



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



HURRICANE SANDY

EXTENSION GRANTED

Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2011

Department of the Treasury Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , and ending

Name of foundation THE MOGEN FOUNDATION, Employer identification number 22-2670567, Telephone number, City or town, state, and ZIP code UPPER SADDLE RIVER NJ 07458, Check all that apply, Check type of organization, Fair market value of all assets at end of year, Accounting method.

SCANNED DEC 20 2012 Revenue

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Contributions, interest, gross rents, net gain/loss, capital gain, other income, operating and administrative expenses, and total expenses.

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2011)

Handwritten mark resembling the number 6.

| Part II Balance Sheets      |   | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)      |                |                       |         |
|-----------------------------|---|---|----------------|-----------------------|---------|
|                             |   | Beginning of year   | End of year    |                       |         |
|                             |   | (a) Book Value  | (b) Book Value | (c) Fair Market Value |         |
| Assets                      | 1   | Cash—non-interest-bearing   |                |                       |         |
|                             | 2   | Savings and temporary cash investments  | 69,919         | 51,176                | 51,175  |
|                             | 3   | Accounts receivable ▶<br>Less: allowance for doubtful accounts ▶  |                |                       |         |
|                             | 4   | Pledges receivable ▶<br>Less allowance for doubtful accounts ▶  |                |                       |         |
|                             | 5   | Grants receivable   |                |                       |         |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) |                |                       |         |
|                             | 7   | Other notes and loans receivable (att schedule) ▶<br>Less allowance for doubtful accounts ▶                             | 0              |                       |         |
|                             | 8   | Inventories for sale or use   |                |                       |         |
|                             | 9   | Prepaid expenses and deferred charges   |                |                       |         |
|                             | 10a   | Investments—U S and state government obligations (attach schedule)  |                |                       |         |
|                             | b   | Investments—corporate stock (attach schedule) SEE STMT 5  | 459,255        | 412,844               | 563,416 |
|                             | c   | Investments—corporate bonds (attach schedule)   |                |                       |         |
|                             | 11  | Investments—land, buildings, and equipment basis ▶<br>Less accumulated depreciation (attach sch) ▶                      |                |                       |         |
|                             | 12  | Investments—mortgage loans  |                |                       |         |
|                             | 13  | Investments—other (attach schedule)   |                |                       |         |
|                             | 14  | Land, buildings, and equipment basis ▶<br>Less accumulated depreciation (attach sch) ▶                                  |                |                       |         |
| 15                          | Other assets (describe ▶ SEE STATEMENT 6 )  | 3,857   |                |                       |         |
| 16                          | <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)                             | 533,031   | 464,020        | 614,591               |         |
| Liabilities                 | 17  | Accounts payable and accrued expenses   |                |                       |         |
|                             | 18  | Grants payable  |                |                       |         |
|                             | 19  | Deferred revenue  |                |                       |         |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons  |                |                       |         |
|                             | 21  | Mortgages and other notes payable (attach schedule)   |                |                       |         |
|                             | 22  | Other liabilities (describe ▶ )   |                |                       |         |
|                             | 23  | <b>Total liabilities</b> (add lines 17 through 22)  | 0              | 0                     |         |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/> |   |                |                       |         |
|                             | 24  | Unrestricted  |                |                       |         |
|                             | 25  | Temporarily restricted  |                |                       |         |
|                             | 26  | Permanently restricted  |                |                       |         |
|                             | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>   |   |                |                       |         |
|                             | 27  | Capital stock, trust principal, or current funds  |                |                       |         |
|                             | 28  | Paid-in or capital surplus, or land, bldg, and equipment fund   |                |                       |         |
| 29                          | Retained earnings, accumulated income, endowment, or other funds  | 533,031   | 464,020        |                       |         |
| 30                          | <b>Total net assets or fund balances</b> (see instructions)   | 533,031   | 464,020        |                       |         |
| 31                          | <b>Total liabilities and net assets/fund balances</b> (see instructions)  | 533,031   | 464,020        |                       |         |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |  |   |         |
|---|--|---|---------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 533,031 |
| 2 | Enter amount from Part I, line 27a   | 2 | -69,011 |
| 3 | Other increases not included in line 2 (itemize) ▶   | 3 |         |
| 4 | Add lines 1, 2, and 3  | 4 | 464,020 |
| 5 | Decreases not included in line 2 (itemize) ▶   | 5 |         |
| 6 | <b>Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30</b>   | 6 | 464,020 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co) |  | (b) How acquired<br>P—Purchase<br>D—Donation  | (c) Date acquired<br>(mo., day, yr.)         | (d) Date sold<br>(mo., day, yr.)   |
|--|--|---|--|--|
| <b>1a SEE STATEMENT</b>  |  |   |  |  |
| <b>b</b>   |  |   |  |  |
| <b>c</b>   |  |   |  |  |
| <b>d</b>   |  |   |  |  |
| <b>e</b>   |  |   |  |  |
| (e) Gross sales price  | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale                                     | (h) Gain or (loss)<br>(e) plus (f) minus (g) |  |
| <b>a</b>   |  |   |  |  |
| <b>b</b>   |  |   |  |  |
| <b>c</b>   |  |   |  |  |
| <b>d</b>   |  |   |  |  |
| <b>e</b>   |  |   |  |  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                      |  |   |  | (l) Gains (Col (h) gain minus<br>col (k), but not less than -0-) or<br>Losses (from col (h)) |
| (i) FMV as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col (i)<br>over col (j), if any                                       |  |  |
| <b>a</b>   |  |   |  |  |
| <b>b</b>   |  |   |  |  |
| <b>c</b>   |  |   |  |  |
| <b>d</b>   |  |   |  |  |
| <b>e</b>   |  |   |  |  |
| <b>2 Capital gain net income or (net capital loss)</b>   |  | [ If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 ] | <b>2</b>                                     |  |
| <b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b>  |  |   | <b>3</b>                                     |  |
| If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8                      |  |   |  |  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1 Enter the appropriate amount in each column for each year, see the instructions before making any entries**

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)  | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|---|--|--|---|
| 2010  | 90,361                                   | 654,310                                      | 0.138101  |
| 2009  | 98,738                                   | 518,400                                      | 0.190467  |
| 2008  | 65,048                                   | 552,400                                      | 0.117755  |
| 2007  | 77,637                                   | 685,003                                      | 0.113338  |
| 2006  | 49,806                                   | 572,909                                      | 0.086935  |
| <b>2 Total of line 1, column (d)</b>  |  |  | <b>2</b> 0.646596   |
| <b>3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b> |  |  | <b>3</b> 0.129319   |
| <b>4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5</b>   |  |  | <b>4</b> 650,524  |
| <b>5 Multiply line 4 by line 3</b>  |  |  | <b>5</b> 84,125   |
| <b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>   |  |  | <b>6</b>  |
| <b>7 Add lines 5 and 6</b>  |  |  | <b>7</b> 84,125   |
| <b>8 Enter qualifying distributions from Part XII, line 4</b>   |  |  | <b>8</b> 61,310   |
| If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions                           |  |  |   |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

|    |   |    |     |
|----|---|----|-----|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter. <b>(attach copy of letter if necessary—see instructions)</b> |    |     |
| b  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b   | 1  |     |
| c  | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)   |    |     |
| 2  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)  | 2  | 0   |
| 3  | Add lines 1 and 2   | 3  |     |
| 4  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)  | 4  | 0   |
| 5  | <b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-   | 5  | 0   |
| 6  | Credits/Payments  |    |     |
| a  | 2011 estimated tax payments and 2010 overpayment credited to 2011   | 6a | 7   |
| b  | Exempt foreign organizations—tax withheld at source   | 6b |     |
| c  | Tax paid with application for extension of time to file (Form 8868)   | 6c | 400 |
| d  | Backup withholding erroneously withheld   | 6d |     |
| 7  | Total credits and payments Add lines 6a through 6d  | 7  | 407 |
| 8  | Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached   | 8  |     |
| 9  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>   | 9  |     |
| 10 | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>   | 10 | 407 |
| 11 | Enter the amount of line 10 to be <b>Credited to 2012 estimated tax</b> <input type="checkbox"/> 407 <b>Refunded</b> <input type="checkbox"/>   | 11 |     |

**Part VII-A Statements Regarding Activities**

|    | Yes | No |
|----|-----|----|
| 1a |     | X  |
| 1b |     | X  |
| 1c |     | X  |
| 2  |     | X  |
| 3  |     | X  |
| 4a |     | X  |
| 4b |     |    |
| 5  |     | X  |
| 6  | X   |    |
| 7  | X   |    |
| 8a |     |    |
| 8b | X   |    |
| 9  |     | X  |
| 10 |     | X  |

N/A

**Part VII-A Statements Regarding Activities (continued)**

|   |    |     |         |
|---|----|-----|---------|
| <p>11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)</p>   | 11 |     | X       |
| <p>12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)</p>  | 12 |     | X       |
| <p>13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br/>Website address ▶ N/A</p>   | 13 | N/A |         |
| <p>14 The books are in care of ▶ MRS. M. MOSER<br/>3120 BURGUNDY DRIVE N<br/>Located at ▶ PALM BEACH GARDENS FL ZIP+4 ▶ 33410<br/>Telephone no ▶ 561-627-8125</p>   |    |     |         |
| <p>15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15</p>  |    |     |         |
| <p>16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br/>See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶</p> | 16 | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|  |    | Yes | No |
|--|----|-----|----|
| <p>1a During the year did the foundation (either directly or indirectly)</p> <p>(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> |    |     |    |
| <p>b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br/>Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/> N/A</p>  | 1b |     |    |
| <p>c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? N/A</p>   | 1c |     |    |
| <p>2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))</p>   |    |     |    |
| <p>a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br/>If "Yes," list the years ▶ 20 , 20 , 20 , 20</p>  |    |     |    |
| <p>b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ) N/A</p>   | 2b |     |    |
| <p>c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20</p>   |    |     |    |
| <p>3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>   |    |     |    |
| <p>b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A</p>   | 3b |     |    |
| <p>4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?</p>  | 4a |     | X  |
| <p>b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?</p>   | 4b |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  N/A **7b**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No **6b**

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No **7b**

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No **7b**

**b** If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 7      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

Total number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE  |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |
|   |                     |                  |

Total number of others receiving over \$50,000 for professional services ▶

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 N/A  |          |
| 2  |          |
| 3  |          |
| 4  |          |

**Part IX-B Summary of Program-Related Investments (see instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 N/A  |        |
| 2  |        |
| All other program-related investments. See instructions  |        |
| 3  |        |

Total. Add lines 1 through 3 ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |  |           |         |
|----------|--|-----------|---------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |           |         |
| <b>a</b> | Average monthly fair market value of securities  | <b>1a</b> | 602,411 |
| <b>b</b> | Average of monthly cash balances   | <b>1b</b> | 58,019  |
| <b>c</b> | Fair market value of all other assets (see instructions)   | <b>1c</b> | 0       |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)  | <b>1d</b> | 660,430 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | <b>1e</b> | 0       |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets   | <b>2</b>  | 0       |
| <b>3</b> | Subtract line 2 from line 1d   | <b>3</b>  | 660,430 |
| <b>4</b> | Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)      | <b>4</b>  | 9,906   |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4 | <b>5</b>  | 650,524 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5   | <b>6</b>  | 32,526  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part )

|           |   |           |        |
|-----------|---|-----------|--------|
| <b>1</b>  | Minimum investment return from Part X, line 6   | <b>1</b>  | 32,526 |
| <b>2a</b> | Tax on investment income for 2011 from Part VI, line 5  | <b>2a</b> |        |
| <b>b</b>  | Income tax for 2011. (This does not include the tax from Part VI )                                      | <b>2b</b> |        |
| <b>c</b>  | Add lines 2a and 2b   | <b>2c</b> |        |
| <b>3</b>  | Distributable amount before adjustments Subtract line 2c from line 1                                    | <b>3</b>  | 32,526 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions   | <b>4</b>  |        |
| <b>5</b>  | Add lines 3 and 4   | <b>5</b>  | 32,526 |
| <b>6</b>  | Deduction from distributable amount (see instructions)  | <b>6</b>  |        |
| <b>7</b>  | <b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | <b>7</b>  | 32,526 |

**Part XII Qualifying Distributions** (see instructions)

|          |   |           |        |
|----------|---|-----------|--------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes   |           |        |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26   | <b>1a</b> | 61,310 |
| <b>b</b> | Program-related investments—total from Part IX-B  | <b>1b</b> |        |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes   | <b>2</b>  |        |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the   |           |        |
| <b>a</b> | Suitability test (prior IRS approval required)  | <b>3a</b> |        |
| <b>b</b> | Cash distribution test (attach the required schedule)   | <b>3b</b> |        |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4                                    | <b>4</b>  | 61,310 |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | <b>5</b>  | 0      |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4   | <b>6</b>  | 61,310 |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

|  | (a)<br>Corpus | (b)<br>Years prior to 2010 | (c)<br>2010 | (d)<br>2011 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2011 from Part XI, line 7   |               |                            |             | 32,526      |
| 2 Undistributed income, if any, as of the end of 2011:   |               |                            |             |             |
| a Enter amount for 2010 only   |               |                            |             |             |
| b Total for prior years 20____, 20____, 20____   |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2011:   |               |                            |             |             |
| a From 2006  | 22,107        |                            |             |             |
| b From 2007  | 45,841        |                            |             |             |
| c From 2008  | 37,580        |                            |             |             |
| d From 2009  | 72,918        |                            |             |             |
| e From 2010  | 59,227        |                            |             |             |
| f Total of lines 3a through e  | 237,673       |                            |             |             |
| 4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 61,310  |               |                            |             |             |
| a Applied to 2010, but not more than line 2a   |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required—see instructions)  |               |                            |             |             |
| c Treated as distributions out of corpus (Election required—see instructions)  |               |                            |             |             |
| d Applied to 2011 distributable amount   |               |                            |             | 32,526      |
| e Remaining amount distributed out of corpus   | 28,784        |                            |             |             |
| 5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a) )  |               |                            |             |             |
| 6 Enter the net total of each column as indicated below:   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5  | 266,457       |                            |             |             |
| b Prior years' undistributed income Subtract line 4b from line 2b  |               |                            |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               |                            |             |             |
| d Subtract line 6c from line 6b Taxable amount—see instructions  |               |                            |             |             |
| e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions  |               |                            |             |             |
| f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012  |               |                            |             | 0           |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  |               |                            |             |             |
| 8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)  | 22,107        |                            |             |             |
| 9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a  | 244,350       |                            |             |             |
| 10 Analysis of line 9:   |               |                            |             |             |
| a Excess from 2007   | 45,841        |                            |             |             |
| b Excess from 2008   | 37,580        |                            |             |             |
| c Excess from 2009   | 72,918        |                            |             |             |
| d Excess from 2010   | 59,227        |                            |             |             |
| e Excess from 2011   | 28,784        |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

|  | Tax year |          |          |          | (e) Total |
|--|----------|----------|----------|----------|-----------|
|  | (a) 2011 | (b) 2010 | (c) 2009 | (d) 2008 |           |
| <b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed                      |          |          |          |          |           |
| <b>b</b> 85% of line 2a  |          |          |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed   |          |          |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities   |          |          |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities<br>Subtract line 2d from line 2c                                 |          |          |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon   |          |          |          |          |           |
| <b>a</b> "Assets" alternative test—enter   |          |          |          |          |           |
| <b>(1)</b> Value of all assets   |          |          |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)   |          |          |          |          |           |
| <b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed                                |          |          |          |          |           |
| <b>c</b> "Support" alternative test—enter  |          |          |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) |          |          |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)                                      |          |          |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization   |          |          |          |          |           |
| <b>(4)</b> Gross investment income   |          |          |          |          |           |

**Part XV Supplementary information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )  
N/A

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest  
N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed  
N/A

**b** The form in which applications should be submitted and information and materials they should include  
N/A

**c** Any submission deadlines  
N/A

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  
N/A

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|--------|
| a Paid during the year<br>SEE SCHEDULE ATTACHED  |  | 501 (C)                              | GENERAL                             | 61,310 |
| <b>Total</b>                                     |  |                                      | ▶ 3a                                | 61,310 |
| b Approved for future payment<br>N/A             |  |                                      |                                     |        |
| <b>Total</b>                                     |  |                                      | ▶ 3b                                |        |





Schedule of Contributors

2011

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

|   |   |
|---|---|
| Name of the organization<br><u>THE MOGEN FOUNDATION</u> | Employer identification number<br><u>22-2670567</u> |
|---|---|

Organization type (check one)

- Filers of:                      Section:
- Form 990 or 990-EZ             501(c)(        ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization
- Form 990-PF                     501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

**Special Rules**

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

## Name of organization

THE MOGEN FOUNDATION

## Employer identification number

22-2670567

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution  |
|------------|---|----------------------------|--|
| 1          | STANLEY & MARILYN MOSER<br>3120 BURGUNDY DRIVE NORTH<br><br>PALM BEACH GARDENS FL 33410 | \$ 5,824                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II if there is a noncash contribution ) |
| 2          | GAIL & RANAN WICHLER<br>35 WEISS ROAD<br><br>UPPER SADDLE RIVER NJ 07458                | \$ 20,000                  | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input checked="" type="checkbox"/><br>(Complete Part II if there is a noncash contribution ) |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution  |
|            |   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution )            |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution  |
|            |   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution )            |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution  |
|            |   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution )            |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution  |
|            |   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution )            |
| (a)<br>No. | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total contributions | (d)<br>Type of contribution  |
|            |   | \$                         | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II if there is a noncash contribution )            |

|   |   |
|---|---|
| <b>Name of organization</b><br>THE MOGEN FOUNDATION | <b>Employer identification number</b><br>22-2670567 |
|---|---|

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---------------------|---|--|-------------------|
| 1                   | PART OF 600SH DIRECT TV                   | \$ 5,824                                 |                   |
| 2                   | PART OF 600SH DIRECT TV                   | \$ 20,000                                |                   |
|                     |   | \$                                       |                   |
|                     |   | \$                                       |                   |
|                     |   | \$                                       |                   |
|                     |   | \$                                       |                   |
|                     |   | \$                                       |                   |
|                     |   | \$                                       |                   |

2011  
The MOGEN Foundation, Inc  
Contributions Made

|    |   |         |  |  |  |  |  |  |  |
|----|---|---------|--|--|--|--|--|--|--|
| 1  | Scripps Research<br>130 Scripps Way<br>Palm Beach Gdns, FL 33410                      | 50000   |  |  |  |  |  |  |  |
| 2  | Beth Haverim Shir Shalom<br>80 Ramapo Valley Rd<br>Mahwah, NJ 07430                   | 538500  |  |  |  |  |  |  |  |
| 3  | Alzheimers Disease Research<br>22512 Gateway Ctr Dr<br>Clarksbury, MD 20871           | 20000   |  |  |  |  |  |  |  |
| 4  | Jewish Federation ABC<br>Community Drive<br>West Palm Beach, FL 33417                 | 4500000 |  |  |  |  |  |  |  |
| 5  | Franklin Lakes Education Foundation<br>P.O. Box 450<br>Franklin Lakes, NJ 07417       | 10000   |  |  |  |  |  |  |  |
| 6  | Morse Life Rehab Ctr<br>4847 Fred Gladstone Dr.<br>W. Palm Beach, FL 33417            | 35000   |  |  |  |  |  |  |  |
| 7  | Frenchmans Creek Charities Inc<br>Tournament Drive<br>Palm Beach Gdns, FL 33410       | 50000   |  |  |  |  |  |  |  |
| 8  | Bennington College - Kelly Hsu Fund<br>One College Drive<br>Bennington, Vermont 05201 | 50000   |  |  |  |  |  |  |  |
| 9  | The Friendship Club<br>100 Overlook Drive<br>Woodcliff Lake, NJ 07677                 | 50000   |  |  |  |  |  |  |  |
| 10 | Planned Parenthood<br>2300 North Florida Mango Ed<br>West Palm Beach Florida          | 125000  |  |  |  |  |  |  |  |
| 11 | Special Olympics<br>15 Dunwick Dr<br>Clermont, FL 34711                               | 10000   |  |  |  |  |  |  |  |
| 12 | Doctors Without Borders<br>P.O. Box 5023<br>Hagerstown, MD 21741-5023                 | 30000   |  |  |  |  |  |  |  |
| 13 | National MS Society<br>So Fl Chapter P.O. Box 9807<br>Ft Lauderdale FL 33310-9807     | 25000   |  |  |  |  |  |  |  |
| 14 | St Jude Research Hospital<br>501 St Jude Place<br>Memphis, TN 38105                   | 30000   |  |  |  |  |  |  |  |
| 15 | Charley's Fund<br>P.O. Box 83<br>Stockbridge, MA 01862                                | 35000   |  |  |  |  |  |  |  |
| 16 | Womens Therapy Center<br>2105 Martin Luther King Jr Way<br>Berkeley, CA 94704         | 50000   |  |  |  |  |  |  |  |
| 17 | JCC Palm Beaches<br>8500 Jog Rd<br>Boynton, Beach FL 33472                            | 10000   |  |  |  |  |  |  |  |
| 18 | Memorial Sloan Kettering<br>1275 York Ave<br>New York, NY 10065                       | 20000   |  |  |  |  |  |  |  |
| 19 | Jupiter Medical Ctr<br>1810 South Old Dixie Highway<br>Jupiter, FL 33452              | 100000  |  |  |  |  |  |  |  |
| 20 | FACES<br>223 E. 34th St<br>New York, NY 10016   | 250000  |  |  |  |  |  |  |  |
|    |   | 5929500 |  |  |  |  |  |  |  |



THE MOGEN FOUNDATION, INC  
 AVERAGE ASSETS - QUARTERLY

2011

|                |          | VANGUARD<br>PMHF      | BURNHAM<br>CASH           | TOTAL          | AVERAGE      |                |
|----------------|----------|-----------------------|---------------------------|----------------|--------------|----------------|
| CASH           | 3-31-11  | 19871                 | 45623                     | 65494          |              |                |
|                | 6-30-11  | 19257                 | 36771                     | 56028          |              |                |
|                | 9-30-11  | 17643                 | 44710                     | 61853          |              |                |
|                | 12-31-11 | 19643                 | 19053                     | 48700          |              |                |
|                |          | 86416                 | 143659                    | 734075         | 58019        |                |
| SECURITIES     |          | <u>VANGUARD FUNDS</u> | <u>VANGUARD BROKERAGE</u> | <u>BURNHAM</u> | <u>TOTAL</u> | <u>AVERAGE</u> |
|                | 3-31-11  | 81797                 | 62800                     | 498509         | 643406       |                |
|                | 6-30-11  | 78834                 | 93600                     | 535093         | 707529       |                |
|                | 9-30-11  | 67037                 | 64850                     | 368380         | 495292       |                |
|                | 12-31-11 | 71493                 | 58080                     | 433841         | 563416       |                |
|                | 294158   | 279360                | 1836145                   | 2409643        | 602411       |                |
| VANGUARD FUNDS |          | <u>ENERGY</u>         | <u>WINDSOR</u>            | <u>TOTAL</u>   |              |                |
|                | 3-31-11  | 65975                 | 15877                     | 81797          |              |                |
|                | 6-30-11  | 62934                 | 15880                     | 78834          |              |                |
|                | 9-30-11  | 48508                 | 13524                     | 67037          |              |                |
|                | 12-31-11 | 56239                 | 15257                     | 71496          |              |                |
|                | 233676   | 60483                 | 294159                    |                |              |                |

**Federal Statements**

**Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets**

| Whom Sold         | Description | Date Acquired | Date Sold | How Received | Sale Price | Cost       | Expense | Depreciation | Net Gain / Loss |
|-------------------|-------------|---------------|-----------|--------------|------------|------------|---------|--------------|-----------------|
|                   |             |               |           |              |            |            |         |              |                 |
| SEE SCHEDULE - ST |             | VARIOUS       | VARIOUS   | PURCHASE     | \$ 51,005  | \$ 75,868  | \$      | \$           | -24,863         |
| SEE SCHEDULE - LT |             | VARIOUS       | VARIOUS   | PURCHASE     | 128,761    | 134,222    |         |              | -5,461          |
| TOTAL             |             |               |           |              | \$ 179,766 | \$ 210,090 | \$ 0    | \$ 0         | \$ -30,324      |

**Statement 2 - Form 990-PF, Part I, Line 11 - Other Income**

| Description         | Revenue per Books | Net Investment Income | Adjusted Net Income |
|---------------------|-------------------|-----------------------|---------------------|
| MISC. - BURNHAM SEC | \$ 8              | \$ 8                  | \$                  |
| TOTAL               | \$ 8              | \$ 8                  | \$ 0                |

**Statement 3 - Form 990-PF, Part I, Line 18 - Taxes**

| Description                      | Total | Net Investment | Adjusted Net | Charitable Purpose |
|----------------------------------|-------|----------------|--------------|--------------------|
| STATE OF NEW JERSEY - FILING FEE | \$ 80 | \$ 80          | \$           | \$                 |
| TOTAL                            | \$ 80 | \$ 80          | \$ 0         | \$ 0               |

# Realized Gain/Loss Report - From 01/01/2011 To 12/30/2011

Including Zero Gain/Loss, Without Outside Holdings, Sorted by Security

01-02-12 08:30 AM

## BURNHAM SECURITIES INC.

FA: ANDREW WIENER

For All Types

Account: MOGEN FOUNDATION INC/851-88471

FA # 0042

c/s

SAVES

CAPITAL GAINS + LOSSES  
SAVES A/c 100

| Quantity     | Description                             | Maturity Date | Acq Date   | Acq Price | Acq Cost  | Liq Date   | Liq Price | Liq Cost  | Short Term Gain/Loss | Long Term Gain/Loss |
|--------------|---|---------------|------------|-----------|-----------|------------|-----------|-----------|----------------------|---------------------|
| <b>LONG</b>  |   |               |            |           |           |            |           |           |                      |                     |
| <b>Stock</b> |   |               |            |           |           |            |           |           |                      |                     |
| 1,000        | ACCELRY'S INC                           |               | 05/26/2009 | 4.4533    | 4,453.30  | 04/26/2011 | 7.7359    | 7,735.75  | 0.00                 | 3,282.45            |
| 500          | ACCELRY'S INC                           |               | 11/09/2009 | 5.1079    | 2,553.95  | 04/26/2011 | 7.7359    | 3,867.87  | 0.00                 | 1,313.92            |
| 1,500        | (Total) ACCELRY'S INC                   |               |            |           | 7,007.25  |            |           | 11,603.62 | 0.00                 | 4,596.37            |
| 200          | DIGIMARC CORPORATION                    |               | 10/22/2008 |           | 1,512.00  | 07/07/2011 | 38.1091   | 7,621.67  | 0.00                 | 6,109.67            |
| 300          | DIGIMARC CORPORATION                    |               | 10/22/2008 |           | 2,268.00  | 07/12/2011 | 38.5011   | 11,550.10 | 0.00                 | 9,282.10            |
| 500          | (Total) DIGIMARC CORPORATION            |               |            |           | 3,780.00  |            |           | 19,171.77 | 0.00                 | 15,564.77           |
| 2,000        | EASYLINK SERVICES INTERNATIONAL         |               | 03/17/2010 | 2.1300    | 4,260.00  | 07/05/2011 | 5.9145    | 11,828.77 | 0.00                 | 7,568.77            |
| 1,000        | EASYLINK SERVICES INTERNATIONAL         |               | 03/17/2010 | 2.1300    | 2,130.00  | 07/12/2011 | 6.2967    | 6,296.57  | 0.00                 | 4,166.57            |
| 3,000        | (Total) EASYLINK SERVICES INTERNATIONAL |               |            |           | 6,390.00  |            |           | 18,125.34 | 0.00                 | 11,736.34           |
| 1,000        | MIPS TECHNOLOGIES INC COM               |               | 08/05/2011 | 4.1189    | 4,118.90  | 09/16/2011 | 6.0701    | 6,069.98  | 1,951.08             | 0.00                |
| 2,000        | MIPS TECHNOLOGIES INC COM               |               | 08/05/2011 | 4.1189    | 8,237.80  | 09/23/2011 | 5.2750    | 10,549.79 | 2,311.99             | 0.00                |
| 3,000        | (Total) MIPS TECHNOLOGIES INC COM       |               |            |           | 12,356.70 |            |           | 16,619.77 | 4,263.07             | 0.00                |
| 2,000        | PDF SOLUTIONS INC                       |               | 06/02/2009 | 1.8482    | 3,696.40  | 02/04/2011 | 6         | 11,999.76 | 0.00                 | 8,303.36            |
| 2,500        | PRINCETON REVIEW INC                    |               | 08/29/2007 | 6.4088    | 16,151.50 | 03/24/2011 | 0.4089    | 1,022.23  | 0.00                 | (15,129.27)         |
| 1,500        | PRINCETON REVIEW INC                    |               | 10/24/2007 | 7.6953    | 11,622.45 | 03/24/2011 | 0.4089    | 613.34    | 0.00                 | (11,009.11)         |
| 1,000        | PRINCETON REVIEW INC                    |               | 05/16/2008 | 7.6773    | 7,731.80  | 03/24/2011 | 0.4089    | 408.89    | 0.00                 | (7,322.91)          |
| 1,000        | PRINCETON REVIEW INC                    |               | 06/05/2008 | 7.8440    | 7,898.50  | 03/24/2011 | 0.4089    | 408.89    | 0.00                 | (7,489.61)          |
| 1,000        | PRINCETON REVIEW INC                    |               | 05/19/2009 | 3.9624    | 3,962.40  | 03/24/2011 | 0.4089    | 408.89    | 0.00                 | (3,553.51)          |
| 500          | PRINCETON REVIEW INC                    |               | 10/29/2009 | 4.6561    | 2,328.05  | 03/24/2011 | 0.4089    | 204.45    | 0.00                 | (2,123.60)          |
| 500          | PRINCETON REVIEW INC                    |               | 11/05/2009 | 4.4947    | 2,247.35  | 03/24/2011 | 0.4089    | 204.45    | 0.00                 | (2,042.90)          |
| 1,000        | PRINCETON REVIEW INC                    |               | 11/11/2009 | 4.3974    | 4,397.40  | 03/24/2011 | 0.4089    | 408.89    | 0.00                 | (3,988.51)          |
| 1,000        | PRINCETON REVIEW INC                    |               | 11/19/2009 | 4.3609    | 4,360.90  | 03/24/2011 | 0.4089    | 408.89    | 0.00                 | (3,952.01)          |
| 1,000        | PRINCETON REVIEW INC                    |               | 12/01/2009 | 3.8609    | 3,860.90  | 03/24/2011 | 0.4089    | 408.89    | 0.00                 | (3,452.01)          |
| 1,000        | PRINCETON REVIEW INC                    |               | 02/03/2010 | 4.1269    | 4,126.90  | 03/24/2011 | 0.4089    | 408.89    | 0.00                 | (3,718.01)          |
| 3,000        | PRINCETON REVIEW INC                    |               | 05/12/2010 | 2.7578    | 8,273.40  | 03/24/2011 | 0.4089    | 1,226.68  | (7,046.72)           | 0.00                |
| 1,000        | PRINCETON REVIEW INC                    |               | 06/01/2010 | 2.3098    | 2,309.80  | 03/24/2011 | 0.4089    | 408.89    | (1,900.91)           | 0.00                |
| 2,000        | PRINCETON REVIEW INC                    |               | 06/02/2010 | 2.2810    | 4,562.00  | 03/24/2011 | 0.4089    | 817.78    | (3,744.22)           | 0.00                |
| 2,000        | PRINCETON REVIEW INC                    |               | 06/07/2010 | 2.3328    | 4,665.60  | 03/24/2011 | 0.4089    | 817.78    | (3,847.82)           | 0.00                |
| 4,000        | PRINCETON REVIEW INC                    |               | 08/23/2010 | 1.9662    | 7,864.80  | 03/24/2011 | 0.4089    | 1,635.58  | (6,229.22)           | 0.00                |
| 24,000       | (Total) PRINCETON REVIEW INC            |               |            |           | 96,363.75 |            |           | 9,613.41  | (22,769.89)          | (63,751.49)         |
| 500          | SRS LABS INC                            |               | 06/29/2007 | 9.7714    | 4,922.95  | 08/05/2011 | 8.3538    | 4,176.81  | 0.00                 | (746.14)            |

Please see important disclosures on Last Page.

CAPITAL GAINS + LOSSES - BURNHAM

# Realized Gain/Loss Report - From 01/01/2011 To 12/30/2011

Including Zero Gain/Loss, Without Outside Holdings, Sorted by Security

Account: MOGEN FOUNDATION INC/851-68471

| Quantity            | Description               | Maturity Date | Acq Date   | Acq Price | Acq Cost   | Liq Date   | Liq Price | Liq Cost   | Short Term Gain/Loss | Long Term Gain/Loss |  |
|---------------------|---------------------------|---------------|------------|-----------|------------|------------|-----------|------------|----------------------|---------------------|--|
| <b>LONG</b>         |                           |               |            |           |            |            |           |            |                      |                     |  |
| <b>Stock</b>        |                           |               |            |           |            |            |           |            |                      |                     |  |
| 500                 | SRS LABS INC              |               | 06/29/2007 | 9.7714    | 4,922.95   | 08/23/2011 | 7.8294    | 3,914.62   | 0.00                 | (1,008.33)          |  |
| 500                 | SRS LABS INC              |               | 03/03/2008 | 4.2871    | 2,169.88   | 08/23/2011 | 7.8294    | 3,914.62   | 0.00                 | 1,744.94            |  |
| 1,000               | SRS LABS INC              |               | 03/03/2008 | 4.2871    | 4,339.35   | 09/14/2011 | 7.5259    | 7,525.75   | 0.00                 | 3,186.40            |  |
| 500                 | SRS LABS INC              |               | 03/03/2008 | 4.2871    | 2,169.67   | 10/10/2011 | 6.6000    | 3,299.94   | 0.00                 | 1,130.27            |  |
| 2,000               | SRS LABS INC              |               | 05/14/2008 | 5.8500    | 11,804.50  | 10/10/2011 | 6.6000    | 13,199.75  | 0.00                 | 1,395.25            |  |
| 500                 | SRS LABS INC              |               | 03/11/2011 | 8.5766    | 4,288.30   | 10/10/2011 | 6.6000    | 3,299.94   | (988.36)             | 0.00                |  |
| 500                 | SRS LABS INC              |               | 04/01/2011 | 8.3954    | 4,197.70   | 10/10/2011 | 6.6000    | 3,299.94   | (897.76)             | 0.00                |  |
| 500                 | SRS LABS INC              |               | 04/12/2011 | 7.9528    | 3,976.40   | 10/10/2011 | 6.6000    | 3,299.92   | (676.48)             | 0.00                |  |
| 1,000               | TRANSACT TECHNOLOGIES INC |               | 11/19/2010 | 9.2196    | 9,219.60   | 04/18/2011 | 11.6000   | 11,599.78  | 2,380.18             | 0.00                |  |
| 947                 | TRANSACT TECHNOLOGIES INC |               | 11/23/2010 | 9.1943    | 8,707.00   | 04/18/2011 | 11.6000   | 10,984.99  | 2,277.99             | 0.00                |  |
| 553                 | TRANSACT TECHNOLOGIES INC |               | 11/24/2010 | 9.1373    | 5,052.93   | 04/18/2011 | 11.6000   | 6,414.67   | 1,361.74             | 0.00                |  |
| 1,000               | VERMILLION INC NEW        |               | 02/16/2011 | 5.3140    | 5,314.00   | 05/13/2011 | 7.0677    | 7,067.56   | 1,753.56             | 0.00                |  |
| 1,000               | VERMILLION INC NEW        |               | 02/16/2011 | 5.3140    | 5,314.00   | 10/07/2011 | 2.0005    | 2,000.46   | (3,313.54)           | 0.00                |  |
| 500                 | VERMILLION INC NEW        |               | 02/17/2011 | 5.2713    | 2,635.65   | 10/07/2011 | 2.0005    | 1,000.23   | (1,635.42)           | 0.00                |  |
| 600                 | VERMILLION INC NEW        |               | 02/28/2011 | 4.9707    | 2,982.42   | 10/07/2011 | 2.0005    | 1,200.27   | (1,782.15)           | 0.00                |  |
| 900                 | VERMILLION INC NEW        |               | 02/28/2011 | 4.9707    | 4,473.63   | 10/27/2011 | 2.2063    | 1,985.63   | (2,488.00)           | 0.00                |  |
| 1,000               | VERMILLION INC NEW        |               | 04/19/2011 | 4.5548    | 4,554.80   | 10/27/2011 | 2.2063    | 2,206.25   | (2,348.55)           | 0.00                |  |
| 4,000               | X-RITE INC                |               | 07/10/2008 | 2.4699    | 9,879.60   | 02/15/2011 | 4.5505    | 18,201.65  | 0.00                 | 8,322.05            |  |
| 800                 | X-RITE INC                |               | 07/18/2008 | 2.9399    | 2,351.92   | 06/28/2011 | 4.9535    | 3,962.72   | 0.00                 | 1,610.80            |  |
| 200                 | X-RITE INC                |               | 07/24/2008 | 3.2147    | 642.94     | 06/28/2011 | 4.9535    | 990.68     | 0.00                 | 347.74              |  |
| 1,000               | X-RITE INC                |               | 07/24/2008 | 3.2147    | 3,214.70   | 10/27/2011 | 4.4282    | 4,428.11   | 0.00                 | 1,213.41            |  |
| 1,000               | X-RITE INC                |               | 08/11/2008 | 3.6944    | 3,694.40   | 12/05/2011 | 4.7817    | 4,781.60   | 0.00                 | 1,087.20            |  |
| 7,000               | (Total) X-RITE INC        |               |            |           | 19,773.56  |            |           | 32,384.76  | 0.00                 | 12,691.20           |  |
| Sub Totals of Stock |                           |               |            |           | 240,413.19 |            |           | 210,089.56 | (24,862.61)          | (5,461.02)          |  |
| Sub Totals of LONG  |                           |               |            |           | 240,413.19 |            |           | 210,089.56 | (24,862.61)          | (5,461.02)          |  |
| Grand Total         |                           |               |            |           | 240,413.19 |            |           | 210,089.56 | (24,862.61)          | (5,461.02)          |  |

## Realized Gain/Loss Report - From 01/01/2011 To 12/30/2011

Including Zero Gain/Loss, Without Outside Holdings, Sorted by Security

01-02-12 08:30 AM

### DISCLOSURES:

All values reflect prior business or historical day's close and are displayed in USD

Securities priced at zero dollars indicate either a worthless security or an unpriced security including alternative investments. Your account statement provides additional information on these securities. The account statement can be found under the Client Documents tab of Accounts

Tax lots that display "N/A" are not included in realized gain/loss calculations. The market values of these tax lots are, however, included in market value calculations and totals.

Trades closing short positions. The Realized Gain or Loss Report uses trade date to report all transactions including short sales for a specified date. Please note, however, trades that are used to close short positions actually realize their gain or loss for tax purposes on settlement date and not trade date.

This information may be materially inaccurate and is provided as an accommodation to enable you to monitor your investment activity. The information contained herein has been obtained or derived from sources and methods considered to be reliable but is not guaranteed. Securities pricing may not reflect realizable values. In the event of a discrepancy between the information provided herein and the information set forth in your confirmations of daily activity and monthly statements of account, the latter shall govern. Market data feeds on this site differ from those used for account statements. Nothing herein may be construed as tax advice and you are urged to consult a tax advisor.

© 2011 JPMorgan Chase & Co. All rights reserved. This information is provided without warranties of any kind, either express or implied, including, any implied warranties of accuracy, completeness, fitness for a particular purpose, and non-infringement. Only confirmations and statements constitute the legal and official record of your account and its activity.

# Federal Statements

## Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

| Description   | Total | Net Investment | Adjusted Net | Charitable Purpose |
|---------------|-------|----------------|--------------|--------------------|
| EXPENSES      |       |                |              |                    |
| ADVISORY FEES | 6,480 | 6,480          |              |                    |
| TOTAL         | 6,480 | 6,480          | 0            | 0                  |

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

| Description  | Beginning of Year | End of Year | Basis of Valuation | Fair Market Value |
|--------------|-------------------|-------------|--------------------|-------------------|
| EQUITIES     | \$ 414,246        | \$ 364,494  | COST               | \$ 491,921        |
| MUTUAL FUNDS | 45,009            | 48,350      | COST               | 71,495            |
| TOTAL        | \$ 459,255        | \$ 412,844  |                    | \$ 563,416        |

**Statement 6 - Form 990-PF, Part II, Line 15 - Other Assets**

| <u>Description</u> | <u>Beginning<br/>of Year</u> | <u>End of<br/>Year</u> | <u>Fair Market<br/>Value</u> |
|--------------------|------------------------------|------------------------|------------------------------|
| DUE FROM BROKER    | \$ 3,857                     | \$                     | \$                           |
| TOTAL              | \$ 3,857                     | \$ 0                   | \$ 0                         |

## Federal Statements

## Statement 7 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

| Name and Address  | Title     | Average Hours | Compensation | Benefits | Expenses |
|---|-----------|---------------|--------------|----------|----------|
| MARILYN MOSER<br>3120 BURGUNDY DRIVE NORTH<br>PALM BEACH GARDENS FL 33410 | PRESIDENT | 1.00          | 0            | 0        | 0        |
| STANLEY MOSER<br>3120 BURGUNDY DRIVE NORTH<br>PALM BEACH GARDENS FL 33410 | VP        | 1.00          | 0            | 0        | 0        |
| ELENA MOSER<br>1737 MADERA<br>BERKELEY CA 94707                           | VP        | 0.00          | 0            | 0        | 0        |
| NILES MOSER<br>210 STOKES FARM RD<br>FRANKLIN LAKES NJ 07417              | VP        | 0.00          | 0            | 0        | 0        |
| GAIL WICHLER<br>35 WEISS RD<br>UPPER SADDLE RIVER NJ 07458                | TREASURER | 0.00          | 0            | 0        | 0        |
| RANAN WICHLER<br>35 WEISS RD<br>UPPER SADDLE RIVER NJ 07458               | SECRETARY | 1.00          | 0            | 0        | 0        |
| JENNIFER MOSER<br>210 STOKES FARM RD<br>FRANKLIN LAKES NJ 07417           | VP        | 0.00          | 0            | 0        | 0        |

# Federal Statements

## Taxable Interest on Investments

| Description             | Amount | Unrelated<br>Business Code | Exclusion<br>Code | Postal<br>Code | US<br>Obs (\$ or %) |
|-------------------------|--------|----------------------------|-------------------|----------------|---------------------|
| MONEY MARKET - VANGUARD | \$ 10  |                            |                   |                |                     |
| TOTAL                   | \$ 10  |                            |                   |                |                     |

## Taxable Dividends from Securities

| Description  | Amount   | Unrelated<br>Business Code | Exclusion<br>Code | Postal<br>Code | US<br>Obs (\$ or %) |
|--------------|----------|----------------------------|-------------------|----------------|---------------------|
| MUTUAL FUNDS | \$ 3,341 |                            |                   |                |                     |
| TOTAL        | \$ 3,341 |                            |                   |                |                     |

## Other Investment Income

| Description         | Amount | Unrelated<br>Business Code | Exclusion<br>Code | Postal<br>Code |
|---------------------|--------|----------------------------|-------------------|----------------|
| MISC. - BURNHAM SEC | \$ 8   |                            |                   |                |
| TOTAL               | \$ 8   |                            |                   |                |

If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Form with fields for Name of exempt organization (THE MOGEN FOUNDATION), Employer identification number (22-2670567), Address (C/O 35 WEISS ROAD, UPPER SADDLE RIVER NJ 07458), and Social security number.

Enter the Return code for the return that this application is for (file a separate application for each return)

4

Table with 4 columns: Application Is For, Return Code, Application Is For, Return Code. Rows include Form 990, Form 990-BL, Form 990-EZ, Form 990-PF, Form 990-T (sec 401(a) or 408(a) trust), and Form 990-T (trust other than above).

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of G WOHLER
Telephone No. FAX No
If the organization does not have an office or place of business in the United States, check this box
If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

- I request an additional 3-month extension of time until 11/15/12
For calendar year, or other tax year beginning, and ending
If the tax year entered in line 5 is for less than 12 months, check reason Initial return Final return
Change in accounting period

State in detail why you need the extension
TAXPAYER IS GATHERING INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

Table with 3 rows (8a, 8b, 8c) and 2 columns. 8a: tentative tax, less any nonrefundable credits. 8b: refundable credits and estimated tax payments made. 8c: Balance due. Subtract line 8b from line 8a.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Title Date 08/14/12
Form 8868 (Rev 1-2012)