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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☑ Address change ☐ Name change

Name of foundation
THE ALLWIN FAMILY FOUNDATION

% MARIA ALLWIN

Number and street (or P O box number if mail is not delivered to street address) Room/suite
116 CLAPBOARD RIDGE ROAD

City or town, state, and ZIP code
GREENWICH, CT 06830

A Employer identification number
13-7088461

B Telephone number (see page 10 of the instructions)

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)*▶$ 848,980

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify) _
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	6,925			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	329	329		
	4	Dividends and interest from securities	13,263	13,263		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	59,187			
	b	Gross sales price for all assets on line 6a 670,838				
	7	Capital gain net income (from Part IV, line 2)		59,187		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	10,240	10,144		
	12	**Total.** Add lines 1 through 11	89,944	82,923		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	6,550	0	0	6,550
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see page 14 of the instructions)	6,434	184		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	1,392	1,267		125
	24	**Total operating and administrative expenses.** Add lines 13 through 23	14,376	1,451	0	6,675
	25	Contributions, gifts, grants paid	260,000			260,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	274,376	1,451	0	266,675
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-184,432			
	b	**Net investment income** (if negative, enter -0-)		81,472		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	262,394	236,860	236,860
	3	Accounts receivable ▶ ___ Less allowance for doubtful accounts ▶ ___	3,355		
	4	Pledges receivable ▶ ___ Less allowance for doubtful accounts ▶ ___			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ___ Less allowance for doubtful accounts ▶ ___			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	758,370	599,469	612,120
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ ___ Less accumulated depreciation (attach schedule) ▶ ___			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ ___ Less accumulated depreciation (attach schedule) ▶ ___			
	15	Other assets (describe ▶ ___)			
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,024,119	836,329	848,980
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ___)			
	23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,024,119	836,329	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	1,024,119	836,329	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,024,119	836,329	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,024,119
2	Enter amount from Part I, line 27a	2	-184,432
3	Other increases not included in line 2 (itemize) ▶ ___	3	
4	Add lines 1, 2, and 3	4	839,687
5	Decreases not included in line 2 (itemize) ▶ ___	5	3,358
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	836,329

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	STCG - SEE ATTACHED	P		2012-12-31
b	LTCG - SEE ATTACHED	P		2012-12-31
c	DB 95% PPN BRIC BASKET MD 6/24/11-REVERSED TO REPORT AS ORDINARY INC	P	2009-06-19	2011-06-24
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	252,340		252,287	53
b	412,955		349,220	63,735
c			10,144	-10,144
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				53
b				63,735
c				-10,144
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	59,187
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	197,535	1,151,749	0 171509
2009	971,491	1,828,912	0 531185
2008	775,240	2,599,443	0 298233
2007	912,300	2,986,841	0 30544
2006	1,113,389	511,810	2 175395

2	**Total** of line 1, column (d).	2	3 481762
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 696352
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	1,010,602
5	Multiply line 4 by line 3.	5	703,735
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	815
7	Add lines 5 and 6.	7	704,550
8	Enter qualifying distributions from Part XII, line 4.	8	266,675

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,629
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,629
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,629
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 — 6a: 4,780		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	4,780
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	3,151
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ 3,151 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	Yes	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶MARIA ALLWIN Telephone no ▶(206) 275-4600

Located at ▶116 CLAPBOARD RIDGE ROAD GREENWICH CT ZIP+4 ▶06830

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☑			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MARIA ALLWIN 116 CLAPBOARD RIDGE ROAD GREENWICH, CT 06830	TRUSTEE 0	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶	

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3. . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	827,507
b	Average of monthly cash balances	1b	198,485
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	1,025,992
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,025,992
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	15,390
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,010,602
6	**Minimum investment return.** Enter 5% of line 5	6	50,530

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	50,530
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,629		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,629
3	Distributable amount before adjustments Subtract line 2c from line 1			3	48,901
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	48,901
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	48,901

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	266,675
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	266,675
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	266,675

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7					48,901
2 Undistributed income, if any, as of the end of 2011					
a Enter amount for 2010 only.				0	
b Total for prior years 2009 , 2008 , 2007					
3 Excess distributions carryover, if any, to 2011					
a From 2006.	1,087,962				
b From 2007.	763,526				
c From 2008.	646,349				
d From 2009.	880,849				
e From 2010.	141,480				
f **Total** of lines 3a through e.		3,520,166			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 266,675					
a Applied to 2010, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)					
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .					
d Applied to 2011 distributable amount.					48,901
e Remaining amount distributed out of corpus		217,774			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		3,737,940			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .					
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions)		1,087,962			
9 **Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a		2,649,978			
10 Analysis of line 9					
a Excess from 2007. . . .	763,526				
b Excess from 2008. . . .	646,349				
c Excess from 2009. . . .	880,849				
d Excess from 2010. . . .	141,480				
e Excess from 2011. . . .	217,774				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	**(b)** 2010	**(c)** 2009	**(d)** 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

MARIA ALLWIN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				260,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	329	
4 Dividends and interest from securities. . . .			14	13,263	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			18	10,144	
8 Gain or (loss) from sales of assets other than inventory			18	59,187	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a NON-DIVIDEND DISTRIBUTION			01	96	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .				83,019	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 83,019

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		No
	(2) Other assets	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
	(3) Rental of facilities, equipment, or other assets	1b(3)		No
	(4) Reimbursement arrangements	1b(4)		No
	(5) Loans or loan guarantees	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2012-05-15	******
Signature of officer or trustee	Date	Title

Paid Preparer's Use Only

Preparer's Signature	Date	Check if self-employed ☐	PTIN
Firm's name	FRANKEL LOUGHRAN STARR VALLONE LLP	Firm's EIN	
Firm's address	7900 SE 28TH STREET SUITE 200 MERCER ISLAND, WA 98040	Phone no (206) 275-4600	

May the IRS discuss this return with the preparer shown above? See instructions ☑ Yes ☐ No

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2011

Name of organization
THE ALLWIN FAMILY FOUNDATION

Employer identification number
13-7088461

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule—

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than \$1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of \$5,000 or more during the year . . . . ▶ \$ ____________

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
THE ALLWIN FAMILY FOUNDATION

Employer identification number
13-7088461

Part I **Contributors** (see Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	MARIA ALLWIN 116 CLAPBOARD RIDGE ROAD GREENWICH, CT 06830	$ 6,925	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization
THE ALLWIN FAMILY FOUNDATION

Employer identification number
13-7088461

Part II **Noncash Property** (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
THE ALLWIN FAMILY FOUNDATION

Employer identification number
13-7088461

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than $1,000 for the year.** (Complete columns **(a)** through **(e)** and the following line entry)
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift	
Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 13-7088461
Name: THE ALLWIN FAMILY FOUNDATION

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LEADERSHIP 100OLYMPIC TOWER 645 FIFTH AVE SUITE 906 NEW YORK,NY 10022	NONE	PUBLIC CHARITY	CHARITABLE CONTRIBUTION	10,000
BRUNSWICK SCHOOL100 MAHER AVENUE GREENWICH,CT 06831	NONE	PUBLIC CHARITY	CHARITABLE CONTRIBUTION	217,500
GREEK AMERICAN FOUNDATION 910 WEST VAN VUREN ST STE 7SW CHICAGO,IL 60607	NONE	PUBLIC CHARITY	CHARITABLE CONTRIBUTION	12,500
GREEK ORTHODOX CHURCH OF THE HAMPTONS111 ST ANDREWS ROAD SOUTHAMPTON,NY 11968	NONE	PUBLIC CHARITY	CHARITABLE CONTRIBUTION	10,000
OXI DAY FOUNDATION1100 NEW HAMPSHIRE AVE WASHINGTON,DC 20037	NONE	PUBLIC CHARITY	CHARITABLE CONTRIBUTION	10,000
Total . . . . ▶ **3a**				260,000

TY 2011 Accounting Fees Schedule

Name: THE ALLWIN FAMILY FOUNDATION

EIN: 13-7088461

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	6,550			6,550

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2011 Depreciation Schedule

Name: THE ALLWIN FAMILY FOUNDATION

EIN: 13-7088461

TY 2011 Investments Corporate Stock Schedule

Name: THE ALLWIN FAMILY FOUNDATION

EIN: 13-7088461

Name of Stock	End of Year Book Value	End of Year Fair Market Value
4,787 SHS PAYDEN HIGH INCOME		
2,418 SHS JPMORGAN STRATEGIC I	26,833	27,397
2,617 SHS JPMORGAN SHORT DURAT	28,292	28,658
10,000 SHS BARC 95% PPN FX BAS	10,054	9,514
1,088 SHS JPM INTL CRRENCY INC	12,571	11,787
50,000 DB 95% PPN BRIC BASKET		
1,344 DREYFUS/LAUREL FDS TR	20,000	17,688
20,000 BNP CONT BUFF EQ SPX 5/	20,000	19,015
2,416 SHS JPMORGAN TR I GROWTH	15,294	20,996
1,699 SHS JPMORGAN INTREPIC AM		
2,522 SHS JPMORGAN US LARGE CA	36,117	49,788
2,777 SHS MANNING AND NAPIER	44,370	48,284
10,000 SHS JPM GSCI BRENT CRUD	10,000	10,259
1,592 SHS PROF MANAGED PORTFOL	32,898	40,792
861 SHS US REAL ESTATE FD	12,706	13,937
2,172 SHS JPMORGAN INTER VALUE	29,644	24,351
587 SHS MATTHEWS PACIFIC TIG	9,631	11,937
3,162 SHS EATON VANCE MUT FUND	27,920	27,860
4,333 SHS JPM HIGH YIELD BD FD	34,323	33,016
12,000 SHS BARC COMMODITY CPN		
10,000 SHS GS BREN EAFE 11/16/		
20,000 SHS HBCEAFE BREN 05/05		
10,000 SHS JPM BREN ASIA BASKE		
10,000 SHS JPM WT1 QRN 11/17		
2,027 SHS JPM US REAL ESTATE F		
784 SHS T ROWE PRICE INTL FU	13,398	10,899
2,216 SHS TRANNSAMERICA FUNDS		
600 SHS ARITRAGE FUNDS I	7,696	7,864
691 SHS EATON VANCE MUTUAL	7,054	6,788
1,758 SHS HIGHBRIDGE DYNAMIC	30,000	30,111

Name of Stock	End of Year Book Value	End of Year Fair Market Value
10,000 SHS SG BREN EAFE 3/7/12	10,000	8,345
10,000 SHS JPM BREN EAFE 8/1	10,000	8,885
10,000 SHS JPM BREN EAFE 7/5	10,000	8,866
315 ISHARES MSCI EAFE INDEX FU	15,696	15,602
603 ISHARES S&P MIDCAP 400	56,891	52,829
355 SHS ISHARES ROSSELL 1000	20,581	20,515
20,000 SHS HSBC CONT BUFF EQ	20,000	19,758
2,115 SHS DELAWARE EMERGING MK	27,500	26,379

TY 2011 Land, Etc. Schedule

Name: THE ALLWIN FAMILY FOUNDATION

EIN: 13-7088461

TY 2011 Other Decreases Schedule

Name: THE ALLWIN FAMILY FOUNDATION

EIN: 13-7088461

Description	Amount
ROUNDING	3
OTHER DECREASE-ADJ OF ACCOUNT RECEIVABLE	3,355

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TY 2011 Other Expenses Schedule

Name: THE ALLWIN FAMILY FOUNDATION

EIN: 13-7088461

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INCISIVE MEDIA	125			125
COMMISSION FEES- JPMORGAN	1,267	1,267		

TY 2011 Other Income Schedule

Name: THE ALLWIN FAMILY FOUNDATION

EIN: 13-7088461

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NON DIVIDEND DISTRIBUTION	96		
DB 95% PPN BRIC BASKET MD 6/24/11	10,144	10,144	

TY 2011 Substantial Contributors Schedule

Name: THE ALLWIN FAMILY FOUNDATION

EIN: 13-7088461

Name	Address
MARIA ALLWIN	116 CLAPBOARD RIDGE ROAD GREENWICH, CT 06830

TY 2011 Taxes Schedule

Name: THE ALLWIN FAMILY FOUNDATION

EIN: 13-7088461

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS DEPARTMENT OF LAW	250			
2010 TAX PAID WITH RETURN	3,000			
ES PAYMENT	3,000			
FOREIGN TAX PAID	184	184		

THE ALLWIN FAMILY FOUNDATION
Account Number: A 11397-00-6

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*	
Long Term Non Covered									
MATTHEWS PACIFIC TIGER FD	577130107	918.695	08/19/09	02/10/11	20,000.00	15,048.22	4,951.78		
PAYDEN HIGH INCOME FUND	704329572	2,032.520	07/06/09	02/10/11	15,000.00	12,851.22	2,148.78		
JPM STR INC OPP FD	4812A4351	831.947	07/06/09	02/16/11	10,000.00	9,059.90	940.10		
JPM SHORT DURATION BOND FD - SEL	4812C1330	228.928	08/19/09	04/26/11	2,518.21	2,474.71	43.50		
BARC COMMODITY CPN 5/9/11 CAPPED MARKET PLUS NOTES LKND S&P GSCI EXCESS RETURN INDEX INT LEVEL 444.2485, DD 04/16/10	06740LNK7	12,000.000	04/16/10	05/09/11	13,680.00	12,000.00	1,680.00		
HSBC EAFE BREN 05/05/11 (10%BUFF, 6.36%CAP 2X-12.72MAX) INITIAL LEVEL- 4/16/10: SX5E:2949.65 UKX:5743.96 TPX:988.84	4042K0W27	20,000.000	04/16/10	05/11/11	20,597.75	20,000.00	597.75		
JPM US DYNAMIC PLUS FUND - SELECT	4812A2280	1,699.463	03/25/10	05/23/11	25,169.05	23,146.69	2,022.36		
DB 95% PPN BRIC BASKET MD 06/24/11 BRL,RUB,INR,CNYVS. USD UP CAP 15%, UP PART 245% MAX RETURN 31.75% DD 06/19/09	2515A0K93	50,000.000	06/19/09	06/24/11	61,847.20	51,703.00	.00	10,144.20	O
PROFESSIONALLY MANAGED PORTFOLIOS THE OSTERWEIS FUND	742935406	710.732	08/19/09	06/29/11	20,000.00	15,586.35	4,413.65		
EATON VANCE MUT FDS TR GLOBAL MACRO - I	277923728	1,476.378	06/10/10	07/15/11	15,000.00	15,032.48	-32.48		
JPM US LRGE CAP CORE PLUS FD - SEL	4812A2389	1,641.651	07/06/09	07/15/11	35,000.00	23,508.44	11,491.56		

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

05840700130220431014
05940700510010039414

THE ALLWIN FAMILY FOUNDATION
Account Number: A 11397-00-6

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Long Term Non Covered								
JPM TR I GROWTH ADVANTAGE FD - SEL	4812A3718	3,439 153	08/19/09	07/15/11	32,500.00	21,769.84	10,730 16	
JPM SHORT DURATION BOND FD - SEL	4812C1330	1,813 237	08/19/09	07/15/11	20,000.00	19,601.09	398.91	
MANNING & NAPIER FUND INC EQUITY SERIES	563821602	1,653.103	09/30/09	07/15/11	32,500.00	26,416.59	6,083.41	
MATTHEWS PACIFIC TIGER INSTL FUND	577130834	142.566	08/19/09	07/15/11	3,500.00	2,337.16	1,162.84	
PAYDEN HIGH INCOME FUND	704329572	2,754.879	07/06/09	07/15/11	20,138.17	17,418.55	2,719.62	
PROFESSIONALLY MANAGED PORTFOLIOS THE OSTERWEIS FUND	742935406	804 721	Various	07/15/11	22,500 00	16,990 11	5,509.89	
PROFESSIONALLY MANAGED PORTFOLIOS THE OSTERWEIS FUND	742935406	305 623	07/06/09	10/17/11	7,500.00	6,317 23	1,182.77	
GS BREN EAFE 11/16/11 (10%BUFFER-2XLEV-8 39%CAP- 16 78%MAXRTRN) INITIAL LEVEL-10/29/10:SX5E.2844.99 UKX 5675.16 TPX:810.91	38143UPA3	10,000.000	10/29/10	11/16/11	9,377 57	10,000.00	-622.43	
TRANSAMERICA FDS WMC EMRGMKTS12	893962589	1,523.189	06/10/10	12/08/11	16,937.86	17,958.40	-1,020 54	
JPM BREN ASIA BASKET 12/21/11 10%BUFFER-2XLEV-7.4%CAP- 14.80%MAXRTRN INITIAL LEVEL-12/3/10 ASIA BSKT:100	48124A4B0	10,000 000	12/03/10	12/21/11	9,189.79	10,000.00	-810.21	
Total Long Term Non Covered					**412,955.60**	**349,219.98**	**53,591.42**	**10,144.20** O

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

THE ALLWIN FAMILY FOUNDATION
Account Number: A 11397-00-6

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
JPM HIGH YIELD FD - SEL	4812C0803	1,789.976	09/08/10	02/10/11	15,000 00	14,176.61	823.39	
JPM SHORT DURATION BOND FD - SEL	4812C1330	3,634.708	09/08/10	04/26/11	39,981.79	40,018.14	-36 35	
JPM WTI QRN 11/17/11 LNKED TO S&P GSCI CRUDE OIL INDEX 102 5% STRIKE, 15.6% CPN, 10% BUFFER 11/05/2010	48124AX69	10,000.000	11/05/10	05/13/11	10,787 50	10,000.00	787.50	
ARBITRAGE FUNDS - I CL I	03875R205	1,902.588	Various	07/15/11	25,000.00	24,804.35	195.65	
EATON VANCE MUT FDS TR FLT RT CL I	277911491	1,328.904	05/23/11	07/15/11	12,000.00	12,076.39	-76.39	
JPM INTL CURRENCY INCOME FD	4812A3296	643.225	06/24/11	07/15/11	7,500.00	7,429 25	70 75	
JPM US REAL ESTATE FD - SEL	4812C0613	1,166.181	11/18/10	07/15/11	20,000.00	17,213.02	2,786.98	
T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND	77956H500	1,263 264	07/30/10	07/15/11	25,000.00	21,601.81	3,398.19	
TRANSAMERICA FDS WMC EMRGMKTS12	893962589	962 264	Various	07/15/11	12,750.00	13,696 98	-946.98	
ISHARES S&P MIDCAP 400 INDEX FUND	464287507	305.000	Various	07/15/11	29,621.03	30,401.28	-780.25	
ISHARES RUSSELL 1000 GROWTH INDEX FUND	464287614	165 000	01/10/11	07/15/11	10,053.46	9,565.76	487 70	
MS WHITE METALS QRN 02/06/12 LNKED TO PLTMLNPM & PLDMLNPM 90% BARRIER, 16.2% MAX RET 1/28/11	617482QV9	10,000.000	01/28/11	08/04/11	10,810.00	10,000.00	810.00	
DODGE & COX INTERNATIONAL STOCK	256206103	163 079	02/10/11	10/17/11	5,000 00	5,962.17	-962.17	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions

0584070013022043101З
0594070051001003941З

THE ALLWIN FAMILY FOUNDATION
Account Number A 11397-00-6

Capital Gain and Loss Schedule

Transactions

Description	Cusip	Units	Date Acquired	Date Sold	Sales Price	Tax Cost Basis	Gain/Loss	Ordinary Income*
Short Term Non Covered								
DODGE & COX INTERNATIONAL STOCK	256206103	520 728	02/10/11	12/08/11	15,632.25	19,037.83	-3,405 58	
TRANSAMERICA FDS WMC EMRGMKTS12	893962589	1,187.401	01/10/11	12/08/11	13,203.90	16,303.02	-3,099.12	
Total Short Term Non Covered					**252,339.93**	**252,286.61**	**53.32**	

* O indicates Ordinary Income Gain/Loss F indicates Foreign Exchange Gain/Loss on Capital transactions