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`Form 990-PF Department of the Treasury Internal Revenue Service

## Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052 2011

Foi	or calendar year 2011 or tax year beginning		, and ending		
Na	Name of foundation			A Employer Identification	n number
1	THE NAU FOUNDATION			20-8457303	
Nu	lumber and street (or P O box number if mail is not delivered to stre	et address)	Room/suite	B Telephone number	
_7	7777 WASHINGTON AVE			713-866-6323	· · · · · · · · · · · · · · · · · · ·
	City or town, state, and ZIP code			C If exemption application is p	ending, check here
_	HOUSTON, TX 77007-1037			2.4.5	
G	Check all that apply:	Initial return of a for	rmer public charity	D 1. Foreign organizations	s, check here
	Final return	Amended return Name change		Foreign organizations me 2. check here and attach co	seting the 85% test,
<del>_</del>	Check type of organization: X Section 501(c)(3)	exempt private foundation	<del></del>	1	
ï	Section 4947(a)(1) nonexempt charitable trust	Other taxable private foundat	hon	E If private foundation sta under section 507(b)(1)	. [ ]
ī	Fair market value of all assets at end of year J Accour		Accrual	F If the foundation is in a	• •
	·	Other (specify)		under section 507(b)(1)	. [ 1
		lumn (d) must be on cash b	asis)	( ,,, ,	. , , , , , , , , , , , , , , , , , , ,
P	Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not	(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements for charitable purposes
	necessarily equal the amounts in column (a) )	expenses per books	income	income	(cash basis only)
	1 Contributions, gifts, grants, etc., received	500,000.			
	2 Check I if the foundation is not required to attach Sch 8 interest on savings and temporary				
	3 cash investments		·····		
	4 Dividends and interest from securities	22,574.	22,574.		STATEMENT1
	5a Gross rents				
	Net rental income or (loss)	,			
īe	6a Net gain or (loss) from sale of asset photon line 10 00 Constant assets on line 6a	1 6 1			
Revenue	Gross sales price for all assets on line 6a  7 Capital gain net income (from Part IV, line 2)	rinns i	0.		
æ		7		<del></del>	<u> </u>
	9 Income modifications	112			
	10a Cross sales less laturish A	1 1			
	b Less Cost of goods sold c Gross profit or (loss)-				
	c Gross profit or (loss)-	, werice			
	11 Other income	6,979.	6,979.	·	STATEMENT2
	12 Total Add lines 1 through 11	529,553.	29,553.	0.	
	13 Compensation of officers directors trustees, etc	0.	0,	0.	0.
	14 Other employee salaries and wages 15 Pension plans, employee benefits	<del></del>			
S	. [	<u> </u>		<del></del>	
ŠUŠ	b Accounting fees STMT 3	2,000.	1,500.	0.	500.
Expenses	4 Other professional fees				<del></del>
Operating and Administrative	8 Taxes				
퍨	Depreciation and depletion Occupand 1 6 2 0 1 2 Travel, conferences, and meetings				
<b>E</b>	20 Occupand 162012				<del></del>
4	1 Travel, conferences, and meetings				<u> </u>
뒴	29 Printing and publications 23 Other expenses TIN, TEXAS STMT 4	5 702	5 202	0.	
Ē	24 Total operating and administrative	5,703.	5,703.		0.
era	expenses. Add lines 13 through 23	7,703.	7,203.	0.	500.
Ö	25 Contributions, gifts, grants paid	298,333.	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		298,333.
	26 Total expenses and disbursements.				
	Add lines 24 and 25	306,036.	7,203.	0.	298,833.
	27 Subtract line 26 from line 12				
	& Excess of revenue over expenses and disbursements	223,517.			
	b Net investment income (if negative, enter -0-)		22,350.		
1235	C Adjusted net income (if negative, enter -0-)	<u> </u>		0.	000 =
	501. LUA For Dangrunde Baduction Act Notice and	. Inchustions			Form 000-DE (2011)

20-8457303

Dank	Balance Sheets Attached schedules and amounts in the description	Beginning of year	End of	year
Part I	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
11	Cash - non-interest-bearing	75,831.	272,853.	272,853.
2 :	Savings and temporary cash investments			
3 /	Accounts receivable ▶			
	Less; allowance for doubtful accounts			
	Pledges receivable >			
	_ess, allowance for doubtful accounts ▶			
	Grants receivable			
1 '	Receivables due from officers, directors, trustees, and other			
	disqualified persons			
	Other notes and loans receivable			
, , ,	ess allowance for doubtful accounts	İ		
	nventories for sale or use			
75 I	Prepaid expenses and deferred charges	<del></del>		
¥ 100 1	nvestments - U.S. and state government obligations	<del></del>		<del></del>
- 1	·	295,966.	313,671.	313,671.
		2,3,,500.	313,071.	313,071.
	nvestments - corporate bonds	<del></del>	<del></del>	<del></del>
11  r	nvestments - land, buildings, and equipment basis		ł	
	ess accumulated depreciation			
	nvestments - mortgage loans	1 224 522	1 252 522	1 000 000
	nvestments - other STMT 7	1,091,508.	1,069,629.	1,069,629.
1	and, buildings, and equipment basis			
	ess accumulated depreciation			
15 0	Other assets (describe)			
	otal assets (to be completed by all filers)	1,463,305.	1,656,153.	1,656,153.
	accounts payable and accrued expenses			
18 6	Grants payable		····	
ည္က 19 D	Deferred revenue			
<u>2</u> 20 ∟	oans from officers, directors, trustees, and other disqualified persons			
Liabilities 7 02 1 0	Nortgages and other notes payable			
그   22 C	Other liabilities (describe 🕨)			
23 T	otal liabilities (add lines 17 through 22)	0.	0.	
F	oundations that follow SFAS 117, check here			
	and complete lines 24 through 26 and lines 30 and 31.			
24 U 25 T 26 P	Inrestricted			
<u>ह</u>   25 ⊺	emporarily restricted			
	ermanently restricted			
일   F	oundations that do not follow SFAS 117, check here 🕒 🗴 🥤			
년 a	nd complete lines 27 through 31.	ĺ		
Net Assets or Fund  See See See See See See See See See Se	apital stock, trust principal, or current funds	0.	0.	
형 28 P	aid-in or capital surplus, or land, bldg , and equipment fund	1,463,305.	1,656,153.	
<b>₹</b> 29 R	etained earnings, accumulated income, endowment, or other funds	0.	0.	
⊉  30 T	otal net assets or fund balances	1,463,305.	1,656,153.	
_				
31_T	otal liabilities and net assets/fund balances	1,463,305.	1,656,153.	
Part II	Analysis of Changes in Net Assets or Fund Ba	alances		
1 Total no	et assets or fund balances at beginning of year - Part II, column (a), line 3	10		
(must a	agree with end-of-year figure reported on prior year's return)		1	1,463,305.
2 Enter a	mount from Part I, line 27a		2	223,517.
3 Other in	ncreases not included in line 2 (itemize)		3	0,
4 Add line	es 1, 2, and 3		4	1,686,822.
5 Decreas	ses not included in line 2 (itemize)   UNREALIZED GAIN ON INV	ESTMENTS	5	30,669.
6 Total ne	et assets or fund balances at end of year (line 4 minus line 5) - Part II, col	umn (b), line 30	6	1,656,153.

	FOUNDATION		<u></u>	20-84573	03 Page 3
	d Losses for Tax on Investmen	t Income	(b) How acquired	(a) Data assured	(d) Date sold
(a) List and describe 2-story brick wareh	the kind(s) of property sold (e.g., real estate, ouse; or common stock, 200 shs. MLC Co.)		P - Purchase D - Donation	(c) Date acquired (mo , day, yr )	(mo., day, yr.)
			<u> </u>		
b NONE					
C					
d			_		<u> </u>
e					<u> </u>
(e) Gross sales price		st or other basis expense of sale		(h) Gain or (los (e) plus (f) minus	
<u>a</u>					<del></del>
<u>b</u>					
c d					
6					
	ain in column (h) and owned by the foundation	n on 12/31/69		Gains (Col (h) gai	
(i) F.M V as of 12/31/69		xcess of col (i) r col (j), if any		(k), but not less th Losses (from col.	
a					
b					
С					
d					
e l			<del></del>		
Capital gain net income or (net capita	I loss)	7 : 7	} 2		
Net short-term capital gain or (loss) a If gain, also enter in Part I, line 8, coli					
If (loss), enter -0- in Part I, line 8	er Section 4940(e) for Reduced	Tay on Net	∫   3   Investment Inco	me	
	indations subject to the section 4940(a) tax or				
ror optional use by domestic private for	inductions subject to the section 4940(a) tax of	i net mvezunent mi	conte )		
section 4940(d)(2) applies, leave this p	part blank				
as the foundation liable for the section	4942 tax on the distributable amount of any y	ear in the base peri	od?		Yes X No
"Yes," the foundation does not qualify t	inder section 4940(e) Do not complete this pa	art			
,, ,	column for each year, see instructions before	making any entrie	S		
(a) Base period years	(b)	Not value of nor	(c)		(d) bution ratio
Calendar year (or tax year beginning i	<u> </u>	Net value of nor	icharitable-use assets	(col. (b) di	vided by col. (c))
2010	139,531.		1,444,009	•	.096628
2009	2,018.	ļ	888,789 559,534		.003607
2008	2,010.		22,402	<del></del>	.000000
2006			,-	1	• • • • • • • • • • • • • • • • • • • •
		<u> </u>		<u> </u>	
Total of line 1, column (d)				2	.168762
Average distribution ratio for the 5-ye	ar base period - divide the total on line 2 by 5,	or by the number of	of years		
the foundation has been in existence	if less than 5 years			3	.042191
Enter the net value of noncharitable-u	se assets for 2011 from Part X, line 5			4	1,722,370.
				_	72 660
Multiply line 4 by line 3				5	72,669.
Enter 1% of net investment income (	1% of Part I, line 27b)			6	224.
Add lines 5 and 6				7	72,893.
Enter qualifying distributions from Pa	rt XII, line 4			8	298,833.
If line 8 is equal to or greater than line	e 7, check the box in Part VI, line 1b, and comp	lete that part using	a 1% tax rate.		
See the Part VI instructions.					

Part VI  Excise Tax Based on Investment Income (Section 4940(B), 4940(B), or 4946 - see instructions)   1			045/303			Page 4
Dates of ruling or determination letter  A contraction of the contrac			3 - see i	nstru	uctio	ns)
a Domestic foundations that meet the section 4940(e) requirements in Part V, check here	18					
of Part I, Inne 17b c All Other domestic foundations enter 2% of I ne 27b, Exempt foreign organizations enter 4% of Part I, Inne 12, col. (b).  2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 3 3 224.  3 3 224.  5 Subtifie A (income) iax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) 5 Tax based on Investment income. Subtract tine 4 from line 3.1 it zero or less, enter -0- 5 Tax based on Investment income. Subtract tine 4 from line 3.1 it zero or less, enter -0- 5 Tax based on Investment income. Subtract tine 4 from line 3.1 it zero or less, enter -0- 5 Tax pand with application for extension of time to file (Form 8868) 4 Dextput fromagn organizations - Tax withhelid at source 6 Tax pand with application for extension of time to file (Form 8868) 4 Dextput fromagn organizations - Tax withhelid at source 6 Tax pand with application for extension of time to file (Form 8868) 6 Enter any penalty for underpayment of estimated tax. Check here if if Form 2220 is attached 7 Total tredits and payments. Add lones 6s intrough 69 8 Enter any penalty for underpayment of estimated tax. Check here if if Form 2220 is attached 9 Tax due if the total clinies 5 and 6 senter the amount everpaid 10 oversyment if line? I's more than the total of lines 5 and 6, enter the amount everpaid 11 oversyment if line? I's more than the total of lines 5 and 6, enter the amount everpaid 12 oversyment if line? I's more than the total clinies 5 and 6, enter the amount everpaid 13 oversyment of line 10 be 5 or cedited to 2021 stallment dax by 14 on the country of line 10 be 5 or cedited to 2021 stallment dax by 15 or cedit enterpayment of line 10 be 6 or cedited to 2021 stallment dax by 16 or cedit enterpayment of line 10 be 6 or cedited to 2021 stallment dax by 17 or cedit enterpayment of line 10 be 6 or cedited to 2021 stallment dax by 18 be 10 or cedit enterpayment of lines 5 and 6, enter the amount everpaid 19 or the characteristic or cedited to 202			1			
e. All other domestic foundations enter 2% of I ne 27b, Exempt foreign organizations enter 4% of Part I, line 12, col. (b).  2	١	***	<del> </del>			224.
2		·	-			
3 224.  Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, Others enter -0-)  5 Tax based on thevetment incomes. Subtract line 4 from line 3. It zero or less, enter -0-  5 Tax based on thevetment incomes. Subtract line 4 from line 3. It zero or less, enter -0-  5 Tax based on thevetment incomes. Subtract line 4 from line 3. It zero or less, enter -0-  5 Tax based on the enter day and the subtract line 4 from line 3. It zero or less, enter -0-  6 Exempt foreign organizations - tax withheld at source  6 Tax paid with application for extension of time to file (Form 8868)  6 Exempt foreign organizations - tax withheld at source  6 Tax due If the total or lines 5 and 8 is more than line 7, enter amount owed  7 Total credits and payments. Add lines 5 at though 6d  8 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed  8 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed  9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount overpald  1 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpald  1 Total center and the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  1 Did it spend more than 5100 during the year (either directly) or indirectly) for political purposes (see instructions for definition)?  1 If the answers "Yes" to jar or jar, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities  1 Did to spend more than 5100 during the year (either directly) or indirectly) for political purposes (see instructions for definition)?  1 If the answers "Yes" to jar or jar, attach a detailed description of the activities and copies of any materials published or distributed by the foundation his part 130-DL for this year?  1 Enter the amount (if any) of tax on political expenditures (section 4955) imposed			-			
4 Subtitle A (income) tax (domestic section 4947(s)(1) frusts and taxable foundations only. Others onler -0-) 5 Tax based on livestiment income. Subtract line 4 from line 3.1 Jears or less, enter -0- 5 Tax based on livestiment income. Subtract line 4 from line 3.1 Jears or less, enter -0- 5 Tax based on livestiment income. Subtract line 4 from line 3.1 Jears or less, enter -0- 5 Tax based on livestiment income. Subtract line 4 from line 3.1 Jears or less, enter -0- 5 Tax based on livestiment income. Subtract line 4 from line 3.1 Jears or less, enter -0- 5 Tax based with application for extension of time to file (Form 8868) 6 Date of the company of the company withheld a source 6 Tax paid with application for extension of time to file (Form 8868) 6 Date of the company of the company of the line 5 through 8d	2					
Tax based on Investment Income. Subtract line 4 from line 3. If zero or less, enter -0- C Credits/Payments 2011 estimated tax payments and 2010 overpayment credited to 2011 b Exempt foreign organizations - tax withheld at source c Tax paid with application for extension of time to file (Form 8668) d Backup withholding erroneously withheld 7 Total credits and payments. Add lines 5 airhough 6d 8 Enter any peatly for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 9 Tax due If the total of lines 5 and 8 is more than line 7, enter a mount owed 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of lines 10 be Credited to 2012 estimated tax ▶ 1, 384. Refunded ▶ 11 0 1, 384. 11 Enter the amount of lines 10 be Credited to 2012 estimated tax ▶ 1, 384. Refunded ▶ 11 0 0.  Part VIII-A   Statements Regarding Activities 1 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  5 Dut it spend more than \$100 during the year (either directly or markedly) for political purposes (see instructions for definition)?  If the answer is "Yes" to 1g or 1g, attach a detailed description of the activities and copies of any materials published or distributed by the foundation. In connectron with the activities  1 Dut it spend more than \$100 during the year (either directly or markedly) for political purposes (see instructions for definition)?  If the answer is "Yes" to 1g or 1g, attach a detailed description of the activities  1 Dut the foundation. Fer form 119-90. for the system?  1 Enter the rembursement (if any) paid by the foundation during the year?  1 Enter the rembursement (if any) paid by the foundation during the vear for political expenditure tax imposed on foundation managers ▶ \$ 0.  2 Enter the rembursement (if any) paid by the foundation during the vear for political expenditure tax imposed on foundation managers ▶ \$ 0.  3 Has the found	3		<del></del>			
6 Credits/Payments a 2011 estimated tax payments and 2010 overpayment credited to 2011 6	4		<del></del>			
a 2011 estimated tax payments and 2010 overpayment credited to 2011  B Exempt foreign organizations - tax withheld at source  1 a payar with application for extension of time to file (Form 8868)  6 B B B B B B B B B B B B B B B B B B	5	Tax based on Investment income. Subtract line 4 from line 3. If zero or less, enter -0-				224.
b Exempt foreign organizations - tax withheld at source  C Tax paid with application for extension of time to file (Form 8868)  Backup withholding erroneously withheld  7 Total credits and payments. Add lines 6a through 6d  8 Enter any penalty for underpayment of estimated tax. Check here  If Form 2220 is attached  9 Tax due if the total of lines 5 and 8 is more than line 7, enter amount owed  10 Overpayment If line? Is more than the total of lines 5 and 8, enter the amount overpaid  10 Overpayment If line? Is more than the total of lines 5 and 8, enter the amount overpaid  11 Enter the amount of line 10 to be "Gradited to 2012 estimated tax."  In 1, 384. Refunded  In 1  Part VII-A Statements Regarding Activities  1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?  If the answer is "Yes" to 10 or 10, at 120. PQL for this year?  1c Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.  (1) On the foundation. In \$2 or 10, at 7 the activities of the activities and copies of any materials published or distributed by the foundation any political expenditures (section 4955) imposed during the year.  (1) On the foundation. It is a political expenditure (section 4955) imposed during the year.  (1) On the foundation. It is a political expenditure (section 4955) imposed during the year.  (1) On the foundation. It is a political expenditure tax imposed on foundation managers. Some of \$1,000 or more during the year.  (1) On the foundation and any changes, not previously reported to the IRS; in its governing instrument, articles of incorporation, or by says, or o	6	Credits/Payments	ŀ			
c Tax paid with application for extension of time to file (Form 8868) d Backup withholding erroneously withheld d Sc Inter any penalty for underpayment of estimated tax. Check here  If Form 2220 is attached	8	2011 estimated tax payments and 2010 overpayment credited to 2011 6a 1,608.				
d Backup withholding erroreously withhold 7 Total credits and payments. Add time shough 6d 8 Total credits and payments. Add time shough 6d 8 Enter any penalty for underpayment of estimated tax. Check here  If Form 2220 is attached 9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed 9 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount owerpald 11 Enter the amount of line 10 to be Craditled to 2012 estimated tax   1, 384. Refunded  11	t	Exempt foreign organizations - tax withheld at source				
7 Total credits and payments. Add lines 6a through 6d 8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached 9 Tax due if the total of lines 5 and 8 is more than line 7, enter amount owed 10 Overpayment if line 7 is more than the total of lines 5 and 8, enter the amount owed 11 Enter the amount of line 10 to be Credited to 2012 estimated tax ▶ 1, 384. Refunded 11 OPATT VII.A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political payments and political purposes (see instructions for delimition)? 1b X If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities  c Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for delimition)?  If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities  c Did its foundation file Form 1120-PDI. for this year?  If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities  3 Has the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0.  2 Has the foundation engaged in any activities that have not previously reported to the IRS?  If "Yes," attach a detailed description of the activities  3 Has the foundation and any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a detailed description of the activities  3 Has the foundation have urrelated business gross income of \$1,000 or more during the year?	•	Tax paid with application for extension of time to file (Form 8868)				
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached ☐ 9 Tax due If the total of lines 5 and 8 is more than the 12 net amount owed ☐ 1 J 384. In the 10 D overpayment If line 7 is more than the total of lines 5 and 8 is more than the 12 nines 5 and 8, inter the amount overpald ☐ 1 J 384. In the 10 D J J 384. In the 10	(	d Backup withholding erroneously withheld				
10 Overpayment If line 7 is more than line 7, enter amount owed 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be 'Credited to 2012 estimated tax ▶ 1, 384. Refunded ▶ 11 1 0.  Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in 18	7	Total credits and payments. Add lines 6a through 6d			1	,608.
10 Overpayment If line 7 is more than line 7, enter amount owed 10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 11 Enter the amount of line 10 to be 'Credited to 2012 estimated tax ▶ 1, 384. Refunded ▶ 11 1 0.  Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in 18	8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8				
10 Overpayment if line 7 is more than the total of lines 5 and 8, enter the amount overpaid  11 Enter the amount of line 10 to be* Credited to 2012 estimated tax ▶  12 Statements Regarding Activities  1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  1b Did it spend more than \$100 during the year (either directly) or indirectly) for political purposes (see instructions for definition)?  1a X  1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?  1b X  1f the answer is "Yes" to 7a or 7b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities  1 Did the foundation in 120-PDL for the year?  1 C X  2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0.  2 Has the foundation mapaged in any activities that have not previously been reported to the IRS?  1f "Yes," attach a detailed description of the activities  3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  3 A X  2 If "Yes," attach a detailed discription of the activities  3 Has the foundation have unrelated business gross income of \$1,000 or more during the year?  5 A are the requirements of section 508(e) (relating to sections 494) through 4945) satisfied either  8 By language in the governing instrument, or  8 By state legislation that effectively amends the governing instrument so that no mandatory directions that confl			1			
11 Enter the amount of line 10 to be' Credited to 2012 estimated tax ▶ 1, 384. Refunded ▶ 11  O. Part VII-A   Statements Regarding Activities  1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?  1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?  1b X  1f the answer is "Yes" to 10 or 11b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities  1c Did the foundation life Form 1120-POL for this year?  2d Enter the amount (if any) of 1 tax on political expenditures (section 4955) imposed during the year.  2l Has the foundation have unrelated by \$0. (2) On foundation managers. ▶ \$0.  2 Has the foundation engaged in any activities that have not previously been reported to the IRS?  2lf "Yes," attach a detailed description of the activities  3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  4b Did the foundation have unrelated business gross income of \$1,000 or more during the year?  5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?  6 Are the requirements of section 508(c) (relating to sections 4941 through 4945) satisfied either:  8 By state legislation that effectively amends the governing instruction of "No," attach explanation of section 4942(1)(3) or 4942(1)(5) or calendar year and the foundation reports or with which it is registered (se		<del></del>	,	-	1	384.
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S Was there a liquidation, termination, dissolution, or substantial contraction during the year?  If "Yes," attach the statement required by General Instruction T  Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:  By language in the governing instrument, or  By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?  7 Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV  8a Enter the states to which the foundation reports or with which it is registered (see instructions)  TX  b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation  9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV  9 X  10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses  10 X			N/A		-	
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	10		ŀ	$\rightarrow$		
	,,,	and any personne account account continuous a continuous account in test, attach a schedule listing their names and addresses	Form	_	·PF (	

	but VII A I Statemente Degrarding Activities			i ugo
_	art VII-A   Statements Regarding Activities (continued)	1		
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of	١		١
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	<b>-</b>	Х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?	١		١
	If "Yes," attach statement (see instructions)	12	<u> </u>	Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	L
	Website address ► N/A			
14	The books are in care of ► CAROLINE BAKER  Telephone no. ►713-866-0			
		07-10	137	<del></del>
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			• 🖳
	and enter the amount of tax-exempt interest received or accrued during the year	N,		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank,		Yes	
	securities, or other financial account in a foreign country?	16		х
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign			ŀ
_	country			Ĺ
Pa	rt VII-B   Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 If any item is checked in the "Yes" column, unless an exception applies.		Yes	No
18	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	[ ]		
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception Check "No"			
	if the foundation agreed to make a grant to or to employ the official for a period after	] ]	J	
	termination of government service, if terminating within 90 days.)			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations			
	section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  N/A	16		
	Organizations relying on a current notice regarding disaster assistance check here			
C	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected			
	before the first day of the tax year beginning in 2011?	1c		Х
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5)):	1 1	ľ	
8	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning	ŀ		
	before 2011? Yes X No		- 1	
	If "Yes," list the years		ļ	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect		1	
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach		- [	
	statement - see instructions ) N/A	2b		
C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here	ŀ	ł	
	<b>-</b>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time	J	J	
	during the year?			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose		ł	
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			
	Form 4720, to determine if the foundation had excess business holdings in 2011)	3b		
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		Х
þ	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that			
	had not been removed from jeopardy before the first day of the tax year beginning in 2011?	46	ł	X

Form 990-PF (2011) THE NAU FOUNDATION	F 4700 May Da	Danisha di .	20-843/303		Page
Part VII-B   Statements Regarding Activities for Which	Form 4720 May Be	Requirea (contil	nued)	<del></del>	
5a During the year did the foundation pay or incur any amount to:		<b>С</b>			-
(1) Carry on propaganda, or otherwise attempt to influence legislation (section)	· ··		es X No		
(2) Influence the outcome of any specific public election (see section 4955);	or to carry on, directly or indir				1
any voter registration drive?			es 🔀 No		
(3) Provide a grant to an individual for travel, study, or other similar purpose	s?	Y	es X No		
(4) Provide a grant to an organization other than a charitable, etc., organization	on described in section				
509(a)(1), (2), or (3), or section 4940(d)(2)?		Y	es 🗶 No		ł
(5) Provide for any purpose other than religious, charitable, scientific, literary	y, or educational purposes, or				
the prevention of cruelty to children or animals?		Y	es X No	ł	1
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify u	nder the exceptions described	ın Regulations			
section 53 4945 or in a current notice regarding disaster assistance (see instr	ructions)?		N/A	5b	
Organizations relying on a current notice regarding disaster assistance check	here				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption	from the tax because it mainta	ined	Ì	ľ	1
expenditure responsibility for the grant?	N	'/A 🔲 Y	es 🔲 No		
If "Yes," attach the statement required by Regulations section 53 49-	45-5(d)				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on				
a personal benefit contract?		Y	es 🗓 No		ĺ
b Did the foundation, during the year, pay premiums, directly or indirectly, on a	personal benefit contract?			6b	х
If "Yes" to 6b, file Form 8870	•				1
7a At any time during the tax year, was the foundation a party to a prohibited tax	shelter transaction?	Y	es X No		
b if "Yes," did the foundation receive any proceeds or have any net income attrib			N/A	7b	1
Information About Officers Discotors True		nagers, Highl	v		
Part VIII Paid Employees, and Contractors	<b>,</b>	J	•		
1 List all officers, directors, trustees, foundation managers and their	compensation.				
	(b) Title, and average hours per week devoted	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) E)	kpense nt, other
(a) Name and address	to position	(If not paid, enter -0-)	and deferred compensation	accoun	ances
JOHN L. NAU III	PRESIDENT, DIRECTOR	· ·			
7777 WASHINGTON AVE	1	ł	1		
HOUSTON, TX 770071037	1,00	0.	0.		0.
BARBARA NAU	SECRETARY, DIRECTOR				
7777 WASHINGTON AVE	1	1	ļ		
HOUSTON, TX 770071037	1,00	0.	0.		0.
ELIZABETH NAU STEPANIAN	DIRECTOR				
7777 WASHINGTON AVE	1				
HOUSTON, TX 770071037	0,00	0.	0.		0.
VICTORIA NAU JOHNSON	DIRECTOR				
7777 WASHINGTON AVE					
HOUSTON, TX 770071037	0,00	0.	٥.		0.
2 Compensation of five highest-paid employees (other than those in	cluded on line 1). If none,	enter "NONE."	<u> </u>		
( ) Name and address of such smallers and the description of the descr	(b) Title, and average		(d) Contributions to employee benefit plans and deferred compensation	(e) Ex	pense it, other
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	and deferred	accoun	ances
NONE					
<del></del>	7	]		ļ	
	<del> </del>				
	1				
	<del> </del>				
	1	]			
	<del>                                     </del>		<del></del>		
	1				
	†		<del></del>		
	1			1	
Total number of other employees paid over \$50,000			▶		0
	····		Form	990-PF	(2011)
					. ,

form 990-PF (2011) THE NAU FOUNDATION	20-845730	)3 Page 7
Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter "NO	NE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		<del></del>
		<del></del>
	<del></del>	
Total number of others receiving over \$50,000 for professional services		<u> </u>
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tak year. Include relevant statistical in		Expenses
number of organizations and other beneficiaries served, conferences convened, research papers produced	etc	
1 N/A		
2		
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on lines	1 and 2.	Amount
1 N/A		
2		
All other program-related investments. See instructions.		·
3	1	
Total. Add lines 1 through 3	<b>&gt;</b>	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign	gn foundations, see	instructions.)
1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
Average monthly fair market value of securities	1a	1,730,269
b Average of monthly cash balances	16	18,330
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	1,748,599
e Reduction claimed for blockage or other factors reported on lines 1a and		<del></del>
1c (attach detailed explanation)	0.	
2 Acquisition indebtedness applicable to line 1 assets	2	0
3 Subtract line 2 from line 1d	3	1,748,599
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	26,229
5 Net value of noncharitable-use assets, Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,722,370
6 Minimum Investment return. Enter 5% of line 5	6	86,119
Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundat foreign organizations check here and do not complete this part.)	ions and certain	
1 Minimum investment return from Part X, line 6	11	86,119
2a Tax on investment income for 2011 from Part VI, line 5 2a	224.	
b Income tax for 2011 (This does not include the tax from Part VI)		
c Add lines 2a and 2b	2c	224
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	85,895
4 Recoveries of amounts treated as qualifying distributions	4	0
5 Add lines 3 and 4	5	85,895
6 Deduction from distributable amount (see instructions)	6	0
7 Distributable amount as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	85,895
Part XII Qualifying Distributions (see instructions)		
1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	298,833,
b Program-related investments - total from Part IX-B	1b	0
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
<b>b</b> Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	298,833
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
income. Enter 1% of Part I, line 27b	5	224,
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	298,609,
Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the found 4940(e) reduction of tax in those years.	ation qualifies for the	section

Form **990-PF** (2011)

Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI,	- Odipad	, oaro processo		
line 7				85,895.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:				
,		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
<b>b</b> From 2007				
c From 2008	1			
<b>d</b> From 2009	1			
e From 2010 65,080	]			
f Total of lines 3a through e	65,080.			
4 Qualifying distributions for 2011 from				
Part XII, line 4 <sup>.</sup> ►\$ 298,833.	.)			
a Applied to 2010, but not more than line 2a	1		0.	
<b>b</b> Applied to undistributed income of prior				
years (Election required - see instructions)	1	0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2011 distributable amount				85,895.
e Remaining amount distributed out of corpus	212,938.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a) )				_
6 Enter the net total of each column as indicated below:				
	278,018.			
8 Corpus Add lines 3f, 4c, and 4e. Subtract line 5	270,010.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable	<del></del>			
amount - see instructions		0.		
e Undistributed income for 2010. Subtract line				<del></del>
4a from line 2a. Taxable amount - see instr.			٥.	
f Undistributed income for 2011 Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2012				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by	]		j	
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012.				
Subtract lines 7 and 8 from line 6a	278,018.			
10 Analysis of line 9.			<del></del> _	
a Excess from 2007				
b Excess from 2008			J	
c Excess from 2009				
d Excess from 2010 65,080.				
e Excess from 2011 212,938.	<u> </u>			

Part XIV   Private Operating Fo		atriotions and Dort VII	A guartian (1)	20-6457	Taye II
<del></del>			-A, question 9)	N/A	
1 a If the foundation has received a ruling or					
foundation, and the ruling is effective for	•	-	▶ ∟	I to to to	242445
b Check box to indicate whether the found		ng foundation described i		4942(j)(3) or4	942(1)(5)
2 a Enter the lesser of the adjusted net	Tax year	(b) 2010	Prior 3 years	(4) 2000	/a\ Tatal
income from Part I or the minimum	(a) 2011	(b) 2010	(6) 2009	(d) 2008	(e) Total
investment return from Part X for					
each year listed	······			ļ <u>-</u>	ļ
b 85% of line 2a					
c Qualifying distributions from Part XII,		}	}	1	
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of				-	
exempt activities				<del> </del>	· · · · · · · · · · · · · · · · · · ·
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c  3 Complete 3a, b, or c for the				<del></del>	
alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets				<del> </del>	<del> </del>
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return					
shown in Part X, line 6 for each year					
listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					1
investment income (interest, dividends, rents, payments on					
securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt					1
organizations as provided in					
section 4942(j)(3)(B)(iii)				<del> </del>	<del></del>
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income  Part XV   Supplementary Infor	motion (Comple	to this part only	f the foundation	2 had \$5 000 ar m	oro in assets
at any time during the			i the loundation	i nau \$5,000 or m	ore in assets
1 Information Regarding Foundation	<del></del>		<u> </u>	· · · · · · · · · · · · · · · · · · ·	<u> </u>
a List any managers of the foundation who		than 2% of the total contr	ibutions received by the	e foundation before the clo	se of any tax
year (but only if they have contributed me					00 0, uny 12.
SEE STATEMENT 8					
b List any managers of the foundation who	own 10% or more of th	e stock of a corporation (	or an equally large port	on of the ownership of a p	artnership or
other entity) of which the foundation has					
IONE					
2 Information Regarding Contribution	n, Grant, Gift, Loan,	Scholarship, etc., Pro	ograms:		
Check here 🕨 🗓 if the foundation on					
the foundation makes gifts, grants, etc. (s	ee instructions) to indiv	iduals or organizations ur	nder other conditions, c	omplete items 2a, b, c, and	<u>d d</u>
a The name, address, and telephone number	er of the person to whom	n applications should be a	addressed <sup>.</sup>		
<b>b</b> The form in which applications should be	submitted and informat	ion and materials they sh	ould include		
c Any submission deadlines.					
d Any rectrictions or limited and an acceptance	auch as hu ass	Largae, pharitable fields !	unde of institutions	other factors	
d Any restrictions or limitations on awards,	such as by geographica	i areas, charitable fields, i	amus or mismullons, of	omer factors,	

Part XV | Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, show any relationship to any foundation manager or substantial contributor Recipient Foundation Purpose of grant or Amount status of contribution Name and address (home or business) recipient a Paid during the year PUBLIC CHARITY EDUCATION SAN ANTONIO CAN! N/A 325 W. 12TH STREET DALLAS, TX 75208 75,000. TEXAS WILDLIFE ASSOCIATION FOUNDATOIN PUBLIC CHARITY EDUCATION 2800 NE LOOP 410, SUITE 105 SAN ANTONIO, TX 78218 25,000. WORKSHOP HOUSTON N/A PUBLIC CHARITY EDUCATION PO BOX 88365 HOUSTON, TX 77288 15,000. KIDS MEALS, INC N/A PUBLIC CHARITY EDUCATION 205 W. CROSSTIMBERS ST. HOUSTON, TX 77018 10,000. YES PREP N/A PUBLIC CHARITY EDUCATION 6201 BONHOMME RD, SUITE 168-N HOUSTON, TX 77036 83,333. SEE CONTINUATION SHEET(S) ➤ 3a 298,333. b Approved for future payment NONE Total **▶** 3b ٥.

Part XVI-A	Analysis of Income-Producing	Activities
------------	------------------------------	------------

Enter gross amounts unless otherwise indicated.	Unrelate	ed business income		ided by section 512, 513, or 514	(e)
1 Program service revenue	(a) Business code	(b) Amount	Exclu- sion code	(d) Amount	Related or exempt function income
•	0000				· · · · · · · · · · · · · · · · · · ·
a b					
C					
d					
e					·-··
f					
g Fees and contracts from government agencies			ļ		
2 Membership dues and assessments			<u> </u>		· · · · · · · · · · · · · · · · · · ·
3 Interest on savings and temporary cash					
investments			<del> </del>	00 584	
4 Dividends and interest from securities			14	22,574.	
5 Net rental income or (loss) from real estate:			-		
a Debt-financed property			╁		
b Not debt-financed property			┼		
6 Net rental income or (loss) from personal			1		
7 Other investment income			01	6,979.	
8 Gain or (loss) from sales of assets other	<u> </u>		+		
than inventory					
9 Net income or (loss) from special events			<del>                                     </del>	-	
Gross profit or (loss) from sales of inventory					
1 Other revenue.					
a					
b		•			
C					
d	_				
e					
e		0.		29,553.	
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e)	)	0.	,		0 29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
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e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 2 Subtotal Add columns (b), (d), and (e) 3 Total. Add line 12, columns (b), (d), and (e) See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553
e 12 Subtotal Add columns (b), (d), and (e) 13 Total. Add line 12, columns (b), (d), and (e) 14 See worksheet in line 13 instructions to verify calculations  Part XVI-B Relationship of Activities  Line No. Explain below how each activity for which in	s to the Acco	omplishment of Ex	cemp	13 t Purposes	29,553

- Form 990-PF (2011) THE NAU FOUNDATION 20-8457303

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Exempt Organ									
1	Did the	organization directly or indi	rectly engage in any	of the following	ng with any of	her organization	n described in sect	ion 501(c) of		Yes	No
	the Code	e (other than section 501(c)	)(3) organizations) o	r in section 52	27, relating to	political organi	zations?				
а		s from the reporting found									
	(1) Cas	h							1a(1)		Х
	(2) Oth	er assets							1a(2)		Х
b	Other tra	insactions:									
	(1) Sale	es of assets to a noncharita	ble exempt organiza	tion					1b(1)		X
		chases of assets from a no							1b(2)		X
		tal of facilities, equipment,							1b(3)		Х
	(4) Reir	nbursement arrangements							1b(4)		х
		ns or loan guarantees							1b(5)		Х
	, .	formance of services or me	mbership or fundrai	sing solicitatio	ons				1b(6)		х
c	• •	of facilities, equipment, ma		-					1c		Х
	•	swer to any of the above is	•			(b) should alw	ays show the fair r	narket value of the g	oods, other ass	ets,	
		es given by the reporting fo									
		(d) the value of the goods,									
(a)L	ine no	(b) Amount involved		f noncharitable		inization	(d) Description	of transfers, transaction	ns, and sharing ar	angeme	nts
				N/A							
							1				
								·····			
							<del></del>				
							ļ				
			Ĺ	<del> </del>			<u> </u>				
2a		undation directly or indirect	•			exempt organiz	ations described		Yes	ΓV	] No
		n 501(c) of the Code (other		(3)) or in sect	tion 52/7				Tes	_^_	1 140
þ	If 'Yes,"	complete the following scho			/h\Type of	organization		(c) Description of rela	ationship		—
		(a) Name of org	anization		(b) Type of	organization	<del></del>	(c) Description of rea	attoristilp		
		N/A				· · ·					
								<del></del>			
	-										
									·	_	
	Unde	or penalties of perjury, I declare	that I have examined thi	s return, includir	ng accompanyin	g schedules and s	statements, and to the	best of my knowledge			_
Siç	andi	pellef it is true correct, and con	aplete Declaration of pre	eparer (other tha	ın taxpayer) is ba	sed on all informa	tion of which prepare	has any knowledge	May the IRS o	prepare	ar
He	re 🕨	,			i		DIRECTOR		x Yes	(See Inst	]" <sub>No</sub>
		nature of officer or trustee			Date		Title		-		
		Print/Type preparer's na		Preparer's s			Date	Check if	PTIN		
		76. 6.25			-			self- employed			
Pa	id	BRYAN F. CLARK		}					P00360796		
	 eparer	Firm's name ► BC CC	NSULTING, LLP	<del>1</del>			L	Firm's EIN ▶ 01-	-0687790		
	e Only		,								
	·	Firm's address ▶ 851	1 S SAM HOUST	ON PARKWA	Y E, STE	100					
		нои	STON, TX 77075	5				Phone no 713	3-226-7800		
									Earm QQA	_DE //	20111

## • Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

## **Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

Name of the organizat	ion	Employer Identification number		
	THE NAU FOUNDATION	20-8457303		
Organization type (che	ck one)			
Filers of:	Section:			
Form 990 or 990-EZ 501(c)( ) (enter number) organization				
	4947(a)(1) nonexempt charitable trust not treated as a private founda	ation		
	527 political organization			
Form 990-PF	x 501(c)(3) exempt private foundation			
	4947(a)(1) nonexempt charitable trust treated as a private foundation	ı		
	501(c)(3) taxable private foundation			
General Rule  X For an organiz	01(c)(7), (8), or (10) organization can check boxes for both the General Rule and a station filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or complete Parts I and II			
Special Rules				
509(a)(1) and 1	501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribut on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and	tion of the greater of (1) \$5,000 or (2) 2%		
total contributi	501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any ions of more than \$1,000 for use exclusively for religious, charitable, scientific, liter of cruelty to children or animals. Complete Parts I, II, and III			
contributions f If this box is ch purpose Do no	501(c)(7), (8), or (10) organization filing Form 990 or 990 EZ that received from any for use exclusively for religious, charitable, etc., purposes, but these contributions necked, enter here the total contributions that were received during the year for all ot complete any of the parts unless the <b>General Rule</b> applies to this organization table, etc., contributions of \$5,000 or more during the year	did not total to more than \$1,000 in exc <i>lusively</i> religious, charitable, etc ,		
but it <b>must</b> answer "No	on that is not covered by the General Rule and/or the Special Rules does not file S on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ neet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)			

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

-	•
סמפע	4
1 440	

<ul> <li>Schedule</li> </ul>	B (Form	990.	990-EZ.	or 990-PF	1 (2011)

Employer Identification number Name of organization

THE NAU	FOUNDATION		20-8457303
Part I	Contributors (see instructions). Use duplicate copies of Part I i	of additional space is needed	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN AND BARBARA NAU  7777 WASHINGTON AVENUE  HOUSTON, TX 77007-1037	\$	Person X Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
			Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		*	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there Is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		<b>\$</b>	Person Payroll Noncash (Complete Part II if there is a noncash contribution)

- Schedule B (Form 990, 990-EZ, or 990-PF) (2011) Name of organization

Employer identification number

E NAU I	FOUNDATION	20	-8457303
art II	Noncash Property (see instructions) Use duplicate copies of P	art II if additional space is needed.	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		s	<u></u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-		_	
		\$	
(a) No. from	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		   \$	
(a)			
No. rom Part I	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_		\$	
(a) No. From	(b)  Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—   ·		<u> </u>	
		\$	990, 990-EZ, or 990-PF) (

Page	4

ĺ		(e) Transfer of gift	
ı			
I	Transferee's name, address, a	nd ZIP + 4 P	telationship of transferor to transferee

(c) Use of gift

lo. m t l	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-			
-		(e) Transfer of gift	

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held	
-		(e) Transfer of gift		

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(d) Description of how gift is held

(a) No. from Part I

(b) Purpose of gift

THE NAU FOUNDATION

Part XV Supplementary Informati 3 Grants and Contributions Paid During the				
Recipient	If recipient is an individual, show any relationship to	Foundation	Purpose of grant or contribution	
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	status of recipient	contribution	Amount
		1		
ALPINE PUBLIC LIBRARY ASSOCIATION	N/A	PUBLIC CHARITY	EDUCATION	1
003 NORTH 7TH STREET				
ALPINE, TX 79830			<u> </u>	20,00
NOVAG UDADO TAKOMENTO	.,,	PUBLIC CHARITY	EDVICENTON	
EXAS HEART INSTITUTE 2.0. BOX 20345, MC 3-117	N/A	POBLIC CHARITY	EDUCATION	1
OUSTON, TX 77225-0345				50,00
· · · · · · · · · · · · · · · · · · ·				
OUSTON WILDERNESS	N/A	PUBLIC CHARITY	EDUCATION	
50 WESTCOTT ST, SUITE 305				
OUSTON, TX 77007				20,00
		<u> </u>	·	
		}		ŀ
			·	
				]
Total from continuation sheets			······································	90,00

FORM 990-PF DIVIDENT	OS AND	INTER	EST FROM	SEC	JRITIES	STATEMENT	1
SOURCE		GROSS	AMOUNT		PITAL GAINS DIVIDENDS	COLUMN (A	7)
DIVIDENDS	-		22,574.		0.	22	,574.
TOTAL TO FM 990-PF, PART I, I	LN 4 =		22,574.		0.	22	,574.
FORM 990-PF	O'.	THER I	NCOME			STATEMENT	2
DESCRIPTION			(A) REVENUE PER BOOKS		(B) NET INVEST- MENT INCOME	(C) ADJUSTE NET INCO	
CAPITAL GAIN DISTRIBUTION NONDIVIDEND DISTRIBUTIONS			6	103.	6,876,		0.
TOTAL TO FORM 990-PF, PART I,	LINE	11	6	,979.	6,979.		0.
FORM 990-PF	ACC	COUNTI	NG FEES			STATEMENT	3
DESCRIPTION	( A EXPEN PER E	ISES	(B) NET INVE MENT INC	EST-	(C) ADJUSTED NET INCOME		
ACCOUNTING FEES		2,000.	<del></del>	1,500.		0.	500.
TO FORM 990-PF, PG 1, LN 16B	<u></u>	2,000.		1,500.		0.	500.
FORM 990-PF	OTHER EXPENSES		STATEMENT 4				
DESCRIPTION	EXPEN		(B) NET INVE MENT INC	ST-	(C) ADJUSTED NET INCOME		
						<del></del>	
INVESTMENT FEES		5,703.		5,703.	(	0.	0.

FOOTNOTES

STATEMENT 5

NOTE: MARKETABLE SECURITIES ARE REFLECTED ON THE BOOKS ON A FAIR MARKET VALUE BASIS

FORM 990-PF COF	CORPORATE STOCK				
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE		
APPLE INC	•	62,775.	62,775.		
BERKSHIRE HATHAWAY INC CL B		99,190.	99,190.		
EXXON MOBIL CORP		111,459.	111,459.		
ORACLE CORP		25,650.	25,650.		
PEPSICO INC		14,597.	14,597.		
TOTAL TO FORM 990-PF, PART II, LINE	313,671.	313,671.			
FORM 990-PF OTHE	ER INVESTMENTS		STATEMENT 7		
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE		
AMERICAN FUNDS CAPITAL WORLD GROWTH	FMV				
& INCOME FUND CLASS F	I IIIV	53,847.	53,847.		
AMERICAN FUNDS FUNDAMENTAL	FMV	33,047.	33,017.		
INVESTORS FUND CLASS F	• • • •	56,568.	56,568.		
CALAMOS GROWTH FUND CLASS A	FMV	53,851.	53,851.		
JOHN HANCOCK LARGE CAP EQUITY FUND	FMV	•	,		
CLASS A		51,591.	51,591.		
UBS PACE ALTERNATIVE STRATEGIES	FMV				
INVESTMENTS CLASS A		94,722.	94,722.		
AMERICAN FUNDS CAPITAL INCOME	FMV				
BUILDER FUND CLASS F		113,340.	113,340.		
FIRST EAGLE GLOBAL FUND CLASS A	FMV	169,551.	169,551.		
NATIXIS VAUGHAN NELSON VALUE OPP	FMV				
FUND CLASS A		115,418.	115,418.		
EATON VANCE FLOATING RATE FUND	FMV	75,878.	75,878.		
LORD ABBET FLOATING RATE FUND A	FMV	75,621.	75,621.		
GATEWAY FUND CLASS A	FMV	105,008.	105,008.		
IVY ASSET STRATEGY FUND CLASS A	FMV	104,234.	104,234.		
TOTAL TO FORM 990-PF, PART II, LINE	: 13	1,069,629.	1,069,629.		

STATEMENT 8 FORM 990-PF PART XV - LINE 1A LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

JOHN L. NAU III BARBARA NAU