1782502

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , and ending

Name of foundation: BARBARA SAMPER FOUNDATION

A Employer identification number: 20-3326889

Number and street (or P O box number if mail is not delivered to street address): 1340 TREAT BLVD.

Room/suite: 525

B Telephone number (see instructions): 925-954-0100

City or town, state, and ZIP code: WALNUT CREEK CA 94597

C If exemption application is pending, check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 2,623,925

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	3,500			
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	4,032	4,032		
	4	Dividends and interest from securities	72,962	72,962		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	97,856			
	b	Gross sales price for all assets on line 6a 2,845,796				
	7	Capital gain net income (from Part IV, line 2)		97,856		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns & allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	178,350	174,850	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 1	2,800	840		1,960
	c	Other professional fees (attach schedule) STMT 2	26,129	26,129		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 3	922	922		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) STMT 4	153	153		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	30,004	28,044	0	1,960
	25	Contributions, gifts, grants paid	114,000			114,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	144,004	28,044	0	115,960
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	34,346			
	b	**Net investment income** (if negative, enter -0-)		146,806		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2011)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,041	2,731	2,731
	2	Savings and temporary cash investments	65,668	94,023	94,023
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) SEE STMT 5	2,135,388	2,097,641	2,419,619
	c	Investments—corporate bonds (attach schedule) SEE STMT 6	65,225	88,300	107,552
	11	Investments—land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,267,322	2,282,695	2,623,925
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	2,267,322	2,282,695	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	2,267,322	2,282,695	
	31	**Total liabilities and net assets/fund balances** (see instructions)	2,267,322	2,282,695	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,267,322
2	Enter amount from Part I, line 27a	2	34,346
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	2,301,668
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	18,973
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,282,695

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo day, yr)
1a	BANK AMER CORP - BOND	P	10/28/09	10/28/11
b	SEE ATTACHED - WF 5504 - ATTACH 1	P	VARIOUS	VARIOUS
c	SEE ATTACHED - WF 5504 - ATTACH 1	P	VARIOUS	VARIOUS
d	SEE ATTACHED - ML 4029 - ATTACH 2	P	VARIOUS	VARIOUS
e	CAPITAL GAIN DISTRIBUTIONS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	40,458		33,000	7,458
b	1,109,277		1,155,289	-46,012
c	589,698		559,277	30,421
d	1,097,655		1,000,374	97,281
e	8,708			8,708

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				7,458
b				-46,012
c				30,421
d				97,281
e				8,708

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } — 2 — 97,856

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 — 3 — 51,269

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	128,989	2,380,018	0.054197
2009	153,931	3,074,668	0.050064
2008	110,727	2,557,093	0.043302
2007	42,113	2,803,395	0.015022
2006	2,258	1,136,349	0.001987

2	**Total** of line 1, column (d)	2	0.164572
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.032914
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	2,459,111
5	Multiply line 4 by line 3	5	80,939
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,468
7	Add lines 5 and 6	7	82,407
8	Enter qualifying distributions from Part XII, line 4	8	115,960

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1			
	Date of ruling or determination letter (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	1,468
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3	Add lines 1 and 2		3	1,468
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	1,468
6	Credits/Payments			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a 1,027		
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	1,027
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	441
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ Refunded ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ NA

14 The books are in care of ▶ BBR LLP Telephone no ▶ 925-954-0100

1340 TREAT BLVD., SUITE 525

Located at ▶ WALNUT CREEK CA ZIP+4 ▶ 94597

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b	If Yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,392,227
b	Average of monthly cash balances	1b	104,332
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,496,559
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,496,559
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)	4	37,448
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,459,111
6	**Minimum investment return.** Enter 5% of line 5	6	122,956

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	122,956
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,468		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,468
3	Distributable amount before adjustments Subtract line 2c from line 1			3	121,488
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	121,488
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	121,488

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	115,960
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	115,960
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	1,468
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	114,492

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				121,488
2	Undistributed income, if any, as of the end of 2011				
a	Enter amount for 2010 only			113,833	
b	Total for prior years 20 ____ , 20 ____ , 20 ____				
3	Excess distributions carryover, if any, to 2011				
a	From 2006				
b	From 2007				
c	From 2008				
d	From 2009				
e	From 2010				
f	**Total** of lines 3a through e				
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 115,960				
a	Applied to 2010, but not more than line 2a			113,833	
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2011 distributable amount				2,127
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions				
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				119,361
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9				
a	Excess from 2007				
b	Excess from 2008				
c	Excess from 2009				
d	Excess from 2010				
e	Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

BARBARA SAMPER $3,500

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CABRILLO COLLEGE FOUNDATION 6500 SOQUEL DRIVE APTOS CA 95003	NONE	170(B)(1)(A)	SCHOLARSHIP	114,000
Total			▶ 3a	114,000
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	4,032	
4 Dividends and interest from securities			14	72,962	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	97,856	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		174,850	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 174,850

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

1782502

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [ ] Yes [X] No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? [ ] Yes [ ] No

Barbara Samper | 8-22-12 | President

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [ ] if self-employed
WARD PYNN, CPA	WARD PYNN, CPA Ward Pynn	08/17/12	
Firm's name ▶ BBR LLP		PTIN	
Firm's address ▶ 1340 TREAT BLVD STE 525 WALNUT CREEK, CA 94597		Firm's EIN ▶ 94-2824470	
		Phone no 925-954-0100	

DAA

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING	$ 2,800	$ 840	$	$ 1,960
TOTAL	$ 2,800	$ 840	$ 0	$ 1,960

Statement 2 - Form 990-PF, Part I, Line 16c - Other Professional Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
INVESTMENT FEES	$ 26,129	$ 26,129	$	$
TOTAL	$ 26,129	$ 26,129	$ 0	$ 0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAX	$ 922	$ 922	$	$
TOTAL	$ 922	$ 922	$ 0	$ 0

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
EXPENSES				
BANK FEES	72	72		
MISC EXPENSES	81	81		
TOTAL	$ 153	$ 153	$ 0	$ 0

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MERRILL LYNCH 29	$ 2,135,388	$	COST	$
WELLS FARGO - 5504 - ATTACHMENT 3		2,097,641	COST	2,419,619
TOTAL	$ 2,135,388	$ 2,097,641		$ 2,419,619

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
MERRILL LYNCH 29	$ 65,225	$	COST	$
WELLS FARGO - 6656 - ATTACHMENT 4		88,300	COST	107,552
TOTAL	$ 65,225	$ 88,300		$ 107,552

Federal Statements

Statement 7 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
ADJUSTMENTS TO BASIS	$ 18,973
TOTAL	$ 18,973

Federal Statements

Statement 8 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
BARBARA SAMPER 1340 TREAT BLVD, SUITE 525 WALNUT CREEK CA 94597	PRESIDENT	2.00	0	0	0
CHRISTOPHER SAMPER 1340 TREAT BLVD, SUITE 525 WALNUT CREEK CA 94597	VP	0.50	0	0	0
DYAN CLANCY 1340 TREAT BLVD, SUITE 525 WALNUT CREEK CA 94597	TREASURER	0.50	0	0	0
VIRGINIA PRUDELL 1340 TREAT BLVD, SUITE 525 WALNUT CREEK CA 94597	SECRETARY	0.50	0	0	0
ORIETTA ALCINA 1340 TREAT BLVD, SUITE 525 WALNUT CREEK CA 94597	ASST. SEC.	0.50	0	0	0
JON CHALMERS 1340 TREAT BLVD, SUITE 525 WALNUT CREEK CA 94597	DIRECTOR	0.50	0	0	0

Federal Statements

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or $5,000

Name of Manager	Amount
BARBARA SAMPER	$ 3,500
TOTAL	$ 3,500

Federal Statements

Direct Public Support

Contributor	Cash Contribution	Noncash Contribution
BARBARA SAMPER	3,500	
TOTAL	3,500	0

Federal Statements

Taxable Interest on Investments

Description	Amount	Unrelated Business Code	Exclusion Code	Postal Code	US Obs ($ or %)
INTEREST INCOM	$ 4,032		14		
TOTAL	$ 4,032				

Taxable Dividends from Securities

Description	Amount	Unrelated Business Code	Exclusion Code	Postal Code	US Obs ($ or %)
DIVIDEND INCOME	$ 72,962		14		
TOTAL	$ 72,962				

20-3326889 FORM 990-T ESTIMATES

BARBARA SAMPER FOUNDATION

Form **990-W** (Worksheet)

Department of the Treasury
Internal Revenue Service

Estimated Tax on Unrelated Business Taxable Income for Tax-Exempt Organizations

(and on Investment Income for Private Foundations)

(Keep for your records. Do not send to the Internal Revenue Service.)

OMB No 1545-0976

2012

1	Unrelated business taxable income expected in the tax year	1	
2	**Tax on the amount on line 1.** See instructions for tax computation	2	
3	Alternative minimum tax (see instructions)	3	
4	Total Add lines 2 and 3	4	
5	Estimated tax credits (see instructions)	5	
6	Subtract line 5 from line 4	6	
7	Other taxes (see instructions)	7	
8	Total Add lines 6 and 7	8	
9	Credit for federal tax paid on fuels (see instructions)	9	
10a	Subtract line 9 from line 8 **Note.** If less than $500, the organization is not required to make estimated tax payments Private foundations, see instructions	10a	
b	Enter the tax shown on the 2011 return (see instructions) **Caution.** If zero or the tax year was for less than 12 months, skip this line and enter the amount from line 10a on line 10c	10b	
c	**2012 Estimated Tax.** Enter the smaller of line 10a or line 10b If the organization is required to skip line 10b, enter the amount from line 10a on line 10c	10c	

			(a)	(b)	(c)	(d)
11	**Installment due dates** (see instructions)	11	05/15/12	06/15/12	09/17/12	12/17/12
12	**Required installments.** Enter 25% of line 10c in columns **(a)** through **(d)** unless the organization uses the annualized income installment method, the adjusted seasonal installment method, or is a "large organization" (see instructions)	12				
13	**2011 Overpayment** (see instructions)	13				
14	**Payment due.** (Subtract line 13 from line 12)	14				

For Paperwork Reduction Act Notice, see instructions.

20-3326889 FORM 990-PF ESTIMATES

BARBARA SAMPER FOUNDATION

Form **990-W** (Worksheet)
Department of the Treasury Internal Revenue Service

Estimated Tax on Unrelated Business Taxable Income for Tax-Exempt Organizations

(and on Investment Income for Private Foundations)
(Keep for your records. Do not send to the Internal Revenue Service.)

OMB No 1545-0976

2012

1	Unrelated business taxable income expected in the tax year	1	
2	**Tax on the amount on line 1.** See instructions for tax computation	2	
3	Alternative minimum tax (see instructions)	3	
4	Total Add lines 2 and 3	4	
5	Estimated tax credits (see instructions)	5	
6	Subtract line 5 from line 4	6	
7	Other taxes (see instructions)	7	
8	Total Add lines 6 and 7	8	
9	Credit for federal tax paid on fuels (see instructions)	9	
10a	Subtract line 9 from line 8 **Note.** If less than $500, the organization is not required to make estimated tax payments Private foundations, see instructions — 10a: 1,468		
b	Enter the tax shown on the 2011 return (see instructions) **Caution.** If zero or the tax year was for less than 12 months, skip this line and enter the amount from line 10a on line 10c — 10b: 1,468		
c	**2012 Estimated Tax.** Enter the smaller of line 10a or line 10b If the organization is required to skip line 10b, enter the amount from line 10a on line 10c	10c	1,468

			(a)	(b)	(c)	(d)
11	**Installment due dates** (see instructions)	11	05/15/12	06/15/12	09/17/12	12/17/12
12	**Required installments.** Enter 25% of line 10c in columns **(a)** through **(d)** unless the organization uses the annualized income installment method, the adjusted seasonal installment method, or is a "large organization" (see instructions)	12	367	367	367	367
13	**2011 Overpayment** (see instructions)	13				
14	**Payment due.** (Subtract line 13 from line 12)	14	367	367	367	367

For Paperwork Reduction Act Notice, see instructions.

Merrill Lynch

THE BARBARA SAMPER FOUNDATION

EMA Fiscal Statement

ATTACHMENT 2

FISCAL YEAR ACTIVITY

Date	Transaction	Quantity	Description	Price	Debit	Credit
			Other Activity			
06/07/11	Fgn Div Tax		TEVA PHARMACTCL INDS ADR		17.18	
06/13/11	Journal Entry		CREDIT CASH BALANCE		3,469 70	
06/14/11	Journal Entry		CREDIT CASH BALANCE		824 17	
06/17/11	Journal Entry		DELAWARE DIVERSIFIED			0.19
06/17/11	Journal Entry		BLACKROCK STRATEGIC			8 94
06/17/11	Journal Entry		PIMCO TOTAL RETURN FUND			0.32
06/21/11	Journal Entry		CREDIT CASH BALANCE		306 45	
06/21/11	Journal Entry		PUTNAM INCOME FUND CL Y			3.10
06/21/11	Journal Entry		TEMPLETON GLBL BOND FD			0.59
06/28/11	Journal Entry		CREDIT CASH BALANCE		3.69	
07/06/11	Journal Entry		CREDIT CASH BALANCE		0.14	
			Net Total		**110,491.46**	**2,928.58**

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1.0000	APPLE INC	06/18/08	01/19/11	327 04	179.08	147.96 LT
20.0000	APPLE INC	07/24/08	01/19/11	6,540.87	3,220.76	3,320.11 LT
10.0000	APPLE INC	11/05/08	01/19/11	3,270.44	1,053.90	2,216.54 LT
8.0000	APPLE INC	06/03/09	01/19/11	2,616.36	1,115.37	1,500.99 LT
1,485.0000	BANCO SANTANDER SA ADR	06/04/10	01/13/11	16,760.46	13,545.43	3,215.03 ST
845.0000	BANCO SANTANDER SA ADR	11/23/10	01/13/11	9,537.11	8,894 30	642.81 ST
350.0000	BANCO SANTANDER SA ADR	11/23/10	01/25/11	4,194.00	3,684.03	509.97 ST
595.0000	BANCO SANTANDER SA ADR	11/26/10	01/25/11	7,129.81	5,958.69	1,171.12 ST
465.0000	COMCAST CORP NEW CL A	12/11/09	01/10/11	10,551.51	8,216.55	2,334.96 LT
100 0000	LABORATORY CP AMER HLDGS	12/11/09	01/07/11	8,922.68	7,372.00	1,550.68 LT
315 0000	MSCI INC	09/30/10	01/10/11	12,166 85	10,445.81	1,721 04 ST
165.0000	OCCIDENTAL PETE CORP CAL	01/06/10	01/10/11	15,833.59	13,780.67	2,052.92 LT
90.0000	SCHLUMBERGER LTD	06/08/10	01/10/11	7,262.15	4,970.49	2,291 66 ST
195.0000	SPDR GOLD TRUST	12/11/09	01/24/11	25,525 79	21,560.65	3,965.14 LT
275.0000	SPDR GOLD TRUST	12/11/09	01/25/11	35,774.03	30,406.04	5,367.99 LT
100.0000	SPDR GOLD TRUST	12/15/09	01/25/11	13,008.74	11,010.67	1,998.07 LT

Attachment 2 1/5

Merrill Lynch

THE BARBARA SAMPER FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
1,311.0000	MATHWS ASIAN GR&INC INV	12/11/09	01/18/11	23,938.86	20,517.15	3,421.71 LT
54.0000	DELAWARE DVSFD INC INST	12/11/09	01/10/11	498.42	505.97	N/C
9,866.0000	DELAWARE DVSFD INC INST	12/11/09	01/10/11	91,063.18	92,443.88	(1,380.69) LT
525.0000	PERMANENT PORTFOLIO FUND	06/08/10	01/18/11	24,008.25	20,795.25	3,213.00 ST
265.0000	EQUINIX INC	10/25/10	01/31/11	23,247.63	19,867.10	3,380.53 ST
310 0000	KONINKL PHIL E NY SH NEW	10/01/10	02/23/11	9,805.85	9,765.90	39.95 ST
275.0000	KONINKL PHIL E NY SH NEW	10/19/10	02/23/11	8,698.74	8,546 59	152.15 ST
110.0000	LABORATORY CP AMER HLDGS	12/11/09	02/01/11	9,778.06	8,109.20	1,668.86 LT
415.0000	TEEKAY OFFSHORE PARTNERS	09/14/10	01/28/11	11,682.32	9,554.79	2,127.53 ST
41.0000	WAL-MART STORES INC	10/14/08	02/23/11	2,187.48	2,231.61	(44.13) LT
19.0000	WAL-MART STORES INC	10/16/08	02/23/11	1,013.71	1,005.77	7.94 LT
30.0000	WAL-MART STORES INC	10/17/08	02/23/11	1,600.60	1,644.44	(43.84) LT
68.0000	WAL-MART STORES INC	10/20/08	02/23/11	3,628.03	3,623.58	4.45 LT
20.0000	WAL-MART STORES INC	11/05/08	02/23/11	1,067.07	1,090.20	(23.13) LT
17.0000	WAL-MART STORES INC	03/31/09	02/23/11	907.00	886.53	20.47 LT
19.0000	WAL-MART STORES INC	05/14/09	02/23/11	1,013.71	940.23	73.48 LT
6.0000	WAL-MART STORES INC	05/29/09	02/23/11	320.12	295.47	24.65 LT
1.0000	WAL-MART STORES INC	06/02/09	02/23/11	53.35	50.32	3.03 LT
7 0000	WAL-MART STORES INC	06/04/09	02/23/11	373.47	355.27	18.20 LT
55.0000	WAL-MART STORES INC	12/11/09	02/23/11	2,934.47	3,018.95	(84.48) LT
8,083.0000	TCW EMERG MKT INCOME I	01/10/11	02/22/11	69,675.46	69,998.78	(323.32) ST
42.0000	TCW EMERG MKT INCOME I	01/31/11	02/22/11	362.04	359.52	2.52 ST
432.0000	DELAWARE DVSFD INC INST	12/11/09	02/25/11	3,991.67	4,047.82	(56.15) LT
1,812.0000	DELAWARE DVSFD INC INST	12/15/09	02/25/11	16,742.88	16,997 17	(254.29) LT
88.0000	DELAWARE DVSFD INC INST	12/22/09	02/25/11	813.12	819.28	(6.16) LT
12.0000	DELAWARE DVSFD INC INST	12/22/09	02/25/11	110.88	111.72	(0.84) LT
54 0000	DELAWARE DVSFD INC INST	12/22/09	02/25/11	498.96	504.36	(5.40) LT
1.0000	DELAWARE DVSFD INC INST	12/23/09	02/25/11	9.24	9.31	(0.07) LT
1.0000	DELAWARE DVSFD INC INST	12/23/09	02/25/11	9.23	9.31	(0.08) LT
61.0000	DELAWARE DVSFD INC INST	01/22/10	02/25/11	563.64	574.62	(10.98) LT
64.0000	DELAWARE DVSFD INC INST	02/22/10	02/25/11	591.36	596.48	(5.12) LT
2,433.0000	DELAWARE DVSFD INC INST	03/12/10	02/25/11	22,480.92	22,995.83	(514.91) ST
1.0000	DELAWARE DVSFD INC INST	03/17/10	02/25/11	9.23	9 48	(0.25) ST
2.0000	DELAWARE DVSFD INC INST	03/22/10	02/25/11	18.48	18.96	(0.48) ST
67.0000	DELAWARE DVSFD INC INST	04/22/10	02/25/11	619.08	641.19	(22.11) ST
1.0000	DELAWARE DVSFD INC INST	04/23/10	02/25/11	9.23	9.56	(0.33) ST
73.0000	DELAWARE DVSFD INC INST	05/21/10	02/25/11	674.52	686.93	(12.41) ST
1,734.0000	DELAWARE DVSFD INC INST	06/09/10	02/25/11	16,022.18	16,247.58	(225.40) ST
1.0000	DELAWARE DVSFD INC INST	06/22/10	02/25/11	9.23	9.41	(0.18) ST
69.0000	DELAWARE DVSFD INC INST	06/22/10	02/25/11	637.56	649.97	(12.41) ST

PLEASE SEE REVERSE SIDE

Attachment 2

Merrill Lynch

THE BARBARA SAMPER FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
60.0000	DELAWARE DVSFD INC INST	07/22/10	02/25/11	554.40	571.20	(16 80) ST
1.0000	DELAWARE DVSFD INC INST	08/20/10	02/25/11	9 24	9.58	(0.34) ST
58.0000	DELAWARE DVSFD INC INST	08/20/10	02/25/11	535.92	559.69	(23.77) ST
60.0000	DELAWARE DVSFD INC INST	09/22/10	02/25/11	554.40	581.40	(27.00) ST
1.0000	DELAWARE DVSFD INC INST	10/22/10	02/25/11	9.23	9.72	(0.49) ST
58.0000	DELAWARE DVSFD INC INST	10/22/10	02/25/11	535 92	566.66	(30 74) ST
1.0000	DELAWARE DVSFD INC INST	11/22/10	02/25/11	9.24	9.73	(0.49) ST
62.0000	DELAWARE DVSFD INC INST	11/22/10	02/25/11	572 88	600.78	(27.90) ST
1.0000	DELAWARE DVSFD INC INST	12/22/10	02/25/11	9.24	9.44	(0.20) ST
66.0000	DELAWARE DVSFD INC INST	12/22/10	02/25/11	609.84	605.88	3 96 ST
466.0000	DELAWARE DVSFD INC INST	12/28/10	02/25/11	4,305 86	4,277.88	27.98 ST
1.0000	DELAWARE DVSFD INC INST	12/28/10	02/25/11	9.24	9.18	0.06 ST
215.0000	DELAWARE DVSFD INC INST	12/28/10	02/25/11	1,986 61	1,973.69	12.92 ST
1.0000	DELAWARE DVSFD INC INST	02/22/11	02/25/11	9 24	9.19	0.05 ST
32.0000	DELAWARE DVSFD INC INST	02/22/11	02/25/11	295.69	295.35	0.34 ST
360.0000	DWS ENHNCD CMDTY STRAT S	11/22/10	02/02/11	1,634.40	1,429.20	205.20 ST
1,302.0000	OPPENHMR DEV MRKTS CL Y	02/11/10	02/11/11	43,955 51	35,101.92	8,853.59 ST
745.0000	OPPENHMR DEV MRKTS CL Y	03/12/10	02/11/11	25,151.20	21,485.80	3,665.40 ST
1.0000	OPPENHMR DEV MRKTS CL Y	12/22/10	02/11/11	33.76	29.42	4.34 ST
0.2860	OPPENHMR DEV MRKTS CL Y	12/22/10	02/11/11	9 66	10.02	(0.36) ST
8.0000	OPPENHMR DEV MRKTS CL Y	12/22/10	02/11/11	270.09	280.15	(10.06) ST
376.0000	PIMCO TOTAL RETURN FUND	12/11/09	02/25/11	4,083.35	4,083.36	(0.01) LT
1,606.0000	PIMCO TOTAL RETURN FUND	12/15/09	02/25/11	17,441.16	17,441.15	0.01 LT
1.0000	PIMCO TOTAL RETURN FUND	12/16/09	02/25/11	10.85	10.87	(0.02) LT
19.0000	PIMCO TOTAL RETURN FUND	12/31/09	02/25/11	206.34	205.20	1 14 LT
1.0000	PIMCO TOTAL RETURN FUND	01/04/10	02/25/11	10.85	10.82	0.03 LT
16 0000	PIMCO TOTAL RETURN FUND	01/29/10	02/25/11	173.76	175.36	(1.60) LT
3,895.0000	PIMCO TOTAL RETURN FUND	02/11/10	02/25/11	42,299.72	42,494.44	(194.72) LT
1.0000	PIMCO TOTAL RETURN FUND	02/12/10	02/25/11	10.86	10.93	(0.07) LT
20 0000	PIMCO TOTAL RETURN FUND	02/26/10	02/25/11	217.20	219.80	(2.60) ST
24.0000	PIMCO TOTAL RETURN FUND	03/31/10	02/25/11	260.64	264 96	(4.32) ST
1 0000	PIMCO TOTAL RETURN FUND	04/01/10	02/25/11	10.85	11 03	(0 18) ST
25.0000	PIMCO TOTAL RETURN FUND	04/30/10	02/25/11	271.50	278.25	(6.75) ST
23 0000	PIMCO TOTAL RETURN FUND	05/28/10	02/25/11	249.78	255 30	(5.52) ST
1.0000	PIMCO TOTAL RETURN FUND	06/01/10	02/25/11	10.86	11 10	(0.24) ST
1 0000	PIMCO TOTAL RETURN FUND	06/30/10	02/25/11	10.86	11.25	(0.39) ST
25.0000	PIMCO TOTAL RETURN FUND	06/30/10	02/25/11	271 50	281.50	(10.00) ST
27.0000	PIMCO TOTAL RETURN FUND	07/30/10	02/25/11	293.22	307 80	(14.58) ST
25.0000	PIMCO TOTAL RETURN FUND	08/31/10	02/25/11	271 50	288.49	(16.99) ST
1.0000	PIMCO TOTAL RETURN FUND	09/30/10	02/25/11	10 86	11 48	(0.62) ST

Attachment 2 3/

Merrill Lynch

THE BARBARA SAMPER FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description	Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)
25.0000	PIMCO TOTAL RETURN FUND	09/30/10	02/25/11	271.50	289.99	(18.49) ST
28.0000	PIMCO TOTAL RETURN FUND	10/29/10	02/25/11	304.08	327.32	(23.24) ST
1.0000	PIMCO TOTAL RETURN FUND	11/30/10	02/25/11	10.86	11.61	(0.75) ST
26.0000	PIMCO TOTAL RETURN FUND	11/30/10	02/25/11	282.36	298.74	(16.38) ST
211.0000	PIMCO TOTAL RETURN FUND	12/08/10	02/25/11	2,291.47	2,278.79	12.68 ST
1.0000	PIMCO TOTAL RETURN FUND	12/08/10	02/25/11	10.86	11.03	(0.17) ST
96.0000	PIMCO TOTAL RETURN FUND	12/08/10	02/25/11	1,042.56	1,036.79	5.77 ST
20.0000	PIMCO TOTAL RETURN FUND	12/31/10	02/25/11	217.20	217.00	0.20 ST
1.0000	PIMCO TOTAL RETURN FUND	01/31/11	02/25/11	10.86	10.83	0.03 ST
16.0000	PIMCO TOTAL RETURN FUND	01/31/11	02/25/11	173.77	173.60	0.17 ST
585.0000	AT&T INC	12/11/09	02/28/11	15,275.82	15,502.50	(226.68) LT
600.0000	VANGUARD SHORT-TERM	03/12/10	02/25/11	46,643.16	45,714.06	929.10 ST
350.0000	VANGUARD SHORT-TERM	01/18/11	02/25/11	27,208.52	27,154.40	54.12 ST
255.0000	MSCI INC	09/30/10	03/16/11	8,714.12	8,456.13	257.99 ST
433.0000	NEXTERA ENERGY CAPITALHO	12/11/09	03/14/11	11,350.96	11,678.01	(327.05) LT
147.0000	NEXTERA ENERGY CAPITALHO	12/11/09	03/15/11	3,743.92	3,964.59	(220.67) LT
195.0000	SPDR GOLD TRUST CXL	12/11/09	01/24/11	25,525.79	21,560.65	(3,965.14) LT
195.0000	SPDR GOLD TRUST	12/11/09	01/24/11	25,525.79	21,476.98	4,048.81 LT
275.0000	SPDR GOLD TRUST CXL	12/11/09	01/25/11	35,774.03	30,406.04	(5,367.99) LT
275.0000	SPDR GOLD TRUST	12/11/09	01/25/11	35,774.03	30,288.06	5,485.97 LT
100.0000	SPDR GOLD TRUST CXL	12/15/09	01/25/11	13,008.74	11,010.67	(1,998.07) LT
100.0000	SPDR GOLD TRUST	12/15/09	01/25/11	13,008.74	10,967 95	2,040.79 LT
385.0000	SUNCOR ENERGY INC NEW	02/11/10	02/25/11	17,458 83	11,388.73	6,070.10 LT
1,656.0000	MATHWS ASIAN GR&INC INV	12/11/09	03/15/11	28,566.00	25,916.41	2,649.59 LT
864.0000	MATHWS ASIAN GR&INC INV	12/15/09	03/15/11	14,904.00	13,495.68	1,408.32 LT
1.0000	MATHWS ASIAN GR&INC INV	06/24/10	03/15/11	17.24	16.06	1.18 ST
51.0000	MATHWS ASIAN GR&INC INV	06/24/10	03/15/11	879.75	817.52	62.23 ST
1.0000	MATHWS ASIAN GR&INC INV	12/09/10	03/15/11	17.25	17 28	(0.03) ST
52.0000	MATHWS ASIAN GR&INC INV	12/09/10	03/15/11	897.00	918.31	(21.31) ST
0.2290	MATHWS ASIAN GR&INC INV	12/09/10	03/18/11	3.95	4.04	(0.09) ST
62.0000	MATHWS ASIAN GR&INC INV	12/09/10	03/15/11	1,069.51	1,094.92	(25.41) ST
0.6370	TCW EMERG MKT INCOME I	02/28/11	03/18/11	5.50	5.46	0.04 ST
1,812.0000	DELAWARE DVSFD INC INST CXL	12/15/09	02/25/11	16,742.88	16,997.17	254.29 LT
5.0000	DELAWARE DVSFD INC INST	12/15/09	02/25/11	46.20	46.90	N/C
1,807.0000	DELAWARE DVSFD INC INST	12/15/09	02/25/11	16,696.68	16,950.27	(253.59) LT
0.6150	DELAWARE DVSFD INC INST	02/22/11	03/18/11	5 68	5.68	0.00
3,584.0000	GLD SAC SM/MID CAP GRO I	09/17/10	03/01/11	54,369 28	47,595.52	6,773.76 ST
4.0000	GLD SAC SM/MID CAP GRO I	12/09/10	03/01/11	60.67	59.03	1.64 ST
0 7780	GLD SAC SM/MID CAP GRO I	12/09/10	03/01/11	11.80	10.99	0.81 ST
62.0000	GLD SAC SM/MID CAP GRO I	12/09/10	03/01/11	940.55	915.12	25.43 ST

PLEASE SEE REVERSE SIDE

Statement Period Year Ending 12/31/11

Account No.

x 01789277

00-17-6060B-IPS5000 05-2011

Attachment 2

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Merrill Lynch

THE BARBARA SAMPER FOUNDATION

EMA Fiscal Statement

REALIZED CAPITAL GAIN AND LOSS SUMMARY

Quantity	Security Description		Date of Acquisition	Date of Liquidation	Sale Amount	Cost Basis	Gain or (Loss)	
1.0000	PIMCO TOTAL RETURN FUND	CXL	12/16/09	02/25/11	10.85	10.87	0.02	LT
1.0000	PIMCO TOTAL RETURN FUND		12/16/09	02/25/11	10.85	10.87	N/C	
16.0000	PIMCO TOTAL RETURN FUND	CXL	01/29/10	02/25/11	173.76	175.36	1.60	LT
16 0000	PIMCO TOTAL RETURN FUND		01/29/10	02/25/11	173 76	175 36	N/C	
0.4820	FIRST EAG OVERSEAS I		12/17/10	05/24/11	11.41	10.85	0.56	ST
0.6060	JPMORGAN HBR DYN CL SEL		02/02/11	05/20/11	12.31	11.95	0.36	ST
0.0650	DELAWARE DVSFD INC INST		04/21/11	05/20/11	0.61	0.60	0.01	ST
0.7280	EV ATL CAP SMID CAP I		12/23/10	05/24/11	12.26	11.21	1.05	ST
0.8140	C&S PREF SEC & INC I		04/29/11	05/20/11	10.26	10 06	0.20	ST
0 9520	IVY ASSET STRAT NEW OP I		01/10/11	05/24/11	12 20	11.92	0.28	ST
0.3820	PUTNAM INCOME FD CL Y		04/25/11	05/24/11	2.67	2.64	0.03	ST
0.8680	METZLER PAYDEN EURO EMRG		02/11/11	05/20/11	26.98	27.03	(0.05)	ST
0.1580	DWS ENHNCD CMDTY STRAT S		01/18/11	05/20/11	0 71	0 70	0.01	ST
0.9320	MATHWS ASIA DIVIDND FD		03/24/11	05/20/11	13.39	13.07	0.32	ST
0.0340	DREYFUS INTL STOCK I		12/31/10	05/24/11	0 49	0.47	0.02	ST
0.0760	BLKRK STRINC OP PTF INST		04/29/11	05/20/11	0.76	0.76	0.00	
0 1730	PIMCO TOT RTN FD CL P		04/29/11	05/20/11	1.91	1.88	0.03	ST
0.5730	TMPLTN FRNTR MRKTS ADV		02/11/11	05/24/11	9 44	9.36	0 08	ST
0.0270	TMPLTN GLBL BD FD ADV CL		05/18/11	05/24/11	0.37	0 37	0.00	
0 5710	PERMANENT PORT		12/08/10	05/20/11	27.39	25.43	1.96	ST
0.0200	DELAWARE DVSFD INC INST		05/20/11	06/17/11	0.19	0.18	0.01	ST
0.4420	PUTNAM INCOME FD CL Y		05/25/11	06/21/11	3.10	3.09	0.01	ST
0.8980	BLKRK STRINC OP PTF INST		05/31/11	06/17/11	8 94	8.98	(0.04)	ST
0.0290	PIMCO TOT RTN FD CL P		05/31/11	06/17/11	0.32	0.32	0.00	
0.0430	TMPLTN GLBL BD FD ADV CL		05/18/11	06/21/11	0.59	0 59	0.00	
					$1,097,655.32	$1,000,373.64	$97,281.68	

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x 01789278

PLEASE SEE REVERSE SIDE

 Statement Period Year Ending 12/31/11 Account No.

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DECEMBER 1 - DECEMBER 31. 2011
ACCOUNT NUMBER:

ATTACHMENT 3

Additional information

	THIS PERIOD	THIS YEAR		THIS PERIOD	THIS YEAR
Gross proceeds	220,983.15	1,698,975.52	Foreign withholding	-47.42	-98.85

Portfolio detail

Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N A. and (if amounts exceed $250,000) at one or more other Wells Fargo affiliated banks These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	-0.75	0.00	-16,725.64	0.00
BANK DEPOSIT SWEEP	4.98	0 04	110,678.16	44 27
Interest Period 12/01/11 - 12/31/11				
Total Cash and Sweep Balances	**4.23**		**$93,952.52**	**$44.27**

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs

Stocks and ETFs

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
AFLAC INC									
AFL									
Acquired 12/11/09 L nc		465	46 50	21,622.50		20,115.90	-1,506.60		
Acquired 05/06/10 L nc		105	46.18	4,849.88		4,542 30	-307 58		
Acquired 06/04/10 L nc		295	42 26	12,469 50		12,761 70	292 20		
Acquired 03/15/11 S		110	50.06	5,507.32		4,758 60	-748.72		
Acquired 03/16/11 S		180	50 39	9,070 40		7,786.80	-1,283 60		
Acquired 09/20/11 S		145	35.72	5,180 27		6,272 70	1,092 43		
Total	**2.53**	**1,300**		**$58,699.87**	**43.2600**	**$56,238.00**	**-$2,461.87**	**$1,716.00**	**3.05**
AMAZON COM INC									
AMZN									
Acquired 11/21/11 S	0.70	90	187.54	16,879 11	173.1000	15,579.00	-1,300.11	N/A	N/A

Attachment 3

Found

DECEMBER 1 - DECEMBER 31. 2011
ACCOUNT NUMBER·

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
AMERICAN EXPRESS COMPANY AXP									
Acquired 09/20/11 S	0.39	185	49.80	9,214.77	47.1700	8,726.45	-488 32	133.20	1.52
ANHEUSER BUSCH INBEV SA/NV-SPONSORED ADR BUD									
Acquired 05/20/10 L nc		65	47 24	3,070.86		3,964.35	893.49		
Acquired 11/23/10 L nc		50	59 09	2,954.94		3,049.50	94 56		
Acquired 11/30/10 L nc		215	54 90	11,804.77		13,112 85	1,308 08		
Total	**0.91**	**330**		**$17,830.57**	**60.9900**	**$20,126.70**	**$2,296.13**	**$320.10**	**1.59**
APPLE INC AAPL									
Acquired 08/04/11 S	0.46	25	383.48	9,587.08	405.0000	10,125.00	537 92	N/A	N/A
BARRICK GOLD CORP ABX									
Acquired 06/14/11 S		445	43 76	19,475.29		20,136.25	660.96		
Acquired 08/10/11 S		115	50.21	5,774.64		5,203 75	-570 89		
Total	**1.14**	**560**		**$25,249.93**	**45.2500**	**$25,340.00**	**$90.07**	**$336.00**	**1.33**
CAPITAL ONE FINANCIAL CORP COF									
Acquired 08/03/11 S		430	45 31	19,485.24		18,184 70	-1,300 54		
Acquired 08/09/11 S		295	38.50	11,357 50		12,475.55	1,118.05		
Acquired 09/20/11 S		135	43.01	5,806.35		5,709.15	-97.20		
Total	**1.64**	**860**		**$36,649.09**	**42.2900**	**$36,369.40**	**-$279.69**	**$172.00**	**0.47**
CARNIVAL CORP CCL									
Acquired 12/11/09 L nc		240	32 48	7,795.20		7,833 60	38 40		
Acquired 01/13/11 S		175	47.13	8,248.14		5,712 00	-2,536 14		
Acquired 02/23/11 S		300	41 70	12,512 52		9,792 00	-2,720 52		
Total	**1.05**	**715**		**$28,555.86**	**32.6400**	**$23,337.60**	**-$5,218.26**	**$715.00**	**3.06**
CATERPILLAR INC CAT									
Acquired 02/11/10 L nc		25	56 21	1,405.34		2,265.00	859.66		
Acquired 09/20/11 S		95	85.00	8,075.76		8,607 00	531.24		
Total	**0.49**	**120**		**$9,481.10**	**90.6000**	**$10,872.00**	**$1,390.90**	**$220.80**	**2.03**

Attachment 3

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Found

DECEMBER 1 - DECEMBER 31 2011
ACCOUNT NUMBER·

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
CHEVRON CORPORATION CVX									
Acquired 02/11/10 L nc		250	71 85	17,964 98		26,600 00	8,635.02		
Acquired 06/15/11 S		50	98 12	4,906.40		5,320 00	413.60		
Total	**1.44**	**300**		**$22,871.38**	**106.4000**	**$31,920.00**	**$9,048.62**	**$972.00**	**3.05**
COMCAST CORP NEW CL A CMCSA									
Acquired 10/13/10 L nc	1 31	1,230	18.19	22,385 87	23.7100	29,163 30	6,777.43	553.50	1 89
DISNEY WALT COMPANY DIS									
Acquired 05/06/10 L nc		150	34 15	5,123 36		5,625 00	501.64		
Acquired 08/10/11 S		345	31 88	10,998 93		12,937 50	1,938.57		
Total	**0.83**	**495**		**$16,122.29**	**37.5000**	**$18,562.50**	**$2,440.21**	**$297.00**	**1.60**
DREAMWORKS ANIMATION SKG INC-A DWA									
Acquired 07/29/11 S		455	21 86	9,947.35		7,550.72	-2,396.63		
Acquired 08/04/11 S		520	19.99	10,398 54		8,629 40	-1,769.14		
Total	**0.73**	**975**		**$20,345.89**	**16.5950**	**$16,180.12**	**-$4,165.77**	**N/A**	**N/A**
DU PONT E I. DE NEMOURS AND COMPANY DD									
Acquired 09/14/11 S	0.82	400	46.10	18,443 81	45.7800	18,312 00	-131 81	656.00	3 58
EMERSON ELECTRIC CO EMR									
Acquired 12/11/09 L nc	0.79	375	42 14	15,802 50	46 5900	17,471 25	1,668 75	600 00	3.43
EXXON MOBIL CORP XOM									
Acquired 08/16/10 L nc	0 86	225	59.67	13,427.48	84.7600	19,071 00	5,643 52	423.00	2 21
GENERAL ELECTRIC COMPANY GE									
Acquired 07/02/10 L nc		645	13.81	8,911.32		11,551.95	2,640.63		
Acquired 10/15/10 L nc		495	16.37	8,103.15		8,865 45	762.30		
Total	**0.92**	**1,140**		**$17,014.47**	**17.9100**	**$20,417.40**	**$3,402.93**	**$775.20**	**3.80**

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Attachment 3

DECEMBER 1 - DECEMBER 31 2011
ACCOUNT NUMBER:

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
GOOGLE INC CL A									
GOOG									
Acquired 12/11/09 L nc		25	594 68	14,867 00		16,147 50	1,280.50		
Acquired 05/06/10 L nc		10	506.23	5,062.30		6,459.00	1,396.70		
Total	**1.02**	**35**		**$19,929.30**	**645.9000**	**$22,606.50**	**$2,677.20**	**N/A**	**N/A**
HEWLETT-PACKARD COMPANY									
HPQ									
Acquired 09/23/11 S	0 94	810	21.79	17,650.31	25.7600	20,865 60	3,215.29	388.80	1.86
HILL-ROM HOLDINGS INC									
HRC									
Acquired 08/09/11 S		320	29 09	9,308.80		10,780 80	1,472.00		
Acquired 09/20/11 S		275	31.56	8,681.27		9,264 75	583.48		
Total	**0.90**	**595**		**$17,990.07**	**33.6900**	**$20,045.55**	**$2,055.48**	**$267.75**	**1.34**
INTERNATIONAL BUSINESS MACHINE CORP									
IBM									
Acquired 07/15/10 L nc		16	130.70	2,091.35		2,942.08	850.73		
Acquired 07/22/10 L nc		35	127.49	4,462.29		6,435 80	1,973.51		
Total	**0.42**	**51**		**$6,553.64**	**183.8800**	**$9,377.88**	**$2,824.24**	**$153.00**	**1.63**
ISHARES GOLD TRUST									
IAU									
Acquired 12/09/11 S nc	2.74	4,000	16 70	66,839 20	15 2300	60,920 00	-5,919 20	N/A	N/A
JOHNSON & JOHNSON									
JNJ									
Acquired 07/06/07 L nc		42	62.05	2,606.11		2,754 36	148 25		
Acquired 10/20/08 L nc		59	63 57	3,751.21		3,869 22	118 01		
Acquired 11/04/08 L nc		9	61.45	553.14		590 22	37.08		
Acquired 11/05/08 L nc		130	60.21	7,828.34		8,525.40	697 06		
Acquired 11/06/08 L nc		6	57.93	347.60		393.48	45.88		
Acquired 02/03/09 L nc		74	58 06	4,297 14		4,852 92	555 78		
Acquired 03/31/09 L nc		38	52 65	2,000.89		2,492.04	491.15		
Acquired 04/22/09 L nc		21	51.75	1,086.76		1,377.18	290.42		
Acquired 06/04/09 L nc		30	55.74	1,672.44		1,967.40	294 96		
Acquired 10/28/09 L nc		22	59.76	1,314.92		1,442.76	127.84		
Acquired 07/22/10 L nc		210	57.05	11,980 50		13,771 80	1,791.30		
Total	**1.89**	**641**		**$37,439.05**	**65.5800**	**$42,036.78**	**$4,597.73**	**$1,461.48**	**3.48**

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DECEMBER 1 - DECEMBER 31. 2011
ACCOUNT NUMBER·

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
MC CORMICK & CO INC NON VTG MKC									
Acquired 12/30/10 L nc	0 94	415	46 73	19,393 20	50.4200	20,924 30	1,531.10	514.60	2.45
MEAD JOHNSON NUTRITION CO MJN									
Acquired 12/22/11 S	0.40	130	61 26	7,963.80	68.7300	8,934 90	971 10	135 20	1.51
MICROSOFT CORP MSFT									
Acquired 06/30/10 L nc		185	23.24	4,299 40		4,802 60	503.20		
Acquired 07/15/10 L nc		120	25.53	3,064.78		3,115 20	50.42		
Acquired 07/22/10 L nc		135	25.97	3,505 95		3,504.60	-1.35		
Acquired 08/12/10 L nc		455	24.37	11,092.49		11,811.80	719.31		
Acquired 03/16/11 S		455	24.81	11,289 87		11,811.80	521.93		
Total	**1.58**	**1,350**		**$33,252.49**	**25.9600**	**$35,046.00**	**$1,793.51**	**$1,080.00**	**3.08**
OCCIDENTAL PETE CORP OXY									
Acquired 10/08/10 L nc	0 93	220	82.57	18,165 88	93.7000	20,614 00	2,448.12	404 80	1.96
ORACLE CORPORATION ORCL									
Acquired 12/30/11 S	0.80	690	25 77	17,781 44	25.6500	17,698 50	-82 94	165.60	0.93
PEPSICO INCORPORATED PEP									
Acquired 08/04/11 S	0.90	300	63 97	19,192.07	66.3500	19,905 00	712.93	618 00	3 10
PFIZER INCORPORATED PFE									
Acquired 02/11/10 L nc		172	17.88	3,076.53		3,722.08	645.55		
Acquired 06/09/10 L nc		340	14.68	4,994 57		7,357.60	2,363.03		
Acquired 08/09/11 S		575	16.98	9,763 50		12,443.00	2,679.50		
Total	**1.06**	**1,087**		**$17,834.60**	**21.6400**	**$23,522.68**	**$5,688.08**	**$956.56**	**4.07**
PROCTER & GAMBLE CO PG									
Acquired 02/03/09 L nc		197	53 22	10,486.21		13,141 87	2,655.66		
Acquired 12/11/09 L nc		55	62.21	3,421.55		3,669.05	247.50		
Total	**0.76**	**252**		**$13,907.76**	**66.7100**	**$16,810.92**	**$2,903.16**	**$529.20**	**3.15**

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DECEMBER 1 - DECEMBER 31. 2011
ACCOUNT NUMBER:

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
SCHLUMBERGER LTD SLB									
Acquired 06/08/10 L nc		75	55.22	4,142 08		5,123.25	981.17		
Acquired 08/20/10 L nc		165	56 46	9,316.92		11,271.15	1,954.23		
Acquired 09/20/11 S		155	70.12	10,869.66		10,588 05	-281 61		
Total	**1.21**	**395**		**$24,328.66**	**68.3100**	**$26,982.45**	**$2,653.79**	**$395.00**	**1.46**
SUNCOR ENERGY INC NEW SU									
Acquired 02/11/10 L nc		220	29 58	6,507 84		6,342 60	-165 24		
Acquired 09/20/11 S		405	29 50	11,950 34		11,676 15	-274.19		
Total	**0.81**	**625**		**$18,458.18**	**28.8300**	**$18,018.75**	**-$439.43**	**$269.37**	**1.49**
SYSCO CORPORATION SYY									
Acquired 08/04/11 S	0.87	660	29 48	19,460.56	29.3300	19,357 80	-102.76	712 80	3 68
TEVA PHARMACEUTICAL ADR INDS LTD TEVA									
Acquired 01/31/11 S		355	53 96	19,156.01		14,327.80	-4,828.21		
Acquired 02/18/11 S		220	51.87	11,412 57		8,879 20	-2,533.37		
Total	**1.04**	**575**		**$30,568.58**	**40.3600**	**$23,207.00**	**-$7,361.58**	**$451.37**	**1.94**
UNITED PARCEL SERVICE-B UPS									
Acquired 06/15/11 S	0.94	285	68.97	19,659 29	73.1900	20,859.15	1,199.86	592 80	2 84
UNITED TECHNOLOGIES CORP UTX									
Acquired 02/03/09 L nc		23	47.86	1,100 99		1,681 07	580 08		
Acquired 12/11/09 L nc		40	69 00	2,760.00		2,923 60	163.60		
Acquired 02/11/10 L nc		180	66 65	11,998 78		13,156 20	1,157.42		
Total	**0.80**	**243**		**$15,859.77**	**73.0900**	**$17,760.87**	**$1,901.10**	**$466.56**	**2.63**
VANGUARD DIVIDEND ET APPRECIATION VIG									
Acquired 12/09/11 S	1 47	600	54.79	32,874.00	54.6500	32,790 00	-84.00	703 20	2 14
VANGUARD REIT ET VNQ									
Acquired 08/11/11 S		850	54.15	46,033.54		49,300 00	3,266.46		

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DECEMBER 1 - DECEMBER 31 2011
ACCOUNT NUMBER:

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
Acquired 09/16/11 S		380	56.67	21,538 36		22,040.00	501.64		
Total	**3.21**	**1,230**		**$67,571.90**	**58.0000**	**$71,340.00**	**$3,768.10**	**$2,521.50**	**3.53**
VODAFONE GROUP PLC SPONSORED ADR NEW VOD									
Acquired 03/01/11 S		695	28 46	19,781 30		19,480 85	-300.45		
Acquired 03/16/11 S		375	26.89	10,086.83		10,511.25	424.42		
Total	**1.35**	**1,070**		**$29,868.13**	**28.0300**	**$29,992.10**	**$123.97**	**$1,544.01**	**5.15**
WYNN RESORTS LTD WYNN									
Acquired 11/16/11 S		70	123.32	8,633.09		7,734.30	-898.79		
Acquired 11/21/11 S		85	110.75	9,414.02		9,391.65	-22.37		
Total	**0.77**	**155**		**$18,047.11**	**110.4900**	**$17,125.95**	**-$921.16**	**$155.00**	**0.91**
Total Stocks and ETFs	**44.73**			**$949,150.06**		**$994,554.40**	**$45,404.34**	**$22,376.40**	**2.25**
Total Stocks, options & ETFs	**44.73**			**$949,150.06**		**$994,554.40**	**$45,404.34**	**$22,376.40**	**2.25**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return.
If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Open End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
ALLIANCEBERNSTEIN GLOBAL BOND FD ADVISOR CL ANAYX On Reinvestment Acquired 11/16/11 S nc	5.01	13,365.04200	8.41	112,400.00	8.3400	111,464.45	-935.55	4,196.62	3.76

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DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER:

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
DELAWARE POOLED TR DIVERSIFIED INCOME FD INSTL CL DPFFX									
On Reinvestment									
Acquired 09/20/11 S nc		12,372 34000	9.40	116,300.00		113,330.51	-2,969.49		
Acquired 11/16/11 S nc		4,391 02600	9.36	41,100 00		40,221.89	-878 11		
Reinvestments S nc		585.59300	9.15	5,358 50		5,364.06	5.56		
Total	**7.15**	**17,348.95900**		**$162,758.50**	**9.1600**	**$158,916.46**	**-$3,842.04**	**$7,217.16**	**4.54**
	Client Investment (Excluding Reinvestments)					$157,400.00			
	Gain/Loss on Client Investment (Including Reinvestments)					$1,516.46			
EATON VANCE GROWTH TR ATLANTA CAP SMALL-CAP FD CL I EISMX									
On Reinvestment									
Acquired 11/22/10 L nc		1,605	14 43	23,160 15		25,728 13	2,567.98		
Reinvestments L nc		16	15 35	245 69		256 49	10 80		
Reinvestments S nc		8.92400	15 93	142.16		143.06	0.90		
Total	**1.18**	**1,629.92400**		**$23,548.00**	**16.0300**	**$26,127.68**	**$2,579.68**	**N/A**	**N/A**
	Client Investment (Excluding Reinvestments)					$23,160.15			
	Gain/Loss on Client Investment (Including Reinvestments)					$2,967.53			
FIRST EAGLE FUNDS SOGEN OVERSEAS FUND CL I SGOIX									
On Reinvestment									
Acquired 02/11/10 L nc		2,001	19.35	38,719.34		41,320 63	2,601.29		
Acquired 03/12/10 L nc		1,121	20.29	22,745.08		23,148.65	403.57		
Acquired 09/20/11 S nc		1,007 68200	22 13	22,300 00		20,808 64	-1,491.36		
Reinvestments L nc		81	22.49	1,821.71		1,672 65	-149.06		
Reinvestments S nc		223.64200	20.55	4,598.07		4,618.22	20.15		
Total	**4.12**	**4,434.32400**		**$90,184.20**	**20.6500**	**$91,568.79**	**$1,384.59**	**$2,004.31**	**2.19**
	Client Investment (Excluding Reinvestments)					$83,764.42			
	Gain/Loss on Client Investment (Including Reinvestments)					$7,804.37			

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DECEMBER 1 - DECEMBER 31 2011
ACCOUNT NUMBER:

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
JANUS INVT FD FLEXIBLE BD FD CLASS I JFLEX On Reinvestment									
Acquired 09/20/11 S nc		10,848.08900	10 73	116,400.00		114,338 75	-2,061 25		
Acquired 12/09/11 S nc		5,655.04200	10.61	60,000 00		59,604.20	-395 80		
Reinvestments S nc		242.24600	10 48	2,541.16		2,553 32	12.16		
Total	**7.94**	**16,745.37700**		**$178,941.16**	**10.5400**	**$176,496.27**	**-$2,444.89**	**$6,664.66**	**3.78**
Client Investment (Excluding Reinvestments)						$176,400.00			
Gain/Loss on Client Investment (Including Reinvestments)						$96.27			
MATTHEWS ASIA DIVIDEND FUND MAPIX On Reinvestment									
Acquired 02/25/11 S nc		1,984	14 11	27,994 24		24,760 30	-3,233 94		
Acquired 03/15/11 S nc		3,505	13 43	47,072.15		43,742 40	-3,329 75		
Reinvestments S nc		181.74400	13.15	2,390 22		2,268 18	-122.04		
Total	**3.18**	**5,670.74400**		**$77,456.61**	**12.4800**	**$70,770.88**	**-$6,685.73**	**$2,081.16**	**2.94**
Client Investment (Excluding Reinvestments)						$75,066.39			
Gain/Loss on Client Investment (Including Reinvestments)						-$4,295 51			
OPPENHEIMER DEV MKTS CL Y ODVYX On Reinvestment									
Acquired 08/10/11 S nc		761 64200	30 45	23,192.00		22,064.76	-1,127 24		
Acquired 08/12/11 S nc		1,441.21400	31.64	45,600.00		41,751.97	-3,848.03		
Acquired 09/15/11 S nc		1,491 33700	31.58	47,096 42		43,204.03	-3,892.39		
Reinvestments S nc		84.35800	29.55	2,493.62		2,443 86	-49.76		
Total	**4.92**	**3,778.55100**		**$118,382.04**	**28.9700**	**$109,464.62**	**-$8,917.42**	**$2,550.52**	**2.33**
Client Investment (Excluding Reinvestments)						$115,888 42			
Gain/Loss on Client Investment (Including Reinvestments)						-$6,423.80			
WHV INTERNATIONAL EQUITY FD CL I WHVIX On Reinvestment									
Acquired 11/18/11 S nc	1.99	2,512 56300	17.91	45,000 00	17.6500	44,346 73	-653 27	185 92	0.41

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DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER:

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
PERMANENT PORTFOLIO FD PERM PORTFOLIO PORTFOLIO PRPFX									
On Reinvestment									
Acquired 06/08/10 L nc		1,571	39 61	62,227 30		72,407 37	10,180.07		
Acquired 09/17/10 L nc		570	42.08	23,985.60		26,271 31	2,285.71		
Acquired 09/20/11 S nc		234.27900	48.66	11,400.00		10,797 92	-602.08		
Reinvestments L nc		19	44 48	845.30		875.71	30 41		
Reinvestments S nc		36 22300	47.59	1,723 88		1,669 52	-54.36		
Total	**5.04**	**2,430.50200**		**$100,182.08**	**46.0900**	**$112,021.83**	**$11,839.75**	**$1,020.81**	**0.91**
Client Investment (Excluding Reinvestments)						$97,612 90			
Gain/Loss on Client Investment (Including Reinvestments)						$14,408 93			
PRICE T ROWE GLOBAL TECH FD INC SHS BEN INT PRGTX									
On Reinvestment									
Acquired 09/19/11 S nc		3,966.06600	9.43	37,400 00		35,496 25	-1,903.75		
Acquired 11/10/11 S nc		606.87200	9.75	5,917.00		5,431.53	-485 47		
Reinvestments S nc		161.09200	8.80	1,417.61		1,441.78	24.17		
Total	**1.91**	**4,734.03000**		**$44,734.61**	**8.9500**	**$42,369.56**	**-$2,365.05**	**N/A**	**N/A**
Client Investment (Excluding Reinvestments)						$43,317.00			
Gain/Loss on Client Investment (Including Reinvestments)						-$947.44			
Total Open End Mutual Funds	**42.44**			**$953,587.20**		**$943,547.27**	**-$10,039.93**	**$25,921.16**	**2.75**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

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DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER·

Mutual Funds

Closed End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
BLACKROCK BUILD AMERICA BOND TRUST BBN									
Acquired 11/16/11 S nc		3,315	20.45	67,797 39		70,775 25	2,977 86		
Acquired 12/09/11 S nc		2,000	20.69	41,393 00		42,700.00	1,307.00		
Total	**5.10**	**5,315**		**$109,190.39**	**21.3500**	**$113,475.25**	**$4,284.86**	**$8,280.77**	**7.30**
Total Closed End Mutual Funds	**5.10**			**$109,190.39**		**$113,475.25**	**$4,284.86**	**$8,280.77**	**7.30**
Total Mutual Funds	**47.54**			**$1,062,777.59**		**$1,057,022.52**	**-$5,755.07**	**$34,201.93**	**3.24**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
CITIGROUP 7 5% PFD MANDATORY CONVERTIBLE C'H									
Acquired 08/12/10 L nc		159	116.97	17,704 01		12,879 00	-4,825 01		
Acquired 08/09/11 S nc		153	91.37	13,979 61		12,393.00	-1,586.61		
Acquired 08/18/11 S nc		120	87 05	10,446 66		9,720 00	-726.66		
Acquired 09/06/11 S nc		109	87.18	9,502 81		8,829 00	-673.81		
Total	**1.97**	**541**		**$51,633.09**	**81.0000**	**$43,821.00**	**-$7,812.09**	**$4,057.50**	**9.26**
PRUDENTIAL FINANCIAL 9% MAT 6/15/68 JR SUB NOTES CALLABLE 06/15/13 PHR									
Acquired 02/11/10 L nc		342	27.34	9,351.61		9,322.92	-28.69		
Acquired 08/16/10 L nc		325	28 11	9,137 86		8,859 50	-278 36		
Total	**0.82**	**667**		**$18,489.47**	**27.2600**	**$18,182.42**	**-$307.05**	**$1,500.75**	**8.25**

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DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER.

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
XCEL ENERGY INC 7 6% PFD DUE 01/01/68 CALLABLE 01/16/13 XCJ Acquired 12/11/09 L nc	0 71	580	26 88	15,590.40	27.1900	15,770 20	179 80	1,102.00	6.98
Total Preferreds/Fixed Rate Cap Securities	**3.50**			**$85,712.96**		**$77,773.62**	**-$7,939.34**	**$6,660.25**	**8.56**

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Activity detail

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			91,219 47
12/01	Cash	DIVIDEND		AFLAC INC 120111 1,300		429 00	
12/01	Cash	DIVIDEND		JANUS INVT FD FLEXIBLE BD FD CLASS I 113011 10,848 08900 AS OF 11/30/11		415 38	
12/01	Cash	DIVIDEND		PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL 113011 10,610 75700 AS OF 11/30/11		341.71	92,405 56
12/02	Cash	DIVIDEND		CAPITAL ONE FINANCIAL CORP 120211 860		43.00	92,448.56
12/06	Cash	DIVIDEND		PFIZER INCORPORATED 120611 1,087		217.40	92,665.96
12/07	Cash	DIVIDEND		TEVA PHARMACEUTICAL ADR INDS LTD 120711 575		123 56	
12/07	Cash	WITHHOLDING		FRGN-W/H @ SOURCE TEVA PHARMACEUTICAL ADR		-24.71	92,764 81
12/08	Cash	DIVIDEND		MICROSOFT CORP 120811 1,350		270 00	

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BARBARA SAMPER FOUNDATION

DECEMBER 1 - DECEMBER 31 2011
ACCOUNT NUMBER.

ATTACHMENT 4

Additional information

	THIS PERIOD	THIS YEAR
Gross proceeds	0.00	40,458 00

Portfolio detail

Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed $250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to $250,000 per depositor, per institution, in accordance with FDIC rules For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP	0.07	0.00	70 12	0.00
Interest Period 12/01/11 - 12/31/11				
Total Cash and Sweep Balances	**0.07**		**$70.12**	**$0.00**

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ACCRUED INTEREST	ESTIMATED ANNUAL INCOME	ESTIMATED ANNUAL YIELD (%)
WELLS FARGO & CO NOTE LINKED TO DOW JONES INDUSTRIAL AVERAGE CPN 0 000% DUE 05/06/16 DTD 11/08/11 Moody NR CUSIP 94986RFV8 Acquired 10/31/11 S nc	21 71	24,000	100 00	24,000.00	97.1250	23,310 00	-690 00	N/A	N/A	N/A
Total Corporate Bonds	**21.71**	**24,000**		**$24,000.00**		**$23,310.00**	**-$690.00**			

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

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DECEMBER 1 - DECEMBER 31. 2011
ACCOUNT NUMBER:

Fixed Income Securities

Foreign Bonds

Foreign Fixed Income securities may be denominated in currencies other than US dollars. Due to currency fluctuations, displayed figures for "Estimated Accrued Interest", "Estimated Annual Income" and "Estimated Annual Yield" may not reflect current exchange rates Please contact Your Financial Advisor if you own a Foreign Fixed Income security that is denominated in other than US dollars and have additional questions.

								ESTIMATED		
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ROYAL BANK OF CANADA LNKD TO S&P 500 INDX MED TERM NOTES CPN 0.000% DUE 11/01/13 DTD 11/01/11 CUSIP 78008TVU5 Acquired 10/27/11 S nc	21.66	24,000	100.00	24,000 00	96 8900	23,253.60	-746 40	N/A	N/A	N/A
Total Foreign Bonds	**21.66**	**24,000**		**$24,000.00**		**$23,253.60**	**-$746.40**			
Total Fixed Income Securities	**43.37**			**$48,000.00**		**$46,563.60**	**-$1,436.40**			

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Preferreds/Fixed Rate Cap Securities

								ESTIMATED	
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ANNUAL INCOME	ANNUAL YIELD (%)
BANK AMER CORP 0% LNKD S&P 500 INDEX DUE 06/01/12 SZE Acquired 05/27/09 L nc	56 57	4,030	10.00	40,300.00	15.0700	60,732.10	20,432 10	N/A	N/A
Total Preferreds/Fixed Rate Cap Securities	**56.57**			**$40,300.00**		**$60,732.10**	**$20,432.10**		

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

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