

See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

Interi	al Re	venue Service N	ote The foundation may	be able to use a copy of this	return to satisfy state repo	rting requirements.	2011
For	caler	ndar year 2011 or tax year	beginning		, and ending	<u></u>	
Na	ne o	f foundation	- : -			A Employer identificatio	n number
N	EW	ELL D. HALE	FOUNDATION			20-886413	5
Nur	nber a	and street (or PO box number if	f mail is not delivered to street	address)	Room/suite	B Telephone number	
6	97	POMFRET STR	860-928-23	341			
City	or t	own, state, and ZIP code				C If exemption application is	pending, check here
P	OM	FRET, CT 06	259				
G (heck	call that apply:	Initial return	Initial return of a fo	ormer public charity	D 1. Foreign organization	ns, check here
			Final return	Amended return			
			Address change	Name change		Foreign organizations m 2. check here and attach of	eeting the 85% test, computation
H_ (heck	k type of organization;	X Section 501(c)(3) ex	kempt private foundation		E If private foundation st	atus was terminated
	Se	ection 4947(a)(1) nonexem	pt charitable trust	Other taxable private founda	ation	under section 507(b)(1	
I Fa	ur ma	arket value of all assets at e	end of year J Account	ing method: X Cash	Accrual	F If the foundation is in a	60-month termination
		Part II, col. (c), line 16)		ther (specify)		under section 507(b)(1	I)(B), check here
	\$			mn (d) must be on cash l	basis.)		
P	ırt I	Analysis of Revenue an (The total of amounts in colur necessarily equal the amount	d Expenses mns (b), (c), and (d) may not	(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements for charitable purposes
				expenses per books	income	income	(cash basis only)
	1	Contributions, gifts, grant		315,095.		N/A	
	2		n is not required to attach Sch B				
	3	Interest on savings and tempo cash investments		22 442			
	4	Dividends and interest fro	m securities	28,112.	28,112.		STATEMENT 1
Í	``.	Gross rents					
; } •	Ι.	Net rental income or (loss)		505			
	Ι.	Net gain or (loss) from sale of a Gross sales price for all		-505.	.		1/50
Revenue	_b	assets on line 6a	99,961.			RECE	IVED
S é	7	Capital gain net income (from f	•		0.		T
j	8	Net short-term capital gair	11			S MAY 2	1 2012 3
	100	Income modifications Gross sales less returns				7 1 11111	
=	10a					200	
5	l	Less Cost of goods sold [Gross profit or (loss)	-			- OGDI	114, 01
3	11	Other income					
	12	Total. Add lines 1 through	h 11	342,702.	28,112.		
	13	Compensation of officers, dire		0.	0.		0.
	14	Other employee salaries a	·				1
	15	Pension plans, employee	•				
Ses	ı	Legal fees	STMT 2	2,256.	1,128.		0.
ĕ	1	Accounting fees					
찞	C	Other professional fees					
Š	17	Interest					
Operating and Administrative Expenses	18	Taxes	STMT 3	443.	111.		0.
nist	19	Depreciation and depletion	n				
Ē	20	Оссиралсу					
Ι¥α	21	Travel, conferences, and r	meetings				
and	22	Printing and publications					
ng (23	Other expenses	STMT 4	37,745.	0.		0.
rati	24	Total operating and admi					
þe		expenses. Add lines 13 th	-	40,444.	1,239.		0.
J	25	Contributions, gifts, grant		101,900.			101,900.
	26	Total expenses and disbu	ursements.				
		Add lines 24 and 25		142,344.	1,239.	•	101,900.
	27	Subtract line 26 from line	12:				
		Excess of revenue over expens		200,358.	0.5 0.75		
		Net investment income (#	•		26,873.		
	C	Adjusted net income (if ne	gative, enter -0-)	ll		N/A	

123501 12-02-11 LHA For Paperwork Reduction Act Notice, see instructions.

Fo	rm 99	20-8	8864136 Page 2		
Г	art	Balance Sheets Attached schedules and amounts in the description column should be for and-of-year amounts only	Beginning of year	End of	
느	uit	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing		30,113.	30,113.
	2	Savings and temporary cash investments	1,204,491.	1,123,664.	1,123,664.
	3	Accounts receivable ►			
	١.	Less; allowance for doubtful accounts		-	
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
		disqualified persons			
	7	Other notes and loans receivable			
		Less: allowance for doubtful accounts			
ets	8	Inventories for sale or use			
Assets	9	Prepaid expenses and deferred charges			
_	1	Investments - U.S. and state government obligations		166	45.000
		Investments - corporate stock STMT 5	0.	466.	15,033.
		Investments - corporate bonds STMT 6	621,805.	872,411.	884,204.
	11	Investments - land, buildings, and equipment basis			
		Less accumulated depreciation			
		Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis			
		Less accumulated depreciation			
	15	Other assets (describe			
	10	Total assets (to be completed by all filers)	1,826,296.	2,026,654.	2 052 014
_	17		1,020,290.	2,020,034.	2,053,014.
	18	Accounts payable and accrued expenses Grants payable			
	19	Deferred revenue			
Liabilities	20				
ij	21	Loans from officers, directors, trustees, and other disqualified persons Mortgages and other notes payable			
Ë	22	Other liabilities (describe)	-		
		Other Habilities (4050) ibc			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here			
		and complete lines 24 through 26 and lines 30 and 31.			
Ses	24	Unrestricted			
or Fund Balances	25	Temporarily restricted			
Ba	26	Permanently restricted			
pur		Foundations that do not follow SFAS 117, check here			
Ę		and complete lines 27 through 31.			
Š	27	Capital stock, trust principal, or current funds	0.	0.	
set	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
Net Assets	29	Retained earnings, accumulated income, endowment, or other funds	1,826,296.	2,026,654.	
Š	30	Total net assets or fund balances	1,826,296.	2,026,654.	
_	31	Total liabilities and net assets/fund balances	1,826,296.	2,026,654.	
P	art	III Analysis of Changes in Net Assets or Fund B	alances		
=	Total	net assets or fund balances at beginning of year - Part II, column (a), line	20	· I I	
'		st agree with end-of-year figure reported on prior year's return)	30	,	1,826,296.
2	-	r amount from Part I, line 27a		. 1	200,358.
3		r increases not included in line 2 (itemize)		3	
_		lines 1, 2, and 3		4	2,026,654.
		eases not included in line 2 (itemize)		5	2,020,034.
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	olumn (b), line 30	6	2,026,654.
					Form 990-PF (2011)

2-story brick w	cribe the kind(s) of property sold (e.g. arehouse; or common stock, 200 shs			(b) P D	How acquired - Purchase - Donation	(c) Date a (mo., da	acquired ay, yr.)	(d) Date sold (mo., day, yr.)
12				\vdash		_			
b SEE ATTACHED	STATEMENT			├					
<u>C</u>				-	<u> </u>				
d				1					
(e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)) (g)	
<u>a</u>		· ·-							
b c									
d				-					- -
e 99,961.			100,46	6			<u>.</u>		-505.
	ng gain in column (h) and owned by t	he foundation		•		m e	Sains (Co	ol. (h) gaın	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) E×	cess of col. (ı)			còl. (I	k), but n	ot less than from col. (1 - 0-) or
<u>a</u>			_			•			
<u>b</u>									
d .									
e							<u> </u>		-505.
	apital loss) If gain, also enter	ın Part I, line	7	7					
2 Capital gain net income or (net ca	•		7	7	2			_	-505.
3 Net short-term capital gain or (lo If gain, also enter in Part I, line 8,	ss) as defined in sections 1222(5) an	d (6):		٦١					
If (loss), enter -0- in Part I, line 8				 	3			N/A	
	Inder Section 4940(e) for	Reduced	Tax on Net	Inv	estment	Inco	me		
	this part blank. Stion 4942 tax on the distributable am Ilify under section 4940(e). Do not col		=	rıod?	,				Yes X No
	each column for each year; see instru			es.	·				
(a) Base period years Calendar year (or tax year beginni			Net value of no	(c) nchar	ritable-use as			Distrib col. (b) div	(d) ution ratio ided by col. (c))
2010		8,168.			60,0				.635879
2009		1,409.			<u>,349,9</u>				.052899
2008		5,881.		_1	,053,0				.053066
2007 2006	1	<u>2,100.</u>			363,0	<u> 25.</u>			.033331
2000									-,
2 Total of line 1, column (d)							2		.775175
3 Average distribution ratio for the the foundation has been in existe	5-year base period - divide the total o ence if less than 5 years	n line 2 by 5,	or by the number	of ye	ars		3		.193794
	ble-use assets for 2011 from Part X, I	ıne 5					4		
5 Multiply line 4 by line 3							5		0.
6 Enter 1% of net investment incor	me (1% of Part I, line 27b)						6		269.
7 Add lines 5 and 6	•						7		269.
8 Enter qualifying distributions from	n Part XII, line 4						8		101,900.
If line 8 is equal to or greater than See the Part VI instructions.	n line 7, check the box in Part VI, line	1b, and comp	lete that part usin	g a 19	% tax rate.				

18 Everyl depertains (conditions described in section 4940(d)(2), check here ▶ ■ and enter NW con last 1. Obtin of ryling or determination letter. (stated copy of letter if necessary see instructions) D Dimestic conditions that meet the section 4940(e) requirements in Part V, check here ▶ □ XI and enter 1% of Part, Line 27. of Part, Line 27. a All other domestic (conditions that section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 2 Tax used or section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 Add lines 1 and investment incense. Subtract line 4 from line 3. If zero or less, enter -0- 5 Tax based on investment incense. Subtract line 4 from line 3. If zero or less, enter -0- 5 Cedisfr-9ymentic. 2 2011 estimated tax payments and 20 10 overpayment credited to 2011 5 Everyl foreign organizations - tax withheld at source 1 any part of the papelaction for extension of time to let (Form 8668) 6 Bed	orm 990- Part V	PF (2011) NEWELL D. HALE FOUNDATION I Excise Tax Based on Investment Income (Section 494)	0(a), 4940(b), 4	1940(e), or 4	<u>20-</u> 948	88641 - see in	. 36 stru	ction	Page 4 1 5)
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ▼ and enter 1% of Part I, line 27b Part I line 27b All other domestic foundations enter 2% of lare 27b. Exempl foreign organizations enter 4% of Part I, line 12c, cot (b). 2	1a Exen		1	<u> </u>					
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and onter 1% of Part I, line 2/b. Part I line 2/b. Part I line 1/b. Part I l				ons)					
All other domestic foundations enter 2% of the 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). 2					1			2	69.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 2.69 . 4 3.269 . 4 Subtille A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 5 Tax based on investment income. Subtract line 4 from line 3. It zero or less, enter -0- 6	of Pa	art I, line 27b							
3 Add lines 1 and 2 Subtitle A (incomo) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 15 Tax hazed on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 15 Tax hazed on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 16 Ceredist/Payments: 2 2011 estimated bax payments and 2010 overpayment credied to 2011 Exempt foreign organizations - tax withheld all source 16 Enter any papicitation for extension of line to life (Form 8868) 16 Enter any papicitation for extension of line to life (Form 8868) 17 Total credits and payments. Add lines 6a through 6d 18 Eactup withholding erroneously withheld 19 Tax dee. If the total of lines 5 and 8 is more than line 7, enter amount ower 20 Total credits and payments. Add lines 6a through 6d 21 Enter early penalty for underpayment of estimated tax. Check here □ if Form 2220 is attached 22 Tax dee. If the total of lines 5 and 8 is more than line 7, enter amount ower paid 24 Enter the amount of line 10 to be; Credited to 2012 estimated tax ▶ 25 Tax 10 Lines 5 and 8 is more than	c All o	ther domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4%	of Part I, line 12, co	l. (b).					
4 Subtitlé A (nocome) lax (domestos exclons 4947(a)(1) trusts and taxable foundations only, Others enter-0-) 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter-0- 6 Credis/Payments: 2 2011 estimated tax payments and 2010 overpayment credited to 2011 6 Bezenpt foreign organizations - tax withheld at source 6 Tax paid with application for extension of time to file (Form 8966) 6 Exempt foreign organizations - tax withheld at source 7 Total credits and payments, Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here ☐ If Form 2220 is attached 9 Tax des. If the total of lines 5 and 8 is more than file 7, enter amount owed 9 Tax des. If the total of lines 5 and 8 is more than file 7, enter amount owed 9 Tax des. If the total of lines 5 and 8 is more than file 7, enter amount owerpaid 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or influence in 11 Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or influence in 11 Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or influence in 11 Part VII-A Statements Regarding Activities 1b During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or influence in 11 Part VII-A Statements 8 (20 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 1c During the lax year, did the foundation in connection with the activities and copies of any materials published or distributed by the foundation fue year? 1th earnount (if any) of tax on political expenditure in the activities and co	2 Tax	under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Ot	thers enter -0-)		2				0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- Credits/Payments: a 2011 estimated tax payments and 2010 overpayment credited to 2011 b Exempt foreign organizations - tax withheld at source c Tax paid with applicant for sextension of time to file (Form 8669) d Backup withholding erroneously withhold f Total credits and payments. Add lines 6a through 6d B Enter any penalty for underpayment of estimated tax. Check here ☐ If Form 2220 is attached B Enter any penalty for underpayment of estimated tax. Check here ☐ If Form 2220 is attached B Enter any penalty for underpayment of estimated tax. Check here ☐ If Form 2220 is attached B Tax dee. If the total of lines 5 and 8 is more than line 7, enter amount owed D Verpayment, lift nor 5 more than the total of lines 5 and 8, enter the amount overpaid D Verpayment, lift nor 5 more than the total of lines 5 and 8, enter the amount overpaid D Verpayment, lift nor 5 more than 1 to 10 be. Credited to 2012 estimated tax B Z 269. 10 Enter the amount of line 10 to be. Credited to 2012 estimated tax B Z 269. 11 Enter the amount of line 10 to be. Credited to 2012 estimated tax B Z 269. 12 During the tax year, old the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 13 During the tax year, old the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 14 During the tax year, old the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 14 During the tax year, old the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any intervenent in any political campaign? 15 Did the foundation file Form 1120-POL for this yea? 26 Enter the amount (if any) or tax on political expenditures (section 4955) imposed during the year: 27 If Yes,	3 Add	lines 1 and 2			3			2	69.
6 CreditsPayments: a 2011 estimated tax payments and 2010 overpayment credited to 2011 b Exempt foreign organizations: -tax withheld at source c Tax pad with application for extension of time to file (Form 8868) 6 c 6 d 7 Total credits and payments. Add times 6 at through 6d 7 Total credits and payments. Add times 6 at through 6d 8 Tax due, If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Tax due, If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Tenter the amount of file 10 to be Credited to 2012 (set atimated tax b 10 Tax due, If the total of lines 5 and 8 is more than line 7, enter amount overpaid 11 Tenter the amount of file 10 to be Credited to 2012 (set atimated tax b 10 Tax due, If the total of lines 5 and 8 is more than line 7, enter amount overpaid 11 Tenter the amount of file 10 to be Credited to 2012 (set atimated tax b 10 Tax due, If the organizer of the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 12 In the answers "Yes" to 1 ar 0 th, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 13 In the answers "Yes" to 1 ar 15, attach a detailed description of the activities. 14 In the answers "Yes" to 1 ar 15, attach a detailed description of the activities. 15 On. 1 Tenter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: 16 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: 17 In the foundation in Form 112-POIL for the year? 18 In the foundation made any changes, not previously reported to the IRS? 19 In the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other smilar instruments? If "Yes," attach a co	4 Subt	itle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. O	thers enter -0-)		4				
a 2011 estimated tax payments and 2010 overpayment credited to 2011 b Exempt foreign organizations - tax withheld at source c Tax paid with application for extension of time to title (Form 8668) d Backup withholding erroneously withheld 7 Total credits and payments. Add times 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here If Form 2220 is attached 9 Tax due. If the total of lines 5 and 8 in more than line 7, enter amount owed 9 Total credits. Time 7 is more than the total of lines 5 and 8, enter the amount owerpaid 10 Tentre the amount of lines 5 and 8 in more than the more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ▶	5 Tax	based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			5			2	<u>69.</u>
Exempt foreign organizations - tax withheld at source © Tax paid with application for extension of time to file (form 8868) © Backup withholding erroneously withheld 7 Total credits and payments. Add lines 6s through 6d 8 Tax dae. If the total of lines 5 and 8 is more than line 7, enter amount owed 9 Tax dae. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Tenter the amount of line 10 to 6; credite to 2 2012 estimated tax. 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or interview in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or interview in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or interview in any political campaign? 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 1b X If the answer is "Yea" to 1 ar or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 1c Did the foundation form 1120-POL for this year? 1c Enter the reimbursement (if any) paid by the foundation during the year? 1c) On the foundation mapaged in any activities that have not previously been reported to the IRS? 2 X X If "Yes," attach a detailed description of the activities. 3 Has the foundation have unrelated business gross is someone of \$1,000 or more during the year? 1r Yes, "attach the statement required by General instruction T. 4 Are the requirements of section 508(c) (relating to sections 4941 through 4945) satisfied either: 8 Is larter the states to which the foundation reports or with which it is registered (see					ŀ				
E Tax paid with application for extension of time to file (Form 8868) d Backup withholding errorecusly withhold 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here	a 2011	estimated tax payments and 2010 overpayment credited to 2011	6a						
d Backup withholding erroneously withhold 7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here	b Exen	npt foreign organizations - tax withheld at source	6b						
7 Total credits and payments. Add lines 6 at through 6d 8 Enter any penalty for destinated tax. Check here if Form 2220 is attached 9 Tax dee. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 1f the answer is *Yes* to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 2 Did the foundation file Form 1120-POL for this year? 2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. 3 In a the foundation of the activities of the individual of the individual of the individual of the activities of the individual of the i	c Tax	oaid with application for extension of time to file (Form 8868)	6c						
8 Enter the amount (if any) paid by the foundation flar year (althor the rembursement (if any) paid by the foundation during the year (if) on the foundation have unrelated business gross income of \$1,000 or more during the year? 14 Bite foundation have a tile and activities that the foundation have unrelated business gross income of \$1,000 or more during the year? 15 Was there all quildution, termination, dissolution, or substantial contraction during the year? 17 Did the foundation have at least \$5,000 in assets at any time during the year? 18 Did the spanning instrument? 19 Tyes, "complete Part II, col. (c), and Part XV. 10 Did the spanning instrument or years and addressess to the to tax become the read representation for Part XIV)? If "Yes," complete Part XIV yers and addressess to 10 did any ports and addressess to 10 did any ports one become substantial controllours during the tayer? in the tax substantial controllours or parts out in the manum of the part in the manum of the activations of the activation of t	d Back	up withholding erroneously withheld	6d						
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ▶ Refunded ▶ 11 12 Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign. 1b During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in the activities. 1b During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in the activities. 1c During the tax year, did the foundation matempt to influence any national, state, or local legislation or did it participate or intervene in the activities. 1c During the tax year, did the foundation matempt to influence any national, state, or local legislation or detailed description of the activities. 1c During the tax year, did the foundation matempt to influence any national participate o	7 Tota	credits and payments. Add lines 6a through 6d			7				0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be: Gredited to 2012 estimated tax ▶ 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 13 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 14 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 15 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 16 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 18 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political expenditure tax intervenes or intervene in any political expenditure tax intervenes and copies of any materials published or distributed by the foundation in the search titles and continued the search titles and continued to the search titles and continued the search titles and continued to the search and etailed description of the activities. 19 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 10 A way the equipments of section 508(e) (relating to sections 4941 through 4945) satisfied either: 10 By language in the governing instrument, or 10 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument.	8 Ente	r any penalty for underpayment of estimated tax. Check here if Form 2220 is attac	ched		8_				
11 Enter the amount of lime 10 to be; Credited to 2012 estimated tax ▶ Refunded ▶ 11 Part VII-A Statements Regarding Activities 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1 b Did is spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? 1 the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. 2 bid the foundation in Form 1120-PDL for this year? 3 the filter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. Enter the reimbursement (if any) paid by the foundation managers. ▶ \$ 0. Enter the reimbursement (if any) paid by the foundation furne that a very reversement and any charges, not previously reported to the IRS? If "Yes," attach a detailed description of the activities. 2	9 Tax	due. If the total of lines 5 and 8 is more than line 7, enter amount owed		>	9			2	<u>69.</u>
Part VII-A Statements Regarding Activities	10 Over	payment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		, ▶	10				
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaigin? b Did it spend more than \$100 during the year (either directly) or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-PDL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$	11 Enter	the amount of line 10 to be: Credited to 2012 estimated tax		Refunded >	11	L			
any political campaign? b Did it spend more than \$100 during the year (either directly) or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities and copies of any materials published or distributed by the foundation in connection with the activities and copies of any materials published or distributed by the foundation in connection with the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-PQL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation engaged in any activities that have not previously been reported to the IRS? b Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. Solve the surface of the activities. 1 to X 1 to X 4 the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 1 to the state the foundation made any changes, not previously reported to the IRS? If "Yes," attach the detailed description of the activities. 1 to the foundation have unrelated business gross income of \$1,000 or more during the year? If "Yes," attach the statement required by General Instruction T. A rether equirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 9 By language in the governing instrument, or 9 By state legislation that a effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 1 Type the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 2 Enter th								· ·	
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," statich a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? N/A b) If "Yes," has it flied a tax return on Form 990-T for this year? N/A 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction 7. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instruc			lation or did it partici	pate or intervene	ın			Yes	
## the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. © Did the foundation file Form 1120-POL for this year? © Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0. © Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? ##Yes, "attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? ##Yes, "attach a conformed copy of the changes 4a bit the foundation have unrelated business gross income of \$1,000 or more during the year? 4b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? ### "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument, or By the foundation have at least \$5,000 in assets at any time during the year? ### "Yes," complete Part II, co		, -					1a		<u>X</u>
distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-PQL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$			-	•			1b		<u>X</u>
c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ O. (2) On foundation managers. ► \$ O. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ O. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4 Did the foundation have unrelated business gross income of \$1,000 or more during the year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument? • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? • By language in the governing instrument? • Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 2 Enter the states to which the foundation reports or with which it is registered (see instructions) CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes,"			d copies of any ma	itenals publishe	ed or	1			
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4 Did the foundation have unrelated business gross income of \$1,000 or more during the year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 8 If "Yes," attach the statement required by General Instruction T. 9 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 9 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument, or 9 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 10 If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CTT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Altorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 1 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any pers		•							
(1) On the foundation. (1) On the foundation. (2) On foundation managers. (3) C. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4b If Yes, has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 8 by language in the governing instrument, or 9 by state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) 1 If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction GP If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(i)(3) or 4942(i)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," stanch a schedule listing ther names and addresses		•				 	1c		<u>X</u>
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0.2 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 6 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ■ By language in the governing instrument, or ■ By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? if "Yes," attach a schedule listing their names and addressess 10 X				•		}			
managers. \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \									
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) C'IT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? ir "Yes," attach a schedule listing their names and addresses			ture tax imposed on	foundation					
If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4b If "Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 18 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 19 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 19 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 19 Was there a liquidation, termination, dissolution, or substantial contraction of T. 20 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: 21 By language in the governing instrument, or 22 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 3 X 4a X 4b X 4b X 4c X 4c X 4c X 4c X 4c X 4c X 4d X 4						i	_		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 5 If "Yes," has it filed a tax return on Form 990-T for this year? 6 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 7 Was the the statement required by General Instruction T. 8 By language in the governing instrument, or 8 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? 8 If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X			357			-	2		<u> </u>
bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year? N/A 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X									
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a tax return on Form 990-T for this year? Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? if "Yes," attach a schedule listing their names and addresses 10 X				f incorporation, c	or				
b If "Yes," has it filed a tax return on Form 990-T for this year? Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Ba Enter the states to which the foundation reports or with which it is registered (see instructions) CT If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10						-			
5		•	· ·		N	./*			
If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ■ By language in the governing instrument, or ■ By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? it "Yes," attach a schedule listing their names and addresses 10 X		· · · · · · · · · · · · · · · · · · ·			14	/A			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? Ir, "Yes," attach a schedule listing their names and addresses 10 X						-	-		
 By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Enter the states to which the foundation reports or with which it is registered (see instructions) CT If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses X 			thor			Ì			
By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? 8 If "Yes," complete Part II, col. (c), and Part XV. 8 Enter the states to which the foundation reports or with which it is registered (see instructions) ► CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X			uici.						
remain in the governing instrument? 7 Did the foundation have at least \$5,000 in assets at any time during the year? 8 If "Yes," complete Part II, col. (c), and Part XV. 8 Enter the states to which the foundation reports or with which it is registered (see instructions) CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X	-		v directions that conf	flict with the ctate	law				
7 X If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X			y directions that com	inct with the state	IAVV		ا ۽	ļ	Y
If "Yes," complete Part II, col. (c), and Part XV. 8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X						 		Y	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X						-		^	
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X			ne) 🕨						
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X		· · · · · · · · · · · · · · · · · · ·							
of each state as required by General Instruction G? If "No," attach explanation 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 9 X 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X			ttorney General (or d	esignate)					
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X			Gonorai (oi u	igilatoj			86	$_{\mathbf{x}}$	
year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X		•	ction 4942(i)(3) or 4	942(i)(5) for cale	ndar	-			
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses 10 X				=(1)(0) 101 0010			ا و	i	x
			-	1 addressee		F			
		a solitoria	g , who are			Form		-PF (

orm 990-PF (2011) NEWELL D. HALE FOUNDATION			20-88641	36	Page 6		
Part VII-B Statements Regarding Activities for Which	Form 4/20 May Be F	Required (contin	ued)				
5a During the year did the foundation pay or incur any amount to:							
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	• • • •		es X No				
(2) Inflyence the outcome of any specific public election (see section 4955); of	or to carry on, directly or indire						
any voter registration drive?			es 🔀 No				
(3) Provide a grant to an individual for travel, study, or other similar purposes		Y€	es X No				
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section						
509(a)(1), (2), or (3), or section 4940(d)(2)?		Ye	es X No				
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for							
the prevention of cruelty to children or animals?							
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify unit	der the exceptions described i	ın Regulations					
section 53.4945 or in a current notice regarding disaster assistance (see instru	ictions)?		N/A	5b			
Organizations relying on a current notice regarding disaster assistance check h	nere						
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption f	rom the tax because it mainta	ıned					
expenditure responsibility for the grant?	N	I/A 🔲 Ye	es 🔲 No				
If "Yes," attach the statement required by Regulations section 53.494	5-5(d).			i			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on			İ			
a personal benefit contract?		Ye	es 🗶 No				
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?			6ь	X		
If "Yes" to 6b, file Form 8870.							
7a At any time during the tax year, was the foundation a party to a prohibited tax s	helter transaction?	Y6	es X No	- [
b If "Yes," did the foundation receive any proceeds or have any net income attribu	utable to the transaction? .		N/A	7b			
Part VIII Information About Officers, Directors, Trust	ees, Foundation Ma	nagers, Highly	у				
Part VIII Paid Employees, and Contractors							
List all officers, directors, trustees, foundation managers and their	, <u></u>		<u>-</u>				
A Misses and address	(b) Title, and average hours per week devoted	(c) Compensation	(d) Contributions to employee benefit plans and deterred	(e) E	xpense int, other		
(a) Name and address	to position	(If not paid, enter -0-)	and deferred compensation	allo	wances		
ALICE U. HALE	TRUSTEE	•					
507 POMFRET STREET							
POMFRET CENTER, CT 06259	2.00	0.	0.		0.		
LAURENCE N. HALE, II	TRUSTEE						
739 POMFRET STREET							
POMFRET CENTER, CT 06259	1.00	0.	0.		0.		
SYLIVA S. DANENHOWER	TRUSTEE						
321 DEERFIELD ROAD							
POMFRET, CT 06258	1.00	0.	0.		0.		
,				_			
Compensation of five highest-paid employees (other than those inc	luded on line 1). If none,	enter "NONE."					
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) E	xpense int, other		
(a) Name and address of each employee paid more than \$50,000	devoted to position	(c) Compensation	and deferred compensation	allo	wances		
NONE							
	100						
				-			
otal number of other employees paid over \$50,000		<u> </u>			0		
			Form	990-P	F (2011)		

orm 990-PF (2011) NEWELL D. HALE FOUNDATION	20-	8864136 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	on Managers, Highly	
Five highest-paid independent contractors for professional services. If none, enter "	NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
20000		
otal number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities		<u>▶</u> 0
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistic number of organizations and other beneficiaries served, conferences convened, research papers produc	il information such as the ed. etc.	Expenses
N/A		
-1/		
	 	
	 ·	
Part IX-B Summary of Program-Related Investments		<u></u>
Describe the two largest program-related investments made by the foundation during the tax year on lin	es 1 and 2	Amount
N/A	00 1 4114 E.	741100114
	-	
		. ,
All other program-related investments. See instructions.		
otal. Add lines 1 through 3	•	0.
		Form 990-PF (2011)

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations	ndations, s	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
	Average monthly fair market value of securities	1a	0.
b		1b	
C	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	0.
e	Reduction claimed for blockage or other factors reported on lines 1a and		
Ī	1c (attach detailed explanation) 1e 0 •		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	0.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	0.
6	Minimum investment return. Enter 5% of line 5	6	0.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are foreign organizations check here and do not complete this part.)	nd certain	
1	Minimum investment return from Part X, line 6	1	0.
2a	Tax on investment income for 2011 from Part VI, line 5		
b	Income tax for 2011. (This does not include the tax from Part VI.)	1	
C	Add lines 2a and 2b	2c	269.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	0.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	0.
6	Deduction from distributable amount (see instructions)	6	0.
7_	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	0.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	101,900.
þ	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	101,900.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	269.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	101,631.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation (4940(e) reduction of tax in those years.	qualifies for	the section

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI,				
line 7				0.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:				
,,		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008 3,347.				
d From 2009 4,396.				
e From 2010 35,831.				
f Total of lines 3a through e	43,574.			
4 Qualifying distributions for 2011 from				
Part XII, line 4: ►\$ 101,900.			_	
a Applied to 2010, but not more than line 2a			0.	,
b Applied to undistributed income of prior		_		
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus	_			
(Election required - see instructions)	0.			
d Applied to 2011 distributable amount	101 000			0.
e Remaining amount distributed out of corpus	101,900.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	145,474.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable		0.		
amount - see instructions		0.		
e Undistributed income for 2010. Subtract line				<u>.</u>
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2012				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012.	-			
Subtract lines 7 and 8 from line 6a	145,474.			
0 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008 3,347.				
c Excess from 2009 4,396.				
d Excess from 2010 35,831.				
e Excess from 2011 101,900.				5 000 PE (0044)

123581 12-02-11

	D. HALE FOU				864136 Page 10
Part XIV Private Operating F	oundations (see ins	structions and Part V	II-A, question 9)	N/A	
1 a If the foundation has received a ruling o					
foundation, and the ruling is effective fo			▶		
b Check box to indicate whether the found		ng foundation described		4942(j)(3) or	4942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
investment return from Part X for	!				
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					_
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:		•			
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,			}		
dividends, rents, payments on					
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public			 	- · · · · · · · · · · · · · · · · · · 	-
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization			1		
(4) Gross investment income				- 	
Part XV Supplementary Info	rmation (Comple	te this part only	if the foundation	n had \$5.000 or r	nore in assets
at any time during t				40,000 01 1	
1 Information Regarding Foundatio	n Managers:		***		
a List any managers of the foundation who	o have contributed more	than 2% of the total con	tributions received by th	e foundation before the o	lose of any tax
year (but only if they have contributed in	iore than \$5,000). (See se	ection 507(d)(2).)			•
SEE STATEMENT 7	<u> </u>				
 b List any managers of the foundation who other entity) of which the foundation has 	o own 10% or more of the a 10% or greater interes	e stock of a corporation it.	(or an equally large port	ion of the ownership of a	partnership or
NONE	•				
2 Information Regarding Contributi	on Grant Gift Loan	Scholarship etc. P	rograme:		
Check here X if the foundation of the foundation makes gifts, grants, etc. (nly makes contributions t	o preselected charitable	organizations and does	not accept unsolicited re	quests for funds. If
a The name, address, and telephone numl					···=
		approaciono onodia be			
b The form in which applications should b	e submitted and informat	ion and materials they s	hould include:		
c Any submission deadlines:					
d Any restrictions or limitations on awards	s, such as by geographica	i areas, charitable fields	, kınds of ınstitutions, or	other factors:	<u> </u>
123601 12-02-11	-				Form 990-PF (2011)

| Part XV | Supplementary Information (continued) Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, show any relationship to Foundation Purpose of grant or status of contribution Amount any foundation manager or substantial contributor Name and address (home or business) recipient a Paid during the year CONNECTICUT AUDUBON NONE CHARITABLE 2325 BURR STREET FAIRFIELD CT 06824 1,000. DAY KIMBALL HOSPITAL NONE CHARITABLE 320 POMFRET STREET PUTNAM, CT 06260 1,500. OVCC FOUNDATION NONE CHARITABLE 742 UPPER MAPLE STREET DANIELSON, CT 06239 3,500. COMMONWEALTH CARES NONE CHARITABLE 400. YMCA OF GREATER HARTFORD NONE CHARITABLE 241 TRUMBULL STREET HARTFORD CT 06103 80 000 SEE CONTINUATION SHEET(S) Total ➤ 3a 101,900 **b** Approved for future payment NONE Total

	Unrelated b	Unrelated business income Excluded by			(e)
iter gross amounts unless otherwise indicated.	(a) Business	(b) Amount	(C) Exclu- sion	(d) Amount	Related or exempt function income
Program service revenue:	code	711100111	code	Amount	Tunction income
<u> </u>	-				
b			 		
C	-			_ _	
d	-				
e	-				
f	-				
g Fees and contracts from government agencies			-		
Membership dues and assessments	<u> </u>		\bot		
Interest on savings and temporary cash					
investments					······································
Dividends and interest from securities			14	28,112.	
Net rental income or (loss) from real estate:					
a Debt-financed property			<u> </u>		
Not debt-financed property					
Net rental income or (loss) from personal					
property					
Other investment income					
Gain or (loss) from sales of assets other					
than inventory					-505
Net income or (loss) from special events					
Gross profit or (loss) from sales of inventory					-
Other revenue:					
a	_				
b					
C		•			
d	l l				
e					
Subtotal. Add columns (b), (d), and (e)		0		28,112.	-505
Total. Add line 12, columns (b), (d), and (e)				13	
ee worksheet in line 13 instructions to verify calculations.)					
		plishment of I		B	· · · · · · · · · · · · · · · · · · ·

Part	XVII	Information Re Exempt Organ		sfers To	and T	ransactions a	and Relations	hips With Noncha	ritable	₽	
1 D	ıd the or	ganization directly or indi		of the following	na with	any other organization	on described in sect	ion 501(c) of	1	Yes	No
	•	other than section 501(c						1011 00 1(0) 01		1.00	
		from the reporting found									
) Cash	·			•				1a(1)		х
(2	?) Other	assets							1a(2)		X
•	•	sactions:									
(1) Sales	of assets to a noncharita	ble exempt organiza	ition					1b(1)		Х
		hases of assets from a no							1b(2)		X
(3) Rental of facilities, equipment, or other assets									1b(3)		Х
(4) Reim	bursement arrangements							1b(4)		X
(5) Loan	s or loan guarantees							1b(5)		X
(6) Perfo	rmance of services or me	mbership or fundra	ısıng solicitatio	ons				1b(6)		X
		f facilities, equipment, ma							1c	·	X
0	service		oundation. If the fou	ndation receiv	ed less			narket value of the goods, n or sharing arrangement, s		sets,	
(a) Line		(b) Amount involved	, , , , , , , , , , , , , , , , , , , 			ot organization	(d) Description	of transfers, transactions, and	charing ar		
(4) 0110	-	(b) / intodite involved	(c) Hame o	N/A	c cxcmp	or garnzation	(b) Description	o transfers, transactions, and	snaring ar	rangeme	nts
	_			M/A							
				<u> </u>			· · · -				
											
			-								
		•			•					_	
						-					
			•								
								<u></u>			
							<u> </u>				
ın	section	ndation directly or indirect 501(c) of the Code (other amplete the following sch	than section 501(c				zations described		Yes	X] No
<u> </u>	163, 66	(a) Name of org			(b) T	pe of organization	1	(c) Description of relations	hin		
	-	N/A			(5)	ypo or organization	'	(v) Bootiphon of foldhons			
		14/11								-	
											
								······································			
			1.04								
	Under	penalties of perjury, I declare	that I have examined th	ıs return, ıncludın	ig accom	panying schedules and	statements, and to the	best of my knowledge	y the IRS o	diecilee t	hie
Sign Here		lies it is true, correct, and con	rdiffe pediatetion of pr	eparer (other that	n taxpay	or) is based of all inform	TRUSTE	retus any knowledge retus	with the bwn below	e prepare (see inst	Br
	Sign	ature of officer or trustee				Date / /	Title				
		Print/Type preparer's na	ime	Preparer's s	ignature	9	Date	Check if PTIN			
					ويستنير	6		self- employed			
Paid		SCOTT C CA		1000	rell		05/ 14/12		0028		
_	arer Only	Firm's name ► BOW	DITCH & D	EWEY,	LLP		_	Firm's EIN ► 04-2	2976	74	
		Firm's address ► 31	1 MAIN ST RCESTER,				56	Phone no. (508	\701	_ 2 E .	 1 1
								Timoreno. 1900	<u>, , , , , , , , , , , , , , , , , , , </u>	<u> </u>	<u></u>

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

Schedule of Contributors

Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Employer identification number

20-8864136 NEWELL D. HALE FOUNDATION Organization type (check one): Filers of Section: Form 990 or 990-EZ 501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization Form 990-PF 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. General Rule For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. **Special Rules** For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III. For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

NEWELL D. HALE FOUNDATION

20-8864136

4124411	E D: HADE POUNDATION		7-0004130
Part I	Contributors (see instructions). Use duplicate copies of Part I if additio	nal space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ALICE U. HALE 507 POMFRET STREET POMFRET CENTER, CT 06259	- \$	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
2	HALE CHARITABLE LEAD TRUST 507 POMFRET STREET POMFRET CENTER, CT 06259	\$ 300,000.	Person X Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>3</u>	LAURENCE N AND JANE HALE 739 POMFRET STREET POMFRET CENTER, CT 06259	\$15,095.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Onncash Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

NEWELL D. HALE FOUNDATION

20-8864136

art II Nonc	ash Property (see instructions). Use duplicate copies of F	Part II if additional space is needed	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		 \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		 \$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		*	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
453 01-23-12		 \$	90, 990-EZ, or 990-PF) (

Name of orga	nization		Employer identification number
NEWELL Part III	D. HALE FOUNDATION Exclusively religious, charitable, etc., indiv	vidual contributions to section 50	20-8864136 1(c)(7), (8), or (10) organizations that total more than \$1,000 for the ations completing Part III, enter
	the total of exclusively religious, charitable, et	c., contributions of \$1,000 or less	ations completing Part III, enter for the year. (Enter this information once) \$
(a) No. from Part I	Use duplicate copies of Part III if addition (b) Purpose of gift	al space is needed. (c) Use of gift	(d) Description of how gift is held
		(e) Transfer of g	
-	Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	Transferee's name, address, ar	(e) Transfer of g	gift Relationship of transferor to transferee
(a) No.			
from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-		(e) Transfer of g	gift
-	Transferee's name, address, ar		Relationship of transferor to transferee
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
-	Transferee's name, address, ar	(e) Transfer of g	Relationship of transferor to transferee
			

Part XV Supplementary Informati 3 Grants and Contributions Paid During th		•		
• Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	status of recipient	contribution	Amount
AMERICARES	NONE		CHARITABLE	
				5,00
HOBART & WILLIAM SMITH COLLEGE	NONE		CHARITABLE	
SENEVA, NY 14456				2,00
POMFRET SCHOOL	NONE		CHAD I MARK II	
PO BOX 128	NONE		CHARITABLE	
POMFRET, CT 06258				6,50
HABITATE FOR HUMANITY NECT	NONE		CHARITABLE	
PUTNAM, CT 06260				1,00
PUTNAM ROTARY			CHARITABLE	
PO BOX 604 PUTNAM, CT 06260				1,00
-				
			-	
Tabal from an element on the second				
Total from continuation sheets				15,50

			IUATION FOR	· ·	
NEWELL D. HALE F	OUNDATION sses for Tax on Investment Income	20-886	54136 P	AGE 1	<u> OF 1</u>
	describe the kind(s) of property sol	d a n real estate	(b) How acquired	(c) Date acquired	(d) Date sold
2-story br	(b) How acquired P - Purchase D - Donation	(mo., day, yr.)	(mo., day, yr.)		
1a 25,000 FID GLO	P		09/23/11		
b 20 İBM	D		12/29/11		
c 2,125 NEUBERGE	P		09/23/11		
d 1,151 OAKMARK	FUND	<u>.</u>	P		09/23/11
e 100 PEPSICO			D D		12/29/11
f 80 YUM BRANDS			D	03/02/11	12/29/11
<u>g</u> h			-		
<u>k</u>				-	
1		<u> </u>			
<u>m</u>		-			
<u>n</u> 0					
(e) Gross sales price	(f) Depreciation allowed	(g) Cost or other basis		Gain or (loss)	<u> </u>
10.400	(or allowable)	plus expense of sale	(e) p	lus (f) minus (g)	6 510
a 18,490. b 3,704.		25,000. 312.			-6,510. 3,392.
c 22,513.	<u>,</u>	25,000.	· · · · · · · · · · · · · · · · · · ·		-2,487.
d 43,862.		50,000.			-6,138.
e 6,642.		132.			6,510.
f 4,750.		22.			4,728.
<u>g</u>					
h					
			-		
k					
i i					
m					
n					
0		10/04/00			
Complete only for assets snowin	g gain in column (h) and owned by t		(I) Los Gains (excess d	ses (from col. (h)) of col. (h) gain over	real (k)
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	but n	ot less than "-0-")	1 COI. (K),
<u>a</u>					6,510.
b				-	3,392.
<u>c</u> d					-2,487.
e					-6,138. $6,510.$
f					4,728.
g					
h					
<u>!</u>					
<u></u>					
k I					
m I					
n					
0					
	, If noin also enter	in Part I line 7			
Capital gain net income or (net cal	pital loss) { If gain, also enter -0	-" in Part I, line 7	2		-505.

If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

N/A

FORM 990-PF · DIVIDEN	DS AND	INTER	EST	FROM	SECU	RITIES	STATEMENT	1
SOURCE		GROSS AMOUNT		CAPITAL GAINS DIVIDENDS		COLUMN (A) AMOUNT		
COMMONWEALTH FINANCIAL B36-771023			28,	099.		0.	28,0	099.
PRIME FUND - CAPITAL RESERVE CLASS	.S	4 28,112.		13.	0.		13	
TOTAL TO FM 990-PF, PART I,	LN 4				0.	28,1	28,112.	
FORM 990-PF		LEGAL	FEI	ES			STATEMENT	2
DESCRIPTION	EXPE	A) NSES BOOKS		(B) INVI IT INC	EST-	(C) ADJUSTEI NET INCOM		
BOWDITCH & DEWEY - TAX PREPARATION		2,256.		1,	,128.			0.
TO FM 990-PF, PG 1, LN 16A		2,256.	_	1,	,128.			0.
ORM 990-PF TAXES				STATEMENT	3			
DESCRIPTION	EXPE	A) NSES BOOKS				(C) ADJUSTED NET INCOM		
IRS - EXCISE TAX FOREIGN TAX		332. 111.			0. 111.			0.
TO FORM 990-PF, PG 1, LN 18	-	443.			111.			0.

FORM 990-PF	OTHER E	STATEMENT	4			
DESCRIPTION	(A) (B) (C) EXPENSES NET INVEST- ADJUST PER BOOKS MENT INCOME NET INC				BLE ES	
PROGRAM MEAL EXPENSE CONSULTING PORTFOLIO SERVICES FEE OFFICE AND POSTAGE CLINTON RICHMOND	1,005. 28,375. 7,960. 30. 375.		0. 0. 0. 0.		0. 0. 0.	
TO FORM 990-PF, PG 1, LN 23	37,745.		0.		0.	
FORM 990-PF	CORPORAT	E STOCK		STATEMENT	5	
DESCRIPTION			BOOK VALUE	FAIR MARKE VALUE	T	
20 SHS IBM 100 SHS PEPSICO 80 SHS YUM BRANDS		-	312. 132. 22.	6,6		
TOTAL TO FORM 990-PF, PART II	, LINE 10B	_	466.	15,0	33.	
FORM 990-PF	CORPORAT	E BONDS		STATEMENT	6	
DESCRIPTION			BOOK VALUE	FAIR MARKE VALUE	T	
PIMCO LOW DURATION INSTITUTIONAL PIMCO TOTAL RETURN INSTITUTIONAL PIMCO LOW DURATION BOND TEMPLETON GLOBAL BOND			255,789. 266,015. 250,004. 100,603.	281,4 248,0	73. 56.	
TOTAL TO FORM 990-PF, PART II, LINE 10C			872,411.			

FORM 990-PF

PART XV - LINE 1A LIST OF FOUNDATION MANAGERS STATEMENT

NAME OF MANAGER

ALICE U. HALE LAURENCE N. HALE, II