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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year **2011** or tax year beginning , **2011**, and ending , **20**

Name of foundation ROOP FAMILY FOUNDATION, INC.		A Employer identification number 20-5886802
Number and street (or P O box number if mail is not delivered to street address) 101 N. MAIN STREET 2ND FLOOR		B Telephone number (see instructions) (859) 288-4633
City or town, state, and ZIP code VERSAILLES, KY 40383		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,336,571.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	46,410.	46,158.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	39,564.			
	b Gross sales price for all assets on line 6a 703,906.				
	7 Capital gain net income (from Part IV, line 2)		39,564.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	85,974.	85,722.		
	13 Compensation of officers, directors, trustees, etc.	7,919.	7,919.		
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	700.	700.	NONE	NONE
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,908.	322.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	Travel, conferences, and meetings		NONE	NONE	
	Printing and publications		NONE	NONE	
	Other expenses (attach schedule)				
	Total operating and administrative expenses. Add lines 13 through 23	10,527.	8,941.	NONE	NONE
	25 Contributions, gifts, grants paid	60,000.			60,000.
	26 Total expenses and disbursements. Add lines 24 and 25	70,527.	8,941.	NONE	60,000.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	15,447.			
	b Net investment income (if negative, enter -0-)		76,781.		
	c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	46,250.	92,728.	92,728.	
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule), .				
	b	Investments - corporate stock (attach schedule) . STMT 3 .	402,177.	359,568.	376,965.	
	c	Investments - corporate bonds (attach schedule) . STMT 5 .	810,062.	821,631.	866,878.	
	11	Investments - land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans				
	13	Investments - other (attach schedule)				
	14	Land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	1,258,489.	1,273,927.	1,336,571.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons .				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds	1,258,489.	1,273,927.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds . .					
30	Total net assets or fund balances (see instructions)	1,258,489.	1,273,927.			
31	Total liabilities and net assets/fund balances (see instructions)	1,258,489.	1,273,927.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,258,489.
2	Enter amount from Part I, line 27a	2	15,447.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,273,936.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	9.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,273,927.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 703,388.		664,342.	39,046.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a			39,046.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	39,564.	
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div>					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 </div> </div>					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	17,500.	1,278,363.	0.013689
2009	57,033.	1,189,399.	0.047951
2008	284,407.	1,243,285.	0.228754
2007	374,452.	1,511,935.	0.247664
2006	NONE	1,823,410.	NONE
2 Total of line 1, column (d)			2 0.538058
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.107612
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 1,339,562.
5 Multiply line 4 by line 3			5 144,153.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 768.
7 Add lines 5 and 6			7 144,921.
8 Enter qualifying distributions from Part XII, line 4			8 60,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.			
Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,536.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	1,536.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,536.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,204.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,204.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	332.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities.		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T.		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► KY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of SEE STATEMENT 8	Telephone no.		
Located at		ZIP + 4		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here	15		
and enter the amount of tax-exempt interest received or accrued during the year				
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No**5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No**6b**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		7,919.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ NONE

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,295,006.
b	Average of monthly cash balances	1b	64,955.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	1,359,961.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,359,961.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	20,399.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,339,562.
6	Minimum investment return. Enter 5% of line 5	6	66,978.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	66,978.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,536.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,536.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	65,442.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	65,442.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	65,442.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	60,000.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	60,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	60,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				65,442.
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only			NONE	
b Total for prior years: 20 <u>09</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	NONE			
b From 2007	239,835.			
c From 2008	223,429.			
d From 2009	NONE			
e From 2010	NONE			
f Total of lines 3a through e	463,264.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ <u>60,000.</u>				
a Applied to 2010, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2011 distributable amount				60,000.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	5,442.			5,442.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	457,822.			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	457,822.			
10 Analysis of line 9:				
a Excess from 2007	234,393.			
b Excess from 2008	223,429.			
c Excess from 2009	NONE			
d Excess from 2010	NONE			
e Excess from 2011	NONE			

NOT APPLICABLE

- 4942(j)(5)**

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
a Paid during the year SEE STATEMENT 10				
Total			▶ 3a	60,000.
b Approved for future payment				
Total			▶ 3b	

FORM 990PF, PART I - ACCOUNTING FEES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	700.	700.		
TOTALS	700.	700.	NONE	NONE
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FOREIGN TAXES	253.	253.
FEDERAL TAX PAYMENT - PRIOR YE	382.	
FEDERAL ESTIMATES - INCOME	903.	
FEDERAL ESTIMATES - PRINCIPAL	301.	
FOREIGN TAXES ON QUALIFIED FOR	67.	67.
FOREIGN TAXES ON NONQUALIFIED	2.	2.
	-----	-----
TOTALS	1,908.	322.
	=====	=====

ROOP FAMILY FOUNDATION, INC.

20-5886802

FORM 990PF, PART II - CORPORATE STOCK

=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
3M CO	11,560.	12,260.
ABBOTT LABS	9,815.	11,246.
AEROPOSTALE	11,876.	7,625.
ALPHA NATURAL RESOURCES	5,007.	5,108.
ANNALY CAPITAL MANAGEMENT	8,921.	7,980.
ARCHER DANIELS	7,708.	8,580.
AT&T INC	6,304.	7,560.
BANK AMER CORP	4,908.	2,224.
BECTON DICKINSON	6,943.	7,472.
BERKSHIRE HATHAWAY INC-CLB	6,645.	7,630.
CABELA'S INC CL A	6,787.	12,710.
CISCO SYSTEMS INC	12,250.	10,848.
CLOUD PEAK ENERGY	5,062.	4,830.
CONOCOPHILLIPS	15,113.	18,218.
EXXON MOBIL CORP	17,601.	21,190.
FEDEX CORP	13,607.	12,527.
GENERAL ELECTRIC	12,376.	12,537.
GENERAL MOTORS CO	9,340.	8,108.
GOLDMAN SACHS GROUP INC	2,760.	2,261.
GOLDMAN SACHS GROUP M/C VAL	8,118.	12,249.
HARTFORD FINL SVCS GROUP INC	12,777.	8,125.
HEINZ HJ CO	5,989.	8,106.
JOHNSON & JOHNSON	12,868.	13,116.
JP MORGAN CHASE & CO	12,676.	9,975.
KIMBERLY-CLARK CORP	8,516.	9,195.
KRAFT FOODS INC CL A	7,816.	9,340.
LEAR CORP	9,731.	7,960.
MEDTRONIC INC	8,527.	9,563.
MICROSOFT	13,305.	12,980.

ROOP FAMILY FOUNDATION, INC.

20-5886802

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ----
PEPSICO INC	9,709.	9,953.
PROCTER & GAMBLE	11,834.	13,342.
PROGRESS ENERGY INC	8,719.	11,204.
STRYKER CORP	7,409.	7,457.
TARGET CORP	8,930.	10,244.
VERIZON COMMUNICATIONS	6,545.	8,024.
VISA	11,815.	15,230.
WALMART	7,812.	8,964.
WELLS FARGO & CO	11,889.	11,024.
	-----	-----
TOTALS	359,568.	376,965.
	=====	=====

ROOP FAMILY FOUNDATION, INC.

FORM 990PF, PART II - CORPORATE BONDS

20-5886802

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DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
ANHEUSER BUSCH 5.500%	16,260.	17,302.
BERKSHIRE HATHAWAY 5.400%	32,088.	34,987.
CATERP FIN SER MTN 4.50%	21,056.	23,380.
COMWLTH EDISON 5.800%	21,598.	23,612.
FHLMC GD PL #E02697	19,232.	19,413.
FHLMC GD PL#G11714 5.00%	21,585.	22,372.
FHLMC GD PL # J12989 3.500%	26,809.	27,063.
FHLMC SER 3634 CMO 4.250%	26,855.	26,895.
FHLMC SER 3816 CMO 4.500%	24,186.	25,481.
FNMA PL #AC5412 4.00%	25,300.	25,921.
FNMA PL #MS 0071 4.500%	22,700.	23,361.
FNMA PL #MA0818	29,654.	29,863.
FNMA SER 58 CMO 3.500%	16,710.	17,170.
FNMA SER 9 CMO 4.500% 1/25/40	27,224.	28,299.
GENERAL ELECTRIC O 5.250%	20,796.	22,955.
GNMA SER 14 CMO	21,018.	22,229.
GOLDMAN SACHS GRP 5.625%	20,515.	19,612.
JP MORGAN CHASE MTN 6%	21,457.	21,514.
KENTUCKY ASSET 4.104%	20,000.	21,796.
KENTUCKY ST PTY 4.961%	20,000.	22,482.
MCCRACKEN CNTY KY 6.00%	31,320.	33,349.
NORTH CAROLINA V-A 3.375%	30,930.	30,935.
PAN PACIFIC RET 5.250%	31,912.	31,799.
SIMON PROP GP	34,202.	34,440.
TIME WARNER CABLE 5.850%	21,682.	22,777.
VANGUARD S/T BOND INDEX	68,483.	68,078.
VANGUARD S/T INVEST GR -INV	57,179.	58,185.
WASHINGTON ST UNIV 4.833%	20,000.	22,795.
ACCENTURE PLC CL A	5,906.	10,646.

FORM 990PF, PART II - CORPORATE BONDS
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
COVIDIEN PLC	7,848.	9,002.
HARBOR INT;L FUND #11	13,540.	15,358.
NESTLE SA SPONS ADR	5,100.	8,657.
NOVARTIS AG	8,537.	8,576.
SANOFI SPONS ADR	5,849.	7,308.
TEVA PHARMACEUTICAL INDS LTD	7,459.	6,054.
UNILEVER N V -NY	7,050.	8,593.
VANGUARD INT'L GROWTH #81	9,591.	14,619.
	-----	-----
TOTALS	821,631.	866,878.
	=====	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
ROUNDING	9.
TOTAL	9.
	=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: COMMUNITY TRUST AND INVESTMENT COMPANY

ADDRESS: 346 NORTH MAYO TRAIL
PIKESVILLE, KY 41501

TELEPHONE NUMBER: (606) 437-3292

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

COMMUNITY TRUST AND INVESTMENT

ADDRESS:

346 NORTH MAYO TRAIL

PIKESVILLE, KY 41501

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40

COMPENSATION 7,919.

OFFICER NAME:

EARL E. ROOP

ADDRESS:

3710 BAYVIEW DRIVE

FT. LAUDERDALE, FL 33308

TITLE:

DIRECTOR AND PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

EARL E. ROOP, JR.

ADDRESS:

3554 CREEKWOOD DRIVE APT. #3

LEXINGTON, KY 40502

TITLE:

DIRECTOR AND SECRETARY

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

OFFICER NAME:

WILLIAM E. VENTERS, JR.

ADDRESS:

106 WALNUT DRIVE

PIKESVILLE, KY 41501

TITLE:

DIRECTOR AND TREASURER

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 5

TOTAL COMPENSATION:

7,919.

=====

=====

RECIPIENT NAME:

SOUTH FLORIDA CRIME COMMISSION

ADDRESS:

P O BOX 5038

LIGHTHOUSE POINT, FL 33074

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL CHARITABLE PURPOSES

FOUNDATION STATUS OF RECIPIENT:

501 (C) (3)

AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:

CALVARY BAPTIST CHURCH

ATTN: SUSAN WALLEN

ADDRESS:

P O BOX 424

BETSY LAYNE, KY 41605

RELATIONSHIP:

NONE

PURPOSE OF GRANT:

GENERAL CHARITABLE PURPOSES

FOUNDATION STATUS OF RECIPIENT:

501 (C) (3)

AMOUNT OF GRANT PAID 50,000.

TOTAL GRANTS PAID:

60,000.

=====

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2011

Name of estate or trust

ROOP FAMILY FOUNDATION, INC.

Employer identification number

20-5886802

Note: Form 5227 filers need to complete *only* Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo, day, yr.)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a SHORT-TERM CAPITAL GAIN DIVIDENDS					90.

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	2,776.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	2,866.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo, day, yr.)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					428.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	36,270.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	36,698.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		2,866.
14	Net long-term gain or (loss):			
a	Total for year	14a		36,698.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		39,564.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000
16	()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates	
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.	
Caution: Skip this part and complete the Schedule D Tax Worksheet in the instructions if: • Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.	
Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the Schedule D Tax Worksheet in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.	

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. <input type="checkbox"/> No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (.15)	30	
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2011

SCHEDULE D-1
(Form 1041)

Continuation Sheet for Schedule D
(Form 1041)

OMB No. 1545-0092

2011

Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

ROOP FAMILY FOUNDATION, INC.

Employer identification number

20-5886802

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5.613 DIAMOND HILL SMALL C	12/16/2010	04/20/2011	153.00	145.00	8.00
667.58 FHLMC GD PL #J12989	09/03/2010	01/15/2011	668.00	690.00	-22.00
9/01/25	09/03/2010	02/15/2011	298.00	308.00	-10.00
298.35 FHLMC GD PL #J12989	09/03/2010	03/15/2011	271.00	280.00	-9.00
9/01/25	09/03/2010	04/15/2011	293.00	303.00	-10.00
271.37 FHLMC GD PL #J12989	09/03/2010	05/15/2011	212.00	220.00	-8.00
9/01/25	09/03/2010	06/15/2011	391.00	404.00	-13.00
293.25 FHLMC GD PL #J12989	09/03/2010	07/15/2011	657.00	679.00	-22.00
9/01/25	09/03/2010	08/15/2011	865.00	894.00	-29.00
212.45 FHLMC GD PL #J12989	07/14/2010	01/15/2011	1,191.00	1,239.00	-48.00
9/01/25	07/14/2010	02/15/2011	741.00	771.00	-30.00
391.18 FHLMC GD PL #J12989	07/14/2010	03/15/2011	306.00	318.00	-12.00
9/01/25	07/14/2010	04/15/2011	265.00	276.00	-11.00
656.73 FHLMC GD PL #J12989	07/14/2010	05/15/2011	320.00	333.00	-13.00
9/01/25	07/14/2010	06/15/2011	275.00	286.00	-11.00
864.66 FHLMC GD PL #J12989	03/11/2011	04/15/2011	2,484.00	2,567.00	-83.00
1191.31 FHLMC GD PL #E0269	03/11/2011	05/15/2011	2,210.00	2,284.00	-74.00
6/01/25	03/11/2011	06/15/2011	2,272.00	2,348.00	-76.00
740.9 FHLMC GD PL #E02697	03/11/2011	07/15/2011	2,576.00	2,662.00	-86.00
6/01/25	03/11/2011	08/15/2011	2,471.00	2,553.00	-82.00
305.67 FHLMC GD PL #E02697	03/11/2011	09/15/2011	2,926.00	3,024.00	-98.00
6/01/25	03/11/2011	10/15/2011	2,958.00	3,057.00	-99.00
265.1 FHLMC GD PL #E02697	03/11/2011	11/15/2011	3,105.00	3,209.00	-104.00
6/01/25	03/11/2011	12/15/2011	3,376.00	3,489.00	-113.00
319.75 FHLMC GD PL #E02697					
6/01/25					
274.79 FHLMC GD PL #E02697					
6/01/25					
2484.31 FHLMC SER 3816 CMO					
1/15/41					
2209.64 FHLMC SER 3816 CMO					
1/15/41					
2272.36 FHLMC SER 3816 CMO					
1/15/41					
2575.5 FHLMC SER 3816 CMO					
1/15/41					
2470.81 FHLMC SER 3816 CMO					
1/15/41					
2925.74 FHLMC SER 3816 CMO					
1/15/41					
2957.67 FHLMC SER 3816 CMO					
1/15/41					
3105.41 FHLMC SER 3816 CMO					
1/15/41					
3375.68 FHLMC SER 3816 CMO					
1/15/41					

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

**SCHEDULE D-1
(Form 1041)**

**Continuation Sheet for Schedule D
(Form 1041)**

OMB No. 1545-0092

2011

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).
► Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

ROOP FAMILY FOUNDATION, INC.

Employer identification number

20-5886802

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 621.24 FNMA SER 9 CMO 1/25/40	02/26/2010	01/25/2011	621.00	647.00	-26.00
612.3 FNMA SER 9 CMO 1/25/40	02/26/2010	02/25/2011	612.00	638.00	-26.00
1258.58 FHLMC SER 3634 CMO 8/15/27	02/09/2010	01/15/2011	1,259.00	1,301.00	-42.00
778.69 FNMA PL #AC5412 10/01/24	02/02/2011	03/25/2011	779.00	802.00	-23.00
349.53 FNMA PL #AC5412 10/01/24	02/02/2011	04/25/2011	350.00	360.00	-10.00
660.59 FNMA PL #AC5412 10/01/24	02/02/2011	05/25/2011	661.00	680.00	-19.00
419.66 FNMA PL #AC5412 10/01/24	02/02/2011	06/25/2011	420.00	432.00	-12.00
427.22 FNMA PL #AC5412 10/01/24	02/02/2011	07/25/2011	427.00	440.00	-13.00
764.67 FNMA PL #AC5412 10/01/24	02/02/2011	08/25/2011	765.00	787.00	-22.00
636.33 FNMA PL #AC5412 10/01/24	02/02/2011	09/25/2011	636.00	655.00	-19.00
899.66 FNMA PL #AC5412 10/01/24	02/02/2011	10/25/2011	900.00	926.00	-26.00
937.39 FNMA PL #AC5412 10/01/24	02/02/2011	11/25/2011	937.00	965.00	-28.00
1027.37 FNMA PL #AC5412 10/01/24	02/02/2011	12/25/2011	1,027.00	1,058.00	-31.00
788.934 FEDERATED MORTGAGE	03/01/2011	08/15/2011	7,945.00	7,750.00	195.00
100. FIRST MIDWEST BANCORP	05/14/2010	01/13/2011	1,242.00	1,571.00	-329.00
25.76 GOLDMAN SACHS M/C VA #864	04/20/2011	05/12/2011	1,003.00	981.00	22.00
26.775 GOLDMAN SACHS M/C V #864	04/20/2011	10/13/2011	866.00	1,019.00	-153.00
200. HEWLETT PACKARD CO	10/15/2010	06/02/2011	7,268.00	8,373.00	-1,105.00
200. ELI LILLY & CO.	05/07/2010	01/05/2011	6,969.00	7,227.00	-258.00
25. MARATHON OIL CORP	01/13/2011	04/01/2011	1,337.00	1,105.00	232.00
25. MARATHON OIL CORP	01/13/2011	04/08/2011	1,319.00	1,105.00	214.00
25. MARATHON OIL CORP	01/13/2011	04/21/2011	1,333.00	1,105.00	228.00
75. MARATHON OIL CORP	01/21/2011	04/25/2011	4,001.00	3,232.00	769.00
2607.755 METROPOLITAN WEST	12/13/2010	01/19/2011	27,173.00	26,712.00	461.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

2011

Name of estate or trust

ROOP FAMILY FOUNDATION, INC.

Employer identification number

20-5886802

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	2,776.00
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

Employer identification number

ROOP FAMILY FOUNDATION, INC.

20-5886802

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 700. ALCOA INC	04/23/2009	01/06/2011	11,451.00	5,880.00	5,571.00
400. BANK AMER CORP	12/11/2009	08/19/2011	2,762.00	6,192.00	-3,430.00
500. CBS CORPORATION CLASS	02/02/2009	03/10/2011	11,801.00	2,880.00	8,921.00
100. CABELA'S INC CL A	07/30/2007	03/10/2011	2,655.00	2,033.00	622.00
357.364 DIAMOND HILL SMALL	05/11/2009	04/20/2011	9,749.00	5,700.00	4,049.00
25. EXELON CORP	03/18/2010	03/22/2011	1,012.00	1,116.00	-104.00
125. EXELON CORP	03/18/2010	03/22/2011	5,068.00	5,581.00	-513.00
1110.65 FHLMC GD PL #G1171 6/01/20	05/08/2009	01/15/2011	1,111.00	1,155.00	-44.00
874.95 FHLMC GD PL #G11714 6/01/20	05/08/2009	02/15/2011	875.00	910.00	-35.00
826.46 FHLMC GD PL #G11714 6/01/20	05/08/2009	03/15/2011	826.00	860.00	-34.00
637.48 FHLMC GD PL #G11714 6/01/20	05/08/2009	04/15/2011	637.00	663.00	-26.00
590.18 FHLMC GD PL #G11714 6/01/20	05/08/2009	05/15/2011	590.00	614.00	-24.00
635.17 FHLMC GD PL #G11714 6/01/20	05/08/2009	06/15/2011	635.00	661.00	-26.00
633.31 FHLMC GD PL #G11714 6/01/20	05/08/2009	07/15/2011	633.00	659.00	-26.00
632.19 FHLMC GD PL #G11714 6/01/20	05/08/2009	08/15/2011	632.00	657.00	-25.00
682.27 FHLMC GD PL #G11714 6/01/20	05/08/2009	09/15/2011	682.00	710.00	-28.00
565.08 FHLMC GD PL #G11714 6/01/20	05/08/2009	10/15/2011	565.00	588.00	-23.00
734.81 FHLMC GD PL #G11714 6/01/20	05/08/2009	11/15/2011	735.00	764.00	-29.00
631.08 FHLMC GD PL #G11714 6/01/20	05/08/2009	12/15/2011	631.00	656.00	-25.00
1092.5 FHLMC GD PL #G11987 4/01/21	06/02/2009	01/15/2011	1,093.00	1,133.00	-40.00
1042.57 FHLMC GD PL #G1198 4/01/21	06/02/2009	02/15/2011	1,043.00	1,081.00	-38.00
973.51 FHLMC GD PL #G11987 4/01/21	06/02/2009	03/15/2011	974.00	1,010.00	-36.00
693.28 FHLMC GD PL #G11987 4/01/21	06/02/2009	04/15/2011	693.00	719.00	-26.00
618.71 FHLMC GD PL #G11987 4/01/21	06/02/2009	05/15/2011	619.00	642.00	-23.00
638.86 FHLMC GD PL #G11987 4/01/21	06/02/2009	06/15/2011	639.00	663.00	-24.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

Employer identification number

ROOP FAMILY FOUNDATION, INC.

20-5886802

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 592.85 FHLMC GD PL #G11987 4/01/21	06/02/2009	07/15/2011	593.00	615.00	-22.00
543. FHLMC GD PL #G11987 4/01/21	06/02/2009	08/15/2011	543.00	563.00	-20.00
683.96 FHLMC GD PL #G11987 4/01/21	06/02/2009	09/15/2011	684.00	709.00	-25.00
544.84 FHLMC GD PL #G11987 4/01/21	06/02/2009	10/15/2011	545.00	565.00	-20.00
611.91 FHLMC GD PL #G11987 4/01/21	06/02/2009	11/15/2011	612.00	635.00	-23.00
19344.3 FHLMC GD PL #G1198 4/01/21	06/02/2009	12/05/2011	20,594.00	20,064.00	530.00
647.14 FHLMC GD PL #G11987 4/01/21	06/02/2009	12/15/2011	647.00	671.00	-24.00
1122.06 FHLMC GD PL #G1240 11/01/21	06/08/2009	01/15/2011	1,122.00	1,156.00	-34.00
1248.52 FHLMC GD PL #G1240 11/01/21	06/08/2009	02/15/2011	1,249.00	1,287.00	-38.00
710.8 FHLMC GD PL #G12402 11/01/21	06/08/2009	03/15/2011	711.00	733.00	-22.00
675. FHLMC GD PL #G12402 11/01/21	06/08/2009	04/15/2011	675.00	696.00	-21.00
709.18 FHLMC GD PL #G12402 11/01/21	06/08/2009	05/15/2011	709.00	731.00	-22.00
685.82 FHLMC GD PL #G12402 11/01/21	06/08/2009	06/15/2011	686.00	707.00	-21.00
601.17 FHLMC GD PL #G12402 11/01/21	06/08/2009	07/15/2011	601.00	620.00	-19.00
606.69 FHLMC GD PL #G12402 11/01/21	06/08/2009	08/15/2011	607.00	625.00	-18.00
624.72 FHLMC GD PL #G12402 11/01/21	06/08/2009	09/15/2011	625.00	644.00	-19.00
590.29 FHLMC GD PL #G12402 11/01/21	06/08/2009	10/15/2011	590.00	608.00	-18.00
643.2 FHLMC GD PL #G12402 11/01/21	06/08/2009	11/15/2011	643.00	663.00	-20.00
18420.57 FHLMC GD PL #G124 11/01/21	06/08/2009	12/05/2011	19,611.00	18,985.00	626.00
772.02 FHLMC GD PL #G12402 11/01/21	06/08/2009	12/15/2011	772.00	796.00	-24.00
701.69 FHLMC GD PL #J12989 9/01/25	09/03/2010	09/15/2011	702.00	725.00	-23.00
1451.15 FHLMC GD PL #J1298 9/01/25	09/03/2010	10/15/2011	1,451.00	1,500.00	-49.00
1271.48 FHLMC GD PL #J1298 9/01/25	09/03/2010	11/15/2011	1,271.00	1,314.00	-43.00
1052.97 FHLMC GD PL #J1298 9/01/25	09/03/2010	12/15/2011	1,053.00	1,088.00	-35.00
519.66 FHLMC GD PL #E02697 6/01/25	07/14/2010	07/15/2011	520.00	540.00	-20.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

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Employer identification number

ROOP FAMILY FOUNDATION, INC.

20-5886802

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 522.13 FHLMC GD PL #E02697 6/01/25	07/14/2010	08/15/2011	522.00	543.00	-21.00
636.01 FHLMC GD PL #E02697 6/01/25	07/14/2010	09/15/2011	636.00	661.00	-25.00
742.09 FHLMC GD PL #E02697 6/01/25	07/14/2010	10/15/2011	742.00	772.00	-30.00
1054.59 FHLMC GD PL #E0269 6/01/25	07/14/2010	11/15/2011	1,055.00	1,097.00	-42.00
895.61 FHLMC GD PL #E02697 6/01/25	07/14/2010	12/15/2011	896.00	931.00	-35.00
916.39 FNMA SER 58 CMO 4/25/33	05/15/2009	01/25/2011	916.00	924.00	-8.00
1056.34 FNMA SER 58 CMO 4/25/33	05/15/2009	02/25/2011	1,056.00	1,065.00	-9.00
1313.89 FNMA SER 58 CMO 4/25/33	05/15/2009	03/25/2011	1,314.00	1,325.00	-11.00
1174.5 FNMA SER 58 CMO 4/25/33	05/15/2009	04/25/2011	1,175.00	1,184.00	-9.00
931.81 FNMA SER 58 CMO 4/25/33	05/15/2009	05/25/2011	932.00	939.00	-7.00
722.66 FNMA SER 58 CMO 4/25/33	05/15/2009	06/25/2011	723.00	729.00	-6.00
888.03 FNMA SER 58 CMO 4/25/33	05/15/2009	07/25/2011	888.00	895.00	-7.00
684.69 FNMA SER 58 CMO 4/25/33	05/15/2009	08/25/2011	685.00	690.00	-5.00
676.11 FNMA SER 58 CMO 4/25/33	05/15/2009	09/25/2011	676.00	682.00	-6.00
609.85 FNMA SER 58 CMO 4/25/33	05/15/2009	10/25/2011	610.00	615.00	-5.00
897.25 FNMA SER 58 CMO 4/25/33	05/15/2009	11/25/2011	897.00	905.00	-8.00
494.89 FNMA SER 58 CMO 4/25/33	05/15/2009	12/25/2011	495.00	499.00	-4.00
603.48 FNMA SER 9 CMO 1/25/40	02/26/2010	03/25/2011	603.00	629.00	-26.00
594.79 FNMA SER 9 CMO 1/25/40	02/26/2010	04/25/2011	595.00	620.00	-25.00
565.57 FNMA SER 9 CMO 1/25/40	02/26/2010	05/25/2011	566.00	589.00	-23.00
482.48 FNMA SER 9 CMO 1/25/40	02/26/2010	06/25/2011	482.00	503.00	-21.00
517.71 FNMA SER 9 CMO 1/25/40	02/26/2010	07/25/2011	518.00	539.00	-21.00
591.35 FNMA SER 9 CMO 1/25/40	02/26/2010	08/25/2011	591.00	616.00	-25.00
629.44 FNMA SER 9 CMO 1/25/40	02/26/2010	09/25/2011	629.00	656.00	-27.00
574.17 FNMA SER 9 CMO 1/25/40	02/26/2010	10/25/2011	574.00	598.00	-24.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

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Employer identification number

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20-5886802

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr.)	(c) Date sold (mo, day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 536.16 FNMA SER 9 CMO 1/25/40	02/26/2010	11/25/2011	536.00	559.00	-23.00
563. FNMA SER 9 CMO 1/25/40	02/26/2010	12/25/2011	563.00	587.00	-24.00
647.01 FHLMC SER 3634 CMO 8/15/27	02/09/2010	02/15/2011	647.00	669.00	-22.00
505.48 FHLMC SER 3634 CMO 8/15/27	02/09/2010	03/15/2011	505.00	523.00	-18.00
399.48 FHLMC SER 3634 CMO 8/15/27	02/09/2010	04/15/2011	399.00	413.00	-14.00
335.36 FHLMC SER 3634 CMO 8/15/27	02/09/2010	05/15/2011	335.00	347.00	-12.00
360.48 FHLMC SER 3634 CMO 8/15/27	02/09/2010	06/15/2011	360.00	373.00	-13.00
495.88 FHLMC SER 3634 CMO 8/15/27	02/09/2010	07/15/2011	496.00	513.00	-17.00
675.27 FHLMC SER 3634 CMO 8/15/27	02/09/2010	08/15/2011	675.00	698.00	-23.00
647.07 FHLMC SER 3634 CMO 8/15/27	02/09/2010	09/15/2011	647.00	669.00	-22.00
998.58 FHLMC SER 3634 CMO 8/15/27	02/09/2010	10/15/2011	999.00	1,033.00	-34.00
1261.22 FHLMC SER 3634 CMO 8/15/27	02/09/2010	11/15/2011	1,261.00	1,304.00	-43.00
1294.68 FHLMC SER 3634 CMO 8/15/27	02/09/2010	12/15/2011	1,295.00	1,339.00	-44.00
1505.65 FNMA PL #MA0071 5/01/19	05/07/2009	01/25/2011	1,506.00	1,563.00	-57.00
1172.93 FNMA PL #MA0071 5/01/19	05/07/2009	02/25/2011	1,173.00	1,217.00	-44.00
902.65 FNMA PL #MA0071 5/01/19	05/07/2009	03/25/2011	903.00	937.00	-34.00
679.61 FNMA PL #MA0071 5/01/19	05/07/2009	04/25/2011	680.00	705.00	-25.00
529.28 FNMA PL #MA0071 5/01/19	05/07/2009	05/25/2011	529.00	549.00	-20.00
837.55 FNMA PL #MA0071 5/01/19	05/07/2009	06/25/2011	838.00	869.00	-31.00
721.35 FNMA PL #MA0071 5/01/19	05/07/2009	07/25/2011	721.00	749.00	-28.00
831.23 FNMA PL #MA0071 5/01/19	05/07/2009	08/25/2011	831.00	863.00	-32.00
734.75 FNMA PL #MA0071 5/01/19	05/07/2009	09/25/2011	735.00	763.00	-28.00
1322.65 FNMA PL #MA0071 5/01/19	05/07/2009	10/25/2011	1,323.00	1,373.00	-50.00
1027.24 FNMA PL #MA0071 5/01/19	05/07/2009	11/25/2011	1,027.00	1,066.00	-39.00
1092.27 FNMA PL #MA0071 5/01/19	05/07/2009	12/25/2011	1,092.00	1,134.00	-42.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

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Employer identification number

ROOP FAMILY FOUNDATION, INC.

20-5886802

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 4125. FEDERATED MORTGAGE F	06/01/2010	06/02/2011	41,168.00	40,996.00	172.00
1415.929 FEDERATED MORTGAG	03/30/2010	08/15/2011	14,258.00	14,004.00	254.00
30000. FISERV INC	09/14/2010	10/28/2011	30,532.00	29,932.00	600.00
10/01/15					
200. GLAXO SMITHKLINE SPON	01/04/2008	04/20/2011	8,254.00	10,564.00	-2,310.00
35.049 GOLDMAN SACHS M/C V	03/04/2010	10/13/2011	1,133.00	1,061.00	72.00
#864					
589.265 GOLDMAN SACHS GRTH	03/04/2010	03/10/2011	14,614.00	12,180.00	2,434.00
762.58 GNMA SER 14 CMO	04/27/2009	01/20/2011	763.00	794.00	-31.00
3/20/39					
742.84 GNMA SER 14 CMO	04/27/2009	02/20/2011	743.00	774.00	-31.00
3/20/39					
685.63 GNMA SER 14 CMO	04/27/2009	03/20/2011	686.00	714.00	-28.00
3/20/39					
584.47 GNMA SER 14 CMO	04/27/2009	04/20/2011	584.00	609.00	-25.00
3/20/39					
560.89 GNMA SER 14 CMO	04/27/2009	05/20/2011	561.00	584.00	-23.00
3/20/39					
447.8 GNMA SER 14 CMO	04/27/2009	06/20/2011	448.00	466.00	-18.00
3/20/39					
524.51 GNMA SER 14 CMO	04/27/2009	07/20/2011	525.00	546.00	-21.00
3/20/39					
366.78 GNMA SER 14 CMO	04/27/2009	08/20/2011	367.00	382.00	-15.00
3/20/39					
503.79 GNMA SER 14 CMO	04/27/2009	09/20/2011	504.00	525.00	-21.00
3/20/39					
558.49 GNMA SER 14 CMO	04/27/2009	10/20/2011	558.00	582.00	-24.00
3/20/39					
485.27 GNMA SER 14 CMO	04/27/2009	11/20/2011	485.00	505.00	-20.00
3/20/39					
407.67 GNMA SER 14 CMO	04/27/2009	12/20/2011	408.00	425.00	-17.00
3/20/39					
47.214 HARBOR INTERNATIONAL	08/15/2007	04/20/2011	3,080.00	3,009.00	71.00
30.998 HARBOR INTERNATIONAL	03/27/2007	05/12/2011	1,999.00	1,975.00	24.00
93.095 HARBOR INTERNATIONAL	03/27/2007	06/02/2011	6,024.00	5,886.00	138.00
25. KIMBERLY-CLARK CORP	07/30/2007	10/13/2011	1,780.00	1,711.00	69.00
2071.271 METROPOLITAN WEST	03/04/2010	03/10/2011	21,624.00	20,676.00	948.00
3775. METROPOLITAN WEST T/	05/08/2009	06/02/2011	39,864.00	34,933.00	4,931.00
2095.816 METROPOLITAN WEST	02/05/2009	08/15/2011	22,048.00	18,734.00	3,314.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b

Schedule D-1 (Form 1041) 2011

Employer identification number

20-5886802

[illegible]

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b	36,270.00
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