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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2011

For calendar year 2011 or tax year beginning

, and ending

Name of foundation <b>THE MILLMAN-HARRIS-ROMANO FOUNDATION</b>		A Employer identification number <b>14-1833603</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>10 CIRCULAR RD</b>	Room/suite	B Telephone number <b>845-452-6343</b>
City or town, state, and ZIP code <b>POUGHKEEPSIE, NY 12601</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>1,014,064.</b> (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		150.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		5,096.	5,096.		STATEMENT 1
4 Dividends and interest from securities		24,186.	24,186.		STATEMENT 2
5a Gross rents					
b Net rental income (or loss)					
6a Net gain (or loss) from sale of assets not on line 10		3,062.			
b Gross sales price for all assets on line 6a		187,796.			
7 Capital gain net income (from Part IV, line 2)			3,062.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit (or loss)					
11 Other income					
12 Total. Add lines 1 through 11		32,494.	32,344.		
13 Compensation of officers, directors, trustees, etc.		12,000.	1,800.		10,200.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		3,600.	0.		3,600.
c Other professional fees		538.	0.		538.
17 Interest					
18 Taxes		1,750.	819.		931.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		13,771.	10,693.		3,078.
24 Total operating and administrative expenses. Add lines 13 through 23		31,659.	13,312.		18,347.
25 Contributions, gifts, grants paid		35,009.			35,009.
26 Total expenses and disbursements. Add lines 24 and 25		66,668.	13,312.		53,356.
27 Subtract line 26 from line 12		-34,174.			
a Excess of revenue over expenses and disbursements			19,032.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	8,377.	20,578.	20,578.
	2 Savings and temporary cash investments	78,954.	116,034.	116,034.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations STMT 7	0.	51,942.	59,200.
	b Investments - corporate stock STMT 8	619,456.	609,535.	748,883.
	c Investments - corporate bonds STMT 9	194,830.	67,795.	67,036.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	0.	2,333.	2,333.
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	901,617.	868,217.	1,014,064.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 11)	1,789.	2,563.	
23 Total liabilities (add lines 17 through 22)	1,789.	2,563.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	0.	0.		
28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	899,828.	865,654.		
30 Total net assets or fund balances	899,828.	865,654.		
31 Total liabilities and net assets/fund balances	901,617.	868,217.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	899,828.
2 Enter amount from Part I, line 27a	2	-34,174.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	865,654.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	865,654.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>			
<b>b</b> SEE ATTACHED STATEMENTS			
<b>c</b>			
<b>d</b>			
<b>e</b>			

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b> 187,796.		184,734.	3,062.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
<b>a</b>			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			3,062.

  

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	3,062.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	42,858.	1,043,757.	.041061
2009	27,705.	846,049.	.032746
2008	34,043.	1,095,749.	.031068
2007	94,793.	1,201,359.	.078905
2006	42,964.	1,135,111.	.037850

  

<b>2</b> Total of line 1, column (d)	<b>2</b>	.221630
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.044326
<b>4</b> Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	<b>4</b>	835,204.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	37,021.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	190.
<b>7</b> Add lines 5 and 6	<b>7</b>	37,211.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	66,668.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	190.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	190.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	190.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	213.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments		6c	
a 2011 estimated tax payments and 2010 overpayment credited to 2011		6d	
b Exempt foreign organizations - tax withheld at source		7	213.
c Tax paid with application for extension of time to file (Form 8868)		8	
d Backup withholding erroneously withheld		9	
7 Total credits and payments. Add lines 6a through 6d		10	23.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		11	23.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax <input checked="" type="checkbox"/> 0. Refunded <input type="checkbox"/>			

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2011)

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>MHRFOUNDATION.ORG</u>	13	X	
14	The books are in care of ► <u>THE MILLMAN HARRIS ROMANO FDN.</u> Telephone no ► <u>(845) 452-6343</u> Located at ► <u>10 CIRCULAR ROAD, POUGHKEEPSIE, NY</u> ZIP+4 ► <u>12601</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

5b

6b

7b

X

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JODE MILLMAN 10 CIRCULAR ROAD POUGHKEEPSIE, NY 126013315	PRESIDENT, DIRECTOR 20.00	12,000.	0.	0.
MICHAEL J HARRIS 10 CIRCULAR ROAD POUGHKEEPSIE, NY 126013315	SECRETARY, TREASURER, DIRE 0.00	0.	0.	0.
ELLIN R. MILLMAN 7 ADRIANCE AVENUE POUGHKEEPSIE, NY 126013315	VICE PRESIDENT, DIRECTOR 0.00	0.	0.	0.
WENDY BERGER 10 CIRCULAR ROAD POUGHKEEPSIE, NY 126013315	DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
All other program-related investments. See instructions.	
<b>Total.</b> Add lines 1 through 3	0.

Form 990-PF (2011)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	833,449.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	14,474.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	847,923.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	847,923.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	12,719.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	835,204.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	41,760.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	41,760.
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	190.
<b>b</b>	Income tax for 2011. (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	190.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	41,570.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	41,570.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	41,570.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	53,356.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	13,312.
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	66,668.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	190.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	66,478.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				41,570.
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			12,826.	
<b>b</b> Total for prior years		0.		
<b>3</b> Excess distributions carryover, if any, to 2011:				
<b>a</b> From 2006				
<b>b</b> From 2007				
<b>c</b> From 2008				
<b>d</b> From 2009				
<b>e</b> From 2010				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4: ▶ \$ 66,668.				
<b>a</b> Applied to 2010, but not more than line 2a			12,826.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2011 distributable amount				41,570.
<b>e</b> Remaining amount distributed out of corpus	12,272.			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus: Add lines 3f, 4c, and 4e. Subtract line 5	12,272.			
<b>b</b> Prior years' undistributed income: Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2010: Subtract line 4a from line 2a. Taxable amount - see instructions			0.	
<b>f</b> Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	12,272.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2007				
<b>b</b> Excess from 2008				
<b>c</b> Excess from 2009				
<b>d</b> Excess from 2010				
<b>e</b> Excess from 2011	12,272.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(j)(3) or
- ☐
- 4942(j)(5)

**Part XV** **Supplementary Information** (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ABILITIES FIRST 13 STERLING PLACE POUGHKEEPSIE, NY 12603	NONE	PUBLIC	PROVIDES SERVICES TO PEOPLE WITH DISABILITIES	199.
BARDAVON 1869 OPERAHOUSE 35 MARKET STREET POUGHKEEPSIE, NY 12603	NONE	PUBLIC	SUPPORT OF MUSICAL PROGRAMS	5,900.
CATHERINE STREET CENTER 69 CATHERINE STREET POUGHKEEPSIE, NY 12601	NONE	PUBLIC	PROMOTES EDUCATIONAL, HEALTH & CULTURAL PROGRAMS	2,500.
CHILDREN'S HOME OF POUGHKEEPSIE 10 CHILDREN'S WAY POUGHKEEPSIE, NY 12601	NONE	PUBLIC	SERVE AT RISK CHILDREN & THEIR FAMILIES	2,500.
CHILDREN'S MEDIA PROJECT 42 CATHERINE STREET STOP 9 POUGHKEEPSIE, NY 12601	NONE	PUBLIC	PROVIDE SPACE AND OPPORTUNITY FOR CHILDREN TO USE MEDIA	2,500.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>35,009.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				<b>0.</b>





**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CREW FOUNDATION 1201 WOKARUSA DRIVE SUITE C3 LAWRENCE, KS 66049	NONE	PUBLIC	SUPPORT EDUCATIONAL PROGRAMS FOR WOMEN IN COMMERCIAL	5,000.
DUTCHESS COMMUNITY COLLEGE FOUNDATION 53 PENDELL ROAD POUGHKEEPSIE, NY 12601	NONE	PUBLIC	BENEFIT COLLEGE & SPONSORSHIP PROGRAM	2,500.
GRACE SMITH HOUSE PO BOX 5205 POUGHKEEPSIE, NY 12601	NONE	PUBLIC	SERVE SURVIVORS OF DOMESTIC VIOLENCE	2,500.
GREATER PROSTATE CANCER CHALLENGE, THE PROJECT TO END PROSTATE CANCER 10 G STREET NE SUITE 601 WASHINGTON, DC 20002	NONE	PUBLIC	SPONSOR PROSTATE CANCER WALK	600.
HOLE IN THE WALL GANG 265 CHURCH STREET NEW HAVEN, CT 06510	NONE	PUBLIC	SUPPORT CAMP FOR CHILDREN WITH SERIOUS MEDICAL CONDI	2,500.
HUDSON RIVER HOUSEING 313 MILL STREET POUGHKEEPSIE, NY 12601	NONE	PUBLIC	CREATING AND SUSTAINING AFFORDABLE HOUSING	2,500.
JUVENILE DIABETES RESEARCH FOUNDATION 26 BROADWAY NEW YORK, NY 10004	NONE	PUBLIC	PROMOTES CHILDRENS DIABETES RESEARCH	1,500.
MILES OF HOPE BREAST CANCER FOUNDATION P.O. BOX 405 LAGRANGEVILLE, NY 12540	NONE	PUBLIC	HELP PEOPLE AFFECTED BY BREAST CANCER	1,000.
NEW YORK STAGE AND FILM COMPANY 315 WEST 36TH STREET NEW YORK, NY 10018	NONE	PUBLIC	SUPPORT THE ARTS	1,000.
PARENTS OF AUTISTIC CHILDREN 1999 ROUTE 88 BRICK, NJ 08724	NONE	PUBLIC	PROVIDE SERVICES TO AUTISTIC CHILDREN AND THEIR FAMILIES	250.
Total from continuation sheets				21,410.

### 3 Grants and Contributions Paid During the Year (Continuation)

**Total from continuation sheets**

## THE MILLMAN-HARRIS-ROMANO FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25000 UNITS FANNIE MAE NOTE STEP UP	P	06/03/10	03/23/11
b	120 SHS INVESCO INTERNATIONAL	P	03/22/11	05/02/11
c	50 SHS ISHARES S&P MID CAP 400	P	10/05/10	05/02/11
d	50 SHS ISHARES S&P MID CAP 400	P	12/22/10	05/02/11
e	50 SHS ISHARES S&P MID CAP 400	P	10/05/10	05/02/11
f	85 SHS POWERSHARES DB COMMODITY	P	03/22/11	05/02/11
g	10 SHS HEWLET PACKARD CO COM	P	12/22/10	05/25/11
h	10 SHS ABB LTD SPONS ADR	P	05/02/11	08/08/11
i	60 SHS ASTON/FAIRPOINTE MID CAP	P	05/02/11	08/08/11
j	5 SHS APACHE CORP COM	P	05/02/11	08/08/11
k	5 SHS BANK OF NEW YORK MELLON	P	05/02/01	08/08/11
l	5 SHS BANK OF NOVA SCOTIA FGN	P	05/02/11	08/08/11
m	5 SHS BANK OF NOVA SCOTIA FGN	P	12/22/10	08/08/11
n	5 SHS CELGENE CORP COM	P	05/02/11	08/08/11
o	5 SHS COCA COLA CO COM	P	05/02/11	08/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 25,000.		25,000.	0.
b 3,630.		3,355.	275.
c 5,056.		4,058.	998.
d 5,688.		5,077.	611.
e 5,688.		4,461.	1,227.
f 2,699.		2,562.	137.
g 360.		415.	-55.
h 206.		271.	-65.
i 1,554.		2,083.	-529.
j 507.		658.	-151.
k 111.		144.	-33.
l 257.		310.	-53.
m 257.		280.	-23.
n 267.		300.	-33.
o 334.		339.	-5.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			275.
c			998.
d			611.
e			1,227.
f			137.
g			-55.
h			-65.
i			-529.
j			-151.
k			-33.
l			-53.
m			-23.
n			-33.
o			-5.

2 Capital gain net income or (net capital loss) ... { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## THE MILLMAN-HARRIS-ROMANO FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 SHS COLGATE PALMOLIVE CO COM	P	05/02/11	08/08/11
b	5 SHS CUMMINS INC COM	P	12/22/10	08/08/11
c	5 SHS DEERE & CO COM	P	05/02/11	08/08/11
d	5 SHS DIGITAL REALTY TRUST INC	P	10/05/10	08/08/11
e	15 SHS WALT DISNEY CO COM	P	05/02/11	08/08/11
f	5 SHS DIRECTV COM CL A	P	05/02/11	08/08/11
g	10 SHS EATON CORP COM	P	05/02/11	08/08/11
h	5 SHS EXPEDITORS INTL WASH INC	P	12/22/10	08/08/11
i	5 SHS FED EX CORP COM	P	12/22/10	08/08/11
j	25 SHS HARBOR INTERNATIONAL FD	P	12/22/10	08/08/11
k	10 SHS INTEL CORP COM	P	05/02/11	08/08/11
l	20 SHS ISHARES MSCI CANADA INDEX	P	05/02/11	08/08/11
m	15 SHS ISHARES MSCI PAC EX JPN INDEX	P	05/02/11	08/08/11
n	10 SHS ISHARES MSCI PAC EX-JPN INDEX	P	12/22/10	08/08/11
o	10 SHS JP MORGAN CHASE & CO	P	05/02/11	08/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 420.		422.	-2.
b 441.		555.	-114.
c 351.		489.	-138.
d 266.		307.	-41.
e 513.		652.	-139.
f 223.		245.	-22.
g 406.		530.	-124.
h 217.		278.	-61.
i 402.		467.	-65.
j 1,334.		1,500.	-166.
k 203.		230.	-27.
l 549.		682.	-133.
m 611.		762.	-151.
n 407.		463.	-56.
o 368.		452.	-84.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-2.
b			-114.
c			-138.
d			-41.
e			-139.
f			-22.
g			-124.
h			-61.
i			-65.
j			-166.
k			-27.
l			-133.
m			-151.
n			-56.
o			-84.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	90 SHS JANUS ADV PERKINS MID CAP VALUE	P	12/22/10	08/08/11
b	5 SHS JUNIPER NETWORKS INC COM	P	03/22/11	08/08/11
c	19.052 UNITS MFS RESEARCH INTERNATIONAL FUND	P	12/09/10	08/08/11
d	85.948 UNITS MFS RESEARCH INTERNATIONAL FUND	P	10/05/10	08/08/11
e	5 SHS MET LIFE INC COM	P	12/22/10	08/08/11
f	5 SHS OCCIDENTAL PETE CORP DEL	P	12/22/10	08/08/11
g	25 SHS ORACLE CORP COM	P	12/22/10	08/08/11
h	5 SHS PEABODY ENERGY CORP COM	P	05/02/11	08/08/11
i	5 SHS PEABODY ENERGY CORP COM	P	12/22/10	08/08/11
j	5 SHS PRICELINE.COM INC	P	10/05/10	08/08/11
k	20 SHS QUALCOM INC	P	05/26/11	08/08/11
l	150 SHS T ROWE PRICE EMERGING MKTS	P	05/02/11	08/08/11
m	30 SHS ROYCE FD PREMIER SER	P	05/02/11	08/08/11
n	5 SHS HENRY SCHEIN INC COM	P	05/02/11	08/08/11
o	10 SHS SCHLUMBERGER LTD COM	P	12/22/10	08/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,818.		2,026.	-208.
b 107.		196.	-89.
c 271.		293.	-22.
d 1,220.		1,288.	-68.
e 175.		224.	-49.
f 422.		489.	-67.
g 694.		791.	-97.
h 221.		336.	-115.
i 221.		318.	-97.
j 2,589.		1,713.	876.
k 989.		1,145.	-156.
l 4,506.		5,517.	-1,011.
m 554.		680.	-126.
n 312.		369.	-57.
o 779.		828.	-49.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			-208.
b			-89.
c			-22.
d			-68.
e			-49.
f			-67.
g			-97.
h			-115.
i			-97.
j			876.
k			-156.
l			-1,011.
m			-126.
n			-57.
o			-49.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

## THE MILLMAN-HARRIS-ROMANO FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	5 SHS SMUCKER JM CO COM	P	05/02/11	08/08/11
b	5 SHS SUNCOR ENERGY INC FGN COM	P	05/02/11	08/08/11
c	5 SHS THERMO FISHER SCIENTIFIC	P	05/02/11	08/08/11
d	5 SHS THERMO FISHER SCIENTIFIC INC COM	P	12/22/10	08/08/11
e	5 SHS XILINX INC COM	P	12/22/10	08/08/11
f	10 SHS XL GROUP PLC FGN COM	P	05/02/11	08/08/11
g	5 SHS XL GROUP PLC FGN COM	P	03/22/11	08/08/11
h	5 SHS XL GROUP PLC FGN COM	P	12/22/10	08/08/11
i	65 SHS ANNALY CAPITAL MANAGEMENT INC REIT	P	11/20/09	04/12/11
j	100 SHS ANNALY CAPITAL MANAGEMENT INC REIT	P	04/07/10	04/12/11
k	25 SHS ANNALY CAPITAL MANAGEMENT INC REIT	P	04/05/10	04/12/11
l	5 SHS COVANCE INC COM	P	04/07/10	04/12/11
m	50 SHS COVANCE INC COM	P	10/20/09	04/12/11
n	25 SHS COVANCE INC COM	P	11/20/09	04/12/11
o	25 SHS COVANCE INC COM	P	12/04/09	04/12/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 363.		376.	-13.
b 156.		233.	-77.
c 260.		306.	-46.
d 260.		277.	-17.
e 147.		143.	4.
f 195.		245.	-50.
g 97.		114.	-17.
h 97.		110.	-13.
i 1,118.		1,183.	-65.
j 1,720.		1,732.	-12.
k 430.		431.	-1.
l 302.		307.	-5.
m 3,018.		2,804.	214.
n 1,509.		1,321.	188.
o 1,509.		1,294.	215.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-13.
b			-77.
c			-46.
d			-17.
e			4.
f			-50.
g			-17.
h			-13.
i			-65.
j			-12.
k			-1.
l			-5.
m			214.
n			188.
o			215.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	

THE MILLMAN-HARRIS-ROMANO FOUNDATION

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	35 SHS ISHARES S&P MIDCAP 400	P	04/05/10	05/02/11
b	50 SHS ISHARES S&P MID CAP 400	P	06/02/11	05/02/11
c	35 SHS ISHARES S&P MIDCAP 400 BARRA	P	04/05/10	05/02/11
d	25 SHS ISHARES S&P MIDCAP	P	12/04/09	05/02/11
e	25 SHS ISHARES S&P MIDCAP	P	11/20/09	05/02/11
f	20 SHS HEWLETT PACKARD CO COM	P	04/07/10	05/25/11
g	25 SHS HEWLETT PACKARD CO COM	P	11/20/09	05/25/11
h	25 SHS HEWLETT PACKARD CO COM	P	12/04/09	05/25/11
i	50 SHS HEWLETT PACKARD CO COM	P	10/20/09	05/25/11
j	50 SHS HEWLETT PACKARD CO COM	P	06/22/09	05/25/11
k	5 SHS AMAZON COM INC	P	06/22/09	08/08/11
l	10 SHS AVON PRODUCTS INC	P	10/20/09	08/08/11
m	5 SHS CHESAPEAKE ENERGY CORP	P	10/20/09	08/08/11
n	20 SHS CISCO SYS INC	P	04/05/10	08/08/11
o	5 SHS EOG RESOURCES INC	P	07/31/09	08/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,539.		2,822.	717.
b 5,056.		2,809.	2,247.
c 3,982.		3,045.	937.
d 2,844.		1,891.	953.
e 2,844.		1,851.	993.
f 719.		1,072.	-353.
g 899.		1,252.	-353.
h 899.		1,247.	-348.
i 1,799.		2,436.	-637.
j 1,799.		1,888.	-89.
k 989.		397.	592.
l 225.		341.	-116.
m 147.		142.	5.
n 289.		523.	-234.
o 466.		367.	99.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			717.
b			2,247.
c			937.
d			953.
e			993.
f			-353.
g			-353.
h			-348.
i			-637.
j			-89.
k			592.
l			-116.
m			5.
n			-234.
o			99.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	15 SHS FEDEX CORP	P	04/02/10	08/08/11
b	15 SHS FEDEX CORP	P	06/03/10	08/08/11
c	15 SHS GENERAL ELEC CO COM	P	04/07/10	08/08/11
d	125 SHS GENERAL ELEC CO COM	P	04/05/10	08/08/11
e	115 SHS GENERAL ELEC CO COM	P	06/03/10	08/08/11
f	75 SHS GENERAL ELEC CO COM	P	12/04/09	08/08/11
g	125 SHS GENERAL ELEC CO COM	P	11/20/09	08/08/11
h	5 SHS GOOGLE INC COM CL A	P	06/22/09	08/08/11
i	10 SHS INTEL CORP COM	P	04/07/10	08/08/11
j	5 SHS INTERCONTINENTALEXCHANGE ICN COM	P	06/03/10	08/08/11
k	100 SHS ISHARES MSCI EAFE INDEX	P	10/20/09	08/08/11
l	50 SHS ISARES MSCI EAFE INDEX	P	11/20/09	08/08/11
m	20 SHS ISHARES S&P SMALL CAP 600	P	04/05/10	08/08/11
n	10 SHS MEDTRONCI INC COM	P	04/07/10	08/08/11
o	5 SHS MONSANTO CO COM	P	06/22/09	08/08/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,204.		1,386.	-182.
b 1,204.		1,258.	-54.
c 239.		279.	-40.
d 1,995.		2,322.	-327.
e 1,835.		1,882.	-47.
f 1,197.		1,226.	-29.
g 1,995.		1,949.	46.
h 2,812.		2,019.	793.
i 203.		224.	-21.
j 547.		612.	-65.
k 5,196.		5,648.	-452.
l 2,598.		2,765.	-167.
m 1,263.		1,217.	46.
n 327.		451.	-124.
o 332.		395.	-63.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-182.
b			-54.
c			-40.
d			-327.
e			-47.
f			-29.
g			46.
h			793.
i			-21.
j			-65.
k			-452.
l			-167.
m			46.
n			-124.
o			-63.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired	(c) Date acquired	(d) Date sold
		P - Purchase D - Donation	(mo., day, yr.)	(mo., day, yr.)
1a	5 SHS T ROWE PRICE GROUP INC COM	P	07/31/09	08/08/11
b	5 SHS TEVA PHARMACEUTICALS	P	04/05/11	08/08/11
c	5 SHS TEVA PHARMACEUTICALS	P	04/07/10	08/08/11
d	5 SHS UNITED TECHNOLOGIES CORP	P	10/20/09	08/08/11
e	5 SHS URBAN OUTFITTERS INC COM	P	12/04/09	08/08/11
f	5 SHS XILINX INC COM	P	12/04/09	08/08/11
g	15 SHS ZIONS BANCORP COM	P	06/30/10	08/08/11
h	5000 UNITS WELLS FARGO & CO NOTE	P	07/31/09	08/26/11
i	SPDR GOLD TRUS FUND	P	07/31/09	12/31/11
j	POWERSHARES DB COMMODITY INDEX	P	06/30/11	12/31/11
k	POWERSHARES DB COMMODITY INDEX	P	06/30/11	12/31/11
l	CAPITAL GAINS DIVIDENDS			
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	251.		234.	17.
b	194.		319.	-125.
c	194.		317.	-123.
d	360.		325.	35.
e	143.		167.	-24.
f	148.		117.	31.
g	280.		360.	-80.
h	50,000.		52,719.	-2,719.
i	24.		14.	10.
j			10.	-10.
k	4.			4.
l	2,371.			2,371.
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col. (k), but not less than "-0-")
a			17.
b			-125.
c			-123.
d			35.
e			-24.
f			31.
g			-80.
h			-2,719.
i			10.
j			-10.
k			4.
l			2,371.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 if (loss), enter "-0-" in Part I, line 7 }	2	3,062.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
KEY BANK	4,190.
KEY BANK	906.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	5,096.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
IBM	3,353.	0.	3,353.
KEY - FOREIGN DIVIDENDS	3,149.	0.	3,149.
KEY BANK	17,684.	0.	17,684.
KEY BANK CAP GAIN DIST	2,371.	2,371.	0.
TOTAL TO FM 990-PF, PART I, LN 4	26,557.	2,371.	24,186.

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FORM 990-PF ACCOUNTING FEES STATEMENT 3

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	3,600.	0.		3,600.
TO FORM 990-PF, PG 1, LN 16B	3,600.	0.		3,600.

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FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OUTSIDE CONTRACTORS	538.	0.		538.
TO FORM 990-PF, PG 1, LN 16C	538.	0.		538.

## FORM 990-PF

## TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAX	1,095.	164.		931.
FOREIGN TAX WITHHELD	370.	370.		0.
2010 TAX ON 990PF	285.	285.		0.
TO FORM 990-PF, PG 1, LN 18	1,750.	819.		931.

## FORM 990-PF

## OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	10,477.	10,477.		0.
TELEPHONE	2,390.	0.		2,390.
ANNUAL REPORT	250.	0.		250.
OFFICE EXPENSES	438.	0.		438.
POWERSHARES DB COMMODITY INDEX	216.	216.		0.
TO FORM 990-PF, PG 1, LN 23	13,771.	10,693.		3,078.

## FORM 990-PF

## U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
KEY BANK NA PORTFOLIO	X		51,942.	59,200.
TOTAL U.S. GOVERNMENT OBLIGATIONS			51,942.	59,200.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			51,942.	59,200.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
IBM	96,948.	215,559.
KEY BANK NA PORTFOLIO	512,587.	533,324.
TOTAL TO FORM 990-PF, PART II, LINE 10B	609,535.	748,883.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK NA PORTFOLIO	67,795.	67,036.
TOTAL TO FORM 990-PF, PART II, LINE 10C	67,795.	67,036.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
POWERSHARES DB COMMODITY INDEX	COST	2,333.	2,333.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,333.	2,333.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	11
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PAYROLL LIABILITIES	1,789.	2,563.
TOTAL TO FORM 990-PF, PART II, LINE 22	1,789.	2,563.

THE MILLMAN-HARRIS-ROMANO FOUNDATION

EIN# 14-1833603

FORM 990PF –2011 AMENDED ATTACHMENT

Amended return prepared to properly allocate expenses to net investment income and to disbursements for charitable purposes. Changes made on the amended return:

Part I: Expense allocation

Part V: Report corrected amount of qualifying distributions

Part VI: Recalculated tax and refund

Part XII: #2 Amounts paid to acquire assets used

Part XIII: Change undistributed income for 2011 and excess distributions from 2011 to carryover to 2012.