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Form **990-PF** Department of the Treasury

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

			to design to design of time.		•	
		calendar year 2011 or tax year beginning		, and ending		N ATTACHED
	Nar	ne of foundation			A Employer identification	number
	T	HE HAVEN FOUNDATION			20-4356938	
		ber and street (or P O box number if mail is not delivered to street	•	Room/suite	B Telephone number	
	C	O MP & S LLP, 622 THIRD	AVE.	7TH FL	212-503-88	00
	City	or town, state, and ZIP code			C If exemption application is p	ending, check here
	N	EW YORK, NY 10017				•
	G C	heck all that apply: Initial return	X Initial return of a fo	rmer public charity	D 1. Foreign organizations	s, check here
		Final return	Amended return			· · · · · · · · · · · · · · · · · · ·
		Address change	Name change		Foreign organizations me 2. check here and attach co	eeting the 85% test,
	н с	heck type of organization: X Section 501(c)(3) ex	<u> </u>		1	
	$\overline{\Box}$		Other taxable private founda	tion	E If private foundation sta under section 507(b)(1)	
	l Fa	ir market value of all assets at end of year J Accounti		X Accrual	1	
			her (specify)	ACCIDAL	F If the foundation is in a	
	(<i>ii</i>		mn (d) must be on cash h	2010)	under section 507(b)(1)	o(B), check here
		irt Analysis of Revenue and Expenses				(d) -
	Pa	——— (The total of amounts in columns (b), (c), and (d) may not	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net	(d) Disbursements for charitable purposes
		necessarily equal the amounts in column (a))				(cash basis only)
		1 Contributions, gifts, grants, etc., received	73,160.		N/A	
		2 Check if the foundation is not required to attach Sch. B. Interest on savings and temporary	405	405		
		o cash investments	405.	405.		
		4 Dividends and interest from securities	93,838.	93,838.		
		5a Gross rents				
		Net rental income or (loss)				
	ø	6a Net gain or (loss) from sale of assets not on line 10	13,155.			
	ni.	b Gross sales price for all assets on line 6a 604,062.			RECE	VED
	Revenue	7 Capital gain net income (from Part IV, line 2)		13,155.	1	VLD
	Œ	8 Net short-term capital gain			10	2012/01
		9 Income modifications			12 NOV 1 3	per'n O
		Gross sales less returns and allowances				
Ø		b Less Cost of goods sold			0005	
\mathcal{Q}		c Gross profit or (loss)			L OGDE	<u>4. U </u>
Z		11 Other income				
2		12 Total. Add lines 1 through 11	180,558.	107,398.		
SCANNED		13 Compensation of officers, directors, trustees, etc	0.	0.		0.
		14 Other employee salaries and wages				
VOV		15 Pension plans, employee benefits			1	
	ses	16a Legal fees STMT 1	5,311.	0.	******	5,311.
had.	Ë	b Accounting fees STMT 2	15,100.	7,550.		7,550.
70	Expenses	c Other professional fees STMT 3	17,913.	17,913.		0.
2012	ě	17 Interest				
四	and Administrative	18 Taxes STMT 4	104.	104.		0.
	ist	19 Depreciation and depletion		-	1	<u> </u>
	į	20 Occupancy		-		
	₽	21 Travel, conferences, and meetings				
	ם	22 Printing and publications				
	g	23 Other expenses STMT 5	2,978.	0.		2,978.
	Operating	24 Total operating and administrative	2,2:00			
	ers	expenses Add lines 13 through 23	41,406.	25,567.		15,839.
	o	25 Contributions, gifts, grants paid	413,118.	20,0076	 	324,014.
		26 Total expenses and disbursements	123,113.			224, U14.
		Add lines 24 and 25	454,524.	25,567.		339,853.
		27 Subtract line 26 from line 12:	101,001.	23,307.	 	333,033.
			<273,966.	_	1	
		Excess of revenue over expenses and disbursements Net investment income (if possible outer, 0.)	~2/3,500.	81,831.	 	
		b Net investment income (if negative, enter -0-)		01,031.	N/A	
	100-	C Adjusted net income (if negative, enter -0-)			1 1/ A	<u> 1. , </u>

123501 12-02-11 LHA For Paperwork Reduction Act Notice, see instructions.

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Beginning of year Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only End of year (a) Book Value (b) Book Value (c) Fair Market Value 1 Cash - non-interest-bearing 608,870. 308,227. 308,227. 2 Savings and temporary cash investments 3 Accounts receivable ► Less: allowance for doubtful accounts 4 Pledges receivable ► Less: allowance for doubtful accounts 5 Grants receivable 6 Receivables due from officers, directors, trustees, and other disqualified persons 7 Other notes and loans receivable Less: allowance for doubtful accounts 8 Inventories for sale or use 1,290. 1,287. 9 Prepaid expenses and deferred charges 10a Investments - U.S. and state government obligations STMT 6 3,989,186. 4,078,463. 4,006,829. **b** Investments - corporate stock c Investments - corporate bonds 11 Investments - land, buildings, and equipment basis Less accumulated depreciation 12 Investments - mortgage loans 13 Investments - other 14 Land, buildings, and equipment basis Less accumulated depreciation 15 Other assets (describe ► 4,599,346. 4,387,977. 4,316,343. Total assets (to be completed by all filers) Accounts payable and accrued expenses 112,718. 72,060. 18 Grants payable 19 Deferred revenue 20 Loans from officers, directors, trustees, and other disqualified persons 21 Mortgages and other notes payable 22 Other liabilities (describe 72,060. 112.718. 23 Total liabilities (add lines 17 through 22) Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. Net Assets or Fund Balances Unrestricted 25 Temporarily restricted 26 Permanently restricted ightharpoonsFoundations that do not follow SFAS 117, check here and complete lines 27 through 31 27 Capital stock, trust principal, or current funds 28 Paid-in or capital surplus, or land, bldg., and equipment fund 0. 4,527,286. 4,275,259 29 Retained earnings, accumulated income, endowment, or other funds 4,527,286. 30 Total net assets or fund balances 4,275,259 4,599,346. 4,387,977 31 Total liabilities and net assets/fund balances Part III Analysis of Changes in Net Assets or Fund Balances 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 4,527,286. (must agree with end-of-year figure reported on prior year's return) <273,966.> 2 Enter amount from Part I, line 27a 3 Other increases not included in line 2 (itemize) ► PRIOR GRANT PAYMENTS ADJUSTMENT 21,939. Add lines 1, 2, and 3 ,275,259. Decreases not included in line 2 (itemize) 4,275,259. 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30

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See the Part VI instructions.

		-4336		- 4.	Page 4
	ert VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948	s - see ı	nstru	Ctioi	1S)
1a	Exempt operating foundations described in section 4940(d)(2), check here \[\bigstyle				
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%			1,6	<u>37.</u>
	of Part I, line 27b				
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				0.
3	Add lines 1 and 2			1,6	37.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			1,6	37.
6	Credits/Payments:		-		
а	2011 estimated tax payments and 2010 overpayment credited to 2011 6a				
	Exempt foreign organizations - tax withheld at source 6b				
	Tax paid with application for extension of time to file (Form 8868) 6c 4,000.				
	Backup withholding erroneously withheld				
	Total credits and payments. Add lines 6a through 6d	1		4 0	00.
	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8				39.
	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed				<u> </u>
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			2 3	24.
	Enter the amount of line 10 to be: Credited to 2012 estimated tax 2,324. Refunded	_		2,3	0.
	art VII-A Statements Regarding Activities				<u> </u>
_	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in			Yes	No
	any political campaign?		1a		X
h	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?		1b		X
J	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or	-	"		
	distributed by the foundation in connection with the activities.				
^	Did the foundation file Form 1120-POL for this year?		1c		х
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:		10		
u	(1) On the foundation. \triangleright \$ (2) On foundation managers. \triangleright \$ 0.				
^	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation				
E	managers. > \$ 0.				
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		х
2	If "Yes," attach a detailed description of the activities		2		
•	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or				
J	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		,		v
4.			3		X
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	NT / 7	4a		<u> </u>
		N/A	4b		- -
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		<u>X</u>
e	If "Yes," attach the statement required by General Instruction T				
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:				
	By language in the governing instrument, or Pu state legislation that effectively appends the governing instrument so that no mandatury directions that conflict with the state legy.				
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law			.,	
,	remain in the governing instrument?		6	X	-
1	Did the foundation have at least \$5,000 in assets at any time during the year?		7	X	
•	If "Yes," complete Part II, col. (c), and Part XV				
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)				
	NY				
b	of the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)				
	of each state as required by General Instruction G? If "No," attach explanation		8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar				
	year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10		X
		Fori	ո 990 -	-PF (2011)

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	orm 4720 May be	Required (contin	nued)	
 5a During the year did the foundation pay or incur any amount to: (1) Carry on propaganda, or otherwise attempt to influence legislation (section 	A04E(a)\2		es X No	
(1) Carry on propagation, or otherwise attempt to initidence registation (section (2) Influence the outcome of any specific public election (see section 4955); o	1 77		ו מאובבי פּבּ	
any voter registration drive?	i to carry on, directly of musi		es X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes'	2		es X No	
(3) Provide a grant to an individual for travel, study, or other similar purposes (4) Provide a grant to an organization other than a charitable, etc., organization		L 10	S LAL NO	
509(a)(1), (2), or (3), or section 4940(d)(2)?	Tuescribed in section		es 🗶 No	
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or adjugational nurnosas or		NU Las 8:	
the prevention of cruelty to children or animals?	or educational purposes, or		es X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	ler the exceptions described	ın Regulations		
section 53.4945 or in a current notice regarding disaster assistance (see instru		Ū	N/A	5b
Organizations relying on a current notice regarding disaster assistance check h	ere		▶□□□	
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr		ained		
expenditure responsibility for the grant?		. —	es 🔲 No	
If "Yes," attach the statement required by Regulations section 53 4945				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to j	pay premiums on			
a personal benefit contract?	•	Y6	es X No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?		-	6b X
If "Yes" to 6b, file Form 8870				
7a At any time during the tax year, was the foundation a party to a prohibited tax s	helter transaction?	Ye	s X No	
b If "Yes," did the foundation receive any proceeds or have any net income attribu	table to the transaction?		N/A	7b
Part VIII Information About Officers, Directors, Trustor Paid Employees, and Contractors	ees, Foundation Ma	anagers, Highly	y	
List all officers, directors, trustees, foundation managers and their controls.	compensation			
. In the state of	(b) Title, and average	(c) Compensation	(d) Contributions to	(e) Expense
(a) Name and address	hours per week devoted to position	(If not paid,	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other allowances
	to position	enter -0-)	compensation	allowalices
SEE STATEMENT 7		0.	0.	0.
Date Control (•	
				·
2 Compensation of five highest-paid employees (other than those inc	luded on line 1). If none	, enter "NONE."		
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average	(a) Companyation	(d) Contributions to employee benefit plans	(e) Expense
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	and deferred compensation	account, other allowances
NONE				
	•			
Total number of other employees paid over \$50,000			>	0
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Hig Paid Employees, and Contractors (continued)	hly		
3 Five highest-paid independent contractors for professional services. If none, enter "NONE."			
(a) Name and address of each person paid more than \$50,000 (b) Type of s	service	(c) Com	ensation
NONE			
Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities		<u> </u>	0
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses	
1N/A			
2			
3			
4			
Part IX-B Summary of Program-Related Investments			
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.		Amount	
1 N/A		_	
2		<u> </u>	
All other program-related investments. See instructions. 3			
Total. Add lines 1 through 3	•		0.

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P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations,	see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,064,715.
b	Average of monthly cash balances	1b	547,043.
C	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	4,611,758.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,611,758.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	69,176.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,542,582.
6	Minimum investment return Enter 5% of line 5	6	227,129.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here and do not complete this part.)	id certain	
1	Minimum investment return from Part X, line 6	1	227,129.
2a	} }		
b	Income tax for 2011. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	1,637.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	225,492.
4	Recoveries of amounts treated as qualifying distributions	4	21,939.
5	Add lines 3 and 4	5	247,431.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	247,431.
Р	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	339,853.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b		3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	339,853.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment	- -	<u> </u>
	income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	339,853.
	Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of 4940(e) reduction of tax in those years.	qualifies for	

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Part XIII Undistributed Income (see instructions)

,	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI,	-·			2011
line 7				247,431.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:				
		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010	_			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from				
Part XII, line 4: ►\$ 339,853.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2011 distributable amount				247,431.
e Remaining amount distributed out of corpus	92,422.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 31, 4c, and 4e Subtract line 5	92,422.			
b Prior years' undistributed income. Subtract	70,400			
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable				***.
amount - see instructions		0.		
e Undistributed income for 2010. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2012				0.
7 Amounts treated as distributions out of	-			
corpus to satisfy requirements imposed by	`			
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012				<u></u>
Subtract lines 7 and 8 from line 6a	92,422.			
0 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011 92, 422.				

	EN FOUNDATI			20-43	356938 Page 10
Part XIV Private Operating F	oundations (see in	structions and Part VI	I-A, question 9)	N/A	
1 a If the foundation has received a ruling of	r determination letter that	t it is a private operating			
foundation, and the ruling is effective fo	or 2011, enter the date of t	the ruling	▶		
b Check box to indicate whether the found	dation is a private operatii	ng foundation described	ın section	4942(j)(3) or 4	942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years		
income from Part I or the minimum	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
investment return from Part X for					
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon;					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					İ
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public	~			-	
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from		-		-	
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Info	rmation (Comple	te this part only	if the foundation	on had \$5,000 or m	ore in assets
at any time during t	he year-see instr	ructions.)			
1 Information Regarding Foundation	n Managers:				
a List any managers of the foundation wh	o have contributed more	than 2% of the total conf	ributions received by t	he foundation before the clo	se of any tax
year (but only if they have contributed r	nore than \$5,000). (See s	ection 507(d)(2).)			
STEPHEN KING		_			
b List any managers of the foundation who other entity) of which the foundation ha	o own 10% or more of the sa 10% or greater interes	e stock of a corporation st.	(or an equally large po	rtion of the ownership of a p	artnership or
NONE	•				
2 Information Regarding Contribut	ion, Grant, Gift, Loan.	Scholarship, etc., Pr	ograms:		
				s not accept unsolicited req	uests for funds. If
the foundation makes gifts, grants, etc.	(see instructions) to indiv	riduals or organizations i	inder other conditions,	complete items 2a, b, c, an	d d.
a The name, address, and telephone num	ber of the person to whor	n applications should be	addressed:	-	
SEE STATEMENT 8					
b The form in which applications should b	e submitted and informat	tion and materials they s	nould include:		-
c Any submission deadlines:				T#4-T	
d Any restrictions or limitations on award	s, such as by geographica	al areas, charitable fields,	kınds of ınstitutions, o	or other factors:	

Form 990-PF (2011) THE HAVEN FOUNDATION 20-4356938 Page 11 Part XV Supplementary Information (continued) Grants and Contributions Paid During the Year or Approved for Future Payment Recipient If recipient is an individual, show any relationship to Foundation Purpose of grant or **Amount** any foundation manager status of contribution Name and address (home or business) or substantial contributor recipient a Paid during the year NONE ALICE ANDERSON N/A TO HELP FREELANCE 1620 ALVINA AVE. WRITERS AND ARTISTS SACRAMENTO, CA 95822 WHO HAVE CAREER-THREATENING ILLNESS 25,000. AMY R NEILSON NONE N/A TO HELP FREELANCE WRITERS AND ARTISTS 11701 HOYT DRIVE BELLEVILLE, MI 48111 WHO HAVE CAREER-THREATENING ILLNESS 6,000. ANGELA BALCITA NONE N/A TO HELP FREELANCE 3137 TILDEN DRIVE WRITERS AND ARTISTS BALTIMORE, MD 21211 WHO HAVE CAREER-THREATENING ILLNESS 200. BARBARA HALL NONE N/A TO HELP FREELANCE 210 HAMPTONS DRIVE APT. 210 WRITERS AND ARTISTS SANDY SPRINGS, GA 30350 WHO HAVE CAREER-THREATENING ILLNESS 800. BOBBY TOOMER NONE N/A TO HELP FREELANCE PO BOX 8421 WRITERS AND ARTISTS NEW ORLEANS, LA 70182 WHO HAVE CAREER-THREATENING ILLNESS 2,500. SEE CONTINUATION SHEET(S) Total ➤ 3a 324 014. **b** Approved for future payment AMY R NEILSON NONE N/A TO HELP FREELANCE 11701 HOYT DRIVE WRITERS AND ARTISTS BELLEVILLE, MI 48111 WHO HAVE CAREER-THREATENING ILLNESS 18,000. CHRYSTLE FIEDLER NONE N/A TO HELP FREELANCE 208 MONSELL PLACE WRITERS AND ARTISTS GREENPORT, NY 11944 WHO HAVE CAREER-THREATENING ILLNESS 5,689. KARL ALEXANDER NONE N/A TO HELP FREELANCE

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2,719.

WRITERS AND ARTISTS

CAREER-THREATENING

WHO HAVE

ILLNESS

Total

4230 BEAUCROFT COURT

WESTLAKE VILLAGE, CA 91361

SEE CONTINUATION SHEET(S)

Sec. 15.

Part XVI-A Analysis of Income-Producing Activities

nter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)	
1 Program service revenue:	(a) Business code	(b) Amount	Exclu- sion code	(d) Amount	Related or exempt function income	
a			1 1			
b		-			-	
C						
d	1					
e	l l					
f						
g Fees and contracts from government agencies						
2 Membership dues and assessments			ļ.,			
3 Interest on savings and temporary cash						
investments			14	405.		
4 Dividends and interest from securities			14	93,838.		
5 Net rental income or (loss) from real estate:			 			
a Debt-financed property			\vdash			
b Not debt-financed property			1			
6 Net rental income or (loss) from personal			1 1			
property 7 Other investment income			 -		·	
8 Gain or (loss) from sales of assets other			 		-	
than inventory			18	13,155.		
9 Net income or (loss) from special events			-			
O Gross profit or (loss) from sales of inventory						
1 Other revenue:					***	
a						
b						
c	i 1					
d						
e						
2 Subtotal. Add columns (b), (d), and (e)		0.		107,398.	0.	
3 Total. Add line 12, columns (b), (d), and (e)		-		13	107,398.	
See worksheet in line 13 instructions to verify calculations.)				<u> </u>		
Part XVI-B Relationship of Activities	to the Acco	omplishment of Ex	cempt	Purposes		
Line No. Explain below how each activity for which inco	ome is reported i	ın column (e) of Part XVI-A	contribu	ted importantly to the accomp	lishment of	
the foundation's exempt purposes (other than				,		
N/A						
				· ·		
						
				· · · · · · · · · · · · · · · · · · ·		
					-	
	_ .					
	-					
						
	<u></u>					
		-				

Part	XVII	Information Re Exempt Organ		To and Transactions a	and Relationships With None	charitable	В	90 .0
1 Di	d the org	anization directly or indi	rectly engage in any of the fol	llowing with any other organization	on described in section 501(c) of		Yes	No
		-		ion 527, relating to political organ				
a Tr	ansfers t	from the reporting found	ation to a noncharitable exem	npt organization of:				
(1) Cash					1a(1)		X
(2) Other	assets				1a(2)		X
b 01	her trans	sactions:						
(1) Sales	of assets to a noncharita	ble exempt organization			1b(1)		Х
(2) Purch	ases of assets from a no	ncharitable exempt organizati	ion		15(2)		X
(3) Renta	l of facilities, equipment,	or other assets			1b(3)		X
(4) Reimt	oursement arrangements	ı			1b(4)		X
(5) Loans	or loan guarantees				1b(5)		X
•	-		embership or fundraising solid			1b(6)		X
			uling lists, other assets, or pa			1c		X
					ways show the fair market value of the goo		sets,	
					ie in any transaction or sharing arrangeme	ent, show in		
		•	other assets, or services rece		1 (0			
(a) Line	no	(b) Amount involved	(c) Name of nonchar	ritable exempt organization	(d) Description of transfers, transactions	, and sharing ar	rangeme	nts
N/A	_							
	_		 					
		····	1			-		
	+		<u> </u>					
	+							
		·		-				
				······································			 .	
2a Is	the foun	idation directly or indirec	tly affiliated with, or related to	o, one or more tax-exempt organi	zations described			
			r than section 501(c)(3)) or ir	n section 527?		Yes	X] No
b If	"Yes," co	mplete the following sch		···				· ·
		(a) Name of org	janization	(b) Type of organization	(c) Description of relat	tionship		
		N/A						
	Under	penalties of perium, I declare	that I have examined this return in	political accompanying schedules and	statements, and to the best of my knowledge			
Sian	and he				nation of which preparer has any knowledge	May the IRS return with th	discuss t	his er
Sign Here		G		$\perp \cup \setminus \angle \setminus$.	DDEGIDENM	shown below	(see ins	tr)?
		ature of officer or trustee	<u>, </u>	Date	PRESIDENT Title	LX Yes		J No
	Jogn	Print/Type preparer's na		ey/s signature //	, 	TIN		
		i je o propuror o ne	/ / /	7/ 7/ //	/ / self- employed			
Paid		MARK LEVEN		CPA CPA	10/1/10	P00190	302	
Prep		Firm's name ► MAR		SHRON LLP	Firm's EIN ▶ 11			
_	Only	FIFTI	IIIIIIII OC N	JAMANUTA HILE	I WILL STIM FIT	22100	74	
	-	Fırm's address ▶ 62	22 THIRD AVENU	JE				

Form **990-PF** (2011)

212 503-8800

Phone no.

NEW YORK, NY 10017

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service **Schedule of Contributors**

OMB No. 1545-0047

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

2011

Name of the organization

Employer identification number

THE HAVEN FOUNDATION 20-4356938					
Organization type (check	cone)·				
Filers of:	Section:				
Form 990 or 990-EZ	501(c)() (enter number) organization				
	4947(a)(1) nonexempt charitable trust not treated as a private foundation				
	527 political organization	•			
Form 990-PF	X 501(c)(3) exempt private foundation	-			
	4947(a)(1) nonexempt charitable trust treated as a private foundation				
	501(c)(3) taxable private foundation				
Note. Only a section 501(General Rule	n is covered by the General Rule or a Special Rule . (c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special				
=	ion filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in plete Parts I and II	n money or property) from any one			
Special Rules					
509(a)(1) and 170	1(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the 0(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of to (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II	_			
total contribution	1(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one consists of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or for cruelty to children or animals. Complete Parts I, II, and III.				
contributions for If this box is che purpose Do not	1(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one course exclusively for religious, charitable, etc., purposes, but these contributions did no cked, enter here the total contributions that were received during the year for an exclusion complete any of the parts unless the General Rule applies to this organization becauble, etc., contributions of \$5,000 or more during the year	t total to more than \$1,000 sively religious, chantable, etc ,			
but it must answer "No" o	that is not covered by the General Rule and/or the Special Rules does not file Schedu on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on F et the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)				

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

THE HAVEN FOUNDATION

20-4356938

Part I	Contributors (see instructions). Use duplicate copies of Part I if a	dditional space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	STEPHEN KING 47 WEST BROADWAY BANGOR, ME 04401	\$\$\$64,452.	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II of there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person Payroll Omnocash Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

THE HAVEN FOUNDATION

20-4356938

Part II	Noncash Property (see instructions). Use duplicate copies of F	Part II if additional space is needed	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part i	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. rom	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
arti			
		\$	990, 990-EZ, or 990-PF) (20

forganization Employer identification nu				
the total of exclusively religious, charitable, et	c., contributions of \$1,000 or less for the ye	B), or (10) organizations that total more than \$1,000 for the mpleting Part III, enter ear. (Enter this information once) ► \$		
(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held		
	(e) Transfer of gift			
Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee		
(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held		
Transferee's name, address, a	(e) Transfer of gift	Relationship of transferor to transferee		
(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held		
Transferee's name, address, a	(e) Transfer of gift	Relationship of transferor to transferee		
(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held		
	(e) Transfer of gift			
Transferee's name, address, a	nd ZIP + 4	Relationship of transferor to transferee		
	VEN FOUNDATION Exclusively religious, charitable, etc., indiversity processes and the total of exclusively religious, charitable, et the total of exclusively religious, charitable, et Use duplicate copies of Part III if addition (b) Purpose of gift Transferee's name, address, a (b) Purpose of gift Transferee's name, address, a (b) Purpose of gift Transferee's name, address, a	VEN FOUNDATION Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (1) year. Complete columns (a) through (e) and the following line entry. For organizations con the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. Use duplicate copies of Part III if additional space is needed (b) Purpose of gift (c) Use of gift Transferee's name, address, and ZIP + 4 (b) Purpose of gift (c) Use of gift (e) Transfer of gift Transferee's name, address, and ZIP + 4 (b) Purpose of gift (c) Use of gift (e) Transfer of gift Transferee's name, address, and ZIP + 4 (b) Purpose of gift (c) Use of gift (e) Transfer of gift Transferee's name, address, and ZIP + 4		

Part XV Supplementary Informat				
3 Grants and Contributions Paid During th		- _T	Ţ	
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
CHRYSTLE FIEDLER	NONE	N/A	TO HELP FREELANCE	
208 MONSELL PLACE			WRITERS AND ARTISTS	
GREENPORT, NY 11944	ł		WHO HAVE	
·	Į.		CAREER-THREATENING	
			ILLNESS	5,689
CORINA ROBERTS	NONE	N/A	TO HELP FREELANCE	
PO BOX 702			WRITERS AND ARTISTS	
SIMI VALLEY, CA 93062			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	2,500
DAKOTA LANE	NONE	N/A	TO HELP FREELANCE	
PO BOX 591			WRITERS AND ARTISTS	
WOODSTOCK, NY 12498			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	3,500.
DIANA LURIE	NONE	N/A	TO HELP FREELANCE	
3640 JOHNSON AVE			WRITERS AND ARTISTS	
BRONX, NY 10463			WHO HAVE	
		ļ	CAREER-THREATENING	
			ILLNESS	_2,500.
DONALD O BAILEY	NONE	N/A	TO HELP FREELANCE	
6554 MIMOSA PLACE			WRITERS AND ARTISTS	
RANCHO CUCAMONGA, CA 91739			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	6,000.
DONNA R. MORIN	NONE	N/A	TO HELP FREELANCE	
77 HIDDEN LAKE DRIVE			WRITERS AND ARTISTS	
SAUNDERSTOWN, RI 02874			WHO HAVE	
			CAREER-THREATENING	
		-	ILLNESS	2,500,
DORIT SASSON	NONE	N/A	TO HELP FREELANCE	
2043 WIGHTMAN STREET A8			WRITERS AND ARTISTS	
PITTSBURGH, PA 15217			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	1,000.
ERIC LEVAN	NONE	N/A	TO HELP FREELANCE	
8240 E. MARBLEHEAD WAY			WRITERS AND ARTISTS	
ANAHEIM, CA 92808			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	25,000.
HUNTER DARDEN	NONE	N/A	TO HELP FREELANCE	
320 IVY CIRCLE			WRITERS AND ARTISTS	
ELKIN, NC 28621			WHO HAVE	
			CAREER-THREATENING	.
TIPL DADGOVG LITTLE TABLE		1	ILLNESS	5,000.
IVY PARSONS-WELLS FARGO MORTGAGE	NONE	N/A	TO HELP FREELANCE	
1200 W 7TH ST. SUITE L2-200			WRITERS AND ARTISTS	
LOS ANGELES, CA 90017			WHO HAVE	
			CAREER-THREATENING	<u>.</u>
Total form and investigation about			ILLNESS	9,576,
Total from continuation sheets		·		289 514.

Part XV Supplementary Information 3 Grants and Contributions Paid During the				
Recipient	If recipient is an individual,			
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JAIME MANRIQUE	NONE	N/A	TO HELP FREELANCE	
33 BANK ST. #5			WRITERS AND ARTISTS	
NEW YORK, NY 10014			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	3,500,
JAN GILBERT	NONE	N/A	TO HELP FREELANCE	
7344 ONYX ST.			WRITERS AND ARTISTS	
NEW ORLEANS, LA 70124			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	3,300,
JAN LOWELL	NONE	N/A	TO HELP FREELANCE	
2302 NW, 21ST STREET LOT 15			WRITERS AND ARTISTS	
BOYNTON BEACH, FL 33436			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	3,000.
JANISSE RAY	NONE	N/A	TO HELP FREELANCE	
895 CATHERINE T SANDERS ROAD	i		WRITERS AND ARTISTS	
REIDSVILLE, GA 30453			WHO HAVE	
			CAREER-THREATENING	
		ļ	ILLNESS	6,000.
JENNIFER G BOVE	NONE	N/A	TO HELP FREELANCE	
553 BUXTON ROAD	ļ		WRITERS AND ARTISTS	
SACO, ME 04072			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	2,500.
JESSE CANTU	NONE	N/A	TO HELP FREELANCE	
3620 WOODCHASE DRIVE, #46			WRITERS AND ARTISTS	
HOUSTON, TX 77042	i		WHO HAVE	
			CAREER-THREATENING	
	<u>-</u>	 	ILLNESS	6,000.
JESUS LAVIERA	NONE	N/A	TO HELP FREELANCE	
2253 3RD. AVE. APT. 1110			WRITERS AND ARTISTS	
NEW YORK, NY 10035			WHO HAVE	
			CAREER-THREATENING	
		1	ILLNESS	12,000.
KARL ALEXANDER	NONE	N/A	TO HELP FREELANCE	
4230 BEAUCROFT COURT			WRITERS AND ARTISTS	
WESTLAKE VILLAGE, CA 91361			WHO HAVE	
			CAREER-THREATENING	F 404
WAR DUDYNA DE	NO.	 	ILLNESS	5,481.
KATHE BURKHART	NONE	N/A	TO HELP FREELANCE	
535 W. 23RD. ST. APT. S3P			WRITERS AND ARTISTS	
NEW YORK, NY 10011			WHO HAVE	
			CAREER-THREATENING	7.632
TINDY GLAME WASHING AND DEC	NONE	17/2	ILLNESS	7,632,
LIBBY SLATE-NAOMEH MASHHOOD, DDS	NONE	N/A	TO HELP FREELANCE	
8500 WILSHIRE BLVD. SUITE 602		1	WRITERS AND ARTISTS	
BEVERLY HILLS, CA 90211			WHO HAVE	
		1	CAREER-THREATENING	
Total from continuation sheets			ILLNESS	4,805.

	EN FOUNDATION		20-43	06938
Part XV Supplementary Information 3 Grants and Contributions Paid During the			· · · · · · · · · · · · · · · · · · ·	
Recipient	If recipient is an individual,			
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LIBBY SLATE-VERICREST FINANCIAL	NONE	N/A	TO HELP FREELANCE	
PO BOX 24330	110112	.,	WRITERS AND ARTISTS	
OKLAHOMA CITY, OK 73124			WHO HAVE	
·			CAREER-THREATENING	
			ILLNESS	802
LORNA RITZ	NONE	N/A	TO HELP FREELANCE	
1245 SOUTHEAST ST.			WRITERS AND ARTISTS	
AMHERST, MA 01002			WHO HAVE	
			CAREER-THREATENING	
	-	<u> </u>	ILLNESS	6,281,
MICHAEL GOLDEN	NONE	N/A	TO HELP FREELANCE	
400 W. 43RD. ST. #37K			WRITERS AND ARTISTS	
NEW YORK, NY 10036			WHO HAVE	
			CAREER-THREATENING	405
MYRA SAFFIAN	NONE	N/A	ILLNESS	425.
100 EAST PALISADE AVE. APT. D1	NONE	N/A	TO HELP FREELANCE WRITERS AND ARTISTS	
ENGLEWOOD, NJ 07631			WHO HAVE	
incidences, no over			CAREER-THREATENING	
	į		ILLNESS	300.
NATASHA BACCA	NONE	N/A	TO HELP FREELANCE	
2908 NE BARONESS PLACE			WRITERS AND ARTISTS	
BEND, OR 97701			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	450.
ROBERT FLEMING	NONE	N/A	TO HELP FREELANCE	
414 W. 120TH ST. #610			WRITERS AND ARTISTS	
NEW YORK, NY 10027			WHO HAVE	
			CAREER-THREATENING	
		<u></u>	ILLNESS	8,250.
SARA MILLMAN	NONE	N/A	TO HELP FREELANCE	
2463 IRMA WAY CASTRO VALLEY, CA 94546			WRITERS AND ARTISTS WHO HAVE	
CASIRO VALLEI, CA 94940			CAREER-THREATENING	
			ILLNESS	7,000.
SHAWN SPEAKMAN-SW WASHINGTON MEDICAL	NONE	N/A	TO HELP FREELANCE	7,000.
CTR	1	[,,	WRITERS AND ARTISTS	
PO BOX 1588			WHO HAVE	
VANCOUVER, WA 98668			CAREER-THREATENING	
			ILLNESS	3,746.
TERESA S. HUNT	NONE	N/A	TO HELP FREELANCE	
PO BOX 251			WRITERS AND ARTISTS	
CHARLOTTE, IA 52731			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	2,640.
TYRONE POWELL	NONE	N/A	TO HELP FREELANCE	
1418 E. SADDLEBROOK CT.			WRITERS AND ARTISTS	
CASA GRANDE, AZ 85122			WHO HAVE	
			CAREER-THREATENING	
Total from continuation sheets	_L.		ILLNESS	4,400.

3 Grants and Contributions Paid During the	/ear (Continuation)			
Recipient	If recipient is an individual,			-
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WILBUR CROSS	NONE	N/A	TO HELP FREELANCE	
300 WOODHAVEN DRIVE APT. 1209			WRITERS AND ARTISTS	
HILTON HEAD, SC 29928			WHO HAVE	
•			CAREER-THREATENING	
			ILLNESS	15,000
CHRISTOPHER IDONE-ASHLEY REALTY	NONE	N/A	TO HELP FREELANCE	•
872 MADISON AVE. 2ND FL.			WRITERS AND ARTISTS	
NEW YORK, NY 10021			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	18,022
DAVID RASMUSSEN	NONE	N/A	TO HELP FREELANCE	
345 COLORADO AVE. #207			WRITERS AND ARTISTS	
CARBONDALE, CO 81623			WHO HAVE	
,			CAREER-THREATENING	
			ILLNESS	25,000
DEVORAH BEN DAVID	NONE	N/A	TO HELP FREELANCE	
3665 SANDPIPER ROAD			WRITERS AND ARTISTS	
VIRGINIA BEACH, VA 23456			WHO HAVE	
·			CAREER-THREATENING	
			ILLNESS	2,000
EDWARD DILORENZO	NONE	N/A	TO HELP FREELANCE	
PO BOX 91268			WRITERS AND ARTISTS	
PASADENA, CA 91109			WHO HAVE	
·			CAREER-THREATENING	
			ILLNESS	5,700
GLENIS REDMOND	NONE	N/A	TO HELP FREELANCE	•
101 FIRST DAY ST.			WRITERS AND ARTISTS	
PIEDMONT, SC 29673			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	15,000
JOYCE KING RICHARD	NONE	N/A	TO HELP FREELANCE	
8725 MANHATTAN		}	WRITERS AND ARTISTS	
PLANO, TX 75024			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	5,000
MARIA J LASANSKY	NONE	N/A	TO HELP FREELANCE	
11 STEEL ROAD			WRITERS AND ARTISTS	
LINCOLNVILLE, ME 04849			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	25,000
MICHAEL SEAN DALE-HENDERSONVILLE	NONE	N/A	TO HELP FREELANCE	
MEDICAL CTR			WRITERS AND ARTISTS	
355 NEW SHACKEL ISLAND ROAD			WHO HAVE	
HENDERSONVILLE, TN 37075			CAREER-THREATENING	
			ILLNESS	1,923
MICHAEL SEAN DALE-VANDERBILT MEDICAL	NONE	N/A	TO HELP FREELANCE	
CTR		1	WRITERS AND ARTISTS	
VANDERBILT MEDICAL CENTER DEPT AT			WHO HAVE	
40243 ATLANTA, GA 31192			CAREER-THREATENING	
		<u> </u>	ILLNESS	10,211

THE HAVEN FOUNDATION

20-4356938

• :

Part XV Supplementary Information				
3 Grants and Contributions Paid During the				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
	or substantial contributor	recipient		
PHYLLIS STAPLER	NONE	N/A	TO HELP FREELANCE	
3124 COUNTY ROAD 203 TRLR A			WRITERS AND ARTISTS	
OURANGO, CO 81301			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	5,88
ROBERT BOYKIN	NONE	N/A	TO HELP FREELANCE	
1333 MOORPARK ST. #212			WRITERS AND ARTISTS	
STUDIO CITY, CA 91602			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	4,00
VICTOR E ZAIKINE	NONE	N/A	TO HELP FREELANCE	
3885 OAK GROVE AVE.			WRITERS AND ARTISTS	
SEBASTOPOL, CA 95472			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	5,00
		1		
		 		
		1		
		1		
		1		
		1		
Total from continuation sheets				

Part XV Supplementary Information 3 Grants and Contributions Approved for Fut)		
Recipient	If recipient is an individual,			
Name and address (home or business)	show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KATHE BURKHART	NONE	N/A	TO HELP FREELANCE	
535 W. 23RD. ST. APT. S3P			WRITERS AND ARTISTS	
NEW YORK, NY 10011			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	10,896
LIBBY SLATE	NONE	N/A	TO HELP FREELANCE	
8360 BLACKBURN ST. APT. 6			WRITERS AND ARTISTS	
LOS ANGELES, CA 90048			WHO HAVE	
			CAREER-THREATENING	
	ļ	ļ	ILLNESS	9,393
MICHAEL GOLDEN	NONE	N/A	TO HELP FREELANCE	
400 W. 43RD. ST. #37K			WRITERS AND ARTISTS	
NEW YORK, NY 10036			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	3,575
SARA MILLMAN	NONE	N/A	TO HELP FREELANCE	
2463 IRMA WAY			WRITERS AND ARTISTS	
CASTRO VALLEY, CA 94546			WHO HAVE	
			CAREER-THREATENING	
			ILLNESS	5,000
SHAWN SPEAKMAN-SW WASHINGTON MEDICAL	NONE	N/A	TO HELP FREELANCE	
CTR			WRITERS AND ARTISTS	
PO BOX 1588			WHO HAVE	
VANCOUVER, WA 98668			CAREER-THREATENING	
		1	ILLNESS	21,254
CHRISTOPHER IDONE-ASHLEY REALTY	NONE	N/A	TO HELP FREELANCE	
872 MADISON AVE. 2ND FL.			WRITERS AND ARTISTS	
NEW YORK, NY 10021			WHO HAVE	
			CAREER-THREATENING	6 070
ROBERT BOYKIN	NONE	N/A	TO HELP FREELANCE	6,978
11333 MOORPARK ST. #212	NONE	N/A	WRITERS AND ARTISTS	
STUDIO CITY, CA 91602			WHO HAVE	
biobio citi, en siova			CAREER-THREATENING	
			ILLNESS	5,600
			220N300	
		1		
				-
				
Total from continuation sheets				62 696

Part IV Capital Gains and Los		20-4330	930 E	AGE I OF ZI
	sses for Tax on Investment Income		(b) How acquired	the same of the same of
(a) List and 2-story br	2-Story Brick wateriouse, or continion stock, 200 sits. Wile Go.			(c) Date acquired (d) Date sold (mo., day, yr.)
1a 17 ALCON INC	a 17 ALCON INC			12/14/1001/01/11
b 65 AT & T INC	b 65 AT & T INC			12/14/1001/01/11
c 30 CONOCOPHILL	IPS		P	12/14/1001/01/11
d 30 HESS CORPOR			P	12/14/1001/01/11
e 50 CABLEVISION			P	12/14/10/01/03/11
f 7 ALCON INC	DID COM		P	12/14/1001/05/11
g 25 DIRECTV-CLA	CC A		P	12/14/1001/05/11
	35 A		_	12/14/1001/03/11
h 10 ALCON INC			P	
125 AT&T INC			P	12/14/1001/11/11
14 SCHLUMBERGE	R LTD		P	12/14/1001/11/11
k 20 ALCON INC			P	12/14/1001/13/11
190 XL GROUP PL			P	12/14/1001/13/11
m 65 MICROSOFT C			P	12/14/1001/14/11
n 45 SOUTHWESTER	N ENERGY		P	12/14/1001/14/11
0 20 GILEAD SCIE	NCES INC		P	12/14/1001/18/11
(a) Cross soles price	(f) Depreciation allowed	(g) Cost or other basis	(h	ı) Gaın or (loss)
(e) Gross sales price	(or allowable)	plus expense of sale	(e)	plus (f) minus (g)
a 2,780.		2,766.		14.
b 1,903.		1,885.		18.
c 2,039.		1,976.		63.
d 2,294.		2,225.		69 .
e 1,702.		1,744.		<42.>
f 1,142.		1,139.		3.
g 1,030.		1,005.		25.
h 1,625.		1,627.		<2.>
3,486.		3,626.		<140.>
1,149.		1,148.		1.
k 3,258.		3,254.		4.
$\frac{1}{1}$ 2,007.		1,885.		122.
		1,794.		
m 1,824.				30.
n 1,770.		1,611.		<u>159.</u>
0 772.	l ng gain in column (h) and owned by t	743.		<u> 29.</u>
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	sses (from col. (h)) of col. (h) gain over col. (k), not less than "-0-")
a				14.
b				18.
С				63.
d				69.
е				<42.>
<u>f</u>				3. 25.
g h				<2.>
h				
1				<140.>
1			-	1.
k				4.
<u> </u>				122.
<u>m</u>				30 .
<u>n</u>				159.
0			1	29.
2 Capital gain net income or (net ca	apıtal loss) { If gain, also enter If (loss), enter "-0	ın Part I, line 7 -" ın Part I, line 7 }		
3 Net short-term capital gain or (los If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8		d (6):		
				

Part IV Capital Gains and Los	sses for Tax on Investment Income			
(a) List and 2-story br	describe the kind(s) of property solick warehouse; or common stock, 20	(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)	
1a 17 KOHLS CORP			P	12/14/1001/18/11
b 55 EMC CORP/MA	SS		P	12/14/1001/19/11
	c 25 GILEAD SCIENCES INC			12/14/1001/19/11
d 8 FREEPORT-MCM			P	12/15/1001/20/11
e 55 CABLEVISION	SYS CORP		P	12/14/1001/21/11
f 30 CF INDUSTRI	ES HOLDINGS INC		P	12/15/1001/24/11
9 8 FREEPORT-MCM	ORAN COPPER		P	12/15/1001/25/11
h 75 NVIDIA CORP			P	12/15/1001/26/11
165 GAP INC/THE			P	12/14/1001/27/11
	OF SASKATCHEWAN		P	12/15/1001/28/11
k 30 SOUTHWESTER	N ENERGY		P	12/14/1001/28/11
10 ALCON INC			P	12/14/10/01/31/11
m 5 CME GROUP IN	C		P	12/14/10/01/31/11
n 24 COOPER INDU	STRIES PLC		P	12/14/10/01/31/11
0 110 ALTRIA GRO	UP INC		_ P	12/14/1002/01/11
(e) Gross sales price .	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		a) Gain or (loss) plus (f) minus (g)
a 875.		915.		<40.>
b 1,330.		1,246.		84.
c 955.		928.		
d 878.		902.		<24.>
e 1,897.		1,919.		<22.>
f 4,012.		3,609.		403.
g 844.		902.	_	<58.>
h 1,850.		1,103.		747.
1,268.		1,367.		<99.>
1,047.		837.		210.
k 1,177.		1,074.		103.
1,633.		1,627.		6.
m 1,538.		1,625.		<87.>
n 1,473.		1,384.		89.
0 2,595.	1	2,727.		<132.>
Complete only for assets showing	ng gain in column (h) and owned by	the foundation on 12/31/69		sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		of col. (h) gain over col. (k), not less than "-0-")
a	<u> </u>			<40.
b				84.
С				27.
d	<u> </u>			<24.>
e				<u> </u>
f	I			403.
9				<58 . >
h				747.
<u> </u>				<99 <u>.</u> >
<u></u>				210.
k				103.
<u> </u>				6.
m			<u> </u>	<87 . >
n				89.
0	<u> </u>			<132.>
2 Capital gain net income or (net ca	apital loss) { If gain, also enter	r in Part I, line 7)-" in Part I, line 7		
If gain, also enter in Part I, line 8,	ss) as defined in sections 1222(5) ar column (c).	, I		
If (loss), enter "-0-" in Part I, line	}			

THE HAVEN FOUNDATION

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold - Purchase 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) D - Donation 1a 75 FORD MOTOR CO 12/14/1002/01/11 P 12/15/1002/01/11 b 65 MICROSOFT CORP P c 45 FLAGR7UM INC P 12/14/1002/02/11 12/14/1002/07/11 d 22 DEERE & CO P P 12/14/1002/07/11 e 21 KOHLS CORP f 95 CONSTELLATION ENERGY GROUP P 12/14/1002/09/11 g 8 ALCON INC 12/14/1002/10/11 P h 55 NVIDIA CORP P 12/15/1002/10/11 P 1 60 CISCO SYSTEMS INC 12/21/1002/11/11 12/14/1002/11/11 FORD MOTOR CO P 60 P 12/14/1002/11/11 GILEAD SCIENCES INC 25 155 CISCO SYSTEMS INC P 12/21/1002/14/11 m 40 NVIDIA CORP P 12/15/1002/14/11 n 16 DEERE & CO 12/14/1002/15/11 P 0 80 CISCO SYSTEMS INC P 12/21/1002/16/11 (f) Depreciation allowed (h) Gain or (loss) (g) Cost or other basis (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 1,211. 1,235. <24.> a 1,815. 1,808. 7. b 45<u>6.</u> 4,142. 3,686. C 2,075. 1,820. 255. d 1,087. 1,131. <44.> е 3,069 2,680. 389. 11. 1,313. 1,302. g <u>453.</u> 1,262. 809. 1,127. 1,175. <48.> 965 988. <23.> 954. 928. 26. 1,035. 077. <42.> 588. 341. 929. m 1,495 1,324. 171. n <76.> 1.490. 1.566. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (I) but not less than "-0-") (i) F.M.V. as of 12/31/69 as of 12/31/69 over col. (j), if any <24.> 7. b 456. 255<u>.</u> d <44.> 389. f 11. 453. h <48.> <23.> 26. <42.> 341. m 171. <76.> If gain, also enter in Part I, line 7 2 Capital gain net income or (net capital loss) If (loss), enter "-0-" in Part I, line 7 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired P - Purchase D - Donation (c) Date acquired (mo., day, yr.) (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) 1a 27 DEERE & CO 12/14/1002/16/11 P b 20 GILEAD SCIENCES INC P 12/14/1002/17/11 c 40 HONEYWELL INTERNATIONAL INC P 12/14/1002/17/11 d 100 MICROSOFT CORP P 12/14/1002/17/11 e 30 TYCO ELECTRONICS LTD P 12/14/1002/17/11 12 TIME WARNER CABLE P 12/14/1002/17/11 P 12/14/1002/18/11 3 APPLE INC h 3 CME GROUP INC P 12/14/1002/18/11 P 1 250 FIFTH THIRD BANCORP 12/14/1002/18/11 P 30 TYCO ELECTRONICS LTD 12/14/1002/18/11 P 12/14/1002/18/11 k 40 WELLS FARGO & COMPANY P 12/14/1002/22/11 1 35 WELLS FARGO & COMPANY m 5 ALCON INC P 12/14/1002/23/11 P 12/14/1002/23/11 21 EATON CORP o 23 PARKER HANNIFIN CORP Р 12/14/1002/23/11 (g) Cost or other basis (h) Gain or (loss) (f) Depreciation allowed (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 2,582. 2,234. 348. a 786. 743. 43. b 2,297. 2,094. 203. C 2,716. 2,760. <44.> 1,031. 1,133. 102. 868. 781 87. 964. 96. 1,060. g 909. 975. <66.> 3,750. 3,647. 103. 1,131. 1,031. 100. 102. 1,306. 1,204. 1,106. 1,054. 52. 14. 827. 813. m 2,225. 2,084. 141. n 1,985. 2,012. 27. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 348. 43. b 203. <44.> d 102. 87. f 96. <6<u>6.</u>> h 103. 100. 102. 52. 14. m 141. n 27. 0 If gain, also enter in Part I, line 7 2 Capital gain net income or (net capital loss) { If (loss), enter "-0-" in Part I, line 7 } 2

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Lo	sses for Tax on Investment Income			3 01 17
	d describe the kind(s) of property solorick warehouse; or common stock, 20	(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)	
1a 50 VERTEX PHAR	MACEUTICALS INC		P	01/13/1102/23/11
b 85 CISCO SYSTE	MS INC		P	12/21/1002/24/11
c 16 EATON CORP			P	12/14/1002/24/11
d 85 FORD MOTOR	CO		P	12/14/1002/24/11
e 18 KOHLS CORP			P	12/14/1002/28/11
1 20 PARKER HANN			P	12/14/1002/28/11
g 13 SCHLUMBERGE	R LTD		P	12/14/1003/01/11
h 9 ALCON INC			P	12/14/1003/03/11
175 DELTA AIR	LINES INC		P	12/14/1003/03/11
10 DEERE & CO			P	12/14/1003/03/11
k 10 SCHLUMBERGE			P	12/14/1003/03/11
30 SUNCOR ENER			P	12/15/1003/03/11
m 3 CME GROUP IN			P	12/14/1003/04/11
	FINANCIAL CORP		P	12/14/1003/04/11
0 35 ENSCO PLC-		(10)	<u> P</u>	12/14/10/03/04/11
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		ı) Gaın or (loss) plus (f) mınus (g)
a 2,196.		1,903.		293.
b 1,555.		1,664.		<u> <109.</u> >
c 1,677.		1,588.		89.
d 1,244.		1,400.		<u> </u>
e 962.		969.		<u> </u>
f 1,790.		1,726.		64.
$\frac{g}{h}$ 1,205.		1,066. 1,464.	·-····································	139.
1,493. 1,808.		2,284.		29. <476.>
$\frac{1}{1}$ 927.		827.		100.
k 925.		820.		105.
1,402.		1,100.		302.
m 910.		975.		<65.>
n 2,178.		1,914.		264.
0 1,994.		1,822.		172.
Complete only for assets show	ng gain in column (h) and owned by t		(I) Lo	sses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		of col. (h) gain over col. (k), not less than "-0-")
a				293.
b				<109.>
С				89.
d				<156 . >
e				<u> </u>
<u>f</u>				64.
<u>g</u>				139.
<u>h</u>				29.
<u> </u>				< <u>476.</u> >
1.				100.
<u>k</u>	-		·	105.
<u> </u>	1			302.
	m			<u><65.</u> >
<u>n</u>				<u>264.</u> 172.
0	I figure also enter	ın Part I. line 7		1/2•
2 Capital gain net income or (net c	1 11 (1000); 01101 0			
3 Net short-term capital gain or (lo if gain, also enter in Part I, line 8 if (loss), enter "-0-" in Part I, line		d (6):		
			.1	

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold P - Purchase D - Donation (mo., day, yr.) 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) 1a 20 ROYAL CARIBBEAN CRUISES LTD 12/14/1003/04/11 P b 155 FORD MOTOR CO P 12/14/1003/07/11 c 175 FORD MOTOR CO P 12/14/1003/07/11 d 30 KIMBERLY-CLARK CORP P 12/14/1003/07/11 e 20 TIME WARNER INC P <u>12/14/100</u>3/08/11 f 45 JPMORGAN CHASE & CO P 12/14/1003/09/11 18 OCCIDENTAL PETROLEUM CORP P 12/14/1003/09/11 12/14/1003/09/11 P h 70 VODAFONE GROUP PLC-SP ADR 1 130 VODAFONE GROUP PLC-SP ADR P 12/14/1003/09/11 30 GILEAD SCIENCES INC P 12/14/1003/11/11 PARKER HANNIFIN CORP P 12/14/1003/11/11 k 21 POTASH CORP OF SASKATCHEWAN P 12/15/1003/11/11 m 25 GOODRICH CORP P 12/14/1003/14/11 HONEYWELL INTERNATIONAL INC 12/14/1003/14/11 n 15 P KOHLS CORP Р 12/14/1003/14/11 (g) Cost or other basis (f) Depreciation allowed (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 9. 846 837. 2,553. <369.> 2,184. b 2,466. 2,882. <416.> 1,932. 1,861. 71. d 727. 633. 94. 1,845. 253. 2,098. f 1,828. 1,703. 125. q 189. 2.064. 1.875. h 3,834. 3,481. 353. 1,114. <u>1,228.</u> 114. 1,770. 1,813. <43.> 922. 790. 132. ı 2,067. 2,158. m <91.> 785. 833. 48. n 969. 969. 0. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") over col. (j), if any as of 12/31/69 9. a <369.> b <416.> C 71. 94. е 253. 125. 189. h 353. 114. <43.> 132. 1 m <91.> 48. п 0. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

CONTINUATION FOR 990-PF, PART IV 20-4356938 PAGE 7 OF 27

Part IV Capital Gains and Lo	sses for Tax on Investment Income		<u> </u>		
(a) List and 2-story bi	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.				
1a 14 COOPER INDU	STRIES PLC	_	P	12/14/1003/15/11	
b 13 COSTCO WHOL	ESALE CORP		P	12/15/1003/16/11	
c 25 THE WALT DI	SNEY CO.		P	12/14/1003/16/11	
d 9 GOLDMAN SACH	9 GOLDMAN SACHS GROUP INC			12/14/1003/16/11	
				12/14/1003/16/11	
f 6 CME GROUP IN			P P	12/14/1003/10/11	
g 3 CME GROUP IN			P	12/14/1003/17/11	
	MS INC		P	12/21/1003/17/11	
1 40 INTEL CORP	MD INC		P	12/21/1003/17/11	
12 COSTCO WHOL	ECALE CORD				
			<u> P</u>	12/15/1003/18/11	
k 225 SARA LEE C	<u>ORP</u>		P	12/14/1003/18/11	
14 ALCON INC			P	12/14/1003/22/11	
	PLORATION CO		P	12/15/1003/23/11	
n 150 DELTA AIR			P	12/14/1003/24/11	
0 25 DELTA AIR L	INES INC		P	12/14/1003/24/11	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale) Gain or (loss) clus (f) minus (g)	
a 855.		808.		47.	
910.	i	928.	····	<18.	
1,022.		935.	<u>-</u>	87.	
d 1,397.		1,525.		<128.	
e 809.		859.		<50.	
f 1,721.		1,950.			
0.61	-	975.		<229.	
4 64 5				<114.	
		1,860.	· ··	<245.	
796.		853.		<u> </u>	
846.		857.		<u> </u>	
3,832.		3,639.		193.	
2,303.		2,278.		25.	
n 1,842.		1,789.		<u>53.</u>	
1,499.		1,958.		<459.	
250.		326.		<76.	
Complete only for assets showing	ng gain in column (h) and owned by	the foundation on 12/31/69	(I) Los	ses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess of	of col. (h) gain over col. (k), ot less than "-0-")	
a	-			47.	
)	-			<18.	
,				87.	
				<128.	
				<50.	
				<229.	
			**	<114.	
1				<245.	
<u> </u>				<u> </u>	
<u> </u>				<11.	
(193.	
				25.	
1				53.	
1				<459.	
)			<u>-</u>	<76.:	
Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter "-0	in Part I, line 7_ }		~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	
•	ss) as defined in sections 1222(5) an	_			
If (loss), enter "-0-" in Part I, line 8					

	d describe the kind(s) of property solerick warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation (c) Date acquired (mo., day, yr.) (d) Date sold (mo., day, yr.)		
1a 35 ENSCO PLC-			P 12/14/1003/24/11		
b 65 GARMIN LTD	BION ADK		P 12/14/10/03/24/11		
c 25 KOHLS CORP			P 12/14/10/03/24/11		
	PETROLEUM CORP		P 12/14/10/03/24/11		
			P 12/14/1003/24/11		
f 22 SCHLUMBERGE			P 12/14/10/03/23/11		
	CTRIC CO		P 12/14/10/03/29/11 P 02/01/11/03/29/11		
	CTRIC CO		P 02/09/1103/29/11		
15 EMERSON ELE			P 02/17/1103/29/11		
k 15 EMERSON ELE			P 03/01/1103/29/11		
31 KOHLS CORP			P 12/14/10/03/30/11		
m 11 SCHLUMBERGE	R LTD		P 12/14/10/03/30/11		
n 65 COMERICA IN			P 12/14/10/04/01/11		
	RP		P 12/14/10/04/04/11		
	(f) Depreciation allowed	(g) Cost or other basis	(h) Gain or (loss)		
(e) Gross sales price	(or allowable)	plus expense of sale	(e) plus (f) minus (g)		
a 2,003.		1,822.	181.		
b 2,203.		1,995.	208.		
c 1,316.		1,346.	<30.>		
d 1,198.		1,135.	63.		
e 873.		781.	92.		
f 1,998.		1,804.	194.		
g 1,029.		851.	178.		
h 2,276.		2,375.	<99.>		
1,423.		1,524.	<101.>		
854.		928.	<74.>		
k 854.		892.	<38.>		
1,641.		1,669.	<u> </u>		
m 1,030.		902.	128.		
n 2,409.		2,667.	<u> </u>		
0 1,918.		2,160.	<u> </u>		
Complete only for assets show	ng gain in column (h) and owned by t		(I) Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (1) over col. (j), if any	Gains (excess of col. (h) gain over col. (k), but not less than "-0-")		
a			181.		
b			208.		
C			<30.>		
d			63.		
<u>e</u>			92.		
f			194.		
<u>g</u>			178.		
h			<99. >		
1			<101.>		
			<u> </u>		
<u>k</u> .			<u> </u>		
<u> </u>			<28.>		
m			128.		
n			<258.>		
0	<u> </u>		<242.>		
Capital gain not income or fact or	apıtal loss) { If gain, also enter If (loss), enter "-0	in Part I, line 7	.		
2 Capital gain net income or (net ca		, I	2		
3 Net short-term capital gain or (lo If gain, also enter in Part I, line 8,	ss) as defined in sections 1222(5) an , column (c).	d (6):			

Part IV Capital Gains and Lo	sses for Tax on Investment Income		
(a) List and 2-story b	(b) How acquired P - Purchase D - Donation (c) Date acquired (mo., day, yr.) (d) Date sold (mo., day, yr.)		
1a 50 CARNIVAL CORP			P 12/14/1004/04/11
b 5 CARNIVAL CORP			P 03/14/1104/04/11
c 50 CISCO SYSTEMS INC			P 12/21/1004/04/11
d 24 MCKESSON CORP			P 02/17/1104/04/11
e 11 MCKESSON CO	RP		P 03/18/1104/04/11
f 36 EATON CORP			P 12/14/1004/05/11
g 45 INTEL CORP			P 12/15/1004/05/11
h 50 JPMORGAN CHASE & CO			P 12/14/1004/05/11
145 ALCOA INC			P 12/14/1004/06/11
1 30 KOHLS CORP			P 12/14/1004/06/11
k 20 SUNCOR ENER			P 12/15/1004/06/11
	NTERNATIONAL INC		P 12/14/1004/07/11
m 25 CARNIVAL CO			P 03/14/1104/08/11
n 30 KIMBERLY-CL			P 12/14/1004/12/11
0 30 HONEYWELL I	NTERNATIONAL INC		P 01/31/1104/13/11
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,918.		2,160.	<242.
b 192.		199.	<7.
c 852.		979.	<127.
d 1,903.		1,917.	<14.
e 872.		857.	15.
f 2,006.		1,786.	220.
g 889.		959.	<70.
h 2,317. 1 819.		2,050.	267.
$\frac{1}{1}$ 1,630.		643. 1,615.	176.
k 894.		734.	15.
1,761.	-	1,570.	<u>160.</u>
m 934.		996.	191. <62.
n 1,969.		1,861.	108.
0 1,722.		1,666.	56.
	ng gain in column (h) and owned by t		(I) Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<242.
<u>b</u>			<7.
С			<127.
d			<14.
<u>e</u>			15.
f			220.
<u>g</u>			<70.
h			267.
<u> </u>			176.
!			
<u>k</u>			160.
<u> </u>			191.
<u>m</u>	-		<62.
<u>n</u>			108.
0			56.
2 Capital gain net income or (net ca3 Net short-term capital gain or (los	(ii (1033), cirtai 0	, I	
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8	column (c).	3	

Part IV Capital Gains and Losses for Tax on Investment Income							
			(b) How acquired P - Purchase D - Donation	Date acquired (d) Date sol mo., day, yr.) (mo., day, yr			
1a 15 AON CORP	a 15 AON CORP			1/03/1104/14/	$\overline{11}$		
b 25 AON CORP				1/03/1104/14/	$\overline{11}$		
c 20 CAPITAL ONE	FINANCIAL CORP		P 12	2/14/1004/15/	11		
d 55 CARMIN I TD			P 13	2/14/1004/15/	<u>11</u>		
e 30 DIRECTV-CLAS	SS A		P 1:	2/14/1004/18/	<u>11</u>		
1 30 ROVI CORP				<u>2/15/1004/18/</u>			
g 10 ROVI CORP				<u>2/01/1104/18/</u>			
				<u>2/14/1004/19/</u>			
				<u>2/14/1004/21/</u>			
100 NEWS CORP				2/14/1004/21/			
	CEUTICAL-SP ADR			2/14/1004/21/			
	CEUTICAL-SP ADR		 	3/10/1104/21/			
m 45 AON CORP				1/18/1104/25/			
n 12 AON CORP				2/22/1104/25/			
○ 175 DELL INC				2/14/1004/25/	<u>11</u>		
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		in or (loss) (f) minus (g)			
a 783.		693.			<u>0.</u>		
b 1,305.		1,155.		150			
c 1,000.		851.	****	149			
d 1,867.		1,688.		179			
e 1,376.		1,206.		170			
f 1,476.		1,695.		<219			
g 492. h 3,922.		627.		<135			
$\frac{h}{l}$ 3,922.		4,038. 1,700.		<116 475			
$\frac{1}{1}$ $\frac{2,173}{1,738}$.		1,446.		292			
k 4,368.		5,013.		<645			
$\frac{\kappa}{1}$ 920.		991.	- 		1.>		
m 2,350.		2,016.		334	_		
n 627.	****	633.		<6.			
0 2,682.		2,343.		339			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h))							
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess of co	Gains (excess of col. (h) gain over col. (k), but not less than "-0-")			
a				9(0.		
b				150			
С				149			
d				179			
<u>e</u>				170			
f				<219			
g				<135			
h				<116			
1				475			
-			*****	292			
<u>k</u>				<645			
<u> </u>				<71			
m				334			
n					<u>6.</u> >		
0	r If gain, also enter	ın Part I, line 7		339	j .		
Capital gain net income or (net cap Net short-term capital gain or (los)	pital loss) { If gain, also enter	- 1	2		_		
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8	column (c).	d (0),	3				
			·		_		

THE HAVEN FOUNDA			0930 E	AGE II OF 27	
	sses for Tax on investment income	L o a real actata	(h) How acquired	May Bata aggregated (4) Bata and	
2-story brick warehouse; or common stock, 200 shs. MLC Co.			(c) Date acquired (d) Date sold (mo., day, yr.)		
1a 12 GOLDMAN SACHS GROUP INC			P	12/14/1004/25/11	
b 50 EMC CORP/MASS			P	12/14/1004/26/11	
c 45 TIME WARNER INC			P	12/14/1004/20/11	
d 50TE CONNECTIV	ITY LTD		P	12/14/1005/02/11	
e 40 LEAR CORP			P	12/14/1005/03/11	
1 150 WELLS FARG			P	12/14/1005/03/11	
g 50 EDISON INTERNATIONAL			P	12/14/1005/04/11	
h 45 LOWE'S COS INC			<u> P</u>	12/14/1005/05/11	
55 WELLS FARGO & COMPANY 40 JUNIPER NETWORKS INC			P	12/14/1005/05/11 01/21/1105/06/11	
k 45 LOWE'S COS			P	12/14/1005/06/11	
	ERNATIONAL CORP		P	12/14/1005/09/11	
m 135 BIL&T CORP			P	12/14/1005/10/11	
n 15 ALLERGAN IN	C		P	12/14/1005/11/11	
	HS GROUP INC		P	12/14/1005/12/11	
(e) Gross sales price	(f) Depreciation allowed	(g) Cost or other basis	(h) Gain or (loss)	
	(or allowable)	plus expense of sale	(e)	plus (f) minus (g)	
a 1,821. b 1,410.		2,033. 1,133.		<u><212.</u> >	
1 502		1,133.		<u>277.</u> 280.	
$\frac{c}{d}$ 1,803.		1,718.		85.	
e 2,040.		1,927.		113.	
f 4,402.		4,516.		<114.>	
g 1,956.		1,930.		26.	
h 1,162.		1,127.		35.	
1,534.		1,656.		<122.>	
1,500.		1,404.		96.	
k 1,157.		1,127.		30.	
1,474.		1,514.		<40.>	
m 3,657.		3,621.	36.		
n 1,221.		1,061.		160.	
o 2,129.	ng gain in column (h) and owned by t	2,542.		<413.>	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")		
a				<212.>	
<u>b</u>				277.	
<u>c</u>				280.	
<u>d</u>			·····	85.	
<u>e</u>				113.	
<u> </u>				<114.>	
9				<u>26.</u> 35.	
<u>h</u>				<122.>	
				96.	
<u> </u>				30.	
<u>"</u>				<40.>	
m		_		36.	
n				160.	
0				<413.>	
2 Capital gain net income or (net ca	apıtal loss) { If gain, also enter If (loss), enter "-0-	ın Part I, line 7 -" ın Part I, line 7	2		
3 Net short-term capital gain or (los If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8	ss) as defined in sections 1222(5) and column (c).	d (6):	3		
			 		

Part IV Capital Gains and Losses for Tax on Investment Income						
			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
1a 25 JOHNSON & JOHNSON			P	12/14/10	5/12/11	
b 135 MORGAN STA	b 135 MORGAN STANLEY			12/14/100		
c 20 EDISON INTERNATIONAL				12/14/10		
d 30 EDISON INTE	RNATIONAL		P	03/07/11	5/13/11	
	NDS INC		P	12/14/10	5/13/11	
· · · · · · · · · · · · · · · · · · ·	P-CL A		P	12/14/10	5/16/11	
g 125 COMCAST CO				12/14/10		
h 20 COMCAST COR				02/22/110	<u></u>	
1 25 GILEAD SCIE				12/14/10		
J 137 JPMORGAN C				12/14/10		
k 88 JPMORGAN CH	ASE & CO			12/14/100		
15 DOW CHEMICAL		· · · · · · · · · · · · · · · · · · ·		12/14/100		
m 90 DOW CHEMICA				12/14/100		
n 10 DOW CHEMICA				12/14/100		
0 70 DOW CHEMICA		(-) 01		01/03/110	05/18/11	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		Gain or (loss) lus (f) minus (g)	4	
a 1,661.		1,563.			98.	
b 3,304.		3,637.			<u> <333.</u> >	
c 790.		772.			<u> 18.</u>	
d 1,185.		1,128.			57.	
e 1,048. f 746.		773.		·····	275.	
2 100		662. 2,759.		····	84.	
		505.	··-·		349.	
$\frac{h}{1,025}$		928.			<u> </u>	
5,915.		5,616.			<u> </u>	
k 3,799.		3,608.			191.	
184.		171.			13.	
m 3,320.		3,081.	23			
n 369.		342.	2			
0 2,582.		2,445.	13'			
	ig gain in column (h) and owned by t		(I) Los	ses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess of col (h) gain over col. (k), but not less than "-0-")			
a					98.	
b					<333.>	
С					18.	
d					57.	
e					275.	
f					84.	
g					349.	
<u>h</u>					<u> </u>	
1					<u>97.</u>	
1	- · · · · · · · · · · · · · · · · · · ·				<u> 299.</u>	
<u>k</u>					191.	
1					13.	
m					239.	
n					27.	
0					<u> 137.</u>	
2 Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter "-0-	in Part I, line 7 " in Part I, line 7	2			
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8	s) as defined in sections 1222(5) and column (c).	1 (6):				
ii (1033), enter -0- iii rait i, lille d	,		3			

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (a) List and describe the kind(s) of property sold, e.g., real estate. (c) Date acquired (d) Date sold P - Purchase D - Donation 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) 12/14/1005/18/11 JPMORGAN CHASE & CO P b 65 WELLS FARGO & COMPANY Р 12/14/1005/19/11 ARCHER-DANIELS-MIDLAND CO P 12/14/1005/20/11 c 60 d 40 ARCHER-DANIELS-MIDLAND CO P 02/15/1105/20/11 e 15 GOLDMAN SACHS GROUP INC 12/14/1005/23/11 P CAMERON INTERNATIONAL CORP 12/14/1005/25/11 f 20 P AON CORP 02/22/11/05/26/11 Ρ 18 h 20 CAMERON INTERNATIONAL. CORP Ρ 12/14/1005/26/11 CAMERON INTERNATIONAL CORP P 01/18/1105/26/11 40 SOUTHWESTERN ENERGY P 12/14/1005/27/11 P 12/14/1006/01/11 150 DELL INC 150 TIME WARNER INC P 12/14/1006/02/11 m 40 DEVON ENERGY CORPORATION P 12/14/1006/07/11 12/15/1006/07/11 n 25 NEWFIELD EXPLORATION CO P o 5 NEWFIELD EXPLORATION CO P 05/16/1106/07/11 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price plus expense of sale (or allowable) (e) plus (f) minus (g) 76. 1,101. 1,025 <99.> 1,858. 1,957. 1,881. 43. 1,838. C 1,254. 1,453. <199.> 2,542. 2,036. <506.> 1,010. 955 <55.> 934. <16.> 950. 955. 1,010. <55.> 716. 778. <62.> 1,731 1,432. 299. 396. 2.404 2,008. 202. 1,784 1,582. 2,957. 273. 3,230 m 1,753. 1,789. <36.> n 351. 348. 3. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 76. <99.> b 43. <199.> d <506.> <55.> f <16.> <55.> h <62.> 2<u>99</u>. 396. 202. 273. m <36.> 3. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

20-4356938 PAGE 14 Part IV Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold - Purchase 2-story brick warehouse; or common stock, 200 shs. MLC Co. D - Donation (mo., day, yr.) (mo., day, yr.) 1a 25 DTE ENERGY COMPANY 03/04/1106/09/11 P GOLDMAN SACHS GROUP INC ь 10 P 12/14/1006/10/11 c 28 HUNTINGTON INGALLS INDUST-WI P 12/28/1006/13/11 NEWFIELD EXPLORATION CO d 25 P 05/16/1106/15/11 PARKER HANNIFIN CORP P 12/14/1006/15/11 20 RAYTHEON COMPANY P 12/14/1006/17/11 ACCENTURC PLC-CL A 25 P 12/14/1006/21/11 h 38 TIME WARNER CABLE P 12/14/1006/21/11 SMITHFIELD FOODS INC P 12/14/1006/23/11 110 COMMERCIAL METALS CO P 01/03/1106/29/11 k 45 MOTOROLA SOLUTIONS INC P 03/10/1106/30/11 15 MOTOROLA SOLUTIONS INC P 03/21/1106/30/11 m 80 INGERSOLL-RAND PLC P 12/14/1007/05/11 n 5 INGERSOLL-RAND PLC 12/14/1007/05/11 Ρ 0 4 LOWE'S COS INC P 12/14/1007/07/11 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 1,239 55. 1,184. а 1,340. <u>1,695</u>. <355.> h 1,026. 1,021. 5<u>.</u> 1,619. 1,740. <121.> d 1,821 1,813. 8<u>.</u> 974. 913. f 61. 1,370. 200. 1,170. g 2,876. h 2,473. 403. 2,047. 1,900. 147. 1,583. 1,855 <272.> 2,072. 1,823. 249. k 230. 210. ı 20. 3,608. m 3,684. 76. 230. 229. n <4<u>.</u>> 96. 100. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 55. a <355.> b C 5. <121.> d 8. е 61. 200. 403. h 147. <272.> 249. ı 20. 7<u>6</u>. m 1. n <4.> 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } Capital gain net income or (net capital loss) 2

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

Part	Capital Gains and Lo	sses for Tax on investment income			
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.					(c) Date acquired (d) Date sold (mo., day, yr.)
1a 3!	5 LOWE'S COS	INC		P	03/14/1107/07/11
b 3!	5 RELIANCE ST	EEL & ALUMINUM		P	01/07/1107/07/11
c 4				P	03/21/1107/08/11
d 1				P	12/14/1007/13/11
e 1!				P	12/14/1007/13/11
f 8			·	P	12/14/1007/13/11
g 3		SPON ADR		P	01/26/1107/14/11
h 2		SPON ADR		P	02/08/1107/14/11
1 5		SPON ADR		P	04/14/1107/14/11
1 5		DE 014 12011	, ,,	P	03/09/1107/15/11
k 42		STRIES PLC		P	12/14/1007/18/11
1 5	COOPER INDUS			P	12/14/1007/18/11
m 2		INIES IEC		P	03/09/1107/19/11
n 50	_	-		P	03/09/1107/19/11
0 3				P	03/09/1107/19/11
		(f) Depreciation allowed	(g) Cost or other basis		(h) Gain or (loss)
	(e) Gross sales price	(or allowable)	plus expense of sale) plus (f) minus (g)
<u>a</u>	842.		928.		<u> </u>
p	1,737.		1,836.		
C	1,808.		1,677.		131.
d	2,663.		2,387.		276.
е	242.		217.		25.
f	1,372.		1,229.		143.
g	1,547.		1,609.		<62 . >
<u>h</u>	1,031.		1,026.		5.
	2,579.		2,806.		<u> </u>
	1,520.		1,436.		84.
<u>k</u>	2,424.		2,423.		1.
<u> </u>	289.		288.		1.
m	752.		718.		34.
n	1,504.		1,436.		68.
0	909.		862.		47.
	mplete only for assets showin) F.M.V. as of 12/31/69	ng gain in column (h) and owned by (j) Adjusted basis	(k) Excess of col. (i)	Gains (exces	osses (from col (h)) s of col. (h) gaın over col. (k), t not less than "-0-")
	,	as of 12/31/69	over col. (j), if any		, , , , , , , , , , , , , , , , , , ,
<u>a</u>					<u> </u>
b				· · · ·	
C					131.
d					276.
e					25.
f					143.
g					<u> <62.</u> >
<u>h</u>					5.
<u> </u>					<u> </u>
		7,000			84.
<u>k</u>					<u>1.</u>
1					1.
<u>m</u>				·	34.
n					68.
0		<u>.</u>			47.
		. If one poles and	r in Port I. line 7		
2 Cap	ital gain net income or (net ca	ipital loss) { If gain, also enter If (loss), enter "-0)-" in Part I, line 7	2	
3 Net	short-term capital gain or (los	ss) as defined in sections 1222(5) ar			
If ga	un, also enter in Part I, line 8,	column (c).	}		

Part IV Capital Gains and Los	ses for Tax on Investment Income			100 10 01 27			
	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. (b) How acquired P - Purchase D - Donatron (mo., day, yr.)						
1a 20 AT&T INC			P	03/10/1107/21/11			
b 5 APPLE INC			P	12/14/1007/21/11			
c 125 DELL INC			P	12/14/1007/21/11			
d 70 EXPRESS SCRI	IPTS INC		P	12/14/1007/21/11			
e 100 STEEL DYNAM	MICS INC		P	01/10/1107/21/11			
f 125 SPRINT NEXT			P	03/15/1107/20/11			
g 125 SPRINT NEXT			P	03/15/1107/20/11			
h 35 SOUTHWESTERN	1 ENERGY		P	12/14/1007/27/11			
1 20 AT&T INC			P	03/10/1107/28/11			
J 55 AT&T INC			P	03/30/1107/28/11			
k 25 AT&T INC			P	03/31/1107/28/11			
20 AT&T INC			P	04/07/1107/28/11			
m 45 DEVON ENERGY	Y CORPORATION		P	12/14/10/07/28/11			
n 35 NETAPP INC			P	05/13/1107/28/11			
0 15 MONSANTO CO		(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	P	12/14/10/08/01/11			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		ı) Gaın or (loss) plus (f) mınus (g)			
a 606.		572.		34.			
b 1,939.		1,607.		332.			
<u>c</u> 2,156.		1,674.		482.			
d 3,882.		3,832.		50.			
e 1,644.		1,861.		<u><217.</u> >			
f 650.		620.	****	30.			
g 650.		620.		30.			
h 1,670.		1,253.		417.			
<u> </u>		572. 1,693.		14.			
		768.		<u><81.</u> >			
K 733.		610.		<35.> <24.>			
m 3,624.		3,326.		298.			
n 1,684.		1,890.		<206.>			
0 1,080.		915.	-	165.			
	g gain in column (h) and owned by th		()) (o	sses (from col. (h))			
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")			
a				34.			
b		×		332.			
С				482.			
d			····	50.			
e	~			<217.>			
f				30.			
9				30.			
h				417.			
<u> </u>			* *	14.			
1,	+		·*-	<u><81.</u> >			
K				<35.>			
m				<24.> 298.			
n				<206.>			
0				165.			
<u> </u>				100.			
2 Capital gain net income or (net cap	oital loss) { If gain, also enter If (loss), enter "-0-	ın Part I, line 7 " ın Part I, line 7 }	2				
3 Net short-term capital gain or (loss if gain, also enter in Part I, line 8, of (loss), enter "-0-" in Part I, line 8		d (6):	3				
			· · · · · · · · · · · · · · · · · · ·				

Testing brack wareflowers; or common stock, 200 shs. MLCGo. D. Dination; (Inc., day, Fr.) (Inc., day,	Part IV Capital Gains and Lo	sses for Tax on Investment Income			
D 32 COOPER INDUSTRIES PLC P 12/14/1008/02/11 C 20 GOODRICH CORP P 12/14/1008/02/11 C 35 GOODRICH CORP P 12/14/1008/03/11 C 35 DIRECTY-CLASS A P 12/14/1008/03/11 D 1				(b) How acquired P - Purchase D - Donation (c) Date acquired (mo., day, yr.) (d) Date (mo., day	
C 20 GOODRICH CORP	1a 18 COOPER INDU	STRIES PLC			/11
d 15 DEVON ENERGY CORPORATION P 12/22/10 8/03/11 e 35 DIRECTV-CLASS A P 12/14/10 8/03/11 1 35 RCA HOLDINGS INC P 03/29/11 08/03/11 q 45 HCA HOLDINGS INC P 05/19/11 08/03/11 1 20 NETAPP INC P 05/11/11 08/03/11 1 15 OCCIDENTAL PETROLEUM CORP P 12/14/10 08/03/11 1 25 RAYTHEON COMPANY P 12/14/10 08/03/11 1 60 DELL INC P 12/14/10 08/03/11 n 60 WELLS FARGO & COMPANY P 12/14/10 08/04/11 n 60 WELLS FARGO & COMPANY P 12/14/10 08/04/11 n 60 WELLS FARGO & COMPANY P 12/14/10 08/05/11 e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other bass plus expense of sale P 12/14/10 08/05/11 e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other bass plus expense of sale P 12/14/10 08/05/11 e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other bass plus expense of sale P 12/14/10 08/05/11 e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other bass plus expense of sale P 12/14/10 08/05/11 e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other bass plus expense of sale P 12/14/10 08/05/11 e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other bass plus expense of sale P 12/14/10 08/05/11 e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other bass plus expense of sale P 12/14/10 08/05/11 e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other bass plus expense of sale P 12/14/10 08/05/11 e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other bass plus expense of sale P 12/14/10 08/05/11 e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other bass plus expense of sale P 12/14/10 08/05/11 e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other bass plus expense of sale (g) Cost	b 32 COOPER INDU	STRIES PLC	· ·		
Box BIRECTV-CLASS P 12/14/10 8/03/11 1 35 HCA HOLDINGS INC P 03/29/11 08/03/11 1 2 45 HCA HOLDINGS INC P 05/19/11 08/03/11 1 1 1 1 1 1 1 1 1				P 12/14/1008/02	/11
135 HCA HOLDINGS INC				P 12/22/1008/03	/11
Q 45 HCA HOLDINGS INC P 05/19/11 08/03/11 1 1 10 NETAPP INC P 05/31/11 08/03/11 15 OCCIDENTAL PETROLEUM CORP P 12/14/1008/03/11 125 RAYTHEON COMPANY P 12/14/1008/03/11 16 DELL INC P 12/14/1008/03/11 16 DELL INC P 12/14/1008/04/11 16 DELL S RAYTHEON COMPANY P 12/14/1008/04/11 16 DELL S S S S S S S S S					
Description					
15 OCCIDENTAL PETROLEUM CORP		S INC			
12					
R 25 RAYTHEON COMPANY					
1					
The following in the following is a set of		MPANY			
Note					
P 12/14/10 08/08/11					
(e) Gross sales price (f) Depreciation allowed (or allowable) (g) Cost or other basis plus expense of sales plus (t) minus (g) a 940. 1,038. <98.		& COMPANY			
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for a fo	0 25 BUNGE LTD	(O December of Level	(2) On the section of		<u>/11</u>
b 1,671. 1,846. <175.			plus expense of sale	(e) plus (f) minus (g)	
c 1,876. 1,726. 150. d 1,131. 1,139. <8.					98.>
d 1,131. 1,139. <8.		 			
e 1,724. 1,407. 317. f 835. 1,168. <333.					
f 835. 1,168. <333.					<u><8.</u> >
g 1,073. 1,571. <498.					
Note	1 000	· · ·			
1					
1					
k 1,074. 1,312. <238.					
1 910 803 107 m 2 , 952 3 , 656 < 704 n 1 , 540 1 , 806 < 266 o 1 , 477 1 , 566 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") a					
m 2,952. 3,656. <704.					
n 1,540. 1,806. <266. o 1,477. 1,566. <89. Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") a <98. b <175. c d <150. e f <3333. g <498.					
complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 1,566. <89. Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (k) Excess of col. (i) over col. (j), if any (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") a < 98. b < 175. c < 150. d < 317. f < 3333. g < 498.					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (l) but not less than "-0-") (ver col. (j), if any (k) Excess of col. (h) gain over col. (k), but not less than "-0-") (98.		ng gain in column (h) and owned by th			<u></u> -
b <175.	(i) F.M.V. as of 12/31/69			Gains (excess of col. (h) gain over col. (k),	
c 150. d <8.	a				98.>
d <8.	b				
e 317. f < <333. g < <498.	С				
f <333. g <498.	d				<u><8.</u> >
g <498.	e				
	f				
h					
	<u>h</u>	<u> </u>			<u>77.</u> >
	1				<u><6.</u> >
	1				<u>67.</u> >
<u>k</u> <238.	K				
107.	<u> </u>				
<u>~704.</u>		 			
<u><266.</u>					
		(If nam also enter	ın Part I, line 7	<	<u>89.</u> >
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 2 2 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			_ 1		
If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	If gain, also enter in Part I, line 8,	column (c).	}		

	Part IV Capital Gains and Lo	sses for Tax on Investment Income		<u> </u>	10 OF 27		
B 30 PROCYER & GAMBLE CO P 03/15/1108/09/11	(a) List and describe the kind(s) of property sold, e.g., real estate, 2 story by kind warphouser or common stork, 200 she MI C Co. (b) How acquired (c) Date acquired (d) Date sold P - Purchase						
Color	1a 15 OCCIDENTAL	PETROLEUM CORP		P	12/14/1008/09/11		
d 275 SPRINT NEXTEL CORP				P			
Company Comp							
Table Tabl		TEL CORP					
B							
Note							
1							
1							
R 185 GAP INC/THE		COMPANY					
1		<u></u>					
Marcon M							
Residual Content of the content of							
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the f							
(e) Gross sales price (f) Deprecation allowed (or allowable) (g) Cost or other basis plus expense of sale (h) Gain or (loss) a 1, 216. 1, 419. <203.> b 1, 779. 1, 816. <37.> c 400. 620. <220.> d 881. 1, 300. <4192.> e 3, 867. 5, 193. <1, 326.							
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the f	(e) Gross sales nrine			(h) Gain or (loss)		
Decoration Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 Complete only for assets showing gain in column (h) and o		(or allowable)		(e)			
c 400. 620. <220.							
B							
B		-		· - ·			
f 1,657. 2,335. <678.> g 1,544. 2,006. <462.> h 710. 0. . i 947. 963. <16.> j 740. 651. 89. k 3,043. 3,892. <849.> l 823. 1,007. <184.> m 823. 996. <173.> n 1,243. 1,824. <581.> c 1,243. 1,850. <607.> Complete only for assets showing gam in column (h) and owned by the foundation on 123/169 (i) Losses (from col. (h)) (i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) ower col. (j), if any a <37.> c <							
1,544. 2,006. 462. h 710. 710. 0. 1 947. 963. <16. J 740. 651. 89. k 3,043. 3,892. <849. 1 823. 1,007. <184. n 1,243. 996. <773. Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Adjusted basis as of 12/31/69 (i) Adjusted basis as of 12/31/69 (i) Adjusted basis (k) Excess of col. (i), frany (i) Losses (from col. (k), but not less than "-0-") c (i) F.M.V. as of 12/31/69 (i) Adjusted basis as of 12/31/69 (ii) Adjusted basis (k) Excess of col. (ii) (ii) Gains (excess of col. (h)) gain over col. (k), but not less than "-0-") c (ii) F.M.V. as of 12/31/69 (ii) Adjusted basis (k) Excess of col. (ii) (ii) Gains (excess of col. (h)) gain over col. (k), but not less than "-0-") c (ii) F.M.V. as of 12/31/69 (ii) Adjusted basis (k) Excess of col. (ii) (iii) (ii) Gains (excess of col. (h)) gain over col. (k), but not less than "-0-") c (ii) F.M.V. as of 12/31/69 (ii) Adjusted basis (k) Excess of col. (ii) (iii) Gains (excess of col. (h)) c (ii) F.M.V. as of 12/31/69 (iii) Adjusted basis (k) Excess of col. (ii) (iii) Gains (excess of col. (h)) c (iii) F.M.V. as of 12/31/69				* •			
h 710. 710. 0. I 947. 963. <16.> J 740. 651. 89. k 3,043. 3,892. <849.> I 823. 1,007. <184.> m 823. 996. <173.> n 1,243. 1,824. <581.> c 1,243. 1,850. <607.> Complete only for assets showing gain in column (h) and owned by the foundation on 12/3/69 (j) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "O-") a <37.> <37.> <37.> <41.326.> <419.> <419.> <419.> <462.> <419.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <462.> <td></td> <td></td> <td></td> <td></td> <td></td>							
740							
k 3,043. 3,892. <849.> I 823. 1,007. <184.> m 823. 996. <173.> n 1,243. 1,824. <581.> Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") a <203.> b <37.> c <419.> d <419.> e <1,326.> f <678.> g <462.> h 0. 1 <89.	947.		963.		<16.>		
1					89.		
m 823. 996. <173.> n 1,243. 1,824. <581.> c 1,243. 1,850. <607.> Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0.") a 0 <203.> b <203.> c <220.> d <419.> e <1,326.> f <462.> h <462.> L <462.> L <49. k <484.> I <484.> N <484.> I <4184.> C <4184.>					<u> </u>		
n 1,243. 1,824. <581.> 0 1,243. 1,850. <607.> Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Losses (from col. (h)) (i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any a <203.> c <37.> c <419.> e <462.> g <462.> h <							
complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) F.M.V. as of 12/31/69 (j) Adjusted basis as of 12/31/69 (k) Excess of col. (i) over col. (j), if any (ii) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") a <203.> b <37.> c <220.> d <419.> e <6778.> g <462.> h <36.> l <462.> l <462.> k <4849.> l <184.> m <1773.>							
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69							
(i) F.M.V. as of 12/31/69 (ii) Adjusted basis as of 12/31/69 (ii) F.M.V. as of 12/31/69 (iii) F.M.V. as of 12/31/69 (iv) F.M.V. a		Land owned by t		(1) 1 or			
b <37.> c <220.> d <419.> e <1,326.> f <678.> g <462.> h 0. ! <16.> k <849.> I <184.> m <173.>		(j) Adjusted basis	(k) Excess of col. (i)	Gains (excess	of col. (h) gain over col. (k),		
c <220.> d <419.> e <1,326.> f <678.> g <462.> h 0. ! <16.> k <849.> ! <184.> m <173.>	a				<203.>		
d <419.> e <1,326.> f <678.> g <462.> h 0. ! <16.> k <849.> I <184.> m <173.>	<u>b</u>				<37.>		
e							
f <678.> g <462.> h 0. I <16.> J 89. k <849.> I <184.> m <173.>							
g <462.> h 0. . <16.> y 89. k <849.> l <184.> m <173.>	e						
h 0. <16.> 89. <849.> <184.> <173.>	1						
! <16.> J 89. k <849.> ! <184.> m <173.>							
k <849.> I <184.> m <173.>	1						
k	1	,					
\(<184. \) \(\) \(<173. \)	k						
m <173.>	1			 			
	m			· · · · · · · · · · · · · · · · · · ·	<173.>		
	Π				<581.>		
<u>0</u> <607.>	0				<607.>		
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 2	2 Capital gain net income or (net ca	ipital loss) { If gain, also enter If (loss), enter "-0-	in Part I, line 7 " in Part I, line 7	2			
Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	If gain, also enter in Part I, line 8,	column (c).	d (6):	3			

Part IV Capital Gains and Lo	sses for Tax on Investment Income				
	describe the kind(s) of property sole rick warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 15 BUNGE LTD			P	12/14/10	08/18/11
b 5 APPLE INC			P	12/14/10	08/19/11
c 115 NEWS CORP			P	12/14/10	08/19/11
d 45 ROYAL CARIB			P	12/14/10	08/19/11
e 20 TRAVELERS C			P	12/14/10	08/19/11
f 5 GOGGLE INC-C			P	12/14/10	08/22/11
g 5 TRAVELERS CO			P		08/22/11
	CHNOLOGY INC	P		08/23/11	
	CHNOLOGY INC	P	02/16/11		
J 20 ACCENTURE P			P	12/14/10	
k 90 DOW CHEMICA			P		08/24/11
40 DOW CHEMICA			P	01/25/11	
m 15 MONSANTO CO			P	12/14/10	
n 35 JOHNSON CON	TROLS INC		P	12/14/10	
0 200 NEWS CORP			<u> </u>	12/14/10	<u>09/02/11</u>
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale) Gain or (loss) plus (f) minus (g)	
a 909.		939.			<30.>
b 1,816.		1,607.			209.
c 1,808.		1,663.			145.
d 1,011.		1,883.			<u><872.</u> >
e 996.	-	1,108.		·	<u> <112.</u> >
f 2,495.		2,983.			<u> </u>
g 247.		277.			<u> <30.</u> >
h 1,066.		2,019.	·- ·-		<u> </u>
1 226.		838.			<u><412.</u> >
1,006.		936.			70.
k 2,386.	**	3,144.		····.	<u> </u>
1,061.		1,402.			<u> </u>
m 1,053.		915. 1,345.			138.
$ \begin{array}{ccc} n & 1,101. \\ o & 3,291. \end{array} $		2,893.			<u> </u>
	ng gain in column (h) and owned by t		//\ \ \ \ a =		<u> 398. </u>
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess of	sses (from col. (h)) of col. (h) gain over ot less than "-0-")	col (k),
a					<30.>
b					209.
С					145.
d					<872.>
e					<112.>
f					<488.>
9					<30.>
h		-			<953 . >
<u>I</u>			7		<412.>
					70.
<u>k</u>				<u>.</u>	<u> </u>
<u> </u>					<u> <341.</u> >
m					138.
n					<244.>
0					398.
2 Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter "-0	ın Part I, line 7 -" ın Part I, line 7	2		
3 Net short-term capital gain or (los if gain, also enter in Part I, line 8,	ss) as defined in sections 1222(5) and column (c).	d (6):			,
If (loss), enter "-0-" in Part I, line 8)		3		

CONTINUATION: FOR .990-PF, PART IV THE HAVEN FOUNDATION 20-4356938 PAGE 20 OF Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold - Purchase 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) D - Donation (mo., day, yr.) 1a 35 NORTHROP GRUMMAN CORP P 12/28/1009/07/11 STANLEY BLACK & DECKER INC 12/27/1009/08/11 b 25 P c 20 OCCIDENTAL PETROLEUM CORP P 04/11/1109/12/11 d 45 DIRECTV-CLASS A 01/21/1109/13/11 P e 125 GENERAL ELECTRIC CO P 12/14/1009/14/11 f 10 GOLDMAN SACHS GROUP INC P 12/14/1009/15/11 200 NEXEN INC P 12/14/1009/15/11 h 30 TRANSOCEAN LTD P 05/16/1109/15/11 UNITEDHEALTH GROUP INC 06/17/1109/19/11 ı 25 P 12/30/1009/20/11 20 CELGENE CORP P 15 P 12/14/1009/26/11 NOBLE ENERGY INC ı 15 STANLEY BLACK & DECKER P 02/02/1109/27/11 INC P m 15 STANLEY BLACK & DECKER INC 04/01/1109/27/11 STANLEY BLACK & DECKER P 05/19/1109/27/11 n 15 INC 12/14/1009/30/11 o 25 CITRIX SYSTEMS INC P (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 1,849 2,055 <206.> а 1,428. 1,681. h <253.> 1,583. 2,032. <449.> 1,892. 1,896. <4.>И 1,916. 2,212. <296.> 1,065. 1,695. <630.> f 4,435. 3,862. <573.> g 2,074. 1,756. <318.> h 1,243. 1,252 <9.> 96. 1,285 1,189 1,068. 1,236. <168.> k 811. 1,094 <283.> 1,151. 811. <340.> m 811. 1,132 <321.> n 411. 1,720. <309.> 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (i) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any <206.> a <253.> b <449.> C <4.> d <296.> е <630.> <573.> <318<u>.</u>> h <9.> 96. <168.> <283.>

Capital gain net income or (net capital loss)

If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

m n

0

2

{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }

<340.>

<321.> <309.> THE HAVEN FOUNDATION

Part IV | Capital Gains and Losses for Tax on Investment Income

Part IV Capital Gains and Lo	esses for Tax on Investment Income				
	d describe the kind(s) of property sol rick warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)	
1a 75 DELTA AIR I			P	12/14/1010/03/11	
b 75 DELTA AIR L			P	01/24/1110/03/11	
c 75 DELTA AIR L	INES INC		P	01/25/1110/03/11	
d 10 OCCIDENTAL	PETROLEUM CORP		P	04/26/1110/03/11	
e 205 ALCOA INC			P	12/14/1010/04/11	
f 24 ANADARKO PE	TROLEUM CORP		P	06/22/1110/04/11	
g 5 ANADARKO PET	ROLEUM CORP		P	07/06/1110/04/11	
h 70 ALCOA INC			P	05/18/1110/06/11	
100 ALCOA INC			P	09/29/1110/06/11	
_ 30 BUNGE LTD			P	12/14/1010/06/11	
k 25 LIMITED BRA	NDS INC		P	12/14/1010/06/11	
15 ACCENTURE P	LC-CL A		P	12/14/1010/07/11	
m 30 RUNGE LTD			P	12/14/10/10/10/11	
n 30 THE WALT DI			P	12/14/10/10/13/11	
0 35 NORTHROP GR	UMMAN CORP		P	12/28/10/10/13/11	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale) Gain or (loss) blus (f) minus (g)	
<u>a 550.</u>		979.		<429.	
b 550.		889.		<339.>	
<u>c 550.</u>		878.		<u> </u>	
d 704.		1,018.	4		
e 1,800.		2,930.		<1,130.>	
f 1,434.		1,766.		<u> <332.</u> >	
g 299. h 690.		393.		<u><94.</u> >	
h 690.		1,166.		<u><476.</u> >	
$\frac{1}{1}$ $\frac{986.}{1,726.}$		1,003. 1,879.		<17.>	
k 1,011.		773.		<153.> 238.	
1 841.		702.		139.	
m 1,694.		1,879.		<185.>	
n 1,004.		1,122.		<118.>	
0 1,898.		2,055.		< <u>157.</u> >	
	ng gain in column (h) and owned by t		(I) Los	sses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), ot less than "-0-")	
a			·	<429.>	
<u>b</u>				<u> </u>	
<u>C</u>				<328.>	
<u>d</u>				<u> </u>	
e		-		<u><1,130.</u> >	
f				<u> </u>	
<u>g</u>				<u><94.</u> >	
<u>h</u>				<476.>	
<u> </u>				<17.>	
<u> </u>				<153.> 238.	
<u>^</u>				238. 139.	
<u>"</u>				<185.>	
n <118.> 0 <157.>					
	, If nam also onter	in Part Lline 7		<u> </u>	
2 Capital gain net income or (net c	v 11 (1003), cittor 0				
3 Net short-term capital gain or (lo If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line		d (6):			

Part IV Capital Gains and Los	sses for lax on investment income			
	describe the kind(s) of property soluck warehouse; or common stock, 20		(b) How acquired P - Purchase D - Donation	(c) Date acquired (d) Date sold (mo., day, yr.)
1a 50 ALTRIA GROU	PINC		P	12/14/1010/14/11
b 50 ARUBA NETWO	RKS INC		P	07/25/1110/19/11
c 25 JUNIPER NET	WORKS INC		P	01/21/1110/19/11
d 30 JUNIPER NET	WORKS INC		P	02/25/1110/19/11
e 18 UNITED TECHI	NOLOGIES CORP		P	07/22/1110/19/11
f 15 CELGENE COR	Р		P	12/30/1010/20/11
g 20 RIVERBED TE	CHNOLOGY INC		P	02/25/1110/20/11
h 40 RIVERBED TE	CHNOLOGY INC		P	04/26/1110/20/11
1 5 DELL INC			P	12/14/1010/24/11
125 DELL INC			P	12/14/1010/24/11
k 10 NOBLE ENERGY			P	12/14/10/10/24/11
1 35 NORTHROP GRI	UMMAN CORP		P	12/28/10/10/25/11
m 35 AT&T INC			P	04/07/1110/26/11
	TROLEUM CORP		P	07/11/1110/26/11
0 15 CITRIX SYST	*		P	12/14/10/10/27/11
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h (e)	n) Gain or (loss) plus (f) minus (g)
a 1,381.		1,240.		141.
b 1,149.		1,245.		<u> </u>
c 522.		878.		<u> </u>
d 626.		1,316.		<u> <690.</u> >
e 1,326.		1,582.		<256.>
f 989.		892.		97.
g 493.		833.		<u> </u>
h 987.		1,421.		<434.>
78.		67.		11.
1,945.		1,674.		271.
k 898.		824.		74.
1,977.		2,055.		<u> </u>
m 999. n 1,167.		1,067.		<u><68.</u> >
4 400		1,158. 1,032.		9.
	g gain in column (h) and owned by		(1) L o	97.
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	sses (from col. (h)) of col. (h) gain over col. (k), not less than "-0-")
a				141.
b				<96.>
С				<356.>
d				<690.>
e				<256.>
f				97.
9				<340.>
h				<u> </u>
<u> </u>				11.
1				271.
<u>k</u>				74.
<u> </u>				<u> </u>
m				<u><68.</u> >
n				9.
0				97.
2 Capital gain net income or (net ca	pital loss) { If gain, also enter If (loss), enter "-0	n Part I, line 7 -" in Part I, line 7	2	
Net short-term capital gain or (los If gain, also enter in Part I, line 8,	s) as defined in sections 1222(5) an column (c).	· · · · · · · · · · · · · · · · · · ·		_
If (loss), enter "-0-" in Part I, line 8	,	J	3	

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Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate. (d) Date sold Purchase 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) D - Donation 1a 15 CELGENE CORP 12/30/1010/27/11 P b 5 CELGENE CORP P |02/24/11|10/27/11 c 15 DEVON ENERGY CORPORATION P 12/22/1010/27/11 WELLS FARGO & COMPANY d 70 P 12/14/1010/27/11 CONOCOPHILLIPS P 12/14/1010/28/11 f 10 GOODRICH CORP P 12/14/10/10/28/11 LIMITED BRANDS g 10 INC P 12/14/1010/28/11 h 25 LIMITED BRANDS P 12/14/1010/28/11 STARBUCKS CORP 30 P 12/14/1010/28/11 15 PROCTER & GAMBLE CO P 04/07/1110/31/11 k 10 GOLDMAN SACHS GROUP INC 12/14/1011/02/11 P 1 20 MONSANTO CO P 12/14/1011/04/11 m 15 PROCTER & GAMBLE CO P 04/07/1111/04/11 n 2 NVR INC P 02/15/1111/08/11 20 ROVI CORP P (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 978 892 86. a 326. 264. 62. b 988. 1,139. <151.> 1,902. 2,107. <205.> d 5,393. 4,939. 454. 1,227. 863. 36<u>4.</u> f 129. 438. 309. 1,095. 773. 322. h 1,275. 964. 31<u>1.</u> 967. 929. 38. 1,066. 1,695. <629.> 1,429. 1,220. 209<u>.</u> 942. 929. m 13. 1,288. 1,505. <217.> Π 568. 1.254. 0 <u><68</u>6.> Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any 86. a 62. b <151.> C <205.> d 454. е 364. 129. 322. h 311<u>.</u> 38. <629.> 209. 13. m П <217.> <686.> 0 { If gain, also enter in Part I, line 7 | If (loss), enter "-0-" in Part I, line 7 } Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

THE HAVEN FOUNDATION

THE HAVEN FOUNDATION 20-4356938 PAGE 24 OF Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold P - Purchase D - Donation 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) 1a 20 ROVI CORP 03/18/1111/10/11 P ROVI CORP P 09/29/1111/10/11 b 20 SMITHFIELD FOODS INC c 85 P 12/14/1011/10/11 JPMORGAN CHASE & CO P 12/14/1011/11/11 d 33 e 25 LAS VEGAS SANDS CORP <u>|| 08/18/11|| 11/1</u>1/11 P 20 LAS VEGAS SANDS CORP P 08/19/1111/11/11 ARUBA NETWORKS INC P 07/25/1111/14/11 40 10/04/1111/14/11 ARUBA NETWORKS INC P h 40 COMCAST CORP-CL A P 12/14/1011/15/11 25 COMCAST CORP-CL A P 01/07/1111/15/11 75 MARATHON PETROLEUM CORP P 12/14/1011/16/11 1 10 GOLDMAN SACHS GROUP INC P 12/14/1011/17/11 P 12/14/1011/17/11 JOHNSON CONTROLS INC P 12/14/1011/17/11 10 NOBLE ENERGY INC 12/14/1011/23/11 GILEAD SCIENCES INC P 29 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 568. 1,068. <500.> a 568. 869. <301.> b 195. 1,895 1,700. d 1,102. 1,353. <251.> 1,131 1,043. 8<u>8.</u> 821. 905. 84. f 948 996. <48.> g 111<u>.</u> 837. 948. h 1,302. 0. 1,302. 552. 569. <17.> 2,580. 2,069. 511. 926. 1,695. <769.> 1 1,537. 192. <345.> m 824. 105. 929. n .144. 1,077. 67. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") over col. (j), if any as of 12/31/69 <500.> a <301.> 195. C <251.> d 88. е 84. <48.> 111. 0. <17<u>.</u>> 511. <769.> 1 <345.> m 105. n 67. { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } Capital gain net income or (net capital loss) 2

Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Lo	sses for Tax on Investment Income	20 30	0000 1	23 OF 27	
(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. (b) How acquired P - Purchase D - Donation (mo., day, yr.)					
1a 91 GILEAD SCIE	NCES INC		P	12/15/1011/23/11	
b 20 INTUIT INC			P	01/05/1111/23/11	
c 32 JPMORGAN CH			P	12/14/1011/25/11	
d 20 TRAVELERS C			P	12/14/1011/28/11	
e 15 CELGENE COR		. <u> </u>	P	02/24/11/11/30/11	
	NC		P	03/10/1112/05/11	
	ANDS CORP		P	08/26/1112/06/11	
h 569926.42	GINGI GGIL TNG		<u> </u>	10/04/11/12/06/11	
1 45 RIVERBED TE 1 15 CELGENE COR	CHNOLOGY INC		P	05/11/11/12/07/11	
k 5 CELGENE CORP			P P	02/24/1112/13/11 04/06/1112/13/11	
1 85 METLIFE INC			P	03/07/1112/13/11	
m 25 METLIFE INC			P	04/15/1112/14/11	
n 10 METLIFE INC			P	04/25/1112/14/11	
	CHNOLOGY INC		P	05/27/1112/15/11	
(e) Gross sales price	(f) Depreciation allowed	(g) Cost or other basis	(h) Gain or (loss)	
	(or allowable)	plus expense of sale	(e)	plus (f) minus (g)	
a 3,591. b 990.		3,384.		207.	
$\frac{b}{c}$ 990.		1,312.		<u>4.</u> <395.>	
d 1,080.		1,108.		<28.>	
e 937.		791.		146.	
f 1,037.		1,025.		12.	
g 911.		860.		51.	
h 228.		184.		44.	
1,196.		1,617.		<421.>	
<u> </u>		791.		169.	
k 320.		279.		41.	
1 2,602.		3,901.		<u> </u>	
m 765.		1,103.		<u><338.</u> >	
n 306. o 582.	-	442. 947.		< <u>136.</u> >	
	ling gain in column (h) and owned by t		(1) Lo	<365.> sses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over col. (k), not less than "-0-")	
<u>a</u>				207.	
<u>b</u>				4.	
<u>c</u>				<u> </u>	
<u>d</u>				<u><28.</u> >	
e f				146. 12.	
g			 	51.	
h			· · · · · · · · · · · · · · · · · · ·	44.	
1				<421.>	
J				169.	
k				41.	
				<1,299.>	
<u>m</u>				<338.>	
n				<u><136.</u> >	
0			·	<u> <365.</u> >	
2 Capital gain net income or (net ca	apıtal loss) { If gaın, also enter If (loss), enter "-0-	in Part I, line 7	2		
3 Net short-term capital gain or (los If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8	ss) as defined in sections 1222(5) and column (c).	d (6):			
in (1055), enter -0- III Part I, IIIIe 8	·		3		

Part IV | Capital Gains and Losses for Tax on Investment Income (b) How acquired (c) Date acquired (a) List and describe the kind(s) of property sold, e.g., real estate. (d) Date sold P - Purchase D - Donation 2-story brick warehouse; or common stock, 200 shs. MLC Co. (mo., day, yr.) (mo., day, yr.) 1a 35 CONOCOPHILLIPS P 04/12/1112/20/11 P b 15 CONOCOPHILLIPS 05/04/1112/20/11 06/07/1112/20/11 c 10 CONOCOPHILLIPS P P 06/07/1112/20/11 d 45 CONOCOPHILLIPS CONOCOPHILLIPS P 07/15/1112/20/11 e 15 f 25 CONOCOPHILLIPS P 07/22/1112/20/11 BORGWARNER INC P 02/14/1112/21/11 04/18/1112/21/11 COMCAST CORP-CL A P h 52 155 UNITEDHEALTH GROUP INC P 06/17/1112/21/11 5 UNITEDHEALTH GROUP INC P 06/22/1112/21/11 P 12/14/1012/20/11 CONOCOPHILLIPS | 35 ACCENTURE PLC-CL A P 12/14/1012/21/11 m 10 LOWE'S COS INC P 12/14/1012/21/11 n 50 LOWE'S COS INC P 12/14/1012/21/11 CIL 0 HUNTINGTON INGALLS INDUST-WI P 04/18/11/04/18/11 (g) Cost or other basis (f) Depreciation allowed (h) Gain or (loss) (e) Gross sales price (or allowable) plus expense of sale (e) plus (f) minus (g) 2,435. 2,705. <270.> а 1,043. 1,090. <47.> b 696. 716. <20.> C 3.130. 3,222. <92.> d 1,043. 1,147. <104.> е 1,739. 1,886. <147.> 1,599. 1,982. <383.> g 1,247. 1,224. <23.> ħ 2,755. 2,745. <10.> 250. 261. <11.> 348. 329. 19. 1,802. 1,638. 164. 376. 9. m 385. 1,282. 1,252. 30. n 13. 13. 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), (j) Adjusted basis (k) Excess of col. (i) (i) F.M.V. as of 12/31/69 but not less than "-0-") as of 12/31/69 over col. (j), if any <270.> а <47.> b <20.> C <92.> d <104.> е <147.> <383.> g <23.> h <10.> <11.> 1<u>9.</u> 164. ı 9. m 30. n 13. 0 { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8

CONTINUATION:	FOR 990-	PF,	PART
20-4356938	PAGE	27	OF

THE HAVEN FOUNDA' Part IV Capital Gains and Los	TION sses for Tax on Investment Income		20-4356	ATION: FOR		OF 2
(a) List and	I describe the kind(s) of property so lick warehouse; or common stock, t	old, e.g., real estate,	 <u>-</u>	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
a BERNSTEIN INTE	_)	P		
	GING MARKETS POR			P		
С						
d						
e						
<u>f</u>						-
<u>g</u> h						
<u></u>				-	-	
<u> </u>						
k						
<u> </u>						
m						·
<u>n</u>				<u> </u>		-
0	(f) Depreciation allowed	(a) Cost or other	hacie	/61	Gain or (loss)	·
(e) Gross sales price	(r) Depreciation allowed (or allowable)	(g) Cost or other plus expense of	sale		lus (f) minus (g)	
25,632.						25,632
1,136.						1,136
						<u>-</u>
-	. <u></u>					
]					····	
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	, 					
1					 _	
)						
	ng gain in column (h) and owned by	the foundation on 12/31	/69	(I) Los	ses (from col. (h))	
·	(j) Adjusted basis	(k) Excess of co		Gains (excess o	if col. (h) gain over	col. (k),
(i) F.M.V. as of 12/31/69	as of 12/31/69	over col. (j), if	any	DUT N	ot less than "-0-")	
3						25,632
)						1,136
1	· · · · · · · · · · · · · · · · · · ·					
	<u> </u>					
					- *-	
					· · · ·	
· -						· -
Capital gain net income or (net ca	spital loss) { If gain, also enter ".	er in Part I, line 7 -0-" in Part I, line 7 }	2	:		13,155
Net short-term capital gain or (los			٦ -			,
If gain, also enter in Part I, line 8,	column (c).	mu (∪ <i>)</i> .	} ,		NI / A	
If gain, also enter in Part I, line 8, If (loss), enter "-0-" in Part I, line 8) ₃		N/A	

FORM 990-PF '	LEGAL	FEES	S	TATEMENT 1
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COHEN TAUBER SPOEVACK & WAGNER	5,311.	0.		5,311.
TO FM 990-PF, PG 1, LN 16A	5,311.	0.		5,311.
FORM 990-PF	ACCOUNTI	NG FEES	S	TATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS		(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MARKS PANETH & SHRON LLP CORNICK, GARBER & SANDLER LLP	12,000. 3,100.	·		6,000.
TO FORM 990-PF, PG 1, LN 16B	15,100.	7,550.		7,550.
FORM 990-PF (OTHER PROFES	SIONAL FEES	S	TATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENTS FEES	17,913.	17,913.		0.
TO FORM 990-PF, PG 1, LN 16C	17,913.	17,913.		0.

FORM 990-PF	XAT	ES		STATEMENT 4		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST MENT INCOM				
FOREIGN TAX WITHHELD	104.	104	4.	0.		
TO FORM 990-PF, PG 1, LN 18	104.	104	1.	0.		
FORM 990-PF	OTHER E	XPENSES		STATEMENT 5		
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOM				
INSURANCE FILING FEE POSTAGE OFFICE EXPENSES	1,503. 250. 813. 412.	().).).	1,503. 250. 813. 412.		
TO FORM 990-PF, PG 1, LN 23	2,978.	().	2,978.		
FORM 990-PF	CORPORAT	E STOCK		STATEMENT 6		
DESCRIPTION		I	BOOK VALUE	FAIR MARKET VALUE		
STOCKS - Schedule Attacked			4,078,463.	4,006,829.		
TOTAL TO FORM 990-PF, PART I	I, LINE 10B		4,078,463.	4,006,829.		

	FOUNDATION MANA			
NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE
STEPHEN KING 47 WEST BROADWAY BANGOR, ME 04401	DIRECTOR 1.00	0.	0.	0.
ARTHUR B. GREENE C/O MP & S LLP, 622 THIRD AVE. 7TH FL NEW YORK, NY 10017		0.	0.	0.
SUSAN GREENE C/O MP & S LLP, 622 THIRD AVE. 7TH FL NEW YORK, NY 10017		0.	0.	0.
DAVID RAPKIN C/O MP & S LLP, 622 THIRD AVE. 7TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
JOHN MCELROY C/O MP & S LLP, 622 THIRD AVE. 7TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
MARSHA DEFILIPPO C/O MP & S LLP, 622 THIRD AVE. 7TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
CHUCK VERRILL C/O MP & S LLP, 622 THIRD AVE. 7TH FL NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6,	PART VIII	0.	0.	0.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS STATEMENT 7

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D

STATEMENT

8

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

MARK LEVENFUS MARKS PANETH & SHRON LLP 622 THIRD AVE NEW YORK, NY 10017

TELEPHONE NUMBER

212-503-8800

FORM AND CONTENT OF APPLICATIONS

GENERAL REQUEST GIVING DETAIL AS TO STATUS OF GRANTEE AND PURPOSE OF GRANT OR GIFT

ANY SUBMISSION DEADLINES

APP ARE ACPTD AT ANY TIME. NOTICE OF APPROVAL, REJECTION OR REQUEST FOR ADD'L INFO. SENT IN 2 MOS

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO RESTRICTIONS AS TO GEOGRAPHICAL AREAS, CHARITABLE FIELD & EDUCATIONAL

THE HAVEN FOUNDATION

PAR VALUE SHARES/UNITS

ASSETS

BOOK VALUE

MARKET VALUE

GENERAL INVESTMENTS, OTHER THAN PARTY-IN-INTEREST

	6,671.25	6,693.75	13,887.00	931.2	0	808.1	060	2.802.40	4.010.05	14.470.50	3,974,40	3,643.50	3,513.90	4,218.50	1,755.60	2,022.50	3,720.00	2,779.40	4,083.75	1,345 00	1,809.75	6,016.50	9,402.75	8,614.75	7,162.75	2,260.75	2,129.40	14,168.00	2,437.60	16,395.00	9,975.00	8,477.00	3,887.10	4,179.00
		7,259.54															4,667.87	2,705.84	3,704.63	2,058.61	1,980.38	5,330.85	9,300.23	11,109.65	6,581.00	3,527.35	1,871.00	18,239.20	3,658.04	15,631.87	12,292.06	7,567.24	4,711.47	4,974.18
COMMON	1 1 1		INS ADR			INC							RANDS INC-A				RPORATION									INC								
CORPORATE STOCKS - COL	ALTRIA GROUP INC	_	ASTRAZENECA PLC-SPONS	AT&T INC	BP PLC-SPONS ADR	CENTERPOINT ENERGY	CENTURYLINK INC	CISCO SYSTEMS INC	CIT GROUP INC	CITIGROUP INC	CMS ENERGY CORP	CONOCOPHILLIPS	M NO	CORNING INC		DELTA AIR LINES INC	DEVON ENERGY CORPOR!	DIRECTV-CLASS A	DTE ENERGY COMPANY	FORD MOTOR CO	GAMESTOP CORP	GANNETT CO	GENERAL ELECTRIC CO	GENERAL MOTORS CO	GILEAD SCIENCES INC	GOLDMAN SACHS GROUP		ப	INGERSOLL-RAND PLC	SO	JPMORGAN CHASE & CO		LAM RESEARCH CORP	LEAK CORP
	225.00	625.00	300.00	130.00	350.00	90.00	325.00	155.00	115.00	550.00	180.00	50.00	1/0.00	325.00	120.00	250.00	60.00	65.00	75.00	125.00	/5.00	450.00	525.00	425.00	1/5.00	00.62	00 07	550.00	80.00	250.00	300.00	350.00	105.00	

PAGE 2 ACCOUNT NO. 03940840

THE HAVEN FOUNDATION

CORPORATE STOCKS - COMMON (CONI.) 7,294.24 7,410.	CORPORATE STOCKS - COMMON (CONI.) 7,294.24 7,294.	CORPORATE STOCKS - COMMON (CONI.) 1,294.24 1,294.	SHAKES/UNIIS	A005.10	BOOK VALOE	MAKNEI VALUE
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LORILLARD INC LUMELLARD INC LUMELLARSELL INDU-CL A MARATHON OLI CORP MCGRAM-HILL COMPANIES INC MCICKAN STANGIA MODOY'S CORP MCGRAM-HILL COMPANIES INC MCKRAM STANGIA MODOY'S CORP MODOY'S CORP MORANS STANGIA MODOY'S CORP MORANS STANGIA MOTOR STANGIA MOTOR MODOY MODOY'S CORP MODOY'S COR	LORELLEARD INC LOWE'S COS INC LYONDELBASEL IN TOUGH CAP MARATION DIT CORP MARATION PETROLLEUW CORP MARATION PETROLLEUW CORP MARATION PETROLLEUW CORP MICRON TECHNOLOGY INC MICRO TECHNO	LORELIARD INC		OMMON (CONT		
LOWDELLASELL INDO-CL A MARATHON DELICASELL INDO-CL A MARATHON DELICASELL INDO-CL A MARATHON DETICOLEDM CORP MARATHON DELICATION CORP MARATHON DETICOLEDM CORP MARATHON DELICATION CORP MARATHON DINCESTMENT COMPANY SHARES MALLIANCEBERNSTEIN GROAT REAL ESTATE INV MELCONIN DEPERTURE OF THE CORP MALLIANCEBERNSTEIN GROAT REAL ESTATE INV MELCONIN DEPERTURE OF THE CORP MERCONIN DEPERTURE OF THE CORP MELCONIN DEPERTURE OF THE CORP MELCONIN DEPERTURE OF THE CORP MERCONIN DEPERTURE OF THE CORP MERCON DEPERTURE OF THE CORP MERCON DEPERTURE OF THE CORP MERCON DEPERTURE ORD MERCON DEPERTURE OF THE CORP MERCON DEPERTURE ORD MERCON DEPERTURE ORD MERCON DEPERTURE ORD MERCON DEPERTURE ORD MERCON	LOWDELLASELL INDUC-C A MARATHON OIL CORE OF 1260.89 MARATHON OIL CORE OF 127.01 MARATHON OIL CORE OIL WARTHON OIL CORE OIL WARTHON OIL CORE OIL C	LYONDELLA SELL INCURES	65.00	LORILLARD INC	7,294.24	7,410.00
LYNDELBASELI NUMP-CL A 6,085,83	VANDELIARSELL INDU-CL A 6,085.83	VANDELIARSELL INDU-CL A 6,085.83	130.00	LOWE'S COS INC	3,260.89	3, 299.40
MARATHMO TELEDIA CORPANIES INC	MARATHON OIL CORPETION CRP 9 130.04	MARATHON OLD CORP MARATHON DETROLEMY CORP MARATHON DETROLEMY CORP MICRON TECHNOLOGY INC 3,931.67 MODDY'S CORP 4,126.19 MODDY SCENE 4,113.13 MODDEL ROBERMAID INC 1,756.00 MODDEL ROBERMAID INC 1,766.00 MODDEL ROBERMAIN CORP 1,766.00 MODDER SCORE 1,760.00 MODDEL ROBERMAIN COMPANY SHARES 1,46.60 MODDER STOLEMY 1,46.00 MODDER STOLEMY 1,46	165.00	LYONDELLBASELL INDU-CL A	6,085.83	5,360.85
MCRAM-HILL COMPANIES INC MICRON TECHNOLOGY INC MORGAN STANEY NORCHEO TECHNOLOGY INC NORTHEOP GRUMMAN CORP TABLE STOCKS CORP NORTHEOP GRUMMAN CORP NORTHEOP GRUMMAN CORP NORTHEOP GRUMMAN CORP NORTHEOP GRUMMAN SHARES MALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV RECISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION TOTAL REDISTERED INVESTMENT COMPANY SHARES 3, 730, 730, 783, 731, 74, 73, 731, 731, 732, 731, 731, 732, 731, 733, 733, 733, 733, 733, 733, 733	MARATHEN PETROLIDA CORP MARATHEN PETROLIDA CORPANIES INC	MARATHON PETROLIDAN CORP 130, 130, 130, 130, 130, 130, 130, 130,	350.00	MARATHON OIL CORP	8,037.04	10,244.5
MICROAN TECHNOLOGY INC MICROAN TECHNOLOGY INC MICROAN STALEJ MODON'S CORP MORGAN STANLEY	MICRAM-HILL COMPANIES INC MICRAM-HILL COMPANIES INC MICRAM-HILL COMPANIES INC MICRAM-HILL COMPANIES INC MORDAY'S CORP MORDAY'S CORP MORGAN STANIEN NEWELL RUBBERMAID INC NOWTHEOR TRANSCERS MORTHROOF GRUMMAN CORP NUM REMARKER CABLE TRANSCERS COS INC/THE TYSON FOODS INC-CLAS B MULLEDOINT INC VIACOM INC-CLASS B MULLEDOINT INC TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV TED II CL I TOTAL CORPORATE PORTFOLIO BERNSTEIN SHORT DURATION TOTAL RECISTERED INVESTMENT COMPANY SHARES TOTAL RECISTERED INVESTMENTS 3, 730, 736, 531.14 3, 730, 736, 531.14	MUCRON TECHNOLOGY INC MUCRON TECHNOLOGY INC MORGAN STANLEY THE WASCEN LIVE THAN SCENE STALL THAN STANLE STALL MONTED HEALTH GROUP INC. MORGAN STANLEY TOTAL CORECRATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES MALLIANDER STALLE INV TED II CL II TED MORTFOLLO BERNSTEIN INTERMEDIATE DUATION PORTFOLLO BERNSTEIN SHARED INVESTMENT COMPANY SHARES JA32, 313.114 3.33 TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS JA32, 331.114 3.33 7.700, 785 4, 116.700 3, 445, 700 1, 442, 950.00 1, 442, 950.00 1, 442, 950.00 1, 442, 950.00 1, 442, 950.00 1, 456, 84 TOTAL GENERAL INVESTMENTS MORGAN SHARES MORG	250.00	MARATHON PETROLEUM CORP	9,130.89	8,322.5
MORGAN STANLEY NOMEL RUBBERANID INC NOMEL RUBBERANID INC NORTHERO GRUMMAN CORP TRANSCEAN LTD TRANSCEAN GRUMMAN COMPANY SHARES ALLINGEDERNSTEIN GLOBAL REAL ESTATE INV TED 11 CL TED 11 CL BERNSTEIN INTERREDIATE DURATION PORTFOLLO BERNSTEIN NITERREDIATE BERNSTEIN NITERREDIATE TOTAL CORPORATE STORTFOLLO BERNSTEIN NITERREDIATE TOTAL REGISTERED INVESTMENT COMPANY SHARES 1, 424,950.00 1, 432,916,45 1, 424,950.00 1, 432,916,45 1, 424,950.00 1, 432,916,45 1, 424,950.00 1, 432,916,45 1, 424,950.00 1, 432,916,45 1, 424,950.00 1, 432,916,45 1, 424,950.00 1, 432,916,45 1, 424,950.00 1, 432,916,45 1, 424,950.00 1, 432,916,45 1, 424,950.00 1, 432,916,45 1, 433,916,45 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,950,00 1, 444,	MICKON TECHNOLOGY INC MODOY'S CORP MODOY STANLEY MOTORIAL RUBBERNAID INC TIME WARNER CABLE TRANSCERAL INC TIME WARNER CABLE MOTORIAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTAIE INV TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTAIE INV BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION TOTAL REGISTERED INVESTMENT COMPANY SHARES TOTAL REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS	MICRON TECHNOLOGY INC MODOY'S CORP MODOY'S CORP MODOY'S CORP NEMELL RUBBERALID INC NOTHERO GRUMAN CARP NOT INC PETZER INC TEMNSCEAN LID TRANSCEAN LICA NATIONALIAN GROUP INC TOTAL CORPORATE STOCKS - COMMON RECISTERED INVESTMENT COMPANY SHARES ALLIANCEBRRSTEIN GLOBAL REAL ESTATE INV TEN II CL 1 432, 916, 45 TOTAL CORPORATE PORTFOLIO BERNSTEIN MYSENATIONAL PORTFOLIO BERNSTEIN MYSENATIONAL PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENT TOTAL GENERAL INVESTMENTS 3, 916, 19 1, 424, 950.00 1, 43 TOTAL GENERAL INVESTMENTS 3, 916, 19 1, 424, 950.00 1, 43 TOTAL GENERAL INVESTMENTS	00.09	MCGRAW-HILL COMPANIES INC	2,537.70	2,698.2
MONDAY'S CORPE MORGAN STANLEY NEWELL RUBBERALD INC NORTHROP GRUMMAN CORP NOT STANLEY NORTHROP GRUMMAN CORP NOT SHERGY INC NORTHROP GRUMMAN CORP NOT SHERGY INC NOT SHERGY INC NOT SHERGY INC TIME WARNER CABLE THANGOCAN LID TAXON INC-CLAS B TYSON FOODS INC-CLAS B NOTIFICALISE STOCKS - COMMON SEGISTERED INVESTMENT COMPANY SHARES TOTAL CORPORATE STOCKS - COMMON TLIANCEBRNITEIN GLOBAL REAL ESTATE INV TED IL CL I CL I CAS SHARES ALLIANCEBRNITEIN GLOBAL REAL ESTATE INV TED IL CL I CL I CAS SHORTFOLIO THE BERNSTEIN SHORTFOLIO BERNSTEIN SHORT DURATION BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL CORPORATE STOCKS - COMMON TALLIANCEBRNITEIN GLOBAL REAL ESTATE INV TED IL CL I CL I CAS SHORTFOLIO BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL CORPORATE STOCKS - COMMON TALLIANCEBRNITEIN SHORTFOLIO BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL CORPORATE STOCKS - COMMON TOTAL GENERAL INVESTMENTS 3.362, 33.1.4 3.362, 33.1 3.362, 33.1 3.362, 33.1 3.362, 33.1 3.362, 33.1 3.362, 33.1 3.362, 33.1 3.362, 33.1 3.362, 33.1 3.362, 33.1 3.362, 33.1 3.36	MEMBLIA NUBBERRAID INC NOWTHING CROWNAN CORP NO RTHROW CRAMAN CORP NO RESERVATION NORTHROW CRAMAN CORP NO RESERVATION THE WARNER CABLE THAN AUTOMOTIVE HOLDINGS CORP TYSON FOODS INC-CLAS B NOTIFICATION TYSON FOODS INC-CLAS B NOTIFICATION TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBRINSTEIN GLOBAL REAL ESTATE INV TOTAL CORPORATE PORTFOLIO TERNISTEIN NITERMEDIATE DURATION PORTFOLIO BERNISTEIN INTERMEDIATE DURATION PORTFOLIO TOTAL GENERAL FOURTHOUS PORTFOLIO BERNISTEIN HITERMEDIATE DURATION PORTFOLIO TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL PORTFOLIO BERNISTEIN HITERMEDIATE TOTAL GENERAL INVESTMENTS TOTAL	MODONY CORP MORAN STANLEY MORAN STANLEY MORAN STANLEY NORTHROE GRUMMAN CORP TIME MARKER CABLE TRANSCEAN LTD TRANSCEAN LTD TRANSCEAN LTD TYSON FOODS INC-LA A UNITEDHALITH GROUP INC NORTHROE INCELAS B TRANSCEAN LTD TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBRASTEIN INTERMEDIATE DURATION PORTEOLIO BERNSTEIN INTERMEDIATE DURATION PORTEOLIO BERNSTEIN INTERMEDIATE DURATION PORTEOLIO BERNSTEIN INTERMEDIATE TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS	700.00		3,951.67	4,403.0
MORTHROP GRUMAN CORP NORTHROP GRUMAN CORP NORTHROP GRUMAN CORP NORTHROP GRUMAN CORP NUR INC NUR I	NUMERIA RUBBERMAD INC NUMERIA RUBBERMAD INC NUMERIA RUBBERMAD INC NUMERIA ROPE RUBBERMAD INC NUMER INC FIRTER	NUMELL RUBBERAD INC NORTHROP GRUMMAN CORP THANSOCEAN LID TRANSOCEAN	115.00	MOODY'S CORP	4,126.79	3,873.2
NORTHROP GRUMMAN CORP NO FUEL RUBERRALD INC NV ENERGY INC NV ENERGY INC NV ENERGY INC NV ENERGY INC ITAM WERN THE WARRER CABLE ITAM SOCEAN LIN TRAVELERS COS INC-CL A UNITEDHEALTH GROUP INC WELLPOINT INC TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD II CL I CHANCE TO CREAL INVESTMENT COMPANY SHARES BERNSTEIN INTERMEDIATE TOTAL RECISTERED INVESTMENT COMPANY SHARES TOTAL RECISTERED INVESTMENT COMPANY SHARES ALLIANCE BRINGER OFFICIO BERNSTEIN RUBRALIONAL PORTFOLIO BERNSTEIN RUBRALIONAL PORTFOLIO BERNSTEIN INTERMEDIATE TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS TOTAL GENERAL I	NORTHROP GRUMAN CORP NU ENERGY INC THE WARNER CALE TRANSOCAN LID TRANSOCAN LID TRANSOCAN LID TYSON FOODS INC-CLASS B WELLPOINT INC TOTAL CORPORATE STOCKS - COMMON RECISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD II CL I CL I CL THE MAINT ON THE MAINTENATIONAL PORTFOLIO BERNSTEIN INTERMEDIATE TOTAL CORPORATE STOCKS - COMMON RECISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD II CL I CL I CL BERNSTEIN INTERMEDIATE TOTAL CREVENAL DURATION BERNSTEIN INTERMEDIATE TOTAL CREVENAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS 3.362,331.14 3.36	NUMEL RUBBERAND INC NUMER ALIGNOSCEAN LTD TRAVELERS COS INC/THE TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV TED II CL I REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV TED II CL I RERNSTEIN HITERRALITONAL PORIFOLIO BERNSTEIN HITERRALITONAL PORIFOLIO BERNSTEIN HITERRALITONAL PORIFOLIO BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENTS TOTAL GENERAL GENERAL INVESTMENTS TOTAL GENERAL GENERAL INVESTMENTS TOTAL GENERAL GENERAL INVESTMENTS TOTAL GENERAL GENER	250.00		4,113.13	3,782.5
NORTHROP GRUMMAN CORP NORTHROP GRUMMAN CORP NUR ENERGY INC INC 656.00 INWE NATURE TRANSCEAN LID TRANSCEAN LID TYSON FOODS INC-CLA UNITEDHEALH GROUP INC VIACOM INC-CLASS B WELLPOINT INC TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBENSTEIN EMERSIAN GLOBAL REAL ESTATE INV T FD II C. I BERNSTEIN EMERSIAN PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO 1, 432, 916, 45 1, 432, 916, 45 1, 44 1, 432, 916, 45 1, 44 1, 41 1, 41 1, 41 1, 41 1, 41 1, 41 1, 41 1, 41 1, 41 1, 41 1, 41 1, 41 1, 41 1, 41 1, 41 1, 41 1,	NOW ENERGY INC	NUMERIANCE RELAYAN CORP NUMERIANCE OR STATEMENT OF THE WARNER CABLE TRANSCEAN LTD TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBRENSTEIN GLOBAL REAL ESTATE INV BERNSTEIN INTERNATIONAL PORIFOLIO BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS TOTAL GENERAL GENERAL INVESTMENTS TOTAL GENERAL GEN	130.00	NEWELL RUBBERMAID INC	1,793.82	2,099.5
NU ENERGY INC	NUM ENERGY INC NUM ENERGY INC NUM ENERGY NUM ENERGY NUM ENERGY NUM ENERGY PETZER INC TIME WARNER CABLE TRANSCERA LID TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBRENSTEIN GLOBAL REAL ESTATE INV T FD II CL I SERINSTEIN MERKETS PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION TOTAL REGISTERED INVESTMENT COMPANY SHARES TOTAL REGISTERED INVESTMENT COMPANY SHARES TOTAL REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS TOT	NV ENERGY INC NV ENERGY INC NV R INC PFIZER INC TIME WARKE CABLE TRANSOCEAN LID TRANSOCE	65.00		3,816.49	3,801.2
PETZER INC TIME WARNER CABLE THANSOCEAN LID TYSON FOODS IN-CL A TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES TOTAL CORPORATION GRAPH STOCKS - COMMON TO T	PETER INC PETER INC TIME WARNER CABLE TARANCERA LIC TRANSCEAN LIC TASON FOODS INC-CLAS B WELLPOINT INC TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBENSTEIN GLOBAL REAL ESTATE INV T ED II CL II BERNSTEIN INTERMEDIATE DURATION PORTFOLLO TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENT TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMEN	1, 220.63	250.00	NV ENERGY INC	3,598.74	4,087.5
TIME WARNER CABLE	176 626 00 176 626 00 176 626 00 176 62 00 1	PFIZER INC TIME WARNER CABLE TRANSCEAN LID TRANSCEAN LID TRANSCEAN LID TRANSCEAN LID TRANSCEAN LID TRANSCEAN LID TYSON FOODS INC/THE TOTAL CARSON INC/TASS B ALITANCEBERNSTEIN GLOBAL REAL ESTATE INV TOTAL COMMON REGISTERED INVESTMENT COMPANY SHARES ALITANCEBERNSTEIN INTERNEDIATE DURATION PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION PLUS FORTFOLIO TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENT SHARES TOTAL GENERAL INVESTMENTS	3.00	NVR INC	2,220.63	2,058.0
TIME WARNER CABLE	TIME WANNER CABLE TRANSCEAN LID TOTAL CORPORATE STOCKS - COMMON TOTAL TOTAL RECISIERED INVESTMENT COMPANY SHARES TOTAL CORPORATE STOCKS - COMMON TOTAL RECISIERED INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS TOTAL GENERAL GENERAL INVESTMENTS TOTAL GENERAL GENERAL INVESTMENTS TOTAL GENERAL GENERAL GENERAL GENERAL GENE	TIME WARRER CABLE	1000.00	PFIZER INC	17,626.00	21,640.0
TRANSOCEAN LTD TYSON FOODS INC-CLA UNITEDHEALTH GROUP INC VIACOM INC-CLASS B VIACOM INC-CLASS B TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV TFD II CL I BERNSTEIN EMERGING MARKETS PORIFOLIO BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN INTERMATIONAL PORIFOLIO BERNSTEIN INTERMATIONAL PORIFOLIO BERNSTEIN INTERMATIONAL PORIFOLIO BERNSTEIN SHORT DURATION FLUS FORIFOLIO TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS	TRANSOCEAN LID	TRANSOCEAN LID	145.00	TIME WARNER CABLE	9,281.98	9,217.6
TRAVELERS COS INC/THE TRAVELERS COS INC/THE TRAMUTOMOTIVE HOLDINGS CORP TYORA INC-CL A UNITEDHEALTH GROUP INC VIACOM INC-CLASS B WELLPOINT INC TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD II CL BERNSTEIN EMERGING MARKETS PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENT COMPANY SHARES	TRAVELERS COS INC/THE TRAVELERS COS INC/THE TRAVELERS CORP TYSON FOODS INC-CL A UNITEDHEALTH GROUP INC VIACOM INC-CLASS B VIACOM INC-CLASS B VIACOM INC-CLASS B TRECISTERED INVESTMENT COMPANY SHARES TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FO II CL I BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION TOTAL RECISTERED INVESTMENT COMPANY SHARES TOTAL RECISTERED INVESTMENTS TOTAL GENERAL GENERAL INVESTMENTS TOTAL GENERAL GENERAL INVESTMENTS TOTAL GENERAL GE	TRAVELERS COS INC/THE	165.00	TRANSOCEAN LID	9,079.28	6,334.3
TRW AUTOMOTIVE HOLDINGS CORP 3,986.09	TRW AUTOMOTIVE HOLDINGS CORP TYSON FOODS INC-CL A UNITEDHEALLH GROUP INC VIACOM INC-CLASS B WELLPOINT INC TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD II CL I BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DIAGNAL BORTFOLIO BERNSTEIN SHORT DIAGNAL BORTFOLIO BERNSTEIN SHORT DIAGNAL BORTFOLIO BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS	TRM AUTOMOTIVE HOLDINGS CORP 3,986.09	140.00	TRAVELERS COS INC/THE	7,640.33	8,283.8
TYSON FOODS INC-CL A 3,820.43	TYSON FOODS INC-CL A 9,020.43 9,020.43 9,079.93 9,079.93 9,079.93 9,079.93 9,079.93 9,079.93 9,079.93 9,079.93 9,079.93 9,079.93 9,079.93 9,079.93 9,079.93 9,070.04	TYSON FOODS INC-CL A UNITEDERALH GROUP INC VIACOM INC-CLASS B VIACOM INC-CLASS B VIACOM INC-CLASS B TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD II CL I BERNSTEIN INFERMEDIATE DURATION PORTFOLIO BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS	95.00		3,986.09	3,097.0
UNITEDHEALTH GROUP INC INCCCLASS B TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FO II CL I BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION PLUS PORTFOLIO BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS **A 1, 456.64 **A 1, 432, 916.45 **A 1, 432, 916.45 **A 2, 950.00 **A 2, 9	UNITEDHEALTH GROUP INC UNITEDHEALTH GROUP INC WELLPOINT INC TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD II CL I BERNSTEIN EMERGING MARKETS PORTFOLIO BERNSTEIN INTERNEDIATE DURATION PORTFOLIO BERNSTEIN SHORT DURATION PLUS PORTFOLIO BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENT COMPANY SHARES 3, 730, 730, 785.84 TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS 11, 456.64 12, 456.70 14, 456.64 16, 456.70 17, 424, 950.00 17, 424,	UNITEDHEALTH GROUP INC VACOM INC-CLASS B WELLPOINT INC TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERRSTEIN GLOBAL REAL ESTATE INV T FD II CL I BERNSTEIN INTERMEDIATE UDMATION PORTFOLIO BERNSTEIN HORT DURATION TOTAL REGISTERED INVESTMENT COMPANY SHARES 1,424,950.00 1,446	195.00	TYSON FOODS INC-CL A	3,820.43	4,024.8
VIACOM INC-CLASS B WELLPOINT INC TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES	VIACOM INC-CLASS B WELLPOINT INC TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD 11 CL 1 BERNSTEIN EMERGING MARKETS PORTFOLIO BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN SHORT DURATIONAL PORTFOLIO BERNSTEIN SHORT DURATION PORTFOLIO BERNSTEIN SHORT DURATION SHARES TOTAL REGISTERED INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS ### 1456.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146.64 ### 146	VIACOM INC-CLASS B WELLPOINT INC TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES	190.00		9,079.93	9,629.2
11,456.64 10,931. 10	NELLPOINT INC	TOTAL CORPORATE STOCKS - COMMON 368,454.70 350,932. 350,932. 356,243.70 350,932. 356,232. 356,232. 356,232. 356,232. 356,232. 356,232. 356,232. 356,232. 366,000 366	155.00		6.613.10	7.038 5
TOTAL CORPORATE STOCKS - COMMON 368,454.70 350,932.	TOTAL CORPORATE STOCKS - COMMON 368,454.70 350,932	TOTAL CORPORATE STOCKS - COMMON REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD II CL I BERNSTEIN INTERNEDIATE DURATION PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS	165.00			
REGISTERED INVESTMENT COMPANY SHARES	REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD II CL I BERNSTEIN EMERGING MARKETS PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION TOTAL REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS BERNSTEIN SHARES TOTAL GENERAL INVESTMENTS 1,424,950.00 1,426,086 1,424,950.00 1,426,086 1,424,950.00 1,426,086 1,426,086 1,427,950.00 1,428,086 1,430,086 1	REGISTERED INVESTMENT COMPANY SHARES ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD II CL BERNSTEIN EMERCING MARKETS PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION PLUS PORTFOLIO BERNSTEIN SHORT DURATION TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS REGISTERED STATES 1,424,950.00 1,426,086. 1,424,950.00 1,426,086. 1,426,086. 1,426,086. 1,426,086. 1,426,086. 1,566,010 1,426,086. 1,566,010 1,566	12553.00	STOCKS -	454	50,932.1
ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD II CL I BERNSTEIN EMERGING MARKETS PORTFOLIO BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN SHORT DURATION PLUS PORTFOLIO TOTAL GENERAL INVESTMENTS A0,902.69 30,707. 1,432,916.45 1,459,088. 1,424,950.00 1,426,086. 1,4	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T FD I CL T FD I CL BERNSTEIN EMERGING MARKETS PORTFOLIO BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN SHORT DURATION BERNSTEIN SHORT DURATION PLUS PORTFOLIO TOTAL REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS A1,432,916.45 1,459,088 1,459,088 1,426,086	ALLIANCEBERNSTEIN GLOBAL REAL ESTATE INV T ED II CL I BERNSTEIN EMERGING MARKETS PORIFOLIO BERNSTEIN INTERMEDIATE DURATION PORIFOLIO BERNSTEIN INTERNATIONAL PORIFOLIO BERNSTEIN INTERNATIONAL PORIFOLIO BERNSTEIN SHORT DURATION PLUS PORIFOLIO TOTAL REGISTERED INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS TOTAL GENERAL GE		COMPANY		
T FD II CL I BERNSTEIN EMERGING MARKETS PORTFOLIO BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION PLUS PORTFOLIO TOTAL REGISTERED INVESTMENTS TOTAL GENERAL GENERAL INVESTMENTS TOTAL GENERAL GENERA	T FD II CL I BERNSTEIN EMERGING MARKETS PORTFOLIO BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION PLUS PORTFOLIO TOTAL REGISTERED INVESTMENTS TOTAL GENERAL	T FD II CL I BERNSTEIN EMERGING MARKETS PORTFOLIO BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION PLUS PORTFOLIO TOTAL REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS	23590.407	GLOBAL REAL ESTATE	209,912.00	188, 487, 3
BERNSTEIN EMERGING MARKETS PORTFOLIO	BERNSTEIN EMERGING MARKETS PORTFOLIO BERNSTEIN INTERMEDIATE DURATION PORTFOLIO BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION PLUS PORTFOLIO TOTAL REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS BERNSTEIN 40,902.69 1,429,088 1,426,086	BERNSTEIN EMERGING MARKETS PORTFOLIO				
BERNSTEIN INTERMEDIATE 1,432,916.45 1,459,088. DURATION PORTFOLIO 253,650.00 202,973. BERNSTEIN INTERNATIONAL PORTFOLIO 1,424,950.00 1,426,086. PLUS PORTFOLIO 3,362,331.14 3,307,343. TOTAL REGISTERED INVESTMENTS 3,730,785.84 3,658,275.	BERNSTEIN INTERMEDIATE	1,432,916.45 1,459,088 1,459,088 1,459,088 1,459,088 1,459,088 1,459,088 1,459,088 1,459,088 1,459,088 1,459,088 1,459,088 1,459,088 1,459,088 1,456,086 1,426,086 1	1267.336		9	30,707.5
BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION PLUS PORTFOLIO TOTAL REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS BERNSTEIN 1,424,950.00 1,426,086. 1,426,086. 3,362,331.14 3,307,343. TOTAL GENERAL INVESTMENTS BERNSTEIN 1,426,086. 1,426,086. 1,426,086.	BERNSTEIN INTERNATIONAL PORIFOLIO BERNSTEIN SHORT DURATION PLUS PORIFOLIO TOTAL REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS 253,650.00 1,424,950.00 1,426,086 1,426,086 1,426,086 1,426,086 1,426,086 1,426,086 1,426,086 1,426,086 1,426,086 1,426,086 1,426,086 1,426,086 1,426,086	BERNSTEIN INTERNATIONAL PORTFOLIO BERNSTEIN SHORT DURATION PLUS PORTFOLIO TOTAL REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS 1,424,950.00 1,426,086. 1,426,086. 3,362,331.14 3,307,343. TOTAL GENERAL INVESTMENTS TOTAL GENERAL INVESTMENTS 1,426,086. 3,736,785.84 3,658,275.	105273.321	BERNSTEIN INTERMEDIATE	432,916.4	,459,088
BERNSTEIN SHORT DURATION PLUS PORIFOLIO TOTAL REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS BERNSTEIN 1,424,950.00 1,426,086. 1,426,086. 3,362,331.14 3,307,343. TOTAL GENERAL INVESTMENTS SERVICE STATEMENTS 3,730,785.84 3,658,275.	BERNSTEIN SHORT DURATION 1,424,950.00 1,426,086 PLUS PORTFOLIO TOTAL REGISTERED INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS 3,730,743 3,730,785.84 3,658,275 ===================================	BERNSTEIN SHORT DURATION PLUS PORIFOLIO TOTAL REGISTERED INVESTMENTS TOTAL GENERAL INVESTMENTS	16355,671	BERNSTEIN INTERNATIONAL PORTFOLIO	253,650.00	9 678 606
TOTAL REGISTERED INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS	TOTAL REGISTERED INVESTMENT COMPANY SHARES 3,362,331.14 3,307,343 TOTAL GENERAL INVESTMENTS 3,730,785.84 3,658,275	TOTAL REGISTERED INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS	119939.996	BERNSTEIN SHORT DURATION PLUS PORTFOLIO	,424,950	, 426, 086
TOTAL REGISTERED INVESTMENT COMPANY SHARES	TOTAL REGISTERED INVESTMENT COMPANY SHARES	TOTAL REGISTERED INVESTMENT COMPANY SHARES TOTAL GENERAL INVESTMENTS	- 1		1	
INVESTMENTS 3,730,785.84 3,658,275	3,730,785.84 3,658,275	3,730,785.84 3,658,275	266426.731	ED INVESTMENT COMPANY	,362,331.1	307,3
***************************************					785.8	

THE HAVEN FOUNDATION

PAR VALUE SHARES/UNITS

ASSETS

BOOK VALUE

MARKET VALUE

GENERAL INVESTMENTS, OTHER THAN PARTY-IN-INTEREST

	2,927.65	8,774.00	6,924.00	5,572.09	28,350.00	5,868.00	3,824.40	6,606,00	3,805,00	2,704 00	6.072.00	8-630-44	6,976,55	10.584.00	3.496.90	7.50.50	7.539.00	7.880.80	1.460.16	5,139,35	6 455 80	00.000	00.102.0	7,677.90	2,455.80	2,260.75
	2,750.28	7,149.65	7,039.16	5,635.21	22,586.00	5,265.05	4,511.93	9,361.02	4,257.74	2,279.56	7,032.55	8,502.24	7,756.52	10,497.10	3,053,81	5,819.78	8,009.21	7,617.72	1,194.41	6,385.72	7,489,04	5 204 11	11:503/6	1, 322.16	2,230.51	4,231.97
CORPORATE STOCKS - COMMON	ACCENTURE PLC-CL A	ALLERGAN INC	AMAZON.COM INC	ANADARKO PETROLEUM CORP	APPLE INC	BOEING CO/THE	BORGWARNER INC	BROADCOM CORP-CL A	CBRE GROUP INC	CELGENE CORP	CITRIX SYSTEMS INC	COMCAST CORP-CL A	COVIDIEN PLC	DANAHER CORP	DOLLAR GENERAL CORP	DOW CHEMICAL	EMC CORP/MASS	EOG RESOURCES INC	ESTEE LAUDER COMPANIES-CL A	EXPRESS SCRIPTS INC	FLOWSERVE CORPORATION	FMC TECHNOLOGIES INC	GENERAL MILLS INC		GILEAD SCIENCES INC	GOLDMAN SACHS GROUP INC
	55.00	100.00	40.00	73.00	70.00	80.00	00.09	225.00	250.00	40.00	100.00	364.00	155.00	225.00	85.00	170.00	350.00	80.00	13.00	115.00	65.00	120.00	190.00	00.04	90.00	75.00

THE HAVEN FOUNDATION

SHARES/UNITS	ASSETS	BOOK VALUE	MARKET VALUE
	OTHER THAN PART		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	CORPORATE STOCKS - COMMON (CONT.)		
25.00	GOODRICH CORP	2,196.41	3,092,50
30.00	GOOGLE INC-CL A	. ~	377.0
75.00	HARLEY DAVIDSON INC	2,870.56	915
40.00	HERSHEY CO/THE	2,407.07	2,471.20
90.00	ILLUMINA INC	2,568.49	2,743.20
120.00		5,730.99	310.8
150.00	ŝ	5,833.66	689
190.00	w	7,830.91	6,317.50
20.00	LAS VEGAS SANDS CORP	809.34	854.60
135.00	BRANDS INC	4,476.84	5,447.25
500.00	MARVELL TECHNOLOGY GROUP LID	8,184.07	925
105.00	METLIFE INC	430	3,273.90
130.00		8,714.28	9,109.10
325.00	NOBLE ENERGY INC	7,584.16	8,495.10
3/5.00	ORACLE CORP	11,507.35	9,618.75
35.00	PERSICO INC	2,517.59	2,654.00
00:06	POTING INC	3, 294.36	3,405.50
40.00	DDECTACA CONF OF SACADABLA CORD	4,654./1	3, 715.20
35.00	PROCTER & GAMBLE OF	6,198.01	6,591.60
45.00	DO DIEGO S CONTROL HAD	14.401,72	2,334.83
225.00	OUALCOMM INC	3,1/3.40	3, 1/2.05
70.00	ROCKWELL AUTOMATION INC	12,242.13 F 107 EO	12,307.50
17.00	SALESFORCE, COM INC	1,191.30	5,135.90
185.00	SCHLUMBERGER LTD	T, 1020.14	1, 724.82
160.00	STARBUCKS CORP	.0.100, F1	12,631.33
250.00	THE WALT DISNEY CO.	9,735,94	09.196,/
160.00	UNITED PARCEL SERVICE-CL B	11,524.81	11 710 40
20.00	VF CORP	$\frac{1}{2}$	2,539,80
35.00	VISA INC - CLASS A SHRS	2,998.71	553
60.00	WELLS FARGO & COMPANY	1,839.22	53.
6652.00	TOTAL CORPORATE STOCKS - COMMON	345,496.12	344,654.26
	TOTAL ASSETS		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
		1010 - 16 - 11	45626 200 h
	John Mort	2,016,751.76	1 200
	Pount - 11.56	2,181.04	3,85,08
		00 5 7 11 0 50 11	4001000
		200	0,0000

Form 886	58 (Rev. 1·2012)					Page 2
	are filing for an Additional (Not Automatic) 3-Month Ex	tension, c	omplete only Part II and check this	s box		► X
٠,	ly complete Part II if you have already been granted an a				3868.	_
	are filing for an Automatic 3-Month Extension, comple					
Part II				nal (no c	opies needed).
	<u></u>				g number, see i	
Type or	Name of exempt organization or other filer, see instru	ctions			identification nu	
print						
File by the	THE HAVEN FOUNDATION			X	20-43569	38
due date for		ee instruct	tions.	Social se	cunty number (S	SN)
filing your return See	C/O MP & S LLP, 622 THIRD AV					•
instructions						
	NEW YORK, NY 10017	· ·	·			
Enter the	Return code for the return that this application is for (file	a separa	te application for each return)			0 4
	,	•				
Applicat	ion	Return	Application		•	Return
ls For		Code	Is For			Code
Form 99	0	01	.,	·	,	
Form 99	0-BL	02	Form 1041-A			08
Form 990	0-EZ	01	Form 4720			09
Form 990	0-PF	04	Form 5227			10
Form 99	0-T (sec. 401(a) or 408(a) trust)	05	Form 6069			11
	0-T (trust other than above)	06	Form 8870			12
STOPI D	o not complete Part II if you were not already granted			iously file	d Form 8868.	
	MARK LEVENFUS (
	ooks are in the care of > 622 THIRD AVENU	<u> JE – 1</u>	NEW YORK, NY 10017			
Telep	hone No.▶ 212-503-8800		FAX No. ►			_
	organization does not have an office or place of business					• L_
If this	is for a Group Return, enter the organization's four digit	Group Exe	emption Number (GEN) I	f this is foi	the whole group	, check this
box ►	. If it is for part of the group, check this box		ch a list with the names and EINs of	all memb	ers the extension	is for.
			BER 15, 2012.			
5 Fo	r calendar year 2011 , or other tax year beginning $_$, and endin	9		·
6 If t	he tax year entered in line 5 is for less than 12 months, o	heck reas	on: Initial return	Final r	eturn	
L	Change in accounting period					
7 St	ate in detail why you need the extension	70 03	NUED THEODIES OF THE	0 DDT	DADE 1	
	DDITIONAL TIME IS REQUESTED		THER INFORMATION T	O PRE	PARE A	
<u>C(</u>	OMPLETE AND ACCURATE TAX RET	UKN.				
						
	his application is for Form 990-BL, 990-PF, 990-T, 4720,	or 6069, e	nter the tentative tax, less any		•	1,583.
_	nrefundable credits. See instructions.			8a	\$	1,303.
	his application is for Form 990-PF, 990-T, 4720, or 6069,	\ \ \ :				
	к payments made. Include any pлог year overpayment al		•	4,000.		
	reviously with Form 8868.		h the fame of received by the	8b	\$	4,000.
	lance due. Subtract line 8b from line 8a. Include your pa	-	n this form, it required, by using	8c	\$	0.
	TPS (Electronic Federal Tax Payment System). See instru Signature and Verificat		st be completed for Part II o			
linder nor	on this form, I declare that I have examined this form, includ				f my knowladna and	1 belief
it is true,	correct, and complete, and that I have examined this form, include correct, and complete, and that I am authorized to prepare this fo	orm	manying someones and statements, and the	, Dog(U	, Kilowieugo alik	
Signature	► Title ► (CPA		Date	•	