



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2011

For calendar year 2011 or tax year beginning

, 2011, and ending

, 20

Name of foundation C/O THOMAS J. FORMOLO		A Employer identification number 20-1917495			
		B Telephone number (see instructions) (312) 876-8636			
Number and street (or P O box number if mail is not delivered to street address) CHS CAPITAL, LLC 10 S. WACKER DRIVE		Room/suite 3175	C If exemption application is pending, check here ► <input type="checkbox"/>		
City or town, state, and ZIP code CHICAGO, IL 60606			D 1 Foreign organizations, check here ► <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>		
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change			
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust		I Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 2,423,910.		J Accounting method. <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____			
(Part I, column (d) must be on cash basis)		(Part I, column (d) must be on cash basis)			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		90,060.			
2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		77.	77.		ATCH 1
4 Dividends and interest from securities		46,292.	46,292.		ATCH 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		26,694.			
b Gross sales price for all assets on line 6a		970,649.			
7 Capital gain net income (from Part IV, line 2)			255,144.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)		-4,786.	1,745.		ATCH 3
12 Total Add lines 1 through 11		158,337.	303,258.		
13 Compensation of officers, directors, trustees, etc		0			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule)		4,069.	2,035.		2,034.
c Other professional fees (attach schedule)		1,929.	965.		964.
17 Interest					
18 Taxes (attach schedule) (see instructions)		10.			10.
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 6		6,525.	6,525.		
24 Total operating and administrative expenses					
Add lines 13 through 23		12,533.	9,525.		3,008.
25 Contributions, gifts, grants paid		86,400.			86,400.
26 Total expenses and disbursements Add lines 24 and 25		98,933.	9,525.		89,408.
27 Subtract line 26 from line 12		59,404.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			293,733.		
c Adjusted net income (if negative, enter -0-)					

18
10

Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		61,523.	177,992.	177,992.
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10 a Investments - U S and state government obligations (attach schedule)				
	b Investments - corporate stock (attach schedule) ATCH 7		1,386,422.	1,320,293.	1,263,685.
	c Investments - corporate bonds (attach schedule)				
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ► (attach schedule)				
	12 Investments - mortgage loans				
	13 Investments - other (attach schedule) ATCH 8		1,003,652.	1,012,716.	982,233.
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ► (attach schedule)				
	15 Other assets (describe ►)				
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		2,451,597.	2,511,001.	2,423,910.
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ►)				
	23 Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ► <input checked="" type="checkbox"/>				
	27 Capital stock, trust principal, or current funds		2,451,597.	2,511,001.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see instructions)		2,451,597.	2,511,001.	
	31 Total liabilities and net assets/fund balances (see instructions)		2,451,597.	2,511,001.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,451,597.
2 Enter amount from Part I, line 27a	2	59,404.
3 Other increases not included in line 2 (itemize) ►	3	
4 Add lines 1, 2, and 3	4	2,511,001.
5 Decreases not included in line 2 (itemize) ►	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,511,001.

Form 990-PF (2011)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	255,144.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	73,086.	2,155,514.	0.033907
2009	152,726.	1,917,355.	0.079655
2008	123,688.	2,487,311.	0.049728
2007	114,623.	2,048,831.	0.055946
2006	64,757.	1,208,898.	0.053567
2 Total of line 1, column (d)			2 0.272803
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.054561
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 2,454,525.
5 Multiply line 4 by line 3			5 133,921.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,937.
7 Add lines 5 and 6			7 136,858.
8 Enter qualifying distributions from Part XII, line 4			8 89,408.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 . . .	1	5,875.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b . . .		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2 . . .	3	5,875.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . .	5	5,875.
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 . . .	6a	2,529.
b	Exempt foreign organizations - tax withheld at source . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868) . . .	6c	
d	Backup withholding erroneously withheld . . .	6d	
7	Total credits and payments Add lines 6a through 6d . . .	7	2,529.
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . .	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . .	9	3,346.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . .	10	
11	Enter the amount of line 10 to be Credited to 2012 estimated tax ► 0 Refunded ► 11	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . .	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . .	X	
1c	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		
d	Did the foundation file Form 1120-POL for this year? . . .		
e	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
f	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . <i>If "Yes," attach a detailed description of the activities</i>	X	
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . .	X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . .	X	
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . .		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . <i>If "Yes," attach the statement required by General Instruction T</i>	X	
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . .	X	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► IL, . . .		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i> . . .	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . .	X	
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . .	X	

Form 990-PF (2011)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X
Website address ► N/A			
14	The books are in care of ► THOMAS J. FORMOLO Telephone no ► 312-876-8636 Located at ► 10 S. WACKER DRIVE, SUITE 3175 CHICAGO, IL ZIP + 4 ► 60606-7407		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ► 15 and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-221. If "Yes," enter the name of the foreign country ►	16	Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ►	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ►

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

5b

X

6b

X

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ►

Form 990-PF (2011)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.		Expenses
1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	
a	Average monthly fair market value of securities	1a 2,202,055.
b	Average of monthly cash balances	1b 113,130.
c	Fair market value of all other assets (see instructions)	1c 176,719.
d	Total (add lines 1a, b, and c)	1d 2,491,904.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2	Acquisition indebtedness applicable to line 1 assets	2
3	Subtract line 2 from line 1d	3 2,491,904.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4 37,379.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5 2,454,525.
6	Minimum investment return. Enter 5% of line 5	6 122,726.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6	1 122,726.
2a	Tax on investment income for 2011 from Part VI, line 5	2a 5,875.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b
c	Add lines 2a and 2b	2c 5,875.
3	Distributable amount before adjustments Subtract line 2c from line 1	3 116,851.
4	Recoveries of amounts treated as qualifying distributions	4
5	Add lines 3 and 4	5 116,851.
6	Deduction from distributable amount (see instructions)	6
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7 116,851.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a 89,408.
b	Program-related investments - total from Part IX-B	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4 89,408.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5 0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 89,408.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				116,851.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20 09 .20 08 .20 07				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009	42,175.			
e From 2010				
f Total of lines 3a through e	42,175.			
4 Qualifying distributions for 2011 from Part XII, line 4 ► \$ 89,408.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				89,408.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	27,443.			27,443.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	14,732.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	14,732.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009	14,732.			
d Excess from 2010				
e Excess from 2011				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling 

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	
2 a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b	85% of line 2a				
c	Qualifying distributions from Part XII, line 4 for each year listed				
d	Amounts included in line 2c not used directly for active conduct of exempt activities				
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test - enter				
	(1) Value of all assets . . .				
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .				
c	"Support" alternative test - enter				
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)				
	(3) Largest amount of support from an exempt organization				
	(4) Gross investment income				

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

THOMAS J. FORMOLO & STEPHANIE ANDERSEN-FORMOLO

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, check this box and describe in the space below.

c. The name, address, and telephone number of the person to whom applications should be addressed.

ATTACHMENT 10

ATTACHMENT 10

RECIPIENT NAME AND ADDRESS, TAX-EXEMPT STATUS, AND AMOUNT REQUESTED

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
ATTACHMENT 11				
Total			► 3a	86,400.
<i>b Approved for future payment</i>				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		(e) Related or exempt function income (See instructions)	
		(a) Business code	(b) Amount		(c) Exclusion code
1	Program service revenue				
a					
b					
c					
d					
e					
f					
g	Fees and contracts from government agencies				
2	Membership dues and assessments				
3	Interest on savings and temporary cash investments		14	77.	
4	Dividends and interest from securities		14	46,292.	
5	Net rental income or (loss) from real estate				
a	Debt-financed property				
b	Not debt-financed property				
6	Net rental income or (loss) from personal property				
7	Other investment income		18	-4,786.	
8	Gain or (loss) from sales of assets other than inventory		18	26,694.	
9	Net income or (loss) from special events				
10	Gross profit or (loss) from sales of inventory				
11	Other revenue a				
b					
c					
d					
e					
12	Subtotal Add columns (b), (d), and (e)			68,277.	
13	Total Add line 12, columns (b), (d), and (e)			13	68,277.

13 Total. Add line 12, columns (b), (d), and (e)
(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign
Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only	Print/Type preparer's name Michael Dicker P00071233	Preparer's signature 	Date 05/11/2012	Check <input type="checkbox"/> if self-employed	PTIN P00440664
	Firm's name ► TOPEL FORMAN L.L.C.	Firm's EIN ► 36-2469413			
	Firm's address ► 500 NORTH MICHIGAN AVE, SUITE 1700 CHICAGO, IL	60611	Phone no	312-642-0006	

Form 990-PF (2011)

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

2011

Name of the organization ANDERSEN-FORMOLO FAMILY FOUNDATION C/O THOMAS J. FORMOLO	Employer identification number 20-1917495
---	--

Organization type (check one)

Filers of:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc, purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc, purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization	ANDERSEN-FORMOLO FAMILY FOUNDATION C/O THOMAS J. FORMOLO	Employer identification number 20-1917495
----------------------	---	--

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THOMAS J. FORMOLO & STEPHANIE ANDERSEN-FORMOLO 115 DEWINDT ROAD WINNETKA, IL 60093-3708	\$ 90,000.	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II if there is a noncash contribution)

Name of organization ANDERSEN-FORMOLO FAMILY FOUNDATION
C/O THOMAS J. FORMOLO

Employer identification number
20-1917495

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	4,413.928 SHS OF MATTHEWS PACIFIC TIGER INSTITUTIONAL FUND	\$ 90,000.	12/29/2011
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

JSA

Name of organization ANDERSEN-FORMOLO FAMILY FOUNDATION
C/O THOMAS J. FORMOLO

Employer identification number
20-1917495

Part III Exclusive/religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
JPMORGAN TRUST CO. - TAXABLE INTEREST	31.	31.
JPMORGAN TRUST CO. - OID	46.	46.
TOTAL	<u>77.</u>	<u>77.</u>

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIESATTACHMENT 2

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
JPMORGAN TRUST CO. - DIVIDENDS	41,600.	41,600.
JPMORGAN TRUST CO. - CAP. GAIN DIVIDENDS	4,692.	4,692.
TOTAL	<u>46,292.</u>	<u>46,292.</u>

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
RESLAND INVESTORS LLC	-2,130.	940.
CREATION INVESTMENTS	-2,656.	805.
TOTALS	<u>-4,786.</u>	<u>1,745.</u>

	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FOUNDATION MANAGEMENT FEES	1,929.	965.	964.
TOTALS	<u>1,929.</u>	<u>965.</u>	<u>964.</u>

FORM 990PF, PART I - TAXESDESCRIPTION

ILLINOIS CHARITY BUREAU

REVENUE
AND
EXPENSES
PER BOOKS

10.

TOTALS

10.

CHARITABLE
PURPOSES

10.

10.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
BANK FEES	<u>6,525.</u>	<u>6,525.</u>
TOTALS	<u><u>6,525.</u></u>	<u><u>6,525.</u></u>

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
HOUSTON WIRE & CABLE CO.	463,305.	230,480.	190,163.
DODGE & COX INT'L FUND	69,557.	70,906.	53,337.
ISHARES RUSSELL MIDCAP GROWTH	17,160.		
ISHARES RUSSELL MIDCAP INDEX	91,150.	91,150.	103,932.
ISHARES RUSSELL 1000 GROWTH	75,945.	75,945.	74,896.
JP MORGAN INTREPID AMERICA FD	49,000.		
JPM US LARGE CAP CORE PLUS	157,727.	158,763.	160,580.
JP MORGAN ASIA EQUITY FUND	61,625.	27,254.	28,466.
EATON VANCE SPL INV'T TR	41,147.		
MANNING & NAPIER FUND INC	50,000.	70,000.	68,346.
MATTHEWS PACIFIC TIGER FUND	48,459.	126,539.	137,403.
MANNING & NAPIER FUND INC	45,942.		
T ROWE PRICE INT FUNDS INC	40,000.	12,627.	9,158.
VANGUARD EMERGING MARKET ETF	50,563.	46,597.	39,051.
DEVRY INC DEL	26,688.	26,688.	20,192.
EDUCATION MANAGEMENT CORP	28,496.	28,496.	60,039.
AMERICAN REPOGRAPHICS CO	69,658.	69,658.	46,038.
ISHARES DJ SELECT DIVID INDEX		19,554.	21,239.
JPM LARGE CAP GROWTH FUND	50,000.	50,000.	47,753.
SPDR S&P 500 ETF TRUST		70,036.	69,402.
BLACKROCK GLOBAL OPPORTUNITIES		40,000.	39,135.
IVY GLOBAL NATURAL RESOURCES		35,000.	30,214.
DELAWARE EMERGING MKTS FUND		20,600.	15,093.
JPM TR I INFL MANAGED BD		50,000.	49,248.
TOTALS	<u>1,386,422.</u>	<u>1,320,293.</u>	<u>1,263,685.</u>

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
RESLAND INVESTORS LLC	130,196.	118,656.	118,656.
JPM HIGH YIELD BOND FUND	105,000.	66,070.	70,492.
JPM SHORT DURATION BOND FUND	220,000.	49,881.	51,334.
ISHARES BARCLAYS TIPS BOND FD	55,237.		
JPMORGAN STRATEGIC INCOME FD	55,000.	75,000.	75,010.
CREATION INV SOCIAL VENTURE FD	48,219.	58,063.	58,063.
EATON VANCE MUT FDS TR	65,000.	85,000.	84,435.
JPMORGAN INT'L CURRENCY	15,000.	15,000.	14,662.
DREYFUS/LAUREL FDS TR	15,000.	15,000.	13,329.
BARC ASIA BREN 7/15/11	25,000.		
BNP SPX NOTE 05/12/11	20,000.		
GS BREN SPX 09/06/11	40,000.		
GS CONT BUFF EQ SPX 6/22/12	40,000.		
GS EAFFE NOTE 4/25/11	20,000.		
HSBC SPX CONT BUFE EQ NOTE	30,000.		
SG BREN SPX 11/30/11	20,000.		
JPMORGAN HIGH YIELD BOND FUND	100,000.	100,000.	93,842.
BARC CONT BUFF EQ SPX 6/13/12		30,000.	28,974.
GS CONT BUFF EQ SPX 8/27/12		35,000.	37,865.
HARTFORD CAPITAL APPR FUND		80,000.	65,237.
HSBC MKT PLUS SPX 3/18/13		35,000.	37,251.
SG REN SPX 5/09/12		35,000.	32,799.
CS BREN EAFFE 2/29/12		25,000.	21,675.
CS BREN EAFFE 5/2/12		15,000.	12,765.
JPM BREN EAFFE 6/27/12		20,000.	17,832.
JPM BREN EAFFE 8/01/12		20,000.	17,770.
JPM BREN EAFFE 10/11/12		15,000.	15,756.
CS BREN ASIA BASKET 8/8/12		20,000.	16,740.
DD BREN ASIA BASKET 11/28/12		15,000.	14,577.
HSBC BREN EEM 10/31/12		15,000.	14,808.
BARC BREN FX CURRENCY 11/9/12		15,000.	12,708.
HSBC 95% PPN FX 1/11/13		15,046.	14,187.
TOTALS	1,003,652.	1,012,716.	982,233.

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS	EXPENSE ACCT
			TO EMPLOYEE	AND OTHER ALLOWANCES
THOMAS J. FORMOLO 10 S. WACKER DRIVE, SUITE 3175 CHICAGO, IL 60606-7407	PRESIDENT/TREASURER	0	0	0
STEPHANIE ANDERSEN-FORMOLO 10 S. WACKER DRIVE, SUITE 3175 CHICAGO, IL 60606-7407	SECRETARY	0	0	0
ELIZABETH DOUGLAS 10 S. WACKER DRIVE, SUITE 3175 CHICAGO, IL 60606-7407	DIRECTOR	0	0	0
	GRAND TOTALS	0	0	0

ATTACHMENT 10

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

THOMAS J. FORMOLO
10 S. WACKER DRIVE, SUITE 3175
CHICAGO, IL 60606-7407
312-876-8636

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SIERRA CLUB FOUNDATION 85 SECOND STREET, SUITE 750 SAN FRANCISCO, CA 94105	NONE PUBLIC	AS NEEDED	5,000
BY THE HAND CLUB FOR KIDS P O BOX 10043 CHICAGO, IL 60610	NONE PUBLIC	AS NEEDED	31,400
ALL GOD'S CHILDREN P O BOX 5909 VILLA PARK, IL 60181	NONE PUBLIC	AS NEEDED	15,000
HOWARD AREA COMMUNITY CENTER 7648 N. PAULINA STREET CHICAGO, IL 60626	NONE PUBLIC	AS NEEDED	10,000
NATURAL RESOURCES DEFENSE COUNCIL 40 WEST 20TH STREET NEW YORK, NY 10011	NONE PUBLIC	AS NEEDED	5,000
SUNSHINE GOSPEL MINISTRIES 500 EAST 61ST STREET CHICAGO, IL 60637	NONE PUBLIC	AS NEEDED	7,500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

AMOUNT

7,500.

AS NEEDED

NONE

PUBLIC

PURPOSE OF GRANT OR CONTRIBUTION

5,000

AS NEEDED

NONE

PUBLIC

AMOUNT

86,400

TOTAL CONTRIBUTIONS PAID

FEDERAL FOOTNOTES

PAGE 1, LINE 11 OTHER INCOME DETAIL

RESLAND INVESTORS LLC K-1

NET INVESTMENT INCOME

INTEREST INCOME	144.
RENTAL/REAL ESTATE INCOME	932.
ROYALTIES	210.
PORTFOLIO DEDUCTIONS	-346.

TOTAL	940.

ORDINARY INCOME	(3,050.)
EXPENSE/DEDUCTION	(20.)

TOTAL	(2,130.)
=====	

CREATION INVESTMENTS SOCIAL VENTURES FUND I K-1

NET INVESTMENT INCOME

INTEREST INCOME	3.
DIVIDEND INCOME	3,291.
PORTFOLIO DEDUCTIONS	-2,489.

TOTAL	805.

EXPENSE/DEDUCTION	(3,461.)

TOTAL	(2,656.)
=====	

FORM 990-PF - PART IV

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
			TOTAL CAPITAL GAIN DISTRIBUTIONS				12,830.	
58,109.			HOUSTON WIRE & CABLE CO - 3,900 SHS PROPERTY TYPE: SECURITIES 1,227.			D	05/22/1997	04/04/2011 56,882.
79,498.			HOUSTON WIRE & CABLE CO - 5,000 SHS PROPERTY TYPE: SECURITIES 1,574.			D	05/22/1997	04/20/2011 77,924.
84,498.			HOUSTON WIRE & CABLE CO - 5,000 SHS PROPERTY TYPE: SECURITIES 1,575.			D	05/22/1997	04/21/2011 82,923.
21,400.			BNP SPX NOTE 05/12/11 - 20,000 PROPERTY TYPE: SECURITIES 20,000.			P	VAR	01/25/2011 1,400.
20,000.			GS EAFE NOTE 04/25/11 - 20,000 PROPERTY TYPE: SECURITIES 20,000.			P	VAR	04/25/2011
19,995.			JPM ASIA EQUITY FD - 520.969 SHS PROPERTY TYPE: SECURITIES 17,822.			P	VAR	05/11/2011 2,173.
46,083.			JPM INTREPID AMERICA FD - 1,830.44 SHS PROPERTY TYPE: SECURITIES 49,000.			P	VAR	05/10/2011 -2,917.
19,991.			MATTHEWS PACIFIC TIGER INSTL FUND - 832. PROPERTY TYPE: SECURITIES 13,029.			P	VAR	05/10/2011 6,962.
50,000.			JPM SHORT DURATION BOND FD - 4,537.210 S PROPERTY TYPE: SECURITIES 48,775.			P	VAR	06/06/2011 1,225.
52,133.			EATON VANCE - 2,865.084 SHS PROPERTY TYPE: SECURITIES 41,305.			P	VAR	06/07/2011 10,828.
5.			JPM ASIA EQUITY FD PROPERTY TYPE: SECURITIES			P	VAR	05/10/2011 5.
			JPM INTREPID AMERICA FD			P	VAR	05/10/2011

FORM 990-PF - PART IV

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
44.		PROPERTY TYPE: SECURITIES					44.	
9.		MATTHEWS PACIFIC TIGER INSTL FUND PROPERTY TYPE: SECURITIES				P	VAR	05/10/2011
40.		EATON VANCE PROPERTY TYPE: SECURITIES				P	VAR	06/07/2011
40,000.		JPM SHORT DURATION BON DFUND - 3,629.764 PROPERTY TYPE: SECURITIES 39,020.				P	VAR	07/08/2011
30,080.		BARC ASIA BREN 07/15/11 - 25,000 PROPERTY TYPE: SECURITIES 25,000.				P	VAR	07/15/2011
40,000.		JPM HIGH YIELD FD - 5,095.541 SHS PROPERTY TYPE: SECURITIES 38,930.				P	VAR	08/08/2011
62,156.		ISHARES BARCLAYS TIPS BOND FUND - 541 SH PROPERTY TYPE: SECURITIES 55,237.				P	VAR	08/08/2011
65,000.		JPM SHORT DURATION BOND FD - 5,893.019 S PROPERTY TYPE: SECURITIES 63,031.				P	VAR	08/17/2011
20,000.		JPM SHORT DURATION BOND FD - 1,813.237 S PROPERTY TYPE: SECURITIES 19,293.				P	VAR	08/22/2011
18,499.		ISHARES RUSSELL MIDCAP GROWTH INX FD - 3 PROPERTY TYPE: SECURITIES 17,160.				P	VAR	08/22/2011
33,120.		HSBC SPX CONT BUFF EQ NOTE - 30,000 PROPERTY TYPE: SECURITIES 30,000.				P	VAR	08/30/2011
45,800.		GS BREN SPX 09/06/2011 - 40,000 PROPERTY TYPE: SECURITIES 40,000.				P	VAR	09/06/2011

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
148.		MANNING & NAPIER FUND INC WORLD OPPORTUN PROPERTY TYPE: SECURITIES				P	VAR	VAR
10,000.		JPM ASIA EQUITY FD - 325.309 SHS PROPERTY TYPE: SECURITIES 10,492.				P	VAR	10/26/2011
15,000.		T ROWE PRICE INTL FUNDS INC NEW ASIA - 8 PROPERTY TYPE: SECURITIES 16,337.				P	VAR	10/26/2011
334.		VANGUARD MSCI EMERGING MKTS - 8 SHS PROPERTY TYPE: SECURITIES 728.				P	VAR	10/26/2011
20,032.		VANGUARD MSCI EMERGING MKTS - 488 SHS PROPERTY TYPE: SECURITIES 23,361.				P	VAR	10/26/2011
20,000.		SG BREN SPX 11/30/11 - 20,000 PROPERTY TYPE: SECURITIES 20,000.				P	VAR	11/30/2011
6,000.		JPM ASIA EQUITY FUND - 198.908 SHS PROPERTY TYPE: SECURITIES 6,231.				P	VAR	11/30/2011
15,000.		DELAWARE EMERGING MKTS FUND - 1,139.818 PROPERTY TYPE: SECURITIES 19,400.				P	VAR	11/30/2011
10,000.		T ROWE PRICE INTERNATIONAL FUNDS - 575,3 PROPERTY TYPE: SECURITIES 11,036.				P	VAR	11/30/2011
28,201.		MANNING & NAPIER FUND - 4,081.221 SHS PROPERTY TYPE: SECURITIES 33,907.				P	VAR	12/14/2011
26,644.		MANNING & NAPIER FUND - 3,855.76 SHS PROPERTY TYPE: SECURITIES 32,035.				P	VAR	12/14/2011
TOTAL GAIN (LOSS)							<u>255,144.</u>	