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**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2011**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, 2011, and ending

, 20

Name of foundation <b>Wabtec Foundation</b>		<b>A Employer identification number</b> 14-1994641
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	<b>B Telephone number (see instructions)</b> 412-825-1000
1001 Air Brake Avenue		
City or town, state, and ZIP code Wilmerding, PA 15148		
<b>G Check all that apply</b>	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
<b>H Check type of organization</b> <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>C</b> If exemption application is pending, check here <input type="checkbox"/> <b>D 1</b> Foreign organizations, check here <input type="checkbox"/> <b>2</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<b>I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$</b> 3,874,339.94		<b>J Accounting method</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule) . . . . .				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B . . . . .				
	<b>3</b> Interest on savings and temporary cash investments . . . . .	180.56	180.56		
	<b>4</b> Dividends and interest from securities . . . . .	103,921.50	103,921.50		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) . . . . .				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10 . . . . .	305,081.14			
	<b>b</b> Gross sales price for all assets on line 6a 2,178,202.24				
	<b>7</b> Capital gain net income (from Part IV, line 2) . . . . .		305,081.14		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10 a</b> Gross sales less returns and allowances . . . . .				
<b>b</b> Less Cost of goods sold . . . . .					
<b>c</b> Gross profit or (loss) (attach schedule) . . . . .					
<b>11</b> Other income (attach schedule) . . . . .					
<b>12 Total.</b> Add lines 1 through 11 . . . . .	409,183.20	409,183.20			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc. . . . .				
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule) . . . . .	3,315.00			3,315.00
	<b>b</b> Accounting fees (attach schedule) . . . . .				
	<b>c</b> Other professional fees (attach schedule) . . . . .	28,173.85	28,173.85		
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) . . . . .				
	<b>19</b> Depreciation (attach schedule) and depletion . . . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule) . . . . .				
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	31,488.85	28,173.85		3,315.00
	<b>25</b> Contributions, gifts, grants paid . . . . .	182,427.68			182,427.68
<b>26 Total expenses and disbursements</b> Add lines 24 and 25 . . . . .	213,916.53	28,173.85		185,742.68	
<b>27 Subtract line 26 from line 12</b>					
<b>a</b> Excess of revenue over expenses and disbursements . . . . .	195,266.67				
<b>b</b> Net investment income (if negative, enter -0-) . . . . .		381,009.35			
<b>c</b> Adjusted net income (if negative, enter -0-) . . . . .					

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
<b>Assets</b>	1	Cash - non-interest-bearing . . . . .		146,606.96	189,108.44	189,108.44
	2	Savings and temporary cash investments . . . . .				
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U S and state government obligations (attach schedule), . .	453,497.24	452,924.25	503,136.00	
	b	Investments - corporate stock (attach schedule) . . . . .	2,377,869.70	2,302,035.15	2,412,063.12	
	c	Investments - corporate bonds (attach schedule), . . . . .	518,206.65	692,389.16	770,032.38	
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
	12	Investments - mortgage loans . . . . .				
	13	Investments - other (attach schedule) . . . . .				
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶) . . . . .					
16	<b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .	3,496,180.55	3,636,457.00	3,874,339.94		
<b>Liabilities</b>	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶) . . . . .				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .					
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . .				
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	3,496,180.55	3,636,457.00		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	3,496,180.55	3,636,457.00			

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	3,440,413.45
2	Enter amount from Part I, line 27a . . . . .	2	195,266.67
3	Other increases not included in line 2 (itemize) ▶ See Attached Schedule Number 2 . . . . .	3	776.88
4	Add lines 1, 2, and 3 . . . . .	4	3,636,457.00
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	3,636,457.00

Form **990-PF** (2011)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> Publicly Traded Securities			P	Various	Various
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b> 2,178,202.24		1,873,121.10	305,081.14		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
<b>a</b>			305,081.14		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	305,081.14	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	126,394.68	3,569,670.66	0.0354
2009	161,791.49	3,224,075.53	0.0502
2008	153,030.19	2,441,118.97	0.0627
2007	57,552.50	899,238.36	0.0640
2006			
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.2123
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> .05308
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			<b>4</b> 3,868,948.71
<b>5</b> Multiply line 4 by line 3			<b>5</b> 205,363.80
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 3,810.09
<b>7</b> Add lines 5 and 6			<b>7</b> 209,173.89
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 185,742.68

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	7,620.19
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	7,620.19
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .		4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	7,620.19
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011 . . . . .	6a	1,400.00	
b Exempt foreign organizations - tax withheld at source . . . . .	6b		
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	1,400.00	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9	6,220.19	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10		
11 Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> . . . . .	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		x
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		x
c Did the foundation file Form 1120-POL for this year? . . . . .		x
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0.00 (2) On foundation managers <input type="checkbox"/> \$ 0.00		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.00		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities		x
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		x
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		x
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		x
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	x	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	x	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> Pennsylvania		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	x	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		x
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		x

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> Keith P. Hildum Telephone no <input type="checkbox"/> 412-825-1000			
Located at <input type="checkbox"/> 1001 Air Brake Avenue, Wilmerding, PA		ZIP + 4 <input type="checkbox"/> 15148		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/>	1b	X
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? <input type="checkbox"/>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/> N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.) <input type="checkbox"/> N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? <input type="checkbox"/> N/A	4b	

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** ☒ XOrganizations relying on a current notice regarding disaster assistance check here ☒ X**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒ X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☒ X**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Schedule Number 3.				

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

**Total number of other employees paid over \$50,000** ☒ X

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

**Total number of others receiving over \$50,000 for professional services** . . . . . **▶****Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 None	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 None	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3 . . . . . <b>▶</b>	



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
<b>a</b> Average monthly fair market value of securities	<b>1a</b>	3,793,169.75
<b>b</b> Average of monthly cash balances	<b>1b</b>	134,696.96
<b>c</b> Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b> Total (add lines 1a, b, and c)	<b>1d</b>	3,927,866.71
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
Subtract line 2 from line 1d	<b>3</b>	3,927,866.71
Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	58,918.00
<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,868,948.71
<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	193,447.44

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part )

Minimum investment return from Part X, line 6	<b>1</b>	193,447.44
<b>a</b> Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	7,620.19
<b>b</b> Income tax for 2011. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b> Add lines 2a and 2b	<b>2c</b>	7,620.19
Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	185,827.25
Recoveries of amounts treated as qualifying distributions	<b>4</b>	
Add lines 3 and 4	<b>5</b>	185,827.25
Deduction from distributable amount (see instructions)	<b>6</b>	
<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	185,827.25

**Part XII Qualifying Distributions** (see instructions)

Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b> Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	185,742.68
<b>b</b> Program-related investments - total from Part IX-B	<b>1b</b>	
Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
Amounts set aside for specific charitable projects that satisfy the		
<b>a</b> Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b> Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	185,742.68
Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	185,742.68

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7 . . . . .				185,827.25
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only . . . . .			3,984.16	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2011				
<b>a</b> From 2006 . . . . .				
<b>b</b> From 2007 . . . . .				
<b>c</b> From 2008 . . . . .				
<b>d</b> From 2009 . . . . .				
<b>e</b> From 2010 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .				
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 185,742.68				
<b>a</b> Applied to 2010, but not more than line 2a . . .			3,984.16	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2011 distributable amount . . . . .				181,758.52
<b>e</b> Remaining amount distributed out of corpus . .				
<b>5</b> Excess distributions carryover applied to 2011 . (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012 . . . . .				4,068.73
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . .				
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions) . . .				
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2007 . . .				
<b>b</b> Excess from 2008 . . .				
<b>c</b> Excess from 2009 . . .				
<b>d</b> Excess from 2010 . . .				
<b>e</b> Excess from 2011 . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

Tax year	Prior 3 years				(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test - enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

None

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

**a** The name, address, and telephone number of the person to whom applications should be addressed

See Attached Schedule.

**b** The form in which applications should be submitted and information and materials they should include:

See Attached Schedule.

**c** Any submission deadlines

See Attached Schedule.

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Attached Schedule.

**Part XV** **Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
<b>a Paid during the year</b> See Attached Schedule Number 4.				182,427.68
<b>Total</b> ..... ► <b>3a</b>				182,427.68
<b>b Approved for future payment</b> N/A				
<b>Total</b> ..... ► <b>3b</b>				

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments . . . . .					
3	Interest on savings and temporary cash investments			14	180.56	
4	Dividends and interest from securities . . . . .			14	103,921.50	
5	Net rental income or (loss) from real estate					
a	Debt-financed property . . . . .					
b	Not debt-financed property . . . . .					
6	Net rental income or (loss) from personal property . . . . .					
7	Other investment income . . . . .					
8	Gain or (loss) from sales of assets other than inventory			18	305,081.14	
9	Net income or (loss) from special events . . . . .					
10	Gross profit or (loss) from sales of inventory . . . . .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal Add columns (b), (d), and (e) . . . . .				409,183.20	
13	Total. Add line 12, columns (b), (d), and (e) . . . . .				409,183.20	

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

		Yes	No
<b>1</b>	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
<b>a</b>	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash . . . . .	<b>1a(1)</b>	X
	(2) Other assets . . . . .	<b>1a(2)</b>	X
<b>b</b>	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization . . . . .	<b>1b(1)</b>	X
	(2) Purchases of assets from a noncharitable exempt organization . . . . .	<b>1b(2)</b>	X
	(3) Rental of facilities, equipment, or other assets . . . . .	<b>1b(3)</b>	X
	(4) Reimbursement arrangements . . . . .	<b>1b(4)</b>	X
	(5) Loans or loan guarantees . . . . .	<b>1b(5)</b>	X
	(6) Performance of services or membership or fundraising solicitations . . . . .	<b>1b(6)</b>	X
	c Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .	<b>1c</b>	X
<b>d</b>	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee 4/18/12  
Date

Treasurer  
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

Wabtec Foundation  
Form 990-PF, Return of Private Foundation  
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Year Ending December 31, 2011  
Attachment 1.

**Part I, Analysis of Revenue and Expenses**

**Line 16a., Legal fees.**

Legal fees.

\$3,315.00

**Total, Line 16a., Legal fees.**

\$3,315.00

**Line 16c., Other professional fees.**

Investment account advisory and management fees

\$28,173.85

**Total, Line 16c., Other professional fees.**

\$28,173.85

**Wabtec Foundation**  
**Form 990-PF, Return of Private Foundation**  
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**Attachment 2.**

**Part III, Analysis of Changes in Net Assets or Fund Balances**

**Line 3. Other increases not included in line 2.**

Increase as a result of miscellaneous investment equity transactions.

\$776.88



**Wabtec Foundation**  
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**Attachment 3.**

**Part VIII, Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**Line 1, List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and Address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
William E. Kassling 1001 Air Brake Avenue Wilmerding, PA 15148	Director 1 hour	\$0 00	\$0 00	\$0 00
Lee B. Foster II 1001 Air Brake Avenue Wilmerding, PA 15148	Director 1 hour	\$0 00	\$0 00	\$0 00
Albert J. Neupaver 1001 Air Brake Avenue Wilmerding, PA 15148	President and Director 1 hour	\$0 00	\$0 00	\$0 00
Scott E. Walhstrom 1001 Air Brake Avenue Wilmerding, PA 15148	Vice President and Director 1 hour	\$0.00	\$0 00	\$0 00
David M. Seitz 1001 Air Brake Avenue Wilmerding, PA 15148	Secretary 1 hour	\$0 00	\$0 00	\$0 00
Keith P. Hildum 1001 Air Brake Avenue Wilmerding, PA 15148	Treasurer 1 hour	\$0 00	\$0 00	\$0 00

Wabtec Foundation  
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Attachment 4

**Part XV. Supplementary Information (continued)**

**3. Grants and Contributions Paid During the Year or Approved for Future Payment.**

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
a Paid during the year				
Family Resources 141 South Highland Avenue Pittsburgh, PA 15208	N/A	Tax exempt	Support	\$3,100 00
University of Virginia P O Box 400833 Charlottesville, VA 22904	N/A	Tax exempt	Support	\$175 00
University of Virginia P O Box 400833 Charlottesville, VA 22904	N/A	Tax exempt	Support	\$100 00
Marquette University P O Box 1881 Milwaukee, WI 53201	N/A	Tax exempt	Support	\$100 00
Old Dominion University Foundations Old Dominion University Office of Development 4417 Monarch Way, 4th Floor Norfolk, VA 23529	N/A	Tax exempt	Support	\$100 00
American Heart Association c/o Elaine Mosley CSX Transportation 500 Water Street, J430 Jacksonville FL 32202	N/A	Tax exempt	Support	\$5,000 00
Senator John Heinz History Center 1212 Smallman Street Pittsburgh, PA 15222	N/A	Tax exempt	Support	\$10,000 00
University of Illinois Foundation 1305 West Green Street Urbana, IL 61801-2982	N/A	Tax exempt	Support	\$100 00
University of Southern California University Park Los Angeles, CA 90089-7090	N/A	Tax exempt	Support	\$150 00
The College of William & Mary Attn: Brenda Denton, Dir. Gift Acctg P O Box 1893 Williamsburg, VA 23187	N/A	Tax exempt	Support	\$250 00
Pittsburgh Civic Light Opera c/o Pittsburgh CLO Guild 1625 Stone Mansion Drive Pittsburgh, PA 15143	N/A	Tax exempt	Support	\$3,500 00
Gonzaga College High School Attn: Meghan O' Sullivan, Director of Annual Giving 19 Eye St., NW Washington, DC 20001	N/A	Tax exempt	Support	\$150 00
Pittsburgh Civic Light Opera c/o Pittsburgh CLO Guild 1625 Stone Mansion Drive Pittsburgh, PA 15143	N/A	Tax exempt	Support	\$3,100 00
Geneva College Department of Leadership Studies 3200 College Avenue Beaver Falls, PA 15010	N/A	Tax exempt	Support	\$1,000 00
Coalition for Christian Outreach CCO - Jubilee - Attn: Jamie Berliner 5912 Penn Avenue Pittsburgh, PA 15206	N/A	Tax exempt	Support	\$2,500 00
Augustana College c/o Anne Bergren, Donor Relations 639 38th Street Rock Island, IL 61201	N/A	Tax exempt	Support	\$1,000 00
Clemson University Foundation P O Box 1529 Clemson, SC 29633	N/A	Tax exempt	Support	\$350 00
Clemson University Foundation P O Box 1529 Clemson, SC 29633	N/A	Tax exempt	Support	\$350 00
Elon University c/o Beverly McQueen, Gift Records 2600 Campus Box Elon, NC 27244	N/A	Tax exempt	Support	\$250 00

Webtec Foundation  
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Year Ending December 31, 2011  
Attachment 4

**Part XV. Supplementary Information (continued)**  
**3. Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Family Guidance, Inc 307 Duff Road Sewickley, PA 15143	N/A	Tax exempt	Support	\$2,100 00
University of Pittsburgh Cancer Institute Forbes Tower, Suite 8084 3800 Forbes Avenue at Meyran Avenue Pittsburgh, PA 15213	N/A	Tax exempt	Support	\$9,000 00
The Veterans Leadership Program 2417 East Carson Street Pittsburgh, PA 15203	N/A	Tax exempt	Support	\$800 00
Pennsylvania State University Greater Allegheny Scholarship Fund 4000 University Drive McKeesport, PA 15132	N/A	Tax exempt	Support	\$2,000 00
Leukemia & Lymphoma Society River Walk Corporate Center 333 East Carson Street, Suite 441 Pittsburgh, PA 15219	N/A	Tax exempt	Support	\$300 00
United Way of Allegheny County 1250 Penn Avenue Pittsburgh, PA 15222	N/A	Tax exempt	Support	\$32,495 00
Rowan University Foundation Attn: Peggy Veacock 201 Mullica Hill Road Glassboro, NJ 08028	N/A	Tax exempt	Support	\$1,000 00
The Pittsburgh Project 2801 North Charles Street Pittsburgh, PA 15214-3310	N/A	Tax exempt	Support	\$5,000 00
ABOARD 35 Wilson St., Suite 100 Pittsburgh, PA 15223	N/A	Tax exempt	Support	\$1,000 00
University of Dayton 200 College Park Dayton, OH 45469-2961	N/A	Tax exempt	Support	\$310 00
California University of Pennsylvania 250 University Avenue Hemer Hall, Box 34 California, PA 15419	N/A	Tax exempt	Support	\$1 000 00
Clemson University P O Box 1529 Clemson, SC 29633	N/A	Tax exempt	Support	\$1,000 00
Clemson University P O Box 1529 Clemson SC 29633	N/A	Tax exempt	Support	\$350 00
Duke University Box 90581 Durham, NC 27708-0581	N/A	Tax exempt	Support	\$750 00
University of Virginia Attn: Melissa Gomes P O Box 400314 Charlottesville, VA 22904-4314	N/A	Tax exempt	Support	\$150 00
American Red Cross Southwestern Pennsylvania Chapter 225 Blvd of the Allies Pittsburgh, PA 15222	N/A	Tax exempt	Support	\$10,000 00
Mount Mercy University Attn: Lonna Drowelow - Development 1330 Elmhurst St. NE Cedar Rapids, IA 52402-4797	N/A	Tax exempt	Support	\$250 00
University of South Carolina 1600 Hampton St., Suite 738 Columbia, SC 29208	N/A	Tax exempt	Support	\$100 00
YMCA of Greater Pittsburgh Camp Kon-O-Kwee/Spencer Branch 126 Nagel Road Fombell, PA 16123	N/A	Tax exempt	Support	\$5,000 00
YMCA of Greater Pittsburgh Camp Kon-O-Kwee/Spencer Branch 126 Nagel Road Fombell, PA 16123	N/A	Tax exempt	Support	\$5,000 00

Webtec Foundation  
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Attachment 4

**Part XV. Supplementary Information (continued)**

**3. Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor		Purpose of grant or contribution	Amount
Name and address (home or business)		Foundation status of recipient			
<b>a. Paid during the year</b>					
Scholarship America c/o First National Bank P O Box 240 St. Peter, MN 56082	N/A	Tax exempt	Support		\$10,880.00
Scholarship America c/o First National Bank P O Box 240 St. Peter, MN 56082	N/A	Tax exempt	Support		\$1,150.00
National MS Society Western PA Chapter 1501 Reedsdale St., Suite 105 Pittsburgh, PA 15233	N/A	Tax exempt	Support		\$2,000.00
Pittsburgh Ballet Theater Attn: Harris Ferns Pittsburgh, PA 15201	N/A	Tax exempt	Support		\$1,000.00
University of Tennessee Foundation Director, Advancement Services 600 Henley Street, Suite B028 Knoxville, TN 37996-4103	N/A	Tax exempt	Support		\$100.00
North Carolina State University Campus Box 7474 Raleigh, NC 27695-7474	N/A	Tax exempt	Support		\$200.00
United Way of Allegheny County Attn: Janice Twaddle 1250 Penn Avenue Pittsburgh, PA 15222	N/A	Tax exempt	Support		\$21,747.68
The Epilepsy Foundation of WCP c/o Colleen Fulkerson 1501 Reedsdale Street, Suite 3002 Pittsburgh, PA 15233	N/A	Tax exempt	Support		\$4,400.00
Senator John Heinz History Center 1212 Smallman Street Pittsburgh, PA 15222	N/A	Tax exempt	Support		\$2,100.00
Carnegie Science Center Attn: Can Maslow 4400 Forbes Avenue Pittsburgh, PA 15213-4080	N/A	Tax exempt	Support		\$20,000.00
Carnegie Science Center Attn: Can Maslow 4400 Forbes Avenue Pittsburgh, PA 15213-4080	N/A	Tax exempt	Support		\$2,220.00
ISU Foundation Iowa State University Foundation 2505 University Blvd P O Box 2230 Ames, IA 50010-2230	N/A	Tax exempt	Support		\$50.00
ISU Foundation Iowa State University Foundation 2505 University Blvd P O Box 2230 Ames, IA 50010-2230	N/A	Tax exempt	Support		\$100.00
ISU Foundation Iowa State University Foundation 2505 University Blvd P O Box 2230 Ames, IA 50010-2230	N/A	Tax exempt	Support		\$150.00
University of Southern California Attn: Sr. Director of Gift Services 3551 Trousdale Parkway AT&T Center T2500 Los Angeles, CA 90089	N/A	Tax exempt	Support		\$200.00
University of Buffalo Foundation P O Box 900 Buffalo, NY 14226-0900	N/A	Tax exempt	Support		\$100.00
Grove City College c/o Jeffrey Prokovich, VP Advancement 100 Campus Drive Grove City, PA	N/A	Tax exempt	Support		\$100.00
University of Maryland College Park Foundation Ms. Emi Ayala, Asst. Director Gift Acceptance 4141-4191 Samuel Reggs Alumni Center College Park, MD 20742	N/A	Tax exempt	Support		\$100.00

Wabtec Foundation  
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Attachment 4

**Part XV. Supplementary Information (continued)**

**3. Grants and Contributions Paid During the Year or Approved for Future Payment.**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)	a Paid during the year				
The Ellis School Attn: Vince Watchorn, Associate Head of School 6425 Fifth Avenue Pittsburgh, PA 15206		N/A	Tax exempt	Support	\$100.00
Franklin & Marshall College PO Box 3003 Lancaster, PA 17604		N/A	Tax exempt	Support	\$1,000.00
Franklin & Marshall College PO Box 3003 Lancaster, PA 17604		N/A	Tax exempt	Support	\$1,000.00
Washington & Jefferson College Attn: Kim Paletta, Gift Entry 60 S Lincoln St. Washington, PA 15301		N/A	Tax exempt	Support	\$1,000.00
Clarkson University Gift Entry Clerk PO Box 5510 Potsdam, NY 13699		N/A	Tax exempt	Support	\$100.00
Shadyside Academy c/o Richard Monroe 423 Fox Chapel Road Pittsburgh, PA 15238		N/A	Tax exempt	Support	\$1,000.00
Shadyside Academy c/o Richard Monroe 423 Fox Chapel Road Pittsburgh, PA 15238		N/A	Tax exempt	Support	\$1,000.00
Pennsylvania State University Attn: Lon Baney, Director Donor Services One Old Main University Park, PA 16802		N/A	Tax exempt	Support	\$200.00
Xavier High School Foundation Attn: Jody Ruff, Executive Director P.O. Box 10956 Cedar Rapids, IA 52410-0956		N/A	Tax exempt	Support	\$250.00
Xavier High School Foundation Attn: Jody Ruff, Executive Director P.O. Box 10956 Cedar Rapids, IA 52410-0956		N/A	Tax exempt	Support	\$50.00
Riding for the Handicapped of Western PA, Inc. p.o. Box 23 Allison Park, PA 15101-0023		N/A	Tax exempt	Support	\$1,000.00
Xavier High School Foundation Attn: Jody Ruff, Executive Director P.O. Box 10956 Cedar Rapids, IA 52410-0956		N/A	Tax exempt	Support	\$250.00
Total Line 3(a)					<u>\$182,427.68</u>

**Wabtec Foundation**  
**Return of Private Foundations**  
**Form 990-PF**  
**E.I.N.: 14-1994641**  
**Year Ending December 31, 2011**  
**Attachment 5.**  
**Part XV, Supplementary Information.**

Program Schedule

The Foundation operates on a calendar year end from January 1 through December 31. The Foundation is governed by a Board of Directors. The Board of Directors meet at least twice a year (May and December) to consider applications for grants. Minutes of the meeting will be taken noting all actions approved by the Board.

Guidelines

The Board of Directors will consider all applications for potential funding. In general, the Board will only consider requests from organizations that meet the requirements found in Section 501(c)(3) of the Internal Revenue Code. If the requesting entity is non U.S., they must be considered a public charity and explain why they meet such definition.

The Foundation does not award grants to:

- Individuals for personal needs
- Religious organizations
- Organizations that have not been certified as tax exempt under Sec. 501(c)(3) of the U.S. Internal Revenue Code
- Economic development
- Conferences, seminars, or symposiums
- Travel

Application Procedure and Selection Process

- A completed grant application must be completed and submitted to the Board for consideration at least one week prior to Foundation Board Meeting.
- Application should include purpose and reasons why Foundation should consider grant.
- Applications should clearly state if requesting organization is tax-exempt.
- The Board will consider each application carefully.
- Consideration will be given to those entities which can demonstrate some connection to the employees at Wabtec.

Applications Should be Forwarded to:

Scott E. Walhstrom  
Wabtec Foundation  
1001 Air Brake Avenue  
Wilmerding, PA 15148