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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation

A Employer identification number

The Hastings Foundation, Inc.

20-8162714

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

c/o HHG & Co. - 23 Old Kings Highway So

B Telephone number

(203) 656-5500

City or town, state, and ZIP code

Darien, CT 06820

C If exemption application is pending, check here ☐

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

D 1. Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒

Cash

☐

Accrual

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(from Part II, col (c), line 16)

Other (specify)

\$ 4,828,513. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

| | | | | | | |
|---------------------------------------|--|--|------------|----------|-----|-------------|
| Revenue | 1 | Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 | Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 118,317. | 117,464. | | Statement 1 |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | <10,380.> | | | |
| | b | Gross sales price for all assets on line 6a | 2,946,543. | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 0. | | |
| | 8 | Net short-term capital gain | | | | |
| Operating and Administrative Expenses | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less: Cost of goods sold | | | | |
| | c | Gross profit or (loss) | | | | |
| | 11 | Other income | 3,289. | 3,289. | | Statement 2 |
| | 12 | Total. Add lines 1 through 11 | 111,226. | 120,753. | | |
| | 13 | Compensation of officers, directors, trustees, etc. | 25,000. | 12,500. | | 12,500. |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | 24,705. | 12,353. | | 12,352. |
| | 16a | Legal fees | | | | |
| b | Accounting fees | Stmt 3 | 4,575. | 2,288. | | 2,287. |
| c | Other professional fees | Stmt 4 | 53,554. | 52,304. | | 1,250. |
| 17 | Interest | | | | | |
| 18 | Taxes | Stmt 5 | 4,646. | 2,149. | | 862. |
| 19 | Depreciation and depletion | | | | | |
| 20 | Occupancy | | | | | |
| 21 | Travel, conferences, and meetings | | | | | |
| 22 | Printing and publications | | | | | |
| 23 | Other expenses | Stmt 6 | 2,075. | 47. | | 2,028. |
| 24 | Total operating and administrative expenses. Add lines 13 through 23 | | 114,555. | 81,641. | | 31,279. |
| 25 | Contributions, gifts, grants paid | | 86,500. | | | 86,500. |
| 26 | Total expenses and disbursements. Add lines 24 and 25 | | 201,055. | 81,641. | | 117,779. |
| 27 | Subtract line 26 from line 12: | | | | | |
| a | Excess of revenue over expenses and disbursements | | <89,829.> | | | |
| b | Net investment income (if negative, enter -0-) | | | 39,112. | | |
| c | Adjusted net income (if negative, enter -0-) | | | N/A | | |

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 242,583. | 500,613. | 500,613. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock Stmt 7 | 2,576,880. | 2,347,482. | 2,547,946. |
| | c Investments - corporate bonds Stmt 8 | 830,943. | 629,480. | 642,787. |
| 11 Investments - land, buildings, and equipment basis ▶ | | | | |
| Less accumulated depreciation ▶ | | | | |
| 12 Investments - mortgage loans | | | | |
| 13 Investments - other Stmt 9 | 1,110,774. | 1,168,784. | 1,137,167. | |
| 14 Land, buildings, and equipment: basis ▶ | | | | |
| Less accumulated depreciation ▶ | | | | |
| 15 Other assets (describe ▶) | | | | |
| 16 Total assets (to be completed by all filers) | 4,761,180. | 4,646,359. | 4,828,513. | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶) | | | |
| 23 Total liabilities (add lines 17 through 22) | 0. | 0. | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> | | | |
| | and complete lines 24 through 26 and lines 30 and 31 | | | |
| | 24 Unrestricted | 4,761,180. | 4,646,359. | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> | | | |
| | and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| 29 Retained earnings, accumulated income, endowment, or other funds | | | | |
| 30 Total net assets or fund balances | 4,761,180. | 4,646,359. | | |
| 31 Total liabilities and net assets/fund balances | 4,761,180. | 4,646,359. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 4,761,180. |
| 2 Enter amount from Part I, line 27a | 2 | <89,829.> |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 4,671,351. |
| 5 Decreases not included in line 2 (itemize) ▶ <u>Unrealized gain/loss on investments</u> | 5 | 24,992. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 4,646,359. |

Part IV Capital Gains and Losses for Tax on Investment Income

| | | | | |
|---|--|---|---|----------------------------------|
| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 1a | | | | |
| b See Attached Statements | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e 2,946,543. | | 2,956,923. | <10,380.> | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | <10,380.> | |
| 2 Capital gain net income or (net capital loss) | | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | | 2 <10,380.> |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | | 3 N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|--|--|---|
| 2010 | 110,562. | 4,862,534. | .022738 |
| 2009 | 144,569. | 4,542,433. | .031826 |
| 2008 | 171,879. | 6,370,686. | .026980 |
| 2007 | 101,730. | 1,995,675. | .050975 |
| 2006 | | | |
| 2 Total of line 1, column (d) | | | 2 .132519 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 .033130 |
| 4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5 | | | 4 5,066,943. |
| 5 Multiply line 4 by line 3 | | | 5 167,868. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 391. |
| 7 Add lines 5 and 6 | | | 7 168,259. |
| 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions. | | | 8 117,779. |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|-----------|----|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | 1 | 782. |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | | | |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 782. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 782. |
| 6 Credits/Payments: | | | |
| a 2011 estimated tax payments and 2010 overpayment credited to 2011 | 6a 1,360. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | | 7 | 1,360. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | | 8 | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | 9 | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | | 10 | 578. |
| 11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> 578. Refunded <input type="checkbox"/> | | 11 | 0. |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | X |
| 1c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | | X |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE, CT | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

N/A

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Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|--|----|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ► **N/A**

14 The books are in care of ► **Thomas W. Hynes** Telephone no. ► **(203) 656-5500**
 Located at ► **23 Old Kings Highway South, Darien, CT** ZIP+4 ► **06820**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
 and enter the amount of tax-exempt interest received or accrued during the year ► **15** **N/A**

16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►

| | | | |
|----|--|-----|----|
| 16 | | Yes | No |
| | | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|-----|--|-----|----|
| 1a | During the year did the foundation (either directly or indirectly): | | |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A <input type="checkbox"/> | 1b | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? N/A | 1c | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a | At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A | 2b | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) N/A | 3b | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? | 4b | X |

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| Charles L. Stone, Jr. 69 Salem Straits Darien, CT 06820 | Chairman 3.00 | 0. | 0. | 0. |
| Sara S. Stone 69 Salem Straits Darien, CT 06820 | Director 32.00 | 25,000. | 0. | 0. |
| Thomas W. Hynes c/o HHG & Co - 23 Old Kings Highway S Darien, CT 06820 | Treasurer 3.00 | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|-------|----------|
| 1 N/A | |
| | |
| 2 | |
| | |
| 3 | |
| | |
| 4 | |
| | |

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

| | Amount |
|--|--------|
| 1 N/A | |
| | |
| 2 | |
| | |
| All other program-related investments. See instructions. | |
| 3 | |
| | |
| Total. Add lines 1 through 3 | 0. |

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 4,800,323. |
| b | Average of monthly cash balances | 1b | 343,782. |
| c | Fair market value of all other assets | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 5,144,105. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 5,144,105. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 77,162. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 5,066,943. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 253,347. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|----|---|----|----------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 253,347. |
| 2a | Tax on investment income for 2011 from Part VI, line 5 | 2a | 782. |
| b | Income tax for 2011. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 782. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 252,565. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 252,565. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 252,565. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 117,779. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 117,779. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 117,779. |

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2010 | (c) 2010 | (d) 2011 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2011 from Part XI, line 7 | | | | 252,565. |
| 2 Undistributed income, if any, as of the end of 2011 | | | | |
| a Enter amount for 2010 only | | | 0. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2011: | | | | |
| a From 2006 | | | | |
| b From 2007 | 5,827,698. | | | |
| c From 2008 | | | | |
| d From 2009 | 46,233. | | | |
| e From 2010 | 110,562. | | | |
| f Total of lines 3a through e | 5,984,493. | | | |
| 4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ | 117,779. | | | |
| a Applied to 2010, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) ** | 117,779. | | | |
| d Applied to 2011 distributable amount | | | | 0. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).) | 252,565. | | | 252,565. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 5,849,707. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2006 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a | 5,849,707. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2007 | 5,575,133. | | | |
| b Excess from 2008 | | | | |
| c Excess from 2009 | 46,233. | | | |
| d Excess from 2010 | 110,562. | | | |
| e Excess from 2011 | 117,779. | | | |

** See Statement 10

Form 990-PF (2011)

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 3 Complete 3a, b, or c for the alternative test relied upon:
 - a "Assets" alternative test - enter:
 - (1) Value of all assets
 - (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
 - b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
 - c "Support" alternative test - enter:
 - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
 - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
 - (3) Largest amount of support from an exempt organization
 - (4) Gross investment income

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|---------|
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Coldsprings Harbor Lab 1 Bungtown Road Cold Springs Harbor, NY 11791 | None | 509(a) | Medical research | 25,000. |
| Columbia University School of Nursing 630 West 168th Street New York, NY 10032 | None | 509(a) | Health Care | 20,000. |
| Fairfield County Community Foundation 383 Main Ave #401 Norwalk, CT 06851 | None | 509(a) | Community action | 500. |
| Hastings College Foundation 710 Turner Avenue Hastings, NE 68901 | None | School | Education | 10,000. |
| Horizons and New Canaan School P.O. Box 997 New Canaan, CT 06840 | None | School | Education | 500. |
| Total | | | See continuation sheet(s) | 86,500. |
| b Approved for future payment | | | | |
| None | | | | |
| | | | | |
| | | | | |
| Total | | | | 0. |

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| | a Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| | b Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| | c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| | d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

15/5/12

► **Chairman**

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Thomas W. Hynes

Preparer's signature

San Hyun

Date

5.3.12

Check ☐ if
self-employed

PTIN

P00019525

Firm's name ► Hynes, Himmelreich, Glennon & Co, LLC

Firm's EIN ► 06-1414579

Firm's address ► P.O. Box 4004
Darien, CT 06820

Phone no. **203-656-5500**

Form **990-PF** (2011)

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|--|--------------------------------------|-------------------------------------|----------------|
| LISC 227 Lawrence Street Hartford, CT 06115 | None | 509(a) | Affordable Housing | 7,500. |
| Maritime Aquarium 10 North Water Street Norwalk, CT 06854 | None | School | Education | 5,000. |
| National Center for Philanthropy 1101 Connecticut Ave NW #220 Washington DC, DC 20036 | None | 509(a) | Philanthropy | 500. |
| Person to Person Campership 1864 Post Road Darien, CT 06820 | None | School | Education | 2,500. |
| Philips Exeter 20 Main Street Exeter, NH 03833 | None | School | Education | 5,000. |
| SoundWaters Inc 1281 Cove Road Stamford, CT 06902 | None | School | Education | 10,000. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total from continuation sheets | | | | 30,500. |

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|--|--------------------------------------|----------------------------------|
| 1a | 27 ADIDAS AG ADR FSPONSORED ADR | P | 05/05/11 | 09/12/11 |
| b | 26 ADIDAS AG ADR FSPONSORED ADR | P | 06/22/11 | 09/12/11 |
| c | 46 AMERICA MOVIL SAB L ADRFSPONSORED ADR | P | 09/21/09 | 05/26/11 |
| d | 33 AMERICA MOVIL SAB L ADRFSPONSORED ADR | P | 09/21/09 | 05/19/11 |
| e | 25 AMERICA MOVIL SAB L ADRFSPONSORED ADR | P | 09/21/09 | 05/25/11 |
| f | 14 AMERICA MOVIL SAB L ADRFSPONSORED ADR | P | 01/26/11 | 05/19/11 |
| g | 2 ARCELOR MITTAL NY NEW FNEW YORK REGISTERED | P | 02/24/11 | 12/01/11 |
| h | 44 ARCELOR MITTAL NY NEW FNEW YORK REGISTERED | P | 02/24/11 | 12/09/11 |
| i | 30 ARCELOR MITTAL NY NEW FNEW YORK REGISTERED | P | 02/25/11 | 12/01/11 |
| j | 7 ARCELOR MITTAL NY NEW FNEW YORK REGISTERED | P | 02/25/11 | 11/28/11 |
| k | 32 ARCELOR MITTAL NY NEW FNEW YORK REGISTERED | P | 02/28/11 | 09/12/11 |
| l | 13 ARCELOR MITTAL NY NEW FNEW YORK REGISTERED | P | 03/15/11 | 12/09/11 |
| m | 17 ARCELOR MITTAL NY NEW FNEW YORK REGISTERED | P | 03/15/11 | 12/12/11 |
| n | 1 ARCELOR MITTAL NY NEW FNEW YORK REGISTERED | P | 04/01/11 | 09/12/11 |
| o | 28 ARCELOR MITTAL NY NEW FNEW YORK REGISTERED | P | 04/01/11 | 11/28/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 821. | | 1,042. | <221.> |
| b 790. | | 1,001. | <211.> |
| c 2,370. | | 2,086. | 284. |
| d 1,649. | | 1,496. | 153. |
| e 1,287. | | 1,133. | 154. |
| f 699. | | 820. | <121.> |
| g 38. | | 72. | <34.> |
| h 821. | | 1,581. | <760.> |
| i 563. | | 1,088. | <525.> |
| j 116. | | 254. | <138.> |
| k 538. | | 1,177. | <639.> |
| l 242. | | 442. | <200.> |
| m 286. | | 578. | <292.> |
| n 17. | | 36. | <19.> |
| o 464. | | 1,017. | <553.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <221.> |
| b | | | <211.> |
| c | | | 284. |
| d | | | 153. |
| e | | | 154. |
| f | | | <121.> |
| g | | | <34.> |
| h | | | <760.> |
| i | | | <525.> |
| j | | | <138.> |
| k | | | <639.> |
| l | | | <200.> |
| m | | | <292.> |
| n | | | <19.> |
| o | | | <553.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|--|--------------------------------------|----------------------------------|
| 1a | 31 ARCELOR MITTAL NY NEW FNEW YORK REGISTERED | P | 05/04/11 | 09/12/11 |
| b | 34 ARM HOLDINGS PLC ADR F1 ADR REP 3 ORD | P | 09/21/09 | 01/06/11 |
| c | 32 ARM HOLDINGS PLC ADR F1 ADR REP 3 ORD | P | 09/21/09 | 09/12/11 |
| d | 28 ARM HOLDINGS PLC ADR F1 ADR REP 3 ORD | P | 09/21/09 | 09/12/11 |
| e | 20 ARM HOLDINGS PLC ADR F1 ADR REP 3 ORD | P | 09/21/09 | 10/11/11 |
| f | 59 ASSA ABLOY AB ADR FUNSPONSORED ADR | P | 12/21/10 | 09/12/11 |
| g | 35 ASSA ABLOY AB ADR FUNSPONSORED ADR | P | 12/22/10 | 09/12/11 |
| h | 24 ASSA ABLOY AB ADR FUNSPONSORED ADR | P | 01/07/11 | 09/12/11 |
| i | 67 ASSA ABLOY AB ADR FUNSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| j | 11 BAYER A G SPONSORED ADRFSPONSORED ADR | P | 07/27/11 | 09/12/11 |
| k | 3 BAYER A G SPONSORED ADRFSPONSORED ADR | P | 07/27/11 | 09/15/11 |
| l | 36 BAYER A G SPONSORED ADRFSPONSORED ADR | P | 07/27/11 | 09/06/11 |
| m | 20 BAYER A G SPONSORED ADRFSPONSORED ADR | P | 08/10/11 | 09/15/11 |
| n | 15 BG GROUP PLC ADR F1 ADR REP 5 ORD | P | 09/21/09 | 09/12/11 |
| o | 8 BG GROUP PLC ADR F1 ADR REP 5 ORD | P | 01/26/11 | 09/12/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 521. | | 1,132. | <611.> |
| b 784. | | 230. | 554. |
| c 865. | | 216. | 649. |
| d 757. | | 189. | 568. |
| e 545. | | 135. | 410. |
| f 602. | | 858. | <256.> |
| g 357. | | 509. | <152.> |
| h 245. | | 340. | <95.> |
| i 684. | | 954. | <270.> |
| j 562. | | 925. | <363.> |
| k 160. | | 252. | <92.> |
| l 1,879. | | 3,028. | <1,149.> |
| m 1,070. | | 1,268. | <198.> |
| n 1,457. | | 1,358. | 99. |
| o 777. | | 886. | <109.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <611.> |
| b | | | 554. |
| c | | | 649. |
| d | | | 568. |
| e | | | 410. |
| f | | | <256.> |
| g | | | <152.> |
| h | | | <95.> |
| i | | | <270.> |
| j | | | <363.> |
| k | | | <92.> |
| l | | | <1,149.> |
| m | | | <198.> |
| n | | | 99. |
| o | | | <109.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|--|--------------------------------------|----------------------------------|
| 1a | 49 BNP PARIBAS ADR FSPONSORED ADR | P | 09/21/09 | 09/16/11 |
| b | 44 BNP PARIBAS ADR FSPONSORED ADR | P | 09/21/09 | 09/21/11 |
| c | 52 BNP PARIBAS ADR FSPONSORED ADR | P | 09/21/09 | 09/20/11 |
| d | 20 BNP PARIBAS ADR FSPONSORED ADR | P | 05/25/10 | 09/21/11 |
| e | 39 BNP PARIBAS ADR FSPONSORED ADR | P | 09/30/10 | 09/16/11 |
| f | 29 BNP PARIBAS ADR FSPONSORED ADR | P | 10/21/10 | 09/12/11 |
| g | 31 BNP PARIBAS ADR FSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| h | 4 BNP PARIBAS ADR FSPONSORED ADR | P | 03/10/11 | 09/16/11 |
| i | 26 BNP PARIBAS ADR FSPONSORED ADR | P | 03/10/11 | 09/12/11 |
| j | 55 BRITISH SKY PLC ADR F1 ADR REP 4 ORD | P | 09/21/09 | 02/15/11 |
| k | 31 BRITISH SKY PLC ADR F1 ADR REP 4 ORD | P | 09/21/09 | 02/09/11 |
| l | 30 BRITISH SKY PLC ADR F1 ADR REP 4 ORD | P | 09/21/09 | 02/16/11 |
| m | 16 BRITISH SKY PLC ADR F1 ADR REP 4 ORD | P | 01/26/11 | 02/09/11 |
| n | 30 CANADIAN NATL RY CO F | P | 09/21/09 | 09/12/11 |
| o | 17 CANADIAN NATL RY CO F | P | 09/21/09 | 08/10/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 999. | | 1,977. | <978.> |
| b 703. | | 1,775. | <1,072.> |
| c 883. | | 2,098. | <1,215.> |
| d 320. | | 566. | <246.> |
| e 795. | | 1,404. | <609.> |
| f 509. | | 1,081. | <572.> |
| g 544. | | 1,161. | <617.> |
| h 82. | | 146. | <64.> |
| i 456. | | 951. | <495.> |
| j 2,649. | | 1,932. | 717. |
| k 1,511. | | 1,089. | 422. |
| l 1,435. | | 1,054. | 381. |
| m 780. | | 783. | <3.> |
| n 2,004. | | 1,505. | 499. |
| o 1,157. | | 853. | 304. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <978.> |
| b | | | <1,072.> |
| c | | | <1,215.> |
| d | | | <246.> |
| e | | | <609.> |
| f | | | <572.> |
| g | | | <617.> |
| h | | | <64.> |
| i | | | <495.> |
| j | | | 717. |
| k | | | 422. |
| l | | | 381. |
| m | | | <3.> |
| n | | | 499. |
| o | | | 304. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--|--------------------------------------|----------------------------------|
| 1a | 15 CANADIAN NATL RY CO F | P | 01/26/11 | 08/10/11 |
| b | 25 CANADIAN NATURAL RES F | P | 09/21/09 | 02/03/11 |
| c | 17 CANADIAN NATURAL RES F | P | 09/30/10 | 09/12/11 |
| d | 18 CANADIAN NATURAL RES F | P | 01/26/11 | 09/12/11 |
| e | 19 CANON INC SPONSORED ADRF1 ADR REP 1 ORD | P | 01/26/11 | 09/12/11 |
| f | 22 CANON INC SPONSORED ADRF1 ADR REP 1 ORD | P | 02/03/11 | 09/12/11 |
| g | 21 CANON INC SPONSORED ADRF1 ADR REP 1 ORD | P | 03/16/11 | 09/12/11 |
| h | 23 CARNIVAL CORP NEW FPAIRED STK | P | 09/21/09 | 09/12/11 |
| i | 29 CARNIVAL CORP NEW FPAIRED STK | P | 02/03/10 | 09/12/11 |
| j | 35 CARNIVAL CORP NEW FPAIRED STK | P | 01/26/11 | 09/12/11 |
| k | 30 CHINA MERCHANTS HLD ADRFUNSPONSORED ADR | P | 10/12/09 | 09/19/11 |
| l | 13 CHINA MERCHANTS HLD ADRFUNSPONSORED ADR | P | 10/12/09 | 09/16/11 |
| m | 17 CHINA MERCHANTS HLD ADRFUNSPONSORED ADR | P | 10/13/09 | 09/09/11 |
| n | 21 CHINA MERCHANTS HLD ADRFUNSPONSORED ADR | P | 10/13/09 | 09/12/11 |
| o | 11 CHINA MERCHANTS HLD ADRFUNSPONSORED ADR | P | 10/13/09 | 09/16/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 1,021. | | 1,033. | <12.> |
| b 1,116. | | 845. | 271. |
| c 559. | | 594. | <35.> |
| d 592. | | 754. | <162.> |
| e 826. | | 959. | <133.> |
| f 957. | | 1,081. | <124.> |
| g 913. | | 950. | <37.> |
| h 708. | | 743. | <35.> |
| i 893. | | 1,010. | <117.> |
| j 1,078. | | 1,655. | <577.> |
| k 760. | | 982. | <222.> |
| l 343. | | 426. | <83.> |
| m 480. | | 570. | <90.> |
| n 540. | | 705. | <165.> |
| o 291. | | 369. | <78.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <12.> |
| b | | | 271. |
| c | | | <35.> |
| d | | | <162.> |
| e | | | <133.> |
| f | | | <124.> |
| g | | | <37.> |
| h | | | <35.> |
| i | | | <117.> |
| j | | | <577.> |
| k | | | <222.> |
| l | | | <83.> |
| m | | | <90.> |
| n | | | <165.> |
| o | | | <78.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|--|--------------------------------------|----------------------------------|
| 1a | 18 CHINA MERCHANTS HLD ADRFUNDSPONSORED ADR | P | 10/20/09 | 09/08/11 |
| b | 26 CHINA MERCHANTS HLD ADRFUNDSPONSORED ADR | P | 10/20/09 | 09/09/11 |
| c | 11 CHINA MERCHANTS HLD ADRFUNDSPONSORED ADR | P | 09/07/10 | 09/08/11 |
| d | 21 CHINA MERCHANTS HLD ADRFUNDSPONSORED ADR | P | 01/26/11 | 09/08/11 |
| e | 4 CNOOC LIMITED ADR FSPONSORED ADR | P | 11/12/09 | 09/12/11 |
| f | 5 CNOOC LIMITED ADR FSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| g | 5 CNOOC LIMITED ADR FSPONSORED ADR | P | 08/09/11 | 09/12/11 |
| h | 116 COCA COLA HELLENIC ADR FSPONSORED ADR | P | 09/21/09 | 05/18/11 |
| i | 13 COCA COLA HELLENIC ADR FSPONSORED ADR | P | 01/26/11 | 05/18/11 |
| j | 73 CREDIT SUISSE GRP ADR FSPONSORED ADR | P | 02/18/11 | 09/12/11 |
| k | 11 DASSAULT SYSTEMS SA ADRFUNDSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| l | 4 DASSAULT SYSTEMS SA ADRFUNDSPONSORED ADR | P | 09/21/09 | 11/08/11 |
| m | 4 DASSAULT SYSTEMS SA ADRFUNDSPONSORED ADR | P | 09/21/09 | 11/04/11 |
| n | 2 DASSAULT SYSTEMS SA ADRFUNDSPONSORED ADR | P | 09/21/09 | 12/14/11 |
| o | 3 DASSAULT SYSTEMS SA ADRFUNDSPONSORED ADR | P | 09/21/09 | 12/28/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 525. | | 642. | <117.> |
| b 734. | | 928. | <194.> |
| c 321. | | 402. | <81.> |
| d 612. | | 897. | <285.> |
| e 678. | | 652. | 26. |
| f 848. | | 1,202. | <354.> |
| g 848. | | 933. | <85.> |
| h 2,789. | | 2,713. | 76. |
| i 313. | | 399. | <86.> |
| j 1,618. | | 3,449. | <1,831.> |
| k 768. | | 630. | 138. |
| l 326. | | 229. | 97. |
| m 326. | | 229. | 97. |
| n 149. | | 115. | 34. |
| o 228. | | 172. | 56. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <117.> |
| b | | | <194.> |
| c | | | <81.> |
| d | | | <285.> |
| e | | | 26. |
| f | | | <354.> |
| g | | | <85.> |
| h | | | 76. |
| i | | | <86.> |
| j | | | <1,831.> |
| k | | | 138. |
| l | | | 97. |
| m | | | 97. |
| n | | | 34. |
| o | | | 56. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a | 2 DASSAULT SYSTEMS SA ADRFSPONSORED ADR | P | 09/21/09 | 12/27/11 |
| b | 1 DASSAULT SYSTEMS SA ADRFSPONSORED ADR | P | 09/21/09 | 12/23/11 |
| c | 8 DASSAULT SYSTEMS SA ADRFSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| d | 23 DEUTSCHE BANK NEW FREGISTERED SHARES | P | 01/21/11 | 02/18/11 |
| e | 7 DEUTSCHE BANK NEW FREGISTERED SHARES | P | 01/24/11 | 02/18/11 |
| f | 3 DEUTSCHE BANK NEW FREGISTERED SHARES | P | 01/26/11 | 02/18/11 |
| g | 23 DEUTSCHE BANK NEW FREGISTERED SHARES | P | 02/09/11 | 02/17/11 |
| h | 9 DEUTSCHE BANK NEW FREGISTERED SHARES | P | 02/09/11 | 02/18/11 |
| i | 58 EMBRAER SA ADR FSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| j | 23 EMBRAER SA ADR FSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| k | 48 FANUC CORPORATION ADR FUNSPONSORED ADR | P | 09/21/09 | 08/17/11 |
| l | 40 FANUC CORPORATION ADR FUNSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| m | 34 FANUC CORPORATION ADR FUNSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| n | 69 FLSMIDTH & CO A/S ADR FUNSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| o | 110 FLSMIDTH & CO A/S ADR FUNSPONSORED ADR | P | 05/10/11 | 09/12/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 149. | | 115. | 34. |
| b 70. | | 57. | 13. |
| c 559. | | 629. | <70.> |
| d 1,493. | | 1,394. | 99. |
| e 454. | | 430. | 24. |
| f 195. | | 186. | 9. |
| g 1,492. | | 1,481. | 11. |
| h 584. | | 580. | 4. |
| i 1,339. | | 1,333. | 6. |
| j 531. | | 753. | <222.> |
| k 1,356. | | 699. | 657. |
| l 883. | | 582. | 301. |
| m 751. | | 886. | <135.> |
| n 332. | | 623. | <291.> |
| o 530. | | 958. | <428.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 34. |
| b | | | 13. |
| c | | | <70.> |
| d | | | 99. |
| e | | | 24. |
| f | | | 9. |
| g | | | 11. |
| h | | | 4. |
| i | | | 6. |
| j | | | <222.> |
| k | | | 657. |
| l | | | 301. |
| m | | | <135.> |
| n | | | <291.> |
| o | | | <428.> |

| | | |
|---|---|--|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } | 2 | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 } | 3 | |

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|--|--------------------------------------|----------------------------------|
| 1a | 7 FRESENIUS MED CARE ADR FSPONSORED ADR | P | 09/21/09 | 05/17/11 |
| b | 8 FRESENIUS MED CARE ADR FSPONSORED ADR | P | 09/21/09 | 05/16/11 |
| c | 17 FRESENIUS MED CARE ADR FSPONSORED ADR | P | 09/21/09 | 07/27/11 |
| d | 37 FRESENIUS MED CARE ADR FSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| e | 40 HANG LUNG PPTYS ADR FSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| f | 26 HANG LUNG PPTYS ADR FSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| g | 263 HENNES & MAURITZ AB ADRFUNSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| h | 159 HENNES & MAURITZ AB ADRFUNSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| i | 54 HONG KONG EXC & CLR ADRFUNSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| j | 33 HONG KONG EXC & CLR ADRFUNSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| k | 36 I N G GROEP N V ADR F1 ADR REP 1 ORD | P | 08/12/11 | 09/12/11 |
| l | 101 I N G GROEP N V ADR F1 ADR REP 1 ORD | P | 08/16/11 | 09/12/11 |
| m | 143 INDUSTRIAL & COML ADR FUNSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| n | 36 INFOSYS TECH SPON ADR FSPONSORED ADR | P | 09/21/09 | 03/15/11 |
| o | 15 INFOSYS TECH SPON ADR FSPONSORED ADR | P | 09/21/09 | 03/16/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 485. | | 347. | 138. |
| b 560. | | 396. | 164. |
| c 1,336. | | 842. | 494. |
| d 2,405. | | 1,833. | 572. |
| e 664. | | 709. | <45.> |
| f 432. | | 596. | <164.> |
| g 1,376. | | 1,546. | <170.> |
| h 832. | | 1,131. | <299.> |
| i 928. | | 1,028. | <100.> |
| j 567. | | 774. | <207.> |
| k 215. | | 311. | <96.> |
| l 602. | | 905. | <303.> |
| m 1,701. | | 2,160. | <459.> |
| n 2,376. | | 1,774. | 602. |
| o 964. | | 739. | 225. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 138. |
| b | | | 164. |
| c | | | 494. |
| d | | | 572. |
| e | | | <45.> |
| f | | | <164.> |
| g | | | <170.> |
| h | | | <299.> |
| i | | | <100.> |
| j | | | <207.> |
| k | | | <96.> |
| l | | | <303.> |
| m | | | <459.> |
| n | | | 602. |
| o | | | 225. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|--|--------------------------------------|----------------------------------|
| 1a | 7 INFOSYS TECH SPON ADR FSPONSORED ADR | P | 01/26/11 | 03/15/11 |
| b | 31 ITAU UNI HOLDING SA ADR FSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| c | 33 ITAU UNI HOLDING SA ADR FSPONSORED ADR | P | 02/03/11 | 09/12/11 |
| d | 72 ITAU UNI HOLDING SA ADR FSPONSORED ADR | P | 05/04/11 | 09/12/11 |
| e | 67 K D D I CORP ADR FUNSPONSORED ADR | P | 05/10/11 | 09/12/11 |
| f | 91 KINGFISHER PLC ADR NEW FSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| g | 113 KINGFISHER PLC ADR NEW FSPONSORED ADR | P | 10/22/10 | 09/12/11 |
| h | 113 KINGFISHER PLC ADR NEW FSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| i | 33 KOMATSU LTD ADR NEW FSPONSORED ADR | P | 09/21/09 | 02/03/11 |
| j | 51 KOMATSU LTD ADR NEW FSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| k | 37 KOMATSU LTD ADR NEW FSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| l | 61 LAFARGE S A ADR FSPONSORED ADR | P | 01/28/10 | 02/24/11 |
| m | 56 LAFARGE S A ADR FSPONSORED ADR | P | 01/28/10 | 02/25/11 |
| n | 3 LAFARGE S A ADR FSPONSORED ADR | P | 02/04/10 | 02/28/11 |
| o | 75 LAFARGE S A ADR FSPONSORED ADR | P | 02/04/10 | 02/25/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 462. | | 497. | <35.> |
| b 494. | | 703. | <209.> |
| c 526. | | 713. | <187.> |
| d 1,147. | | 1,619. | <472.> |
| e 1,275. | | 1,150. | 125. |
| f 657. | | 630. | 27. |
| g 815. | | 891. | <76.> |
| h 815. | | 959. | <144.> |
| i 1,016. | | 640. | 376. |
| j 1,127. | | 989. | 138. |
| k 818. | | 1,096. | <278.> |
| l 913. | | 1,174. | <261.> |
| m 842. | | 1,078. | <236.> |
| n 45. | | 55. | <10.> |
| o 1,127. | | 1,386. | <259.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <35.> |
| b | | | <209.> |
| c | | | <187.> |
| d | | | <472.> |
| e | | | 125. |
| f | | | 27. |
| g | | | <76.> |
| h | | | <144.> |
| i | | | 376. |
| j | | | 138. |
| k | | | <278.> |
| l | | | <261.> |
| m | | | <236.> |
| n | | | <10.> |
| o | | | <259.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|----------------|--|--------------------------------------|----------------------------------|
| 1a 46 LAFARGE S A ADR | FSPONSORED ADR | P | 03/08/10 | 02/24/11 |
| b 58 LAFARGE S A ADR | FSPONSORED ADR | P | 08/05/10 | 02/28/11 |
| c 58 LAFARGE S A ADR | FSPONSORED ADR | P | 09/13/10 | 02/28/11 |
| d 56 LAFARGE S A ADR | FSPONSORED ADR | P | 01/26/11 | 02/24/11 |
| e 76 LOGITECH INTL S A NEW F | | P | 09/21/09 | 09/26/11 |
| f 71 LOGITECH INTL S A NEW F | | P | 09/21/09 | 09/12/11 |
| g 80 LOGITECH INTL S A NEW F | | P | 09/21/09 | 09/22/11 |
| h 60 LOGITECH INTL S A NEW F | | P | 09/21/09 | 09/23/11 |
| i 2 LOGITECH INTL S A NEW F | | P | 04/29/10 | 09/26/11 |
| j 43 LOGITECH INTL S A NEW F | | P | 04/29/10 | 09/27/11 |
| k 49 LOGITECH INTL S A NEW F | | P | 01/26/11 | 09/12/11 |
| l 9 LULULEMON ATHLETICA INC | | P | 09/10/10 | 05/02/11 |
| m 11 LULULEMON ATHLETICA INC | | P | 09/10/10 | 05/03/11 |
| n 9 LULULEMON ATHLETICA INC | | P | 09/13/10 | 04/20/11 |
| o 1 LULULEMON ATHLETICA INC | | P | 09/13/10 | 05/02/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 688. | | 841. | <153.> |
| b 868. | | 800. | 68. |
| c 868. | | 777. | 91. |
| d 838. | | 893. | <55.> |
| e 582. | | 1,408. | <826.> |
| f 648. | | 1,315. | <667.> |
| g 611. | | 1,482. | <871.> |
| h 455. | | 1,112. | <657.> |
| i 15. | | 34. | <19.> |
| j 336. | | 725. | <389.> |
| k 447. | | 954. | <507.> |
| l 862. | | 364. | 498. |
| m 1,020. | | 445. | 575. |
| n 892. | | 386. | 506. |
| o 96. | | 43. | 53. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <153.> |
| b | | | 68. |
| c | | | 91. |
| d | | | <55.> |
| e | | | <826.> |
| f | | | <667.> |
| g | | | <871.> |
| h | | | <657.> |
| i | | | <19.> |
| j | | | <389.> |
| k | | | <507.> |
| l | | | 498. |
| m | | | 575. |
| n | | | 506. |
| o | | | 53. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|--|--------------------------------------|----------------------------------|
| 1a | 2 LULULEMON ATHLETICA INC | P | 09/13/10 | 02/10/11 |
| b | 5 LULULEMON ATHLETICA INC | P | 09/22/10 | 02/10/11 |
| c | 5 LULULEMON ATHLETICA INC | P | 01/26/11 | 02/10/11 |
| d | 25 LVMH MOET NEW ADR F1 ADR REPS 1/5 ORD | P | 09/21/09 | 09/12/11 |
| e | 36 LVMH MOET NEW ADR F1 ADR REPS 1/5 ORD | P | 09/21/09 | 07/27/11 |
| f | 37 LVMH MOET NEW ADR F1 ADR REPS 1/5 ORD | P | 01/26/11 | 09/12/11 |
| g | 38 LVMH MOET NEW ADR F1 ADR REPS 1/5 ORD | P | 03/15/11 | 09/12/11 |
| h | 253 MAN GROUP PLC ADR FUNSPONSORED ADR | P | 03/18/10 | 08/12/11 |
| i | 173 MAN GROUP PLC ADR FUNSPONSORED ADR | P | 03/18/10 | 08/11/11 |
| j | 65 MAN GROUP PLC ADR FUNSPONSORED ADR | P | 03/25/10 | 02/03/11 |
| k | 356 MAN GROUP PLC ADR FUNSPONSORED ADR | P | 03/25/10 | 08/11/11 |
| l | 225 MAN GROUP PLC ADR FUNSPONSORED ADR | P | 05/12/10 | 08/12/11 |
| m | 120 MAN GROUP PLC ADR FUNSPONSORED ADR | P | 01/26/11 | 02/03/11 |
| n | 78 MITSUBISHI UFJ FINL ADRFSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| o | 122 MITSUBISHI UFJ FINL ADRFSPONSORED ADR | P | 01/26/11 | 09/12/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 163. | | 86. | 77. |
| b 408. | | 216. | 192. |
| c 408. | | 346. | 62. |
| d 720. | | 508. | 212. |
| e 1,350. | | 731. | 619. |
| f 1,066. | | 1,188. | <122.> |
| g 1,095. | | 1,117. | <22.> |
| h 792. | | 932. | <140.> |
| i 526. | | 637. | <111.> |
| j 309. | | 243. | 66. |
| k 1,082. | | 1,332. | <250.> |
| l 704. | | 774. | <70.> |
| m 570. | | 559. | 11. |
| n 316. | | 452. | <136.> |
| o 494. | | 670. | <176.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 77. |
| b | | | 192. |
| c | | | 62. |
| d | | | 212. |
| e | | | 619. |
| f | | | <122.> |
| g | | | <22.> |
| h | | | <140.> |
| i | | | <111.> |
| j | | | 66. |
| k | | | <250.> |
| l | | | <70.> |
| m | | | 11. |
| n | | | <136.> |
| o | | | <176.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a | 199 MITSUBISHI UFJ FINL ADR FSPONSORED ADR | P | 02/03/11 | 09/12/11 |
| b | 24 NESTLE S A REG B ADR F1 ADR REPS 1 ORD | P | 09/21/09 | 09/12/11 |
| c | 15 NESTLE S A REG B ADR F1 ADR REPS 1 ORD | P | 09/21/09 | 08/31/11 |
| d | 18 NESTLE S A REG B ADR F1 ADR REPS 1 ORD | P | 01/26/11 | 09/12/11 |
| e | 65 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| f | 3 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 09/21/09 | 07/20/11 |
| g | 3 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 09/21/09 | 09/13/11 |
| h | 16 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 10/01/09 | 09/13/11 |
| i | 4 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 10/01/09 | 09/15/11 |
| j | 4 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 10/08/09 | 07/20/11 |
| k | 11 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 12/02/09 | 11/18/11 |
| l | 10 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 12/02/09 | 10/17/11 |
| m | 23 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 12/02/09 | 11/21/11 |
| n | 1 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 12/02/09 | 11/22/11 |
| o | 7 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 12/02/09 | 09/15/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 806. | | 1,076. | <270.> |
| b 1,310. | | 1,032. | 278. |
| c 910. | | 645. | 265. |
| d 982. | | 1,003. | <21.> |
| e 2,024. | | 1,305. | 719. |
| f 378. | | 241. | 137. |
| g 95. | | 60. | 35. |
| h 509. | | 321. | 188. |
| i 130. | | 80. | 50. |
| j 504. | | 341. | 163. |
| k 248. | | 202. | 46. |
| l 293. | | 184. | 109. |
| m 523. | | 423. | 100. |
| n 23. | | 18. | 5. |
| o 228. | | 129. | 99. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <270.> |
| b | | | 278. |
| c | | | 265. |
| d | | | <21.> |
| e | | | 719. |
| f | | | 137. |
| g | | | 35. |
| h | | | 188. |
| i | | | 50. |
| j | | | 163. |
| k | | | 46. |
| l | | | 109. |
| m | | | 100. |
| n | | | 5. |
| o | | | 99. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--|--------------------------------------|----------------------------------|
| 1a | 20 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 01/26/11 | 11/18/11 |
| b | 11 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 03/10/11 | 11/23/11 |
| c | 11 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 03/10/11 | 11/28/11 |
| d | 26 NEW ORIENTAL ED ADR FSPONSORED ADR | P | 03/10/11 | 11/22/11 |
| e | 1 NOVARTIS A G SPON ADR FSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| f | 16 NOVARTIS A G SPON ADR FSPONSORED ADR | P | 04/27/10 | 09/12/11 |
| g | 16 NOVARTIS A G SPON ADR FSPONSORED ADR | P | 12/08/10 | 09/12/11 |
| h | 22 NOVARTIS A G SPON ADR FSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| i | 16 NOVO-NORDISK A-S ADR F1 ADR REP 1 ORD | P | 09/21/09 | 09/12/11 |
| j | 8 NOVO-NORDISK A-S ADR F1 ADR REP 1 ORD | P | 09/21/09 | 03/16/11 |
| k | 10 NOVO-NORDISK A-S ADR F1 ADR REP 1 ORD | P | 01/26/11 | 09/12/11 |
| l | 357 OAO GAZPROM SPON ADR FSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| m | 11 OAO GAZPROM SPON ADR FSPONSORED ADR | P | 09/21/09 | 09/09/11 |
| n | 106 OAO GAZPROM SPON ADR FSPONSORED ADR | P | 03/15/11 | 09/09/11 |
| o | 14 POTASH CORP SASK INC F | P | 01/26/11 | 09/12/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 451. | | 523. | <72.> |
| b 246. | | 243. | 3. |
| c 254. | | 243. | 11. |
| d 598. | | 573. | 25. |
| e 54. | | 49. | 5. |
| f 857. | | 830. | 27. |
| g 857. | | 881. | <24.> |
| h 1,178. | | 1,278. | <100.> |
| i 1,565. | | 1,035. | 530. |
| j 953. | | 518. | 435. |
| k 978. | | 1,160. | <182.> |
| l 3,857. | | 4,174. | <317.> |
| m 125. | | 129. | <4.> |
| n 1,205. | | 1,599. | <394.> |
| o 771. | | 780. | <9.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <72.> |
| b | | | 3. |
| c | | | 11. |
| d | | | 25. |
| e | | | 5. |
| f | | | 27. |
| g | | | <24.> |
| h | | | <100.> |
| i | | | 530. |
| j | | | 435. |
| k | | | <182.> |
| l | | | <317.> |
| m | | | <4.> |
| n | | | <394.> |
| o | | | <9.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|------------------|--|--------------------------------------|----------------------------------|
| 1a 28 POTASH CORP SASK INC | F | P | 04/19/11 | 09/12/11 |
| b 8 PUBLICIS SA ADR | FUNSPONSORED ADR | P | 09/30/10 | 09/12/11 |
| c 35 PUBLICIS SA ADR | FUNSPONSORED ADR | P | 11/01/10 | 09/12/11 |
| d 28 PUBLICIS SA ADR | FUNSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| e 108 RECKITT BENCKISER ADR | FUNSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| f 106 RECKITT BENCKISER ADR | FUNSPONSORED ADR | P | 11/12/09 | 09/12/11 |
| g 93 RECKITT BENCKISER ADR | FUNSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| h 17 S A P AG ADR | FSPONSORED ADR | P | 09/21/09 | 04/18/11 |
| i 10 S A P AG ADR | FSPONSORED ADR | P | 09/21/09 | 11/21/11 |
| j 18 S A P AG ADR | FSPONSORED ADR | P | 09/21/09 | 02/03/11 |
| k 6 S A P AG ADR | FSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| l 18 S A P AG ADR | FSPONSORED ADR | P | 09/21/09 | 02/14/11 |
| m 23 S A P AG ADR | FSPONSORED ADR | P | 12/09/10 | 09/12/11 |
| n 30 S A P AG ADR | FSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| o 48 SABMILLER PLC SPONS ADR | FSPONSORED ADR | P | 09/21/09 | 09/12/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 1,542. | | 1,608. | <66.> |
| b 159. | | 193. | <34.> |
| c 697. | | 886. | <189.> |
| d 558. | | 744. | <186.> |
| e 1,089. | | 1,054. | 35. |
| f 1,069. | | 1,076. | <7.> |
| g 938. | | 1,057. | <119.> |
| h 1,068. | | 860. | 208. |
| i 571. | | 506. | 65. |
| j 1,044. | | 911. | 133. |
| k 287. | | 304. | <17.> |
| l 1,074. | | 911. | 163. |
| m 1,101. | | 1,133. | <32.> |
| n 1,436. | | 1,691. | <255.> |
| o 1,633. | | 1,189. | 444. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <66.> |
| b | | | <34.> |
| c | | | <189.> |
| d | | | <186.> |
| e | | | 35. |
| f | | | <7.> |
| g | | | <119.> |
| h | | | 208. |
| i | | | 65. |
| j | | | 133. |
| k | | | <17.> |
| l | | | 163. |
| m | | | <32.> |
| n | | | <255.> |
| o | | | 444. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|--|--------------------------------------|----------------------------------|
| 1a | 20 SABMILLER PLC SPONS ADR FSPONSORED ADR | P | 09/21/09 | 10/14/11 |
| b | 18 SABMILLER PLC SPONS ADR FSPONSORED ADR | P | 09/21/09 | 10/13/11 |
| c | 119 SBERBANK ADR FSPONSORED ADR | P | 09/09/11 | 09/12/11 |
| d | -25 SBERBANK ADR FSPONSORED ADR | P | 09/12/11 | 09/12/11 |
| e | 23 SCHLUMBERGER LTD F | P | 09/21/09 | 09/12/11 |
| f | 15 SCHLUMBERGER LTD F | P | 01/26/11 | 09/12/11 |
| g | 6 SIEMENS A G ADR F1 ADR REP 1 ORD | P | 02/03/11 | 09/12/11 |
| h | 8 SIEMENS A G ADR F1 ADR REP 1 ORD | P | 03/10/11 | 09/12/11 |
| i | 7 SIEMENS A G ADR F1 ADR REP 1 ORD | P | 05/09/11 | 09/12/11 |
| j | 8 SMITH & NEPHEW ADR NEW FSPONSORED ADR | P | 09/21/09 | 01/10/11 |
| k | 38 SMITH & NEPHEW ADR NEW FSPONSORED ADR | P | 09/21/09 | 01/11/11 |
| l | 45 SMITH & NEPHEW ADR NEW FSPONSORED ADR | P | 09/21/09 | 01/12/11 |
| m | 54 SOUTHERN COPPER CORP | P | 09/21/09 | 04/19/11 |
| n | 28 SOUTHERN COPPER CORP | P | 01/28/10 | 04/19/11 |
| o | 10 SOUTHERN COPPER CORP | P | 01/26/11 | 04/19/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 706. | | 496. | 210. |
| b 631. | | 446. | 185. |
| c 1,243. | | 1,361. | <118.> |
| d 261. | | 266. | <5.> |
| e 1,610. | | 1,403. | 207. |
| f 1,050. | | 1,293. | <243.> |
| g 520. | | 769. | <249.> |
| h 693. | | 1,029. | <336.> |
| i 606. | | 970. | <364.> |
| j 431. | | 370. | 61. |
| k 1,985. | | 1,756. | 229. |
| l 2,389. | | 2,079. | 310. |
| m 1,931. | | 1,619. | 312. |
| n 1,001. | | 784. | 217. |
| o 358. | | 453. | <95.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 210. |
| b | | | 185. |
| c | | | <118.> |
| d | | | <5.> |
| e | | | 207. |
| f | | | <243.> |
| g | | | <249.> |
| h | | | <336.> |
| i | | | <364.> |
| j | | | 61. |
| k | | | 229. |
| l | | | 310. |
| m | | | 312. |
| n | | | 217. |
| o | | | <95.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a | 25 TECK RESOURCES LTD CL BF | P | 07/21/11 | 09/12/11 |
| b | 69 TELEFONICA SPON ADR FSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| c | 64 TELEFONICA SPON ADR FSPONSORED ADR | P | 09/21/09 | 11/23/11 |
| d | 44 TELEFONICA SPON ADR FSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| e | 2 TENCENT HOLDINGS ADR FUNSPONSORED ADR | P | 04/26/10 | 09/12/11 |
| f | 38 TENCENT HOLDINGS ADR FUNSPONSORED ADR | P | 01/10/11 | 09/12/11 |
| g | 32 TENCENT HOLDINGS ADR FUNSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| h | 10 TESCO PLC SPONSORED ADRF1 ADR REP 3 ORD | P | 04/21/10 | 10/11/11 |
| i | 60 TESCO PLC SPONSORED ADRF1 ADR REP 3 ORD | P | 11/22/10 | 09/12/11 |
| j | 42 TESCO PLC SPONSORED ADRF1 ADR REP 3 ORD | P | 12/01/10 | 09/12/11 |
| k | 48 TESCO PLC SPONSORED ADRF1 ADR REP 3 ORD | P | 01/21/11 | 10/11/11 |
| l | 5 TESCO PLC SPONSORED ADRF1 ADR REP 3 ORD | P | 01/26/11 | 10/11/11 |
| m | 60 TESCO PLC SPONSORED ADRF1 ADR REP 3 ORD | P | 01/26/11 | 09/12/11 |
| n | 39 TEVA PHARM INDS LTD ADRFSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| o | 5 TEVA PHARM INDS LTD ADRFSPONSORED ADR | P | 12/23/10 | 09/12/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 954. | | 1,343. | <389.> |
| b 1,190. | | 1,905. | <715.> |
| c 1,109. | | 1,767. | <658.> |
| d 759. | | 1,114. | <355.> |
| e 45. | | 43. | 2. |
| f 849. | | 882. | <33.> |
| g 715. | | 840. | <125.> |
| h 189. | | 206. | <17.> |
| i 1,031. | | 1,242. | <211.> |
| j 722. | | 828. | <106.> |
| k 909. | | 936. | <27.> |
| l 95. | | 98. | <3.> |
| m 1,031. | | 1,171. | <140.> |
| n 1,458. | | 2,026. | <568.> |
| o 187. | | 260. | <73.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <389.> |
| b | | | <715.> |
| c | | | <658.> |
| d | | | <355.> |
| e | | | 2. |
| f | | | <33.> |
| g | | | <125.> |
| h | | | <17.> |
| i | | | <211.> |
| j | | | <106.> |
| k | | | <27.> |
| l | | | <3.> |
| m | | | <140.> |
| n | | | <568.> |
| o | | | <73.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a | 22 TEVA PHARM INDS LTD ADRFSPONSORED ADR | P | 01/26/11 | 07/26/11 |
| b | 4 TEVA PHARM INDS LTD ADRFSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| c | 22 TEVA PHARM INDS LTD ADRFSPONSORED ADR | P | 03/16/11 | 09/12/11 |
| d | 13 TOYOTA MOTOR CP ADR NEWFSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| e | 13 TOYOTA MOTOR CP ADR NEWFSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| f | 12 TOYOTA MOTOR CP ADR NEWFSPONSORED ADR | P | 02/09/11 | 09/12/11 |
| g | 74 TURKIYE GARANTI ADR F | P | 06/30/10 | 09/12/11 |
| h | 175 TURKIYE GARANTI ADR F | P | 12/01/10 | 09/12/11 |
| i | 165 TURKIYE GARANTI ADR F | P | 01/26/11 | 09/12/11 |
| j | 86 VESTAS WIND SYS A/S ADRF1 ADR REPS 1/3 ORD | P | 09/21/09 | 05/12/11 |
| k | 141 VESTAS WIND SYS A/S ADRF1 ADR REPS 1/3 ORD | P | 09/21/09 | 05/11/11 |
| l | 8 VESTAS WIND SYS A/S ADRF1 ADR REPS 1/3 ORD | P | 09/21/09 | 05/13/11 |
| m | 38 VESTAS WIND SYS A/S ADRF1 ADR REPS 1/3 ORD | P | 12/17/09 | 05/13/11 |
| n | 39 VESTAS WIND SYS A/S ADRF1 ADR REPS 1/3 ORD | P | 12/30/09 | 05/13/11 |
| o | 40 VESTAS WIND SYS A/S ADRF1 ADR REPS 1/3 ORD | P | 01/26/11 | 05/11/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 1,023. | | 1,253. | <230.> |
| b 150. | | 228. | <78.> |
| c 823. | | 1,054. | <231.> |
| d 869. | | 1,082. | <213.> |
| e 869. | | 1,077. | <208.> |
| f 802. | | 1,079. | <277.> |
| g 264. | | 322. | <58.> |
| h 625. | | 1,013. | <388.> |
| i 589. | | 792. | <203.> |
| j 839. | | 2,088. | <1,249.> |
| k 1,409. | | 3,424. | <2,015.> |
| l 79. | | 194. | <115.> |
| m 375. | | 780. | <405.> |
| n 385. | | 795. | <410.> |
| o 400. | | 468. | <68.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <230.> |
| b | | | <78.> |
| c | | | <231.> |
| d | | | <213.> |
| e | | | <208.> |
| f | | | <277.> |
| g | | | <58.> |
| h | | | <388.> |
| i | | | <203.> |
| j | | | <1,249.> |
| k | | | <2,015.> |
| l | | | <115.> |
| m | | | <405.> |
| n | | | <410.> |
| o | | | <68.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--|--------------------------------------|----------------------------------|
| 1a | 29 VLKSWGN AG SPNS ADR PFDFSPONSORED ADR | P | 08/02/10 | 09/12/11 |
| b | 19 VLKSWGN AG SPNS ADR PFDFSPONSORED ADR | P | 01/26/11 | 09/12/11 |
| c | 62 WAL-MART DE MEX SAB ADRFSPONSORED ADR | P | 09/21/09 | 09/12/11 |
| d | 6 WAL-MART DE MEX SAB ADRFSPONSORED ADR | P | 09/21/09 | 02/10/11 |
| e | 31 WAL-MART DE MEX SAB ADRFSPONSORED ADR | P | 09/21/09 | 08/30/11 |
| f | 13 WAL-MART DE MEX SAB ADRFSPONSORED ADR | P | 01/26/11 | 02/10/11 |
| g | 18 WAL-MART DE MEX SAB ADRFSPONSORED ADR | P | 01/26/11 | 02/09/11 |
| h | 30 YANDEX N V CL A FCLASS A | P | 05/27/11 | 09/12/11 |
| i | 11 YANDEX N V CL A FCLASS A | P | 06/02/11 | 09/12/11 |
| j | 21 YOUKU.COM INC ADR FSPONSORED ADR | P | 05/13/11 | 06/15/11 |
| k | 25 YOUKU.COM INC ADR FSPONSORED ADR | P | 05/16/11 | 06/15/11 |
| l | 170 ABB LTD ADR FSPONSORED ADR | P | 12/14/09 | 01/05/11 |
| m | 80 ABB LTD ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| n | 140 ABB LTD ADR FSPONSORED ADR | P | 02/11/10 | 01/20/11 |
| o | 50 ASTRAZENECA PLC ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 791. | | 633. | 158. |
| b 518. | | 626. | <108.> |
| c 1,430. | | 1,139. | 291. |
| d 165. | | 110. | 55. |
| e 798. | | 570. | 228. |
| f 358. | | 376. | <18.> |
| g 509. | | 520. | <11.> |
| h 857. | | 1,041. | <184.> |
| i 314. | | 366. | <52.> |
| j 573. | | 1,001. | <428.> |
| k 682. | | 1,126. | <444.> |
| l 3,703. | | 3,111. | 592. |
| m 1,819. | | 1,464. | 355. |
| n 3,183. | | 2,548. | 635. |
| o 2,333. | | 2,295. | 38. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 158. |
| b | | | <108.> |
| c | | | 291. |
| d | | | 55. |
| e | | | 228. |
| f | | | <18.> |
| g | | | <11.> |
| h | | | <184.> |
| i | | | <52.> |
| j | | | <428.> |
| k | | | <444.> |
| l | | | 592. |
| m | | | 355. |
| n | | | 635. |
| o | | | 38. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--|--------------------------------------|----------------------------------|
| 1a | 250 ALLIANZ SE ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| b | 270 BAE SYSTEMS PLC ADR FSPONSORED ADR | P | 05/12/10 | 01/20/11 |
| c | 70 BAYER A G SPONSORED ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| d | 30 BAYER A G SPONSORED ADR FSPONSORED ADR | P | 11/09/10 | 01/20/11 |
| e | 120 BOC HONG KONG HLDGS ADR FSPONSORED ADR | P | 02/24/10 | 01/20/11 |
| f | 80 BHP BILLITON LTD ADR FSPONSORED ADR | P | 06/11/10 | 01/20/11 |
| g | 20 BHP BILLITON LTD ADR FSPONSORED ADR | P | 12/03/10 | 01/20/11 |
| h | 60 BNP PARIBAS ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| i | 160 BNP PARIBAS ADR FSPONSORED ADR | P | 06/01/10 | 01/20/11 |
| j | 130 BR AMER TOBACCO PLC ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| k | 115.2 CHUNGHWA TELECM NEW ADR FSPONSORED ADR | P | 12/14/09 | 09/09/11 |
| l | 84.8 CHUNGHWA TELECM NEW ADR FSPONSORED ADR | P | 05/03/10 | 09/09/11 |
| m | 418 CIA ENER DE MINAS ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| n | 100 CREDIT SUISSE GRP ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| o | 110 DIAGEO PLC NEW ADR F1 ADR REPS 4 ORD | P | 12/14/09 | 01/20/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 3,247. | | 3,114. | 133. |
| b 5,889. | | 5,569. | 320. |
| c 5,123. | | 5,567. | <444.> |
| d 2,195. | | 2,344. | <149.> |
| e 8,344. | | 5,591. | 2,753. |
| f 7,012. | | 5,215. | 1,797. |
| g 1,753. | | 1,772. | <19.> |
| h 2,172. | | 2,400. | <228.> |
| i 5,792. | | 4,524. | 1,268. |
| j 9,513. | | 8,328. | 1,185. |
| k 3,897. | | 2,863. | 1,034. |
| l 2,869. | | 2,080. | 789. |
| m 7,098. | | 7,486. | <388.> |
| n 4,405. | | 4,971. | <566.> |
| o 8,397. | | 7,546. | 851. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 133. |
| b | | | 320. |
| c | | | <444.> |
| d | | | <149.> |
| e | | | 2,753. |
| f | | | 1,797. |
| g | | | <19.> |
| h | | | <228.> |
| i | | | 1,268. |
| j | | | 1,185. |
| k | | | 1,034. |
| l | | | 789. |
| m | | | <388.> |
| n | | | <566.> |
| o | | | 851. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a | 120 ENI S P A SPON ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| b | 270 ENERPLUS CORP F | P | 12/31/10 | 01/20/11 |
| c | 1850 FOSTERS GROUP LTD ADR F | P | 12/14/09 | 01/20/11 |
| d | 200 FRANCE TELECOM SA ADR F1 ADR REPS 1 ORD | P | 10/20/10 | 01/20/11 |
| e | 130 HSBC HLDGS PLC ADR NEW FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| f | 1000 HOPEWELL HLDGS SPON ADRFSPONOSORED ADR | P | 12/14/09 | 01/20/11 |
| g | 140 ITAU UNI HOLDING SA ADRFSPONSORED ADR | P | 02/09/10 | 01/20/11 |
| h | 90 ITAU UNI HOLDING SA ADRFSPONSORED ADR | P | 05/10/10 | 01/20/11 |
| i | 120 ITAU UNI HOLDING SA ADRFSPONSORED ADR | P | 10/07/10 | 01/20/11 |
| j | 320 M T N GROUP LTD ADR FSPONSORED ADR | P | 12/22/10 | 01/20/11 |
| k | 310 MUENCHENER RUECKVER ADRFUNSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| l | 250 NOKIA CORP SPON ADR F1 ADR REP 1 NOKIA COR | P | 12/14/09 | 01/20/11 |
| m | 180 NESTLE S A REG B ADR F1 ADR REPS 1 ORD | P | 12/14/09 | 01/20/11 |
| n | 170 NOVARTIS A G SPON ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| o | 180 PRIMARUS RETAIL REIT FTRUST UNIT | P | 12/14/09 | 01/20/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 5,598. | | 6,026. | <428.> |
| b 8,432. | | 6,136. | 2,296. |
| c 10,019. | | 9,206. | 813. |
| d 4,236. | | 4,653. | <417.> |
| e 7,195. | | 7,596. | <401.> |
| f 3,242. | | 3,294. | <52.> |
| g 3,131. | | 2,760. | 371. |
| h 2,013. | | 1,891. | 122. |
| i 2,684. | | 2,995. | <311.> |
| j 5,688. | | 6,231. | <543.> |
| k 4,763. | | 4,826. | <63.> |
| l 2,600. | | 3,238. | <638.> |
| m 9,744. | | 8,683. | 1,061. |
| n 9,532. | | 9,201. | 331. |
| o 3,497. | | 2,772. | 725. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <428.> |
| b | | | 2,296. |
| c | | | 813. |
| d | | | <417.> |
| e | | | <401.> |
| f | | | <52.> |
| g | | | 371. |
| h | | | 122. |
| i | | | <311.> |
| j | | | <543.> |
| k | | | <63.> |
| l | | | <638.> |
| m | | | 1,061. |
| n | | | 331. |
| o | | | 725. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--|--------------------------------------|----------------------------------|
| 1a | 60 PETROCHINA CO ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| b | 15 PETROCHINA CO ADR FSPONSORED ADR | P | 10/13/10 | 01/20/11 |
| c | 100 ROYAL DUTCH SHELL B ADRFSPONSORED ADR | P | 10/18/10 | 01/20/11 |
| d | 50 ROYAL DUTCH SHELL B ADRFSPONSORED ADR | P | 11/09/10 | 01/20/11 |
| e | 200 ROCHE HLDG LTD SPON ADRF1 ADR REPS 1/4 | P | 07/13/10 | 01/20/11 |
| f | 230 RIOCAN REAL EST INVT TRFUNIT | P | 01/19/10 | 01/20/11 |
| g | 30 R W E AG SPON ADR F1 ADR REP 1 ORD | P | 12/14/09 | 01/05/11 |
| h | 140 SINGAPORE TLMC ADR NEW FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| i | 80 SIEMENS A G ADR F1 ADR REP 1 ORD | P | 01/29/10 | 01/20/11 |
| j | 20 SIEMENS A G ADR F1 ADR REP 1 ORD | P | 02/08/10 | 01/20/11 |
| k | 340 CIA SIDERURGICA SPN ADRFSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| l | 260 SINGAPORE AIRLS LTD ADRFUNSPONSORED ADR | P | 11/18/10 | 01/20/11 |
| m | 450 SILICONWARE SPON ADR FSPONSORED ADR 2000 | P | 12/14/09 | 01/20/11 |
| n | 250 SILICONWARE SPON ADR FSPONSORED ADR 2000 | P | 04/14/10 | 01/20/11 |
| o | 80 TELEFONICA SPON ADR FWITH STOCK SPLIT SHARE | P | 12/14/09 | 01/20/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 8,162. | | 7,513. | 649. |
| b 2,040. | | 1,951. | 89. |
| c 6,741. | | 6,252. | 489. |
| d 3,370. | | 3,298. | 72. |
| e 7,268. | | 7,130. | 138. |
| f 5,190. | | 4,464. | 726. |
| g 2,018. | | 2,861. | <843.> |
| h 3,283. | | 3,053. | 230. |
| i 9,748. | | 7,230. | 2,518. |
| j 2,437. | | 1,723. | 714. |
| k 5,861. | | 5,961. | <100.> |
| l 6,089. | | 6,394. | <305.> |
| m 3,024. | | 3,023. | 1. |
| n 1,680. | | 1,658. | 22. |
| o 5,828. | | 6,794. | <966.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 649. |
| b | | | 89. |
| c | | | 489. |
| d | | | 72. |
| e | | | 138. |
| f | | | 726. |
| g | | | <843.> |
| h | | | 230. |
| i | | | 2,518. |
| j | | | 714. |
| k | | | <100.> |
| l | | | <305.> |
| m | | | 1. |
| n | | | 22. |
| o | | | <966.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|--|--------------------------------------|----------------------------------|
| 1a | 140 TOTAL S A ADR F1 ADR REP 1 ORD | P | 12/14/09 | 01/20/11 |
| b | 50 TESCO PLC SPONSORED ADR F1 ADR REP 3 ORD | P | 08/25/10 | 01/20/11 |
| c | 330 TESCO PLC SPONSORED ADR F1 ADR REP 3 ORD | P | 08/26/10 | 01/20/11 |
| d | 50 TESCO PLC SPONSORED ADR F1 ADR REP 3 ORD | P | 09/24/10 | 01/20/11 |
| e | 770 TAIWAN SEMICONDUCTR ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| f | 330 UNILEVER N V NY SHS NEWFN Y REGISTRY SHARES | P | 12/14/09 | 01/20/11 |
| g | 270 UTD OVERSEAS BK SPN ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| h | 80 VERMILION ENERGY INC F | P | 12/14/09 | 01/20/11 |
| i | 370 VODAFONE GROUP NEW ADR FSPONSORED ADR | P | 12/14/09 | 01/20/11 |
| j | 320 ZURICH FINL SVCS ADR FSPONSORED ADR | P | 05/11/10 | 01/20/11 |
| k | 100 ABBOTT LABORATORIES | P | 10/31/08 | 11/29/11 |
| l | 100 ABBOTT LABORATORIES | P | 01/24/08 | 08/26/11 |
| m | 30 ADOBE SYSTEMS INC | P | 02/07/08 | 11/29/11 |
| n | 290 ADOBE SYSTEMS INC | P | 05/13/10 | 11/29/11 |
| o | 230 ADOBE SYSTEMS INC | P | 06/25/10 | 11/29/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 7,934. | | 8,874. | <940.> |
| b 956. | | 943. | 13. |
| c 6,307. | | 6,196. | 111. |
| d 956. | | 1,055. | <99.> |
| e 10,241. | | 8,369. | 1,872. |
| f 10,008. | | 10,627. | <619.> |
| g 8,043. | | 7,676. | 367. |
| h 3,634. | | 2,266. | 1,368. |
| i 10,319. | | 8,580. | 1,739. |
| j 8,395. | | 6,955. | 1,440. |
| k 5,347. | | 5,582. | <235.> |
| l 4,924. | | 5,828. | <904.> |
| m 791. | | 976. | <185.> |
| n 7,644. | | 10,163. | <2,519.> |
| o 6,063. | | 6,979. | <916.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|--|---|
| a | | | <940.> |
| b | | | 13. |
| c | | | 111. |
| d | | | <99.> |
| e | | | 1,872. |
| f | | | <619.> |
| g | | | 367. |
| h | | | 1,368. |
| i | | | 1,739. |
| j | | | 1,440. |
| k | | | <235.> |
| l | | | <904.> |
| m | | | <185.> |
| n | | | <2,519.> |
| o | | | <916.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|-----------------------------|--|--------------------------------------|----------------------------------|
| 1a | 90 AUTO DATA PROCESSING | P | 01/24/08 | 05/18/11 |
| b | 50 BARD C R INCORPORATED | P | 08/31/09 | 02/18/11 |
| c | 50 BARD C R INCORPORATED | P | 08/31/09 | 11/29/11 |
| d | 50 BARD C R INCORPORATED | P | 08/31/09 | 06/24/11 |
| e | 40 C H ROBINSON WORLDWD NEW | P | 03/16/10 | 02/18/11 |
| f | 30 COLGATE-PALMOLIVE CO | P | 01/24/08 | 02/18/11 |
| g | 30 COLGATE-PALMOLIVE CO | P | 05/13/10 | 02/18/11 |
| h | 50 COLGATE-PALMOLIVE CO | P | 01/24/08 | 06/24/11 |
| i | 30 CLOROX COMPANY | P | 01/24/08 | 04/13/11 |
| j | 50 CLOROX COMPANY | P | 07/14/08 | 04/13/11 |
| k | 30 CLOROX COMPANY | P | 12/08/08 | 04/13/11 |
| l | 90 CLOROX COMPANY | P | 12/26/08 | 04/13/11 |
| m | 40 CLOROX COMPANY | P | 05/26/09 | 04/13/11 |
| n | 70 CLOROX COMPANY | P | 09/23/09 | 04/13/11 |
| o | 30 CLOROX COMPANY | P | 01/24/08 | 02/18/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 4,818. | | 3,517. | 1,301. |
| b 4,886. | | 3,999. | 887. |
| c 4,242. | | 3,999. | 243. |
| d 5,411. | | 3,999. | 1,412. |
| e 2,991. | | 2,186. | 805. |
| f 2,353. | | 2,244. | 109. |
| g 2,353. | | 2,512. | <159.> |
| h 4,287. | | 3,740. | 547. |
| i 2,099. | | 1,829. | 270. |
| j 3,499. | | 2,642. | 857. |
| k 2,099. | | 1,684. | 415. |
| l 6,298. | | 4,940. | 1,358. |
| m 2,799. | | 2,063. | 736. |
| n 4,899. | | 4,169. | 730. |
| o 2,022. | | 1,829. | 193. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 1,301. |
| b | | | 887. |
| c | | | 243. |
| d | | | 1,412. |
| e | | | 805. |
| f | | | 109. |
| g | | | <159.> |
| h | | | 547. |
| i | | | 270. |
| j | | | 857. |
| k | | | 415. |
| l | | | 1,358. |
| m | | | 736. |
| n | | | 730. |
| o | | | 193. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr) | (d) Date sold (mo., day, yr) |
|--|----------------------------|--|--------------------------------------|----------------------------------|
| 1a | 10 CLOROX COMPANY | P | 10/31/08 | 02/18/11 |
| b | 70 COGNIZANT TECH SOL CL A | P | 10/31/08 | 02/18/11 |
| c | 60 ECOLAB INC | P | 01/24/08 | 03/25/11 |
| d | 40 ECOLAB INC | P | 12/08/08 | 03/25/11 |
| e | 30 ECOLAB INC | P | 10/31/08 | 11/02/11 |
| f | 20 ECOLAB INC | P | 12/08/08 | 11/02/11 |
| g | 110 EQUIFAX INC | P | 01/24/08 | 05/18/11 |
| h | 150 EQUIFAX INC | P | 01/24/08 | 12/21/11 |
| i | 349 JOHNSON & JOHNSON | P | 10/31/08 | 01/21/11 |
| j | 50 JOHNSON & JOHNSON | P | 12/08/08 | 01/21/11 |
| k | 150 COCA COLA COMPANY | P | 10/31/08 | 08/16/11 |
| l | 30 COCA COLA COMPANY | P | 12/08/08 | 08/16/11 |
| m | 20 COCA COLA COMPANY | P | 06/24/09 | 08/16/11 |
| n | 20 COCA COLA COMPANY | P | 06/24/09 | 05/18/11 |
| o | 30 COCA COLA COMPANY | P | 05/13/10 | 05/18/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 674. | | 628. | 46. |
| b 5,383. | | 1,374. | 4,009. |
| c 2,979. | | 2,835. | 144. |
| d 1,986. | | 1,510. | 476. |
| e 1,620. | | 1,119. | 501. |
| f 1,080. | | 755. | 325. |
| g 4,065. | | 3,881. | 184. |
| h 5,708. | | 5,292. | 416. |
| i 21,900. | | 21,459. | 441. |
| j 3,138. | | 2,921. | 217. |
| k 10,174. | | 6,893. | 3,281. |
| l 2,035. | | 1,389. | 646. |
| m 1,357. | | 968. | 389. |
| n 1,356. | | 968. | 388. |
| o 2,034. | | 1,614. | 420. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 46. |
| b | | | 4,009. |
| c | | | 144. |
| d | | | 476. |
| e | | | 501. |
| f | | | 325. |
| g | | | 184. |
| h | | | 416. |
| i | | | 441. |
| j | | | 217. |
| k | | | 3,281. |
| l | | | 646. |
| m | | | 389. |
| n | | | 388. |
| o | | | 420. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--|--------------------------------------|----------------------------------|
| 1a 320 MEDTRONIC INC | | P | 01/24/08 | 08/26/11 |
| b 430 MEDTRONIC INC | | P | 10/31/08 | 08/26/11 |
| c 296 MICROSOFT CORP | | P | 10/27/06 | 08/26/11 |
| d 44 MICROSOFT CORP | | P | 02/13/07 | 08/26/11 |
| e 10 MICROSOFT CORP | | P | 01/24/08 | 08/26/11 |
| f 200 ORACLE CORPORATION | | P | 12/22/09 | 03/25/11 |
| g 25 PAYCHEX INC | | P | 02/12/07 | 11/02/11 |
| h 40 PAYCHEX INC | | P | 01/24/08 | 11/02/11 |
| i 235 PAYCHEX INC | | P | 10/31/08 | 11/02/11 |
| j 100 PAYCHEX INC | | P | 02/12/07 | 08/16/11 |
| k 40 PRAXAIR INC | | P | 03/23/10 | 02/18/11 |
| l 200 STRYKER CORP | | P | 01/24/08 | 08/26/11 |
| m 605 SYSCO CORPORATION | | P | 10/31/08 | 09/02/11 |
| n 100 SYSCO CORPORATION | | P | 12/08/08 | 09/02/11 |
| o 35 SYSCO CORPORATION | | P | 01/24/08 | 08/16/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 10,665. | | 15,090. | <4,425.> |
| b 14,332. | | 17,366. | <3,034.> |
| c 7,280. | | 8,367. | <1,087.> |
| d 1,082. | | 1,277. | <195.> |
| e 246. | | 325. | <79.> |
| f 6,746. | | 4,922. | 1,824. |
| g 717. | | 1,013. | <296.> |
| h 1,147. | | 1,341. | <194.> |
| i 6,741. | | 6,755. | <14.> |
| j 2,701. | | 4,050. | <1,349.> |
| k 3,927. | | 3,276. | 651. |
| l 9,098. | | 13,836. | <4,738.> |
| m 16,494. | | 15,802. | 692. |
| n 2,726. | | 2,215. | 511. |
| o 966. | | 980. | <14.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <4,425.> |
| b | | | <3,034.> |
| c | | | <1,087.> |
| d | | | <195.> |
| e | | | <79.> |
| f | | | 1,824. |
| g | | | <296.> |
| h | | | <194.> |
| i | | | <14.> |
| j | | | <1,349.> |
| k | | | 651. |
| l | | | <4,738.> |
| m | | | 692. |
| n | | | 511. |
| o | | | <14.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|--|--------------------------------------|----------------------------------|
| 1a | 15 SYSCO CORPORATION | P | 10/31/08 | 08/16/11 |
| b | 100 SYSCO CORPORATION | P | 01/24/08 | 02/18/11 |
| c | 50 UNITED TECHNOLOGIES CORP | P | 02/18/11 | 08/16/11 |
| d | 60 WATERS CORP | P | 10/31/08 | 05/18/11 |
| e | 10 WISDOMTREE ASIA ETF LOCAL DEBT FUND | P | 03/17/11 | 09/27/11 |
| f | 644 WISDOMTREE ASIA ETF LOCAL DEBT FUND | P | 05/31/11 | 09/27/11 |
| g | 886 FIRST TR BICK INDEX ETF EMERGING MARKET EQUIT | P | 10/04/10 | 05/31/11 |
| h | 107 VANGUARD BOND INDEX FUNDTOTAL BOND MARKET ETF | P | 06/02/10 | 10/05/11 |
| i | 1180 WISDOMTREE TRUST BRAZILIAN REAL FUND | P | 05/31/11 | 09/27/11 |
| j | 610 WISDOMTREE EMERGING ETF MKTS LOCAL DEBT FUND | P | 08/11/11 | 09/27/11 |
| k | 547 WISDOMTREE EMERGING ETF MKTS LOCAL DEBT FUND | P | 08/09/10 | 05/31/11 |
| l | 1147 WISDOMTREE INDIA EARNING | P | 07/13/10 | 02/01/11 |
| m | 1204 ISHARES MSCI GRMNY IDX GERMANY INDEX FUND | P | 12/08/10 | 05/17/11 |
| n | 1669 ISHARES MSCI HK IDX FD HONG KONG INDEX FUND | P | 02/01/11 | 08/05/11 |
| o | 1853 ISHARES MSCI HK IDX FD HONG KONG INDEX FUND | P | 05/31/11 | 08/05/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 414. | | 392. | 22. |
| b 2,831. | | 2,800. | 31. |
| c 3,659. | | 4,242. | <583.> |
| d 5,789. | | 2,690. | 3,099. |
| e 499. | | 500. | <1.> |
| f 32,144. | | 33,494. | <1,350.> |
| g 28,998. | | 28,688. | 310. |
| h 8,918. | | 8,593. | 325. |
| i 30,062. | | 33,937. | <3,875.> |
| j 29,752. | | 32,361. | <2,609.> |
| k 29,209. | | 27,361. | 1,848. |
| l 26,091. | | 27,008. | <917.> |
| m 31,907. | | 28,860. | 3,047. |
| n 29,044. | | 32,539. | <3,495.> |
| o 32,246. | | 35,950. | <3,704.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 22. |
| b | | | 31. |
| c | | | <583.> |
| d | | | 3,099. |
| e | | | <1.> |
| f | | | <1,350.> |
| g | | | 310. |
| h | | | 325. |
| i | | | <3,875.> |
| j | | | <2,609.> |
| k | | | 1,848. |
| l | | | <917.> |
| m | | | 3,047. |
| n | | | <3,495.> |
| o | | | <3,704.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|----------------------------|-----------------------|--|--------------------------------------|----------------------------------|
| 1a | 593 SPDR INDEX SHARES FUND | S&P EMERGING MARKETS | P | 06/02/10 | 05/13/11 |
| b | 5 SPDR INDEX SHARES FUND | S&P EMERGING MARKETS | P | 09/09/10 | 05/13/11 |
| c | 636 SPDR INDEX SHARES FUND | S&P EMERGING MARKETS | P | 09/09/10 | 02/01/11 |
| d | 473 SPDR INDEX SHARES FUND | S&P EMERGING MARKETS | P | 10/04/10 | 02/01/11 |
| e | 248 SPDR INDEX SHARES FUND | S&P EMERGING MARKETS | P | 01/25/11 | 02/01/11 |
| f | 555 ISHARES MSCI KOREA IDX | KOREA INDEX FUND | P | 02/01/11 | 05/17/11 |
| g | 488 ISHARES MSCI KOREA IDX | KOREA INDEX FUND | P | 02/01/11 | 03/15/11 |
| h | 384 ISHARES FTSE CHINA 25 | FTSE CHINA 25 INDEX F | P | 10/10/08 | 02/01/11 |
| i | 176 ISHARES FTSE CHINA 25 | FTSE CHINA 25 INDEX F | P | 02/04/09 | 02/01/11 |
| j | 321 ISHARES FTSE CHINA 25 | FTSE CHINA 25 INDEX F | P | 12/09/09 | 02/01/11 |
| k | 129 ISHARES FTSE CHINA 25 | FTSE CHINA 25 INDEX F | P | 01/25/11 | 02/01/11 |
| l | 62 SPDR GOLD TRUST | SPDR GOLD SHARES | P | 02/20/08 | 02/01/11 |
| m | 82 SPDR GOLD TRUST | SPDR GOLD SHARES | P | 05/12/10 | 10/05/11 |
| n | 98 SPDR GOLD TRUST | SPDR GOLD SHARES | P | 02/20/08 | 12/08/11 |
| o | 43 SPDR GOLD TRUST | SPDR GOLD SHARES | P | 10/17/08 | 12/08/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 32,519. | | 26,800. | 5,719. |
| b 274. | | 260. | 14. |
| c 34,271. | | 33,058. | 1,213. |
| d 25,488. | | 26,132. | <644.> |
| e 13,364. | | 13,622. | <258.> |
| f 35,299. | | 35,050. | 249. |
| g 27,565. | | 30,819. | <3,254.> |
| h 16,442. | | 10,030. | 6,412. |
| i 7,536. | | 4,680. | 2,856. |
| j 13,745. | | 13,900. | <155.> |
| k 5,524. | | 5,488. | 36. |
| l 8,106. | | 5,762. | 2,344. |
| m 13,074. | | 9,969. | 3,105. |
| n 16,540. | | 9,108. | 7,432. |
| o 7,257. | | 3,317. | 3,940. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 5,719. |
| b | | | 14. |
| c | | | 1,213. |
| d | | | <644.> |
| e | | | <258.> |
| f | | | 249. |
| g | | | <3,254.> |
| h | | | 6,412. |
| i | | | 2,856. |
| j | | | <155.> |
| k | | | 36. |
| l | | | 2,344. |
| m | | | 3,105. |
| n | | | 7,432. |
| o | | | 3,940. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a | 130 SPDR GOLD TRUST SPDR GOLD SHARES | P | 05/12/10 | 12/08/11 |
| b | 50 ISHARES TR BARCLAYS BONDBARCLAYS 7-10 YEAR | P | 05/31/11 | 10/05/11 |
| c | 193 PIMCO EXCH TRADED FUND 15+ YR US TIPS INDEX | P | 10/04/10 | 02/01/11 |
| d | 105 PIMCO EXCH TRADED FUND 15+ YR US TIPS INDEX | P | 10/04/10 | 10/05/11 |
| e | 215 PIMCO EXCH TRADED FUND 15+ YR US TIPS INDEX | P | 10/04/10 | 05/31/11 |
| f | 562 POWERSHS QQQ TRUST SER 1 | P | 02/01/11 | 05/31/11 |
| g | 1319 SPDR S&P DIVIDEND ETF | P | 10/04/10 | 02/01/11 |
| h | 122 SPDR S&P DIVIDEND ETF | P | 01/25/11 | 02/01/11 |
| i | 249 S P D R S&P 500 ETF TR EXPIRING 01/22/2118 | P | 02/01/11 | 09/13/11 |
| j | 629 VANGUARD INTL EQTY INDEXFTSE ALL WORLD EX US | P | 10/09/08 | 05/31/11 |
| k | 28 VANGUARD REIT | P | 09/15/10 | 10/05/11 |
| l | 411 VANGUARD REIT | P | 02/01/11 | 10/05/11 |
| m | 3331.334 CRM MID CAP VALUE FUND INST CL | P | 12/16/03 | 07/27/11 |
| n | 34.245 CRM MID CAP VALUE FUND INST CL | P | 12/15/09 | 07/27/11 |
| o | 898 DODGE & COX STOCK FUND | P | 03/24/03 | 01/20/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 21,941. | | 15,805. | 6,136. |
| b 5,250. | | 4,831. | 419. |
| c 10,191. | | 10,895. | <704.> |
| d 6,716. | | 5,927. | 789. |
| e 11,973. | | 12,137. | <164.> |
| f 32,574. | | 32,023. | 551. |
| g 69,114. | | 66,250. | 2,864. |
| h 6,393. | | 6,370. | 23. |
| i 29,200. | | 32,542. | <3,342.> |
| j 31,519. | | 22,233. | 9,286. |
| k 1,362. | | 1,491. | <129.> |
| l 19,994. | | 23,469. | <3,475.> |
| m 98,982. | | 71,707. | 27,275. |
| n 1,018. | | 818. | 200. |
| o 99,354. | | 87,102. | 12,252. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 6,136. |
| b | | | 419. |
| c | | | <704.> |
| d | | | 789. |
| e | | | <164.> |
| f | | | 551. |
| g | | | 2,864. |
| h | | | 23. |
| i | | | <3,342.> |
| j | | | 9,286. |
| k | | | <129.> |
| l | | | <3,475.> |
| m | | | 27,275. |
| n | | | 200. |
| o | | | 12,252. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--|--------------------------------------|----------------------------------|
| 1a 36.053 DODGE & COX STOCK FUND | | P | 12/28/06 | 01/20/11 |
| b 129.668 DODGE & COX STOCK FUND | | P | 12/28/07 | 01/20/11 |
| c 5.888 DODGE & COX STOCK FUND | | P | 12/28/07 | 01/20/11 |
| d 8.526 DODGE & COX STOCK FUND | | P | 12/28/07 | 01/20/11 |
| e 8.06 DODGE & COX STOCK FUND | | P | 03/27/08 | 01/20/11 |
| f 59.78 DODGE & COX STOCK FUND | | P | 03/27/08 | 01/20/11 |
| g 0.718 DODGE & COX STOCK FUND | | P | 03/27/08 | 01/20/11 |
| h 8.473 DODGE & COX STOCK FUND | | P | 06/26/08 | 01/20/11 |
| i 7.689 DODGE & COX STOCK FUND | | P | 09/26/08 | 01/20/11 |
| j 5.196 DODGE & COX STOCK FUND | | P | 12/22/08 | 01/20/11 |
| k 7.752 DODGE & COX STOCK FUND | | P | 03/26/09 | 01/20/11 |
| l 4.578 DODGE & COX STOCK FUND | | P | 06/25/09 | 01/20/11 |
| m 2.84 DODGE & COX STOCK FUND | | P | 09/25/09 | 01/20/11 |
| n 2.881 DODGE & COX STOCK FUND | | P | 12/21/09 | 01/20/11 |
| o 3.97 DODGE & COX STOCK FUND | | P | 03/26/10 | 01/20/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 3,989. | | 5,555. | <1,566.> |
| b 14,346. | | 18,054. | <3,708.> |
| c 651. | | 820. | <169.> |
| d 943. | | 1,187. | <244.> |
| e 892. | | 946. | <54.> |
| f 6,614. | | 7,018. | <404.> |
| g 79. | | 84. | <5.> |
| h 937. | | 948. | <11.> |
| i 851. | | 791. | 60. |
| j 575. | | 372. | 203. |
| k 858. | | 514. | 344. |
| l 507. | | 353. | 154. |
| m 314. | | 260. | 54. |
| n 319. | | 278. | 41. |
| o 439. | | 403. | 36. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <1,566.> |
| b | | | <3,708.> |
| c | | | <169.> |
| d | | | <244.> |
| e | | | <54.> |
| f | | | <404.> |
| g | | | <5.> |
| h | | | <11.> |
| i | | | 60. |
| j | | | 203. |
| k | | | 344. |
| l | | | 154. |
| m | | | 54. |
| n | | | 41. |
| o | | | 36. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|-------------------------------|--|--------------------------------------|----------------------------------|
| 1a | 4.392 DODGE & COX STOCK FUND | P | 06/25/10 | 01/20/11 |
| b | 3.576 DODGE & COX STOCK FUND | P | 09/27/10 | 01/20/11 |
| c | 2.894 DODGE & COX STOCK FUND | P | 12/21/10 | 01/20/11 |
| d | 1571 EATON VANCE ENHANCED EQT | P | 12/22/08 | 01/24/11 |
| e | 429 EATON VANCE ENHANCED EQT | P | 12/23/08 | 01/24/11 |
| f | 1454 EATON VANCE ENHANCED EQT | P | 12/22/08 | 01/24/11 |
| g | 546 EATON VANCE ENHANCED EQT | P | 12/23/08 | 01/24/11 |
| h | 1322 EATON VANCE TAX MGD BUY | P | 12/22/08 | 01/24/11 |
| i | 678 EATON VANCE TAX MGD BUY | P | 12/23/08 | 01/24/11 |
| j | 1619 EATON VANCE TAX MGD BUY | P | 12/22/08 | 01/24/11 |
| k | 381 EATON VANCE TAX MGD BUY | P | 12/23/08 | 01/24/11 |
| l | 2000 EATON VANCE TAX MGD GLBL | P | 12/22/08 | 01/24/11 |
| m | 2822.467 FAIRHOLME FUND | P | 04/15/10 | 11/02/11 |
| n | 140.157 FAIRHOLME FUND | P | 12/16/10 | 11/02/11 |
| o | 25.964 FAIRHOLME FUND | P | 12/16/10 | 11/02/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a | 486. | 405. | 81. |
| b | 396. | 346. | 50. |
| c | 320. | 311. | 9. |
| d | 19,569. | 17,499. | 2,070. |
| e | 5,344. | 5,001. | 343. |
| f | 17,581. | 14,812. | 2,769. |
| g | 6,602. | 5,639. | 963. |
| h | 18,674. | 14,957. | 3,717. |
| i | 9,577. | 7,891. | 1,686. |
| j | 21,106. | 16,608. | 4,498. |
| k | 4,967. | 3,865. | 1,102. |
| l | 24,473. | 19,482. | 4,991. |
| m | 75,748. | 100,025. | <24,277.> |
| n | 3,761. | 4,771. | <1,010.> |
| o | 697. | 884. | <187.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 81. |
| b | | | 50. |
| c | | | 9. |
| d | | | 2,070. |
| e | | | 343. |
| f | | | 2,769. |
| g | | | 963. |
| h | | | 3,717. |
| i | | | 1,686. |
| j | | | 4,498. |
| k | | | 1,102. |
| l | | | 4,991. |
| m | | | <24,277.> |
| n | | | <1,010.> |
| o | | | <187.> |

| | |
|---|---|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } | 2 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 } | 3 |

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|------------------------------|--|--------------------------------------|----------------------------------|
| 1a | 6.324 FAIRHOLME FUND | P | 12/31/10 | 11/02/11 |
| b | 3793.883 FAIRHOLME FUND | P | 01/20/11 | 11/02/11 |
| c | 2627.821 FAIRHOLME FUND | P | 01/28/11 | 11/02/11 |
| d | 3206.957 FAIRHOLME FUND | P | 01/20/11 | 07/27/11 |
| e | 1773 SOUND SHORE FUND INC | P | 09/18/00 | 01/20/11 |
| f | 154 SOUND SHORE FUND INC | P | 12/28/06 | 01/20/11 |
| g | 5.308 SOUND SHORE FUND INC | P | 06/27/07 | 01/20/11 |
| h | 5.162 SOUND SHORE FUND INC | P | 12/27/07 | 01/20/11 |
| i | 212.881 SOUND SHORE FUND INC | P | 12/27/07 | 01/20/11 |
| j | 20.744 SOUND SHORE FUND INC | P | 12/27/07 | 01/20/11 |
| k | 9.07 SOUND SHORE FUND INC | P | 06/26/08 | 01/20/11 |
| l | 11.632 SOUND SHORE FUND INC | P | 12/30/08 | 01/20/11 |
| m | 13.68 SOUND SHORE FUND INC | P | 06/25/09 | 01/20/11 |
| n | 5.044 SOUND SHORE FUND INC | P | 12/30/09 | 01/20/11 |
| o | 10.983 SOUND SHORE FUND INC | P | 06/24/10 | 01/20/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 170. | | 225. | <55.> |
| b 101,818. | | 135,493. | <33,675.> |
| c 70,524. | | 92,025. | <21,501.> |
| d 100,000. | | 114,532. | <14,532.> |
| e 58,296. | | 59,584. | <1,288.> |
| f 5,064. | | 6,065. | <1,001.> |
| g 175. | | 221. | <46.> |
| h 170. | | 185. | <15.> |
| i 7,000. | | 7,632. | <632.> |
| j 682. | | 744. | <62.> |
| k 298. | | 297. | 1. |
| l 382. | | 261. | 121. |
| m 450. | | 331. | 119. |
| n 166. | | 145. | 21. |
| o 361. | | 295. | 66. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <55.> |
| b | | | <33,675.> |
| c | | | <21,501.> |
| d | | | <14,532.> |
| e | | | <1,288.> |
| f | | | <1,001.> |
| g | | | <46.> |
| h | | | <15.> |
| i | | | <632.> |
| j | | | <62.> |
| k | | | 1. |
| l | | | 121. |
| m | | | 119. |
| n | | | 21. |
| o | | | 66. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--|--------------------------------------|----------------------------------|
| 1a | 4.851 SOUND SHORE FUND INC | P | 12/30/10 | 01/20/11 |
| b | 4949.157 THORNBURG INVT INCOME BUILDER FD CL I | P | 01/28/11 | 07/27/11 |
| c | 190.874 THORNBURG INVT INCOME BUILDER FD CL I | P | 03/25/11 | 07/27/11 |
| d | 46082.949 VANGUARD GNMA FUND ADMIRAL SHARE | P | 12/09/09 | 01/20/11 |
| e | 86.46 VANGUARD GNMA FUND ADMIRAL SHARE | P | 12/30/09 | 01/20/11 |
| f | 224.795 VANGUARD GNMA FUND ADMIRAL SHARE | P | 12/30/09 | 01/20/11 |
| g | 78.109 VANGUARD GNMA FUND ADMIRAL SHARE | P | 12/31/09 | 01/20/11 |
| h | 59.09 VANGUARD GNMA FUND ADMIRAL SHARE | P | 01/29/10 | 01/20/11 |
| i | 118.018 VANGUARD GNMA FUND ADMIRAL SHARE | P | 02/26/10 | 01/20/11 |
| j | 147.817 VANGUARD GNMA FUND ADMIRAL SHARE | P | 03/29/10 | 01/20/11 |
| k | 43.475 VANGUARD GNMA FUND ADMIRAL SHARE | P | 03/29/10 | 01/20/11 |
| l | 141.095 VANGUARD GNMA FUND ADMIRAL SHARE | P | 03/31/10 | 01/20/11 |
| m | 139.669 VANGUARD GNMA FUND ADMIRAL SHARE | P | 04/30/10 | 01/20/11 |
| n | 129.948 VANGUARD GNMA FUND ADMIRAL SHARE | P | 05/28/10 | 01/20/11 |
| o | 117.929 VANGUARD GNMA FUND ADMIRAL SHARE | P | 06/30/10 | 01/20/11 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 160. | | 154. | 6. |
| b 96,287. | | 95,881. | 406. |
| c 3,713. | | 3,741. | <28.> |
| d 493,064. | | 500,025. | <6,961.> |
| e 925. | | 922. | 3. |
| f 2,405. | | 2,396. | 9. |
| g 836. | | 831. | 5. |
| h 632. | | 635. | <3.> |
| i 1,263. | | 1,271. | <8.> |
| j 1,582. | | 1,586. | <4.> |
| k 465. | | 466. | <1.> |
| l 1,510. | | 1,513. | <3.> |
| m 1,494. | | 1,503. | <9.> |
| n 1,390. | | 1,414. | <24.> |
| o 1,262. | | 1,297. | <35.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | 6. |
| b | | | 406. |
| c | | | <28.> |
| d | | | <6,961.> |
| e | | | 3. |
| f | | | 9. |
| g | | | 5. |
| h | | | <3.> |
| i | | | <8.> |
| j | | | <4.> |
| k | | | <1.> |
| l | | | <3.> |
| m | | | <9.> |
| n | | | <24.> |
| o | | | <35.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

The Hastings Foundation, Inc.

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | | | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|-------------------------|--------------------|---------------|--|--------------------------------------|----------------------------------|
| 1a | 128.804 | VANGUARD GNMA FUND | ADMIRAL SHARE | P | 07/30/10 | 01/20/11 |
| b | 148.269 | VANGUARD GNMA FUND | ADMIRAL SHARE | P | 08/31/10 | 01/20/11 |
| c | 147.231 | VANGUARD GNMA FUND | ADMIRAL SHARE | P | 09/30/10 | 01/20/11 |
| d | 142.567 | VANGUARD GNMA FUND | ADMIRAL SHARE | P | 10/29/10 | 01/20/11 |
| e | 131.801 | VANGUARD GNMA FUND | ADMIRAL SHARE | P | 11/30/10 | 01/20/11 |
| f | 812.354 | VANGUARD GNMA FUND | ADMIRAL SHARE | P | 12/30/10 | 01/20/11 |
| g | 224.407 | VANGUARD GNMA FUND | ADMIRAL SHARE | P | 12/30/10 | 01/20/11 |
| h | 137.685 | VANGUARD GNMA FUND | ADMIRAL SHARE | P | 12/31/10 | 01/20/11 |
| i | Capital Gains Dividends | | | | | |
| j | | | | | | |
| k | | | | | | |
| l | | | | | | |
| m | | | | | | |
| n | | | | | | |
| o | | | | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 1,378. | | 1,427. | <49.> |
| b 1,586. | | 1,644. | <58.> |
| c 1,575. | | 1,622. | <47.> |
| d 1,525. | | 1,584. | <59.> |
| e 1,410. | | 1,459. | <49.> |
| f 8,692. | | 8,700. | <8.> |
| g 2,401. | | 2,403. | <2.> |
| h 1,473. | | 1,479. | <6.> |
| i 610. | | | 610. |
| j | | | |
| k | | | |
| l | | | |
| m | | | |
| n | | | |
| o | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---------------------------|--------------------------------------|---|---|
| a | | | <49.> |
| b | | | <58.> |
| c | | | <47.> |
| d | | | <59.> |
| e | | | <49.> |
| f | | | <8.> |
| g | | | <2.> |
| h | | | <6.> |
| i | | | 610. |
| j | | | |
| k | | | |
| l | | | |
| m | | | |
| n | | | |
| o | | | |

| | | |
|---|---|-----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } | 2 | <10,380.> |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A |

| | | | |
|-------------|--|-----------|---|
| Form 990-PF | Dividends and Interest from Securities | Statement | 1 |
|-------------|--|-----------|---|

| Source | Gross Amount | Capital Gains Dividends | Column (A) Amount |
|----------------------------------|--------------|-------------------------|-------------------|
| Charles Schwab | 118,708. | 417. | 118,291. |
| Powershares DB Commodity | 219. | 193. | 26. |
| Total to Fm 990-PF, Part I, ln 4 | 118,927. | 610. | 118,317. |

| | | | |
|-------------|--------------|-----------|---|
| Form 990-PF | Other Income | Statement | 2 |
|-------------|--------------|-----------|---|

| Description | (a) Revenue Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| Sec 1256 contracts | <95.> | <95.> | |
| Rimrock | 3,384. | 3,384. | |
| Total to Form 990-PF, Part I, line 11 | 3,289. | 3,289. | |

| | | | |
|-------------|-----------------|-----------|---|
| Form 990-PF | Accounting Fees | Statement | 3 |
|-------------|-----------------|-----------|---|

| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| HHG Foundation Services | 4,575. | 2,288. | | 2,287. |
| To Form 990-PF, Pg 1, ln 16b | 4,575. | 2,288. | | 2,287. |

| | | | |
|-------------|-------------------------|-----------|---|
| Form 990-PF | Other Professional Fees | Statement | 4 |
|-------------|-------------------------|-----------|---|

| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| HHG Foundation Services | 2,500. | 1,250. | | 1,250. |
| Investment Management Fees | 51,054. | 51,054. | | 0. |
| To Form 990-PF, Pg 1, ln 16c | 53,554. | 52,304. | | 1,250. |

| Form 990-PF | Taxes | | | Statement | 5 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes | |
| Foreign taxes paid | 1,287. | 1,287. | | 0. | |
| Payroll taxes | 1,724. | 862. | | 862. | |
| 2010 excise tax | 275. | 0. | | 0. | |
| 2011 estimated tax payment | 1,360. | 0. | | 0. | |
| To Form 990-PF, Pg 1, ln 18 | 4,646. | 2,149. | | 862. | |

| Form 990-PF | Other Expenses | | | Statement | 6 |
|---|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes | |
| Bank charges | 61. | 31. | | 30. | |
| Chronicle of Philanthropy subscription | 110. | 0. | | 110. | |
| CSC Corporate filings | 291. | 0. | | 291. | |
| Office expenses | 31. | 16. | | 15. | |
| D&O Insurance | 1,582. | 0. | | 1,582. | |
| To Form 990-PF, Pg 1, ln 23 | 2,075. | 47. | | 2,028. | |

| Form 990-PF | Corporate Stock | | Statement | 7 |
|---|-----------------|--|----------------------|---|
| Description | Book Value | | Fair Market Value | |
| Equities (schedule attached) | 2,347,482. | | 2,547,946. | |
| Total to Form 990-PF, Part II, line 10b | 2,347,482. | | 2,547,946. | |

| | | | |
|-------------|-----------------|-----------|---|
| Form 990-PF | Corporate Bonds | Statement | 8 |
|-------------|-----------------|-----------|---|

| Description | Book Value | Fair Market Value |
|---|------------|-------------------|
| Fixed income (schedule attached) | 629,480. | 642,787. |
| Total to Form 990-PF, Part II, line 10c | 629,480. | 642,787. |

| | | | |
|-------------|-------------------|-----------|---|
| Form 990-PF | Other Investments | Statement | 9 |
|-------------|-------------------|-----------|---|

| Description | Valuation Method | Book Value | Fair Market Value |
|--|------------------|------------|-------------------|
| Alternative (schedule attached) | COST | 668,784. | 670,926. |
| Chilton Multi-Strategy Fund | COST | 500,000. | 466,241. |
| DB Commodity Index Tracking Fund | COST | 0. | 0. |
| Total to Form 990-PF, Part II, line 13 | | 1,168,784. | 1,137,167. |

Form 990-PF

Election Under Regulations Section
53.4942(a)-3(d)(2) to Treat
Excess Qualifying Distributions
as Distributions out of Corpus

Statement 10

The Foundation elects under Regulation 53-4942(a)-3(d)(2) to apply all or part of the remaining qualifying distributions to any undistributed income remaining from the years before 2011 or to apply to the corpus.



23 Old Kent's Highway South
P.O. Box 4004 • Darien, CT 06820-4004

Portfolio Holdings

As of 12/31/2011

12/30/2011 Prices

Stone CLJr, Hastings Foundation Report Group Acct # All Accounts
Hastings Foundation Inc

| Weight | Description | Symbol | Quantity | Tax Cost Basis | Current Value |
|---------------|--|--------|------------|----------------------|------------------|
| Stocks | | | | | |
| 1.3% | 3M Companies | MMM | 710 | 50,250.91 | 58,028.30 |
| 1.2% | Abbott Laboratories | ABT | 930 | 48,597.37 | 52,293.90 |
| 0.1% | Adidas Salomon Ag ADR | ADDYY | 119 | 4,333.75 | 3,882.07 |
| 1.0% | Adobe Systems Inc | ADBE | 1,500 | 39,079.16 | 42,405.00 |
| 1.1% | Amphenol Corp Cl A | APH | 1,080 | 34,976.77 | 49,021.20 |
| 0.1% | Arm Holdings Plc Spons ADR | ARMH | 85 | 574.45 | 2,351.95 |
| 0.1% | Assa Alboy AB | ASAZY | 456 | 6,173.12 | 5,740.49 |
| 1.0% | Auto Data Processing | ADP | 820 | 30,431.29 | 44,288.20 |
| 0.6% | Bard C R Inc | BCR | 290 | 22,857.36 | 24,795.00 |
| 1.1% | Becton Dickinson Co | BDX | 650 | 53,672.23 | 48,568.00 |
| 0.1% | Bg Group Plc ADR | BRGY | 52 | 4,679.35 | 5,561.96 |
| 0.8% | C H Robinson Worldwd New | CHRW | 520 | 30,600.20 | 36,285.60 |
| 0.1% | Canadian Natl Ry Co | CNI | 72 | 3,612.76 | 5,656.32 |
| 0.1% | Canadian Natural Res | CNQ | 82 | 2,771.22 | 3,064.34 |
| 0.1% | Canon Inc Sponsored ADR | CAJ | 134 | 5,157.99 | 5,901.36 |
| 0.1% | Carnival Corp | CCL | 189 | 6,080.83 | 6,168.96 |
| 0.1% | Check Pt Software Tech | CHKP | 44 | 2,453.62 | 2,311.76 |
| 0.1% | Cnooc Limited ADR | CEO | 29 | 4,155.26 | 5,065.72 |
| 0.6% | Coca Cola Company | KO | 370 | 17,669.91 | 25,888.90 |
| 1.1% | Cognizant Tech Solutions Corp | CTSH | 750 | 22,145.96 | 48,232.50 |
| 1.0% | Colgate-Palmolive Co | CL | 480 | 33,355.01 | 44,347.20 |
| 0.1% | Credit Suisse Group | CS | 190 | 7,663.03 | 4,461.20 |
| 7.4% | CRM Mid Cap Value Fund Inst | CRIMX | 12,141.129 | 325,275.88 | 321,375.68 |
| 0.1% | Dassault Systemes SA ADR | DASTY | 30 | 1,718.72 | 2,411.82 |
| 0.4% | Ecolab Inc | ECL | 320 | 11,919.02 | 18,499.20 |
| 0.1% | Embraer Empresa Br ADR | ERJ | 177 | 4,040.35 | 4,463.94 |
| 1.3% | Emerson Electric Co | EMR | 1,240 | 50,366.29 | 57,771.60 |
| 1.0% | Equifax Inc | EFX | 1,140 | 33,548.14 | 44,163.60 |
| 0.1% | Fanuc Ltd Japan ADR | FANUY | 179 | 2,606.06 | 4,567.67 |
| 0.1% | Flsmidth & Co A/S ADR Each Repr 0.1 | FLIDY | 445 | 2,631.05 | 2,623.19 |
| 0.1% | Fresenius Med Care ADR | FMS | 82 | 4,222.13 | 5,574.36 |
| 0.1% | Hang Lung Ppty ADR | HLPPY | 156 | 2,765.94 | 2,219.51 |
| 0.1% | Hennes & Maunz Ab ADR | HNNMY | 975 | 5,699.85 | 6,294.89 |
| 0.1% | Hong Kong Exchanges & Clearing Ltd ADR | HKXCY | 204 | 3,882.33 | 3,259.65 |
| 0.1% | ING Groep NV ADR | ING | 496 | 3,987.57 | 3,556.32 |
| 0.1% | Industrial & Coml Bkchina ADR | IDCBY | 337 | 5,130.93 | 4,000.63 |

Portfolio Holdings

As of 12/31/2011
12/30/2011 Prices

Stone CLJr, Hastings Foundation Report Group Acct # All Accounts

| <u>Weight</u> | <u>Description</u> | <u>Symbol</u> | <u>Quantity</u> | <u>Tax Cost Basis</u> | <u>Current Value</u> |
|---------------|--------------------------------------|---------------|-----------------|-------------------------------|--------------------------|
| Stocks | | | | | |
| 1.4% | iShares Inc MSCI Hong Kong Index Fd | EWI | 4,086 | 62,015.67 | 63,210.42 |
| 0.1% | Itau Unibanco Banco Multiplo Sa | ITUB | 301 | 5,742.27 | 5,586.56 |
| 0.1% | KDDI Corp | KDDIY | 177 | 3,039.04 | 2,846.87 |
| 0.1% | Kingfisher Plc Adr New | KGFIY | 712 | 4,927.01 | 5,548.05 |
| 0.1% | Komatsu Ltd Spon Adr | KMTUY | 207 | 4,015.74 | 4,840.05 |
| 0.5% | Laboratory Corp. of America Holdings | LH | 250 | 20,498.15 | 21,492.50 |
| 0.0% | Las Vegas Sands Corp | LVS | 28 | 1,186.66 | 1,196.44 |
| 0.1% | Li & Fung Limited | LFUGY | 1,181 | 4,409.87 | 4,373.24 |
| 0.2% | Lvmh Moet New Adr | LVMUY | 254 | 5,328.90 | 7,214.46 |
| 0.7% | Medtronic Inc | MDT | 820 | 29,291.99 | 31,365.00 |
| 0.1% | Mercadolibre Inc | MELI | 36 | 2,317.99 | 2,863.44 |
| 1.2% | Microsoft Corp | MSFT | 2,046 | 47,532.93 | 53,114.16 |
| 0.1% | Mitsubishi UFJ Finl Adr | MTU | 897 | 5,083.24 | 3,758.43 |
| 0.1% | Nestle S A Reg B Adr | NSRGY | 92 | 3,955.39 | 5,312.80 |
| 0.7% | Nike Inc Class B | NKE | 330 | 26,169.04 | 31,802.10 |
| 0.2% | Novartis A G Spon Adr | NVS | 121 | 5,869.17 | 6,917.57 |
| 0.1% | Novo-Nordisk A-S Adr | NVO | 55 | 3,558.72 | 6,339.30 |
| 1.4% | Omnicom Group Inc | OMC | 1,410 | 50,988.50 | 62,857.80 |
| 1.0% | Oracle Corporation | ORCL | 1,770 | 38,200.81 | 45,400.50 |
| 0.4% | Paychex Inc | PAYX | 625 | 17,034.36 | 18,818.75 |
| 1.5% | Pepsico Inc | PEP | 1,001 | 62,432.42 | 66,416.35 |
| 0.1% | Potash Corp /Saskatchewan | POT | 109 | 3,526.96 | 4,499.52 |
| 0.7% | PowerShares QQQ Trust Series 1 | QQQ | 582 | 33,162.53 | 32,493.06 |
| 1.2% | Praxair Inc | PX | 510 | 40,252.87 | 54,519.00 |
| 1.5% | Procter & Gamble Co | PG | 983 | 62,869.74 | 65,575.93 |
| 0.1% | Publicis Groupe Sa Adr Each Repr 0.5 | PUBGY | 164 | 3,488.61 | 3,783.69 |
| 0.2% | Reckitt Benckiser Group Plc Adr | RBGPY | 679 | 6,613.39 | 6,711.30 |
| 0.2% | S A P Aktiengesell ADR | SAP | 124 | 6,105.50 | 6,565.80 |
| 0.1% | SABMiller Plc Spon Adr | SBMRY | 68 | 1,848.89 | 2,395.20 |
| 0.1% | SBERBANK OF RUSSIA S/ADR | SBRCY | 340 | 3,620.97 | 3,338.73 |
| 0.1% | Schlumberger Ltd | SLB | 93 | 5,692.42 | 6,352.83 |
| 0.1% | Siemens A G ADR | SI | 55 | 6,243.17 | 5,258.55 |
| 0.0% | Southern Copper Corp Del Com | SCCO | 38 | 1,213.04 | 1,146.84 |
| 1.0% | Stryker Corp | SYK | 840 | 40,238.76 | 41,756.40 |
| 0.1% | Swatch Group AG | SWGAY | 149 | 2,851.56 | 2,800.43 |
| 1.4% | T Rowe Price Group Inc | TROW | 1,100 | 51,678.88 | 62,645.00 |
| 0.1% | Teck Resources Limited | TCK | 74 | 3,662.67 | 2,604.06 |
| 0.1% | Telefonica Spon Adr | TEF | 182 | 4,718.97 | 3,128.58 |
| 0.1% | Tencent Hldgs Limited Unsp Adr | TCEHY | 205 | 4,175.87 | 4,120.27 |
| 0.1% | Tesco Plc Sponsored Adrf | TSCDY | 310 | 6,121.92 | 5,831.10 |
| 0.1% | Teva Pharm Inds Ltd Adrf | TEVA | 154 | 7,998.83 | 6,215.44 |
| 8.1% | Thornburg Inv. Income Bulder | TIBIX | 19,532.8 | 375,108.11 | 352,762.37 |
| 0.1% | Toyota Motor Cp Adr Newf | TM | 84 | 6,823.99 | 5,554.92 |

Portfolio Holdings

As of 12/31/2011

12/30/2011 Prices

Stone CLJr, Hastings Foundation Report Group Acct #. All Accounts

| Weight | Description | Symbol | Quantity | Tax Cost Basis | Current Value |
|----------------------------|--------------------------------------|----------|------------|----------------------|------------------|
| Stocks | | | | | |
| 0.1% | Turkiye Garanti Bankası Ads Each 1 | TKGBY | 1,003 | 4,332.38 | 3,133.37 |
| 1.3% | United Technologies Inc | UTX | 760 | 44,975.54 | 55,548.40 |
| 1.9% | Vanguard Div Apprciation | VIG | 1,508 | 72,576.96 | 82,412.20 |
| 0.8% | Vanguard Emerging Markets ETF | VWO | 878 | 40,651.57 | 33,548.38 |
| 0.7% | Vanguard MSCI EAFE ETF | VEA | 1,059 | 39,138.45 | 32,437.17 |
| 3.2% | Vanguard Total Stock Market | VTI | 2,188 | 127,171.42 | 140,688.40 |
| 0.5% | Varian Medical Sys Inc | VAR | 300 | 17,843.30 | 20,139.00 |
| 0.0% | Vodafone Group PLC ADR F | VOD | 44 | 1,158.69 | 1,233.32 |
| 0.1% | Volkswagen Ag Adr Each Rep 1/5 Non. | VLKPY | 109 | 2,383.09 | 3,275.68 |
| 0.1% | Wal-Mart De Cv Spn Adr | WMMVY | 145 | 2,664.56 | 3,979.48 |
| 0.8% | Waters Corp | WAT | 450 | 21,078.88 | 33,322.50 |
| 0.0% | Yandex N. V | YNDX | 91 | 2,806.24 | 1,792.70 |
| 58.4% | | | | 2,347,482.41 | 2,547,946.40 |
| Other Opportunities | | | | | |
| 0.7% | CurrencyShares Japanese Yen | FXV | 244 | 31,289.34 | 31,214.92 |
| 0.9% | iShares COMEX Gold trust | IAU | 2,703 | 45,734.76 | 41,166.69 |
| 2.6% | PIMCO All Asset All Authority Instl | PAUIX | 11,180.096 | 116,496.82 | 112,136.36 |
| 5.7% | PIMCO All Asset Fund | PAAIX | 21,485.618 | 265,949.45 | 247,944.03 |
| 0.9% | Powershares DB Commodity Idx | DBC | 1,497 | 36,968.03 | 40,179.48 |
| 1.4% | Streettracks Gold Tr Gold Shs | GLD | 391 | 40,890.35 | 59,428.09 |
| 0.6% | Tortoise Energy Capital Corp | TYV | 1,000 | 28,370.12 | 26,830.00 |
| 0.9% | Tortoise Energy Infrastructure Corp | TYG | 1,000 | 37,508.22 | 39,990.00 |
| 1.7% | Vanguard REIT Indx VIPER | VNQ | 1,242 | 65,576.41 | 72,036.00 |
| 15.4% | | | | 668,783.50 | 670,925.57 |
| Fixed Income | | | | | |
| 0.7% | iShares GS Inv Grade Corp Bd | LQD | 282 | 31,384.82 | 32,080.32 |
| 0.7% | iShares Tr 7-10 Yr Treas Index Fd | IEF | 296 | 28,599.52 | 31,248.72 |
| 3.2% | iShares Tr US Treas Inflation | TIP | 1,193 | 129,873.29 | 139,211.17 |
| 0.7% | Pimco Enhanced Short Maturity | MINT | 287 | 29,021.44 | 28,743.05 |
| 0.7% | Pimco Etf Tr 15+ Yr US Tips Index Fd | LTPZ | 488 | 27,081.65 | 31,842.00 |
| 0.2% | Proshs Ultrashort Lehman | TBT | 500 | 24,697.35 | 9,035.00 |
| 4.7% | Rimrock - Hastings | RIMROCK3 | 1 | 200,000.00 | 203,401.24 |
| 3.1% | Vanguard Total Bond Index | BND | 1,621 | 127,068.82 | 135,418.34 |
| 0.7% | WT Asia Local Debt | ALD | 635 | 31,753.18 | 31,807.15 |
| 14.7% | | | | 629,480.07 | 642,786.99 |

Portfolio Holdings

As of 12/31/2011

12/30/2011 Prices

Stone CLJr, Hastings Foundation Report Group Acct #: All Accounts

| <u>Weight</u> | <u>Description</u> | <u>Symbol</u> | <u>Quantity</u> | <u>Tax Cost Basis</u> | <u>Current Value</u> |
|--------------------------------|--------------------------|---------------|-----------------|-------------------------------|--------------------------|
| Cash or Cash Equivalent | | | | | |
| 11.5% | Schwab Money Market Fund | MMF | | 500,612.60 | 500,612.60 |
| <hr/> | | | | <hr/> | <hr/> |
| 100.0% | | | | 4,146,358.58 | 4,362,271.56 |