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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2011

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, and ending

Name of foundation Steffens Foundation		A Employer identification number 20-2420941
Number and street (or P.O. box number if mail is not delivered to street address) P.O. Box 271170	Room/suite	B Telephone number 312-603-8197
City or town, state, and ZIP code Louisville, CO 80027		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> Foreign organizations meeting the 85% test, 2. check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 5,730,247.	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received	483,830.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	172,980.	172,980.		Statement 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	<4,690.>			Statement 1
b Gross sales price for all assets on line 6a	200,631.			
7 Capital gain net income (from Part IV, line 2)		81,713.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	652,120.	254,693.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees Stmt 3	3,158.	3,158.		0.
c Other professional fees				
17 Interest				
18 Taxes Stmt 4	6,272.	1,712.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses Stmt 5	21,890.	21,823.		0.
24 Total operating and administrative expenses. Add lines 13 through 23	31,320.	26,693.		0.
25 Contributions, gifts, grants paid	264,080.			264,080.
26 Total expenses and disbursements. Add lines 24 and 25	295,400.	26,693.		264,080.
27 Subtract line 26 from line 12	356,720.			
a Excess of revenue over expenses and disbursements		228,000.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	994,555.	1,160,095.	1,160,095.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	5,189.	629.	629.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 6	2,971,035.	3,159,356.	3,320,219.
	c Investments - corporate bonds Stmt 7	1,044,435.	1,048,061.	1,039,178.
11 Investments - land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 8	192,400.	196,260.	210,126.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ Statement 9)	630.	563.	0.	
16 Total assets (to be completed by all filers)	5,208,244.	5,564,964.	5,730,247.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	5,208,244.	5,564,964.	
30 Total net assets or fund balances	5,208,244.	5,564,964.		
31 Total liabilities and net assets/fund balances	5,208,244.	5,564,964.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,208,244.
2 Enter amount from Part I, line 27a	2	356,720.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	5,564,964.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,564,964.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 544 shs Frontier	D	Various	01/11/11
b 1602 shs Medtronic	D	Various	09/06/11
c 2200 shs Gilead Sciences	P	05/02/06	09/06/11
d 1000 shs Nextera Energy	P	01/28/09	09/06/11
e Capital Gains Dividends			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,078.		26.	5,052.
b 53,426.		2,832.	50,594.
c 83,378.		63,890.	19,488.
d 54,569.		52,170.	2,399.
e 4,180.			4,180.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			5,052.
b			50,594.
c			19,488.
d			2,399.
e			4,180.

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	81,713.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div> <div>If gain, also enter in Part I, line 8, column (c)</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	251,123.	5,025,944.	.049965
2009	349,205.	4,733,716.	.073770
2008	305,317.	5,648,757.	.054050
2007	257,466.	6,234,398.	.041298
2006	124,855.	5,537,130.	.022549

2 Total of line 1, column (d)	2	.241632
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.048326
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	5,615,297.
5 Multiply line 4 by line 3	5	271,365.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,280.
7 Add lines 5 and 6	7	273,645.
8 Enter qualifying distributions from Part XII, line 4	8	264,080.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	4,560.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	4,560.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
3 Add lines 1 and 2		5	4,560.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments.			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	5,189.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	5,189.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	629.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions)		
CO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

Stmt 10

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► None	13	X	
14	The books are in care of ► The Foundation Located at ► P.O. Box 271170, Louisville, CO Telephone no. ► 312-603-8197 ZIP+4 ► 80027			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15		N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here ►	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5bOrganizations relying on a current notice regarding disaster assistance check here ☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Mary S. Schweitzer	President			
P.O.Box 271170				
Louisville, CO 80027	1.00	0.	0.	0.
Stephanie L. Schweitzer	Vice President			
P.O.Box 271170				
Louisville, CO 80027	0.10	0.	0.	0.
Edmund A. Schweitzer	Secretary			
P.O.Box 271170				
Louisville, CO 80027	0.10	0.	0.	0.
Paul A. Schweitzer	Treasurer			
P.O.Box 271170				
Louisville, CO 80027	0.10	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	4,798,741.
b	Average of monthly cash balances	1b	902,068.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,700,809.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,700,809.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	85,512.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,615,297.
6	Minimum investment return. Enter 5% of line 5	6	280,765.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	280,765.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	4,560.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	4,560.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	276,205.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	276,205.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	276,205.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	264,080.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	264,080.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	264,080.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				276,205.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			153,607.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4. ► \$ 264,080.				
a Applied to 2010, but not more than line 2a			153,607.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount	0.			110,473.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				165,732.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
----------	---

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶
- b** Check-box to indicate whether the foundation is a private operating foundation described in section 513(c)(3) ☐ 4942(i)(3) or ☐ 4942(i)(5)

		Prior 3 years				(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2 a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities					
	Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test - enter:					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c	"Support" alternative test - enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

Mary S. Schweitzer

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Alternatives to Violence 625 N. Van Buren Moscow, ID 83843	None	501(c)(3)	Area of Greatest Need	2,500.
Anderson Animal Shelter 1000 La Fox Road South Elgin, IL 60177	None	501(c)(3)	General Operating	1,000.
Attention Homes 3080 Broadway, #C Boulder, CO 80304	None	501(c)(3)	General Operating	1,000.
Best Friends Animal Sanctuary 5001 Angel Canyon Road Kanab, UT 84741	None	501(c)(3)	Area of Greatest Need	2,000.
Boulder Preparatory High School 5075 Chaparral Court Boulder, CO 80301	None	501(c)(3)	Towards College Scholarship Fund	2,000.
Total	See continuation sheet(s)			264,080.
b Approved for future payment				
None				
Total				0.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

Steffens Foundation

Employer identification number

20-2420941

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization

Employer identification number

Steffens Foundation

20-2420941

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	2005 Mary S. Schweitzer Charitable Lead Annuity Trust P.O. Box 271170 Louisville, CO 80027	\$ 183,830.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
2	Mary S. Schweitzer P.O. Box 271170 Louisville, CO 80027	\$ 300,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Employer identification number

20-2420941

Part II

[illegible]

Name of organization

Employer identification number

Steffens Foundation

20-2420941

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once.) ► \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Carriage House P.O. Box 626 Boulder, CO 80306	None	501(c)(3)	General Operating	1,000.
Catholic Relief Services P.O.Box 17090 Baltimore, MD 21203-7090	None	501(c)(3)	Towards the Somalia Humanitarian Relief	5,000.
Covenant House Times Square Station, PO Box 731 New York, NY 10108	None	501(c)(3)	Area of Greatest Need	5,000.
Cross International Catholic Outreach 2700 N. Military Trail #240 Boca Raton, FL 33427-3908	None	501(c)(3)	"Thirst No More" Project in Nicaragua	5,000.
Doctors Without Borders P.O.Box 5030 Hagerstown, MD 21741-5030	None	501(c)(3)	General Operating	7,000.
Dominican Sisters of Great Bend 3600 Broadway Great Bend, KS 67530	None	501(c)(3)	Hope for the Village Child Nigeria	20,000.
Fisher House Foundation 1401 Rockville Pike #600 Rockville, MD 20852	None	501(c)(3)	General Operating	7,000.
Fort Hays State University One Tiger Place, P.O.Box 1060 Hays, KS 67601-1060	None	501(c)(3)	\$2,000 Unrestricted, \$8,000 Math & Science Scholarship, \$10,000 Math & Science Fund	20,000.
Fort Hays State University One Tiger Place, P.O.Box 1060 Hays, KS 67601-1060	None	501(c)(3)	Student Recognition Program	2,500.
Fort Hays State University One Tiger Place, P.O.Box 1060 Hays, KS 67601-1060	None	501(c)(3)	Portable Planetarium	50,000.
Total from continuation sheets				255,580.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Forward Steps Foundation 370 Interlocken Blvd. Broomfield, CO 80021	None	501(c)(3)	General Operating	1,000.
Gonzaga University 502 East Boone Ave. Spokane, WA 99258-0098	None	501(c)(3)	CCALS Program for Camp for Kids	5,000.
Greater Chicago Food Depository 4100 W. Anne Lurie Place Chicago, IL 60632	None	501(c)(3)	Area of Greatest Need	5,000.
Heartland Alliance 208 S. LaSalle Street #1818 Chicago, IL 60604	None	501(c)(3)	\$6,000 for Refugees & Immigrants; \$6,000 to Education for Homeless	12,000.
Humane Society of the Palouse 2019 E. White Ave Moscow, ID 83843	None	501(c)(3)	Reduction of Debt	1,000.
Huntington Disease Society of America 2860 Gateway Oaks Dr. #300 Sacramento, CA 95833	None	501(c)(3)	Research	500.
Immaculate Conception Catholic Church 715 Cabrini Dr. Lafayette, CO 80026-2676	None	501(c)(3)	Gratitude for the Past, Commitment to the Future Campaign	2,080.
Impact on Education 75 Manhattan Dr. #205 Boulder, CO 80303	None	501(c)(3)	General Operating	1,000.
Intercambio de Comunidades 4735 Walnut St. #B Boulder, CO 80301	None	501(c)(3)	Area of Greatest Need	5,000.
Lewiston Library Foundation P.O.Box 1055 Lewiston, ID 83501	None	501(c)(3)	New Library Campaign	1,000.
Total from continuation sheets .				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Opportunity International 409 Emerson St. Denver, CO 80218	None	501(c)(3)	General Operating	30,000.
Oregon Health & Science University Foundation 1121 S. W. Salmon St. #100 Portland, OR 97205	None	501(c)(3)	OHSU Knight Cancer Institute in Memory of Carole Lowinger	1,000.
Palouse Discovery Science Center 950 NE Nelson Ct. Pullman, WA 99163	None	501(c)(3)	General Operating	1,000.
Partners Mentoring Youth 1430 Nelson Rd. #206 Longmont, CO 80501	None	501(c)(3)	General Operating	1,000.
Red Cloud Indian School 100 Mission Dr. Pine Ridge, SD 57770	None	501(c)(3)	General Operating	250.
San Felipe Humanitarian Alliance 638 Camino de los Mares San Clemente, CA 92673	None	501(c)(3)	General Operating	6,000.
Shriners Hospitals P.O.Box 2472 Spokane, WA 99210-2472	None	501(c)(3)	General Operating	10,000.
Sister Carmen Community Center 701 W. Baseline Road Lafayette, CO 80026	None	501(c)(3)	Capital Campaign	10,000.
Sister Carmen Community Center 701 W. Baseline Road Lafayette, CO 80026	None	501(c)(3)	General Operating	10,000.
St. Francis Indian School 350 S. Oadk St., P.O.Box 149 St. Francis, SD 57572	None	501(c)(3)	General Operating	250.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
St. Joseph's Indian School Chambelain, SD 57326	None	501(c)(3)	In Memory of Peter A. Steffens	2,000.
St. Margaret's Shelter for Women 101 E. Hartson Ave. Spokane, WA 99202	None	501(c)(3)	General Operating	5,000.
USO World Headquarters P.O.Box 96860 Washington, DC 20090	None	501(c)(3)	General Operating	1,000.
Valley Boys and Girls Club 1021 Burrell Ave. Lewiston, ID 83501	None	501(c)(3)	General Operating	1,000.
Vanessa Behan Crisis Nursery 1004 E. 8th Ave. Spokane, WA 99202	None	501(c)(3)	General Operating	8,000.
Washington State University P.O.Box 644532 Pullman, WA 99164	None	501(c)(3)	Opportunity Scholarship Fund for 1st/2nd Generation	12,000.
Wings for Warriors P.O. Box 93811 Phoenix, AZ 85070-8311	None	501(c)(3)		1,000.
Total from continuation sheets				

Form 990-PF

Gain or (Loss) from Sale of Assets

Statement 1

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
544 shs Frontier		Various	01/11/11

(b) Gross Sales Price	(c) Value at Time of Acq.	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
5,078.	4,836.	0.	0.	242.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold
1602 shs Medtronic		Various	09/06/11

(b) Gross Sales Price	(c) Value at Time of Acq.	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
53,426.	84,425.	0.	0.	<30,999.>

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold	
2200 shs Gilead Sciences		05/02/06	09/06/11	
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
83,378.	63,890.	0.	0.	19,488.

(a) Description of Property	Manner Acquired	Date Acquired	Date Sold	
1000 shs Nextera Energy		01/28/09	09/06/11	
(b) Gross Sales Price	(c) Cost or Other Basis	(d) Expense of Sale	(e) Deprec.	(f) Gain or Loss
54,569.	52,170.	0.	0.	2,399.
Capital Gains Dividends from Part IV				4,180.
Total to Form 990-PF, Part I, line 6a				<4,690.>

Form 990-PF	Dividends and Interest from Securities	Statement	2
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Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Northern Trust	147,687.	0.	147,687.
Northern Trust	18,028.	0.	18,028.
Northern Trust	7,257.	0.	7,257.
Northern Trust	4,180.	4,180.	0.
Northern Trust	8.	0.	8.
Total to Fm 990-PF, Part I, ln 4	177,160.	4,180.	172,980.

Form 990-PF	Accounting Fees	Statement	3
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Professional Fees	3,158.	3,158.		0.
To Form 990-PF, Pg 1, ln 16b	3,158.	3,158.		0.

Form 990-PF	Taxes			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Foreign Tax Withheld	1,712.	1,712.		0.	
Federal Excise Tax	4,560.	0.		0.	
To Form 990-PF, Pg 1, ln 18	6,272.	1,712.		0.	

Form 990-PF	Other Expenses			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Administrative Expense	108.	108.		0.	
Advisory Fees	21,715.	21,715.		0.	
Amortization	67.	0.		0.	
To Form 990-PF, Pg 1, ln 23	21,890.	21,823.		0.	

Form 990-PF	Corporate Stock		Statement	6
Description	Book Value	Fair Market Value		
Bristol Myers Squibb	47,074.	58,146.		
Cisco Sys.	106,929.	111,879.		
Covidien PLC	59,139.	51,761.		
Danaher Corp.	84,046.	156,256.		
Dominion Res Inc	47,110.	53,080.		
Exxon Mobil Corp.	189,169.	281,149.		
Franklin Res. Inc.	40,590.	56,771.		
General Elec.	145,379.	72,610.		
Gilead Sciences Inc Common	0.	0.		
Goldman Sachs	24,668.	22,607.		
Hewlett Packard	43,069.	54,452.		
Home Depot Inc.	87,824.	104,385.		
International Business Machines	44,883.	50,567.		
Johnson & Johnson	103,769.	99,157.		
JP Morgan Chase & Co	55,036.	39,900.		
Kohls Corp	77,778.	80,638.		
Medtronic Inc.	0.	0.		
Microsoft	40,657.	41,718.		

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National Oilwell Varco	46,319.	88,387.
Nextera Energy (was FPL Group)	0.	0.
Nike Inc.	43,474.	109,499.
Oracle Corporation	52,200.	51,300.
Pepsico Inc.	64,153.	77,095.
Proctor & Gamble Co.	118,027.	146,095.
Spectra Energy Corp Com Stk	36,026.	43,050.
State Str. Corp.	78,915.	69,117.
Target Corp.	55,738.	61,515.
United Tech. Corp	118,504.	170,300.
Verizon Comm.	73,152.	91,032.
Walgreen Co.	97,832.	75,112.
Wells Fargo	81,998.	75,404.
MFB Northern FDS Small Cap Value	150,257.	157,617.
MFB Northern Intl. Eq Indx	187,271.	172,858.
Novartis AG	45,776.	47,737.
MFC Ishares EAFE Indx Fnd	15,630.	14,859.
MFC Powershares	60,657.	15,463.
Sigma Aldrich Corp	50,390.	62,460.
MFB Northern Emerging Market	320,948.	267,319.
MFO DFA Emerging Markets Value	202,117.	144,528.
Teva Pharmaceutical INDS	62,852.	44,396.
Total to Form 990-PF, Part II, line 10b	3,159,356.	3,320,219.

Form 990-PF	Corporate Bonds	Statement	7
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Description	Book Value	Fair Market Value
MFB Northern HY Fixed	539,956.	490,258.
MFB Northern Fds Bd Index Fd	508,105.	548,920.
Total to Form 990-PF, Part II, line 10c	1,048,061.	1,039,178.

Form 990-PF	Other Investments	Statement	8
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Description	Valuation Method	Book Value	Fair Market Value
MFC SPDR Gold TR Gold SHS	COST	48,422.	66,876.
MFO PIMCO FDS PAC INVT MGT	COST	147,838.	143,250.
Total to Form 990-PF, Part II, line 13		196,260.	210,126.

Form 990-PF	Other Assets		Statement	9
Description	Beginning of Yr Book Value	End of Year Book Value	Fair Market Value	
Organization Costs	1,010.	1,010.		0.
Accumulated Amortization-Org. Costs	<380.>	<447.>		0.
To Form 990-PF, Part II, line 15	630.	563.		0.

Form 990-PF	List of Substantial Contributors Part VII-A, Line 10	Statement	10
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Name of Contributor	Address
Schweitzer Charitable Lead Annuity Trust	P.O.Box 271170 Louisville, CO 80027
Mary S. Schweitzer	P.O.Box 271170 Louisville, CO 80027