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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2011

For calendar year 2011 or tax year beginning

, and ending

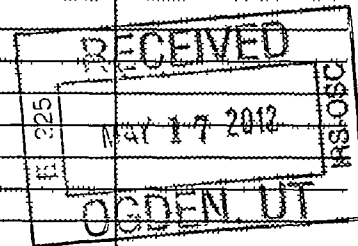
Name of foundation THE PER AND ASTRID HEIDENREICH FAMILY FOUNDATION		A Employer identification number 20-6382658
Number and street (or P.O. box number if mail is not delivered to street address) 1010 WASHINGTON BOULEVARD, 9TH FLOOR	Room/suite	B Telephone number 203-413-2024
City or town, state, and ZIP code STAMFORD, CT 06901		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☒ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 12,347,248.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		6,267,499.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		205.	123.		STATEMENT 1
4 Dividends and interest from securities		156,608.	156,608.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		354,323.			
b Gross sales price for all assets on line 6a	9,097,715.				
7 Capital gain net income (from Part IV, line 2)			354,323.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		65.	65.		STATEMENT 3
12 Total. Add lines 1 through 11		6,778,700.	511,119.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees	STMT 4	19,100.	9,550.		9,550.
c Other professional fees	STMT 5	22,468.	22,468.		0.
17 Interest					
18 Taxes	STMT 6	10,000.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	STMT 7	2,558.	0.		158.
24 Total operating and administrative expenses. Add lines 13 through 23		54,126.	32,018.		9,708.
25 Contributions, gifts, grants paid		668,528.			668,528.
26 Total expenses and disbursements. Add lines 24 and 25		722,654.	32,018.		678,236.
27 Subtract line 26 from line 12		6,056,046.			
a Excess of revenue over expenses and disbursements			479,101.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED MAY 17 2012

Revenue

Operating and Administrative Expenses



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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	1,249,098.	635,807.	635,807.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 9	0.	1,268,311.	1,277,704.
	b	Investments - corporate stock STMT 10	1,518,999.	1,031,584.	3,703,925.
	c	Investments - corporate bonds STMT 11	0.	708,441.	707,656.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 12	5,986,102.	5,932,835.	6,022,156.
	14	Land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers)	8,754,199.	9,576,978.	12,347,248.
	17	Accounts payable and accrued expenses		250.	
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	250.	
		Foundations that follow SFAS 117, check here ▶ ☐			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	8,754,199.	9,576,728.	
	30	Total net assets or fund balances	8,754,199.	9,576,728.	
	31	Total liabilities and net assets/fund balances	8,754,199.	9,576,978.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,754,199.
2	Enter amount from Part I, line 27a	2	6,056,046.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	14,810,245.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	5,233,517.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,576,728.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 9,097,715.		8,742,883.	354,323.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			354,323.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	354,323.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	507,172.	8,628,758.	.058777
2009	484,573.	8,026,053.	.060375
2008	510,976.	9,490,113.	.053843
2007	521,745.	10,590,384.	.049266
2006	25,900.	2,054,937.	.012604

2 Total of line 1, column (d)	2	.234865
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046973
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	11,390,236.
5 Multiply line 4 by line 3	5	535,034.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	4,791.
7 Add lines 5 and 6	7	539,825.
8 Enter qualifying distributions from Part XII, line 4	8	678,236.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	4,791.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,791.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,791.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	13,359.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	13,359.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,568.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☒ 8,568. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1b		
c Did the foundation file Form 1120-POL for this year?		X
1c		
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
2		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
3		
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4a		
b If "Yes," has it filed a tax return on Form 990-T for this year?		
4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
5		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
6		
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
7		
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
8b		
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
9		
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	
10		

N/A

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Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	☐	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	☐	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	☐
14 The books are in care of ► <u>JOHN FARRO C/O WTAS LLC</u> Telephone no ► <u>(203) 987-3664</u> Located at ► <u>1700 EAST PUTNAM AVE, STE. 206, OLD GREENWICH, CT</u> ZIP+4 ► <u>06870</u>			
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes ☐	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ►			
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PER HEIDENREICH	TRUSTEE			
C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	0.00	0.	0.	0.
CECILIE H. JEDLICKA	TRUSTEE & EXECUTIVE DIRECTOR			
C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	0.00	0.	0.	0.
ASTRID HEIDENREICH	TRUSTEE			
C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	0.00	0.	0.	0.
FRITZ HEIDENREICH	TRUSTEE			
C/O HELP, 1010 WASHINGTON BLVD., 9TH STAMFORD, CT 06901	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	10,494,392.
b	Average of monthly cash balances	1b	1,069,299.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	11,563,691.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,563,691.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	173,455.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,390,236.
6	Minimum investment return. Enter 5% of line 5	6	569,512.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	569,512.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	4,791.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	4,791.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	564,721.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	564,721.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	564,721.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	678,236.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	678,236.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,791.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	673,445.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Form 990-PF (2011)

20-6382658

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				564,721.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				66,047.
e From 2010				85,864.
f Total of lines 3a through e	151,911.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 678,236.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				564,721.
e Remaining amount distributed out of corpus	113,515.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	265,426.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	265,426.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				66,047.
d Excess from 2010				85,864.
e Excess from 2011				113,515.

FOUNDATION

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N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- b 85% of line 2a**

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- Subtract line 2d from line 2c

- a "Assets" alternative test - enter
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed**

- c "Support" alternative test - enter**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a The name, address, and telephone number of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**THE PER AND ASTRID HEIDENREICH FAMILY
FOUNDATION**

Form 990-PF (2011)

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE GREENWICH TEEN CENTER P.O. BOX 1339 GREENWICH, CT 06836	NONE	501(C)(3)	GENERAL SUPPORT	500.
HABITAT FOR HUMANITY OF MARTIN COUNTY 2555 S.E. BONITA STREET STUART, FL 34997	NONE	501(C)(3)	GENERAL SUPPORT	8,000.
ALEXIS DE TOCQUEVILLE SOCIETY ONE LAFAYETTE COURT GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
ADOPT-A-DOG 849 LAKE AVENUE GREENWICH, CT 06831	NONE	501(C)(3)	GENERAL SUPPORT	500.
ALZHEIMER'S ASSOCIATION 225 NORTH MICHIGAN AVENUE 17TH FLOOR CHICAGO, IL 60601-7633	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	668,528.
b Approved for future payment				
NONE				
Total			▶ 3b	0

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|--|-----|----|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | | Yes | No |
| | | | |
| 1a(1) | | | X |
| 1a(2) | | | X |
| | | | |
| 1b(1) | | | X |
| 1b(2) | | | X |
| 1b(3) | | | X |
| 1b(4) | | | X |
| 1b(5) | | | X |
| 1b(6) | | | X |
| 1c | | | X |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Signature of officer or trustee

Date _____

► TRUSTEE

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

JOHN FARRO

Firm's name ▶ WTAS LLC

Firm's address ► 1700 EAST PUTNAM AVENUE, SUITE 206
OLD GREENWICH, CT 06870

Firm's EIN ► 33-1197384

Phone no 203-987-3660

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

THE PER AND ASTRID HEIDENREICH FAMILY
FOUNDATION

Employer identification number

20-6382658

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)**

Name of organization
**THE PER AND ASTRID HEIDENREICH FAMILY
 FOUNDATION**

Employer identification number

20-6382658**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	ASTRID E. HEIDENREICH 4 SOUND SHORE DRIVE GREENWICH, CT 06830	\$ <u>4,384,936.</u>	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
<u>2</u>	PER HEIDENREICH 4 SOUND SHORE DRIVE GREENWICH, CT 06830	\$ <u>595,184.</u>	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
<u>3</u>	PER F. HEIDENREICH 11 PLOW LANE GREENWICH, CT 06830	\$ <u>790,100.</u>	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
<u>4</u>	CECILIE JEDLICKA 11 COVE RIDGE LANE OLD GREENWICH, CT 06870	\$ <u>494,879.</u>	Person ☒ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
<u>5</u>	PER HEIDENREICH 4 SOUND SHORE DRIVE GREENWICH, CT 06830	\$ <u>1,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

THE PER AND ASTRID HEIDENREICH FAMILY
FOUNDATION

Employer identification number

20-6382658

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	5,097,585 SHS HURTIGRUTEN AS	\$ 4,384,936.	05/13/11
2	691,915 SHS HURTIGRUTEN AS	\$ 595,184.	05/13/01
3	926,000 SHS HURTIGRUTEN AS	\$ 790,100.	05/16/11
4	580,000 SHS HURTIGRUTEN AS	\$ 494,879.	05/16/11
		\$	

Name of organization

THE PER AND ASTRID HEIDENREICH FAMILY
FOUNDATION

Employer identification number

20-6382658

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF · INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
CHASE	7.
MERRILL LYNCH #7EG-04481	67.
MERRILL LYNCH #7EG-04484	49.
RBC #968-54643	82.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	205.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH #7EG-04484	3,240.	0.	3,240.
MERRILL LYNCH #7EG-04999	40,556.	0.	40,556.
MERRILL LYNCH #7EG-04999- ABP ADJUSTMENT	-20,746.	0.	-20,746.
MERRILL LYNCH #7EG-04999- ACCRUED INTEREST PAID	-16,507.	0.	-16,507.
RBC #968-54643	155,439.	5,795.	149,644.
RBC #968-54649	421.	0.	421.
TOTAL TO FM 990-PF, PART I, LN 4	162,403.	5,795.	156,608.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SECURITIES LITIGATION SETTLEMENT	65.	65.	
TOTAL TO FORM 990-PF, PART I, LINE 11	65.	65.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TAX PREPARATION FEE	19,100.	9,550.		9,550.	
TO FORM 990-PF, PG 1, LN 16B	19,100.	9,550.		9,550.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT MANAGEMENT FEES	22,468.	22,468.		0.	
TO FORM 990-PF, PG 1, LN 16C	22,468.	22,468.		0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL TAXES PAID - 2011 3RD QUARTER	10,000.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	10,000.	0.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK CHARGES	60.	0.		60.	
MISCELLANEOUS EXPENSES	2,400.	0.		0.	
POSTAGE	98.	0.		98.	
TO FORM 990-PF, PG 1, LN 23	2,558.	0.		158.	

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
FAIR MARKET VALUE VS. COST BASIS OF HURTIGRUTEN SHARES	5,233,517.
TOTAL TO FORM 990-PF, PART III, LINE 5	5,233,517.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH #7EG-04999 (SEE ATTACHED)	X		1,268,311.	1,277,704.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,268,311.	1,277,704.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,268,311.	1,277,704.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
7,295,500 SHS HURTIGRUTEN AS	1,031,584.	3,703,925.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,031,584.	3,703,925.

FORM 990-PF CORPORATE BONDS STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH #7EG-04999 (SEE ATTACHED)	708,441.	707,656.
TOTAL TO FORM 990-PF, PART II, LINE 10C	708,441.	707,656.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 13
PART VII-A, LINE 10

FORM 990-PF PART XV - LINE 1A STATEMENT 14
LIST OF FOUNDATION MANAGERS

STATEMENT(S) 12, 13, 14

THE PER AND ASTRID HEIDENREICH FAMILY
FOUNDATION

20-6382658

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN SCANDINAVIAN FOUNDATION 58 PARK AVENUE NEW YORK, NY 10016	NONE	501(C)(3)	GENERAL SUPPORT	2,000.
AMERICARES 88 HAMILTON AVENUE STAMFORD, CT 06902	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
AMOGERONE FIRE COMPANY NO. 1, INC. 15 HAVEMEYER PLACE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	2,000.
BOYS AND GIRLS CLUB OF GREENWICH 4 HORSENECK LANE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
BOYS AND GIRLS CLUB OF MARTIN COUNTY 11500 S.E. LARES AVENUE P.O. BOX 910 HOBE SOUND, FL 33475	NONE	501(C)(3)	GENERAL SUPPORT	33,500.
CALL-A-RIDE GREENWICH 37 LAFAYETTE PLACE GREENWICH, CT 06830-6633	NONE	501(C)(3)	GENERAL SUPPORT	500.
CAMP AMERIKIDS 88 HAMILTON AVENUE STAMFORD, CT 06902	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
CANCERCARE OF CT 535 CONNECTICUT AVENUE NORWALK, CT 06854	NONE	501(C)(3)	GENERAL SUPPORT	250.
CAPITAL FOR GOOD 595 EAST LANCASTER AVENUE, SUITE 200 ST. DAVIDS, PA 19087	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
CENTRAL MIDDLE SCHOOL PTA 9 INDIAN ROCK LANE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
Total from continuation sheets				644,528.

THE PER AND ASTRID HEIDENREICH FAMILY
FOUNDATION

20-6382658

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHILD GUIDANCE CENTER OF SOUTHERN, CT 196 GREYROCK PLACE STAMFORD, CT 06901	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
COMMUNITY CENTERS INC. 61 EAST PUTNAM AVENUE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	2,100.
CONNECTICUT FOOD BANK 150 BRADLEY STREET EAST HAVEN, CT 06512	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
CONNECTICUT PUBLIC TV 1049 ASYLUM AVENUE HARTFORD, CT 06105	NONE	501(C)(3)	GENERAL SUPPORT	1,500.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE, 2ND FLOOR NEW YORK, NY 10001-5004	NONE	501(C)(3)	GENERAL SUPPORT	2,000.
EAST WEST INSTITUTE 11 EAST 26TH STREET, 20TH FLOOR NEW YORK, NY 10010	NONE	501(C)(3)	GENERAL SUPPORT	2,000.
ENVIRONMENTAL DEFENSE 1875 CONNECTICUT AVENUE, NW, SUITE 600 WASHINGTON, DC 20009	NONE	501(C)(3)	GENERAL SUPPORT	20,000.
FAMILY AND CHILDREN'S AGENCY, INC. 9 MOTT AVENUE NORWALK, CT 06850	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
FAMILY CENTER 40 ARCH STREET GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
FEED THE CHILDREN PO BOX 36 OKLAHOMA CITY, OK 73101	NONE	501(C)(3)	GENERAL SUPPORT	1,500.
Total from continuation sheets				

THE PER AND ASTRID HEIDENREICH FAMILY
FOUNDATION

20-6382658

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FISHER HOUSE FOUNDATION, INC. 111 ROCKVILLE PIKE, SUITE 420 ROCKVILLE, MD 20850-5168	NONE	501(C)(3)	GENERAL SUPPORT	25,000.
FLORIDA OCEANOGRAPHIC SOCIETY 890 NORTHEAST OCEAN BOULEVARD STUART, FL 34996	NONE	501(C)(3)	GENERAL SUPPORT	250.
GOODWILL INDUSTRIES OF WESTERN CT, INC. 345 BUCKLAND HILLS DR #15213 CENTRAL MANCHESTER, CT 06042	NONE	501(C)(3)	GENERAL SUPPORT	500.
GREENWICH ADULT DAY CARE 125 RIVER ROAD EXTENSION COS COB, CT 06807	NONE	501(C)(3)	GENERAL SUPPORT	20,000.
GREENWICH ARTS 299 GREENWICH AVE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	250.
GREENWICH GREEN & CLEAN 113 PEMBERWICK ROAD GREENWICH, CT 06831	NONE	501(C)(3)	GENERAL SUPPORT	500.
GREENWICH HS LOAN FUND, INC. P.O. BOX 646 OLD GREENWICH, CT 06870	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
GREENWICH POLICE SCHOLARSHIP 11 BRUCE PLACE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	1,500.
GREENWICH SYMPHONY P.O. BOX 35 GREENWICH, CT 06836	NONE	501(C)(3)	GENERAL SUPPORT	500.
HARLEM CHILDREN'S ZONE 35 EAST 125TH STREET NEW YORK, NY 10035	NONE	501(C)(3)	GENERAL SUPPORT	20,000.
Total from continuation sheets				

THE PER AND ASTRID HEIDENREICH FAMILY
FOUNDATION

20-6382658

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOBE SOUND COMMUNITY CHEST P.O. BOX 511 HOBE SOUND, FL 33475	NONE	501(C)(3)	GENERAL SUPPORT	6,000.
HOLE IN THE WALL GANG CAMP 565 ASHFORD CENTER ROAD ASHFORD, CT 06278	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
HORIZONS 1705 COLUMBUS AVENUE ROXBURY, MA 02119	NONE	501(C)(3)	GENERAL SUPPORT	21,600.
JACKIE ROBINSON PARK OF FAME 860 CANAL STREET STAMFORD, CT 06902	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
JACOB A. RIIS NEIGHBORHOOD SETTLEMENT 10-25 41ST AVENUE LONG ISLAND CITY, NY 11101	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
JUPITER MEDICAL CENTER FOUNDATION 1210 SOUTH OLD DIXIE HIGHWAY JUPITER, FL 33458	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
JUVENILE DIABETES RESEARCH FOUNDATION 26 BROADWAY, 14TH FLOOR NEW YORK, NY 10004	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
KEVIN'S COMMUNITY CENTER 153 SOUTH MAIN STREET NEWTOWN, CT 06470	NONE	501(C)(3)	GENERAL SUPPORT	3,000.
KIDS IN CRISIS 1 SALEM STREET COS COB, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	15,000.
LOBLOLLY FOUNDATION, INC. 7407 SE HILL TERRACE HOBE SOUND, FL 33455	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
Total from continuation sheets				

THE PER AND ASTRID HEIDENREICH FAMILY
FOUNDATION

20-6382658

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LUSTGARTEN FOUNDATION 1111 STEWART AVENUE BETHPAGE, NY 11714	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
MARITIME AQUARIUM AT NORWALK 10 NORTH WATER STREET NORWALK, CT 06854-2228	NONE	501(C)(3)	GENERAL SUPPORT	145,178.
MEALS ON WHEELS GREENWICH 89 MAPLE AVENUE GREENWICH, CT 06830-5652	NONE	501(C)(3)	GENERAL SUPPORT	500.
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVENUE NEW YORK, NY 10065	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
NMSU FOUNDATION P.O. BOX 3590 LAS CRUCES, NM 88001-3590	NONE	501(C)(3)	GENERAL SUPPORT	3,500.
NORTH STREET SCHOOL PTA 381 NORTH STREET GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
NORWALK COMMUNITY COLLEGE FOUNDATION 188 RICHARDS AVENUE NORWALK, CT 06854-1655	NONE	501(C)(3)	GENERAL SUPPORT	25,000.
NORWALK HOSPITAL FOUNDATION 34 MAPLE STREET NORWALK, CT 06856	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
OLD GREENWICH SCHOOL 285 SOUND BEACH AVENUE OLD GREENWICH, CT 06870-1693	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
PATHWAYS, INC. 175 MILBANK AVENUE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
Total from continuation sheets				

THE PER AND ASTRID HEIDENREICH FAMILY
FOUNDATION

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PERROT MEMORIAL LIBRARY ASSOCIATION 90 SOUND BEACH AVENUE OLD GREENWICH, CT 06870-1414	NONE	501(C)(3)	GENERAL SUPPORT	750.
SOUND BEACH VOLUNTEERS 207 SOUND BEACH AVENUE OLD GREENWICH, CT 06870	NONE	501(C)(3)	GENERAL SUPPORT	3,000.
SOUNDWATERS COVE ISLAND PARK, 1281 COVE ROAD STAMFORD, CT 06902	NONE	501(C)(3)	GENERAL SUPPORT	20,000.
ST. SAVIOURS CHURCH 350 SOUND BEACH AVENUE OLD GREENWICH, CT 06870-1930	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
STAMFORD HOSPITAL FOUNDATION 30 SHELburnE ROAD STAMFORD, CT 06905	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
TEACH FOR AMERICA 315 WEST 36TH STREET, 7TH FLOOR NEW YORK, NY 10018	NONE	501(C)(3)	GENERAL SUPPORT	36,200.
THE FIRST TEE OF CONNECTICUT 525 BROCK STREET ROCKY HILL, CT 06067-3633	NONE	501(C)(3)	GENERAL SUPPORT	500.
THE JIM ARDEN FOUNDATION P.O. BOX 4 COS COB, CT 06807	NONE	501(C)(3)	GENERAL SUPPORT	750.
THE NORWEGIAN SEAMAN'S CHURCH 317 EAST 52ND STREET NEW YORK, NY 10022-6302	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
THE SALVATION ARMY 855 ASYLUM AVENUE HARTFORD, CT 06105	NONE	501(C)(3)	GENERAL SUPPORT	500.
Total from continuation sheets				

THE PER AND ASTRID HEIDENREICH FAMILY
FOUNDATION

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE SILVER SHIELD ASSOCIATION 11 HAVEMEYER PLACE GREENWICH, CT 06830-6517	NONE	501(C)(3)	GENERAL SUPPORT	4,000.
UNICEF 125 MAIDEN LANE NEW YORK, NY 10038	NONE	501(C)(3)	GENERAL SUPPORT	2,500.
UNITED WAY OF MARTIN COUNTY P.O. BOX 362 STUART, FL 34995	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
UNIVERSITY OF COLORADO FOUNDATION 4740 WALNUT STREET BOULDER, CO 80301	NONE	501(C)(3)	GENERAL SUPPORT	500.
WHOLE PLANET FOUNDATION 550 BOWIE STREET AUSTIN, TX 78703-4677	NONE	501(C)(3)	GENERAL SUPPORT	3,000.
WLIW21 825 EIGHTH AVENUE NEW YORK, NY 10019	NONE	501(C)(3)	GENERAL SUPPORT	500.
YALE-NEW HAVEN HOSPITAL 20 YORK STREET NEW HAVEN, CT 06510-3202	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
YMCA OF GREENWICH 50 EAST PUTNAM AVENUE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
UNITED STATES OLYMPIC COMMITTEE ONE OLYMPIC PLAZA COLORADO SPRINGS, CO 80909	NONE	501(C)(3)	GENERAL SUPPORT	500.
R.A.M.P. 187 SOUND BEACH AVENUE, SUITE 2 OLD GREENWICH, CT 06870	NONE	501(C)(3)	GENERAL SUPPORT	750.
Total from continuation sheets				

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LYMPHATIC RESEARCH FOUNDATION 40 GARVIES POINT ROAD, SUITE D GLEN COVE, NY 11542	NONE	501(C)(3)	GENERAL SUPPORT	400.
GEORGE WASHINGTON CARVER COMMUNITY CENTER 7 ACADEMY STREET NORWALK, CT 06850	NONE	501(C)(3)	GENERAL SUPPORT	30,000.
FINN FAMILY FOUNDATION 35 BYRAM SHORE ROAD GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
GREENWICH HISTORICAL SOCIETY 39 STRICKLAND ROAD COS COB, CT 06807	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
WGA CADDIE SCHOLARSHIP FUND 49 KNOLLWOOD ROAD, SUITE 220 ELSMFORD, NY 10523	NONE	501(C)(3)	GENERAL SUPPORT	1,000.
GREENWICH LIBRARY 101 WEST PUTNAM AVENUE GREENWICH, CT 06830	NONE	501(C)(3)	GENERAL SUPPORT	250.
GREENWICH EMERGENCY MEDICAL SERVICES (GEMS) 1111 EAST PUTNAM AVENUE RIVERSIDE, CT 06878	NONE	501(C)(3)	GENERAL SUPPORT	10,000.
GREENWICH FLAG FOOTBALL YOUTH FOUNDATION PO BOX 4755 GREENWICH, CT 06831	NONE	501(C)(3)	GENERAL SUPPORT	1,500.
SMILOW CANCER HOSPITAL PHARMACY FUND PO BOX 1849 NEW HAVEN, CT 06508	NONE	501(C)(3)	GENERAL SUPPORT	5,000.
CARINGBRIDGE PO BOX 6032 ALBERT LEA, MN 56007	NONE	501(C)(3)	GENERAL SUPPORT	300.
Total from continuation sheets				

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MERRILL LYNCH #7EG-04484 (SEE ATTACHED)	P		
b	MERRILL LYNCH #7EG-04484 (SEE ATTACHED)	P		
c	MERRILL LYNCH #7EG-04999 (SEE ATTACHED)	P		
d	78,926.598 SHS VANGUARD INFLATION PROTECTED SECUR	P	02/18/11	02/22/11
e	RBC #968-54643 (SEE ATTACHED)	P		
f	RBC #968-54649 (SEE ATTACHED)	P		
g	RBC #968-54649 (SEE ATTACHED)	P		
h	DISPOSITION OF OCH-ZIFF CAPITAL MANAGEMENT GROUP	P	12/28/10	02/10/11
i	CAPITAL GAINS DIVIDENDS			
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 697,767.		609,889.	87,878.
b 452,028.		396,749.	55,279.
c 962,907.		960,395.	2,512.
d 2,018,118.		2,000,035.	18,083.
e 4,049,501.		4,102,257.	-52,756.
f 344,565.		289,765.	54,800.
g 567,034.		383,793.	183,241.
h			-509.
i 5,795.			5,795.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			87,878.
b			55,279.
c			2,512.
d			18,083.
e			-52,756.
f			54,800.
g			183,241.
h			-509.
i			5,795.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	354,323.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A



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2011 ANNUAL STATEMENT SUMMARY

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES

COVERED TRANSACTIONS

ALCOA INC	951,000	Sale	CUSIP Number 02/07/11	013817101 02/09/11	16,275.29	16,539.32	0.00	(264.03)
APPLIED MATERIAL INC	601,000	Sale	CUSIP Number 01/10/11	038222105 02/09/11	9,513.70	8,271.14	0.00	1,242.56
	313,000	Sale			4,954.72	4,391.77	0.00	562.95
	609,000	Sale			9,640.36	9,234.51	0.00	405.85
		Security Subtotal			24,108.78	21,897.42	0.00	2,211.36
EXXON MOBIL CORP	172,000	Sale	CUSIP Number 01/03/11	30231G102 02/09/11	14,097.02	12,814.93	0.00	1,282.09
	67,000	Sale			5,491.28	5,373.67	0.00	117.61
	94,000	Sale			7,704.20	7,883.87	0.00	(179.67)
		Security Subtotal			27,292.50	26,072.47	0.00	1,220.03
MOTOROLA SOLUTIONS INC	484,000	Sale	CUSIP Number 02/07/11	620076307 02/09/11	19,163.08	19,459.51	0.00	(296.43)
	111,000	Sale			4,394.84	4,445.09	0.00	(50.25)
		Security Subtotal			23,557.92	23,904.60	0.00	(346.68)
Covered Short Term Capital Gains and Losses Subtotal					91,234.49	88,413.81	0.00	2,820.68



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THE PER AND ASTRID HEIDENREICH

2011 ANNUAL STATEMENT SUMMARY 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
NONCOVERED TRANSACTIONS								
AETNA INC NEW 340,000	Sale	CUSIP Number 06/14/10	00817Y108 02/09/11	12,749.80	10,010.42	0.00	2,739.38	
ASSURANT INC 9,000	Sale	CUSIP Number 03/08/10	04621X108 02/09/11	360.51	288.97	0.00	71.54	
68,000	Sale	03/09/10	02/09/11	2,723.85	2,197.37	0.00	526.48	
86,000	Sale	03/12/10	02/09/11	3,444.88	2,830.92	0.00	613.96	
128,000	Sale	03/15/10	02/09/11	5,127.26	4,180.16	0.00	947.10	
183,000	Sale	03/16/10	02/09/11	7,330.40	6,044.86	0.00	1,285.54	
Security Subtotal				18,986.90	15,542.28	0.00	3,444.62	
AVERY DENNISON CORP 223,000	Sale	CUSIP Number 05/18/10	053611109 02/09/11	8,926.36	8,027.67	0.00	898.69	
63,000	Sale	05/19/10	02/09/11	2,521.80	2,225.73	0.00	296.07	
Security Subtotal				11,448.16	10,253.40	0.00	1,194.76	
BERKSHIRE HATHAWAY INC DEL CL B NEW 127,000	Sale	CUSIP Number 12/27/10	084670702 02/09/11	10,596.68	10,152.61	0.00	444.07	
BRISTOL-MYERS SQUIBB CO 376,000	Sale	CUSIP Number 11/15/10	110122108 02/09/11	9,674.33	9,911.02	0.00	(236.69)	
103,000	Sale	11/16/10	02/09/11	2,650.15	2,679.45	0.00	(29.30)	
219,000	Sale	12/13/10	02/09/11	5,634.78	5,759.85	0.00	(125.07)	
179,000	Sale	12/20/10	02/09/11	4,605.60	4,749.28	0.00	(143.68)	
44,000	Sale	12/21/10	02/09/11	1,132.11	1,162.39	0.00	(30.28)	
Security Subtotal				23,696.97	24,261.99	0.00	(565.02)	
CAPITAL ONE FINL 85,000	Sale	CUSIP Number 04/06/10	14040H105 02/09/11	4,324.96	3,663.23	0.00	661.73	
207,000	Sale	04/07/10	02/09/11	10,532.56	8,953.08	0.00	1,579.48	
127,000	Sale	05/03/10	02/09/11	6,462.00	5,778.51	0.00	683.49	
84,000	Sale	05/04/10	02/09/11	4,274.09	3,787.27	0.00	486.82	
Security Subtotal				25,593.61	22,182.09	0.00	3,411.52	



Merrill Lynch
Bank of America Corporation

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2011 ANNUAL STATEMENT SUMMARY
2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CITIGROUP INC								
2658.0000	Sale	06/28/10	02/09/11	12,838.15	10,634.39	0.00	2,203.76	
3271.0000	Sale	07/26/10	02/09/11	15,798.95	13,442.50	0.00	2,356.45	
314.0000	Sale	07/27/10	02/09/11	1,516.63	1,319.37	0.00	197.26	
	Security Subtotal			30,153.73	25,396.26	0.00	4,757.47	
CHEVRON CORP								
62.0000	Sale	06/07/10	02/09/11	5,953.34	4,452.57	0.00	1,500.77	
CONOCOPHILLIPS								
109.0000	Sale	02/16/10	02/09/11	7,677.81	5,416.07	0.00	2,261.74	
75.0000	Sale	03/01/10	02/09/11	5,282.89	3,659.84	0.00	1,623.05	
42.0000	Sale	03/02/10	02/09/11	2,958.43	2,086.46	0.00	871.97	
	Security Subtotal			15,919.13	11,162.37	0.00	4,756.76	
CBS CORP NEW								
793.0000	CL B Sale	11/22/10	02/09/11	17,002.38	13,031.37	0.00	3,971.01	
273.0000	Sale	12/27/10	02/09/11	5,853.29	5,265.00	0.00	588.29	
	Security Subtotal			22,855.67	18,296.37	0.00	4,559.30	
DELL INC								
1207.0000	Sale	08/30/10	02/09/11	16,742.21	14,636.81	0.00	2,105.40	
333.0000	Sale	09/13/10	02/09/11	4,619.03	4,084.81	0.00	534.22	
	Security Subtotal			21,361.24	18,721.62	0.00	2,639.62	
DISCOVER FINL SVCS								
789.0000	Sale	11/15/10	02/09/11	16,681.26	14,935.45	0.00	1,745.81	
216.0000	Sale	11/16/10	02/09/11	4,566.74	3,940.44	0.00	626.30	
	Security Subtotal			21,248.00	18,875.89	0.00	2,372.11	
EDISON INTL CALIF								
241.0000	Sale	07/26/10	02/09/11	8,903.81	7,939.36	0.00	964.45	
47.0000	Sale	07/27/10	02/09/11	1,736.43	1,571.77	0.00	164.66	
265.0000	Sale	08/02/10	02/09/11	9,790.51	9,015.04	0.00	775.47	
	Security Subtotal			20,430.75	18,526.17	0.00	1,904.58	



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THE PER AND ASTRID HEIDENREICH

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
EXXON MOBIL CORP COM								
30,000	Sale	02/09/10	02/09/11	2,458.78	1,957.09	0.00	501.69	
158,000	Sale	12/27/10	02/09/11	12,949.59	11,547.87	0.00	1,401.72	
	Security Subtotal			15,408.37	13,504.96	0.00	1,903.41	
FORD MOTOR CO NEW								
362,000	Sale	07/22/10	01/03/11	6,232.88	4,356.20	0.00	1,876.68	
519,000	Sale	07/22/10	02/07/11	8,411.99	6,245.49	0.00	2,166.50	
967,000	Sale	08/16/10	02/07/11	15,673.23	11,624.21	0.00	4,049.02	
	Security Subtotal			30,318.10	22,225.90	0.00	8,092.20	
FIFTH THIRD BANCORP								
807,000	Sale	08/02/10	02/09/11	12,290.77	10,761.35	0.00	1,529.42	
354,000	Sale	08/03/10	02/09/11	5,391.49	4,755.18	0.00	636.31	
317,000	Sale	08/09/10	02/09/11	4,827.98	4,079.35	0.00	748.63	
62,000	Sale	08/10/10	02/09/11	944.28	796.67	0.00	147.61	
	Security Subtotal			23,454.52	20,392.55	0.00	3,061.97	
GANNETT CO								
275,000	Sale	05/11/10	02/09/11	4,678.67	4,598.61	0.00	80.06	
311,000	Sale	05/12/10	02/09/11	5,291.17	5,267.69	0.00	23.48	
	Security Subtotal			9,969.84	9,866.30	0.00	103.54	
GENERAL ELECTRIC								
809,000	Sale	10/25/10	02/09/11	17,054.53	13,103.78	0.00	3,950.75	
HUMANA INC								
43,000	Sale	04/12/10	02/09/11	2,506.85	1,916.27	0.00	590.58	
44,000	Sale	04/13/10	02/09/11	2,565.15	1,938.35	0.00	626.80	
42,000	Sale	04/14/10	02/09/11	2,448.56	1,829.50	0.00	619.06	
	Security Subtotal			7,520.56	5,684.12	0.00	1,836.44	
INTEL CORP								
1359,000	Sale	06/28/10	02/09/11	29,217.94	27,788.29	0.00	1,429.65	



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2011 ANNUAL STATEMENT SUMMARY
2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
KROGER CO								
441.0000	Sale	07/06/10	501044101	9,931.17	8,847.30	0.00	1,083.87	
320.0000	Sale	12/20/10	02/09/11	7,206.29	6,953.92	0.00	252.37	
160.0000	Sale	12/21/10	02/09/11	3,603.15	3,471.84	0.00	131.31	
	Security Subtotal			20,740.61	19,273.06	0.00	1,467.55	
L-3 COMMUNICTNS HLDGS								
94.0000	Sale	06/01/10	502424104	7,527.83	7,786.67	0.00	(258.84)	
ELI LILLY & CO								
575.0000	Sale	09/27/10	532457108	20,523.49	20,738.93	0.00	(215.44)	
LIMITED BRANDS INC								
338.0000	Sale	05/03/10	532716107	11,002.93	9,413.74	0.00	1,589.19	
104.0000	Sale	05/04/10	02/09/11	3,385.53	2,815.32	0.00	570.21	
	Security Subtotal			14,388.46	12,229.06	0.00	2,159.40	
MARATHON OIL CORP								
196.0000	Sale	05/17/10	565849106	9,022.54	6,109.14	0.00	2,913.40	
MACYS INC								
409.0000	Sale	03/01/10	55616P104	9,878.87	8,094.19	0.00	1,784.68	
360.0000	Sale	03/02/10	02/09/11	8,695.35	7,157.27	0.00	1,538.08	
101.0000	Sale	03/03/10	02/09/11	2,439.53	2,022.23	0.00	417.30	
	Security Subtotal			21,013.75	17,273.69	0.00	3,740.06	
NEWS CORP CL A								
645.0000	Sale	06/28/10	65248E104	10,808.57	8,196.92	0.00	2,611.65	
264.0000	Sale	06/28/10	02/07/11	4,420.68	3,355.02	0.00	1,065.66	
	Security Subtotal			15,229.25	11,551.94	0.00	3,677.31	
PARKER HANNIFIN CORP								
76.0000	Sale	05/10/10	701094104	6,928.09	5,098.80	0.00	1,829.29	
98.0000	Sale	05/24/10	02/09/11	8,933.59	5,907.81	0.00	3,025.78	
	Security Subtotal			15,861.68	11,006.61	0.00	4,855.07	



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2011 ANNUAL STATEMENT SUMMARY 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
847.0000	QWEST COMM INTL INC COM Sale	06/28/10	01/03/11	749121109	6,496.38	4,520.61	0.00	1,975.77	
1851.0000	COM Sale	06/28/10	02/09/11		13,554.06	9,879.16	0.00	3,674.90	
413.0000	COM Sale	06/29/10	02/09/11		3,024.21	2,183.61	0.00	840.60	
402.0000	COM Sale	06/30/10	02/09/11		2,943.68	2,120.31	0.00	823.37	
	Security Subtotal				26,018.33	18,703.69	0.00	7,314.64	
76.0000	RAYTHEON CO DELAWARE NEW Sale	08/09/10	02/09/11	755111507	3,900.67	3,530.28	0.00	370.39	
22.0000	COM Sale	08/10/10	02/09/11		1,129.15	1,014.59	0.00	114.56	
	Security Subtotal				5,029.82	4,544.87	0.00	484.95	
154.0000	SANDISK CORP INC Sale	05/03/10	02/09/11	80004C101	7,680.18	6,657.36	0.00	1,022.82	
52.0000	COM Sale	05/04/10	02/09/11		2,593.31	2,161.26	0.00	432.05	
	Security Subtotal				10,273.49	8,818.62	0.00	1,454.87	
315.0000	SOUTHWEST AIRLNS CO Sale	11/01/10	02/09/11	844741108	3,828.50	4,370.97	0.00	(542.47)	
603.0000	COM Sale	11/02/10	02/09/11		7,328.84	8,439.83	0.00	(1,110.99)	
405.0000	COM Sale	11/03/10	02/09/11		4,922.36	5,662.63	0.00	(740.27)	
	Security Subtotal				16,079.70	18,473.43	0.00	(2,393.73)	
3030.0000	SPRINT NEXTEL CORP Sale	08/09/10	02/09/11	852061100	13,119.64	13,758.32	0.00	(638.68)	
1146.0000	COM Sale	08/10/10	02/09/11		4,962.09	5,233.09	0.00	(271.00)	
	Security Subtotal				18,081.73	18,991.41	0.00	(909.68)	
69.0000	TARGET CORP COM Sale	03/01/10	02/09/11	87612E106	3,786.82	3,593.42	0.00	193.40	
63.0000	COM Sale	03/02/10	02/09/11		3,457.54	3,260.03	0.00	197.51	
	Security Subtotal				7,244.36	6,853.45	0.00	390.91	



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1099-B

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
UNITEDHEALTH GROUP INC								
157,000	Sale	04/19/10	02/09/11	6,578.44	4,916.53	0.00	1,661.91	
160,000	Sale	04/20/10	02/09/11	6,704.14	4,963.78	0.00	1,740.36	
181,000	Sale	06/01/10	02/09/11	7,584.06	5,319.25	0.00	2,264.81	
112,000	Sale	06/21/10	02/09/11	4,692.91	3,521.53	0.00	1,171.38	
Security Subtotal				25,559.55	18,721.09	0.00	6,838.46	
Noncovered Short Term Capital Gains and Losses Subtotal								
				606,532.43	521,475.60	0.00	85,056.83	
NET SHORT TERM CAPITAL GAINS AND LOSSES								
				697,766.92	609,889.41	0.00	87,877.51	
LONG TERM CAPITAL GAINS AND LOSSES								
NONCOVERED TRANSACTIONS								
AMERISOURCEBERGEN CORP								
343,000	Sale	11/18/08	02/09/11	12,563.34	5,238.28	0.00	7,325.06	
AETNA INC NEW								
68,000	Sale	11/24/08	02/09/11	2,549.95	1,367.10	0.00	1,182.85	
291,000	Sale	09/28/09	02/09/11	10,912.32	8,505.55	0.00	2,406.77	
Security Subtotal				13,462.27	9,872.65	0.00	3,589.62	
AT&T INC								
330,000	Sale	01/08/07	02/09/11	9,214.25	11,157.30	0.00	(1,943.05)	
173,000	Sale	02/05/07	02/09/11	4,830.50	6,542.72	0.00	(1,712.22)	
187,000	Sale	11/17/08	02/09/11	5,221.41	5,056.52	0.00	164.89	
Security Subtotal				19,266.16	22,756.54	0.00	(3,490.38)	
CARDINAL HEALTH INC OHIO								
124,000	Sale	11/23/09	02/09/11	5,101.78	3,990.80	0.00	1,110.98	
192,000	Sale	11/24/09	02/09/11	7,899.53	6,200.85	0.00	1,698.68	
98,000	Sale	11/30/09	02/09/11	4,032.05	3,151.14	0.00	880.91	
144,000	Sale	12/03/09	02/09/11	5,924.66	4,691.92	0.00	1,232.74	
Security Subtotal				22,958.02	18,034.71	0.00	4,923.31	



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1099-B

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CMS ENERGY CORP								
136.0000	Sale	04/28/09	125896100	2,608.93	1,620.40	0.00	988.53	
101.0000	Sale	05/04/09	02/09/11	1,937.51	1,226.75	0.00	710.76	
167.0000	Sale	05/05/09	02/09/11	3,203.61	2,041.31	0.00	1,162.30	
101.0000	Sale	05/06/09	02/09/11	1,937.52	1,217.07	0.00	720.45	
120.0000	Sale	05/07/09	02/09/11	2,302.01	1,432.60	0.00	869.41	
	Security Subtotal			11,989.58	7,538.13	0.00	4,451.45	
COVENTRY HEALTH CARE INC								
64.0000	Sale	09/08/09	222862104	1,903.56	1,476.46	0.00	427.10	
126.0000	Sale	09/09/09	02/09/11	3,747.63	2,939.25	0.00	808.38	
119.0000	Sale	09/10/09	02/09/11	3,539.43	2,845.90	0.00	693.53	
107.0000	Sale	09/11/09	02/09/11	3,182.51	2,617.10	0.00	565.41	
180.0000	Sale	09/14/09	02/09/11	5,353.78	4,427.01	0.00	926.77	
	Security Subtotal			17,726.91	14,305.72	0.00	3,421.19	
CHEVRON CORP								
113.0000	Sale	01/08/07	166764100	10,850.44	8,054.65	0.00	2,795.79	
CA INC								
155.0000	Sale	08/29/07	12673P105	3,851.89	3,838.04	0.00	13.85	
160.0000	Sale	08/30/07	02/09/11	3,976.14	4,005.54	0.00	(29.40)	
140.0000	Sale	08/31/07	02/09/11	3,479.14	3,542.90	0.00	(63.76)	
	Security Subtotal			11,307.17	11,386.48	0.00	(79.31)	
COMPUTER SCIENCE CRP								
132.0000	Sale	07/17/07	205363104	6,345.55	8,116.05	0.00	(1,770.50)	
50.0000	Sale	07/18/07	02/09/11	2,403.62	3,051.42	0.00	(647.80)	
	Security Subtotal			8,749.17	11,167.47	0.00	(2,418.30)	
DTE ENERGY COMPANY								
145.0000	Sale	01/25/10	233331107	6,819.11	6,183.48	0.00	635.63	
136.0000	Sale	01/26/10	02/09/11	6,395.87	5,853.49	0.00	542.38	
	Security Subtotal			13,214.98	12,036.97	0.00	1,178.01	
EXXON MOBIL CORP COM								
55.0000	Sale	02/01/10	30231G102	4,507.76	3,636.36	0.00	871.40	
45.0000	Sale	02/05/10	02/09/11	3,688.17	2,898.54	0.00	789.63	
	Security Subtotal			8,195.93	6,534.90	0.00	1,661.03	



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Bank of America Corporation

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1099-B

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
GAP INC DELAWARE				364760108					
258.0000 Sale		03/13/08	02/09/11		5,486.06	5,166.38	0.00	319.68	
133.0000 Sale		04/14/08	02/09/11		2,828.08	2,455.53	0.00	372.55	
182.0000 Sale		04/15/08	02/09/11		3,870.02	3,408.11	0.00	461.91	
Security Subtotal					12,184.16	11,030.02	0.00	1,154.14	
GENERAL ELECTRIC				369604103					
37.0000 Sale		01/08/07	02/09/11		779.99	1,387.14	0.00	(607.15)	
360.0000 Sale		01/02/08	02/09/11		7,589.16	13,243.64	0.00	(5,654.48)	
370.0000 Sale		01/07/08	02/09/11		7,799.97	13,389.12	0.00	(5,589.15)	
Security Subtotal					16,169.12	28,019.90	0.00	(11,850.78)	
HONEYWELL INTL INC DEL				438516106					
89.0000 Sale		07/30/07	02/09/11		5,097.59	5,265.24	0.00	(167.65)	
180.0000 Sale		08/27/07	02/09/11		10,309.76	10,233.63	0.00	76.13	
Security Subtotal					15,407.35	15,498.87	0.00	(91.52)	
HUMANA INC				444859102					
282.0000 Sale		01/19/10	02/09/11		16,440.28	14,475.34	0.00	1,964.94	
INTL PAPER CO				460146103					
321.0000 Sale		08/31/09	01/18/11		9,125.62	7,263.40	0.00	1,862.22	
21.0000 Sale		09/01/09	01/18/11		597.01	469.81	0.00	127.20	
188.0000 Sale		09/01/09	02/09/11		5,542.77	4,205.96	0.00	1,336.81	
260.0000 Sale		11/09/09	02/09/11		7,665.54	6,479.30	0.00	1,186.24	
Security Subtotal					22,930.94	18,418.47	0.00	4,512.47	
JPMORGAN CHASE & CO				46625H100					
344.0000 Sale		07/06/09	02/09/11		15,423.28	11,034.87	0.00	4,388.41	
L-3 COMMNCNTNS HLDGS				502424104					
9.0000 Sale		04/06/09	02/09/11		720.74	630.92	0.00	89.82	
41.0000 Sale		05/11/09	02/09/11		3,283.41	3,178.23	0.00	105.18	
Security Subtotal					4,004.15	3,809.15	0.00	195.00	
MARATHON OIL CORP				565849106					
121.0000 Sale		01/08/07	01/31/11		5,489.35	5,259.12	0.00	230.23	
397.0000 Sale		01/08/07	02/09/11		18,275.22	17,255.14	0.00	1,020.08	
Security Subtotal					23,764.57	22,514.26	0.00	1,250.31	

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Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	CUSIP Number	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
MEADWESTVACO CORP									
206,000	Sale	11/30/09	02/09/11	583334107	6,001.52	5,578.62	0.00	422.90	
69,000	Sale	12/01/09	02/09/11		2,010.22	1,907.13	0.00	103.09	
54,000	Sale	12/02/09	02/09/11		1,573.21	1,508.57	0.00	64.64	
142,000	Sale	12/07/09	02/09/11		4,136.98	4,008.63	0.00	128.35	
186,000	Sale	12/08/09	02/09/11		5,418.87	5,215.66	0.00	203.21	
Security Subtotal					19,140.80	18,218.61	0.00	922.19	
NISOURCE INC									
293,000	Sale	07/20/09	02/09/11	65473P105	5,471.43	3,660.77	0.00	1,810.66	
203,000	Sale	07/21/09	02/09/11		2,259.53	1,535.72	0.00	723.81	
203,000	Sale	07/22/09	02/09/11		3,790.79	2,586.40	0.00	1,204.39	
159,000	Sale	08/10/09	02/09/11		2,969.14	2,093.52	0.00	875.62	
170,000	Sale	08/11/09	02/09/11		3,174.56	2,251.33	0.00	923.23	
144,000	Sale	08/12/09	02/09/11		2,689.04	1,901.55	0.00	787.49	
Security Subtotal					20,354.49	14,029.29	0.00	6,325.20	
PFIZER INC									
184,000	Sale	03/10/08	02/09/11	717081103	3,486.75	3,911.22	0.00	(424.47)	
83,000	Sale	03/11/08	02/09/11		1,572.82	1,785.25	0.00	(212.43)	
330,000	Sale	06/09/08	02/09/11		6,253.42	5,962.34	0.00	291.08	
Security Subtotal					11,312.99	11,658.81	0.00	(345.82)	
RAYTHEON CO DELAWARE NEW									
103,000	Sale	01/08/07	02/09/11	755111507	5,286.43	5,260.22	0.00	26.21	
149,000	Sale	05/18/09	02/09/11		7,647.37	6,669.20	0.00	978.17	
Security Subtotal					12,933.80	11,929.42	0.00	1,004.38	
ROWAN COMPANIES INC									
123,000	Sale	09/15/09	01/10/11	779382100	4,076.14	2,842.52	0.00	1,233.62	
101,000	Sale	11/02/09	01/10/11		3,347.09	2,375.67	0.00	971.42	
62,000	Sale	11/02/09	01/11/11		2,120.50	1,458.33	0.00	662.17	
74,000	Sale	11/03/09	01/11/11		2,530.93	1,791.09	0.00	739.84	
Security Subtotal					12,074.66	8,467.61	0.00	3,607.05	
RYDER SYSTEM INC									
54,000	Sale	07/08/08	02/09/11	783549108	2,656.79	3,697.37	0.00	(1,040.58)	
23,000	Sale	07/28/08	02/09/11		1,131.59	1,484.04	0.00	(352.45)	
36,000	Sale	07/29/08	02/09/11		1,771.19	2,408.51	0.00	(637.32)	



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Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
RYDER SYSTEM INC								
7,000	Sale	CUSIP Number 783549108	02/09/11	344.40	472.99	0.00	(128.59)	
12,000	Sale	07/30/08	02/09/11	590.40	800.38	0.00	(209.98)	
115,000	Sale	07/31/08	02/09/11	5,658.00	3,884.98	0.00	1,773.02	
	Security Subtotal			12,152.37	12,748.27	0.00	(595.90)	
SARA LEE CORP								
74,000	COM	CUSIP Number 803111103	02/09/11	1,256.06	719.35	0.00	536.71	
731,000	Sale	08/24/09	02/09/11	12,407.91	7,205.32	0.00	5,202.59	
	Security Subtotal			13,663.97	7,924.67	0.00	5,739.30	
TENET HEALTHCARE CORP								
389,000	Sale	CUSIP Number 88033G100	02/09/11	2,624.14	2,432.51	0.00	191.63	
728,000	Sale	10/20/09	02/09/11	4,910.99	4,571.48	0.00	339.51	
549,000	Sale	10/21/09	02/09/11	3,703.49	3,359.93	0.00	343.56	
	Security Subtotal			11,238.62	10,363.92	0.00	874.70	
TARGET CORP								
91,000	COM	CUSIP Number 87612E106	02/09/11	4,994.21	4,179.28	0.00	814.93	
UNUM GROUP								
82,000	Sale	CUSIP Number 91529Y106	02/09/11	2,153.11	2,027.49	0.00	125.62	
139,000	Sale	05/05/08	02/09/11	3,649.79	3,318.37	0.00	331.42	
382,000	Sale	03/02/09	02/09/11	10,030.37	3,611.89	0.00	6,418.48	
	Security Subtotal			15,833.27	8,957.75	0.00	6,875.52	
VERIZON COMMUNICATIONS COM								
248,000	Sale	CUSIP Number 92343V104	02/09/11	9,051.82	6,908.96	0.00	2,142.86	
102,000	Sale	11/17/08	02/09/11	3,722.92	2,756.72	0.00	966.20	
87,000	Sale	07/13/09	02/09/11	3,175.45	2,361.51	0.00	813.94	
	Security Subtotal			15,950.19	12,027.19	0.00	3,923.00	
WAL-MART STORES INC								
46,000	Sale	CUSIP Number 931142103	02/09/11	2,577.99	2,489.18	0.00	88.81	
41,000	Sale	12/29/09	02/07/11	2,297.78	2,221.99	0.00	75.79	
41,000	Sale	12/30/09	02/07/11	2,297.78	2,211.61	0.00	86.17	
40,000	Sale	01/04/10	02/07/11	2,241.75	2,166.19	0.00	75.56	
	Security Subtotal			9,415.30	9,088.97	0.00	326.33	



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Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
WELLPOINT INC								
54.0000	Sale	06/19/07	02/09/11	3,518.69	4,371.31	0.00	(852.62)	
20.0000	Sale	09/04/07	02/09/11	1,303.22	1,631.08	0.00	(327.86)	
20.0000	Sale	09/05/07	02/09/11	1,303.22	1,619.30	0.00	(316.08)	
40.0000	Sale	09/06/07	02/09/11	2,606.44	3,203.28	0.00	(596.84)	
117.0000	Sale	11/03/08	02/09/11	7,623.86	4,603.24	0.00	3,020.62	
Security Subtotal				16,355.43	15,428.21	0.00	927.22	
Noncovered Long Term Capital Gains and Losses Subtotal				452,027.92	396,749.38	0.00	55,278.54	
NET LONG TERM CAPITAL GAINS AND LOSSES				452,027.92	396,749.38	0.00	55,278.54	
TOTAL CAPITAL GAINS AND LOSSES				1,149,794.84				
TOTAL REPORTED SALES PROCEEDS				1,149,794.84				

2011 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
2,820.68	85,056.83	0.00	55,278.54

PER & ASTRID HEIDENREICH FAM
FDN DTD 12/10/04 PER ASTRID
P HEIDENREICH C JEDLICKA
MUTUAL FUND
1010 WASHINGTON BLVD 9TH FL
STAMFORD CT 06901-2202

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RECIPIENT'S ACCOUNT NUMBER
968-54643

Schedule of Realized Gains and Losses

The detailed gain and loss information in this section is provided as a service to our clients. This information may not be furnished to the IRS in its entirety. Information reported to the IRS is shown on Form 1099-B.

This section includes a summary of the short- or long-term gain and loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less and "long-term" refers to securities held for more than one year. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. Cost basis information may also reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 550 and 1212 for more information and consult your tax advisor, as RBC does not provide tax advice

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
78,926.598	VANGUARD INFLATION PROTECTED SECURITIES FUND ADMIRAL CL SYMBOL: VAIPX, CUSIP: 922031737	☒	02/18/11	02/22/11	2,018,118.11	2,000,035.00	18,083.11
Short-Term Subtotal					2,018,118.11		18,083.11

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
21,858.000	COHEN & STEERS INTL RLTY FD CL I SYMBOL: IRFIX, CUSIP: 19248H401	☒	01/12/07	02/10/11	237,815.04	400,036.40	-162,221.36
31,933.289	PIMCO COMMODITY REAL RETURN STRATEGY FUND INSTITUTIONAL CL SYMBOL: PCRIX, CUSIP: 722005667	☒	01/12/07	02/10/11	297,583.25	424,426.42	-126,843.17
31,876.138	PIMCO FDS PAC INVT MGMT SER EMERGING MKTS BD FD INSTL CL SYMBOL: PEBIX, CUSIP: 693391559	☒	01/11/07	02/10/11	349,008.71	350,035.00	-1,026.29
80,322.000	PIMCO FOREIGN BOND FD INSTL CL UNHEDGED SYMBOL: PFUIX, CUSIP: 722005220	☒	01/12/07	02/10/11	840,936.34	800,042.12	40,894.22
53,661.024	PIMCO TOTAL RETURN FUND INSTL CL SYMBOL: PTTRX, CUSIP: 693390700	☒	01/12/07	02/18/11	581,138.25	555,397.87	25,740.38
122,927.988	PIMCO TOTAL RETURN FUND INSTL CL SYMBOL: PTTRX, CUSIP: 693390700	☒	01/12/07	02/18/11	1,331,285.75	1,272,319.04	58,966.71
19,775.873	SOUTHERNSUN SMALL CAP FD INV CL	☒	01/11/07	02/10/11	411,733.68	300,000.00	111,733.68

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	SYMBOL SSSF, CUSIP. 66537T190						
	Long-Term Subtotal				4,049,501.02	4,102,256.85	-52,755.83
	Total Gains and Losses				6,067,619.13		-34,672.72
	Short-Term						18,083.11
	Long-Term						-52,755.83
	Term Unknown						0.00

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Schedule of Realized Gains and Losses

The detailed gain and loss information in this section is provided as a service to our clients. This information may not be furnished to the IRS in its entirety. Information reported to the IRS is shown on Form 1099-B.

This section includes a summary of the short- or long-term gain and loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less and "long-term" refers to securities held for more than one year. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. Cost basis information may also reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 550 and 1212 for more information and consult your tax advisor, as RBC does not provide tax advice.

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
130 000	AIRGAS INC SYMBOL: ARG, CUSIP: 009363102	☐	01/24/11	02/10/11	8,302.44	8,255.51	46.93
500 000	ALIGN TECHNOLOGY INC SYMBOL: ALGN, CUSIP: 016255101	☐	01/28/11	02/10/11	10,370.30	10,434.30	-64.00
195.000	ATHEROS COMMUNICATIONS INC CUSIP: 04743P108	☒	12/21/10	01/14/11	8,692.51	6,997.44	1,695.07
270.000	ATHEROS COMMUNICATIONS INC CUSIP: 04743P108	☒	10/11/10	01/14/11	12,035.78	7,472.24	4,563.54
10.000	BROOKDALE SR LIVING INC SYMBOL: BKD, CUSIP: 112463104	☒	05/11/10	02/10/11	237.69	204.46	33.23
30 000	BROOKDALE SR LIVING INC SYMBOL: BKD, CUSIP: 112463104	☒	05/11/10	02/10/11	713.17	613.38	99.79
90 000	BROOKDALE SR LIVING INC SYMBOL: BKD, CUSIP: 112463104	☒	05/11/10	02/10/11	2,141.95	1,840.13	301.82
100.000	BROOKDALE SR LIVING INC SYMBOL: BKD, CUSIP: 112463104	☒	05/11/10	02/10/11	2,376.95	2,044.59	332.36
100.000	BROOKDALE SR LIVING INC SYMBOL: BKD, CUSIP: 112463104	☒	05/11/10	02/10/11	2,379.95	2,044.59	335.36
100.000	BROOKDALE SR LIVING INC SYMBOL: BKD, CUSIP: 112463104	☒	05/11/10	02/10/11	2,379.95	2,044.59	335.36
50.000	BROOKDALE SR LIVING INC SYMBOL: BKD, CUSIP: 112463104	☒	06/29/10	02/10/11	1,182.47	761.76	420.70
50.000	BROOKDALE SR LIVING INC SYMBOL: BKD, CUSIP: 112463104	☒	08/23/10	02/10/11	1,182.48	670.14	512.34
70 000	BROOKDALE SR LIVING INC	☒	06/29/10	02/10/11	1,664.08	1,066.47	597.61

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Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	SYMBOL BKD, CUSIP 112463104						
110 000	BROOKDALE SR LIVING INC SYMBOL: BKD, CUSIP 112463104	☒	06/29/10	02/10/11	2,614.64	1,675.87	938.77
100 000	BROOKDALE SR LIVING INC SYMBOL: BKD, CUSIP: 112463104	☒	08/23/10	02/10/11	2,376.95	1,340.28	1,036.67
100.000	BROOKDALE SR LIVING INC SYMBOL BKD, CUSIP 112463104	☒	08/23/10	02/10/11	2,376.95	1,340.28	1,036.67
100.000	BROOKDALE SR LIVING INC SYMBOL: BKD, CUSIP. 112463104	☒	08/23/10	02/10/11	2,379.95	1,340.28	1,039.67
200 000	CAREER EDUCATION CORP SYMBOL: CECO, CUSIP: 141665109	☒	06/29/10	02/10/11	4,673.91	4,829.10	-155.19
100.000	CAREER EDUCATION CORP SYMBOL: CECO, CUSIP 141665109	☒	06/29/10	02/10/11	2,321.95	2,414.55	-92.60
100.000	CAREER EDUCATION CORP SYMBOL CECO, CUSIP 141665109	☒	06/29/10	02/10/11	2,335.96	2,414.55	-78.59
385 000	CARMAX INC SYMBOL: KMX, CUSIP 143130102	☐	01/06/11	02/10/11	13,363.53	12,268.90	1,094.63
210 000	CATALYST HEALTH SOLUTIONS INC SYMBOL: CHSI, CUSIP. 14888B103	☒	05/11/10	02/10/11	9,021.54	8,930.11	91.43
38 000	CEPHEID SYMBOL: CPHD, CUSIP. 15670R107	☒	12/23/10	02/10/11	926.62	888.17	38.45
62 000	CEPHEID SYMBOL. CPHD, CUSIP: 15670R107	☒	12/23/10	02/10/11	1,536.02	1,449.11	86.91
100 000	CEPHEID SYMBOL. CPHD, CUSIP: 15670R107	☒	12/23/10	02/10/11	2,477.45	2,337.28	140.17
240.000	CEPHEID SYMBOL: CPHD, CUSIP 15670R107	☒	12/23/10	02/10/11	5,943.00	5,609.47	333.53
155.000	CITRIX SYSTEMS INC SYMBOL: CTXS, CUSIP. 177376100	☒	10/21/10	02/10/11	10,644.30	8,966.10	1,678.20
350.000	DICKS SPORTING GOODS INC SYMBOL: DKS, CUSIP: 253393102	☒	02/23/10	02/10/11	13,036.28	8,259.57	4,776.71

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Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
100 000	FLIR SYSTEMS INC SYMBOL: FLIR, CUSIP: 302445101	☒	06/29/10	02/10/11	3,316.93	2,935.90	381.03
200.000	FLIR SYSTEMS INC SYMBOL: FLIR, CUSIP: 302445101	☒	06/29/10	02/10/11	6,618.87	5,871.80	747.07
90 000	GARDNER DENVER INC SYMBOL: GDI, CUSIP: 365558105	☒	03/24/10	02/10/11	6,795.76	4,038.66	2,757.10
100.000	GARDNER DENVER INC SYMBOL: GDI, CUSIP: 365558105	☒	03/24/10	02/10/11	7,536.85	4,487.41	3,049.44
325.000	GENTEX CORP SYMBOL: GNTX, CUSIP: 371901109	☐	01/28/11	02/10/11	10,216.45	10,481.89	-265.44
155 000	HAEMONETICS CORP-MASS SYMBOL: HAE, CUSIP: 405024100	☒	10/07/10	02/03/11	9,321.48	8,710.81	610.67
160 000	HMS HOLDINGS CORP SYMBOL: HMSY, CUSIP: 40425J101	☒	06/29/10	02/10/11	10,818.87	8,791.46	2,027.41
75.000	INTERCONTINENTALEXCHANGE INC SYMBOL: ICE, CUSIP: 45865V100	☒	05/24/10	02/10/11	9,632.81	8,673.79	959.02
225 000	JACOBS ENGINEERING GROUP INC SYMBOL: JEC, CUSIP: 469814107	☒	10/06/10	02/10/11	11,658.11	9,048.19	2,609.92
350 000	MCCORMICK & CO INC NON-VOTING SYMBOL: MKC, CUSIP: 579780206	☒	07/06/10	02/10/11	15,682.72	13,270.94	2,411.78
100 000	MEDASSETS INC SYMBOL: MDAS, CUSIP: 584045108	☒	06/08/10	02/10/11	2,004.96	2,359.58	-354.62
50.000	MEDASSETS INC SYMBOL: MDAS, CUSIP: 584045108	☒	06/08/10	02/10/11	1,002.48	1,179.79	-177.31
30.000	MEDASSETS INC SYMBOL: MDAS, CUSIP: 584045108	☒	06/08/10	02/10/11	601.48	707.88	-106.40
690 000	OCH ZIFF CAPITAL MANAGEMENT GROUP LLC CLASS A SYMBOL: OZM, CUSIP: 67551U105	☒	12/28/10	02/10/11	11,460.74	10,991.70	469.04
85 000	PERRIGO CO SYMBOL: PRGO, CUSIP: 714290103	☒	08/23/10	02/10/11	6,104.18	5,000.09	1,104.09

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Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
140.000	PERRIGO CO SYMBOL. PRGO, CUSIP 714290103	☒	05/28/10	02/10/11	10,053.95	8,420.25	1,633.70
225.000	POLYCOM INC SYMBOL. PLCM, CUSIP 73172K104	☐	02/07/11	02/10/11	10,764.77	10,512.83	251.94
145.000	SILICON LABORATORIES INC SYMBOL. SLAB, CUSIP 826919102	☒	11/03/10	02/10/11	6,585.84	5,944.63	641.21
90.000	TEMPUR PEDIC INTERNATIONAL INC SYMBOL. TPX, CUSIP. 88023U101	☐	01/21/11	02/10/11	4,264.17	3,857.09	407.08
290.000	TEMPUR PEDIC INTERNATIONAL INC SYMBOL. TPX, CUSIP. 88023U101	☒	08/06/10	02/10/11	13,740.12	8,662.77	5,077.35
130.000	TRIMBLE NAVIGATION LTD SYMBOL. TRMB, CUSIP 896239100	☒	02/18/10	02/10/11	6,198.41	3,400.21	2,798.20
70.000	ULTRA PETROLEUM CORP SYMBOL. UPL, CUSIP. 903914109	☒	06/04/10	02/10/11	3,313.62	3,682.22	-368.60
230.000	URBAN OUTFITTERS INC SYMBOL. URBN, CUSIP: 917047102	☒	04/16/10	02/10/11	8,603.84	9,039.46	-435.62
235.000	URBAN OUTFITTERS INC SYMBOL. URBN, CUSIP: 917047102	☒	10/11/10	02/10/11	8,790.89	7,328.20	1,462.69
270.000	VERISIGN INC SYMBOL. VRSN, CUSIP 92343E102	☒	09/20/10	02/10/11	9,764.48	8,687.35	1,077.13
100.000	WHOLE FOODS MARKET INC SYMBOL. WFM, CUSIP: 966837106	☒	10/22/10	02/10/11	6,006.88	3,993.32	2,013.56
130.000	WHOLE FOODS MARKET INC SYMBOL: WFM, CUSIP: 966837106	☒	10/22/10	02/10/11	7,794.60	5,191.32	2,603.28
45.000	WMS INDUSTRIES INC SYMBOL: WMS, CUSIP. 929297109	☐	01/27/11	02/10/11	1,930.92	1,856.40	74.52
100.000	WMS INDUSTRIES INC SYMBOL WMS, CUSIP 929297109	☐	01/27/11	02/10/11	4,290.92	4,125.34	165.58
Short-Term Subtotal					344,564.87	289,764.51	54,800.36

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Schedule of Realized Gains and Losses (cont)

Long-Term							
QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
80.000	AFFILIATED MANAGERS GROUP INC SYMBOL: AMG, CUSIP. 008252108	☒	03/06/07	02/10/11	8,356 79	8,695 80	-339 01
30.000	AFFILIATED MANAGERS GROUP INC SYMBOL: AMG, CUSIP. 008252108	☒	02/12/07	02/10/11	3,133 79	3,373.80	-240 01
60.000	AFFILIATED MANAGERS GROUP INC SYMBOL: AMG, CUSIP. 008252108	☒	10/31/08	02/10/11	6,267 59	2,705.95	3,561 64
250.000	APTARGROUP INC SYMBOL: ATR, CUSIP 038336103	☒	09/22/08	02/10/11	12,420.39	10,178 78	2,241 61
250 000	BORG WARNER AUTOMOTIVE INC SYMBOL: BWA, CUSIP. 099724106	☒	10/23/09	02/10/11	18,415.27	8,284 43	10,130 84
100.000	CERNER CORP SYMBOL: CERN, CUSIP 156782104	☒	02/02/10	02/10/11	9,793.01	7,847 78	1,945 23
35 000	CHIPOTLE MEXICAN GRILL INC COMMON STOCK SYMBOL CMG, CUSIP. 169656105	☒	03/18/09	02/10/11	9,002.92	1,842.49	7,160.43
120.000	CHURCH & DWIGHT CO INC SYMBOL: CHD, CUSIP. 171340102	☒	02/09/09	02/10/11	8,514.85	6,389.42	2,125.43
260.000	CONCHO RESOURCES INC SYMBOL: CXO, CUSIP 20605P101	☒	03/18/09	02/10/11	25,558 31	5,817 78	19,740 53
120 000	DEVRY INC-DEL SYMBOL: DV, CUSIP 251893103	☒	12/09/08	01/06/11	5,711.00	6,556 62	-845 62
70 000	DEVRY INC-DEL SYMBOL: DV, CUSIP: 251893103	☒	01/05/09	01/06/11	3,331.42	3,749 09	-417 67
60 000	DEVRY INC-DEL SYMBOL: DV, CUSIP. 251893103	☒	04/30/09	01/06/11	2,855.50	2,590.58	264 92
40 000	DISCOVERY COMMUNICATIONS INC COM SER A SYMBOL: DISCA, CUSIP: 25470F104	☒	05/18/09	02/10/11	1,708.16	786.63	921 53
40 000	DISCOVERY COMMUNICATIONS INC COM SER A SYMBOL: DISCA, CUSIP: 25470F104	☒	05/18/09	02/10/11	1,723.16	786.63	936.54
60.000	DISCOVERY COMMUNICATIONS INC	☒	05/18/09	02/10/11	2,584.75	1,179.94	1,404.81

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	COM SER A SYMBOL: DISCA, CUSIP: 25470F104						
100.000	DISCOVERY COMMUNICATIONS INC COM SER A SYMBOL: DISCA, CUSIP: 25470F104	☒	05/18/09	02/10/11	4,307.91	1,966.57	2,341.34
270.000	DOLBY LABORATORIES INC CL A SYMBOL: DLB, CUSIP: 25659T107	☒	03/19/08	02/10/11	14,519.90	9,900.35	4,619.55
110.000	ECOLAB INC SYMBOL: ECL, CUSIP: 278865100	☒	04/30/09	02/10/11	5,523.86	4,246.08	1,277.78
170.000	ECOLAB INC SYMBOL: ECL, CUSIP: 278865100	☒	12/09/08	02/10/11	8,536.88	6,429.86	2,107.02
50.000	FASTENAL CO SYMBOL: FAST, CUSIP: 311900104	☒	03/06/07	02/10/11	3,161.42	1,707.91	1,453.51
160.000	FASTENAL CO SYMBOL: FAST, CUSIP: 311900104	☒	03/18/09	02/10/11	10,116.53	4,624.15	5,492.38
130.000	GAMESTOP CORP NEW CLASS A SYMBOL: GME, CUSIP: 36467W109	☒	11/20/07	02/10/11	2,642.36	6,412.42	-3,770.06
100.000	GAMESTOP CORP NEW CLASS A SYMBOL: GME, CUSIP: 36467W109	☒	07/10/08	02/10/11	2,032.59	3,955.00	-1,922.41
40.000	GREENHILL & CO INC SYMBOL: GHL, CUSIP: 395259104	☒	01/07/10	02/10/11	3,026.13	3,237.60	-211.47
90.000	GREENHILL & CO INC SYMBOL: GHL, CUSIP: 395259104	☒	12/09/08	02/10/11	6,808.79	6,285.97	522.82
10.000	HANSEN NATURAL CORP	☒	10/15/09	02/10/11	553.01	378.08	174.93
30.000	HANSEN NATURAL CORP	☒	06/12/07	02/10/11	1,654.47	1,164.41	490.06
70.000	HANSEN NATURAL CORP	☒	04/28/08	02/10/11	3,860.43	2,329.09	1,531.34
90.000	HANSEN NATURAL CORP	☒	10/15/09	02/10/11	4,976.90	3,402.75	1,574.15
90.000	HANSEN NATURAL CORP	☒	04/28/08	02/10/11	4,977.13	2,994.55	1,982.58
190.000	IDEX CORP SYMBOL: IEX, CUSIP: 45167R104	☒	07/16/07	02/10/11	7,973.00	7,550.17	422.83

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Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
210 000	IDEX CORP SYMBOL: IEX, CUSIP: 45167R104	☒	05/08/07	02/10/11	8,812.27	7,503.63	1,308.64
170 000	IDEXX LABORATORIES CORP SYMBOL: IDXX, CUSIP: 45168D104	☒	04/28/08	02/10/11	12,853.43	9,159.35	3,694.08
170 000	IDEXX LABORATORIES CORP SYMBOL: IDXX, CUSIP: 45168D104	☒	10/31/08	02/10/11	12,853.43	6,067.78	6,785.65
5.000	IHS INC CL A SYMBOL: IHS, CUSIP: 451734107	☒	07/27/07	02/10/11	397.46	246.43	151.03
85.000	IHS INC CL A SYMBOL: IHS, CUSIP: 451734107	☒	07/27/07	02/10/11	7,010.66	4,189.32	2,821.34
90.000	IHS INC CL A SYMBOL: IHS, CUSIP: 451734107	☒	08/10/07	02/10/11	7,423.06	4,141.92	3,281.14
10.000	ILLUMINA INC SYMBOL: ILMN, CUSIP: 452327109	☒	10/31/08	02/10/11	712.31	316.01	396.30
50.000	ILLUMINA INC SYMBOL: ILMN, CUSIP: 452327109	☒	09/25/08	02/10/11	3,561.53	2,044.41	1,517.12
70.000	ILLUMINA INC SYMBOL: ILMN, CUSIP: 452327109	☒	10/31/08	02/10/11	4,986.14	2,212.06	2,774.08
120 000	ILLUMINA INC SYMBOL: ILMN, CUSIP: 452327109	☒	07/22/09	02/10/11	8,547.67	4,274.40	4,273.27
320 000	JARDEN CORPORATION SYMBOL: JAH, CUSIP: 471109108	☒	09/04/09	02/10/11	11,236.01	7,830.26	3,405.75
60.000	JB HUNT TRANSPORT SERVICES INC SYMBOL: JBHT, CUSIP: 445658107	☒	04/28/08	01/28/11	2,487.08	2,027.32	459.76
150.000	JB HUNT TRANSPORT SERVICES INC SYMBOL: JBHT, CUSIP: 445658107	☒	04/23/09	01/28/11	6,217.71	4,130.76	2,086.95
160 000	J2 GLOBAL COMMUNICATIONS INC COM NEW	☒	03/06/07	02/10/11	4,604.21	3,903.75	700.46
280 000	J2 GLOBAL COMMUNICATIONS INC COM NEW	☒	02/13/07	02/10/11	8,057.38	6,707.00	1,350.38

PER ASTRID HEIDENREICH FAM
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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
510.000	KNIGHT TRANSPORTATION INC SYMBOL: KNX, CUSIP: 499064103	☒	07/27/09	02/10/11	9,256.37	9,260.22	-3.85
50 000	MEDASSETS INC SYMBOL: MDAS, CUSIP: 584045108	☒	06/09/09	02/10/11	1,002.48	800 16	202 32
200 000	MEDASSETS INC SYMBOL: MDAS, CUSIP: 584045108	☒	06/09/09	02/10/11	3,994.92	3,200.65	794 27
200.000	MEDASSETS INC SYMBOL: MDAS, CUSIP: 584045108	☒	06/09/09	02/10/11	4,006.02	3,200 65	805 37
110 000	MSC INDUSTRIAL DIRECT CO INC CL A SYMBOL: MSM, CUSIP: 553530106	☒	07/31/09	02/10/11	6,831.36	4,363.85	2,467.51
190 000	MSC INDUSTRIAL DIRECT CO INC CL A SYMBOL: MSM, CUSIP: 553530106	☒	07/06/09	02/10/11	11,799 63	6,733 10	5,066 53
210 000	O REILLY AUTOMOTIVE INC SYMBOL: ORLY, CUSIP: 67103H107	☒	02/02/10	02/10/11	12,064 17	8,167 18	3,896 99
330.000	PETROHAWK ENERGY CORPORATION	☒	02/26/09	02/10/11	6,717.37	6,435 25	282 12
60 000	PORTFOLIO RECOVERY ASSOCIATES INC SYMBOL: PRAA, CUSIP: 73640Q105	☒	07/16/07	02/10/11	4,624.90	3,867 40	757.50
50 000	PORTFOLIO RECOVERY ASSOCIATES INC SYMBOL: PRAA, CUSIP: 73640Q105	☒	08/09/07	02/10/11	3,854.08	2,875.66	978.42
210.000	PORTFOLIO RECOVERY ASSOCIATES INC SYMBOL: PRAA, CUSIP: 73640Q105	☒	02/28/08	02/10/11	16,187.14	8,015.14	8,172.00
180.000	RESMED INC SYMBOL: RMD, CUSIP: 761152107	☒	09/25/09	01/27/11	5,939.55	3,968 22	1,971 33
40.000	RESMED INC SYMBOL: RMD, CUSIP: 761152107	☒	01/23/09	02/10/11	1,291 25	779.14	512.11
140 000	RESMED INC SYMBOL: RMD, CUSIP: 761152107	☒	01/23/09	02/10/11	4,519 39	2,726.99	1,792.41
200 000	RESMED INC	☒	01/23/09	02/10/11	6,456.29	3,895 71	2,560 58

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	SYMBOL: RMD, CUSIP: 761152107						
260 000	RITCHIE BROS AUCTIONEERS INC SYMBOL: RBA, CUSIP: 767744105	☒	07/10/08	02/10/11	6,718.35	6,833.58	-115.23
170.000	RITCHIE BROS AUCTIONEERS INC SYMBOL: RBA, CUSIP: 767744105	☒	09/26/08	02/10/11	4,392.77	3,991.06	401.71
60 000	ROPER INDUSTRIES INC NEW SYMBOL: ROP, CUSIP: 776696106	☒	04/30/09	02/10/11	4,980.57	2,753.90	2,226.67
150 000	ROPER INDUSTRIES INC NEW SYMBOL: ROP, CUSIP: 776696106	☒	03/20/08	02/10/11	12,451.42	8,442.78	4,008.64
2 000	SILICON LABORATORIES INC SYMBOL: SLAB, CUSIP: 826919102	☒	02/12/07	02/10/11	90.85	62.75	28.10
8 000	SILICON LABORATORIES INC SYMBOL: SLAB, CUSIP: 826919102	☒	02/12/07	02/10/11	363.36	250.99	112.36
100.000	SILICON LABORATORIES INC SYMBOL: SLAB, CUSIP: 826919102	☒	02/12/07	02/10/11	4,527.91	3,137.42	1,390.49
160 000	SILICON LABORATORIES INC SYMBOL: SLAB, CUSIP: 826919102	☒	03/06/07	02/10/11	7,267.14	4,760.60	2,506.54
250.000	SOLERA HOLDINGS INC SYMBOL: SLH, CUSIP: 83421A104	☒	10/23/09	02/10/11	13,019.77	8,401.63	4,618.14
70 000	SOUTHWESTERN ENERGY CO SYMBOL: SWN, CUSIP: 845467109	☒	10/23/09	02/10/11	2,594.47	3,353.72	-759.25
200 000	SOUTHWESTERN ENERGY CO SYMBOL: SWN, CUSIP: 845467109	☒	12/18/08	02/10/11	7,412.76	5,174.86	2,237.90
90 000	STERICYCLE INC SYMBOL: SRCL, CUSIP: 858912108	☒	08/14/08	02/03/11	6,976.42	5,404.85	1,571.57
30 000	STERICYCLE INC SYMBOL: SRCL, CUSIP: 858912108	☒	08/14/08	02/10/11	2,531.34	1,801.62	729.72
30.000	STERICYCLE INC SYMBOL: SRCL, CUSIP: 858912108	☒	07/16/08	02/10/11	2,531.33	1,616.99	914.34

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
90.000	STERICYCLE INC SYMBOL. SRCL, CUSIP: 858912108	☒	07/16/08	02/10/11	7,594.01	4,850.96	2,743.05
90.000	STERICYCLE INC SYMBOL. SRCL, CUSIP: 858912108	☒	09/25/09	02/10/11	7,594.01	4,299.72	3,294.29
25.000	STRAYER EDUCATION INC SYMBOL. STRA, CUSIP: 863236105	☒	02/19/08	02/07/11	2,962.61	4,212.56	-1,249.96
20.000	STRAYER EDUCATION INC SYMBOL. STRA, CUSIP: 863236105	☒	03/18/09	02/07/11	2,370.08	3,220.00	-849.92
100.000	SXC HEALTH SOLUTIONS CORP SYMBOL. SXCI, CUSIP: 78505P100	☒	01/22/10	02/10/11	5,138.90	2,467.85	2,671.05
260.000	SXC HEALTH SOLUTIONS CORP SYMBOL. SXCI, CUSIP: 78505P100	☒	01/22/10	02/10/11	13,343.80	6,416.42	6,927.38
190.000	TRANSDIGM GROUP INCORPORATED SYMBOL: TDG, CUSIP: 893641100	☒	07/08/09	02/10/11	15,559.02	7,075.34	8,483.68
380.000	TRIMBLE NAVIGATION LTD SYMBOL: TRMB, CUSIP: 896239100	☒	10/28/09	02/10/11	18,118.43	8,109.04	10,009.39
160.000	ULTRA PETROLEUM CORP SYMBOL: UPL, CUSIP: 903914109	☒	08/09/07	02/10/11	7,573.98	9,368.78	-1,794.80
100.000	WMS INDUSTRIES INC SYMBOL: WMS, CUSIP: 929297109	☒	07/24/09	02/10/11	4,275.94	3,685.64	590.30
100.000	WMS INDUSTRIES INC SYMBOL: WMS, CUSIP: 929297109	☒	07/24/09	02/10/11	4,290.93	3,685.64	605.29
Long-Term Subtotal					567,033.66	383,793.10	183,240.56
Total Gains and Losses					911,598.53		238,040.92
Short-Term							54,800.36
Long-Term							183,240.56
Term Unknown							0.00

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2011 ANNUAL STATEMENT SUMMARY

In the past, under the Proceeds from Broker and Barter Exchange Transactions section, only the gross proceeds of the sale was reported to the IRS. However, beginning with the 2011 tax reporting year for all sales of positions considered covered securities, new legislation also requires reporting to the IRS the adjusted cost basis for each tax lot transactional sale. Any sale of a security that is not considered a covered security will still be included in this section with its adjusted cost basis (where available) but will not be transmitted to the IRS. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. The gain (loss) information may not include return of capital, sales load deferral or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains after the mailing of this statement. The Cost Basis for these securities may not have yet been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B

2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Transaction Quantity Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES							
NONCOVERED TRANSACTIONS							
ANHEUSER-BUSCH INBEV WOR COMPANY GUARNT GLB 03.000% OCT 15 2012 19000.0000 Sale	03/03/11	12/13/11	19,345.42	19,283.03	0.00	62.39	
BOTTLING GROUP LLC COMPANY GUARNT GLB 04.625% NOV 15 2012 18000.0000 Sale	03/03/11	12/13/11	18,677.16	18,620.15	0.00	57.01	
GENERAL ELEC CAP CORP SER MTNA GLB 05.875% FEB 15 2012 60000.0000 Sale	03/04/11	08/18/11	61,530.60	61,550.65	0.00	(20.05)	
HEWLETT-PACKARD CO GLB 02.250% MAY 27 2011 19000.0000 Redemption	03/03/11	05/27/11	19,000.00	19,000.00	0.00	0.00	
CVS CORP 05 750% AUG 15 2011 9000.0000 Redemption	03/03/11	08/15/11	9,000.00	9,000.00	0.00	0.00	

THE PER AND ASTRID HEIDENREICH

2011 ANNUAL STATEMENT SUMMARY
2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

Quantity	Transaction Description	Date Acquired Cover of Short	CUSIP Number	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
CAPITAL ONE BANK									
SER MTN									
05.700%	SEP 15 2011								
10000.0000	Redemption	03/03/11		09/15/11	10,000.00	10,000.00	0.00	0.00	
CELLCO PART/VERI WIRELESS									
GLB									
03.750%	MAY 20 2011								
19000.0000	Redemption	03/03/11		05/20/11	19,000.00	19,000.00	0.00	0.00	
GENERAL ELEC CAP CORP									
GLB									
02.100%	JAN 07 2014								
29000.0000	Sale	08/18/11		12/13/11	29,381.93	29,275.17	0.00	106.76	
JP MORGAN CHASE & CO									
GLB									
05.375%	OCT 01 2012								
27000.0000	Sale	03/03/11		12/13/11	27,980.37	27,887.33	0.00	93.04	
Pfizer Inc									
4.45%	DUE 3/15/2012								
19000.0000	Sale	03/03/11		11/29/11	19,199.88	19,218.34	0.00	(18.46)	
Regions Bank									
FDIC TLGP GUARANTEED									
03.250%	DEC 09 2011								
59000.0000	Sale	03/03/11		10/18/11	59,247.80	59,236.13	0.00	11.67	
TEVA PHARMA FIN III LLC									
COMPANY GUARNT GLB									
01.500%	JUN 15 2012								
20000.0000	Sale	03/03/11		12/01/11	20,093.00	20,057.10	0.00	35.90	
TIME WARNER CABLE INC									
COMPANY GUARNT GLB									
05.400%	JUL 02 2012								
18000.0000	Sale	03/03/11		11/29/11	18,465.30	18,444.58	0.00	20.72	

THE PER AND ASTRID HEIDENREICH

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2011 ANNUAL STATEMENT SUMMARY
2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

Transaction Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
SHELL INTERNATIONAL FIN COMPANY GUARNT GLB								
01.300% SEP 22 2011								
39000.0000 Sale		03/04/11	05/04/11	39,152.10	39,144.60	0.00	7.50	
FEDERAL HOME LN MTG CORP								
02.125% MAR 23 2012								
19000.0000 Sale		03/03/11	08/18/11	19,215.10	19,198.97	0.00	16.13	
58000.0000 Sale		03/03/11	12/13/11	58,325.96	58,280.62	0.00	45.34	
Security Subtotal				77,541.06	77,479.59	0.00	61.47	
US BANCORP								
SER MTN								
01.375% SEP 13 2013								
20000.0000 Sale		03/03/11	10/26/11	20,210.60	19,714.40	0.00	496.20	
U.S. TREASURY NOTE								
1.375% FEB 15 2012								
69000.0000 Sale		03/03/11	05/04/11	69,641.25	69,562.63	0.00	78.62	
U.S. TREASURY NOTE								
1.500% JUL 15 2012								
01.500% JUL 15 2012								
98000.0000 Sale		03/03/11	10/18/11	98,979.67	98,736.17	0.00	243.50	
U.S. TREASURY NOTE								
1.375% NOV 15 2012								
01.375% NOV 15 2012								
79000.0000 Sale		03/03/11	10/26/11	79,981.06	79,589.29	0.00	391.77	
29000.0000 Sale		03/03/11	11/29/11	29,339.75	29,198.20	0.00	141.55	
Security Subtotal				109,320.81	108,787.49	0.00	533.32	
U.S. TREASURY NOTE								
1.125% DEC 15 2012								
01.125% DEC 15 2012								
23000.0000 Sale		03/03/11	11/29/11	23,228.13	23,101.62	0.00	126.51	
104000.0000 Sale		03/03/11	12/13/11	105,007.15	104,442.61	0.00	564.54	
Security Subtotal				128,235.28	127,544.23	0.00	691.05	



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THE PER AND ASTRID HEIDENREICH

2011 ANNUAL STATEMENT SUMMARY

1099-B 2011 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

Quantity	Transaction Description	Date Acquired Cover of Short	Date Liquidated Short Sale	Amount	Cost Basis	Adjustments	Gain or (Loss)	Remarks
	FEDERAL NATL MTG ASSOC	CUSIP Number	31398AUU4					
	NOTES							
	02.000% JAN 09 2012							
	68000.0000 Sale	03/03/11	05/04/11	68,822.12	68,779.25	0.00	42.87	
	20000.0000 Sale	03/03/11	10/18/11	20,083.00	20,074.51	0.00	8.49	
	Security Subtotal			88,905.12	88,853.76	0.00	51.36	

Noncovered Short Term Capital Gains and Losses Subtotal

2,512.00

NET SHORT TERM CAPITAL GAINS AND LOSSES

2,512.00

TOTAL CAPITAL GAINS AND LOSSES
TOTAL REPORTED SALES PROCEEDS

962,907.35
962,907.35

2011 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
0.00	2,512.00	0.00	0.00

REALIZED COST BASIS INFORMATION FOR SECURITIES SUBJECT TO AMORTIZATION/ACCRETION AS OF DECEMBER 31, 2011

Security Description	Quantity	Date Acquired	Adjusted Cost Basis	Amortization/Accretion Year-To-Date	Life-To-Date	Sales Price
ANHEUSER-BUSCH INBEV WOR	19000 0000	03/03/11	19,283.03	(260.94)	(260.94)	19,345.42
BOTTLING GROUP LLC	18000.0000	03/03/11	18,620.15	(520.69)	(520.69)	18,677.16
GENERAL ELEC CAP CORP	60000 0000	03/04/11	61,550.65	(1,475.75)	(1,475.75)	61,530.60
HEWLETT-PACKARD CO	19000 0000	03/03/11	19,000.00	(85.50)	(85.50)	19,000.00
CVS CORP	9000.0000	03/03/11	9,000.00	(204.30)	(204.30)	9,000.00

FDTN BLACKROCK

Account Number: 7EG-04999

24-Hour Assistance: (800) MERRILL
Access Code: 59-734-04999

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

CASH/MONEY ACCOUNTS		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Est. Annual Yield%
Description									
CASH		0.77	0.77		.77				
BIF MONEY FUND		23,757.00	23,757.00	1.0000	23,757.00			2	.01
TOTAL			23,757.77		23,757.77			2	.01
GOVERNMENT AND AGENCY SECURITIES		Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Estimated Current Yield%
Description	Acquired								
Δ INTL BK RECON & DEVELOP NOTES 02.000% APR 02 2012 MOODY'S: AAA S&P: AAA< CUSIP: 459058AH6 ORIGINAL UNIT/TOTAL COST: 101.7650/38,670.70	03/03/11	38,000	38,159.09	100.4570	38,173.66	14.57	185.78	760	1.99
Δ FEDERAL HOME LN MTG CORP NOTES 01.750% JUN 15 2012 MOODY'S: AAA S&P: AA+ CUSIP: 3137EACC1 ORIGINAL UNIT/TOTAL COST: 101.6300/118,907.10	03/03/11	117,000	117,685.54	100.7390	117,864.63	179.09	85.31	2,048	1.73
Δ FEDERAL NATL MTG ASSOC NOTES 04.375% MAR 15 2013 MOODY'S: AAA S&P: AA+ CUSIP: 31359MRG0 ORIGINAL UNIT/TOTAL COST: 106.9095/99,425.84	03/03/11	93,000	96,849.34	104.9000	97,557.00	707.66	1,186.72	4,069	4.17
Δ U.S. TREASURY NOTE 1.750% APR 15 2013 01.750% APR 15 2013 MOODY'S: AAA S&P: *** CUSIP: 912828MX5 ORIGINAL UNIT/TOTAL COST: 101.9026/110,054.89	03/03/11	108,000	109,263.21	101.9840	110,142.72	879.51	392.46	1,890	1.71
Δ U.S. TREASURY NOTE 0.625% APR 30 2013 00.625% APR 30 2013 MOODY'S: AAA S&P: *** CUSIP: 912828QE3 ORIGINAL UNIT/TOTAL COST: 100.0667/90,060.07	05/04/11	90,000	90,040.58	100.5820	90,523.80	483.22	92.72	563	.62

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FDTN BLACKROCK

Account Number: 7EG-04999

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

GOVERNMENT AND AGENCY SECURITIES * (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ FEDERAL NATL MTG ASSOC									
NOTES 01.750% MAY 07 2013	03/03/11	39,000	39,397.87	101.8750	39,731.25	333.38	100.48	683	1.71
MOODY'S: AAA S&P: AA+ CUSIP: 31398A/94									
ORIGINAL UNIT/TOTAL COST: 101.6292/39,635.39									
Δ U.S. TREASURY NOTE									
1.125% JUN 15 2013 01.125% JUN 15 2013	03/03/11	138,000	138,381.75	101.3160	139,816.08	1,434.33	63.63	1,553	1.11
MOODY'S: AAA S&P: *** CUSIP: 912828NH9									
ORIGINAL UNIT/TOTAL COST: 100.4300/138,593.43									
Δ U.S. TREASURY NOTE									
1.000% JUL 15 2013 01.000% JUL 15 2013	03/03/11	138,000	138,053.38	101.1910	139,643.58	1,590.20	630.00	1,390	.98
MOODY'S: AAA S&P: *** CUSIP: 912828NN6									
ORIGINAL UNIT/TOTAL COST: 100.0589/138,081.32									
U.S. TREASURY NOTE									
0.750% SEP 15 2013 00.750% SEP 15 2013	03/03/11	99,000	98,265.57	100.8550	99,846.45	1,580.88	216.22	743	.74
MOODY'S: AAA S&P: *** CUSIP: 912828NY2									
Δ FEDERAL NATL MTG ASSOC									
NOTES SR 11 01.250% FEB 27 2014	10/18/11	20,000	20,283.21	101.4460	20,289.20	5.99	85.42	250	1.23
MOODY'S: AAA S&P: AA+ CUSIP: 3135G0AP8									
ORIGINAL UNIT/TOTAL COST: 101.5430/20,308.60									
Δ U.S. TREASURY NOTE									
1.250% APR 15 2014 01.250% APR 15 2014	05/04/11	120,000	120,741.33	102.1640	122,596.80	1,855.47	311.47	1,500	1.22
MOODY'S: AAA S&P: *** CUSIP: 912828QC7									
ORIGINAL UNIT/TOTAL COST: 100.7894/120,947.28									
Δ U.S. TREASURY NOTE									
0.500% OCT 15 2014 00.500% OCT 15 2014	10/18/11	101,000	101,103.65	100.4140	101,418.14	314.49	104.86	505	.49
MOODY'S: AAA S&P: *** CUSIP: 912828RL6									
ORIGINAL UNIT/TOTAL COST: 100.1097/101,110.81									

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FDTN BLACKROCK

Account Number: 7EG-04999

24-Hour Assistance: (800) MERRILL
Access Code: 59-734-04999

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ U.S. TREASURY NOTE 0.375% NOV 15 2014 00.375% NOV 15 2014 MOODY'S: AAA S&P: *** CUSIP: 912828RQ5 ORIGINAL UNIT/TOTAL COST: 100.0550/160,088.03	12/13/11	160,000	160,086.79	100.0630	160,100.80	14.01	74.17	600	.37
TOTAL		1,261,000	1,268,311.31		1,277,704.11	9,392.80	3,529.24	16,544	1.29
CORPORATE BONDS									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ BELLSOUTH CORP 04.750% NOV 15 2012 MOODY'S: *** S&P: A- CUSIP: 079860AJ1 ORIGINAL UNIT/TOTAL COST: 106.2600/28,690.20	03/03/11	27,000	27,877.49	103.3480	27,903.96	26.47	160.31	1,283	4.59
Δ PRUDENTIAL FINANCIAL INC SER MTN 02.750% JAN 14 2013 MOODY'S: BAA2 S&P: A CUSIP: 744320BK0 ORIGINAL UNIT/TOTAL COST: 102.0560/20,411.20	03/03/11	20,000	20,231.73	100.8620	20,172.40	(59.33)	253.61	550	2.72
Δ BERKSHIRE HATHAWAY INC SER 0001 GLB 02.125% FEB 11 2013 MOODY'S: AA2 S&P: AA+ CUSIP: 084670AJ2 ORIGINAL UNIT/TOTAL COST: 102.2550/20,451.00	03/03/11	20,000	20,261.25	101.6950	20,339.00	77.75	164.10	425	2.08
Δ ONTARIO PROVINCE CANADA GLB 04.375% FEB 15 2013 MOODY'S: AA1 S&P: AA- CUSIP: 683234SJ8 ORIGINAL UNIT/TOTAL COST: 106.5090/19,171.62	03/03/11	18,000	18,681.61	104.0060	18,721.08	39.47	295.31	788	4.20
Δ CITIGROUP INC GLB 05.500% APR 11 2013 MOODY'S: A3 S&P: A- CUSIP: 172967EQ0 ORIGINAL UNIT/TOTAL COST: 107.0970/19,277.46	03/03/11	18,000	18,786.24	102.0920	18,376.56	(409.68)	217.25	990	5.38

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YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

Corporate Bonds (continued)	Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ VERIZON COMMUNICATIONS GLB 05.250% APR 15 2013 MOODY'S: A3 S&P: A+ CUSIP: 92343VAN4 ORIGINAL UNIT/TOTAL COST: 108,3570/9,752.13		03/03/11	9,000	9,463.11	105.5230	9,497.07	33.96	98.44	473	4.97
Δ ALLSTATE LF GLB FN TRST SER MTN GLB 05.375% APR 30 2013 MOODY'S: A1 S&P: A+ CUSIP: 02003MBQ6 ORIGINAL UNIT/TOTAL COST: 108,2140/19,478.52		03/03/11	18,000	18,922.40	105.5930	19,006.74	84.34	161.25	968	5.09
Δ WAL-MART STORES GLB 04.550% MAY 01 2013 MOODY'S: AA2 S&P: AA CUSIP: 931142BT9 ORIGINAL UNIT/TOTAL COST: 107,4970/19,349.46		03/03/11	18,000	18,841.12	105.5450	18,998.10	156.98	134.22	819	4.31
Δ AMERICAN EXPRESS SER MTN 05.875% MAY 02 2013 MOODY'S: A2 S&P: BBB+ CUSIP: 0258MOCW7 ORIGINAL UNIT/TOTAL COST: 108,3590/19,504.62		03/03/11	18,000	18,941.62	105.1150	18,920.70	(20.92)	170.37	1,058	5.58
Δ KRAFT FOODS INC GLB 02.625% MAY 08 2013 MOODY'S: BAA2 S&P: BBB- CUSIP: 50075NAY0 ORIGINAL UNIT/TOTAL COST: 102,3310/19,442.89		10/26/11	19,000	19,393.86	102.1630	19,410.97	17.11	72.04	499	2.56
Δ TIME WARNER CABLE INC COMPANY GUARNT GLB 06.200% JUL 01 2013 MOODY'S: BAA2 S&P: BBB CUSIP: 88732JAK4 ORIGINAL UNIT/TOTAL COST: 107,6940/20,461.86		11/29/11	19,000	20,387.88	107.3070	20,388.33	.45	585.73	1,178	5.77
Δ GOLDMAN SACHS GROUP INC GLB 04.750% JUL 15 2013 MOODY'S: A1 S&P: A+ CUSIP: 38141GDK7 ORIGINAL UNIT/TOTAL COST: 106,4130/20,218.47		03/03/11	19,000	19,802.99	101.3230	19,251.37	(551.62)	413.65	903	4.68

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24-Hour Assistance: (800) MERRILL
Access Code: 59-734-04999

YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

CORPORATE BONDS (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ HSBC FINANCE CORP GLB 04.750% JUL 15 2013 MOODY'S: A3 S&P: A CUSIP: 441812KD5 ORIGINAL UNIT/TOTAL COST: 104.3440/19,825.36	08/18/11	19,000	19,673.06	102.1140	19,401.66	(271.40)	413.65	903	4.65
Δ MICROSOFT CORP GLB 00.875% SEP 27 2013 MOODY'S: AAA S&P: AAA CUSIP: 594918AF1 ORIGINAL UNIT/TOTAL COST: 101.1130/20,222.60	10/26/11	20,000	20,203.20	100.8680	20,173.60	(29.60)	45.21	175	.86
Δ METLIFE INC. 05.000% NOV 24 2013 MOODY'S: A3 S&P: A CUSIP: 59156RAG3 ORIGINAL UNIT/TOTAL COST: 106.6620/21,332.40	11/29/11	20,000	21,278.76	106.5290	21,305.80	27.04	100.00	1,000	4.69
Δ GENERAL ELEC CAP CORP GLB 02.100% JAN 07 2014 MOODY'S: AA2 S&P: AA+ CUSIP: 36962G4X9 ORIGINAL UNIT/TOTAL COST: 101.0910/31,338.21	08/18/11	31,000	31,288.30	101.4970	31,464.07	175.77	312.84	651	2.06
Δ PFIZER INC 04.500% FEB 15 2014 MOODY'S: A1 S&P: AA CUSIP: 717081AR4 ORIGINAL UNIT/TOTAL COST: 108.1720/20,552.68	11/29/11	19,000	20,496.27	107.8120	20,484.28	(11.99)	320.63	855	4.17
Δ ROGERS WIRELESS INC COMPANY GUARNT GLB 06.375% MAR 01 2014 MOODY'S: BAA1 S&P: BBB- CUSIP: 77531QAD0 ORIGINAL UNIT/TOTAL COST: 111.3520/20,043.36	10/26/11	18,000	19,899.49	110.2630	19,847.34	(52.15)	379.31	1,148	5.78
Δ VERIZON COMMUNICATIONS GLB 01.950% MAR 28 2014 MOODY'S: A3 S&P: A CUSIP: 92343VBA1 ORIGINAL UNIT/TOTAL COST: 102.1570/20,431.40	08/18/11	20,000	20,372.99	102.0660	20,413.20	40.21	99.67	390	1.91

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FDTN BLACKROCK

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YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

CORPORATE BONDS (continued)	Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ NBC UNIVERSAL	GLB 02.100% APR 01 2014	10/18/11	20,000	20,326.77	101.6480	20,329.60	2.83	103.83	420	2.06
	MOODY'S: BAA2 S&P: BBB+ CUSIP: 63946BAA8									
	ORIGINAL UNIT/TOTAL COST: 101.7730/20,354.60									
Δ BP CAPITAL MARKETS PLC	3.625% DUE 5/8/2014	10/18/11	38,000	39,933.39	105.0840	39,931.92	(1.47)	198.97	1,378	3.44
	MOODY'S: A2 S&P: A- CUSIP: 05565QBL1									
	ORIGINAL UNIT/TOTAL COST: 105.5020/40,090.76									
Δ GOOGLE INC	GLB 01.250% MAY 19 2014	10/18/11	20,000	20,265.13	101.5050	20,301.00	35.87	28.47	250	1.23
	MOODY'S: AA2 S&P: AA- CUSIP: 38259PAA0									
	ORIGINAL UNIT/TOTAL COST: 101.4330/20,286.60									
Δ USD BARRICK GOLD COR	SER WI VAR% MAY 30 2014	10/18/11	20,000	20,233.09	100.9860	20,197.20	(35.89)	29.17	350	1.73
	MOODY'S: BAA1 S&P: A- CUSIP: 067901AE8									
	ORIGINAL UNIT/TOTAL COST: 101.2580/20,251.60									
Δ JPMORGAN CHASE & CO	04.650% JUN 01 2014	12/13/11	20,000	21,061.73	105.5890	21,117.80	56.07	74.92	930	4.40
	MOODY'S: AA3 S&P: A CUSIP: 46625HHN3									
	ORIGINAL UNIT/TOTAL COST: 105.3980/21,079.60									
Δ ANHEUSER-BUSCH INBEV WOR	COMPANY GUARNT 01.500% JUL 14 2014	08/18/11	20,000	20,237.21	100.7150	20,143.00	(94.21)	138.33	300	1.48
	MOODY'S: BAA1 S&P: A- CUSIP: 03523TBL1									
	ORIGINAL UNIT/TOTAL COST: 101.3500/20,270.00									
Δ ANHEUSER-BUSCH INBEV WOR	12/13/11	20,000	20,184.41	100.7150	20,143.00	(41.41)		138.33	300	1.48
	ORIGINAL UNIT/TOTAL COST: 100.9370/20,187.40									
	Subtotal		40,000	40,421.62		40,286.00	(135.62)	276.66	600	1.48

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YOUR EMA ASSETS

December 01, 2011 - December 30, 2011

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
CAPITAL ONE FINANCIAL CO GLB 02.125% JUL 15 2014 MOODY'S: BAA1 S&P: BBB CUSIP: 14040HAV7	08/18/11	20,000	19,943.60	98.7180	19,743.60	(200.00)	190.07	425	2.15
XL CAPITAL LTD GLB 05.250% SEP 15 2014 MOODY'S: BAA2 S&P: BBB+ CUSIP: 98372PAF5 ORIGINAL UNIT/TOTAL COST: 105.3260/21,065.20	12/13/11	20,000	21,049.63	105.7510	21,150.20	100.57	306.25	1,050	4.96
DIRECTV HOLDINGS/FING COMPANY GUARNT GLB 04.750% OCT 01 2014 MOODY'S: BAA2 S&P: BBB CUSIP: 25459HAL9 ORIGINAL UNIT/TOTAL COST: 108.9610/19,612.98	10/26/11	18,000	19,522.67	108.0160	19,442.88	(79.79)	211.37	855	4.39
TEVA PHARMA FIN IV LLC COMPANY GUARNT GLB 01.700% NOV 10 2014 MOODY'S: A3 S&P: A- CUSIP: 88166HAA5 ORIGINAL UNIT/TOTAL COST: 100.2870/40,114.80	12/01/11	40,000	40,112.13	100.7730	40,309.20	197.07	94.44	680	1.68
BHP BILLTON FIN USA LTD COMPANY GUARNT GLB 01.125% NOV 21 2014 MOODY'S: A1 S&P: A+ CUSIP: 055451AU7 ORIGINAL UNIT/TOTAL COST: 100.3800/20,076.00	12/13/11	20,000	20,074.94	100.2350	20,047.00	(27.94)	24.38	225	1.12
COX COMMUNICATIONS INC GLB 05.450% DEC 15 2014 MOODY'S: BAA2 S&P: BBB CUSIP: 224044BM8 ORIGINAL UNIT/TOTAL COST: 111.6190/20,091.42	10/26/11	18,000	19,980.37	111.0030	19,980.54	.17	40.87	981	4.90
DR PEPPER SNAPPLE GROUP COMPANY GUARNT GLB 02.900% JAN 15 2016 MOODY'S: BAA1 S&P: BBB CUSIP: 26138EAM1 ORIGINAL UNIT/TOTAL COST: 103.6000/20,720.00	12/13/11	20,000	20,712.91	103.7120	20,742.40	29.49	265.83	580	2.79
TOTAL		684,000	708,441.35		707,655.57	(785.78)	6,242.82	23,780	3.36

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