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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Name of foundation
GARY PETERS FAMILY FOUNDATION INC

A Employer identification number
20-1067444

Number and street (or P O box number if mail is not delivered to street address) Room/suite
6013 LE LAC ROAD

B Telephone number (see page 10 of the instructions)
(561) 994-7330

City or town, state, and ZIP code
BOCA RATON, FL 33496

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 7,965,198

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments	101,335	101,335		
4	Dividends and interest from securities	253,528	253,528		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-14,517			
b	Gross sales price for all assets on line 6a 4,745,260				
7	Capital gain net income (from Part IV, line 2)		3,180		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	1,196	1,196		
12	**Total.** Add lines 1 through 11	341,542	359,239		
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages	70,000	28,000		
15	Pension plans, employee benefits	10,167	4,067		
16a	Legal fees (attach schedule)	412	165		
b	Accounting fees (attach schedule)	5,000	2,000		
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions)	1,025	1,025		
19	Depreciation (attach schedule) and depletion	2,263	2,263		
20	Occupancy	42,730	17,092		
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	62,177	304,627		
24	**Total operating and administrative expenses.** Add lines 13 through 23	193,774	359,239		0
25	Contributions, gifts, grants paid	358,450			358,450
26	**Total expenses and disbursements.** Add lines 24 and 25	552,224	359,239		358,450
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-210,682			
b	**Net investment income** (if negative, enter -0-)		0		
c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	568,295	175,745	175,745
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ 39,817 Less allowance for doubtful accounts ▶	240,307	39,817	39,817
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	546,692	210,403	238,211
	b	Investments—corporate stock (attach schedule)	2,457,495	1,922,234	1,718,001
	c	Investments—corporate bonds (attach schedule)	2,084,529	2,790,988	2,867,279
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,732,517	3,282,229	2,910,507
	14	Land, buildings, and equipment basis ▶ 20,659 Less accumulated depreciation (attach schedule) ▶ 8,593	14,329	12,066	12,066
	15	Other assets (describe ▶)	3,572	3,572	3,572
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	8,647,736	8,437,054	7,965,198
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	8,647,736	8,437,054	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	8,647,736	8,437,054	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	8,647,736	8,437,054	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,647,736
2	Enter amount from Part I, line 27a	2	-210,682
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	8,437,054
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	8,437,054

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,180
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010			
2009			
2008			
2007			
2006			

2	**Total** of line 1, column (d).	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	
5	Multiply line 4 by line 3.	5	
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	
7	Add lines 5 and 6.	7	
8	Enter qualifying distributions from Part XII, line 4.	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	0
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	
6	Credits/Payments				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a			
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶	0	**Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶GARY PETERS Telephone no ▶(561) 994-7330

Located at ▶6013 LE LAC ROAD BOCA RATON FL ZIP+4 ▶33496

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☐ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	7,761,160
b	Average of monthly cash balances	1b	519,305
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	8,280,465
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	8,280,465
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	124,207
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	8,156,258
6	**Minimum investment return.** Enter 5% of line 5	6	407,813

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	407,813
2a	Tax on investment income for 2011 from Part VI, line 5 — 2a		
b	Income tax for 2011 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	407,813
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	407,813
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	407,813

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	358,450
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	358,450
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	358,450

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7					407,813
2 Undistributed income, if any, as of the end of 2011					
a Enter amount for 2010 only.					
b Total for prior years 20___, 20___, 20___					
3 Excess distributions carryover, if any, to 2011					
a From 2006.	57,323				
b From 2007.	107,814				
c From 2008.	162,874				
d From 2009.	444,631				
e From 2010.	46,848				
f **Total** of lines 3a through e.		819,490			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 358,450					
a Applied to 2010, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)					
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .					
d Applied to 2011 distributable amount.					358,450
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		49,363			49,363
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		770,127			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .					
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions					
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions)		7,960			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a		762,167			
10 Analysis of line 9					
a Excess from 2007. . . .	107,814				
b Excess from 2008. . . .	162,874				
c Excess from 2009. . . .	444,631				
d Excess from 2010. . . .	46,848				
e Excess from 2011. . . .					

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	**(b)** 2010	**(c)** 2009	**(d)** 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

GARY PETERS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				358,450
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	101,335	
4 Dividends and interest from securities. . . .			14	253,528	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	1,196	
8 Gain or (loss) from sales of assets other than inventory			18	-14,517	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				341,542	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 341,542

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		No
	(2) Other assets	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
	(3) Rental of facilities, equipment, or other assets	1b(3)		No
	(4) Reimbursement arrangements	1b(4)		No
	(5) Loans or loan guarantees	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2012-06-11	******
Signature of officer or trustee	Date	Title

Paid Preparer's Use Only

Preparer's Signature: SCOTT T RHINE	Date 2012-06-22	Check if self-employed ☐	PTIN
Firm's name: HINKLE RICHTER & RHINE LLP		Firm's EIN	
Firm's address: 777 E ATLANTIC AVE STE 226 DELRAY BEACH, FL 334835359		Phone no (561) 314-2201	

May the IRS discuss this return with the preparer shown above? See instructions ☐ Yes ☑ No

Additional Data

Software ID:	
Software Version:	
EIN:	20-1067444
Name:	GARY PETERS FAMILY FOUNDATION INC

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
GARY PETERS 6013 LE LAC ROAD BOCA RATON, FL 33496	DIRECTOR 1 00	0	0	0
CECILIA PETERS 6013 LE LAC ROAD BOCA RATON, FL 33496	DIRECTOR 1 00	0	0	0
WILLIAM GREGORY PETERS 2061 NW 25TH STREET BOCA RATON, FL 33431	DIRECTOR 1 00	0	0	0
JENNIFER SIMONS 1859 WILDWOOD LANE DEERFIELD BEACH, FL 33442	DIRECTOR 1 00	0	0	0
STEVEN MARK PETERS 3801 NW 5TH TERRACE BOCA RATON, FL 33431	DIRECTOR 1 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
4KIDS OF SOUTH FLORIDA827 S STATE ROAD 7 NORTH LAUDERDALE,FL 33068	NONE	PUBLIC	CHARITABLE/GENERAL PURPOSE	50,000
BOCA HELPING HANDS1500 NW 1ST COURT BOCA RATON,FL 33432	NONE	PUBLIC	CHARITABLE/GENERAL PURPOSE	19,500
FIREWALL MINISTRIES13044 SPRING LAKE DRIVE FORT LAUDERDALE,FL 333302669	NONE	PUBLIC	CHARITABLE/GENERAL PURPOSE	25,000
NASHVILLE YOUNG LIFEPO BOX 120681 NASHVILLE,TN 372120681	NONE	PUBLIC	CHARITABLE/GENERAL PURPOSE	2,000
PALM BEACH COUNTY YOUTH FOR CHRIST800 NORTHPOINT PARKWAY WEST PALM BEACH,FL 33407	NONE	PUBLIC	CHARITABLE/GENERAL PURPOSE	20,700
SAINT ANDREWS AUCTIONS3900 JOG ROAD BOCA RATON,FL 33434	NONE	PUBLIC	CHARITABLE/GENERAL PURPOSE	1,250
SAINT ANDREWS BOOSTER CLUB 3900 JOG ROAD BOCA RATON,FL 33434	NONE	PUBLIC	CHARITABLE/GENERAL PURPOSE	15,000
SHERIDAN HOUSE4200 SW 54TH COURT FORT LAUDERDALE,FL 33314	NONE	PUBLIC	CHARITABLE/GENERAL PURPOSE	125,000
VANDERBILT UNIVERSITY2301 VANDERBILT PLACE NASHVILLE,TN 37240	NONE	PUBLIC	CHARITABLE/GENERAL PURPOSE	100,000
Total . . . . ▶ **3a**				358,450

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Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2011

Attachment Sequence No **179**

Name(s) shown on return	Business or activity to which this form relates	Identifying number
GARY PETERS FAMILY FOUNDATION INC	INDIRECT DEPRECIATION	20-1067444

Part I Election To Expense Certain Property Under Section 179
Note: *If you have any listed property, complete Part V before you complete Part I.*

1 Maximum amount (see instructions)	1	500,000
2 Total cost of section 179 property placed in service (see instructions)	2	
3 Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4 Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5 Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7 Listed property Enter the amount from line 29	7	
8 Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9 Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10 Carryover of disallowed deduction from line 13 of your 2010 Form 4562	10	
11 Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12 Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13 Carryover of disallowed deduction to 2012 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V.*

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property **)** (See instructions)

14 Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15 Property subject to section 168(f)(1) election	15	
16 Other depreciation (including ACRS)	16	714

Part III MACRS Depreciation (Do not include listed property.**)** (See instructions.)

Section A

17 MACRS deductions for assets placed in service in tax years beginning before 2011	17	1,549
18 If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶☐		

Section B—Assets Placed in Service During 2011 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2011 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (see instructions)

21 Listed property Enter amount from line 28	21	
22 **Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	2,263
23 For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

Section A—Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.***)**

24a Do you have evidence to support the business/investment use claimed? Yes No | **24b** If "Yes," is the evidence written? Yes No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation/ deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						25		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		

28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 . **28**

29 Add amounts in column (i), line 26 Enter here and on line 7, page 1 . . . . **29**

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person
If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (**do not** include commuting miles) . . .												
31 Total commuting miles driven during the year .												
32 Total other personal(noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
	Yes	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use? .												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

	Yes	**No**
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		
Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles		

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2011 tax year (see instructions)					

43 Amortization of costs that began before your 2011 tax year **43**

44 Total. Add amounts in column (f) See the instructions for where to report . . **44**

TY 2011 Accounting Fees Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	5,000	2,000		

TY 2011 Compensation Explanation

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Person Name	Explanation
GARY PETERS	
CECILIA PETERS	
WILLIAM GREGORY PETERS	
JENNIFER SIMONS	
STEVEN MARK PETERS	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Depreciation Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
IMPROVEMENTS	2009-06-30	10,708	1,068	S/L	15 0000	714	714		
FURNITURE	2008-02-01	445	251	200DB	7 0000	55	55		
PRINTER	2008-02-14	400	225	200DB	7 0000	50	50		
SHELVES	2008-03-13	530	299	200DB	7 0000	66	66		
SHELVES	2008-04-16	530	299	200DB	7 0000	66	66		
OFFICE FURNITURE	2010-10-07	1,073	36	200DB	7 0000	296	296		
TELEPHONE	2008-02-14	2,017	1,135	200DB	7 0000	252	252		
REFRIGERATOR	2008-03-04	216	122	200DB	7 0000	27	27		
COPIER/PRINTER/SCANNER	2008-03-13	559	398	200DB	5 0000	64	64		
LASER PRINTER	2008-04-04	219	156	200DB	5 0000	25	25		
DELL COMPUTER	2008-05-14	1,462	1,041	200DB	5 0000	168	168		
DESKTOP AND LAPTOP	2009-02-13	1,370	712	200DB	5 0000	263	263		
COMPUTER	2009-05-17	915	476	200DB	5 0000	176	176		
EQUIPMENT	2009-05-17	215	112	200DB	5 0000	41	41		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Gain/Loss from Sale of Other Assets Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
ATP OIL & GAS CORP	2011-09	PURCHASE	2011-09		14,000	16,840			-2,840	
ARCELORMITTAL SA	2011-09	PURCHASE	2011-11		47,906	52,218			-4,312	
CITIZENS UTILITIES	2011-09	PURCHASE	2011-12		23,550	26,700			-3,150	
HARRAHS OPER INC	2011-09	PURCHASE	2011-10		14,000	14,850			-850	
MASTEC INC	2011-09	PURCHASE	2011-12		26,023	25,375			648	
METROPCS WIRELESS INC	2011-09	PURCHASE	2011-12		26,476	27,135			-659	
PENN VA CORP	2011-09	PURCHASE	2011-12		22,500	24,000			-1,500	
PENN VA CORP	2011-09	PURCHASE	2011-12		1,800	1,910			-110	
QUICKSILVER RESOURCES INC	2011-09	PURCHASE	2011-10		24,938	23,500			1,438	
VERSO PAPER HLDGS	2011-09	PURCHASE	2011-10		17,750	19,998			-2,248	
AQR FDS DIVERSIFIED	2010-07	PURCHASE	2011-01		4,724	4,601			123	
AQR FDS DIVERSIFIED	2010-07	PURCHASE	2011-02		191,049	185,244			5,805	
AQR FDS DIVERSIFIED	2010-12	PURCHASE	2011-02		2,600	2,565			35	
DWS COMMODITY	2011-02	PURCHASE	2011-04		4,397	4,164			233	
DRIEHAUS ACTIVE INCOME FD	2011-12	PURCHASE	2011-12		33,468	34,567			-1,099	
EATON VANCE GLOBAL	2010-07	PURCHASE	2011-01		1,242	1,245			-3	
EATON VANCE GLOBAL	2010-07	PURCHASE	2011-07		4,355	4,441			-86	
FEDERATED PRUDENT BEAR FD	2011-01	PURCHASE	2011-02		157,809	181,166			-23,357	
GATEWAY FUND CLASS A	2010-07	PURCHASE	2011-02		202,572	193,089			9,483	
JPMORGAN HIGHBRIDGE	2010-03	PURCHASE	2011-02		39,611	41,581			-1,970	
MERGER FUND-SBI	2010-03	PURCHASE	2011-02		975	965			10	
MUTUALHEDGE FRONTIER LEGENDS FD	2011-02	PURCHASE	2011-10		7,405	7,224			181	
PIMCO ALL ASSET FD-A	2011-02	PURCHASE	2011-04		1,701	1,660			41	
PIMCO ALL ASSET FD-A	2011-02	PURCHASE	2011-07		3,222	3,136			86	
RYDEX MANAGED FUTURES FD	2010-07	PURCHASE	2011-01		6,152	5,943			209	
RYDEX MANAGED FUTURES FD	2010-07	PURCHASE	2011-02		193,776	184,538			9,238	
RYDEX MANAGED FUTURES FD	2010-07	PURCHASE	2011-02		2,579	2,426			153	
WASATCH FDS	2011-02	PURCHASE	2011-04		3,641	3,494			147	
DRIEHAUS ACTIVE INCOME FD	2010-07	PURCHASE	2011-12		163,724	180,542			-16,818	
EATON VANCE GLOBAL	2010-07	PURCHASE	2011-10		6,300	6,620			-320	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
JPMORGAN HIGHBRIDGE	2010-03	PURCHASE	2011-07		1,821	1,885			-64	
JPMORGAN HIGHBRIDGE	2010-03	PURCHASE	2011-10		2,589	2,775			-186	
MERGER FUND-SBI	2010-03	PURCHASE	2011-07		1,434	1,406			28	
MERGER FUND-SBI	2010-03	PURCHASE	2011-10		4,283	4,316			-33	
SEE SCHEDULE - OPPENHEIMER 7549		PURCHASE			146,807	167,242			-20,435	
SEE SCHEDULE - OPPENHEIMER 7549		PURCHASE			1,009,368	1,084,709			-75,341	
SEE SCHEDULE - OPPENHEIMER 7549		PURCHASE			782,682	762,043			20,639	
SEE SCHEDULE - OPPENHEIMER 7556		PURCHASE			403	414			-11	
SEE SCHEDULE - OPPENHEIMER 7556		PURCHASE			187,332	175,766			11,566	
SEE SCHEDULE - OPPENHEIMER 7556		PURCHASE			139,862	131,844			8,018	
GENERAL MOTORS CO	2011-04	PURCHASE	2011-04		28				28	
GENERAL MOTORS CO	2011-11	PURCHASE	2011-11		7				7	
FRANKLIN INVS SECS TR	2011-06	PURCHASE	2011-06		12,385	12,440			-55	
GENERAL MOTORS CO	2011-08	PURCHASE	2011-08		15				15	
GENERAL MOTORS CO	2011-08	PURCHASE	2011-08		11				11	
CSFB MTG PTC	2008-12	PURCHASE	2011-12		2,000	2,082			-82	
FRANKLIN INVS SECS TR	2010-06	PURCHASE	2011-06		388,516	394,177			-5,661	
GENERAL MOTORS ACCEPTANCE CORP	2005-09	PURCHASE	2011-02		260,000	212,238			47,762	
GENERAL MOTORS ACCEPTANCE CORP	2005-08	PURCHASE	2011-03		125,000	104,625			20,375	
GENERAL MOTORS ACCEPTANCE CORP	2009-11	PURCHASE	2011-05		47,000	39,207			7,793	
BA MTG SECS INC - PRINCIPAL	2005-06	PURCHASE	2011-10		148,240	148,240				
FEDERAL HOME LN MTG	2011-03	PURCHASE	2011-06		51,156	51,114			42	
FIDELITY ADVISOR SER II	2010-11	PURCHASE	2011-08		22,514	23,374			-860	
FIDELITY ADVISOR SER II	2011-06	PURCHASE	2011-08		2,355	2,458			-103	
FIDELITY HIGH INCOME FD	2011-06	PURCHASE	2011-10		2,881	3,182			-301	
PIMCO UNCONSTRAINED BOND FD	2011-06	PURCHASE	2011-09		7,658	7,763			-105	
CHEVRON CORP	2009-10	PURCHASE	2011-09		10,156	10,447			-291	
FEDERAL NATL MTG ASSN	2009-10	PURCHASE	2011-03		20,000	20,317			-317	
FIDELITY HIGH INCOME FD	2010-01	PURCHASE	2011-10		36,438	34,539			1,899	
PIMCO UNCONSTRAINED BOND FD	2010-05	PURCHASE	2011-09		65,968	66,511			-543	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
BANC AMER CMBS - PRINCIPAL	2007-06	PURCHASE	2011-12		12,926	12,926				

TY 2011 Investments Corporate Bonds Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Name of Bond	End of Year Book Value	End of Year Fair Market Value
OPPENHEIMER 7657	386,467	438,192
OPPENHEIMER 7665	443,534	456,388
OPPENHEIMER 3991	1,960,987	1,972,699

TY 2011 Investments Corporate Stock Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Name of Stock	End of Year Book Value	End of Year Fair Market Value
OPPENHEIMER 7665		
OPPENHEIMER 7657	1,922,234	1,718,001
OPPENHEIMER 3281		
OPPENHEIMER 7556		

TY 2011 Investments - Other Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
OPPENHEIMER 7549	AT COST	61,034	60,549
OPPENHEIMER 7665	AT COST	209,026	216,291
OPPENHEIMER 3281	AT COST	1,485,017	1,462,128
OPPENHEIMER 7556	AT COST	1,196,034	1,128,158
OPPENHEIMER 7657	AT COST	331,118	43,381

TY 2011 Land, Etc. Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
PROPERTY AND EQUIPMENT	20,659	8,593	12,066	12,066

TY 2011 Legal Fees Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	412			

TY 2011 Other Assets Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
SECURITY DEPOSIT	3,572	3,572	3,572

TY 2011 Other Expenses Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT MANAGEMENT FEES	40,206	40,206		
BROKERAGE FEE	44	44		
PAYROLL SERVICE FEE	1,546	618		
INSURANCE	2,997	1,199		
LICENSE EXPENSE	61	24		
OFFICE EXPENSE	8,136	3,254		
UTILITIES	7,126	2,850		
CHARITABLE DEVELOPMENT	240	96		
POSTAGE	1,821	728		
EXEMPT INCOME UNDER				
SECTION 41(E)(6)		255,608		

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TY 2011 Other Income Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OPPENHEIMER	1,117	1,117	
MISCELLANEOUS	79	79	

TY 2011 Other Notes/Loans Receivable Short Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Name of 501(c)(3) Organization	Balance Due
LOAN RECEIVABLE	240,307

TY 2011 Taxes Schedule

Name: GARY PETERS FAMILY FOUNDATION INC

EIN: 20-1067444

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	1,025	1,025		

Oppenheimer & Co. Inc. Account G511427556

Proceeds from Broker and Barter Exchange Transactions

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with **Box A** checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	These columns are not reported to the IRS Gain or loss	Additional Information
LMP CAP T/S UNDLY 50208A102 / CUSIP: 502991151						
10/07/11	33 000	403 26	01/04/11	414 15	-10.89	Tender

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	These columns are not reported to the IRS Date of acquisition	Cost or other basis	Gain or loss	Additional Information
AGIC CONV & INCOME FD II CLOSED END / CUSIP. 001191105 / Symbol NCZ						
03/03/11	600 000	6,122 16	03/22/10	5,460.00	662 16	Sale
AGIC EQUITY & CONV INCOME FD CLOSED END SBI/CBI / CUSIP. 00119P102 / Symbol. NIE						
2 tax lots for 03/03/11. Total proceeds (and cost when required) reported to the IRS.						
	578 000	*11,386 90*	*03/22/10*	*9,710.40*	*1,676 50*	*Sale*
	145.000	*2,856.57*	*02/25/11*	*2,833.27*	*23 30*	*Sale*
03/03/11	723 000	14,243 47	VARIOUS	12,543 67	1,699 80	Total of 2 lots
BLACKROCK STRAT EQUITY DIV TR CLOSED END / CUSIP 09249Y107 / Symbol BDT						
2 tax lots for 03/03/11. Total proceeds (and cost when required) reported to the IRS.						
	1,089 000	*11,740 39*	*11/15/10*	*11,157 24*	*583 15*	*Sale*
	246.000	*2,652.10*	*02/25/11*	*2,610.01*	*42.09*	*Sale*
03/03/11	1,335 000	14,392 49	VARIOUS	13,767 25	625 24	Total of 2 lots
BLACKROCK CORPOR HI YLD FD V CLOSED END / CUSIP. 09255N102 / Symbol. HYV						
03/03/11	495.000	5,894 79	03/22/10	5,370.75	524.04	Sale
EATON VANCE FLTING RATE INC TR CLOSED END / CUSIP 278279104 / Symbol EFT						
2 tax lots for 03/03/11 Total proceeds (and cost when required) reported to the IRS						
	689.000	*11,381.03*	*06/18/10*	*10,170 26*	*1,210.77*	*Sale*
	181.000	*2,989.79*	*02/25/11*	*3,136 73*	*-146.94*	*Sale*
03/03/11	870.000	14,370 82	VARIOUS	13,306 99	1,063.83	Total of 2 lots

Oppenheimer & Co. Inc. Account G511427556

Proceeds from Broker and Barter Exchange Transactions
(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS
Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of* stocks, bonds, etc.	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss	Additional Information
FIRST TR ENHANCED EQTY INC FD CLOSED END / CUSIP 337318109 / Symbol. FFA						
3 tax lots for 03/03/11. Total proceeds (and cost when required) reported to the IRS.						
	824.000	*10,346 02*	*03/22/10*	*9,747.75*	*598 27*	*Sale*
	75.000	*941 69*	*10/04/10*	*885 00*	*56.69*	*Sale*
	153 000	*1,921 05*	*02/25/11*	*1,923 98*	*-2 93*	*Sale*
03/03/11	1,052.000	13,208 76	VARIOUS	12,556.73	652.03	Total of 3 lots
ING PRIME RATE TR SH BEN INT / CUSIP. 44977W106 / Symbol PPR						
2 tax lots for 07/21/11 Total proceeds (and cost when required) reported to the IRS.						
	374 000	*2,264 13*	*02/25/11*	*2,262 66*	*1.47*	*Sale*
	82.000	*496.41*	*06/09/11*	*503 48*	*-7 07*	*Sale*
07/21/11	456.000	2,760 54	VARIOUS	2,766.14	-5.60	Total of 2 lots
ISHARES TR BARCLYS TIPS BD / CUSIP 464287176 / Symbol TIP						
2 tax lots for 08/12/11. Total proceeds (and cost when required) reported to the IRS.						
	29 000	*3,374 42*	*02/25/11*	*3,143 89*	*230.53*	*Sale*
	14.000	*1,629.03*	*06/09/11*	*1,546.86*	*82 17*	*Sale*
08/12/11	43.000	5,003 45	VARIOUS	4,690 75	312.70	Total of 2 lots
ISHARES TR IBOXX INV CPBD / CUSIP 464287242 / Symbol LQD						
3 tax lots for 08/12/11 Total proceeds (and cost when required) reported to the IRS.						
	5.000	*561.78*	*01/04/11*	*544 90*	*16.88*	*Sale*
	31.000	*3,483 06*	*02/25/11*	*3,377.45*	*105.61*	*Sale*
	14 000	*1,573 00*	*06/09/11*	*1,550 22*	*22 78*	*Sale*
08/12/11	50 000	5,617 84	VARIOUS	5,472.57	145 27	Total of 3 lots
08/17/11	1.000	113 45	06/09/11	110 73	2.72	Sale
	Security total:	5,731 29		5,583.30	147.99	
ISHARES TR BARCLYS 7-10 YR / CUSIP 464287440 / Symbol IEF						
2 tax lots for 08/12/11 Total proceeds (and cost when required) reported to the IRS.						
	34.000	*3,488 33*	*02/25/11*	*3,173 90*	*314 43*	*Sale*

Oppenheimer & Co. Inc. Account G511427556

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss	Additional Information
ISHARES TR BARCLYS 7-10 YR / CUSIP 464287440 / Symbol IEF (Cont'd)						
	16 000	*1,641 57*	*06/09/11*	*1,550 40*	*91 17*	*Sale*
08/12/11	50 000	5,129 90	VARIOUS	4,724.30	405.60	Total of 2 lots
ISHARES TR BARCLYS INTER CR / CUSIP 464288638 / Symbol· CIU						
2 tax lots for 08/12/11 Total proceeds (and cost when required) reported to the IRS.						
	30.000	*3,234.08*	*02/25/11*	*3,175.20*	*58.88*	*Sale*
	15 000	*1,617.04*	*06/09/11*	*1,605.15*	*11.89*	*Sale*
08/12/11	45 000	4,851 12	VARIOUS	4,780 35	70 77	Total of 2 lots
ISHARES TR BARCLYS 1-3YR CR / CUSIP 464288646 / Symbol CSJ						
2 tax lots for 08/12/11 Total proceeds (and cost when required) reported to the IRS.						
	30.000	*3,139.75*	*02/25/11*	*3,142 20*	*-2 45*	*Sale*
	15.000	*1,569.87*	*06/09/11*	*1,574.10*	*-4 23*	*Sale*
08/12/11	45.000	4,709 62	VARIOUS	4,716 30	-6 68	Total of 2 lots
ISHARES TR US PFD STK IDX / CUSIP: 464288687 / Symbol: PFF						
2 tax lots for 03/03/11 Total proceeds (and cost when required) reported to the IRS						
	306.000	*12,056 19*	*03/22/10*	*11,992 14*	*64 05*	*Sale*
	66.000	*2,600.36*	*02/25/11*	*2,596 44*	*3 92*	*Sale*
03/03/11	372.000	14,656 55	VARIOUS	14,588 58	67 97	Total of 2 lots
NUVEEN GBL VL OPPORTUNITIES FD CLOSED END SBI/CBI / CUSIP. 6706EH103 / Symbol. JGV						
05/20/11	103 000	1,990 95	02/25/11	2,049 68	-58.73	Sale
NUVEEN MULT CURR ST GV INCM FD CLOSED END SBI/CBI / CUSIP 67090N109 / Symbol: JGT						
03/03/11	198 000	2,769 98	03/22/10	2,995 32	-225 34	Sale
PIMCO CORPORATE OPP FD CLOSED END / CUSIP 72201B101 / Symbol PTY						
2 tax lots for 03/03/11. Total proceeds (and cost when required) reported to the IRS						
	388.000	*7,929 52*	*06/18/10*	*6,515 81*	*1,413 71*	*Sale*

Oppenheimer & Co. Inc. Account G511427556

Proceeds from Broker and Barter Exchange Transactions

2011 **(continued)**

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss	Additional Information
PIMCO CORPORATE OPP FD CLOSED END / CUSIP 72201B101 / Symbol. PTY (Cont'd)						
	87.000	*1,778 01*	*02/25/11*	*1,756 53*	*21 48*	*Sale*
03/03/11	475.000	9,707 53	VARIOUS	8,272 34	1,435 19	Total of 2 lots
PIMCO INCOME OPPORTUNITY FD CLOSED END SBI/CBI / CUSIP 72202B100 / Symbol PKO						
07/21/11	13 000	360 99	02/25/11	349 18	11 81	Sale
2 tax lots for 08/12/11 Total proceeds (and cost when required) reported to the IRS						
	42 000	*1,106 77*	*02/25/11*	*1,128 12*	*-21 35*	*Sale*
	173.000	*4,558 86*	*03/03/11*	*4,776 32*	*-217 47*	*Sale*
08/12/11	215 000	5,665 63	VARIOUS	5,904.44	-238 82	Total of 2 lots
Security total:		6,026 62		6,253.62	-227 01	
PUTNAM MASTER INTER INCOME TR SH BEN INT / CUSIP 746909100 / Symbol PIM						
2 tax lots for 05/20/11 Total proceeds (and cost when required) reported to the IRS						
	94.000	*560 39*	*09/21/10*	*595 02*	*-34 63*	*Sale*
	429.000	*2,557 52*	*02/25/11*	*2,518 23*	*39 29*	*Sale*
05/20/11	523.000	3,117 91	VARIOUS	3,113 25	4 66	Total of 2 lots
VANGUARD INDEX FDS REIT ETF / CUSIP. 922908553 / Symbol. VNQ						
2 tax lots for 03/03/11 Total proceeds (and cost when required) reported to the IRS.						
	342.000	*19,945 05*	*03/22/10*	*16,990.56*	*2,954 49*	*Sale*
	74.000	*4,315 60*	*02/25/11*	*4,323.82*	*-8 22*	*Sale*
03/03/11	416.000	24,260 65	VARIOUS	21,314.38	2,946 27	Total of 2 lots
WESTERN ASSET GLB HI INCOME FD CLOSED END / CUSIP· 95766B109 / Symbol: EHI						
03/03/11	395 000	5,063 92	03/22/10	4,556 07	507 85	Sale
WESTERN ASSET CLYM INFL OPP IN CLOSED END / CUSIP 95766R104 / Symbol WIW						
2 tax lots for 03/03/11 Total proceeds (and cost when required) reported to the IRS						
	1,491.000	*18,753.73*	*03/22/10*	*17,727 99*	*1,025 74*	*Sale*
	363 000	*4,565.80*	*02/25/11*	*4,628 25*	*-62 45*	*Sale*
03/03/11	1,854.000	23,319.53	VARIOUS	22,356.24	963 29	Total of 2 lots

Oppenheimer & Co. Inc. Account G511427556

Proceeds from Broker and Barter Exchange Transactions

2011 **(continued)**

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
	Totals:	187,332.05		175,766.01	11,566.03	

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
ALPINE GLOBAL PREMIER PPTYS FD COM SBI / CUSIP· 02083A103 / Symbol AWP						
05/20/11	748.000	5,400 45	03/22/10	4,910 90	489 55	Sale
DWS MULTI MKT INCOME TR CLOSED END SBI/CBI / CUSIP: 23338L108 / Symbol: KMM						
10/14/11	322 000	3,070 79	06/18/10	2,849 67	221 12	Sale
ING PRIME RATE TR SH BEN INT / CUSIP 44977W106 / Symbol PPR						
05/20/11	990.000	6,108.28	03/22/10	6,009.30	98.98	Sale
07/21/11	548.000	3,317.49	03/22/10	3,326.36	-8.87	Sale
	Security total:	9,425 77		9,335 66	90 11	
ISHARES TR BARCLYS TIPS BD / CUSIP 464287176 / Symbol TIP						
08/12/11	138.000	16,057.59	03/22/10	14,408 58	1,649 01	Sale
ISHARES TR IBOXX INV CPBD / CUSIP: 464287242 / Symbol LQD						
08/12/11	139 000	15,617 60	03/22/10	14,810 45	807.15	Sale
ISHARES TR BARCLYS 7-10 YR / CUSIP 464287440 / Symbol. IEF						
08/12/11	159.000	16,313 10	03/22/10	14,389 50	1,923 60	Sale
ISHARES TR BARCLYS INTER CR / CUSIP· 464288638 / Symbol CIU						
08/12/11	141 000	15,200 16	03/22/10	14,704 89	495.27	Sale
ISHARES TR BARCLYS 1-3YR CR / CUSIP· 464288646 / Symbol: CSJ						
08/12/11	140 000	14,652 17	03/22/10	14,632.80	19 37	Sale

Oppenheimer & Co. Inc. Account G511427556

Proceeds from Broker and Barter Exchange Transactions

2011 (continued)

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
LMP CAP & INCOME FD INC T/S UNDERLY CUSIP 50208A102 / CUSIP 502991136						
07/08/11	329.000	4,655 35	03/23/10	3,572.94	1,082.41	Tender
LMP CAP T/S UNDLY 50208A102 / CUSIP: 502991151						
10/07/11	55 000	672 10	03/23/10	597 30	74 80	Tender
NFJ DIVID INT & PREM STRTGY FD COM SHS / CUSIP 65337H109 / Symbol· NFJ						
08/12/11	864 000	14,478 98	03/22/10	13,614 79	864 19	Sale
NUVEEN GBL VL OPPORTUNITIES FD CLOSED END SBI/CBI / CUSIP 6706EH103 / Symbol JGV						
05/20/11	408.000	7,886 49	03/22/10	7,535 76	350 73	Sale
PIMCO INCOME OPPORTUNITY FD CLOSED END SBI/CBI / CUSIP 72202B100 / Symbol. PKO						
2 tax lots for 07/21/11. Total proceeds (and cost when required) reported to the IRS						
	93 000	*2,582 46*	*03/22/10*	*2,210 61*	*371 85*	*Sale*
	111 000	*3,082.30*	*03/23/10*	*2,657 34*	*424 96*	*Sale*
07/21/11	204 000	5,664 76	VARIOUS	4,867.95	796.81	Total of 2 lots
PUTNAM MASTER INTER INCOME TR SH BEN INT / CUSIP 746909100 / Symbol PIM						
05/20/11	1,806 000	10,766 62	03/22/10	11,612 58	-845 96	Sale
	Totals:	**139,861.93**		**131,843.77**	**8,018.16**	

Oppenheimer & Co. Inc. Account G511427549

Proceeds from Broker and Barter Exchange Transactions

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with **Box A** checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of * stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	These columns are not reported to the IRS Gain or loss	Additional Information
SPDR S&P 500 ETF TR TR UNIT / CUSIP: 78462F103 / Symbol: SPY						
6 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	120.000	*14,040 17*	*01/06/11*	*15,263.99*	*-1,223.82*	*Sale*
	110 000	*12,870 16*	*02/09/11*	*14,579 39*	*-1,709.23*	*Sale*
	349 000	*40,833 50*	*02/25/11*	*46,029 58*	*-5,196.08*	*Sale*
	153 000	*17,901 22*	*04/05/11*	*20,468 32*	*-2,567 10*	*Sale*
	114.000	*13,338 16*	*04/06/11*	*15,217.85*	*-1,879 69*	*Sale*
	47.000	*5,499.07*	*05/03/11*	*6,387.26*	*-888 19*	*Sale*
08/25/11	893.000	104,482 28	VARIOUS	117,946 39	-13,464 11	Total of 6 lots
2 tax lots for 08/26/11. Total proceeds (and cost when required) reported to the IRS						
	68.000	*7,859 28*	*05/03/11*	*9,241.13*	*-1,381.85*	*Sale*
	5.000	*577 89*	*06/07/11*	*649 74*	*-71.86*	*Sale*
08/26/11	73.000	8,437 17	VARIOUS	9,890 87	-1,453 71	Total of 2 lots
11/04/11	4.000	499 15	06/07/11	519 80	-20.65	Sale
12/21/11	1 000	123 59	06/07/11	129 95	-6 36	Sale
Security total:		113,542 19		128,487.01	-14,944.83	
SPDR S&P MIDCAP 400 ETF TR UTSER1 S&PDCRP / CUSIP 78467Y107 / Symbol. MDY						
7 tax lots for 08/25/11. Total proceeds (and cost when required) reported to the IRS.						
	31 000	*4,630.71*	*01/06/11*	*5,144.76*	*-514 05*	*Sale*
	21 000	*3,136.93*	*02/09/11*	*3,656.10*	*-519 17*	*Sale*
	72.000	*10,755.20*	*02/25/11*	*12,515.76*	*-1,760.56*	*Sale*
	10.000	*1,493 78*	*04/05/11*	*1,823 80*	*-330 02*	*Sale*
	20.000	*2,987 55*	*04/06/11*	*3,628 80*	*-641.25*	*Sale*
	33 000	*4,929 47*	*05/03/11*	*6,004 68*	*-1,075.21*	*Sale*
	18 000	*2,688 80*	*06/07/11*	*3,131 46*	*-442 66*	*Sale*
08/25/11	205.000	30,622 44	VARIOUS	35,905 36	-5,282.92	Total of 7 lots
2 tax lots for 08/26/11. Total proceeds (and cost when required) reported to the IRS						
	8.000	*1,174 45*	*06/07/11*	*1,391 76*	*-217 31*	*Sale*
	10 000	*1,468 07*	*08/09/11*	*1,457 48*	*10 59*	*Sale*
08/26/11	18 000	2,642 52	VARIOUS	2,849 24	-206 72	Total of 2 lots
Security total.		33,264 96		38,754 60	-5,489.64	

Oppenheimer & Co. Inc. Account G511427549

Proceeds from Broker and Barter Exchange Transactions

2011 **(continued)**

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is reported to the IRS

Report on Form 8949, Part I, with **Box A** checked

6 - Tax lots: COVERED

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	1b - Date of acquisition	3 - Cost or other basis	Gain or loss (These columns are not reported to the IRS)	Additional Information
	Totals:	146,807.15		167,241.61	-20,434.47	

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition (These columns are not reported to the IRS)	Cost or other basis	Gain or loss	Additional Information
ALPS ETF TR JEFF TRJ CRB GLO / CUSIP 00162Q304 / Symbol CRBQ						
9 tax lots for 08/25/11. Total proceeds (and cost when required) reported to the IRS.						
	294.000	*13,186 75*	*12/20/10*	*14,076.72*	*-889.97*	*Sale*
	8 000	*358 82*	*01/06/11*	*396 48*	*-37 66*	*Sale*
	297.000	*13,321 31*	*01/12/11*	*15,102 45*	*-1,781 14*	*Sale*
	22 000	*986.76*	*02/09/11*	*1,154 12*	*-167 36*	*Sale*
	81.000	*3,633.08*	*02/25/11*	*4,241 97*	*-608 89*	*Sale*
	15.000	*672.79*	*04/05/11*	*816.90*	*-144 11*	*Sale*
	22.000	*986 76*	*04/06/11*	*1,192.18*	*-205.42*	*Sale*
	58.000	*2,601 47*	*05/03/11*	*3,044.42*	*-442.95*	*Sale*
	1 000	*44 85*	*06/07/11*	*49.98*	*-5 13*	*Sale*
08/25/11	798 000	35,792 59	VARIOUS	40,075.22	-4,282.63	Total of 9 lots
08/26/11	19.000	845.29	06/07/11	949 62	-104.33	Sale
09/06/11	1.000	44 79	06/07/11	49 98	-5.19	Sale
2 tax lots for 11/04/11. Total proceeds (and cost when required) reported to the IRS.						
	24.000	*1,092.20*	*06/07/11*	*1,199 52*	*-107 32*	*Sale*
	2.000	*91.02*	*08/09/11*	*86 64*	*4 38*	*Sale*
11/04/11	26.000	1,183 22	VARIOUS	1,286.16	-102.94	Total of 2 lots
	Security total:	37,865 89		42,360 98	-4,495 09	
FIRST TR EXCHANGE TRADED FD II AUTO INDEX FD / CUSIP 33734X309 / Symbol CARZ						
2 tax lots for 08/08/11 Total proceeds (and cost when required) reported to the IRS						
	306 000	*7,766 13*	*06/28/11*	*9,186 12*	*-1,419 99*	*Sale*

Oppenheimer & Co. Inc. Account G511427549

Proceeds from Broker and Barter Exchange Transactions
(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS
Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss	Additional information
FIRST TR EXCHANGE TRADED FD II AUTO INDEX FD / CUSIP: 33734X309 / Symbol: CARZ (Cont'd)						
	378 000	*9,585 90*	*06/28/11*	*11,347.55*	*-1,761.65*	*Sale*
08/08/11	684 000	17,352 03	VARIOUS	20,533 67	-3,181 64	Total of 2 lots
ISHARES INC MSCI GERMAN / CUSIP 464286806 / Symbol EWG						
02/09/11	36.000	938 86	01/12/11	862 92	75 94	Sale
05/03/11	68 000	1,952 92	01/12/11	1,629 95	322 97	Sale
6 tax lots for 08/25/11. Total proceeds (and cost when required) reported to the IRS						
	1,821.000	*36,419 30*	*01/12/11*	*43,649 19*	*-7,229 89*	*Sale*
	259 000	*5,179 90*	*02/25/11*	*6,620 01*	*-1,440 11*	*Sale*
	57 000	*1,139.98*	*04/05/11*	*1,507 08*	*-367.10*	*Sale*
	84.000	*1,679.97*	*04/06/11*	*2,247.00*	*-567.03*	*Sale*
	138.000	*2,759 95*	*06/07/11*	*3,720 34*	*-960 39*	*Sale*
	122 000	*2,439 95*	*08/09/11*	*2,574 19*	*-134 23*	*Sale*
08/25/11	2,481 000	49,619 05	VARIOUS	60,317 81	-10,698 75	Total of 6 lots
08/26/11	60 000	1,191.57	08/09/11	1,265 99	-74.42	Sale
2 tax lots for 11/04/11. Total proceeds (and cost when required) reported to the IRS						
	85.000	*1,758.09*	*08/09/11*	*1,793 49*	*-35 40*	*Sale*
	3.000	*62.05*	*09/06/11*	*55 38*	*6.67*	*Sale*
11/04/11	88 000	1,820 14	VARIOUS	1,848 87	-28 73	Total of 2 lots
	Security total·	55,522 54		65,925 54	-10,402 99	
ISHARES TR S&P500 GRW / CUSIP 464287309 / Symbol: IVW						
01/12/11	1.000	66 77	09/23/10	58.80	7.97	Sale
08/09/11	51 000	3,151 85	09/23/10	2,998 55	153.30	Sale
9 tax lots for 08/25/11. Total proceeds (and cost when required) reported to the IRS						
	663.000	*41,538.14*	*09/23/10*	*38,981 13*	*2,557.01*	*Sale*
	56.000	*3,508 50*	*10/06/10*	*3,377.36*	*131 14*	*Sale*
	43 000	*2,694 03*	*11/03/10*	*2,702 98*	*-8 95*	*Sale*
	40.000	*2,506 07*	*12/07/10*	*2,595 60*	*-89 53*	*Sale*
	54.000	*3,383.20*	*01/06/11*	*3,572 64*	*-189 44*	*Sale*
	42 000	*2,631 38*	*02/09/11*	*2,883.72*	*-252 34*	*Sale*
	126.000	*7,894 13*	*02/25/11*	*8,596 95*	*-702 82*	*Sale*
	51 000	*3,195 24*	*04/05/11*	*3,529 20*	*-333 96*	*Sale*

Oppenheimer & Co. Inc. Account G511427549

Proceeds from Broker and Barter Exchange Transactions

2011 **(continued)**

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss	Additional Information
ISHARES TR S&P500 GRW / CUSIP 464287309 / Symbol IVW (Cont'd)						
	36.000	2,255 46	04/06/11	2,482 56	-227 10	Sale
08/25/11	1,111.000	69,606 15	VARIOUS	68,722 14	884.01	Total of 9 lots
2 tax lots for 08/26/11 Total proceeds (and cost when required) reported to the IRS						
	6 000	372 41	04/06/11	413.76	-41.35	Sale
	21.000	1,303 44	05/03/11	1,485 96	-182 52	Sale
08/26/11	27.000	1,675 85	VARIOUS	1,899.72	-223.87	Total of 2 lots
09/06/11	1 000	62 06	05/03/11	70 76	-8 70	Sale
Security total:		74,562 68		73,749 97	812 71	
ISHARES TR S&P 500 VALUE / CUSIP 464287408 / Symbol IVE						
6 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS.						
	495 000	26,700 78	01/12/11	30,303 85	-3,603 07	Sale
	18.000	970 94	02/09/11	1,139.22	-168.28	Sale
	66.000	3,560 10	02/25/11	4,162.62	-602.52	Sale
	30.000	1,618 23	04/05/11	1,920 00	-301 77	Sale
	21 000	1,132 76	04/06/11	1,343 16	-210 40	Sale
	25 000	1,348 52	05/03/11	1,614 50	-265 98	Sale
08/25/11	655 000	35,331 33	VARIOUS	40,483 35	-5,152 02	Total of 6 lots
2 tax lots for 08/26/11. Total proceeds (and cost when required) reported to the IRS						
	2 000	106.24	05/03/11	129 16	-22 92	Sale
	14 000	743 66	06/07/11	856 80	-113 14	Sale
08/26/11	16 000	849 90	VARIOUS	985 96	-136 06	Total of 2 lots
2 tax lots for 11/04/11. Total proceeds (and cost when required) reported to the IRS						
	13 000	740.07	06/07/11	795 60	-55.53	Sale
	9 000	512.36	08/09/11	472 84	39 52	Sale
11/04/11	22 000	1,252.43	VARIOUS	1,268 44	-16 01	Total of 2 lots
Security total		37,433 66		42,737 75	-5,304 09	
ISHARES TR MSCI EAFE INDEX / CUSIP 464287465 / Symbol EFA						
01/12/11	502 000	29,416 75	03/25/10	27,720 44	1,696.31	Sale

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Proceeds from Broker and Barter Exchange Transactions

2011 (continued)

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss (These columns are not reported to the IRS)	Additional Information
ISHARES TR MSCI EAFE INDEX / CUSIP 464287465 / Symbol EFA (Cont'd)						
14 tax lots for 08/25/11. Total proceeds (and cost when required) reported to the IRS						
	10 000	511.29	08/30/10	500 40	10 89	Sale
	133 000	6,800 16	09/08/10	6,969 09	-168 93	Sale
	123.000	6,288 87	10/06/10	6,956 88	-668 01	Sale
	20.000	1,022.58	10/28/10	1,138 40	-115 82	Sale
	108 000	5,521 93	11/03/10	6,219.72	-697 79	Sale
	196 000	10,021 29	12/07/10	11,215 10	-1,193.81	Sale
	1.000	51 13	12/20/10	57 69	-6 56	Sale
	156.000	7,976 13	01/06/11	8,999 64	-1,023.51	Sale
	38 000	1,942.90	02/09/11	2,329 40	-386 50	Sale
	288 000	14,725 16	02/25/11	17,507.52	-2,782 36	Sale
	147 000	7,515 97	04/05/11	8,928 78	-1,412.81	Sale
	97 000	4,959 51	04/06/11	5,917 97	-958 46	Sale
	9.000	460.16	05/03/11	572 76	-112 60	Sale
	41 000	2,096 29	06/07/11	2,503.83	-407 54	Sale
08/25/11	1,367 000	69,893 37	VARIOUS	79,817.18	-9,923 81	Total of 14 lots
2 tax lots for 08/26/11 Total proceeds (and cost when required) reported to the IRS.						
	60 000	3,046 14	06/07/11	3,664 14	-618 01	Sale
	5.000	253 84	08/09/11	259 15	-5 30	Sale
08/26/11	65 000	3,299 98	VARIOUS	3,923.29	-623 31	Total of 2 lots
09/06/11	2 000	98 87	08/09/11	103 66	-4 79	Sale
11/04/11	6 000	309 53	08/09/11	310.98	-1.45	Sale
	Security total	103,018 50		111,875 55	-8,857 05	
ISHARES TR DJ US TECH SEC / CUSIP. 464287721 / Symbol IYW						
01/12/11	11 000	735 33	03/25/10	648 34	86.99	Sale
12 tax lots for 08/25/11. Total proceeds (and cost when required) reported to the IRS						
	13 000	759 63	08/30/10	678 60	81.03	Sale
	38.000	2,220 45	09/08/10	2,055 04	165 41	Sale
	39 000	2,278 88	10/06/10	2,280.33	-1 45	Sale
	76 000	4,440.90	10/28/10	4,659.54	-218 64	Sale
	39 000	2,278 88	11/03/10	2,430 48	-151 60	Sale
	44 000	2,571 05	12/07/10	2,798 40	-227 35	Sale

Oppenheimer & Co. Inc. Account G511427549

Proceeds from Broker and Barter Exchange Transactions

2011 (continued)

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP / Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
ISHARES TR DJ US TECH SEC / CUSIP· 464287721 / Symbol: IYW (Cont'd)						
	41 000	2,395 75	01/06/11	2,702 31	-306 56	Sale
	26 000	1,519 25	02/09/11	1,810 64	-291 39	Sale
	128 000	7,479 41	02/25/11	8,709.08	-1,229 67	Sale
	84 000	4,908 36	04/05/11	5,576 76	-668 40	Sale
	38 000	2,220 45	04/06/11	2,518 64	-298.19	Sale
	4 000	233 73	05/03/11	270 72	-36 99	Sale
08/25/11	570 000	33,306 74	VARIOUS	36,490 54	-3,183 80	Total of 12 lots
2 tax lots for 08/26/11. Total proceeds (and cost when required) reported to the IRS						
	23 000	1,331 21	05/03/11	1,556 64	-225 43	Sale
	2.000	115 76	06/07/11	129.14	-13 38	Sale
08/26/11	25.000	1,446 97	VARIOUS	1,685 78	-238 81	Total of 2 lots
	Security total:	35,489 04		38,824 66	-3,335 62	
POWERSHARES ETF TRUST FTSE US1500 SM / CUSIP 73935X567 / Symbol: PRFZ						
01/12/11	1.000	65 95	07/26/10	53.59	12.36	Sale
12 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	14.000	779.40	08/30/10	701.54	77 86	Sale
	54.000	3,006.27	09/08/10	2,834 46	171.81	Sale
	36 000	2,004 18	10/06/10	2,034 72	-30 54	Sale
	48 000	2,672 24	11/03/10	2,794 08	-121 84	Sale
	1.000	55.67	12/07/10	63.20	-7 53	Sale
	45.000	2,505.22	01/06/11	2,933 55	-428 33	Sale
	47 000	2,616 57	02/09/11	3,166 86	-550 29	Sale
	123 000	6,847 61	02/25/11	8,307 42	-1,459.81	Sale
	23 000	1,280 45	04/05/11	1,616.67	-336 22	Sale
	37 000	2,059 85	04/06/11	2,591 11	-531.26	Sale
	67 000	3,730 00	05/03/11	4,649 80	-919 80	Sale
	40.000	2,226 87	06/07/11	2,633 20	-406 33	Sale
08/25/11	535 000	29,784 33	VARIOUS	34,326 61	-4,542 28	Total of 12 lots
2 tax lots for 08/26/11 Total proceeds (and cost when required) reported to the IRS.						
	15.000	817 63	06/07/11	987 45	-169 82	Sale

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Proceeds from Broker and Barter Exchange Transactions
(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS
Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
				These columns are not reported to the IRS		
POWERSHARES ETF TRUST FTSE US1500 SM / CUSIP 73935X567 / Symbol PRFZ (Cont'd)						
	15 000	*817 63*	*08/09/11*	*830 99*	*-13 36*	*Sale*
08/26/11	30 000	1,635 26	VARIOUS	1,818 44	-183 18	Total of 2 lots
09/06/11	1 000	54 21	08/09/11	55.40	-1 19	Sale
12/21/11	1 000	59 40	08/09/11	55 40	4 00	Sale
Security total·		31,599 15		36,309 44	-4,710.29	
POWERSHARES ETF TRUST FTSE RAFI 1000 / CUSIP. 73935X583 / Symbol PRF						
01/12/11	1 000	57 21	07/26/10	48 81	8 40	Sale
11 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	153 000	*7,772 09*	*09/08/10*	*7,458 69*	*313 40*	*Sale*
	129.000	*6,552 94*	*10/06/10*	*6,617 70*	*-64 76*	*Sale*
	2 000	*101 60*	*10/28/10*	*103 84*	*-2 24*	*Sale*
	135 000	*6,857 73*	*11/03/10*	*7,064.51*	*-206.78*	*Sale*
	92 000	*4,673 42*	*12/07/10*	*4,997 44*	*-324 02*	*Sale*
	98.000	*4,978 20*	*01/06/11*	*5,555 62*	*-577.42*	*Sale*
	97 000	*4,927 41*	*02/09/11*	*5,726.88*	*-799 47*	*Sale*
	328 000	*16,661 74*	*02/25/11*	*19,259 96*	*-2,598 22*	*Sale*
	129 000	*6,552 94*	*04/05/11*	*7,711 56*	*-1,158 62*	*Sale*
	101 000	*5,130 60*	*04/06/11*	*6,030.65*	*-900 05*	*Sale*
	80.000	*4,063 84*	*05/03/11*	*4,842 08*	*-778 24*	*Sale*
08/25/11	1,344 000	68,272 51	VARIOUS	75,368 93	-7,096 42	Total of 11 lots
2 tax lots for 08/26/11 Total proceeds (and cost when required) reported to the IRS						
	38 000	*1,902 62*	*05/03/11*	*2,299 99*	*-397 37*	*Sale*
	37 000	*1,852 55*	*06/07/11*	*2,131 09*	*-278 54*	*Sale*
08/26/11	75 000	3,755 17	VARIOUS	4,431 08	-675 91	Total of 2 lots
09/06/11	4 000	198 63	06/07/11	230 39	-31 76	Sale
11/04/11	11 000	593 67	06/07/11	633 57	-39 90	Sale
12/21/11	2.000	107 34	06/07/11	115.19	-7.85	Sale
Security total·		72,984 53		80,827 97	-7,843.44	
POWERSHARES GLOBAL ETF TRUST DEV MKTS EX-US / CUSIP 73936T789 / Symbol PXF						
01/12/11	376 000	14,951 57	07/26/10	13,238 57	1,713 00	Sale

Oppenheimer & Co. Inc. Account G511427549

Proceeds from Broker and Barter Exchange Transactions
(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS
Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	Cost or other basis	Gain or loss	Additional Information
				These columns are not reported to the IRS		
POWERSHARES GLOBAL ETF TRUST DEV MKTS EX-US / CUSIP 73936T789 / Symbol PXF (Cont'd)						
14 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	28.000	*948 31*	*08/30/10*	*954 97*	*-6 66*	*Sale*
	122.000	*4,131 93*	*09/08/10*	*4,362 17*	*-230 24*	*Sale*
	96 000	*3,251 35*	*10/06/10*	*3,722 88*	*-471.53*	*Sale*
	8 000	*270 95*	*10/28/10*	*314 88*	*-43 93*	*Sale*
	90 000	*3,048 14*	*11/03/10*	*3,577 50*	*-529 36*	*Sale*
	185 000	*6,265.63*	*12/07/10*	*7,273 90*	*-1,008 27*	*Sale*
	54.000	*1,828 89*	*12/20/10*	*2,083 30*	*-254 41*	*Sale*
	114.000	*3,860 98*	*01/06/11*	*4,470 95*	*-609 97*	*Sale*
	17.000	*575 76*	*02/09/11*	*716 89*	*-141 13*	*Sale*
	275 000	*9,313 77*	*02/25/11*	*11,453 42*	*-2,139.65*	*Sale*
	118 000	*3,996 45*	*04/05/11*	*4,903 26*	*-906 81*	*Sale*
	97 000	*3,285 22*	*04/06/11*	*4,062 36*	*-777 14*	*Sale*
	7 000	*237 08*	*05/03/11*	*305 41*	*-68 33*	*Sale*
	100.000	*3,386 83*	*06/07/11*	*4,126 00*	*-739 17*	*Sale*
08/25/11	1,311 000	44,401 29	VARIOUS	52,327 89	-7,926 60	Total of 14 lots
2 tax lots for 08/26/11. Total proceeds (and cost when required) reported to the IRS						
	20 000	*667 59*	*06/07/11*	*825 20*	*-157 61*	*Sale*
	41.000	*1,368 55*	*08/09/11*	*1,413 27*	*-44 72*	*Sale*
08/26/11	61 000	2,036 14	VARIOUS	2,238 47	-202.33	Total of 2 lots
09/06/11	1.000	32 31	08/09/11	34 47	-2 16	Sale
11/04/11	13.000	441 60	08/09/11	448 11	-6.51	Sale
	Security total:	61,862 91		68,287 51	-6,424 60	
SPDR S&P 500 ETF TR TR UNIT / CUSIP 78462F103 / Symbol SPY						
01/12/11	4.000	514.19	03/25/10	469 13	45.06	Sale
5 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS.						
	141.000	*16,497.20*	*09/08/10*	*15,586 13*	*911.07*	*Sale*
	143 000	*16,731 20*	*10/06/10*	*16,616 59*	*114 61*	*Sale*
	93.000	*10,881 13*	*10/28/10*	*10,988 87*	*-107 74*	*Sale*
	140.000	*16,380 20*	*11/03/10*	*16,681.00*	*-300 80*	*Sale*
	113 000	*13,221 16*	*12/07/10*	*13,906 91*	*-685 75*	*Sale*
08/25/11	630.000	73,710.89	VARIOUS	73,779.50	-68.61	Total of 5 lots

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Proceeds from Broker and Barter Exchange Transactions

2011 (continued)

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
	Security total	74,225 08		74,248 63	-23 55	
SPDR S&P MIDCAP 400 ETF TR UTSER1 S&PDCRP / CUSIP 78467Y107 / Symbol MDY						
01/12/11	3 000	504 35	03/25/10	432 39	71 96	Sale
6 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	1 000	*149 38*	*08/30/10*	*132 03*	*17 35*	*Sale*
	28.000	*4,182 58*	*09/08/10*	*3,879 40*	*303 18*	*Sale*
	1 000	*149 38*	*09/23/10*	*141 37*	*8 01*	*Sale*
	27 000	*4,033 20*	*10/06/10*	*3,982 50*	*50 70*	*Sale*
	28 000	*4,182.58*	*11/03/10*	*4,252.08*	*-69.50*	*Sale*
	7 000	*1,045 64*	*12/07/10*	*1,131 62*	*-85.98*	*Sale*
08/25/11	92 000	13,742 76	VARIOUS	13,519.00	223.76	Total of 6 lots
	Security total	14,247 11		13,951.39	295 72	
SELECT SECTOR SPDR TR SBI CONS DISCR / CUSIP 81369Y407 / Symbol XLY						
13 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	56 000	*1,994 69*	*09/08/10*	*1,794.77*	*199 92*	*Sale*
	61 000	*2,172 78*	*10/06/10*	*2,076 44*	*96 34*	*Sale*
	157.000	*5,592 25*	*10/28/10*	*5,518 53*	*73 72*	*Sale*
	69 000	*2,457 74*	*11/03/10*	*2,445 36*	*12 38*	*Sale*
	29 000	*1,032 96*	*12/07/10*	*1,088 37*	*-55 41*	*Sale*
	14 000	*498 67*	*12/20/10*	*525 28*	*-26 61*	*Sale*
	79 000	*2,813 93*	*01/06/11*	*2,976.72*	*-162 79*	*Sale*
	6.000	*213 72*	*01/12/11*	*227 04*	*-13 32*	*Sale*
	71 000	*2,528 98*	*02/09/11*	*2,784 62*	*-255 64*	*Sale*
	176.000	*6,269 02*	*02/25/11*	*6,860 48*	*-591 46*	*Sale*
	82 000	*2,920.79*	*04/05/11*	*3,255 40*	*-334 61*	*Sale*
	56 000	*1,994.69*	*04/06/11*	*2,207 52*	*-212 83*	*Sale*
	7 000	*249 34*	*05/03/11*	*283 92*	*-34.58*	*Sale*
08/25/11	863.000	30,739 56	VARIOUS	32,044.45	-1,304 89	Total of 13 lots
2 tax lots for 08/26/11 Total proceeds (and cost when required) reported to the IRS						
	41.000	*1,444.40*	*05/03/11*	*1,662 96*	*-218 56*	*Sale*
	1 000	*35 23*	*06/07/11*	*38 94*	*-3.71*	*Sale*
08/26/11	42 000	1,479 63	VARIOUS	1,701 90	-222 27	Total of 2 lots

Oppenheimer & Co. Inc.

Account G511427549

Proceeds from Broker and Barter Exchange Transactions

2011

(continued)

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
SELECT SECTOR SPDR TR SBI CONS DISCR / CUSIP 81369Y407 / Symbol XLY (Cont'd)						
09/06/11	2 000	70 27	06/07/11	77 88	-7 61	Sale
	Security total.	32,289 46		33,824 23	-1,534 77	
VANGUARD SPECIALIZED PORTFOLIO DIV APP ETF / CUSIP. 921908844 / Symbol VIG						
01/12/11	3 000	159 26	03/25/10	146 10	13.16	Sale
12 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	76 000	*3,816 10*	*09/08/10*	*3,589 48*	*226.62*	*Sale*
	1 000	*50 21*	*09/23/10*	*48 26*	*1 95*	*Sale*
	84 000	*4,217.80*	*10/06/10*	*4,165 56*	*52 24*	*Sale*
	5 000	*251 06*	*10/28/10*	*249 50*	*1 56*	*Sale*
	78.000	*3,916.53*	*11/03/10*	*3,917.94*	*-1.41*	*Sale*
	63 000	*3,163 35*	*12/07/10*	*3,273 48*	*-110.13*	*Sale*
	104 000	*5,222.04*	*01/06/11*	*5,464 14*	*-242 10*	*Sale*
	65 000	*3,263 77*	*02/09/11*	*3,541 85*	*-278 08*	*Sale*
	176 000	*8,837 29*	*02/25/11*	*9,630 68*	*-793 39*	*Sale*
	66.000	*3,313 98*	*04/05/11*	*3,711 84*	*-397.86*	*Sale*
	60 000	*3,012 71*	*04/06/11*	*3,367 80*	*-355 09*	*Sale*
	9 000	*451 91*	*05/03/11*	*513 45*	*-61 54*	*Sale*
08/25/11	787 000	39,516 75	VARIOUS	41,473 98	-1,957.23	Total of 12 lots
08/26/11	42 000	2,084 41	05/03/11	2,396 10	-311 69	Sale
09/06/11	2.000	98 55	05/03/11	114 10	-15 55	Sale
12/21/11	1 000	53 97	05/03/11	57 05	-3 08	Sale
	Security total:	41,912 94		44,187 33	-2,274.39	
VANGUARD WHITEHALL FDS INC HIGH DIV YLD / CUSIP 921946406 / Symbol: VYM						
16 tax lots for 08/25/11. Total proceeds (and cost when required) reported to the IRS						
	78 000	*3,197 97*	*09/08/10*	*2,964 00*	*233 97*	*Sale*
	1 000	*41 00*	*09/23/10*	*38 84*	*2 16*	*Sale*
	93.000	*3,812 96*	*10/06/10*	*3,680.01*	*132 95*	*Sale*
	1.000	*41 00*	*10/28/10*	*40 14*	*0 86*	*Sale*
	76 000	*3,115.97*	*11/03/10*	*3,070 40*	*45 57*	*Sale*
	69 000	*2,828.97*	*12/07/10*	*2,865.57*	*-36.60*	*Sale*
	93 000	*3,812 96*	*01/06/11*	*3,941 34*	*-128 38*	*Sale*
	1.000	*41.00*	*01/12/11*	*42 72*	*-1 72*	*Sale*

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Proceeds from Broker and Barter Exchange Transactions

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8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots. NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
VANGUARD WHITEHALL FDS INC HIGH DIV YLD / CUSIP 921946406 / Symbol VYM (Cont'd)						
	66 000	2,705 97	02/09/11	2,895 42	-189 45	Sale
	176.000	7,215 93	02/25/11	7,726 38	-510 45	Sale
	79.000	3,238 97	04/05/11	3,545.52	-306 55	Sale
	60 000	2,459 98	04/06/11	2,690 40	-230 42	Sale
	46 000	1,885 98	05/03/11	2,116 46	-230 48	Sale
	39.000	1,598 98	06/07/11	1,733 94	-134 96	Sale
	485 000	19,884 81	07/05/11	22,033 55	-2,148.74	Sale
	204 000	8,363 92	08/09/11	8,092 48	271 44	Sale
08/25/11	1,567 000	64,246 37	VARIOUS	67,477.17	-3,230 80	Total of 16 lots
08/26/11	62 000	2,514 05	08/09/11	2,459 48	54 57	Sale
09/06/11	4.000	161 35	08/09/11	158 68	2 67	Sale
12/21/11	3 000	133 55	08/09/11	119 01	14.54	Sale
	Security total·	67,055 32		70,214 34	-3,159 02	
VANGUARD INTL EQUITY INDEX FD MSCI EMR MKT ETF / CUSIP 922042858 / Symbol VWO						
13 tax lots for 08/25/11. Total proceeds (and cost when required) reported to the IRS						
	670.000	27,563 34	08/30/10	27,356 03	207 31	Sale
	155.000	6,376 59	09/08/10	6,598 33	-221.74	Sale
	85 000	3,496 84	10/06/10	3,984 80	-487 96	Sale
	51 000	2,098.10	10/28/10	2,382 72	-284 62	Sale
	95 000	3,908.23	11/03/10	4,539 10	-630 87	Sale
	192.000	7,898 75	12/07/10	9,158 38	-1,259 63	Sale
	53 000	2,180 38	12/20/10	2,500 00	-319 62	Sale
	121 000	4,977.86	01/06/11	5,827 35	-849 49	Sale
	40 000	1,645 57	01/12/11	1,946.00	-300 43	Sale
	304 000	12,506 35	02/09/11	14,023 49	-1,517.14	Sale
	470.000	19,335 48	02/25/11	21,497.75	-2,162 27	Sale
	132 000	5,430 39	04/06/11	6,663 36	-1,232 97	Sale
	156.000	6,417 73	05/03/11	7,737 45	-1,319 72	Sale
08/25/11	2,524 000	103,835 61	VARIOUS	114,214 76	-10,379 15	Total of 13 lots
2 tax lots for 08/26/11 Total proceeds (and cost when required) reported to the IRS.						
	19.000	778 03	05/03/11	942 38	-164.35	Sale

Oppenheimer & Co. Inc. Account G511427549

Proceeds from Broker and Barter Exchange Transactions

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2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss	Additional Information
VANGUARD INTL EQUITY INDEX FD MSCI EMR MKT ETF / CUSIP 922042858 / Symbol VWO (Cont'd)						
	72 000	2,948.34	06/07/11	3,501 36	-553 02	Sale
08/26/11	91.000	3,726 37	VARIOUS	4,443 74	-717 37	Total of 2 lots
09/06/11	6 000	248 99	06/07/11	291 78	-42 79	Sale
	Security total:	107,810 97		118,950.28	-11,139 31	
VANGUARD WORLD FDS ENERGY ETF / CUSIP 92204A306 / Symbol VDE						
01/12/11	3.000	306 89	12/20/10	294.83	12 06	Sale
8 tax lots for 08/09/11. Total proceeds (and cost when required) reported to the IRS						
	283 000	26,646 77	12/20/10	27,812 29	-1,165 52	Sale
	14.000	1,318.21	01/06/11	1,391 32	-73 11	Sale
	2 000	188.32	02/09/11	216 50	-28 18	Sale
	25 000	2,353 95	02/25/11	2,827 00	-473 05	Sale
	7 000	659 11	04/05/11	826 28	-167 17	Sale
	11 000	1,035 74	04/06/11	1,287 66	-251 92	Sale
	23 000	2,165 64	05/03/11	2,629 36	-463 72	Sale
	21 000	1,977.32	06/07/11	2,278 50	-301 18	Sale
08/09/11	386 000	36,345 06	VARIOUS	39,268 91	-2,923 85	Total of 8 lots
	Security total:	36,651 95		39,563 74	-2,911 79	
VANGUARD INDEX FDS REIT ETF / CUSIP 922908553 / Symbol VNQ						
01/12/11	266.000	14,675 00	03/25/10	13,244 14	1,430 86	Sale
13 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	28 000	1,527 23	09/08/10	1,480 36	46 87	Sale
	15 000	818 16	09/23/10	789 15	29 01	Sale
	53.000	2,890.83	10/06/10	2,840 27	50 56	Sale
	40 000	2,181 76	11/03/10	2,212 40	-30 64	Sale
	62 000	3,381 72	12/07/10	3,409 38	-27 66	Sale
	24.000	1,309 05	12/20/10	1,301 28	7 77	Sale
	30 000	1,636 32	01/06/11	1,656 60	-20 28	Sale
	15.000	818.16	02/09/11	867 30	-49 14	Sale
	71.000	3,872 62	02/25/11	4,127 23	-254 61	Sale
	29 000	1,581 77	04/05/11	1,704 62	-122 85	Sale
	23 000	1,254.51	04/06/11	1,346 65	-92 14	Sale
	1.000	54.54	05/03/11	61 79	-7 25	Sale

Oppenheimer & Co. Inc. Account G511427549

Proceeds from Broker and Barter Exchange Transactions

(continued)

2011

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
VANGUARD INDEX FDS REIT ETF / CUSIP 922908553 / Symbol VNQ (Cont'd)						
	1 000	54 54	06/07/11	60 85	-6 31	Sale
08/25/11	392 000	21,381 21	VARIOUS	21,857 88	-476 67	Total of 13 lots
2 tax lots for 08/26/11 Total proceeds (and cost when required) reported to the IRS						
	7.000	376 03	06/07/11	425 95	-49 92	Sale
	10 000	537 19	08/09/11	506 60	30 59	Sale
08/26/11	17.000	913 22	VARIOUS	932 55	-19 33	Total of 2 lots
09/06/11	1.000	54 18	08/09/11	50 66	3 52	Sale
Security total		37,023 61		36,085 23	938 38	
VANGUARD INDEX FDS SM CP VAL ETF / CUSIP: 922908611 / Symbol VBR						
01/12/11	446 000	30,355 58	03/25/10	26,996 38	3,359 20	Sale
13 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	14 000	820 31	08/30/10	762 02	58 29	Sale
	74 000	4,335 91	09/08/10	4,216 52	119 39	Sale
	4 000	234.37	09/23/10	231.60	2 77	Sale
	60.000	3,515 60	10/06/10	3,649 20	-133 60	Sale
	71.000	4,160 13	11/03/10	4,432 53	-272 40	Sale
	27.000	1,582 02	12/07/10	1,790 10	-208 08	Sale
	85.000	4,980 44	01/06/11	5,729 85	-749 41	Sale
	45 000	2,636 70	02/09/11	3,132 45	-495 75	Sale
	121 000	7,089 80	02/25/11	8,410 70	-1,320 90	Sale
	22 000	1,289.05	04/05/11	1,598 52	-309 47	Sale
	34.000	1,992 17	04/06/11	2,462 96	-470 79	Sale
	59 000	3,457 01	05/03/11	4,262 16	-805 15	Sale
	37.000	2,167 95	06/07/11	2,529 69	-361 74	Sale
08/25/11	653.000	38,261 46	VARIOUS	43,208 30	-4,946.84	Total of 13 lots
2 tax lots for 08/26/11. Total proceeds (and cost when required) reported to the IRS						
	19 000	1,091.52	06/07/11	1,299 03	-207 51	Sale
	11.000	631 94	08/09/11	632 50	-0 56	Sale
08/26/11	30.000	1,723 46	VARIOUS	1,931 53	-208 07	Total of 2 lots
09/06/11	1.000	57 11	08/09/11	57 50	-0 39	Sale
12/21/11	1 000	63 41	08/09/11	57 50	5 91	Sale

Oppenheimer & Co. Inc. Account G511427549

Proceeds from Broker and Barter Exchange Transactions

2011 (continued)

8 - SHORT-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part I, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
	Security total.	70,461 02		72,251 21	-1,790.19	
	Totals:	**1,009,368.39**		**1,084,709.42**	**-75,341.02**	

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
ISHARES TR MSCI EAFE INDEX / CUSIP 464287465 / Symbol EFA						
4 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	934 000	*47,754 50*	*03/25/10*	*51,575 48*	*-3,820 98*	*Sale*
	95 000	*4,857 26*	*05/10/10*	*4,954 25*	*-96 99*	*Sale*
	200 000	*10,225 80*	*06/08/10*	*9,366 00*	*859 80*	*Sale*
	109 000	*5,573 06*	*08/05/10*	*5,800 97*	*-227 91*	*Sale*
08/25/11	1,338 000	68,410 62	VARIOUS	71,696.70	-3,286 08	Total of 4 lots
ISHARES TR DJ US TECH SEC / CUSIP. 464287721 / Symbol IYW						
08/09/11	54 000	3,193 09	03/25/10	3,182 76	10.33	Sale
5 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	199 000	*11,628 14*	*03/25/10*	*11,729 06*	*-100 92*	*Sale*
	10 000	*584 33*	*05/10/10*	*574 80*	*9 53*	*Sale*
	75 000	*4,382 47*	*06/08/10*	*4,010 25*	*372 22*	*Sale*
	118 000	*6,895 08*	*07/26/10*	*6,624 48*	*270 60*	*Sale*
	46 000	*2,687 91*	*08/05/10*	*2,587 50*	*100 41*	*Sale*
08/25/11	448 000	26,177 93	VARIOUS	25,526 09	651 84	Total of 5 lots
	Security total.	29,371 02		28,708 85	662.17	
POWERSHARES ETF TRUST FTSE US1500 SM / CUSIP 73935X567 / Symbol PRFZ						
2 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	667.000	*37,132.99*	*07/26/10*	*35,744 53*	*1,388 46*	*Sale*

Oppenheimer & Co. Inc. Account G511427549

Proceeds from Broker and Barter Exchange Transactions
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2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS
Report on Form 8949, Part II, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss	Additional Information
POWERSHARES ETF TRUST FTSE US1500 SM / CUSIP 73935X567 / Symbol PRFZ (Cont'd)						
	56 000	*3,117 61*	*08/05/10*	*3,025 68*	*91 93*	*Sale*
08/25/11	723 000	40,250 60	VARIOUS	38,770 21	1,480 39	Total of 2 lots
POWERSHARES ETF TRUST FTSE RAFI 1000 / CUSIP 73935X583 / Symbol· PRF						
2 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	1,607 000	*81,632 39*	*07/26/10*	*78,434 74*	*3,197 65*	*Sale*
	168 000	*8,534 06*	*08/05/10*	*8,351 23*	*182 83*	*Sale*
08/25/11	1,775.000	90,166 45	VARIOUS	86,785.97	3,380 48	Total of 2 lots
POWERSHARES GLOBAL ETF TRUST DEV MKTS EX-US / CUSIP 73936T789 / Symbol PXF						
2 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	1,141.000	*38,643 68*	*07/26/10*	*40,173 42*	*-1,529 73*	*Sale*
	78.000	*2,641 72*	*08/05/10*	*2,862 60*	*-220 88*	*Sale*
08/25/11	1,219.000	41,285 40	VARIOUS	43,036 02	-1,750 61	Total of 2 lots
SPDR S&P 500 ETF TR TR UNIT / CUSIP· 78462F103 / Symbol SPY						
06/28/11	332 000	42,787 66	03/25/10	38,937.78	3,849 88	Sale
08/09/11	40 000	4,587 94	03/25/10	4,691 30	-103 36	Sale
4 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	914 000	*106,939 30*	*03/25/10*	*107,196 17*	*-256 87*	*Sale*
	95 000	*11,115 14*	*05/10/10*	*10,965 85*	*149 29*	*Sale*
	300 000	*35,100 43*	*06/08/10*	*31,815 00*	*3,285 43*	*Sale*
	163 000	*19,071 23*	*08/05/10*	*18,299.99*	*771 24*	*Sale*
08/25/11	1,472 000	172,226 10	VARIOUS	168,277 01	3,949 09	Total of 4 lots
	Security total	219,601 70		211,906.09	7,695 61	
SPDR S&P MIDCAP 400 ETF TR UTSER1 S&PDCRP / CUSIP 78467Y107 / Symbol: MDY						
4 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	293 000	*43,767 68*	*03/25/10*	*42,230 09*	*1,537 59*	*Sale*
	18 000	*2,688 80*	*05/10/10*	*2,579.58*	*109 22*	*Sale*
	75 000	*11,203 33*	*06/08/10*	*9,819.75*	*1,383 58*	*Sale*

Oppenheimer & Co. Inc. Account G511427549

Proceeds from Broker and Barter Exchange Transactions

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2011

8 - LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS

Report on Form 8949, Part II, with **Box B** checked

6 - Tax lots: NONCOVERED**

9 - Description / CUSIP/ Symbol 1a - Date of Sale or exchange	Quantity	2 - Proceeds of # stocks, bonds, etc.	Date of acquisition	These columns are not reported to the IRS Cost or other basis	Gain or loss	Additional Information
SPDR S&P MIDCAP 400 ETF TR UTSER1 S&PDCRP / CUSIP 78467Y107 / Symbol MDY (Cont'd)						
	32 000	*4,780 09*	*08/05/10*	*4,487.68*	*292 41*	*Sale*
08/25/11	418 000	62,439 90	VARIOUS	59,117 10	3,322.80	Total of 4 lots
SELECT SECTOR SPDR TR SBI CONS DISCR / CUSIP 81369Y407 / Symbol XLY						
08/09/11	60.000	2,090 40	07/26/10	1,890 59	199.81	Sale
2 tax lots for 08/25/11. Total proceeds (and cost when required) reported to the IRS						
	793 000	*28,246 19*	*07/26/10*	*24,987 35*	*3,258 84*	*Sale*
	72 000	*2,564.60*	*08/05/10*	*2,309 76*	*254 84*	*Sale*
08/25/11	865 000	30,810 79	VARIOUS	27,297 11	3,513 68	Total of 2 lots
Security total:		32,901 19		29,187 70	3,713.49	
VANGUARD SPECIALIZED PORTFOLIO DIV APP ETF / CUSIP: 921908844 / Symbol VIG						
08/09/11	57 000	2,786 82	03/25/10	2,775 90	10 92	Sale
5 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS.						
	752 000	*37,759 34*	*03/25/10*	*36,622 40*	*1,136 94*	*Sale*
	33 000	*1,656 99*	*05/10/10*	*1,605.12*	*51 87*	*Sale*
	75.000	*3,765 89*	*06/08/10*	*3,379.50*	*386 39*	*Sale*
	17.000	*853 60*	*07/26/10*	*798.15*	*55 45*	*Sale*
	82 000	*4,117 37*	*08/05/10*	*3,904 02*	*213 35*	*Sale*
08/25/11	959 000	48,153 19	VARIOUS	46,309 19	1,844 00	Total of 5 lots
Security total:		50,940 01		49,085 09	1,854 92	
VANGUARD WHITEHALL FDS INC HIGH DIV YLD / CUSIP: 921946406 / Symbol VYM						
4 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	805.000	*33,004 69*	*03/25/10*	*31,927 84*	*1,076.85*	*Sale*
	50.000	*2,049 98*	*05/10/10*	*1,957 00*	*92 98*	*Sale*
	75.000	*3,074 97*	*06/08/10*	*2,706 75*	*368 22*	*Sale*
	76.000	*3,115 97*	*08/05/10*	*2,915 36*	*200 61*	*Sale*
08/25/11	1,006.000	41,245 61	VARIOUS	39,506 95	1,738.66	Total of 4 lots
VANGUARD INTL EQUITY INDEX FD MSCI EMR MKT ETF / CUSIP 922042858 / Symbol VWO						
04/05/11	121.000	6,087 39	03/25/10	4,994 88	1,092.51	Sale

)ppenheimer & Co. Inc.

Account G511427549

Proceeds from Broker and Barter Exchange Transactions

011 (continued)

- *LONG-TERM GAINS AND LOSSES - Cost basis is NOT reported to the IRS*

port on Form 8949, Part II, with **Box B** checked

6 - Tax lots: NONCOVERED**

Description / CUSIP/ Symbol - Date of Sale or exchange	Quantity	2 - Proceeds of# stocks, bonds, etc.	Date of acquisition	Cost or other basis (These columns are not reported to the IRS)	Gain or loss	Additional Information
\NGUARD INTL EQUITY INDEX FD MSCI EMR MKT ETF / CUSIP 922042858 / Symbol VWO (Cont'd)						
5 tax lots for 08/25/11. Total proceeds (and cost when required) reported to the IRS						
	442 000	*18,183 58*	*03/25/10*	*18,245 76*	*-62 18*	*Sale*
	24 000	*987.34*	*05/10/10*	*975 84*	*11 50*	*Sale*
	75.000	*3,085.45*	*06/08/10*	*2,808.75*	*276 70*	*Sale*
	609.000	*25,053 84*	*07/26/10*	*25,255 17*	*-201 33*	*Sale*
	96 000	*3,949 37*	*08/05/10*	*4,082 88*	*-133 51*	*Sale*
08/25/11	1,246.000	51,259 58	VARIOUS	51,368.40	-108 82	Total of 5 lots
Security total		57,346 97		56,363 28	983 69	
\NGUARD INDEX FDS REIT ETF / CUSIP. 922908553 / Symbol VNQ						
4 tax lots for 08/25/11 Total proceeds (and cost when required) reported to the IRS						
	200 000	*10,908 79*	*03/25/10*	*9,958 00*	*950 79*	*Sale*
	19 000	*1,036 33*	*05/10/10*	*984 58*	*51 75*	*Sale*
	50 000	*2,727 20*	*06/08/10*	*2,316 00*	*411 20*	*Sale*
	27 000	*1,472 69*	*08/05/10*	*1,406.16*	*66 53*	*Sale*
08/25/11	296 000	16,145 01	VARIOUS	14,664 74	1,480 27	Total of 4 lots
\NGUARD INDEX FDS SM CP VAL ETF / CUSIP 922908611 / Symbol VBR						
4 tax lots for 08/25/11. Total proceeds (and cost when required) reported to the IRS						
	387 000	*22,675 63*	*03/25/10*	*23,425 11*	*-749 48*	*Sale*
	43 000	*2,519 51*	*05/10/10*	*2,618 27*	*-98 76*	*Sale*
	50.000	*2,929.67*	*06/08/10*	*2,724.00*	*205 67*	*Sale*
	76 000	*4,453 10*	*08/05/10*	*4,446 76*	*6 34*	*Sale*
08/25/11	556.000	32,577 91	VARIOUS	33,214 14	-636.23	Total of 4 lots
	Totals:	**782,682.39**		**762,042.84**	**20,639.56**	