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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0062

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation THE ARVD FOUNDATION		A Employer identification number 20-3846779
Number and street (or P.O. box number if mail is not delivered to street address) 225 MOUNT HERMON ROAD		B Telephone number (see instructions) 813-440-1644
Room/suite 182		
City or town, state, and ZIP code SCOTTS VALLEY CA 95066		
G Check all that apply. <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 711,127		
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)		
(Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

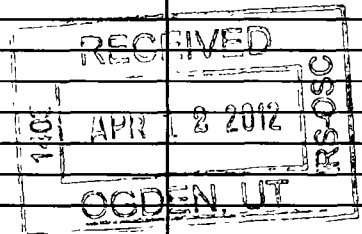
	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	12,836			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	3,226	2,970		
4 Dividends and interest from securities	17,420	17,420		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10 Stmt 1	-5,671			
b Gross sales price for all assets on line 6a 223,194				
7 Capital gain net income (from Part IV, line 2)		95		
8 Net short-term capital gain			0	
9 Income modifications				
10a Gross sales less returns & allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	27,811	20,485	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) Stmt 2	2,700			
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (att. sch.) Stmt 3	369			
24 Total operating and administrative expenses. Add lines 13 through 23	3,069	0	0	0
25 Contributions, gifts, grants paid	42,175			42,175
26 Total expenses and disbursements. Add lines 24 and 25	45,244	0	0	42,175
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-17,433			
b Net investment income (if negative, enter -0-)		20,485		
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2011)

DAA

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	34,529	23,319	23,319
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) ▶ Less: allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule) Stmt 4	141,612	51,809	48,735
	b Investments—corporate stock (attach schedule) See Stmt 5	91,032	109,426	87,887
	c Investments—corporate bonds (attach schedule) See Stmt 6	5,035		
	11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach sch.) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule) See Statement 7	489,436	559,657	551,186
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach sch.) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	761,644	744,211	711,127
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶) See Statement 8			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input checked="" type="checkbox"/>			
	24 Unrestricted	761,644	744,211	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	761,644	744,211	
	31 Total liabilities and net assets/fund balances (see instructions)	761,644	744,211	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	761,644
2 Enter amount from Part I, line 27a	2	-17,433
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	744,211
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	744,211

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a David A Noyes				
b McDonald Partners				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 71			71
b 24			24
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			71
b			24
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	95
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div> <div>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8</div> </div>	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	41,263	720,021	0.057308
2009	44,827	705,118	0.063574
2008	47,884	829,759	0.057708
2007	48,055	877,778	0.054746
2006	50,584	870,077	0.058137

2 Total of line 1, column (d)	2	0.291473
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.058295
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	754,531
5 Multiply line 4 by line 3	5	43,985
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	205
7 Add lines 5 and 6	7	44,190
8 Enter qualifying distributions from Part XII, line 4	8	42,175

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	410
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	410
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	410
6	Credits/Payments.		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	410
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	

14 The books are in care of ► **Dr. Audrey Vizzard** Telephone no. ► **831-440-1644**
225 Mount Hermon Road
 Located at ► **Scotts Valley** CA ZIP+4 ► **95066**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► **15** ► ☐

16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►

		16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ► <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	N/A	1c	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here **▶** ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Dr. Audrey Vizzard 225 Mount Hermon Road	Scotts Valley CA 95066	President 10.00	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	546,021
b	Average of monthly cash balances	1b	20,000
c	Fair market value of all other assets (see instructions)	1c	200,000
d	Total (add lines 1a, b, and c)	1d	766,021
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	766,021
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	11,490
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	754,531
6	Minimum investment return. Enter 5% of line 5	6	37,727

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	37,727
2a	Tax on investment income for 2011 from Part VI, line 5	2a	410
b	Income tax for 2011 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	410
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	37,317
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	37,317
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	37,317

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	42,175
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	42,175
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	42,175

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				37,317
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011:				
a From 2006				7,332
b From 2007				4,951
c From 2008				6,918
d From 2009				10,023
e From 2010				5,637
f Total of lines 3a through e	34,861			
4 Qualifying distributions for 2011 from Part XII, line 4. ► \$ 42,175				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2011 distributable amount				37,317
e Remaining amount distributed out of corpus	4,858			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	39,719			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	7,332			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	32,387			
10 Analysis of line 9				
a Excess from 2007				4,951
b Excess from 2008				6,918
c Excess from 2009				10,023
d Excess from 2010				5,637
e Excess from 2011				4,858

Form **990-PF** (2011)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 9				42,175
Total			▶ 3a	42,175
b Approved for future payment N/A				
Total			▶ 3b	

Schedule of Contributors

OMB No 1545-0047

2011

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

Name of the organization

THE ARVD FOUNDATION

Employer identification number

20-3846779

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization

THE ARVD FOUNDATION

Employer identification number

20-3846779**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-1	GREG AND JANIS RIKER 1500 4th Avenue, Suite 1001 SEATTLE WA 98101	\$ 10,000	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

THE ARVD FOUNDATION
20-3846779

Part II Organizations with gross receipts of more than \$25,000 and private foundations regardless of amount of gross receipts — complete Part II or furnish substitute information. See Specific Line Instructions.

Receipts from Other Sources	1	Gross sales or receipts from all business activities See instructions	•	1		00
	2	Interest	•	2	3,226	00
	3	Dividends	•	3	17,420	00
	4	Gross rents	•	4		00
	5	Gross royalties	•	5		00
	6	Gross amount received from sale of assets (See Instructions) See Statement 1	•	6	222,648	00
	7	Other income Attach schedule	•	7		00
	8	Total gross sales or receipts from other sources Add line 1 through line 7 Enter here and on Side 1, Part I, line 1		8	243,294	00
	9	Contributions, gifts, grants, and similar amounts paid Attach schedule See Statement 2	•	9	42,175	00
	10	Disbursements to or for members	•	10		00
Expenses and Disbursements	11	Compensation of officers, directors, and trustees Attach schedule See Statement 3	•	11	0	00
	12	Other salaries and wages	•	12		00
	13	Interest	•	13		00
	14	Taxes	•	14		00
	15	Rents	•	15		00
	16	Depreciation and depletion (See instructions)	•	16		00
	17	Other Expenses and Disbursements Attach schedule See Statement 4	•	17	3,069	00
	18	Total expenses and disbursements Add line 9 through line 17. Enter here and on Side 1, Part I, line 9		18	45,244	00

Schedule L Balance Sheets
Beginning of taxable year
End of taxable year

		(a)	(b)	(c)	(d)
Assets					
1	Cash		34,529		23,319
2	Net accounts receivable				
3	Net notes receivable				
4	Inventories				
5	Federal and state government obligations Stmt 5		141,612		51,809
6	Investments in other bonds Stmt 6		5,035		
7	Investments in stock Stmt 7		91,032		109,426
8	Mortgage loans				
9	Other investments Stmt 8		489,436		559,657
10	a Depreciable assets				
	b Less accumulated depreciation	()	()		
11	Land				
12	Other assets				
13	Total assets		761,644		744,211
Liabilities and net worth					
14	Accounts payable				
15	Contributions, gifts, or grants payable				
16	Bonds and notes payable				
17	Mortgages payable				
18	Other liabilities Stmt 9				
19	Capital stock or principle fund				
20	Paid-in or capital surplus. Attach reconciliation				
21	Retained earnings or income fund		761,644		744,211
22	Total liabilities and net worth		761,644		744,211

Schedule M-1 Reconciliation of income per books with income per return

Do not complete this schedule if the amount on Schedule L, line 13, column (d), is less than \$25,000

1	Net income per books	•	-17,433	7	Income recorded on books this year not included in this return Attach schedule	
2	Federal income tax	•		8	Deductions in this return not charged against book income this year Attach schedule	
3	Excess of capital losses over capital gains	•		9	Total. Add line 7 and line 8	
4	Income not recorded on books this year Attach schedule	•		10	Net income per return	
5	Expenses recorded on books this year not deducted in this return Attach schedule	•			Subtract line 9 from line 6	-17,433
6	Total					
	Add line 1 through line 5		-17,433			

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Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Whom Sold	Description	Date Acquired	Date Sold	How Received		Cost	Expense	Depreciation	Net Gain / Loss
				Sale Price	Purchase				
\$10,000 poplar bluff mo bonds		2/28/11	7/01/11	\$ 10,000	Purchase	\$ 10,000	\$	\$	
Providian Nat'l Bank CD		3/08/11	5/23/11	25,000	Purchase	25,000			
Walmart Stores Notes		10/12/10	2/15/11	5,000	Purchase	5,035			-35
Western Asset/Claymor 200 Units		9/15/10	7/11/11	2,566	Purchase	2,623			-57
LaSalle Bank CD		1/18/06	7/25/11	25,000	Purchase	25,000			
Western Asset/Claymore		12/28/05	7/11/11	89,813	Purchase	85,667			4,146
FT Senior Loan		10/29/10	12/29/11	22,450	Purchase	24,999			-2,549
FT Senior Loan		7/13/11	12/29/11	42,724	Purchase	49,995			-7,271
				546	Purchase	546			
Total				\$ 223,099	\$ 546	\$ 228,865	\$ 0	\$ 0	\$ -5,766

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Charles Madden PC	\$ 2,700	\$	\$	\$
Total	\$ 2,700	\$ 0	\$ 0	\$ 0

Federal Statements

Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
David A. Noyes	\$	\$	\$	\$
Total	\$ 0	\$ 0	\$ 0	\$ 0

Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Federal Income tax	\$	\$	\$	\$
Total	\$ 0	\$ 0	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses				
US POSTAL SERVICE	37		\$	
Investment Expenses	317			
Foreign taxes	15			
Total	369	\$ 0	\$ 0	\$ 0

Federal Statements

Statement 4 - Form 990-PF, Part II, Line 10a - US and State Government Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
4000 Units of Western Asset Claymore FIRST TRUST ENHANCED EQUITY	\$ 89,371 52,241	\$ 51,809	Market Market	\$ 48,735
Total	\$ 141,612	\$ 51,809		\$ 48,735

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
4977 Com Shs DCT Indus. Trust Inc. FIRST TRUST ET UTILITIES ALPHADEX FD FIRST TRUST MORNINSTAR DIVIDEND LDRS	\$ 50,000 24,853 16,179	\$ 50,000 43,247 16,179	Market Market Market	\$ 25,482 44,825 17,580
Total	\$ 91,032	\$ 109,426		\$ 87,887

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
WAL-MART STORES GLOBAL NOTES	\$ 5,035	\$	Market	\$
Total	\$ 5,035	\$ 0		\$ 0

Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
The Hartford Life Insurance Policy	\$ 200,000	\$ 200,000	Market	\$ 200,000
Lasalle Bank CD	25,000		Market	
Sun Life Assurance Co.	40,000	40,000	Market	46,615
KBS Real Estate Investment Trust	53,982	53,982	Market	36,600
Washington Savings Bank CD	25,069	25,069	Market	25,012
Royal Bank of Scotland Preferred stk	39,367	39,367	Market	29,500
Key Bank CD		20,750	Market	20,451
First TR Equity Income	16,006	15,456	Market	17,472

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 13 - Other Investments (continued)

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
First TR Senior Loan	\$ 25,006			
First TR Dividend Strength	40,003	40,003	Market	47,174
First TR Barrons Tempt	25,003	25,003	Market	28,080
First TR Port LP		25,009	Market	24,676
First TR Port Senior Loan		75,018	Market	75,606
Total	\$ 489,436	\$ 559,657		\$ 551,186

Federal Statements**Statement 8 - Form 990-PF, Part II, Line 22 - Other Liabilities**

<u>Description</u>	<u>Beginning of Year</u>	<u>End of Year</u>
Outstanding checks cashed Jan	\$	\$
Margin Account Balance		
Total	\$ 0	\$ 0

Federal Statements**Form 990-PF, Part XV, Line 2b - Application Format and Required Contents**Description

A written request detailing the proposed use of the funds

Form 990-PF, Part XV, Line 2c - Submission DeadlinesDescription

There are no submission deadlines

Form 990-PF, Part XV, Line 2d - Award Restrictions or LimitationsDescription

There are no formal restrictions or limitations.

Federal Statements

Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year

Name		Address		Relationship		Status	Purpose	Amount
SIENA HOUSE	SANTA CRUZ CA 95060	NONE	108 HIGH STREET	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	200		
HOSPICE OF SANTA CRUZ CO.	SCOTTS VALLEY CA 95066	NONE	940 DISC DRIVE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	1,200		
ST. FRANCIS CATHOLIC	SANTA CRUZ CA 95060	NONE	205 MORA STREET	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	750		
SALVATION ARMY	SANTA CRUZ CA 95060	NONE	721 LAUREL STREET	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	300		
HOMELESS SERVICES CENTER	SANTA CRUZ CA 95060	NONE	115 CORAL STREET	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	2,200		
CATHOLIC CHARITIES	SEASIDE CA 93955	NONE	922 HILBY AVENUE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	200		
KQED MEMBERSHIP	SAN FRANCISCO CA 94160	NONE	2601 MARIPOSA STREET	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	50		
NATI'L MULTIPLE SCLEROSIS	OAKLAND CA 94612	NONE	150 GRAND STREET	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	200		
INGALLS HOSPICE	HARVEY IL 60426	NONE	ONE INGALLS FRIVE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	200		
PAMF PHILANTHROPY DEPT.	SAN FRANCISCO CA 94160	NONE	P O BOX 60000	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	150		
FRIENDS OF SCOTS VALLEY	SCOTTS VALLEY CA 95066	NONE	230-D MOUNT HERMON ROAD	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	2,500		
SECOND HARVEST FOOD BANK	SAN JOSE CA 95125	NONE	750 CURTNER	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	240		
KUSP	SANTA CRUZ CA 95062	NONE	203 8TH AVENUE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	1,000		
HEIFER PROJEXT	LITTLE ROCK AR 72202	NONE	1 WORLD AVENUE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	2,000		
FOOD FOR THE POOR	COCONUT CREEK FL 33073	NONE	6401 LYONS ROAD	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	250		
AMNESTY INTERNATIONAL	NEW YORK NY 10001	NONE	5 PENN PLAZA	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	1,000		
COMMUNITY ACTION BOARD	WATSONVILLE CA 95076	NONE	406 MAIN STREET	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	1,000		
WALNUT AVE WOMENS CTR	SANTA CRUZ CA 95060	NONE	303 WALNUT AVE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	200		
ST. VINCENT DePAUL	SCOTTS VALLEY CA 95066	NONE	257 GLENWOOD DRIVE	EXEMPT	ASSISTANCE TO THE UNDERPRIVILEGED	1,000		

Federal Statements

**Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
AIDS FOUNDATION OF CHICAGO IL 60606		200 WEST JACKSON BLVD	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	
CATHOLIC RELIEF SERVICES BALTIMORE MD 21201		228 WEST LEXINGTON ST.	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	7,000
INTERNATIONAL RESCUE NEW YORK NY 10168		122 E. 42ND STREET	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	5,000
CATHOLIC COMMUNITY OF SCOTTS VALLEY CA 95066		257 GLENWOOD DR	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	4,375
PARTNERS IN HEALTH BOSTON MA 02284		P O BOX 845578	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	3,000
ST. VINCENT DEPAUL CHICAGO IL 60614		2145 N. HALSTEAD ST.	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	
ANNUAL MINISTRIES APPEAL SANTA ROSA CA 95402		PO BOX 1297	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	200
CAPECITAR SANTA CRUZ CA 95060		212 LAUREL ST.	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	500
DOCTORS WITHOUT BORDERS NEW YORK NY 10001		333 7TH AVE.	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	6,500
NEW JERSEY CITY UNIVERSITY JERSEY CITY NJ 07305		2039 JOHN F. KENNEDY BLVD	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	500
HABITAT FOR HUMANITY AMERICUS GA 31709		121 HABITAT ST.	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	600
TEACHERS COLLEGE COLUMBIA NEW YORK NY 10027		525 W. 120TH ST.	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	300
CABRILLO COLLEGE FOUNDATION APTOS CA 95003		6500 SOQUEL DR.	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	500
FRIENDS OF SCOTTS VALLEY SANTA CRUZ CA 95067		P.O. BOX 66931	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	50
CATHOLIC MISSION OF MANDEVILLE MANDEVILLE		P.O. BOX 8	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	300
KENYA HELP MENLO PARK CA 94062		P.O BOX 516	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	710
COMMUNITY HOUSING LAND TRUST OF SANTA CRUZ CA 95061		P.O. Box 7248	EXEMPT	NONE		ASSISTANCE TO THE UNDERPRIVILEGED	400

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Statement 9 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year (continued)

Name	Address	Relationship	Status	Purpose	Amount
					42,175
Total					