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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation**

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2011**

**For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011**

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Name of foundation
BAYLEY FAMILY FOUNDATION

% FOUNDATION MGMT GROUP LLC

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
1000 SECOND AVENUE 34TH FLOOR

City or town, state, and ZIP code
SEATTLE, WA 981041022

A Employer identification number
20-8113775

B Telephone number (see page 10 of the instructions)
(206) 667-0300

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 3,631,579

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
*(Part I, column (d) must be on cash basis.)*

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )*

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | 199,868 | | | |
| | 2 | Check ▶ ☐ if the foundation is **not** required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities. . . . . . | 127,791 | 127,791 | | |
| | 5a | Gross rents . . . . . . . . . . . . . . | | | | |
| | b | Net rental income or (loss) ________ | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 210,306 | | | |
| | b | Gross sales price for all assets on line 6a 3,129,738 | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) . . . | | 210,306 | | |
| | 8 | Net short-term capital gain . . . . . . . . | | | | |
| | 9 | Income modifications . . . . . . . . . . | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold . . . . | | | | |
| | c | Gross profit or (loss) (attach schedule) . . . . . | | | | |
| | 11 | Other income (attach schedule) . . . . . . . . | 751 | 751 | | |
| | 12 | **Total.** Add lines 1 through 11 . . . . . . . . | 538,716 | 338,848 | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | | | | |
| | 14 | Other employee salaries and wages . . . . . . | | | | |
| | 15 | Pension plans, employee benefits . . . . . . | | | | |
| | 16a | Legal fees (attach schedule) . . . . . . . . | | | | |
| | b | Accounting fees (attach schedule) . . . . . . | | | | |
| | c | Other professional fees (attach schedule) . . . . | 48,807 | 33,807 | | 15,000 |
| | 17 | Interest . . . . . . . . . . . . . . | | | | |
| | 18 | Taxes (attach schedule) (see page 14 of the instructions) | 4,443 | 1,407 | | |
| | 19 | Depreciation (attach schedule) and depletion . . . | | | | |
| | 20 | Occupancy . . . . . . . . . . . . . | | | | |
| | 21 | Travel, conferences, and meetings . . . . . . | | | | |
| | 22 | Printing and publications . . . . . . . . | | | | |
| | 23 | Other expenses (attach schedule) . . . . . . . | 292 | | | |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 . . . . . . . . | 53,542 | 35,214 | | 15,000 |
| | 25 | Contributions, gifts, grants paid . . . . . . . | 152,500 | | | 152,500 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 206,042 | 35,214 | | 167,500 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 332,674 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 303,634 | | |
| | c | **Adjusted net income** (if negative, enter -0-) . . . | | | | |

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|---|
| Assets | 1 | Cash—non-interest-bearing | 473,401 | 218,289 | 218,289 |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable ▶ Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) | | | |
| | 7 | Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments—U S and state government obligations (attach schedule) | | | |
| | b | Investments—corporate stock (attach schedule) | 1,357,684 | 2,156,013 | 2,156,013 |
| | c | Investments—corporate bonds (attach schedule) | 1,702,316 | 1,250,942 | 1,250,942 |
| | 11 | Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 | Investments—mortgage loans | | | |
| | 13 | Investments—other (attach schedule) | 0 | 6,335 | 6,335 |
| | 14 | Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I) | 3,533,401 | 3,631,579 | 3,631,579 |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | 140,000 | 225,000 | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | |
| | 22 | Other liabilities (describe ▶ ) | 984 | 1,800 | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 140,984 | 226,800 | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | 3,392,417 | 3,404,779 | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here** ▶ ☐ **and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** (see page 17 of the instructions) | 3,392,417 | 3,404,779 | |
| | 31 | **Total liabilities and net assets/fund balances** (see page 17 of the instructions) | 3,533,401 | 3,631,579 | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,392,417 |
| 2 | Enter amount from Part I, line 27a | 2 | 332,674 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | -235,312 |
| 4 | Add lines 1, 2, and 3 | 4 | 3,489,779 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 85,000 |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 | 6 | 3,404,779 |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|---|
| a | See Additional Data Table | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | | |
|---|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 | 2 | 210,306 |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)<br>If (loss), enter -0- in Part I, line 8 | | 3 | |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2010 | 213,669 | 3,517,006 | 0 060753 |
| 2009 | 204,642 | 3,413,693 | 0 059947 |
| 2008 | 240,469 | 4,470,496 | 0 05379 |
| 2007 | 159,785 | 4,809,715 | 0 033221 |
| 2006 | 0 | 985,000 | 0 0 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d). | 2 | 0 207711 |
| 3 | Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0 041542 |
| 4 | Enter the net value of noncharitable-use assets for 2011 from Part X, line 5. | 4 | 3,598,102 |
| 5 | Multiply line 4 by line 3. | 5 | 149,472 |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b). | 6 | 3,036 |
| 7 | Add lines 5 and 6. | 7 | 152,508 |
| 8 | Enter qualifying distributions from Part XII, line 4. | 8 | 167,500 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)** | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b | | | 1 | 3,036 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 2 | |
| 3 | Add lines 1 and 2 | | | 3 | 3,036 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 4 | |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | | 5 | 3,036 |
| 6 | Credits/Payments | | | | |
| a | 2011 estimated tax payments and 2010 overpayment credited to 2011 | 6a | 1,332 | | |
| b | Exempt foreign organizations—tax withheld at source | 6b | | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 1,800 | | |
| d | Backup withholding erroneously withheld | 6d | | | |
| 7 | Total credits and payments Add lines 6a through 6d | | | 7 | 3,132 |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 96 |
| 11 | Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 96 | **Refunded** ▶ | | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | No |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | No |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>**(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities.* | 2 | | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | No |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | No |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T.* | 5 | | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | Yes | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ WA | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* | 8b | Yes | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | No |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | No |

## Part VII-A Statements Regarding Activities *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions). | 11 | | No |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Yes | |

Website address ▶N/A

14 The books are in care of ▶FOUNDATION MGMT GROUP LLC Telephone no ▶(206) 667-0300

Located at ▶1000 SECOND AVENUE 34TH FLOOR SEATTLE WA ZIP+4 ▶981041022

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . . . . . . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . . . . ▶ 15

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | No |

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | Line | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). ☐ Yes ☑ No | | | |
| b | If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | | No |
| | Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? | 1c | | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☑ No | | | |
| | If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions ). | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No | | | |
| b | If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? | 4b | | No |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)***

| | | | | |
|---|---|---|---|---|
| **5a** | During the year did the foundation pay or incur any amount to | | | |
| | **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No** | | | |
| | **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No** | | | |
| | **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No** | | | |
| | **(4)** Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ **Yes** ☑ **No** | | | |
| | **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No** | | | |
| **b** | If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? | **5b** | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No** | | | |
| | *If "Yes," attach the statement required by Regulations section 53.4945–5(d).* | | | |
| **6a** | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No** | | | |
| **b** | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | **6b** | | **No** |
| | *If "Yes" to 6b, file Form 8870.* | | | |
| **7a** | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No** | | | |
| **b** | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | **7b** | | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| See Additional Data Table | | | | |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."**

| **(a)** Name and address of each employee paid more than $50,000 | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services. . . . . . . . . . . . . . ▶

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| **1** | |
| **2** | |
| **3** | |
| **4** | |

**Part IX-B** **Summary of Program-Related Investments** (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| **1** | |
| **2** | |
| All other program-related investments See page 24 of the instructions<br>**3** | |
| **Total.** Add lines 1 through 3. . . . . . . . . . . . . . . . . . . . . . . . . . ▶ | |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 3,223,873 |
| b | Average of monthly cash balances | 1b | 429,022 |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | 0 |
| d | **Total** (add lines 1a, b, and c) | 1d | 3,652,895 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 3,652,895 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 54,793 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 3,598,102 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 179,905 |

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 179,905 |
| 2a | Tax on investment income for 2011 from Part VI, line 5 | 2a | 3,036 | | |
| b | Income tax for 2011 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 3,036 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 176,869 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 176,869 |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 176,869 |

**Part XII Qualifying Distributions** (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc —total from Part I, column (d), line 26 | 1a | 167,500 |
| b | Program-related investments—total from Part IX-B | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | 0 |
| b | Cash distribution test (attach the required schedule) | 3b | 0 |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 167,500 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | 3,036 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 164,464 |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see page 26 of the instructions)

| | (a) Corpus | (b) Years prior to 2010 | (c) 2010 | (d) 2011 |
|---|---|---|---|---|
| 1 Distributable amount for 2011 from Part XI, line 7 | | | | 176,869 |
| 2 Undistributed income, if any, as of the end of 2011 | | | | |
| a Enter amount for 2010 only. . . . . . . | | | | |
| b Total for prior years 2009 , 2008 , 2007 | | | | |
| 3 Excess distributions carryover, if any, to 2011 | | | | |
| a From 2006. . . . . . . | | | | |
| b From 2007. . . . . . . | | | | |
| c From 2008. . . . . . . | | | | |
| d From 2009. . . . . . . 0 | | | | |
| e From 2010. . . . . . . 16,347 | | | | |
| f **Total** of lines 3a through e. . . . . . . . . . | 16,347 | | | |
| 4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 167,500 | | | | |
| a Applied to 2010, but not more than line 2a | | | | |
| b Applied to undistributed income of prior years (Election required—see page 26 of the instructions) | | | | |
| c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . . | | | | |
| d Applied to 2011 distributable amount. . . . . | | | | 167,500 |
| e Remaining amount distributed out of corpus | 0 | | | |
| 5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | 9,369 | | | 9,369 |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 6,978 | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b . . . . . . . . . . . . | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . . . | | | | |
| d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . . | | | | |
| e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . . . . . . . . . | | | | |
| f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011 . . . . . . . . . . . | | | | 0 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . . . . . . . . | | | | |
| 8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . . . . . . . . . . . | | | | |
| 9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a . . . . . . | 6,978 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2007. . . . | | | | |
| b Excess from 2008. . . . | | | | |
| c Excess from 2009. . . . | | | | |
| d Excess from 2010. . . . 6,978 | | | | |
| e Excess from 2011. . . . | | | | |

## Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling. . . . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | **(a)** 2011 | **(b)** 2010 | **(c)** 2009 | **(d)** 2008 | **(e) Total** |
| **2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . . . . . . . | | | | | |
| **b** 85% of line 2a . . . . . . . . . . | | | | | |
| **c** Qualifying distributions from Part XII, line 4 for each year listed . . . . . | | | | | |
| **d** Amounts included in line 2c not used directly for active conduct of exempt activities . . . . | | | | | |
| **e** Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . | | | | | |
| **3** Complete 3a, b, or c for the alternative test relied upon | | | | | |
| **a** "Assets" alternative test—enter **(1)** Value of all assets . . . . . . | | | | | |
| **(2)** Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| **b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . | | | | | |
| **c** "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . | | | | | |
| **(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . | | | | | |
| **(3)** Largest amount of support from an exempt organization | | | | | |
| **(4)** Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

See Additional Data Table

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year*<br>See Additional Data Table | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 152,500 |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See page 28 of the instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities. . . . | | | 14 | 127,791 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property. . . . . . | | | | | |
| b Not debt-financed property. . . . . | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. . . . . | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 210,306 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory. . | | | | | |
| 11 Other revenue a PUBLICLY TRADED PARTNERSHIPS | 900099 | 751 | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e). . | | 751 | | 338,097 | |

13 **Total.** Add line 12, columns (b), (d), and (e). . . . . . . . . . . . . . . . . . . . . . 13 338,848

(See worksheet in line 13 instructions on page 28 to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions ) |
|---|---|

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | Yes | No |
|---|---|---|---|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash. | 1a(1) | | No |
| (2) Other assets. | 1a(2) | | No |
| b Other transactions | | | |
| (1) Sales of assets to a noncharitable exempt organization. | 1b(1) | | No |
| (2) Purchases of assets from a noncharitable exempt organization. | 1b(2) | | No |
| (3) Rental of facilities, equipment, or other assets. | 1b(3) | | No |
| (4) Reimbursement arrangements. | 1b(4) | | No |
| (5) Loans or loan guarantees. | 1b(5) | | No |
| (6) Performance of services or membership or fundraising solicitations. | 1b(6) | | No |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| (a) Line No | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2012-07-28 | ******

Signature of officer or trustee | Date | Title

**Paid Preparer's Use Only**

| Preparer's Signature | Date | Check if self-employed ☐ | PTIN |
|---|---|---|---|
| WALTER R SMITH | | | |
| Firm's name ▶ BADER MARTIN PS | | Firm's EIN ▶ | |
| Firm's address ▶ 1000 SECOND AVENUE 34TH FLOOR SEATTLE, WA 981041022 | | Phone no (206)621-1900 | |

May the IRS discuss this return with the preparer shown above? See instructions ▶ ☑ Yes ☐ No

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491212002592 |
|---|---|---|

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

**2011**

| **Name of organization** | **Employer identification number** |
|---|---|
| BAYLEY FAMILY FOUNDATION | 20-8113775 |

**Organization type** (check one)

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)(    ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☑ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule—**

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . ▶ $ ____________

**Caution.** An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**Name of organization**
BAYLEY FAMILY FOUNDATION

**Employer identification number**
20-8113775

**Part I** **Contributors** (see Instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | BJORN JACQUELIN BAYLEY<br>13436 NE 36TH ST<br>BELLEVUE, WA 98005 | $ 163,479 | Person ☑<br>Payroll ☐<br>Noncash ☑<br>(Complete Part II if there is a noncash contribution ) |
| 2 | BJORN JACQUELIN BAYLEY<br>13436 NE 36TH ST<br>BELLEVUE, WA 98005 | $ 36,389 | Person ☑<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |

| Name of organization | Employer identification number |
|---|---|
| BAYLEY FAMILY FOUNDATION | 20-8113775 |

**Part II** **Noncash Property** (see Instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| 1 | SECURITIES | $ 163,479 | 2011-05-18 |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

**Name of organization**
BAYLEY FAMILY FOUNDATION

**Employer identification number**
20-8113775

**Part III** *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** (Complete columns **(a)** through **(e) and** the following line entry )
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions ) ▶ $
Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

| (e) Transfer of gift | |
|---|---|
| **Transferee's name, address, and ZIP 4** | **Relationship of transferor to transferee** |
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

| (e) Transfer of gift | |
|---|---|
| **Transferee's name, address, and ZIP 4** | **Relationship of transferor to transferee** |
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

| (e) Transfer of gift | |
|---|---|
| **Transferee's name, address, and ZIP 4** | **Relationship of transferor to transferee** |
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

| (e) Transfer of gift | |
|---|---|
| **Transferee's name, address, and ZIP 4** | **Relationship of transferor to transferee** |
| | |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 20-8113775
**Name:** BAYLEY FAMILY FOUNDATION

**Form 990PF - Special Condition Description:**

| Special Condition Description |
|---|

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 48 SH BLACKROCK INC | | 2010-10-12 | 2011-03-01 |
| 242 SH BOEING COMPANY | | 2010-11-19 | 2011-01-20 |
| 167 SH BORG WARNER INC | | 2010-08-20 | 2011-03-01 |
| 21 SH BORG WARNER INC | | 2010-08-27 | 2011-03-01 |
| 66 SH DEERE AND CO | | 2010-10-07 | 2011-03-01 |
| 298 SH ITT CORP | | 2011-01-12 | 2011-03-02 |
| 79 SH MONSANTO CO | | 2010-10-19 | 2011-03-01 |
| 60 SH OCCIDENTAL PETROLEUM CORP | | 2010-02-11 | 2011-01-20 |
| 17 SH OCCIDENTAL PETROLEUM CORP | | 2010-02-11 | 2011-01-31 |
| 213 SH OCCIDENTAL PETROLEUM CORP | | 2010-08-09 | 2011-01-31 |
| 98 SH PROCTER & GAMBLE CO | | 2010-08-06 | 2011-02-15 |
| 205 SH PROCTER & GAMBLE CO | | 2010-12-20 | 2011-02-15 |
| 163 SH BANCO SANTANDER S A | | 2009-07-29 | 2011-01-21 |
| 17 SH BG GROUP PLC | | 2008-05-29 | 2011-01-28 |
| 2000 SH CITIGROUP INC NTS 5 0%, 9/15/14, DTD 9/16/04 | | 2008-06-09 | 2011-02-28 |
| 66 SH INTL BUSINESS MACH | | 2009-10-21 | 2011-01-20 |
| 7360 SH LOOMIS SAYLES SECURITIZED ASSET | | 2008-06-09 | 2011-03-18 |
| 1292 SH LOOMIS SAYLES SECURITIZED ASSET | | 2009-01-09 | 2011-03-18 |
| 75 SH NIPPON TELEG & TEL CORP | | 2009-01-07 | 2011-01-12 |
| 10 SH NIPPON TELEG & TEL CORP | | 2009-01-07 | 2011-01-24 |
| 107 SH NIPPON TELEG & TEL CORP | | 2009-03-25 | 2011-01-24 |
| 11 SH NORFOLK STHN CORP | | 2009-10-20 | 2011-02-04 |
| 163 SH NORFOLK STHN CORP | | 2009-10-26 | 2011-02-04 |
| 196 SH NORFOLK STHN CORP | | 2010-01-06 | 2011-02-04 |
| 343 SH POWER ASSETS HOLDINGS LTD | | 2008-05-29 | 2011-03-15 |
| 12 SH PROCTER & GAMBLE CO | | 2009-02-10 | 2011-02-15 |
| 222 SH PROCTER & GAMBLE CO | | 2009-04-14 | 2011-02-15 |
| 134 SH SOCIETE GENERALE FRANCE | | 2008-05-29 | 2011-01-25 |
| 2000 SH US TREAS BD 4 375%, DUE 2/15/38, DTD 021508 | | 2009-02-04 | 2011-02-02 |
| 3000 SH US TSY NOTE 0 75%, DUE 11/30/11, DTD 113009 | | 2009-12-10 | 2011-01-06 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 6000 SH US TSY NOTE 0 75%, DUE 11/30/11, DTD 113009 | | 2009-12-10 | 2011-02-02 |
| 400 SH NYSE EURONEXT | | 2010-09-20 | 2011-01-21 |
| 500 SH SOWSTN ENERGY CO | | 2011-02-23 | 2011-03-18 |
| 600 SH TELEFONICA S A | | 2010-12-01 | 2011-01-21 |
| 2000 SH AES CORP NTS 8 0%, 10/15/17, DTD 011808 | | 2010-04-26 | 2011-01-31 |
| 4000 SH BE AEROSPACE INC 8 5%, 7/1/18, DTD 070108 | | 2010-09-10 | 2011-02-28 |
| 2000 SH BIOMET INC NTS 10 375%, 10/15/17, DTD 041508 | | 2010-04-14 | 2011-02-02 |
| 3000 SH ELIZABETH ARDEN INC 7 75%, 1/15/14, DTD 011304 | | 2010-11-22 | 2011-01-07 |
| 2000 SH BIOMET INC NTS 10 375%, 10/15/17, DTD 041508 | | 2009-06-03 | 2011-01-24 |
| 1000 SH BIOMET INC NTS 10 375%, 10/15/17, DTD 041508 | | 2009-06-03 | 2011-02-02 |
| 3000 SH CSC HLDGS INC 7 625%, 7/15/18, DTD 072198 | | 2009-06-10 | 2011-02-07 |
| 5000 SH ELIZABETH ARDEN INC 7 75%, 1/15/14, DTD 011304 | | 2009-04-14 | 2011-01-07 |
| 5000 SH ENCORE ACQUISITION CO 9 5%, 5/1/16, DTD 042709 | | 2009-05-19 | 2011-02-04 |
| 5000 SH HCA INC 9 625%, 11/15/16, DTD 051507 | | 2009-10-14 | 2011-02-02 |
| 5000 SH NRG ENERGY INC NTS 7 375%, 2/1/16, DTD 020206 | | 2009-06-12 | 2011-01-12 |
| 5000 SH TENNECO AUTO INC NTS 8 125%, 11/15/15, DTD 051508 | | 2009-11-23 | 2011-01-06 |
| 292 119 SH DWS SHORT DURATION PLUS FUND | | 2009-09-24 | 2011-09-09 |
| 295 079 SH DWS SHORT DURATION PLUS FUND | | 2009-07-27 | 2011-09-09 |
| 293 275 SH DWS SHORT DURATION PLUS FUND | | 2009-08-25 | 2011-09-09 |
| 9598 457 SH DWS SHORT DURATION PLUS FUND | | 2009-06-08 | 2011-09-09 |
| 12 541 SH DWS SHORT DURATION PLUS FUND | | 2009-10-26 | 2011-09-09 |
| 296 016 SH DWS SHORT DURATION PLUS FUND | | 2009-06-24 | 2011-09-09 |
| 2000 SH KELLOGG CO | | 2008-06-10 | 2011-04-01 |
| 6000 SH AES CORP | | 2010-12-07 | 2011-06-01 |
| 3000 SH AES CORP | | 2010-04-26 | 2011-06-01 |
| 10000 SH AMERICAN AIRLINES | | 2010-08-26 | 2011-09-21 |
| 2000 SH ANHEUSER BUSCH COS INC | | 2009-01-09 | 2011-06-01 |
| 10000 SH ARCH COAL INC | | 2010-08-11 | 2011-06-01 |
| 7000 SH AVIS BUDGET CAR GLOBAL | | 2011-02-08 | 2011-06-01 |
| 8000 SH B&G FOODS INC | | 2010-11-17 | 2011-06-01 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 2000 SH BANK OF AMERICA CORP | | 2008-06-09 | 2011-06-01 |
| 4000 SH BE AEROSPACE INC | | 2011-02-28 | 2011-06-01 |
| 6000 SH BE AEROSPACE INC | | 2010-09-13 | 2011-06-01 |
| 2000 SH BEAR STEARNS COS INC | | 2008-06-09 | 2011-06-14 |
| 4000 SH BIOMET INC | | 2010-08-20 | 2011-06-01 |
| 8000 SH CABLEVISION SYSTEMS CORP | | 2011-02-07 | 2011-06-01 |
| 2000 SH CATERPILLAR FIN SERVICE CORP | | 2008-06-09 | 2011-06-14 |
| 8000 SH CCO HOLDINGS LLC / CCO HOLDING | | 2011-01-05 | 2011-06-01 |
| 8000 SH CHESAPEAKE ENERGY CORP | | 2011-02-08 | 2011-06-01 |
| 2000 SH CISCO SYSTEMS INC | | 2009-06-23 | 2011-06-01 |
| 250 SH CITIGROUP CAPITAL VII 7 125% | | 2009-12-10 | 2011-09-21 |
| 5000 SH CITIZENS COMMUNICATI | | 2010-05-13 | 2011-06-01 |
| 2000 SH COCA-COLA ENTERPRISES | | 2009-01-12 | 2011-06-01 |
| 3000 SH COMMUNITY HEALTH SYS | | 2009-05-29 | 2011-06-01 |
| 5000 SH COMMUNITY HEALTH SYS | | 2010-12-10 | 2011-06-01 |
| 2000 SH CONOCOPHILLIPS NOTES-BK/ENTRY | | 2009-03-27 | 2011-11-03 |
| 3000 SH CONSTELLATION BRANDS INC | | 2009-04-08 | 2011-06-01 |
| 2000 SH CVS CORP | | 2011-02-02 | 2011-06-01 |
| 8000 SH DAVITA INC | | 2010-10-05 | 2011-06-01 |
| 3000 SH DELTA AIR LINES INC | | 2010-07-13 | 2011-09-21 |
| 9000 SH DELTA AIR LINES INC | | 2010-10-12 | 2011-09-21 |
| 6000 SH DELTA AIR LINES INC | | 2010-06-17 | 2011-09-21 |
| 10000 SH DENBURY RESOURCES INC | | 2011-02-03 | 2011-07-06 |
| 2000 SH DUKE ENERGY CORP | | 2008-06-09 | 2011-06-14 |
| 2000 SH ENERGY FUTURE HOLDINGS CORP | | 2010-07-22 | 2011-07-06 |
| 8000 SH ENERGY FUTURE HOLDINGS CORP | | 2010-08-17 | 2011-07-06 |
| 3000 SH FERRELLGAS PARTNERS LP | | 2010-04-29 | 2011-07-06 |
| 8000 SH FOREST OIL CORP | | 2010-09-10 | 2011-07-06 |
| 2000 SH FOREST OIL CORP | | 2010-11-05 | 2011-07-06 |
| 5000 SH GRAPHIC PACKAGING INTL | | 2009-12-14 | 2011-07-06 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 5000 SH GRAPHIC PACKAGING INTL INC | | 2010-09-20 | 2011-07-06 |
| 8000 SH HCA-THE HEALTHCARE CO | | 2011-02-02 | 2011-07-06 |
| 10000 SH INTL LEASE FINANCE CORP | | 2010-12-02 | 2011-07-06 |
| 5000 SH IRON MOUNTAIN INC | | 2009-05-11 | 2011-07-06 |
| 10000 SH MEDIACOM LLC MEDIACOM CAPITAL | | 2010-11-12 | 2011-07-06 |
| 325 SH ML CAPITAL TRUST 7% PERPETUAL | | 2009-05-12 | 2011-09-21 |
| 5000 SH MOOG INC | | 2009-08-24 | 2011-07-06 |
| 9000 SH NRG ENERGY INC | | 2011-01-12 | 2011-07-06 |
| 10000 SH PEABODY ENERGY CORP | | 2010-08-11 | 2011-08-25 |
| 330 SH PNC CAPITAL TRUST E 7 75% | | 2010-11-19 | 2011-09-21 |
| 8000 SH SPRINT CAP CORP COMPANY GUARNT | | 2010-10-18 | 2011-09-27 |
| 1000 SH SPRINT CAP CORP COMPANY GUARNT | | 2010-11-02 | 2011-09-27 |
| 5000 SH SUBURBAN PROPANE PAR | | 2010-03-17 | 2011-09-20 |
| 5000 SH TEEKAY CORP | | 2010-03-31 | 2011-09-20 |
| 6000 SH TEEKAY CORP | | 2010-10-05 | 2011-09-20 |
| 9000 SH UNITED AIR LINES | | 2010-10-13 | 2011-07-01 |
| 1000 SH UNITED RENTALS NORTH AMERICA | | 2010-12-15 | 2011-09-20 |
| 6000 SH UNITED RENTALS NORTH AMERICA | | 2010-08-23 | 2011-09-20 |
| 10000 SH US AIRWAYS INC | | 2010-05-04 | 2011-09-21 |
| 24000 SH US AIRWAYS INC | | 2010-09-21 | 2011-09-21 |
| 29 SH ABBOTT LABORATORIES | | 2011-05-20 | 2011-07-07 |
| 142 SH AMCOR LTD ADR NEW -USD- | | 2008-05-29 | 2011-05-20 |
| 33 SH AMCOR LTD ADR NEW -USD- | | 2009-01-07 | 2011-05-20 |
| 39 SH CATERPILLAR INC | | 2010-01-05 | 2011-05-20 |
| 318 SH CISCO SYS INC | | 2011-05-20 | 2011-05-25 |
| 79 SH FRANCE TELECOM SA SPON ADR | | 2010-04-30 | 2011-05-20 |
| 88 SH FRANCE TELECOM SA SPON ADR | | 2010-07-08 | 2011-05-20 |
| 83 SH FRANCE TELECOM SA SPON ADR | | 2010-06-04 | 2011-05-20 |
| 86 SH FRANCE TELECOM SA SPON ADR | | 2010-06-16 | 2011-05-20 |
| 85 SH FRANCE TELECOM SA SPON ADR | | 2010-11-04 | 2011-05-20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 52 SH FRANCE TELECOM SA SPON ADR | | 2011-01-24 | 2011-05-20 |
| 752 SH HEWLETT PACKARD CO | | 2011-03-17 | 2011-05-20 |
| 361 SH ING GROEP NV SPONS ADR | | 2008-05-29 | 2011-05-20 |
| 203 SH ING GROEP NV SPONS ADR | | 2010-06-07 | 2011-05-20 |
| 10 SH ING GROEP NV SPONS ADR | | 2008-06-06 | 2011-05-20 |
| 92 SH ING GROEP NV SPONS ADR | | 2009-01-07 | 2011-05-20 |
| 117 SH INTL BUSINESS MACHINES CORP | | 2009-10-21 | 2011-05-20 |
| 402 SH INTL FLAVORS & FRAGRANCES INC | | 2011-03-02 | 2011-05-20 |
| 239 SH ITC HOLDINGS CORP | | 2009-05-14 | 2011-05-20 |
| 81 SH ITC HOLDINGS CORP | | 2009-04-07 | 2011-05-20 |
| 448 SH JB HUNT TRANSPORT SERVICES INC | | 2010-09-15 | 2011-05-20 |
| 235 SH JB HUNT TRANSPORT SERVICES INC | | 2010-10-06 | 2011-05-20 |
| 52 SH JOHNSON & JOHNSON | | 2011-05-20 | 2011-09-01 |
| 87 SH JOHNSON & JOHNSON | | 2011-05-20 | 2011-07-07 |
| 120 SH KAO CORP-JPY | | 2009-03-25 | 2011-05-20 |
| 290 SH KAO CORP-JPY | | 2008-05-29 | 2011-05-20 |
| 50 SH KAO CORP-JPY | | 2009-02-18 | 2011-05-20 |
| 163 SH KONINKLIJKE AHOLD NV ADR | | 2011-01-07 | 2011-05-20 |
| 136 SH KONINKLIJKE AHOLD NV ADR | | 2010-11-04 | 2011-05-20 |
| 131 SH KONINKLIJKE AHOLD NV ADR | | 2011-01-28 | 2011-05-20 |
| 137 SH KONINKLIJKE AHOLD NV ADR | | 2010-11-17 | 2011-05-20 |
| 71 SH LUBRIZOL CORP | | 2010-12-03 | 2011-05-20 |
| 7 SH LUBRIZOL CORP | | 2010-11-22 | 2011-05-20 |
| 1 SH LUBRIZOL CORP | | 2010-12-02 | 2011-05-20 |
| 161 SH LUBRIZOL CORP | | 2010-12-16 | 2011-05-20 |
| 88 SH MICROCHIP TECHNOLOGY INC | | 2011-05-20 | 2011-09-01 |
| 35 SH MICROCHIP TECHNOLOGY INC | | 2011-08-03 | 2011-09-01 |
| 505 SH MICROSOFT CORP | | 2011-01-24 | 2011-05-25 |
| 64 SH MICROSOFT CORP | | 2011-05-20 | 2011-05-25 |
| 133 SH MONSANTO CO NEW | | 2010-10-19 | 2011-05-20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 129 SH MONSANTO CO NEW | | 2010-11-04 | 2011-05-20 |
| 190 SH MONSANTO CO NEW | | 2010-12-23 | 2011-05-20 |
| 148 SH MSCI INC | | 2011-02-17 | 2011-05-20 |
| 239 SH MSCI INC | | 2011-02-18 | 2011-05-20 |
| 171 SH MSCI INC | | 2011-03-01 | 2011-05-20 |
| 222 SH MSCI INC | | 2011-03-02 | 2011-05-20 |
| 93 SH NATIONAL GRID PLC | | 2008-05-29 | 2011-05-20 |
| 4 SH NATIONAL GRID PLC | | 2010-08-18 | 2011-05-20 |
| 329 SH NEWS CORP CLASS A NEW | | 2011-05-20 | 2011-09-01 |
| 50 SH NYSE EURONEXT | | 2010-09-20 | 2011-05-20 |
| 410 SH OMEROS CORP | | 2010-01-08 | 2011-05-20 |
| 750 SH OMEROS CORP | | 2009-12-10 | 2011-05-20 |
| 140 SH OMEROS CORP | | 2010-06-03 | 2011-05-20 |
| 200 SH OMEROS CORP | | 2010-07-30 | 2011-05-20 |
| 600 SH OMEROS CORP | | 2011-02-25 | 2011-05-20 |
| 221 SH PALL CORP | | 2010-06-04 | 2011-05-20 |
| 165 SH PALL CORP | | 2010-10-29 | 2011-05-20 |
| 145 SH PALL CORP | | 2010-12-23 | 2011-05-20 |
| 229 SH POWER ASSETS HOLDINGS LTD AMER | | 2009-03-25 | 2011-05-20 |
| 318 SH POWER ASSETS HOLDINGS LTD AMER | | 2008-05-29 | 2011-05-20 |
| 20 SH POWER ASSETS HOLDINGS LTD AMER | | 2008-06-06 | 2011-05-20 |
| 97 SH PRAXAIR INC | | 2010-01-21 | 2011-05-20 |
| 127 SH PRAXAIR INC | | 2010-12-20 | 2011-05-20 |
| 56 SH PRAXAIR INC | | 2009-08-03 | 2011-05-20 |
| 99 SH PRAXAIR INC | | 2011-01-25 | 2011-05-20 |
| 55 SH PRUDENTIAL FINANCIAL INC | | 2011-05-20 | 2011-09-01 |
| 15 SH PRUDENTIAL FINANCIAL INC | | 2011-08-03 | 2011-09-01 |
| 51 SH REED ELSEVIER NV | | 2009-01-07 | 2011-05-20 |
| 137 SH REED ELSEVIER NV | | 2008-05-29 | 2011-05-20 |
| 66 SH REED ELSEVIER NV | | 2009-03-25 | 2011-05-20 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 10 SH REED ELSEVIER NV | | 2008-06-06 | 2011-05-20 |
| 271 SH ROVI CORP | | 2010-08-12 | 2011-05-20 |
| 102 SH ROVI CORP | | 2010-12-23 | 2011-05-20 |
| 34 SH RWE AG SPONS ADR -USD | | 2009-01-07 | 2011-05-20 |
| 57 SH RWE AG SPONS ADR -USD | | 2008-05-29 | 2011-05-20 |
| 36 SH RWE AG SPONS ADR -USD | | 2009-03-25 | 2011-05-20 |
| 21 SH RWE AG SPONS ADR -USD | | 2009-05-01 | 2011-05-20 |
| 45 SH SANOFI SPONS ADR | | 2010-02-26 | 2011-05-20 |
| 50 SH SANOFI SPONS ADR | | 2010-04-16 | 2011-05-20 |
| 46 SH SANOFI SPONS ADR | | 2010-02-11 | 2011-05-20 |
| 52 SH SANOFI SPONS ADR | | 2010-04-30 | 2011-05-20 |
| 40 SH SANOFI SPONS ADR | | 2010-06-30 | 2011-05-20 |
| 65 SH SANOFI SPONS ADR | | 2010-08-03 | 2011-05-20 |
| 500 SH SEMPRA ENERGY | | 2010-03-30 | 2011-05-20 |
| 59 SH SEVEN & I HLDGS CO LTD-JPY | | 2009-04-27 | 2011-05-20 |
| 46 SH SEVEN & I HLDGS CO LTD-JPY | | 2009-09-10 | 2011-05-20 |
| 11 SH SEVEN & I HLDGS CO LTD-JPY | | 2009-03-11 | 2011-05-20 |
| 46 SH SEVEN & I HLDGS CO LTD-JPY | | 2010-01-22 | 2011-05-20 |
| 34 SH SEVEN & I HLDGS CO LTD-JPY | | 2010-10-12 | 2011-05-20 |
| 254 SH SINGAPORE TELECOMMUNICATIO | | 2009-01-07 | 2011-05-20 |
| 85 SH SINGAPORE TELECOMMUNICATIO | | 2009-03-25 | 2011-05-20 |
| 241 SH SOCIETE GENERALE SPON ADR | | 2008-05-29 | 2011-05-20 |
| 10 SH SOCIETE GENERALE SPON ADR | | 2008-06-06 | 2011-05-20 |
| 159 SH SOCIETE GENERALE SPON ADR | | 2009-03-25 | 2011-05-20 |
| 120 SH SOCIETE GENERALE SPON ADR | | 2009-01-07 | 2011-05-20 |
| 382 SH SUNCOR ENERGY INC NEW | | 2009-05-05 | 2011-05-20 |
| 224 SH SUNCOR ENERGY INC NEW | | 2010-05-11 | 2011-05-20 |
| 10 SH TAIWAN SEMICONDUCTOR MFG | | 2008-06-06 | 2011-05-20 |
| 137 SH TAIWAN SEMICONDUCTOR MFG | | 2009-01-07 | 2011-05-20 |
| 386 SH TAIWAN SEMICONDUCTOR MFG | | 2008-05-29 | 2011-05-20 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 179 SH TAIWAN SEMICONDUCTOR MFG | | 2009-03-25 | 2011-05-20 |
| 93 SH TAKEDA PHARMACEUTICAL CO | | 2009-03-25 | 2011-05-20 |
| 30 SH TAKEDA PHARMACEUTICAL CO | | 2008-07-01 | 2011-05-20 |
| 255 SH TAKEDA PHARMACEUTICAL CO | | 2009-01-07 | 2011-05-20 |
| 31 SH TAKEDA PHARMACEUTICAL CO | | 2010-08-03 | 2011-05-20 |
| 30 SH TELEFONICA S A SPON ADR | | 2008-06-06 | 2011-05-20 |
| 120 SH TELEFONICA S A SPON ADR | | 2009-01-07 | 2011-05-20 |
| 105 SH TELEFONICA S A SPON ADR | | 2008-05-29 | 2011-05-20 |
| 153 SH TELEFONICA S A SPON ADR | | 2009-03-25 | 2011-05-20 |
| 87 SH TELEFONICA S A SPON ADR | | 2009-05-01 | 2011-05-20 |
| 84 SH TELEFONICA S A SPON ADR | | 2010-05-18 | 2011-05-20 |
| 106 SH TELSTRA LTD SPONS ADR | | 2008-05-29 | 2011-05-20 |
| 10 SH TELSTRA LTD SPONS ADR | | 2008-06-06 | 2011-05-20 |
| 70 SH TELSTRA LTD SPONS ADR | | 2009-01-07 | 2011-05-20 |
| 302 SH TELSTRA LTD SPONS ADR | | 2009-04-17 | 2011-05-20 |
| 131 SH TELSTRA LTD SPONS ADR | | 2010-03-12 | 2011-05-20 |
| 89 SH TESCO PLC SPONSORED ADR | | 2010-11-01 | 2011-05-20 |
| 92 SH TESCO PLC SPONSORED ADR | | 2010-11-17 | 2011-05-20 |
| 87 SH TESCO PLC SPONSORED ADR | | 2011-02-03 | 2011-05-20 |
| 110 SH TESCO PLC SPONSORED ADR | | 2011-01-13 | 2011-05-20 |
| 89 SH TESCO PLC SPONSORED ADR | | 2011-01-26 | 2011-05-20 |
| 102 SH TESCO PLC SPONSORED ADR | | 2011-03-01 | 2011-05-20 |
| 86 SH TOKIO MARINE HLDGS INC | | 2009-03-25 | 2011-05-20 |
| 185 SH TOKIO MARINE HLDGS INC | | 2008-05-29 | 2011-05-20 |
| 22 SH TOKIO MARINE HLDGS INC | | 2011-01-12 | 2011-05-20 |
| 71 SH TOKIO MARINE HLDGS INC | | 2009-01-07 | 2011-05-20 |
| 41 SH TOYOTA MOTOR CORP ADR NEW | | 2008-05-29 | 2011-05-20 |
| 26 SH TOYOTA MOTOR CORP ADR NEW | | 2009-01-07 | 2011-05-20 |
| 32 SH TOYOTA MOTOR CORP ADR NEW | | 2009-03-25 | 2011-05-20 |
| 54 SH UNITED OVERSEAS BANK LTD | | 2009-01-07 | 2011-05-20 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 131 SH UNITED OVERSEAS BANK LTD | | 2008-05-29 | 2011-05-20 |
| 10 SH UNITED OVERSEAS BANK LTD | | 2008-06-06 | 2011-05-20 |
| 4 SH UNITED OVERSEAS BANK LTD | | 2010-07-06 | 2011-05-20 |
| 351 SH UNITED PARCEL SERVICE CL B | | 2010-05-21 | 2011-05-20 |
| 117 SH UNITED PARCEL SERVICE CL B | | 2010-08-27 | 2011-05-20 |
| 147 SH UNITED PARCEL SERVICE CL B | | 2011-02-23 | 2011-05-20 |
| 332 SH VERISK ANALYTICS INC CL A | | 2009-10-12 | 2011-05-20 |
| 248 SH VERISK ANALYTICS INC CL A | | 2009-11-19 | 2011-05-20 |
| 247 SH VERISK ANALYTICS INC CL A | | 2010-01-06 | 2011-05-20 |
| 127 SH VINCI S A ADR | | 2010-02-10 | 2011-05-20 |
| 128 SH VINCI S A ADR | | 2010-02-23 | 2011-05-20 |
| 145 SH VINCI S A ADR | | 2010-09-24 | 2011-05-20 |
| 77 SH ZURICH FINCL SVCS SPON ADR | | 2009-10-26 | 2011-05-20 |
| 80 SH ZURICH FINCL SVCS SPON ADR | | 2009-11-10 | 2011-05-20 |
| 75 SH ZURICH FINCL SVCS SPON ADR | | 2009-09-22 | 2011-05-20 |
| 600 SH AMERICAN ELECTRIC POWER CO INC | | 2010-03-30 | 2011-05-31 |
| 215 SH ANNALY CAPITAL MANAGEMENT INC | | 2011-07-12 | 2011-10-04 |
| 53 SH ASTELLAS PHARMA INC-JPY | | 2010-06-14 | 2011-05-31 |
| 47 SH ASTELLAS PHARMA INC-JPY | | 2010-01-13 | 2011-05-31 |
| 35 SH ASTELLAS PHARMA INC-JPY | | 2010-08-26 | 2011-05-31 |
| 45 SH ASTELLAS PHARMA INC-JPY | | 2010-06-07 | 2011-05-31 |
| 56 SH ASTELLAS PHARMA INC-JPY | | 2010-10-12 | 2011-05-31 |
| 46 SH BANCO SANTANDER S A | | 2010-01-13 | 2011-05-31 |
| 44 SH BANCO SANTANDER S A | | 2010-01-12 | 2011-05-31 |
| 45 SH BANCO SANTANDER S A | | 2010-01-13 | 2011-05-31 |
| 122 SH BANCO SANTANDER S A | | 2009-07-29 | 2011-05-31 |
| 47 SH BANCO SANTANDER S A | | 2010-01-14 | 2011-05-31 |
| 40 SH BANCO SANTANDER S A | | 2009-12-01 | 2011-05-31 |
| 350 SH BANK OF AMERICA CORP | | 2011-06-10 | 2011-08-09 |
| 52 SH BG GROUP PLC SPON ADR | | 2008-05-29 | 2011-05-31 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 23 SH BG GROUP PLC SPON ADR | | 2009-03-25 | 2011-05-31 |
| 100 SH BHP BILLITON PLC | | 2010-01-05 | 2011-05-31 |
| 169 SH BHP BILLITON PLC | | 2009-12-21 | 2011-05-31 |
| 125 SH BHP BILLITON PLC | | 2010-12-20 | 2011-05-31 |
| 75 SH BLACKROCK INC | | 2010-10-20 | 2011-05-31 |
| 20 SH BLACKROCK INC | | 2010-10-12 | 2011-05-31 |
| 87 SH BLACKROCK INC | | 2010-11-03 | 2011-05-31 |
| 126 SH BOEING CO | | 2010-12-07 | 2011-05-31 |
| 154 SH BOEING CO | | 2010-12-16 | 2011-05-31 |
| 18 SH BOEING CO | | 2010-11-19 | 2011-05-31 |
| 90 SH BOEING CO | | 2010-12-23 | 2011-05-31 |
| 101 SH BORGWARNER INC | | 2011-02-04 | 2011-05-31 |
| 150 SH BORGWARNER INC | | 2010-08-27 | 2011-05-31 |
| 109 SH BORGWARNER INC | | 2010-10-27 | 2011-05-31 |
| 115 SH BP PLC SPONS ADR | | 2008-05-29 | 2011-05-31 |
| 47 SH BP PLC SPONS ADR | | 2009-01-07 | 2011-05-31 |
| 54 SH BP PLC SPONS ADR | | 2009-03-25 | 2011-05-31 |
| 131 SH CANON INC ADR | | 2008-05-29 | 2011-05-31 |
| 61 SH CANON INC ADR | | 2009-01-07 | 2011-05-31 |
| 76 SH CANON INC ADR | | 2009-03-25 | 2011-05-31 |
| 18 SH CANON INC ADR | | 2009-11-10 | 2011-05-31 |
| 37 SH CARREFOUR SA-SP | | 2009-02-13 | 2011-06-06 |
| 276 SH CARREFOUR SA-SP | | 2009-03-11 | 2011-06-06 |
| 224 SH CARREFOUR SA-SP | | 2011-01-26 | 2011-06-06 |
| 156 SH CARREFOUR SA-SP | | 2009-08-04 | 2011-06-06 |
| 221 SH CARREFOUR SA-SP | | 2009-09-04 | 2011-06-06 |
| 132 SH CUMMINS INC | | 2010-10-01 | 2011-05-31 |
| 95 SH CUMMINS INC | | 2010-10-27 | 2011-05-31 |
| 48 SH CUMMINS INC | | 2010-10-28 | 2011-05-31 |
| 101 SH DEERE & CO | | 2010-12-13 | 2011-05-31 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 97 SH DEERE & CO | | 2010-12-07 | 2011-05-31 |
| 13 SH DEERE & CO | | 2010-12-20 | 2011-05-31 |
| 146 SH DEUTSCHE TELEKOM AG SP ADR | | 2009-01-07 | 2011-05-31 |
| 406 SH DEUTSCHE TELEKOM AG SP ADR | | 2008-05-29 | 2011-05-31 |
| 20 SH DEUTSCHE TELEKOM AG SP ADR | | 2008-06-06 | 2011-05-31 |
| 192 SH DEUTSCHE TELEKOM AG SP ADR | | 2009-03-25 | 2011-05-31 |
| 48 SH DEUTSCHE TELEKOM AG SP ADR | | 2010-08-25 | 2011-05-31 |
| 258 SH DISCOVERY COMMUNICATION INC | | 2010-12-17 | 2011-05-31 |
| 238 SH DISCOVERY COMMUNICATION INC | | 2010-12-30 | 2011-05-31 |
| 229 SH DISCOVERY COMMUNICATION INC | | 2010-12-06 | 2011-05-31 |
| 468 SH EBAY INC | | 2010-09-23 | 2011-05-31 |
| 19 SH EBAY INC | | 2010-04-29 | 2011-05-31 |
| 493 SH EBAY INC | | 2010-04-29 | 2011-05-31 |
| 209 SH EOG RESOURCES INC | | 2011-03-03 | 2011-05-31 |
| 16000 SH FEDERAL HOME LOAN MTG CORP | | 2011-06-03 | 2011-09-16 |
| 2000 SH FEDERAL HOME LOAN MTG CORP | | 2011-06-01 | 2011-09-14 |
| 8000 SH FEDERAL NATL MTG ASSN GLOBAL | | 2011-06-01 | 2011-11-28 |
| 10 SH FOSTERS GROUP LTD NEW | | 2008-06-06 | 2011-05-31 |
| 223 SH FOSTERS GROUP LTD NEW | | 2009-01-07 | 2011-05-31 |
| 889 SH FOSTERS GROUP LTD NEW | | 2008-05-29 | 2011-05-31 |
| 192 SH GLAXOSMITHKLINE PLC SP ADR | | 2008-05-29 | 2011-05-31 |
| 43 SH GLAXOSMITHKLINE PLC SP ADR | | 2009-01-07 | 2011-05-31 |
| 16 SH GOLDMAN SACHS GROUP INC | | 2009-08-17 | 2011-05-31 |
| 90 SH GOLDMAN SACHS GROUP INC | | 2009-04-02 | 2011-05-31 |
| 65 SH GOLDMAN SACHS GROUP INC | | 2011-03-08 | 2011-05-31 |
| 60 SH GOLDMAN SACHS GROUP INC | | 2011-03-16 | 2011-05-31 |
| 65 SH IBERDROLA | | 2009-07-16 | 2011-05-31 |
| 46 SH IBERDROLA | | 2010-03-12 | 2011-05-31 |
| 18 SH IBERDROLA | | 2009-01-07 | 2011-05-31 |
| 53 SH IBERDROLA | | 2009-09-10 | 2011-05-31 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 93 SH IBERDROLA | | 2009-02-02 | 2011-05-31 |
| 8 SH IBERDROLA | | 2011-01-05 | 2011-05-31 |
| 48 SH IBERDROLA | | 2009-03-25 | 2011-05-31 |
| 9 SH MASCO CORP DE | | 2011-07-27 | 2011-09-02 |
| 46 SH MASCO CORP DE | | 2011-07-27 | 2011-09-06 |
| 35 SH MASCO CORP DE | | 2011-07-27 | 2011-09-01 |
| 70 SH MICROSOFT CORP | | 2011-01-24 | 2011-10-31 |
| 655 SH NIELSEN HOLDINGS BV | | 2011-03-03 | 2011-05-31 |
| 155 SH NIELSEN HOLDINGS BV | | 2011-03-01 | 2011-05-31 |
| 201 SH NIELSEN HOLDINGS BV | | 2011-03-02 | 2011-05-31 |
| 127 SH NOVARTIS AG ADR | | 2008-05-29 | 2011-05-31 |
| 45 SH PARKER-HANNIFIN CORP | | 2011-05-31 | 2011-06-21 |
| 100 SH SCHLUMBERGER LTD | | 2010-10-19 | 2011-05-31 |
| 101 SH TEEKAY CORP | | 2009-11-03 | 2011-05-31 |
| 389 SH TEEKAY CORP | | 2010-08-06 | 2011-05-31 |
| 499 SH TEEKAY CORP | | 2009-09-17 | 2011-05-31 |
| 74 1346 SH TREASURY WINE ESTATES LTD | | 2009-01-07 | 2011-05-31 |
| 0 0089 SH TREASURY WINE ESTATES LTD | | 2008-06-06 | 2011-05-23 |
| 3 3244 SH TREASURY WINE ESTATES LTD | | 2008-06-06 | 2011-05-31 |
| 295 541 SH TREASURY WINE ESTATES LTD | | 2008-05-29 | 2011-05-31 |
| 0 1987 SH TREASURY WINE ESTATES LTD | | 2009-01-07 | 2011-05-23 |
| 0 7923 SH TREASURY WINE ESTATES LTD | | 2008-05-29 | 2011-05-23 |
| 1000 SH U S TREASURY NOTES SER AE-2012 | | 2011-06-01 | 2011-09-14 |
| 4000 SH U S TREASURY NOTES SER AE-2012 | | 2011-06-01 | 2011-07-06 |
| 1000 SH U S TREASURY NOTES SER E-2016 | | 2011-06-01 | 2011-09-14 |
| 2000 SH U S TREASURY NOTES SER F-2010 | | 2011-06-01 | 2011-09-14 |
| 4000 SH U S TREASURY NOTES SER F-2018 | | 2011-06-01 | 2011-11-28 |
| 2000 SH U S TREASURY NOTES SER F-2018 | | 2011-06-01 | 2011-09-14 |
| 1000 SH U S TREASURY NOTES SER G-2015 | | 2011-06-01 | 2011-09-14 |
| 153 SH UNILEVER PLC SPONS ADR NEW | | 2008-05-29 | 2011-05-31 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 430 SH ALASKA COMM SYSTEMS GROUP | | 2011-06-01 | 2011-09-27 |
| 430 SH ALASKA COMM SYSTEMS GROUP | | 2011-06-01 | 2011-09-26 |
| 370 SH ALASKA COMM SYSTEMS GROUP | | 2011-06-01 | 2011-09-23 |
| 160 SH AMERICAN CAMPUS CMNTYS INC | | 2011-06-01 | 2011-10-19 |
| 86 SH AMERICAN TOWER CORP-CLASS A | | 2008-07-07 | 2011-06-01 |
| 827 SH AMERICAN TOWER CORP-CLASS A | | 2009-05-05 | 2011-06-01 |
| 139 SH AMERICAN TOWER CORP-CLASS A | | 2010-12-17 | 2011-06-01 |
| 200 SH BOEING CO | | 2006-10-19 | 2011-06-01 |
| 20 SH CATERPILLAR INC | | 2010-01-05 | 2011-06-01 |
| 16 SH CATERPILLAR INC | | 2009-09-15 | 2011-06-01 |
| 104 SH CATERPILLAR INC | | 2009-12-10 | 2011-06-01 |
| 148 SH CHORUS LTD | | 2011-06-01 | 2011-12-19 |
| 399 SH CISCO SYS INC | | 2006-10-19 | 2011-06-01 |
| 200 SH COSTCO WHOLESALE CORP NEW | | 2006-10-19 | 2011-06-01 |
| 400 SH CVS CAREMARK CORP | | 2006-11-29 | 2011-06-01 |
| 250 013 SH DWS SHORT DURATION PLUS FUND | | 2010-03-25 | 2011-05-27 |
| 375 63 SH DWS SHORT DURATION PLUS FUND | | 2010-06-24 | 2011-05-27 |
| 25256 981 SH DWS SHORT DURATION PLUS FUND | | 2010-03-25 | 2011-05-27 |
| 208 961 SH DWS SHORT DURATION PLUS FUND | | 2010-08-25 | 2011-05-27 |
| 6443 082 SH DWS SHORT DURATION PLUS FUND | | 2010-04-08 | 2011-05-27 |
| 376 351 SH DWS SHORT DURATION PLUS FUND | | 2010-07-26 | 2011-05-27 |
| 369 758 SH DWS SHORT DURATION PLUS FUND | | 2010-04-26 | 2011-05-27 |
| 209 108 SH DWS SHORT DURATION PLUS FUND | | 2010-09-24 | 2011-05-27 |
| 372 408 SH DWS SHORT DURATION PLUS FUND | | 2010-05-24 | 2011-05-27 |
| 160 079 SH DWS SHORT DURATION PLUS FUND | | 2010-10-25 | 2011-05-27 |
| 161 026 SH DWS SHORT DURATION PLUS FUND | | 2011-01-25 | 2011-05-27 |
| 161 198 SH DWS SHORT DURATION PLUS FUND | | 2010-11-23 | 2011-05-27 |
| 166 567 SH DWS SHORT DURATION PLUS FUND | | 2011-02-22 | 2011-05-27 |
| 155 267 SH DWS SHORT DURATION PLUS FUND | | 2010-12-09 | 2011-05-27 |
| 167 244 SH DWS SHORT DURATION PLUS FUND | | 2011-03-25 | 2011-05-27 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 104 537 SH DWS SHORT DURATION PLUS FUND | | 2011-04-25 | 2011-05-27 |
| 104 851 SH DWS SHORT DURATION PLUS FUND | | 2011-05-24 | 2011-05-27 |
| 200 SH GENERAL ELECTRIC CO | | 2006-10-19 | 2011-06-01 |
| 195 SH LOCKHEED MARTIN CORP | | 2011-07-26 | 2011-11-16 |
| 798 SH MICROSOFT CORP | | 2006-10-19 | 2011-06-01 |
| 220 SH MONMOUTH REAL EST INV CORP | | 2011-06-01 | 2011-10-20 |
| 365 SH MONMOUTH REAL EST INV CORP | | 2011-06-01 | 2011-10-24 |
| 155 SH MONMOUTH REAL EST INV CORP | | 2011-06-01 | 2011-10-21 |
| 370 SH NORDIC AMERICAN TANKERS | | 2011-06-01 | 2011-08-24 |
| 7 SH PIMCO MUN INCOME FD II | | 2009-08-03 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2009-09-01 | 2011-06-01 |
| 9 SH PIMCO MUN INCOME FD II | | 2009-01-08 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2009-11-02 | 2011-06-01 |
| 5 SH PIMCO MUN INCOME FD II | | 2008-08-01 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2009-12-30 | 2011-06-01 |
| 9 SH PIMCO MUN INCOME FD II | | 2009-04-01 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2007-03-01 | 2011-06-01 |
| 5 SH PIMCO MUN INCOME FD II | | 2008-06-02 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2007-04-02 | 2011-06-01 |
| 6 SH PIMCO MUN INCOME FD II | | 2008-10-01 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2007-05-01 | 2011-06-01 |
| 9 SH PIMCO MUN INCOME FD II | | 2009-02-02 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2007-06-01 | 2011-06-01 |
| 8 SH PIMCO MUN INCOME FD II | | 2009-06-01 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2007-07-02 | 2011-06-01 |
| 5 SH PIMCO MUN INCOME FD II | | 2008-05-01 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2007-08-01 | 2011-06-01 |
| 5 SH PIMCO MUN INCOME FD II | | 2008-07-01 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2007-09-04 | 2011-06-01 |
| 5 SH PIMCO MUN INCOME FD II | | 2008-09-02 | 2011-06-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 4 SH PIMCO MUN INCOME FD II | | 2007-10-01 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2008-11-03 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2007-11-01 | 2011-06-01 |
| 9 SH PIMCO MUN INCOME FD II | | 2009-01-23 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2007-12-03 | 2011-06-01 |
| 9 SH PIMCO MUN INCOME FD II | | 2009-03-02 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2007-12-31 | 2011-06-01 |
| 8 SH PIMCO MUN INCOME FD II | | 2009-05-01 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2008-02-01 | 2011-06-01 |
| 8 SH PIMCO MUN INCOME FD II | | 2009-07-01 | 2011-06-01 |
| 5 SH PIMCO MUN INCOME FD II | | 2008-03-03 | 2011-06-01 |
| 5 SH PIMCO MUN INCOME FD II | | 2008-04-01 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2009-09-29 | 2011-06-01 |
| 1000 SH PIMCO MUN INCOME FD II | | 2006-10-19 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2009-12-01 | 2011-06-01 |
| 8 SH PIMCO MUN INCOME FD II | | 2006-12-29 | 2011-06-01 |
| 4 SH PIMCO MUN INCOME FD II | | 2007-02-01 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2010-02-01 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2010-06-29 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2010-03-01 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2010-09-01 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2010-03-30 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2010-08-02 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2010-05-03 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2010-09-29 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2010-06-01 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2010-11-01 | 2011-06-01 |
| 8 SH PIMCO MUN INCOME FD II | | 2011-02-01 | 2011-06-01 |
| 7 SH PIMCO MUN INCOME FD II | | 2010-12-01 | 2011-06-01 |
| 8 SH PIMCO MUN INCOME FD II | | 2010-12-29 | 2011-06-01 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 8 SH PIMCO MUN INCOME FD II | | 2011-03-01 | 2011-06-01 |
| 8 SH PIMCO MUN INCOME FD II | | 2011-04-01 | 2011-06-01 |
| 8 SH PIMCO MUN INCOME FD II | | 2011-05-02 | 2011-06-01 |
| 255 SH POTLATCH CORP NEW | | 2011-06-01 | 2011-10-21 |
| 255 SH POTLATCH CORP NEW | | 2011-06-01 | 2011-10-20 |
| 300 SH QUALCOMM INC | | 2006-11-29 | 2011-06-01 |
| 1000 SH SEATTLE GENETICS INC | | 2006-10-19 | 2011-06-01 |
| 510 SH SOUTHERN COPPER CORP DEL | | 2011-06-01 | 2011-06-17 |
| 850 SH STARBUCKS CORP | | 2006-10-19 | 2011-06-01 |
| 240 SH TORTOISE ENERGY INFRASTRUCTURE | | 2011-06-01 | 2011-09-07 |
| 285 SH TUPPERWARE CORP | | 2011-06-01 | 2011-08-19 |
| 285 SH TUPPERWARE CORP | | 2011-06-01 | 2011-08-17 |
| 285 SH VEOLIA ENVIRONNEMENT | | 2011-06-01 | 2011-08-24 |
| 18 SH WALT DISNEY CO | | 2006-10-19 | 2011-06-01 |
| 200 SH WELLS FARGO & CO NEW | | 2006-10-19 | 2011-06-01 |
| 2000 SH AOL TIME WARNER INC | | 2008-06-09 | 2011-06-01 |
| 2000 SH CAPITAL ONE FINANCIA | | 2010-02-09 | 2011-06-01 |
| 2000 SH DELL INC | | 2011-02-04 | 2011-06-01 |
| 1000 SH EMBARQ CORP NOTES-BK/ENTRY | | 2008-06-09 | 2011-06-01 |
| 1000 SH EMBARQ CORP NOTES-BK/ENTRY | | 2009-01-12 | 2011-06-01 |
| 2000 SH ENERGY TRANSFER PART | | 2008-06-18 | 2011-06-01 |
| 4000 SH ERP OPERATING LP | | 2008-06-09 | 2011-06-01 |
| 2000 SH FEDERAL HOME LOAN MTG CORP | | 2008-06-09 | 2011-06-01 |
| 3000 SH GEORGIA PAC CORP | | 2010-12-14 | 2011-06-01 |
| 5000 SH GEORGIA PAC CORP | | 2009-11-02 | 2011-06-01 |
| 2000 SH GOLDMAN SACHS GROUP INC | | 2008-06-09 | 2011-06-01 |
| 2000 SH J P MORGAN CHASE & CO NOTES | | 2008-06-09 | 2011-06-01 |
| 2000 SH JOHN DEERE CAPITAL CORP | | 2008-06-09 | 2011-06-01 |
| 2000 SH MARATHON OIL CO | | 2010-05-07 | 2011-06-01 |
| 2000 SH MCDONALD'S CORP | | 2009-01-14 | 2011-06-01 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 2000 SH MORGAN STANLEY GLOBAL SUB | | 2008-06-09 | 2011-06-01 |
| 2000 SH ONEOK PARTNERS NOTES | | 2008-06-09 | 2011-06-01 |
| 2000 SH PACIFIC GAS&ELEC 1ST MTG | | 2009-03-30 | 2011-06-01 |
| 2000 SH PRUDENTIAL FINANCIAL INC | | 2011-02-02 | 2011-06-01 |
| 2000 SH TELECOM ITALIA SPA | | 2011-02-02 | 2011-06-01 |
| 2000 SH TOTAL CAPITAL SA | | 2010-06-21 | 2011-06-01 |
| 9000 SH U S TREAS STRIPS-PRIN PMT | | 2008-06-09 | 2011-06-01 |
| 1000 SH U S TREASURY BONDS | | 2008-06-09 | 2011-06-01 |
| 3000 SH U S TREASURY BONDS | | 2010-04-08 | 2011-06-01 |
| 3000 SH U S TREASURY BONDS | | 2011-01-06 | 2011-06-01 |
| 11000 SH U S TREASURY NOTES SER AE-2011 | | 2009-12-10 | 2011-06-01 |
| 5000 SH U S TREASURY NOTES SER AE-2011 | | 2010-07-01 | 2011-06-01 |
| 11000 SH U S WEST CAPITAL FUNDING INC | | 2010-10-01 | 2011-06-01 |
| 2000 SH UNITED HEALTHCARE GROUP INC | | 2009-06-23 | 2011-06-01 |
| 1000 SH UNITED RENTALS INC | | 2010-06-07 | 2011-06-01 |
| 1000 SH UNITED RENTALS INC | | 2010-06-10 | 2011-06-01 |
| 1000 SH UNITED RENTALS INC | | 2010-07-01 | 2011-06-01 |
| 2000 SH VIACOM INC | | 2009-01-12 | 2011-06-01 |
| 2000 SH VODAFONE GROUP PLC | | 2008-06-09 | 2011-06-01 |
| 2000 SH XEROX CORP | | 2009-09-29 | 2011-06-01 |
| 1 194 SH CAPITAL INCOME BUILDER FUND | | 2011-05-31 | 2011-10-24 |
| 1 974 SH CAPITAL INCOME BUILDER FUND | | 2011-05-31 | 2011-07-18 |
| 2 815 SH CAPITAL WORLD GROWTH AND | | 2011-05-31 | 2011-07-18 |
| 2 507 SH CAPITAL WORLD GROWTH AND | | 2011-05-31 | 2011-10-24 |
| 2152 261 SH DWS FUNDS - DWS DISCIPLINED | | 2010-06-09 | 2011-05-27 |
| 18 152 SH DWS FUNDS - DWS DISCIPLINED | | 2010-12-14 | 2011-05-27 |
| 4530 41 SH EATON VANCE RISK-MANAGED | | 2010-03-30 | 2011-05-27 |
| 1 852 SH INTERNATIONAL GROWTH AND | | 2011-05-31 | 2011-10-24 |
| 1 949 SH INTERNATIONAL GROWTH AND | | 2011-05-31 | 2011-07-18 |
| 3 965 SH JPMORGAN STRATEGIC INCOME | | 2010-06-01 | 2011-05-27 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|
| 1899 03 SH JPMORGAN STRATEGIC INCOME | | 2010-02-10 | 2011-05-27 |
| 4 948 SH JPMORGAN STRATEGIC INCOME | | 2010-08-02 | 2011-05-27 |
| 4 435 SH JPMORGAN STRATEGIC INCOME | | 2010-03-01 | 2011-05-27 |
| 4 307 SH JPMORGAN STRATEGIC INCOME | | 2010-07-01 | 2011-05-27 |
| 3 431 SH JPMORGAN STRATEGIC INCOME | | 2010-04-01 | 2011-05-27 |
| 4 973 SH JPMORGAN STRATEGIC INCOME | | 2010-09-01 | 2011-05-27 |
| 2 764 SH JPMORGAN STRATEGIC INCOME | | 2010-05-03 | 2011-05-27 |
| 4 944 SH JPMORGAN STRATEGIC INCOME | | 2010-10-01 | 2011-05-27 |
| 4 094 SH JPMORGAN STRATEGIC INCOME | | 2011-02-01 | 2011-05-27 |
| 4 754 SH JPMORGAN STRATEGIC INCOME | | 2010-11-01 | 2011-05-27 |
| 3 769 SH JPMORGAN STRATEGIC INCOME | | 2011-04-01 | 2011-05-27 |
| 5 626 SH JPMORGAN STRATEGIC INCOME | | 2010-12-01 | 2011-05-27 |
| 3 749 SH JPMORGAN STRATEGIC INCOME | | 2011-03-01 | 2011-05-27 |
| 1 861 SH JPMORGAN STRATEGIC INCOME | | 2010-12-15 | 2011-05-27 |
| 3 433 SH JPMORGAN STRATEGIC INCOME | | 2011-05-02 | 2011-05-27 |
| 5 27 SH JPMORGAN STRATEGIC INCOME | | 2011-01-03 | 2011-05-27 |
| 2077 039 SH JPMORGAN TR I HIGHBRIDGE | | 2010-03-25 | 2011-05-27 |
| 3 133 SH NEW PERSPECTIVE FUND CLASS F | | 2011-05-31 | 2011-10-24 |
| 3 439 SH NEW PERSPECTIVE FUND CLASS F | | 2011-05-31 | 2011-07-18 |
| 1 846 SH NEW WORLD FUND CLASS F1 | | 2011-05-31 | 2011-07-18 |
| 1 089 SH NEW WORLD FUND CLASS F1 | | 2011-05-31 | 2011-10-24 |
| 8 704 SH TOUCHSTONE HIGH YIELD FUND | | 2010-05-28 | 2011-05-27 |
| 6 494 SH TOUCHSTONE HIGH YIELD FUND | | 2010-07-30 | 2011-05-27 |
| 922 837 SH TOUCHSTONE HIGH YIELD FUND | | 2010-03-15 | 2011-05-27 |
| 7 676 SH TOUCHSTONE HIGH YIELD FUND | | 2010-06-30 | 2011-05-27 |
| 7 416 SH TOUCHSTONE HIGH YIELD FUND | | 2010-03-31 | 2011-05-27 |
| 6 213 SH TOUCHSTONE HIGH YIELD FUND | | 2010-08-31 | 2011-05-27 |
| 7 246 SH TOUCHSTONE HIGH YIELD FUND | | 2010-04-30 | 2011-05-27 |
| 6 599 SH TOUCHSTONE HIGH YIELD FUND | | 2010-09-30 | 2011-05-27 |
| 5 223 SH TOUCHSTONE HIGH YIELD FUND | | 2011-02-28 | 2011-05-27 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 6 838 SH TOUCHSTONE HIGH YIELD FUND | | 2010-10-29 | 2011-05-27 |
| 6 973 SH TOUCHSTONE HIGH YIELD FUND | | 2011-04-29 | 2011-05-27 |
| 6 737 SH TOUCHSTONE HIGH YIELD FUND | | 2010-11-30 | 2011-05-27 |
| 7 977 SH TOUCHSTONE HIGH YIELD FUND | | 2011-03-31 | 2011-05-27 |
| 5 713 SH TOUCHSTONE HIGH YIELD FUND | | 2010-12-30 | 2011-05-27 |
| 7 218 SH TOUCHSTONE HIGH YIELD FUND | | 2011-05-31 | 2011-06-01 |
| 6 525 SH TOUCHSTONE HIGH YIELD FUND | | 2011-01-31 | 2011-05-27 |
| 17 SH ALPINE GLOBAL PREMIER PPTYS FD | | 2011-08-29 | 2011-08-30 |
| 8 SH ANNALY CAPITAL MANAGEMENT INC | | 2011-06-03 | 2011-09-12 |
| 28 SH ANNALY CAPITAL MANAGEMENT INC | | 2011-06-03 | 2011-08-10 |
| 186 SH ANNALY CAPITAL MANAGEMENT INC | | 2011-06-03 | 2011-06-23 |
| 38 SH EATON VANCE TAX-MANAGED GLOBAL | | 2011-06-03 | 2011-08-30 |
| 575 SH EATON VANCE TAX-MANAGED GLOBAL | | 2011-06-03 | 2011-06-23 |
| 72 SH EATON VANCE TAX-MANAGED GLOBAL | | 2011-07-19 | 2011-10-17 |
| 281 SH EATON VANCE TAX-MANAGED GLOBAL | | 2011-06-03 | 2011-10-07 |
| 51 SH EATON VANCE TAX-MANAGED GLOBAL | | 2011-07-21 | 2011-10-17 |
| 378 SH EATON VANCE TAX-MANAGED GLOBAL | | 2011-06-03 | 2011-10-07 |
| 148 SH EATON VANCE TAX-MANAGED GLOBAL | | 2011-07-21 | 2011-10-17 |
| 315 SH EATON VANCE TAX-MANAGED GLOBAL | | 2011-06-03 | 2011-10-05 |
| 121 SH EATON VANCE TAX-MANAGED GLOBAL | | 2011-08-10 | 2011-10-17 |
| 160 SH EATON VANCE TAX-MANAGED GLOBAL | | 2011-07-19 | 2011-10-07 |
| 49 SH EATON VANCE TAX-MANAGED GLOBAL | | 2011-09-12 | 2011-10-17 |
| 90 SH EL PASO CORP | | 2011-10-17 | 2011-10-26 |
| 23 SH EL PASO CORP | | 2011-10-17 | 2011-10-26 |
| 93 SH EL PASO CORP | | 2011-10-17 | 2011-10-26 |
| 35 SH EL PASO CORP | | 2011-10-17 | 2011-10-26 |
| 40 SH ETFS PHYSICAL PALLADIUM SHS | | 2011-06-03 | 2011-08-29 |
| 33 SH ETFS PHYSICAL PALLADIUM SHS | | 2011-06-03 | 2011-06-23 |
| 260 SH ISHARES IBOXX $ INVESTOP | | 2009-05-20 | 2011-06-03 |
| 16 SH MACQUARIE/FIRST GLOBAL | | 2011-08-29 | 2011-08-30 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 30 SH NUVEEN DIVERSIFIED DIVIDEND | | 2011-06-03 | 2011-09-12 |
| 31 SH NUVEEN DIVERSIFIED DIVIDEND | | 2011-06-03 | 2011-08-30 |
| 368 SH NUVEEN DIVERSIFIED DIVIDEND | | 2011-06-03 | 2011-06-23 |
| 56 SH NUVEEN FLOATING RATE INCOME | | 2011-07-19 | 2011-08-30 |
| 42 SH NUVEEN FLOATING RATE INCOME | | 2011-08-10 | 2011-08-30 |
| 455 SH NUVEEN FLOATING RATE INCOME | | 2011-06-03 | 2011-08-30 |
| 6 SH NUVEEN FLOATING RATE INCOME | | 2011-07-21 | 2011-08-30 |
| 979 SH NUVEEN FLOATING RATE INCOME | | 2011-06-03 | 2011-08-29 |
| 420 SH NUVEEN FLOATING RATE INCOME | | 2011-06-23 | 2011-08-30 |
| 24 SH NUVEEN MULTI-CURRENCY SHORT | | 2011-06-03 | 2011-09-12 |
| 15 SH NUVEEN MULTI-CURRENCY SHORT | | 2011-06-03 | 2011-07-19 |
| 118 SH NUVEEN MULTI-CURRENCY SHORT | | 2011-06-03 | 2011-08-10 |
| 10 SH NUVEEN MULTI-CURRENCY SHORT | | 2011-06-03 | 2011-07-21 |
| 180 SH NUVEEN QUALITY PFD INCOME FD 2 | | 2011-09-08 | 2011-09-12 |
| 752 SH POWERSHARES EXCHANGE TRADED FD | | 2011-06-03 | 2011-09-08 |
| 127 SH POWERSHARES EXCHANGE TRADED FD | | 2011-06-03 | 2011-08-10 |
| 189 SH SELECT SECTOR SPDR | | 2011-06-03 | 2011-08-29 |
| 46 SH SELECT SECTOR SPDR | | 2011-06-03 | 2011-09-16 |
| 149 SH SELECT SECTOR SPDR | | 2011-06-03 | 2011-06-23 |
| 7 SH SELECT SECTOR SPDR | | 2011-06-03 | 2011-08-30 |
| 55 SH SELECT SECTOR SPDR | | 2011-07-19 | 2011-09-16 |
| 19 SH SELECT SECTOR SPDR | | 2011-08-10 | 2011-10-04 |
| 26 SH SELECT SECTOR SPDR | | 2011-07-21 | 2011-09-16 |
| 19 SH SELECT SECTOR SPDR | | 2011-07-21 | 2011-10-04 |
| 18 SH SELECT SECTOR SPDR | | 2011-08-10 | 2011-10-04 |
| 16 SH SELECT SECTOR SPDR | | 2011-09-12 | 2011-10-04 |
| 54 SH SELECT SECTOR SPDR-ENERGY | | 2011-06-03 | 2011-09-12 |
| 16 SH SELECT SECTOR SPDR-ENERGY | | 2011-06-03 | 2011-08-10 |
| 13 SH SELECT SECTOR SPDR-ENERGY | | 2011-06-03 | 2011-10-17 |
| 68 SH SELECT SECTOR SPDR-ENERGY | | 2011-06-03 | 2011-06-23 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| **(a)** List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | **(b)** How acquired P—Purchase D—Donation | **(c)** Date acquired (mo , day, yr ) | **(d)** Date sold (mo , day, yr ) |
|---|---|---|---|
| 34 SH SPDR GOLD TR GOLD SHS | | 2011-06-03 | 2011-08-29 |
| 37 SH SPDR GOLD TR GOLD SHS | | 2011-06-03 | 2011-06-23 |
| 14 SH SPDR GOLD TR GOLD SHS | | 2011-06-03 | 2011-08-10 |
| 2 SH SPDR SER TR | | 2011-06-03 | 2011-08-30 |
| 107 SH SPDR SER TR | | 2011-06-03 | 2011-06-23 |
| 61 SH SPDR SER TR | | 2011-06-03 | 2011-09-12 |
| 19 SH SPDR SER TR | | 2011-07-21 | 2011-09-12 |
| 140 SH SPDR SER TR | | 2011-06-03 | 2011-08-29 |
| 19 SH SPDR SER TR | | 2011-08-10 | 2011-09-16 |
| 22 SH SPDR SER TR | | 2011-07-19 | 2011-09-12 |
| 14 SH SPDR SER TR | | 2011-06-03 | 2011-08-10 |
| 17 SH SPDR SER TR | | 2011-07-21 | 2011-09-16 |
| 100 SH SPDR SER TR | | 2011-06-03 | 2011-06-23 |
| 6 SH SPDR SER TR | | 2011-06-03 | 2011-08-30 |
| 25 SH TALEO CORP CL A | | 2011-12-05 | 2011-12-06 |
| 10 SH TELECOM CORP NEW ZEALAND | | 2008-06-06 | 2011-06-03 |
| 305 SH TELECOM CORP NEW ZEALAND | | 2008-05-29 | 2011-06-03 |
| 74 SH TELECOM CORP NEW ZEALAND | | 2009-01-07 | 2011-06-03 |
| 2 SH VANGUARD GROWTH | | 2011-06-03 | 2011-08-30 |
| 64 SH VANGUARD GROWTH | | 2011-06-03 | 2011-08-10 |
| 59 SH VANGUARD GROWTH | | 2011-06-03 | 2011-09-12 |
| 126 SH VANGUARD GROWTH | | 2011-06-03 | 2011-06-23 |
| 22 SH VANGUARD MSCI EAFE ETF | | 2011-06-03 | 2011-08-10 |
| 71 SH VANGUARD MSCI EAFE ETF | | 2011-06-03 | 2011-06-23 |
| 20 SH WESTERN ASSET GLOBAL HIGH | | 2011-08-29 | 2011-09-12 |
| CAPITAL GAIN DIVIDENDS | P | | |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 9,660 | | 8,456 | 1,204 |
| 17,123 | | 15,544 | 1,579 |
| 12,676 | | 7,489 | 5,187 |
| 1,594 | | 901 | 693 |
| 5,881 | | 5,333 | 548 |
| 16,989 | | 18,039 | -1,050 |
| 5,534 | | 4,530 | 1,004 |
| 5,787 | | 4,798 | 989 |
| 1,594 | | 1,360 | 234 |
| 19,971 | | 16,377 | 3,594 |
| 6,315 | | 5,854 | 461 |
| 13,209 | | 13,310 | -101 |
| 2,008 | | 2,231 | -223 |
| 1,849 | | 2,182 | -333 |
| 2,102 | | 1,894 | 208 |
| 10,224 | | 8,090 | 2,134 |
| 81,034 | | 72,349 | 8,685 |
| 14,225 | | 11,538 | 2,687 |
| 1,674 | | 1,858 | -184 |
| 228 | | 248 | -20 |
| 2,439 | | 2,117 | 322 |
| 668 | | 545 | 123 |
| 9,892 | | 7,745 | 2,147 |
| 11,895 | | 10,537 | 1,358 |
| 2,251 | | 2,092 | 159 |
| 773 | | 634 | 139 |
| 14,305 | | 11,506 | 2,799 |
| 1,722 | | 2,734 | -1,012 |
| 1,930 | | 2,239 | -309 |
| 3,012 | | 2,998 | 14 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 6,023 | | 5,995 | 28 |
| 12,309 | | 11,771 | 538 |
| 19,453 | | 18,996 | 457 |
| 13,998 | | 13,430 | 568 |
| 2,168 | | 2,070 | 98 |
| 4,430 | | 4,370 | 60 |
| 2,250 | | 2,210 | 40 |
| 3,045 | | 3,060 | -15 |
| 2,250 | | 1,950 | 300 |
| 1,125 | | 975 | 150 |
| 3,315 | | 2,820 | 495 |
| 5,075 | | 3,663 | 1,412 |
| 5,650 | | 4,867 | 783 |
| 5,413 | | 5,338 | 75 |
| 5,181 | | 4,738 | 443 |
| 5,338 | | 5,050 | 288 |
| 2,708 | | 2,743 | -35 |
| 2,735 | | 2,724 | 11 |
| 2,719 | | 2,733 | -14 |
| 88,978 | | 87,539 | 1,439 |
| 116 | | 118 | -2 |
| 2,744 | | 2,706 | 38 |
| 2,000 | | 2,099 | -99 |
| 6,420 | | 6,375 | 45 |
| 3,210 | | 3,105 | 105 |
| 7,753 | | 8,644 | -891 |
| 2,322 | | 1,795 | 527 |
| 10,475 | | 10,125 | 350 |
| 7,306 | | 7,420 | -114 |
| 8,620 | | 8,460 | 160 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,168 | | 2,012 | 156 |
| 4,230 | | 4,180 | 50 |
| 6,345 | | 6,045 | 300 |
| 2,190 | | 1,940 | 250 |
| 4,370 | | 4,380 | -10 |
| 8,800 | | 8,800 | 0 |
| 2,122 | | 2,016 | 106 |
| 8,100 | | 8,000 | 100 |
| 8,140 | | 8,000 | 140 |
| 2,293 | | 2,133 | 160 |
| 6,132 | | 5,263 | 869 |
| 5,163 | | 4,700 | 463 |
| 2,021 | | 2,184 | -163 |
| 3,094 | | 2,966 | 128 |
| 5,156 | | 5,250 | -94 |
| 2,348 | | 2,071 | 277 |
| 3,279 | | 2,910 | 369 |
| 2,311 | | 2,276 | 35 |
| 8,184 | | 8,000 | 184 |
| 1,411 | | 1,386 | 25 |
| 4,234 | | 4,521 | -287 |
| 2,823 | | 2,753 | 70 |
| 10,000 | | 10,000 | |
| 2,133 | | 2,089 | 44 |
| 2,070 | | 1,930 | 140 |
| 8,280 | | 7,760 | 520 |
| 3,150 | | 3,045 | 105 |
| 8,161 | | 8,160 | 1 |
| 2,040 | | 2,105 | -65 |
| 5,475 | | 5,313 | 162 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 5,338 | | 5,125 | 213 |
| 8,661 | | 8,780 | -119 |
| 10,788 | | 9,916 | 872 |
| 5,175 | | 5,013 | 162 |
| 10,550 | | 10,700 | -150 |
| 7,319 | | 4,960 | 2,359 |
| 5,250 | | 4,800 | 450 |
| 9,428 | | 9,371 | 57 |
| 10,350 | | 10,000 | 350 |
| 8,521 | | 8,639 | -118 |
| 6,420 | | 7,630 | -1,210 |
| 803 | | 945 | -142 |
| 5,128 | | 5,100 | 28 |
| 4,864 | | 5,213 | -349 |
| 5,836 | | 6,555 | -719 |
| 6,744 | | 8,740 | -1,996 |
| 1,061 | | 1,108 | -47 |
| 6,368 | | 6,375 | -7 |
| 2,683 | | 2,766 | -83 |
| 6,439 | | 6,659 | -220 |
| 1,551 | | 1,555 | -4 |
| 4,236 | | 3,392 | 844 |
| 984 | | 539 | 445 |
| 4,072 | | 2,306 | 1,766 |
| 5,132 | | 5,253 | -121 |
| 1,768 | | 1,733 | 35 |
| 1,970 | | 1,680 | 290 |
| 1,858 | | 1,552 | 306 |
| 1,925 | | 1,648 | 277 |
| 1,902 | | 2,087 | -185 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 1,164 | | 1,157 | 7 |
| 27,239 | | 31,306 | -4,067 |
| 4,253 | | 11,449 | -7,196 |
| 2,392 | | 1,489 | 903 |
| 118 | | 308 | -190 |
| 1,084 | | 852 | 232 |
| 19,860 | | 14,342 | 5,518 |
| 25,477 | | 22,812 | 2,665 |
| 17,543 | | 10,078 | 7,465 |
| 5,946 | | 3,495 | 2,451 |
| 20,604 | | 15,507 | 5,097 |
| 10,808 | | 8,289 | 2,519 |
| 3,415 | | 3,414 | 1 |
| 5,906 | | 5,711 | 195 |
| 2,916 | | 2,454 | 462 |
| 7,047 | | 7,736 | -689 |
| 1,215 | | 997 | 218 |
| 2,230 | | 2,072 | 158 |
| 1,860 | | 1,905 | -45 |
| 1,792 | | 1,765 | 27 |
| 1,874 | | 1,840 | 34 |
| 9,535 | | 7,771 | 1,764 |
| 940 | | 733 | 207 |
| 134 | | 107 | 27 |
| 21,622 | | 17,600 | 4,022 |
| 2,902 | | 3,502 | -600 |
| 1,154 | | 1,131 | 23 |
| 12,246 | | 14,408 | -2,162 |
| 1,552 | | 1,571 | -19 |
| 8,780 | | 7,627 | 1,153 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 8,515 | | 8,062 | 453 |
| 12,542 | | 12,657 | -115 |
| 5,398 | | 5,403 | -5 |
| 8,718 | | 8,730 | -12 |
| 6,237 | | 5,962 | 275 |
| 8,098 | | 7,816 | 282 |
| 4,794 | | 6,891 | -2,097 |
| 206 | | 144 | 62 |
| 5,651 | | 5,760 | -109 |
| 1,784 | | 1,471 | 313 |
| 1,810 | | 2,796 | -986 |
| 3,311 | | 5,348 | -2,037 |
| 618 | | 773 | -155 |
| 883 | | 1,446 | -563 |
| 2,648 | | 3,962 | -1,314 |
| 12,140 | | 7,511 | 4,629 |
| 9,064 | | 7,052 | 2,012 |
| 7,965 | | 7,232 | 733 |
| 1,582 | | 1,406 | 176 |
| 2,197 | | 1,940 | 257 |
| 138 | | 124 | 14 |
| 10,137 | | 7,793 | 2,344 |
| 13,272 | | 11,901 | 1,371 |
| 5,852 | | 4,373 | 1,479 |
| 10,346 | | 9,009 | 1,337 |
| 2,751 | | 3,518 | -767 |
| 750 | | 845 | -95 |
| 1,379 | | 1,258 | 121 |
| 3,703 | | 5,034 | -1,331 |
| 1,784 | | 1,479 | 305 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 270 | | 367 | -97 |
| 15,861 | | 11,359 | 4,502 |
| 5,970 | | 5,818 | 152 |
| 1,993 | | 3,045 | -1,052 |
| 3,341 | | 7,444 | -4,103 |
| 2,110 | | 2,674 | -564 |
| 1,231 | | 1,530 | -299 |
| 1,728 | | 1,640 | 88 |
| 1,920 | | 1,838 | 82 |
| 1,766 | | 1,656 | 110 |
| 1,996 | | 1,784 | 212 |
| 1,536 | | 1,209 | 327 |
| 2,495 | | 1,960 | 535 |
| 27,621 | | 25,207 | 2,414 |
| 2,917 | | 2,636 | 281 |
| 2,275 | | 2,147 | 128 |
| 544 | | 447 | 97 |
| 2,275 | | 2,005 | 270 |
| 1,681 | | 1,626 | 55 |
| 6,591 | | 4,343 | 2,248 |
| 2,206 | | 1,398 | 808 |
| 2,863 | | 4,916 | -2,053 |
| 119 | | 191 | -72 |
| 1,889 | | 1,328 | 561 |
| 1,426 | | 1,210 | 216 |
| 15,552 | | 10,531 | 5,021 |
| 9,119 | | 7,123 | 1,996 |
| 133 | | 118 | 15 |
| 1,827 | | 1,057 | 770 |
| 5,146 | | 4,383 | 763 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,386 | | 1,597 | 789 |
| 2,149 | | 1,809 | 340 |
| 693 | | 772 | -79 |
| 5,893 | | 6,132 | -239 |
| 716 | | 722 | -6 |
| 713 | | 834 | -121 |
| 2,850 | | 2,623 | 227 |
| 2,494 | | 3,006 | -512 |
| 3,634 | | 3,119 | 515 |
| 2,067 | | 1,640 | 427 |
| 1,995 | | 1,608 | 387 |
| 1,710 | | 2,426 | -716 |
| 161 | | 219 | -58 |
| 1,129 | | 924 | 205 |
| 4,871 | | 3,476 | 1,395 |
| 2,113 | | 1,854 | 259 |
| 1,819 | | 1,849 | -30 |
| 1,880 | | 1,858 | 22 |
| 1,778 | | 1,725 | 53 |
| 2,248 | | 2,153 | 95 |
| 1,819 | | 1,719 | 100 |
| 2,085 | | 2,035 | 50 |
| 2,327 | | 2,271 | 56 |
| 5,006 | | 7,585 | -2,579 |
| 595 | | 685 | -90 |
| 1,921 | | 1,977 | -56 |
| 3,279 | | 4,056 | -777 |
| 2,079 | | 1,725 | 354 |
| 2,559 | | 2,080 | 479 |
| 1,682 | | 972 | 710 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 4,079 | | 3,865 | 214 |
| 311 | | 283 | 28 |
| 125 | | 99 | 26 |
| 25,995 | | 22,089 | 3,906 |
| 8,665 | | 7,429 | 1,236 |
| 10,887 | | 10,871 | 16 |
| 11,285 | | 9,201 | 2,084 |
| 8,430 | | 7,063 | 1,367 |
| 8,396 | | 7,550 | 846 |
| 1,957 | | 1,664 | 293 |
| 1,972 | | 1,668 | 304 |
| 2,234 | | 1,799 | 435 |
| 2,004 | | 1,886 | 118 |
| 2,082 | | 1,813 | 269 |
| 1,952 | | 1,783 | 169 |
| 22,844 | | 20,706 | 2,138 |
| 3,217 | | 3,878 | -661 |
| 2,003 | | 1,717 | 286 |
| 1,776 | | 1,806 | -30 |
| 1,323 | | 1,195 | 128 |
| 1,701 | | 1,453 | 248 |
| 2,116 | | 2,127 | -11 |
| 545 | | 783 | -238 |
| 521 | | 755 | -234 |
| 533 | | 764 | -231 |
| 1,445 | | 1,670 | -225 |
| 557 | | 801 | -244 |
| 474 | | 702 | -228 |
| 2,413 | | 3,809 | -1,396 |
| 6,036 | | 6,674 | -638 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 2,670 | | 1,800 | 870 |
| 7,892 | | 6,702 | 1,190 |
| 13,338 | | 10,393 | 2,945 |
| 9,865 | | 9,762 | 103 |
| 15,287 | | 12,735 | 2,552 |
| 4,077 | | 3,523 | 554 |
| 17,733 | | 14,434 | 3,299 |
| 9,813 | | 8,439 | 1,374 |
| 11,994 | | 9,904 | 2,090 |
| 1,402 | | 1,156 | 246 |
| 7,009 | | 5,840 | 1,169 |
| 7,268 | | 6,727 | 541 |
| 10,794 | | 6,438 | 4,356 |
| 7,844 | | 5,960 | 1,884 |
| 5,301 | | 8,333 | -3,032 |
| 2,166 | | 2,240 | -74 |
| 2,489 | | 2,221 | 268 |
| 6,269 | | 6,933 | -664 |
| 2,919 | | 2,109 | 810 |
| 3,637 | | 2,217 | 1,420 |
| 861 | | 683 | 178 |
| 321 | | 263 | 58 |
| 2,396 | | 1,729 | 667 |
| 1,944 | | 2,084 | -140 |
| 1,354 | | 1,430 | -76 |
| 1,918 | | 1,944 | -26 |
| 13,857 | | 12,011 | 1,846 |
| 9,973 | | 8,471 | 1,502 |
| 5,039 | | 4,263 | 776 |
| 8,663 | | 8,337 | 326 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 8,320 | | 7,839 | 481 |
| 1,115 | | 1,073 | 42 |
| 2,158 | | 2,139 | 19 |
| 6,001 | | 6,776 | -775 |
| 296 | | 328 | -32 |
| 2,838 | | 2,467 | 371 |
| 709 | | 622 | 87 |
| 9,957 | | 9,532 | 425 |
| 9,185 | | 8,733 | 452 |
| 8,837 | | 8,584 | 253 |
| 14,518 | | 11,331 | 3,187 |
| 589 | | 459 | 130 |
| 15,294 | | 11,927 | 3,367 |
| 22,667 | | 22,737 | -70 |
| 15,995 | | 15,986 | 9 |
| 2,045 | | 2,031 | 14 |
| 8,617 | | 8,738 | -121 |
| 46 | | 41 | 5 |
| 1,017 | | 659 | 358 |
| 4,056 | | 3,675 | 381 |
| 8,310 | | 8,434 | -124 |
| 1,861 | | 1,656 | 205 |
| 2,243 | | 2,535 | -292 |
| 12,615 | | 10,270 | 2,345 |
| 9,111 | | 10,372 | -1,261 |
| 8,410 | | 9,298 | -888 |
| 2,296 | | 2,071 | 225 |
| 1,625 | | 1,594 | 31 |
| 636 | | 638 | -2 |
| 1,872 | | 1,983 | -111 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,286 | | 2,839 | 447 |
| 283 | | 230 | 53 |
| 1,696 | | 1,493 | 203 |
| 72 | | 99 | -27 |
| 353 | | 507 | -154 |
| 302 | | 386 | -84 |
| 1,876 | | 1,997 | -121 |
| 20,955 | | 17,379 | 3,576 |
| 4,959 | | 4,168 | 791 |
| 6,431 | | 5,413 | 1,018 |
| 8,159 | | 6,704 | 1,455 |
| 3,849 | | 3,980 | -131 |
| 8,549 | | 6,310 | 2,239 |
| 3,378 | | 1,987 | 1,391 |
| 13,012 | | 10,605 | 2,407 |
| 16,691 | | 10,420 | 6,271 |
| 254 | | 174 | 80 |
| | | | |
| 11 | | 11 | |
| 1,014 | | 972 | 42 |
| 1 | | | 1 |
| 3 | | 3 | |
| 1,014 | | 1,015 | -1 |
| 4,059 | | 4,062 | -3 |
| 1,195 | | 1,161 | 34 |
| 2,128 | | 1,953 | 175 |
| 4,626 | | 4,381 | 245 |
| 2,320 | | 2,190 | 130 |
| 1,105 | | 1,062 | 43 |
| 4,965 | | 4,985 | -20 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 3,104 | | 3,743 | -639 |
| 3,143 | | 3,743 | -600 |
| 2,695 | | 3,220 | -525 |
| 6,216 | | 5,512 | 704 |
| 4,683 | | 3,501 | 1,182 |
| 45,031 | | 24,461 | 20,570 |
| 7,569 | | 6,908 | 661 |
| 15,103 | | 5,796 | 9,307 |
| 2,041 | | 1,182 | 859 |
| 1,633 | | 812 | 821 |
| 10,611 | | 5,920 | 4,691 |
| 1,645 | | 1,714 | -69 |
| 6,544 | | 9,101 | -2,557 |
| 16,203 | | 9,801 | 6,402 |
| 15,348 | | 11,554 | 3,794 |
| 2,383 | | 2,380 | 3 |
| 3,580 | | 3,561 | 19 |
| 240,699 | | 240,450 | 249 |
| 1,991 | | 1,989 | 2 |
| 61,403 | | 61,472 | -69 |
| 3,587 | | 3,572 | 15 |
| 3,524 | | 3,527 | -3 |
| 1,993 | | 1,995 | -2 |
| 3,549 | | 3,538 | 11 |
| 1,526 | | 1,534 | -8 |
| 1,535 | | 1,531 | 4 |
| 1,536 | | 1,538 | -2 |
| 1,587 | | 1,586 | 1 |
| 1,480 | | 1,472 | 8 |
| 1,594 | | 1,590 | 4 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 996 | | 996 | |
| 999 | | 999 | |
| 3,860 | | 5,948 | -2,088 |
| 15,015 | | 15,772 | -757 |
| 19,512 | | 23,730 | -4,218 |
| 1,741 | | 1,863 | -122 |
| 2,945 | | 3,091 | -146 |
| 1,248 | | 1,313 | -65 |
| 6,438 | | 8,402 | -1,964 |
| 73 | | 68 | 5 |
| 73 | | 69 | 4 |
| 94 | | 68 | 26 |
| 73 | | 71 | 2 |
| 52 | | 65 | -13 |
| 73 | | 72 | 1 |
| 94 | | 73 | 21 |
| 42 | | 62 | -20 |
| 52 | | 69 | -17 |
| 42 | | 61 | -19 |
| 63 | | 66 | -3 |
| 42 | | 61 | -19 |
| 94 | | 70 | 24 |
| 42 | | 60 | -18 |
| 84 | | 73 | 11 |
| 42 | | 59 | -17 |
| 52 | | 69 | -17 |
| 42 | | 59 | -17 |
| 52 | | 67 | -15 |
| 42 | | 57 | -15 |
| 52 | | 65 | -13 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 42 | | 59 | -17 |
| 73 | | 65 | 8 |
| 42 | | 56 | -14 |
| 94 | | 68 | 26 |
| 42 | | 56 | -14 |
| 94 | | 71 | 23 |
| 42 | | 56 | -14 |
| 84 | | 70 | 14 |
| 42 | | 58 | -16 |
| 84 | | 72 | 12 |
| 52 | | 66 | -14 |
| 52 | | 66 | -14 |
| 73 | | 75 | -2 |
| 10,481 | | 15,000 | -4,519 |
| 73 | | 71 | 2 |
| 84 | | 122 | -38 |
| 42 | | 60 | -18 |
| 73 | | 74 | -1 |
| 73 | | 75 | -2 |
| 73 | | 74 | -1 |
| 73 | | 79 | -6 |
| 73 | | 73 | |
| 73 | | 78 | -5 |
| 73 | | 75 | -2 |
| 73 | | 79 | -6 |
| 73 | | 75 | -2 |
| 73 | | 78 | -5 |
| 84 | | 76 | 8 |
| 73 | | 74 | -1 |
| 84 | | 79 | 5 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 84 | | 78 | 6 |
| 84 | | 77 | 7 |
| 84 | | 78 | 6 |
| 8,664 | | 8,810 | -146 |
| 8,533 | | 8,810 | -277 |
| 17,205 | | 11,096 | 6,109 |
| 19,102 | | 5,821 | 13,281 |
| 15,684 | | 17,021 | -1,337 |
| 30,594 | | 14,779 | 15,815 |
| 8,914 | | 8,995 | -81 |
| 17,581 | | 18,422 | -841 |
| 18,215 | | 18,422 | -207 |
| 4,582 | | 8,509 | -3,927 |
| 733 | | 692 | 41 |
| 5,416 | | 4,658 | 758 |
| 2,400 | | 2,125 | 275 |
| 2,220 | | 2,043 | 177 |
| 2,000 | | 1,949 | 51 |
| 1,098 | | 1,014 | 84 |
| 1,098 | | 773 | 325 |
| 2,201 | | 1,956 | 245 |
| 4,302 | | 3,754 | 548 |
| 2,251 | | 2,023 | 228 |
| 3,570 | | 3,495 | 75 |
| 5,950 | | 5,056 | 894 |
| 2,127 | | 1,988 | 139 |
| 2,163 | | 1,959 | 204 |
| 2,110 | | 1,991 | 119 |
| 2,260 | | 2,180 | 80 |
| 2,222 | | 2,062 | 160 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---:|---:|---:|---:|
| 2,088 | | 1,877 | 211 |
| 2,280 | | 2,008 | 272 |
| 2,160 | | 2,004 | 156 |
| 2,144 | | 2,141 | 3 |
| 1,896 | | 1,824 | 72 |
| 2,060 | | 2,000 | 60 |
| 7,397 | | 6,434 | 963 |
| 1,180 | | 1,072 | 108 |
| 3,102 | | 2,837 | 265 |
| 3,016 | | 2,860 | 156 |
| 11,000 | | 10,991 | 9 |
| 5,000 | | 5,018 | -18 |
| 10,725 | | 10,340 | 385 |
| 2,024 | | 1,607 | 417 |
| 1,150 | | 1,068 | 82 |
| 1,150 | | 1,063 | 87 |
| 1,150 | | 1,069 | 81 |
| 2,251 | | 1,658 | 593 |
| 2,224 | | 1,905 | 319 |
| 2,283 | | 2,085 | 198 |
| 59 | | 63 | -4 |
| 100 | | 105 | -5 |
| 100 | | 108 | -8 |
| 83 | | 96 | -13 |
| 19,908 | | 20,403 | -495 |
| 168 | | 172 | -4 |
| 33,299 | | 36,470 | -3,171 |
| 54 | | 62 | -8 |
| 61 | | 65 | -4 |
| 48 | | 46 | 2 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 22,750 | | 21,934 | 816 |
| 59 | | 58 | 1 |
| 53 | | 51 | 2 |
| 52 | | 50 | 2 |
| 41 | | 40 | 1 |
| 60 | | 58 | 2 |
| 33 | | 32 | 1 |
| 59 | | 58 | 1 |
| 49 | | 49 | 0 |
| 57 | | 56 | 1 |
| 45 | | 45 | 0 |
| 67 | | 66 | 1 |
| 45 | | 45 | 0 |
| 22 | | 22 | 0 |
| 41 | | 41 | 0 |
| 63 | | 62 | 1 |
| 31,737 | | 32,880 | -1,143 |
| 85 | | 95 | -10 |
| 100 | | 104 | -4 |
| 100 | | 103 | -3 |
| 53 | | 61 | -8 |
| 77 | | 71 | 6 |
| 57 | | 55 | 2 |
| 8,167 | | 7,826 | 341 |
| 68 | | 63 | 5 |
| 66 | | 63 | 3 |
| 55 | | 52 | 3 |
| 64 | | 62 | 2 |
| 58 | | 57 | 1 |
| 46 | | 46 | 0 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 61 | | 60 | 1 |
| 62 | | 62 | 0 |
| 60 | | 58 | 2 |
| 71 | | 71 | 0 |
| 51 | | 50 | 1 |
| 64 | | 64 | 0 |
| 58 | | 58 | 0 |
| 109 | | 109 | 0 |
| 141 | | 146 | -5 |
| 493 | | 510 | -17 |
| 3,446 | | 3,390 | 56 |
| 411 | | 469 | -58 |
| 6,700 | | 7,094 | -394 |
| 748 | | 857 | -109 |
| 2,796 | | 3,467 | -671 |
| 530 | | 615 | -85 |
| 3,761 | | 4,664 | -903 |
| 1,538 | | 1,786 | -248 |
| 3,023 | | 3,886 | -863 |
| 1,257 | | 1,224 | 33 |
| 1,592 | | 1,904 | -312 |
| 509 | | 496 | 13 |
| 2,300 | | 2,203 | 97 |
| 588 | | 563 | 25 |
| 2,377 | | 2,276 | 101 |
| 895 | | 857 | 38 |
| 2,980 | | 3,100 | -120 |
| 2,446 | | 2,560 | -114 |
| 28,766 | | 25,427 | 3,339 |
| 219 | | 220 | -1 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 314 | | 352 | -38 |
| 324 | | 364 | -40 |
| 4,107 | | 4,322 | -215 |
| 579 | | 674 | -95 |
| 434 | | 433 | 1 |
| 4,703 | | 5,796 | -1,093 |
| 62 | | 73 | -11 |
| 10,191 | | 12,471 | -2,280 |
| 4,341 | | 5,115 | -774 |
| 349 | | 357 | -8 |
| 222 | | 223 | -1 |
| 1,657 | | 1,755 | -98 |
| 150 | | 149 | 1 |
| 1,420 | | 1,439 | -19 |
| 10,536 | | 10,818 | -282 |
| 1,707 | | 1,827 | -120 |
| 6,339 | | 6,297 | 42 |
| 1,566 | | 1,533 | 33 |
| 4,857 | | 4,964 | -107 |
| 234 | | 233 | 1 |
| 1,872 | | 1,826 | 46 |
| 612 | | 585 | 27 |
| 885 | | 880 | 5 |
| 612 | | 643 | -31 |
| 580 | | 554 | 26 |
| 515 | | 522 | -7 |
| 3,445 | | 4,081 | -636 |
| 1,021 | | 1,209 | -188 |
| 854 | | 983 | -129 |
| 4,821 | | 5,139 | -318 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| **(e)** Gross sales price | **(f)** Depreciation allowed (or allowable) | **(g)** Cost or other basis plus expense of sale | **(h)** Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|
| 5,914 | | 5,113 | 801 |
| 5,497 | | 5,564 | -67 |
| 2,423 | | 2,105 | 318 |
| 69 | | 82 | -13 |
| 4,057 | | 4,406 | -349 |
| 2,038 | | 2,512 | -474 |
| 635 | | 825 | -190 |
| 4,865 | | 5,764 | -899 |
| 675 | | 618 | 57 |
| 735 | | 944 | -209 |
| 664 | | 750 | -86 |
| 604 | | 739 | -135 |
| 5,240 | | 5,358 | -118 |
| 306 | | 321 | -15 |
| 970 | | 954 | 16 |
| 95 | | 147 | -52 |
| 2,901 | | 4,654 | -1,753 |
| 704 | | 503 | 201 |
| 119 | | 128 | -9 |
| 3,614 | | 4,100 | -486 |
| 3,368 | | 3,780 | -412 |
| 7,786 | | 8,072 | -286 |
| 700 | | 835 | -135 |
| 2,562 | | 2,693 | -131 |
| 239 | | 242 | -3 |
| | | | 2,521 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 1,204 |
| | | | 1,579 |
| | | | 5,187 |
| | | | 693 |
| | | | 548 |
| | | | -1,050 |
| | | | 1,004 |
| | | | 989 |
| | | | 234 |
| | | | 3,594 |
| | | | 461 |
| | | | -101 |
| | | | -223 |
| | | | -333 |
| | | | 208 |
| | | | 2,134 |
| | | | 8,685 |
| | | | 2,687 |
| | | | -184 |
| | | | -20 |
| | | | 322 |
| | | | 123 |
| | | | 2,147 |
| | | | 1,358 |
| | | | 159 |
| | | | 139 |
| | | | 2,799 |
| | | | -1,012 |
| | | | -309 |
| | | | 14 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 28 |
| | | | 538 |
| | | | 457 |
| | | | 568 |
| | | | 98 |
| | | | 60 |
| | | | 40 |
| | | | -15 |
| | | | 300 |
| | | | 150 |
| | | | 495 |
| | | | 1,412 |
| | | | 783 |
| | | | 75 |
| | | | 443 |
| | | | 288 |
| | | | -35 |
| | | | 11 |
| | | | -14 |
| | | | 1,439 |
| | | | -2 |
| | | | 38 |
| | | | -99 |
| | | | 45 |
| | | | 105 |
| | | | -891 |
| | | | 527 |
| | | | 350 |
| | | | -114 |
| | | | 160 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 156 |
| | | | 50 |
| | | | 300 |
| | | | 250 |
| | | | -10 |
| | | | |
| | | | 106 |
| | | | 100 |
| | | | 140 |
| | | | 160 |
| | | | 869 |
| | | | 463 |
| | | | -163 |
| | | | 128 |
| | | | -94 |
| | | | 277 |
| | | | 369 |
| | | | 35 |
| | | | 184 |
| | | | 25 |
| | | | -287 |
| | | | 70 |
| | | | |
| | | | 44 |
| | | | 140 |
| | | | 520 |
| | | | 105 |
| | | | 1 |
| | | | -65 |
| | | | 162 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 213 |
| | | | -119 |
| | | | 872 |
| | | | 162 |
| | | | -150 |
| | | | 2,359 |
| | | | 450 |
| | | | 57 |
| | | | 350 |
| | | | -118 |
| | | | -1,210 |
| | | | -142 |
| | | | 28 |
| | | | -349 |
| | | | -719 |
| | | | -1,996 |
| | | | -47 |
| | | | -7 |
| | | | -83 |
| | | | -220 |
| | | | -4 |
| | | | 844 |
| | | | 445 |
| | | | 1,766 |
| | | | -121 |
| | | | 35 |
| | | | 290 |
| | | | 306 |
| | | | 277 |
| | | | -185 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 7 |
| | | | -4,067 |
| | | | -7,196 |
| | | | 903 |
| | | | -190 |
| | | | 232 |
| | | | 5,518 |
| | | | 2,665 |
| | | | 7,465 |
| | | | 2,451 |
| | | | 5,097 |
| | | | 2,519 |
| | | | 1 |
| | | | 195 |
| | | | 462 |
| | | | -689 |
| | | | 218 |
| | | | 158 |
| | | | -45 |
| | | | 27 |
| | | | 34 |
| | | | 1,764 |
| | | | 207 |
| | | | 27 |
| | | | 4,022 |
| | | | -600 |
| | | | 23 |
| | | | -2,162 |
| | | | -19 |
| | | | 1,153 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 453 |
| | | | -115 |
| | | | -5 |
| | | | -12 |
| | | | 275 |
| | | | 282 |
| | | | -2,097 |
| | | | 62 |
| | | | -109 |
| | | | 313 |
| | | | -986 |
| | | | -2,037 |
| | | | -155 |
| | | | -563 |
| | | | -1,314 |
| | | | 4,629 |
| | | | 2,012 |
| | | | 733 |
| | | | 176 |
| | | | 257 |
| | | | 14 |
| | | | 2,344 |
| | | | 1,371 |
| | | | 1,479 |
| | | | 1,337 |
| | | | -767 |
| | | | -95 |
| | | | 121 |
| | | | -1,331 |
| | | | 305 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | -97 |
| | | | 4,502 |
| | | | 152 |
| | | | -1,052 |
| | | | -4,103 |
| | | | -564 |
| | | | -299 |
| | | | 88 |
| | | | 82 |
| | | | 110 |
| | | | 212 |
| | | | 327 |
| | | | 535 |
| | | | 2,414 |
| | | | 281 |
| | | | 128 |
| | | | 97 |
| | | | 270 |
| | | | 55 |
| | | | 2,248 |
| | | | 808 |
| | | | -2,053 |
| | | | -72 |
| | | | 561 |
| | | | 216 |
| | | | 5,021 |
| | | | 1,996 |
| | | | 15 |
| | | | 770 |
| | | | 763 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 789 |
| | | | 340 |
| | | | -79 |
| | | | -239 |
| | | | -6 |
| | | | -121 |
| | | | 227 |
| | | | -512 |
| | | | 515 |
| | | | 427 |
| | | | 387 |
| | | | -716 |
| | | | -58 |
| | | | 205 |
| | | | 1,395 |
| | | | 259 |
| | | | -30 |
| | | | 22 |
| | | | 53 |
| | | | 95 |
| | | | 100 |
| | | | 50 |
| | | | 56 |
| | | | -2,579 |
| | | | -90 |
| | | | -56 |
| | | | -777 |
| | | | 354 |
| | | | 479 |
| | | | 710 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 214 |
| | | | 28 |
| | | | 26 |
| | | | 3,906 |
| | | | 1,236 |
| | | | 16 |
| | | | 2,084 |
| | | | 1,367 |
| | | | 846 |
| | | | 293 |
| | | | 304 |
| | | | 435 |
| | | | 118 |
| | | | 269 |
| | | | 169 |
| | | | 2,138 |
| | | | -661 |
| | | | 286 |
| | | | -30 |
| | | | 128 |
| | | | 248 |
| | | | -11 |
| | | | -238 |
| | | | -234 |
| | | | -231 |
| | | | -225 |
| | | | -244 |
| | | | -228 |
| | | | -1,396 |
| | | | -638 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 870 |
| | | | 1,190 |
| | | | 2,945 |
| | | | 103 |
| | | | 2,552 |
| | | | 554 |
| | | | 3,299 |
| | | | 1,374 |
| | | | 2,090 |
| | | | 246 |
| | | | 1,169 |
| | | | 541 |
| | | | 4,356 |
| | | | 1,884 |
| | | | -3,032 |
| | | | -74 |
| | | | 268 |
| | | | -664 |
| | | | 810 |
| | | | 1,420 |
| | | | 178 |
| | | | 58 |
| | | | 667 |
| | | | -140 |
| | | | -76 |
| | | | -26 |
| | | | 1,846 |
| | | | 1,502 |
| | | | 776 |
| | | | 326 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 481 |
| | | | 42 |
| | | | 19 |
| | | | -775 |
| | | | -32 |
| | | | 371 |
| | | | 87 |
| | | | 425 |
| | | | 452 |
| | | | 253 |
| | | | 3,187 |
| | | | 130 |
| | | | 3,367 |
| | | | -70 |
| | | | 9 |
| | | | 14 |
| | | | -121 |
| | | | 5 |
| | | | 358 |
| | | | 381 |
| | | | -124 |
| | | | 205 |
| | | | -292 |
| | | | 2,345 |
| | | | -1,261 |
| | | | -888 |
| | | | 225 |
| | | | 31 |
| | | | -2 |
| | | | -111 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 447 |
| | | | 53 |
| | | | 203 |
| | | | -27 |
| | | | -154 |
| | | | -84 |
| | | | -121 |
| | | | 3,576 |
| | | | 791 |
| | | | 1,018 |
| | | | 1,455 |
| | | | -131 |
| | | | 2,239 |
| | | | 1,391 |
| | | | 2,407 |
| | | | 6,271 |
| | | | 80 |
| | | | |
| | | | |
| | | | 42 |
| | | | 1 |
| | | | |
| | | | -1 |
| | | | -3 |
| | | | 34 |
| | | | 175 |
| | | | 245 |
| | | | 130 |
| | | | 43 |
| | | | -20 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | -639 |
| | | | -600 |
| | | | -525 |
| | | | 704 |
| | | | 1,182 |
| | | | 20,570 |
| | | | 661 |
| | | | 9,307 |
| | | | 859 |
| | | | 821 |
| | | | 4,691 |
| | | | -69 |
| | | | -2,557 |
| | | | 6,402 |
| | | | 3,794 |
| | | | 3 |
| | | | 19 |
| | | | 249 |
| | | | 2 |
| | | | -69 |
| | | | 15 |
| | | | -3 |
| | | | -2 |
| | | | 11 |
| | | | -8 |
| | | | 4 |
| | | | -2 |
| | | | 1 |
| | | | 8 |
| | | | 4 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | |
| | | | |
| | | | -2,088 |
| | | | -757 |
| | | | -4,218 |
| | | | -122 |
| | | | -146 |
| | | | -65 |
| | | | -1,964 |
| | | | 5 |
| | | | 4 |
| | | | 26 |
| | | | 2 |
| | | | -13 |
| | | | 1 |
| | | | 21 |
| | | | -20 |
| | | | -17 |
| | | | -19 |
| | | | -3 |
| | | | -19 |
| | | | 24 |
| | | | -18 |
| | | | 11 |
| | | | -17 |
| | | | -17 |
| | | | -17 |
| | | | -15 |
| | | | -15 |
| | | | -13 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | -17 |
| | | | 8 |
| | | | -14 |
| | | | 26 |
| | | | -14 |
| | | | 23 |
| | | | -14 |
| | | | 14 |
| | | | -16 |
| | | | 12 |
| | | | -14 |
| | | | -14 |
| | | | -2 |
| | | | -4,519 |
| | | | 2 |
| | | | -38 |
| | | | -18 |
| | | | -1 |
| | | | -2 |
| | | | -1 |
| | | | -6 |
| | | | |
| | | | -5 |
| | | | -2 |
| | | | -6 |
| | | | -2 |
| | | | -5 |
| | | | 8 |
| | | | -1 |
| | | | 5 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 6 |
| | | | 7 |
| | | | 6 |
| | | | -146 |
| | | | -277 |
| | | | 6,109 |
| | | | 13,281 |
| | | | -1,337 |
| | | | 15,815 |
| | | | -81 |
| | | | -841 |
| | | | -207 |
| | | | -3,927 |
| | | | 41 |
| | | | 758 |
| | | | 275 |
| | | | 177 |
| | | | 51 |
| | | | 84 |
| | | | 325 |
| | | | 245 |
| | | | 548 |
| | | | 228 |
| | | | 75 |
| | | | 894 |
| | | | 139 |
| | | | 204 |
| | | | 119 |
| | | | 80 |
| | | | 160 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 211 |
| | | | 272 |
| | | | 156 |
| | | | 3 |
| | | | 72 |
| | | | 60 |
| | | | 963 |
| | | | 108 |
| | | | 265 |
| | | | 156 |
| | | | 9 |
| | | | -18 |
| | | | 385 |
| | | | 417 |
| | | | 82 |
| | | | 87 |
| | | | 81 |
| | | | 593 |
| | | | 319 |
| | | | 198 |
| | | | -4 |
| | | | -5 |
| | | | -8 |
| | | | -13 |
| | | | -495 |
| | | | -4 |
| | | | -3,171 |
| | | | -8 |
| | | | -4 |
| | | | 2 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 816 |
| | | | 1 |
| | | | 2 |
| | | | 2 |
| | | | 1 |
| | | | 2 |
| | | | 1 |
| | | | 1 |
| | | | |
| | | | 1 |
| | | | |
| | | | 1 |
| | | | |
| | | | |
| | | | |
| | | | 1 |
| | | | -1,143 |
| | | | -10 |
| | | | -4 |
| | | | -3 |
| | | | -8 |
| | | | 6 |
| | | | 2 |
| | | | 341 |
| | | | 5 |
| | | | 3 |
| | | | 3 |
| | | | 2 |
| | | | 1 |
| | | | |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | 1 |
| | | | |
| | | | 2 |
| | | | |
| | | | 1 |
| | | | |
| | | | |
| | | | |
| | | | -5 |
| | | | -17 |
| | | | 56 |
| | | | -58 |
| | | | -394 |
| | | | -109 |
| | | | -671 |
| | | | -85 |
| | | | -903 |
| | | | -248 |
| | | | -863 |
| | | | 33 |
| | | | -312 |
| | | | 13 |
| | | | 97 |
| | | | 25 |
| | | | 101 |
| | | | 38 |
| | | | -120 |
| | | | -114 |
| | | | 3,339 |
| | | | -1 |

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | **(l)** Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h)) |
|---|---|---|---|
| **(i)** F M V as of 12/31/69 | **(j)** Adjusted basis as of 12/31/69 | **(k)** Excess of col (i) over col (j), if any | |
| | | | -38 |
| | | | -40 |
| | | | -215 |
| | | | -95 |
| | | | 1 |
| | | | -1,093 |
| | | | -11 |
| | | | -2,280 |
| | | | -774 |
| | | | -8 |
| | | | -1 |
| | | | -98 |
| | | | 1 |
| | | | -19 |
| | | | -282 |
| | | | -120 |
| | | | 42 |
| | | | 33 |
| | | | -107 |
| | | | 1 |
| | | | 46 |
| | | | 27 |
| | | | 5 |
| | | | -31 |
| | | | 26 |
| | | | -7 |
| | | | -636 |
| | | | -188 |
| | | | -129 |
| | | | -318 |

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|
| (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| | | | 801 |
| | | | -67 |
| | | | 318 |
| | | | -13 |
| | | | -349 |
| | | | -474 |
| | | | -190 |
| | | | -899 |
| | | | 57 |
| | | | -209 |
| | | | -86 |
| | | | -135 |
| | | | -118 |
| | | | -15 |
| | | | 16 |
| | | | -52 |
| | | | -1,753 |
| | | | 201 |
| | | | -9 |
| | | | -486 |
| | | | -412 |
| | | | -286 |
| | | | -135 |
| | | | -131 |
| | | | -3 |
| | | | |

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

| **(a)** Name and address | **(b)** Title, and average hours per week devoted to position | **(c)** Compensation **(If not paid, enter -0-)** | **(d)** Contributions to employee benefit plans and deferred compensation | **(e)** Expense account, other allowances |
|---|---|---|---|---|
| BJORN BAYLEY<br>1000 SECOND AVENUE 34TH FLOOR<br>SEATTLE, WA 981041022 | PRESIDENT/DIRECTOR<br>20 | 0 | | |
| DAVID BAYLEY<br>1000 SECOND AVENUE 34TH FLOOR<br>SEATTLE, WA 981041022 | VICE PRESIDENT/DIRECTOR<br>20 | 0 | | |
| DANIELLA BAYLEY<br>1000 SECOND AVENUE 34TH FLOOR<br>SEATTLE, WA 981041022 | VICE PRESIDENT/DIRECTOR<br>20 | 0 | | |
| DANIEL ASHER<br>1000 SECOND AVENUE 34TH FLOOR<br>SEATTLE, WA 981041022 | SECRETARY<br>20 | 0 | | |
| JACQUELIN BAYLEY<br>1000 SECOND AVENUE 34TH FLOOR<br>SEATTLE, WA 981041022 | TREASURER/DIRECTOR<br>20 | 0 | | |

**Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000).**

| |
|---|
| NP BJORN BAYLEY |
| JACQUELIN RG BAYLEY |

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| HOPE FOR HEROISM5901 WILSON AVE SOUTH<br>SEATTLE,WA 98118 | NONE | EXEMPT | HUMAN SERVICES | 27,500 |
| AMERICAN FRIENDS OF LEKET ISRAEL1402 TEANECK ROAD SUITE 223<br>TEANECK,NJ 076665000 | NONE | EXEMPT | HUMAN SERVICES | 5,000 |
| MAKE THE DASH COUNT FOUNDATION5114 POINT FOSDICK DRIVE NW E<br>GIG HARBOR,WA 98335 | NONE | EXEMPT | YOUTH SOCIAL SERVICES | 15,000 |
| FRED HUTCHINSON CANCER RESEARCH CTRPO BOX 19024<br>SEATTLE,WA 98109 | NONE | EXEMPT | HEALTH | 25,000 |
| JEWISH FAMILY SERVICES1601 - 16TH AVENUE<br>SEATTLE,WA 98122 | NONE | EXEMPT | HUMAN SERVICES | 30,000 |
| AMERICAN FRIENDS OF THE SHALOM HARTMAN INSTONE PENNSYLVANIA PLAZA SUITE 1606<br>NEW YORK,NY 10119 | NONE | EXEMPT | EDUCATION | 25,000 |
| JEWISH FEDERATION OF GREATER SEATTLE2301 THIRD AVENUE<br>SEATTLE,WA 98121 | NONE | EXEMPT | HUMAN SERVICES | 25,000 |
| **Total** . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ **3a** | | | | 152,500 |

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

# TY 2011 Depreciation Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

# TY 2011 Investments Corporate Bonds Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

| Name of Bond | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| BONDS - CORP/INTL | 1,097,384 | 1,097,384 |
| BONDS - GOVERNMENT | 153,558 | 153,558 |

# TY 2011 Investments Corporate Stock Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

| Name of Stock | End of Year Book Value | End of Year Fair Market Value |
|---|---|---|
| EQUITIES | 1,818,924 | 1,818,924 |
| MUTUAL FUNDS | 337,089 | 337,089 |

# TY 2011 Investments - Other Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|---|---|---|
| PUBLICLY TRADED PARTNERSHIPS | FMV | 6,335 | 6,335 |

# TY 2011 Land, Etc. Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

# TY 2011 Other Decreases Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

| Description | Amount |
|---|---|
| ACCRUAL/CASH ADJUSTMENT | 85,000 |

# TY 2011 Other Expenses Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| LICENSE FEES | 35 | | | |
| SUPPLIES | 127 | | | |
| MEALS AND ENTERTAINMENT | 130 | | | |

# TY 2011 Other Income Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|---|---|---|---|
| PUBLICLY TRADED PARTNERSHIPS | 751 | 751 | |

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491212002592 |
|---|---|---|

# TY 2011 Other Increases Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

| Description | Amount |
|---|---|
| UNREALIZED GAIN(LOSS) | -235,312 |

# TY 2011 Other Liabilities Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

| Description | Beginning of Year - Book Value | End of Year - Book Value |
|---|---|---|
| FEDERAL EXCISE TAX PAYABLE | 984 | 1,800 |

# TY 2011 Other Professional Fees Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| BROKER & COMMISSION FEES | 28,807 | 28,807 | | |
| MANAGEMENT FEES | 20,000 | 5,000 | | 15,000 |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491212002592

# TY 2011 Taxes Schedule

**Name:** BAYLEY FAMILY FOUNDATION

**EIN:** 20-8113775

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---|---|---|---|---|
| FOREIGN TAXES | 1,407 | 1,407 | | |
| FEDERAL EXCISE TAX | 3,036 | | | |