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599/94

Form 990-PF

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545 0052

2011

Department of the Treasury Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning , 2011, and ending

Haberman Foundation c/o Ross Haberman 737 Park Avenue New York, NY 10021

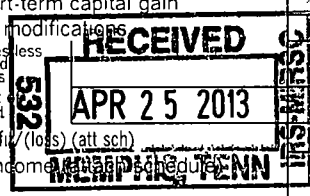
A Employer identification number 20-0143239
B Telephone number (see the instructions) (516) 374-1372
C If exemption application is pending, check here
D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation
E If private foundation status was terminated under section 507(b)(1)(A), check here
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply
Initial return
Final returnA
Address change
Initial Return of a former public charity
mended return
Name change

H Check type of organization
[X] Section 501(c)(3) exempt private foundation
[] Section 4947(a)(1) nonexempt charitable trust
[] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 1,113,733
J Accounting method [] Cash [X] Accrual [] Other (specify)

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Revenue (1-11), Administrative Expenses (13-23), Total operating and administrative expenses (24-26), and Net investment income (27).



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a

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
ASSETS	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	170,480.	632,261.	632,261.
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
		b Investments – corporate stock (attach schedule)	655,778.	453,888.	481,472.
		c Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)				
12	Investments – mortgage loans				
13	Investments – other (attach schedule)				
14	Land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	826,258	1,086,149	1,113,733	
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
NEUTRAL ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>				
	24	Unrestricted	826,258.	1,086,149	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	826,258.	1,086,149.		
31	Total liabilities and net assets/fund balances (see instructions)	826,258.	1,086,149.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	826,258.
2	Enter amount from Part I, line 27a	2	-13,138
3	Other increases not included in line 2 (itemize) <u>See Statement 3</u>	3	273,029.
4	Add lines 1, 2, and 3	4	1,086,149
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,086,149.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a See Statement 4			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	32,259
3 Not short-term capital gain or (loss) as defined in sections 1222(5) and (6). [If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8]	3	15,875.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	41,912.	839,050.	0.049952
2009	32,629.	765,213.	0.042640
2008	36,259.	760,303.	0.047690
2007	35,186.	595,424.	0.059094
2006	22,072.	483,108.	0.045688

2 Total of line 1, column (d)	2	0.245064
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049013
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	690.
7 Add lines 5 and 6	7	690
8 Enter qualifying distributions from Part XII, line 4	8	50,515

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	690.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2		3	690
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	690.
6 Credits/Payments			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	500	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7		500
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		11
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		201
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ \$ <u>0</u> (2) On foundation managers ▶ \$ <u>0</u> .		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ <u>0</u>		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions).			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	X		
14	The books are in care of ▶ <u>Greenberg Accounting, CPA, P c</u> Telephone no. ▶ <u>516-764-7999</u> Located at ▶ <u>55 Maple Avenue, Suite 300 Rockville Centre</u> ZIP + 4 ▶ <u>11570</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15		N/A	<input type="checkbox"/> N/A
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ▶		Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years ▶ 20__ , 20__ , 20__ , 20__		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20__ , 20__ , 20__ , 20__ .		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

	5b	N/A
6b	6b	X
7b	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Madelaine Sprung 530 Park Avenue New York, NY 10021	Secretary 0	0.	0.	0.
Ruth Haberman 737 Park Avenue New York, NY 10021	President 0	0	0.	0.
Hilary Suchman 737 Park Avenue New York, NY 10021	Vice Preside 0	0	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
Total. Add lines 1 through 3 ▶ 0	

BAA

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1 a	
b	Average of monthly cash balances	1 b	
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	0.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	0
6	Minimum investment return. Enter 5% of line 5	6	0

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2011 from Part VI, line 5	2 a	690.
b	Income tax for 2011 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	690.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	-690.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	-690
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	0

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1 a	50,515.
b	Program-related investments – total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	50,515.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	690
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	49,825.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				0.
2 Undistributed income, if any, as of the end of 2011			0.	
a Enter amount for 2010 only			0.	
b Total for prior years 20___, 20___, 20___		0.		
3 Excess distributions carryover, if any, to 2011.				
a From 2006				
b From 2007	5,554			
c From 2008				
d From 2009				
e From 2010	901.			
f Total of lines 3a through e	6,455.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 50,515				
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2011 distributable amount				0.
e Remaining amount distributed out of corpus	50,515			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	56,970			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	56,970.			
10 Analysis of line 9				
a Excess from 2007	5,554			
b Excess from 2008				
c Excess from 2009				
d Excess from 2010	901.			
e Excess from 2011	50,515			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year — see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Statement 5				
Total				▶ 3a 50,515.
b <i>Approved for future payment</i>				
Total				▶ 3b

Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions <u>Haberman Foundation</u> <u>c/o Ross Haberman</u>	Employer identification number (EIN) or
	Number, street, and room or suite number. If a P.O. box, see instructions <u>737 Park Avenue</u>	<input checked="" type="checkbox"/> <u>20-0143239</u> Social security number (SSN)
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions <u>New York, NY 10021</u>	<input type="checkbox"/>

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ Greenberg Accounting, CPA, P.c

Telephone No. ▶ 516-764-7999 FAX No ▶ _____

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶ calendar year 20 11 or
- ▶ tax year beginning _____, 20 _____, and ending _____, 20 _____

2 If the tax year entered in line 1 is for less than 12 months, check reason. Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 500
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 500.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions Haberman Foundation c/o Ross Haberman	Employer identification number (EIN) or <input checked="" type="checkbox"/> 20-0143239
	Number, street and room or suite number. If a P.O. box, see instructions GREENBERG ACCOUNTING, CPA, P C 55 MAPLE AVENUE, SUITE 300	Social security number (SSN) <input type="checkbox"/>
File by the extended due date for filing the return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions ROCKVILLE CENTRE, NY 11570-4267	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of Greenberg Accounting, CPA, P.c
Telephone No 516-764-7999 FAX No.
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

- I request an additional 3-month extension of time until 11/15, 2012
- For calendar year 2011, or other tax year beginning _____, 20____, and ending _____, 20____
- If the tax year entered in line 5 is for less than 12 months, check reason Initial return Final return
 Change in accounting period
- State in detail why you need the extension Information necessary to file a complete and accurate tax return is not yet available from third parties.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$ 500.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$ 500.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature Title Date

Haberman Foundation
c/o Ross Haberman

20-0143239

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting Fees	\$ 1,000.	\$ 1,000	\$ 1,000.	
Total	<u>\$ 1,000</u>	<u>\$ 1,000.</u>	<u>\$ 1,000.</u>	<u>\$ 0.</u>

Statement 2
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Federal Filing fees	\$ 471	\$ 471	\$ 471.	
NYS Filing Fees	100	100.	100.	
Total	<u>\$ 571</u>	<u>\$ 571.</u>	<u>\$ 571.</u>	<u>\$ 0</u>

Statement 3
Form 990-PF, Part III, Line 3
Other Increases

Contributions Received	\$ 235,400.
Nontaxable Distributions	5,370
Realized Capital Gains	32,259
Total	<u>\$ 273,029.</u>

Statement 4
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	3650 AMERCO SERIES A 8.5% PFD	Purchased	5/18/2004	4/07/2011
2	3350 AMERCO SERIES A 8 5% PFD	Purchased	5/18/2004	6/01/2011
3	500 AMERCO SERIES A 8 5% PFD	Purchased	5/18/2004	6/01/2011
4	1000 WILLIS LEASE FINANCE CORP PFD	Purchased	Various	3/08/2011
5	150 WILLIS LEASE FINANCE CORP PFD	Purchased	Various	3/10/2011
6	531 WILLIS LEASE FINANCE CORP PFD	Purchased	Various	3/14/2011
7	469 WILLIS LEASE FINANCE CORP PFD	Purchased	Various	3/15/2011
8	800 WILLIS LEASE FINANCE CORP PFD	Purchased	Various	4/07/2011
9	200 WILLIS LEASE FINANCE CORP PFD	Purchased	Various	5/13/2011
10	700 WILLIS LEASE FINANCE CORP PFD	Purchased	Various	5/16/2011
11	600 WILLIS LEASE FINANCE CORP PFD	Purchased	Various	5/19/2011
12	579 WILLIS LEASE FINANCE CORP PFD	Purchased	Various	8/08/2011
13	700 WILLIS LEASE FINANCE CORP PFD	Purchased	Various	12/29/2011
14	2000 ZWEIG TOTAL RETURN FUND	Purchased	Various	1/11/2011
15	100 BOARDWALK PIPELINES	Purchased	8/12/2009	6/16/2011
16	62 BOARDWALK PIPELINES	Purchased	8/12/2009	6/16/2011

Haberman Foundation
c/o Ross Haberman

20-0143239

Statement 4 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
17	238 BOARDWALK PIPELINES	Purchased	8/12/2009	6/16/2011
18	500 WILLIS LEASE FINANCE CORP PFD	Purchased	3/18/2009	3/09/2011
19	200 WILLIS LEASE FINANCE CORP PFD	Purchased	5/28/2009	3/11/2011
20	800 GLADSTONE INVESTMENT CORP	Purchased	8/19/2010	8/03/2011
21	300 GLADSTONE INVESTMENT CORP	Purchased	8/19/2010	8/05/2011
22	500 GLADSTONE INVESTMENT CORP	Purchased	8/19/2010	8/05/2011
23	600 GLADSTONE INVESTMENT CORP	Purchased	8/19/2010	8/05/2011
24	800 GLADSTONE INVESTMENT CORP	Purchased	8/19/2010	10/27/2011
25	200 INERGY LP	Purchased	1/11/2010	6/17/2011
26	100 INERGY LP	Purchased	1/11/2010	6/16/2011
27	300 INERGY LP	Purchased	1/12/2010	6/16/2011
28	2000 ZWEIG TOTAL RETURN FUND	Purchased	7/12/2010	1/20/2011
29	400 ONEIDA FINANCIAL CORP	Purchased	11/08/2010	8/15/2011
30	156 ONEIDA FINANCIAL CORP	Purchased	11/15/2010	8/17/2011
31	300 ONEIDA FINANCIAL CORP	Purchased	11/16/2010	8/17/2011
32	65 ONEIDA FINANCIAL CORP	Purchased	11/18/2010	8/17/2011
33	200 GLADSTONE INVESTMENT CORP	Purchased	1/28/2011	2/01/2011
34	10 GLADSTONE INVESTMENT CORP	Purchased	1/28/2011	2/01/2011
35	100 GLADSTONE INVESTMENT CORP	Purchased	1/28/2011	2/01/2011
36	390 GLADSTONE INVESTMENT CORP	Purchased	1/28/2011	2/01/2011
37	800 ANNALY CAPITAL MANAGEMENT	Purchased	2/14/2011	2/28/2011
38	500 ANNALY CAPITAL MANAGEMENT	Purchased	2/15/2011	3/01/2011
39	300 VNB CAPITAL TRUST I	Purchased	3/08/2011	10/14/2011
40	300 VNB CAPITAL TRUST I	Purchased	3/08/2011	11/09/2011
41	400 VNB CAPITAL TRUST I	Purchased	3/09/2011	10/17/2011
42	300 VNB CAPITAL TRUST I	Purchased	3/09/2011	11/09/2011
43	500 MF GLOBAL HOLDINGS LTD	Purchased	3/10/2011	3/10/2011
44	500 MF GLOBAL HOLDINGS LTD	Purchased	3/10/2011	3/10/2011
45	800 HOVANIAN ENTERPRISES INC	Purchased	3/14/2011	3/21/2011
46	600 ELLINGTON FINANCIAL	Purchased	4/08/2011	8/05/2011
47	206 GOLUB CAPITAL BDC	Purchased	4/12/2011	10/27/2011
48	300 GOLUB CAPITAL BDC	Purchased	4/12/2011	10/27/2011
49	106 GOLUB CAPITAL BDC	Purchased	4/12/2011	10/27/2011
50	88 GOLUB CAPITAL BDC	Purchased	4/12/2011	10/27/2011
51	400 HOVANIAN ENTERPRISES INC	Purchased	5/02/2011	5/05/2011
52	800 HOVANIAN ENTERPRISES INC	Purchased	5/02/2011	5/05/2011
53	400 BOARDWALK PIPELINES	Purchased	5/04/2011	6/16/2011
54	800 GOLUB CAPITAL BDC	Purchased	5/09/2011	5/10/2011
55	100 BOARDWALK PIPELINES	Purchased	5/13/2011	6/16/2011
56	500 ELLINGTON FINANCIAL	Purchased	5/16/2011	5/23/2011
57	35 GLADSTONE INVESTMENT CORP	Purchased	5/20/2011	5/31/2011
58	700 GLADSTONE INVESTMENT CORP	Purchased	5/20/2011	5/31/2011
59	600 GOLUB CAPITAL BDC	Purchased	5/26/2011	5/26/2011
60	300 GOLUB CAPITAL BDC	Purchased	5/26/2011	5/26/2011
61	300 GOLUB CAPITAL BDC	Purchased	5/26/2011	5/26/2011
62	300 INERGY LP	Purchased	6/01/2011	6/09/2011
63	300 INERGY LP	Purchased	6/01/2011	6/09/2011
64	200 BOARDWALK PIPELINES	Purchased	6/06/2011	6/16/2011
65	338 GOLUB CAPITAL BDC	Purchased	6/09/2011	6/21/2011
66	62 GOLUB CAPITAL BDC	Purchased	6/09/2011	6/22/2011
67	84 GOLUB CAPITAL BDC	Purchased	6/09/2011	10/19/2011
68	16 GOLUB CAPITAL BDC	Purchased	6/09/2011	10/18/2011
69	645 GOLUB CAPITAL BDC	Purchased	6/17/2011	10/14/2011
70	155 GOLUB CAPITAL BDC	Purchased	6/17/2011	10/18/2011
71	400 GOLUB CAPITAL BDC	Purchased	6/21/2011	6/22/2011
72	426 GOLUB CAPITAL BDC	Purchased	6/22/2011	6/24/2011

Haberman Foundation
c/o Ross Haberman

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Statement 4 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
73	700 ANNALY CAPITAL MANAGEMENT	Purchased	7/12/2011	7/20/2011
74	100 ANNALY CAPITAL MANAGEMENT	Purchased	7/12/2011	8/11/2011
75	400 ANNALY CAPITAL MANAGEMENT	Purchased	7/12/2011	8/12/2011
76	700 ANNALY CAPITAL MANAGEMENT	Purchased	7/14/2011	8/09/2011
77	300 ANNALY CAPITAL MANAGEMENT	Purchased	7/15/2011	7/29/2011
78	300 ANNALY CAPITAL MANAGEMENT	Purchased	7/15/2011	7/29/2011
79	100 ANNALY CAPITAL MANAGEMENT	Purchased	7/15/2011	8/12/2011
80	600 GLADSTONE INVESTMENT CORP	Purchased	7/27/2011	8/02/2011
81	600 GLADSTONE INVESTMENT CORP	Purchased	7/27/2011	8/03/2011
82	700 FIFTH STREET FINANCE CORP	Purchased	7/28/2011	8/02/2011
83	600 FIFTH STREET FINANCE CORP	Purchased	7/29/2011	7/29/2011
84	600 ANNALY CAPITAL MANAGEMENT	Purchased	7/29/2011	8/11/2011
85	500 ORITANI FINANCIAL CORP	Purchased	8/03/2011	8/03/2011
86	100 GOLUB CAPITAL BDC	Purchased	8/05/2011	9/01/2011
87	800 GOLUB CAPITAL BDC	Purchased	8/05/2011	10/14/2011
88	270 GOLUB CAPITAL BDC	Purchased	8/05/2011	9/16/2011
89	230 GOLUB CAPITAL BDC	Purchased	8/05/2011	10/06/2011
90	100 GOLUB CAPITAL BDC	Purchased	8/05/2011	10/14/2011
91	466 WILLIS LEASE FINANCE CORP PFD	Purchased	8/08/2011	8/12/2011
92	13 WILLIS LEASE FINANCE CORP PFD	Purchased	8/08/2011	8/15/2011
93	600 WILLIS LEASE FINANCE CORP PFD	Purchased	8/08/2011	8/08/2011
94	21 WILLIS LEASE FINANCE CORP PFD	Purchased	8/08/2011	8/08/2011
95	100 WILLIS LEASE FINANCE CORP PFD	Purchased	8/08/2011	10/10/2011
96	1100 GLADSTONE INVESTMENT CORP	Purchased	8/08/2011	8/08/2011
97	500 GLADSTONE INVESTMENT CORP	Purchased	8/08/2011	8/08/2011
98	400 GLADSTONE INVESTMENT CORP	Purchased	8/08/2011	8/08/2011
99	100 GLADSTONE INVESTMENT CORP	Purchased	8/08/2011	8/08/2011
100	100 GOLUB CAPITAL BDC	Purchased	8/08/2011	8/08/2011
101	600 GOLUB CAPITAL BDC	Purchased	8/08/2011	8/08/2011
102	100 PEAPACK GLADSTONE FINANCIAL	Purchased	8/16/2011	12/23/2011
103	400 PEAPACK GLADSTONE FINANCIAL	Purchased	8/18/2011	12/23/2011
104	500 GLADSTONE INVESTMENT CORP	Purchased	8/22/2011	10/18/2011
105	467 GLADSTONE INVESTMENT CORP	Purchased	9/01/2011	9/13/2011
106	333 GLADSTONE INVESTMENT CORP	Purchased	9/01/2011	9/14/2011
107	600 GLADSTONE INVESTMENT CORP	Purchased	9/02/2011	9/13/2011
108	600 GLADSTONE INVESTMENT CORP	Purchased	9/02/2011	9/14/2011
109	800 GLADSTONE INVESTMENT CORP	Purchased	9/08/2011	9/13/2011
110	800 GOLUB CAPITAL BDC	Purchased	9/21/2011	9/30/2011
111	322 GOLUB CAPITAL BDC	Purchased	9/22/2011	9/26/2011
112	178 GOLUB CAPITAL BDC	Purchased	9/22/2011	9/27/2011
113	500 GOLUB CAPITAL BDC	Purchased	9/22/2011	9/29/2011
114	700 GLADSTONE INVESTMENT CORP	Purchased	9/28/2011	9/29/2011
115	703 GLADSTONE INVESTMENT CORP	Purchased	9/28/2011	9/30/2011
116	100 GLADSTONE INVESTMENT CORP	Purchased	9/28/2011	9/28/2011
117	397 GLADSTONE INVESTMENT CORP	Purchased	9/28/2011	9/30/2011
118	500 GLADSTONE INVESTMENT CORP	Purchased	9/30/2011	10/12/2011
119	600 GLADSTONE INVESTMENT CORP	Purchased	9/30/2011	10/05/2011
120	602 GLADSTONE INVESTMENT CORP	Purchased	10/03/2011	10/12/2011
121	600 GLADSTONE INVESTMENT CORP	Purchased	10/03/2011	10/12/2011
122	700 GOLUB CAPITAL BDC	Purchased	10/03/2011	10/06/2011
123	500 GOLUB CAPITAL BDC	Purchased	10/03/2011	10/04/2011
124	500 GOLUB CAPITAL BDC	Purchased	10/03/2011	10/06/2011
125	500 GLADSTONE INVESTMENT CORP	Purchased	10/03/2011	10/04/2011
126	500 GLADSTONE INVESTMENT CORP	Purchased	10/03/2011	10/12/2011
127	500 BGC PARTNERS INC CL A	Purchased	10/03/2011	10/04/2011
128	200 IF BANCORP INC	Purchased	10/03/2011	10/04/2011

Haberman Foundation
c/o Ross Haberman

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Statement 4 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
129	400 IF BANCORP INC	Purchased	10/03/2011	10/04/2011
130	673 GOLUB CAPITAL BDC	Purchased	10/04/2011	10/04/2011
131	200 CEDAR FAIR LP	Purchased	10/04/2011	10/04/2011
132	500 WILLIS LEASE FINANCE CORP PFD	Purchased	10/04/2011	10/07/2011
133	350 WILLIS LEASE FINANCE CORP PFD	Purchased	10/04/2011	10/10/2011
134	500 GOLUB CAPITAL BDC	Purchased	10/20/2011	10/21/2011
135	100 MEDLEY CAP CORP	Purchased	11/01/2011	11/07/2011
136	500 MEDLEY CAP CORP	Purchased	11/01/2011	11/03/2011
137	200 MEDLEY CAP CORP	Purchased	11/01/2011	11/07/2011
138	300 MEDLEY CAP CORP	Purchased	11/01/2011	11/07/2011
139	500 GOLUB CAPITAL BDC	Purchased	11/09/2011	11/30/2011
140	500 GOLUB CAPITAL BDC	Purchased	11/14/2011	11/30/2011
141	400 GLADSTONE INVESTMENT CORP	Purchased	11/23/2011	11/30/2011
142	400 GLADSTONE INVESTMENT CORP	Purchased	11/23/2011	11/30/2011
143	400 GOLUB CAPITAL BDC	Purchased	11/23/2011	11/28/2011
144	500 GLADSTONE INVESTMENT CORP	Purchased	11/23/2011	11/28/2011
145	500 GLADSTONE INVESTMENT CORP	Purchased	11/25/2011	11/30/2011
146	300 MEDLEY CAP CORP	Purchased	12/01/2011	12/27/2011
147	200 MEDLEY CAP CORP	Purchased	12/01/2011	12/27/2011
148	400 MEDLEY CAP CORP	Purchased	12/01/2011	12/21/2011
149	134 MEDLEY CAP CORP	Purchased	12/01/2011	12/27/2011
150	100 MEDLEY CAP CORP	Purchased	12/01/2011	12/21/2011
151	300 CHESAPEAKE GRANITE WASH	Purchased	12/09/2011	12/21/2011
152	500 MEDLEY CAP CORP	Purchased	12/13/2011	12/21/2012
153	408 WILLIS LEASE FINANCE CORP PFD	Purchased	Various	1/04/2012
154	500 GOLUB CAPITAL BDC	Purchased	8/19/2010	1/04/2012

Item	(e) Gross Sales	(f) Deprec Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
1	93,431		91,865	1,566				\$ 1,566
2	85,530		84,314	1,216				1,216
3	12,766		12,898	-132				-132
4	11,413		10,078	1,335				1,335
5	1,689		1,512	177				177
6	5,834		5,352	482				482
7	5,152		4,727	425				425
8	9,017		8,063	954				954
9	2,291		2,016	275				275
10	8,036		7,055	981				981
11	7,067		6,047	1,020				1,020
12	5,732		5,835	-103				-103
13	7,618		7,055	563				563
14	7,093		6,464	629				629
15	2,750		2,297	453				453
16	1,709		1,424	285				285
17	6,526		5,467	1,059				1,059
18	5,713		2,976	2,737				2,737
19	2,193		1,800	393				393
20	6,067		4,649	1,418				1,418
21	2,209		1,743	466				466
22	3,725		2,906	819				819
23	4,422		3,565	857				857
24	6,107		4,753	1,354				1,354
25	6,847		7,240	-393				-393

Haberman Foundation
c/o Ross Haberman

20-0143239

Statement 4 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(o) Gross Sales	(r) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
26	3,398		3,620	-222				\$ -222
27	10,195		10,860	-665				-665
28	7,013		6,096	917				917
29	3,547		2,984	563				563
30	1,384		1,193	191				191
31	2,661		2,295	366				366
32	577		504	73				73
33	1,476		1,407	69				69
34	74		70	4				4
35	738		701	37				37
36	2,878		2,738	140				140
37	14,305		14,015	290				290
38	8,968		8,747	221				221
39	7,762		7,699	63				63
40	7,830		7,699	131				131
41	10,351		10,256	95				95
42	7,830		7,692	138				138
43	4,068		4,023	45				45
44	4,103		4,023	80				80
45	3,009		2,975	34				34
46	11,689		13,519	-1,830				-1,830
47	3,281		3,254	27				27
48	4,779		4,739	40				40
49	1,687		1,674	13				13
50	1,400		1,390	10				10
51	1,129		1,246	-117				-117
52	2,272		2,493	-221				-221
53	11,026		12,051	-1,025				-1,025
54	12,761		12,527	234				234
55	2,750		2,876	-126				-126
56	11,088		10,962	126				126
57	257		246	11				11
58	5,138		4,837	301				301
59	8,987		8,800	187				187
60	4,541		4,400	141				141
61	4,548		4,400	148				148
62	10,317		10,720	-403				-403
63	10,317		10,630	-313				-313
64	5,501		5,611	-110				-110
65	4,992		5,130	-138				-138
66	916		941	-25				-25
67	1,316		1,273	43				43
68	251		243	8				8
69	9,915		9,332	583				583
70	2,427		2,243	184				184
71	5,910		5,879	31				31
72	6,302		6,167	135				135
73	12,595		12,563	32				32
74	1,798		1,802	-4				-4
75	7,236		7,208	28				28
76	12,364		12,598	-234				-234
77	5,032		5,345	-313				-313
78	4,975		5,345	-370				-370

Haberman Foundation
c/o Ross Haberman

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Statement 4 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(e) Gross Sales	(r) Deprac Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj Bas 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
79	1,809		1,782	27			\$	27
80	4,399		4,215	184				184
81	4,460		4,215	245				245
82	7,507		7,565	-58				-58
83	6,102		5,933	169				169
84	10,790		9,881	909				909
85	6,445		6,430	15				15
86	1,510		1,517	-7				-7
87	12,235		12,132	103				103
88	4,085		4,039	46				46
89	3,479		3,440	39				39
90	1,529		1,496	33				33
91	5,275		4,406	869				869
92	142		123	19				19
93	5,875		5,673	202				202
94	203		199	4				4
95	1,068		945	123				123
96	8,005		7,716	289				289
97	3,495		3,433	62				62
98	2,788		2,746	42				42
99	698		687	11				11
100	1,430		1,421	9				9
101	8,604		8,524	80				80
102	1,088		1,081	7				7
103	4,352		4,309	43				43
104	3,660		3,283	377				377
105	3,255		3,122	133				133
106	2,319		2,227	92				92
107	4,129		3,909	220				220
108	4,195		3,909	286				286
109	5,507		5,189	318				318
110	12,035		11,773	262				262
111	4,725		4,625	100				100
112	2,615		2,557	58				58
113	7,440		7,182	258				258
114	4,889		4,695	194				194
115	4,995		4,708	287				287
116	698		667	31				31
117	2,821		2,647	174				174
118	3,570		3,435	135				135
119	4,189		4,103	86				86
120	4,269		4,072	197				197
121	4,279		4,059	220				220
122	10,474		10,339	135				135
123	7,370		7,285	85				85
124	7,470		7,285	185				185
125	3,440		3,308	132				132
126	3,615		3,308	307				307
127	3,045		2,960	85				85
128	2,196		2,216	-20				-20
129	4,400		4,431	-31				-31
130	9,612		9,501	111				111
131	3,419		3,427	-8				-8

Haberman Foundation
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Statement 4 (continued)
Form 990-PF, Part IV, Line 1
Capital Gains and Losses for Tax on Investment Income

Item	(o) Gross Sales	(r) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i)-(j)	(l) Gain (Loss)
132	5,225		4,973	252				\$ 252
133	3,738		3,481	257				257
134	7,740		7,439	301				301
135	958		904	54				54
136	4,670		4,510	160				160
137	1,916		1,823	93				93
138	2,874		2,727	147				147
139	7,920		7,525	395				395
140	7,965		7,460	505				505
141	2,959		2,807	152				152
142	2,991		2,807	184				184
143	6,135		6,000	135				135
144	3,565		3,440	125				125
145	3,700		3,439	261				261
146	3,115		2,897	218				218
147	2,076		1,928	148				148
148	3,992		3,813	179				179
149	1,391		1,255	136				136
150	998		933	65				65
151	6,502		6,338	164				164
152	4,965		4,720	245				245
153	4,257		4,124	133				133
154	8,758		7,141	1,617				1,617
								Total \$ 32,259

Statement 5
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
Museum of Modern Art 11 W 53rd St New York, NY 10019	None	Active	Charitable Purposes	\$ 1,200
Temple Israel 112 E. 75th St New York, NY 10021	None	Active	Charitable Purposes	3,520
Central Synagogue 123 E 55th St New York, NY 10023	None	Active	Charitable Purposes	4,050
Columbia University 622 W 113rd Street New York, NY 10025	None	Active	Charitable Purposes	3,000

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Statement 5 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
African Wildlife Foundation 1400 16th St. NW Washington, DC 20036	None	Active	Charitable Purposes	\$ 1,000.
BY KIDS 330 West End Avenue New York, NY 10023	None	Active	Charitable Purposes	2,500
Planned Parenthood 26 Bleecker Street New York, NY 10012	None	Active	Charitable Purposes	1,000
Riverdale Country School 5250 Fieldston Road Bronx, NY 10471	None	Active	Charitable Purposes	1,250.
Central Park Medical Unit 14 E 60th Street New York, NY 10022	None	Active	Charitable Purposes	1,000
Global Camps Africa 1606 Washington Plaza Reston, VA 20190	None	Active	Charitable Purposes	1,000
Trevor Day School 4 East 90th Street New York, NY 10128	None	Active	Charitable Purposes	1,300
United Jewish Appeal 130 E. 59th St New York, NY 10022	None	Active	Charitable Purposes	1,000
Univ of Pennsylvania 3451 Walnut St Philadelphia, PA 19104	None	Active	Charitable Purposes	1,000.
World Bike Relief 1333 N Kingsbury Chicago, IL 60642	None	Active	Charitable Purposes	2,800.
Jewish Voice for Peace 1611 Telegraph Ave Oakland, CA 94612	None	Active	Charitable Purposes	1,000
The Retreat 13 Goodfriend Drive East Hampton, NY 11937	None	Active	Charitable Purposes	1,000.
Various Others Charities 737 Park Avenue New York, NY 10021	None	Active	Charitable Purposes	13,535

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Statement 5 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
Ovarian Cancer Research Fund 14 Pennsylvania Plaza, Suite 1710 New York, NY 10122	None	Active	Charitable Purposes	\$ 6,860
Southern Poverty Law Center 400 Washington Avenue Montgomery, AL 36104	None	Active	Charitable Purposes	1,000
The Ronald Lauder Foundation 767 Fifth Avenue, Suite 4200 New York, NY 10153	None	Active	Charitable Purposes	1,500.
Total				<u>\$ 50,515</u>