



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



CS PH21B2V

1112

Form 990-PF

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation
**ARTHUR M GOLDBERG AND VERONICA GOLDBERG
FOUNDATION, INC**

Number and street (or P.O. box number if mail is not delivered to street address)
20 POST KENNEL ROAD

City or town, state, and ZIP code
FAR HILLS, NJ 07931

Room/suite

A Employer identification number
22-2779193

B Telephone number
908-766-2320

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 7,012,279. (Part I, column (d) must be on cash basis.)

J Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check ☒ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	173,493.	171,101.		STATEMENT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	210,307.			
b	Gross sales price for all assets on line 6a	6,999,054.			
7	Capital gain net income (from Part IV, line 2)		210,307.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	317.	317.		STATEMENT 2
12	Total. Add lines 1 through 11	384,117.	381,725.		
13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	26,351.	0.		26,351.
c	Other professional fees				
17	Interest				
18	Taxes	14,735.	3,535.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	115,973.	34,459.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	157,059.	37,994.		26,351.
25	Contributions, gifts, grants paid	519,250.			519,250.
26	Total expenses and disbursements. Add lines 24 and 25	676,309.	37,994.		545,601.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<292,192.>			
b	Net investment income (if negative, enter -0-)		343,731.		
c	Adjusted net income (if negative enter -0-)			N/A	

SCANNED FEB 13 2013

ENVELOPE JAN 25 2013 POSTMARK DATE

1109

123501
12-02-11

LHA For Paperwork Reduction Act Notice, see instructions.

**ARTHUR M GOLDBERG AND VERONICA GOLDBERG
FOUNDATION, INC**

Form 990-PF (2011)

22-2779193 Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	4,063.	4,044.	4,044.
	2 Savings and temporary cash investments	336,256.	341,450.	341,450.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 6	1,342,954.	1,362,151.	1,372,821.
	b Investments - corporate stock STMT 7	3,304,238.	3,336,025.	3,463,433.
	c Investments - corporate bonds STMT 8	2,013,279.	1,364,537.	1,397,184.
11 Investments - land, buildings, and equipment, basis				
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other STMT 9	80,333.	403,248.	414,828.	
14 Land, buildings, and equipment, basis				
Less: accumulated depreciation				
15 Other assets (describe STATEMENT 10)	41,043.	18,519.	18,519.	
16 Total assets (to be completed by all filers)	7,122,166.	6,829,974.	7,012,279.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	7,122,166.	6,829,974.	
	30 Total net assets or fund balances	7,122,166.	6,829,974.	
31 Total liabilities and net assets/fund balances	7,122,166.	6,829,974.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	7,122,166.
2 Enter amount from Part I, line 27a	<292,192.>
3 Other increases not included in line 2 (itemize)	0.
4 Add lines 1, 2, and 3	6,829,974.
5 Decreases not included in line 2 (itemize)	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6,829,974.

ARTHUR M GOLDBERG AND VERONICA GOLDBERG
FOUNDATION, INC

22-2779193 Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 6,999,054.		6,788,747.	210,307.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			210,307.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	210,307.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	199,348.	7,134,221.	.027943
2009	227,066.	6,536,922.	.034736
2008	150,593.	7,288,772.	.020661
2007	350,142.	8,604,426.	.040693
2006	347,587.	8,140,242.	.042700

2 Total of line 1, column (d)	2	.166733
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.033347
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	7,397,371.
5 Multiply line 4 by line 3	5	246,680.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,437.
7 Add lines 5 and 6	7	250,117.
8 Enter qualifying distributions from Part XII, line 4	8	545,601.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**ARTHUR M GOLDBERG AND VERONICA GOLDBERG
FOUNDATION, INC**

Form 990-PF (2011)

22-2779193

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	3,437.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,437.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,437.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	6,558.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	6,558.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,121.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ☒ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(i)(3) or 4942(i)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Form 990-PF (2011)

**ARTHUR M GOLDBERG AND VERONICA GOLDBERG
FOUNDATION, INC**

Form 990-PF (2011)

22-2779193

Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► ROSS, ANGLIM, ANGELINI & CO LLP Telephone no. ► (908) 561-1600 Located at ► 775 MOUNTAIN BLVD, WATCHUNG, NJ ZIP+4 ► 07069			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Form 990-PF (2011)

ARTHUR M GOLDBERG AND VERONICA GOLDBERG
FOUNDATION, INC

Form 990-PF (2011)

22-2779193

Page 6

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A ☒

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2011)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Form 990-PF (2011)

ARTHUR M GOLDBERG AND VERONICA GOLDBERG
FOUNDATION, INC

Form 990-PF (2011)

22-2779193 Page 8

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,129,246.
b	Average of monthly cash balances	1b	380,775.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	7,510,021.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	7,510,021.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	112,650.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,397,371.
6	Minimum investment return. Enter 5% of line 5	6	369,869.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	369,869.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	3,437.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	3,437.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	366,432.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	366,432.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	366,432.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	545,601.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	545,601.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	3,437.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	542,164.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

**ARTHUR M GOLDBERG AND VERONICA GOLDBERG
FOUNDATION, INC**

Form 990-PF (2011)

22-2779193 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				366,432.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			149,618.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 545,601.				
a Applied to 2010, but not more than line 2a			149,618.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				366,432.
e Remaining amount distributed out of corpus	29,551.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	29,551.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	29,551.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011	29,551.			

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ARTHUR M GOLDBERG AND VERONICA GOLDBERG

Form 990-PF (2011)

FOUNDATION, INC

22-2779193

Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ST. BERNARDS SCHOOL 4 EAST 98TH STREET NEW YORK, NY 10029	N/A	SCHOOL	GENERAL FUND	5,000.
BREAST CANCER RESEARCH FOUNDATION 60 EAST 56TH STREET, 8TH FLOOR NEW YORK, NY 10022	N/A	PUBLIC CHARITY	GENERAL FUND	5,000.
RONALD MC DONALD HOUSE CHARITABLE ACCOUNTING, ONE KROE DRIVE OAK BROOK, IL 60523	N/A	PUBLIC CHARITY	GENERAL FUND	2,500.
THE CHILDREN'S STOREFRONT SCHOOL 70 EAST 129TH STREET NEW YORK, NY 10035	N/A	SCHOOL	GENERAL FUND	5,000.
COLUMBIA GRAMMAR & PREP SCHOOL 5 WEST 93RD STREET NEW YORK, NY 10025	N/A	SCHOOL	GENERAL FUND	5,000.
Total	SEE CONTINUATION SHEET(S)			519,250.
b Approved for future payment				
NONE				
Total				0.

Form 990-PF (2011)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|-------|-----|----|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No	
	Signature of officer or trustee <i>Veronica A. ...</i>		Date <i>1/25/13</i>		Title PRESIDENT	
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature		Date <i>1/23/13</i>	
	PATRICIA MILO		<i>Patricia Milo, CPA</i>		Check ☐ if self-employed	
	Firm's name ▶ ROSS, ANGLIM, ANGELINI & CO., LLP		PTIN P00087527			
	Firm's address ▶ 775 MOUNTAIN BOULEVARD WATCHUNG, NJ 07069					Phone no. 908-561-1600

ARTHUR M GOLDBERG AND VERONICA GOLDBERG
FOUNDATION, INC

22-2779193

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HONDURAN MEDICAL INSTITUTE INC 3959 BROADWAY-SUITE 501N NEW YORK, NY 10032	N/A	PUBLIC CHARITY	GENERAL FUND	5,000.
NY PRESBYTERIAN HOSPITAL 180 FT. WASHINGTON AVENUE NEW YORK, NY 10032	N/A	HOSPITAL	GENERAL FUND	5,000.
COLUMBIA UNIVERSITY 180 FT. WASHINGTON AVENUE NEW YORK, NY 10032	N/A	UNIVERSITY	GENERAL FUND	5,000.
VILLANOVA UNIVERSITY SCHOOL OF LAW 299 NORTH SPRING MILL RD VILLANOVA, PA 19085	N/A	UNIVERSITY	ANNUAL FUND	295,000.
GLASS ROOTS INC. 10 BLEEKER STREET NEWARK, NJ 07102	N/A	PUBLIC CHARITY	GENERAL FUND	250.
NEW JERSEY PERFORMING ARTS CENTER ONE CENTER STREET NEWARK, NJ 07102	N/A	PUBLIC CHARITY	GENERAL FUND	50,000.
DRUMTHWACKET FOUNDATION 354 STOCKTON STREET PRINCETON, NJ 08540	N/A	PUBLIC CHARITY	GENERAL FUND	5,000.
ST. JUDE CHILDREN'S RESEARCH HOSPITAL 501 ST. JUDE PLACE MEMPHIS, TN 38105	N/A	HOSPITAL	GENERAL FUND	1,000.
ST. VINCENT ACADEMY 228 WEST MARKET STREET NEWARK, NJ 07103-2715	N/A	SCHOOL	GENERAL FUND	5,000.
BOSTON COLLEGE 140 COMMONWEALTH AVENUE CHESTNUT HILL, MA 02467	N/A	COLLEGE	GENERAL SCHOLARSHIP FUND	5,000.
Total from continuation sheets				496,750.

ARTHUR M GOLDBERG AND VERONICA GOLDBERG
FOUNDATION, INC

22-2779193

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOSTON COLLEGE 140 COMMONWEALTH AVENUE CHESTNUT HILL, MA 02467	N/A	COLLEGE	ARTHUR M GOLDBERG MEMORIAL SCHOLARSHIP FUND	40,000.
NEW JERSEY SYMPHONY ORCHESTRA 60 PARK PLACE, 9TH FLOOR NEWARK, NJ 07102	N/A	PUBLIC CHARITY	GENERAL FUND	500.
MAKING HEADWAY FOUNDATION 115 KING ST CHAPPAQUA, NY 10514	N/A	PUBLIC CHARITY	GENERAL FUND	15,000.
COLUMBIA BUSINESS SCHOOL 33 WEST 60TH STREET, 7TH FLOOR NEW YORK, NY 10023	N/A	UNIVERSITY	COLUMBIA BUSINESS FUND	5,000.
PINGRY SCHOOL MARTINSVILLE RD, PO BOX 366 MARTINSVILLE, NJ 08836	N/A	SCHOOL	BOYS LACROSSE FUND	7,500.
GREENE TOWNE SCHOOL 2121 ARCH STREET PHILADELPHIA, PA 19103-1307	N/A	SCHOOL	ANNUAL FUND	1,966.
HAMILTON COLLEGE 198 COLLEGE HILL ROAD CLINTON, NY 13323	N/A	COLLEGE	GENERAL FUND	1,000.
UNIVERSITY OF PENNSYLVANIA LAW SCHOOL 3400 CHESTNUT STREET PHILADELPHIA, PA 19104	N/A	UNIVERSITY	ANNUAL FUND	25,000.
PHILADELPHIA ORCHESTRA ASSOCIATION 260 SOUTH BROAD STREET, SUITE 1600 PHILADELPHIA, PA 19102	N/A	PUBLIC CHARITY	GENERAL FUND	5,000.
THE FREE LIBRARY OF PHILADELPHIA 1901 VINE STREET, ROOM 111 PHILADELPHIA, PA 19103	N/A	PUBLIC CHARITY	GENERAL FUND	5,000.
Total from continuation sheets				

22-2779193

3 Grants and Contributions Paid During the Year (Continuation)Total from continuation sheets

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a UBS 284 (SEE ATTACHED)		P	VARIOUS	VARIOUS
b UBS 284 (SEE ATTACHED)		P	VARIOUS	VARIOUS
c UBS 285 (SEE ATTACHED)		P	VARIOUS	VARIOUS
d UBS 285 (SEE ATTACHED)		P	VARIOUS	VARIOUS
e BASIS ADJ - DEUTSCHE BANK (UBS 285)		P	01/04/11	VARIOUS
f UBS 286 (SEE ATTACHED)		P	VARIOUS	VARIOUS
g UBS 286 (SEE ATTACHED)		P	VARIOUS	VARIOUS
h UBS 289 (SEE ATTACHED)		P	VARIOUS	VARIOUS
i UBS 289 (SEE ATTACHED)		P	VARIOUS	VARIOUS
j ADJ - ROBBINS & MYERS (UBS 289)		P	VARIOUS	VARIOUS
k UBS 291 (SEE ATTACHED)		P	VARIOUS	VARIOUS
l UBS 303 (SEE ATTACHED)		P	VARIOUS	VARIOUS
m UBS 303 (SEE ATTACHED)		P	VARIOUS	VARIOUS
n UBS 303 - WASH SALE ADJUSTMENT		P	07/07/09	08/30/11
o REDEMPTION - CENTRAIS ELECTRAIS (UBS 303)		P	VARIOUS	01/28/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 13,086.		15,202.	<2,116.>
b 212,590.		197,374.	15,216.
c 3,654,077.		3,641,193.	12,884.
d 1,561,128.		1,510,727.	50,401.
e		106.	<106.>
f 4,218.		4,426.	<208.>
g 52,975.		54,449.	<1,474.>
h 20,938.		14,600.	6,338.
i 106,546.		104,286.	2,260.
j 3.			3.
k 66,846.		42,329.	24,517.
l 57,555.		50,882.	6,673.
m 149,004.		121,160.	27,844.
n		<57.>	57.
o 9.			9.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<2,116.>
b			15,216.
c			12,884.
d			50,401.
e			<106.>
f			<208.>
g			<1,474.>
h			6,338.
i			2,260.
j			3.
k			24,517.
l			6,673.
m			27,844.
n			57.
o			9.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a UBS 096 (SEE ATTACHED)	P	VARIOUS	VARIOUS
b UBS 096 (SEE ATTACHED)	P	VARIOUS	VARIOUS
c UBS 096 - WASH SALE ADJUSTMENT	P	VARIOUS	VARIOUS
d RETURN OF PRINC - ETFS PALLADIUM TRUST (UBS 096)	P	VARIOUS	12/31/11
e RETURN OF PRINC - ETFS PLATINUM TRUST (UBS 096)	P	VARIOUS	12/31/11
f RETURN OF PRINC - ETFS SPDR GOLD TRUST (UBS 096)	P	VARIOUS	12/31/11
g BASIS ADJUSTMENT- PROSHARES ULTRASHORT (UBS 096)	P	VARIOUS	VARIOUS
h UBS 408 (SEE ATTACHED)	P	VARIOUS	VARIOUS
i UBS 408 (SEE ATTACHED)	P	VARIOUS	VARIOUS
j REDEMPTION - TELE NORTE LESTE (UBS 408)	P	VARIOUS	04/26/11
k CAPITAL GAINS DIVIDENDS			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 704,756.		731,447.	<26,691.>
b 201,152.		142,064.	59,088.
c		<17,040.>	17,040.
d 15.		15.	0.
e 10.		10.	0.
f 4.		4.	0.
g		303.	<303.>
h 175,003.		158,801.	16,202.
i 18,891.		16,466.	2,425.
j 1.			1.
k 247.			247.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<26,691.>
b			59,088.
c			17,040.
d			0.
e			0.
f			0.
g			<303.>
h			16,202.
i			2,425.
j			1.
k			247.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) (If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7)	2	210,307.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND INCOME	86,699.	247.	86,452.
INTEREST INCOME	87,041.	0.	87,041.
TOTAL TO FM 990-PF, PART I, LN 4	173,740.	247.	173,493.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PROSHARES ULTRASHORT CLASS ACTION PROCEEDS	303. 14.	303. 14.	
TOTAL TO FORM 990-PF, PART I, LINE 11	317.	317.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	26,351.	0.		26,351.
TO FORM 990-PF, PG 1, LN 16B	26,351.	0.		26,351.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	3,535.	3,535.		0.
FEDERAL TAXES	11,200.	0.		0.
TO FORM 990-PF, PG 1, LN 18	14,735.	3,535.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKER FEES	74,804.	0.		0.
ADR FEES	945.	0.		0.
LOSS FROM ABBEY CAPITAL MULTI MANAGER FUND, LTD	40,144.	34,379.		0.
MISC EXPENSE	80.	80.		0.
TO FORM 990-PF, PG 1, LN 23	115,973.	34,459.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 6

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
UBS 285	X		1,362,151.	1,372,821.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,362,151.	1,372,821.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,362,151.	1,372,821.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 284	475,887.	486,237.
UBS 286	213,384.	176,257.
UBS 289	293,453.	328,564.
UBS 291	592,742.	716,805.
UBS 303	675,418.	556,845.
UBS 096	433,410.	485,118.
UBS 408	651,731.	713,607.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,336,025.	3,463,433.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS 285	1,364,537.	1,397,184.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,364,537.	1,397,184.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
-------------	-------------------	-----------	---

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
UBS 284	COST	15,720.	21,741.
UBS 096	COST	21,082.	16,416.
UBS 408	COST	40,823.	54,336.
UBS 288 - ABBEY CAPITAL	COST	315,106.	309,065.
UBS 096	COST	10,517.	13,270.
TOTAL TO FORM 990-PF, PART II, LINE 13		403,248.	414,828.

FORM 990-PF	OTHER ASSETS	STATEMENT	10
-------------	--------------	-----------	----

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
INTEREST RECEIVABLE	34,817.	17,352.	17,352.
DUE FROM BROKER	6,226.	1,167.	1,167.
TO FORM 990-PF, PART II, LINE 15	41,043.	18,519.	18,519.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
RICHARD B NEFF 757 SE 17TH ST, #331 FORT LAUDERDALE, FL 33316	TREASURER 0.00	0.	0.	0.
VERONICA GOLDBERG 20 POST KENNEL ROAD FAR HILLS, NJ 07931	PRESIDENT, SECRETARY 0.00	0.	0.	0.
WENDY PEW 440 SOUTH BROAD STREET, PH #1 PHILADELPHIA, PA 19146	DIRECTOR 0.00	0.	0.	0.
JODY SEIBERT 1113 WOODMONT ROAD GLADWYNE, PA 19035	DIRECTOR 0.00	0.	0.	0.
MICHAEL GOLDBERG 913 PONUS RIDGE ROAD NEW CANAAN, CT 06840	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Account Number UT 11284 4C
Your Financial Advisor or Contact
BLAURADLERBUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P310110000007600002561-X1

Other Income Details

The items in this section are provided to assist you with your tax return preparation. You should discuss the appropriate treatment of these fees and expenses with your tax advisor.
* Applicable to Tax-exempt Original Issue Discount information only

Activity	Security Description	Cusip number	*Days held	*Quantity/ Face amount	Payment Date	*Amortized acquisition premium	Amount
Program Fees and Related Services	ADVISORY ACCOUNT FEE-UT 11284 ARTHUR AND VERONICA GOLDBERG						8,073.68
Total Program Fees and Related Services							\$ 8,073.68

2011 Realized Gain/Loss Summary

(Include sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary classifies tax lots into three categories within holding period. Type "A" activity was reported to the IRS on Form 1099-B with cost basis on line 3. Type "B" activity was reported to the IRS on Form 1099-B without cost basis. Type "C" activity was not reported to the IRS on Form 1099-B. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2011 Gain/Loss for transactions with trade dates through 12/31/11 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction for Type "A" activity only. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that can adjust or negate wash sales.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

Description	Cost or Other Basis	Sale Amount	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss					
A - Reported to the IRS on Form 1099-B with cost basis	4,447.49	2,853.73		(1,593.76)	(1,593.76)
B - Reported to the IRS on Form 1099-B without cost basis	10,754.01	10,232.74	1,023.68	(1,544.95)	(521.27)
Total Short Term	\$15,201.50	\$13,086.47	\$1,023.68	\$(3,138.71)	\$(2,115.03)
Long-term Gain/Loss					
B - Reported to the IRS on Form 1099-B without cost basis	197,373.94	212,590.13	39,545.01	(24,328.82)	15,216.19
Total Long Term	\$197,373.94	\$212,590.13	\$39,545.01	\$(24,328.82)	\$15,216.19
Sub Total	\$212,575.44	\$225,676.60	\$40,568.69	\$(27,467.53)	\$13,101.16
Total			\$40,568.69	\$(27,467.53)	\$13,101.16

Account Number UT 11284 4C
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101L0000007600002562-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
SWIRE PAC LTD SPONS ADR REP	Trade	117 0000		01/05/11	10/04/11	1,201.26	2,047.29	(846.03)	
1 CL A SH (HONG KONG)	Trade	67 0000		01/05/11	12/08/11	779.69	1,172.38	(392.69)	
	Trade	75 0000		01/14/11	12/08/11	872.78	1,227.82	(355.04)	
Sub-Total		259.0000				2,853.73	4,447.49	(1,593.76)	
Sub Total						\$2,853.73	\$4,447.49	\$(1,593.76)	

Short-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
AUTONATION INC	Trade	34 0000		12/08/10	10/25/11	1,362.82	891.93	470.89	
	Trade	11 0000		12/08/10	11/03/11	409.99	288.56	121.43	
	Trade	39 0000		12/09/10	11/03/11	1,453.58	1,022.22	431.36	
Sub-Total		84.0000				3,226.39	2,202.71	1,023.68	
LOEWS CORP	Trade	24 0000		08/19/10	08/18/11	859.89	880.78	(20.89)	
	Trade	44 0000		10/04/10	09/22/11	1,519.34	1,653.31	(133.97)	
	Trade	24 0000		10/04/10	09/28/11	838.63	901.80	(63.17)	
	Trade	57 0000		10/12/10	09/28/11	1,991.73	2,238.11	(246.38)	
Sub-Total		149.0000				5,209.59	5,674.00	(464.41)	

continued next page

Account Number UT 11284 4C
Your Financial Advisor or Contact
BLAU/RADLER/BUICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P310110000007600002563-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
SWIRE PAC LTD SPONS ADR REP									
1 CL A SH (HONG KONG)	Trade	175.0000		12/15/10	10/04/11	1,796.76	2,877.30	(1,080.54)	
Sub Total						\$10,232.74	\$10,754.01	\$(521.27)	
Total Short-Term Gain/Loss						\$13,086.47	\$15,201.50	\$(2,115.03)	

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
ANGLO AMERN PLC NEW ADR	Trade	89.0000		11/06/08	02/04/11	2,372.57	966.18	1,406.39	
	Trade	33.0000		04/16/09	02/04/11	879.72	348.89	530.83	
	Trade	117.0000		04/16/09	03/08/11	3,061.00	1,236.98	1,824.02	
Sub-Total		239.0000				6,313.29	2,552.05	3,761.24	
BEIJING CAPITAL INTL ARPT CO LTD CNY	Trade	1,197.0000		03/08/07	06/15/11	545.21	1,087.12	(541.91)	
	Trade	4,803.0000		03/08/07	06/21/11	2,146.42	4,362.08	(2,215.66)	
	Trade	997.0000		12/11/07	06/21/11	445.55	1,735.08	(1,289.53)	
	Trade	3.0000		12/11/07	07/01/11	1.34	5.22	(3.88)	
Sub-Total		7,000.0000				3,138.52	7,189.50	(4,050.98)	

continued next page

Account Number UT 11284 4C
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P310110000007600002564-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Purchase

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
BERKSHIRE HATHAWAY INC									
NEW CL B	Trade	83 0000		10/14/05	06/14/11	6,232 05	4,586 50	1,645 55	
	Trade	39 0000		10/14/05	07/27/11	2,931 94	2,155 10	776 84	
	Sub-Total	122 0000				9,163 99	6,741 60	2,422 39	
CARNIVAL CORP NEW (PAIRED STOCK)									
	Trade	76 0000		09/02/08	03/25/11	2,948 67	3,009 80	(61 13)	
	Trade	85 0000		09/02/08	03/29/11	3,229 97	3,366 22	(136 25)	
	Trade	91 0000		09/02/08	04/26/11	3,369 19	3,603 84	(234 65)	
	Trade	82 0000		09/02/08	10/26/11	2,910 05	3,247 41	(337 36)	
	Trade	111 0000		09/02/08	11/02/11	3,725 45	4,395 89	(670 44)	
	Sub-Total	445 0000				16,183 33	17,623 16	(1,439 83)	
CB RICHARD ELLIS GROUP INC									
NAME CHANGGE EFF 10/2011 CL A	Trade	93 0000		02/11/10	02/16/11	2,368 55	1,162 84	1,205 71	
	Trade	26 0000		02/12/10	02/16/11	662 18	327 58	334 60	
	Sub-Total	119 0000				3,030 73	1,490 42	1,540 31	
CBRE GROUP INC CL A									
	Trade	98 0000		02/12/10	12/08/11	1,485 17	1,234 72	250 45	
	Trade	30 0000		02/16/10	12/08/11	454 65	389 53	65 12	
	Trade	138 0000		02/17/10	12/08/11	2,091 36	1,780 59	310 77	
	Sub-Total	266 0000				4,031 18	3,404 84	626 34	
CENOVUS ENERGY INC CAD									
	Trade	90 0000		11/12/07	04/26/11	3,426 07	3,045 02	381 05	
	Trade	66 0000		11/12/07	07/27/11	2,550 28	2,233 01	317 27	
	Trade	90 0000		11/12/07	08/04/11	3,218 00	3,045 02	172 98	
	Trade	144 0000		11/12/07	08/09/11	4,638 34	4,872 03	(233 69)	
	Sub-Total	390 0000				13,832 69	13,195 08	637 61	
CHINA LIFE INSURANCE CO LTD									
ADS SPON ADR	Trade	37 0000		03/19/09	02/09/11	2,121 47	1,783 36	338 11	
	Trade	61 0000		03/19/09	03/09/11	3,586 15	2,940 14	646 01	

continued next page

Account Number UT 11284 4C
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P310110000007600002565-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
CHINA LIFE INSURANCE CO LTD ADS SPON ADR	Trade	85.0000		03/19/09	03/28/11		4,569.88	4,096.92	472.96	
	Trade	2.0000		03/19/09	03/29/11		107.60	96.40	11.20	
	Sub-Total	185.0000					10,385.10	8,916.82	1,468.28	
CHINA UNICOM HONG KONG LTD ADR	Trade	214.0000		12/18/08	01/13/11		3,141.85	2,884.61	257.24	
	Trade	210.0000		12/18/08	01/24/11		3,250.29	2,830.70	419.59	
	Sub-Total	424.0000					6,392.14	5,715.31	676.83	
CNOOC LTD SPON ADR	Trade	18.0000		10/13/05	03/03/11		4,103.46	1,132.89	2,970.57	
	Trade	8.0000		10/13/05	12/20/11		1,424.00	503.51	920.49	
	Trade	10.0000		10/13/05	12/20/11		1,780.00	629.39	1,150.61	
	Sub-Total	36.0000					7,307.46	2,265.79	5,041.67	
DE LA RUE PLC GBP	Trade	46.0000		12/09/09	01/05/11		594.93	717.04	(122.11)	
	Trade	46.0000		12/10/09	01/05/11		594.93	732.70	(137.77)	
	Trade	51.0000		12/23/09	01/05/11		659.60	804.22	(144.62)	
FOREST CITY ENTERPRISES INC CL A	Trade	74.0000		12/30/09	01/05/11		957.06	1,173.83	(216.77)	
	Trade	31.0000		12/30/09	01/14/11		381.28	491.74	(110.46)	
	Trade	194.0000		01/07/10	01/14/11		2,386.06	3,087.06	(701.00)	
	Trade	248.0000		01/07/10	01/25/11		2,673.43	3,946.35	(1,272.92)	
	Sub-Total	690.0000					8,247.29	10,952.94	(2,705.65)	
ENCANA CORP CAD	Trade	390.0000		11/12/07	03/23/11		13,357.55	14,011.28	(653.73)	
	Trade	197.0000		03/25/10	11/11/11		2,665.38	2,745.77	(80.39)	
	Trade	85.0000		04/16/10	11/11/11		1,150.03	1,316.97	(166.94)	
FOREST CITY ENTERPRISES INC CL A	Trade	74.0000		04/16/10	11/30/11		877.44	1,146.53	(269.09)	
	Trade	51.0000		05/06/10	11/30/11		604.72	733.51	(128.79)	
	Sub-Total	407.0000					5,297.57	5,942.78	(645.21)	

continued next page

Account Number UT 11284 4C
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P31010000007600002566-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
FRANCO NEV CORP CAD	Trade	47 0000		07/16/10	10/21/11	1,812 59	1,369 72	442 87	
	Trade	9 0000		07/16/10	10/24/11	352 32	262 29	90 03	
	Trade	27 0000		07/19/10	10/24/11	1,056 95	774 74	282 21	
	Trade	12 0000		08/04/10	10/24/11	469 75	384 22	85 53	
	Trade	25 0000		08/04/10	11/28/11	1,022 47	800 45	222 02	
	Trade	14 0000		08/04/10	11/29/11	573 05	448 25	124 80	
	Trade	17 0000		08/05/10	11/30/11	709 98	549 44	160 54	
	Trade	16 0000		08/05/10	12/15/11	592 97	517 12	75 85	
	Trade	50 0000		09/16/10	12/15/11	1,853 02	1,569 92	283 10	
Sub-Total		217 0000				8,443 10	6,676 15	1,766 95	
GRUPO TELEVISION SA DE CV GLOBAL DEP RCT REP ORD MEXICO ADR	Trade	163 0000		10/26/09	11/29/11	3,113 27	3,325 93	(212 66)	
HONG KONG EXCHANGE & CLEARING LTD HKD	Trade	100 0000		02/07/06	03/28/11	2,118 84	495 40	1,623 44	
	Trade	200 0000		02/07/06	04/07/11	4,622 77	990 80	3,631 97	
	Trade	200 0000		02/07/06	05/23/11	4,367 65	990 80	3,376 85	
	Trade	100 0000		02/07/06	06/16/11	2,065 42	495 40	1,570 02	
	Trade	500 0000		02/07/06	07/26/11	10,300 90	2,477 00	7,823 90	
Sub-Total		1,100 0000				23,475 58	5,449 40	18,026 18	
ICICI BANK LTD SPON ADR	Trade	48 0000		06/27/07	08/03/11	2,140 61	2,325 32	(184 71)	
	Trade	71 0000		06/27/07	10/04/11	2,214 21	3,439 54	(1,225 33)	
	Trade	61 0000		06/27/07	10/12/11	2,201 27	2,955 10	(753 83)	
	Trade	21 0000		06/19/09	10/12/11	757 81	627 95	129 86	
	Trade	104 0000		06/19/09	10/18/11	3,786 79	3,109 86	676 93	
Sub-Total		305 0000				11,100 69	12,457 77	(1,357 08)	

continued next page

Account Number UT 11284 4C
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101L0000007600002567-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
IMPERIAL OIL LTD NEW CANADA CAD	Trade	101 0000		10/13/05	08/23/11		4,015 60	3,049 86	965 74	
	Trade	115 0000		10/13/05	10/05/11		4,209 43	3,472 62	736 81	
	Trade	57 0000		10/13/05	12/16/11		2,353 63	1,721 21	632 42	
	Sub-Total	273 0000					10,578 66	8,243 69	2,334 97	
LENDER PROCESSING SERVICES INC	Trade	41 0000		12/28/09	01/07/11		1,230 20	1,751 70	(521 50)	
	Trade	33 0000		12/29/09	01/07/11		990 17	1,392 29	(402 12)	
	Trade	38 0000		12/30/09	01/07/11		1,140 19	1,587 15	(446 96)	
	Trade	26 0000		12/30/09	02/03/11		833 56	1,085 95	(252 39)	
	Trade	23 0000		12/31/09	02/03/11		737 38	950 20	(212 82)	
	Trade	8 0000		12/31/09	02/04/11		244 44	330 50	(86 06)	
	Trade	33 0000		01/04/10	02/04/11		1,008 29	1,367 20	(358 91)	
	Trade	32 0000		01/04/10	02/10/11		1,036 99	1,325 77	(288 78)	
	Trade	47 0000		01/05/10	02/10/11		1,523 07	1,955 17	(432 10)	
	Sub-Total	281 0000					8,744 29	11,745 93	(3,001 64)	
LIBERTY MEDIA CORPORATION COM	Cash in lieu	0 3650		06/10/10	11/29/11		28 14	14 79	13 35	
	Trade	56 0000		07/14/10	08/08/11		2,078 83	2,029 46	49 37	
	Trade	15 0000		07/14/10	08/18/11		537 43	543 60	(6 17)	
	Trade	69 0000		08/04/10	08/18/11		2,472 20	2,627 99	(155 79)	
	Trade	71 0000		08/11/10	08/18/11		2,543 85	2,649 78	(105 93)	
	Trade	44 0000		08/19/10	09/07/11		1,613 19	1,614 75	(1 56)	
	Trade	47 0000		08/26/10	09/07/11		1,723 17	1,646 51	76 66	
	Trade	25 0000		08/26/10	09/13/11		909 45	875 80	33 65	
	Trade	51 0000		09/02/10	09/13/11		1,855 29	1,834 10	21 19	
	Trade	25 0000		09/02/10	09/22/11		863 26	899 07	(35 81)	

continued next page

Account Number UT 11284 4C
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101L0000007600002568-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
LOEWS CORP	Trade	7 0000		10/12/10	11/08/11	277.24	274.86	2.38	
	Trade	68 0000		10/29/10	11/08/11	2,693.17	2,688.01	5.16	
	Sub-Total	478.0000				17,567.08	17,683.93	(116.85)	
NASDAQ OMX GROUP (THE)	Trade	57 0000		03/21/06	03/23/11	1,424.51	2,532.98	(1,108.47)	
	Trade	60 0000		04/03/06	03/23/11	1,499.49	2,396.03	(896.54)	
	Trade	18 0000		09/21/06	03/23/11	449.85	555.79	(105.94)	
	Trade	182 0000		09/21/06	05/05/11	4,817.35	5,619.61	(802.26)	
	Trade	21 0000		09/27/06	05/05/11	555.85	646.01	(90.16)	
	Trade	60 0000		03/26/07	05/05/11	1,588.14	1,770.00	(181.86)	
	Trade	126 0000		03/26/07	06/15/11	2,977.69	3,717.00	(739.31)	
	Trade	194 0000		03/26/07	07/07/11	4,993.27	5,723.00	(729.73)	
	Sub-Total	718.0000				18,306.15	22,960.42	(4,654.27)	
	Trade	151 0000		10/13/05	09/20/11	4,041.89	5,535.00	(1,493.11)	
WESTERNZAGROS RES LTD CAD	Trade	976 0000		10/25/07	03/23/11	510.44	3,279.36	(2,768.92)	
	Sub Total					\$212,590.13	\$197,373.94	\$15,216.19	
Total Long-Term Gain/Loss						\$212,590.13	\$197,373.94	\$15,216.19	

Account Number UT 11285 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400004998-X1

2011 Realized Gain/Loss Summary

(Include sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary classifies tax lots into three categories within holding period. Type "A" activity was reported to the IRS on Form 1099-B with cost basis on line 3. Type "B" activity was reported to the IRS on Form 1099-B without cost basis. Type "C" activity was not reported to the IRS on Form 1099-B. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2011 Gain/Loss for transactions with trade dates through 12/30/11 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "vintage purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction for Type "A" activity only. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that can adjust or negate wash sales.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

Description	Cost or Other Basis	Sale Amount	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss					
B - Reported to the IRS on Form 1099-B without cost basis	3,641,192.77	3,654,076.92	45,959.23	(33,075.08)	12,884.15
Total Short Term	\$3,641,192.77	\$3,654,076.92	\$45,959.23	\$(33,075.08)	\$12,884.15
Long-term Gain/Loss					
B - Reported to the IRS on Form 1099-B without cost basis	1,510,727.40	1,561,127.91	54,536.01	(4,135.50)	50,400.51
Total Long Term	\$1,510,727.40	\$1,561,127.91	\$54,536.01	\$(4,135.50)	\$50,400.51
Sub Total	\$5,151,920.17	\$5,215,204.83	\$100,495.24	\$(37,210.58)	\$63,284.66
Total	\$5,151,920.17	\$5,215,204.83	\$100,495.24	\$(37,210.58)	\$63,284.66

Short-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis; proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
AMER INTL GROUP 05 850% 011618 DTD121207 FC071608 MED TERM NTS	Trade	2,000.0000		02/09/11	12/12/11		1,943.76	2,088.00	(144.24)	

continued next page

Account Number UT 11285 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400004999-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis. proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss	disallowed
				Acquired	Date						
ANHEUSER-BUSCH INBEV 02 875% 011516 DTDO12711 FC081511 WORLD WID	Trade	3,000.0000		01/24/11	02/18/11		2,965.08	2,994.51	(29.43)		
	Trade	3,000.0000		01/24/11	06/21/11		3,088.17	2,994.51	93.66		
	Trade	54,000.0000		01/24/11	07/08/11		55,672.92	53,901.18	1,771.74		
	Trade	4,000.0000		01/27/11	07/08/11		4,123.92	4,014.84	109.08		
	Trade	2,000.0000		02/24/11	07/08/11		2,061.96	1,993.17	68.79		
Sub-Total		66,000.0000					67,912.05	65,898.21	2,013.84		
AT & T INC NTS B/E 02 500% 081515 DTDO73010 FC021511	Trade	10,000.0000		07/27/10	01/27/11		9,984.95	9,969.40	15.55		
	Trade	2,000.0000		07/27/10	02/18/11		1,974.46	1,993.88	(19.42)		
	Trade	3,000.0000		07/27/10	06/21/11		3,056.91	2,990.82	66.09		
Sub-Total		15,000.0000					15,016.32	14,954.10	62.22		
BARCLAYS BANK PLC 01 106% 112413 DTDO112410 FC022411 MED TERM NTS	Trade	11,000.0000		11/17/10	02/25/11		10,946.10	11,000.00	(53.90)		
	Trade	94,000.0000		11/17/10	11/03/11		91,346.38	94,000.00	(2,653.62)		
Sub-Total		105,000.0000					102,292.48	105,000.00	(2,707.52)		
BNP PARIBAS NTS B/E 03 600% 022316 DTDO22311 FC082311	Trade	3,000.0000		02/17/11	02/18/11		3,000.33	2,996.88	3.45		
	Trade	2,000.0000		02/17/11	06/21/11		2,034.78	1,997.92	36.86		
	Trade	89,000.0000		02/17/11	08/25/11		87,516.37	88,907.44	(1,391.07)		
	Trade	2,000.0000		02/24/11	08/25/11		1,966.66	2,009.88	(43.22)		
Sub-Total		96,000.0000					94,518.14	95,912.12	(1,393.98)		

continued next page

Account Number UT 11285 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005000-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
CATERPILLAR INC NTS B/E 01 375% 052714 DTD052711 FC112711 CALL@MW +7 5BP	Trade	3,000 0000		05/24/11	06/21/11	3,019 71	2,997 72	21 99	
	Trade	2,000 0000		05/24/11	10/11/11	2,007 30	1,998 48	8 82	
	Trade	2,000 0000		05/24/11	12/12/11	2,022 82	1,998 48	24 34	
Sub-Total		7,000 0000				7,049 83	6,994 68	55 15	
COCA COLA CO COM NTS B/E 03 150% 111520 DTD111510 FC051511	Trade	7,000 0000		11/05/10	01/27/11	6,531 25	6,978 58	Other(447 33)	
	Trade	5,000 0000		11/05/10	02/18/11	4,590 32	4,984 70	Other(394 38)	
	Trade	4,000 0000		11/05/10	06/21/11	3,894 92	3,987 76	Other(92 84)	
	Trade	7,000 0000		11/05/10	10/11/11	7,075 04	6,978 58	Other(96 46)	
Sub-Total		23,000 0000				22,091 53	22,929 62	(838 09)	
CREDIT SUISSE NEW YORK 05 400% 011420 DTD011410 FC071410 NTS B/E	Trade	3,000 0000		02/24/11	09/07/11	2,980 98	3,043 20	(62 22)	
DEUTSCHE BK AG LONDON 02 375% 011113 DTD011110 FC071110 BRNCH	Trade	105,000 0000		01/05/10	01/04/11	106,828 05	104,897 10	1,930 95	
DEUTSCHE BANK AG LONDON 03 250% 011116 DTD011111 FC071111 NTS B/E	Trade	10,000 0000		01/04/11	01/27/11	10,010 40	9,990 80	19 60	
	Trade	5,000 0000	4,995 40	01/04/11	02/18/11	4,967 01	4,955 41	(28 40)	
	Trade	2,000 0000	1,998 16	01/04/11	06/22/11	2,036 22	1,998 28	37 94	
	Trade	88,000 0000	87,919 04	01/04/11	09/06/11	87,563 52	87,927 61	(364 09)	

continued next page

Account Number UT 11285 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005001-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type 'B' activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
DEUTSCHE BANK AG LONDON 03 250% 011116 DTD011111 FC071111 NTS 8/E	Trade	3,000,000		02/24/11	09/06/11	2,985.12	3,001.53	(16.41)	
	Sub-Total	108,000,000	94,912.60			107,562.27	107,913.63	(351.36)	
DIRECTV HLDGS LLC NTS 03 550% 031515 DTD031110 FC091510 CALL@MW+208P	Trade	6,000,000		01/11/11	01/27/11	6,128.22	6,128.52	(0.30)	
	Trade	3,000,000		01/11/11	02/18/11	3,044.52	3,064.26	(19.74)	
	Trade	3,000,000		01/11/11	12/12/11	3,120.72	3,064.26	56.46	
	Sub-Total	12,000,000				12,293.46	12,257.04	36.42	
DOW CHEMICAL CO NTS 05 900% 021515 DTD080709 FC021510 MW 1+508P	Trade	6,000,000		01/24/11	01/27/11	6,651.06	6,655.68	(4.62)	
	Trade	2,000,000		01/24/11	02/18/11	2,217.60	2,218.56	(0.96)	
	Trade	2,000,000		01/24/11	06/21/11	2,259.42	2,218.56	40.86	
	Trade	52,000,000		01/24/11	09/20/11	58,001.84	57,682.56	319.28	
	Trade	2,000,000		02/24/11	09/20/11	2,230.84	2,230.74	0.10	
	Sub-Total	64,000,000				71,360.76	71,006.10	354.66	
FNMA NTS 01 750 % DUE 081012 DTD 071009 FC 08102009	Trade	6,000,000		02/24/11	08/24/11	6,086.82	6,106.56	(19.74)	
	Trade	12,000,000		01/14/11	01/27/11	11,709.13	11,751.96	(42.83)	
FNMA NTS 01 625 % DUE 102615 DTD 092710 FC 10262010	Trade	13,000,000		01/14/11	02/18/11	12,565.10	12,731.29	(166.19)	
	Trade	7,000,000		01/14/11	06/22/11	7,026.39	6,855.31	171.08	
	Trade	11,000,000		01/14/11	10/11/11	11,206.69	10,772.63	434.06	

continued next page

Account Number UT 11285 4S
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005002-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis. Proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase		Sale Amount	Cost or Other Basis	Gain/Loss	Wash Sale Loss Disallowed
				Date Acquired	Date Sold				
FNMA NTS 01 625 % DUE 102615 DTD 092710 FC 10262010	Trade	10,000 0000		01/14/11	12/09/11	10,269 50	9,793 30	476 20	
	Trade	212,000 0000		01/14/11	12/20/11	217,353 00	207,617 96	9,735 04	
	Trade	8,000 0000		02/24/11	12/20/11	8,202 00	7,774 65	427 35	
	Sub-Total	273,000 0000				278,331 81	267,297 10	11,034 71	
FNMA NTS 01 125 % DUE 062714 DTD 051611 FC 06272011	Trade	5,000 0000		08/24/11	10/11/11	5,049 15	5,078 45	(29 30)	
	Trade	8,000 0000		08/24/11	12/09/11	8,116 00	8,125 52	(9 52)	
	Sub-Total	13,000 0000				13,165 15	13,203 97	(38 82)	
GENL ELEC CAP CORP NTS 04 375% 091620 DTD091610 FC031611 B/E	Trade	14,000 0000		09/13/10	01/27/11	13,637 12	13,890 38	(253 26)	
	Trade	8,000 0000		09/13/10	02/18/11	7,750 24	7,937 36	(187 12)	
	Trade	2,000 0000		09/13/10	05/21/11	1,988 62	1,984 34	4 28	
	Sub-Total	24,000 0000				23,375 98	23,812 08	(436 10)	
GOLDMAN SACHS GROUP INC 01 175% 092914 DTD092904 FC122904 UNITS NTS	Trade	8,000 0000		12/01/10	01/27/11	7,820 00	7,820 06	(0 06)	
	Trade	3,000 0000		12/01/10	02/18/11	2,964 90	2,932 52	32 38	
	Sub-Total	11,000 0000				10,784 90	10,752 58	32 32	
INTEL CORP B/E 01 950% 100116 DTD091911 CALL@NW+208P	Trade	3,000 0000		09/14/11	10/11/11	3,026 37	2,995 41	30 96	
	Trade	3,000 0000		09/14/11	12/12/11	3,048 39	2,995 41	52 98	
	Sub-Total	6,000 0000				6,074 76	5,990 82	83 94	

continued next page

Account Number UT 11285 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005003-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
JP MORGAN CHASE & CO 05 125% 091514 DTD091504 FC031505	Trade	19,000.0000		07/15/10	01/27/11		20,447.99	20,498.34	(50.35)	
	Trade	8,000.0000		07/15/10	02/18/11		8,603.92	8,630.88	(26.96)	
	Sub-Total	27,000.0000					29,051.91	29,129.22	(77.31)	
KRAFT FOODS INC NTS B/E 04 125% 020916 DTD020810 FC080910	Trade	9,000.0000		04/07/10	01/27/11		9,451.53	9,085.95	365.58	
	Trade	2,000.0000		04/07/10	02/18/11		2,074.58	2,019.10	55.48	
	Trade	87,000.0000		04/07/10	03/15/11		91,431.78	87,830.85	3,600.93	
	Trade	3,000.0000		02/24/11	03/15/11		3,152.82	3,131.58	21.24	
	Sub-Total	101,000.0000					106,110.71	102,067.48	4,043.23	
NOVARTIS CAPITAL CORP 02 900% 042415 DTD031610 CALL@MW+10BP B/E	Trade	10,000.0000		03/09/10	01/27/11		10,292.60	9,952.20	340.40	
	Trade	4,000.0000		03/09/10	02/18/11		4,069.88	3,980.88	89.00	
	Trade	3,000.0000		02/24/11	03/22/11		3,085.29	3,076.68	8.61	
	Sub-Total	17,000.0000					17,447.77	17,009.76	438.01	
ROYAL BK SCOTLAND PL NTS 04 375% 031616 DTD031611 FC091611 B/E	Trade	2,000.0000		03/15/11	06/21/11		2,018.60	1,995.82	22.78	
	Trade	82,000.0000		03/15/11	07/21/11		82,207.46	81,828.62	378.84	
	Trade	10,000.0000		03/16/11	07/21/11		10,025.30	10,063.20	(37.90)	
	Sub-Total	94,000.0000					94,251.36	93,887.64	363.72	

continued next page

Account Number UT 11285 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005004-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis. Proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
SHELL INTL FIN B/E 01.875% 032513 DTD032510 CALL@MW+6BP	Trade	15,000 0000		09/16/10	01/27/11	15,248 25	15,298 05	(49 80)	
	Trade	5,000 0000		09/16/10	02/18/11	5,083 00	5,099 35	(16 35)	
	Trade	88,000 0000		09/16/10	03/11/11	89,705 44	89,748 56	(43 12)	
	Trade	3,000 0000		02/24/11	03/11/11	3,058 14	3,058 02	0 12	
	Sub-Total	111,000 0000				113,094 83	113,203 98	(109 15)	
STATOIL ASA ADR B/E 03.125% 081717 DTD081710 CALL @ MW+15BP	Trade	5,000 0000		08/10/10	01/27/11	4,989 65	4,989 10	0 55	
	Trade	2,000 0000		08/10/10	02/18/11	1,962 64	1,995 64	(33 00)	
	Trade	2,000 0000		08/10/10	06/21/11	2,037 00	1,995 64	41 36	
	Trade	9,000 0000				8,989 29	8,980 38	8 91	
	Sub-Total								
TELEFONICA EMISIONES B/E 03.992% 021616 DTD021611 FC081611	Trade	2,000 0000		02/07/11	02/18/11	1,985 70	2,000 00	(14 30)	
	Trade	2,000 0000		02/07/11	06/21/11	2,042 20	2,000 00	42 20	
	Trade	4,000 0000				4,027 90	4,000 00	27 90	
	Sub-Total								
TEVA PHARMA FIN IV LLC 01.700% 111014 DTD111011 FC051012 CALL@MW+20BPS	Trade	61,000 0000		11/07/11	11/30/11	61,086 62	60,920 09	166 53	
	Trade	3,000 0000		02/14/11	06/21/11	3,107 73	2,998 17	109 56	
	Trade	2,000 0000		02/14/11	10/11/11	2,092 10	1,998 78	93 32	
	Trade								
	Sub-Total								

continued next page

Account Number UT 11285 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005005-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis; proceeds only even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
THERMO FISHER SCIENTIFIC 03 200% 030116 DTD022211 CALL@ MW +158P B/E	Trade	3,000,000		02/14/11	12/12/11		3,172.17	2,998.17	174.00	
	Sub-Total	8,000,000					8,372.00	7,995.12	376.88	
TORONTO DOMINION BK NTS 02 500% 071416 DTD071411 FC011412 B/E	Trade	2,000,000		07/07/11	10/11/11		2,025.44	1,992.54	32.90	
	Sub-Total	3,000,000		07/07/11	12/12/11		3,050.94	2,988.81	62.13	
US TSY NOTE 03 375 % DUE 07/31/13 DTD 07/31/08 FC 01/31/09	Trade	10,000,000		02/24/11	08/24/11		10,606.25	10,605.90	0.35	
	Sub-Total	13,000,000		05/11/11	08/24/11		13,788.12	13,791.17	(3.05)	
US TSY NOTE 01 375 % DUE 07/15/13 DTD 01/15/10 FC 07/15/10	Trade	255,000,000		05/11/11	09/14/11		270,290.04	270,519.14	(229.10)	
	Sub-Total	11,000,000		08/08/11	09/14/11		11,659.57	11,677.60	(18.03)	
US TSY NOTE 01 750 % DUE 07/31/15 DTD 07/31/10 FC 01/31/11	Trade	289,000,000					306,343.98	306,593.81	(249.83)	
	Sub-Total	32,000,000		01/28/10	01/27/11		32,489.92	31,965.00	524.92	
US TSY NOTE 01 750 % DUE 07/31/15 DTD 07/31/10 FC 01/31/11	Trade	9,000,000		08/19/10	01/27/11		9,002.07	9,156.09	(154.02)	
	Sub-Total	63,000,000		08/19/10	02/18/11		62,246.96	64,092.66	(1,845.70)	
US TSY NOTE 01 750 % DUE 07/31/15 DTD 07/31/10 FC 01/31/11	Trade	2,000,000		02/24/11	06/22/11		2,047.50	1,988.12	59.38	
	Sub-Total	61,000,000		02/24/11	09/14/11		63,837.93	60,637.78	3,200.15	
									1,259.81	

continued next page

Account Number UT 11285 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005006-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
US TSY NOTE 02 625 % DUE 08/15/20 DTD 08/15/10 FC 02/15/11	Trade	178,000 0000		10/06/10	01/04/11	168,655 00	181,754 69	(13,099 69)	
US TSY NOTE 01 875 % DUE 08/31/17 DTD 08/31/10 FC 02/28/11	Trade	20,000 0000		09/22/10	01/27/11	19,110 94	19,953 13	(842 19)	
	Trade	87,000 0000		09/22/10	02/07/11	81,667 85	86,796 09	(5,128 24)	
	Trade	12,000 0000		01/20/11	02/07/11	11,264 53	11,420 16	(155 63)	
	Trade	4,000 0000		01/20/11	02/18/11	3,763 44	3,806 72	(43 28)	
	Trade	95,000 0000		01/20/11	05/02/11	91,708 40	90,409 57	1,298 83	
	Trade	3,000 0000		02/24/11	05/02/11	2,896 05	2,849 88	46 17	
	Sub-Total	221,000 0000				210,411 21	215,235 55	(4,824 34)	
US TSY NOTE 02 125 % DUE 12/31/15 DTD 12/31/10 FC 06/30/11	Trade	313,000 0000		01/04/11	01/18/11	315,555 35	315,420 86	134 49	
US TSY NOTE 02 000 % DUE 01/31/16 DTD 01/31/11 FC 07/31/11	Trade	250,000 0000		02/23/11	05/11/11	252,802 74	248,408 20	4,394 54	
	Trade	2,000 0000		02/24/11	05/11/11	2,022 42	1,987 97	34 45	
	Sub-Total	252,000 0000				254,825 16	250,396 17	4,428 99	
US TSY NOTE 03 125 % DUE 05/15/21 DTD 05/15/11 FC 11/15/11	Trade	72,000 0000		05/18/11	06/03/11	73,026 56	71,895 94	1,130 62	
	Trade	2,000 0000		05/18/11	06/22/11	2,025 62	1,997 11	28 51	
	Trade	69,000 0000		05/18/11	08/12/11	74,455 31	68,900 27	5,555 04	

continued next page

Account Number UT 11285 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005007-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
US TSY NOTE 03 125 % DUE 05/15/21 DTD 05/15/11 FC 11/15/11	Trade	51,000,0000		08/04/11	08/12/11	55,032.19	53,412.54	1,619.65	
	Trade	7,000,0000		08/08/11	08/12/11	7,553.44	7,470.33	83.11	
	Sub-Total	201,000,0000				212,093.12	203,676.19	8,416.93	
US TSY NOTE 02 375 % DUE 05/31/18 DTD 05/31/11 FC 11/30/11	Trade	10,000,0000		06/16/11	10/11/11	10,489.80	10,119.92	369.88	
	Trade	6,000,0000		06/16/11	12/09/11	6,390.24	6,071.95	318.29	
	Sub-Total	16,000,0000				16,880.04	16,191.87	688.17	
US TSY NOTE 01 500 % DUE 06/30/16 DTD 06/30/11 FC 12/31/11	Trade	62,000,0000		07/21/11	08/04/11	62,883.98	61,968.52	915.46	
US TSY NOTE 02 125 % DUE 08/15/21 DTD 08/15/11 FC 02/15/12	Trade	11,000,0000		08/12/11	10/11/11	10,958.75	10,869.38	89.37	
	Trade	155,000,0000		08/12/11	11/30/11	155,968.75	153,159.38	2,809.37	
	Trade	3,000,0000		08/12/11	12/09/11	3,027.66	2,964.38	63.28	
	Sub-Total	169,000,0000				169,955.16	166,993.14	2,962.02	
US TSY NOTE 01 000 % DUE 08/31/16 DTD 08/31/11 FC 02/29/12	Trade	6,000,0000		08/24/11	10/11/11	5,965.78	5,982.80	(17.02)	
	Trade	137,000,0000		08/24/11	11/30/11	137,545.86	136,607.37	938.49	
	Sub-Total	143,000,0000				143,511.64	142,590.17	921.47	
US TSY NOTE 00 250 % DUE 09/15/14 DTD 09/15/11 FC 03/15/12	Trade	15,000,0000		09/14/11	10/11/11	14,879.30	14,965.43	(86.13)	
	Trade	20,000,0000		09/14/11	12/09/11	19,952.34	19,953.91	(1.57)	
	Sub-Total	35,000,0000				34,831.64	34,919.34	(87.70)	

continued next page

Account Number UT 11285 4S
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005008-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
US TSY NOTE 00 875 % DUE 11/30/16 DTD 11/30/11 FC 05/31/12	Trade	8,000 0000		11/30/11	12/09/11	7,997 50	7,958 75	38 75	
VALERO ENERGY CORP 06 125% 061517 DTD060807									
CALL@MW+208P FC121507	Trade	4,000 0000		03/11/11	12/12/11	4,449 52	4,466 64	(17 12)	
VERIZON WIRELESS CPTL 05 550% 020114 DTD080109 FC020110 LLC NTS B/E	Trade	65,000 0000		04/28/10	01/11/11	72,125 30	71,511 05	614 25	
WAL-MART STORES INC NTS 01 500% 102515 DTD102510 FC042511 B/E	Trade	9,000 0000		10/18/10	01/27/11	8,669 55	8,951 31	(281 76)	
	Trade	99,000 0000		10/18/10	02/14/11	94,068 81	98,464 41	(4,395 60)	
	Sub-Total	108,000 0000				102,738 36	107,415 72	(4,677 36)	
3M CO NTS B/E 01 375% 092916 DTD092911 FC032912	Trade	4,000 0000		11/04/11	12/12/11	4,017 76	4,028 72	(10 96)	
Sub Total			\$94,912.60			\$3,654,076.92	\$3,641,192.77	\$12,884.15	
Total Short-Term Gain/Loss			\$94,912.60			\$3,654,076.92	\$3,641,192.77	\$12,884.15	

Account Number UT 11285 45
Your Financial Advisor or Contact
BLAURADLER@LICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005009-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year. Type 'B' activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
AT&T INC NTS B/E 02 500% 081515 DTD073010 FC021511	Trade	2,000.0000		07/27/10	10/11/11	2,035.68	1,993.88	41.80	
	Trade	5,000.0000		07/27/10	12/14/11	5,160.75	4,984.70	176.05	
	Sub-Total	7,000.0000				7,196.43	6,978.58	217.85	
CAPITAL ONE FINCL CORP 05 700% 091511 DTD091206 FC031507 NTS B/E	Trade	69,000.0000		11/24/09	01/04/11	71,285.97	73,059.27	(1,773.30)	
CISCO SYSTEMS INC 04 950% 021519 DTD021709 FC081509 CALL@MW+308P	Trade	12,000.0000		02/09/09	01/27/11	12,974.40	11,972.88	1,001.52	
	Trade	2,000.0000		02/09/09	02/18/11	2,127.32	1,995.48	131.84	
	Trade	5,000.0000		02/09/09	06/21/11	5,468.20	4,988.70	479.50	
	Trade	8,000.0000		02/09/09	10/11/11	9,013.36	7,981.92	1,031.44	
	Trade	7,000.0000		02/09/09	12/12/11	8,003.10	6,984.18	1,018.92	
	Sub-Total	34,000.0000				37,586.38	33,923.16	3,663.22	
COCA COLA CO COM NTS B/E 03 150% 111520 DTD111510 FC051511	Trade	5,000.0000		11/05/10	12/12/11	5,171.55	4,984.70	Other 186.85	
CREDIT SUISSE NEW YORK 05.400% 011420 DTD011410 FC071410 NTS B/E	Trade	5,000.0000		01/11/10	01/27/11	5,064.70	4,987.80	76.90	
	Trade	4,000.0000		01/11/10	02/18/11	4,037.68	3,990.24	47.44	
	Trade	3,000.0000		01/11/10	06/21/11	3,070.02	2,992.68	77.34	
	Trade	62,000.0000		01/11/10	09/07/11	61,606.92	61,848.72	(241.80)	
	Sub-Total	74,000.0000				73,779.32	73,819.44	(40.12)	

continued next page

Account Number UT 11285 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005010-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type 'B' activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description FNMA NTS 01 750 % DJE 081012 DTD 071009 FC 08102009	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
Trade		74,000 0000		10/01/09	01/04/11	75,416 29	74,530 88	885 41	
Trade		24,000 0000		10/01/09	01/27/11	24,455 04	24,172 18	282 86	
Trade		62,000 0000		10/01/09	02/09/11	63,011 84	62,444 79	567 05	
Trade		7,000 0000		10/01/09	02/18/11	7,119 63	7,050 22	69 41	
Trade		178,000 0000		10/01/09	08/24/11	180,575 66	179,276 97	1,298 69	
Sub-Total		345,000 0000				350,578 46	347,475 04	3,103 42	
GENL ELEC CAP CORP NTS									
04 375% 091620 DTD091610									
FC031611 B/E									
Trade		5,000 0000		09/13/10	10/11/11	5,026 65	4,960 85	65 80	
Trade		8,000 0000		09/13/10	12/12/11	8,069 68	7,937 36	132 32	
Sub-Total		13,000 0000				13,096 33	12,898 21	198 12	
JP MORGAN CHASE & CO									
05 125% 091514 DTD091504									
FC031505									
Trade		4,000 0000		07/15/10	12/12/11	4,195 24	4,315 44	(120 20)	
MCDONALDS CORP 05 000%									
020119 DTD011609									
CALL@MW+40BP MTN									
Trade		54,000 0000		01/14/09	01/24/11	58,727 16	53,981 64	4,745 52	
Trade		2,000 0000		05/29/09	01/24/11	2,175 08	2,060 74	114 34	
Sub-Total		56,000 0000				60,902 24	56,042 38	4,859 86	
METLIFE INC NTS B/E 06 750%									
060116 DTD052909 FC120109									
CALL@MW+50BP									
Trade		120,000 0000		05/26/09	01/24/11	139,386 00	119,715 60	19,670 40	
NOVARTIS CAPITAL CORP									
02 900% 042415 DTD031610									
CALL@MW+10BP B/E									
Trade		88,000 0000		03/09/10	03/22/11	90,501 84	87,579 36	2,922 48	

continued next page

Account Number UT 11285 4S
Your Financial Advisor or Contact
BLAU/RADLER/BUICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005011-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
STATOIL ASA ADR B/E 03 125% 081717 DTD081710 CALL @ MW+15BP	Trade	4,000 0000		08/10/10	10/11/11	4,202 84	3,991 28	211 56	
	Trade	2,000 0000		08/10/10	12/14/11	2,103 28	1,995 64	107 64	
	Sub-Total	6,000 0000				6,306 12	5,986 92	319 20	
TIME WARNER CABLE INC 05 000% 020120 DTD121109 CALL @ MW +30BPS	Trade	6,000 0000		12/08/09	01/27/11	6,138 96	5,875 20	263 76	
	Trade	2,000 0000		12/08/09	06/21/11	2,093 84	1,958 40	135 44	
	Trade	3,000 0000		12/08/09	10/11/11	3,200 70	2,937 60	263 10	
	Trade	3,000 0000		12/08/09	12/12/11	3,211 02	2,937 60	273 42	
	Sub-Total	14,000 0000				14,644 52	13,708 80	935 72	
US TSY NOTE 03 375 % DUE 07/31/13 DTD 07/31/08 FC 01/31/09	Trade	39,000 0000		08/19/08	01/27/11	41,553 33	39,549 96	2,003 37	
	Trade	9,000 0000		08/19/08	02/18/11	9,524 16	9,126 91	397 25	
	Trade	7,000 0000		08/19/08	05/02/11	7,425 02	7,098 71	327 31	
	Trade	148,000 0000		09/10/08	05/02/11	157,007 18	151,254 84	5,752 34	
	Trade	8,000 0000		09/10/08	06/22/11	8,498 40	8,175 94	322 46	
	Trade	16,000 0000		09/10/08	08/24/11	16,970 00	16,351 88	618 12	
	Trade	35,000 0000		10/01/08	08/24/11	37,121 88	35,823 05	1,298 83	
	Trade	27,000 0000		12/23/08	08/24/11	28,636 87	29,547 07	(910 20)	
	Trade	32,000 0000		12/26/08	08/24/11	33,940 00	35,030 00	(1,090 00)	
									continued next page

Account Number UT 11285 4S
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000002400005012-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold					
US TSY NOTE 03 375 % DUE 07/31/13 DTD 07/31/08 FC 01/31/09	Trade Sub-Total	19,000.0000 340,000.0000		05/29/09	08/24/11	20,151.88 360,829.72	20,076.17 352,034.53		75.71 8,795.19	
US TSY NOTE 01 375 % DUE 01/15/13 DTD 01/15/10 FC 07/15/10	Trade Trade Sub-Total	12,000.0000 238,000.0000 250,000.0000		01/28/10 01/28/10	02/18/11 02/23/11	12,141.60 241,058.67 253,200.27	11,986.88 237,739.69 249,726.57	154.72 3,318.98 3,473.70		
XEROX CORP NTS B/E 04 250% 02/15/15 DTD 12/04/09 FC081510 CALL@MW+35BP	Trade Trade Sub-Total	9,000.0000 59,000.0000 68,000.0000		12/04/09 12/04/09	01/27/11 09/20/11	9,515.70 62,951.82 72,467.52	9,063.45 59,415.95 68,479.40	452.25 3,535.87 3,988.12		
Sub Total						\$1,561,127.91	\$1,510,727.40		\$50,400.51	
Total Long-Term Gain/Loss						\$1,561,127.91	\$1,510,727.40		\$50,400.51	

Account Number UT 11286 4C
Your Financial Advisor or Contact
BLAU/RADLER/BUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P31011000000050000044-X1

2011 Realized Gain/Loss Summary

(Include sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary classifies tax lots into three categories within holding period. Type "A" activity was reported to the IRS on Form 1099-B with cost basis on line 3. Type "B" activity was reported to the IRS on Form 1099-B without cost basis. Type "C" activity was not reported to the IRS on Form 1099-B. Please rely on the confirmations previously provided to you as your official activity record. Estimated 2011 Gain/Loss for transactions with trade dates through 12/31/11 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction for Type "A" activity only. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that can adjust or negate wash sales.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

Description	Cost or Other Basis	Sale Amount	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss					
A - Reported to the IRS on Form 1099-B with cost basis		190 40	190 40		190 40
B - Reported to the IRS on Form 1099-B without cost basis	4,426 15	4,027 60		(398 55)	(398 55)
Total Short Term	\$4,426 15	\$4,218 00	\$190 40	\$(398 55)	\$(208 15)
Long-term Gain/Loss					
B - Reported to the IRS on Form 1099-B without cost basis	\$4,449 14	\$2,975 10	11,994 49	(13,468 53)	(1,474 04)
Total Long Term	\$54,449 14	\$52,975 10	\$11,994 49	\$(13,468 53)	\$(1,474 04)
Sub Total	\$58,875 29	\$57,193 10	\$12,184 89	\$(13,867 08)	\$(1,682 19)
Total	\$58,875 29	\$57,193 10	\$12,184 89	\$(13,867 08)	\$(1,682 19)

Account Number UT 11286 4C
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P31011000000050000045-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS

This section includes securities held for less than one year Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3 A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Sold			
RTS WHARF HOLDINGS LTD EXP 03/11/11	Tender	100 0000		02/28/11	03/14/11	190.40	190.40	
Sub Total						\$190.40	\$190.40	

Short-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for less than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Sold			
EVERCORE PARTNERS INC CL A Trade Sub-Total		31 0000 30 0000 61.0000		04/14/10 05/04/10	02/16/11 02/16/11	1,037.73 1,004.26 2,041.99	(3.25) (99.13) (102.38)	
MANTECH INTL CORP CL A Trade Sub-Total		5 0000 23 0000 28.0000		06/04/10 06/23/10	02/02/11 02/02/11	202.48 931.39 1,133.87	(28.20) (86.45) (114.65)	
NEW WORLD DEVELOPMENT HK ORD HKD 1 000 PV *FRGN ORD HKD	Trade	600 0000		09/13/10	07/21/11	851.74	(181.52)	
Sub Total						\$4,027.60	\$(398.55)	
Total Short-Term Gain/Loss						\$4,218.00	\$(208.15)	

Account Number UT 11286 4C
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P310110000000500000046-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year. Type 'B' activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
BOMBARDIER INC CL B	Trade	211 0000		11/13/07	01/12/11		1,161 11	1,175 04	(13 93)	
CANADA CAD										
FOREST CITY ENTERPRISES INC CL A	Trade	79 0000		08/22/08	11/03/11		1,083 63	2,204 34	(1,120 71)	
	Trade	67 0000		08/22/08	11/30/11		794 43	1,869 50	(1,075 07)	
Sub-Total		146 0000					1,878 06	4,073 84	(2,195 78)	
ICAP PLC GBP	Trade	122 0000		05/16/08	05/23/11		924 79	1,586 19	(661 40)	
INTERCONTINENTAL EXCHANGE INC	Trade	2 0000		11/13/07	01/04/11		235 72	330 66	(94 94)	
	Trade	11 0000		11/13/07	01/24/11		1,284 50	1,818 63	(534 13)	
	Trade	12 0000		11/13/07	02/01/11		1,463 08	1,983 96	(520 88)	
	Trade	18 0000		11/13/07	03/30/11		2,270 11	2,975 94	(705 83)	
	Trade	3 0000		11/13/07	05/03/11		349 91	495 99	(146 08)	
	Trade	7 0000		07/09/08	05/03/11		816 46	718 13	98 33	
	Trade	10 0000		07/09/08	06/14/11		1,181 17	1,025 90	155 27	
	Trade	18 0000		07/09/08	08/09/11		1,938 01	1,846 62	91 39	
Sub-Total		81 0000					9,538 96	11,195 83	(1,656 87)	
NRG ENERGY INC NEW	Trade	73 0000		11/13/07	04/26/11		1,663 52	2,968 80	(1,305 28)	
	Trade	44 0000		11/13/07	09/13/11		1,010 86	1,789 41	(778 55)	
	Trade	41 0000		11/13/07	09/20/11		953 39	1,667 41	(714 02)	
	Trade	40 0000		11/13/07	09/27/11		882 42	1,626 74	(744 32)	
	Trade	88 0000		11/13/07	10/25/11		1,857 74	3,578 83	(1,721 09)	
Sub-Total		286 0000					6,367 93	11,631 19	(5,263 26)	

continued next page

Account Number UT 11286 4C
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

212-333-8800/800-223-0170

2011 Informational Statement

P3101L0000000500000047-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
PENN WEST PETE LTD NEW CAD	Trade	17 0000		11/13/07	01/04/11		413 66	478 04	(64 38)	
	Trade	50 0000		11/13/07	01/24/11		1,301 01	1,406 00	(104 99)	
	Sub-Total	67 0000					1,714 67	1,884 04	(169 37)	
POWER CORP CANADA LTD CANADA ORD CAD	Trade	43 0000		11/13/07	01/06/11		1,191 74	1,694 92	(503 18)	
	Trade	56 0000		11/13/07	04/12/11		1,632 16	2,207 34	(575 18)	
	Trade	37 0000		11/13/07	10/03/11		792 48	1,458 42	(665 94)	
	Sub-Total	136 0000					3,616 38	5,360 68	(1,744 30)	
PRESTIGE BRANDS HOLDINGS INC	Trade	103 0000		01/05/10	02/16/11		1,138 18	810 98	327 20	
QUANTA SERVICES INC	Trade	36 0000		11/13/07	02/23/11		801 51	990 36	(188 85)	
	Trade	50 0000		11/13/07	03/03/11		1,127 12	1,375 50	(248 38)	
	Trade	120 0000		11/13/07	07/20/11		2,319 80	3,301 20	(981 40)	
	Sub-Total	206 0000					4,248 43	5,667 06	(1,418 63)	
SICHUAN EXPWY CO LTD CNY	Trade	4,000 0000		06/09/09	03/31/11		2,587 15	1,360 80	1,226 35	
	Trade	1,800 0000		06/09/09	04/13/11		1,140 63	612 36	528 27	
	Trade	2,200 0000		06/09/09	05/11/11		1,276 85	748 44	528 41	
	Trade	349 0000		06/09/09	12/13/11		137 81	118 73	19 08	
	Trade	688 0000		06/09/09	12/14/11		277 46	234 06	43 40	
	Trade	963 0000		06/09/09	12/15/11		375 56	327 61	47 95	
	Sub-Total	10,000 0000					5,795 46	3,402 00	2,393 46	
SILVER WHEATON CORP CAD	Trade	49 0000		07/06/09	01/11/11		1,722 81	380 35	1,342 46	
	Trade	37 0000		07/06/09	01/24/11		1,148 82	287 20	861 62	
	Trade	38 0000		07/06/09	11/02/11		1,313 89	294 96	1,018 93	
	Trade	33 0000		07/06/09	11/22/11		1,048 09	256 15	791 94	

continued next page

Account Number UT 11286 4C
 Your Financial Advisor or Contact
 BLAU/RADLER/BLICK
 212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101100000005000000048-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
SILVER WHEATON CORP CAD	Trade	53 0000		07/06/09	12/20/11	1,572.50	411.40	1,161.10	
	Sub-Total	210.0000				6,806.11	1,630.06	5,176.05	
SOTHEBYS	Trade	25 0000		04/30/08	01/12/11	1,146.76	696.93	449.83	
	Sub-Total	60.0000				2,277.03	1,672.63	604.40	
TMX GROUP INC CAD	Trade	77 0000		02/02/09	01/25/11	2,950.56	1,958.11	992.45	
	Sub-Total	133.0000				5,241.42	3,382.19	1,859.23	
VIRTUS INVT PARTNER INC	Trade	27 0000		08/03/10	10/18/11	1,524.85	713.25	811.60	
	Sub-Total	37.0000				2,266.57	977.41	1,289.16	
Sub Total						\$52,975.10	\$54,449.14	\$(1,474.04)	
Total Long-Term Gain/Loss						\$52,975.10	\$54,449.14	\$(1,474.04)	

Account Number UT 11289 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006400006632-X1

2011 Realized Gain/Loss Summary

(Include sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary classifies tax lots into three categories within holding period. Type "A" activity was reported to the IRS on Form 1099-B with cost basis on line 3. Type "B" activity was reported to the IRS on Form 1099-B without cost basis. Type "C" activity was not reported to the IRS on Form 1099-B. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2011 Gain/Loss for transactions with trade dates through 12/31/11 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction for Type "A" activity only. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that can adjust or negate wash sales.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

Description	Cost or Other Basis	Sale Amount	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss					
A - Reported to the IRS on Form 1099-B with cost basis	1,199 11	1,004 09	0 54	(195 56)	(195 02)
B - Reported to the IRS on Form 1099-B without cost basis	13,400 87	19,933 99	6,761 26	(228 14)	6,533 12
Total Short Term	\$14,599 98	\$20,938 08	\$6,761 80	\$(423 70)	\$6,338 10
Long-term Gain/Loss					
B - Reported to the IRS on Form 1099-B without cost basis	104,286 00	106,546 36	30,588 59	(28,328 23)	2,260 36
Total Long Term	\$104,286 00	\$106,546 36	\$30,588 59	\$(28,328 23)	\$2,260 36
Sub Total	\$118,885 98	\$127,484 44	\$37,350 39	\$(28,751 93)	\$8,598 46
Total	\$118,885 98	\$127,484 44	\$37,350 39	\$(28,751 93)	\$8,598 46

Short-Term Gain/Loss-Cost Basis Reported to IRS

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
CERAGON NETWORKS LTD ILS	Trade	40,0000		07/08/11	12/02/11	336 46	503 60	(167 14)	

continued next page

Account Number UT 11289 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006400006633-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3 A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Wash sale loss	
				Acquired	Date				Gain/Loss	disallowed
EMS TECHNOLOGIES INC **MERGER EFF 10/2011**	Trade	10 0000		07/08/11	08/23/11		330.00	329.46	0.54	
KNOLOGY INC	Trade	10 0000		07/08/11	12/09/11		142.63	151.00	(8.37)	
PLX TECHNOLOGY INC	Trade	60 0000		01/20/11	05/05/11		195.00	215.05	(20.05)	
Sub Total							\$1,004.09	\$1,199.11	\$(195.02)	

Short-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for less than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Wash sale loss	
				Acquired	Date				Gain/Loss	disallowed
AMER GREETINGS A	Trade	20 0000		10/12/10	07/08/11		463.59	403.30	60.29	
CERADYNE INC	Trade	25 0000		09/20/10	05/11/11		1,180.82	636.64	544.18	
	Trade	20 0000		09/21/10	05/11/11		944.66	509.98	434.68	
	Trade	15 0000		09/23/10	05/11/11		708.49	374.38	334.11	
	Trade	5 0000		09/23/10	05/16/11		231.24	124.79	106.45	
	Trade	50 0000		10/01/10	05/16/11		2,312.43	1,160.48	1,151.95	
	Trade	25 0000		10/01/10	05/18/11		1,163.75	580.24	583.51	
	Trade	25 0000		10/12/10	05/18/11		1,163.75	596.84	566.91	
	Trade	45 0000		10/12/10	05/24/11		1,938.97	1,074.31	864.66	
	Trade	10 0000		10/15/10	06/10/11		398.21	241.15	157.06	

continued next page

Account Number UT 11289 4S
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006400006634-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
CERADYNE INC	Trade	5 0000		10/15/10	06/10/11		199.44	120.58	78.86	
	Trade	5 0000		10/19/10	06/10/11		199.43	120.76	78.67	
	Trade	10 0000		10/19/10	06/10/11		398.63	241.51	157.12	
	Trade	5 0000		10/19/10	06/22/11		189.20	120.75	68.45	
	Trade	15 0000		10/26/10	06/22/11		567.58	370.65	196.93	
	Trade	20 0000		10/26/10	06/22/11		758.78	494.19	264.59	
	Trade	40 0000		10/26/10	06/23/11		1,482.80	988.39	494.41	
Sub-Total		320.0000					13,838.18	7,755.64	6,082.54	
EXAR CORP	Trade	20 0000		10/13/10	07/08/11		128.19	128.40	(0.21)	
INCRIDIMAIL LTD *NAME CHANGE 11/2011 * ORD ILS	Trade	50 0000		09/20/10	07/08/11		358.02	296.20	61.82	
PLX TECHNOLOGY INC	Trade	60 0000		09/20/10	03/14/11		210.68	235.14	(24.46)	
	Trade	70 0000		09/23/10	03/29/11		254.13	260.84	(6.71)	
	Trade	90 0000		10/01/10	03/29/11		326.73	328.93	(2.20)	
	Trade	20 0000		10/01/10	03/31/11		73.20	73.09	0.11	
	Trade	90 0000		10/07/10	03/31/11		329.42	338.30	(8.88)	
	Trade	50 0000		10/07/10	03/31/11		183.04	187.94	(4.90)	
	Trade	10 0000		10/07/10	03/31/11		36.61	37.80	(1.19)	
	Trade	30 0000		10/07/10	04/07/11		107.07	113.40	(6.33)	

continued next page

Account Number UT 11289 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006400006635-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
PLX TECHNOLOGY INC	Trade	60 0000		10/07/10	04/07/11		214 13	228 22	(14 09)	
	Trade	140 0000		10/13/10	04/07/11		499 65	562 80	(63 15)	
	Trade	40 0000		10/13/10	05/05/11		130 00	161 12	(31 12)	
	Trade	60 0000		10/13/10	05/05/11		195 00	241 78	(46 78)	
	Trade	80 0000		11/16/10	05/05/11		259 98	278 10	(18 12)	
	Sub-Total	800 0000					2,819.64	3,047.46	(227.82)	
T-3 ENERGY SERVICES INC **MERGER EFF 01/2011**	Exchange	70 0000		01/21/10	01/01/11		2,326 37	1,769 87	556 50	
Sub Total							\$19,933.99	\$13,400.87	\$6,533.12	
Total Short-Term Gain/Loss							\$20,938.08	\$14,599.98	\$6,338.10	

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
AMER GREETINGS A	Trade	60 0000		10/12/10	12/05/11		1,068.58	1,209 88	(141 30)	
	Trade	40 0000		10/13/10	12/05/11		712 38	800 61	(88 23)	
	Trade	85 0000		10/19/10	12/05/11		1,513.82	1,728 95	(215 13)	
										continued next page

Account Number UT 11289 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000006400006636-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss	
				Acquired	Date					disallowed	
AMER GREETINGS A	Trade	35 0000		10/26/10	12/05/11		623.34	691 05	(67 71)		
	Trade	40 0000		10/27/10	12/05/11		712 39	785 96	(73 57)		
	Trade	100 0000		10/29/10	12/05/11		1,780 96	1,952 98	(172 02)		
	Sub-Total	360 0000					6,411 47	7,169 43	(757 96)		
BIO REFERENCE LAB INC NEW	Trade	320 0000		08/11/10	12/06/11		3,952 72	6,185 45	(2,232 73)		
	Trade	10 0000		04/27/10	07/08/11		285 79	147 77	138 02		
CERAGON NETWORKS LTD ILS	Trade	25 0000		08/26/08	12/02/11		212 00	214 81	(2 81)		
	Trade	85 0000		08/27/08	12/02/11		720 79	746 75	(25 96)		
	Trade	70 0000		08/27/08	12/02/11		593 14	614 97	(21 83)		
	Trade	70 0000		08/28/08	12/02/11		593 14	610 86	(17 72)		
	Trade	40 0000		08/28/08	12/02/11		339 33	349 07	(9 74)		
	Trade	115 0000		08/29/08	12/02/11		975 59	1,009 51	(33 92)		
	Trade	25 0000		09/04/08	12/02/11		212 08	231 05	(18 97)		
	Trade	140 0000		09/04/08	12/02/11		1,177 58	1,293 90	(116 32)		
	Trade	90 0000		09/04/08	12/02/11		757 02	818 05	(61 03)		
	Trade	10 0000		09/04/08	12/02/11		84 11	90 89	(6 78)		
	Trade	175 0000		10/30/08	12/02/11		1,472 06	962 12	509 94		
	Trade	10 0000		01/16/09	12/02/11		84 11	52 18	31 93		
	Sub-Total	855 0000					7,720 95	6,994 16	226 79		

continued next page

Account Number UT 11289 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006400006637-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
CEVA INC	Trade	5 0000		04/28/09	07/08/11		160 45	39 30	121 15	
	Trade	35 0000		04/28/09	07/08/11		1,123 12	279 03	844 09	
	Sub-Total	40 0000					1,283 57	318 33	965 24	
CHASE CORP	Trade	45 0000		12/11/07	01/11/11		659 23	1,082 26	(423 03)	
	Trade	15 0000		02/25/08	01/11/11		219 75	389 10	(169 35)	
	Trade	65 0000		02/25/08	01/18/11		958 73	1,686 11	(727 38)	
	Trade	60 0000		02/25/08	01/18/11		888 73	1,556 41	(667 68)	
	Trade	110 0000		02/25/08	05/05/11		1,794 75	2,853 42	(1,058 67)	
	Trade	110 0000		02/25/08	05/11/11		1,855 12	2,853 43	(998 31)	
	Trade	10 0000		02/25/08	06/28/11		168 91	259 40	(90 49)	
	Trade	65 0000		10/30/08	06/28/11		1,097 92	930 80	167 12	
	Trade	5 0000		01/16/09	06/28/11		84 45	49 65	34 80	
	Trade	55 0000		01/21/10	06/28/11		929 01	646 25	282 76	
	Trade	30 0000		01/26/10	06/28/11		506 73	361 18	145 55	
	Trade	5 0000		01/28/10	06/28/11		84 46	60 85	23 61	
	Trade	95 0000		01/28/10	06/30/11		1,560 54	1,156 15	404 39	
	Trade	80 0000		01/29/10	06/30/11		1,314 13	979 60	334 53	
	Sub-Total	750 0000					12,122 46	14,864 61	(2,742 15)	

continued next page

Account Number UT 11289 4S
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006400006638-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
CHINA MEDICAL TECHNOLOGIES INC SPON ADR	Trade	20 0000		09/25/09	06/03/11	196 05	353 12	(157 07)	
	Trade	30 0000		09/25/09	06/03/11	294 72	529 68	(234 96)	
	Trade	10 0000		09/25/09	06/14/11	77 52	176 56	(99 04)	
	Trade	10 0000		09/25/09	06/14/11	77 81	176 56	(98 75)	
	Trade	10 0000		09/25/09	06/14/11	78 22	176 56	(98 34)	
	Trade	10 0000		09/25/09	06/14/11	78 63	176 56	(97 93)	
	Trade	20 0000		09/25/09	06/14/11	157 85	353 12	(195 27)	
	Trade	10 0000		09/25/09	06/14/11	78 98	176 56	(97 58)	
	Trade	20 0000		09/25/09	06/14/11	159 43	353 12	(193 69)	
	Trade	10 0000		09/25/09	06/14/11	79 44	176 56	(97 12)	
	Trade	10 0000		09/25/09	06/14/11	79 57	176 56	(96 99)	
	Trade	10 0000		09/25/09	06/14/11	79 33	176 56	(97 23)	
	Trade	10 0000		09/25/09	06/14/11	79 29	176 56	(97 27)	
	Trade	20 0000		09/25/09	06/14/11	159 55	353 12	(193 57)	
	Trade	20 0000		09/25/09	06/14/11	160 48	353 12	(192 64)	
	Trade	10 0000		09/25/09	06/14/11	79 79	176 56	(96 77)	
	Trade	10 0000		09/25/09	06/14/11	80 14	176 56	(96 42)	
	Trade	20 0000		09/25/09	06/14/11	160 54	353 12	(192 58)	

continued next page

Account Number UT 11289 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G00000064000006639-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type 'B' activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
CHINA MEDICAL TECHNOLOGIES INC SPON ADR	Trade	18 0000		09/25/09	06/14/11		144 76	317 81	(173 05)	
	Trade	20 0000		09/25/09	06/14/11		160 86	353 12	(192 26)	
	Trade	10 0000		09/25/09	06/14/11		80 43	176 56	(96 13)	
	Trade	10 0000		09/25/09	06/14/11		80 71	176 56	(95 85)	
	Trade	10 0000		09/25/09	06/14/11		80 60	176 56	(95 96)	
	Trade Sub-Total	17 0000 345 0000		09/25/09	06/14/11		138 55 2,843 25	300 15 6,091 32	(161 60) (3,248 07)	
CITY TELECOM H K LTD SPON ADR	Trade	30 0000		10/16/09	05/11/11		446 47	223 69	222 78	
	Trade	15 0000		10/16/09	05/16/11		214 03	111 85	102 18	
	Trade	35 0000		10/16/09	05/16/11		499 41	265 77	233 64	
	Trade	5 0000		10/16/09	05/24/11		72 03	37 97	34 06	
	Trade	30 0000		10/19/09	05/24/11		432 18	227 04	205 14	
	Trade	15 0000		10/19/09	05/24/11		216 09	113 90	102 19	
	Trade	10 0000		10/20/09	06/27/11		117 39	74 86	42 53	
	Trade	10 0000		10/20/09	06/27/11		117 39	74 87	42 52	
	Trade	10 0000		10/20/09	07/01/11		117 75	74 86	42 89	
	Trade	10 0000		10/21/09	07/01/11		117 74	75 46	42 28	
	Trade	20 0000		10/21/09	07/01/11		236 99	150 93	86 06	
	Trade	10 0000		10/21/09	07/01/11		118 50	74 64	43 86	

continued next page

Account Number UT 11289 45
Your Financial Advisor or Contact
BLAURADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006400006640-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description CITY TELECOM H K LTD SPON ADR	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
	Trade	10 0000		10/21/09	07/01/11		118 75	74 64	44 11	
	Trade	10 0000		10/21/09	07/01/11		118 74	74 92	43 82	
	Trade	20 0000		10/22/09	07/01/11		237 79	147 84	89 95	
	Trade	20 0000		10/22/09	07/01/11		237 80	146 58	91 22	
	Trade	20 0000		10/28/09	07/01/11		237 79	138 59	99 20	
	Trade	10 0000		10/28/09	07/01/11		118 76	69 30	49 46	
	Trade	30 0000		11/03/09	07/01/11		356 28	201 04	155 24	
	Trade	20 0000		11/03/09	07/01/11		237 79	134 02	103 77	
	Trade	10 0000		11/03/09	07/01/11		118 76	67 01	51 75	
	Trade	20 0000		11/03/09	07/01/11		237 53	137 07	100 46	
	Trade	20 0000		11/03/09	07/01/11		237 59	137 06	100 53	
	Trade	20 0000		11/03/09	07/01/11		237 57	135 46	102 11	
	Trade	20 0000		11/03/09	07/01/11		237 79	135 45	102 34	
	Trade	40 0000		11/04/09	07/01/11		475 65	278 00	197 65	
	Trade	30 0000		05/21/10	07/01/11		356 63	373 06	(16 43)	
	Trade	20 0000		05/21/10	07/01/11		237 76	248 70	(10 94)	
	Trade	25 0000		05/21/10	07/08/11		296 59	310 88	(14 29)	
	Trade	50 0000		05/21/10	07/08/11		593 19	623 84	(30 65)	
Sub-Total		595.0000					7,398.73	4,939.30	2,459.43	

continued next page

Account Number UT 11289 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006400006641-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Date					
DXP ENTERPRISES INC NEW	Trade	30 0000		05/05/08		07/08/11	797.38	584.84	212.54	
EMS TECHNOLOGIES INC **MERGER EFF 10/20/11**	Trade	30 0000		04/22/09		08/23/11	990.00	571.74	418.26	
	Trade	20 0000		04/23/09		08/23/11	660.00	369.99	290.01	
	Trade	30 0000		04/24/09		08/23/11	990.00	578.51	411.49	
	Trade	10 0000		04/24/09		08/23/11	330.00	190.44	139.56	
	Trade	55 0000		04/27/09		08/23/11	1,815.00	1,062.40	752.60	
	Trade	70 0000		06/29/09		08/23/11	2,310.00	1,454.35	855.65	
	Trade	5 0000		07/14/09		08/23/11	165.00	104.38	60.62	
	Sub-Total	220.0000					7,260.00	4,331.81	2,928.19	
GORMAN RUPP CO	Trade	22 5000		04/24/09		07/08/11	771.96	378.47	393.49	
	Trade	17 5000		04/24/09		07/08/11	600.41	292.33	308.08	
	Sub-Total	40.0000					1,372.37	670.80	701.57	
KNOLOGY INC	Trade	840 0000		08/09/07		12/09/11	11,981.10	10,733.52	1,247.58	
	Trade	170 0000		10/30/08		12/09/11	2,424.75	748.95	1,675.80	
	Trade	55 0000		01/15/09		12/09/11	784.48	259.36	525.12	
	Sub-Total	1,065.0000					15,190.33	11,741.83	3,448.50	
MEDICAL ACTION INDUSTRS	Trade	30 0000		09/17/08		02/01/11	241.53	402.99	(161.46)	
MERGE HEALTHCARE INC	Trade	50 0000		07/15/10		09/23/11	323.00	154.18	168.82	
	Trade	30 0000		07/15/10		09/23/11	193.80	94.17	99.63	

continued next page

Account Number UT 11289 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000006400006642-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
MERGE HEALTHCARE INC	Trade	50 0000		07/16/10	09/23/11		322 99	151 27	171 72	
	Trade	35 0000		07/19/10	09/23/11		226 10	103 16	122 94	
	Trade	50 0000		07/19/10	09/23/11		323 00	148 77	174 23	
	Trade	15 0000		07/19/10	09/23/11		96 90	44 85	52 05	
	Trade	95 0000		07/19/10	09/23/11		619 15	284 05	335 10	
	Trade	150 0000		07/20/10	09/23/11		977 62	449 92	527 70	
	Trade	55 0000		07/20/10	09/23/11		358 46	165 00	193 46	
	Trade	45 0000		07/20/10	09/26/11		296 41	135 00	161 41	
	Trade	100 0000		07/20/10	09/26/11		658 70	300 02	358 68	
	Trade	100 0000		07/20/10	09/26/11		658 69	300 22	358 47	
	Trade	200 0000		07/21/10	09/26/11		1,317 39	621 48	695 91	
	Trade	125 0000		07/27/10	09/26/11		823 37	411 13	412 24	
	Sub-Total	1,100 0000					7,195 58	3,363 22	3,832 36	
ORCHIDS PAPER PRODUCTS CO (DELA)	Trade	20 0000		01/26/10	07/08/11		255 19	345 90	(90 71)	
OVERHILL FARMS INC	Trade	5 0000		04/27/09	01/11/11		30 23	21 66	8 57	
	Trade	95 0000		04/27/09	01/11/11		574 46	406 79	167 67	
	Trade	70 0000		04/27/09	01/11/11		424 90	300 37	124 53	
	Trade	20 0000		04/28/09	01/11/11		121 40	85 50	35 90	

continued next page

Account Number UT 11289 4S
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006400006643-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase Date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Wash Sale Loss Disallowed
				Date Acquired	Date					
OVERHILL FARMS INC	Trade	40 0000		04/28/09		01/14/11	232 77	171 00	61 77	
	Trade	30 0000		04/28/09		01/18/11	177 96	128 25	49 71	
	Trade	50 0000		04/28/09		01/18/11	297 88	213 75	84 13	
	Trade	30 0000		04/28/09		01/18/11	180 07	128 25	51 82	
	Trade	80 0000		04/28/09		03/14/11	490 57	340 24	150 33	
	Trade	15 0000		04/28/09		03/31/11	90 99	63 79	27 20	
	Trade	80 0000		04/28/09		03/31/11	485 26	336 82	148 44	
	Trade	75 0000		06/29/09		03/31/11	454 93	423 75	31 18	
	Trade	30 0000		06/29/09		03/31/11	181 97	168 22	13 75	
	Sub-Total	620 0000					3,743.39	2,788.39	955.00	
ROBBINS & MYERS INC	Trade	85 0000		09/23/09		01/14/11	3,564 99	2,078 03	1,486 96	
	Trade	40 0000		09/23/09		01/20/11	1,586 82	977 90	608 92	
	Trade	25 0000		09/23/09		02/01/11	1,041 32	611 19	430 13	
	Trade	25 0000		04/23/09		04/07/11	1,052 22	431 44	620 78	
	Trade	10 0000		04/23/09		07/08/11	543 59	172 58	371 01	
	Trade	10 0000		04/23/09		07/08/11	543 58	173 37	370 21	
	Sub-Total	195 0000					8,332.52	4,444.51	3,888.01	
SCHULMAN A INC	Trade	20 0000		08/09/07		07/08/11	498 99	691 22	(192 23)	

continued next page

Account Number UT 11289 4S
Your Financial Advisor or Contact
BLAU/RADLER/BUICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006400006644-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
SHENGDATECH INC	Trade	70 0000		12/10/07	02/01/11		290 13	635 69	(345 56)	
	Trade	305 0000		12/10/07	10/13/11		12 20	2,769 80	(2,757 60)	
	Trade	740 0000		02/25/08	10/13/11		29 59	7,887 73	(7,858 14)	
	Trade	60 0000		03/27/08	10/13/11		2 40	518 13	(515 73)	
	Trade	215 0000		10/30/08	10/13/11		8 60	1,011 62	(1,003 02)	
	Trade	30 0000		01/16/09	10/13/11		1 20	104 12	(102 92)	
	Trade	60 0000		06/29/09	10/13/11		2 40	237 96	(235 56)	
SPECTRUM CTL INC *MERGER EFF 06/2011*	Trade	15 0000		07/14/09	10/13/11		0 60	61 65	(61 05)	
	Sub-Total	1,495.0000					347.12	13,226.70	(12,879.58)	
T-3 ENERGY SERVICES INC **MERGER EFF 01/2011**	Trade	120 0000		08/09/07	06/02/11		2,400 00	1,546 73	853 27	
	Trade	445 0000		10/30/08	06/02/11		8,900 00	2,713 04	6,186 96	
	Sub-Total	565.0000					11,300.00	4,259.77	7,040.23	
VASCULAR SOLUTIONS INC	Exchange	170 0000		09/23/09	01/01/11		5,043 06	3,691 56	1,351 50	
	Exchange	80 0000		09/23/09	01/01/11		2,358 39	1,722 39	636 00	
	Sub-Total	250.0000					7,401.45	5,413.95	1,987.50	
WONDER AUTO TECHNOLOGY INC	Trade	10 0000		04/29/10	07/08/11		125 89	100 83	25 06	
WONDER AUTO TECHNOLOGY INC	Trade	115 0000		08/09/07	10/12/11		127 65	757 85	(630 20)	
	Trade	20 0000		09/13/07	10/12/11		22 20	123 81	(101 61)	
	Trade	190 0000		02/25/08	10/12/11		210 89	1,798 92	(1,588 03)	

continued next page

Account Number UT 11289 45
 Your Financial Advisor or Contact
 BLAU/RADLER/BLICK
 212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006400006645-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
WONDER AUTO TECHNOLOGY INC	Trade	140 0000		08/20/08	10/12/11		155 40	1,308 56	(1,153 16)	
	Trade	305 0000		10/30/08	10/12/11		338 54	948 55	(610 01)	
	Trade	100 0000		01/16/09	10/12/11		111 00	271 18	(160 18)	
Sub Total		870.0000					965.68	5,208.87	(4,243.19)	
							\$106,546.36	\$104,286.00	\$2,260.36	
Total Long-Term Gain/Loss							\$106,546.36	\$104,286.00	\$2,260.36	

Account Number UT 11291 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P310110000013500006654-X1

Other Income Details

The items in this section are provided to assist you with your tax return preparation. You should discuss the appropriate treatment of these fees and expenses with your tax advisor.
* Applicable to Tax-exempt Original Issue Discount information only

Activity	Security Description	Cusip number	*Days held	*Quantity/Face amount	Payment Date	*Amortized acquisition premium	Amount
Program Fees and Related Services	ADVISORY ACCOUNT FEE-UT 11291 ARTHUR AND VERONICA GOLDBERG						9,086.34
Total Program Fees and Related Services							\$ 9,086.34

2011 Realized Gain/Loss Summary (Include sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary classifies tax lots into three categories within holding period. Type "A" activity was reported to the IRS on Form 1099-B with cost basis on line 3. Type "B" activity was reported to the IRS on Form 1099-B without cost basis. Type "C" activity was not reported to the IRS on Form 1099-B. Please rely on the confirmations previously provided to you as your official activity record. Estimated 2011 Gain/Loss for transactions with trade dates through 12/31/11 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction for Type "A" activity only. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that can adjust or negate wash sales.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The possible application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

Description	Cost or Other Basis	Sale Amount	Gains	Losses	Net Gain/Loss
Long-term Gain/Loss					
B - Reported to the IRS on Form 1099-B without cost basis	42,329.41	66,845.73	24,516.32		24,516.32
Total Long Term	\$42,329.41	\$66,845.73	\$24,516.32		\$24,516.32
Total	\$42,329.41	\$66,845.73	\$24,516.32		\$24,516.32

Account Number UT 11291 45
 Your Financial Advisor or Contact
 BLAU/RADLERBLICK
 212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P310110000013500006655-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
GENZYME CORP GEN DIV *MERGER EFF 06/2011*	Trade	445 0000		02/28/07	02/17/11	33,509 90	27,535 09	5,974 81	
LIBERTY MEDIA CAPITAL **EXCHANGE EFF 09/2011**									
SER A	Trade	15 0000		02/28/07	02/04/11	1,061 17	244 73	816 44	
	Trade	95 0000		02/28/07	06/21/11	7,639 61	1,549 99	6,089 62	
	Trade	70 0000		07/02/08	06/21/11	5,629 18	994 08	4,635 10	
	Sub-Total	180.0000				14,329.96	2,788.80	11,541.16	
LIBERTY MEDIA STARZ **EXCHANGE EFF 09/2011**									
SER A	Trade	44 0000		02/28/07	05/31/11	3,359 25	1,363 76	1,995 49	
	Trade	2 0000		03/31/08	05/31/11	152 69	66.01	86 68	
	Trade	4 0000		03/31/08	06/01/11	311 24	132 03	179 21	
	Trade	11 0000		07/02/08	06/01/11	855 92	339 49	516 43	
	Sub-Total	61.0000				4,679.10	1,901.29	2,777.81	
LIBERTY GLOBAL INC CL A	Trade	81 0000		02/28/07	03/30/11	3,403 12	2,346 57	1,056 55	
	Trade	114 0000		02/28/07	03/31/11	4,722 05	3,302 58	1,419 47	
	Sub-Total	195.0000				8,125.17	5,649.15	2,476.02	

continued next page

Account Number UT 11291 45
 Your Financial Advisor or Contact
 BLAU/RADLER/BLICK
 212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101L0000013500006656-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired						
MADISON SQUARE GARDEN CO										
CL A	Trade	197.0000		02/28/07		03/30/11	5,311.81	3,960.55	1,351.26	
	Trade	33.0000		07/02/08		03/30/11	889.79	494.53	395.26	
	Sub-Total	230.0000					6,201.60	4,455.08	1,746.52	
Sub Total							\$66,845.73	\$42,329.41	\$24,516.32	
Total Long-Term Gain/Loss							\$66,845.73	\$42,329.41	\$24,516.32	

Account Number UT 11303 45
Your Financial Advisor or Contact
BLAU/RADLER/BUICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006500006675-X1

Other Income Details

The items in this section are provided to assist you with your tax return preparation. You should discuss the appropriate treatment of these fees and expenses with your tax advisor.
* Applicable to Tax-exempt Original Issue Discount information only

Activity	Security Description	Cusip number	*Days held	*Quantity/Face amount	Payment Date	*Amortized acquisition premium	Amount
Program Fees and Related Services	ADVISORY ACCOUNT FEE-UT 11303 ARTHUR AND VERONICA GOLDBERG						9,776.75
Total Program Fees and Related Services							\$ 9,776.75

2011 Realized Gain/Loss Summary

(Include sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary classifies tax lots into three categories within holding period. Type "A" activity was reported to the IRS on Form 1099-B with cost basis on line 3. Type "B" activity was reported to the IRS on Form 1099-B without cost basis. Type "C" activity was not reported to the IRS on Form 1099-B. Please rely on the confirmations previously provided to you as your official activity record. Estimated 2011 Gain/Loss for transactions with trade dates through 12/31/11 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion. The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction for Type "A" activity only. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that can adjust or negate wash sales.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

Description	Cost or Other Basis	Sale Amount	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss					
A - Reported to the IRS on Form 1099-B with cost basis	3,418.19	2,978.90		(439.29)	(439.29)
B - Reported to the IRS on Form 1099-B without cost basis	47,464.23	54,576.01	7,842.72	(730.94)	7,111.78
Total Short Term	\$50,882.42	\$57,554.91	\$7,842.72	\$(1,170.23)	\$6,672.49
Long-term Gain/Loss					
B - Reported to the IRS on Form 1099-B without cost basis	121,160.08	149,004.38	34,689.70	(6,845.40)	27,844.30
Total Long Term	\$121,160.08	\$149,004.38	\$34,689.70	\$(6,845.40)	\$27,844.30
Sub Total	\$172,042.50	\$206,559.29	\$42,532.42	\$(8,015.63)	\$34,516.79
Total	\$172,042.50	\$206,559.29	\$42,532.42	\$(8,015.63)	\$34,516.79

Account Number UT 11303 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000650006676-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash Sale Loss Disallowed
				Acquired	Sold				
KAZAKH GOLD GROUP LTD **NAME CHANGE EFF 08/2011 •• SPON GBP	Trade	0.8600		07/22/11	07/25/11	3.04	3.04		
POLYUS GOLD ADR	Trade	98.0000		06/16/11	07/22/11	2,973.09	3,412.24	(439.15)	
SUMITOMO MITSUI TRUST HOLDINGS SPON ADR	Cash in lieu	0.8300		04/01/11	04/01/11	2.77	2.91	(0.14)	
Sub Total						\$2,978.90	\$3,418.19	\$(439.29)	

Short-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis; proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash Sale Loss Disallowed
				Acquired	Sold				
ALCATEL-LUCENT SPON ADR	Trade	1,347.0000		11/11/10	02/23/11	6,245.99	4,053.53	2,193.46	
	Trade	1.0000		11/11/10	02/25/11	4.81	3.01	1.80	
Sub-Total		1,348.0000				6,251.80	4,056.54	2,195.26	
DAIWA HOUSE IND LTD ADR JAPAN ADR	Trade	36.0000		11/11/10	08/10/11	4,313.65	4,130.64	183.01	
FINMECCANICA SPA ADR	Trade	66.0000		05/13/10	01/28/11	434.27	397.79	36.48	
	Trade	234.0000		05/14/10	01/28/11	1,539.69	1,339.44	200.25	
	Trade	159.0000		11/24/10	10/12/11	596.51	932.23	(335.72)	
Sub-Total		459.0000				2,570.47	2,669.46	(98.99)	

continued next page

Account Number UT 11303 45
Your Financial Advisor or Contact
BLAURADLER/BUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006500006677-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
IMPALA PLATINUM HLDG LTD SPON ADR	Trade	53 0000		11/11/10	01/21/11	1,652.98	1,627.10	25.88	
KAO CORP REPSTG 10 SHS COM SPON ADR	Trade	77 0000		11/11/10	08/23/11	1,972.17	1,979.67	(7.50)	
	Trade	169 0000		11/11/10	08/24/11	4,342.99	4,344.99	(2.00)	
	Sub-Total	246.0000				6,315.16	6,324.66	(9.50)	
GAO GAZPROM LEVEL 1 ADR PROGRAM (RUSSIA) SPON ADR	Trade	56 0000		10/15/10	01/28/11	1,498.53	1,202.19	296.34	
	Trade	25 0000		10/15/10	03/08/11	765.08	536.69	228.39	
	Sub-Total	81.0000				2,263.61	1,738.88	524.73	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	Trade	74 0000		11/11/10	10/06/11	4,698.94	4,820.36	(121.42)	
STATOIL ASA SPON ADR	Trade	86.0000		11/09/10	04/27/11	2,435.06	1,830.85	604.21	
	Trade	36 0000		11/09/10	10/18/11	897.70	766.40	131.30	
	Trade	121 0000		11/10/10	10/18/11	3,017.28	2,576.90	440.38	
	Trade	98.0000		11/11/10	10/18/11	2,443.75	2,106.66	337.09	
	Sub-Total	341.0000				8,793.79	7,280.81	1,512.98	
SUMITOMO TRUST & BANKING *EXCHANGE EFF 04/2011* SPON ADR	Exchange	321 0000		09/21/10	04/01/11	1,674.02	1,666.02	8.00	
	Exchange	465 0000		11/11/10	04/01/11	2,424.98	2,664.45	(239.47)	
	Sub-Total	786.0000				4,099.00	4,330.47	(231.47)	
SUNCOR ENERGY INC NEW CAD	Trade	49 0000		05/21/10	01/28/11	1,956.57	1,418.84	537.73	
	Trade	40 0000		05/21/10	03/03/11	1,864.12	1,158.24	705.88	

continued next page

Account Number UT 11303 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006500006678-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
SUNCOR ENERGY INC NEW CAD	Trade	78 0000		05/21/10	04/07/11	3,457.59	2,258.57	1,199.02	
	Trade	14 0000		11/11/10	07/21/11	582.91	501.01	81.90	
	Trade	84 0000		11/11/10	07/22/11	3,475.95	3,006.07	469.88	
Sub-Total		265.0000				11,337.14	8,342.73	2,994.41	
TAKEDA PHARMACEUTICAL CO LTD SPON ADR	Trade	49 0000		11/11/10	05/20/11	1,138.92	1,163.75	(24.83)	
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	Trade	14 0000		09/09/10	01/28/11	1,140.55	978.83	161.72	
Sub Total						\$54,576.01	\$47,464.23	\$7,111.78	
Total Short-Term Gain/Loss						\$57,554.91	\$50,882.42	\$6,672.49	

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
ALCATEL-LUCENT SPON ADR	Trade	749 0000		10/30/08	02/02/11	2,561.53	1,939.91	621.62	
	Trade	753 0000		10/30/08	02/03/11	2,517.08	1,950.27	566.81	
	Trade	541 0000		10/30/08	02/15/11	2,464.75	1,401.19	1,063.56	
								continued next page	

Account Number UT 11303 45
Your Financial Advisor or Contact
BLAU RADLERBLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006500006679-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
ALCATEL-LUCENT SPON ADR	Trade	261 0000		10/30/08	02/23/11		1,210.44	675.99	534.45	
Sub-Total		2,304,0000					8,753.80	5,967.36	2,786.44	
ANGLOGOLD ASHANTI LTD NEW SPON ADR	Trade	67 0000		03/19/08	12/16/11		2,773.82	2,240.67	533.15	
ASTRAZENECA PLC SPON ADR	Trade	45 0000		01/11/10	08/23/11		2,112.61	2,146.93	(34.32)	
BARRICK GOLD CORP CAD	Trade	97 0000		10/30/08	04/08/11		5,296.03	2,220.23	3,075.80	
Trade		48 0000		10/30/08	08/22/11		2,497.22	1,098.67	1,398.55	
Sub-Total		145,0000					7,793.25	3,318.90	4,474.35	
CENTRAIS ELETRICAS BRAS SA SPON ADR REP 50 PREF CL B ADR	Trade	27 0000		01/29/07	01/28/11		433.61	297.32	136.29	
Trade		32 0000		01/30/07	01/28/11		513.91	353.60	160.31	
Trade		10 0000		01/31/07	01/28/11		160.60	111.02	49.58	
Trade		31 0000		06/07/07	01/28/11		497.85	389.84	108.01	
Trade		61 0000		06/08/07	01/28/11		979.64	753.76	225.88	
Trade		78 0000		06/12/07	01/28/11		1,252.65	999.78	252.87	
Trade		216 0000		10/28/08	01/28/11		3,468.89	2,008.17	1,460.72	
Sub-Total		455,0000					7,307.15	4,913.49	2,393.66	
DAI NIPPON PRINTING CO SPON ADR	Trade	150 0000		07/10/08	01/28/11		2,069.96	2,050.85	19.11	
DAIWA HOUSE IND LTD ADR JAPAN ADR	Trade	9 0000		02/13/08	01/28/11		1,109.67	944.58	165.09	
Trade		8 0000		02/13/08	03/29/11		1,003.78	839.62	164.16	

continued next page

Account Number UT 11303 45
Your Financial Advisor or Contact
BLAU/RADLER/BUICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006500006680-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
DAIWA HOUSE IND LTD ADR JAPAN ADR	Trade	22 0000		03/07/08	03/29/11		2,760 39	2,102 82	657 57	
	Trade	6 0000		03/07/08	08/10/11		718 94	573 50	145 44	
	Trade	15 0000		09/02/08	08/10/11		1,797 36	1,409 10	388 26	
	Sub-Total	60.0000					7,390.14	5,869.62	1,520.52	
EMBRAER S A SPON ADR	Trade	64 0000		10/30/09	01/26/11		2,054 35	1,410 71	643 64	
	Trade	30 0000		10/30/09	07/29/11		887 47	661 27	226 20	
	Trade	32 0000		11/03/09	07/29/11		946 64	644 63	302 01	
	Trade	97 0000		11/03/09	08/01/11		2,822 64	1,954 04	868 60	
	Trade Sub-Total	75 0000 298.0000		05/14/10	08/01/11		2,182 46 8,893.56	1,690 63 6,361.28	491 83 2,532.28	
FINMECCANICA SPA ADR	Trade	75 0000		05/14/10	10/12/11		281 37	429 31	(147 94)	
	Trade	398 0000		05/19/10	10/12/11		1,493 14	2,234 45	(741 31)	
	Sub-Total	473.0000					1,774.51	2,663.76	(889.25)	
GLAXO SMITHKLINE PLC ADR	Trade	67 0000		01/08/10	05/31/11		2,903 77	2,755 29	148 48	
	Trade	49 0000		01/08/10	11/08/11		2,176 94	2,015 06	161 88	
	Trade Sub-Total	5 0000 121.0000		01/14/10	11/08/11		222 14 5,302.85	209 64 4,979.99	12 50 322.86	
IMPALA PLATINUM HLDG LTD SPON ADR	Trade	186 0000		10/30/08	01/21/11		5,801 04	2,027 40	3,773 64	

continued next page

Account Number UT 11303 4S
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006500006581-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
KAO CORP REPSTG 10 SHS COM SPON ADR	Trade	47 0000		03/13/09	01/28/11		1,261.92	908.43	353.49	
	Trade	65 0000		03/13/09	08/04/11		1,771.23	1,256.34	514.89	
	Trade	126 0000		03/13/09	08/10/11		3,294.93	2,435.36	859.57	
	Trade	92 0000		03/13/09	08/16/11		2,395.66	1,778.20	617.46	
	Trade	30 0000		04/23/09	08/16/11		781.19	605.78	175.41	
	Trade Sub-Total	110 0000 470.0000		05/18/09	08/16/11		2,864.38 12,369.31	2,285.83 9,269.94	578.55 3,099.37	
KINROSS GOLD CORP NEW CAD	Trade	104 0000		07/07/09	08/30/11		1,815.99	1,923.46	(107.47)	56.84
	Trade	83 0000		07/09/09	08/30/11		1,449.30	1,490.51	(41.21)	
	Trade Sub-Total	187 0000					3,265.29	3,413.97	(148.68)	
MAGNA INTL INC CL A SUB VTG CANADA ORD CAD	Trade	72 0000		10/30/08	01/14/11		4,225.37	1,175.40	3,049.97	
	Trade	15 0000		10/10/07	01/28/11		361.54	461.17	(99.63)	
NEXEN INC CAD	Trade	43 0000		08/07/08	01/28/11		1,036.42	1,297.29	(260.87)	
	Trade	48 0000		10/30/08	01/28/11		1,156.93	746.38	410.55	
	Trade	66 0000		10/30/08	03/04/11		1,791.50	1,026.27	765.23	
	Trade	60 0000		10/30/08	04/04/11		1,519.75	932.97	586.78	
	Trade	48 0000		01/29/09	04/04/11		1,215.79	722.56	493.23	
	Trade Sub-Total	8 0000 288.0000		10/28/09	04/04/11		202.63 7,284.56	175.64 5,362.28	26.99 1,922.28	

continued next page

Account Number UT 11303 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006500006682-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
NIPPON TELEG & TEL CORP SPON ADR	Trade	240 0000		05/31/07	10/04/11		5,610 99	5,581 78	29 21	
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	Trade	22 0000		03/27/06	01/28/11		1,532 14	1,419 26	112 88	
	Trade	63 0000		03/27/06	08/03/11		4,367 73	4,064 24	303 49	
	Trade	23 0000		03/27/06	10/06/11		1,460 48	1,483 77	(23 29)	
	Trade	31.0000		02/27/07	10/06/11		1,968 48	2,084 10	(115 62)	
	Trade	28 0000		02/14/08	10/06/11		1,777 97	1,969 57	(191 60)	
	Trade	40 0000		02/02/10	10/06/11		2,539 97	2,203 49	336 48	
Sub-Total		207.0000					13,646.77	13,224.43	422.34	
SEVEN & I HLDGS CO LTD ADR	Trade	4 0000		01/07/09	01/28/11		207 96	236 07	(28 11)	
	Trade	32 0000		01/13/09	01/28/11		1,663 64	1,922 51	(258 87)	
	Trade	13 0000		01/13/09	06/22/11		708 74	781 02	(72 28)	
	Trade	39 0000		02/18/09	06/22/11		2,126 21	1,964 31	161 90	
	Trade	7 0000		02/18/09	06/23/11		373 00	352 57	20 43	
	Trade	4 0000		02/18/09	09/30/11		228 10	201 47	26 63	
	Trade	42 0000		05/13/09	09/30/11		2,395 07	2,050 91	344 16	
	Trade	28 0000		05/13/09	12/19/11		1,510 26	1,367 27	142 99	
Sub-Total		169.0000					9,212.98	8,876.13	336.85	

continued next page

Account Number UT 11303 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006500006683-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss
				Acquired	Sold				
SIEMENS A G SPON ADR	Trade	12 0000		09/29/08	01/28/11	1,533.81	1,121.06	412.75	
	Trade	7 0000		09/29/08	02/08/11	890.44	653.95	236.49	
	Trade	20 0000		10/24/08	02/08/11	2,544.13	1,008.04	1,536.09	
	Trade Sub-Total	10 0000 49.0000		10/24/08	06/27/11	1,321.39 6,289.77	504.02 3,287.07	817.37 3,002.70	
SK TELECOM CO LTD ADR	Trade	63 0000		04/23/08	05/25/11	1,169.49	1,383.48	(213.99)	
	Trade	63 0000		04/29/08	05/25/11	1,169.48	1,399.99	(230.51)	
	Trade Sub-Total	18 0000 144.0000		10/30/08	05/25/11	334.14 2,673.11	305.66 3,089.13	28.48 (416.02)	
SOCIETE GENERALE FRANCE SPONS ADR ADR	Trade	92 0000		01/16/09	01/28/11	1,202.41	778.36	424.05	
	Exchange	207 0000		01/11/08	04/01/11	1,079.51	1,424.12	(344.61)	
	Exchange	478 0000		03/07/08	04/01/11	2,492.75	3,081.24	(588.49)	
	Exchange Sub-Total	145 0000 830.0000		09/17/08	04/01/11	756.18 4,328.44	871.39 5,376.75	(115.21) (1,048.31)	
SUNCOR ENERGY INC NEW CAD SPON ADR	Trade	76 0000		05/21/10	05/31/11	3,175.32	2,200.66	974.66	
	Trade Sub-Total	69 0000 145.0000		05/21/10	07/21/11	2,872.91 6,048.23	1,997.96 4,198.62	874.95 1,849.61	
	Trade	41 0000		03/29/06	07/28/11	1,940.02	1,329.23	610.79	
									continued next page

Account Number UT 11303 4S
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G00000006500006684-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
TAKEDA PHARMACEUTICAL CO LTD SPON ADR	Trade	106.0000		05/12/10	05/20/11	2,463.78	2,284.19	179.59	
TELECOM ITALIA SPA NEW REPSTG 10 SAVINGS SHS SPON ADR	Trade	208.0000		03/29/06	03/24/11	2,731.21	5,531.17	(2,799.96)	
	Trade	33.0000		12/06/06	03/24/11	433.32	849.22	(415.90)	
Sub-Total		241.0000				3,164.53	6,380.39	(3,215.86)	
WACOAL HOLDINGS CORP ADR	Trade	61.0000		03/28/06	01/28/11	4,163.66	4,177.87	(14.21)	
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR	Trade	50.0000		02/24/09	01/28/11	1,142.47	814.29	328.18	
Sub Total						\$149,004.38	\$121,160.08	\$27,844.30	
Total Long-Term Gain/Loss						\$149,004.38	\$121,160.08	\$27,844.30	

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000600006728-X1

2011 Realized Gain/Loss Summary

(Include sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary classifies tax lots into three categories within holding period. Type "A" activity was reported to the IRS on Form 1099-B with cost basis on line 3. Type "B" activity was reported to the IRS on Form 1099-B without cost basis. Type "C" activity was not reported to the IRS on Form 1099-B. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2011 Gain/Loss for transactions with trade dates through 12/31/11 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction for Type "A" activity only. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that can adjust or negate wash sales.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

Description	Cost or Other Basis	Sale Amount	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss					
A - Reported to the IRS on Form 1099-B with cost basis	282,616 54	258,308 71	9,361 42	(33,669 25)	(24,307 83)
B - Reported to the IRS on Form 1099-B without cost basis	448,830 60	446,447 01	32,483 45	(34,867 04)	(2,383 59)
Total Short Term	\$731,447 14	\$704,755 72	\$41,844 87	\$(68,536 29)	\$(26,691 42)
Long-term Gain/Loss					
B - Reported to the IRS on Form 1099-B without cost basis	142,063 78	201,152 28	60,371 23	(1,282 73)	59,088 50
Total Long Term	\$142,063 78	\$201,152 28	\$60,371 23	\$(1,282 73)	\$59,088 50
Sub Total	\$873,510 92	\$905,908 00	\$102,216 10	\$(69,819 02)	\$32,397 08
Unclassified Gain/Loss					
Activity with missing cost basis information		28 80			
Total	\$873,510.92	\$905,936.80	\$102,216 10	\$(69,819.02)	\$32,397.08

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006729-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Wash Sale Loss disallowed
				Acquired	Date					
CAPITAL ONE FINCL CORP	Trade	5 0000		11/02/11	12/09/11		228.49	226.50	1.99	
CHICAGO BRIDGE & IRON NV										
EUR	Trade	18 0000		03/18/11	08/05/11		609.01	690.64	(81.63)	
	Trade	36 0000		03/21/11	08/05/11		1,218.01	1,414.34	(196.33)	
	Trade	27 0000		03/21/11	08/08/11		763.06	1,060.75	(297.69)	
	Trade	10 0000		03/22/11	08/08/11		282.61	397.30	(114.69)	
	Trade	62 0000		03/22/11	10/04/11		1,553.75	2,463.27	(909.52)	
	Trade	30 0000		04/05/11	10/04/11		751.81	1,254.94	(503.13)	
Sub-Total		183.0000					5,178.25	7,281.24	(2,102.99)	
CHUBB CORP	Trade	5 0000		10/21/11	12/09/11		340.74	342.41	(1.67)	
CONTINENTAL RESOURCES INC (OKLA)	Trade	100 0000		02/18/11	04/14/11		6,551.15	6,362.00	189.15	
DISCOVER FINANCIAL SERVICES	Trade	60 0000		03/23/11	12/09/11		1,457.62	1,385.71	71.91	
DOW CHEMICAL	Trade	26 0000		02/04/11	11/17/11		697.91	961.62	(263.71)	
ENERGY XXI LTD	Trade	44 0000		04/26/11	07/25/11		1,498.09	1,555.47	(57.38)	
EOG RESOURCES INC	Trade	52 0000		02/23/11	05/05/11		5,394.09	5,906.60	(512.51)	
FIFTH THIRD BANCORP	Trade	407 0000		01/04/11	05/04/11		5,358.49	6,018.63	(660.14)	
	Trade	54 0000		01/06/11	05/04/11		710.96	810.99	(100.03)	
	Trade	341 0000		01/06/11	05/13/11		4,259.10	5,121.27	(862.17)	
Sub-Total		802.0000					10,328.55	11,950.89	(1,622.34)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006730-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash Sale loss disallowed
				Acquired	Sold				
FORTUNE BRANDS HOME & SEC INC. COM	Trade	145 0000		05/25/11	10/06/11	1,791.56	2,075.77	(284.21)	
	Trade	27 0000		06/17/11	10/06/11	333.60	390.98	(57.38)	
	Sub-Total	172 0000				2,125.16	2,466.75	(341.59)	
GENL ELECTRIC CO	Trade	59 0000		02/14/11	03/15/11	1,132.13	1,272.46	(140.33)	
	Trade	66 0000		02/14/11	03/16/11	1,252.78	1,423.43	(170.65)	
	Trade	304 0000		02/14/11	03/23/11	5,875.14	6,556.40	(681.26)	
	Sub-Total	429 0000				8,260.05	9,252.29	(992.24)	
HANSEN NAT CORP ***NAME CHANGE EFF 01/2012**	Trade	5 0000		09/15/11	12/09/11	475.79	440.62	35.17	
	Trade	33 0000		05/18/11	09/13/11	1,645.69	1,756.41	(110.72)	
	Trade	79 0000		05/18/11	09/15/11	4,029.66	4,204.75	(175.09)	
	Trade	2 0000		05/19/11	09/15/11	102.02	107.37	(5.35)	
	Trade	53 0000		05/19/11	10/05/11	2,619.91	2,845.44	(225.53)	
	Trade	4 0000		05/20/11	10/05/11	197.73	216.35	(18.62)	
	Trade	22 0000		05/20/11	10/11/11	1,119.28	1,189.94	(70.66)	
	Trade	8 0000		05/26/11	10/11/11	407.01	430.05	(23.04)	
	Trade	13 0000		05/26/11	10/12/11	665.63	698.83	(33.20)	
	Trade	24 0000		06/17/11	10/12/11	1,228.85	1,290.35	(61.50)	
	Sub-Total	238 0000				12,015.78	12,739.49	(723.71)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006060C006731-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3 A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Wash Sale loss	
				Acquired	Sold			Gain/Loss	disallowed
HERTZ GLOBAL HOLDINGS INC	Trade	55 0000		10/10/11	12/09/11	626 07	550 94		75 13
IMPERIAL OIL LTD NEW CANADA									
CAD	Trade	55 0000		03/07/11	06/20/11	2,460 86	2,983 02	(522 16)	
	Trade	58 0000		03/07/11	06/21/11	2,626 85	3,145 74	(518 89)	
	Trade	11 0000		03/16/11	06/21/11	498 20	554 68	(56 48)	
	Trade	15 0000		03/16/11	06/24/11	657 00	756 37	(99 37)	
	Trade	17 0000		04/05/11	06/24/11	744 61	914 25	(169 64)	
Sub-Total		156.0000				6,987.52	8,354.06	(1,366.54)	
INTERDIGITAL INC (PA)									
	Trade	31 0000		02/14/11	02/24/11	1,470 62	1,755 85	(285 23)	285.23
	Trade	11 0000		02/14/11	08/05/11	726 69	724 25	2 44	
	Trade	3 0000		02/16/11	08/05/11	198 19	201 45	(3 26)	
	Trade	3 0000		02/16/11	08/05/11	198 19	173 85	24 34	
	Trade	17 0000		02/16/11	08/15/11	1,025 56	1,141 55	(115 99)	
	Trade	100 0000		02/17/11	08/15/11	6,032 70	5,804 49	228 21	
	Trade	20 0000		03/21/11	10/26/11	899 70	864 32	35 38	
	Trade	48 0000		05/11/11	10/26/11	2,159 29	2,123 80	35 49	
	Trade	18 0000		05/11/11	10/27/11	797 46	796 42	1 04	
	Trade	20 0000		09/20/11	10/27/11	886 06	1,249 19	(363 13)	
	Trade	20 0000		09/29/11	10/27/11	886 06	1,043 41	(157 35)	
Sub-Total		291.0000				15,280.52	15,878.58	(598.06)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BUICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600005732-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face Value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Wash Sale Loss Disallowed
				Acquired						
INTL PAPER CO	Trade	25 0000		05/22/11		12/09/11	703.79	719.43	(15.64)	15.64
ISRAEL CHEMICALS LTD ADR	Trade	8 0000		01/06/11		03/08/11	127.31	140.55	(13.24)	
	Trade	30 0000		01/06/11		03/09/11	469.78	527.07	(57.29)	
	Trade	78 0000		01/06/11		03/30/11	1,284.51	1,370.37	(85.86)	
	Trade	219 0000		01/06/11		05/03/11	3,724.30	3,847.59	(123.29)	
Sub-Total		335.0000					5,605.90	5,885.58	(279.68)	
JPMORGAN CHASE & CO	Trade	24 0000		01/13/11		04/04/11	1,107.79	1,075.20	32.59	
	Trade	145 0000		01/13/11		05/02/11	6,632.85	6,495.97	136.88	
Sub-Total		169.0000					7,740.64	7,571.17	169.47	
KEYCORP NEW	Trade	105 0000		10/10/11		12/09/11	765.69	669.25	96.44	
KIRBY CORPORATION	Trade	25 0000		02/28/11		12/09/11	1,591.46	1,374.19	217.27	
KOMATSU LTD SPON ADR NEW	Trade	131 0000		03/28/11		09/07/11	3,240.05	4,483.20	(1,243.15)	
	Trade	84 0000		05/11/11		09/07/11	2,077.59	2,947.25	(869.66)	
	Trade	12 0000		05/11/11		09/12/11	271.15	421.04	(149.89)	
	Trade	98 0000		06/30/11		09/12/11	2,214.40	3,033.97	(819.57)	
Sub-Total		325.0000					7,803.19	10,885.46	(3,082.27)	
LORILLARD INC	Trade	17 0000		05/19/11		10/20/11	1,961.66	1,960.11	1.55	
	Trade	24 0000		05/19/11		10/21/11	2,772.64	2,767.21	5.43	
	Trade	10 0000		05/19/11		11/10/11	1,070.54	1,153.00	(82.46)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006733-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
LORILLARD INC	Trade	5 0000		05/19/11	12/09/11	549 68	576 50	(26 82)	
	Sub-Total	56 0000				6,354.52	6,456.82	(102.30)	
MARSH & MCLENNAN COS INC	Trade	45 0000		02/25/11	12/09/11	1,391 69	1,356 23	35 46	
MF GLOBAL HLDGS LTD	Trade	182 0000		01/06/11	08/05/11	1,179 45	1,629 43	(449 98)	
	Trade	160 0000		01/07/11	08/05/11	1,036 87	1,450 90	(414 03)	
	Sub-Total	342 0000				2,216.32	3,080.33	(864.01)	
MGM RESORTS INTL	Trade	110 0000		01/11/11	02/23/11	1,476 29	1,790 09	(313 80)	
MOSAIC CO **MERGER EFF 05/2011**	Trade	38 0000		01/13/11	03/10/11	2,912 67	3,073 19	(160 52)	
	Trade	4 0000		01/18/11	03/10/11	306 60	340 26	(33 66)	
	Trade	49 0000		01/18/11	03/11/11	3,658 08	4,168 21	(510 13)	
	Trade	16 0000		01/19/11	03/11/11	1,194 48	1,265 98	(71 50)	
	Sub-Total	107 0000				8,071.83	8,847.64	(775.81)	
MOTOROLA MOBILITY HOLDINGS	Trade	79 0000		08/04/11	08/17/11	3,013 23	1,745 02	1,268 21	
	Trade	177 0000		08/04/11	08/18/11	6,718 03	3,909 74	2,808 29	
	Trade	41 0000		08/11/11	08/18/11	1,556 15	972 02	584 13	
	Trade	48 0000		08/11/11	08/19/11	1,818 84	1,137 97	680 87	
	Trade	60 0000		08/12/11	08/19/11	2,273 55	1,468 87	804 68	
	Sub-Total	405 0000				15,379.80	9,233.62	6,146.18	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAURADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006734-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3 A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
NATL-OILWELLVARCO INC	Trade	20 0000		02/07/11	06/15/11	1,402 29	1,559 12	(156 83)	
	Trade	20 0000		02/07/11	06/17/11	1,383 91	1,559 13	(175 22)	
	Trade	35 0000		02/07/11	06/27/11	2,449 79	2,728 46	(278 67)	
	Trade	82 0000		02/07/11	07/27/11	6,552 29	6,392 41	159 88	
	Sub-Total	157 0000				11,788 28	12,239 12	(450 84)	
NAVISTAR INTL CORP NEW	Trade	27 0000		03/10/11	06/08/11	1,536 19	1,706 35	(170 16)	
	Trade	20 0000		03/10/11	06/10/11	1,095 94	1,263 96	(168 02)	
	Trade	8 0000		03/11/11	06/10/11	438 37	516 19	(77 82)	
	Trade	18 0000		03/11/11	06/13/11	967 20	1,161 43	(194 23)	
	Trade	20 0000		03/11/11	08/03/11	928 18	1,290 47	(362 29)	
	Trade	14 0000		03/14/11	08/03/11	649 73	889 64	(239 91)	
	Trade	10 0000		03/14/11	09/12/11	365 28	635 45	(270 17)	
	Trade	19 0000		03/21/11	09/12/11	694 04	1,271 62	(577 58)	
	Sub-Total	136 0000				6,674 93	8,735 11	(2,060 18)	
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	Trade	58 0000		08/11/11	10/24/11	3,386 36	3,575 67	(189 31)	
	Trade	28 0000		08/11/11	10/26/11	1,620 48	1,726 18	(105 70)	
	Trade	20 0000		08/12/11	10/26/11	1,157 48	1,276 11	(118 63)	
	Trade	32 0000		08/12/11	11/03/11	1,838 61	2,041 76	(203 15)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006735-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
NESTLE S.A. SPONSORED ADR REPSTG REG SHS SWITZ ADR	Trade	39 0000		08/12/11	11/04/11	2,238.30	2,488.41	(250.11)	
	Sub-Total	177.0000				10,241.23	11,108.13	(866.90)	
NORFOLK STHN CORP	Trade	21 0000		02/28/11	05/17/11	1,488.89	1,373.14	115.75	
	Trade	26 0000		02/28/11	05/26/11	1,861.57	1,700.08	161.49	
	Trade	46 0000		03/01/11	05/26/11	3,293.54	3,015.89	277.65	
	Trade	23 0000		03/18/11	05/26/11	1,646.77	1,534.90	111.87	
	Sub-Total	116.0000				8,290.77	7,624.01	666.76	
NUANCE COMMUNICATIONS INC	Trade	20 0000		09/09/11	12/09/11	483.03	339.55	143.48	
	Trade	8 0000		03/15/11	05/25/11	216.75	209.68	7.07	
NXP SEMICONDUCTORS NV COM EUR	Trade	49 0000		03/16/11	05/25/11	1,327.62	1,287.77	39.85	
	Trade	111 0000		03/17/11	05/25/11	3,007.48	2,992.07	15.41	
	Trade	50 0000		03/18/11	05/25/11	1,354.72	1,374.16	(19.44)	
	Trade	20 0000		03/29/11	05/25/11	541.89	620.20	(78.31)	
	Sub-Total	238.0000				6,448.46	6,483.88	(35.42)	
OCCIDENTAL PETROLEUM CRP	Trade	14 0000		02/01/11	11/16/11	1,401.80	1,381.08	20.72	
	Trade	11 0000		04/05/11	11/16/11	1,101.42	1,140.72	(39.30)	
	Trade	25 0000		10/10/11	12/09/11	2,503.22	2,521.80	(18.58)	
	Trade	10 0000		06/20/11	12/09/11	330.29	261.13	69.16	
	Sub-Total	15 0000				1,230.83	1,053.15	177.68	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000600006736-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3 A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
ORACLE CORP	Trade	5 0000		08/29/11	12/09/11	155 89	137 18	18 71	
	Trade	172 0000		08/29/11	12/21/11	4,385 62	4,719 11	(333 49)	
Sub-Total		177 0000				4,541 51	4,856 29	(314 78)	
ORIX CORP SPON ADR	Trade	62 0000		07/28/11	09/15/11	2,467 70	3,369 51	(901 81)	
	Trade	12 0000		07/28/11	11/07/11	522 46	652 17	(129 71)	
	Trade	36 0000		07/28/11	11/07/11	1,577 34	1,956 49	(379 15)	
Sub-Total		110 0000				4,567 50	5,978 17	(1,410 67)	
OWENS CORNING NEW	Trade	5 0000		11/03/11	12/09/11	137 75	142 46	(4 71)	4 71
PEABODY ENERGY CORP	Trade	22 0000		02/01/11	06/08/11	1,237 81	1,424 35	(186 54)	
PROTECTIVE LIFE CORP	Trade	52 0000		01/03/11	06/07/11	1,162 65	1,442 53	(279 88)	
	Trade	1 0000		01/03/11	06/10/11	21 90	27 74	(5 84)	
	Trade	71 0000		01/06/11	06/10/11	1,554 97	2,045 20	(490 23)	
	Trade	27 0000		01/06/11	06/13/11	588 37	777 75	(189 38)	
	Trade	7 0000		01/06/11	07/12/11	155 51	201 64	(46 13)	
	Trade	94 0000		01/07/11	07/12/11	2,088 23	2,715 55	(627 32)	
Sub-Total		252 0000				5,571 63	7,210 41	(1,638 78)	
QUESTAR CORP	Trade	40 0000		06/24/11	12/09/11	758 38	702 92	55 46	
RED HAT INC	Trade	20 0000		09/09/11	12/09/11	981 18	741 70	239 48	
	Trade	41 0000		09/09/11	12/20/11	1,736 08	1,520 49	215 59	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006737-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
RED HAT INC	Trade	13 0000		09/09/11	12/21/11	514 53	482 11	32 42	
	Trade	22 0000		09/13/11	12/21/11	870 75	845 63	25 12	
	Sub-Total	96.0000				4,102.54	3,589.93	512.61	
ROCHE HLDG LTD SPONS ADR SWITZ ADR	Trade	52 0000		08/24/11	10/13/11	2,023 31	2,240 77	(217 46)	
	Trade	38 0000		08/24/11	11/10/11	1,450 65	1,637 48	(186 83)	
	Trade	95 0000		08/25/11	11/10/11	3,626 63	4,036 28	(409 65)	
	Sub-Total	185.0000				7,100.59	7,914.53	(813 94)	
ROVI CORP COM	Trade	111 0000		03/18/11	11/09/11	3,256 53	5,936 17	(2,679 64)	
	Trade	36 0000		08/29/11	11/09/11	1,056 17	1,703 85	(647 68)	
	Sub-Total	147.0000				4,312.70	7,640.02	(3,327 32)	
SALESFORCE COM INC	Trade	7 0000		09/14/11	11/18/11	799 99	910 95	(110 96)	
	Trade	4 0000		09/14/11	11/21/11	436 38	520 55	(84 17)	
	Trade	9 0000		09/19/11	11/21/11	981 87	1,215 62	(233 75)	
	Trade	5 0000		09/19/11	12/09/11	607 78	675 35	(67 57)	
	Trade	5 0000		09/19/11	12/16/11	536 01	675 34	(139 33)	
	Trade	7 0000		09/27/11	12/16/11	750 42	881 72	(131 30)	
	Sub-Total	37.0000				4,112.45	4,879.53	(767 08)	
SM ENERGY CO	Trade	22 0000		10/26/11	11/21/11	1,651 42	1,775 84	(124 42)	124 42
SMUCKER J M CO NEW	Trade	5 0000		05/26/11	12/09/11	384 69	386 06	(1 37)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006738-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3 A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss	
				Acquired	Sold				disallowed	
TEEKAY CORP	Trade	21 0000		03/17/11	07/27/11	584.29	728.31	(144.02)		
	Trade	37 0000		03/17/11	08/03/11	956.21	1,283.21	(327.00)		
	Trade	27 0000		03/18/11	08/03/11	697.78	946.11	(248.33)		
	Sub-Total	85.0000				2,238.28	2,957.63	(719.35)		
TRINITY INDUSTRIES INC	Trade	26 0000		04/04/11	06/23/11	829.58	976.35	(146.77)		
	Trade	78 0000		04/04/11	07/18/11	2,576.38	2,929.04	(352.66)		
	Sub-Total	104.0000				3,405.96	3,905.39	(499.43)		
TW TELECOM INC CL A	Trade	10 0000		04/25/11	12/09/11	183.19	212.07	(28.88)		
ULTA SALON COSMETICS & FRAGRANCE INC	Trade	5 0000		09/08/11	12/09/11	363.59	294.24	69.35		
WABCO HOLDINGS INC	Trade	40 0000		05/02/11	11/16/11	1,853.87	2,975.25	(1,121.38)		
	Trade	43 0000		05/02/11	11/17/11	1,908.06	3,198.39	(1,290.33)		
	Trade	44 0000		05/11/11	11/17/11	1,952.43	3,168.18	(1,215.75)		
	Trade	33 0000		09/12/11	11/17/11	1,464.32	1,476.78	(12.46)		
	Trade	22 0000		09/27/11	11/17/11	976.22	947.39	28.83		
Sub-Total		182.0000				8,154.90	11,765.99	(3,611.09)		
WEIGHT WATCHERS INTL INC NEW	Trade	27 0000		05/20/11	08/12/11	1,527.34	2,289.70	(762.36)		
WELLS FARGO & CO NEW	Trade	26 0000		01/04/11	04/04/11	830.78	826.38	4.40		
	Trade	101 0000		01/04/11	04/14/11	3,071.69	3,210.16	(138.47)		

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

212-333-8800/800-223-0170

2011 Informational Statement

P3101G0000006600006739-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS (continued)

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
WELLS FARGO & CO NEW	Trade	43 0000		01/04/11	04/20/11	1,238 90	1,366 70	(127 80)	
	Trade	116 0000		01/04/11	04/21/11	3,313 42	3,686 92	(373 50)	
	Trade	85 0000		01/06/11	04/21/11	2,427 94	2,742 60	(314 66)	
	Sub-Total	371.0000				10,882.73	11,832.76	(950.03)	
YUM! BRANDS INC	Trade	40 0000		05/18/11	07/12/11	2,216 47	2,217 38	(0 91)	
	Trade	25 0000		05/18/11	07/27/11	1,325 23	1,385 86	(60 63)	
	Trade	35 0000		05/18/11	08/03/11	1,771 40	1,940 20	(168 80)	
	Trade	8 0000		05/18/11	09/02/11	422 65	443 48	(20 83)	
	Trade	53 0000		05/19/11	09/02/11	2,800 06	2,980 47	(180 41)	
	Sub-Total	161.0000				8,535.81	8,967.39	(431.58)	
Sub Total						\$258,308.71	\$282,616.54	\$(24,307.83)	

Short-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
AGNICO EAGLE MINES LTD CANADA CAD	Trade	23 0000		11/09/10	01/05/11	1,632 27	1,931 28	(299 01)	
	Trade	9 0000		11/10/10	01/05/11	638 71	728 17	(89 46)	
	Trade	14 0000		11/11/10	01/05/11	993 55	1,137 75	(144 20)	
	Sub-Total	46.0000				3,264.53	3,797.20	(532.67)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BUICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006743-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
ALBEMARLE CORP	Trade	31 0000		09/29/10	08/03/11	1,946.02	1,418.21	527.81	
	Trade	14 0000		09/29/10	08/04/11	848.69	640.49	208.20	
	Trade	15 0000		09/29/10	09/22/11	620.32	586.23	(34.09)	65.91
	Trade	63 0000		09/30/10	09/22/11	2,605.34	2,940.18	(334.84)	42.52
Sub-Total		123.0000				6,020.37	5,685.11	335.26	
APACHE CORP	Trade	26 0000		12/16/10	01/31/11	2,999.93	3,033.74	(33.81)	
APPLE INC	Trade	9 0000		01/26/10	01/18/11	2,933.94	1,903.39	1,030.55	
ASSURED GUARANTY LTD	Trade	53 0000		10/13/10	01/25/11	797.74	1,059.42	(261.68)	
	Trade	162 0000		10/14/10	01/25/11	2,438.39	3,424.87	(986.48)	
	Trade	67 0000		10/14/10	01/26/11	1,001.79	1,416.46	(414.67)	
	Trade	14 0000		10/15/10	01/26/11	209.33	304.03	(94.70)	
	Trade	46.0000		10/15/10	01/31/11	656.94	998.95	(342.01)	
	Trade	36 0000		10/21/10	01/31/11	514.13	767.26	(253.13)	
	Trade	58 0000		10/25/10	01/31/11	828.31	1,131.28	(302.97)	
Sub-Total		436.0000				6,446.63	9,102.27	(2,655.64)	
BARRICK GOLD CORP CAD	Trade	37 0000		11/09/10	01/06/11	1,827.83	1,961.28	(133.45)	
	Trade	14 0000		11/10/10	01/06/11	691.61	719.73	(28.12)	
	Trade	21.0000		11/11/10	01/06/11	1,037.41	1,085.47	(48.06)	
Sub-Total		72.0000				3,556.85	3,766.48	(209.63)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006741-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis. Proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
BORG WARNER INC	Trade	7 0000		09/03/10	05/17/11	494 09	338 22	155 87	
	Trade	15 0000		09/03/10	05/18/11	1,058 25	724 76	333 49	
	Trade	26 0000		09/03/10	05/23/11	1,770 43	1,256 24	514 19	
	Trade	16 0000		09/13/10	05/23/11	1,089 49	760 89	328 60	
	Trade Sub-Total	12 0000 76 0000		09/13/10	05/31/11	862 95 5,275.21	570 67 3,650.78	292 28 1,624.43	
BRISTOL MYERS SQUIBB CO	Trade	59 0000		03/10/10	03/01/11	1,514 39	1,506 93	7 46	
	Trade	52 0000		03/10/10	03/07/11	1,372 26	1,328 14	44 12	
	Trade Sub-Total	111 0000				2,886.65	2,835.07	51.58	
COMPASS MINERALS INTL INC	Trade	12 0000		10/06/10	06/22/11	1,022 97	910 62	112 35	
	Trade	12 0000		10/07/10	06/22/11	1,022 97	921 03	101 94	
	Trade	27 0000		10/08/10	06/22/11	2,301 67	2,154 22	147 45	
	Trade Sub-Total	51 0000				4,347.61	3,985.87	361.74	
DREAMWORKS ANIMATION SKG CL A	Trade	31 0000		04/19/10	01/03/11	908 18	1,285 60	(377 42)	
	Trade	38 0000		04/19/10	02/04/11	1,077 02	1,575 90	(498 88)	
	Trade	44 0000		09/21/10	02/04/11	1,247 08	1,531 76	(284 68)	
	Trade Sub-Total	113 0000				3,232.28	4,393.26	(1,160.98)	
ETFS PALLADIUM TR	Trade	19 0000		09/27/10	02/23/11	1,494 10	1,057 63	436 47	
	Trade	19 0000		09/30/10	02/23/11	1,494 10	1,086 31	407 79	
	Trade	11 0000		10/05/10	02/23/11	865 00	644 85	220 15	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006742.X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis. Proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss	disallowed
				Acquired	Date						
ETFS PALLADIUM TR	Trade	27 0000		10/07/10	02/23/11		2,123 19	1,594 44	528 75		
	Trade	34 0000		10/07/10	03/10/11		2,581 73	2,007 81	573 92		
	Trade	19 0000		11/04/10	03/10/11		1,442 73	1,267 35	175 38		
	Trade	22 0000		12/02/10	03/10/11		1,670 53	1,653 89	16 64		
	Sub-Total	151.0000					11,671.38	9,312.28	2,359.10		
ETFS PLATINUM TR	Trade	34 0000		09/24/10	03/10/11		5,959 93	5,575 65	384 28		
	Trade	6 0000		11/04/10	03/10/11		1,051 75	1,044 82	6 93		
	Sub-Total	40.0000					7,011.68	6,620.47	391.21		
EXPEDITORS INTL WASH INC	Trade	16 0000		08/04/10	03/07/11		771 07	698 92	72 15		
	Trade	28 0000		08/04/10	03/25/11		1,356 50	1,223 10	133 40		
	Trade	78 0000		08/05/10	03/25/11		3,778 81	3,437 05	341 76		
	Sub-Total	122.0000					5,906.38	5,359.07	547.31		
FMC TECHNOLOGIES INC	Trade	130 0000		10/08/10	05/04/11		5,694 01	4,590 07	1,103 94		
GLOBAL PAYMENTS INC	Trade	10 0000		12/22/10	12/09/11		444 49	457 02	(12 53)		
GREENHILL & CO INC	Trade	35 0000		04/14/10	02/02/11		2,487 40	3,021 89	(534 49)		
	Trade	13 0000		09/13/10	02/02/11		923 89	1,032 06	(108 17)		
	Trade	4 0000		09/14/10	02/02/11		284 27	322 10	(37 83)		
	Sub-Total	52.0000					3,695.56	4,376.05	(680.49)		
GRUPO FINANCIERO GALICIA ADR	Trade	74.0000		11/02/10	02/16/11		1,109 23	1,038 98	70 25		
	Trade	80 0000		11/03/10	02/16/11		1,199 16	1,177 77	21 39		

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006743-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
GRUPO FINANCIERO GALICIA ADR	Trade	29 0000		11/04/10	02/16/11	434.70	457.85	(23.15)	
	Sub-Total	183.0000				2,743.09	2,674.60	68.49	
HAIN CELESTIAL GROUP INC	Trade	52 0000		06/03/10	01/06/11	1,377.10	1,178.55	198.55	
	Sub-Total	64 0000		06/03/10	01/07/11	1,661.38	1,450.52	210.86	
ICICI BANK LTD SPON ADR	Trade	116.0000				3,038.48	2,629.07	409.41	
	Sub-Total	46 0000		10/12/10	01/31/11	1,997.17	2,364.27	(367.10)	
ISRAEL CHEMICALS LTD ADR	Trade	55 0000		10/13/10	01/31/11	2,387.92	2,911.22	(523.30)	
	Sub-Total	101.0000				4,385.09	5,275.49	(890.40)	
ISRAEL CHEMICALS LTD ADR	Trade	175 0000		12/20/10	02/24/11	2,767.34	2,948.96	(181.62)	
	Sub-Total	142 0000		12/20/10	03/07/11	2,276.25	2,392.87	(116.62)	
JOHNSON CONTROLS INC	Trade	35 0000		12/20/10	03/08/11	556.98	589.79	(32.81)	
	Sub-Total	352.0000				5,600.37	5,931.62	(331.05)	
JOHNSON CONTROLS INC	Trade	39 0000		07/23/10	05/17/11	1,490.06	1,120.61	369.45	
	Sub-Total	89 0000		07/26/10	05/17/11	3,400.41	2,584.20	816.21	
KINDER MORGAN MGMT LLC	Trade	48 0000		09/13/10	05/17/11	1,833.92	1,401.99	431.93	
	Sub-Total	176.0000				6,724.39	5,106.80	1,617.59	
KINDER MORGAN MGMT LLC	Cash in lieu	0 0063		06/08/11	08/12/11	0.38	0.39	(0.01)	
	Sub-Total	0 0060		06/08/11	11/14/11	0.40	0.37	0.03	
KINDER MORGAN MGMT LLC	Trade	5 0000		06/08/11	12/09/11	357.84	317.69	40.15	
	Sub-Total	5.0123				358.62	318.45	40.17	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000600006744-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
KRAFT FOODS INC CL A	Trade	50 0000		05/10/10	01/03/11	1,579 06	1,524 58	54 48	
	Trade	26 0000		05/10/10	01/04/11	819 75	792 78	26 97	
	Trade	84 0000		06/02/10	01/04/11	2,648 43	2,445 28	203 15	
	Trade	33 0000		06/09/10	01/04/11	1,040 46	949 74	90 72	
	Sub-Total	193 0000				6,087 70	5,712 38	375 32	
LAS VEGAS SANDS CORP	Trade	36 0000		10/29/10	01/11/11	1,782 09	1,628 87	153 22	
	Trade	49 0000		10/29/10	01/28/11	2,234 37	2,217 08	17 29	
	Trade	39 0000		11/01/10	01/28/11	1,778 38	1,838 11	(59 73)	
	Sub-Total	124 0000				5,794 84	5,684 06	110 78	
MARKET VECTORS GOLD MINERS ETF	Trade	49 0000		07/18/11	08/08/11	2,736 67	2,978 67	(242 00)	242 00
	Trade	35 0000		07/22/11	08/08/11	1,954 77	2,125 76	(170 99)	170 99
	Trade	10 0000		07/25/11	08/08/11	558 50	614 39	(55 89)	55 89
	Trade	49 0000		07/25/11	08/09/11	2,752 39	3,252 51	(500 12)	20 41
	Trade	25 0000		07/25/11	08/12/11	1,468 73	1,658 12	(189 39)	
	Trade	2 0000		08/03/11	08/12/11	117 50	138 55	(21 05)	
	Trade	10 0000		08/03/11	08/12/11	587 49	639 57	(52 08)	
	Trade	10 0000		08/03/11	08/12/11	587 49	646 61	(59 12)	
	Sub-Total	190 0000				10,763 54	12,054 18	(1,290 64)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006745-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
MBIA INC	Trade	21 0000		10/20/10	01/26/11	239 35	265 40	(26 05)	
	Trade	14 0000		10/21/10	01/26/11	159 57	179 36	(19 79)	
	Trade Sub-Total	79 0000 114 0000		10/21/10	03/02/11	821 38 1,220 30	1,012 12 1,456 88	(190 74) (236 58)	
MCDONALDS CORP	Trade	12 0000		08/20/10	01/13/11	875 82	876 43	(0 61)	
	Trade	29 0000		08/23/10	01/13/11	2,116 57	2,137 40	(20 83)	
	Trade Sub-Total	41 0000				2,992 39	3,013 83	(21 44)	
MGM RESORTS INTL	Trade	198 0000		12/17/10	02/23/11	2,657 32	2,696 28	(38 96)	
	Trade	240 0000		12/20/10	02/23/11	3,221 00	3,334 99	(113 99)	
	Trade Sub-Total	438 0000				5,878 32	6,031 27	(152 95)	
NALCO HLDG CO **MERGER EFF 12/2011**	Trade	35 0000		04/15/10	02/23/11	889 78	893 88	(4 10)	
	Trade	37 0000		07/02/10	02/23/11	940 62	784 42	156 20	
	Trade	63 0000		07/08/10	02/23/11	1,601 60	1,413 03	188 57	
NEWMONT MINING CORP (HOLDING CO)	Trade	29 0000		07/13/10	02/23/11	737 25	682 82	54 43	
	Trade Sub-Total	164 0000				4,169 25	3,774 15	395 10	
NEXTERA ENERGY INC COM	Trade	31 0000		11/09/10	01/06/11	1,783 78	1,963 41	(179 63)	
	Trade	12 0000		11/10/10	01/06/11	690 50	739 86	(49 36)	
	Trade Sub-Total	17 0000 60 0000		11/11/10	01/06/11	978 20 3,452 48	1,062 66 3,765 93	(84 46) (313 45)	
						4,922 65	5,090 33	(167 68)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BUICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000600006746-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Sold				
NOVO NORDISK ADR DENMARK ADR	Trade	12 0000		06/11/10	03/25/11	1,444.23	956.38	487.85	
	Trade	5 0000		06/11/10	03/29/11	605.97	398.49	207.48	
	Sub-Total	17 0000				2,050.20	1,354.87	695.33	
NOVOZYMES A/S ADR	Trade	11 0000		08/11/10	06/17/11	1,705.73	1,364.74	340.99	
	Trade	7 0000		08/11/10	06/20/11	1,070.60	868.47	202.13	
	Trade	2 0000		08/12/10	06/20/11	305.88	247.60	58.28	
	Trade	17 0000		08/12/10	08/11/11	2,260.55	2,104.58	155.97	
	Sub-Total	37 0000				5,342.76	4,585.39	757.37	
NYSE EURONEXT	Trade	18 0000		03/11/10	01/31/11	579.29	510.35	68.94	
	Trade	32 0000		04/14/10	01/31/11	1,029.84	1,047.96	(18.12)	
	Sub-Total	50 0000				1,609.13	1,558.31	50.82	
OCCIDENTAL PETROLEUM CRP	Trade	7 0000		12/13/10	11/15/11	683.14	665.35	17.79	
	Trade	12 0000		12/13/10	11/16/11	1,201.55	1,140.59	60.96	
	Sub-Total	19 0000				1,884.69	1,805.94	78.75	
PEABODY ENERGY CORP	Trade	15 0000		09/30/10	03/08/11	1,011.76	734.51	277.25	
	Trade	48 0000		09/30/10	03/10/11	2,990.79	2,350.42	640.37	
	Trade	46 0000		09/30/10	05/12/11	2,786.04	2,252.49	533.55	
	Trade	1 0000		10/01/10	05/12/11	60.57	50.49	10.08	
	Trade	46 0000		10/01/10	05/16/11	2,694.25	2,322.36	371.89	
	Trade	34 0000		10/01/10	05/20/11	1,998.20	1,716.53	281.67	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000600006747-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale	
				Acquired	Sold				loss	disallowed
PEABODY ENERGY CORP	Trade	20 0000		10/04/10	05/20/11	1,175.41	1,002.33	173.08		
	Trade	7 0000		10/04/10	06/08/11	393.85	350.82	43.03		
	Trade	22 0000		11/04/10	06/08/11	1,237.81	1,243.78	(5.97)		
	Trade	23 0000		11/15/10	06/08/11	1,294.07	1,325.64	(31.57)		
	Trade	21 0000		11/18/10	06/08/11	1,181.54	1,223.34	(41.80)		
	Trade Sub-Total	4 0000 287.0000		12/01/10	06/08/11	225.06 17,049.35	243.19 14,815.90	(18.13) 2,233.45		
PHILIP MORRIS INTL INC	Trade	55 0000		08/12/10	01/06/11	3,171.53	2,840.44	331.09		
	Trade	42 0000		08/12/10	01/07/11	2,361.97	2,169.06	192.91		
	Trade	17 0000		08/13/10	01/07/11	956.04	882.71	73.33		
	Trade	31 0000		08/13/10	01/13/11	1,747.24	1,609.65	137.59		
	Trade Sub-Total	22 0000 167.0000		08/25/10	01/13/11	1,239.97 9,476.75	1,130.07 8,631.93	109.90 844.82		
PHILLIPS VAN HEUSEN **NAME CHANGE EFF 07/2011**	Trade	23 0000		09/17/10	03/01/11	1,398.56	1,323.23	75.33		
	Trade Sub-Total	17 0000 40 0000		09/17/10	03/07/11	1,032.24 2,430.80	978.04 2,301.27	54.20 129.53		
PIMCO ETF TR 25YR+ ZERO US ETF	Trade	39 0000		08/05/11	09/15/11	3,683.52	3,292.37	391.15		
	Trade	26 0000		08/05/11	09/20/11	2,561.14	2,194.92	366.22		
	Trade	22 0000		08/18/11	09/20/11	2,167.11	2,021.99	145.12		continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000660000674B-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
PIMCO ETF TR 25YR+ ZERO US ETF	Trade	18 0000		08/18/11	09/27/11	1,855 94	1,654 36	201 58	
	Trade	21 0000		08/18/11	09/29/11	2,210 21	1,930 09	280 12	
	Sub-Total	126 0000				12,477.92	11,093.73	1,384.19	
PROSHARES TRUST ETF	Cash in lieu	0 5000		02/23/11	02/25/11	22 39	23 22	(0 83)	
	Trade	12 4760		11/29/10	03/18/11	591 62	743 11	(151 49)	
	Trade	33 6860		02/09/11	03/18/11	1,597 41	1,563 07	34 34	
	Trade	31 8380		02/10/11	03/18/11	1,509 77	1,495 56	14 21	
	Trade	12 0000		02/10/11	03/21/11	544 85	563 69	(18 84)	
	Trade	15 0000		02/10/11	03/31/11	631 93	704 61	(72 68)	
	Trade	24 2530		02/10/11	04/06/11	977 86	1,139 26	(161 40)	
	Trade	63 7470		02/23/11	04/06/11	2,570 23	2,961 54	(391 31)	
	Trade	52 0000		02/23/11	05/09/11	2,204 75	2,415 80	(211 05)	
	Trade	17 0000		02/23/11	06/22/11	743 77	789 78	(46 01)	46 01
	Trade	13 0000		03/07/11	06/22/11	568 77	574 81	(6 04)	6 04
	Trade	11 0000		03/14/11	06/22/11	481 27	519 68	(38 41)	38 41
	Trade	29 0000		03/14/11	06/24/11	1,286 40	1,370 07	(83 67)	83 67
	Trade	4 0000		03/14/11	06/28/11	173 49	188 98	(15 49)	15 49
	Trade	25 0000		03/23/11	06/28/11	1,084 34	1,160 54	(76 20)	3 05
	Trade	19 0000		04/14/11	06/28/11	824 10	841 22	(17 12)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006749-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis. Proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
PROSHARES TRUST ETF	Trade	9 0000		04/14/11	07/20/11	366 83	398 47	(31 64)	31 64
	Trade	17 0000		04/18/11	07/20/11	692 91	749 39	(56 48)	56 48
	Trade	20 0000		04/18/11	07/22/11	802 18	881 64	(79 46)	79 46
	Trade	2 0000		04/18/11	08/08/11	121 27	88 16	33 11	
	Trade	21 0000		04/19/11	08/08/11	1,273 38	911 68	361 70	
	Trade	22 0000		04/20/11	08/08/11	1,334 01	925 29	408 72	
	Trade	1 0000		05/04/11	08/08/11	60 64	42 49	18 15	
	Trade	18 0000		05/04/11	08/09/11	1,101 15	764 73	336 42	
	Trade	29 0000		05/04/11	08/10/11	1,670 03	1,232 06	437 97	
	Trade	6 0000		05/04/11	08/12/11	318 95	254 91	64 04	
	Trade	21 0000		05/05/11	08/12/11	1,116 34	903 42	212 92	
	Trade	4 0000		06/03/11	08/12/11	212 63	185 45	27 18	
	Trade	13 0000		06/03/11	08/15/11	680 27	602 69	77 58	
	Trade	4 0000		06/03/11	08/15/11	209 31	176 48	32 83	
	Trade	9 0000		06/08/11	08/15/11	470 95	420 05	50 90	
	Trade	1 0000		06/08/11	08/15/11	52 33	49 70	2 63	
	Trade	10 0000		06/08/11	08/22/11	590 07	497 00	93 07	
	Trade	6 0000		07/12/11	08/22/11	354 04	263 24	90 80	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006750-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
PROSHARES TRUST ETF	Trade	4 0000		07/12/11	09/27/11	210 96	179 44	31 52	
	Trade	23 0000		07/12/11	09/27/11	1,213 02	1,009 11	203 91	
	Trade	1 0000		07/12/11	09/27/11	52 74	44 04	8 70	
	Trade	9 0000		08/03/11	10/06/11	495 23	460 64	34 59	
	Trade	49 0000		08/03/11	10/06/11	2,696 23	2,335 69	360 54	
	Trade	20 0000		08/03/11	10/27/11	806 40	1,032 80	(226 40)	226 40
	Trade	17 0000		08/03/11	10/27/11	685 43	866 83	(181 40)	181 40
	Trade	32 0000		08/04/11	10/27/11	1,290 23	1,609 51	(319 28)	319 28
	Trade	21 0000		08/04/11	10/27/11	846 71	1,056 24	(209 53)	209 53
	Trade	19 0000		08/04/11	10/28/11	740 83	955 64	(214 81)	214 81
	Trade	14 0000		09/02/11	10/28/11	545 88	732 39	(186 51)	186 51
	Trade	14 0000		09/02/11	11/03/11	562 74	732 39	(169 65)	169 65
	Trade	26 0000		09/13/11	11/03/11	1,045 08	1,390 79	(345 71)	345 71
	Trade	29 0000		09/22/11	11/03/11	1,165 67	1,721 68	(556 01)	556 01
	Trade	9 0000		09/23/11	11/03/11	361 76	528 61	(166 85)	166 85
	Trade	27 0000		09/23/11	11/30/11	1,122 64	1,585 81	(463 17)	463 17
	Trade	8 0000		10/04/11	11/30/11	332 63	617 17	(284 54)	284 54
	Trade	17 0000		10/04/11	11/30/11	706 84	1,300 44	(593 60)	593 60

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER@CLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000660006751-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
PROSHARES TRUST ETF	Trade	12 0000		10/20/11	11/30/11	498 95	730 64	(231.69)	231 69
	Trade	30 0000		10/20/11	11/30/11	1,247 38	1,786 32	(538 94)	538 94
	Trade	2 0000		10/21/11	11/30/11	83 15	111 38	(28 23)	28 23
	Trade	11 0000		10/21/11	11/30/11	457 37	612 64	(155 27)	155 27
	Trade	7 0000		11/01/11	11/30/11	291 06	385 54	(94 48)	94 48
	Trade	10 0000		11/01/11	11/30/11	415 79	537 50	(121 71)	121 71
	Trade	12 0000		11/09/11	11/30/11	498 95	643 76	(144 81)	144 81
	Trade	14 0000		11/09/11	11/30/11	582 10	762 42	(180 32)	128 80
	Trade	14 0000		11/09/11	11/30/11	582 11	779 28	(197 17)	197 17
	Trade	26 0000		11/10/11	11/30/11	1,081 06	1,452 88	(371 82)	
	Trade	10 0000		11/10/11	11/30/11	415 79	617 56	(201 77)	
	Trade	13 0000		11/15/11	11/30/11	540 53	791 16	(250 63)	
	Trade	32 0000		11/15/11	11/30/11	1,330 53	1,333 97	(3 44)	
	Trade	6 0000		11/15/11	12/02/11	236 92	365 16	(128 24)	
	Trade	9 0000		11/15/11	12/02/11	355 37	542 03	(186 66)	
	Trade	3 0000		11/16/11	12/02/11	118 46	226 43	(107 97)	
	Trade	27 0000		11/16/11	12/02/11	1,066 13	1,558 27	(492 14)	
	Trade	8 0000		11/16/11	12/02/11	315 89	611 89	(296 00)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006752-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis. Proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Sold				
PROSHARES TRUST ETF	Trade	14 0000		11/16/11	12/02/11	552 80	1,061 71	(508 91)	
	Trade	7 0000		11/16/11	12/02/11	276 41	421 58	(145 17)	
	Trade	5 0000		11/17/11	12/02/11	197 43	307 26	(109 83)	
	Trade	25 0000		11/17/11	12/02/11	987 15	1,502 75	(515 60)	
	Trade	5 0000		11/17/11	12/09/11	200 79	300 54	(99 75)	
	Sub-Total	1,217.5000				54,453.38	62,003.68	(7,550.30)	
PROSHARES TRUST PROSHARES ULTRASHORT QQQ **REVERSE SPLIT	Trade	73 0000		11/29/10	01/13/11	784 73	929 66	(144 93)	
	Trade	36 0000		11/29/10	01/13/11	817 90	984 11	(166 21)	166 21
PROSHARES TRUST PROSHARES ULTRASHORT S&P 500	Trade	26 0000		11/29/10	03/18/11	582 51	710 75	(128 24)	128 24
	Trade	37 0000		02/09/11	03/18/11	828 96	793 44	35 52	
	Trade	36 0000		02/09/11	03/18/11	806 55	938 21	(131 66)	131 66
	Trade	60 0000		02/10/11	03/18/11	1,344 26	1,297 84	46 42	
	Trade	33 0000		02/10/11	03/21/11	721 56	713 81	7 75	
	Trade	32 0000		02/10/11	03/31/11	669 10	692 18	(23 08)	23 08
	Trade	57 0000		02/10/11	05/09/11	1,158 79	1,232 95	(74 16)	74 16
	Trade	60 0000		02/23/11	05/09/11	1,219 77	1,298 65	(78 88)	78 88
	Trade	90 0000		02/23/11	06/22/11	1,926 93	1,947 98	(21 05)	21 05
	Trade	66 0000		02/23/11	06/24/11	1,451 33	1,428 52	22 81	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006753-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description PROSHARES TRUST PROSHARES ULTRASHORT S&P 500	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
	Trade	7 0000		02/23/11	06/24/11	153 93	186 04	(32 11)	32 11
	Trade	36 0000		02/23/11	06/28/11	777 00	910 85	(133 85)	133 85
	Trade	19 0000		02/23/11	06/28/11	410 09	504 95	(94 86)	94 86
	Trade	12 0000		03/07/11	06/28/11	259 00	262 17	(3 17)	3 17
	Trade	32 0000		03/15/11	06/28/11	690 67	740 47	(49 80)	49 80
	Trade	49 0000		03/15/11	07/20/11	990 55	1,133 86	(143 31)	143 31
	Trade	5 0000		03/15/11	07/20/11	101 08	119 31	(18 23)	18 23
	Trade	15 0000		03/15/11	07/22/11	296 24	357 91	(61 67)	61 67
	Trade	32 0000		03/23/11	07/22/11	631 99	713 47	(81 48)	81 48
	Trade	17 0000		03/23/11	08/08/11	452 89	379 03	73 86	
	Trade	60 0000		04/14/11	08/08/11	1,598 42	1,370 12	228 30	
	Trade	8 0000		04/14/11	08/08/11	213 13	182 58	30 55	
	Trade	7 0000		04/18/11	08/08/11	186 48	152 22	34 26	
	Trade	30 0000		04/18/11	08/09/11	796 92	652 39	144 53	
	Trade	2 0000		04/18/11	08/10/11	52 79	43 49	9 30	
	Trade	49 0000		04/18/11	08/10/11	1,293 39	1,129 32	164 07	
	Trade	5 0000		04/19/11	08/10/11	131 98	107 45	24 53	
	Trade	32 0000		04/19/11	08/12/11	782 37	687 69	94 68	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000600006754-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss disallowed
				Acquired	Sold				
PROSHARES TRUST PROSHARES ULTRASHORT S&P 500	Trade	34 0000		04/20/11	08/12/11	831 26	705 30	125 96	
	Trade	11 0000		04/20/11	08/15/11	262 43	228 18	34 25	
	Trade	45 0000		05/04/11	08/15/11	1,073.59	910 29	163 30	
	Trade	34 0000		05/04/11	08/22/11	884 87	687 77	197 10	
	Trade	38 0000		05/04/11	09/26/11	968 36	768 69	199 67	
	Trade	48 0000		05/05/11	09/26/11	1,223 20	979 68	243 52	
	Trade	27 0000		06/03/11	09/26/11	688 05	578 85	109 20	
	Trade	23 0000		06/03/11	09/27/11	535 13	493 10	42 03	
	Trade	36 0000		06/08/11	09/27/11	837 59	801 68	35 91	
	Trade	4 0000		06/08/11	10/06/11	98 37	89 08	9 29	
	Trade	2 0000		06/08/11	10/06/11	49 19	53 24	(4 05)	4 05
	Trade	6 0000		07/12/11	10/06/11	147 56	125 08	22 48	
	Trade	36 0000		07/12/11	10/06/11	885 35	874 81	10 54	
	Trade	5 0000		07/12/11	10/06/11	122 97	125 85	(2 88)	2 88
	Trade	19 0000		07/12/11	10/06/11	467 27	485 92	(18 65)	18 65
	Trade	8 0000		07/27/11	10/06/11	196 75	166 88	29 87	
	Trade	32 0000		07/27/11	10/06/11	786 98	717 32	69 66	
	Trade	32 0000		07/27/11	10/06/11	786 98	749 00	37 98	continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006755-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description PROSHARES TRUST PROSHARES ULTRASHORT S&P 500	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
	Trade	49 0000		07/27/11	10/06/11		1,205 07	1,165 46	39 61	
	Trade	6 0000		07/27/11	10/06/11		147 56	126 74	20 82	
	Trade	5 0000		07/27/11	10/06/11		122 96	122 53	0 43	
	Trade	15 0000		07/27/11	10/06/11		368 90	374 57	(5 67)	5 67
	Trade	8 0000		08/04/11	10/06/11		196 75	188 03	8 72	
	Trade	54 0000		08/04/11	10/11/11		1,219 12	1,269 17	(50 05)	50 05
	Trade	10 0000		08/05/11	10/11/11		225 76	253 07	(27 31)	27 31
	Trade	79 0000		08/05/11	10/27/11		1,548 83	1,999 24	(450 41)	450 41
	Trade	64 0000		09/02/11	10/27/11		1,254 74	1,529 04	(274 30)	274 30
	Trade	9 0000		09/22/11	10/27/11		176 45	239 67	(63 22)	63 22
	Trade	15 0000		09/22/11	10/27/11		294 08	391 21	(97 13)	97 13
	Trade	5 0000		09/22/11	10/27/11		98 03	131 39	(33 36)	33 36
	Trade	19 0000		09/22/11	10/27/11		372 50	507 01	(134 51)	134 51
	Trade	14 0000		09/22/11	10/28/11		270 89	372 82	(101 93)	101 93
	Trade	59 0000		09/23/11	10/28/11		1,141 63	1,523 06	(381 43)	381 43
	Trade	1 0000		09/23/11	11/30/11		20 42	25 81	(5 39)	5 39
	Trade	10 0000		09/23/11	11/30/11		204 20	285 46	(81 26)	81 26
	Trade	31 0000		09/23/11	11/30/11		633 03	828 98	(195 95)	195 95

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU RADLER/BUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000660006756-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
PROSHARES TRUST PROSHARES ULTRASHORT S&P 500	Trade	65 0000		10/04/11	11/30/11	1,327 32	2,191 92	(864 60)	864 60
	Trade	64 0000		10/20/11	11/30/11	1,306 90	1,692 76	(385 86)	385 86
	Trade	14 0000		10/20/11	11/30/11	285 89	390 11	(104 22)	104 22
	Trade	13 0000		10/21/11	11/30/11	265 47	358 57	(93 10)	93 10
	Trade	4 0000		11/01/11	11/30/11	81 69	111 64	(29 95)	29 95
	Trade	9 0000		11/01/11	11/30/11	183 78	256 22	(72 44)	72 44
	Trade	14 0000		11/01/11	11/30/11	285 88	402 15	(116 27)	116 27
	Trade	2 0000		11/01/11	11/30/11	40 84	55 84	(15 00)	15 00
	Trade	64 0000		11/09/11	11/30/11	1,306 89	1,310 21	(3 32)	3 32
	Trade	55 0000		11/09/11	11/30/11	1,123 12	1,481 53	(358 41)	358 41
	Trade	67 0000		11/10/11	11/30/11	1,368 16	1,381 08	(12 92)	8 10
	Trade	62 0000		11/15/11	11/30/11	1,266 06	1,244 24	21 82	
	Trade	1 0000		11/15/11	12/08/11	19 84	25 46	(5 62)	
	Trade	10 0000		11/15/11	12/08/11	198 39	281 95	(83 56)	
	Trade	31 0000		11/15/11	12/08/11	615 03	818 06	(203 03)	
	Trade	22 0000		11/15/11	12/08/11	436 47	734 13	(297 66)	
	Trade	3 0000		11/16/11	12/08/11	59 52	99 96	(40 44)	
	Trade	20 0000		11/16/11	12/09/11	396 26	666 44	(270 18)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006757-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	date					
PROSHARES TRUST PROSHARES ULTRASHORT S&P 500	Trade	2 0000		11/16/11	12/19/11		41 81	52 10	(10 29)	
	Trade	14 0000		11/16/11	12/19/11		292 69	384 50	(91 81)	
	Trade	20 0000		11/16/11	12/19/11		418 13	666 43	(248 30)	
	Trade	40 0000		11/16/11	12/19/11		836 26	1,043 56	(207 30)	
	Sub-Total	2,439 0000					53,849.80	58,194.60	(4,344.80)	
PROSHARES TRUST PROSHARES ULTRASHORT **REVERSE SPLIT EFF	Trade	70 0000		11/29/10	01/13/11		837 18	1,040 35	(203 17)	
	Trade	60 0000		05/12/11	07/22/11		957 03	1,043 56	(86 53)	86 53
PROSHARES TRUST ULTRASHORT BASIC MATERIALS NEW ETF	Trade	59 0000		05/12/11	08/08/11		1,480 68	1,026 16	454 52	
	Trade	54 0000		05/12/11	08/09/11		1,291 57	939 20	352 37	
	Trade	58 0000		05/12/11	08/10/11		1,329 10	1,008 77	320 33	
	Trade	34 0000		05/12/11	08/12/11		707 39	591 35	116 04	
	Trade	37 0000		06/03/11	08/12/11		769 80	661 75	108 05	
	Trade	48 0000		06/03/11	08/15/11		982 21	858 49	123 72	
	Trade	98 0000		06/03/11	09/08/11		1,928 54	1,752 75	175 79	
	Trade	4 0000		07/12/11	09/08/11		78 72	73 48	5 24	
	Trade	24 0000		07/12/11	09/08/11		472 29	406 27	66 02	
	Trade	56 0000		07/12/11	10/06/11		1,360 01	1,028 74	331 27	
	Trade	22 0000		09/22/11	10/06/11		534 29	581 44	(47 15)	
										continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006758-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
PROSHARES TRUST ULTRASHORT BASIC MATERIALS NEW ETF	Trade	21.0000		09/22/11	10/11/11	476.15	555.02	(78.87)	
	Sub-Total	575.0000				12,367.78	10,526.98	1,840.80	
PROSHARES TR II ULSHT DJ UBS ETF	Trade	95.0000		05/12/11	06/03/11	4,140.96	4,712.95	(571.99)	
	Trade	14.0000		05/16/11	06/03/11	610.25	670.04	(59.79)	
	Trade	41.0000		05/16/11	06/08/11	1,776.81	1,962.26	(185.45)	
	Trade	39.0000		05/16/11	07/12/11	1,806.44	1,866.54	(60.10)	
	Sub-Total	189.0000				8,334.46	9,211.79	(877.33)	
PROSHARES TRUST ETF	Cash in lieu	0.4000		02/23/11	02/25/11	20.86	21.14	(0.28)	
	Trade	11.1810		11/29/10	03/16/11	636.49	713.17	(76.68)	
	Trade	24.8190		02/09/11	03/16/11	1,412.86	1,270.49	142.37	
	Trade	5.7280		02/09/11	03/18/11	321.89	293.22	28.67	
	Trade	31.2720		02/10/11	03/18/11	1,757.35	1,618.76	138.59	
	Trade	16.0000		02/10/11	03/21/11	871.28	828.22	43.06	
	Trade	10.0000		02/10/11	03/31/11	512.79	517.64	(4.85)	
	Trade	18.7950		02/10/11	05/09/11	917.08	972.90	(55.82)	
	Trade	29.2050		02/23/11	05/09/11	1,425.02	1,543.86	(118.84)	
	Trade	82.0000		02/23/11	05/10/11	3,954.28	4,334.74	(380.46)	
	Trade	11.0000		03/07/11	05/10/11	530.45	554.96	(24.51)	
	Trade	33.0000		03/14/11	05/10/11	1,591.35	1,781.08	(189.73)	
									continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006759-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
PROSHARES TRUST ETF	Trade	6 0000		03/14/11	06/22/11	324 26	323 83	0 43	
	Trade	22 0000		03/23/11	06/22/11	1,188 94	1,225 39	(36 45)	36 45
	Trade	10 0000		04/14/11	06/22/11	540 43	527 29	13 14	
	Trade	18 0000		04/14/11	06/24/11	979 98	949 12	30 86	
	Trade	1 0000		04/14/11	06/28/11	52 77	52 73	0 04	
	Trade	32 0000		04/18/11	06/28/11	1,688 49	1,739 96	(51 47)	51 47
	Trade	10 0000		04/19/11	06/28/11	527 65	528 27	(0 62)	0 62
	Trade	13 0000		04/19/11	06/29/11	674 56	686 76	(12 20)	12 20
	Trade	18 0000		04/20/11	06/29/11	934 00	902 06	31 94	
	Trade	14 0000		05/04/11	06/29/11	726 44	686 55	39 89	
	Trade	19 0000		05/04/11	07/20/11	895 50	931 75	(36 25)	36 25
	Trade	13 0000		05/04/11	07/22/11	608 65	637 51	(28 86)	28 86
	Trade	7 0000		05/05/11	07/22/11	327 73	344 68	(16 95)	16 95
	Trade	16 0000		05/05/11	08/08/11	957 15	787 84	169 31	
	Trade	3 0000		05/23/11	08/08/11	179 46	154 98	24 48	
	Trade	13 0000		05/23/11	08/09/11	761 42	671 57	89 85	
	Trade	26 0000		05/23/11	08/10/11	1,535 10	1,343 13	191 97	
	Trade	29 0000		05/23/11	08/12/11	1,584 68	1,498 11	86 57	continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006000006760-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss
				Acquired	Date					
PROSHARES TRUST ETF	Trade	22 0000		05/23/11	08/15/11		1,171 96	1,136 50	35 46	
	Trade	17 0000		05/23/11	08/22/11		1,017 14	906 37	110 77	
	Trade	5 0000		05/23/11	08/22/11		299 16	258 30	40 86	
	Trade	5 0000		05/23/11	09/27/11		241 96	266 58	(24 62)	24 62
	Trade	20 0000		06/03/11	09/27/11		967 82	1,061 82	(94 00)	94 00
	Trade	8 0000		07/12/11	09/27/11		387 13	405 16	(18 03)	18 03
	Trade	4 0000		07/12/11	10/06/11		208 26	202 58	5 68	
	Trade	8 0000		07/12/11	10/06/11		416 53	399 80	16 73	
	Trade	10 0000		07/12/11	10/06/11		520 66	490 98	29 68	
	Trade	2 0000		07/27/11	10/06/11		104 13	98 55	5 58	
	Trade	5 0000		07/27/11	10/06/11		260 33	241 50	18 83	
	Trade	35 0000		08/04/11	10/06/11		1,822 31	1,842 14	(19 83)	19 83
	Trade	13 0000		08/04/11	10/27/11		555 63	713 09	(157 46)	157 46
	Trade	7 0000		08/04/11	10/27/11		299 19	385 37	(86 18)	86 18
	Trade	17 0000		08/04/11	10/27/11		726 61	927 18	(200 57)	200 57
	Trade	8 0000		08/04/11	10/27/11		341 93	421 06	(79 13)	79 13
	Trade	5 0000		09/02/11	10/27/11		213 71	290 91	(77 20)	77 20
	Trade	20 0000		09/02/11	10/27/11		854 83	1,159 15	(304 32)	304 32

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000600006761-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired						
PROSHARES TRUST ETF	Trade	7 0000		09/02/11		10/27/11	299 19	388 58	(89 39)	89 39
	Trade	1 0000		09/22/11		10/27/11	42 74	54 13	(11 39)	11 39
	Trade	14 0000		09/22/11		10/27/11	598 38	734 27	(135 89)	135 89
	Trade	21 0000		09/22/11		10/28/11	890 82	1,101 40	(210 58)	210 58
	Trade	5 0000		09/23/11		10/28/11	212 10	263 72	(51 62)	51 62
	Trade	43 0000		09/23/11		11/30/11	1,970 44	2,267 99	(297 55)	297 55
	Trade	5 0000		10/04/11		11/30/11	229 12	350 28	(121 16)	121 16
	Trade	17 0000		10/04/11		11/30/11	779 01	1,185 63	(406 62)	406 62
	Trade	8 0000		10/04/11		11/30/11	366 60	542 69	(176 09)	176 09
	Trade	5 0000		10/20/11		11/30/11	229 12	310 54	(81 42)	81 42
	Trade	20 0000		10/20/11		11/30/11	916 49	1,237 68	(321 19)	321 19
	Trade	7 0000		10/20/11		11/30/11	320 77	416 06	(95 29)	95 29
	Trade	1 0000		10/20/11		11/30/11	45 82	58 06	(12 24)	12 24
	Trade	8 0000		10/20/11		11/30/11	366 60	470 24	(103 64)	103 64
	Trade	7 0000		10/20/11		11/30/11	320 77	412 86	(92 09)	92 09
	Trade	1 0000		10/21/11		11/30/11	45 82	55 33	(9 51)	9 51
	Trade	14 0000		10/21/11		11/30/11	641 54	770 09	(128 55)	128 55
	Trade	15 0000		11/01/11		11/30/11	687 37	841 60	(154 23)	154 23

continued next page

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006762-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed	
				Acquired	Sold					
PROSHARES TRUST ETF	Trade	5 0000		11/09/11	11/30/11	229 12	269 38	(40 26)	40 26	
	Trade	5 0000		11/09/11	11/30/11	229 13	270 85	(41 72)	41 72	
	Trade	45 0000		11/09/11	11/30/11	2,062 09	1,973 14	88 95		
	Trade	8 0000		11/10/11	11/30/11	366 59	361 22	5 37		
	Trade	21 0000		11/10/11	12/21/11	957 37	1,093 51	(136 14)	136 14	
	Trade	4 0000		11/15/11	12/21/11	182 36	272 73	(90 37)		
	Trade	17 0000		11/15/11	12/21/11	775 01	1,153 78	(378 77)	267 37	
	Trade	8 0000		11/15/11	12/21/11	364 71	527 69	(162 98)	162 98	
	Trade	22 0000		11/15/11	12/21/11	1,002 96	1,119 13	(116 17)	116 17	
	Sub-Total	1,088 4000				53,980.24	58,151.33	(4,171.09)		
PROTECTIVE LIFE CORP	Trade	35 0000		12/30/10	06/06/11	785 45	946 19	(160 74)		
	Trade	11 0000		12/31/10	06/06/11	246 85	296 64	(49 79)		
	Trade	10 0000		12/31/10	06/07/11	223 59	269 67	(46 08)		
	Sub-Total	56 0000				1,255.89	1,512.50	(256.61)		
SLM CORP VTG	Trade	95 0000		12/20/10	10/25/11	1,199 29	1,216 70	(17 41)	17 41	
	Trade	80 0000		12/21/10	10/25/11	1,009 93	1,039 28	(29 35)	29 35	
	Trade	207 0000		12/21/10	11/17/11	2,733 44	2,689 14	44 30		
	Sub-Total	382 0000				4,942.66	4,945.12	(2.46)		

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006763-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
SPDR GOLD TRUST	Trade	33 0000		08/08/11	09/22/11	5,559 20	5,453 77	105 43	
	Trade	15 0000		08/09/11	09/23/11	2,459 34	2,545 56	(86 22)	
	Trade	16 0000		08/18/11	09/23/11	2,623 30	2,833 53	(210 23)	
	Sub-Total	64 0000				10,641 84	10,832 86	(191 02)	
TATA MOTORS LTD SPON ADR	Trade	48 0000		09/03/10	02/17/11	1,298 42	1,086 81	211 61	
	Trade	8 0000		09/03/10	02/22/11	202 57	181 14	21 43	
	Trade	44 0000		11/15/10	02/22/11	1,114 12	1,362 27	(248 15)	
	Trade	5 0000		12/01/10	02/22/11	126 60	157 79	(31 19)	
	Sub-Total	105 0000				2,741 71	2,788 01	(46 30)	
TENARIS S A ADS ADR	Trade	45 0000		12/02/10	02/07/11	2,085 62	2,039 07	46 55	
	Trade	10 0000		12/03/10	02/07/11	463 47	458 25	5 22	
	Trade	75 0000		12/03/10	02/08/11	3,447 79	3,436 90	10 89	
	Trade	63 0000		12/07/10	02/08/11	2,896 15	2,931 63	(35 48)	
	Sub-Total	193 0000				8,893 03	8,865 85	27 18	
TREEHOUSE FOODS INC	Trade	2 0000		06/11/10	01/05/11	101 49	98 11	3 38	
	Trade	25 0000		06/14/10	01/05/11	1,268 56	1,249 55	19 01	
	Trade	25 0000		06/15/10	01/05/11	1,268 57	1,244 69	23 88	
	Sub-Total	52 0000				2,638 62	2,592 35	46 27	
UNITED CONTL HLDGS INC	Trade	38 0000		03/23/10	01/19/11	931 92	789 12	142 80	
	Trade	27 0000		04/12/10	01/19/11	662 15	590 47	71 68	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006764-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
UNITED CONTL HLDGS INC	Trade	54 0000		04/12/10	02/22/11	1,323 33	1,180 95	142 38	
	Trade	2 0000		04/12/10	02/23/11	45 11	43 74	1 37	
	Trade	43 0000		07/02/10	02/23/11	969 77	887 83	81 94	
	Trade	63 0000		07/08/10	02/23/11	1,420 82	1,319 36	101 46	
	Trade	48 0000		07/13/10	02/23/11	1,082 53	1,103 13	(20 60)	
	Trade	34 0000		07/21/10	02/23/11	766 79	791 41	(24 62)	
	Trade	44 0000		07/22/10	02/23/11	992 32	1,014 17	(21 85)	
	Trade Sub-Total	51 0000 404 0000		09/24/10	02/23/11	1,150 19 9,344 93	1,038 42 8,758 60	111 77 586 33	
WADDELL & REED FINANCIAL INC CL A	Trade	85 0000		12/22/10	08/05/11	2,753 25	3,047 20	(293 95)	
	Trade	46 0000		03/05/10	01/03/11	1,740 40	1,520 49	219 91	
	Trade	198 0000		03/05/10	01/04/11	7,581 63	6,544 73	1,036 90	
	Trade Sub-Total	244 0000				9,372 03	8,065 22	1,256 81	
WASTE MGMT INC NEW	Trade	37 0000		09/17/10	08/01/11	1,166 00	1,296 29	(130 29)	
WYNN MACAU LTD UNSPON ADR	Trade	152 0000		10/05/10	02/09/11	3,691 66	2,787 42	904 24	
	Trade	113 0000		10/07/10	02/09/11	2,744 45	2,149 11	595 34	
	Trade Sub-Total	265 0000				6,436 11	4,936 53	1,499 58	
WYNN RESORTS LTD	Trade	17 0000		09/03/10	07/27/11	2,704 86	1,484 09	1,220 77	
	Trade	17 0000		09/03/10	08/04/11	2,415 67	1,484 08	931 59	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER@CLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

212-333-8800/800-223-0170

2011 Informational Statement

P3101G0000006600006765-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Acquired					
WYNN RESORTS LTD	Trade	6 0000		11/22/10	11/17/11		742.03	629.00	113.03	
	Sub-Total	40.0000					5,862.56	3,597.17	2,265.39	
Sub Total							\$446,447.01	\$448,830.60	\$(2,383.59)	
Total Short-Term Gain/Loss							\$704,755.72	\$731,447.14	\$(26,691.42)	

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Acquired					
ALBEMARLE CORP	Trade	22 0000		09/30/10	12/09/11		1,134.29	1,026.73	107.56	
	Trade	3 0000		10/01/10	12/09/11		154.68	140.95	13.73	
	Sub-Total	25.0000					1,288.97	1,167.68	121.29	
ALLEGHENY TECHNOLOGY INC	Trade	6 0000		11/09/09	08/04/11		305.55	201.56	103.99	
	Trade	38 0000		11/16/09	08/04/11		1,935.13	1,301.64	633.49	
	Trade	37 0000		11/19/09	08/04/11		1,884.21	1,234.07	650.14	
	Trade	8 0000		11/19/09	10/20/11		304.57	266.82	37.75	
	Trade	43 0000		12/15/09	10/20/11		1,637.05	1,639.41	(2.36)	
	Trade	31 0000		07/13/10	11/17/11		1,459.55	1,508.87	(49.32)	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAURADLER/BUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000600006766-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Wash sale loss	Disallowed
				Acquired	Date						
ALLEGHENY TECHNOLOGY INC	Trade	2 0000		07/21/10	11/17/11		94 16	95 83	(1 67)		
	Trade	29 0000		07/21/10	11/23/11		1,247 59	1,389 53	(141 94)		
	Trade	3 0000		07/22/10	11/23/11		129 06	148 70	(19 64)		
	Trade	10 0000		07/22/10	12/09/11		476 89	495 68	(18 79)		
Sub-Total		207 0000					9,473.76	8,282.11	1,191.65		
APPLE INC	Trade	7 0000		01/26/10	06/20/11		2,221 05	1,480 42	740 63		
	Trade	2 0000		02/11/10	06/20/11		634 59	398 48	236 11		
	Trade	10 0000		02/11/10	10/19/11		4,055 98	1,992 42	2,063 56		
	Trade	7 0000		02/11/10	10/20/11		2,792 00	1,394 68	1,397 32		
Sub-Total		26 0000					9,703.62	5,266.00	4,437.62		
BORG WARNER INC	Trade	21 0000		03/02/10	04/18/11		1,462 15	811 35	650 80		
	Trade	28 0000		03/02/10	04/19/11		1,990 93	1,081 81	909 12		
	Trade	37 0000		03/02/10	04/29/11		2,849 09	1,429 53	1,419 56		
	Trade	6 0000		04/01/10	04/29/11		462 01	234 51	227 50		
Trade		36 0000		04/01/10	05/17/11		2,541 02	1,407 03	1,133 99		
Sub-Total		128 0000					9,305.20	4,964.23	4,340.97		
BRISTOL MYERS SQUIBB CO	Trade	30 0000		03/10/10	12/09/11		1,003 18	766 24	236 94		
COGNIZANT TECH SOLUTIONS CRP		39 0000		05/01/09	05/17/11		2,852 66	952 53	1,900 13		
	Trade	38 0000		05/01/09	05/23/11		2,744 30	928 11	1,816 19		

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000600006767-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold					
COGNIZANT TECH SOLUTIONS CRP	Trade	24 0000		05/01/09	06/16/11		1,556.73	586 17	970 56	
	Trade	7 0000		05/01/09	08/04/11		498 78	170 97	327 81	
	Trade	54 0000		05/04/09	08/04/11		3,847 69	1,390 48	2,457 21	
	Trade	14 0000		05/04/09	08/18/11		773 10	360 49	412 61	
	Trade	20 0000		05/04/09	08/19/11		1,104 22	514 99	589 23	
	Trade	44 0000		07/27/09	08/19/11		2,429 27	1,336 78	1,092 49	
Sub-Total		240 0000					15,806.75	6,240 52	9,566.23	
COMPASS MINERALS INTL INC	Trade	31 0000		09/29/08	06/17/11		2,631 68	1,449 63	1,182 05	
	Trade	35 0000		09/29/08	06/22/11		2,983 65	1,636 67	1,346 98	
	Trade	13 0000		03/12/10	06/22/11		1,108 21	1,057 48	50 73	
	Trade	7 0000		03/16/10	06/22/11		596 73	571 95	24 78	
Sub-Total		86 0000					7,320.27	4,715.73	2,604.54	
CROWN HOLDINGS INC	Trade	30 0000		06/28/10	12/09/11		973 64	751 20	222 44	
DISCOVERY COMMUNICATIONS INC CL A	Trade	25 0000		05/21/09	02/23/11		1,057 29	531 46	525 83	
	Trade	31 0000		05/21/09	03/25/11		1,220 98	659 01	561 97	
	Trade	17 0000		05/21/09	03/29/11		655 74	361 39	294 35	
	Trade	20 0000		05/21/09	03/31/11		797 62	425 17	372 45	
	Trade	36 0000		05/21/09	07/20/11		1,448 74	765 30	683 44	
	Trade	41 0000		05/21/09	07/25/11		1,655 63	871 59	784 04	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006768-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold					
DISCOVERY COMMUNICATIONS INC CL A	Trade	37.0000		05/21/09	11/11/11		1,584.37	786.56	797.81	
	Sub-Total	207.0000					8,420.37	4,400.48	4,019.89	
DOW CHEMICAL	Trade	73.0000		08/03/09	05/17/11		2,672.71	1,634.81	1,037.90	
	Trade	16.0000		08/04/09	05/17/11		585.80	355.95	229.85	
	Trade	45.0000		08/04/09	08/04/11		1,426.05	1,001.11	424.94	
	Trade	96.0000		08/04/09	09/22/11		2,296.69	2,135.69	161.00	
	Trade	2.0000		08/05/09	09/22/11		47.85	46.32	1.53	
	Trade	70.0000		08/05/09	11/17/11		1,878.99	1,621.05	257.94	
	Trade	17.0000		11/13/09	11/17/11		456.33	486.44	(30.11)	
	Sub-Total	319.0000					9,364.42	7,281.37	2,083.05	
DR REDDY'S LABS LTD ADR	Trade	11.0000		06/26/09	02/07/11		376.85	178.07	198.78	
	Trade	13.0000		06/30/09	02/07/11		445.36	216.22	229.14	
	Trade	67.0000		06/30/09	02/08/11		2,271.56	1,114.37	1,157.19	
	Trade	40.0000		06/30/09	02/17/11		1,342.02	665.30	676.72	
	Trade	7.0000		06/30/09	02/18/11		236.21	116.43	119.78	
	Trade	16.0000		07/06/09	02/18/11		539.90	259.84	280.06	
	Trade	21.0000		07/06/09	02/23/11		719.80	341.04	378.76	
	Trade	19.0000		01/07/10	02/23/11		651.25	492.59	158.66	
	Sub-Total	194.0000					6,582.95	3,383.86	3,199.09	
										continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006769.X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
EBAY INC	Trade	55 0000		03/19/10	11/17/11		1,627.89	1,519.73	108.16	
	Trade	45 0000		03/19/10	12/09/11		1,415.85	1,243.42	172.43	
Sub-Total:		100.0000					3,043.74	2,763.15	280.59	
FMC TECHNOLOGIES INC	Trade	19.0000		09/15/09	03/10/11		1,684.09	1,019.40	664.69	
	Trade	19.0000		09/15/09	03/15/11		1,638.38	1,019.40	618.98	
	Trade	39.0000		09/15/09	04/26/11		1,838.69	1,046.22	792.47	
	Trade	71.0000		09/15/09	05/04/11		3,109.80	1,904.66	1,205.14	
Sub-Total:		148.0000					8,270.96	4,989.68	3,281.28	
GIVAUDAN SA ADR	Trade	71 0000		03/11/09	01/31/11		1,405.12	820.24	584.88	
	Trade	154 0000		03/11/09	02/07/11		3,083.24	1,779.12	1,304.12	
	Trade	18 0000		03/11/09	02/09/11		340.97	207.95	133.02	
	Trade	207 0000		03/13/09	02/09/11		3,921.18	2,243.65	1,677.53	
Sub-Total:		450.0000					8,750.51	5,050.96	3,699.55	
GREENHILL & CO INC	Trade	28 0000		11/24/09	01/31/11		1,978.72	2,334.39	(355.67)	
	Trade	3.0000		11/24/09	02/02/11		213.21	250.11	(36.90)	
Sub-Total:		31.0000					2,191.93	2,584.50	(392.57)	
HASBRO INC	Trade	32 0000		08/27/09	02/22/11		1,450.29	897.71	552.58	
	Trade	31 0000		08/27/09	10/12/11		1,072.95	869.66	203.29	
	Trade	1 0000		08/28/09	10/12/11		34.61	28.35	6.26	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000600006770-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
HASBRO INC	Trade	15 0000		08/28/09	12/09/11		551.08	425.20	125.88	
	Sub-Total	79.0000					3,108.93	2,220.92	888.01	
ITC HOLDINGS CORP	Trade	15 0000		09/09/10	12/09/11		1,076.07	900.99	175.08	
JOHNSON CONTROLS INC	Trade	46.0000		01/25/10	04/18/11		1,750.55	1,406.14	344.41	
Trade		36.0000		01/25/10	04/19/11		1,373.69	1,100.46	273.23	
Trade		15.0000		01/25/10	05/17/11		573.10	458.52	114.58	
Trade		42.0000		04/23/10	05/17/11		1,604.68	1,474.32	130.36	
		139.0000					5,302.02	4,439.44	862.58	
MCDONALDS CORP	Trade	10 0000		08/23/10	12/09/11		980.08	737.04	243.04	
MICROCHIP TECHNOLOGY INC	Trade	82 0000		08/05/09	05/17/11		3,214.57	2,220.31	994.26	
Trade		3 0000		08/05/09	12/09/11		102.07	79.15	22.92	
Trade		27.0000		08/07/09	12/09/11		918.61	692.60	226.01	
		112.0000					4,235.25	2,992.06	1,243.19	
MONSTER WORLDWIDE INC	Trade	48 0000		03/25/09	03/03/11		819.71	411.00	408.71	
Trade		44 0000		07/23/09	03/03/11		751.41	595.17	156.24	
Trade		27 0000		07/23/09	03/04/11		434.83	365.22	69.61	
Trade		34 0000		07/23/09	03/07/11		520.48	459.90	60.58	
Trade		19.0000		07/27/09	03/07/11		290.85	256.75	34.10	
Trade		51 0000		07/27/09	03/08/11		781.40	689.16	92.24	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006771-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
MONSTER WORLDWIDE INC	Trade	46 0000		07/27/09	03/31/11		733 76	621 59	112 17	
	Trade	133 0000		07/27/09	05/18/11		1 983 91	1 797 22	186 69	
	Trade	75 0000		09/11/09	05/18/11		1 118 75	1 284 06	(165 31)	
	Sub-Total	477 0000					7 435 10	6 480 07	955 03	
NALCO HLDG CO ***MERGER EFF 12/2011**	Trade	52 0000		01/05/10	02/23/11		1 321 96	1 377 97	(56 01)	
	Trade	51 0000		01/06/10	02/23/11		1 296 54	1 343 07	(46 53)	
	Trade	56 0000		01/11/10	02/23/11		1 423 65	1 461 69	(38 04)	
	Sub-Total	159 0000					4 042 15	4 182 73	(140 58)	
NATL FUEL GAS CO	Trade	45 0000		02/13/09	12/09/11		2 632 28	1 508 11	1 124 17	
	Trade	37 0000		02/13/09	12/21/11		2 040 86	1 240 01	800 85	
	Sub-Total	82 0000					4 673 14	2 748 12	1 925 02	
NOVO NORDISK ADR DENMARK ADR	Trade	18 0000		06/11/10	08/24/11		1 815 64	1 434 57	381 07	
	Trade	21 0000		06/11/10	08/25/11		2 076 79	1 673 66	403 13	
	Trade	10 0000		06/11/10	10/06/11		965 11	796 98	168 13	
	Trade	28 0000		06/21/10	10/06/11		2 702 29	2 394 88	307 41	
NOVOZYMES A/S ADR	Trade	10 0000		07/15/10	10/06/11		965 11	883 41	81 70	
	Sub-Total	87 0000					8 524 94	7 183 50	1 341 44	
	Trade	15 0000		08/12/10	10/04/11		2 017 24	1 856 99	160 25	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G00000066000006772-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Sold				
OCCIDENTAL PETROLEUM CRP	Trade	66 0000		10/13/10	11/15/11	6,441 05	5,583 67	857 38	
	Trade	16 0000		11/04/10	11/15/11	1,561 47	1,348 67	212 80	
	Sub-Total	82 0000				8,002 52	6,932 34	1,070 18	
PLEXUS CORP	Trade	35 0000		07/27/09	01/21/11	1,009 37	881 65	127 72	
	Trade	13 0000		07/27/09	01/31/11	351 31	327 47	23 84	
	Trade	58 0000		07/29/09	01/31/11	1,567 38	1,460 76	106 62	
	Sub-Total	106 0000				2,928 06	2,669 88	258 18	
PROSHARES TRUST PROSHARES ULTRASHORT S&P 500	Trade	2 0000		09/22/11	10/27/11	39 21	55 46	(16 25)	16 25
	Trade	5 0000		10/20/11	11/30/11	102 10	144 18	(42 08)	42 08
	Trade	6 0000		10/20/11	11/30/11	122 52	175 46	(52 94)	52 94
	Trade	2 0000		10/20/11	11/30/11	40 84	60 58	(19 74)	19 74
	Trade	13 0000		10/21/11	11/30/11	265 46	366 42	(100 96)	100 96
	Sub-Total	28 0000				570 13	802 10	(231 97)	
PVH CORP COM	Trade	5 0000		09/17/10	12/09/11	350 29	287 66	62 63	
ROBERT HALF INTL INC	Trade	105 0000		05/13/10	12/09/11	2,900 30	2,888 92	11 38	
ROPER INDS INC NEW	Trade	20 0000		09/29/08	03/25/11	1,715 27	1,095 88	619 39	
	Trade	10 0000		10/30/08	03/25/11	857 63	446 10	411 53	
	Trade	5 0000		10/30/08	12/09/11	416 39	223 05	193 34	
	Sub-Total	35 0000				2,989 29	1,765 03	1,224 26	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006773-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
SOTHEBYS	Trade	54 0000		01/12/10	05/04/11	2,486 04	1,253.65	1,232 39	
	Trade	16 0000		01/22/10	05/04/11	736 60	392 25	344 35	
	Trade	83 0000		01/22/10	05/11/11	3,485 00	2,034 78	1,450 22	
	Trade Sub-Total	47 0000 200.0000		01/26/10	05/11/11	1,973 43 8,681.07	1,183 33 4,864.01	790.10 3,817.06	
STERICYCLE INC	Trade	6 0000		09/29/08	11/11/11	475 27	367 22	108 05	
	Trade	10 0000		09/29/08	11/14/11	783 37	612 03	171 34	
	Trade	5 0000		10/30/08	11/14/11	391 68	276 70	114 98	
	Trade Sub-Total	24 0000 45.0000		10/30/08	11/16/11	1,880 60 3,530 92	1,328 17 2,584.12	552 43 946.80	
TATA MOTORS LTD SPON ADR	Trade	60 0000		11/16/09	01/31/11	1,458 63	834 28	624 35	
	Trade	15 0000		11/16/09	02/07/11	376 64	208 57	168 07	
	Trade	33 0000		12/24/09	02/07/11	828 60	557.25	271 35	
	Trade	41 0000		01/06/10	02/07/11	1,029 47	737 13	292 34	
TRANSIGM GROUP INC	Trade	28 0000		01/07/10	02/07/11	703 06	487 95	215 11	
	Trade	15 0000		01/07/10	02/17/11	405 75	261 40	144 35	
	Trade Sub-Total	192.0000		01/07/10	02/17/11	4,802.15	3,086.58	1,715.57	
	Trade	15 0000		09/11/09	12/09/11	1,433 67	686 96	746 71	
TYCO INTL LTD CHF	Trade	10 0000		03/23/09	12/09/11	463 53	200 00	263 53	
	Trade	94 0000		05/17/10	05/26/11	3,171 69	2,936 46	235 23	

continued next page

Account Number UT 13096 4F
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600005774-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase Date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	date					
WASTE MGMT INC NEW	Trade	49 0000		07/01/10		07/28/11	1,622.84	1,541.03	81.81	
	Trade	61 0000		07/01/10		07/29/11	1,970.51	1,918.43	52.08	
	Trade	28 0000		07/02/10		07/29/11	904.49	892.91	11.58	
	Trade	96 0000		07/02/10		08/01/11	3,025.29	3,061.40	(36.11)	
	Sub-Total	234 0000					7,523.13	7,413.77	109.36	
WEBMD HEALTH CORP	Trade	88 0000		04/13/09		07/18/11	2,891.05	2,193.41	697.64	
	Trade	1 0000		04/15/09		07/18/11	32.85	24.39	8.46	
	Trade	42 0000		04/15/09		07/20/11	1,473.15	1,024.53	448.62	
	Trade	2 0000		11/04/09		07/20/11	70.15	70.72	(0.57)	
	Trade	8 0000		11/04/09		07/22/11	290.86	282.88	7.98	
	Trade	22 0000		11/12/09		07/22/11	799.87	801.45	(1.58)	
	Trade	10 0000		02/19/10		07/22/11	363.58	413.79	(50.21)	
	Sub-Total	173 0000					5,921.51	4,811.17	1,110.34	
WYNN RESORTS LTD	Trade	20 0000		09/03/10		09/30/11	2,268.05	1,745.99	522.06	
	Trade	7 0000		09/03/10		11/17/11	865.70	611.09	254.61	
	Trade	15 0000		09/21/10		11/17/11	1,855.08	1,428.13	426.95	
	Sub-Total	42 0000					4,988.83	3,785.21	1,203.62	
Sub Total							\$201,152.28	\$142,063.78	\$59,088.50	
Total Long-Term Gain/Loss							\$201,152.28	\$142,063.78	\$59,088.50	

Account Number UT 13096 4F
 Your Financial Advisor or Contact
 BLAU/RADLER/BLICK
 212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000006600006775-X1

Unclassified Gain/Loss

This section includes securities that cannot be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided. This section may also include securities not reported on Form 1099-B, as well as securities classified as a percentage of both short-term and long-term holding periods, the "60/40 rule." Broad-Based index options may also be subject to Mark-to-Market rules. Please consult your tax advisor for proper tax treatment of these types of transactions.

Security Description	Activity Type	Quantity/ Face value	Purchase date		Original Cost Basis	Sale Amount	Cost or Other Basis	Gain/Loss		Remarks
			Date Acquired	Date Sold				Unclassified Gain/Loss	Unclassified Gain/Loss	
ETFS PALLADIUM TR	Return of Principal		12/31/11	12/31/11		14.87		Unclassified Gain/Loss		
ETFS PLATINUM TR	Return of Principal		12/31/11	12/31/11		10.32		Unclassified Gain/Loss		
SPDR GOLD TRUST	Return of Principal		12/31/11	12/31/11		3.61		Unclassified Gain/Loss		
Total Unclassified Gain/Loss						28.80				

Account Number UT 39408 45
Your Financial Advisor or Contact
8LAU/RADLER/BUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000000800000334-X1

2011 Realized Gain/Loss Summary (Include sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary classifies tax lots into three categories within holding period. Type "A" activity was reported to the IRS on Form 1099-B with cost basis on line 3. Type "B" activity was reported to the IRS on Form 1099-B without cost basis. Type "C" activity was not reported to the IRS on Form 1099-B. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2011 Gain/Loss for transactions with trade dates through 12/31/11 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "verus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss has been adjusted to include the amount of the disallowed loss as a result of a Wash Sale transaction for Type "A" activity only. Although we apply wash sale rules to covered lots of identical securities, there are other circumstances to be considered that can adjust or negate wash sales.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The possible application of the "Constructive Sale" provisions (Sec 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

Description	Cost or Other Basis	Sale Amount	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss					
A - Reported to the IRS on Form 1099-B with cost basis	15,134.39	13,517.08	3.74	(1,621.05)	(1,617.31)
B - Reported to the IRS on Form 1099-B without cost basis	143,666.87	161,485.62	20,104.83	(2,286.08)	17,818.75
Total Short Term	\$158,801.26	\$175,002.70	\$20,108.57	\$(3,907.13)	\$16,201.44
Long-term Gain/Loss					
B - Reported to the IRS on Form 1099-B without cost basis	16,466.31	18,891.47	2,425.16		2,425.16
Total Long Term	\$16,466.31	\$18,891.47	\$2,425.16		\$2,425.16
Sub Total	\$175,267.57	\$193,894.17	\$22,533.73	\$(3,907.13)	\$18,626.60
Total	\$175,267.57	\$193,894.17	\$22,533.73	\$(3,907.13)	\$18,626.60

Account Number UT 39408 45
Your Financial Advisor or Contact
BLAU/RADLER/BUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G00000000800000335-X1

Short-Term Gain/Loss-Cost Basis Reported to IRS

This section includes securities held for less than one year. Type "A" activity was reported by UBS to the IRS on Form 1099-B with cost basis on line 3. A Wash Sale transaction that has both short-term and long-term gain/loss will appear in both sections

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
ANALYTICAL CAPITAL MANAGEMENT INC REIT	Trade	20.0000		04/15/11	09/22/11		351.81	348.11	3.70	
	Trade	360.0000		04/15/11	11/18/11		5,814.14	6,266.02	(451.88)	
	Trade	420.0000		06/21/11	11/18/11		6,783.16	7,820.99	(1,037.83)	
Sub-Total		800.0000					12,949.11	14,435.12	(1,486.01)	
PROVIDENT ENERGY LTD NEW **MERGER EFF 04/2012** CAD Trade		45.0000		05/04/11	09/22/11		360.16	419.00	(58.84)	

VALLEY NATL BANCORP	Cash in lieu	0.7500		04/29/11	05/20/11		10.17	10.13	0.04	
	Trade	20.0000		04/29/11	09/22/11		197.64	270.14	(72.50)	
Sub-Total		20.7500					207.81	280.27	(72.46)	
Sub Total							\$13,517.08	\$15,134.39	\$(1,617.31)	

Short-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis. Proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Acquired	Date					
AMERICAN WATER WORKS CO INC NEW	Trade	85.0000		11/02/10	09/22/11		2,534.03	2,028.74	505.29	
AT&T INC	Trade	30.0000		11/02/10	09/22/11		827.17	870.88	(43.71)	
BCE INC NEW CAD	Trade	5.0000		11/02/10	09/22/11		184.22	169.33	14.89	
BRISTOL MYERS SQUIBB CO	Trade	45.0000		11/02/10	09/22/11		1,372.16	1,209.98	162.18	
CINN FINANCIAL CORP	Trade	30.0000		11/02/10	09/22/11		764.10	895.59	(131.49)	

continued next page

Account Number UT 39408 45
Your Financial Advisor or Contact
BLAU/RADLER/BUICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G00000000800000336-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis, proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Purchase		Original Cost Basis	Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
		Quantity/ Face value	date							
DIGITAL REALTY TRUST INC REIT	Trade	35.0000	11/02/10	09/22/11	1,854.08	2,091.49	(237.41)			
DONNELLEY (R.R.) & SONS CO	Trade	135.0000	11/02/10	09/22/11	1,810.62	2,528.44	(717.82)			
HCP INC	Trade	105.0000	11/22/10	07/25/11	3,914.17	3,437.87	476.30			
Trade		20.0000	11/22/10	09/22/11	701.40	651.09	50.31			
Sub-Total:		125.0000			4,615.57	4,088.96	526.61			
HEINZ H J CO	Trade	10.0000	11/02/10	09/22/11	492.49	492.59	(0.10)			
INTEL CORP	Trade	55.0000	11/02/10	09/22/11	1,189.20	1,126.95	62.25			
JOHNSON & JOHNSON COM	Trade	15.0000	11/02/10	09/22/11	925.52	959.54	(34.02)			
KINDER MORGAN MGMT LLC	Cash in lieu	0.8708	11/02/10	02/14/11	56.75	53.16	3.59			
Cash in lieu		0.8607	11/02/10	05/13/11	54.26	51.65	2.61			
Trade		70.0000	11/02/10	07/25/11	4,445.93	4,201.23	244.70			
Cash in lieu		0.4191	11/02/10	08/12/11	25.18	24.74	0.44			
Trade		25.0000	11/02/10	09/22/11	1,464.18	1,476.34	(12.16)			
Sub-Total:		97.1506			6,046.30	5,807.12	239.18			
ULLY EU & CO	Trade	60.0000	11/02/10	09/22/11	2,193.00	2,118.55	74.45			
MAXIM INTEGRATED PRODS INC	Trade	225.0000	11/04/10	03/09/11	5,799.64	5,095.22	704.42			
Trade		30.0000	11/04/10	09/22/11	701.17	679.36	21.81			
Sub-Total:		255.0000			6,500.81	5,774.58	726.23			
MCDONALDS CORP	Trade	90.0000	11/11/10	01/12/11	6,619.33	7,158.46	(539.13)			
MERCK & CO INC NEW COM	Trade	40.0000	11/02/10	09/22/11	1,243.60	1,467.92	(224.32)			
MICROCHIP TECHNOLOGY INC	Trade	35.0000	11/02/10	09/22/11	1,124.95	1,123.85	1.10			

continued next page

Account Number UT 39408 45
Your Financial Advisor or Contact
BLAU/RADLER/BUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G000000080000337-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis; proceeds only, even though cost basis is displayed here

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
NATIONAL GRID PLC NEW SPON ADR	Trade	25.0000		11/02/10	09/22/11		1,222.10	1,197.49	24.61	
NORTHEAST UTILITIES	Trade	35.0000		11/02/10	09/22/11		1,166.28	1,109.08	57.20	
	Trade	45.0000		11/11/10	11/09/11		1,517.00	1,408.77	108.23	
Sub-Total:		80.0000					2,683.28	2,517.85	165.43	
NYSE EURONEXT	Trade	180.0000		11/02/10	03/09/11		6,294.38	5,356.44	937.94	
	Trade	135.0000		11/02/10	04/11/11		5,091.09	4,017.33	1,073.76	
	Trade	280.0000		11/11/10	04/11/11		10,559.29	8,189.78	2,369.51	
Sub-Total:		595.0000					21,944.76	17,563.55	4,381.21	
ONEOK INC NEW	Trade	10.0000		11/02/10	09/22/11		644.48	504.97	139.51	
PEPCO HOLDINGS INC	Trade	195.0000		11/02/10	06/09/11		3,738.54	3,757.34	(18.80)	
	Trade	105.0000		11/02/10	06/09/11		2,015.42	2,023.18	(7.76)	
	Trade	290.0000		11/11/10	06/09/11		5,566.38	5,474.97	91.41	
Sub-Total:		590.0000					11,320.34	11,255.49	64.85	
Pfizer Inc	Trade	40.0000		11/02/10	09/22/11		700.10	698.72	1.38	
PROCTER & GAMBLE CO	Trade	160.0000		11/02/10	01/27/11		10,214.09	10,263.87	(49.78)	
	Trade	155.0000		11/11/10	01/27/11		9,894.90	9,980.14	(85.24)	
Sub-Total:		315.0000					20,108.99	20,244.01	(135.02)	
SEADRILL LTD US LINE	Trade	50.0000		11/04/10	09/22/11		1,466.84	1,630.56	(163.72)	
SPECTRA ENERGY CORP	Trade	5.0000		11/02/10	09/22/11		121.74	120.45	1.29	
STH UNION COS NEW ***MERGER EFF. 03/2012**	Trade	415.0000		11/02/10	06/16/11		13,769.63	10,478.75	3,290.88	
	Trade	90.0000		11/11/10	06/16/11		2,986.19	2,260.22	725.97	

continued next page

Account Number UT 39408 45
Your Financial Advisor or Contact
BLAU/RADLER/BLICK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G0000000800000338-X1

Short-Term Gain/Loss-Cost Basis Not Reported to IRS (continued)

This section includes securities held for less than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis. Proceeds only, even though cost basis is displayed here.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date Sold				
STHN UNION COS NEW \$0.15 **MERGER EFF: 03/2012**	Trade	110.0000		11/11/10	06/21/11	3,704.72	2,762.50	942.22	
	Trade	110.0000		11/11/10	06/22/11	3,712.98	2,762.49	950.49	
	Trade	110.0000		11/11/10	09/20/11	4,638.18	2,762.50	1,875.68	
	Sub-Total	835.0000				28,811.70	21,026.46	7,785.24	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	Trade	710.0000		11/02/10	01/27/11	9,477.46	7,730.76	1,746.70	
	Trade	740.0000		11/11/10	01/27/11	9,877.92	8,235.46	1,642.46	
	Sub-Total	1,450.0000				19,355.38	15,966.22	3,389.16	
TELE NORTE LESTE PARTICIPACIOES S.A. **MERGER	Trade	340.0000		11/02/10	04/26/11	6,148.06	5,269.73	878.33	
	Trade	50.0000		11/11/10	04/26/11	904.13	756.96	147.17	
	Trade	305.0000		11/11/10	04/27/11	5,393.21	4,617.46	775.75	
	Sub-Total	695.0000				12,445.40	10,644.15	1,801.25	
WINDSTREAM CORP	Trade	30.0000		11/02/10	09/22/11	363.36	383.98	(20.62)	
	Sub Total					\$161,485.62	\$143,666.87	\$17,818.75	
Total Short-Term Gain/Loss						\$175,002.70	\$158,801.26	\$16,201.44	



Account Number UT 39408 45
Your Financial Advisor or Contact
BLAU/RADLER/BUCK
212-333-8800/800-223-0170

UBS Financial Services Inc.

2011 Informational Statement

P3101G00000000800000339-X1

Long-Term Gain/Loss-Cost Basis Not Reported to IRS

This section includes securities held for more than one year. Type "B" activity was reported by UBS to the IRS on Form 1099-B without cost basis.

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date		Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	wash sale loss disallowed
				Date Acquired	Date					
BRISTOL MYERS SQUIBB CO	Trade	175.0000		11/02/10	11/15/11		5,476.78	4,705.47	771.31	
	Trade	235.0000		11/11/10	11/15/11		7,354.54	6,159.16	1,195.38	
	Sub-Total:	410.0000					12,831.32	10,864.63	1,966.69	
KINDER MORGAN MGMT LLC	Cash in lieu	0.9712		11/02/10	11/14/11		64.72	56.28	8.44	
NORTHEAST UTILITIES	Trade	165.0000		11/02/10	11/08/11		5,658.32	5,228.52	429.80	
	Trade	10.0000		11/02/10	11/09/11		337.11	316.88	20.23	
	Sub-Total:	175.0000					5,995.43	5,545.40	450.03	
Sub Total							\$18,891.47	\$16,466.31	\$2,425.16	
Total Long-Term Gain/Loss							\$18,891.47	\$16,466.31	\$2,425.16	

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒ [X]
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions. ARTHUR M GOLDBERG AND VERONICA GOLDBERG FOUNDATION, INC	Employer identification number (EIN) or ☒ 22-2779193
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 20 POST KENNEL ROAD	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. FAR HILLS, NJ 07931	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

ROSS, ANGLIM, ANGELINI & CO LLP

- The books are in the care of ► **775 MOUNTAIN BLVD - WATCHUNG, NJ 07069**
Telephone No. ► **(908) 561-1600** FAX No. ► **(908) 561-4777**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until

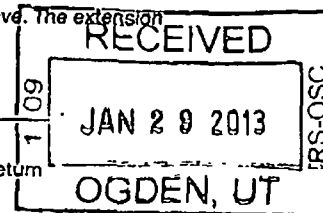
AUGUST 15, 2012, to file the exempt organization return for the organization named above. The extension

is for the organization's return for:

► ☒ calendar year **2011** or

► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period



3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	3,380.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	6,558.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 1-2012)

- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ☒ **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions ARTHUR M GOLDBERG AND VERONICA GOLDBERG FOUNDATION, INC	Employer identification number (EIN) or ☒ 22-2779193
	Number, street, and room or suite no. If a P.O. box, see instructions. 20 POST KENNEL ROAD	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. FAR HILLS, NJ 07931	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**ROSS, ANGLIM, ANGELINI & CO LLP**

- The books are in the care of **775 MOUNTAIN BLVD - WATCHUNG, NJ 07069**
Telephone No. **(908) 561-1600** FAX No. **(908) 561-4777**
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until **NOVEMBER 15, 2012.**
- For calendar year **2011**, or other tax year beginning _____, and ending _____.
- If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- State in detail why you need the extension
AWAITING INFORMATION FROM OUTSIDE SOURCES NEEDED TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	3,380.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	6,558.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature **▶**Title **▶ PRESIDENT**Date **▶**

Form 8868 (Rev. 1-2012)

