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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

2011

For calendar year 2011 or tax year beginning

, and ending

Name of foundation A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.		A Employer identification number 16-6063485
Number and street (or P.O. box number if mail is not delivered to street address) C/O CHEMUNG CANAL TRUST CO., -PO BOX 152	Room/suite	B Telephone number (607) 538-9248
City or town, state, and ZIP code ELMIRA, NY 14902		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☒ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 59,127,242.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		2,748.	2,748.		STATEMENT 2
4 Dividends and interest from securities		1,641,101.	1,639,997.		STATEMENT 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,245,349.			STATEMENT 1
b Gross sales price for all assets on line 6a 23,064,254.					
7 Capital gain net income (from Part IV, line 2)			1,269,052.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		8,747.	89.		STATEMENT 4
12 Total. Add lines 1 through 11		2,897,945.	2,911,886.		
13 Compensation of officers, directors, trustees, etc.		112,573.	0.		112,573.
14 Other employee salaries and wages		29,838.	0.		29,838.
15 Pension plans, employee benefits		35,504.	0.		35,504.
16a Legal fees STMT 5		38,740.	19,370.		19,370.
b Accounting fees STMT 6		35,015.	17,508.		17,507.
c Other professional fees STMT 7		414,111.	414,111.		0.
17 Interest					
18 Taxes STMT 8		50,442.	6,048.		10,894.
19 Depreciation and depletion		9,266.	0.		
20 Occupancy					
21 Travel, conferences, and meetings		50,226.	0.		50,226.
22 Printing and publications					
23 Other expenses STMT 9		31,937.	0.		30,012.
24 Total operating and administrative expenses. Add lines 13 through 23		807,652.	457,037.		305,924.
25 Contributions, gifts, grants paid		2,396,351.			2,396,351.
26 Total expenses and disbursements. Add lines 24 and 25		3,204,003.	457,037.		2,702,275.
27 Subtract line 26 from line 12		-306,058.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			2,454,849.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

				Beginning of year			End of year		
				(a) Book Value			(b) Book Value		
Assets	1	Cash - non-interest-bearing			3,527.		5,005.		5,005.
	2	Savings and temporary cash investments			2,842,154.		4,069,285.		4,069,285.
	3	Accounts receivable ▶							
		Less: allowance for doubtful accounts ▶							
	4	Pledges receivable ▶							
		Less: allowance for doubtful accounts ▶							
	5	Grants receivable							
	6	Receivables due from officers, directors, trustees, and other disqualified persons							
	7	Other notes and loans receivable ▶	75,000.						
		Less: allowance for doubtful accounts ▶	0.		75,000.		75,000.		75,000.
	8	Inventories for sale or use							
	9	Prepaid expenses and deferred charges							
	10a	Investments - U.S. and state government obligations	STMT 10		4,193,792.		4,035,894.		4,345,523.
	b	Investments - corporate stock	STMT 11		21,452,170.		20,594,190.		22,955,115.
	c	Investments - corporate bonds	STMT 12		12,125,892.		11,752,853.		12,202,433.
Liabilities	11	Investments - land, buildings, and equipment: basis ▶							
		Less: accumulated depreciation ▶							
	12	Investments - mortgage loans							
	13	Investments - other	STMT 13		16,397,823.		16,256,993.		15,449,575.
	14	Land, buildings, and equipment: basis ▶	74,100.						
		Less: accumulated depreciation ▶	48,794.		34,099.		25,306.		25,306.
	15	Other assets (describe ▶)							
	16	Total assets (to be completed by all filers)			57,124,457.		56,814,526.		59,127,242.
	17	Accounts payable and accrued expenses							
	18	Grants payable							
Net Assets or Fund Balances	19	Deferred revenue							
	20	Loans from officers, directors, trustees, and other disqualified persons							
	21	Mortgages and other notes payable							
	22	Other liabilities (describe ▶)	STATEMENT 14)		6,776.		2,903.		
	23	Total liabilities (add lines 17 through 22)			6,776.		2,903.		
		Foundations that follow SFAS 117, check here ▶ ☐							
	24	Unrestricted							
	25	Temporarily restricted							
	26	Permanently restricted							
		Foundations that do not follow SFAS 117, check here ▶ ☒							
	27	Capital stock, trust principal, or current funds			57,117,681.		56,811,623.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			0.		0.		
	29	Retained earnings, accumulated income, endowment, or other funds			0.		0.		
	30	Total net assets or fund balances			57,117,681.		56,811,623.		
	31	Total liabilities and net assets/fund balances			57,124,457.		56,814,526.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	57,117,681.
2	Enter amount from Part I, line 27a	2	-306,058.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	56,811,623.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	56,811,623.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF SECURITIES	P	VARIOUS	VARIOUS
b SALE OF SECURITIES	P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,329,047.		9,583,886.	-254,839.
b 13,722,998.		12,211,316.	1,511,682.
c 12,209.			12,209.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-254,839.
b			1,511,682.
c			12,209.
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,269,052.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	3,061,827.	58,095,631.	.052703
2009	3,198,557.	53,134,255.	.060198
2008	3,559,475.	64,899,414.	.054846
2007	3,165,097.	71,988,111.	.043967
2006	2,696,981.	66,587,317.	.040503

2 Total of line 1, column (d)	2	.252217
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050443
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	61,267,215.
5 Multiply line 4 by line 3	5	3,090,502.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	24,548.
7 Add lines 5 and 6	7	3,115,050.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	2,702,275.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	49,097.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	49,097.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	49,097.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	43,520.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	14,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	57,520.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	79.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,344.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTP://WWW.THEOCONNORFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>CHEMUNG CANAL TRUST COMPANY, TRUST</u> Telephone no ► <u>607-737-3896</u> Located at ► <u>PO BOX 1522 - TRUST TAX DEPARTMENT, ELMIRA, NY</u> ZIP+4 ► <u>14902</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ► <u>OTHER COUNTRY</u>	16	X	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☒

5b X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE STATEMENT 16

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		112,573.	28,143.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

☒ 0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

(continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

[illegible]**Total number of others receiving over \$50,000 for professional services**

C

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	
2		
All other program-related investments See instructions		
3		

All other program-related investments See instructions

Total. Add lines 1 through 3

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	59,088,022.
b	Average of monthly cash balances	1b	3,112,196.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	62,200,218.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	62,200,218.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	933,003.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	61,267,215.
6	Minimum investment return. Enter 5% of line 5	6	3,063,361.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,063,361.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	49,097.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	49,097.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,014,264.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,014,264.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,014,264.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,702,275.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,702,275.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,702,275.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				3,014,264.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			1,335,911.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 2,702,275.				
a Applied to 2010, but not more than line 2a			1,335,911.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				1,366,364.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				1,647,900.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(i)(3) or ☐ 4942(i)(5)

- b 85% of line 2a

- c**
- Qualifying distributions from Part XII,
-
- line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

- a "Assets" alternative test - enter
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)**

- b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income

at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed

SEE ATTACHED GRANT APPLICATION NOTES

- b** The form in which applications should be submitted and information and materials they should include

SEE ATTACHED APPLICATION FORM

- c Any submission deadlines**

SEE ATTACHED GRANT APPLICATION NOTES

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED GRANT APPLICATION NOTES

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE				2,396,351.
Total			3a	2,396,351.
b Approved for future payment				
SEE ATTACHED SCHEDULE				1,798,346.
Total			3b	1,798,346.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

- ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

D. J. F. Bulfinch 11/15/12 *Chair*

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr.)?
☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name CATHERINE A. MALIWACKI, CPA	Preparer's signature CATHERINE A. MALI	Date 11/08/12	Check ☐ if self-employed	PTIN P00051450
	Firm's name ▶ DAVIDSON, FOX & COMPANY, LLP			Firm's EIN ▶ 15-0544726	
	Firm's address ▶ 53 CHENANGO STREET BINGHAMTON, NY 13901			Phone no (607) 722-5386	

Form 990-PF (2011)

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	(F) DATE SOLD VARIOUS
SALE OF SECURITIES	9,329,047.	9,583,886.	0.	0.	-254,839.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED PURCHASED	(F) DATE ACQUIRED VARIOUS	(F) DATE SOLD VARIOUS
SALE OF SECURITIES	13,722,998.	12,235,019.	0.	0.	1,487,979.	

CAPITAL GAINS DIVIDENDS FROM PART IV	12,209.
TOTAL TO FORM 990-PF, PART I, LINE 6A	1,245,349.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	AMOUNT
INTEREST INCOME-CASH EQUIVALENTS	2,748.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	2,748.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	3
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDEND INCOME	799,195.	12,209.	786,986.
INTEREST INCOME	828,495.	0.	828,495.
INTEREST INCOME-OID	15,423.	0.	15,423.
INTEREST INCOME-US TIPS	10,197.	0.	10,197.
TOTAL TO FM 990-PF, PART I, LN 4	1,653,310.	12,209.	1,641,101.

FORM 990-PF	OTHER INCOME	STATEMENT	4
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CLASS ACTION PROCEEDS	89.	89.	
MISCELLANEOUS COST ADJUSTMENT FOR BOOK	8,658.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	8,747.	89.	

FORM 990-PF	LEGAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	38,740.	19,370.		19,370.
TO FM 990-PF, PG 1, LN 16A	38,740.	19,370.		19,370.

FORM 990-PF	ACCOUNTING FEES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	35,015.	17,508.		17,507.
TO FORM 990-PF, PG 1, LN 16B	35,015.	17,508.		17,507.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	388,970.	388,970.		0.
OTHER PROFESSIONAL FEES	25,141.	25,141.		0.
TO FORM 990-PF, PG 1, LN 16C	414,111.	414,111.		0.

FORM 990-PF	TAXES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	10,894.	0.		10,894.
FOREIGN TAXES	6,048.	6,048.		0.
EXCISE TAXES	33,500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	50,442.	6,048.		10,894.

FORM 990-PF	OTHER EXPENSES		STATEMENT		9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	7,529.	0.		7,529.	
POSTAGE	968.	0.		968.	
NYS FILING FEE	1,500.	0.		1,500.	
OFFICE SUPPLIES	2,101.	0.		2,101.	
TELEPHONE	7,696.	0.		7,696.	
DUES & SUBSCRIPTIONS	2,060.	0.		2,060.	
MISCELLANEOUS EXPENSE	2,504.	0.		2,504.	
REPAIRS & MAINTENANCE	695.	0.		695.	
INTERNET EXPENSE	938.	0.		938.	
COMPUTER EXPENSE	2,447.	0.		2,447.	
LEASE EXPENSE	100.	0.		100.	
CERTIFICATION FEE	1,474.	0.		1,474.	
AMORTIZATION	1,925.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	31,937.	0.		30,012.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT		10
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
US OBLIGATIONS-SEE SCHEDULE	X		4,035,894.	4,345,523.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			4,035,894.	4,345,523.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS					
TOTAL TO FORM 990-PF, PART II, LINE 10A			4,035,894.	4,345,523.	

FORM 990-PF	CORPORATE STOCK		STATEMENT		11
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE	
CORPORATE STOCK-SEE SCHEDULE			20,594,190.	22,955,115.	
TOTAL TO FORM 990-PF, PART II, LINE 10B			20,594,190.	22,955,115.	

FORM 990-PF	CORPORATE BONDS	STATEMENT 12
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS-SEE SCHEDULE	11,752,853.	12,202,433.
TOTAL TO FORM 990-PF, PART II, LINE 10C	11,752,853.	12,202,433.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	13
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUND-SEE SCHEDULE	COST	15,373,809.	14,570,332.
LIMITED PARTNERSHIP - SEE SCHEDULE	COST	325,000.	324,564.
ALTERNATIVE INVESTMENTS - SEE SCHEDULE	COST	515,235.	522,144.
OPTIONS	COST	42,949.	32,535.
TOTAL TO FORM 990-PF, PART II, LINE 13		16,256,993.	15,449,575.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 14
DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL LIABILITIES	566.	646.
CREDIT CARD LIABILITIES	6,210.	2,257.
TOTAL TO FORM 990-PF, PART II, LINE 22	6,776.	2,903.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DONALD F. BISHOP II HOBART, NY 13788	CHAIR/EXEC DIR 35.00	92,036.	23,009.	0.
CHARLOTTE BISHOP HILL DELHI, NY 13753	VICE-CHAIR 21.00	20,537.	5,134.	0.
WILLIAM MURPHY NEW YORK, NY 10005	DIRECTOR 0.00	0.	0.	0.
PAMELA HILL DELHI, NY 13753	EXEC SECR/TREAS 0.00	0.	0.	0.
SUZANNE HILL DELHI, NY 13753	DIRECTOR 0.00	0.	0.	0.
EUGENE PECKHAM BINGHAMTON, NY 13901	DIRECTOR 0.00	0.	0.	0.
AMY BISHOP SOMMERVILLE, MA 02144	DIRECTOR 0.00	0.	0.	0.
LINDSAY BISHOP DELANCEY, NY 13752	DIRECTOR 0.00	0.	0.	0.
ROBERT L. BISHOP, II DELANCEY, NY 13752	DIRECTOR 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		112,573.	28,143.	0.

FORM 990-PF

EXPENDITURE RESPONSIBILITY STATEMENT
PART VII-B, LINE 5C

STATEMENT 16

GRANTEE'S NAME

ROTARY CLUB OF DELHI-OUR PEOPLE CARE

GRANTEE'S ADDRESS597 ANDES RD
DELHI, NY 13753

<u>GRANT AMOUNT</u>	<u>DATE OF GRANT</u>	<u>AMOUNT EXPENDED</u>
1,667.	05/18/09	

PURPOSE OF GRANTTO PROVIDE A GRANT FOR THE JOINT "AFTER PROM PARTY" FOR DELAWARE ACADEMY
AND ANDES CENTRAL SCHOOL IN DELHI, NYANY DIVERSION BY GRANTEE

NONE

RESULTS OF VERIFICATION

FUNDS WERE USED FOR ORIGINAL GRANT PUPOSES.

Depreciation and Amortization 990PF
(Including Information on Listed Property)

▶ See separate instructions.

▶ Attach to your tax return.

Name(s) shown on return

A. LINDSAY & OLIVE B. O'CONNOR
FOUNDATION, INC.

Business or activity to which this form relates

Identifying number

FORM 990-PF PAGE 1

16-6063485

Part I Election To Expense Certain Property Under Section 179 Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2010 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2012. Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	8,370.

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2011	17	896.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B - Assets Placed in Service During 2011 Tax Year Using the General Depreciation System

	(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a	3-year property						
b	5-year property						
c	7-year property						
d	10-year property						
e	15-year property						
f	20-year property						
g	25-year property			25 yrs.		S/L	
h	Residential rental property	/		27.5 yrs.	MM	S/L	
		/		27.5 yrs.	MM	S/L	
i	Nonresidential real property	/		39 yrs.	MM	S/L	
		/			MM	S/L	

Section C - Assets Placed in Service During 2011 Tax Year Using the Alternative Depreciation System

20a	Class life					S/L	
b	12-year			12 yrs.		S/L	
c	40-year	/		40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	9,266.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

**A. LINDSAY & OLIVE B. O'CONNOR
FOUNDATION, INC.**

Form 4562 (2011)

16-6063485 Page 2

Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable

Section A - Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
--	-------------------------------------	--	-------------------------------	--	---------------------------	------------------------------	----------------------------------	---------------------------------------

25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use

25

26 Property used more than 50% in a qualified business use:

		%						
		%						
		%						

27 Property used 50% or less in a qualified business use:

		%			S/L -		
		%			S/L -		
		%			S/L -		

28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1

28

29 Add amounts in column (i), line 26. Enter here and on line 7, page 1

29

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person.

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (do not include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year. Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons.

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
-----------------------------	------------------------------------	------------------------------	------------------------	---	--------------------------------------

42 Amortization of costs that begins during your 2011 tax year:

--	--	--	--	--	--

43 Amortization of costs that began before your 2011 tax year

43

1,925.

44 Total. Add amounts in column (f). See the instructions for where to report

44

1,925.

THE A LINDSAY & OLIVE B. O'CONNOR FOUNDATION INC
FORM 990PF SUPPORTING SCHEDULE
PART I, LINE 6a- GAIN/LOSS
2011
16-6063485

Page 1 of 1

	PROCEEDS OF SALE	COST	GAIN OR LOSS
SHORT TERM			
Chemung Canal Trust			
Hospital Account	250,170	285,167	(34,997)
Custody Account	3,651,828	3,866,088	(214,260)
Merrill Lynch			
Account D02	125,344	130,596	(5,252)
Account E33	5,555	5,342	213
Account E37	44,325	43,345	980
Account E38	4,905,045	4,906,568	(1,523)
Account E38	346,780	346,780	-
Total Merrill Lynch	5,427,049	5,432,631	(5,582)
Total Short Term	9,329,047	9,583,886	(254,839)
LONG TERM			
Chemung Canal Trust			
Hospital Account	728,972	757,321	(28,349)
Custody Account	9,023,370	8,022,463	1,000,907
Merrill Lynch			
Account C78	80,548	82,633	(2,085)
Account E37	202,110	207,038	(4,928)
Account E38	3,182,236	2,664,774	517,462
Account E38	505,762	500,790	4,972
Total Merrill Lynch	3,970,656	3,455,235	515,421
Total Long Term	13,722,998	12,235,019	1,487,979
CAPITAL GAIN/LOSS FROM INVESTMENTS	23,052,045	21,818,905	1,233,140
CAPITAL GAINS DIVIDENDS	12,209	-	12,209
	23,064,254	21,818,905	1,245,349

The A Lindsay & Olive B.O'Connor Foundation Inc
FORM 990PF SUPPORTING SCHEDULE

2011

16-6063485

Page 1 of 76

PART II, LINE 10 & 13

	US GOVERNMENT		CORPORATE STOCK		CORPORATE BONDS	
	COST	MARKET	COST	MARKET	COST	MARKET
Custody Account	1,163,794	1,320,957	9,893,816	11,692,522	9,802,303	10,170,339
Hospital Account	-	-	1,700,101	1,986,062	652,259	675,308
Account E37	-	-	-	-	1,298,291	1,356,786
Account E38	2,872,100	3,024,566	9,000,273	9,276,531		
	4,035,894	4,345,523	20,594,190	22,955,115	11,752,853	12,202,433

CHEMUNG CANAL TRUST

MERRILL LYNCH

PART II, LINE 13

	MUTUAL FUNDS		LIMITED PARTNERSHIP		ALTERNATIVE INVESTMENTS		Options	
	COST	MARKET	COST	MARKET	COST	MARKET	COST	MARKET
Custody Account	11,463,871	10,944,559	325,000	324,564	-	-	-	-
Hospital Account	281,165	267,052	-	-	-	-	-	-
Account C78	-	-	-	-	515,235	522,144	-	-
Account D02	243,957	201,072	-	-	-	-	-	-
Account 02027	-	-	-	-	-	-	42,949	32,535
Account E33	588,893	492,604	-	-	-	-	-	-
Account E38	2,795,923	2,665,045	-	-	-	-	-	-
	15,373,809	14,570,332	325,000	324,564	515,235	522,144	42,949	32,535

CHEMUNG CANAL TRUST

MERRILL LYNCH

pg 4

pg 13

Account C78

Account D02

Account 02027

Account E33

Account E38

pg 17

pg 21



Chemung Canal Trust Company

2

Account Number: Custody
Statement Period: December 01, 2011 Through December 31, 2011

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Fixed Income						
Corporate						
ABBOTT LABORATORIES DTD 3/3/2009	AA	400,000.000	464,456.00	116.11	20,500.00	4.41
F/C 10/1/2009 5.125% 04/01/2019			409,573.00	102.39	5,125.00	2.75
AMERICAN EXPRESS DTD 07/24/2003	BBB+	400,000.000	418,292.00	104.57	19,500.00	4.66
4.875% 07/15/2013			425,209.00	106.30	8,991.67	1.86
BELLSOUTH CORP DTD 12/22/2004	A-	300,000.000	342,903.00	114.30	15,600.00	4.55
F/C 06/15/2005 5.2% 12/15/2016			299,634.00	99.88	693.33	2.19
HSBC USA INC 0% 04/30/2013		475,000.000	476,140.00			
			475,015.00			



Chemung Canal Trust Company

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Account Number: Custody

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Corporate						
CAMPBELL SOUP CO DTD 7/1/2009 F/C 2/15/2010 3.375% 08/15/2014	A-	400,000.000	424,320.00 424,879.00	106.08 106.22	13,500.00 5,100.00	3.18 1.05
CONOCOPHILLIPS DTD 05/21/2009 F/C 01/15/2010 4.6% 01/15/2015	A	400,000.000	442,396.00 429,416.00	110.60 107.35	18,400.00 8,484.44	4.16 1.10
CORNELL UNIVERSITY DTD 4/2/2009 F/C 8/1/2009 4.35% 02/01/2014	AA	300,000.000	321,870.00 302,082.00	107.29 100.69	13,050.00 5,437.50	4.05 0.81
DELL INC DTD 09/10/2010 FC 3/10/2011 2.3% 09/10/2015	A-	400,000.000	408,704.00 402,955.00	102.18 100.74	9,200.00 2,836.67	2.25 1.74
GENERAL ELECTRIC CAP CORP DTD 02/13/2007 5.4% 02/15/2017	AA+	400,000.000	444,424.00 444,655.00	111.11 111.16	21,600.00 8,160.00	4.86 3.15
GOLDMAN SACHS GROUP INC DTD 01/12/2005 5.125% 01/15/2015	A-	400,000.000	408,844.00 432,951.00	102.21 108.24	20,500.00 9,452.78	5.01 4.24
HOME DEPOT INC DTD 03/24/2006 F/C 09/01/2006 5.4% 03/01/2016	A-	300,000.000	346,491.00 332,364.00	115.50 110.79	16,200.00 5,400.00	4.68 1.54
INTERNATIONAL BUSINESS MACHINES CORP DTD 10/15/2008 F/C 04/15/2009 6.5% 10/15/2013	A+	300,000.000	330,840.00 309,534.00	110.28 103.18	19,500.00 4,116.67	5.89 0.71
JP MORGAN CHASE DTD 05/18/2009 F/C 12/01/2009 4.65% 06/01/2014	A	400,000.000	422,356.00 405,755.00	105.59 101.44	18,600.00 1,550.00	4.40 2.40
JOHNS HOPKINS UNIVERSITY DTD 3/26/2009 F/C 7/1/2009 5.25% 07/01/2019	AA	200,000.000	237,814.00 199,875.00	118.91 99.94	10,500.00 5,250.00	4.42 2.54
MERRILL LYNCH DTD 11/22/2004 F/C 01/15/2005 5% 01/15/2015	A-	300,000.000	289,002.00 323,085.00	96.33 107.70	15,000.00 6,916.67	5.19 6.39
MICROSOFT CORP DTD 5/18/2009 F/C 12/1/2009 2.95% 06/01/2014	AAA	300,000.000	318,681.00 309,255.00	106.23 103.09	8,850.00 737.50	2.78 0.37
MORGAN STANLEY DTD 02/26/03 F/C 09/01/03 5.3% 03/01/2013	A-	400,000.000	404,896.00 412,478.00	101.22 103.12	21,200.00 7,066.67	5.24 4.22
NOVARTIS CAPITAL CORP DTD 02/10/2009 F/C 08/10/2009 4.125% 02/10/2014	AA-	300,000.000	320,976.00 309,924.00	106.99 103.31	12,375.00 4,846.88	3.86 0.80
ORACLE CORP DTD 01/13/2006 5.25% 01/15/2016	A	400,000.000	461,880.00 386,731.00	115.47 96.68	21,000.00 9,683.33	4.55 1.36
PITNEY BOWES INC DTD 03/22/2005 F/C 09/15/2005 5% 03/15/2015	BBB+	400,000.000	423,096.00 418,739.00	105.77 104.68	20,000.00 5,888.89	4.73 3.14
PROCTER & GAMBLE CO DTD 2/6/2009 F/C 8/15/2009 4.7% 02/15/2019	AA-	400,000.000	472,060.00 409,910.00	118.02 102.48	18,800.00 7,102.22	3.98 2.06
PRUDENTIAL FINANCIAL INC DTD 9/15/2009 F/C 3/17/2010 3.625% 09/17/2012	A	400,000.000	406,200.00 405,332.00	101.55 101.33	14,500.00 4,188.89	3.57 1.43
SHELL INTERNATIONAL FIN DTD 3/23/2009 F/C 9/21/2009 4% 03/21/2014	AA	400,000.000	429,936.00 408,823.65	107.48 102.21	16,000.00 4,444.44	3.72 0.71



Chemung Canal Trust Company

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Account Number: Custody

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Corporate						
THERMO FISHER SCIENTIFIC DTD 02/22/2011 FC 09/01/2011 3.2% 03/01/2016	A	400,000.00	422,532.00 420,111.00	105.63 105.03	12,800.00 4,266.67	3.03 1.84
UNITED PARCEL SERVICE DTD 01/15/2008 4.5% 01/15/2013	AA-	300,000.00	312,354.00 300,270.00	104.12 100.09	13,500.00 6,225.00	4.32 0.53
VERIZON COMMUNICATIONS INC DTD 03/28/11 F/C 10/01/11 3% 04/01/2016	A-	400,000.00	418,876.00 403,747.00	104.72 100.94	12,000.00 3,000.00	2.86 1.89
** Total Corporate		Sub- Total	10,170,339.00 9,802,302.65		402,675.00 134,965.22	4.15
US BONDS						
US TREASURY N/B DTD 05/15/2007 F/C 11/15/2007 4.5% 05/15/2017	NR	400,000.00	474,408.00 389,921.88	118.60 97.48	18,000.00 2,324.18	3.79 0.99
US TSY INFL IX N/B DTD 1/15/2009 F/C 7/15/2009 2.125% 01/15/2019	NR	314,928.00	376,067.50 323,126.71	118.86 102.60	6,692.22 3,091.51	1.78
FEDERAL HOME LOAN BANK DTD 08/07/2006 F/C 03/13/2007 BOOK ENTRY 5.25% 09/13/2013	AA+	200,000.00	216,266.00 200,730.00	108.13 100.37	10,500.00 3,150.00	4.86 0.44
NEW JERSEY ECONOMIC DEV AUTH REV REF TAXABLE SCH FACS DTD 02/22/2011 FC 09/01/2011 BOOK ENTRY ST APPROP 1.02% 09/01/2013	A+	250,000.00	254,215.00 250,015.00	101.69 100.01	7,755.00 2,585.00	3.05 2.06
Total US Bonds		Sub- Total	1,320,956.50 1,163,793.59		7,755.00 2,585.00	3.05



Chemung Canal Trust Company

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Account Number: Custody

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
AT&T INC	T	980 000	29,635 20 28,155 32	30 24 28 73	1,724 80	5 82
ABBOTT LAB	ABT	530 000	29,801 90 26,353 35	56 23 49 72	1,017 60	3 41
AMERICAN ELEC POWER	AEP	770 000	31,808 70 26,162 31	41 31 33 98	1,447 60	4 55
AMERICAN TOWER CORP-CL A	AMT	3,490 000	209,434.90 146,957.32	60 01 42.11		
AMERICAN WATER WORKS	AWK	3,865 000	123,138 90 111,709 18	31 86 28 90	3,555 80	2 89
AMERIPRISE FINANCIAL INC	AMP	3,560 000	176,718 40 122,433.26	49 64 34 39	3,987 20	2 26
AMETEK INC	AME	2,092 000	88,073 20 55,238 10	42 10 26 40	502 08	0.57
AMGEN	AMGN	1,330,000	85,399 30 67,408 24	64 21 50 68	1,915 20	2 24
ANADARKO PETROLEUM CORP	APC	2,995 000	228,608 35 161,185 69	76 33 53 82	1,078 20	0.47
APPLE INC	AAPL	1,495 000	605,475 00 195,752 11	405.00 130 94		
ARCHER DANIELS MID CO	ADM	2,480,000	70,928.00 71,106 58	28 60 28 67	1,736 00	2 45
AUTOMATIC DATA PROCESSING	ADP	490 000	26,464 90 24,799 65	54 01 50 61	774.20 193 55	2 93
BALL CORP	BLL	1,095 000	39,102 45 20,681 66	35 71 18 89	306 60	0 78
BANK OF NEW YORK MELLON CORP	BK	4,800 000	95,568 00 135,719 99	19 91 28.27	2,496.00	2 61
BED BATH & BEYOND INC	BBBY	995,000	57,680 15 58,517 89	57 97 58 81		
BLACKROCK INC-CLASS A	BLK	140 000	24,953 60 25,231 19	178 24 180 22	770 00	3 09
BORGWARNER INC		740 000	47,167 60 35,544 71	63 74 48 03	355 20	0.75
CARDINAL HEALTH INC	CAH	2,595 000	105,382 95 100,060 24	40 61 38 56	2,231 70 557 93	2.12
CAREFUSION CORPORATION W/I	CFN	1,625 000	41,291 25 48,428 03	25 41 29 80		
CELGENE CORP	CELG	2,085 000	140,946 00 109,482 80	67 60 52 51		



Chemung Canal Trust Company

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Account Number: Custody

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
CHEVRON CORPORATION	CVX	2,440,000	259,616.00 163,018.77	106.40 66.81	7,905.60	3.05
CITIGROUP INC	C	3,549,000	93,374.19 138,688.04	26.31 39.08	141.96	0.15
COACH INC	COH	850,000	51,884.00 55,581.13	61.04 65.39	765.00 135.00	1.47
COLGATE PALMOLIVE	CL	255,000	23,559.45 20,796.09	92.39 81.55	591.60	2.51
CONCHO RESOURCES INC	CXO	150,000	14,062.50 14,419.28	93.75 96.13		
CONOCOPHILLIPS	COP	425,000	30,969.75 29,747.08	72.87 69.99	1,122.00	3.62
CORN PRODUCTS INTERNATIONAL INC	CPO	1,110,000	58,374.90 52,684.26	52.59 47.46	888.00 222.00	1.52
COSTCO	COST	1,205,000	100,400.60 96,482.30	83.32 80.07	1,156.80	1.15
DARDEN RESTAURANTS INC COM	DRI	565,000	25,752.70 26,399.86	45.58 46.73	971.80	3.77
DICKS SPORTING GOODS INC	DKS	1,510,000	55,688.80 45,466.73	36.88 30.11	755.00	1.36
DISCOVER FINANCIAL SERVICES	DFS	7,190,000	172,560.00 137,767.95	24.00 19.16	2,876.00 719.00	1.67
DOVER	DOV	1,150,000	66,757.50 75,201.76	58.05 65.39	1,449.00	2.17
EMC CORP	EMC	6,510,000	140,225.40 117,853.43	21.54 18.10		
EOG RESOURCES INC	EOG	1,210,000	119,197.10 113,739.15	98.51 94.00	774.40	0.65
EBAY INC	EBAY	2,440,000	74,005.20 57,291.62	30.33 23.48		
ECOLAB INC	ECL	1,150,000	66,481.50 59,414.24	57.81 51.66	920.00 230.00	1.38
EDISON INTERNATIONAL	EIX	2,545,000	105,363.00 95,141.20	41.40 37.38	3,308.50 827.13	3.14
EDWARDS LIFESCIENCES CORP	EW	495,000	34,996.50 27,907.79	70.70 56.38		
EXPRESS SCRIPTS INC-COMMON	ESRX	3,505,000	156,638.45 93,462.28	44.69 26.67		
EXXON MOBIL CORP	XOM	2,332,000	197,660.32 173,165.41	84.76 74.26	4,384.16	2.22



Chemung Canal Trust Company

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Account Number. Custody

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
FREEMONT MCMORAN COPPER & GOLD INC CLASS B	FCX	2,115 000	77,810 85 99,110 87	36 79 46 86	2,115 00	2 72
GENERAL ELECTRIC	GE	5,970 000	106,922 70 127,198 65	17 91 21 31	4,059 60 1,014 90	3 80
GENERAL MOTORS CO	GMUS	1,685 000	34,154 95 39,710 17	20 27 23 57		
GILEAD SCIENCES INC	GILD	1,455 000	59,553 15 38,849 81	40 93 26 70		
GOOGLE INC-CL A	GOOG	120 000	77,508 00 65,010 89	645 90 541 76		
HALLIBURTON CO	HAL	1,205 000	41,584 55 58,610 23	34 51 48 64	433 80	1 04
HEWLETT-PACKARD	HPQ	1,680 000	43,276 80 58,889 53	25 76 35 05	806 40 201 60	1 86
HOME DEPOT INC	HD	2,695 000	113,297 80 78,130 10	42 04 28 99	3,126 20	2 76
IDEX CORPORATION	IEX	2,455 000	91,105 05 80,817 28	37 11 32 92	1,669 40	1 83
INTEL CORP	INTC	10,280 000	249,290 00 227,226 95	24 25 22 10	8,635 20	3 46
INTERNATIONAL BUSINESS MACHINES	IBM	610 000	112,166 80 76,869 69	183 88 126 02	1,830 00	1 63
JP MORGAN CHASE & COMPANY	JPM	4,980 000	165,585 00 110,911 58	33 25 22 27	4,980 00	3 01
JOHNSON & JOHNSON	JNJ	1,590 000	104,272 20 97,733 96	65 58 61 47	3,625 20	3 48
KELLOGG	K	3,100 000	156,767 00 166,444 94	50 57 53 69	5,332 00	3 40
KRAFT FOODS INC - A	KFT	2,535 000	94,707 60 85,362 74	37 36 33 67	2,940 60 735 15	3 10
ELI LILLY & CO	LLY	1,220 000	50,703 20 46,105 01	41 56 37 79	2,391 20	4 72
LIMITED BRANDS INC	LTD	2,715 000	109,550 25 58,594 95	40 35 21 58	2,172 00	1 98
M&T BANK CORP	MTB	235 000	17,939 90 17,447 30	76 34 74 24	658 00	3 67
MACYS INC	M	1,205 000	38,776 90 39,482 36	32 18 32 77	482 00 120 50	1 24
MARATHON OIL CORP	MRO	365 000	10,683 55 10,830 95	29 27 29 67	219 00	2 05



Chemung Canal Trust Company

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Account Number Custody

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
MCDONALDS CORP	MCD	2,625 000	263,366.25 140,944.69	100.33 53.69	7,350.00	2.79
MCKESSON CORPORATION	MCK	1,090 000	84,921.90 71,725.39	77.91 65.80	872.00 218.00	1.03
MERCK & CO INC	MRK	2,945 000	111,026.50 103,970.95	37.70 35.30	4,947.60 1,236.90	4.46
METLIFE	MET	3,240 000	101,023.20 127,334.96	31.18 39.30	2,397.60	2.37
METTLER-TOLEDO INTERNATIONAL INC		295 000	43,574.45 23,506.64	147.71 79.68		
MICROSOFT	MSFT	7,770 000	201,709.20 197,469.04	25.96 25.41	6,216.00	3.08
MONSANTO CO	MON	835 000	58,508.45 50,953.74	70.07 61.02	1,002.00	1.71
MOSAIC CO/THE	MOS	1,170 000	59,003.10 71,876.33	50.43 61.43	234.00	0.40
NATIONAL OILWELL VARCO INC	NOV	1,320 000	89,746.80 73,218.90	67.99 55.47	633.60	0.71
NAVISTAR INTERNATIONAL	NAV	1,810 000	68,562.80 100,331.42	37.88 55.43		
NEWS CORP INC CL A	NWSA	3,440 000	61,369.60 58,913.61	17.84 17.13	653.60	1.07
NEXTERA ENERGY, INC	NEE	2,390 000	145,503.20 126,157.68	60.88 52.79	5,258.00	3.61
NIKE INC CL B	NKE	1,255 000	120,944.35 86,184.58	96.37 68.67	1,807.20 451.80	1.49
NORDSTROM INC	JWN	975 000	48,467.25 35,529.94	49.71 36.44	897.00	1.85
NORFOLK SOUTHERN CORP	NSC	3,960 000	288,525.60 201,474.37	72.86 50.88	6,811.20	2.36
OCCIDENTAL PETROLEUM	OXY	2,585 000	242,214.50 190,021.77	93.70 73.51	4,756.40 1,189.10	1.96
OIL STATES INTERNATIONAL INC	OIS	570 000	43,530.90 44,169.98	76.37 77.49		
ORACLE	ORCL	7,885 000	202,250.25 187,001.29	25.65 23.72	1,892.40	0.94
PNC FINANCIAL CORP	PNC	1,105 000	63,725.35 75,799.94	57.67 68.60	1,547.00	2.43
PARKER HANNIFIN CORP	PH	1,410 000	107,512.50 102,090.74	76.25 72.40	2,086.80	1.94



Chemung Canal Trust Company

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Account Number. Custody

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
PEABODY ENERGY CORPORATION	BTU	685 000	22,680 35 29,243 73	33.11 42 69	232 90	1 03
PEOPLE'S UNITED FINANCIAL	PBCT	1,685 000	21,652.25 21,699.42	12 85 12 88	1,061 55	4 90
PEPSICO	PEP	2,150 000	142,652.50 136,773.37	66 35 63 62	4,429 00 1,107 25	3 10
PFIZER	PFE	8,635 000	186,861.40 153,150 59	21 64 17 74	7,598 80	4 07
PLAINS EXPLORATION & PRODUCT	PXP	1,795 000	65,912 40 61,305 41	36 72 34 15		
T ROWE PRICE GROUP INC	TROW	3,650 000	207,867 50 205,952 21	56 95 56 43	4,526 00	2 18
PROCTER & GAMBLE	PG	2,550 000	170,110 50 157,933 40	66 71 61 93	5,355 00	3 15
PRUDENTIAL FINANCIAL INC	PRU	2,120 000	106,254 40 121,068.38	50 12 57 11	3,074 00	2.89
QUALCOMM INC	QCOM	3,735 000	204,304 50 168,825 77	54.70 45 20	3,212 10	1 57
ROCKWELL COLLINS	COL	140 000	7,751.80 8,442.80	55 37 60 31	134 40	1 73
ROPER INDUSTRIES INC	ROP	970 000	84,263 90 56,619 15	86 87 58 37	533 50	0 63
SPX CORP	SPW	1,685 000	101,554 95 124,183 83	60.27 73.70	1,685 00 421 25	1 66
ST JUDE MEDICAL INC	STJ	1,490 000	51,107.00 68,368 97	34 30 45 89	1,251.60 312.90	2 45
SCHLUMBERGER LTD	SLB	1,980 000	135,253.80 126,531.57	68.31 63 90	1,980 00 495 00	1 46
SKYWORKS SOLUTIONS INC	SWKS	2,660 000	43,145 20 71,118 17	16 22 26 74		
SOLERA HOLDINGS INC	SLH	590 000	26,278.60 25,246 55	44.54 42 79	236 00	0.90
SPECTRA ENERGY CORP	SE	2,230 000	68,572 50 50,854 13	30.75 22 80	2,497 60	3 64
SPRINT NEXTEL CORP	FON	7,965 000	18,638 10 27,230 80	2 34 3 42	796 50	4 27
STARWOOD HOTELS & RESORTS	HOT	1,580 000	75,792 60 94,157 20	47.97 59 59	790 00	1 04
STERICYCLE INC	SRCL	560 000	43,635.20 25,571 66	77 92 45 66		



Chemung Canal Trust Company

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Account Number: Custody

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
TJX	TJX	1,930 000	124,581 50 40,916 97	64 55 21 20	1,466 80	1 18
THERMO FISHER SCIENTIFIC INC	TMO	2,495 000	112,200 15 121,770 49	44 97 48 81		
THOMAS & BETTS CORP	TNB	1,065 000	58,149 00 41,286 82	54 60 38 77		
TRANSDIGM GROUP INC	TDG	565 000	54,059 20 28,743 79	95 68 50 87		
US BANCORP	USB	3,220 000	87,101 00 61,803 29	27 05 19 19	1,610.00 402 50	1 85
UNITED TECHNOLOGIES	UTX	3,190 000	233,157 10 112,466 40	73 09 35 26	6,124 80	2 63
UNITEDHEALTH GROUP INC	UNH	875 000	44,345 00 21,457 58	50 68 24 52	568 75	1 28
V F CORP	VFC	425 000	53,970 75 31,262.01	126 99 73 56	1,224.00	2.27
VERIFONE HOLDINGS INC	PAY	955 000	33,921 60 38,943 47	35 52 40 78		
VODAFONE GROUP PLC-SP ADR	VOD	3,300 000	92,499 00 95,538 02	28 03 28 95	4,761 90 2,103 55	5 15
WAL-MART STORES INC	WMT	2,480 000	148,204 80 132,008 01	59 76 53 23	3,620 80 905 20	2 44
WALTER ENERGY, INC	WLT	895 000	54,201 20 89,999 32	60 56 100 56	447 50	0 83
WELLS FARGO COMPANY	WFC	5,460 000	150,477 60 153,599 69	27 56 28 13	2,620 80	1 74
WHOLE FOODS MARKET INC	WFM	1,250 000	86,975 00 80,559 99	69 58 64.45	700 00	0 80
WYNN RESORTS LTD	WYNN	300 000	33,147 00 38,368 36	110 49 127 89	600 00	1 81
ACCENTURE PLC-CL A	ACN	2,050 000	109,121 50 98,576 64	53.23 48 09	2,767 50	2 54
INVESCO LTD	IVZ	5,260 000	105,673 40 116,878 68	20 09 22.22	2,577 40	2 44
CHECK POINT SOFTWARE TECH LTD	CHKP	1,145 000	60,158 30 38,189 85	52 54 33 35		
* Total Equities			11,692,521 56 9,893,816 40		215,230.20 13,800.21	1.84
LIMITED PARTNERSHIP						
TITAN GLOBAL EQUITY OFFSHORE HEDGE FUND		312 030	324,564 25 325,000 00			
TOTAL LIMITED PARTNERSHIP			324,564.25 325,000.00			



Chemung Canal Trust Company

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Account Number: Custody

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Mutual Funds Equity						
INVESCO EUROPEAN GROWTH FUND-Y	AEDYX	53,544 847	1,511,035 58 1,446,096 23	28 22 27 01	56,115 00	3 71
EATON VANCE STRUCTURED EMERGING MARKETS FUND - I	EIEMX	118,774 901	1,507,253.49 1,600,000 00	12 69 13 47	18,528 88	1 23
ISHARES MSCI PACIFIC EX JPN	EPP	30,015 000	1,168,483.95 1,249,487 79	38 93 41 63	51,535 76	4 41
ISHARES RUSSELL 2000 INDEX FUND	IWM	8,480 000	625,400.00 650,246 40	73 75 76 68	8,734 40	1.40
JANUS TRITON FUND-I	JSMGX	11,262 724	183,920 28 181,047 90	16 33 16.07		
DEUTSCHE BANK PRIVATE EQUITY GLOBAL SELECT FUND (INT'L) FUND #7297 - HEDGE FUND		960,000 000	762,755 00 787,086 93	0 91 0 96		
DEUTSCHE BANK PRIVATE EQUITY GLOBAL SELECT FUND II (INT'L) FUND #7257 - HEDGE FUND		870,000 000	715,387 00 872,322 09	0 89 1 00		
TOPIARY TRUST CLASS I/A - HEDGE FUND		1,174 980	141,022 65 128,229 78	122 29 389 55		
DEUTSCHE BANK PRIVATE EQUITY GLOBAL SELECT FUND IV (INTERNATIONAL) FUND #7205 - HEDGE FUND		829,147 720	794,283 00 836,551 92	1 09 0 81		
DB PRIVATE EQUITY ASIA SELECT FUND III (INTERNATIONAL),L.P FUND #7288 - HEDGE FUND		719,631 000	660,099 00 720,000 00	0 99 1 00		
CCI HEALTHCARE PARTNERS, LTD HEDGE FUND		500,000 000	490,000 00 500,000 00	0 98 1 00		
GARGOYLE HEDGE VALUE CI FD II 11/2/11		1,517,096 700	1,426,070 90 1,484,995 00	0 94 0 88		
IPATH DOW JONES-UBS COMMDTY	DJP	22,700 000	958,848 00 1,007,806 99	42 24 44 40		
* Total Mutual Funds			10,944,558.85 11,463,871.03		0.00 0.00	0.00



Chemung Canal Trust Company

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Account Number: Hospital

Statement Period: December 01, 2011 Through December 31, 2011

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Fixed Income						
Corporate						
CISCO SYSTEMS DTD 02/22/2006 F/C 08/22/2006 5 5% 02/22/2016	A+	100,000 000	116,373 00 101,738 00	116 37 101 74	5,500 00 1,970 83	4 73 1 43
HSBC FINANCE CORP DTD 4/20/2005 F/C 10/15/2005 5 25% 04/15/2015	A	50,000 000	51,024 50 49,092 00	102 05 98 18	2,625 00 554 17	5 14 4 56
MERRILL LYNCH & CO DTD 07/19/2004 F/C 01/15/2005 5 45% 07/15/2014	A-	100,000 000	99,157 00 97,784 00	99 16 97 78	5,450 00 2,513 06	5 50 5 84



Chemung Canal Trust Company

13

Account Number: Hospital

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Rating	Par Value	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Yield Current/ Maturity
Corporate						
MORGAN STANLEY DTD 12/28/2007 F/C 06/28/2008 5.95% 12/28/2017	A-	100,000.000	95,274.00 99,750.00	95.27 99.75	5,950.00 49.58	6.25 6.64
POTASH CORP -SASKATCHEWAN DTD 9/28/2009 FC 3/30/2010 3.75% 09/30/2015	A-	100,000.000	107,213.00 101,645.00	107.21 101.65	3,750.00 947.92	3.50 1.80
RYDER SYSTEM INC DTD 02/24/2011 FC 09/01/2011 3.15% 03/02/2015	BBB+	100,000.000	102,766.00 101,720.00	102.77 101.72	3,150.00 1,050.00	3.07 2.29
WRIGLEY JR CO DTD 07/14/2005 F/C 01/15/2006 4.65% 07/15/2015	NR	100,000.000	103,500.00 100,530.00	103.50 100.53	4,650.00 2,144.17	4.49 3.74
** Total Corporate		Sub- Total	675,307.50 652,259.00		31,075.00 9,229.73	4.60

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
AMERICAN EXPRESS	AXP	790.000	37,264.30 34,193.37	47.17 43.28	568.80	1.53
APPLIED MATERIALS INC	AMAT	1,830.000	19,599.30 25,793.67	10.71 14.09	585.60	2.99
BRISTOL MYERS SQUIBB	BMJ	1,110.000	39,116.40 27,324.12	35.24 24.62	1,509.60	3.86
CHEVRON CORPORATION	CVX	195.000	20,748.00 7,662.86	106.40 39.30	631.80	3.05
CISCO	CSCO	1,610.000	29,108.80 30,363.15	18.08 18.86	386.40	1.33
COCA-COLA	KO	700.000	48,979.00 37,597.57	69.97 53.71	1,316.00	2.69
COLGATE PALMOLIVE	CL	340.000	31,412.60 29,356.99	92.39 86.34	788.80	2.51
CORNING INC COM	GLW	1,485.000	19,275.30 22,298.24	12.98 15.02	445.50	2.31
DR HORTON INC	DHI	2,910.000	36,695.10 34,156.13	12.61 11.74	436.50	1.19
DUPONT DE NEMOURS	DD	430.000	19,685.40 22,236.33	45.78 51.71	705.20	3.58
EMC CORP	EMC	1,670.000	35,971.80 31,280.44	21.54 18.73		



Chemung Canal Trust Company

14

Account Number Hospital

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
EMERSON ELECTRIC	EMR	940 000	43,794 60 49,659 56	46 59 52 83	1,504 00	3.43
ENZO BIOCHEM INC	ENZ	5,450 000	12,208 00 54,254 15	2 24 9.95		
EXXON MOBIL CORP	XOM	370 000	31,361 20 30,057 87	84 76 81 24	695 60	2.22
FORD MOTOR COMPANY	F	6,180.000	66,496 80 85,889 38	10.76 13 90	1,236.00	1 86
FREEPORT MCMORAN COPPER & GOLD INC CLASS B	FCX	490 000	18,027 10 19,414 29	36.79 39 62	490.00	2.72
GENERAL ELECTRIC	GE	4,060 000	72,714 60 55,163 59	17 91 13 59	2,760.80 690 20	3 80
GOLDMAN SACHS GROUP INC	GS	105 000	9,495 15 16,523 10	90 43 157 36	147 00	1 55
GOOGLE INC-CL A	GOOG	56.000	36,170 40 29,282 00	645 90 522 89		
HOME DEPOT INC	HD	1,200 000	50,448 00 36,978 62	42.04 30 82	1,392 00	2.76
INTEL CORP	INTC	2,470 000	59,897 50 52,781 70	24 25 21.37	2,074 80	3 46
INTERNATIONAL BUSINESS MACHINES	IBM	1,700.000	312,596 00 86,751.00	183 88 51 03	5,100 00	1.63
JP MORGAN CHASE & COMPANY	JPM	1,670 000	55,527 50 63,189 95	33.25 37 84	1,670 00	3.01
JOHNSON & JOHNSON	JNJ	580 000	38,036 40 37,243 42	65 58 64 21	1,322 40	3.48
MERCK & CO INC	MRK	1,020 000	38,454 00 36,637 67	37 70 35 92	1,713 60 428 40	4 46
MICROSOFT	MSFT	2,350 000	61,006 00 51,895.16	25 96 22 08	1,880 00	3 08
MOSAIC CO/THE	MOS	200 000	10,086 00 14,013 74	50 43 70 07	40.00	0 40
NATIONAL OILWELL VARCO INC	NOV	950 000	64,590 50 33,798 97	67 99 35 58	456 00	0 71
PEABODY ENERGY CORPORATION	BTU	770 000	25,494 70 36,009 77	33 11 46 77	261 80	1 03
PEPSICO	PEP	580 000	38,483 00 33,462 86	66.35 57 69	1,194.80 298.70	3 10
PFIZER	PFE	2,670 000	57,778 80 44,262.86	21 64 16 58	2,349 60	4 07



Chemung Canal Trust Company

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Account Number: Hospital

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
Equities						
PROCTER & GAMBLE	PG	465 000	31,020 15 27,516 67	66 71 59 18	976 50	3.15
PRUDENTIAL FINANCIAL INC	PRU	200 000	10,024.00 12,804 08	50 12 64 02	290 00	2 89
QUALCOMM INC	QCOM	890 000	48,683 00 45,855 66	54 70 51 52	765 40	1 57
SANDISK CORP	SNDK	1,315 000	64,711.15 58,408 32	49 21 44 42		
SIGMA DESIGNS INC	SIGM	3,020 000	18,120 00 37,905 65	6 00 12.55		
SUNCOR ENERGY INC	SU	1,050 000	30,271 50 36,839.16	28 83 35 08	452 55	1 49
TARGET	TGT	420 000	21,512 40 23,984.43	51 22 57 11	504 00	2.34
TEVA PHARMACEUTICAL-SP ADR	TEVA	1,000 000	40,360.00 44,272 06	40 36 44 27	785 00	1 94
TOLL BROTHERS INC	TOL	1,620 000	33,080 40 34,116 07	20 42 21 06		
THE TRAVELERS COMPANIES INC	TRV	580 000	34,318.60 32,886 24	59 17 56 70	951 20	2 77
TRINITY INDUSTRIES INC	TRN	1,760 000	52,905 60 28,796 89	30 06 16 36	633 60	1 20
UNITED STATES STEEL CORP	X	740 000	19,580 40 20,703 35	26 46 27 98	148.00	0 76
UNITED TECHNOLOGIES	UTX	700.000	51,163.00 37,985.80	73 09 54 27	1,344 00	2 63
WAL-MART STORES INC	WMT	760 000	45,417 60 39,868 43	59 76 52 46	1,109 60 211 70	2 44
WELLS FARGO COMPANY	WFC	1,610 000	44,371 60 48,625 78	27 56 30 20	772 80	1 74
* Total Equities			1,986,061.65 1,700,101.12		42,395.25 1,629.00	2.13
Mutual Funds						
LOOMIS SAYLES INST HIGH INC	LSHIX	25,553 663	173,509 37 150,000.00	6 79 5 87	11,575.81	6 67
ALLIANZ NACM INTL GRW OPPORTUNITY FUND	ALOPX	1,414 013	38,333.89 50,787 57	27 11 35 92	171 10	0 45



Chemung Canal Trust Company

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Account Number Hospital

Statement Period: December 01, 2011 Through December 31, 2011

Principal Portfolio Statement (Continued)

Description	Ticker	Shares	Total Market/ Total Cost	Market Price/ Cost Price	Est Annual Income/ Accrued Inc	Current Yield
ARTISAN INTERNATIONAL FUND-INT	ARTIX	988.767	19,607.25 25,845.51	19.83 26.14	278.83	1.42
DODGE & COX INTL STOCK FUND	DODFX	525.389	15,362.37 21,146.25	29.24 40.25	398.77	2.60
GMO FOREIGN FUND III	GMOFX	1,990.064	20,238.95 33,385.51	10.17 16.78	1,102.50	5.45
* Total Mutual Funds			267,051.83 281,164.84		1,951.20 0.00	2.09

A L&O B O'CONNOR FDN INC

-C78

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011- December 30, 2011

ALTERNATIVE INVESTMENTS									
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ACP POWER AND ENERGY	07/12/11	25	1,050.0000	26,250.00	1,000.0000	25,000.00			
REAL ASSET FUND A CLASS A									
ALPINE ASSOCIATES ACCESS	02/01/10	130,814	1.2613	164,995.70	1.2888	168,593.08	3,597.38		
LTD CL I EST MKT PRICE AS OF 11/30/11									
CHILTON SMALL CAP ACCESS	12/01/09	124,091	1.6117	199,999.65	1.7472	216,811.80	16,812.15		
LTD CLASS (H) EST MKT PRICE AS OF 11/30/11									
COAST ACCESS LTD U CL A	08/01/08	20,600	1.2537	25,826.22	1.1649	23,996.94	(1,829.28)		
(.3210 FRACTIONAL SHARE)	08/01/08		1.2461	0.40	1.1649	.37	(0.03)		
EST MKT PRICE AS OF 11/30/11									
Subtotal		20,600.3210		25,826.62		23,997.31	(1,829.31)		
COAST ACCESS LTD U CL C	07/01/08	77,771	1.2622	98,162.56	1.1282	87,741.24	(10,421.32)		
(.4660 FRACTIONAL SHARE)	10/01/07		1.2017	0.56	1.1282	.53	(0.03)		
EST MKT PRICE AS OF 11/30/11									
Subtotal		77,771.4660		98,163.12		87,741.77	(10,421.35)		
TOTAL				515,235.09		522,143.96	8,158.87		

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A L&O B O'CONNOR FDN INC

-D02

December 01, 2011- December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
COHEN & STEERS INTERNATIONAL RLTY FD CLI SYMBOL: IRFX Initial Purchase: 03/02/11 Equity 100%	2,423	26,919.53	9.0200	21,855.46	(5,064.07)	26,919	(5,064)	1,907 8.72



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A L&O B O'CONNOR FDN INC

.D02

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
ING GLOBAL REAL ESTATE FUND CL I SYMBOL: IGLIX Initial Purchase:03/02/11 Equity 100%	2,066	34,460.88	15.0000	30,990.00	(3,470.88)	34,460	(3,470)	876 2.82
ISHARES FTSE EPRA/NAREIT GLOBAL REAL ESTATE EX US INDEX FUND SYMBOL: IFGL Initial Purchase:03/02/11 Equity 100%	251	7,951.68	25.2500	6,337.75	(1,613.93)	7,951	(1,613)	272 4.27
NUVEEN REAL ESTATE SECURITIES FUND CL I SYMBOL: FARCX Initial Purchase:04/08/11 Equity 100%	1,775	33,600.75	18.9700	33,671.75	71.00	33,600	71	732 2.17
OPPENHEIMER GOLD & SPECIAL MINERALS FD CL Y SYMBOL: OGMXX Initial Purchase:03/02/11 Equity 100%	741	35,864.17	34.4900	25,557.09	(10,307.08)	35,864	(10,307)	672 2.62
1370 Fractional Share		6.55	34.4900	4.73	(1.82)			1 2.62
PIMCO COMMODITY REAL RETURN STRATEGY FD CL P SYMBOL: PCRFX Initial Purchase:08/16/11 Fixed Income 100%	7,925	70,849.50	6.5300	51,750.25	(19,099.25)	70,849	(19,099)	
PRUDENTIAL GLOBAL REAL	1,752	34,304.16	17.6400	30,905.28	(3,398.88)	34,304	(3,398)	689 2.22

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PRIVATE BANKING &
INVESTMENT GROUP

A L&O B O'CONNOR FDN INC

D02

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL
INVESTMENTS

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
ESTATE FUND CL Z								
SYMBOL: PURZX Initial Purchase 03/02/11								
Equity 100%								
Subtotal (Fixed Income)				51,750.25				
Subtotal (Equities)				149,322.06				
TOTAL		243,957.22		201,072.31	(42,884.91)		(42,880)	5,149 2.56

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Merrill Lynch | PRIVATE BANKING &
Wealth Management INVESTMENT GROUP
Bank of America Corporation

THE O'CONNOR FOUNDATION

-02027

24-Hour Assistance: (800) MERRILL

December 01, 2011- December 30, 2011

OPTIONS Description	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current	
			Cost Basis	Yield%					Annual Income	Yield%
PUT SPY 00:123.000	12/08/11	45	9.5442		42,949.28	7.2300	32,535.00	(10,414.28)		
STANDARD&POORS DEP RCPT EXP 06-16-2012										
TOTAL					42,949.28		32,535.00	(10,414.28)		

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A L&O B O'CONNOR FDN INC

E33

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/UIT		Quantity	Total		Estimated		Unrealized	Total Client	Cumulative	Estimated	
Description			Cost Basis	Market Price	Market Value	Gain/(Loss)				Investment	Annual Current
AMERICAN EURO PACIFIC		4,286	177,415.02	35.1100	150,481.46	(26,933.56)		177,415	(26,933)	2,995	1.99
GROWTH FUND CL F2											
SYMBOL: AEPFX	Initial Purchase:11/19/10										
Equity 100%											
ARTIO INTERNATIONAL		14,541	180,953.84	9.5500	138,866.55	(42,087.29)		180,953	(42,087)	2,704	1.94

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A L&O B O'CONNOR FDN INC

E33

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
EQUITY FUND II CL I								
SYMBOL JETIX Initial Purchase 11/19/10 Equity 100%								
ARTISAN INTERNATIONAL FUND INVESTOR CL	2,635	57,653.80	19.8300	52,252.05	(5,401.75)	57,653	(5,401)	744 1.42
SYMBOL ARTIX Initial Purchase 11/19/10 Equity 100%								
HARBOR INTERNATIONAL FUND INSTL CL	2,879	172,869.92	52.4500	151,003.55	(21,866.37)	172,869	(21,866)	3,786 2.50
SYMBOL HAIIX Initial Purchase 11/19/10 Equity 100%								
Subtotal (Equities)				492,603.61				
TOTAL		588,892.58		492,603.61	(96,288.97)		(96,287)	10,229 2.08

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A L&O B O'CONNOR FDN INC

E37

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
AUD QUEENSLAND TREASURY 6.000% AUG 14 2013 MOODY'S: AAA S&P: AAA CINS: Q7944UCE7	10/30/09	45,000	41,542.28	106.0190	47,708.55	6,166.27	1,038.02	2,768	5.80
AUD QUEENSLAND TREASURY Subtotal	11/18/10	10,000 55,000	10,052.63 51,594.91	106.0190	10,601.90 58,310.45	549.27 6,715.54	230.67 1,268.69	616 3,384	5.80 5.80
JPY JPN FIN MUNI ENT 26NOV13 01.350% MOODY'S: AA3 S&P: AA- CINS: J282OCAM9	03/25/11	7,000,000	88,603.45	1.3269	92,883.00	4,279.55			
AUD AUSTRALIAN GOVT. SER 125 6.250% JUN 15 2014 MOODY'S: AAA S&P: *** CINS: Q0819ACX6	10/26/10	55,000	56,368.29	109.9988	60,499.34	4,131.05	144.43	3,525	5.82
AUD AUSTRALIAN GOVT. Subtotal	11/18/10	10,000 65,000	10,221.83 66,590.12	109.9988	10,999.88 71,499.22	778.05 4,909.10	26.26 170.69	641 4,166	5.82 5.82
GBP TSY 5 2014 5.000% SEP 07 2014 MOODY'S: AAA S&P: *** CINS: G92450NU8	10/30/09	30,000	54,714.32	174.6523	52,395.69	(2,318.63)	730.09	2,332	4.44
GBP TSY 5 2014 Subtotal	11/18/10	15,000 45,000	26,846.37 81,560.69	174.6523	26,197.85 78,593.54	(648.52) (2,967.15)	365.04 1,095.13	1,166 3,498	4.44 4.44
NZD NEW ZEALAND GVT 6.000% APR 15 2015 MOODY'S: AAA S&P: AA+ CINS: Q6750XHL5	06/16/11	40,000	34,537.42	86.0317	34,412.68	(124.74)	388.77	1,873	5.44



A L&O B O'CONNOR FDN INC

E37

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

DESCRIPTION	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
CORPORATE BONDS (continued)									
NOK NORWEGIAN GOV'T 5.000% MAY 15 2015 MOODY'S: AAA S&P: AAA CINS: F63339FS0 EST MKT PRICE AS OF 12/29/11	02/15/11	320,000	59,361.52	18.6462	59,667.84	306.32	1,682.04	2,682	4.49
EUR FINNISH GOV'T 4.250% JUL 01 2015 MOODY'S: AAA S&P: AAA CINS: X7331AEX0	06/25/10	25,000	34,449.81	144.2867	36,071.68	1,621.87	674.57	1,380	3.82
EUR FINNISH GOV'T Subtotal	11/18/10	15,000 40,000	22,505.60 56,955.41	144.2867	21,643.01 57,714.69	(862.59) 759.28	404.74 1,079.31	828 2,208	3.82 3.82
MXN MEXICAN BONOS BONDS SER M 08 000% DEC 17 2015 MOODY'S: BAA1 S&P: A- CINS: P9767GZZ7	04/07/10	3,200	27,428.21	7.8238	25,036.16	(2,392.05)	.05	1,834	7.32
MXN MEXICAN BONOS Subtotal	11/18/10	1,000 4,200	8,955.30 36,383.51	7.8238	7,823.80 32,859.96	(1,131.50) (3,523.55)	.02 .07	573 2,407	7.32 7.32
EUR REP OF AUSTRIA 4.000% SEP 15 2016 MOODY'S: AAA S&P: AAA CINS: A5260RFB4 EST MKT PRICE AS OF 12/29/11	10/26/09	45,000	70,598.48	141.3685	63,615.83	(6,982.65)	676.74	2,337	3.67
EUR REP OF AUSTRIA Subtotal	11/18/10	10,000 55,000	14,795.42 85,393.90	141.3685	14,136.85 77,752.68	(658.57) (7,641.22)	150.39 827.13	520 2,857	3.67 3.67
JPY EUROPEAN INVT BK SER INTL 1.400% JUN 20 2017 MOODY'S: AAA S&P: AAA< CINS: L3247WCN1	10/30/09	5,000,000	55,669.96	1.3130	65,650.00	9,980.04			
JPY EUROPEAN INVT BK Subtotal	11/18/10	4,000,000 9,000,000	49,790.44 105,460.40	1.3130	52,520.00 118,170.00	2,729.56 12,709.60			

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
EUR POLAND GOVT BOND 5.625% JUN 20 2018 MOODY'S: A2 S&P: A- CINS: X6650PMW5	10/26/09	20,000	32,014.40	135.4879	27,097.58	(4,916.82)	770.11	1,461	5.38
EUR POLAND GOVT BOND Subtotal	11/18/10	10,000 30,000	15,384.95 47,399.35	135.4879	13,548.79 40,646.37	(1,836.16) (6,752.98)	385.06 1,155.17	731 2,192	5.38 5.38
EUR DEUTSCHLAND REP SER 08 4.250% JUL 04 2018 MOODY'S: AAA S&P: *** CINS: D20659JX3	10/26/09	15,000	24,055.69	154.4019	23,160.29	(895.40)	404.74	828	3.57
EUR DEUTSCHLAND REP Subtotal	11/18/10	25,000 40,000	38,213.90 62,269.59	154.4019	38,600.48 61,760.77	386.58 (508.82)	674.57 1,079.31	1,380 2,208	3.57 3.57
SEK SWEDISH GOVERNMENT SER 1052 4.250% MAR 12 2019 MOODY'S: AAA S&P: AAA CINS: W9478GSX2	10/30/09	200,000	30,902.61	17.3745	34,749.00	3,846.39	991.93	1,240	3.56
SEK SWEDISH GOVERNMENT Subtotal	11/18/10	100,000 300,000	16,083.36 46,985.97	17.3745	17,374.50 52,123.50	1,291.14 5,137.53	495.97 1,487.90	620 1,860	3.56 3.56
CAD CANADIAN GOVERNMENT BONDS 03.750% JUN 01 2019 MOODY'S: AAA S&P: AAA CUSIP: 135087YR9	10/29/09	55,000	52,556.58	112.3751	61,806.31	9,249.73	163.87	2,026	3.27
CAD CANADIAN GOVERNMENT Subtotal	11/18/10	20,000 75,000	20,747.81 73,304.39	112.3751	22,475.02 84,281.33	1,727.21 10,976.94	59.59 223.46	737 2,763	3.27 3.27
EUR FINNISH GOVT 4.375% JUL 04 2019 MOODY'S: AAA S&P: AAA CINS: X7331AGZ3	09/17/10	25,000	37,351.60	149.1184	37,279.60	(72.00)	694.41	1,420	3.80
EUR FINNISH GOVT Subtotal	11/18/10	10,000 35,000	15,232.46 52,584.06	149.1184	14,911.84 52,191.44	(320.62) (392.62)	277.76 972.17	568 1,988	3.80 3.80



A L&O B O'CONNOR FDN INC

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December 01, 2011 - December 30, 2011

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Current Yield%
EUR NETHERLANDS GOVT 4.000% JUL 15 2019 MOODY'S: AAA S&P: A++ CINS: N45810CT8	10/26/09	45,000	69,305.94	148.5343	66,840.44	(2,465.50)	1,072.57	2,337	3.49
EUR NETHERLANDS GOVT Subtotal	11/18/10	10,000 55,000	14,867.58 84,173.52	148.5343	14,853.43 81,693.87	(14.15) (2,479.65)	238.35 1,310.92	520 2,857	3.49 3.49
DKK DENMARK - BULLET 3.000% NOV 15 2021 MOODY'S: AAA S&P: AAA CINS: K5675SKZ1	11/10/11	320,000	64,174.49	19.5905	62,689.60	(1,484.89)	206.16	1,677	2.67
JPY DEVELOPMNT BK OF JPN 1.050% JUN 20 2023 MOODY'S: AA3 S&P: AA- CINS: J12168AR1	11/25/09	5,000,000	52,826.96	1.2707	63,535.00	10,708.04	18.70	700	1.10
JPY DEVELOPMNT BK OF JPN Subtotal	11/18/10	4,000,000 9,000,000	45,790.92 98,617.88	1.2707	50,828.00 114,363.00	5,037.08 15,745.12	14.96 33.66	560 1,260	1.10 1.10
JPY KFW 2.050% FEB 16 2026 MOODY'S: AAA S&P: AAA- CUSIP: 500769BN3	10/30/09	7,000,000	77,265.24	1.3908	97,356.00	20,090.76			
JPY KFW Subtotal	11/18/10	2,000,000 9,000,000	25,074.84 102,340.08	1.3908	27,816.00 125,172.00	2,741.16 22,831.92			
TOTAL		35,479,200	1,298,290.66		1,356,785.94	58,495.28	12,980.58	39,880	2.94

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A L&O B O'CONNOR FDN INC

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MERRILL LYNCH UNIFIED MANAGED ACCOUNT

December 01, 2011- December 30, 2011

GOVERNMENT AND AGENCY SECURITIES ¹		Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Description	Acquired								
U.S. TREASURY NOTE 0.875% FEB 29 2012	03/26/10 00.875% FEB 29 2012	152,000	151,602.19	100.1330	152,202.16	599.97	442.11	1,330	.87
MOODY'S: AAA S&P: *** CUSIP: 912828MQ0									
U.S. TREASURY NOTE	05/04/10	139,000	139,000.47	100.1330	139,184.87	184.40	404.30	1,217	.87
Subtotal		291,000	290,602.66		291,387.03	784.37	846.41	2,547	.87

A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
Δ U.S. TRSY INFLATION NOTE 3.00% JUL 15 2012 INFL ADJ PRIN 125,946 MOODY'S: AAA S&P: *** CUSIP: 912828AF7 ORIGINAL UNIT/TOTAL COST: 119,474.77	08/16/07	100,000	134,889.01	102.1480	128,651.32	2,285.17	1,369.57	3,779	2.93
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 18,891 ORIGINAL UNIT/TOTAL COST: 126,719.67/19,007.94	09/04/09	15,000	19,909.54	102.1480	19,297.70	211.36	205.43	567	2.93
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 12,594 ORIGINAL UNIT/TOTAL COST: 130,356.07/13,035.60	03/16/10	10,000	13,596.82	102.1480	12,865.13	39.61	136.96	378	2.93
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 46,600 ORIGINAL UNIT/TOTAL COST: 130,498.37/48,284.38	05/04/10	37,000	50,252.70	102.1480	47,600.99	108.08	506.74	1,398	2.93
Δ U.S. TRSY INFLATION NOTE INFL ADJ PRIN 6,297 ORIGINAL UNIT/TOTAL COST: 129,034.87/6,451.74	06/22/10	5,000	6,688.44	102.1480	6,432.57	32.92	68.48	189	2.93
Subtotal		167,000	225,336.51		214,847.71	2,677.14	2,287.18	6,311	2.93
U.S. TREASURY NOTE 1.375% MAR 15 2013 01 375% MAR 15 2013 MOODY'S: AAA S&P: *** CUSIP: 912828MT4	03/24/10	60,000	59,526.56 ✓	101.4260	60,855.60	1,329.04	240.25	825	1.35
FEDERAL NATL MTG ASSOC NOTES 00.750% DEC 18 2013 MOODY'S: AAA S&P: AA+ CUSIP: 31398A5W8	01/11/11	145,000	143,306.40 ✓	100.3820	145,553.90	2,247.50	36.25	1,088	.74
Δ U.S. TREASURY NOTE 1.500% JUL 31 2016 01.500% JUL 31 2016 MOODY'S: AAA S&P: *** CUSIP: 912828QX1 ORIGINAL UNIT/TOTAL COST: 102,820.67/121,328.36	08/09/11	118,000	121,328.36 ✓	103.3830	121,991.94	918.36	731.09	1,770	1.45

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011- December 30, 2011

GOVERNMENT AND AGENCY SECURITIES * (continued)	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ U.S. TREASURY NOTE 2.125% AUG 15 2021 02.125% AUG 15 2021 MOODY'S: AAA S&P: *** CUSIP: 912828RC6 ORIGINAL UNIT/TOTAL COST: 101,2660/179,240.86	177,000	179,240.86	102.5630	181,536.51	2,355.97	1,400.25	3,762	2.07
Δ U.S. TRSY INFLATION BOND 3.625% APR 15 2028 INFL ADJ PRIN 70,004 MOODY'S: AAA S&P: *** CUSIP: 912810FD5 ORIGINAL UNIT/TOTAL COST: 181,4181/90,709.09	50,000	93,176.61	148.6880	104,088.29	12,193.46	376.37	2,538	2.43
Δ U.S. TRSRY INFLATION BON 2 500% JAN 15 2029 INFL ADJ PRIN 52,736 MOODY'S: AAA S&P: *** CUSIP: 912810PZ5 ORIGINAL UNIT/TOTAL COST: 120,5165/60,258.29	50,000	62,117.15	133.4140	70,357.87	8,735.71	570.65	1,319	1.87
Δ U.S. TRSRY INFLATION BON INFL ADJ PRIN 65,393 ORIGINAL UNIT/TOTAL COST: 125,3783/77,734.60	62,000	78,043.96	133.4140	87,243.76	9,495.13	707.61	1,635	1.87
Δ U.S. TRSRY INFLATION BON INFL ADJ PRIN 108,637 ORIGINAL UNIT/TOTAL COST: 126,2035/129,989.67	103,000	130,274.40	133.4140	144,937.22	15,128.36	1,175.54	2,716	1.87
Subtotal	215,000	270,435.51		302,538.85	33,359.20	2,453.80	5,670	1.87
FNMA P735580 05%2035 AMORTIZED FACTOR 0.326693070 AMORTIZED VALUE 120,549 MOODY'S: *** S&P: *** CUSIP: 31402RFV6	369,000	114,475.33	108.1441	130,367.43	11,588.26		6,028	4.62
FNMA P735580 05%2035 AMORTIZED VALUE 9,147	28,000	9,694.65	108.1441	9,892.38	458.40		458	4.62
Subtotal	397,000	124,169.98		140,259.81	12,046.66		6,486	4.62
FNMA P745275 05%2036 AMORTIZED FACTOR 0.362799200 AMORTIZED VALUE 5,441 MOODY'S: *** S&P: *** CUSIP: 31403C6L0	15,000	4,042.64	108.1129	5,883.49	240.83	23.99	273	4.62



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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)		Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
FNMA P745275 05%2036	04/15/09	10,000	4,227.85	108.1129	3,922.33	160.56	15.99	182	4.62
AMORTIZED VALUE 3,627		237,000							
FNMA P745275 05%2036	07/22/09	27,000	11,415.20	108.1129	10,590.28	545.22	43.18	490	4.62
AMORTIZED VALUE 9,795									
Subtotal		52,000	15,643.05		20,396.10	946.61	83.16	945	4.62
FHLMC GO 3432 05 50%2037	01/15/08	518,000	134,577.40	108.6441	169,265.59	11,349.48		8,569	5.06
AMORTIZED FACTOR 0.300768790 AMORTIZED VALUE 155,798									
MOODY'S: *** S&P: *** CUSIP: 3128M5ED8									
FHLMC GO 4062 06%2038	03/26/08	45,000	14,598.38	109.9566	15,057.20	986.85	72.54	822	5.45
AMORTIZED FACTOR 0.301305990 AMORTIZED VALUE 13,693									
MOODY'S: *** S&P: *** CUSIP: 3128M5Z37									
FNMA P889579 06%2038	10/22/08	271,000	84,388.84	110.5983	90,099.55	7,004.66	393.75	4,888	5.42
AMORTIZED FACTOR 0.300611000 AMORTIZED VALUE 81,465									
MOODY'S: *** S&P: *** CUSIP: 31410KJY1									
FNMA P889579 06%2038	05/21/09	109,000	37,153.12	110.5983	36,239.30	1,844.61	158.37	1,966	5.42
AMORTIZED VALUE 32,766									
Subtotal		380,000	121,541.96		126,338.85	8,849.27	552.12	6,854	5.42
FNMA P889572 05 50%2038	02/08/11	414,000	142,459.52	108.9722	147,735.52	3,754.08	655.58	7,457	5.04
AMORTIZED FACTOR 0.327468000 AMORTIZED VALUE 135,571									
MOODY'S: *** S&P: *** CUSIP: 31410KJR6									
FHLMC GO 5188 05%2038	10/12/11	363,000	148,011.98	107.5660	152,977.37	604.96	638.55	7,111	4.64
AMORTIZED FACTOR 0.391782960 AMORTIZED VALUE 142,217									
MOODY'S: *** S&P: *** CUSIP: 3128M7CZ7									
FNMA P992033 06%2038	12/08/08	273,000	31,650.91	110.1910	32,272.08	2,295.97		1,758	5.44
AMORTIZED FACTOR 0.107279860 AMORTIZED VALUE 29,287									
MOODY'S: *** S&P: *** CUSIP: 31415XBA8									

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A L&O B O'CONNOR FDN INC

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December 01, 2011 - December 30, 2011

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

GOVERNMENT AND AGENCY SECURITIES ¹ (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
FNMA P995672 04 50%2039	10/11/11	205,000	120,989.28	106.4979	127,000.20	1,227.28	506.91	5,367	4.22
AMORTIZED FACTOR 0.581713970 AMORTIZED VALUE 119,251									
MOODY'S: *** S&P: *** CUSIP: 31416CCH7									
FNMA PAE0392 05 50%2039	04/25/11	165,000	118,190.49	109.1578	120,801.84	1,657.40		6,087	5.03
AMORTIZED FACTOR 0.670710070 AMORTIZED VALUE 110,667									
MOODY'S: *** S&P: *** CUSIP: 31419ANJ2									
FNMA PAH3401 04 50%2041	02/08/11	229,000	207,733.89	106.4979	222,445.98	11,940.57	797.82	9,400	4.22
AMORTIZED FACTOR 0.912111730 AMORTIZED VALUE 208,873									
MOODY'S: *** S&P: *** CUSIP: 31384AX75									
FNMA PAL0065 04 50%2041	03/17/11	338,000	305,537.31	106.4979	327,215.88	17,204.40		13,827	4.22
AMORTIZED FACTOR 0.909026680 AMORTIZED VALUE 307,251									
MOODY'S: *** S&P: *** CUSIP: 3138EGCB8									
TOTAL		4,602,000	2,872,100.26		3,024,566.25	128,728.57	11,678.28	99,194	3.28

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
				Cost Basis	Quantity						
AN S Y S INC COM	ANSS	11/22/10	83	48.2895		4,008.03	57.2800	4,754.24	746.21		
		11/22/11	9	57.5177		517.66	57.2800	515.52	(2.14)		
Subtotal			92			4,525.69		5,269.76	744.07		
ABBOTT LABS	ABT	05/20/09	483	43.5080		21,014.37	56.2300	27,159.09	6,144.72	928	3.41
		07/29/09	217	45.6929		9,915.38	56.2300	12,201.91	2,286.53	417	3.41
		09/02/09	292	45.0692		13,160.21	56.2300	16,419.16	3,258.95	561	3.41
		10/14/09	22	51.3095		1,128.81	56.2300	1,237.06	108.25	43	3.41
		03/11/10	282	55.1997		15,566.32	56.2300	15,856.86	290.54	542	3.41
		11/22/10	350	46.8999		16,414.97	56.2300	19,680.50	3,265.53	672	3.41
Subtotal			1,646			77,200.06		92,554.58	15,354.52	3,163	3.41

A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
ABERCROMBIE & FITCH CO	ANF	09/29/11	520	64.5313	33,556.28	48.8400	25,396.80	(8,159.48)	365	1.43
		11/04/11	148	57.9454	8,575.92	48.8400	7,228.32	(1,347.60)	104	1.43
		11/07/11	30	58.8703	1,766.11	48.8400	1,465.20	(300.91)	21	1.43
Subtotal			698		43,898.31		34,090.32	(9,807.99)	490	1.43
ACACIA RESH CORP	ACTG	05/19/11	112	38.9229	4,359.37	36.5100	4,089.12	(270.25)		
AR ACACIA TECHNOLOGIES		05/20/11	10	38.7230	387.23	36.5100	365.10	(22.13)		
Subtotal			122		4,746.60		4,454.22	(292.38)		
ACCENTURE PLC SHS	ACN	09/30/08	138	37.1198	5,122.54	53.2300	7,345.74	2,223.20	187	2.53
		10/01/08	261	36.4732	9,519.53	53.2300	13,893.03	4,373.50	353	2.53
		10/13/08	161	32.4440	5,223.50	53.2300	8,570.03	3,346.53	218	2.53
		02/19/09	335	31.2300	10,462.05	53.2300	17,832.05	7,370.00	453	2.53
		10/14/09	135	39.3082	5,306.62	53.2300	7,186.05	1,879.43	183	2.53
		09/15/10	404	39.4706	15,946.16	53.2300	21,504.92	5,558.76	546	2.53
		11/22/10	350	43.9250	15,373.75	53.2300	18,630.50	3,256.75	473	2.53
Subtotal			1,784		66,954.15		94,962.32	28,008.17	2,413	2.53
ACE LIMITED	ACE	09/08/10	116	55.0035	6,380.41	70.1200	8,133.92	1,753.51	219	2.68
		09/09/10	111	55.4810	6,158.40	70.1200	7,783.32	1,624.92	209	2.68
		11/22/10	75	59.9250	4,494.38	70.1200	5,259.00	764.62	141	2.68
		02/02/11	241	62.4790	15,057.44	70.1200	16,898.92	1,841.48	454	2.68
		02/03/11	81	62.3664	5,051.68	70.1200	5,679.72	628.04	153	2.68
		05/17/11	202	68.1450	13,765.29	70.1200	14,164.24	398.95	380	2.68
Subtotal			826		50,907.60		57,919.12	7,011.52	1,556	2.68
ADIDAS AG SPONSORED ADR	ADDYY	05/04/11	211	37.2685	7,863.66	32.6100	6,880.71	(982.95)	85	1.22
		05/06/11	100	38.5303	3,853.03	32.6100	3,261.00	(592.03)	40	1.22
		05/17/11	126	36.2958	4,573.28	32.6100	4,108.86	(464.42)	51	1.22
		06/23/11	116	36.9541	4,286.68	32.6100	3,782.76	(503.92)	47	1.22
		08/11/11	95	33.1210	3,146.50	32.6100	3,097.95	(48.55)	38	1.22
Subtotal			648		23,723.15		21,131.28	(2,591.87)	261	1.22

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
AIR PRODUCTS&CHEM	APD	04/14/10	169	75.4801	12,756.15	85.1900	14,397.11	1,640.96	393 2.72
		04/28/10	205	77.6011	15,908.23	85.1900	17,463.95	1,555.72	476 2.72
		11/22/10	100	85.0153	8,501.53	85.1900	8,519.00	17.47	232 2.72
Subtotal			474		37,165.91		40,380.06	3,214.15	1,101 2.72
ALLERGAN INC	AGN	11/04/09	30	58.0743	1,742.23	87.7400	2,632.20	889.97	6 .22
		02/05/10	103	57.5273	5,925.32	87.7400	9,037.22	3,111.90	21 .22
		11/01/10	119	73.6994	8,770.23	87.7400	10,441.06	1,670.83	24 .22
		11/02/10	178	74.6642	13,290.23	87.7400	15,617.72	2,327.49	36 .22
		11/22/10	150	67.9753	10,196.30	87.7400	13,161.00	2,964.70	30 .22
Subtotal			580		39,924.31		50,889.20	10,964.89	117 .22
ALLIANT TECHSYSTEMS INC	ATK	09/02/11	70	59.1490	4,140.43	57.1600	4,001.20	(139.23)	56 1.39
ALLSCRIPTS HEALTHCARE SOLUTIONS INC	MDRX	11/22/10	200	17.8472	3,569.44	18.9400	3,788.00	218.56	
ALTERRA CAPITAL HOLDINGS LTD	ALTE	05/06/09	67	16.0480	1,075.22	23.6300	1,583.21	507.99	38 2.36
		07/06/10	195	18.2450	3,557.78	23.6300	4,607.85	1,050.07	110 2.36
		09/08/10	93	19.0008	1,767.08	23.6300	2,197.59	430.51	53 2.36
		10/25/11	76	22.3043	1,695.13	23.6300	1,795.88	100.75	43 2.36
Subtotal			431		8,095.21		10,184.53	2,089.32	244 2.36
ALTRIA GROUP INC	MO	09/19/11	671	26.8756	18,033.53	29.6500	19,895.15	1,861.62	1,101 5.53
		09/28/11	657	26.4915	17,404.98	29.6500	19,480.05	2,075.07	1,078 5.53
Subtotal			1,328		35,438.51		39,375.20	3,936.69	2,179 5.53
AMAZON COM INC COM	AMZN	11/22/10	36	167.7900	6,040.44	173.1000	6,231.60	191.16	
		08/23/11	102	191.0529	19,487.40	173.1000	17,656.20	(1,831.20)	
Subtotal			138		25,527.84		23,887.80	(1,640.04)	
AMER EXPRESS COMPANY	AXP	10/25/11	737	49.9115	36,784.78	47.1700	34,764.29	(2,020.49)	531 1.52



A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%	
AMERICAN CAMPUS CMNTYS INC	ACC	05/07/09 01/26/11	83 76 159	22.4404 31.7678	1,862.56 2,414.36 4,276.92	41.9600 41.9600	3,482.68 3,188.96 6,671.64	1,620.12 774.60 2,394.72	113 103 216	3.21 3.21 3.21	
Subtotal											
AMERICAN EQUITY INVT LIFE HLDG CO	AEL	05/12/09	751	6.7974	5,104.86	10.4000	7,810.40	2,705.54	91	1.15	
AMN ELEC POWER CO	AEF	05/23/11 05/24/11	620 391 1,011	38.4635 38.6986	23,847.37 15,131.19 38,978.56	41.3100 41.3100	25,612.20 16,152.21 41,764.41	1,764.83 1,021.02 2,785.85	1,166 736 1,902	4.55 4.55 4.55	
Subtotal											
APACHE CORP	APA	05/29/08 08/01/08 10/14/09 10/16/09 11/22/10	12 125 27 164 150 478	133.5766 115.0515 99.9474 102.4931 107.8378	1,602.92 14,381.44 2,698.58 16,808.88 16,175.67 51,667.49	90.5800 90.5800 90.5800 90.5800 90.5800	1,086.96 11,322.50 2,445.66 14,855.12 13,587.00 43,297.24	(515.96) (3,058.94) (252.92) (1,953.76) (2,588.67) (8,370.25)	8 75 17 99 90 289	.66 .66 .66 .66 .66 .66	
Subtotal											
APPLE INC	AAPL	03/24/08 03/25/08 04/18/08 10/22/08 11/24/08 12/09/08 06/26/09 06/29/09 10/30/09 02/05/10 11/22/10	54 53 34 150 153 76 17 13 25 25 125 725	140.1138 142.8066 161.5423 99.2596 88.8807 99.9050 142.0847 142.5346 195.1196 193.1540 309.0200	7,566.15 7,568.75 5,492.44 14,888.94 13,598.76 7,592.78 2,415.44 1,852.95 4,877.99 4,828.85 38,627.50 109,310.55	405.0000 405.0000 405.0000 405.0000 405.0000 405.0000 405.0000 405.0000 405.0000 405.0000 405.0000	21,870.00 21,465.00 13,770.00 60,750.00 61,965.00 30,780.00 6,885.00 5,265.00 10,125.00 10,125.00 50,625.00 293,625.00	14,303.85 13,896.25 8,277.56 45,861.06 48,366.24 23,187.22 4,469.56 3,412.05 5,247.01 5,296.15 11,997.50 184,314.45			
Subtotal											
ARM HLDGS PLC SPD ADR	ARMH	11/22/10	491	18.4671	9,067.35	27.6700	13,585.97	4,518.62	64	.46	

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ASML HLDG N.V. NEW YORK REGISTRY SHAR		ASML	08/10/11	564	33.2545	18,755.59	41.7900	23,569.56	4,813.97	281	1.18
			08/17/11	398	34.8975	13,889.24	41.7900	16,632.42	2,743.18	198	1.18
			10/31/11	429	42.2751	18,136.02	41.7900	17,927.91	(208.11)	214	1.18
			12/06/11	484	41.5391	20,104.97	41.7900	20,226.36	121.39	241	1.18
			12/08/11	146	41.6104	6,075.13	41.7900	6,101.34	26.21	73	1.18
Subtotal				2,021		76,960.95		84,457.59	7,496.64	1,007	1.18
ASSA ABLOY AB ADR		ASAZ	11/22/10	575	13.5100	7,768.25	12.4600	7,164.50	(603.75)	141	1.96
			12/23/10	1,136	14.1750	16,102.91	12.4600	14,154.56	(1,948.35)	279	1.96
			01/07/11	184	14.0485	2,584.94	12.4600	2,292.64	(292.30)	46	1.96
			01/24/11	349	13.5838	4,740.75	12.4600	4,348.54	(392.21)	86	1.96
			07/14/11	301	12.4666	3,752.45	12.4600	3,750.46	(1.99)	74	1.96
Subtotal				2,545		34,949.30		31,710.70	(3,238.60)	626	1.96
ASTORIA FINANCIAL CORP		AF	05/07/09	167	8.9302	1,491.36	8.4900	1,417.83	(73.53)	87	6.12
			07/24/09	207	9.4365	1,953.36	8.4900	1,757.43	(195.93)	108	6.12
			05/26/10	181	14.4692	2,618.94	8.4900	1,536.69	(1,082.25)	95	6.12
				555		6,063.66		4,711.95	(1,351.71)	290	6.12
		T	06/19/08	281	35.2990	9,919.02	30.2400	8,497.44	(1,421.58)	495	5.82
AT&T INC			08/29/08	684	32.4164	22,172.82	30.2400	20,684.16	(1,488.66)	1,204	5.82
			10/14/09	194	25.7994	5,005.10	30.2400	5,866.56	861.46	342	5.82
			11/11/09	517	26.4688	13,684.37	30.2400	15,634.08	1,949.71	910	5.82
			11/22/10	925	28.1099	26,001.66	30.2400	27,972.00	1,970.34	1,628	5.82
				2,601		76,782.97		78,654.24	1,871.27	4,579	5.82
Subtotal				75	56.3100	4,223.25	38.4300	2,882.25	(1,341.00)		
ATLAS AIR WORLDWIDE HLDS NEW COM STOC		AAWW	11/22/10	52	39.2142	2,039.14	39.7900	2,069.08	29.94		
		ATW	10/12/11	150	39.3068	5,896.02	39.5600	5,934.00	37.98		
		BY	11/22/10								



A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
BANK NEW YORK MELLON CORP	BK	08/10/07	243	42.0386	10,215.40	19.9100	4,838.13	(5,377.27)	127	2.61
		04/11/08	378	43.1811	16,322.49	19.9100	7,525.98	(8,796.51)	197	2.61
		05/21/08	72	43.5200	3,133.44	19.9100	1,433.52	(1,699.92)	38	2.61
		10/08/08	205	25.9294	5,315.53	19.9100	4,081.55	(1,233.98)	107	2.61
		10/10/08	314	24.0833	7,562.16	19.9100	6,251.74	(1,310.42)	164	2.61
		10/07/09	337	28.5676	9,627.31	19.9100	6,709.67	(2,917.64)	176	2.61
		10/14/09	154	28.1400	4,333.56	19.9100	3,066.14	(1,267.42)	81	2.61
		02/03/10	454	28.7724	13,062.67	19.9100	9,039.14	(4,023.53)	237	2.61
		03/31/10	283	30.8374	8,727.01	19.9100	5,634.53	(3,092.48)	148	2.61
		11/22/10	900	27.2563	24,530.67	19.9100	17,919.00	(6,611.67)	469	2.61
		11/16/11	1,083	20.1344	21,805.56	19.9100	21,562.53	(243.03)	564	2.61
Subtotal			4,423		124,635.80		88,061.93	(36,573.87)	2,308	2.61
BANK OF THE OZARKS INC	OZRK	09/26/11	100	20.8036	2,080.36	29.6300	2,963.00	882.64	40	1.35
		09/28/11	99	21.4708	2,125.61	29.6300	2,933.37	807.76	40	1.35
Subtotal			199		4,205.97		5,896.37	1,690.40	80	1.35
BECTON DICKINSON CO	BDX	12/22/09	153	77.8837	11,916.21	74.7200	11,432.16	(484.05)	276	2.40
		01/14/10	182	76.7417	13,966.99	74.7200	13,599.04	(367.95)	328	2.40
		11/22/10	100	77.4851	7,748.51	74.7200	7,472.00	(276.51)	180	2.40
		02/16/11	236	80.4866	18,994.84	74.7200	17,633.92	(1,360.92)	425	2.40
Subtotal			671		52,626.55		50,137.12	(2,489.43)	1,209	2.40
BELDEN INC	BDC	05/07/09	171	18.3015	3,129.56	33.2800	5,690.88	2,561.32	35	.60
BERRY PETE SF CALIF CL A	BRY	11/22/10	125	37.8855	4,735.69	42.0200	5,252.50	516.81	40	.76
		12/22/11	25	40.7676	1,019.19	42.0200	1,050.50	31.31	8	.76
Subtotal			150		5,754.88		6,303.00	548.12	48	.76
BG GROUP PLC SPON ADR	BRGY	08/10/07	273	78.7012	21,485.45	107.0000	29,211.00	7,725.55	309	1.05
		04/19/11	330	121.5883	40,124.17	107.0000	35,310.00	(4,814.17)	373	1.05
Subtotal			603		61,609.62		64,521.00	2,911.38	682	1.05

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
BIOMARIN PHARMACEUTICALS	BIIB	11/02/11	145	113.5760	16,468.52	110.0500	15,957.25	(511.27)		
		11/03/11	14	113.7592	1,592.63	110.0500	1,540.70	(51.93)		
		11/23/11	151	110.7598	16,724.74	110.0500	16,617.55	(107.19)		
Subtotal			310		34,785.89		34,115.50	(670.39)		
BIOMARIN PHARMACEUTICALS	BMRN	11/22/10	130	26.6569	3,465.40	34.3800	4,469.40	1,004.00		
BJS RESTAURANTS INC	BJRI	11/22/10	275	35.2600	9,696.50	45.3200	12,463.00	2,766.50		
BLACKBAUD INC	BLKB	05/06/09	125	15.3064	1,913.31	27.7000	3,462.50	1,549.19		
		09/27/10	35	23.5100	822.85	27.7000	969.50	146.65		60 1.73
		09/28/10	55	23.7558	1,306.57	27.7000	1,523.50	216.93		17 1.73
		09/29/10	39	24.0410	937.60	27.7000	1,080.30	142.70		27 1.73
Subtotal			254		4,980.33		7,035.80	2,055.47		19 1.73
BLACKROCK INC	BLK	07/26/11	142	184.7159	26,229.67	178.2400	25,310.08	(919.59)		123 1.73
		07/27/11	75	180.1930	13,514.48	178.2400	13,368.00	(146.48)		781 3.08
Subtotal			217		39,744.15		38,678.08	(1,066.07)		413 3.08
BOB EVANS FARMS INC	BOBE	05/06/09	287	25.6121	7,350.70	33.5400	9,625.98	2,275.28		1,194 3.08
BOEING COMPANY	BA	07/22/10	164	66.7528	10,947.46	73.3500	12,029.40	1,081.94		287 2.98
		11/22/10	200	63.2526	12,650.52	73.3500	14,670.00	2,019.48		289 2.39
		12/02/11	245	71.3759	17,487.10	73.3500	17,970.75	483.65		353 2.39
Subtotal			609		41,085.08		44,670.15	3,585.07		432 2.39
BORG WARNER INC	BWA	08/11/10	152	45.7034	6,946.93	63.7400	9,688.48	2,741.55		1,074 2.39
		11/22/10	125	60.7226	7,590.33	63.7400	7,967.50	377.17		
		10/28/11	130	75.8010	9,854.14	63.7400	8,286.20	(1,567.94)		
		12/05/11	261	69.7529	18,205.53	63.7400	16,636.14	(1,569.39)		
Subtotal			668		42,596.93		42,578.32	(18.61)		
BRANDYWINE RLTY T SBI NEW REIT	BDN	11/05/09	251	9.7027	2,435.40	9.5000	2,384.50	(50.90)		151 6.31
		03/11/10	406	11.5832	4,702.78	9.5000	3,857.00	(845.78)		244 6.31
Subtotal			657		7,138.18		6,241.50	(896.68)		395 6.31



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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
BRINKS CO	BRO 05/07/09		112	28.1641	3,154.39	26.8800	3,010.56	(143.83)	45	1.48
	09/28/09		112	26.2900	2,944.48	26.8800	3,010.56	66.08	45	1.48
	07/08/11		69	31.1579	2,149.90	26.8800	1,854.72	(295.18)	28	1.48
	07/11/11		27	30.8974	834.23	26.8800	725.76	(108.47)	11	1.48
Subtotal			320		9,083.00		8,601.60	(481.40)	129	1.48
BRISTOW GROUP INC	BRS 06/27/11		27	46.3362	1,251.08	47.3900	1,279.53	28.45	17	1.26
	06/28/11		64	47.7304	3,054.75	47.3900	3,032.96	(21.79)	39	1.26
	07/08/11		76	51.1531	3,887.64	47.3900	3,601.64	(286.00)	46	1.26
	07/11/11		21	50.3785	1,057.95	47.3900	995.19	(62.76)	13	1.26
Subtotal			188		9,251.42		8,909.32	(342.10)	115	1.26
BROADSOFT INC	BSFT 10/11/11		62	33.5977	2,083.06	30.2000	1,872.40	(210.66)		
	12/20/11		11	34.7345	382.08	30.2000	332.20	(49.88)		
Subtotal			73		2,465.14		2,204.60	(260.54)		
BRUKER CORP	BRKR 10/12/10		238	14.9215	3,551.32	12.4200	2,955.96	(595.36)		
	08/15/11		115	14.5186	1,669.64	12.4200	1,428.30	(241.34)		
	08/16/11		3	14.4900	43.47	12.4200	37.26	(6.21)		
Subtotal			356		5,264.43		4,421.52	(842.91)		
BUCKEYE TECHNOLOGIES INC	BKI 07/14/11		269	27.8237	7,484.60	33.4400	8,995.36	1,510.76	65	.71
	07/15/11		32	27.9343	893.90	33.4400	1,070.08	176.18	8	.71
Subtotal			301		8,378.50		10,065.44	1,686.94	73	.71
CABOT CORP	CBT 12/04/09		224	24.3404	5,452.27	32.1400	7,199.36	1,747.09	162	2.24
CANADIAN NATL RAILWAY CO	CNI 08/10/07		369	53.4300	19,715.69	78.5600	28,988.64	9,272.95	472	1.62
	09/03/08		37	51.8027	1,916.70	78.5600	2,906.72	990.02	48	1.62
Subtotal			406		21,632.39		31,895.36	10,262.97	520	1.62
CANADIAN NATURAL RES LTD	CNQ 11/22/10		471	39.1256	18,428.20	37.3700	17,601.27	(826.93)	169	.95

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
CANON INC ADR REPSSH	CAJ	12/28/09	134	43.2838	5,800.03	44.0400	5,901.36	101.33	194	3.28
		12/29/09	58	42.8520	2,485.42	44.0400	2,554.32	68.90	84	3.28
		04/12/10	183	46.0878	8,434.07	44.0400	8,059.32	(374.75)	265	3.28
		09/21/10	180	45.8198	8,247.58	44.0400	7,927.20	(320.38)	261	3.28
		02/03/11	86	48.8317	4,199.53	44.0400	3,787.44	(412.09)	125	3.28
		03/16/11	144	43.8588	6,315.68	44.0400	6,341.76	26.08	209	3.28
Subtotal			785		35,482.31		34,571.40	(910.91)	1,138	3.28
CARNIVAL CORP PAIRED SHS	CCL	11/22/10	1,100	41.9058	46,096.38	32.6400	35,904.00	(10,192.38)	1,100	3.06
CASEYS GEN STORES INC	CASY	09/22/11	44	44.0911	1,940.01	51.5100	2,266.44	326.43	27	1.16
		09/26/11	47	45.2751	2,127.93	51.5100	2,420.97	293.04	29	1.16
		12/23/11	25	52.2424	1,306.06	51.5100	1,287.75	(18.31)	15	1.16
Subtotal			116		5,374.00		5,975.16	601.16	71	1.16
CASH AMERICAN INTL INC	CSH	05/06/09	196	23.7964	4,664.10	46.6300	9,139.48	4,475.38	28	.30
		11/22/10	125	36.0900	4,511.25	46.6300	5,828.75	1,317.50	18	.30
Subtotal			321		9,175.35		14,968.23	5,792.88	46	.30
CATALYST HEALTH SOLUTION	CHSI	11/22/10	100	43.5180	4,351.80	52.0000	5,200.00	848.20		
CENTENE CORP	CNC	11/22/10	193	23.0400	4,446.72	39.5900	7,640.87	3,194.15		
CERNER CORP COM	CERN	11/21/11	307	56.8851	17,463.73	61.2500	18,803.75	1,340.02		
CHECK POINT SOFTWARE TECH	CHKP	11/10/11	122	57.7404	7,044.33	52.5400	6,409.88	(634.45)		
		11/17/11	70	54.7724	3,834.07	52.5400	3,677.80	(156.27)		
		12/22/11	72	54.5598	3,928.31	52.5400	3,782.88	(145.43)		
Subtotal			264		14,806.71		13,870.56	(936.15)		
CHEVRON CORP	CVX	08/10/07	57	82.3500	4,693.95	106.4000	6,064.80	1,370.85	185	3.04
		04/30/08	180	97.0473	17,468.53	106.4000	19,152.00	1,683.47	584	3.04
		05/21/08	25	103.6500	2,591.25	106.4000	2,660.00	68.75	81	3.04
		08/07/08	193	84.0789	16,227.23	106.4000	20,535.20	4,307.97	626	3.04
		10/14/09	38	75.5200	2,869.76	106.4000	4,043.20	1,173.44	124	3.04





PRIVATE BANKING &
INVESTMENT GROUP

A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011- December 30, 2011

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
	CHEVRON CORP	CVX	10/28/09	198	75.9184	15,031.86	106.4000	21,067.20	6,035.34	642	3.04
			01/06/10	172	79.3690	13,651.47	106.4000	18,300.80	4,649.33	558	3.04
			11/22/10	325	82.8258	26,918.39	106.4000	34,580.00	7,661.61	1,053	3.04
	Subtotal			1,188		99,452.44		126,403.20	26,950.76	3,853	3.04
	CITRIX SYSTEMS INC COM	CTXS	04/22/10	194	47.6953	9,252.89	60.7200	11,779.68	2,526.79		
			07/27/10	258	48.0687	12,401.75	60.7200	15,665.76	3,264.01		
			10/25/10	146	61.0378	8,911.52	60.7200	8,865.12	(46.40)		
			10/26/10	45	61.8826	2,784.72	60.7200	2,732.40	(52.32)		
			11/22/10	250	67.5546	16,888.65	60.7200	15,180.00	(1,708.65)		
	Subtotal			893		50,239.53		54,222.96	3,983.43		
	CLOUD PEAK ENERGY INC	CLD	11/22/10	200	21.2440	4,248.80	19.3200	3,864.00	(384.80)		
	CMNTY BANK SYS INC DEL	CBU	07/24/09	69	16.3201	1,126.09	27.8000	1,918.20	792.11	72	3.74
			03/05/10	89	22.3606	1,990.10	27.8000	2,474.20	484.10	93	3.74
			05/31/11	64	24.8895	1,592.93	27.8000	1,779.20	186.27	67	3.74
	Subtotal			222		4,709.12		6,171.60	1,462.48	232	3.74
	CNOOC LTD ADR	CEO	03/14/08	55	156.6341	8,614.88	174.6800	9,607.40	992.52	318	3.30
			03/25/08	8	138.8700	1,110.96	174.6800	1,397.44	286.48	47	3.30
			12/29/08	80	89.7057	7,176.46	174.6800	13,974.40	6,797.94	463	3.30
			08/10/11	28	184.9264	5,177.94	174.6800	4,891.04	(286.90)	162	3.30
	Subtotal			171		22,080.24		29,870.28	7,790.04	990	3.30
	COACH INC	COH	01/28/10	572	34.9639	19,999.36	61.0400	34,914.88	14,915.52	515	1.47
			11/22/10	100	54.8551	5,485.51	61.0400	6,104.00	618.49	90	1.47
	Subtotal			672		25,484.87		41,018.88	15,534.01	605	1.47

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
COCA COLA COM	KO	02/10/10	51	53.8898	2,748.38	69.9700	3,568.47	820.09	96 2.68
		03/05/10	553	54.5981	30,192.75	69.9700	38,693.41	8,500.66	1,040 2.68
		11/22/10	250	63.8858	15,971.45	69.9700	17,492.50	1,521.05	471 2.68
		05/18/11	443	68.0074	30,127.28	69.9700	30,996.71	869.43	833 2.68
		06/23/11	448	65.3593	29,280.97	69.9700	31,346.56	2,065.59	843 2.68
Subtotal			1,745		108,320.83		122,097.65	13,776.82	3,283 2.68
COGNEX CORP	CGNX	08/25/11	60	32.8343	1,970.06	35.7900	2,147.40	177.34	24 1.11
		08/30/11	55	36.0852	1,984.69	35.7900	1,968.45	(16.24)	22 1.11
Subtotal			115		3,954.75		4,115.85	161.10	46 1.11
COHERENT INC CAL	COHR	11/22/10	175	42.6813	7,469.24	52.2700	9,147.25	1,678.01	
COMCAST CRP NEW CL A SPL	CMCSK	07/14/11	803	23.9369	19,221.41	23.5600	18,918.68	(302.73)	362 1.91
		07/27/11	884	24.0191	21,232.97	23.5600	20,827.04	(405.93)	398 1.91
		08/10/11	892	19.9914	17,832.33	23.5600	21,015.52	3,183.19	402 1.91
Subtotal			2,579		58,286.71		60,761.24	2,474.53	1,162 1.91
COMERICA INC COM	CMA	04/07/11	287	38.3602	11,009.38	25.8000	7,404.60	(3,604.78)	115 1.55
		04/08/11	318	37.9922	12,081.52	25.8000	8,204.40	(3,877.12)	128 1.55
		04/11/11	224	38.1687	8,549.81	25.8000	5,779.20	(2,770.61)	90 1.55
		04/12/11	332	38.3896	12,745.35	25.8000	8,565.60	(4,179.75)	133 1.55
Subtotal			1,161		44,386.06		29,953.80	(14,432.26)	466 1.55
CONCUR TECHNOLOGIES INC	CNQR	08/12/11	46	37.6486	1,731.84	50.7900	2,336.34	604.50	
COOPER TIRE RUBBER	CTB	05/27/10	234	19.6383	4,595.37	14.0100	3,278.34	(1,317.03)	99 2.99
		09/09/10	190	17.8505	3,391.60	14.0100	2,661.90	(729.70)	80 2.99
Subtotal			424		7,986.97		5,940.24	(2,046.73)	179 2.99
COVIDIEN PLC SHS NEW	COV	01/26/10	32	50.8940	1,628.61	45.0100	1,440.32	(188.29)	29 1.99
		02/01/10	274	50.2279	13,762.47	45.0100	12,332.74	(1,429.73)	247 1.99
		04/29/10	334	48.4611	16,186.04	45.0100	15,033.34	(1,152.70)	301 1.99
		11/22/10	150	42.5042	6,375.63	45.0100	6,751.50	375.87	135 1.99
Subtotal			790		37,952.75		35,557.90	(2,394.85)	712 1.99

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
CREDIT SUISSE GP SP ADR	CS	02/18/11	549	47.4410	26,045.11	23.4800	12,890.52	(13,154.59)	812	6.29
		03/16/11	110	41.6909	4,586.00	23.4800	2,582.80	(2,003.20)	163	6.29
		05/27/11	102	42.8763	4,373.39	23.4800	2,394.96	(1,978.43)	151	6.29
		07/13/11	103	37.4320	3,855.50	23.4800	2,418.44	(1,437.06)	153	6.29
		07/21/11	115	37.1668	4,274.19	23.4800	2,700.20	(1,573.99)	171	6.29
		09/19/11	127	24.5447	3,117.18	23.4800	2,981.96	(135.22)	188	6.29
Subtotal			1,106		46,251.37		25,968.88	(20,282.49)	1,638	6.29
CTS CORPORATION	CTS	03/19/10	34	9.4955	322.85	9.2000	312.80	(10.05)	5	1.52
		06/07/10	170	10.1262	1,721.47	9.2000	1,564.00	(157.47)	24	1.52
		06/08/10	220	9.8645	2,170.21	9.2000	2,024.00	(146.21)	31	1.52
Subtotal			424		4,214.53		3,900.80	(313.73)	60	1.52
CUBIC CORP DEL	CUB	09/23/11	6	37.3683	224.21	43.5900	261.54	37.33	2	.41
		09/26/11	21	37.8842	795.57	43.5900	915.39	119.82	4	.41
		09/28/11	27	40.0581	1,081.57	43.5900	1,176.93	95.36	5	.41
Subtotal			54		2,101.35		2,353.86	252.51	11	.41
CUBIST PHARMACEUTICALS	CBST	11/22/11	56	35.9719	2,014.43	39.6200	2,218.72	204.29		
		12/06/11	58	37.9434	2,200.72	39.6200	2,297.96	97.24		
Subtotal			114		4,215.15		4,516.68	301.53		
CURTISS WRIGHT	CW	03/03/11	141	37.8461	5,336.31	35.3300	4,981.53	(354.78)	46	.90
CYMER INC	CYMI	07/22/11	62	47.3775	2,937.41	49.7600	3,085.12	147.71		
DANAHER CORP DEL COM	DHR	03/02/11	464	50.1346	23,262.50	47.0400	21,826.56	(1,435.94)	47	.21
		05/16/11	254	54.0931	13,739.65	47.0400	11,948.16	(1,791.49)	26	.21
		05/17/11	495	53.4791	26,472.20	47.0400	23,284.80	(3,187.40)	50	.21
		07/27/11	430	49.4710	21,272.57	47.0400	20,227.20	(1,045.37)	43	.21
		08/24/11	389	43.1243	16,775.39	47.0400	18,298.56	1,523.17	39	.21
Subtotal			2,032		101,522.31		95,585.28	(5,937.03)	205	.21
DASSAULT SYS SA SPN ADR	DASTY	11/22/10	219	73.3500	16,063.65	80.4100	17,609.79	1,546.14	126	.71

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
DIAGEO PLC SPSPD ADR NEW	DEO	08/10/07	70	82.6900	5,788.30	87.4200	6,119.40	331.10	182	2.96
		05/21/08	14	79.0300	1,106.42	87.4200	1,223.88	117.46	37	2.96
		05/29/08	221	78.5998	17,370.56	87.4200	19,319.82	1,949.26	574	2.96
		06/05/08	118	79.5477	9,386.63	87.4200	10,315.56	928.93	307	2.96
		10/14/09	62	61.6448	3,821.98	87.4200	5,420.04	1,598.06	161	2.96
		11/22/10	175	75.5645	13,223.79	87.4200	15,298.50	2,074.71	455	2.96
Subtotal			660		50,697.68		57,697.20	6,999.52	1,716	2.96
DILLARDS INC CL A	DDS	12/14/11	99	44.5124	4,406.73	44.8800	4,443.12	36.39	20	.44
DISNEY (WALT) CO COM STK	DIS	10/12/11	643	33.8269	21,750.70	37.5000	24,112.50	2,361.80	386	1.60
DTS INC	DTSI	11/22/10	175	43.8800	7,679.00	27.2400	4,767.00	(2,912.00)		
		12/06/11	24	28.4391	682.54	27.2400	653.76	(28.78)		
Subtotal			199		8,361.54		5,420.76	(2,940.78)		
DUFF AND PHELPS CORP NEW CL A	DUF	11/22/10	150	13.5400	2,031.00	14.5000	2,175.00	144.00	48	2.20
E M C CORPORATION MASS	EMC	06/03/09	805	12.4813	10,047.45	21.5400	17,339.70	7,292.25		
		06/26/09	73	13.4198	979.65	21.5400	1,572.42	592.77		
		06/29/09	55	13.5780	746.79	21.5400	1,184.70	437.91		
		10/30/09	416	16.8731	7,019.21	21.5400	8,960.64	1,941.43		
		11/22/10	400	21.5975	8,639.00	21.5400	8,616.00	(23.00)		
Subtotal			1,749		27,432.10		37,673.46	10,241.36		
EBIX INC	EBIX	11/23/11	140	19.0069	2,660.97	22.1000	3,094.00	433.03	23	.72
EDWARDS LIFESCIENCES CRP	EW	12/13/11	348	67.1612	23,372.13	70.7000	24,603.60	1,231.47		
		12/14/11	179	65.9836	11,811.08	70.7000	12,655.30	844.22		
Subtotal			527		35,183.21		37,258.90	2,075.69		
EMBRAER S A SPONSRD ADR	ERJ	11/22/10	850	28.9482	24,605.97	25.2200	21,437.00	(3,168.97)		
		08/10/11	184	22.5291	4,145.37	25.2200	4,640.48	495.11		
Subtotal			1,034		28,751.34		26,077.48	(2,673.86)		



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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ENDURANCE SPECIALTY HLDG	ENH	09/22/10	156	39.3255	6,134.79	38.2500	5,967.00	(167.79)	188	3.13
		07/08/11	54	41.6892	2,251.22	38.2500	2,065.50	(185.72)	65	3.13
		07/11/11	88	41.1322	3,619.64	38.2500	3,366.00	(253.64)	106	3.13
Subtotal			298		12,005.65		11,398.50	(607.15)	359	3.13
ENNIS INC	EBF	05/08/09	191	10.8175	2,066.16	13.3300	2,546.03	479.87	119	4.65
EOG RESOURCES INC	EOG	10/15/10	33	100.0227	3,300.75	98.5100	3,250.83	(49.92)	22	.64
		10/18/10	212	101.2458	21,464.13	98.5100	20,884.12	(580.01)	136	.64
		11/22/10	100	92.0555	9,205.55	98.5100	9,851.00	645.45	64	.64
		02/24/11	77	111.7141	8,601.99	98.5100	7,585.27	(1,016.72)	50	.64
		12/05/11	203	102.5024	20,807.99	98.5100	19,997.53	(810.46)	130	.64
Subtotal			625		63,380.41		61,568.75	(1,811.66)	402	.64
EQTY LIFESTYLES PPTYS INC	ELS	06/30/09	50	37.1014	1,855.07	66.6900	3,334.50	1,479.43	75	2.24
		05/26/10	53	49.4252	2,619.54	66.6900	3,534.57	915.03	80	2.24
Subtotal			103		4,474.61		6,869.07	2,394.46	155	2.24
EXPRESS SCRIPTS INC COM	ESRX	06/14/10	407	53.4015	21,734.45	44.6900	18,188.83	(3,545.62)		
		11/22/10	150	53.2889	7,993.34	44.6900	6,703.50	(1,289.84)		
		05/16/11	283	59.7455	16,908.00	44.6900	12,647.27	(4,260.73)		
		05/17/11	37	59.5348	2,202.79	44.6900	1,653.53	(549.26)		
Subtotal			877		48,838.58		39,193.13	(9,645.45)		
EXXON MOBIL CORP COM	XOM	08/10/07	523	83.8861	43,872.44	84.7600	44,329.48	457.04	984	2.21
		05/21/08	30	94.7100	2,841.30	84.7600	2,542.80	(298.50)	57	2.21
		01/28/09	32	78.3184	2,506.19	84.7600	2,712.32	206.13	61	2.21
		10/14/09	44	71.7979	3,159.11	84.7600	3,729.44	570.33	83	2.21
		11/22/10	175	69.5058	12,163.52	84.7600	14,833.00	2,669.48	329	2.21
		12/08/10	270	71.9122	19,416.32	84.7600	22,885.20	3,468.88	508	2.21
		03/10/11	193	82.1595	15,856.80	84.7600	16,358.68	501.88	363	2.21
Subtotal			1,267		99,815.68		107,390.92	7,575.24	2,385	2.21
EZCHIP SEMICONDUCTOR LTD	EZCH	11/07/11	70	31.6755	2,217.29	28.3300	1,983.10	(234.19)		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
FAMILY DOLLAR STORES	FDO	10/19/11	527	57.7750	30,447.43	57.6600	30,386.82	(60.61)	380	1.24
		10/20/11	115	57.6106	6,625.22	57.6600	6,630.90	5.68	83	1.24
		12/02/11	87	58.8365	5,118.78	57.6600	5,016.42	(102.36)	63	1.24
		12/05/11	191	59.3213	11,330.37	57.6600	11,013.06	(317.31)	138	1.24
Subtotal			920		53,521.80		53,047.20	(474.60)	664	1.24
FANUC LTD-UNSP	FANUY	11/02/10	1,013	24.0712	24,384.20	25.3000	25,628.90	1,244.70	376	1.46
FINISH LINE INC CL A	FINL	01/07/10	149	12.1609	1,811.98	19.2850	2,873.47	1,061.49	30	1.03
		09/24/10	295	14.0363	4,140.71	19.2850	5,689.08	1,548.37	59	1.03
Subtotal			444		5,952.69		8,562.55	2,609.86	89	1.03
FIRST POTOMAC RLTY TR REIT	FPO	04/30/10	15	16.1420	242.13	13.0500	195.75	(46.38)	12	6.13
		05/03/10	57	16.4729	938.96	13.0500	743.85	(195.11)	46	6.13
		06/09/10	275	14.2337	3,914.27	13.0500	3,588.75	(325.52)	220	6.13
Subtotal			347		5,095.36		4,528.35	(567.01)	278	6.13
FLSMIDTH AND CO A/S ADR	FLIDY	11/22/10	1,875	9.0000	16,875.00	5.7900	10,856.25	(6,018.75)	212	1.95
		05/11/11	655	8.5265	5,584.92	5.7900	3,792.45	(1,792.47)	75	1.95
Subtotal			2,530		22,459.92		14,648.70	(7,811.22)	287	1.95
FORTINET INC	FINI	10/07/11	108	18.0209	1,946.26	21.8100	2,355.48	409.22		
FRANKLIN EL CO PV10CT INDIANA	FELE	04/30/10	1	35.6500	35.65	43.5600	43.56	7.91	1	1.23
		07/27/10	42	31.9414	1,341.54	43.5600	1,829.52	487.98	23	1.23
		07/28/10	122	31.7509	3,873.61	43.5600	5,314.32	1,440.71	66	1.23
Subtotal			165		5,250.80		7,187.40	1,936.60	90	1.23
FRESENIUS MEDICAL CARE AG & CO SPON ADR	FMS	11/22/10	482	59.3370	28,600.44	67.9800	32,766.36	4,165.92	315	.95
FRESH MKT INC	TFM	11/22/10	125	33.5783	4,197.29	39.9000	4,987.50	790.21		
COMMON STOCK		04/28/11	57	41.7682	2,380.79	39.9000	2,274.30	(106.49)		
Subtotal			182		6,578.08		7,261.80	683.72		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
FTI CONSULTING INC COM	FCN	11/02/09	76	40.5672	3,083.11	42.4200	3,223.92	140.81	
		06/01/10	18	42.7872	770.17	42.4200	763.56	(6.61)	
		06/23/10	24	44.4625	1,067.10	42.4200	1,018.08	(49.02)	
		10/12/10	18	35.1577	632.84	42.4200	763.56	130.72	
		11/22/10	75	35.1200	2,634.00	42.4200	3,181.50	547.50	
Subtotal			211		8,187.22		8,950.62	763.40	
FULTON FINL CORP PA	FULT	05/25/10	92	9.4168	866.35	9.8100	902.52	36.17	23 2.44
		05/28/10	334	9.9955	3,338.53	9.8100	3,276.54	(61.99)	81 2.44
		08/19/10	354	8.5124	3,013.39	9.8100	3,472.74	459.35	85 2.44
		08/04/11	133	9.9452	1,322.72	9.8100	1,304.73	(17.99)	32 2.44
Subtotal			913		8,540.99		8,956.53	415.54	221 2.44
GATX CORPORATION	GMT	03/17/11	129	35.6968	4,604.89	43.6600	5,632.14	1,027.25	150 2.65
		03/30/11	60	38.4591	2,307.55	43.6600	2,619.60	312.05	70 2.65
Subtotal			189		6,912.44		8,251.74	1,339.30	220 2.65
GENERAL MILLS	GIS	10/15/09	296	32.5753	9,642.30	40.4100	11,961.36	2,319.06	362 3.01
		10/16/09	278	32.7484	9,104.07	40.4100	11,233.98	2,129.91	340 3.01
		04/14/10	428	35.3289	15,120.81	40.4100	17,295.48	2,174.67	523 3.01
		11/22/10	575	35.1462	20,209.12	40.4100	23,235.75	3,026.63	702 3.01
		12/16/10	554	36.5622	20,255.46	40.4100	22,387.14	2,131.68	676 3.01
Subtotal			2,131		74,331.76		86,113.71	11,781.95	2,603 3.01
GENESCO INC	GCO	11/22/10	300	35.6700	10,701.00	61.7400	18,522.00	7,821.00	
GEO GROUP INC	GEO	11/22/10	300	24.1299	7,238.97	16.7500	5,025.00	(2,213.97)	
		10/28/11	20	19.0895	381.79	16.7500	335.00	(46.79)	
Subtotal			320		7,620.76		5,360.00	(2,260.76)	
GNC HOLDINGS INC SHS	GNC	04/04/11	109	17.4497	1,902.02	28.9500	3,155.55	1,253.53	
CL A		04/07/11	158	17.8017	2,812.67	28.9500	4,574.10	1,761.43	
Subtotal			267		4,714.69		7,729.65	3,014.96	

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
GOLDMAN SACHS GROUP INC	GS	10/14/09	1	193.0300	193.03	90.4300	90.43	(102.60)	2	1.54
		03/31/10	59	170.7403	10,073.68	90.4300	5,335.37	(4,738.31)	83	1.54
		04/07/10	87	177.2659	15,422.14	90.4300	7,867.41	(7,554.73)	122	1.54
		07/29/10	186	149.4598	27,799.54	90.4300	16,819.98	(10,979.56)	261	1.54
		11/22/10	225	159.4016	35,865.36	90.4300	20,346.75	(15,518.61)	316	1.54
		03/02/11	114	162.3264	18,505.22	90.4300	10,309.02	(8,196.20)	160	1.54
		05/04/11	141	151.6324	21,380.17	90.4300	12,750.63	(8,629.54)	198	1.54
		05/17/11	143	139.3955	19,933.56	90.4300	12,931.49	(7,002.07)	201	1.54
Subtotal			956		149,172.70		86,451.08	(62,721.62)	1,343	1.54
GOOGLE INC CL A	GOOG	02/11/11	41	623.8614	25,578.32	645.9000	26,481.90	903.58		
		02/14/11	32	628.4240	20,109.57	645.9000	20,668.80	559.23		
		02/23/11	49	612.1371	29,994.72	645.9000	31,649.10	1,654.38		
		02/23/11	25	606.7280	15,168.20	645.9000	16,147.50	979.30		
		04/01/11	21	594.0076	12,474.16	645.9000	13,563.90	1,089.74		
		04/28/11	19	537.7663	10,217.56	645.9000	12,272.10	2,054.54		
		05/04/11	34	537.2958	18,268.06	645.9000	21,960.60	3,692.54		
		08/03/11	25	600.0352	15,000.88	645.9000	16,147.50	1,146.62		
		11/30/11	38	596.7910	22,678.06	645.9000	24,544.20	1,866.14		
Subtotal			284		169,489.53		183,435.60	13,946.07		
GOVT PPTYS INCOME TR	GOV	04/05/10	34	26.3102	894.55	22.5500	766.70	(127.85)	58	7.45
		05/03/10	69	27.2337	1,879.13	22.5500	1,555.95	(323.18)	116	7.45
		06/30/10	65	25.5441	1,660.37	22.5500	1,465.75	(194.62)	110	7.45
		09/28/10	79	26.2377	2,072.78	22.5500	1,781.45	(291.33)	133	7.45
Subtotal			247		6,506.83		5,569.85	(936.98)	417	7.45
GRAFTECH INTL LTD	GTI	11/22/10	325	18.4051	5,981.66	13.6500	4,436.25	(1,545.41)		
GULFPORT ENERGY NEW\$0.01	GPOR	10/20/11	68	30.0635	2,044.32	29.4500	2,002.60	(41.72)		
HANG LUNG PROPERTIES ADR	HLPY	11/22/10	875	22.9902	20,116.43	14.2000	12,425.00	(7,691.43)	371	2.97

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
HASBRO INC COM	HAS	06/10/09	387	26.0152	10,067.92	31.8900	12,341.43	2,273.51	465	3.76
		10/14/09	77	28.4972	2,194.29	31.8900	2,455.53	261.24	93	3.76
		11/22/10	250	47.2199	11,804.98	31.8900	7,972.50	(3,832.48)	300	3.76
		06/01/11	449	46.1420	20,717.76	31.8900	14,318.61	(6,399.15)	539	3.76
		08/17/11	425	37.7316	16,035.97	31.8900	13,553.25	(2,482.72)	510	3.76
		09/14/11	478	36.5256	17,459.24	31.8900	15,243.42	(2,215.82)	574	3.76
Subtotal			2,066		78,280.16		65,884.74	(12,395.42)	2,481	3.76
HENNES AND MAURITZ AB- ADR	HNNMY	11/22/10	5,400	6.7500	36,450.00	6.3600	34,344.00	(2,106.00)	1,275	3.71
HERBALIFE LTD	HLF	11/22/10	72	34.5708	2,489.10	51.6700	3,720.24	1,231.14	53	1.40
HEXCEL CORP NEW COM	HXL	10/07/11	88	22.5056	1,980.50	24.2100	2,130.48	149.98		
		10/11/11	85	23.5287	1,999.94	24.2100	2,057.85	57.91		
Subtotal			173		3,980.44		4,188.33	207.89		
HONEYWELL INTL INC DEL	HON	05/26/10	568	42.5626	24,175.56	54.3500	30,870.80	6,695.24	847	2.74
		08/11/10	318	42.2445	13,433.78	54.3500	17,283.30	3,849.52	474	2.74
		11/22/10	275	49.4262	13,592.23	54.3500	14,946.25	1,354.02	410	2.74
Subtotal			1,161		51,201.57		63,100.35	11,898.78	1,731	2.74
HONG KONG EXCHANGES AND CLEARING LTD SHS	HXXCY	11/22/10	1,150	23.3700	26,875.50	15.9000	18,285.00	(8,590.50)	596	3.25
HUNTSMAN CORP	HUN	11/22/10	686	13.6058	9,333.58	10.0000	6,860.00	(2,473.58)	275	4.00
ILLUMINA INC COM	ILMN	01/13/11	32	68.9475	2,206.32	30.4800	975.36	(1,230.96)		
		01/14/11	40	68.6330	2,745.32	30.4800	1,219.20	(1,526.12)		
		03/16/11	293	63.8350	18,703.68	30.4800	8,930.64	(9,773.04)		
		05/02/11	194	71.5596	13,882.58	30.4800	5,913.12	(7,969.46)		
		05/03/11	113	71.3678	8,064.57	30.4800	3,444.24	(4,620.33)		
		07/29/11	312	61.6811	19,244.53	30.4800	9,509.76	(9,734.77)		
Subtotal			984		64,847.00		29,992.32	(34,854.68)		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
IMPAX LABS INC	IPXL	03/15/11	77	25.9651	1,999.32	20.1700	1,553.09	(446.23)		
INDUSTRL AND COMMRL BNK OF CHN	IDCBY	11/22/10	1,537	15.4458	23,740.33	11.9200	18,321.04	(5,419.29)	708	3.85
		01/27/11	148	15.0189	2,222.81	11.9200	1,764.16	(458.65)	69	3.85
		01/28/11	226	14.9261	3,373.30	11.9200	2,693.92	(679.38)	104	3.85
Subtotal			1,911		29,336.44		22,779.12	(6,557.32)	881	3.85
INFORMATICA CORP CA	INFA	08/18/09	17	18.0435	306.74	36.9300	627.81	321.07		
		09/16/09	56	21.2442	1,189.68	36.9300	2,068.08	878.40		
		12/03/09	64	23.3940	1,497.22	36.9300	2,363.52	866.30		
		11/22/10	25	40.5268	1,013.17	36.9300	923.25	(89.92)		
		11/22/11	13	44.9253	584.03	36.9300	480.09	(103.94)		
Subtotal			175		4,590.84		6,462.75	1,871.91		
ING GP NV SPSPD ADR	ING	08/12/11	853	8.7394	7,454.71	7.1700	6,116.01	(1,338.70)		
		08/16/11	431	8.9306	3,849.09	7.1700	3,090.27	(758.82)		
		08/19/11	485	7.8963	3,829.75	7.1700	3,477.45	(352.30)		
		09/19/11	1,137	6.7505	7,675.32	7.1700	8,152.29	476.97		
Subtotal			2,906		22,808.87		20,836.02	(1,972.85)		
INNPHOS HLDGS INC	IPHS	09/24/10	115	30.9903	3,563.89	48.5600	5,584.40	2,020.51	115	2.05
INTEL CORP	INTC	11/22/10	623	21.1391	13,169.66	24.2500	15,107.75	1,938.09	524	3.46
		05/18/11	319	23.8691	7,614.27	24.2500	7,735.75	121.48	268	3.46
		05/19/11	900	23.5068	21,156.12	24.2500	21,825.00	668.88	756	3.46
		05/19/11	1,375	23.3386	32,090.58	24.2500	33,343.75	1,253.17	1,155	3.46
Subtotal			3,217		74,030.63		78,012.25	3,981.62	2,703	3.46
INTL BUSINESS MACHINES CORP IBM	IBM	06/23/10	68	129.9183	8,834.45	183.8800	12,503.84	3,669.39	204	1.63
		07/28/10	92	128.9907	11,867.15	183.8800	16,916.96	5,049.81	276	1.63
		11/03/10	101	143.6178	14,505.40	183.8800	18,571.88	4,066.48	303	1.63
		11/22/10	175	144.3300	25,257.75	183.8800	32,179.00	6,921.25	525	1.63
Subtotal			436		60,464.75		80,171.68	19,706.93	1,308	1.63



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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
INTREPID POTASH INC	IPI	12/22/09	7	28.0614	196.43	22.6300	158.41	(38.02)		
		12/30/09	114	29.3050	3,340.78	22.6300	2,579.82	(760.96)		
Subtotal			121		3,537.21		2,738.23	(798.98)		
IPG PHOTONICS CORP DEL	IPGP	11/07/11	42	51.7235	2,172.39	33.8700	1,422.54	(749.85)		
ITAU UNIBANCO BANCO HOLD	ITUB	03/11/10	877	21.4338	18,797.45	18.5600	16,277.12	(2,520.33)	75	.45
SA SPONSORED ADR		03/11/10	25	21.3416	533.54	18.5600	464.00	(69.54)	3	.45
		02/03/11	182	21.3741	3,890.09	18.5600	3,377.92	(512.17)	16	.45
		05/04/11	279	22.4558	6,265.17	18.5600	5,178.24	(1,086.93)	24	.45
		07/21/11	179	21.2974	3,812.24	18.5600	3,322.24	(490.00)	16	.45
		09/01/11	213	18.7571	3,995.28	18.5600	3,953.28	(42.00)	19	.45
Subtotal			1,755		37,293.77		32,572.80	(4,720.97)	153	.45
JETBLUE AIRWAYS CORP DEL	JBLU	11/22/10	550	6.7700	3,723.50	5.2000	2,860.00	(863.50)		
JOHNSON AND JOHNSON COM	JNJ	10/14/09	10	60.5570	605.57	65.5800	655.80	50.23	23	3.47
		10/28/09	217	59.7688	12,969.85	65.5800	14,230.86	1,261.01	495	3.47
		02/10/10	251	62.8809	15,783.13	65.5800	16,460.58	677.45	573	3.47
		10/06/10	157	62.7210	9,847.21	65.5800	10,296.06	448.85	358	3.47
		11/22/10	425	63.4036	26,946.57	65.5800	27,871.50	924.93	970	3.47
		05/12/11	619	66.5550	41,197.55	65.5800	40,594.02	(603.53)	1,412	3.47
		06/23/11	593	65.0963	38,602.11	65.5800	38,888.94	286.83	1,353	3.47
		07/20/11	337	66.3148	22,348.12	65.5800	22,100.46	(247.66)	769	3.47
Subtotal			2,609		168,300.11		171,098.22	2,798.11	5,953	3.47
JOHNSON CONTROLS INC	JCI	05/26/10	426	28.1739	12,002.12	31.2600	13,316.76	1,314.64	307	2.30
		11/22/10	125	36.8251	4,603.14	31.2600	3,907.50	(695.64)	90	2.30
		12/02/10	503	39.0171	19,625.65	31.2600	15,723.78	(3,901.87)	363	2.30
		03/23/11	505	40.2345	20,318.47	31.2600	15,786.30	(4,532.17)	364	2.30
Subtotal			1,559		56,549.38		48,734.34	(7,815.04)	1,124	2.30
JONES (THE) GROUP INC	JNY	10/26/11	507	10.9389	5,546.07	10.5500	5,348.85	(197.22)	102	1.89

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
JPMORGAN CHASE & CO	JPM	02/11/09	1	25.8100	25.81	33.2500	33.25	7.44	1	3.00
		03/04/09	273	19.6250	5,357.63	33.2500	9,077.25	3,719.62	273	3.00
		03/13/09	481	23.8329	11,463.67	33.2500	15,993.25	4,529.58	481	3.00
		06/03/09	274	34.3998	9,425.57	33.2500	9,110.50	(315.07)	274	3.00
		06/10/09	178	35.3261	6,288.06	33.2500	5,918.50	(369.56)	178	3.00
		06/26/09	41	34.2200	1,403.02	33.2500	1,363.25	(39.77)	41	3.00
		06/29/09	21	34.3800	721.98	33.2500	698.25	(23.73)	21	3.00
		10/14/09	46	47.0880	2,166.05	33.2500	1,529.50	(636.55)	46	3.00
		04/15/10	273	47.9487	13,090.00	33.2500	9,077.25	(4,012.75)	273	3.00
		11/22/10	800	38.3675	30,694.00	33.2500	26,600.00	(4,094.00)	800	3.00
		02/02/11	438	45.7755	20,049.67	33.2500	14,563.50	(5,486.17)	438	3.00
		02/25/11	416	46.5125	19,349.20	33.2500	13,832.00	(5,517.20)	416	3.00
		08/03/11	669	39.4285	26,377.67	33.2500	22,244.25	(4,133.42)	669	3.00
		12/02/11	804	32.4363	26,078.79	33.2500	26,733.00	654.21	804	3.00
		12/05/11	879	33.8118	29,720.66	33.2500	29,226.75	(493.91)	879	3.00
Subtotal			5,594		202,211.78		186,000.50	(16,211.28)	5,594	3.00
JUNIPER NETWORKS INC	JNPR	11/22/10	975	34.4058	33,545.66	20.4100	19,899.75	(13,645.91)		
		03/04/11	186	43.9851	8,181.23	20.4100	3,796.26	(4,384.97)		
		03/07/11	192	44.2834	8,502.43	20.4100	3,918.72	(4,583.71)		
Subtotal			1,353		50,229.32		27,614.73	(22,614.59)		
KDDI CORP SHS	KDDY	05/11/11	1,008	17.7113	17,853.04	16.1000	16,228.80	(1,624.24)	410	2.52
KEMPER CORP DEL	KMPR	03/09/11	66	30.0942	1,986.22	29.2100	1,927.86	(58.36)	64	3.28
		04/01/11	83	31.3438	2,601.54	29.2100	2,424.43	(177.11)	80	3.28
Subtotal			149		4,587.76		4,352.29	(235.47)	144	3.28
KIMBERLY CLARK	KMB	09/22/11	30	68.8200	2,064.60	73.5600	2,206.80	142.20	84	3.80
		10/03/11	462	70.8395	32,727.85	73.5600	33,984.72	1,256.87	1,294	3.80
Subtotal			492		34,792.45		36,191.52	1,399.07	1,378	3.80
KINGFISHER PLC SPADR	KGFI	11/22/10	3,975	7.9096	31,440.66	7.6900	30,567.75	(872.91)	855	2.79



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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
KKR FINL HLDGS LLC	KFN	08/25/11	230	8.6243	1,983.61	8.7300	2,007.90	24.29	166	8.24
KOHL'S CORP WISC PV ICT	KSS	08/11/11	190	47.9095	9,102.82	49.3500	9,376.50	273.68	190	2.02
		09/16/11	202	47.8450	9,664.71	49.3500	9,968.70	303.99	202	2.02
		09/30/11	343	49.8741	17,106.82	49.3500	16,927.05	(179.77)	343	2.02
Subtotal			735		35,874.35		36,272.25	397.90	735	2.02
KOMATSU NEW NEW SPNSDADR	KMTUY	02/24/10	956	20.1158	19,230.80	23.6200	22,580.72	3,349.92	415	1.83
		11/22/10	225	27.5000	6,187.50	23.6200	5,314.50	(873.00)	98	1.83
Subtotal			1,181		25,418.30		27,895.22	2,476.92	513	1.83
KRATON PERFORMANCE POLYMERS INC	KRA	06/09/11	19	38.7657	736.55	20.3000	385.70	(350.85)		
		06/10/11	97	38.0593	3,691.76	20.3000	1,969.10	(1,722.66)		
Subtotal			116		4,428.31		2,354.80	(2,073.51)		
KROGER CO	KR	06/24/11	298	24.4925	7,298.77	24.2200	7,217.56	(81.21)	138	1.89
		06/27/11	1,080	24.3132	26,258.36	24.2200	26,157.60	(100.76)	497	1.89
		07/05/11	882	25.1059	22,143.49	24.2200	21,362.04	(781.45)	406	1.89
Subtotal			2,260		55,700.62		54,737.20	(963.42)	1,041	1.89
LANDSTAR SYS INC COM	LSTR	11/22/10	88	37.7750	3,324.20	47.9200	4,216.96	892.76	20	.45
LAS VEGAS SANDS CORP	LVS	06/07/11	127	48.6575	6,179.51	42.7300	5,426.71	(752.80)		
		06/08/11	814	40.3790	32,868.51	42.7300	34,782.22	1,913.71		
		06/29/11	36	44.9386	1,617.79	42.7300	1,538.28	(79.51)		
		06/29/11	24	46.3904	1,113.37	42.7300	1,025.52	(87.85)		
		08/04/11	311	44.6624	13,890.01	42.7300	13,289.03	(600.98)		
		12/21/11	103	42.4206	4,369.33	42.7300	4,401.19	31.86		
Subtotal			1,415		60,038.52		60,462.95	424.43		
LAUDER ESTEE COS INC A	EL	08/10/11	395	91.9827	36,333.17	112.3200	44,366.40	8,033.23	415	.93
		08/15/11	57	93.6568	5,338.44	112.3200	6,402.24	1,063.80	60	.93
Subtotal			452		41,671.61		50,768.64	9,097.03	475	.93

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
LI & FUNG LTD-UNSP ADR	LFUGY	09/02/11	2,068	3.6882	7,627.40	3.6700	7,589.56	(37.84)	191	2.50
		09/16/11	1,442	3.7173	5,360.49	3.6700	5,292.14	(68.35)	133	2.50
		09/20/11	2,410	3.4587	8,335.47	3.6700	8,844.70	509.23	222	2.50
Subtotal			5,920		21,323.36		21,726.40	403.04	546	2.50
LOCKHEED MARTIN CORP	LMT	08/10/07	702	95.2700	66,879.55	80.9000	56,791.80	(10,087.75)	2,809	4.94
		08/10/07	100	97.2211	9,722.11	80.9000	8,090.00	(1,632.11)	400	4.94
		03/26/08	23	101.0700	2,324.61	80.9000	1,860.70	(463.91)	92	4.94
		05/21/08	40	107.2600	4,290.40	80.9000	3,236.00	(1,054.40)	160	4.94
		11/05/08	105	85.6701	8,995.37	80.9000	8,494.50	(500.87)	420	4.94
		01/28/09	42	82.0942	3,447.96	80.9000	3,397.80	(50.16)	168	4.94
		03/04/09	121	62.4638	7,558.13	80.9000	9,788.90	2,230.77	484	4.94
		08/26/09	216	74.6147	16,116.78	80.9000	17,474.40	1,357.62	864	4.94
		10/14/09	89	75.1900	6,691.91	80.9000	7,200.10	508.19	356	4.94
		11/04/09	144	70.8681	10,205.01	80.9000	11,649.60	1,444.59	576	4.94
		11/22/10	400	68.4142	27,365.68	80.9000	32,360.00	4,994.32	1,601	4.94
		07/06/11	138	81.5005	11,247.08	80.9000	11,164.20	(82.88)	552	4.94
Subtotal			2,120		174,844.59		171,508.00	(3,336.59)	8,482	4.94
LORILLARD INC	LO	10/04/11	293	110.5847	32,401.32	114.0000	33,402.00	1,000.68	1,524	4.56
LTC PROPERTIES INC	LTC	10/21/10	112	27.7462	3,107.58	30.8600	3,456.32	348.74	189	5.44
REITS-HEALTH CARE		05/27/11	40	29.0857	1,163.43	30.8600	1,234.40	70.97	68	5.44
		05/31/11	11	29.4963	324.46	30.8600	339.46	15.00	19	5.44
		10/21/11	54	26.5538	1,433.91	30.8600	1,666.44	232.53	91	5.44
Subtotal			217		6,029.38		6,696.62	667.24	367	5.44
LUFKIN INDS INC	LUFK	11/22/10	209	50.4500	10,544.05	67.3100	14,067.79	3,523.74	105	.74
LVMH MOET HENNESSY ADR	LVMUY	11/22/10	1,170	32.6000	38,142.00	28.1000	32,877.00	(5,265.00)	528	1.60
		03/16/11	169	28.5279	4,821.22	28.1000	4,748.90	(72.32)	77	1.60
		09/23/11	178	28.2238	5,023.85	28.1000	5,001.80	(22.05)	81	1.60
Subtotal			1,517		47,987.07		42,627.70	(5,359.37)	686	1.60

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
MADDEN STEVEN LTD	SHOO	11/22/10	162	29.2453	4,737.75	34.5000	5,589.00	851.25		
MAXIMUS INC	MMS	09/27/10	113	29.7721	3,364.25	41.3500	4,672.55	1,308.30	41	.87
		01/31/11	92	33.8439	3,113.64	41.3500	3,804.20	690.56	34	.87
Subtotal			205		6,477.89		8,476.75	1,998.86	75	.87
MCDONALDS CORP COM	MCD	05/25/11	288	82.8778	23,868.81	100.3300	28,895.04	5,026.23	807	2.79
		05/26/11	388	82.4342	31,984.47	100.3300	38,928.04	6,943.57	1,087	2.79
Subtotal			676		55,853.28		67,823.08	11,969.80	1,894	2.79
MEAD JOHNSON NUTRTION CO	MJN	12/18/09	28	41.7067	1,167.79	68.7300	1,924.44	756.65	30	1.51
		01/06/10	334	44.9017	14,997.20	68.7300	22,955.82	7,958.62	348	1.51
		01/28/10	247	45.2434	11,175.14	68.7300	16,976.31	5,801.17	257	1.51
		11/22/10	125	59.9906	7,498.83	68.7300	8,591.25	1,092.42	130	1.51
		12/22/11	133	68.1673	9,066.26	68.7300	9,141.09	74.83	139	1.51
Subtotal			867		43,905.22		59,588.91	15,683.69	904	1.51
MEDASSETS INC	MDAS	11/22/10	175	16.7750	2,935.63	9.2500	1,618.75	(1,316.88)		
		12/10/10	116	18.8612	2,187.90	9.2500	1,073.00	(1,114.90)		
Subtotal			291		5,123.53		2,691.75	(2,431.78)		
MEDICIS PHARMS CL A COM	MRX	07/06/10	152	22.0300	3,348.56	33.2500	5,054.00	1,705.44	49	.96
		07/08/11	164	39.9156	6,546.16	33.2500	5,453.00	(1,093.16)	53	.96
Subtotal			316		9,894.72		10,507.00	612.28	102	.96
MEDIDATA SOLUTIONS INC	MDSO	12/21/10	56	24.2651	1,358.85	21.7500	1,218.00	(140.85)		
		12/28/10	50	24.1632	1,208.16	21.7500	1,087.50	(120.66)		
		01/03/11	52	23.9419	1,244.98	21.7500	1,131.00	(113.98)		
		01/04/11	5	23.6520	118.26	21.7500	108.75	(9.51)		
		03/15/11	109	21.3147	2,323.31	21.7500	2,370.75	47.44		
		10/28/11	17	18.5582	315.49	21.7500	369.75	54.26		
Subtotal			289		6,569.05		6,285.75	(283.30)		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
MEDTRONIC INC COM	MDT	04/29/09	205	31.2215	6,400.41	38.2500	7,841.25	1,440.84	199 2.53
		05/06/09	375	32.0202	12,007.61	38.2500	14,343.75	2,336.14	364 2.53
		08/19/09	266	36.9119	9,818.59	38.2500	10,174.50	355.91	259 2.53
		09/02/09	349	38.2146	13,336.90	38.2500	13,349.25	12.35	339 2.53
		10/14/09	97	36.9289	3,582.11	38.2500	3,710.25	128.14	95 2.53
		08/18/10	341	36.4970	12,445.51	38.2500	13,043.25	597.74	331 2.53
		11/22/10	500	34.5042	17,252.10	38.2500	19,125.00	1,872.90	485 2.53
Subtotal			2,133		74,843.23		81,587.25	6,744.02	2,072 2.53
MERCADOLIBRE INC	MELI	09/19/11	103	67.3812	6,940.27	79.5400	8,192.62	1,252.35	33 .40
		09/23/11	58	59.6005	3,456.83	79.5400	4,613.32	1,156.49	19 .40
		09/28/11	52	58.1155	3,022.01	79.5400	4,136.08	1,114.07	17 .40
Subtotal			213		13,419.11		16,942.02	3,522.91	69 .40
MERIDIAN BIOSCIENCE INC	VIVO	05/06/09	204	17.5620	3,582.65	18.8400	3,843.36	260.71	156 4.03
		04/27/10	118	19.3037	2,277.84	18.8400	2,223.12	(54.72)	90 4.03
Subtotal			322		5,860.49		6,066.48	205.99	246 4.03
MERITOR INCCOM	MTOR	11/22/10	350	18.0851	6,329.79	5.3200	1,862.00	(4,467.79)	
		04/01/11	163	16.8295	2,743.22	5.3200	867.16	(1,876.06)	
Subtotal			513		9,073.01		2,729.16	(6,343.85)	
METLIFE INC COM	MET	08/10/07	770	61.5122	47,364.42	31.1800	24,008.60	(23,355.82)	570 2.37
		02/12/08	27	57.9900	1,565.73	31.1800	841.86	(723.87)	20 2.37
		03/26/08	23	60.3700	1,388.51	31.1800	717.14	(671.37)	18 2.37
		05/21/08	39	59.4900	2,320.11	31.1800	1,216.02	(1,104.09)	29 2.37
		06/23/08	195	56.3387	10,986.05	31.1800	6,080.10	(4,905.95)	145 2.37
		10/22/08	327	31.3307	10,245.17	31.1800	10,195.86	(49.31)	242 2.37
		10/14/09	90	38.1698	3,435.29	31.1800	2,806.20	(629.09)	67 2.37
		09/01/10	245	39.4166	9,657.07	31.1800	7,639.10	(2,017.97)	182 2.37
		11/22/10	550	38.3963	21,117.97	31.1800	17,149.00	(3,968.97)	407 2.37
		01/19/11	511	45.8884	23,449.02	31.1800	15,932.98	(7,516.04)	379 2.37
Subtotal			2,777		131,529.34		86,586.86	(44,942.48)	2,059 2.37

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
MICROSOFT CORP	MSFT	08/09/11	887	25.2052	22,357.10	25.9600	23,026.52	669.42	710	3.08
		08/18/11	1,912	24.8833	47,577.06	25.9600	49,635.52	2,058.46	1,530	3.08
Subtotal			2,799		69,934.16		72,662.04	2,727.88	2,240	3.08
MITSUBISHI UFJ FINL GRP INC	MTU	05/21/10	1,491	4.9871	7,435.77	4.1900	6,247.29	(1,188.48)	206	3.29
		09/21/10	1,373	4.8232	6,622.39	4.1900	5,752.87	(869.52)	190	3.29
		10/06/10	903	4.8832	4,409.53	4.1900	3,783.57	(625.96)	125	3.29
		10/07/10	641	4.9241	3,156.35	4.1900	2,685.79	(470.56)	89	3.29
		02/03/11	719	5.3763	3,865.63	4.1900	3,012.61	(853.02)	100	3.29
Subtotal			5,127		25,489.67		21,482.13	(4,007.54)	710	3.29
MONSANTO CO NEW DEL COM	MON	05/31/11	144	70.8318	10,199.78	70.0700	10,090.08	(109.70)	173	1.71
		06/01/11	529	70.5210	37,305.61	70.0700	37,067.03	(238.58)	635	1.71
		06/30/11	279	72.0486	20,101.56	70.0700	19,549.53	(552.03)	335	1.71
		10/14/11	252	73.8684	18,614.84	70.0700	17,657.64	(957.20)	303	1.71
Subtotal			1,204		86,221.79		84,364.28	(1,857.51)	1,446	1.71
MONSTER WORLDWIDE INC	MWW	11/22/10	175	19.7557	3,457.26	7.9300	1,387.75	(2,069.51)		
		09/02/11	290	8.6892	2,519.87	7.9300	2,299.70	(220.17)		
Subtotal			465		5,977.13		3,687.45	(2,289.68)		
MWI VETERINARY SUPPLY IN	MWV	11/08/11	19	70.1826	1,333.47	66.4400	1,262.36	(71.11)		
		11/09/11	12	68.2625	819.15	66.4400	797.28	(21.87)		
Subtotal			31		2,152.62		2,059.64	(92.98)		
NATIONAL-OILWELL VARCO INC	NOV	03/17/11	398	77.6113	30,889.30	67.9900	27,060.02	(3,829.28)	192	.70
		03/18/11	92	78.7285	7,243.03	67.9900	6,255.08	(987.95)	45	.70
		07/27/11	246	79.8391	19,640.42	67.9900	16,725.54	(2,914.88)	119	.70
Subtotal			736		57,772.75		50,040.64	(7,732.11)	356	.70

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
NESTLE S A REP RG SH ADR	NSRGY	10/10/07	51	43.1284	2,199.55	57.7100	2,943.21	743.66	91	3.06
		02/12/08	50	43.0800	2,154.00	57.7100	2,885.50	731.50	89	3.06
		05/21/08	36	48.1055	1,731.80	57.7100	2,077.56	345.76	64	3.06
		10/08/08	200	39.6689	7,933.78	57.7100	11,542.00	3,608.22	354	3.06
		08/19/09	207	39.9045	8,260.25	57.7100	11,945.97	3,685.72	366	3.06
		10/14/09	66	42.9900	2,837.34	57.7100	3,808.86	971.52	117	3.06
		03/12/10	374	50.7160	18,967.82	57.7100	21,583.54	2,615.72	661	3.06
		04/27/10	224	49.3625	11,057.20	57.7100	12,927.04	1,869.84	396	3.06
		08/24/10	94	50.5777	4,754.31	57.7100	5,424.74	670.43	166	3.06
		11/22/10	75	56.0200	4,201.50	57.7100	4,328.25	126.75	133	3.06
Subtotal			1,377		64,097.55		79,466.67	15,369.12	2,437	3.06
NETFLIX COM INC	NFLX	11/04/11	514	90.4928	46,513.30	69.2900	35,615.06	(10,898.24)	44	3.42
NORTHROP GRUMMAN CORP	NOC	06/11/08	22	64.9622	1,429.17	58.4800	1,286.56	(142.61)	510	3.42
		03/24/09	255	37.8316	9,647.08	58.4800	14,912.40	5,265.32	184	3.42
		07/29/09	92	40.6342	3,738.35	58.4800	5,380.16	1,641.81	212	3.42
		10/14/09	106	46.1141	4,888.10	58.4800	6,198.88	1,310.78	801	3.42
		11/22/10	400	55.9323	22,372.93	58.4800	23,392.00	1,019.07	1,751	3.42
Subtotal			875		42,075.63		51,170.00	9,094.37	1,751	3.42
NORTHWEST PIPE CO	NWPX	11/22/10	125	21.3964	2,674.55	22.8600	2,857.50	182.95	728	3.50
NOVARTIS ADR	NVS	09/01/10	363	53.2158	19,317.34	57.1700	20,752.71	1,435.37	516	3.50
		09/30/10	257	57.4835	14,773.26	57.1700	14,692.69	(80.57)	171	3.50
		12/08/10	85	54.6175	4,642.49	57.1700	4,859.45	216.96	1,415	3.50
Subtotal			705		38,733.09		40,304.85	1,571.76	1,415	3.50

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
NOVO NORDISK A S ADR	NVO	02/01/08	21	62.3538	1,309.43	115.2600	2,420.46	1,111.03	29	1.17
		02/04/08	46	61.9952	2,851.78	115.2600	5,301.96	2,450.18	63	1.17
		02/15/08	26	65.3746	1,699.74	115.2600	2,996.76	1,297.02	36	1.17
		02/19/08	65	65.8356	4,279.32	115.2600	7,491.90	3,212.58	89	1.17
		07/21/08	103	62.4968	6,437.18	115.2600	11,871.78	5,434.60	140	1.17
		09/03/08	69	55.0013	3,795.09	115.2600	7,952.94	4,157.85	94	1.17
Subtotal			330		20,372.54		38,035.80	17,663.26	451	1.17
OASIS PETE INC NEW	OAS	08/25/11	81	24.0767	1,950.22	29.0900	2,356.29	406.07		
		10/31/11	76	30.5609	2,322.63	29.0900	2,210.84	(111.79)		
Subtotal			157		4,272.85		4,567.13	294.28		
OCCIDENTAL PETE CORP CAL	OXY	06/08/11	197	102.7342	20,238.64	93.7000	18,458.90	(1,779.74)	363	1.96
		06/15/11	250	103.0139	25,753.48	93.7000	23,425.00	(2,328.48)	460	1.96
		08/31/11	227	88.0581	19,989.21	93.7000	21,269.90	1,280.69	418	1.96
Subtotal			674		65,981.33		63,153.80	(2,827.53)	1,241	1.96
ONXX PHARMACEUTICALS	ONXX	11/23/11	52	38.3336	1,993.35	43.9500	2,285.40	292.05		
		12/20/11	51	40.6862	2,075.00	43.9500	2,241.45	166.45		
Subtotal			103		4,068.35		4,526.85	458.50		
OPNET TECHNOLOGIES INC	OPNT	11/11/11	59	36.3025	2,141.85	36.6700	2,163.53	21.68	29	1.30
ORACLE CORP \$0 01 DEL	ORCL	02/12/08	516	19.8099	10,221.91	25.6500	13,235.40	3,013.49	124	.93
		04/01/08	712	20.3615	14,497.39	25.6500	18,262.80	3,765.41	171	.93
		05/21/08	116	22.1399	2,568.23	25.6500	2,975.40	407.17	28	.93
		06/29/09	42	21.6683	910.07	25.6500	1,077.30	167.23	11	.93
		10/14/09	65	21.1698	1,376.04	25.6500	1,667.25	291.21	16	.93
		12/02/09	389	22.6894	8,826.18	25.6500	9,977.85	1,151.67	94	.93
		04/07/10	511	25.9507	13,260.81	25.6500	13,107.15	(153.66)	123	.93
		04/13/10	1,788	26.0738	46,620.13	25.6500	45,862.20	(757.93)	430	.93
		09/28/10	681	27.1719	18,504.13	25.6500	17,467.65	(1,036.48)	164	.93
		11/22/10	1,450	27.9450	40,520.25	25.6500	37,192.50	(3,327.75)	349	.93
		12/15/10	617	30.4095	18,762.72	25.6500	15,826.05	(2,936.67)	149	.93

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
ORACLE CORP \$0.01 DEL	ORCL	04/13/11	580	33.6952	19,543.22	25.6500	14,877.00	(4,666.22)	140	.93
		06/29/11	681	32.5248	22,149.39	25.6500	17,467.65	(4,681.74)	164	.93
		09/22/11	292	28.5316	8,331.23	25.6500	7,489.80	(841.43)	71	.93
Subtotal			8,440		226,091.70		216,486.00	(9,605.70)	2,034	.93
OWENS & MINOR INC NEW COM	OMI	05/06/09	332	22.8320	7,580.25	27.7900	9,226.28	1,646.03	266	2.87
OYO GEOSPACE CORP	OYOG	11/22/10	100	66.7900	6,679.00	77.3300	7,733.00	1,054.00		
		10/28/11	10	79.7630	797.63	77.3300	773.30	(24.33)		
Subtotal			110		7,476.63		8,506.30	1,029.67		
PAREXEL INTRNL CORP	PRXL	12/20/10	95	21.2610	2,019.80	20.7400	1,970.30	(49.50)		
		10/11/11	60	21.0588	1,263.53	20.7400	1,244.40	(19.13)		
Subtotal			155		3,283.33		3,214.70	(68.63)		
PARK ELECTROCHMCL	PKE	05/06/09	52	19.9230	1,036.00	25.6200	1,332.24	296.24	21	1.56
		07/14/10	146	26.3750	3,850.76	25.6200	3,740.52	(110.24)	59	1.56
Subtotal			198		4,886.76		5,072.76	186.00	80	1.56
PEPSICO INC	PEP	11/22/10	114	64.5158	7,354.81	66.3500	7,563.90	209.09	235	3.10
		05/18/11	566	71.0809	40,231.79	66.3500	37,554.10	(2,677.69)	1,166	3.10
		06/23/11	417	67.9347	28,328.81	66.3500	27,667.95	(660.86)	860	3.10
		09/22/11	156	59.9646	9,354.49	66.3500	10,350.60	996.11	322	3.10
Subtotal			1,253		85,269.90		83,136.55	(2,133.35)	2,583	3.10
PFIZER INC	PFE	04/08/09	341	13.6073	4,640.09	21.6400	7,379.24	2,739.15	301	4.06
		08/05/09	643	15.7828	10,148.40	21.6400	13,914.52	3,766.12	566	4.06
		10/07/09	732	16.7013	12,225.42	21.6400	15,840.48	3,615.06	645	4.06
		10/14/09	185	17.4491	3,228.10	21.6400	4,003.40	775.30	163	4.06
		11/11/09	909	17.7460	16,131.20	21.6400	19,670.76	3,539.56	800	4.06
		09/29/10	493	17.4447	8,600.24	21.6400	10,668.52	2,068.28	434	4.06
		11/22/10	1,100	16.5782	18,236.02	21.6400	23,804.00	5,567.98	968	4.06
		01/05/11	1,107	17.9528	19,873.86	21.6400	23,955.48	4,081.62	975	4.06
Subtotal			5,510		93,083.33		119,236.40	26,153.07	4,852	4.06



A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
PHILIP MORRIS INTL INC	PM	11/22/10	2,249	59.2075	133,157.67	78.4800	176,501.52	43,343.85	6,927	3.92
PINNACLE ENTMT INC COM	PNK	10/28/11	204	11.2927	2,303.73	10.1600	2,072.64	(231.09)		
PLANTRONICS INC NEW COM	PLT	11/22/10	75	35.2702	2,645.27	35.6400	2,673.00	27.73	15	.56
		12/17/10	80	37.6527	3,012.22	35.6400	2,851.20	(161.02)	16	.56
		12/20/10	50	37.1480	1,857.40	35.6400	1,782.00	(75.40)	10	.56
		03/15/11	87	33.7572	2,936.88	35.6400	3,100.68	163.80	18	.56
		05/12/11	58	37.6505	2,183.73	35.6400	2,067.12	(116.61)	12	.56
		09/15/11	75	31.3810	2,353.58	35.6400	2,673.00	319.42	15	.56
		09/16/11	98	31.0877	3,046.60	35.6400	3,492.72	446.12	20	.56
		10/28/11	32	34.5643	1,106.06	35.6400	1,140.48	34.42	7	.56
Subtotal			555		19,141.74		19,780.20	638.46	113	.56
PLATINUM UNDERWRITRS HLDG	PTP	05/06/09	150	28.5918	4,288.77	34.1100	5,116.50	827.73	48	.93
		03/14/11	47	36.7880	1,729.04	34.1100	1,603.17	(125.87)	16	.93
Subtotal			197		6,017.81		6,719.67	701.86	64	.93
PNC FINCL SERVICES GROUP	PNC	05/11/11	469	63.5975	29,827.23	57.6700	27,047.23	(2,780.00)	657	2.42
		05/17/11	288	62.3619	17,960.23	57.6700	16,608.96	(1,351.27)	404	2.42
		08/31/11	394	50.1742	19,768.67	57.6700	22,721.98	2,953.31	552	2.42
Subtotal			1,151		67,556.13		66,378.17	(1,177.96)	1,613	2.42
POLYPORE INTL INC	PPO	11/14/11	39	56.1053	2,188.11	43.9900	1,715.61	(472.50)		
		11/25/11	38	50.9047	1,934.38	43.9900	1,671.62	(262.76)		
Subtotal			77		4,122.49		3,387.23	(735.26)		
PORTLAND GEN ELEC CO	POR	03/10/11	102	23.4904	2,396.03	25.2900	2,579.58	183.55	109	4.19
		03/11/11	201	23.5583	4,735.22	25.2900	5,083.29	348.07	214	4.19
		09/22/11	90	23.1281	2,081.53	25.2900	2,276.10	194.57	96	4.19
Subtotal			393		9,212.78		9,938.97	726.19	419	4.19

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
POTASH CORP SASKATCHEWAN	POT	12/16/10	224	46.5650	10,430.57	41.2800	9,246.72	(1,183.85)	63 .67
		01/06/11	210	55.3197	11,617.15	41.2800	8,668.80	(2,948.35)	59 .67
		04/20/11	99	58.5753	5,798.96	41.2800	4,086.72	(1,712.24)	28 .67
		11/30/11	85	44.2355	3,760.02	41.2800	3,508.80	(251.22)	24 .67
Subtotal			618		31,606.70		25,511.04	(6,095.66)	174 .67
PPG INDUSTRIES INC SHS	PPG	08/10/07	108	73.8534	7,976.17	83.4900	9,016.92	1,040.75	247 2.73
		09/12/07	244	74.2586	18,119.12	83.4900	20,371.56	2,252.44	557 2.73
		02/12/08	51	65.4701	3,338.98	83.4900	4,257.99	919.01	117 2.73
		05/21/08	45	63.2400	2,845.80	83.4900	3,757.05	911.25	103 2.73
		10/14/09	52	61.5494	3,200.57	83.4900	4,341.48	1,140.91	119 2.73
		11/22/10	200	77.0459	15,409.18	83.4900	16,698.00	1,288.82	457 2.73
		02/25/11	229	87.4489	20,025.82	83.4900	19,119.21	(906.61)	523 2.73
Subtotal			929		70,915.64		77,562.21	6,646.57	2,123 2.73
PRAXAIR INC	PX	08/26/11	83	94.0663	7,807.51	106.9000	8,872.70	1,065.19	166 1.87
		08/29/11	225	96.4479	21,700.78	106.9000	24,052.50	2,351.72	450 1.87
		08/30/11	68	97.3289	6,618.37	106.9000	7,269.20	650.83	136 1.87
Subtotal			376		36,126.66		40,194.40	4,067.74	752 1.87
PRECISION CASTPARTS	PCP	07/27/10	25	120.6872	3,017.18	164.7900	4,119.75	1,102.57	3 .07
		07/28/10	170	122.5095	20,826.63	164.7900	28,014.30	7,187.67	21 .07
		08/19/10	112	119.6059	13,395.87	164.7900	18,456.48	5,060.61	14 .07
		10/21/10	110	135.9353	14,952.89	164.7900	18,126.90	3,174.01	14 .07
		11/22/10	75	134.1300	10,059.75	164.7900	12,359.25	2,299.50	9 .07
Subtotal			492		62,252.32		81,076.68	18,824.36	61 .07
PROCTER & GAMBLE CO	PG	05/12/11	307	66.4275	20,393.25	66.7100	20,479.97	86.72	645 3.14
		05/17/11	309	67.1898	20,761.65	66.7100	20,613.39	(148.26)	649 3.14
		06/22/11	194	64.3042	12,475.03	66.7100	12,941.74	466.71	408 3.14
Subtotal			810		53,629.93		54,035.10	405.17	1,702 3.14



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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
PROTECTIVE LIFE CORP COM	PL	06/23/10	102	21.7088	2,214.30	22.5600	2,301.12	86.82	66	2.83
		09/29/10	166	21.7560	3,611.50	22.5600	3,744.96	133.46	107	2.83
		09/22/11	173	15.2290	2,634.63	22.5600	3,902.88	1,268.25	111	2.83
		09/23/11	31	15.3425	475.62	22.5600	699.36	223.74	20	2.83
Subtotal			472		8,936.05		10,648.32	1,712.27	304	2.83
PRUDENTIAL FINANCIAL INC	PRU	12/16/09	145	51.6260	7,485.77	50.1200	7,267.40	(218.37)	211	2.89
		01/27/10	287	50.0487	14,363.98	50.1200	14,384.44	20.46	417	2.89
		02/24/10	272	51.2938	13,951.94	50.1200	13,632.64	(319.30)	395	2.89
		11/22/10	300	52.7063	15,811.89	50.1200	15,036.00	(775.89)	436	2.89
Subtotal			1,004		51,613.58		50,320.48	(1,293.10)	1,459	2.89
↓ PUBLICIS GROUPE SPON ADR	PUBGY	11/22/10	975	23.2500	22,668.75	22.8700	22,298.25	(370.50)	391	1.75
QLIK TECHNOLOGIES INC	QLIK	03/01/11	182	25.9456	4,722.10	24.2000	4,404.40	(317.70)		
QUAKER CHEMICAL CORP	KWR	05/05/11	68	41.2044	2,801.90	38.8900	2,644.52	(157.38)	66	2.46
QUALCOMM INC	QCOM	07/21/11	643	56.9283	36,604.96	54.7000	35,172.10	(1,432.86)	553	1.57
		07/22/11	83	57.1083	4,739.99	54.7000	4,540.10	(199.89)	72	1.57
		11/18/11	290	55.8465	16,195.49	54.7000	15,863.00	(332.49)	250	1.57
Subtotal			1,016		57,540.44		55,575.20	(1,965.24)	875	1.57
QUALITY SYSTEMS INC	QSII	11/22/10	100	31.6300	3,163.00	36.9900	3,699.00	536.00	70	1.89
RANGE RESOURCES CORP DEL	RRC	05/01/08	115	64.3168	7,396.44	61.9400	7,123.10	(273.34)	19	.25
		09/05/08	131	42.6738	5,590.27	61.9400	8,114.14	2,523.87	21	.25
		12/18/09	99	50.6176	5,011.15	61.9400	6,132.06	1,120.91	16	.25
		11/22/10	125	43.0763	5,384.54	61.9400	7,742.50	2,357.96	20	.25
Subtotal			470		23,382.40		29,111.80	5,729.40	76	.25
RECKITT BENCKISER GR ADR	RBGPY	11/22/10	3,325	11.4924	38,212.23	9.8400	32,718.00	(5,494.23)	1,158	3.53
		03/16/11	517	9.8641	5,099.74	9.8400	5,087.28	(12.46)	180	3.53
Subtotal			3,842		43,311.97		37,805.28	(5,506.69)	1,338	3.53

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Yield%
REDWOOD TRUST INC	RWT	11/22/10	175	14.0293	2,455.13	10.1800	1,781.50	(673.63)	175	9.82
REIT										
REGAL BELOIT CORP WIS	RBC	11/22/10	100	57.1320	5,713.20	50.9700	5,097.00	(616.20)	72	1.41
		11/28/11	6	50.3100	301.86	50.9700	305.82	3.96	5	1.41
Subtotal			106		6,015.06		5,402.82	(612.24)	77	1.41
REGIS CORP MINN	RGS	07/01/09	127	18.2355	2,315.92	16.5500	2,101.85	(214.07)	31	1.45
		03/12/10	267	16.8153	4,489.71	16.5500	4,418.85	(70.86)	65	1.45
Subtotal			394		6,805.63		6,520.70	(284.93)	96	1.45
RIVERBED TECHNOLOGY INC	RVBD	11/22/10	185	32.8581	6,078.75	23.5000	4,347.50	(1,731.25)		
COM										
ROCKWELL AUTOMATION INC	ROK	12/09/11	163	77.0488	12,558.97	73.3700	11,959.31	(599.66)	278	2.31
		12/12/11	360	75.3653	27,131.54	73.3700	26,413.20	(718.34)	612	2.31
		12/13/11	181	76.0450	13,764.15	73.3700	13,279.97	(484.18)	308	2.31
Subtotal			704		53,454.66		51,652.48	(1,802.18)	1,198	2.31
ROVI CORP	ROVI	11/22/10	111	54.4216	6,040.80	24.5800	2,728.38	(3,312.42)		
RTI INTL METALS INC OHIO	RTI	07/15/11	86	32.4801	2,793.29	23.2100	1,996.06	(797.23)		
SABMILLER PLC SPON ADR	SBMRY	11/22/10	392	33.7050	13,212.36	35.0500	13,739.60	527.24	311	2.25
SALESFORCE COM INC	CRM	12/05/11	208	124.8673	25,972.40	101.4600	21,103.68	(4,868.72)		
		12/06/11	83	124.7650	10,355.50	101.4600	8,421.18	(1,934.32)		
Subtotal			291		36,327.90		29,524.86	(6,803.04)		
SALIX PHRMCTCLS LTD COM	SLXP	11/22/10	50	43.1900	2,159.50	47.8500	2,392.50	233.00		
		02/28/11	71	33.7387	2,395.45	47.8500	3,397.35	1,001.90		
Subtotal			121		4,554.95		5,789.85	1,234.90		



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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
SANDISK CORP INC	SNDK	12/05/11	483	50.8808	24,575.43	49.2100	23,768.43	(807.00)		
		12/06/11	228	50.7795	11,577.73	49.2100	11,219.88	(357.85)		
		12/22/11	135	49.6511	6,702.90	49.2100	6,643.35	(59.55)		
		12/23/11	51	50.1327	2,556.77	49.2100	2,509.71	(47.06)		
Subtotal			897		45,412.83		44,141.37	(1,271.46)		
↓ SAP AG SHS	SAP	04/03/09	156	36.7803	5,737.73	52.9500	8,260.20	2,522.47	160	1.93
		08/03/09	151	47.5341	7,177.66	52.9500	7,995.45	817.79	155	1.93
		11/22/10	300	49.2065	14,761.95	52.9500	15,885.00	1,123.05	308	1.93
		12/09/10	110	48.9828	5,388.11	52.9500	5,824.50	436.39	113	1.93
Subtotal			717		33,065.45		37,965.15	4,899.70	736	1.93
SAPIENT CORP	SAPE	12/14/10	152	12.4569	1,893.46	12.6000	1,915.20	21.74		
		12/27/10	211	12.3109	2,597.62	12.6000	2,658.60	60.98		
		08/08/11	145	11.9413	1,731.49	12.6000	1,827.00	95.51		
Subtotal			508		6,222.57		6,400.80	178.23		
SBERBANK SPONSORED ADR	SBRCY	09/13/11	1,949	10.6412	20,739.70	9.9400	19,373.06	(1,366.64)	229	1.17
SCHLUMBERGER LTD	SLB	01/11/10	70	70.4171	4,929.20	68.3100	4,781.70	(147.50)	70	1.46
		01/28/10	120	65.1280	7,815.36	68.3100	8,197.20	381.84	120	1.46
		11/22/10	800	75.7697	60,615.76	68.3100	54,648.00	(5,967.76)	800	1.46
		02/04/11	218	88.7743	19,352.80	68.3100	14,891.58	(4,461.22)	218	1.46
		09/23/11	67	61.2535	4,103.99	68.3100	4,576.77	472.78	67	1.46
		10/26/11	287	68.7282	19,725.02	68.3100	19,604.97	(120.05)	287	1.46
		11/30/11	224	74.4812	16,683.79	68.3100	15,301.44	(1,382.35)	224	1.46
Subtotal			1,786		133,225.92		122,001.66	(11,224.26)	1,786	1.46
SCHNITZER STEEL INDS A	SCHN	01/25/08	25	54.6492	1,366.23	42.2800	1,057.00	(309.23)	2	.16
		12/30/09	16	48.3200	773.12	42.2800	676.48	(96.64)	2	.16
		12/31/09	59	48.8125	2,879.94	42.2800	2,494.52	(385.42)	5	.16
Subtotal			100		5,019.29		4,228.00	(791.29)	9	.16

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
SCHWEITZER MAUDIT INTL	SWM	05/23/11	39	48.5194	1,892.26	66.4600	2,591.94	699.68	24	.90
		05/24/11	67	47.8674	3,207.12	66.4600	4,452.82	1,245.70	41	.90
		07/20/11	38	56.0355	2,129.35	66.4600	2,525.48	396.13	23	.90
		07/27/11	18	56.7805	1,022.05	66.4600	1,196.28	174.23	11	.90
		07/28/11	6	56.9600	341.76	66.4600	398.76	57.00	4	.90
Subtotal			168		8,592.54		11,165.28	2,572.74	103	.90
SEATTLE GENETICS INC COM	SGEN	11/22/10	225	14.9444	3,362.49	16.7150	3,760.88	398.39		
SENSIENT TECHNOLOGY CORP	SXT	04/25/11	26	36.8503	958.11	37.9000	985.40	27.29	22	2.21
		04/26/11	22	37.3490	821.68	37.9000	833.80	12.12	19	2.21
		05/13/11	70	37.6690	2,636.83	37.9000	2,653.00	16.17	59	2.21
		09/14/11	84	34.9039	2,931.93	37.9000	3,183.60	251.67	71	2.21
Subtotal			202		7,348.55		7,655.80	307.25	171	2.21
SHUFFLE MASTER INC	SHFL	11/22/10	475	10.8579	5,157.51	11.7200	5,567.00	409.49		
SIEMENS AG ADR	SI	01/11/11	140	118.3242	16,565.39	95.6100	13,385.40	(3,179.99)	414	3.08
		02/03/11	29	128.4679	3,725.57	95.6100	2,772.69	(952.88)	86	3.08
		03/10/11	39	127.5928	4,976.12	95.6100	3,728.79	(1,247.33)	116	3.08
		05/10/11	33	137.5466	4,539.04	95.6100	3,155.13	(1,383.91)	98	3.08
		08/31/11	43	103.4565	4,448.63	95.6100	4,111.23	(337.40)	128	3.08
		09/15/11	42	96.7109	4,061.86	95.6100	4,015.62	(46.24)	125	3.08
Subtotal			326		38,316.61		31,168.86	(7,147.75)	967	3.08
SIGMA ALDRICH CORP	SIAL	06/23/11	177	67.9740	12,031.40	62.4600	11,055.42	(975.98)	128	1.15
		06/24/11	80	68.8570	5,508.56	62.4600	4,996.80	(511.76)	58	1.15
		07/08/11	63	75.6333	4,764.90	62.4600	3,934.98	(829.92)	46	1.15
		07/11/11	197	73.9309	14,564.39	62.4600	12,304.62	(2,259.77)	142	1.15
Subtotal			517		36,869.25		32,291.82	(4,577.43)	374	1.15
SIRONA DENTAL SYSTEMS INC	SIRO	11/22/10	100	36.4347	3,643.47	44.0400	4,404.00	760.53		
		12/01/10	58	39.5579	2,294.36	44.0400	2,554.32	259.96		
Subtotal			158		5,937.83		6,958.32	1,020.49		



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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
SMITH A O CORP DEL COM	AOS	09/13/10	80	55.5337	4,442.70	40.1200	3,209.60	(1,233.10)	52 1.59
Subtotal			129	N/A	N/A	40.1200	5,175.48		83 1.59
SOTHEBY'S (DELAWARE)	BID	11/22/10	209	4.442.70	4,442.70		8,385.08	(1,233.10)	135 1.59
SOUTH JERSEY IND	SJI	05/06/09	200	42.0500	8,410.00	28.5300	5,706.00	(2,704.00)	64 1.12
↓ SOUTHERN COPPER CORP	SCCO	12/12/11	146	34.5132	5,038.93	56.8100	8,294.26	3,255.33	236 2.83
ST JUDE MEDICAL INC	STJ	12/21/11	220	31.0790	6,837.38	30.1800	6,639.60	(197.78)	616 9.27
STAGE STORES INC COM NEW	SSI	05/06/09	760	33.4351	25,410.75	34.3000	26,068.00	657.25	639 2.44
STANCORP FINANCL GRP INC	SFG	05/07/09	419	11.3355	4,749.58	13.8900	5,819.91	1,070.33	151 2.59
STANLEY BLACK & DECKER INC	SWK	08/11/10	134	30.3664	4,069.10	36.7500	4,924.50	855.40	120 2.42
Subtotal			191	55.8865	10,674.34	67.6000	12,911.60	2,237.26	314 2.42
STARBUCKS CORP	SBUX	05/13/10	150	59.4053	8,910.80	67.6000	10,140.00	1,229.20	246 2.42
Subtotal			187	72.2311	13,507.23	67.6000	12,641.20	(866.03)	307 2.42
STARWOOD HOTELS AND RESORTS WORLDWIDE NE		05/04/11	260	58.8556	15,302.48	67.6000	17,576.00	2,273.52	427 2.42
Subtotal			341	55.8421	19,042.16	67.6000	23,051.60	4,009.44	560 2.42
STERICYCLE INC COM		09/14/11	1,129	67.437.01	67,437.01		76,320.40	8,883.39	1,854 2.42
STERIS CORP		09/27/10	477	27.6271	13,178.13	46.0100	21,946.77	8,768.64	325 1.47
Subtotal			566	26.2824	14,875.84	46.0100	26,041.66	11,165.82	385 1.47
STARWOOD HOTELS AND RESORTS WORLDWIDE NE		11/22/10	375	30.8477	11,567.89	46.0100	17,253.75	5,685.86	255 1.47
Subtotal			1,418	39,621.86	39,621.86		65,242.18	25,620.32	965 1.47
STARWOOD HOTELS AND RESORTS WORLDWIDE NE	HOT	01/24/11	519	62.4427	32,407.77	47.9700	24,896.43	(7,511.34)	260 1.04
Subtotal			82	61.9350	5,078.67	47.9700	3,933.54	(1,145.13)	41 1.04
STERICYCLE INC COM		02/03/11	50	51.7196	2,585.98	47.9700	2,398.50	(187.48)	25 1.04
STERIS CORP		08/04/11	346	47.6226	16,477.42	47.9700	16,597.62	120.20	173 1.04
Subtotal			171	42.4881	7,265.47	47.9700	8,202.87	937.40	86 1.04
STERICYCLE INC COM	SRCL	08/19/11	1,168	63,815.31	63,815.31		56,028.96	(7,786.35)	585 1.04
STERIS CORP	STE	05/06/09	215	79.9761	17,194.88	77.9200	16,752.80	(442.08)	121 2.28
Subtotal			177	23.4404	4,148.96	29.8200	5,278.14	1,129.18	121 2.28

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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
STIFEL FINANCIAL CORP	SF	08/29/11	67	30.4259	2,038.54	32.0500	2,147.35	108.81		
		08/30/11	2	30.5200	61.04	32.0500	64.10	3.06		
Subtotal			69		2,099.58		2,211.45	111.87		
SUCCESSFACTORS INC	SFSF	11/22/10	7	29.8400	208.88	39.8700	279.09	70.21		
		08/30/11	76	23.0977	1,755.43	39.8700	3,030.12	1,274.69		
		10/19/11	83	24.6161	2,043.14	39.8700	3,309.21	1,266.07		
Subtotal			166		4,007.45		6,618.42	2,610.97		
SWATCH GROUP AG UNSPOND ADR	SWGAY	10/12/11	360	19.6656	7,079.62	18.7000	6,732.00	(347.62)		64 .94
		10/19/11	113	19.4953	2,202.97	18.7000	2,113.10	(89.87)		20 .94
		11/08/11	185	21.0152	3,887.83	18.7000	3,459.50	(428.33)		33 .94
		12/15/11	204	17.4380	3,557.37	18.7000	3,814.80	257.43		37 .94
Subtotal			862		16,727.79		16,119.40	(608.39)		154 .94
TARGET CORP COM	TGT	11/22/10	137	55.8173	7,646.98	51.2200	7,017.14	(629.84)		165 2.34
		01/12/11	724	55.5345	40,207.05	51.2200	37,083.28	(3,123.77)		869 2.34
		02/02/11	379	53.4378	20,252.93	51.2200	19,412.38	(840.55)		455 2.34
		03/02/11	172	52.3831	9,009.91	51.2200	8,809.84	(200.07)		207 2.34
		11/02/11	567	53.8089	30,509.65	51.2200	29,041.74	(1,467.91)		681 2.34
Subtotal			1,979		107,626.52		101,364.38	(6,262.14)		2,377 2.34
TECK RESOURCES LTD CLS B	TCK	07/21/11	158	53.5368	8,458.83	35.1900	5,560.02	(2,898.81)		128 2.29
		07/25/11	155	53.4672	8,287.42	35.1900	5,454.45	(2,832.97)		126 2.29
		09/20/11	73	37.8989	2,766.62	35.1900	2,568.87	(197.75)		59 2.29
		12/01/11	50	37.2500	1,862.50	35.1900	1,759.50	(103.00)		41 2.29
Subtotal			436		21,375.37		15,342.84	(6,032.53)		354 2.29
TEEKAY TANKERS LTD.	TNK	12/09/10	377	12.2700	4,625.79	3.5200	1,327.04	(3,298.75)		313 23.57
TELEFONICA SA SPAIN ADR	TEF	05/01/09	311	18.9405	5,890.51	17.1900	5,346.09	(544.42)		533 9.95
		11/22/10	750	24.1226	18,092.00	17.1900	12,892.50	(5,199.50)		1,284 9.95
Subtotal			1,061		23,982.51		18,238.59	(5,743.92)		1,817 9.95



A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
TENECENT HOLDINGS LTD ADR		TCEHY	11/22/10	775	22.6800	17,577.00	20.1400	15,608.50	(1,968.50)	47	.29
			01/10/11	67	23.0807	1,546.41	20.1400	1,349.38	(197.03)	5	.29
			01/11/11	120	23.1325	2,775.90	20.1400	2,416.80	(359.10)	8	.29
			11/21/11	78	19.3915	1,512.54	20.1400	1,570.92	58.38	5	.29
			11/22/11	107	20.2341	2,165.05	20.1400	2,154.98	(10.07)	7	.29
			11/23/11	40	19.8462	793.85	20.1400	805.60	11.75	3	.29
Subtotal				1,187		26,370.75		23,906.18	(2,464.57)	75	.29
TENNECO INC DEL		TEN	11/22/10	118	36.5657	4,314.76	29.7800	3,514.04	(800.72)		
TERADYNE INC		TER	11/22/10	275	12.4300	3,418.25	13.6300	3,748.25	330.00		
TESCO PLC SPNRD ADR		TSCDY	11/22/10	1,154	20.3900	23,530.06	18.8400	21,741.36	(1,788.70)	766	3.51
			12/02/10	512	19.8294	10,152.70	18.8400	9,646.08	(506.62)	340	3.51
			01/21/11	142	19.3969	2,754.37	18.8400	2,675.28	(79.09)	95	3.51
Subtotal				1,808		36,437.13		34,062.72	(2,374.41)	1,201	3.51
TEVA PHARMACTCL INDS ADR		TEVA	10/30/07	109	43.9898	4,794.89	40.3600	4,399.24	(395.65)	86	1.94
			01/10/08	242	47.2859	11,443.19	40.3600	9,767.12	(1,676.07)	190	1.94
			09/03/08	105	47.5484	4,992.59	40.3600	4,237.80	(754.79)	83	1.94
			01/28/10	106	56.8647	6,027.66	40.3600	4,278.16	(1,749.50)	84	1.94
			11/22/10	225	50.1376	11,280.98	40.3600	9,081.00	(2,199.98)	177	1.94
			03/17/11	125	47.7980	5,974.75	40.3600	5,045.00	(929.75)	98	1.94
Subtotal				912		44,514.06		36,808.32	(7,705.74)	718	1.94
THERMO FISHER SCIENTIFIC INC		TMO	08/10/11	435	50.7794	22,089.08	44.9700	19,561.95	(2,527.13)		
			08/17/11	261	54.2867	14,168.83	44.9700	11,737.17	(2,431.66)		
			09/07/11	257	53.4189	13,728.68	44.9700	11,557.29	(2,171.39)		
Subtotal				953		49,986.59		42,856.41	(7,130.18)		
THORATEC CORP COM NEW		THOR	11/22/10	167	25.8900	4,323.63	33.5600	5,604.52	1,280.89		
			10/11/11	12	34.0050	408.06	33.5600	402.72	(5.34)		
Subtotal				179		4,731.69		6,007.24	1,275.55		
TIBCO SOFTWARE INC		TIBX	11/22/10	234	19.4991	4,562.79	23.9100	5,594.94	1,032.15		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
TIDEWATER INC COM NEW	TDW	09/24/09	43	45.4765	1,955.49	49.3000	2,119.90	164.41	43 2.02
		09/24/10	80	43.6387	3,491.10	49.3000	3,944.00	452.90	80 2.02
		07/08/11	49	54.9820	2,694.12	49.3000	2,415.70	(278.42)	49 2.02
Subtotal			172		8,140.71		8,479.60	338.89	172 2.02
TIME WARNER INC SHS	TWX	05/19/11	752	36.7736	27,653.82	36.1400	27,177.28	(476.54)	707 2.60
		05/20/11	301	36.7913	11,074.21	36.1400	10,878.14	(196.07)	283 2.60
Subtotal			1,053		38,728.03		38,055.42	(672.61)	990 2.60
TITANIUM METALS CORP NEW	TIE	11/22/10	250	17.4766	4,369.15	14.9800	3,745.00	(624.15)	75 2.00
		05/31/11	137	18.5353	2,539.34	14.9800	2,052.26	(487.08)	42 2.00
Subtotal			387		6,908.49		5,797.26	(1,111.23)	117 2.00
TORO CO	TTC	05/06/09	131	31.3329	4,104.61	60.6600	7,946.46	3,841.85	116 1.45
TOYOTA MOTOR CORP ADR	TM	11/22/10	425	78.0680	33,178.90	66.1300	28,105.25	(5,073.65)	495 1.75
		02/10/11	59	89.0518	5,254.06	66.1300	3,901.67	(1,352.39)	69 1.75
Subtotal			484		38,432.96		32,006.92	(6,426.04)	564 1.75
TRAVELERS COS INC	TRV	04/02/09	56	41.3448	2,315.31	59.1700	3,313.52	998.21	92 2.77
		10/14/09	43	48.5595	2,088.06	59.1700	2,544.31	456.25	71 2.77
		11/04/09	281	51.3039	14,416.42	59.1700	16,626.77	2,210.35	461 2.77
		02/10/10	266	49.6036	13,194.56	59.1700	15,739.22	2,544.66	437 2.77
		11/22/10	275	54.9016	15,097.94	59.1700	16,271.75	1,173.81	451 2.77
		02/09/11	357	58.6226	20,928.30	59.1700	21,123.69	195.39	586 2.77
Subtotal			1,278		68,040.59		75,619.26	7,578.67	2,098 2.77
TRIUMPH GROUP INC NEW	TGI	08/27/09	22	21.9659	483.25	58.4500	1,285.90	802.65	4 .27
		09/28/09	36	24.5827	884.98	58.4500	2,104.20	1,219.22	6 .27
		09/29/09	96	24.6704	2,368.36	58.4500	5,611.20	3,242.84	16 .27
Subtotal			154		3,736.59		9,001.30	5,264.71	26 .27
TRUSTMARK CORP COM	TRMK	11/22/10	200	22.0000	4,400.00	24.2900	4,858.00	458.00	184 3.78
		08/05/11	70	20.5448	1,438.14	24.2900	1,700.30	262.16	65 3.78
Subtotal			270		5,838.14		6,558.30	720.16	249 3.78



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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
TURKYE GRTI BK SPN ADR	TKGBY	05/14/10	1,666	4.6867	7,808.05	3.1100	5,181.26	(2,626.79)	109	2.09
		05/17/10	309	4.5795	1,415.07	3.1100	960.99	(454.08)	21	2.09
		11/03/10	3,054	6.1651	18,828.22	3.1100	9,497.94	(9,330.28)	199	2.09
		12/02/10	715	5.8931	4,213.57	3.1100	2,223.65	(1,989.92)	47	2.09
Subtotal			5,744		32,264.91		17,863.84	(14,401.07)	376	2.09
TWO HARBORS INVT CORP SHS	TWO	07/28/11	351	9.9650	3,497.75	9.2400	3,243.24	(254.51)	562	17.31
TYCO INTL LTD NAMEN-AKT	TYC	06/10/11	366	46.5710	17,045.01	46.7100	17,095.86	50.85	367	2.14
		06/29/11	123	48.8886	6,013.30	46.7100	5,745.33	(267.97)	123	2.14
		06/30/11	281	49.3589	13,869.86	46.7100	13,125.51	(744.35)	281	2.14
		07/01/11	73	49.5110	3,614.31	46.7100	3,409.83	(204.48)	73	2.14
		11/16/11	827	47.8185	39,545.90	46.7100	38,629.17	(916.73)	828	2.14
Subtotal			1,670		80,088.38		78,005.70	(2,082.68)	1,672	2.14
TYSON FOODS INC CL A	TSN	06/23/11	1,036	18.5352	19,202.57	20.6400	21,383.04	2,180.47	166	.77
		06/23/11	50	19.0956	954.78	20.6400	1,032.00	77.22	8	.77
		06/24/11	952	18.8755	17,969.48	20.6400	19,649.28	1,679.80	153	.77
		08/09/11	204	16.5475	3,375.69	20.6400	4,210.56	834.87	33	.77
		08/10/11	283	16.5538	4,684.73	20.6400	5,841.12	1,156.39	46	.77
Subtotal			2,525		46,187.25		52,116.00	5,928.75	406	.77
UIL HLDG CORP CONN COM	UIL	05/07/09	89	23.8838	2,125.66	35.3700	3,147.93	1,022.27	154	4.88
		09/24/10	139	27.5646	3,831.49	35.3700	4,916.43	1,084.94	241	4.88
			228		5,957.15		8,064.36	2,107.21	395	4.88
Subtotal			50	36.9500	1,847.50	37.2500	1,862.50	15.00	41	2.20
UMB FINANCIAL CORP	UNP	10/06/11	393	89.0159	34,983.25	105.9400	41,634.42	6,651.17	944	2.26
UNION PACIFIC CORP		10/07/11	176	89.0918	15,680.16	105.9400	18,645.44	2,965.28	423	2.26
		10/10/11	36	90.3288	3,251.84	105.9400	3,813.84	562.00	87	2.26
Subtotal			605		53,915.25		64,093.70	10,178.45	1,454	2.26

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
UNITED TECHS CORP COM	UTX	08/10/07	615	73.2684	47,401.85	73.0900	44,950.35	(109.73)	1,181	2.62
		05/21/08	19	72.0500	1,368.95	73.0900	1,388.71	19.76	37	2.62
		05/21/09	159	50.3523	8,006.02	73.0900	11,621.31	3,615.29	306	2.62
		06/26/09	28	51.4446	1,440.45	73.0900	2,046.52	606.07	54	2.62
		06/29/09	17	52.3694	890.28	73.0900	1,242.53	352.25	33	2.62
		07/20/09	115	54.3835	6,254.11	73.0900	8,405.35	2,151.24	221	2.62
		09/10/09	84	61.8478	5,195.22	73.0900	6,139.56	944.34	162	2.62
		10/14/09	33	63.7778	2,104.67	73.0900	2,411.97	307.30	64	2.62
		11/22/10	275	74.7358	20,552.35	73.0900	20,099.75	(452.60)	528	2.62
		12/15/10	308	79.1994	24,393.42	73.0900	22,511.72	(1,881.70)	592	2.62
Subtotal			1,653		117,607.32		120,817.77	5,552.22	3,178	2.62
UNITED THERAPEUTICS CORP	UTHR	11/21/11	50	40.6278	2,031.39	47.2500	2,362.50	331.11		
		12/01/11	54	40.8461	2,205.69	47.2500	2,551.50	345.81		
Subtotal			104		4,237.08		4,914.00	676.92		
UNITEDHEALTH GROUP INC	UNH	06/16/10	316	31.5202	9,960.39	50.6800	16,014.88	6,054.49	206	1.28
		10/26/10	376	37.3471	14,042.51	50.6800	19,055.68	5,013.17	245	1.28
		11/22/10	275	36.2053	9,956.46	50.6800	13,937.00	3,980.54	179	1.28
Subtotal			967		33,959.36		49,007.56	15,048.20	630	1.28
UNIVERSAL ELECTRONICS INC	UEIC	11/22/10	275	27.4200	7,540.50	16.8700	4,639.25	(2,901.25)		
V F CORPORATION	VFC	07/15/10	128	75.7255	9,692.87	126.9900	16,254.72	6,561.85	369	2.26
		07/16/10	40	75.0632	3,002.53	126.9900	5,079.60	2,077.07	116	2.26
		10/28/10	96	83.2044	7,987.63	126.9900	12,191.04	4,203.41	277	2.26
		11/22/10	100	83.1300	8,313.00	126.9900	12,699.00	4,386.00	288	2.26
Subtotal			364		28,996.03		46,224.36	17,228.33	1,050	2.26
VEECO INSTRUMENTS INC	VECO	11/22/10	100	43.3560	4,335.60	20.8000	2,080.00	(2,255.60)		



A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
VERIFONE SYSTEMS INC	PAY	09/19/11	220	39.4250	8,673.52	35.5200	7,814.40	(859.12)		
		09/20/11	243	39.6897	9,644.60	35.5200	8,631.36	(1,013.24)		
		11/02/11	7	41.6100	291.27	35.5200	248.64	(42.63)		
		11/03/11	224	42.5586	9,533.13	35.5200	7,956.48	(1,576.65)		
Subtotal			694		28,142.52		24,650.88	(3,491.64)		
VIACOM INC NEW CL B	VIAB	03/16/11	570	43.6708	24,892.41	45.4100	25,883.70	991.29	571	2.20
		06/01/11	418	50.8634	21,260.94	45.4100	18,981.38	(2,279.56)	419	2.20
		09/28/11	414	41.7728	17,293.94	45.4100	18,799.74	1,505.80	415	2.20
Subtotal			1,402		63,447.29		63,664.82	217.53	1,405	2.20
VITAMIN SHOPPE INC	VSI	11/22/10	225	30.4620	6,853.95	39.8800	8,973.00	2,119.05		
VODAFONE GROU PLC SP ADR	VOD	11/05/08	617	18.9076	11,666.05	28.0300	17,294.51	5,628.46	890	5.14
		07/31/09	490	20.6621	10,124.43	28.0300	13,734.70	3,610.27	707	5.14
		10/14/09	155	21.8500	3,386.75	28.0300	4,344.65	957.90	224	5.14
		11/11/09	310	22.3586	6,931.17	28.0300	8,689.30	1,758.13	448	5.14
		11/25/11	252	25.7098	6,478.87	28.0300	7,063.56	584.69	364	5.14
Subtotal			1,824		38,587.27		51,126.72	12,539.45	2,633	5.14
VOLKSWAGEN A G ADR	VLKPY	11/22/10	625	34.4000	21,500.00	29.8800	18,675.00	(2,825.00)	309	1.65
WABCO HOLDINGS INC	WBC	11/22/10	100	49.7204	4,972.04	43.4000	4,340.00	(632.04)		
WAL-MART DE MEX SPNADR V	WMMVY	08/10/09	274	18.2584	5,002.82	27.3900	7,504.86	2,502.04	90	1.19
SA DE CV		10/01/09	566	17.0783	9,666.37	27.3900	15,502.74	5,836.37	186	1.19
Subtotal			840		14,669.19		23,007.60	8,338.41	276	1.19
WAL-MART STORES INC	WMT	06/24/11	84	53.1069	4,460.98	59.7600	5,019.84	558.86	123	2.44
		10/04/11	355	51.9860	18,455.03	59.7600	21,214.80	2,759.77	519	2.44
Subtotal			439		22,916.01		26,234.64	3,318.63	642	2.44

A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
WASTE CONNECTIONS INC	WCN	08/09/07	41	21.5665	884.23	33.1400	1,358.74	474.51	15	1.08
		06/08/09	43	17.6672	759.69	33.1400	1,425.02	665.33	16	1.08
		06/08/09	21	17.6671	371.01	33.1400	695.94	324.93	8	1.08
		09/03/09	56	17.9055	1,002.71	33.1400	1,855.84	853.13	21	1.08
		09/03/09	28	17.9053	501.35	33.1400	927.92	426.57	11	1.08
		11/17/09	32	21.8434	698.99	33.1400	1,060.48	361.49	12	1.08
		11/17/09	16	21.8431	349.49	33.1400	530.24	180.75	6	1.08
Subtotal			237		4,567.47		7,854.18	3,286.71	89	1.08
WATERS CORP	WAT	02/11/11	138	79.5234	10,974.24	74.0500	10,218.90	(755.34)		
		02/14/11	104	80.0125	8,321.31	74.0500	7,701.20	(620.11)		
		02/15/11	17	79.9582	1,359.29	74.0500	1,258.85	(100.44)		
		05/24/11	70	97.0740	6,795.18	74.0500	5,183.50	(1,611.68)		
		05/25/11	139	97.7282	13,584.22	74.0500	10,292.95	(3,291.27)		
		07/26/11	115	89.4425	10,285.89	74.0500	8,515.75	(1,770.14)		
Subtotal			583		51,320.13		43,171.15	(8,148.98)		
WEST PHARMACTL SVCS INC	WST	07/11/11	79	45.0959	3,562.58	37.9500	2,998.05	(564.53)	57	1.89
		07/12/11	35	45.3685	1,587.90	37.9500	1,328.25	(259.65)	26	1.89
		07/13/11	101	46.0635	4,652.42	37.9500	3,832.95	(819.47)	73	1.89
		09/14/11	61	40.0167	2,441.02	37.9500	2,314.95	(126.07)	44	1.89
Subtotal			276		12,243.92		10,474.20	(1,769.72)	200	1.89
WESTAMERICA BANCORP	WABC	05/06/09	106	54.0578	5,730.13	43.9000	4,653.40	(1,076.73)	157	3.37
		08/19/10	65	50.9470	3,311.56	43.9000	2,853.50	(458.06)	97	3.37
		09/14/11	33	40.7300	1,344.09	43.9000	1,448.70	104.61	49	3.37
		09/15/11	9	40.9522	368.57	43.9000	395.10	26.53	14	3.37
Subtotal			213		10,754.35		9,350.70	(1,403.65)	317	3.37
WINTRUST FINL CP ILL COM	WTFC	05/07/09	191	20.0161	3,823.08	28.0500	5,357.55	1,534.47	35	.64
		01/24/11	52	33.4065	1,737.14	28.0500	1,458.60	(278.54)	10	.64
Subtotal			243		5,560.22		6,816.15	1,255.93	45	.64



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A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit	Cost Basis	Total	Estimated	Estimated	Unrealized	Estimated	Estimated
Description				Cost Basis	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income	Yield%	
YANDEX NV CL A	YNDX	05/31/11	99	35.0545	3,470.40	19.7000	1,950.30	(1,520.10)			
		06/03/11	100	33.4426	3,344.26	19.7000	1,970.00	(1,374.26)			
		06/16/11	156	30.4608	4,751.90	19.7000	3,073.20	(1,678.70)			
		06/17/11	158	30.8929	4,881.08	19.7000	3,112.60	(1,768.48)			
Subtotal			513		16,447.64		10,106.10	(6,341.54)			
YUM BRANDS INC	YUM	05/23/11	478	55.9179	26,728.76	59.0100	28,206.78	1,478.02			545 1.93
		05/24/11	290	55.8105	16,185.05	59.0100	17,112.90	927.85			331 1.93
Subtotal			768		42,913.81		45,319.68	2,405.87			876 1.93
3M COMPANY	MMM	06/10/10	258	77.3582	19,958.44	81.7300	21,086.34	1,127.90			568 2.69
		11/22/10	250	84.5216	21,130.40	81.7300	20,432.50	(697.90)			550 2.69
		03/23/11	220	92.1188	20,266.14	81.7300	17,980.60	(2,285.54)			484 2.69
Subtotal			728		61,354.98		59,499.44	(1,855.54)			1,602 2.69
TOTAL					9,000,272.50		9,276,530.84	273,424.63			189,481 2.04

MUTUAL FUNDS/CLOSED END FUNDS/UIT

Description	Quantity	Total	Estimated	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated	Estimated
		Cost Basis	Market Price	Market Value	Market Value	Gain/(Loss)	Investment	Investment	Annual Income	Current Yield%
FIXED INCOME SHARES	104,690	1,411,034.50 ✓	12.4700	1,305,484.30	1,305,484.30	(105,550.20)	1,411,034	(105,550)	130,862	10.02
SERIES C F CL INSTL										
SYMBOL: FXICX Initial Purchase: 11/29/10										
Fixed Income 100%										
FIXED INCOME SHARES	130,727	1,384,888.32 ✓	10.4000	1,359,560.80	1,359,560.80	(25,327.52)	1,384,888	(25,327)	64,049	4.71
SERIES M F CL INSTL										
SYMBOL: FXIMX Initial Purchase: 11/29/10										
Fixed Income 100%										
Subtotal (Fixed Income)				2,665,045.10	2,665,045.10					

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PRIVATE BANKING &
INVESTMENT GROUP

Bank of America Corporation

A L&O B O'CONNOR FDN INC

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2011 - December 30, 2011

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total		Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated	
		Cost Basis							Annual Income	Current Yield%
TOTAL		2,795,922.82			2,665,045.10	(130,877.72)		(130,877)	194,911	7.31

The A Lindsay & Olive B.O'Connor Foundation Inc
FORM 990PF SUPPORTING SCHEDULE
PART II, LINE 14
2011
16-6063485

<u>ASSET</u>	<u>COST BASIS</u>	<u>ACCUM. DEPREC.</u>	<u>NET OF DEPREC.</u>
COPIER - 2000	5,063	5,063	-
COMPUTER - 2004	3,119	3,119	-
NOTEBOOK COMPUTER-2006	2,315	2,315	-
COMPUTER - 2007	2,662	2,625	37
COMPUTER - 2007	4,162	3,764	398
BACK UP BATTERY	797	624	173
DELL COMPUTER-2008	8,010	5,207	2,803
BTO-MACBK COMPUTER- 2009	2,327	1,319	1,008
DELL COMPUTER -2009	1,600	880	720
DELL COMPUTER-2009	2,357	1,296	1,061
MACBOOK COMPUTER-2009	4,538	2,193	2,345
APPLE-COMPUTER-2009	3,279	1,312	1,967
DELL SMALL BUSINESS COMPUTER - 2010	3,715	1,486	2,229
APPLE COMPUTER - 2010	3,541	1,180	2,361
HP COMPUTER - 2010	4,842	1,049	3,793
PRINTER - 2003	803	803	-
PRINTER - 2005	2,767	2,767	-
PRINTER - 2005	299	299	-
PRINTER - 2006	232	232	(0)
PRINTER-2008	649	465	184
PRINTER-2009	858	486	372
APPLE PRINTER - 2010	238	71	167
XEROX PRINTER - 2011	1,148	167	981
PRINTER INK - 2011	1,251	153	1,098
FILE CABINET	1,125	1,125	-
FAX MACHINE - 2010	285	81	204
SCANNER - 2001	2,925	2,925	-
SCANNER - 2009	3,227	1,399	1,828
SOFTWARE - 2008	1,135	1,135	(0)
SOFTWARE - 2009	3,699	2,569	1,130
SOFTWARE - BACKUP ASSIST - 2010	356	168	188
SOFTWARE - WINDOWS 7 - 2010	776	517	259
	<u>74,100</u>	<u>48,794</u>	<u>25,306</u>

THE A LINDSAY & OLIVE B. O'CONNOR FOUNDATION INC
FORM 990PF SUPPORTING SCHEDULE
PART IV, LINE 1a and b- GAIN/LOSS
2011
16-6063485

Page 1 of 1

	PROCEEDS OF SALE	COST	GAIN OR LOSS
SHORT TERM			
Chemung Canal Trust			
Hospital Account	250,170	285,167	(34,997)
Custody Account	3,651,828	3,866,088	(214,260)
Merrill Lynch			
Account D02	125,344	130,596	(5,252)
Account E33	5,555	5,342	213
Account E37	44,325	43,345	980
Account E38	4,905,045	4,906,568	(1,523)
Account E38	346,780	346,780	-
Total Merrill Lynch	5,427,049	5,432,631	(5,582)
Total Short Term Gain (Loss)	<u>9,329,047</u>	<u>9,583,886</u>	<u>(254,839)</u>
LONG TERM			
Chemung Canal Trust			
Hospital Account	- 728,972	757,321	(28,349)
Custody Account	9,023,370	8,022,463	1,000,907
Merrill Lynch			
Account C78	80,548	82,633	(2,085)
Account E37	202,110	207,038	(4,928)
Account E38	3,182,236	2,653,220	529,016
Account E38	505,762	488,641	17,121
Total Merrill Lynch	3,970,656	3,431,532	539,124
Total Long Term Gain (Loss)	<u>13,722,998</u>	<u>12,211,316</u>	<u>1,511,682</u>
CAPITAL GAIN/LOSS FROM INVESTMENTS	<u>23,052,045</u>	<u>21,795,202</u>	<u>1,256,843</u>

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FORM 990 PF SUPPORTING SCHEDULE
PART XV. LINE 2
O'CONNOR FOUNDATION # 16-6063485
GRANT APPLICATION INSTRUCTIONS

2011

1. Please condense the information about your proposal so that it fits within the space allowed on the application form.

You may submit additional information as an addendum to the proposal, but it is essential that all pertinent information be included on the application form within the space provided.

2. Before the Board of Directors can consider any application, proof of Federal Charitable Tax Exempt Status [section 501 (c)(3) Internal Revenue Code, or other appropriate section], or proof that the applicant is a bona fide unit of Government must be on file in the Foundation's office.
3. It is the policy of the O'Connor Foundation to generally restrict our geographic area of program to proposals that have direct impact on the quality of life in Delaware and the 7 contiguously surrounding counties in rural upstate New York (Broome, Chenango, Greene, Otsego, Schoharie, Sullivan and Ulster).
4. Proposals in amounts not exceeding \$5,000 will be considered on a monthly basis (deadline is the first of each month) upon the following conditions:
 - (a) The organization must be located in Delaware County, or directly benefit Delaware County
 - (b) A recipient of a grant cannot reapply for a period of three years for the same project
 - (c) There shall be a required \$1.00 for \$1.00 match on all such grants - if the proposal is approved, match shall be "new money" raised after the date of the approval letter
5. It is preferred, but not required, to have sketches or drawings submitted on legal size or smaller paper.
6. As a general rule, this Foundation will not make grants for meeting annual operating expenditures, or retiring existing debts and prefers to make grants of a non-recurring nature.
7. In almost all cases, a site visit will be arranged after the full application has been filed.
8. Applications should be directed to:

Donald F. Bishop, II
Executive Director
A. Lindsay & Olive B. O'Connor Foundation
Box D, Hobart, NY 13788

IMPORTANT: — The O'Connor Foundation scans all proposals.
 — Please use only one side of the page.
 — Do not staple or bind grant proposals.

Should you have any questions, do not hesitate to call (607) 538-9248
Monday-Friday, 9 a.m. - 3 p.m.

O'CONNOR FOUNDATION
APPLICATION FORM

Page 2

Phone (607) 538-9248 Fax (607) 538-1650

Email office@theoconnorfoundation.org Web www.theoconnorfoundation.org

YOUR ORGANIZATION:

DATE _____

NAME:

ADDRESS:

PROOF OF TAX EXEMPTION - attach an Internal Revenue Service Exemption Letter (Form 1045); or if your organization is part of a municipality, attach a copy of the Minutes forming the organization

FOUNDERS:

CURRENT OFFICERS:

MEMBERSHIP: (actual number) Active _____ Inactive _____ Total _____

CORPORATE PURPOSE:

PRINCIPAL ACTIVITY:

O' CONNOR FOUNDATION APPLICATION FORM

Organization _____ page 3

ORGANIZATION'S ANNUAL BUDGET - Summarize the last two year's balance sheets and income statements to show sources of income, expenses, reserves, and savings. NOTE - THESE ARE TO BE SUMMARIZED ON THIS PAGE ONLY.

O'CONNOR FOUNDATION APPLICATION FORM

Organization _____ page 4

THIS PROPOSAL

CONTACT PERSON:
ADDRESS:

PHONE:

SUMMARY OF THIS PROPOSAL AND THE NEED OR PROBLEM IT ADDRESSES:

PROJECT COST - EXPLAIN WITH DETAILED BUDGET - SHOW ANY LOCAL, STATE
OR FEDERAL SUPPORT

AMOUNT OF O'CONNOR FOUNDATION GRANT PROPOSED \$ _____

TIME SCHEDULE TO CARRY OUT PROJECT - INCLUDING FUNDING SCHEDULE

Organization _____ page 5

GOALS AND OBJECTIVES OF THIS PROPOSAL:

SPECIFIC DETAILED EXPLANATION OF METHODS TO ACHIEVE PROPOSED OBJECTIVES:

WHAT WILL BE THE LONG-TERM BENEFIT OF THIS PROPOSAL?

**EVALUATION - HOW WILL SUCCESS OF THE PROJECT BE MEASURED –
(over what time period and by what means)?**

HOW WILL PROJECT BE FINANCED IN THE FUTURE?

O'CONNOR FOUNDATION APPLICATION FORM

Organization _____ page 6

HAS THIS PROPOSAL BEEN OR IS IT BEING CONSIDERED BY OTHER FUNDING SOURCES?

DOES THIS PROJECT DUPLICATE ANY EXISTING SERVICE OR AGENCY?

WHY IS YOUR ORGANIZATION QUALIFIED TO UNDERTAKE THIS WORK?

LIST QUALIFIED INDIVIDUALS WHO ENDORSE THE PROJECT: (names & addresses)

THE A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.

EIN 16-6063485

FORM 990 PF SCHEDULE

PART XV, LINE 3(a)

2011

GRANTS PAID OUT IN 2011

Payment Date	Organization	Location	Purpose	Status	Payment Amount
January 2011					
1/14/2011	Albany Law School	Albany	Scholarships	School	\$12,500.00
1/14/2011	Broome Community College Foundation, Inc.	Binghamton	Scholarships	School	\$12,500.00
1/14/2011	Cazenovia College	Cazenovia	Scholarships	School	\$10,000.00
1/14/2011	Cobleskill Ag & Tech College Foundation, Inc.	Cobleskill	Scholarships	School	\$18,500.00
1/14/2011	Colgate University	Hamilton	Scholarships	School	\$10,000.00
1/14/2011	College Foundation at Delhi, Inc.	Delhi	Vo/Ed Scholarships	501(C)(3) Not fo	\$10,000.00
1/14/2011	College Foundation at Delhi, Inc.	Delhi	Nursing Scholarships	501(C)(3) Not fo	\$10,000.00
1/14/2011	Cornell University	Ithaca	Scholarships	School	\$13,500.00
1/14/2011	Delaware County Sheriff's Office	Delhi	Resource Officer Deadline 12/11 8/10 rede Municipality		\$5,000.00
1/14/2011	Empire State College Foundation	Saratoga Springs	Scholarships	School	\$14,500.00
1/14/2011	Foundation of the SUNY at Binghamton	Binghamton	Scholarships	School	\$12,500.00
1/14/2011	Hartwick College	Oneonta	Nursing Scholarships	School	\$12,500.00
1/14/2011	Rensselaer Polytechnic Institute	Troy	Scholarships	School	\$12,500.00
1/14/2011	Sage Colleges	Troy	Scholarships	School	\$12,500.00
1/14/2011	State University College at Oneonta Foundation	Oneonta	Scholarships	501(C)(3) Not fo	\$18,500.00
1/14/2011	State University College at Oneonta Foundation	Oneonta	Masters in Education Scholarship	501(C)(3) Not fo	\$7,500.00
1/14/2011	Syracuse University	Syracuse	Scholarships	School	\$12,500.00
1/20/2011	Andes Central School	Andes	Guatemala Trip	School	\$1,500.00
1/20/2011	Bright Hill Press/Word Thursdays	Treadwell	Museum Events	501(C)(3) Not fo	\$4,980.00
1/20/2011	Foothills Performing Arts Center, Inc.	Oneonta	Performance	501(C)(3) Not fo	\$3,225.00
1/20/2011	Gerry Foundation	Liberty	Presentations	501(C)(3) Not fo	\$2,745.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
1/20/2011	Walton Historical Society	Walton	Windows and Insulation	501(C)(3) Not fo	\$4,200.00
1/20/2011	Zadock Pratt Museum, Inc.	Prattsville	2010 Salaries	501(C)(3) Not fo	\$4,035.00
1/31/2011	Delaware Academy and Central School	Delhi	German Club	School	\$1,673.00
1/31/2011	M-ARK Project, Inc.	Arkville	Artisan Guild	501(C)(3) Not fo	\$2,500.00
1/31/2011	Tri-Town Boys & Girls Club, Inc.	Sidney	Mentor Training	501(C)(3) Not fo	\$2,250.00
1/31/2011	Village of Stamford	Stamford	Water Distribution System	Municipality	\$5,000.00
<u>February 2011</u>					<u>January 2011 Total</u>
2/8/2011	Roxbury Arts Group, Inc.	Roxbury	2011 Operating Expenses	501(C)(3) Not fo	\$7,000.00
2/8/2011	Roxbury Arts Group, Inc.	Roxbury	76 Main! Expenses	501(C)(3) Not fo	\$8,000.00
2/8/2011	Sidney Center Improvement Group	Sidney Center	Planters and Sign	501(C)(3) Not fo	\$500.00
2/8/2011	Zadock Pratt Museum, Inc.	Prattsville	2010 Salaries	501(C)(3) Not fo	\$4,236.00
2/11/2011	Andes Central School	Andes	Class Trip	School	\$1,687.00
2/11/2011	Hobart Presbyterian Church	Hobart	Roof Repairs	Religious	\$5,000.00
2/11/2011	Village of Stamford	Stamford	Fire Station Renovations	Municipality	\$5,000.00
2/18/2011	Andes Central School	Andes	European Soccer Trip	School	\$1,800.00
2/18/2011	Andes Central School	Andes	Class Trip	School	\$3,313.00
2/18/2011	Andes Central School	Andes	Pet Oxygen Masks	School	\$500.00
2/18/2011	Delaware Academy and Central School	Delhi	German Club	School	\$3,327.00
2/18/2011	Delaware County Historical Assoc.	Delhi	Copier	501(C)(3) Not fo	\$2,500.00
2/18/2011	Delhi Dolphins Swim Team	Delhi	Equipment	501(C)(3) Not fo	\$2,360.00
2/18/2011	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$20,000.00
<u>March 2011</u>					<u>February 2011 Total</u>
3/9/2011	Andes Society for History & Culture	Andes	Endowment Deadline 12/11	501(C)(3) Not fo	\$2,950.00
					<u>\$65,223.00</u>

Payment Date	Organization	Location	Purpose	Status	Payment Amount
3/9/2011	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$10,000.00
3/9/2011	Roxbury Central School	Roxbury	Artist in Residency Program Deadline 12/1	School	\$1,000.00
3/14/2011	Christ Church	Walton	Stained Glass Window	Religious	\$4,670.00
3/14/2011	South Kortright Central School	South Kortright	Field Trips	School	\$1,667.00
3/14/2011	SUNY Research Foundation	Delhi	2011 Programming	School	\$20,000.00
3/14/2011	Third Sector New England, Inc. Resource	Boston	2011 Creating Change Retreat	501(C)(3) Not fo	\$10,000.00
3/14/2011	Village of Fleischmanns	Fleischmanns	Website	Municipality	\$2,978.00
3/14/2011	Village of Fleischmanns	Fleischmanns	Roof	Municipality	\$4,266.00
3/14/2011	Zadock Pratt Museum, Inc.	Prattville	2010 Salaries	501(C)(3) Not fo	\$1,606.00
3/17/2011	Hanford Mills Museum	East Meredith	2002 Operating Expenses, Capital & Speci	501(C)(3) Not fo	\$852.00
3/22/2011	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$18,000.00
3/22/2011	Roxbury Arts Group, Inc.	Roxbury	Furnace	501(C)(3) Not fo	\$4,325.00
3/24/2011	Catskill Forest Association	Arkville	2011 Operating Expenses	501(C)(3) Not fo	\$50,000.00
3/24/2011	Fairview Public Library	Margaretville	Windows	501(C)(3) Not fo	\$9,000.00
3/24/2011	Margaretville Health Foundation	Margaretville	Wellness Programs	501(C)(3) Not fo	\$1,666.00
3/24/2011	Western Catskills Community Revitalization Co	Stanford	Landscaping Report	501(C)(3) Not fo	\$5,000.00
3/31/2011	Andes Central School	Andes	Field Trip	School	\$4,500.00
3/1/2011	United Methodist Church	Hobart	Refund of 2010 Grant Payment	Religious	(\$1,000.00)
			March 2011 Total		\$151,480.00
April 2011					
4/6/2011	Catskill Mountain Christian Center	Margaretville	Swingset	501(C)(3) Not fo	\$4,612.00
4/6/2011	Hanford Mills Museum	East Meredith	2009 Projects	501(C)(3) Not fo	\$1,250.00
4/6/2011	Kirkside of Roxbury, Inc.	Roxbury	2010 Maintenance Contingency	501(C)(3) Not fo	\$1,500.00
4/6/2011	Kirkside of Roxbury, Inc.	Roxbury	2010 Projects	501(C)(3) Not fo	\$2,800.00
4/15/2011	Foundation for the Open Eye	Margaretville	School Programming	501(C)(3) Not fo	\$1,665.00
4/18/2011	M-ARK Project, Inc.	Arkville	2011 Main Street Market	501(C)(3) Not fo	\$5,000.00
4/18/2011	Pine Hill Community Center	Pine Hill	Senior Health Program	501(C)(3) Not fo	\$3,500.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
4/27/2011	Catskill Revitalization Corporation	Stamford	Tie	501(C)(3) Not fo	\$50,000.00
4/28/2011	American Chestnut Foundation	Williamsville	General Expenses	501(C)(3) Not fo	\$5,000.00
4/28/2011	Catskill Revitalization Corporation	Stamford	2011 Operating Expenses	501(C)(3) Not fo	\$75,000.00
4/28/2011	Delaware County Historical Assoc.	Delhi	System Upgrades	501(C)(3) Not fo	\$3,300.00
4/28/2011	Deposit Community Theatre and Performing Ar	Deposit	Sound Equipment	501(C)(3) Not fo	\$2,233.00
4/28/2011	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$18,000.00
4/28/2011	Little Victory Players	East Branch	Production Expenses	501(C)(3) Not fo	\$4,650.00
4/28/2011	M-ARK Project, Inc.	Arkville	Ski Club	501(C)(3) Not fo	\$4,800.00
4/28/2011	Roxbury Arts Group, Inc.	Roxbury	2011 Operating Expenses	501(C)(3) Not fo	\$7,000.00
4/28/2011	Roxbury Arts Group, Inc.	Roxbury	76 Main! Expenses	501(C)(3) Not fo	\$2,000.00
4/28/2011	Town of Hamden	Hamden	Planters	Municipality	\$4,000.00
4/28/2011	West Kortright Centre, Inc.	East Meredith	Endowment	501(C)(3) Not fo	\$795.00
4/28/2011	William B. Ogden Free Library	Walton	Reading Group	501(C)(3) Not fo	\$500.00
4/29/2011	M-ARK Project, Inc.	Arkville	Belleayre Repertory Orchestra Re-des	501(C)(3) Not fo	\$1,750.00
April 2011 Total					\$199,355.00
May 2011					
5/18/2011	Catskill Mountain Christian Center	Margaretville	Alight Center	501(C)(3) Not fo	\$4,770.00
5/18/2011	Greater Delhi Area Foundation	Delhi	Delhi Covered Bridge Run	Pending	\$3,025.00
5/18/2011	M-ARK Project, Inc.	Arkville	Golden Seal building	501(C)(3) Not fo	\$2,500.00
5/18/2011	Roxbury Central School	Roxbury	Adobe	School	\$975.00
5/20/2011	Franklin Central School	Franklin	National Tournament	School	\$1,990.00
5/20/2011	Franklin Stock Company at Chapel Hall, Inc.	Franklin	Seneca Play	501(C)(3) Not fo	\$5,000.00
5/20/2011	Franklin Stock Company at Chapel Hall, Inc.	Franklin	Blithe Spirit	501(C)(3) Not fo	\$5,000.00
5/20/2011	Kirkside of Roxbury, Inc.	Roxbury	2010 Projects	501(C)(3) Not fo	\$1,200.00
5/20/2011	M-ARK Project, Inc.	Arkville	Baseball	501(C)(3) Not fo	\$1,400.00
5/20/2011	Project Independence, Inc.	Hobart	Windows	501(C)(3) Not fo	\$4,450.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
5/20/2011	Roxbury Arts Group, Inc.	Roxbury	Art & Soul of the Catskills	501(C)(3) Not fo	\$3,500.00
5/20/2011	Town of Kortright	Bloomville	History Books	Municipality	\$3,000.00
5/20/2011	Walton Dollars for Scholars	Walton	Scholarships	501(C)(3) Not fo	\$5,000.00
5/20/2011	Zadock Pratt Museum, Inc.	Prattsville	2011 Salaries	501(C)(3) Not fo	\$1,533.00
5/20/2011	Zadock Pratt Museum, Inc.	Prattsville	2010 Salaries	501(C)(3) Not fo	\$2,412.00
5/26/2011	Franklin Stock Company at Chapel Hall, Inc.	Franklin	Advertising	501(C)(3) Not fo	\$5,000.00
5/26/2011	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$20,000.00
5/26/2011	Headwaters Soccer Club	North Blenheim	Transportation	501(C)(3) Not fo	\$5,000.00
5/27/2011	M-ARK Project, Inc.	Arkville	Creating Art Together	501(C)(3) Not fo	\$2,750.00
5/27/2011	West Davenport Free Baptist Church	West Davenport	Appliances	Religious	\$5,000.00
5/20/2011	O'Connor Hospital	Delhi	Roof Repair & HVAC Control	501(C)(3) Not fo	\$79,325.00
			May 2011 Total		\$162,830.00
June 2011					
6/2/2011	Delaware County Historical Assoc.	Delhi	2010 Operating Expenses	501(C)(3) Not fo	\$14,960.00
6/2/2011	Delaware County Office for the Aging Delawar	Delhi	Seniors 4-H Camp	Municipality	\$5,000.00
6/2/2011	Foothills Performing Arts Center, Inc.	Oneonta	Scottish Folk Music	501(C)(3) Not fo	\$2,000.00
6/2/2011	Hancock Community Education Foundation	Hancock	Summer programming	501(C)(3) Not fo	\$5,000.00
6/3/2011	Town of Jefferson	Jefferson	Maple Museum Music Series	Municipality	\$700.00
6/15/2011	Andes Dollars for Scholars	Andes	Scholarships	501(C)(3) Not fo	\$3,000.00
6/15/2011	Andes Dollars for Scholars	Andes	Scholarships	501(C)(3) Not fo	\$3,000.00
6/15/2011	Little Delaware Youth Ensemble	Oneonta	Annual String Workshop	501(C)(3) Not fo	\$1,000.00
6/15/2011	Otschodela Council, Inc. Boy Scouts of Americ	Oneonta	Gettyburg Trip	501(C)(3) Not fo	\$2,800.00
6/15/2011	Roxbury Central School	Roxbury	Battle of the Books	School	\$1,000.00
6/15/2011	Roxbury Central School	Roxbury	Battle of the Books 2	School	\$1,000.00
6/15/2011	South Kortright Central School Dollars for Sch	South Kortright	Scholarships	501(C)(3) Not fo	\$3,000.00
6/15/2011	South Kortright Central School Dollars for Sch	South Kortright	Scholarships	501(C)(3) Not fo	\$3,000.00
6/15/2011	Stamford Christmas Feeling Fund	Stamford	Annual Grant	501(C)(3) Not fo	\$3,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
6/15/2011	Town of Kortright	Bloomville	History Books	Municipality	\$2,000.00
6/15/2011	Village of Stamford	Stamford	Little League	Municipality	\$750.00
6/16/2011	Margaretville Central School Dollars for Schola	Margaretville	Scholarships	501(C)(3) Not fo	\$5,000.00
6/16/2011	Meridale Fire Department	Meridale	Annual Grant	Municipality	\$5,000.00
6/16/2011	Meridale Fire Department	Meridale	Annual Grant	Municipality	\$5,000.00
6/20/2011	South Kortright Central School Dollars for Sch	South Kortright	Scholarships	501(C)(3) Not fo	(\$3,000.00)
6/21/2011	Delhi Campus Ministry	Delhi	Annual Grant	Religious	\$10,000.00
6/21/2011	Roxbury Arts Group, Inc.	Roxbury	Scholarships	501(C)(3) Not fo	\$1,000.00
July 2011					\$74,210.00
7/12/2011	Catskill Forest Association	Arkville	2011 Operating Expenses	501(C)(3) Not fo	\$50,000.00
7/12/2011	Foundation for the Open Eye	Margaretville	Youth Workshops	501(C)(3) Not fo	\$1,000.00
7/12/2011	Foundation for the Open Eye	Margaretville	2011 - 2012 Programming	501(C)(3) Not fo	\$3,140.00
7/13/2011	Andes Society for History & Culture	Andes	Youth Workshops	501(C)(3) Not fo	\$990.00
7/13/2011	Bovina Public Library	Bovina Center	Toilets	501(C)(3) Not fo	\$500.00
7/13/2011	Bright Hill Press/Word Thursdays	Treadwell	Library Equipment	501(C)(3) Not fo	\$4,980.00
7/13/2011	Delaware County Historical Assoc.	Delhi	Endowment	501(C)(3) Not fo	\$20,000.00
7/13/2011	Delaware County Office of Employment and Tr	Delhi	2011 Youth Employment	Municipality	\$30,000.00
7/13/2011	Delaware County Office of Employment and Tr	Delhi	Lawn Tractor	Municipality	\$5,000.00
7/13/2011	Delaware County Office of Employment and Tr	Delhi	Trailer	Municipality	\$3,500.00
7/13/2011	Deposit Community Center, Inc.	Deposit	Cribs	501(C)(3) Not fo	\$1,625.00
7/13/2011	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$20,000.00
7/13/2011	M-ARK Project, Inc.	Arkville	Baseball	501(C)(3) Not fo	\$1,355.00
7/13/2011	Otschodela Council, Inc. Boy Scouts of Americ	Oneonta	Camping Trip	501(C)(3) Not fo	\$900.00
7/13/2011	Rotary Club of Delhi	Delhi	After Prom Parties	501(C)(4)	\$1,667.00
7/13/2011	Roxbury Arts Group, Inc.	Roxbury	76 Main!	501(C)(3) Not fo	\$4,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
7/13/2011	Roxbury Arts Group, Inc.	Roxbury	Operating Expenses	501(C)(3) Not fo	\$10,000.00
7/13/2011	Sidney Dollars for Scholars	Sidney	Scholarships	501(C)(3) Not fo	\$7,000.00
7/13/2011	South Kortright Central School	South Kortright	Computers	School	\$2,700.00
7/15/2011	Zadock Pratt Museum, Inc.	Prattville	2011 Salaries	501(C)(3) Not fo	\$1,569.00
7/29/2011	Catskill Revitalization Corporation	Stamford	2011 Operating Expenses	501(C)(3) Not fo	\$75,000.00
7/29/2011	Downsville Central School	Downsville	After Prom Parties	School	\$1,000.00
7/29/2011	Roxbury Chapter Dollars for Scholars	Denver	Scholarships	501(C)(3) Not fo	\$3,800.00
7/29/2011	South Kortright Central School	South Kortright	Summer Programs	School	\$20,000.00
7/29/2011	Walton Central High School	Walton	Forensic Nationals	School	\$1,500.00
August 2011					\$271,226.00
8/9/2011	Belleayre Conservatory, Inc.	Highmount	Summer programming	501(C)(3) Not fo	\$5,000.00
8/9/2011	Belleayre Conservatory, Inc.	Highmount	2011 Jazz Series	501(C)(3) Not fo	\$20,000.00
8/9/2011	Catskill Revitalization Corporation	Stamford	Ties	501(C)(3) Not fo	\$20,000.00
8/9/2011	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$21,000.00
8/9/2011	Jay Gould Memorial Reformed Church	Roxbury	Masonry Work	Religious	\$5,000.00
8/9/2011	New Kingston Valley Association	New Kingston	Film Festival	501(C)(3) Not fo	\$2,050.00
8/9/2011	Oneonta Dollars for Scholars	Oneonta	Scholarships	501(C)(3) Not fo	\$1,500.00
8/9/2011	Roxbury United Methodist Church	Roxbury	Roof	Religious	\$5,000.00
8/10/2011	Catskill Forest Association	Arkville	Building Fund	501(C)(3) Not fo	\$5,000.00
8/10/2011	Catskill Forest Association	Arkville	Roof	501(C)(3) Not fo	\$5,000.00
8/10/2011	Catskill Revitalization Corporation	Stamford	Trail Maintenance	501(C)(3) Not fo	\$5,000.00
8/10/2011	Christ Church	Walton	Stained Glass Window	Religious	\$1,730.00
8/10/2011	Foundation for the Open Eye	Margaretville	2011 - 2012 Programming	501(C)(3) Not fo	\$3,860.00
8/10/2011	Todd Mountain Theater Project, Inc.	Arkville	2011 Programming	501(C)(3) Not fo	\$2,500.00
8/10/2011	Walton Historical Society	Walton	Memorial Day Events	501(C)(3) Not fo	\$1,843.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
8/10/2011	Woodchuck Lodge, Inc.	Roxbury	Equipment	501(C)(3) Not fo	\$720.00
8/17/2011	Catskill Center for Conservation and Develop	Arkville	Exhibits	501(C)(3) Not fo	\$3,750.00
8/17/2011	Delhi Community Fund, Inc.	Delhi	Floor replacement	501(C)(3) Not fo	\$4,850.00
8/17/2011	Deposit Community Pool Association	Deposit	Handicapped Accessible	501(C)(3) Not fo	\$2,500.00
8/17/2011	First United Methodist Church of Walton	Walton	Stained Glass Windows	Religious	\$2,500.00
8/17/2011	Foundation for the Open Eye	Margaretville	Steps	501(C)(3) Not fo	\$5,000.00
8/17/2011	Greater Delhi Area Foundation	Delhi	2011 Art & Soul of the Catskills	Pending	\$3,500.00
8/17/2011	Hanford Mills Museum	East Meredith	2010 Operating Expenses	501(C)(3) Not fo	\$8,000.00
8/17/2011	Hanford Mills Museum	East Meredith	2011 Operating Expenses	501(C)(3) Not fo	\$14,000.00
8/17/2011	M-ARK Project, Inc.	Arkville	Radio Events	501(C)(3) Not fo	\$4,525.00
8/17/2011	Railroad and Youngman's Christian Assoc	Oneonta	Renovations	501(C)(3) Not fo	\$5,000.00
8/17/2011	United Way of Delaware and Otsego Counties	Oneonta	Awards	501(C)(3) Not fo	\$5,000.00
8/17/2011	Walton Citizen's Task Force	Walton	Child ID Units	501(C)(3) Not fo	\$2,500.00
8/29/2011	Andes Central School	Andes	Computers	School	\$5,000.00
8/29/2011	Hanford Mills Museum	East Meredith	Summer Employment Deadline 12/11/11	501(C)(3) Not fo	\$1,100.00
8/29/2011	Hanford Mills Museum	East Meredith	2003 Operating Expenses Capital & Specia	501(C)(3) Not fo	\$2,382.00
8/29/2011	Roxbury Arts Group, Inc.	Roxbury	Operating Expenses	501(C)(3) Not fo	\$4,000.00
8/29/2011	William B. Ogden Free Library	Walton	Furniture	501(C)(3) Not fo	\$1,000.00
8/29/2011	Zadock Pratt Museum, Inc.	Prattsville	2011 Salaries	501(C)(3) Not fo	\$2,005.00
8/31/2011	Albany Law School	Albany	Scholarships	School	\$7,500.00
8/31/2011	Broome Community College Foundation, Inc.	Binghamton	Scholarships	School	\$17,500.00
8/31/2011	Cazenovia College	Cazenovia	Scholarships	School	\$5,000.00
8/31/2011	Cobleskill Ag & Tech College Foundation, Inc.	Cobleskill	Scholarships	School	\$23,500.00
8/31/2011	Colgate University	Hamilton	Scholarships	School	\$5,000.00
8/31/2011	College Foundation at Delhi, Inc.	Delhi	Scholarships	501(C)(3) Not fo	\$15,000.00
8/31/2011	College Foundation at Delhi, Inc.	Delhi	Scholarships	501(C)(3) Not fo	\$10,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
8/31/2011	Cornell University	Ithaca	Scholarships	School	\$13,500.00
8/31/2011	Empire State College Foundation	Saratoga Springs	Scholarships	School	\$14,500.00
8/31/2011	Foundation of the SUNY at Binghamton	Binghamton	Scholarships	School	\$16,500.00
8/31/2011	Foundation of the SUNY at Binghamton	Binghamton	Scholarships	School	\$7,000.00
8/31/2011	Hartwick College	Oneonta	Scholarships	School	\$7,500.00
8/31/2011	Rensselaer Polytechnic Institute	Troy	Scholarships	School	\$12,500.00
8/31/2011	Sage Colleges	Troy	Scholarships	School	\$5,000.00
8/31/2011	State University College at Oneonta Foundation	Oneonta	Scholarships	501(C)(3) Not fo	\$22,000.00
8/31/2011	State University College at Oneonta Foundation	Oneonta	Scholarships	501(C)(3) Not fo	\$9,000.00
8/31/2011	Syracuse University	Syracuse	Scholarships	School	\$7,500.00
8/5/2011	Hobart Community Foundation	Hobart	Accounting Fees	501(C)(3) Not fo	\$1,975.00
August 2011 Total					\$382,290.00
September 2011					
9/16/2011	Deposit Community Theatre and Performing Ar	Deposit	Roof	501(C)(3) Not fo	\$5,000.00
9/16/2011	Foothills Performing Arts Center, Inc.	Oneonta	Ultimate Idol Concert	501(C)(3) Not fo	\$5,000.00
9/16/2011	Hanford Mills Museum	East Meredith	Intern Expenses	501(C)(3) Not fo	\$3,040.00
9/16/2011	Hanford Mills Museum	East Meredith	2011 Operating Expenses	501(C)(3) Not fo	\$24,000.00
9/16/2011	Paul Smith's College	Paul Smiths	Scholarships	501(C)(3) Not fo	\$5,000.00
9/16/2011	Roxbury Arts Group, Inc.	Roxbury	Operating Expenses	501(C)(3) Not fo	\$6,000.00
9/16/2011	Roxbury Arts Group, Inc.	Roxbury	Computers	501(C)(3) Not fo	\$2,250.00
9/16/2011	South Kortright Central School	South Kortright	Resource Officer Deadline 12/11 8/10 red	School	\$4,400.00
9/16/2011	William B. Ogden Free Library	Walton	Reading Group	501(C)(3) Not fo	\$500.00
9/19/2011	Otschodela Council, Inc. Boy Scouts of Americ	Oneonta	Annual Grant	501(C)(3) Not fo	\$4,000.00
9/20/2011	Catskill Mountain Crafts Collective	Margaretville	Purchase & Renovate Old Hobart Town Cl	501(C)(3) Not fo	\$20,000.00
9/20/2011	Catskill Mountain Crafts Collective	Margaretville	Fundraising Grant 10/07 Redes to Hobart	501(C)(3) Not fo	\$5,000.00
9/20/2011	Catskill Mountain Foundation	Hunter	Chautauqua Program - 0/07 Redes to Hob	501(C)(3) Not fo	\$1,000.00
9/21/2011	Association of Small Foundations	Washington	Operating Expenses	501(C)(3) Not fo	\$500.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
October 2011					
10/4/2011	Andes Public Library	Andes	Library Renovations & Expansion	Deadline Municipality	\$7,728.00
10/4/2011	Catskill Forest Association	Arkville	2011 Operating Expenses	501(C)(3) Not fo	\$50,000.00
10/4/2011	First Presbyterian Church of Delhi	Delhi	Youth Event	Religious	\$1,000.00
10/4/2011	M-ARK Project, Inc.	Arkville	Paint	501(C)(3) Not fo	\$2,875.00
10/4/2011	Western Catskills Community Revitalization Co	Stamford	Information Building	501(C)(3) Not fo	\$2,500.00
10/11/2011	Catskill Revitalization Corporation	Stamford	2011 Operating Expenses	501(C)(3) Not fo	\$75,000.00
10/11/2011	Friends of Bassett	Delhi	Retreat	501(C)(3) Not fo	\$5,000.00
10/11/2011	Hancock Community Education Foundation	Hancock	Scholarships	501(C)(3) Not fo	\$10,000.00
10/11/2011	Kirkside of Roxbury, Inc.	Roxbury	2010 Projects	501(C)(3) Not fo	\$2,700.00
10/11/2011	Roxbury Arts Group, Inc.	Roxbury	76 Main!	501(C)(3) Not fo	\$11,000.00
10/11/2011	West Delhi Presbyterian Church	Delhi	Land	Religious	\$2,000.00
10/25/2011	American Red Cross in Delaware County South	Endicott	Emergency Services	501(C)(3) Not fo	\$5,000.00
10/25/2011	Conservation Alliance of New York	Oneonta	Annual Grant	501(C)(3) Not fo	\$5,000.00
10/25/2011	First Night Oneonta	Oneonta	First Night 2012	501(C)(3) Not fo	\$4,000.00
10/25/2011	Hanford Mills Museum	East Meredith	2011 Operating Expenses	501(C)(3) Not fo	\$22,000.00
10/25/2011	Unatego Dollars for Scholars	Otego	Scholarships	501(C)(3) Not fo	\$1,000.00
10/26/2011	Blue Deer Center	Margaretville	Gutters	501(C)(3) Not fo	\$5,000.00
10/26/2011	Catskill Mountain Christian Center	Margaretville	Bulletin Boards, Classroom Supplies	501(C)(3) Not fo	\$850.00
10/26/2011	Christ Church	Walton	Bell Tower repairs	Religious	\$4,325.00
10/26/2011	Kirkside of Roxbury, Inc.	Roxbury	Call Bell System	501(C)(3) Not fo	\$3,500.00
10/26/2011	M-ARK Project, Inc.	Arkville	Art Series	501(C)(3) Not fo	\$1,950.00
10/26/2011	Roxbury Arts Group, Inc.	Roxbury	Endowment Deadline 12/11	501(C)(3) Not fo	\$2,259.00
October 2011 Total					\$224,687.00
September 2011 Total					\$85,690.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
<u>November 2011</u>					
11/1/2011	Roxbury Arts Group, Inc.	Roxbury	Flood Repairs	501(C)(3) Not fo	\$23,185.00
11/8/2011	Delhi Community Fund, Inc.	Delhi	Heating Fuel	501(C)(3) Not fo	\$1,000.00
11/8/2011	Friends of Music of Stamford, NY	Stamford	Anniversary Season	501(C)(3) Not fo	\$3,880.00
11/8/2011	Kirkside of Roxbury, Inc.	Roxbury	2010 Projects	501(C)(3) Not fo	\$1,300.00
11/8/2011	Town of Halcott	Fleischmanns	Roof	Municipality	\$5,000.00
11/10/2011	American Red Cross in Delaware County South	Endicott	Annual Grant	501(C)(3) Not fo	\$5,000.00
11/10/2011	American Red Cross in Delaware County South	Endicott	Annual Grant	501(C)(3) Not fo	\$5,000.00
11/10/2011	Delaware County Historical Assoc.	Delhi	2010 Operating Expenses	501(C)(3) Not fo	\$10,370.00
11/10/2011	Delhi Art Group, Inc.	Delhi	Art Workshops	501(C)(3) Not fo	\$1,000.00
11/10/2011	Foothills Performing Arts Center, Inc.	Oneonta	START Program	501(C)(3) Not fo	\$3,500.00
11/10/2011	Historical Society of Middletown	Margaretville	Microfilm Digitization	501(C)(3) Not fo	\$3,000.00
11/10/2011	Stamford Central School Dollars for Scholars	Stamford	Scholarships	501(C)(3) Not fo	\$4,000.00
11/10/2011	Treadwell Community Improvement Club	Treadwell	Bicentennial Expenses	501(C)(3) Not fo	\$500.00
11/15/2011	Charlotte Valley Central School	Davenport	Scholarships	School	\$4,000.00
11/15/2011	Foothills Performing Arts Center, Inc.	Oneonta	Seats	501(C)(3) Not fo	\$5,000.00
11/15/2011	Little Delaware Youth Ensemble	Oneonta	Rent	501(C)(3) Not fo	\$1,667.00
11/15/2011	Roxbury Arts Group, Inc.	Roxbury	Operating Expenses	501(C)(3) Not fo	\$10,000.00
11/15/2011	Roxbury Arts Group, Inc.	Roxbury	Operating Expenses & Equipment	501(C)(3) Not fo	\$10,000.00
11/15/2011	Unatego Dollars for Scholars	Otego	Scholarships	501(C)(3) Not fo	\$1,000.00
11/17/2011	Delaware County Historical Assoc.	Delhi	Advertising	501(C)(3) Not fo	\$1,028.00
<u>November 2011 Total</u>					\$99,430.00
<u>December 2011</u>					
12/5/2011	Catskill Development Foundation, Inc.	Delhi	Technical Training	501(C)(3) Not fo	\$1,400.00
12/5/2011	Catskill Development Foundation, Inc.	Delhi	Senior Computer Training	501(C)(3) Not fo	\$1,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
12/5/2011	Delaware County Historical Assoc.	Delhi	Endowment	501(C)(3) Not fo	\$50,000.00
12/5/2011	Finger Lakes Trail Conference, Inc.	Mount Morris	Shelter	501(C)(3) Not fo	\$1,982.00
12/5/2011	Girls on the Run Cooperstown LTD	Fly Creek	Registration	501(C)(3) Not fo	\$5,000.00
12/5/2011	Handen Presbyterian Church	Handen	Repairs	Religious	\$5,000.00
12/5/2011	Hanford Mills Museum	East Meredith	2011 Operating Expenses	501(C)(3) Not fo	\$20,000.00
12/5/2011	South Kortright Central School	South Kortright	Field Trips	School	\$1,667.00
12/5/2011	West Kortright Centre, Inc.	East Meredith	2011 Events	501(C)(3) Not fo	\$20,000.00
12/5/2011	West Kortright Centre, Inc.	East Meredith	2011 Music Events	501(C)(3) Not fo	\$5,000.00
12/5/2011	West Kortright Centre, Inc.	East Meredith	Endowment	501(C)(3) Not fo	\$1,290.00
12/7/2011	Delaware Opportunities, Inc.	Handen	School Supplies	Municipality	\$1,000.00
12/7/2011	Prattville Dutch Reformed Church	Prattville	Flood	Religious	\$5,000.00
12/7/2011	South Kortright Central School Dollars for Sch	South Kortright	Scholarships	501(C)(3) Not fo	\$3,000.00
12/7/2011	Zadock Pratt Museum, Inc.	Prattville	Flood repairs	501(C)(3) Not fo	\$55,384.00
12/13/2011	American Chestnut Foundation	Williamsville	Chestnut Trees	501(C)(3) Not fo	\$5,000.00
12/13/2011	Catskill Ag Inc.	Delhi	Meal Program	501(C)(3) Not fo	\$2,685.00
12/13/2011	Hanford Mills Museum	East Meredith	2011 Operating Expenses	501(C)(3) Not fo	\$20,000.00
12/14/2011	Bovina Public Library	Bovina Center	Lost Income	501(C)(3) Not fo	\$2,500.00
12/14/2011	Delaware-Chenango-Madison-Otsego BOCES	Norwich	Programs	School	\$325.00
12/21/2011	American Cancer Society Delaware County Uni	Johnson City	Annual Grant	501(C)(3) Not fo	\$5,000.00
12/21/2011	American Heart Association Mid-State New Yo	Binghamton	Annual Grant	501(C)(3) Not fo	\$5,000.00
12/21/2011	Delaware County Youth Bureau	Delhi	Annual Grant	Municipality	\$1,000.00
12/21/2011	Delhi Community Fund, Inc.	Delhi	Annual Grant	501(C)(3) Not fo	\$3,000.00
12/21/2011	Girl Scouts - Indian Hills Council	Johnson City	Annual Grant	501(C)(3) Not fo	\$20,000.00
12/21/2011	Girl Scouts - Indian Hills Council	Johnson City	Annual Grant	501(C)(3) Not fo	\$4,000.00
12/21/2011	Hobart Fire Department	Hobart	Annual Grant	Municipality	\$2,000.00
12/21/2011	Hobart Historical Society	Hobart	Annual Grant	501(C)(3) Not fo	\$1,000.00

Payment Date	Organization	Location	Purpose	Status	Payment Amount
12/21/2011	Hobart Presbyterian Church	Hobart	Annual Grant	Religious	\$2,000.00
12/21/2011	Hobart St. Peter's Protestant Episcopal Church	Hobart	Annual Grant	Religious	\$2,000.00
12/21/2011	Hobart United Methodist Church	Hobart	Annual Grant	Religious	\$4,000.00
12/21/2011	Otschodela Council, Inc. Boy Scouts of America	Oneonta	Annual Grant	501(C)(3) Not fo	\$10,000.00
12/21/2011	The Foundation Center	New York	Annual Grant	501(C)(3) Not fo	\$4,000.00
12/21/2011	The Salvation Army	Syracuse	Annual Grant	501(C)(3) Not fo	\$1,000.00
12/21/2011	United Ministry of Delhi	Delhi	Annual Grant	Religious	\$3,000.00
12/22/2011	Catskill Forest Association	Arkville	2012 Operating Expenses	501(C)(3) Not fo	\$62,500.00
12/22/2011	Catskill Revitalization Corporation	Stamford	Lost Income	501(C)(3) Not fo	\$90,000.00
12/22/2011	Sacred Heart Church	Stamford	Flood Assistance	Religious	\$5,000.00
12/22/2011	South Kortright Central School	South Kortright	Technology Equipment	School	\$996.00
12/22/2011	Stamford Central School	Stamford	Fire Suppression System	School	\$1,200.00
12/22/2011	Tri-Town Boys & Girls Club, Inc.	Sidney	Tutoring and Reading Assistance	501(C)(3) Not fo	\$5,000.00
12/22/2011	Zadock Pratt Museum, Inc.	Prattsville	2011 Salaries	501(C)(3) Not fo	\$3,893.00
December 2011 Total					\$442,822.00
Grand Total:					\$2,396,351.00

THE A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.

EIN 16-6063485

FORM 990 PF SCHEDULE

PART XV, LINE 3(b)

2011

FUTURE GRANTS

O'Connor Foundation Grants with Remaining Balances

09-Jan-12

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<u>1998</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
15-Jun-98	\$80,000.00	\$0.00	\$80,000.00
Delhi -- Delaware County Planning Board			
U&D Railroad Historical Society - Roxbury Train Station deadline 12/11			
15-Jun-98	\$84,500.00	\$42,250.00	\$42,250.00
Delhi -- Delaware County Planning Board			
Data conversion upgrades deadline 12/11 9/08 reds toward NYCDEP contractual obli			
1998 Subtotals	\$164,500.00	\$42,250.00	\$122,250.00
	2.80%	25.68%	74.32%
<u>1999</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
23-Jun-99	\$54,500.00	\$27,250.00	\$27,250.00
Delhi -- Delaware County Planning Board			
TPAS Program deadline 12/11 9/08 reds toward County Comprehensive Plan			
28-Sep-99	\$50,000.00	\$18,770.00	\$31,230.00
Delhi -- Greater Delhi Area Foundation			
Endowment deadline 12/11			
23-Jun-99	\$84,420.00	\$0.00	\$84,420.00
Stamford -- Western Catskills Com. Revitalization Council			
South Gilboa Train Station 10/05 deadline to 12/11 work with Catskill Revitalization			
1999 Subtotals	\$188,920.00	\$46,020.00	\$142,900.00
	3.22%	24.36%	75.64%

O'Connor Foundation Grants with Remaining Balances

09-Jan-12

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<u>2000</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
19-Jun-00 Delhi -- Delaware County Department of Planning and Economic Development TPAS Program - 2001 Grant extended to 12/11 9/08 reded toward Economic Develop	\$55,500.00	\$27,750.00	\$27,750.00
21-Sep-00 Delhi -- Greater Delhi Area Foundation Purchase Building and Property - 10/07 reded per proposal from Del Cty Industrial Dev	\$80,000.00	\$0.00	\$80,000.00
19-Jun-00 East Meredith -- Hanford Mills Museum Endowment - deadline 12/11	\$50,000.00	\$12,081.00	\$37,919.00
2000 Subtotals	\$185,500.00 3.16%	\$39,831.00 21.47%	\$145,669.00 78.53%

<u>2001</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
18-Jul-01 Delhi -- College Foundation at Delhi, Inc. Software System for Accounting and Fund-Raising deadline 12/11 Remove Matching 6/	\$122,500.00	\$118,153.00	\$4,347.00
10-Oct-01 Delhi -- College Foundation at Delhi, Inc. Musical Concert Reded to AmeriCorp 10/07 Blackbaud Software Matching removed. D	\$18,000.00	\$0.00	\$18,000.00
18-Jul-01 Delhi -- Delaware County Planning Board Town Planning Advisory Service (TPAS) 2002 deadline 12/11 9/08 reded toward GIS I	\$64,000.00	\$32,000.00	\$32,000.00

O'Connor Foundation Grants with Remaining Balances

09-Jan-12

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29-Jun-01	\$4,110.00	\$0.00	\$4,110.00
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Margaretville -- Ulster & Delaware Railroad Historical Society, Inc.

Former Ulster & Delaware Railroad Depot at Roxbury deadline 12/11

18-Jul-01	\$32,500.00	\$0.00	\$32,500.00
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Roxbury -- Town of Roxbury

Purchase Stone Terrace 10/05 Work with Catskill Revitalization on Expansion deadline

18-Jul-01	\$48,750.00	\$35,415.00	\$13,335.00
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Stamford -- Otsego-Northern Catskill BOCES

New Science Room deadline 10/09 Dell Computers, auto lift, \$7,750 unredesignated de

2001 Subtotals	\$289,860.00	\$185,568.00	\$104,292.00
	4.94%	64.02%	35.98%

<u>2002</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
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17-Jun-02	\$108,960.00	\$41,146.00	\$67,814.00
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Cooperstown -- Mary Imogene Bassett Hospital Bassett Healthcare

Partnership for Nursing Opportunities Program deadline 12/11

17-Jun-02	\$87,000.00	\$80,000.00	\$7,000.00
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Delhi -- College Foundation at Delhi, Inc.

Olive B. Price Reading Room deadline 12/11

17-Jun-02	\$57,000.00	\$28,500.00	\$28,500.00
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Delhi -- Delaware County Planning Board

2003 Town Planning Advisory Service deadline 12/11 9/08 redes toward Ag Farmland

17-Jun-02	\$10,000.00	\$8,000.00	\$2,000.00
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Denver -- Denver/Vega Preservation Committee

Roof Repair, Signboard and 2002 Music Festival deadline 12/11 Redes toward Incinole

O'Connor Foundation Grants with Remaining Balances

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17-Jun-02	\$295,000.00	\$287,382.00	\$7,618.00
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East Meredith -- Hanford Mills Museum

2003 Operating Expenses Capital & Special Projects, Redes to development deadline

03-May-02	\$3,000.00	\$680.00	\$2,320.00
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Hamden -- Town of Hamden

Hamden Action Association - Sign Project deadline 12/11 for O&W signs

2002 Subtotals	\$560,960.00	\$445,708.00	\$115,252.00
	9.55%	79.45%	20.55%

<u>2003</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
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04-Nov-03	\$56,000.00	\$28,000.00	\$28,000.00
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Delhi -- Delaware County Planning Board

Town Planning Advisory Service deadline 12/11 9/08 redes toward Legal Assistance P

16-May-03	\$1,678.00	\$0.00	\$1,678.00
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Franklin -- Town of Franklin

Handicapped Ramp 10/07 Redes to foundation repairs at Town Hall deadline 12/11

20-Jun-03	\$10,000.00	\$2,500.00	\$7,500.00
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Roxbury -- Kirkside of Roxbury, Inc.

Endowment deadline 12/11

2003 Subtotals	\$67,678.00	\$30,500.00	\$37,178.00
	1.15%	45.07%	54.93%

<u>2004</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
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06-Aug-04	\$25,000.00	\$0.00	\$25,000.00
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Delhi -- Village of Delhi

Community Open Air Pavilion 10/08 deadline to 10/11

O'Connor Foundation Grants with Remaining Balances

09-Jan-12

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23-Mar-04	\$2,500.00	\$612.00	\$1,888.00
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East Meredith -- Hanford Mills Museum

Site Signage deadline 12/11

09-Aug-04	\$5,000.00	\$0.00	\$5,000.00
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Margaretville -- Village of Margaretville

Pedestrian Bridges deadline 12/11

2004 Subtotals	\$32,500.00	\$612.00	\$31,888.00
	0.55%	1.88%	98.12%

<u>2005</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
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22-Feb-05	\$1,585.00	\$0.00	\$1,585.00
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Delhi -- Delaware County Stop DWI and Traffic Safety Program

Dashboard Video Cameras deadline 12/11

21-Mar-05	\$1,247.00	\$917.00	\$330.00
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Delhi -- Delaware County Stop DWI and Traffic Safety Program

Education Simulators deadline 12/11

11-Oct-05	\$265,000.00	\$235,000.00	\$30,000.00
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East Meredith -- Hanford Mills Museum

2006 Operating Expenses & projects- waterwheel, steam engine, turbine, feedmill, appr

25-Apr-05	\$4,950.00	\$2,444.00	\$2,506.00
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Hancock -- Town of Hancock

Vintage Ball Club Deadline 12/11

25-Apr-05	\$5,000.00	\$4,607.00	\$393.00
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Oneonta -- Oneonta City School District

German Class Trip - re-sedignated 8/05 to be used for next trip to Germany (possibly in

O'Connor Foundation Grants with Remaining Balances

09-Jan-12

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11-Oct-05	\$23,000.00	\$22,624.00	\$376.00
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Roxbury -- Kirkside of Roxbury, Inc.

Contingency Fund & Upgrades Deadline 12/11

28-Nov-05	\$1,000.00	\$530.00	\$470.00
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South Kortright -- South Kortright Central School

Kindergarten Books Deadline 12/11

12-Oct-05	\$100,000.00	\$70,000.00	\$30,000.00
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Stamford -- Catskill Revitalization Corporation

Pullman Railroad Car Deadline 12/11

2005 Subtotals	\$401,782.00	\$336,122.00	\$65,660.00
	6.84%	83.66%	16.34%

2006**Approved****Paid to Date****Balance**

13-Feb-06	\$5,000.00	\$3,750.00	\$1,250.00
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Arkville -- M-ARK Project, Inc.

Belleayre Repertory Orchestra Re-des \$2,000 to community projects Deadline 12/11

24-Aug-06	\$5,000.00	\$0.00	\$5,000.00
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Delhi -- Delaware Academy and Central School

Resource Officer Deadline 12/11

12-May-06	\$3,900.00	\$1,795.00	\$2,105.00
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East Meredith -- Hanford Mills Museum

Intern Salary Deadline 12/11 3/11 red toward programming

02-Oct-06	\$337,500.00	\$250,000.00	\$87,500.00
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East Meredith -- Hanford Mills Museum

2007 Operating Expenses Deadline 12/11

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 7*

09-Jun-06	\$4,600.00	\$1,050.00	\$3,550.00
Fort Collins -- Community Foundation of N. Colorado Books - K-1 Delaware County Schools Deadline 12/11			
15-Sep-06	\$5,000.00	\$3,950.00	\$1,050.00
Fort Collins -- Community Foundation of N. Colorado Books Delaware County Kindergarten Classes Deadline 12/11			
24-Aug-06	\$5,000.00	\$0.00	\$5,000.00
Halcottsville -- Halcottsville Fire Department Documentary Film Deadline 12/11			
12-May-06	\$5,000.00	\$0.00	\$5,000.00
Margaretville -- Margaretville Central School Computers Deadline 12/11			
21-Nov-06	\$5,000.00	\$0.00	\$5,000.00
Margaretville -- Margaretville Central School Fitness Trail Expenses Deadline 12/11			
02-Oct-06	\$100,000.00	\$0.00	\$100,000.00
Oneonta -- Foothills Performing Arts Center, Inc. Endowment deadline extended to 12/12			
02-Oct-06	\$15,000.00	\$0.00	\$15,000.00
Oneonta -- Haynes Foundation Davenport School Activities Deadline 12/11			
02-Oct-06	\$27,000.00	\$23,000.00	\$4,000.00
Roxbury -- Kirkside of Roxbury, Inc. 2007 Maintenance Contingency & Projects Deadline 12/11			

O'Connor Foundation Grants with Remaining Balances

09-Jan-12

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14-Jun-06	\$24,000.00	\$2,259.00	\$21,741.00
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Roxbury -- Roxbury Arts Group, Inc.

Endowment Deadline 12/11

24-Aug-06	\$5,000.00	\$4,400.00	\$600.00
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South Kortright -- South Kortright Central School

Resource Officer Deadline 12/11 8/10 redes toward after school program

09-Jun-06	\$1,800.00	\$0.00	\$1,800.00
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Walton -- Walton Citizen's Task Force

After Prom Party Deadline 12/11

2006 Subtotals	\$548,800.00	\$290,204.00	\$258,596.00
	9.35%	52.88%	47.12%

<u>2007</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
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24-Oct-07	\$200,000.00	\$97,106.00	\$102,894.00
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Andes -- Andes Public Library

Library Renovations & Expansion Deadline 12/11

24-Oct-07	\$25,000.00	\$7,057.00	\$17,943.00
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Andes -- Andes Society for History & Culture

Endowment Deadline 12/11

23-Jul-07	\$5,000.00	\$4,571.00	\$429.00
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Arkville -- M-ARK Project, Inc.

2007 HOG Arts Festival Deadline 12/11

24-Oct-07	\$30,000.00	\$0.00	\$30,000.00
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East Meredith -- Hanford Mills Museum

Steam Engine - contingent on funds to complete a phase Deadline 12/11

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 9*

23-Jul-07	\$1,197.00	\$971.00	\$226.00
Hobart -- Project Independence, Inc. Exercise Equipment Deadline 12/11			
27-Dec-07	\$3,000.00	\$908.00	\$2,092.00
Kingston -- Hudson River Maritime Museum Audio-Visual Equipment dedes toward computer, exhibit Deadline 12/11			
09-Aug-07	\$5,000.00	\$0.00	\$5,000.00
New York -- Calliope Creative Foundation Delaware County Fair Documentary Deadline 12/11			
24-Oct-07	\$50,000.00	\$0.00	\$50,000.00
Oneonta -- Little Delaware Youth Ensemble Endowment Deadline 12/11			
24-Oct-07	\$10,000.00	\$0.00	\$10,000.00
Prattsville -- Zadock Pratt Museum, Inc. Matching Endowment Deadline 12/11			
16-May-07	\$5,000.00	\$1,465.00	\$3,535.00
Roxbury -- Kirkside of Roxbury, Inc. Projects Deadline 12/11			
19-Jun-07	\$5,000.00	\$0.00	\$5,000.00
Roxbury -- Town of Roxbury Porch Stabilization Deadline 12/11			
24-Oct-07	\$50,000.00	\$0.00	\$50,000.00
Roxbury -- Town of Roxbury Barn Project Deadline 12/11			

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 10*

16-May-07	\$3,000.00	\$1,500.00	\$1,500.00
South Kortright -- South Kortright Central School			
College Course Tuition Assistance Deadline 12/11			

24-Oct-07	\$200,000.00	\$0.00	\$200,000.00
Stamford -- Catskill Revitalization Corporation			
O&W Museum Construction Costs Roxbury Yard Deadline 12/11			

2007 Subtotals	\$592,197.00	\$113,578.00	\$478,619.00
	10.09%	19.18%	80.82%

<u>2008</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
31-Mar-08	\$250.00	\$0.00	\$250.00
Andes -- Andes Central School			
Poetry Workshop			
31-Oct-08	\$25,000.00	\$6,694.00	\$18,306.00
Arkville -- Catskill Center for Conservation and Development			
Energy Conservation Project Erpf House			
06-Jun-08	\$33,525.00	\$33,285.00	\$240.00
Delhi -- Delaware Academy and Central School			
Smart Boards			
31-Oct-08	\$100,000.00	\$45,860.00	\$54,140.00
East Meredith -- Hanford Mills Museum			
2009 Projects			
31-Oct-08	\$250,000.00	\$0.00	\$250,000.00
Fly Creek -- The Walter Rich Charitable Foundation			
Endowment			

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 11*

31-Oct-08	\$10,000.00	\$0.00	\$10,000.00
Gilboa -- Broome Center Chapel Church Renovations			
09-Apr-08	\$2,000.00	\$1,100.00	\$900.00
Kingston -- Hudson River Maritime Museum Projector Redes toward computer, exhibit			
19-Nov-08	\$1,970.00	\$0.00	\$1,970.00
Margaretville -- Margaretville Central School Field Trip			
16-Jul-08	\$5,000.00	\$0.00	\$5,000.00
Meridale -- Meredith Historical Society Endowment			
09-Apr-08	\$4,575.00	\$0.00	\$4,575.00
New York -- Open Space Institute, Inc. Landowner Workshops			
11-Sep-08	\$4,950.00	\$2,500.00	\$2,450.00
Rensselaerville -- The Rensselaerville Institute Minds On Programs Redes to 2011 programs			
06-Jun-08	\$11,200.00	\$8,998.00	\$2,202.00
Roxbury -- Kirkside of Roxbury, Inc. 2008 Projects			
31-Mar-08	\$1,000.00	\$0.00	\$1,000.00
Roxbury -- Roxbury Central School Ski Club			

O'Connor Foundation Grants with Remaining Balances**09-Jan-12****Page 12**

12-Aug-08	\$3,600.00	\$0.00	\$3,600.00
Roxbury -- Town of Roxbury Presentation Expenses			

09-Apr-08	\$2,445.00	\$0.00	\$2,445.00
Stamford -- Stamford Central School Music Tapes			

12-Aug-08	\$5,000.00	\$0.00	\$5,000.00
Treadwell -- Senior Citizen Service Fund, Inc. Assistance			

2008 Subtotals	\$460,515.00	\$98,437.00	\$362,078.00
	7.84%	21.38%	78.62%

<u>2009</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
13-Oct-09	\$10,000.00	\$0.00	\$10,000.00
Albany -- Parks & Trails New York, Inc. Trail Expansion			
13-Oct-09	\$20,000.00	\$0.00	\$20,000.00
Arkville -- Catskill Center for Conservation and Development Quilting Room Handicap Access			
04-Nov-09	\$4,000.00	\$3,532.00	\$468.00
Arkville -- M-ARK Project, Inc. 2009 Holiday Event			
14-Jul-09	\$5,000.00	\$3,300.00	\$1,700.00
Delhi -- Delaware County Historical Assoc. System Upgrades			

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 13*

23-Jan-09	\$5,000.00	\$2,932.00	\$2,068.00
Delhi -- Delhi Community Fund, Inc. Heating Fuel			
23-Jan-09	\$995.00	\$0.00	\$995.00
Deposit -- Deposit Central School Broadway Trip			
13-Oct-09	\$25,000.00	\$22,220.00	\$2,780.00
East Meredith -- West Kortright Centre, Inc. Endowment			
21-Aug-09	\$5,000.00	\$4,266.00	\$734.00
Fleischmanns -- Village of Fleischmanns Roof			
15-Apr-09	\$1,750.00	\$0.00	\$1,750.00
Franklin -- Franklin Central School Fitness Equipment			
23-Jan-09	\$1,500.00	\$0.00	\$1,500.00
Hobart -- Hobart Historical Society Electrical Work			
13-Oct-09	\$8,400.00	\$0.00	\$8,400.00
Hobart -- Project Independence, Inc. Car			
13-Oct-09	\$6,345.00	\$4,450.00	\$1,895.00
Hobart -- Project Independence, Inc. Windows			

O'Connor Foundation Grants with Remaining Balances**09-Jan-12****Page 14**

14-Jul-09	\$2,175.00	\$0.00	\$2,175.00
Margaretville -- Christ Community Church of the Catskills Catskill Mountain Christian C Educational Training			
13-Oct-09	\$5,000.00	\$3,385.00	\$1,615.00
Margaretville -- Foundation for the Open Eye School Programming			
21-Aug-09	\$2,460.00	\$1,950.00	\$510.00
Margaretville -- Margaretville Health Foundation Training Meeting			
15-Dec-09	\$3,000.00	\$1,982.00	\$1,018.00
Mount Morris -- Finger Lakes Trail Conference, Inc. Shelter			
31-Aug-09	\$3,250.00	\$0.00	\$3,250.00
Narrowsburg -- Delaware Valley Arts Alliance Porch Repairs			
31-Mar-09	\$5,000.00	\$2,500.00	\$2,500.00
Oneonta -- Oneonta Dollars for Scholars Scholarships			
23-Jan-09	\$2,650.00	\$0.00	\$2,650.00
Otego -- Unatego Central School District After School Story Telling			
15-Apr-09	\$1,500.00	\$0.00	\$1,500.00
Roxbury -- Kirkside of Roxbury, Inc. Furniture			

O'Connor Foundation Grants with Remaining Balances

09-Jan-12

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15-Apr-09	\$1,000.00	\$0.00	\$1,000.00
Roxbury -- Kirkside of Roxbury, Inc. Ramp			

15-Apr-09	\$5,000.00	\$2,452.00	\$2,548.00
Roxbury -- Kirkside of Roxbury, Inc. Shades			

23-Jan-09	\$425.00	\$0.00	\$425.00
South Kortright -- South Kortright Central School Games			

08-Jun-09	\$650.00	\$0.00	\$650.00
South Kortright -- South Kortright Central School Learning Kits			

15-Jun-09	\$7,500.00	\$0.00	\$7,500.00
Stamford -- Catskill Revitalization Corporation Legal Right of Way			

08-Jun-09	\$5,000.00	\$0.00	\$5,000.00
Walton -- First United Methodist Church of Walton Community Ministry Projects			

2009 Subtotals	\$137,600.00	\$52,969.00	\$84,631.00
	2.34%	38.49%	61.51%

<u>2010</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
02-Dec-10	\$5,000.00	\$0.00	\$5,000.00
Andes -- Andes Central School Field Trip			

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 16*

10-Mar-10	\$3,750.00	\$0.00	\$3,750.00
Andes -- CitiHope International Computers			
10-Mar-10	\$2,943.00	\$2,166.00	\$777.00
Center Barnstead -- Hampstead Stage Company School Plays			
23-Feb-10	\$3,000.00	\$0.00	\$3,000.00
Delhi -- Delaware County Department of Social Services Annual Grant			
15-Jan-10	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Greater Delhi Area Foundation Christmas Decorations			
04-Aug-10	\$5,000.00	\$1,667.00	\$3,333.00
Delhi -- Rotary Club of Delhi After Prom Parties			
14-Sep-10	\$1,000.00	\$0.00	\$1,000.00
Delhi -- United Ministry of Delhi Special Music			
10-Mar-10	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Village of Delhi Renovations			
10-Nov-10	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Village of Delhi Planning Study			

O'Connor Foundation Grants with Remaining Balances**09-Jan-12****Page 17**

14-Apr-10	\$3,685.00	\$2,233.00	\$1,452.00
Deposit -- Deposit Community Theatre and Performing Arts Center, Inc. Sound Equipment			
14-Apr-10	\$5,000.00	\$2,978.00	\$2,022.00
Fleischmanns -- Village of Fleischmanns Website			
14-Jul-10	\$3,625.00	\$0.00	\$3,625.00
Margaretville -- Catskill Mountain Christian Center Youth Conference			
14-Sep-10	\$5,000.00	\$0.00	\$5,000.00
Oneonta -- Foothills Performing Arts Center, Inc. Operating Expenses			
04-Aug-10	\$5,000.00	\$3,334.00	\$1,666.00
Oneonta -- Little Delaware Youth Ensemble Rent			
21-Sep-10	\$5,000.00	\$1,000.00	\$4,000.00
Roxbury -- Roxbury Arts Group, Inc. Documentary			
24-Mar-10	\$916.00	\$0.00	\$916.00
South Kortright -- South Kortright Central School Equipment			
14-Jul-10	\$5,000.00	\$2,500.00	\$2,500.00
South Kortright -- South Kortright Central School Garden			

O'Connor Foundation Grants with Remaining Balances

09-Jan-12

Page 18

2010 Subtotals	\$68,919.00	\$15,878.00	\$53,041.00
	1.17%	23.04%	76.96%

<u>2011</u>	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
15-Apr-11 Albany -- Albany Law School Scholarships	\$15,000.00	\$7,500.00	\$7,500.00
07-Dec-11 Arkville -- Catskill Center for Conservation and Development Appraisal	\$1,325.00	\$0.00	\$1,325.00
03-Oct-11 Arkville -- Catskill Forest Association 2012 Operating Expenses	\$250,000.00	\$62,500.00	\$187,500.00
21-Mar-11 Arkville -- M-ARK Project, Inc. Creating Art Together	\$5,000.00	\$2,750.00	\$2,250.00
07-Nov-11 Arkville -- M-ARK Project, Inc. Main Street Program	\$5,000.00	\$0.00	\$5,000.00
15-Apr-11 Binghamton -- Broome Community College Foundation, Inc. Scholarships	\$35,000.00	\$17,500.00	\$17,500.00
15-Apr-11 Binghamton -- Foundation of the SUNY at Binghamton Scholarships	\$33,000.00	\$16,500.00	\$16,500.00

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 19*

10-Mar-11	\$1,000.00	\$0.00	\$1,000.00
Bloomville -- Bloomville United Methodist Church Youth Group			
14-Dec-11	\$30,000.00	\$0.00	\$30,000.00
Boston -- Third Sector New England, Inc. Resource Generation General Expenses			
07-Nov-11	\$5,000.00	\$2,500.00	\$2,500.00
Bovina Center -- Bovina Public Library Lost Income			
15-Apr-11	\$10,000.00	\$5,000.00	\$5,000.00
Cazenovia -- Cazenovia College Scholarships			
15-Apr-11	\$47,000.00	\$23,500.00	\$23,500.00
Cobleskill -- Cobleskill Ag & Tech College Foundation, Inc. Scholarships			
10-Aug-11	\$5,000.00	\$0.00	\$5,000.00
De Lancey -- Delancey United Presbyterian Church Stained Glass Windows			
14-Jun-11	\$5,000.00	\$1,000.00	\$4,000.00
Delhi -- Catskill Development Foundation, Inc. Senior Computer Training			
14-Apr-11	\$30,000.00	\$15,000.00	\$15,000.00
Delhi -- College Foundation at Delhi, Inc. Scholarships			

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 20*

14-Apr-11	\$20,000.00	\$10,000.00	\$10,000.00
Delhi -- College Foundation at Delhi, Inc. Scholarships			
07-Feb-11	\$3,000.00	\$0.00	\$3,000.00
Delhi -- Delaware County Department of Social Services Annual Grant			
26-Jan-11	\$5,000.00	\$1,028.00	\$3,972.00
Delhi -- Delaware County Historical Assoc. Advertising			
07-Dec-11	\$3,000.00	\$0.00	\$3,000.00
Delhi -- Delaware County Local Development Corp. St. Patrick's Day Parade			
09-Feb-11	\$3,000.00	\$1,000.00	\$2,000.00
Delhi -- Delhi Art Group, Inc. Art Workshops			
02-Sep-11	\$4,565.00	\$0.00	\$4,565.00
Delhi -- First Presbyterian Church of Delhi Chapel Renovations			
04-Oct-11	\$5,000.00	\$0.00	\$5,000.00
Delhi -- Lake Delaware Boys' Camp, Inc. Scholarships			
03-Oct-11	\$30,000.00	\$0.00	\$30,000.00
Delhi -- SUNY Research Foundation 2012 O'Connor Center			

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 21*

10-Aug-11	\$5,000.00	\$0.00	\$5,000.00
Delhi -- United Ministry of Delhi Operating Expenses			
10-Mar-11	\$1,000.00	\$0.00	\$1,000.00
Deposit -- Deposit Central School Prom Party			
07-Dec-11	\$1,000.00	\$0.00	\$1,000.00
Deposit -- Deposit Central School Broadway Play			
14-Jun-11	\$5,000.00	\$1,000.00	\$4,000.00
Downsville -- Downsville Central School After Prom Parties			
03-Oct-11	\$300,000.00	\$0.00	\$300,000.00
East Meredith -- Hanford Mills Museum 2012 Operating Expenses			
03-Oct-11	\$50,000.00	\$0.00	\$50,000.00
East Meredith -- Hanford Mills Museum I Love new York			
03-Oct-11	\$62,000.00	\$0.00	\$62,000.00
East Meredith -- Hanford Mills Museum Turbine Project			
11-Jul-11	\$5,000.00	\$0.00	\$5,000.00
Fleischmanns -- Village of Fleischmanns Library			

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 22*

09-Feb-11	\$5,000.00	\$0.00	\$5,000.00
Hamden -- Delaware Opportunities, Inc. Big Buddy Program			
15-Apr-11	\$10,000.00	\$5,000.00	\$5,000.00
Hamilton -- Colgate University Scholarships			
04-Oct-11	\$5,000.00	\$0.00	\$5,000.00
Hancock -- St. Paul the Apostle Church Scholarships			
07-Dec-11	\$4,000.00	\$0.00	\$4,000.00
Hobart -- Township United Methodist Church Roof			
14-Jun-11	\$1,000.00	\$0.00	\$1,000.00
Hobart -- Village of Hobart Library Books			
15-Apr-11	\$27,000.00	\$13,500.00	\$13,500.00
Ithaca -- Cornell University Scholarships			
07-Nov-11	\$5,000.00	\$0.00	\$5,000.00
Lexington -- Town of Lexington Historical Society Painting			
14-Jun-11	\$2,425.00	\$0.00	\$2,425.00
Margaretville -- Margaretville Methodist Church Oil Tank and Trees			

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 23*

09-Feb-11	\$2,520.00	\$2,050.00	\$470.00
New Kingston -- New Kingston Valley Association Film Festival			
26-May-11	\$15,000.00	\$0.00	\$15,000.00
New York -- Mythic Bridge General Expenses			
15-Apr-11	\$15,000.00	\$7,500.00	\$7,500.00
Oneonta -- Hartwick College Scholarships			
15-Apr-11	\$44,000.00	\$22,000.00	\$22,000.00
Oneonta -- State University College at Oneonta Foundation Scholarships			
15-Apr-11	\$18,000.00	\$9,000.00	\$9,000.00
Oneonta -- State University College at Oneonta Foundation Scholarships			
14-Apr-11	\$10,000.00	\$5,000.00	\$5,000.00
Paul Smiths -- Paul Smith's College Scholarships			
07-Nov-11	\$5,000.00	\$0.00	\$5,000.00
Phoenicia -- Phoenicia Festival the Voice Foundation Madama Butterfly			
03-Oct-11	\$75,000.00	\$55,384.00	\$19,616.00
Prattsville -- Zadock Pratt Museum, Inc. Flood repairs			

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 24*

03-Oct-11	\$18,000.00	\$0.00	\$18,000.00
Roxbury -- Kirkside of Roxbury, Inc. 2012 Maintenance Contingency			
11-Jul-11	\$5,000.00	\$0.00	\$5,000.00
Roxbury -- Roxbury Arts Group, Inc. Concert			
03-Oct-11	\$37,000.00	\$23,185.00	\$13,815.00
Roxbury -- Roxbury Arts Group, Inc. Flood Repairs			
03-Oct-11	\$23,400.00	\$10,000.00	\$13,400.00
Roxbury -- Roxbury Arts Group, Inc. Operating Expenses			
03-Oct-11	\$22,320.00	\$10,000.00	\$12,320.00
Roxbury -- Roxbury Arts Group, Inc. Operating Expenses & Equipment			
04-Oct-11	\$4,500.00	\$0.00	\$4,500.00
Roxbury -- Roxbury Central School Therapy			
14-Jun-11	\$4,000.00	\$720.00	\$3,280.00
Roxbury -- Woodchuck Lodge, Inc. Equipment			
15-Apr-11	\$29,000.00	\$14,500.00	\$14,500.00
Saratoga Springs -- Empire State College Foundation Scholarships			

O'Connor Foundation Grants with Remaining Balances**09-Jan-12****Page 25**

14-Jun-11	\$5,000.00	\$0.00	\$5,000.00
Sidney -- Sidney Area Youth Advocate Program, Inc. Skateboard Park			
10-Mar-11	\$900.00	\$0.00	\$900.00
Sidney Center -- Sidney Center Improvement Group Christmas Event			
09-Feb-11	\$5,000.00	\$3,334.00	\$1,666.00
South Kortright -- South Kortright Central School Field Trips			
10-Mar-11	\$700.00	\$0.00	\$700.00
South Kortright -- South Kortright Central School Cameras			
07-Nov-11	\$3,292.00	\$0.00	\$3,292.00
South Kortright -- South Kortright Central School Vending Machine			
13-Jun-11	\$200,000.00	\$0.00	\$200,000.00
Stamford -- Catskill Revitalization Corporation Trail			
03-Oct-11	\$319,500.00	\$0.00	\$319,500.00
Stamford -- Catskill Revitalization Corporation 2012 Operating Expenses			
03-Oct-11	\$100,000.00	\$0.00	\$100,000.00
Stamford -- Catskill Revitalization Corporation Ballast			

O'Connor Foundation Grants with Remaining Balances**09-Jan-12****Page 26**

03-Oct-11	\$50,000.00	\$0.00	\$50,000.00
Stamford -- Catskill Revitalization Corporation I Love New York			
03-Oct-11	\$50,000.00	\$0.00	\$50,000.00
Stamford -- Catskill Revitalization Corporation Riprap			
13-Jun-11	\$10,500.00	\$0.00	\$10,500.00
Stamford -- Greater Stamford Area Trust Trail Signs			
15-Apr-11	\$15,000.00	\$7,500.00	\$7,500.00
Syracuse -- Syracuse University Scholarships			
15-Apr-11	\$25,000.00	\$12,500.00	\$12,500.00
Troy -- Rensselaer Polytechnic Institute Scholarships			
15-Apr-11	\$10,000.00	\$5,000.00	\$5,000.00
Troy -- Sage Colleges Scholarships			
10-Aug-11	\$2,000.00	\$0.00	\$2,000.00
Walton -- Christ Church Porch Repairs			
04-Oct-11	\$5,000.00	\$0.00	\$5,000.00
Walton -- Christ Church Pillars			

O'Connor Foundation Grants with Remaining Balances*09-Jan-12**Page 27*

04-Oct-11	\$500.00	\$0.00	\$500.00
Walton -- William B. Ogden Free Library Reading Group			

07-Dec-11	\$850.00	\$0.00	\$850.00
Walton -- William B. Ogden Free Library World War I Discussion Group			

04-Oct-11	\$500.00	\$0.00	\$500.00
Washington -- Association of Small Foundations Operating Expenses			

26-Jan-11	\$500.00	\$0.00	\$500.00
Washington -- Council on Foundations Administration			

2011 Subtotals	\$2,171,297.00	\$372,951.00	<u>\$1,798,346.00</u>
	36.98%	17.18%	82.82%

	<u>Approved</u>	<u>Paid to Date</u>	<u>Balance</u>
<u>Grand Totals:</u>	\$5,871,028.00	\$2,070,628.00	\$3,800,400.00
	100.00%	35.27%	64.73%



ROTARY CLUB OF DELHI
C/O ANN MULLENIX, TREASURER
27 SECOND STREET
DELHI, NY 13753

The A. Lindsay & Olive B. O'Connor Foundation, Inc.
EIN# 16-6063485
Form 990-PF Supporting Schedule
Part VII B, Line 5(c)
2011

February 29, 2012

O'Connor Foundation
Attn: Heather

This letter is to verify that the Rotary Club of Delhi received a check in the amount of \$1,667.00 in August 2011 on behalf of People Who Care for the 2011 After Prom Party.

Should you have any questions or require anything further please do not hesitate to contact me.

Sincerely,
Ann M. Mullenix
Treasurer

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file) You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.	Employer identification number (EIN) or ☒ 16-6063485
	Number, street, and room or suite no. If a P.O. box, see instructions. C/O CHEMUNG CANAL TRUST CO., -PO BOX 1522	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ELMIRA, NY 14902	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

CHEMUNG CANAL TRUST COMPANY, TRUST

- The books are in the care of ► **PO BOX 1522 - TRUST TAX DEPARTMENT - ELMIRA, NY 14902**

Telephone No. ► **607-737-3896**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2012**, to file the exempt organization return for the organization named above. The extension

is for the organization's return for:

► ☒ calendar year **2011** or

► ☐ tax year beginning , and ending

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	57,520.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	43,520.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	14,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA **For Privacy Act and Paperwork Reduction Act Notice, see Instructions.**

Form **8868** (Rev. 1-2012)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Enter filer's identifying number, see instructions	
Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions A. LINDSAY & OLIVE B. O'CONNOR FOUNDATION, INC.
	Employer identification number (EIN) or ☒ 16-6063485
	Number, street, and room or suite no. If a P O box, see instructions C/O CHEMUNG CANAL TRUST CO., -PO BOX 1522
	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions ELMIRA, NY 14902

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

CHEMUNG CANAL TRUST COMPANY, TRUST

• The books are in the care of **PO BOX 1522 - TRUST TAX DEPARTMENT - ELMIRA, NY 14902**
Telephone No **607-737-3896** FAX No ☐

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2012**

5 For calendar year **2011**, or other tax year beginning ☐ , and ending ☐

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO GATHER THE NECESSARY INFORMATION TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	57,520.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	57,520.
c	Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **A. Lindsay & Olive B. O'Connor** Title **Officer** Date **8/2/12**

Form 8868 (Rev 1-2012)