12/18/09	05/11/11
(10) QUESTAR CORP 10 SHARES	D	02/16/10	05/11/11
(11) QUESTAR CORP 8 SHARES	D	02/17/10	05/17/11
(12) RAYMOND JAMES FINANCIAL 10 SHARES	D	11/22/10	12/20/11
(13) REYNOLDS AMERICAN INC 4 SHARES	D	06/23/10	09/19/11
(14) REYNOLDS AMERICAN INC 16 SHARES	D	06/29/10	09/19/11
(15) REYNOLDS AMERICAN INC 2 SHARES	D	08/20/10	09/19/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 447		475	-28
(2) 138		149	-11
(3) 241		242	-1
(4) 619		583	36
(5) 68		42	26
(6) 794		573	221
(7) 337		239	98
(8) 446		287	159
(9) 135		109	26
(10) 169		136	33
(11) 135		110	25
(12) 303		295	8
(13) 150		104	46
(14) 598		420	178
(15) 75		57	18

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)			-28
(2)			-11
(3)			-1
(4)			36
(5)			26
(6)			221
(7)			98
(8)			159
(9)			26
(10)			33
(11)			25
(12)			8
(13)			46
(14)			178
(15)			18

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) REYNOLDS AMERICAN INC 6 SHARES	D	08/20/10	09/29/11
(2) SYMANTEC CORP 47 SHARES	D	10/28/10	12/22/11
(3) SYMANTEC CORP 18 SHARES	D	11/02/10	12/22/11
(4) SYMANTEC CORP 20 SHARES	D	11/03/10	12/22/11
(5) THE HAIN CELESTIAL GROUP 5 SHARES	D	09/08/10	12/20/11
(6) UNITED STATIONERS INC 12 SHARES	D	02/17/10	08/03/11
(7) UNITED STATIONERS INC 14 SHARES	D	08/02/10	08/03/11
(8) VIACOM INC CL B 8 SHARES	D	02/01/10	04/13/11
(9) VORNADO REALTY TR 1 SHARES	D	08/12/10	08/22/11
(10) WHITING PETRO CORP 11 SHARES	D	10/22/09	04/14/11
(11) WHITING PETRO CORP 4 SHARES	D	10/28/09	04/14/11
(12) WILLIAMS SONOMA INC 15 SHARES	D	01/12/10	08/22/11
(13) WILLIAMS SONOMA INC 13 SHARES	D	01/15/10	08/22/11
(14) WYNDHAM WORLDWIDE CORP 8 SHARES	D	12/23/09	07/13/11
(15) WYNDHAM WORLDWIDE CORP 7 SHARES	D	01/26/10	07/13/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 220		170	50
(2) 721		773	-52
(3) 276		300	-24
(4) 307		327	-20
(5) 188		123	65
(6) 367		342	25
(7) 428		382	46
(8) 379		235	144
(9) 80		81	-1
(10) 755		336	419
(11) 275		112	163
(12) 445		336	109
(13) 386		271	115
(14) 273		168	105
(15) 239		153	86

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			50
(2)			-52
(3)			-24
(4)			-20
(5)			65
(6)			25
(7)			46
(8)			144
(9)			-1
(10)			419
(11)			163
(12)			109
(13)			115
(14)			105
(15)			86

Capital Gains and Losses for Tax on Investment IncomeForm **990-PF****2011**

For calendar year 2011, or tax year beginning

, and ending

Name

**NEIL JANE WILLIAM ESTELLE GOLUB
FAMILY FOUNDATION, INC.**

Employer Identification Number

22-2809785

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
(1) WYNDHAM WORLDWIDE CORP 10 SHARES	D	01/27/10	07/13/11
(2) WYNDHAM WORLDWIDE CORP 5 SHARES	D	01/27/10	07/15/11
(3) WYNDHAM WORLDWIDE CORP 7 SHARES	D	02/05/10	07/15/11
(4) WYNDHAM WORLDWIDE CORP 2 SHARES	D	02/05/10	12/20/11
(5) XEROX CORP 113 SHARES	D	10/28/09	09/12/11
(6) XEROX CORP 27 SHARES	D	01/04/10	09/12/11
(7) XEROX CORP 46 SHARES	D	04/27/10	09/12/11
(8) XEROX CORP 8 SHARES	D	05/05/10	09/12/11
(9) XEROX CORP 19 SHARES	D	05/05/10	09/26/11
(10) XEROX CORP 36 SHARES	D	08/10/10	09/26/11
(11) XL GROUP PLC SHS 10 SHARES	D	12/28/09	11/11/11
(12)			
(13)			
(14)			
(15)			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1) 341		210	131
(2) 166		105	61
(3) 232		144	88
(4) 72		41	31
(5) 825		862	-37
(6) 197		231	-34
(7) 336		506	-170
(8) 58		84	-26
(9) 137		200	-63
(10) 260		348	-88
(11) 213		190	23
(12)			
(13)			
(14)			
(15)			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) OR Losses (from col. (h))
(1)			131
(2)			61
(3)			88
(4)			31
(5)			-37
(6)			-34
(7)			-170
(8)			-26
(9)			-63
(10)			-88
(11)			23
(12)			
(13)			
(14)			
(15)			

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
	\$ 6,011	\$ 6,011	\$
Total	\$ 6,011	\$ 6,011	\$ 0

Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
LEGAL FEES	\$	\$	\$	\$
Total	\$ 0	\$ 0	\$ 0	\$ 0

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
MERRIL LYNCH KARPUS	\$ 3,309	\$ 3,309	\$	\$ 3,309
FENIMORE ASSET MANAGEMENT	72,078	72,078		72,078
GOLDMAN SACHS	13,068	13,068		13,068
LEGG MASON PRIVATE PORTFOLIO GRO	42,274	42,274		42,274
WENTWORTH HAUSER & VIOLICH	1,765	1,765		1,765
NFJ INVESTMENT GROUP	1,530	1,530		1,530
DREMAN VALUE MANAGEMENT LLC	757	757		757
MACKAY SHIELDS LLC	1,400	1,400		1,400
SYSTEMATIC FINANCIAL MANAGEMENT	1,331	1,331		1,331
	1,795	1,795		1,795
Total	\$ 139,307	\$ 139,307	\$ 0	\$ 139,307

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
SBC Communications GLB 10000 SHARES	\$ 8,905		Cost	\$
Torchmark Corp 5000 SHARES	5,614		Cost	
EOG Resources Inc 400 SHARES	27,452		Cost	
Donaldson Co Inc 1100 SHARES	32,614		Cost	
Forward Air 1400 SHARES	44,470		Cost	
Heartland Express 2800 SHARES	40,450		Cost	
Illinois Tool Works 1200 SHARES	64,201		Cost	
Bed Bath and Beyond 1200 SHARES	42,722		Cost	
Carmax 1700 SHARES	19,383		Cost	
Meredith Corp 1250 SHARES	63,520		Cost	
Mohawk Industries 850 SHARES	64,347		Cost	
Ross Stores Inc 1000 SHARES	22,565		Cost	
Johnson & Johnson 675 SHARES	41,929		Cost	
Mednax Inc 1000 SHARES	30,387		Cost	
Berkshire Hathaway B 750 SHARES	44,610		Cost	
Brookfield Asset Management 2000 SHA	59,574		Cost	
Brown & Brown Inc 2500 SHARES	69,781		Cost	
Markel Corp 150 SHARES	61,123		Cost	
Protective Life Corp 2500 SHARES	63,610		Cost	
TCF Financial 1800 SHARES	44,820		Cost	
White Mtns Insurance 200 SHARES	83,421		Cost	
Digital River 1600 SHARES	42,177		Cost	
Zebra Technologies A 2050 SHARES	65,664		Cost	
Golub Corporation 57173 SHARES	643,190		Cost	
JP Morgan Chase 80000 SHARES	83,980		Cost	
SBC Communications GLB 10000 shares	8,905	8,905	Market	11,472
Torchmark Corp 5000 shares	5,559	5,559	Market	6,142
JP Morgan Chase 80000 shares	83,980	83,980	Market	84,721
Golub Corporation 57133 shares	643,190	643,190	Market	5,931,699
EOG Resources Inc. 400 shares	27,452	27,452	Market	39,404
Ultra Petroleum Corp 1550 shares	70,508	70,508	Market	45,927
Donaldson Co., Inc. 800 shares	23,719	23,719	Market	54,464
Forward Air 1400 shares	44,470	44,470	Market	44,870
Heartland Express 2800 shares	40,450	40,450	Market	40,012
Illinois Tool Works 1200 shares	64,202	64,202	Market	56,052
Knight Transportation Inc. 4100 shar	59,650	59,650	Market	64,124
Bed Bath and Beyond 1200 shares	42,722	42,722	Market	69,564

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Carmax 1700 shares	\$	19,383	Market	\$ 51,816
Mohawk Industries 850 shares		64,347	Market	50,873
Ross Stores Inc. 2000 shares		22,565	Market	95,060
Johnson & Johnson 675 shares		41,929	Market	44,267
Mednax Inc. 1000 shares		30,387	Market	72,010
Waters Corp. 800 shares		62,778	Market	59,240
Berkshire Hathaway B 750 shares		44,610	Market	57,225
Brookfield Asset Management 2000 sha		59,574	Market	54,960
Brown & Brown Inc. 2500 shares		69,781	Market	56,575
Markel Corp 150 shares		61,123	Market	62,201
Protective Life Corp. 2500 shares		63,610	Market	56,400
TCF Financial 1800 shares		44,820	Market	18,576
White Mtns Insurance 200 shares		83,421	Market	90,692
Microchip Technology Inc. 1150 share		34,682	Market	42,124
Zebra Technologies 1600 shares		50,459	Market	57,248
AMC NETWORKS INC 50 shares		1,029	Market	1,879
ANDARKO PETROLEUM 115 shares		5,544	Market	8,778
AUTODESK INC 145 shares		4,784	Market	4,398
BIOGEN IDEC INC 80 shares		3,698	Market	8,804
BROADCOM CORP 125 shares		3,784	Market	3,670
CABLEVISION SYSTEMS 190 shares		2,577	Market	2,702
COMCAST CORP 510 shares		10,363	Market	12,016
COVIDIEN PLC 55 shares		2,104	Market	2,476
CREE INC 40 shares		1,667	Market	882
DIRECTV 115 shares		2,433	Market	4,917
DOLBY LABORATORIES INC 90 shares		3,188	Market	2,746
FLUOR CORP 80 shares		3,610	Market	4,020
FOREST LABS 190 shares		8,006	Market	5,749
FREEPORT-MCMORAN COPPER 85 shares		1,835	Market	3,127
HUMAN GENOME SCIENCES INC 105 shares		2,237	Market	776
IMMUNOGEN INC 115 shares		1,340	Market	1,332
ISIS PHARMACEUTICALS 125 shares		1,141	Market	901
L-3 COMMUNICATIONS HLDGS 65 shares		5,082	Market	4,334
LIBERTY INTERACTIVE CORP 190 shares		4,000	Market	3,081
LIBERTY MEDIA CORP 60 shares		1,089	Market	4,683
NATIONAL OILWELL VARCO INC 60 shares		3,516	Market	4,079
NUCOR 90 shares		3,651	Market	3,561

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
PALL CORP 115 shares	\$	3,809	Market	\$ 6,572
SANDISK CORP 105 shares		1,900	Market	5,167
SEAGATE TECH PLC 310 shares		6,554	Market	5,084
TE CONNECTIVITY LTD 200 shares		6,200	Market	6,162
TYCO INTERNATIONAL LTD 160 shares		7,412	Market	7,474
UNITED HEALTH GROUP INC 165 shares		4,603	Market	8,362
VERTEX PHARMACEUTICALS 60 shares		1,878	Market	1,993
WEATHRFORD INTERNATIONAL 345 shares		8,079	Market	5,051
AXA -ADR 80 shares		2,074	Market	1,029
BASF SE SPONSORED ADR 40 shares		1,496	Market	2,789
BHP BILLITON LTD 80 shares		2,944	Market	5,650
BRITISH AMERICAN TOB PLC 40 shares		2,393	Market	3,795
BROOKFIELD ASSET MGMT 60 shares		1,362	Market	1,649
CANADIAN NATL RESOURCES 100 shares		3,864	Market	3,737
CANADIAN NATL RY CO 55 shares		2,342	Market	4,321
CANADIAN PACIFIC RAILWAY 75 shares		4,406	Market	5,075
COOPER INDUSTRIES PLC 75 shares		3,167	Market	4,061
CORE LABORATORIES INC 15 shares		800	Market	1,709
DIAGEO PLC SPONSORED 45 shares		3,677	Market	3,934
ENSIGN ENERGY SERVICE 45 shares		885	Market	716
FINNING INTL INC 10 shares		273	Market	216
INGERSOLL-RAND PLC 85 shares		3,193	Market	2,590
MANULIFE CORP 110 shares		2,410	Market	1,168
NABORS INDUSTRIES LTD 250 shares		7,711	Market	4,335
NESTLE S A REG ADR 100 shares		3,811	Market	5,771
NOBLE CORP BAAR 175 shares		7,257	Market	5,288
NOVARTIS AG SPON ADR 50 shares		2,709	Market	2,858
PARTNERRE LTD 20 shares		1,360	Market	1,284
POTASH CORP OF SASKATCH 150 shares		1,337	Market	6,192
RIO TINTO PLC SPONSORED 120 shares		5,952	Market	5,870
SCHLUMBERGER LTD 100 shares		6,062	Market	6,831
SUNCOR ENERGY INC NEW 170 shares		6,494	Market	4,901
TALISMAN ENERGY INC 100 shares		1,787	Market	1,275
TENARIS S A SPONSORED 140 shares		4,461	Market	5,205
TRANSOCEAN LTD ORDINARY 100 shares		9,995	Market	3,839
UBS AG - REG 160 shares		4,228	Market	1,893
UNILEVER N V ADR 110 shares		3,859	Market	3,781

Federal Statements

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
VALE S A ADR 220 shares	\$	2,587	Market	\$ 4,719
WEATHERFORD INTL LTD REG 370 shares		7,981	Market	5,417
YARA INTL ASA 10 shares		144	Market	398
AGRIUM INC 24 shares		1,573	Market	1,611
ASAHI GLASS ADR 90 shares		964	Market	743
ASML HOLDINGS NV-NY 22 shares		810	Market	919
ASTRAZENECA PLC 58 shares		2,689	Market	2,685
AUSTRALIA & NEW ZEALAND 85 shares		1,883	Market	1,770
AXIS CAPITAL HLDGS LTD 65 shares		2,027	Market	2,077
BAE SYSTEMS PLACE 102 shares		2,197	Market	1,801
BANCO BRADESCO 109 shares		2,076	Market	1,818
BARCLAYS PLC 79 shares		1,325	Market	868
CAPGEMINI SA 45 shares		868	Market	707
CATHAY PAC AWYS LTD 215 shares		2,560	Market	1,838
CHINA PETROLEUM & CHEM 10 shares		1,021	Market	1,050
CIA SANEAMENTO BASICO 70 shares		3,169	Market	3,895
COMPANHIA PARANAENSE DE 135 shares		2,783	Market	2,832
CREDIT SUISSE GROUP 63 shares		2,520	Market	1,479
DELHAIZE GROUP 29 shares		2,148	Market	1,634
DIAGEO PLC 23 shares		1,585	Market	2,011
FRANCE TELECOM SPON ADR 146 shares		3,595	Market	2,286
FUJI HEAVY INDS LTD ADR 150 shares		1,986	Market	1,806
HEINEKEN N V ADR 34 shares		933	Market	783
HITACHI LTD ADR 36 shares		1,917	Market	1,877
IMPERIAL TOB GROUP PLC 26 shares		1,860	Market	1,959
KDDI CORP - UNSPON ADR 52 shares		761	Market	837
KOC HOLDING AS-UNSPON ADR 47 shares		987	Market	699
MANULIFE FINL CORP 70 shares		1,155	Market	743
MARKS & SPENCER PLC 93 shares		1,068	Market	885
MITSUMI & CO LTD ADR 6 shares		1,708	Market	1,857
MIZUHO FINANCIAL GRP 626 shares		2,106	Market	1,678
NEXEN INC 53 shares		1,125	Market	843
NITTO DENKO CORP ADR 45 shares		1,844	Market	1,599
PETROLEO BRASILEIRO SPON 34 shares		1,133	Market	845
PEUGOT CITROEN S A 36 shares		1,412	Market	562
POSCO SPONSORED ADR 22 shares		2,369	Market	1,806
REED ELSEVIER NV SPN ADR 76 shares		2,042	Market	1,764

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
RENAISSANCE RE HOLDING 14 shares	\$	862	Market	\$ 1,041
RIO TINTO PLC 35 shares		2,182	Market	1,712
ROYAL DUTCH SHELL PLC 40 shares		2,569	Market	2,924
SAGE GROUP PLC 51 shares		810	Market	927
SANOFI ADR 52 shares		1,839	Market	1,900
SASOL LTD SPONS ADR 61 shares		2,760	Market	2,891
SEADRILL LTD 28 shares		931	Market	929
SK TELECOMMUNICATIONS CO 124 shares		2,209	Market	1,688
STATOIL 74 shares		1,777	Market	1,895
SVENSKA CELLULOSA AB AP 135 shares		1,720	Market	1,983
TAIWAN SEMICONDUCTOR MFG 73 shares		844	Market	942
TATA MOTORS LIMITED 99 shares		2,396	Market	1,673
TELE NORTE LESTE PARTICIPA 189 share		2,684	Market	1,797
TELSTRA CORP LTD SPONS ADR 56 shares		781	Market	955
TEVA PHARMACEUTICAL ADR 24 shares		1,211	Market	969
TORONTO DOMINION BANK 25 shares		1,653	Market	1,870
UNILEVER PLC SPONS ADR 60 shares		1,846	Market	2,011
UNITED OVERSEAS BK LTD 68 shares		1,920	Market	1,602
VALE S A ADR 32 shares		1,106	Market	686
WOORI FINANCE HOLDINGS 28 shares		1,094	Market	683
YAMANA GOLD INC 121 shares		1,489	Market	1,777
YANZHOU COAL MNG LTD 35 shares		845	Market	742
ZURICH FINANCIAL SVCS ADR 123 shares		2,775	Market	2,790
AIRCASLE LTD 125 shares		1,330	Market	1,590
ALLETE INC COM 36 shares		1,259	Market	1,511
ALLIANT TECH SYSTEMS 18 shares		1,236	Market	1,029
ALLIED WORLD ASSURANCE 24 shares		1,140	Market	1,510
AMERICAN AXLE & MFG 96 shares		1,297	Market	949
AMKOR TECHNOLOGY 196 shares		1,396	Market	855
ARRIS GROUP INC 112 shares		1,074	Market	1,212
ASCENA RETAIL GROUP 44 shares		1,386	Market	1,308
ASPEN INSURANCE HOLD 43 shares		1,217	Market	1,139
ASSOCIATED BANC CORP 124 shares		1,706	Market	1,385
ATWOOD OCEANICS INC 29 shares		1,043	Market	1,154
AURICO GOLD INC 149 shares		1,212	Market	1,193
BANCORPSOUTH INC 78 shares		1,251	Market	860
BANK OF HAWAII 30 shares		1,292	Market	1,335

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
BARNES GROUP INC 49 shares	\$	892	Market	1,181
BRANDYWINE REALTY TRST 124 shares		1,483	Market	1,178
BRIGGS & STRATTON CORP 80 shares		1,399	Market	1,239
BRINKER INTL INC 48 shares		776	Market	1,284
BRINKS CO 53 shares		1,360	Market	1,425
CABOT CORP 24 shares		751	Market	771
CACI INTL INC 21 shares		990	Market	1,174
CARLISLE COMPANIES INC 33 shares		1,064	Market	1,462
CBL & ASSOC PROP 81 shares		917	Market	1,272
CHARLES RIVER LABS 35 shares		1,045	Market	957
CHEMICAL FINANCIAL CORP 12 shares		225	Market	256
CITY NATIONAL CORP 9 shares		362	Market	398
COEUR D ALENE MINES COR 53 shares		1,329	Market	1,279
COMMONWEALTH REIT 52 shares		1,354	Market	865
COOPER TIRE & RUBBER 99 shares		1,883	Market	1,387
CORN PRODS INTL INC 24 shares		796	Market	1,262
CURTISS-WRIGHT CORP 38 shares		1,285	Market	1,343
DIANA SHIPPING INC 118 shares		1,611	Market	883
DST SYSTEMS INC 22 shares		964	Market	1,001
DUKE REALTY CORP 110 shares		1,137	Market	1,325
EAST WEST BANCORP 39 shares		674	Market	770
EMCOR GROUP INC 45 shares		1,107	Market	1,206
ENERGEN CORPORATION 27 shares		1,084	Market	1,350
ENERSYS INC 47 shares		1,115	Market	1,221
ESTERLINE TECHNOLOGIES 16 shares		753	Market	896
FIRSTMERIT CORP 87 shares		1,529	Market	1,316
FULLER H B CO 31 shares		653	Market	716
FULTON FINANCIAL CORP 134 shares		1,313	Market	1,315
GENERAL CABLE CORP 45 shares		1,339	Market	1,125
GEO GROUP INC 60 shares		1,177	Market	1,005
GLACIER BANCORP INC 16 shares		173	Market	192
HANCOCK HODLING CO 41 shares		1,359	Market	1,311
HANESBRANDS INC 51 shares		1,205	Market	1,115
HANOVER INSURANCE GRP 28 shares		1,201	Market	979
HARSCO CORP 54 shares		1,203	Market	1,111
HEALTHSPRING INC 17 shares		225	Market	927
HELEN OF TROY LTD 40 shares		975	Market	1,228

Federal Statements

22-2809785

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
HOSPITALITY PROPERTIES 49 shares	\$	1,070	Market	\$ 1,126
HUNTSMAN CORP 78 shares		941	Market	780
IDACORP 28 shares		863	Market	1,187
INDEPENDENT BANK CORP 7 shares		168	Market	191
INTERNATIONAL SPEEDWAY 46 shares		1,278	Market	1,166
INTL BANCSHARES CORP 12 shares		179	Market	220
ITT CORP 60 shares		1,185	Market	1,160
J2 GLOBAL INC 44 shares		1,193	Market	1,238
JAKKS PAC INC 33 shares		452	Market	466
JAMES RIVER COAL INC 63 shares		1,180	Market	436
JARDEN CORP 38 shares		1,065	Market	1,135
JDA SOFTWARE GROUP 37 shares		1,045	Market	1,198
JONES GROUP INC 101 shares		1,364	Market	1,066
LAKELAND FINL CORP 9 shares		205	Market	233
LEXMARK INTL INC 32 shares		864	Market	1,058
LIFEPOINT HOSPS INC 30 shares		964	Market	1,114
LIFETIME FITNESS INC 26 shares		946	Market	1,215
MEREDITH CORP 40 shares		1,400	Market	1,306
MICROSEMI CORP 55 shares		836	Market	921
MINEFINDERS CORP 35 shares		382	Market	371
NBT BANCORP INC 11 shares		224	Market	243
NCR CORP 69 shares		1,029	Market	1,136
NV ENERGY 78 shares		935	Market	1,275
OLIN CORP PAR \$1 4 shares		71	Market	79
OLIN CORP PAR \$2 4 shares		71	Market	79
OLIN CORP PAR \$3 53 shares		946	Market	1,041
OM GROUP 37 shares		1,043	Market	828
OMEGA HEALTHCARE 66 shares		1,390	Market	1,277
OWENS & MINOR INC 46 shares		1,429	Market	1,278
PENN REAL EST INV 96 shares		1,281	Market	1,002
PERKINELMER INC 56 shares		1,074	Market	1,120
PLANTRONICS INC 41 shares		1,147	Market	1,461
PLATINUM UNDERWRITERS 36 shares		1,299	Market	1,228
PMC-SIERRA INC 190 shares		1,470	Market	1,047
PORTLAND GENERAL 50 shares		1,114	Market	1,264
PROSPERITY BANCSHS 35 shares		1,291	Market	1,412
PROTECTIVE LIFE CORP 63 shares		1,308	Market	1,421

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
QLOGIC CORP 75 shares	\$	1,325	Market	1,125
RYDER SYSTEM INC 27 shares		1,242	Market	1,435
SONIC CORP 121 shares		1,154	Market	814
SPX CORP 23 shares		1,059	Market	1,386
STERIS CORP 37 shares		1,265	Market	1,103
SUPERIOR ENERGY 37 shares		900	Market	1,052
T C F FINANCIAL CORP 34 shares		338	Market	351
TECO ENERGY INC 74 shares		1,090	Market	1,416
TELEFLEX INCORP 20 shares		1,024	Market	1,226
TESORO CORP 57 shares		783	Market	1,332
THOMPSON CREEK METALS 105 shares		1,167	Market	731
TUTOR PERINI 93 shares		1,635	Market	1,148
UMPQUA HLDGS CORP 18 shares		193	Market	223
VECTREN CORP 48 shares		1,154	Market	1,451
W&T OFFSHORE INC 59 shares		846	Market	1,251
WADDELL & REED FINL 44 shares		1,252	Market	1,090
WASHINGTON FED INC 86 shares		1,398	Market	1,203
WEBSense INC 61 shares		1,437	Market	1,143
WEBSTER FINANCIAL CORP 26 shares		473	Market	530
WEBBANCO INC 10 shares		184	Market	195
WINTRUST FINL CORP 40 shares		1,302	Market	1,122
WOLVERINE WORLD WIDE 32 shares		925	Market	1,140
WORTHINGTON INDS INC 75 shares		1,495	Market	1,228
AFFIL MANAGERS 5.10% PFD 54 shares		2,143	Market	2,383
ALLEGHENY TECHS INC CONV DUE 6/1/14		1,167	Market	1,400
ALLEGHENY TECHS INC CONV DUE 6/1/15		1,743	Market	1,400
AMGEN IN SR CONV NOTES DUE 2/1/13 10		998	Market	992
ANIXTER INTL INC CONV NOTES DUE 2/15		924	Market	1,135
APACHE CORP 6% PFD 50 shares		2,927	Market	2,714
ARCELORMITTAL CONV DUE 5/15/14 1000		1,637	Market	1,051
AVIS BUDGET GRP INC CONV DUE 10/1/14		1,226	Market	1,019
BANK OF AMERICAN 7.25% PFD 3 shares		2,768	Market	2,358
BIOMARIN PHARMA CONV DUE 4/23/17 100		1,140	Market	1,782
BIOMARIN PHARMA CONV DUE 4/23/18 100		1,369	Market	1,782
CHESAPEAKE ENERGY CORP CON 11/15/35		1,152	Market	967
CITIGROUP 7.5% CONV PFD 8 shares		968	Market	648
COINSTAR INC COV DUE 9/1/14 1000 sha		1,510	Market	1,305

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
COVANTA HOLDING CORP CONV DUE 6/1/14	\$	1,156	Market	\$ 1,048
CROWN CASTLE INTL 6.25% PFD 32 share		1,888	Market	1,984
DANAHER CORP SR NOTES DUE 1/22/21 20		2,401	Market	2,720
EMC CORP CONV DUE 12/1/13 3000 share		4,185	Market	4,290
EQUINIX INC CONV DUE 6/15/16 1000 sh		1,185	Market	1,409
GENERAL MOTORS 4.75% PFD 20 shares		1,008	Market	685
GILEAD SCIENCES INC CONV DUE 5/1/14		1,081	Market	1,083
GILEAD SCIENCES INC CONV DUE 5/1/15		1,103	Market	1,083
GOODYEAR TIRE 5.875% PFD 26 shares		1,555	Market	1,263
HARTFORD FINL 7.25% PFD 36 shares		950	Market	676
INGERSOLL-RAND CO CONV DUE 4/15/12 1		1,921	Market	1,719
INTL GAME TEC CONV BOND 5/1/14 1000		1,175	Market	1,171
INTL GAME TEC CONV BOND 5/1/15 1000		1,183	Market	1,171
L-3 COMMUNICATION CORP CONV 8/1/35 1		1,016	Market	953
LUCENT TECH CONV DUE 6/15/25 1000 sh		990	Market	873
MASSEY ENERGY CO CONV DUE 8/1/15 100		1,089	Market	924
MEDTRONIC INC CONV NOTES DUE 4/15/13		994	Market	1,006
MGM RESORTS INTL CONV DUE 4/15/15 10		1,079	Market	953
MICROCHIP TECH CONV DUE 12/15/37 100		1,011	Market	1,346
MICROCHIP TECH CONV DUE 12/15/38 100		1,380	Market	1,346
MICRON TECH INC CONV DUE 10/15/13 10		1,628	Market	1,404
MOLSON COORS BREW CO DUE 7/30/13 100		1,165	Market	1,059
MYLAN LAB 1.25% DUE 3/15/12 1000 sha		1,113	Market	1,003
NEWMONT MINING CORP CONV DUE 7/15/14		1,413	Market	1,390
NEWPARK RESOURCES DUE 10/1/17 1000 s		1,081	Market	1,138
NUANCE COMMUNICATIONS CONV 8/15/27 1		1,073	Market	1,459
OMNICO GRP INC CONV DUE 7/1/38 1000		1,080	Market	1,053
ON SEMICONDUCT CORP REV CON 12/15/26		1,186	Market	1,095
PEABODY ENERGY CORP CONV 12/15/66 20		2,035	Market	2,005
PEABODY ENERGY CORP CONV 12/15/67 10		1,304	Market	1,003
PPL CORP 9.5% PFD 34 shares		1,856	Market	1,890
SALIX PHARMACEU CONV DUE 5/15/15 100		1,047	Market	1,294
SANDISK CORP CONV DUE 8/15/17 1000 s		956	Market	1,176
SBA COMM CORP CONV DUE 5/1/13 2000 s		2,124	Market	2,273
ST MARY LAND & EXPL 3.5% DUE 4/1/27		2,473	Market	2,733
STANLEY BLACK&DECKER PFD 17 shares		2,010	Market	1,993
STANLEY BLK & DECKER DUE 5/17/12 100		1,153	Market	1,114

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
STEEL DYNAMICS INC CONV DUE 6/15/14	\$	1,194	Market	\$ 1,084
STEEL DYNAMICS INC CONV DUE 6/15/15		1,320	Market	1,084
SYMANTEC CORP CONV DUE 6/15/13 1000		1,161	Market	1,114
TELEFLEX INC CONV DUE 8/1/17 1000 sh		1,024	Market	1,203
TELEFLEX INC CONV DUE 8/1/18 1000 sh		1,147	Market	1,203
TEVA PHARM FINANCE CONV DUE 2/1/26 1		1,052	Market	1,035
TRANSOCEAN INC CONV DUE 12/15/37 100		988	Market	974
TTM TECHNOLOGIES CONV DUE 5/15/15 10		927	Market	1,038
VALE CAPITAL II 6.75% PFD 11 shares		1,035	Market	659
VERISIGN INC CONV DUE 8/15/37 1000 S		884	Market	1,189
VIRGIN MEDIA INC CONV DUE 11/15/16 1		1,214	Market	1,380
WELLS FARGO & CO 7.5% PFD 1 shares		621	Market	1,056
WESCO INTL INC CONV DUE 3/15/10 1000		1,290	Market	2,014
ADOBE SYSTEMS INC 59 shares		1,913	Market	1,668
AFLAC INC 24 shares		1,173	Market	1,038
ALTERA CORP 25 shares		955	Market	928
AMERICAN ELECTRIC POWER 42 shares		1,450	Market	1,735
AMERIPRISE FINANCIAL INC 28 shares		1,474	Market	1,390
AT&T INC 58 shares		1,695	Market	1,754
BOEING CO 36 shares		2,532	Market	2,641
BOSTON PROPERTIES INC 11 shares		1,050	Market	1,096
BOSTON SCIENTIFIC 174 shares		1,064	Market	929
CBS CORP 68 shares		1,491	Market	1,846
CELGENE CORP 17 shares		1,012	Market	1,149
CHEVRON CORP 23 shares		2,112	Market	2,447
CISCO SYSTEMS INC 112 shares		1,754	Market	2,025
DEVON ENERGY CORP 45 shares		3,876	Market	2,790
EMC CORP MASS 115 shares		2,699	Market	2,477
EVEREST RE GROUP LTD 21 shares		1,805	Market	1,766
EXXON MOBIL GROUP 45 shares		3,359	Market	3,814
FIFTH THIRD BANCORP 99 shares		1,226	Market	1,259
FRANKLIN RESOURCES INC 15 shares		1,600	Market	1,441
FREEMPORT-MCMORAN COPPER 21 shares		828	Market	773
GENERAL ELECTRIC CO 276 shares		5,205	Market	4,943
GENERAL MILLS INC 59 shares		2,118	Market	2,384
GOOGLE INC 1 shares		491	Market	646
HALIBURTON CO 31 shares		1,074	Market	1,070

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
HARTFORD FINANCIAL SVCS 52 shares	\$	1,361	Market	\$ 845
HOME DEPOT 48 shares		1,870	Market	2,018
HONEYWELL INTL INC 45 shares		2,194	Market	2,446
ILLINOIS TOOL WORKS INC 18 shares		868	Market	841
INVESCO PLC 42 shares		1,037	Market	844
JOHNSON CONTROLS 28 shares		1,031	Market	875
JP MORGAN CHASE & CO 134 shares		6,125	Market	4,456
JUNIPER NETWORK 57 shares		1,360	Market	1,163
LIBERTY GLOBAL INC 21 shares		781	Market	862
LIBERTY INTERACTIVE CORP 60 shares		1,044	Market	973
LOWES COMPANIES IN 44 shares		1,141	Market	1,117
LYONDELLBASELL INDUS 52 shares		1,593	Market	1,689
MACY'S INC 28 shares		913	Market	901
MARSH & MCLENNAN 32 shares		862	Market	1,012
MAXIM INTEGRATED PRODS 44 shares		1,034	Market	1,146
MERCK & CO INC 85 shares		2,973	Market	3,205
MICROSOFT CORP 58 shares		1,522	Market	1,506
MORGAN STANLEY & CO 101 shares		1,588	Market	1,528
MOSAIC COMPANY 14 shares		980	Market	706
MYLAN INC 42 shares		844	Market	901
NETAPP INC 24 shares		840	Market	870
NVIDIA CORP 58 shares		920	Market	804
OCCIDENTAL PETE CORP 18 shares		1,744	Market	1,687
PEPSICO INC 26 shares		1,634	Market	1,725
PFIZER INC 185 shares		3,552	Market	4,003
PG&E CORP 38 shares		1,743	Market	1,566
PPL CORP 69 shares		1,866	Market	2,030
PRUDENTIAL FINANCIAL 46 shares		2,665	Market	2,306
SIMON PROPERTY GROUP 8 shares		890	Market	1,032
SLM CORP 104 shares		1,469	Market	1,394
SPRINT NEXTEL CORP 616 shares		2,589	Market	1,441
STARWOOD HOTELS & RESORT 16 shares		829	Market	768
SUNTRUST BANKS INC 56 shares		1,613	Market	991
TEVA PHARMACEUTICAL 28 shares		1,422	Market	1,130
TEXAS INSTRUMENTS 29 shares		823	Market	844
THERMOFISHER SCIENTIFIC 38 shares		2,051	Market	1,709
TRANSOCEAN LTD 21 shares		933	Market	806

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
TRAVELERS COS INC 40 shares	\$	2,185	Market	2,367
UNILEVER N V 69 shares		2,146	Market	2,372
UNITED HEALTH GROUP 32 shares		1,571	Market	1,622
US BANCORP 90 shares		2,364	Market	2,435
WALT DISNEY CO 71 shares		2,637	Market	2,663
AGRIUM INC 22 shares		1,861	Market	1,476
ALBEMARLE 10 shares		542	Market	515
AMERICAN WATER WORKS CO 89 shares		2,101	Market	2,836
ANNALY CAPITAL MGMT 63 shares		1,126	Market	1,005
ASHLAND INC NEW 17 shares		950	Market	972
BIOMED REALTY TRUST INC 99 shares		1,742	Market	1,790
BRANDYWINE REALTY TRUST< 206 shares		1,865	Market	1,957
BRINKER INTL INC 34 shares		835	Market	910
CADENCE DESIGN SYSTEMS 219 shares		2,229	Market	2,278
CARDINAL HEALTH INC 66 shares		2,824	Market	2,680
CBL & ASSOC PROPERTIES 147 shares		2,508	Market	2,308
CBS CORP CL B 34 shares		579	Market	923
CIGNA CORP 46 shares		2,231	Market	1,932
CMS ENERGY CORP 125 shares		1,849	Market	2,760
CONSTELLATION BRANDS 81 shares		1,650	Market	1,674
DISCOVER FINANCIAL 119 shares		1,934	Market	2,856
DUPONT FABROS TECHNOLOGY 38 shares		999	Market	920
EATON CORPORATION 41 shares		1,371	Market	1,785
ELECTRONIC ARTS 89 shares		1,945	Market	1,833
ENERGEN CORPORATION 57 shares		3,203	Market	2,850
EXPRESS INC 33 shares		661	Market	658
FIFTH THIRD BANCORP 277 shares		3,584	Market	3,523
FIRSTENERGY CORP 66 shares		2,870	Market	2,924
FOOT LOCKER INC 98 shares		1,971	Market	2,336
HARLEY DAVIDSON INC 33 shares		1,237	Market	1,283
HEALTH MGMT ASSOC 272 shares		1,910	Market	2,005
HEALTH NET INC 74 shares		2,029	Market	2,251
HERBALIFE LTD 37 shares		2,080	Market	1,912
HERTZ GLOBAL HLDGS INC 207 shares		2,789	Market	2,426
HOME PROPERTIES INC 42 shares		2,253	Market	2,418
HUNTSMAN CORP 75 shares		1,250	Market	750
INVESCO PLC 124 shares		2,724	Market	2,491

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
KBR INC 83 shares	\$	2,780	Market	2,313
KEYCORP NEW 364 shares		3,015	Market	2,799
LEAR CORP 57 shares		2,279	Market	2,269
LINCOLN NATL CORP IND 135 shares		3,367	Market	2,622
LORILLARD INC 6 shares		668	Market	684
MACY'S INC 77 shares		1,869	Market	2,478
MARSH &MCLENNAN CO 66 shares		1,975	Market	2,087
MEADWESTVACO CORP 71 shares		2,053	Market	2,126
NISOURCE INC 85 shares		1,435	Market	2,024
NU SKIN ENTERPRISES INC 23 shares		686	Market	1,117
NUCOR CORP 45 shares		1,720	Market	1,781
OIL STATES INTL INC 31 shares		1,478	Market	2,367
OWENS CORNING INC 52 shares		1,919	Market	1,493
PIER 1 IMPORTS INC 132 shares		1,599	Market	1,839
PPL CORPORATION 114 shares		3,199	Market	3,354
PVH CORP 15 shares		726	Market	1,057
RAYMOND JAMES FINANCIAL 75 shares		2,318	Market	2,322
RYDER SYSTEM INC 52 shares		2,693	Market	2,763
SANDISK 27 shares		1,382	Market	1,329
SCRIPPS NETWORKS 16 shares		661	Market	679
SEAGATE TECHNOLOGY 125 shares		2,097	Market	2,050
SIGNET JEWELERS 36 shares		1,535	Market	1,583
SLM CORP 220 shares		3,536	Market	2,948
SMITHFIELD FOODS INC 73 shares		1,606	Market	1,772
SPECTRA ENERGY 38 shares		1,070	Market	1,169
STATE STR CORP 59 shares		2,289	Market	2,378
SUPERIOR ENERGY SVCS INC 72 shares		2,182	Market	2,048
SYMANTEC CORP 78 shares		1,406	Market	1,221
THE HAIN CELESTAIL GRP 60 shares		1,544	Market	2,200
TIMKEN COMPANY 55 shares		2,685	Market	2,129
TRIUMPH GROUP INC 26 shares		1,221	Market	1,520
VALERO ENERGY CORP 26 shares		680	Market	547
VALUECLICK INC 106 shares		1,855	Market	1,727
WESTERN DIGITAL CORP 18 shares		687	Market	557
WESTERN REFINING INC 68 shares		1,144	Market	904
WYNDHAM WORLDWIDE CORP 18 shares		523	Market	681
XL GROUP PLC 149 shares		2,977	Market	2,946

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Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Total	\$ 1,770,509	\$ 2,640,502		\$ 8,096,454

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
09248H204 2 SHARES	\$ 41,000		Cost	\$
09253N302 4 SHARES	86,000		Cost	
09255C205 18 SHARES	378,000		Cost	
09255H808 1 SHARES	23,000		Cost	
67062A200 1 SHARES	16,875		Cost	
67062N509 2 SHARES	45,000		Cost	
67062P306 2 SHARES	45,250		Cost	
67066Y501 5200 SHARES	52,704		Cost	
67070X408 8 SHARES	188,300		Cost	
67070X507 5325 SHARES	53,250		Cost	
670984202 14 SHARES	322,000		Cost	
72200R602 9 SHARES	209,250		Cost	
72201B408 3 SHARES	69,000		Cost	
746922400 3 SHARES	68,625		Cost	
Aberdeen Emerging Mkts Telec 1520 sh		26,067	Cost	26,646
Aberdeen Indonesia 75 shares		1,047	Cost	883
ACG 4400 SHARES	35,612		Cost	
Adams Express CO ADX 18300 shares		221,154	Cost	176,412
Advent Claymore 3 shares		69,750	Cost	75,000
ADX 23300 SHARES	286,564		Cost	
Agic Intl Prem Strategy Fund 340 sha		3,496	Cost	3,363
Allianceberstein Income Fund Inc 398		313,494	Cost	321,186
Alps Alerian MLP ETF 300 shares		4,740	Cost	4,986
Altria Group Inc 400 shares		10,274	Cost	11,860
APB 4490 SHARES	44,062		Cost	
APF 1645 SHARES	25,024		Cost	
ASG 34724 SHARES	125,771		Cost	
Asia Pac Fd Inc 4740 shares		46,390	Cost	44,556

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Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Asia Tigers Fd Inc 75 shares	\$	\$	Cost	\$ 901
BAB 9250 SHARES	232,557	1,245	Cost	
Blackrock Cap&Inc Strat 1000 shares		14,120	Cost	12,300
Blackrock income Tr 11850 shares		80,370	Cost	86,860
Blackrock International Grow 4600 sh		34,534	Cost	32,936
Blackrock Muni 4 shares		86,000	Cost	100,000
Blackrock Pfd & Equity Advantage Tr		36,659	Cost	35,842
Blackrock Pfd Opportunity Tr 3400 sh		36,593	Cost	35,836
BLU 11250 SHARES	40,051		Cost	
BMJ 300 SHARES	6,750		Cost	
Bristol-Myers Squibb Co 300 shares		6,750	Cost	10,572
Centurylink Inc SHS 300 shares		10,291	Cost	11,160
China Fund Inc 1035 shares		30,669	Cost	21,228
CII 600 SHARES	9,376		Cost	
Cohen Steers Global Income Builder 3		36,176	Cost	32,550
DIA 2840 SHARES	303,420		Cost	
DJP 6100 SHARES	226,505		Cost	
Dynex Capital Inc 1500 shares		13,478	Cost	13,695
Eaton Vance Enh Eqty Inc Fd II 5850		59,672	Cost	59,728
Eaton Vance Enhanced Eq Income Fd 42		43,360	Cost	43,163
Eaton Vance Risk Managed Diversified		95,778	Cost	86,421
Eaton Vance Tax Managed Global Diver		78,594	Cost	66,693
Eaton Vance TX Mgd Div Eq Incom Fd 1		152,635	Cost	151,588
EEA 3575 SHARES	23,706		Cost	
EPP 1515 SHARES	61,705		Cost	
ETF 1920 SHARES	32,507		Cost	
European Equity Fd Inc 3296 shares		20,331	Cost	19,578
EWA 1720 SHARES	34,744		Cost	
EWC 5470 SHARES	135,188		Cost	
EWD 435 SHARES	16,211		Cost	
EWI 850 SHARES	13,719		Cost	
EWJ 1525 SHARES	18,969		Cost	
EWP 90 SHARES	3,581		Cost	
EWQ 2570 SHARES	62,805		Cost	
EWS 2190 SHARES	30,327		Cost	
EWU 5360 SHARES	78,450		Cost	
EWZ 590 SHARES	27,134		Cost	

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Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
EXG 1564 SHARES	\$ 15,958		Cost	
EZU 1585 SHARES	58,304		Cost	
Federated Enhanced Treasury 2425 sha		37,054	Cost	34,799
FFC 500 SHARES	7,397		Cost	
FGF 12107 SHARES	174,800		Cost	
FGI 8007 SHARES	110,608		Cost	
Flaherty & Crumrine 1000 shares		15,625	Cost	17,460
Ford Motor Co 800 shares		10,430	Cost	8,608
FXI 560 SHARES	23,150		Cost	
Gabelli Divid & Income Tr 9250 share		93,587	Cost	142,635
GAM 3850 SHARES	96,835		Cost	
GDV 8560 SHARES	80,733		Cost	
General Amern Invs Inc 5500 shares		142,264	Cost	137,005
GF 2978 SHARES	44,833		Cost	
GHYYX 1282 SHARES	9,996		Cost	
Goldman Sachs US Equity Divid & Prem	38,819		Cost	
Goldman Sachs US Equity Divid & Prem		40,554	Cost	35,087
Greater China Fd Inc Com 1030 shares		11,162	Cost	10,372
Herzfeld Caribbean Basin Fd 550 shar		3,805	Cost	3,530
HQH 2500 SHARES	30,475		Cost	
HQL 6360 SHARES	76,809		Cost	
HSGFX 19726 SHARES	286,308		Cost	
Hussman Strategic Growth Fd 16309.21		217,845	Cost	202,724
I Shares Msci Australia ETF 1720 sha		34,744	Cost	36,877
I Shares Msci Brazil Index ETF 290 s		13,512	Cost	16,643
I Shares Msci Canada ETF 5190 shares		130,155	Cost	138,054
I Shares Msci Emu Index ETF 1635 sha		59,683	Cost	45,617
I Shares Msci France 2570 shares		62,805	Cost	50,321
I Shares Msci Hong Kong 850 shares		13,719	Cost	13,149
I Shares Msci Pacific Ex Japan ETF 1		73,685	Cost	68,711
I Shares Msci United Kingdom 5560 sh		82,150	Cost	89,850
I Shares S & P Europe 350 Index Fund		1,709	Cost	1,687
Ibero America Fund Inc 400 shares		395	Cost	2,688
ICF 150 SHARES	5,487		Cost	
IIF 50 SHARES	1,366		Cost	
IMF 8103 SHARES	116,645		Cost	
India Fd Inc 60 shares		1,194	Cost	1,142

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Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Invesco Van Kampen Advantage Municip		\$ 189,000	Cost	\$ 225,000
Ipath Dow Jones Ubs Commodity 5400 s		203,222	Cost	228,096
Ishares Cohen & Steers 100 shares		3,658	Cost	7,022
Ishares Inc Msci Japan Index ETF 215		22,434	Cost	19,586
IVV 310 SHARES	35,831		Cost	
J F China Region Fd Inc 480 shares		5,905	Cost	5,290
Japan Equity Fund Inc 3985 shares		21,211	Cost	19,925
Japan Smaller Capitalization Fd Inc		33,152	Cost	29,409
JEQ 8185 SHARES	42,223		Cost	
JFC 180 SHARES	2,059		Cost	
JOF 150 SHARES	1,147		Cost	
Kayne Anderson Mip Invst 2600 shares		65,260	Cost	66,300
KEF 171 SHARES	1,601		Cost	
Knightbridge Tankers 1000 shares		19,044	Cost	13,670
Korea Equity Fd Inc 261 shares		2,794	Cost	2,370
LAQ 173 SHARES	6,483		Cost	
Latin America Equity Fd Inc 238 shar		8,491	Cost	7,164
Lazard Global Tot Rt & Inc 2104 shar		40,054	Cost	28,173
Liberty All-Star Equity Fd Sh Ben In		132,008	Cost	138,547
Liberty All-Star Growth Fd Inc 15711		59,587	Cost	59,859
Lmp Cap & Income Fd Inc 4507 shares		29,418	Cost	55,707
Lmp Real Estate Income Fd 300 shares		2,917	Cost	2,775
MacQuarie Global Infr Tot Rt Fd 4400		60,674	Cost	74,756
Malaysia Fd Inc 330 shares		3,787	Cost	2,977
Mexico Fd Inc 333 shares		12,950	Cost	7,276
MGU 4300 SHARES	59,074		Cost	
Montgomery Str Income Sec I 500 shar		7,939	Cost	7,715
Morgan Stanley Asia Pacific Fund Inc		51,677	Cost	41,763
Morgan Stanley Eastern Europe 75 sha		1,403	Cost	1,010
Morgan Stanley Emerging Markets Fund		25,332	Cost	21,318
Morgan Stanley India Investment Fund		1,366	Cost	700
MSF 1275 SHARES	19,819		Cost	
MXF 333 SHARES	12,950		Cost	
New Germany Fd Inc 2348 shares		34,750	Cost	28,740
New Ireland Fund Inc 150 shares		1,012	Cost	1,041
NLY 1000 SHARES	16,351		Cost	
Nuveen CA Div Adv Muni 3 2.250% Pfd		76,000	Cost	76,608

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Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Nuveen CA Div Adv Muni 3 2.95% Pfd 5		52,705	Cost	\$ 52,468
Nuveen Div Adv Muni 3 2.8% Pfd 5325		53,250	Cost	53,889
PDMAX 905 SHARES	10,358		Cost	
PIF 2300 SHARES	31,311		Cost	
Pimco Corp Oppty 1.95% Pfd Auction R		92,500	Cost	125,000
Pimco Corp Oppty 2.85% Pfd Auction R		69,000	Cost	75,000
Pimco Muni Inc 2.483% Pfd Auction Ra		209,250	Cost	225,000
PKW 400 SHARES	18,630		Cost	
PMO 12007 SHARES	123,540		Cost	
Powershares Build America PO 5375 s		135,654	Cost	154,263
Putnam Muni Opp 3 shares		68,625	Cost	75,000
Putnam Muni Opportunities Tr Sh Ben		123,540	Cost	147,326
RIT 300 SHARES	2,917		Cost	
Royce Value Trust 12750 shares		146,795	Cost	156,442
RPIBX 13322 SHARES	127,766		Cost	
RSP 1690 SHARES	43,712		Cost	
RVT 12150 SHARES	138,060		Cost	
Rydex S&P Equal Weighted Index Fd 44		187,926	Cost	206,640
SCD 11400 SHARES	160,560		Cost	
SGF 2226 SHARES	30,054		Cost	
Shelton Greater China Fund 1447 shar		10,358	Cost	8,769
Singapore Fd Inc 4529 shares		62,966	Cost	49,411
SNF 150 SHARES	774		Cost	
Spdr Dow Jones Industrial Ave ETF 22		240,007	Cost	272,335
SPDR Gold Trust 100 shares		16,132	Cost	15,199
Spdr S&P 500 ETF Trust 2240 shares		256,486	Cost	281,120
Special Opportunities Fd Inc 4297 sh		61,739	Cost	62,307
SPY 4090 SHARES	420,719		Cost	
Sunamerica Focused Alpha Grwth Fd 14		226,874	Cost	260,618
Sunamerica Focused Alpha Large-Cap 1		155,984	Cost	169,288
Swiss Helvetia Fd Inc 5454 shares		72,560	Cost	54,267
SWZ 5815 SHARES	79,642		Cost	
T 300 SHARES	7,236		Cost	
T Rowe Price Intl Bond Fund 13322.81		127,766	Cost	129,764
Taiwan Fd Inc 250 shares		3,853	Cost	3,623
TDF 50 SHARES	1,104		Cost	
Templeton Russia & East European Fun		5,353	Cost	3,539

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Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
TFC 719 SHARES	\$ 4,372		Cost	\$
Tortoise Energy Cap Corp 5.00% Pfd 7		78,000	Cost	79,639
Tri Continental Corp 12000 shares		142,831	Cost	170,760
Ts&w Claymore T/A Balanced 3950 shar		22,239	Cost	46,215
TTF 750 SHARES	5,782		Cost	
TY 11100 SHARES	129,946		Cost	
TYW 12100 SHARES	76,173		Cost	
USA 38285 SHARES	162,059		Cost	
UTF 5810 SHARES	96,539		Cost	
VAIPX 3124 SHARES	81,461		Cost	
Vanguard Inf Protected Fd CI IV#1119		81,461	Cost	86,604
Vanguard Intm Term Te Fd Adm #542 11		158,430	Cost	160,954
Vanguard Large Cap Vipers Fund 2660		135,785	Cost	152,418
Vanguard Lt Invest Gr Fd IV 4865.541		41,162	Cost	50,066
Vanguard Ltd Term Te Fd Adm 29172.64		322,082	Cost	325,567
Vanguard Mid Cap ETF 1950 shares		114,472	Cost	140,283
Vanguard Msci Eafe ETF 4740 shares		164,337	Cost	145,186
Vanguard Msci Emerging Markets ETF 8		36,339	Cost	31,905
Vanguard Msci Europe ETF 3450 shares		166,737	Cost	142,934
Vanguard Msci Pacific ETF 1285 share		66,479	Cost	61,153
Vanguard Short-Term 500 shares		38,826	Cost	38,930
Vanguard Small Cap ETF 1835 shares		89,107	Cost	127,844
VB 1950 SHARES	85,415		Cost	
VEA 4835 SHARES	160,743		Cost	
VGK 3370 SHARES	157,165		Cost	
VMLUX 16165 SHARES	180,080		Cost	
VO 2100 SHARES	122,554		Cost	
VPL 1475 SHARES	72,805		Cost	
VV 2470 SHARES	105,403		Cost	
VWESX 4865 SHARES	41,162		Cost	
VWLUX 26649 SHARES	297,213		Cost	
VWO 1500 SHARES	50,930		Cost	
Waclay US Treas Infl Prot 2 7790 sha		90,621	Cost	98,232
Western Asset Inflation Management F		116,645	Cost	141,721
WIW 7790 SHARES	90,621		Cost	
XLGIX 2104 SHARES	40,054		Cost	

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Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Total	\$ 8,005,913	\$ 7,939,665		\$ 8,297,378

Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
Dynamic Equity Managers: Portfolio 2	\$ 1,053,184	\$ 1,113,747	Cost	\$ 1,133,821
Dynamic Equity (Global Equity) Manag	1,046,524	1,117,421	Cost	842,387
Enterprise Products Partners LP 200	8,165		Cost	
Total	\$ 2,107,873	\$ 2,231,168		\$ 1,976,208

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Statement 8 - Form 990-PF, Part VII-A, Line 10 - Substantial Contributors

<u>Name</u>	<u>Address</u>	<u>City, State, Zip</u>
NEIL M. GOLUB TRUST	461 NOTT STREET	SCHENECTADY NY 12308
NEIL AND JANE GOLUB FOUNDATION	461 NOTT STREET	SCHENECTADY NY 12308

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Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year

Name		Address		Relationship	Status	Purpose	Amount
A PLACE FOR JAZZ		1221 WENDELL AVENUE					
SCHENECTADY NY 12308	N/A	PUBLIC				MEMBERSHIP	250
ADL A WORLD OF DIFFERENCE INSTITUTE	N/A	125 WOLF ROAD					
ALBANY NY 12205	N/A	PUBLIC				GENERAL SUPPORT	100,000
ALBANY CHEF'S FOOD & WINE FESTIVAL	N/A	111 N PEARL ST					
ALBANY NY 12207	N/A	PUBLIC				GENERAL SUPPORT	350
ALBANY DAMIEN CENTER		12 SOUTH LAKE AVE					
ALBANY NY 12203	N/A	PUBLIC				GENERAL SUPPORT	200
ALBANY INSTITUTE OF HISTORY & ART	N/A	125 WASHINGTON AVE					
ALBANY NY 12210	N/A	PUBLIC				MEMBERSHIP/GALA/GENERAL SUPPORT	1,250
ALBANY POLICE & FIRE FOUNDATION	N/A	87 STATE ST					
ALBANY NY 12207	N/A	PUBLIC				TULIP BALL	275
ALBANY PRO MUSICA		PO BOX 3850					
ALBANY NY 12203	N/A	PUBLIC				GENERAL SUPPORT	300
ALBANY TULA ALLIANCE		229 BERKSHIRE BLVD					
ALBANY NY 12203	N/A	PUBLIC				GENERAL SUPPORT	550
ALZHEIMER'S ASSOCIATION		225 N MICHIGAN AVE				CONTRIBUTION	450
CHICAGO IL 60601	N/A	PUBLIC					
AMERICAN ASSOCIATION OF UNIVERSITY	N/A	1111 SIXTEENTH STREET				GENERAL SUPPORT	78
WASHINGTON DC 20036	N/A	PUBLIC					
AMERICAN CANCER SOCIETY		260 OSBORNE RD					
LOUNDONVILLE NY 12211	N/A	PUBLIC				SPONSOR/GENERAL SUPPORT/ HOPE CLUB C	10,900
AMERICAN HEART ASSOCIATION		7272 GREENVILLE AVENUE					
DALLAS TX 75231	N/A	PUBLIC				HEART BALL/SPONSOR	17,850
AMERICAN MEDIATION INSTITUTE		410 ALEXANDER STREET					
ROCHESTER NY 14607	N/A	PUBLIC				ANNUAL APPEAL/GIVING CAMPAIGN	1,000
AMERICAN RED CROSS		33 EVERETT ROAD					
ALBANY NY 12205	N/A	PUBLIC				ANNUAL APPEAL/FIRE & ICE EVENT	950
AMERICAN RED CROSS OF NENY		33 EVERETT ROAD					
ALBANY NY 12205	N/A	PUBLIC				GENERAL SUPPORT	25,000
ANIMAL PROTECTIVE FOUNDATION		53 MAPLE AVENUE					
SCOTIA NY 12302	N/A	PUBLIC				CONTRIBUTION/GENERAL SUPPORT	200
ARTHRITIS FOUNDATION		1717 CENTRAL AVENUE					
ALBANY NY 12205	N/A	PUBLIC				CONTRIBUTION	1,000

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Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Address							
B'NAI B'RITH HOUSE		22 KNOLLS RD	PUBLIC			CONTRIBUTION	100
SCHENECTADY NY 12309	N/A						
BACKSTRETCH EMPLOYEES SERVICE TEAM	N/A	2150 HEMPSTEAD TURNPIKE	PUBLIC			GENERAL SUPPORT	16,000
ELMONT NY 11003							
BERKSHIRE SOUTH REGIONAL CENTER		15 CRISSEY ROAD	PUBLIC			GIFT	300
GREAT BARRINGTON MA 0123	N/A						
BET SHRAGA HEBREW ACADEMY		54 SAND CREEK ROAD	PUBLIC			GENERAL SUPPORT	5,000
ALBANY NY 12205	N/A						
BET SHRAGA HEBREW ACADEMY OF THE CA		54 SANDCREEK ROAD	PUBLIC			AWARD DINNER/ANNUAL FUND	5,300
ALBANY NY 12205	N/A						
BETHESDA HOUSE		418 LIBERTY STREET	PUBLIC			CONTRIBUTION	450
SCHENECTADY NY 12305	N/A						
BETTER NEIGHBORHOODS, INC.		986 ALBANY STREET	PUBLIC			GENERAL SUPPORT	250
SCHENECTADY NY 12307	N/A						
BOYS & GIRLS CLUBS OF SCHENECTADY		PO BOX 466	PUBLIC			CAMPERSHIP/ CELEBRATION/STARS	2,350
SCHENECTADY NY 12301	N/A						
BREAST CANCER RESEARCH FOUNDATION		60 E 56TH STREET	PUBLIC			GENERAL SUPPORT	2,500
NEW YORK NY 10022	N/A						
BRIAN MINIOLE SCHOLARSHIP FUND		2072 CURRY ROAD	PUBLIC			GENERAL SUPPORT	250
ROTTERDAM NY 12303	N/A						
BROOKSIDE MUSEUM		6 CHARLTON STREET	PUBLIC			MEMBERSHIP	500
BALLSTON SPA NY 12020	N/A						
CAPITAL DISTRICT COMMUNITY GARDENS		40 RIVER STREET	PUBLIC			BRUNCH/GENERAL SUPPORT/CONTRIBUTION	720
TROY NY 12180	N/A						
CAPITAL REGION FRIENDSHIP CIRCLE		100 BROOKLINE AVENUE	PUBLIC			GENERAL SUPPORT	160
ALBANY NY 12203	N/A						
CAPITAL REGION MEDICAL RESEARCH FOU		711 TROY SCHENECTADY RD	PUBLIC			DINNER	350
LATHAM NY 12110	N/A						
CAPITAL REGION WOMEN'S NETWORK		PO BOX 391	PUBLIC			DUES	60
DELMAR NY 12054	N/A						
CAPITAL REGION YOUTH TENNIS FOUNDAT		785 WASHINGTON AVENUE	PUBLIC			GENERAL SUPPORT	1,667
ALBANY NY 12206	N/A						
CAPITAL REPERTORY THEATRE		111 N PEARL ST	PUBLIC			ANNIV CELEBRATION/MEMBERSHIP	1,000
ALBANY NY 12207	N/A						

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Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)

Name	Address	Relationship	Status	Purpose	Amount
CBA HOCKEY BOOSTER CLUB ALBANY NY 12205	12 AIRLINE DRIVE PUBLIC	N/A		GENERAL SUPPORT	250
CHILDREN HOSPITAL AT ALBANY MEDICAL ALBANY NY 12208	43 NEW SCOTLAND AVE PUBLIC	N/A		GENERAL SUPPORT	350
CHROHN'S & COLITIS FOUNDATION NEW YORK NY 10016	386 PARK AVENUE SOUTH PUBLIC	N/A		GENERAL SUPPORT	750
CHURCH WORLD SERVICE ELKHART IN 46515	28606 PHILLIPS ST PUBLIC	N/A		SPONSOR	100
CITY MISSION SCHENECTADY NY 12305	425 HAMILTON ST PUBLIC	N/A		CONTRIBUTION	250
CLOWNS ON ROUNDS ALBANY NY 12204	PO BOX 4124 PUBLIC	N/A		GENERAL SUPPORT	22,500
COLONIE SENIOR SERVICE CENTERS, INC COLONIE NY 12205	SIX WINNERS CIRCLE PUBLIC	N/A		GENERAL SUPPORT	250
COMMUNITY FOUNDATION OF THE CAPITAL ALBANY NY 12203	6 TOWER PLACE PUBLIC	N/A		GENERAL SUPPORT	10,000
CONGREGATION GATES OF HEAVEN SCHENECTADY NY 12309	852 ASHMORE AVENUE PUBLIC	N/A		GENERAL SUPPORT	36,430
CONGREGATION GATES OF HEAVEN SCHENECTADY NY 12309	852 ASHMORE AVENUE PUBLIC	N/A		FASHION SHOW/YOM HASHOAH/DONATION/ME	265
CROSSROADS CENTER FOR CHILDREN SCHENECTADY NY 12306	1136 NORTH WESTCOTT RD PUBLIC	N/A		GIFT	100
CULINARY INSTITUTE OF AMERICA HYDE PARK NY 12538	1946 CAMPUS DR PUBLIC	N/A		BRICK CAMPAIGN	175
CURTAIN CALL THEATER LATHAM NY 12110	210 OLD LOUDON RD PUBLIC	N/A		GENERAL SUPPORT	500
CYSTIC FIBROSIS FOUNDATION ALBANY NY 12205	423A NEW GARNER ROAD PUBLIC	N/A		GALA	400
DAUGHTERS OF SARAH JEWISH FOUNDATION ALBANY NY 12203	180 WASHINGTON AVE EXT PUBLIC	N/A		ANNUAL FUND	1,745
DOUBLE H HOLE IN THE WOODS RANCH LAKE LUZERNE NY 12846	RD2 BOX 228 PUBLIC	N/A		GENERAL SUPPORT	79,600
DOWNTOWN SCHENECTADY IMPROVEMENT CO SCHENECTADY NY 12305	184 JAY STREET PUBLIC	N/A		PARTY	175

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**Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
DUDLEY OBSERVATORY		107 NOTT TERRACE			PUBLIC	GENERAL SUPPORT	250
SCHENECTADY NY 12308	N/A						
ELLIS HOSPITAL FOUNDATION, INC		1101 NOTT STREET			PUBLIC	GENERAL SUPPORT	70,000
SCHENECTADY NY 12308	N/A						
EMPIRE STATE YOUTH ORCHESTRA		432 STATE ST			PUBLIC	GENERAL SUPPORT/SPONSOR/GALA	550
SCHENECTADY NY 12305	N/A						
EWGA ALBANY/CAPITAL REGION CHAPTER		PO BOX 208			PUBLIC	GENERAL SUPPORT	2,500
LATHAM NY 12210	N/A						
EWGA ALBANY/CAPITAL REGION CHAPTER		PO BOX 208			PUBLIC	GOLF CLASSIC	1,500
LATHAM NY 12110	N/A						
EXECUTIVE WOMEN'S GOLF ASSOCIATION		300 AVENUE OF THE CHAMPIO			PUBLIC	GENERAL SUPPORT	3,588
PALM BEACH GARDENS FL 33	N/A						
FAMILIES IN NEED OF ASSISTANCE		69 BROOKLINE AVE			PUBLIC	CONTRIBUTION	500
ALBANY NY 12203	N/A						
FAMILY AND CHILD SERVICE OF SCHENEC		246 UNION STREET			PUBLIC	GENERAL SUPPORT	150
SCHENECTADY NY 12305	N/A						
FOUNDATION FOR ELLIS MEDICINE		1101 NOTT ST			PUBLIC	WOMEN'S NIGHT OUT/ GALA	800
SCHENECTADY NY 12308	N/A						
GIRL SCOUTS OF NORTHEASTERN NEW YOR		8 MOUNTAIN VIEW AVE			PUBLIC	GENERAL SUPPORT	250
ALBANY NY 12205	N/A						
GIRLS INC.		25 WESTERN AVENUE			PUBLIC	DINNER/AUCTION/DONATION	650
ALBANY NY 12309	N/A						
HAMILTON HILL ARTS CENTER		409 SCHENECTADY STREET			PUBLIC	ANNUAL GALA	130
SCHENECTADY NY 12307	N/A						
HAVEN		703 UNION STREET			PUBLIC	MEMBERSHIP	200
SCHENECTADY NY 12305	N/A						
HIGH PEAKS HOSPICE		PO BOX 840			PUBLIC	ANNUAL APPEAL	250
SARANAC LAKE NY 12983	N/A						
INTERFAITH COMMUNITY OF SCHENECTADY		540 N BRANDYWINE AVENUE			PUBLIC	DUES	100
SCHENECTADY NY 12308	N/A						
INTERFAITH PARTNERSHIP FOR THE HOME		176 SHERIDAN AVE			PUBLIC	GENERAL SUPPORT	100
ALBANY NY 12210	N/A						
JACK FALVO III FOUNDATION		12 STONEHEDGE DRIVE			PUBLIC	CONTRIBUTION	200
GANSEVOORT NY 12831	N/A						

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**Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
Address	Name	Relationship	Address				
JEWISH COMMUNITY CENTER OF SCHENECTADY NY 12309	JEWISH COMMUNITY CENTER OF SCHENECTADY NY 12309	N/A	2565 BALLTOWN ROAD		PUBLIC	GENERAL SUPPORT	25,000
JEWISH FAMILY SERVICES	JEWISH FAMILY SERVICES	N/A	877 MADISON AVE		PUBLIC	CONTRIBUTION	250
JEWISH FEDERATION OF GREATER SEATTLE WA 98121	JEWISH FEDERATION OF GREATER SEATTLE WA 98121	N/A	2031 3RD AVE		PUBLIC	GENERAL SUPPORT	100
JEWISH FEDERATION OF NORTHEASTERN ALBANY NY 12203	JEWISH FEDERATION OF NORTHEASTERN ALBANY NY 12203	N/A	THE GOLUB CENTER		PUBLIC	GIFT/ EVENT	28,000
JEWISH FEDERATION OF SOUTH PALM BEACH FL 33428	JEWISH FEDERATION OF SOUTH PALM BEACH FL 33428	N/A	9901 DONNA KLEIN BLVD		PUBLIC	GENERAL SUPPORT	1,500
JEWISH NATIONAL FUND	JEWISH NATIONAL FUND	N/A	8 W 70TH STREET		PUBLIC	GENERAL SUPPORT	180
JOAN NICOLE PRINCE HOME	JOAN NICOLE PRINCE HOME	N/A	PO BOX 2122		PUBLIC	CONTRIBUTION/GIFT	1,650
JUNIOR ACHIEVEMENT OF NORTHEASTERN LATHAM NY 12110	JUNIOR ACHIEVEMENT OF NORTHEASTERN LATHAM NY 12110	N/A	8 STANLEY CIR		PUBLIC	GENERAL SUPPORT	150
KIDS ART FESTIVAL/DOWNTOWN SCHENECTADY NY 12305	KIDS ART FESTIVAL/DOWNTOWN SCHENECTADY NY 12305	N/A	143 STATE ST		PUBLIC	SPONSOR	350
LA SALLE SCHOOL FOUNDATION	LA SALLE SCHOOL FOUNDATION	N/A	174 WILLIAMS RD		PUBLIC	GENERAL SUPPORT	250
LAKE GEORGE ARTS PROJECT	LAKE GEORGE ARTS PROJECT	N/A	1 AMHERST ST		PUBLIC	CONTRIBUTION	2,200
LAKE GEORGE ASSOCIATION	LAKE GEORGE ASSOCIATION	N/A	PO BOX 408		PUBLIC	GENERAL SUPPORT	250
LAKE GEORGE LAND CONSERVANCY	LAKE GEORGE LAND CONSERVANCY	N/A	4905 LAKE SHORE DR		PUBLIC	ANNUAL APPEAL	250
LEUKEMIA & LYMPHOMA SOCIETY	LEUKEMIA & LYMPHOMA SOCIETY	N/A	5 COMPUTER DR W #100		PUBLIC	GENERAL SUPPORT	250
LIV WITH AUTISM FOUNDATION	LIV WITH AUTISM FOUNDATION	N/A	PO BOX 436		PUBLIC	CONTRIBUTION	100
LIVING RESOURCES FOUNDATION	LIVING RESOURCES FOUNDATION	N/A	300 WASHINGTON AVE EXT		PUBLIC	GENERAL SUPPORT	350
MDA	MDA	N/A	1 MARCUS BLVD 203		PUBLIC	RESEARCH MINUTES/GENERAL SUPPORT	900

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Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name		Address		Relationship	Status	Purpose	Amount
Address							
MUSCULAR DYSTROPHY ASSOCIATION ALBANY NY 12203	N/A	PO BOX 3607	PUBLIC			GENERAL SUPPORT	19,750
N-CAP		2495 ROSENDALE ROAD	PUBLIC			MEMBERSHIP	100
NISKAYUNA NY 12309	N/A	699 PRINCE ST	PUBLIC			GENERAL SUPPORT	562
NATIONAL CENTER FOR MISSING & EXPLO ALEXANDRIA VA 22314	N/A	733 THIRD AVENUE	PUBLIC			GENERAL SUPPORT	100
NATIONAL MULTIPLE SCLEROSIS SOCIETY NEW YORK NY 10017	N/A	101 SOUTH INDEPENDENCE MAL	PUBLIC			GENERAL SUPPORT	1,000
NATIONAL MUSEUM OF AMERICAN JEWISH PHILADELPHIA PA 19106	N/A	99 S BROADWAY	PUBLIC			GALA SPONSOR	4,000
NATIONAL MUSEUM OF DANCE SARATOGA SPRINGS NY 1286	N/A	PO BOX 9275	PUBLIC			GENERAL SUPPORT	250
NISKAYUNA POLICE BENEVOLENT ASSOCIA NISKAYUNA NY 12309	N/A	9 GLENDALE ROAD	PUBLIC			GENERAL SUPPORT	25,000
NORMAN ROCKWELL MUSEUM STOCKBRIDGE MA 01262	N/A	9 GLENDALE ROAD	PUBLIC			APPEAL	500
NORMAN ROCKWELL MUSEUM STOCKBRIDGE MA 1262	N/A	418 LIBERTY ST	PUBLIC			DONATION	250
NORTHEAST BALLET COMPANY SCHENECTADY NY 12305	N/A	99 TROY RD	PUBLIC			GIFT OF LIFE CELEBRATION/ 200 LITERS	850
NORTHEAST KIDNEY FOUNDATION EAST GREENBUSH NY 12061	N/A	530 FRANKLIN ST	PUBLIC			GENERAL SUPPORT	250
NORTHEAST PARENT & CHILD SOCIETY SCHENECTADY NY 12304	N/A	301 WASHINGTON AVENUE	PUBLIC			GENERAL SUPPORT	250
NORTHEASTERN ASSOCIATION OF THE BLI ALBANY NY 12206	N/A	176 WEST BUSH RD	PUBLIC			GENERAL SUPPORT	15
NYSUT RETIREE COUNCIL 12 GLOVERSVILLER NY 12078	N/A	1 JEANNE JUGAN LANE	PUBLIC			GALA	250
OUR LADY OF HOPE RESIDENCE LATHAM NY 12110	N/A	1 STEUBEN PL	PUBLIC			MEMBERSHIP	2,500
PARK PLAYHOUSE, INC. ALBANY NY 12201	N/A	8178 LARK BROWN ROAD	PUBLIC			GENERAL SUPPORT	191
PHI SIGMA SIGMA FOUNDATION ELKCRIDGE MD 21075	N/A						

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**Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship		Status	Purpose	Amount
PLANNED PARENTHOOD		1040 STATE STREET				PUBLIC	CONTRIBUTION	750
SCHENECTADY NY 12307	N/A	432 STATE STREET				PUBLIC	GENERAL SUPPORT	17,133
PROCTOR'S THEATER		432 STATE STREET				PUBLIC	MEMBERSHIP/ GALA/CONTRIBUTION	30,600
SCHENECTADY NY 12305	N/A	900 DOBBS FERRY ROAD				PUBLIC	CONTRIBUTION	250
PROCTOR'S THEATER		965 ALBANY SHAKER ROAD				PUBLIC	DINNER	1,400
SCHENECTADY NY 12305	N/A	46 HOLMES DALE				PUBLIC	CONTRIBUTION	100
PROJECT MORRY		110 EIGHTH STREET				PUBLIC	GENERAL SUPPORT	35,000
WHITE PLAINS NY 10607	N/A	ONE KROC DRIVE				PUBLIC	GENERAL SUPPORT	250
REGIONAL FOOD BANK OF NORTHEASTERN		1133 MORNINGSIDE AVE				PUBLIC	GARDEN PARTY	200
LATHAM NY 12110	N/A	220 N BALLSTON AVENUE				PUBLIC	GENERAL SUPPORT	250
RENAISSANCE MUSICAL ARTS		16 SARATOGA BRIDGES BLVD				PUBLIC	GALA	500
ALBANY NY 12203	N/A	8 LAKEWOOD DRIVE				PUBLIC	CONTRIBUTION	250
RENSSELAER POLYTECHNIC INSTITUTE		112 SPRING ST				PUBLIC	GENERAL SUPPORT	100
TROY NY 12180	N/A	108 AVENUE OF THE PINES				PUBLIC	GENERAL SUPPORT	10,000
RONALD MCDONALD HOUSE CHARITIES		PO BOX 107				PUBLIC	SPONSOR	5,000
OAK BROOK IL 60523	N/A	78 WASHINGTON AVENUE				PUBLIC	ALL THAT JAZZ/FOOD FOR THOUGHT	1,200
ROSE GARDEN RESTORATION COMMITTEE		1509 UNION ST				PUBLIC	MUSICAL	300
SCHENECTADY NY 12309	N/A							
SAMARITAN COUNSELING CENTER OF THE								
SCOTIA NY 12302	N/A							
SARATOGA BRIDGES								
BALLSTON SPA NY 12020	N/A							
SARATOGA CHILDREN'S THEATER, INC.								
SARATOGA SPRINGS NY 1286	N/A							
SARATOGA P.L.A.N.								
SARATOGA SPRINGS NY 1286	N/A							
SARATOGA PERFORMING ARTS CENTER								
SARATOGA SPRINGS NY 1286	N/A							
SARATOGA SPONSOR-A-SCHOLAR								
SARATOGA SPRINGS NY 1286	N/A							
SCCC FOUNDATION								
SCHENECTADY NY 12305	N/A							
SCHENECTADY CANCER FOUNDATION								
SCHENECTADY NY 12309	N/A							

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**Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
SCHENECTADY COUNTY HISTORICAL SOCIETY	NY 12305	SOCIE	32 WASHINGTON AVE	N/A	PUBLIC	MEMBERSHIP	250
SCHENECTADY DAY NURSERY	NY 12305		25 LAFAYETTE STREET	N/A	PUBLIC	CONTRIBUTION/GIFT/LOBSTER FEST	8,595
SCHENECTADY JEWISH COMMUNITY CENTER	NY 12309		2565 BALLTOWN ROAD	N/A	PUBLIC	LITTLE BIT OF JAZZ	300
SCHENECTADY MUSEUM	NY 12308		15 NOTT TERRACE HEIGHTS	N/A	PUBLIC	GALA/CONVENTION SPONSOR/CONTRIBUTION	3,535
SCHENECTADY MUSEUM & PLANETARIUM	NY 12308		15 NOTT TERRACE HEIGHTS	N/A	PUBLIC	GENERAL SUPPORT	62,500
SCHENECTADY SYMPHONY ORCHESTRA	NY 12305		432 STATE STREET	N/A	PUBLIC	FASHION SHOW	100
SENIOR SERVICES OF ALBANY	NY 12210		25 DELAWARE AVE	N/A	PUBLIC	TRAVERS WINE TASTING	220
SOROPTIMIST INTERNATIONAL	NY 12309		PO BOX 9303	N/A	PUBLIC	CONTRIBUTION	122
SPECIAL OLYMPICS NEW YORK, INC	NY 12304		500 BALLTOWN ROAD	N/A	PUBLIC	GENERAL SUPPORT	10,500
ST. JUDE CHILDREN'S RESEARCH HOSPIT	MEMPHIS TN 38105		332 N LAUDERDALE	N/A	PUBLIC	CONTRIBUTION	6,570
STRIDE, INC.	NY 12144		PO BOX 778	N/A	PUBLIC	EVENT	300
SUNNYVIEW HOSPITAL FOUNDATION	NY 12308		1270 BELMON AVE	N/A	PUBLIC	GENERAL SUPPORT	8,333
SUNNYVIEW HOSPITAL FOUNDATION	NY 12308		1270 BELMONT AVENUE	N/A	PUBLIC	BOARD APPEAL	600
SUSAN G. KOMEN	TX 75244		5005 LBJ FREEAY	N/A	PUBLIC	CONTRIBUTION	50
THE COMMUNITY FOUNDATION OF THE CAP	ALBANY NY 12203		6 TOWER PLACE	N/A	PUBLIC	GENERAL SUPPORT	250
THE DOANE STUART SCHOOL	ALBANY NY 12202		SOUTH PEARL STREET	N/A	PUBLIC	GALA/ ANNUAL APPEAL	3,156
THE EGG	ALBANY NY 12220		PO BOX 2065	N/A	PUBLIC	GENERAL SUPPORT/MEMBERSHIP	690

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Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)

Name		Address		Status	Purpose	Amount
Address	Relationship					
THE EMERY/WEINER SCHOOL HOUSTON TX 77025	N/A	9825 STELLA LINK RD PUBLIC	SCHOLARSHIP FUND	180		
THE FRIENDS OF THE SCHENECTADY COUN SCHENECTADY NY 12305	N/A	99 CLINTON ST PUBLIC	GENERAL SUPPORT	100		
THE GAZETTE NEWPAPERS SCHENECTADY NY 12308	N/A	2345 MAXON ROAD PUBLIC	NEWSPAPER IN EDUCATION	512		
THE GRAND BOULEVARD FIRE COMPANY NISKAYUNA NY 12309	N/A	1079 BALLTOWN RD PUBLIC	ANNUAL FUND	250		
THE NATURE CONSERVANCY ARLINGTON VA 22203	N/A	4245 NORTH FAIRFAX DR PUBLIC	GIFT	250		
THE SALVATION ARMY ALEXANDRIA VA 22313	N/A	615 SLATERS LANE PUBLIC	GIFT	250		
THE TRAVERS CELEBRATION CLIFTON PARK NY 12065	N/A	6 CHELSEA PLACE PUBLIC	TIMES UNION HOPE FUND/B.E.S.T.	300		
TO LIFE! SCHENECTADY NY 12305	N/A	412 UNION STREET PUBLIC	GALA/PINK BALL	500		
TO LOVE A CHILD, INC. CLIFTON PARK NY 12065	N/A	PO BOX 165 PUBLIC	EVENT/APPEAL	450		
TWIN RIVERS COUNCIL ALBANY NY 12205	N/A	253 WASHINGTON AVE EXT PUBLIC	GENERAL SUPPORT	500		
UNION COLLEGE SCHENECTADY NY 12308	N/A	1215 WENDELL AVENUE PUBLIC	GENERAL SUPPORT	25,000		
UNITED JEWISH FEDERATION OF NENY LATHAM NY 12110	N/A	800 NEW LOUDON ROAD PUBLIC	GENERAL SUPPORT	393,375		
UNITED STATES GOLF ASSOCIATION FAR HILLS NJ 7931	N/A	77 LIBERTY CORNER ROAD PUBLIC	GENERAL SUPPORT	300		
UNITED WAY OF THE CAPITAL REGION ENOLA PA 17025	N/A	2235 MILLENNIUM WAY PUBLIC	GENERAL SUPPORT	38,000		
UNITED WAY OF THE GREATER CAPITAL R BALLSTON SPA NY 12020	N/A	23 SNOWBERRY RD PUBLIC	GENERAL SUPPORT	120		
UNITY HOUSE TROY NY 12180	N/A	33 SECOND ST PUBLIC	GIFT	250		
UNIVERSITY OF MICHIGAN ANN ARBOR MI 48109	N/A	CAMPUS PUBLIC	GIFT	500		

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**Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
Address							
UNIVERSITY AT ALBANY FOUNDATION	ALBANY NY 12222	N/A	1400 WASHINGTON AVENUE		PUBLIC	CITIZEN LAUREATE	400
WHISKERS ANIMAL BENEVOLENT LEAGUE	ALBANY NY 12211	N/A	PO BOX 11190		PUBLIC	DONATION	250
WILDWOOD FOUNDATION	SCHENECTADY NY 12303	N/A	2995 CURRY ROAD EXT		PUBLIC	GENERAL SUPPORT	100,000
WILDWOOD FOUNDATION	SCHENECTADY NY 12303	N/A	2995 CURRY ROAD EXT		PUBLIC	GALA	500
WOMEN'S EMPLOYMENT RESOURCE CENTER	ALBANY NY 12206	N/A	175 CENTRAL AVE		PUBLIC	GENERAL SUPPORT	310
YWCA NORTHEASTERN NEW YORK	SCHENECTADY NY 12305	N/A	44 WASHINGTON AVE		PUBLIC	ACHIEVEMENT DINNER/ANNUAL APPEAL	250
Total							<u>1,322,567</u>

Federal Statements**Statement 10 - Form 990-PF, Part XV, Line 3b - Grants and Contributions Approved for Future Pmt**

Name		Address		Relationship	Status	Purpose	Amount
Address							
SCHENECTADY MUSEUM & PLANETARIUM	15 NOTT TERRACE HEIGHTS					GENERAL SUPPORT	187,500
SCHENECTADY NY 12308	N/A		PUBLIC				
NORMAN ROCKWELL MUSEUM	9 GLENDALE ROAD					GENERAL SUPPORT	25,000
STOCKBRIDGE MA 01262	PUBLIC						
CAPITAL REGION YOUTH TENNIS FOUNDAT	785 WASHINGTON AVENUE					GENERAL SUPPORT	3,333
ALBANY NY 12206	PUBLIC						
ADL A WORLD OF DIFFERENCE INSTITUTE	125 WOLF ROAD					GENERAL SUPPORT	50,000
ALBANY NY 12205	PUBLIC						
SUNNYVIEW HOSPITAL FOUNDATION	1270 BELMONT AVENUE					GENERAL SUPPORT	8,334
SCHENECTADY NY 12308	PUBLIC						
WILDWOOD FOUNDATION	2995 CURRY ROAD EXT					GENERAL SUPPORT	100,000
SCHENECTADY NY 12303	PUBLIC						
Total							374,167