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# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2011

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation <b>Thomas Rutherford Foundation</b>		A Employer identification number <b>20-2057953</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>3333 P Street, NW</b>	Room/suite	B Telephone number <b>703-354-1616</b>
City or town, state, and ZIP code <b>Washington, DC 20007</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>18,602,837.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		495.	495.		Statement 1
4 Dividends and interest from securities		260,519.	260,519.		Statement 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		68,157.			
b Gross sales price for all assets on line 6a		1,942,597.			
7 Capital gain net income (from Part IV, line 2)			68,157.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales, less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		39,637.	39,637.		Statement 3
12 Total. Add lines 1 through 11		368,808.	368,808.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees Stmt 4		8,675.	0.		8,675.
c Other professional fees Stmt 5		51,616.	51,616.		0.
17 Interest					
18 Taxes Stmt 6		340,478.	482.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 7		3,700.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		404,469.	52,098.		8,675.
25 Contributions, gifts, grants paid		476,956.			476,956.
26 Total expenses and disbursements. Add lines 24 and 25		881,425.	52,098.		485,631.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<512,617.>			
b Net investment income (if negative, enter -0-)			316,710.		
c Adjusted net income (if negative, enter -0-)				N/A	

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	12,668,112.	5,393,831.	5,383,831.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 8	1,178,449.	1,313,218.	1,412,909.
	c Investments - corporate bonds Stmt 9	969,751.	1,023,585.	1,023,099.
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 10	4,823,379.	11,398,548.	10,782,998.	
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ <u>Credit Card Balance</u> )	2,108.	0.	0.	
16 <b>Total assets</b> (to be completed by all filers)	19,641,799.	19,129,182.	18,602,837.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input checked="" type="checkbox"/>			
	24 Unrestricted	19,641,799.	19,129,182.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 <b>Total net assets or fund balances</b>	19,641,799.	19,129,182.		
31 <b>Total liabilities and net assets/fund balances</b>	19,641,799.	19,129,182.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	19,641,799.
2 Enter amount from Part I, line 27a	2	<512,617.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	19,129,182.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30	6	19,129,182.

Form 990-PF (2011)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>			
<b>b</b> See Attached Statements			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> 1,942,597.		1,874,440.	68,157.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			68,157.

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	68,157.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	204,976.	16,279,740.	.012591
2009	204,807.	3,783,189.	.054136
2008	168,870.	4,138,104.	.040809
2007	111,849.	3,437,088.	.032542
2006	50,023.	2,287,712.	.021866

<b>2</b> Total of line 1, column (d)	2	.161944
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.032389
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	19,120,318.
<b>5</b> Multiply line 4 by line 3	5	619,288.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	6	3,167.
<b>7</b> Add lines 5 and 6	7	622,455.
<b>8</b> Enter qualifying distributions from Part XII, line 4	8	485,631.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	6,334.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	6,334.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,334.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	19,444.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	26,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	45,444.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	39,110.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input type="checkbox"/> 39,110. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> VA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2011)

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► Thomas D. Rutherford, Jr. Located at ► 3333 P Street, NW, Washington, DC	Telephone no. ► 703-354-1616 ZIP+4 ► 20007		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b X

Form 990-PF (2011)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Thomas D. Rutherford 3333 P Street, NW Washington, DC 20007	Trustee 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2011)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	Made contributions to charitable organizations listed in part XV.	476,956.
2		
3		
4		

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3	All other program-related investments. See instructions.	
Total. Add lines 1 through 3		0.

Form 990-PF (2011)



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	11,798,547.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	7,612,943.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	19,411,490.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	19,411,490.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	291,172.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	19,120,318.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	956,016.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	956,016.
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	6,334.
<b>b</b>	Income tax for 2011. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	6,334.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	949,682.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	949,682.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	949,682.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	485,631.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	485,631.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	0.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	485,631.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				949,682.
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			475,800.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2011:				
<b>a</b> From 2006				
<b>b</b> From 2007				
<b>c</b> From 2008				
<b>d</b> From 2009				
<b>e</b> From 2010				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 485,631.				
<b>a</b> Applied to 2010, but not more than line 2a			475,800.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2011 distributable amount				9,831.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				939,851.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2007				
<b>b</b> Excess from 2008				
<b>c</b> Excess from 2009				
<b>d</b> Excess from 2010				
<b>e</b> Excess from 2011				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

- c** Qualifying distributions from Part XII,  
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e Qualifying distributions made directly for active conduct of exempt activities.

- 3 Subtract line 2d from line 2c.  
Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b** "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

## 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
All Hallows Guild Wisconsin & Massachusetts Aves., NW Washington, DC 20016-5098		Other public charity	To fund charitable activities.	80.
Alleghany County Rescue Squad 61 Trojan Avenue Sparta, NC 28675		Other public charity	To fund charitable activities.	250.
Alzheimer's Association 225 N. Michigan Avenue, Floor 17 Chicago, IL 60601		Other public charity	To fund charitable activities.	5,000.
Bishop John T. Walker School 3640 Martin Luther King Jr. Ave, SE Washington, DC 20032		Other public charity	To fund charitable activities.	5,000.
Buffalo Bill Historical Center 720 Sheridan Avenue Cody, WY 82414		Other public charity	To fund charitable activities.	2,500.
Total			See continuation sheet(s) ▶ 3a	476,956.
b Approved for future payment				
None				
Total			▶ 3b	0





**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Cherry Lane Volunteer Fire Department P.O. Box 162 Roaring Gap, NC 28668		Other public charity	To fund charitable activities.	1,000.
Children's Hearing and Speech Center 3575 Kaslo Street Vancouver, British Columbia, CANADA V5M 3H4		Other public charity	To fund charitable activities.	500.
Christ Church, Georgetown 3116 O Street NW Washington, DC 20007		Other public charity	To fund charitable activities.	21,000.
Citizen's Association of Georgetown 1365 Wisconsin Avenue NW, Suite 200 Washington, DC 20007		Other public charity	To fund charitable activities.	500.
Classical American Homes Preservation Trust 69 East 93rd Street New York, NY 10128		Private operating foundation	To fund charitable activities.	1,000.
Collegiate Directions, Inc. 4833 Rugby Avenue, Suite 301 Bethesda, MD 20814		Other public charity	To fund charitable activities.	2,500.
Community Foundation 7501 Boulders View Drive, Suite 110 Richmond, VA 23225		Other public charity	To fund charitable activities.	1,000.
Corporation for Emergency Preparedness and Response 1776 I Street, NW Washington, DC 20006		Other public charity	To fund charitable activities.	5,000.
Episcopal Diocese of Washington Mount St. Alban Washington, DC 20016-5094		Other public charity	To fund charitable activities.	1,000.
Friends of Volta Park 1555 34th Street, NW Washington, DC 20007		Other public charity	To fund charitable activities.	1,000.
<b>Total from continuation sheets</b>				<b>464,126.</b>

**Part XV: Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Georgetown Garden Tour 3313 P Street, NW Washington, DC 20007		Other public charity	To fund charitable activities.	250.
Go Bo Fund for Georgetown Hospital 3800 Reservoir Road, NW Washington, DC 20007		Other public charity	To fund charitable activities.	2,000.
Habitat Support Foundation 700 New Hampshire Avenue, Suite 1116 Washington, DC 20037		Other public charity	To fund charitable activities.	10,000.
Halcyon Foundation 100 Park Avenue #20 New York, NY 10017-5546		Other public charity	To fund charitable activities.	1,000.
Health Network Foundation 33 River Street Chagrin Falls, OH 44022		Other public charity	To fund charitable activities.	1,000.
Historic Georgetown Preservation Foundation Box 3603 Georgetown Station Washington, DC 20007		Other public charity	To fund charitable activities.	1,000.
Hollins Fund P.O. Box 9629 Roanoke, VA 24020-1629		Other public charity	To fund charitable activities.	15,000.
Hollins University P.O. Box 9707 Roanoke, VA 24020-1707		Other public charity	To fund charitable activities.	10,192.
Jamestown-Yorktown Foundation P.O. Box 3605 Williamsburg, VA 23187		Other public charity	To fund charitable activities.	5,000.
Metropolitan Club Preservation Foundation 1700 H Street NW Washington, DC 20006-4601		Other public charity	To fund charitable activities.	750.
<b>Total from continuation sheets</b>				



**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
National Gallery of Art 2000B South Club Drive Landover, MD 20785		Other public charity	To fund charitable activities.	10,000.
National Geographic Society 1145 17th Street NW Washington, DC 20036-4688		Other public charity	To fund charitable activities.	60,000.
NW Works, Inc. 3085 Shawnee Drive Winchester, VA 22601		Other public charity	To fund charitable activities.	500.
Philips Collection 1600 21st Street NW Washington, DC 20009		Other public charity	To fund charitable activities.	35,000.
Refugees International 2001 S Street NW, Suite 700 Washington, DC 20009		Other public charity	To fund charitable activities.	500.
Roaring Gap Fund 45 Rhododendron Rd. Roaring Gap, NC 28668		Other public charity	To fund charitable activities.	200.
Robert E. Lee Memorial Association 483 Great House Road Stratford, VA 22558		Other public charity	To fund charitable activities.	154,381.
Salisbury School 251 Canaan Road Salisbury, CT 06068		Other public charity	To fund charitable activities.	15,000.
Salvation Army P.O. Box 629 Alexandria, VA 22313		Other public charity	To fund charitable activities.	4,500.
Sewanee University 735 University Avenue Sewanee, TN 37383		Other public charity	To fund charitable activities.	60,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Share Our Strength 1703 M Street, NW, Suite 700 Washington, DC 20036		Other public charity	To fund charitable activities.	1,000.
Shepherd Center Foundation 2020 Peachtree Road NW Atlanta, GA 30309-1465		Other public charity	To fund charitable activities.	2,500.
So Others Might Eat 71 O Street, NW Washington, DC 20001		Other public charity	To fund charitable activities.	3,000.
Founder's Board of St. John's Community Services 2201 Wisconsin Ave. NW, Suite C-150 Washington, DC 20007		Other public charity	To fund charitable activities.	1,000.
Stratford Hall 483 Great House Road Stratford, VA 22558		Other public charity	To fund charitable activities.	1,353.
Student Conservation Association P.O. Box 550 Charlestown, NH 03603-0550		Other public charity	To fund charitable activities.	2,500.
Sulgrave Club Endowment Fund 1801 Massachusetts Avenue NW Washington, DC 20036		Other public charity	To fund charitable activities.	1,000.
Teach for America 1411 K Street, NW Washington, DC 20005		Other public charity	To fund charitable activities.	2,000.
Trees for Georgetown 1365 Wisconsin Avenue NW, Suite 200 Washington, DC 20007		Other public charity	To fund charitable activities.	2,000.
Trust for the National Mall P.O. Box 96475 Washington, DC 20090-6475		Other public charity	To fund charitable activities.	2,500.
Total from continuation sheets				

### 3 Grants and Contributions Paid During the Year (Continuation)

**Total from continuation sheets**

Thomas Rutherford Foundation

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1720 SHS CMS Energy Corp	P	07/27/10	01/06/11
b	1725 SHS CMS Energy Corp	P	07/28/10	01/06/11
c	830 SHS Cardinal Health Inc	P	04/26/10	01/19/11
d	870 SHS Cardinal Health Inc	P	05/14/10	01/19/11
e	460 SHS McKesson Corporation	P	04/21/10	01/19/11
f	430 SHS McKesson Corporation	P	05/11/10	01/19/11
g	5995 SHS Ishares MSCI Japan Index Fund	P	12/08/10	04/04/11
h	285 SHS ETFS Phys Platinum ETF Shares	P	12/13/10	04/28/11
i	720 SHS Thermo Fisher Scientific	P	12/05/07	04/27/11
j	170 SHS Thermo Fisher Scientific	P	05/08/08	04/27/11
k	270 SHS Thermo Fisher Scientific	P	10/10/08	04/27/11
l	1400 SHS Williams Companies	P	02/04/10	05/02/11
m	805 SHS Williams Companies	P	02/05/10	05/02/11
n	505 SHS Airgas Inc	P	11/24/10	06/29/11
o	455 SHS Airgas Inc	P	12/09/10	06/29/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 31,968.		28,290.	3,678.
b 32,061.		27,989.	4,072.
c 33,753.		30,042.	3,711.
d 35,379.		29,949.	5,430.
e 34,203.		30,161.	4,042.
f 31,972.		28,479.	3,493.
g 60,909.		63,367.	<2,458.>
h 52,004.		48,167.	3,837.
i 42,722.		41,543.	1,179.
j 10,087.		9,666.	421.
k 16,021.		10,814.	5,207.
l 46,326.		28,980.	17,346.
m 26,637.		16,098.	10,539.
n 35,291.		30,810.	4,481.
o 31,797.		28,392.	3,405.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			3,678.
b			4,072.
c			3,711.
d			5,430.
e			4,042.
f			3,493.
g			<2,458.>
h			3,837.
i			1,179.
j			421.
k			5,207.
l			17,346.
m			10,539.
n			4,481.
o			3,405.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	570 SHS Airgas Inc	P	12/22/10	06/29/11
b	280 SHS Southwestern Energy Co	P	12/17/10	07/15/11
c	680 SHS Auto Data Processing	P	12/03/10	07/21/11
d	600 SHS Southwestern Energy Co	P	07/22/08	07/15/11
e	520 SHS Mosiac Co New	P	06/08/11	08/30/11
f	2.912 SHS JP Morgan Short Duration Bond Fund	P		01/19/12
g	3.536 SHS Harbor High Yield Bond Fund	P		01/19/12
h	81.922 SHS Pimco Emerging Local Bond Fund	P		01/19/12
i	28.625 SHS Calamos Investment Trust	P		01/19/12
j	20.559 SHS Sit Mututal funds Inc	P		01/19/12
k	228.682 SHS Ridgeworth Funds	P		01/19/12
l	29.29 SHS Matthews Asia Pacific Equity Income	P		01/19/12
m	11.965 SHS Eaton Vance Mutual Funds Trust	P		01/19/12
n	89.849 SHS Driehaus Mutual Funds	P		01/19/12
o	1000 SHS Warner Music Group	P		02/01/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 39,833.		34,799.	5,034.
b 13,249.		10,178.	3,071.
c 36,296.		31,733.	4,563.
d 28,390.		23,493.	4,897.
e 37,968.		34,094.	3,874.
f 32.		32.	0.
g 39.		39.	0.
h 861.		886.	<25.>
i 537.		514.	23.
j 272.		240.	32.
k 2,065.		2,022.	43.
l 425.		404.	21.
m 123.		124.	<1.>
n 1,009.		993.	16.
o 993.		933.	60.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			5,034.
b			3,071.
c			4,563.
d			4,897.
e			3,874.
f			0.
g			0.
h			<25.>
i			23.
j			32.
k			43.
l			21.
m			<1.>
n			16.
o			60.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

Thomas Rutherford Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	6000 SHS Warner Music Group	P		02/18/12
b	2530.202 SHS JP Morgan High Hield Fund	P		02/22/12
c	962.801 SHS Harbor High Yield Bond Fund	P		02/22/12
d	10000 SHS Baldor Electric Co	P		02/25/12
e	4734.85 SHS Victory Portfolios	P		03/14/12
f	3000 SHS Verso Paper Holdings LLC	P		03/14/12
g	3000 SHS Warner Music Group	P		03/22/12
h	5000 SHS Warner Music Group	P		03/22/12
i	15000 SHS Genworth Financial Inc	P		03/23/12
j	20000 SHS Windstream Corp	P		03/30/12
k	1234.293 SHS JP Morgan High Hield Fund	P		04/05/12
l	916.817 SHS Harbor High Yield Bond Fund	P		04/05/12
m	10000 SHS Intel Sat Subsidiary Holding	P		04/05/12
n	2951.595 SHS Eaton Vance Mutual Funds Trust	P		04/12/12
o	2906.051 SHS Eaton Vance Mutual Funds Trust	P		04/13/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,880.		5,607.	273.
b 21,279.		20,243.	1,036.
c 10,793.		10,638.	155.
d 11,185.		10,725.	460.
e 75,616.		77,509.	<1,893.>
f 3,090.		3,322.	<232.>
g 2,970.		2,809.	161.
h 4,950.		4,682.	268.
i 11,700.		11,775.	<75.>
j 20,700.		21,250.	<550.>
k 10,294.		9,874.	420.
l 10,195.		10,112.	83.
m 10,021.		10,375.	<354.>
n 26,830.		26,184.	646.
o 26,416.		25,758.	658.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			273.
b			1,036.
c			155.
d			460.
e			<1,893.>
f			<232.>
g			161.
h			268.
i			<75.>
j			<550.>
k			420.
l			83.
m			<354.>
n			646.
o			658.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3

## Thomas Rutherford Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	6000 SHS Newfield Exploration Co	P		05/11/12
b	3000 SHS Newfield Exploration Co	P		05/12/12
c	2000 SHS Newfield Exploration Co	P		05/16/12
d	2000 SHS Edison Mission Energy	P		05/17/12
e	2000 SHS Edison Mission Energy	P		05/17/12
f	2000 SHS Edison Mission Energy	P		05/17/12
g	3000 SHS Edison Mission Energy	P		05/17/12
h	2000 SHS Edison Mission Energy	P		05/17/12
i	2000 SHS Edison Mission Energy	P		05/17/12
j	2000 SHS Edison Mission Energy	P		05/18/12
k	2000 SHS Edison Mission Energy	P		05/18/12
l	2229.157 SHS Hartford Mid Cap Fund	P		05/19/12
m	2000 SHS Newfield Exploration Co	P		05/19/12
n	2000 SHS Newfield Exploration Co	P		05/19/12
o	15000 SHS NRG Energy Inc	P		05/24/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 6,428.		6,450.	<22.>
b 3,214.		3,225.	<11.>
c 2,143.		2,150.	<7.>
d 1,630.		1,477.	153.
e 1,630.		1,477.	153.
f 1,630.		1,477.	153.
g 2,453.		2,216.	237.
h 1,625.		1,477.	148.
i 1,630.		1,477.	153.
j 1,620.		1,478.	142.
k 1,620.		1,478.	142.
l 53,477.		50,000.	3,477.
m 2,143.		2,150.	<7.>
n 2,143.		2,150.	<7.>
o 15,441.		15,619.	<178.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<22.>
b			<11.>
c			<7.>
d			153.
e			153.
f			153.
g			237.
h			148.
i			153.
j			142.
k			142.
l			3,477.
m			<7.>
n			<7.>
o			<178.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 } ....

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3000 SHS Edison Mission Energy	P		05/26/12
b	3000 SHS Edison Mission Energy	P		05/26/12
c	3000 SHS Goodyear Tire & Rubber	P		05/27/12
d	2000 SHS Allbritton Communication	P		06/09/12
e	3000 SHS Allbritton Communication	P		06/09/12
f	830.976 SHS Calamos Investment Trust	P		06/16/12
g	842.325 SHS Calamos Investment Trust	P		06/17/12
h	1000 SHS CKE Restaurants Inc	P		07/15/12
i	1816.99 SHS Ridgeworth Funds	P		08/05/12
j	1755.266 SHS Ridgeworth Funds	P		08/08/12
k	20000 SHS Amerigas Partnership	P		08/10/12
l	1733.694 SHS Ridgeworth Funds	P		08/11/12
m	1770.785 SHS Eaton Vance Mutual Funds Trust	P		08/16/12
n	1569.018 SHS T Rowe Price Instl Income Fund	P		08/16/12
o	11000 SHS International Leasing Finance Corp	P		08/16/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,415.		2,219.	196.
b 2,430.		2,219.	211.
c 3,315.		3,443.	<128.>
d 2,055.		2,030.	25.
e 3,075.		3,045.	30.
f 15,157.		16,104.	<947.>
g 15,364.		15,747.	<383.>
h 1,030.		1,116.	<86.>
i 15,935.		16,407.	<472.>
j 15,218.		15,850.	<632.>
k 20,263.		20,900.	<637.>
l 14,771.		15,655.	<884.>
m 15,335.		16,096.	<761.>
n 15,345.		16,333.	<988.>
o 10,533.		11,055.	<522.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			196.
b			211.
c			<128.>
d			25.
e			30.
f			<947.>
g			<383.>
h			<86.>
i			<472.>
j			<632.>
k			<637.>
l			<884.>
m			<761.>
n			<988.>
o			<522.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3



## Thomas Rutherford Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1759.719 SHS Ridgeworth Funds	P		08/17/12
b	4000 SHS International Leasing Finance Corp	P		08/17/12
c	2000 SHS Pride International Inc	P		08/31/12
d	2000 SHS Pride International Inc	P		09/01/12
e	4000 SHS Omnicare Inc	P		09/06/12
f	3000 SHS Pride International Inc	P		09/07/12
g	3000 SHS Pride International Inc	P		09/13/12
h	3955.513 SHS Pimco Emerging Local Bond Fund	P		09/20/12
i	4000 SHS Omnicare Inc	P		09/23/12
j	4000 SHS Bank of America Corp	P		09/28/12
k	3000 SHS Bank of America Corp	P		09/29/12
l	3000 SHS Bank of America Corp	P		09/29/12
m	3000 SHS Bank of America Corp	P		09/29/12
n	431 SHS SPDR S&P 500 ETF Trust	P		09/30/12
o	1465.829 SHS Driehaus Mutual Funds Active Income	P		10/03/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 15,028.		15,890.	<862.>
b 3,855.		4,020.	<165.>
c 2,580.		2,440.	140.
d 2,578.		2,440.	138.
e 4,138.		4,100.	38.
f 3,864.		3,660.	204.
g 3,814.		3,660.	154.
h 40,900.		43,538.	<2,638.>
i 4,138.		4,100.	38.
j 3,380.		4,078.	<698.>
k 2,520.		3,058.	<538.>
l 2,520.		3,058.	<538.>
m 2,498.		3,057.	<559.>
n 48,876.		55,436.	<6,560.>
o 14,585.		16,461.	<1,876.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<862.>
b			<165.>
c			140.
d			138.
e			38.
f			204.
g			154.
h			<2,638.>
i			38.
j			<698.>
k			<538.>
l			<538.>
m			<559.>
n			<6,560.>
o			<1,876.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1458.401 SHS Driehaus Mutual Funds Active Income	P		10/04/12
b	1469.715 SHS Driehaus Mutual Funds Active Income	P		10/05/12
c	1473.434 SHS Driehaus Mutual Funds Active Income	P		10/06/12
d	621.394 SHS Driehaus Mutual Funds Active Income	P		10/07/12
e	7000 SHS Bank of America Corp	P		10/11/12
f	827.846 SHS JPM Str Inc Opp Fund	P		10/14/12
g	1501.447 SHS JPM High Yield Fund	P		10/14/12
h	1125.865 SHS JPM Short Duration Bond Fund	P		10/14/12
i	1718.321 SHS Harbor High Yield Bond Fund	P		10/14/12
j	515.021 SHS Harbor Convertible Security Fund	P		10/14/12
k	1158.095 SHS Pimco Emerging Local Bond Fund	P		10/14/12
l	374.901 SHS Calamos Investment Trust	P		10/14/12
m	992.379 SHS Sit Mutual funds Inc	P		10/14/12
n	2734.306 SHS Ridgeworth Funds	P		10/14/12
o	948.296 SHS Gateway Fund	P		10/14/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 14,409.		16,223.	<1,814.>
b 14,462.		16,240.	<1,778.>
c 14,587.		16,281.	<1,694.>
d 6,133.		6,866.	<733.>
e 5,924.		7,128.	<1,204.>
f 9,454.		9,959.	<505.>
g 11,411.		12,597.	<1,186.>
h 12,362.		12,362.	0.
i 18,008.		19,194.	<1,186.>
j 4,800.		5,094.	<294.>
k 12,160.		12,620.	<460.>
l 6,647.		6,729.	<82.>
m 12,762.		13,754.	<992.>
n 23,433.		24,289.	<856.>
o 24,485.		25,348.	<863.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,814.>
b			<1,778.>
c			<1,694.>
d			<733.>
e			<1,204.>
f			<505.>
g			<1,186.>
h			0.
i			<1,186.>
j			<294.>
k			<460.>
l			<82.>
m			<992.>
n			<856.>
o			<863.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	911.059 SHS Matthews Asia Dividend Institutional	P		10/14/12
b	1008.736 SHS Eaton Vance Mutual Funds Trust	P		10/14/12
c	2374.115 SHS Eaton Vance Mutual Funds Trust Globa	P		10/14/12
d	949.134 SHS T Rowe Price Instl Income Fund	P		10/14/12
e	1003.301 SHS Cohen & Steers Preferred	P		10/14/12
f	995.152 SHS Blackrock High Yield Bond Fund	P		10/14/12
g	2000 SHS Omnicare Inc	P		10/17/12
h	706.49 SHS Calamos Investment Trust	P		10/18/12
i	978.013 SHS Matthews Asia Dividend Institutional	P		10/18/12
j	2513.333 SHS Eaton Vance Mutual Funds Trust Globa	P		10/18/12
k	5000 SHS Developers Diversified	P		10/26/12
l	1000 SHS Navistar Intl Corp SR Notes	P		11/01/12
m	1000 SHS Navistar Intl Corp SR Notes	P		11/01/12
n	1000 SHS Navistar Intl Corp SR Notes	P		11/02/12
o	50000 SHS GS Gold CPN Note	P		11/14/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,698.		13,074.	<1,376.>
b 8,776.		9,101.	<325.>
c 23,480.		24,548.	<1,068.>
d 9,311.		9,880.	<569.>
e 11,548.		12,561.	<1,013.>
f 7,185.		7,583.	<398.>
g 2,069.		2,050.	19.
h 12,519.		12,682.	<163.>
i 12,499.		13,866.	<1,367.>
j 24,882.		25,988.	<1,106.>
k 5,404.		5,700.	<296.>
l 1,030.		1,095.	<65.>
m 1,030.		1,095.	<65.>
n 1,030.		1,095.	<65.>
o 62,125.		50,000.	12,125.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<1,376.>
b			<325.>
c			<1,068.>
d			<569.>
e			<1,013.>
f			<398.>
g			19.
h			<163.>
i			<1,367.>
j			<1,106.>
k			<296.>
l			<65.>
m			<65.>
n			<65.>
o			12,125.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3

Thomas Rutherford Foundation

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	1620.849 SHS JP Morgan High Hield Fund	P		11/15/12
b	952.919 SHS Sit Mututal funds Inc	P		11/15/12
c	50000 SHS Barc Bren Asia Basket	P		11/16/12
d	50000 SHS GS Bren Eafe	P		11/16/12
e	1278.082 SHS Matthews Asia Dividend Institutional	P		11/21/12
f	5000 SHS Community Health Systems	P		11/23/12
g	1000 SHS Navistar Intl Corp SR Notes	P		11/01/12
h	1000 SHS Navistar Intl Corp SR Notes	P		11/01/12
i	1000 SHS Community Health Systems	P		12/02/12
j	1607.762 SHS JP Morgan High Hield Fund	P		12/14/12
k	2250.853 SHS Pimco Emerging Local Bond Fund	P		12/14/12
l	513.252 SHS Calamos Investment Trust	P		12/14/12
m	384.735 SHS Matthews Asia Dividend Institutional	P		12/14/12
n	4000 SHS Hanes Brands Inc	P		12/28/12
o	Miscellaneous	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,594.		13,524.	<930.>
b 12,731.		13,031.	<300.>
c 49,474.		50,000.	<526.>
d 46,888.		50,000.	<3,112.>
e 15,861.		17,744.	<1,883.>
f 5,088.		5,598.	<510.>
g 1,030.		1,095.	<65.>
h 1,030.		1,095.	<65.>
i 1,045.		800.	245.
j 12,428.		12,862.	<434.>
k 22,441.		24,332.	<1,891.>
l 8,869.		9,213.	<344.>
m 4,713.		5,302.	<589.>
n 4,000.		3,950.	50.
o 467.			467.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<930.>
b			<300.>
c			<526.>
d			<3,112.>
e			<1,883.>
f			<510.>
g			<65.>
h			<65.>
i			245.
j			<434.>
k			<1,891.>
l			<344.>
m			<589.>
n			50.
o			467.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Section 1256 Contract from ProShares UltraShort Y</b>		<b>P</b>		
<b>b Capital Gains Dividends</b>				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a		5,282.	<5,282.>
b 16,832.			16,832.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<5,282.>
b			16,832.
c			
d			
e			
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

<b>2</b> Capital gain net income or (net capital loss)    { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		<b>2</b>	<b>68,157.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8		<b>3</b>	<b>N/A</b>

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Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

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Source	Amount
Charles Schwab #4941	26.
J.P. Morgan	469.
Total to Form 990-PF, Part I, line 3, Column A	495.

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Form 990-PF Dividends and Interest from Securities Statement 2

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Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
Charles Schwab #9732	35,799.	0.	35,799.
J.P. Morgan	241,530.	16,832.	224,698.
ProShares UltraShort Yen PTP	22.	0.	22.
Total to Fm 990-PF, Part I, ln 4	277,351.	16,832.	260,519.

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Form 990-PF Other Income Statement 3

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Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Other Investment Income	39,637.	39,637.	
Total to Form 990-PF, Part I, line 11	39,637.	39,637.	

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Form 990-PF Accounting Fees Statement 4

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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting	8,675.	0.		8,675.
To Form 990-PF, Pg 1, ln 16b	8,675.	0.		8,675.

Form 990-PF	Other Professional Fees			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Investment fees	51,616.	51,616.			0.
To Form 990-PF, Pg 1, ln 16c	51,616.	51,616.			0.

Form 990-PF	Taxes			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Net investment income excise tax	339,996.	0.			0.
Foreign Taxes paid on investments	482.	482.			0.
To Form 990-PF, Pg 1, ln 18	340,478.	482.			0.

Form 990-PF	Other Expenses			Statement	7
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Tax penalties and interest	4.	0.			0.
Miscellaneous	3,696.	0.			0.
To Form 990-PF, Pg 1, ln 23	3,700.	0.			0.

Form 990-PF	Corporate Stock	Statement	8
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Description	Book Value	Fair Market Value
Corporate Stock	1,313,218.	1,412,909.
Total to Form 990-PF, Part II, line 10b	1,313,218.	1,412,909.

Form 990-PF	Corporate Bonds	Statement	9
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Description	Book Value	Fair Market Value
Corporate Bonds	1,023,585.	1,023,099.
Total to Form 990-PF, Part II, line 10c	1,023,585.	1,023,099.

Form 990-PF	Other Investments	Statement	10
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Description	Valuation Method	Book Value	Fair Market Value
Other Investments	COST	11,398,548.	10,782,998.
Total to Form 990-PF, Part II, line 13		11,398,548.	10,782,998.