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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
ROBERT & DALE ROSEN CHARITABLE
FOUNDATION TR U/A 8/05/92

A Employer identification number
13-6997530

Number and street (or P O box number if mail is not delivered to street address)
67 PECKSLAND ROAD

B Telephone number (see page 10 of the instructions)
(203) 625-3165

City or town, state, and ZIP code
GREENWICH, CT 06831

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$** 131,279

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	10,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	4,245	4,245		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	52,339			
	b Gross sales price for all assets on line 6a _____ 193,446				
	7 Capital gain net income (from Part IV, line 2)		98,631		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	66,584	102,876		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	492	17		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	2,552	1,282		1,270
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	3,044	1,299		1,270
	25 Contributions, gifts, grants paid.	217,522			217,522
	26 Total expenses and disbursements. Add lines 24 and 25	220,566	1,299		218,792
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-153,982			
	b Net investment income (if negative, enter -0-)		101,577		
	c Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	14,525	7,327	7,327
	2	Savings and temporary cash investments	7,206	1,529	1,529
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	222,995	81,888	122,423
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	244,726	90,744	131,279
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	208,988	208,988	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	35,738	-118,244	
	30	Total net assets or fund balances (see page 17 of the instructions)	244,726	90,744	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	244,726	90,744	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	244,726
2	Enter amount from Part I, line 27a	2	-153,982
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	90,744
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	90,744

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	98,631
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	85,690	253,337	0 338245
2009	161,863	230,733	0 701516
2008	200,179	226,077	0 885446
2007	180,281	489,024	0 368655
2006	104,747	238,793	0 438652

2	Total of line 1, column (d).	2	2 732514
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 546503
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	199,666
5	Multiply line 4 by line 3.	5	109,118
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	1,016
7	Add lines 5 and 6.	7	110,134
8	Enter qualifying distributions from Part XII, line 4.	8	218,792

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,016
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	1,016
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,016
6		Credits/Payments			
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a	59	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c	1,950	
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	2,009
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	8
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	985
11		Enter the amount of line 10 to be Credited to 2012 estimated tax 985	Refunded	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☒ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of TAXPAYER Telephone no (203) 625-3165 Located at 67 PECKSLAND ROAD GREENWICH CT ZIP +4 06831			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	☒	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT L ROSEN 67 PECKSLAND ROAD GREENWICH, CT 06831	TRUSTEE 0 50	0	0	0
DALE A ROSEN 67 PECKSLAND ROAD GREENWICH, CT 06831	TRUSTEE 0 50	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	188,981
b	Average of monthly cash balances.	1b	13,726
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	202,707
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	202,707
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	3,041
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	199,666
6	Minimum investment return. Enter 5% of line 5.	6	9,983

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	9,983
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	1,016
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,016
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	8,967
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	8,967
6	Deduction from distributable amount (see page 25 of the instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	8,967

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	218,792
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	218,792
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	1,016
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	217,776
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				8,967
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006.				93,003
b From 2007.				155,976
c From 2008.				188,875
d From 2009.				150,346
e From 2010.				73,439
f Total of lines 3a through e.	661,639			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 218,792				
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2011 distributable amount.				8,967
e Remaining amount distributed out of corpus	209,825			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	871,464			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions).	93,003			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	778,461			
10 Analysis of line 9				
a Excess from 2007. . . .				155,976
b Excess from 2008. . . .				188,875
c Excess from 2009. . . .				150,346
d Excess from 2010. . . .				73,439
e Excess from 2011. . . .				209,825

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	217,522
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	4,245	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	52,339	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .		0		56,584	0
13 Total. Add line 12, columns (b), (d), and (e).			13		56,584

[illegible]

Part XVII

1

(a)

2.

1

4.

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2011

Name of organization ROBERT & DALE ROSEN CHARITABLE FOUNDATION TR U/A 8/05/92	Employer identification number 13-6997530
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule—

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990, or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An Organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2 of its Form 990, or check the box in the heading of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization ROBERT & DALE ROSEN CHARITABLE FOUNDATION TR U/A 8/05/92	Employer identification number 13-6997530
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Part I

Contributors (see Instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ROBERT ROSEN 67 PECKSLAND RD GREENWICH, CT 06831	\$ 10,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization ROBERT & DALE ROSEN CHARITABLE FOUNDATION TR U/A 8/05/92	Employer identification number 13-6997530
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Part II

Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
—		\$	

Name of organization ROBERT & DALE ROSEN CHARITABLE FOUNDATION TR U/A 8/05/92	Employer identification number 13-6997530
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Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year. (Complete columns (a) through (e) and the following line entry)
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ➤ \$
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	_____	_____	
	_____	_____	
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	_____	_____	
	_____	_____	
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	_____	_____	
	_____	_____	
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	_____	_____	
	_____	_____	
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
	_____	_____	
	_____	_____	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
100 SH AMGEN		2000-12-12	2011-12-21
400 SH YUM BRANDS		2006-12-18	2011-01-04
100 SH YUM BRANDS		2006-12-18	2011-10-12
100 SH YUM BRANDS		2006-12-18	2011-12-21
100 SH REGERERON PHARM		2007-11-30	2011-04-27
100 SH REGERERON PHARM		2007-11-30	2011-12-21
5,000 SH SAPIENT CORP		2009-12-16	2011-01-04
2,000 SH SAPIENT CORP		2010-09-15	2011-01-04
500 SH SAPIENT CORP		2010-09-15	2011-04-05
500 SH SAPIENT CORP		2010-09-15	2011-06-09
1,000 SH SAPIENT CORP		2010-09-15	2011-06-10
1,000 SH SAPIENT CORP		2010-09-15	2011-10-12
1,000 SH SAPIENT CORP		2010-09-15	2011-11-21
1,000 SH SAPIENT CORP		2010-09-15	2011-12-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,224		2,012	4,212
19,210		12,732	6,478
5,216		3,183	2,033
5,835		3,183	2,652
6,624		1,896	4,728
5,384		1,897	3,487
59,320		29,130	30,190
23,728		11,652	12,076
5,925		2,913	3,012
7,200		2,913	4,287
14,130		5,826	8,304
11,120		5,826	5,294
11,380		5,826	5,554
12,150		5,826	6,324

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,212
			6,478
			2,033
			2,652
			4,728
			3,487
			30,190
			12,076
			3,012
			4,287
			8,304
			5,294
			5,554
			6,324

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ROBERT L ROSEN
DALE A ROSEN

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ADL605 THIRD AVE NEW YORK,NY 10158	NONE	PUBLIC	TO STOP DEFAMATION OF THE JEWISH PEOPLE	5,000
AIDSLIFE CYCLE CO SFAIDS FOUNDATION1035 MARKET STREET 400 SAN FRANCISCO,CA 94103	NONE	PUBLIC	TO RAISE MONEY AND AWARENESS IN THE FIGHT AGAINST HIV/AIDS	100
AMERICAN CANCER SOCIETY NEW ENGLAND DIVISION INC / LOWER FAIRFIELD UNIT PO BOX 907 WALLINGFORD,CT 06492	NONE	PUBLIC	TO SUPPORT THE MISSION TO ELIMINATE CANCER AND HELP	500
AMERICAN FRIENDS OF ISRAEL MUSEUM500 FIFTH AVENUE 2540 NEW YORK,NY 10110	NONE	PUBLIC	TO RAISE MONEY FOR VARIOUS PROGRAMS	575
AMERICAN FRIENDS OF TEL AVIV39 BROADWAY SUITE 1510 NEW YORK,NY 10006	NONE	PUBLIC	COMMITTED TO NURTURING HIGHER EDUCATION AND DEVELOPI	550
ANDREW MICHAEL FUND34 W 139TH STREET NEW YORK,NY 10037	NONE	PUBLIC	SUPPORTS HEALTH & EDUCATION	100
ARBOR DAY FOUNDATION100 ARBOR AVENUE NEBRASKA CITY,NE 68410	NONE	PUBLIC	PROVIDES INFORMATION ABOUT PLANTING AND CARING FOR TREES, OUR RAIN FOREST RESCUE AND TREE CITY USA PROGRAMS	25
ARF (ANIMAL RESCUE FOUNDATION)PO BOX 30215 WALNUT CREEK,CA 94598	NONE	PUBLIC	RESCUING AND ADOPTING ANIMALS	250
ART BEYOND SIGHT589 BROADWAY NEW YORK,NY 10012	NONE	PUBLIC	TO MAKE ART, ART HISTORY, AND VISUAL CULTURE ACCESSIBLE TO PEOPLE WHO ARE BLIND OR VISUALLY IMPAIRED	250
AUDUBON SOCIETY225 VARICK STREET NEW YORK,NY 10014	NONE	PUBLIC	TO CONSERVE AND RESTORE NATURAL ECOSYSTEMS , FOCUSING ON BIRDS AND OTHER WILDLIFE	360
AVON WALK FOR BREAST CANCER110 WALL STREET 3RD FLOOR NEW YORK,NY 10005	NONE	PUBLIC	TO END BREAST CANCER	100
BARNES FOUNDATION300 NORTH LATCHS LANE LOWER MERION,PA 19066	NONE	PUBLIC	PROMOTES APPRECIATION OF THE ARTS AND HORTICULTURAL SCIENCE, THROUGH THE PRESERVATION, PRESENTATION, AND INTERPRETATION	250
BREAST CANCER ALLIANCE48 MAPLE AVENUE GREENWICH,CT 06830	NONE	PUBLIC	BREAST CANCER RESEARCH	500
BUILDERS BEYOND BORDERS8 WILLARD ROAD NORWALK,CT 06851	NONE	PUBLIC	BUILDING CHARACTER, RESPONSIBILITY AND LEADERSHIP BY ENGAGING HIGH SCHOOL STUDENTS IN LOCAL AND GLOBAL COMMUNITY SERVICE	50
CENTER FOR HEARING AND COMMUNICATION50 BROADWAY NEW YORK,NY 10004	NONE	PUBLIC	HEARING CENTER OFFERING STATE-OF-THE-ART HEARING TESTING, HEARING AID FITTING, SPEECH THERAPY AND FULL RANGE OF SERVICES FOR PEOPLE OF ALL AGES WITH HEARING LOSS	1,000
CHARTER OAK CHALLENGE FOUNDATION575 RIVERSIDE AVE SUITE 202A WESTPORT,CT 06880	NONE	PUBLIC	TO FUNDS PROGRAMS THAT HELP REDUCE POVERTY IN BRIDGE	200
CHILDREN OF THE NIGHT 14530 SYLVAN STREET VAN NUYS,CA 91411	NONE	PUBLIC	RESCUE OF CHILDREN FROM CHILD PROSTITUTION	200
CITY OF HOPE1500 EAST DUARTE ROAD DUARTE,CA 91010	NONE	PUBLIC	TO SUPPORT RESEARCH TO HELP FIGHT CANCER, DIABETES,	150
CLEVELAND CLINIC9500 EUCLID AVENUE CLEVELAND,OH 44195	NONE	PUBLIC	MEDICAL CENTER, PROVIDES CLINICAL AND HOSPITAL CARE AND IS A LEADER IN RESEARCH, EDUCATION AND HEALTH INFORMATION	500
COUNCIL ON FOREIGN RELATIONS INC58 EAST 68TH STREET NEW YORK,NY 10065	NONE	PUBLIC	TO ASSIST WITH EFFORTS TO RAISE THE LEVEL OF FOREIGN	8,390
GREENWICH LIBRARY101 WEST PUTNAM AVENUE GREENWICH,CT 06830	NONE	PUBLIC	TO SUPPORT THE TOWN LIBRARY WITH FUNDS	200
HORSE INC5236 INTERNATIONAL AVENUE MIMS,FL 32754	NONE	PUBLIC	DEDICATED TO PROVIDING SHELTER,CARE,REHABILITATION,AND ADOPTION SERVICES FOR ABUSED, NEGLECTED, ABANDONED AND UNWANTED HORSES	50
HUMAN RIGHTS FIRST333 SEVENTH AVENUE 13TH FLOOR NEW YORK,NY 10001	NONE	PUBLIC	INDEPENDENT ADVOCACY AND ACTION ORGANIZATION THAT CHALLENGES AMERICA TO LIVE UP TO ITS IDEALS	250
ICE THEATER OF NEW YORK59 CHELSEA PIERS ROOM 5911 NEW YORK,NY 10011	NONE	PUBLIC	CREATING NEW ARTISTIC OPPORTUNITIES IN FIGURE SKATING AND PROVIDING EDUCATIONAL PROGRAMS	150
INTREPIDPIER 86 W 46TH ST 12TH AVE NEW YORK,NY 10036	NONE	PUBLIC	TO HONOR OUR HEROES, EDUCATE THE PUBLIC AND INSPIRE OUR YOUTH AS AN EDUCATIONAL AND CULTURAL NOT-FOR-PROFIT INSTITUTION CENTERED ON THE AIRCRAFT CARRIER INTREPID	100
JAPANESE AMERICAN ASSOCIATION OF NY15 W 44TH STREET 11 NEW YORK,NY 10036	NONE	PUBLIC	DISASTER RELIEF IN JAPAN	250
JCC THERAPEUTIC NURSERY 411 EAST CLINTON AVENUE TENAFLY,NJ 07670	NONE	PUBLIC	A PROGRAM FOR PRESCHOOL CHILDREN WITH A VARIETY OF DEVELOPMENTAL CHALLENGES	250
JOSHUA LOUIS ANIMAL CANCER FOUNDATION25 HUDSON STREET 604 JERSEY CITY,NJ 07302	NONE	PUBLIC	TO ASSIST OWNERS AND FAMILIES OF PETS WHO ARE IN NEED OF CANCER TREATMENT	100
JUMPSTART FOR YOUNG CHILDREN308 CONGRESS STREET 6TH FLOOR BOSTON,MA 02210	NONE	PUBLIC	HELP CHILDREN DEVELOP LANGUAGE AND LITERACY SKILLS N	10,100
KIDS OF NYU902 BROADWAY SUITE 1603 NEW YORK,NY 10003	NONE	PUBLIC	TO SUPPORT QUALITY RESEARCH, EDUCATION, AND CLINICAL	2,500

Form 990FP Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LIVE OUT LOUD570 7TH AVENUE 9TH FLOOR NEWYORK,NY 10018	NONE	PUBLIC	DEDICATED TO INSPIRING AND EMPOWERING LGBT YOUTH BY CONNECTING THEM WITH SUCCESSFUL LGBT PROFESSIONALS IN THEIR COMMUNITY	200
MCM ROTTWEILER RESCUE5 THELMA PLACE DEER PARK,NY 11729	NONE	PUBLIC	COMMITED TO FINDING HOMES FOR ROTTWEILERS	100
MEALS-ON-WHEELS OF GREENWICH89 MAPLE AVENUE GREENWICH,CT 06830	NONE	PUBLIC	DEDICATED TO SERVING THE NEEDS OF THE GREENWICH COMMUNITY	100
MMRF (MULTIPLE MYELOMA RESEARCH FOUNDATION)383 MAIN AVENUE 5TH FLOOR NORWALK,CT 06851	NONE	PUBLIC	DEVELOPMENT OF NEXT-GENERATION MULTIPLE MYELOMA TREATMENTS TO EXTEND THE LIVES OF PATIENTS AND LEAD TO A CURE	100
MOMA11 WEST 53RD STREET NEWYORK,NY 10019	NONE	PUBLIC	SUPPORT THE MUSEUM TO SHARE ITS COLLECTIONS OF MODER	140
NA'AMAT USA350 FIFTH AVENUE SUITE 4700 NEWYORK,NY 10118	NONE	PUBLIC	TO ENHANCE THE QUALITY OF LIFE FOR WOMEN, CHILDREN A	75
NANTUCKET CONSERVATION ASSOCIATIONPO BOX 13 NANTUCKET,MA 02554	NONE	PUBLIC	FOCUSED ON PRESERVING NATURAL AREAS AND HABITATS ON THE ISLAND	50
NATIONAL KIDNEY FOUNDATION 30 EAST 33RD STREET 3 NEWYORK,NY 10016	NONE	PUBLIC	PROVIDES PATIENT SERVICES, EDUCATION, RESEARCH TO PREVENT KIDNEY DISEASE AND PROMOTES ORGAN DONATION	50
NEWYORK LEGAL ASSISTANCE GROUP7 HANOVER SQUARE 18 NEWYORK,NY 10004	NONE	PUBLIC	PROVIDES FREE CIVIL LEGAL SERVICES TO NEW YORKERS WHO CANNOT AFFORD A PRIVATE ATTORNEY	100
NEWYORK PHILHARMONICAVERY FISHER HALL 10 LINCOLN CENTER PLAZA NEWYORK,NY 10023	NONE	PUBLIC	TO SUPPORT EDUCATIONAL PROGRAMS	7,000
NY NEEDS YOU589 8TH AVE 5TH FL NEWYORK,NY 10018	NONE	PUBLIC	CLOSES THE OPPORTUNITY GAP THROUGH AN INTENSIVE CAREER DEVELOPMENT AND LEADERSHIP TRAINING PROGRAM	250
NYU STERN44 WEST FOURTH STREET ROOM 10-46 NEWYORK,NY 10012	NONE	PUBLIC	TO SUPPORT THE EDUCATIONAL PROGRAMS THAT NURTURE FOR	120,000
PARK AVENUE ARMORY643 PARK AVENUE NEWYORK,NY 10065	NONE	PUBLIC	SUPPORT THE DEVELOPMENT OF WORK IN THE VISUAL AND PE	1,000
PAWS504 MAIN AVENUE NORWALK,CT 06851	NONE	PUBLIC	TO SUPPORT THE RESCUE OF HOMELESS ANIMALS AND PLACIN	50
PMC77 4TH AVENUE NEEDHAM,MA 02494	NONE	PUBLIC	TO SUPPORT CANCER RESEARCH AND TREATMENT AT DANA-FAR	150
PREP FOR PREP328 W 71ST STREET NEWYORK,NY 10023	NONE	PUBLIC	A LEADERSHIP DEVELOPMENT PROGRAM THAT OFFERS PROMISING STUDENTS OF COLOR ACCESS TO A PRIVATE SCHOOL EDUCATION AND LIFE CHANGING OPPORTUNITIES	1,000
ROCKEFELLER UNIVERSITY1230 YORK AVENUE NEWYORK,NY 10065	NONE	PUBLIC	DEVOTED SOLELY TO BIOMEDICAL RESEARCH	100
SANTA MONICA MUSEUM OF ART 2525 MICHIGAN AVENUE SANTA MONICA,CA 90404	NONE	PUBLIC	EXHIBITIONS, EDUCATION, AND OUTREACH PROGRAMS, THE SANTA MONICA MUSEUM OF ART FOSTERS DIVERSITY, INNOVATION, AND DISCOVERY IN CONTEMPORARY ART	100
SELMA MAISEL NURSERY SCHOOL 300 EAST PUTNAM AVENUE GREENWICH,CT 06830	NONE	PUBLIC	NURSERY SCHOOL PROGRAM PROVIDES RICH LIFE EXPERIENCES IN WHICH CHILDREN LEARN AND GROW	1,500
SILVER HILL HOSPITAL208 VALLEY ROAD NEW CANAAN,CT 06840	NONE	PUBLIC	RESTORING MENTAL HEALTH	500
SIMON WIESENTHAL CENTER 1399 SOUTH ROXBURY DRIVE LOS ANGELES,CA 90035	NONE	PUBLIC	TO SUPPORT THE EFFORTS TO CONFRONT ANTI-SEMITISM AND	100
SISTERHOOD OF TEMPLE SHALOM300 EAST PUTNAM AVENUE GREENWICH,CT 06830	NONE	PUBLIC	TO SUPPORT THE YOUTH, EDUCATION, AND SPECIAL PROJECT	100
SPINODYSSEY 2011PO BOX 457 WESTPORT,CT 06880	NONE	PUBLIC	TO ORGANIZE AND HOST HEALTH & FITNESS-ORIENTED FUNDRAISING EVENTS FOR BREAST CANCER RESEARCH	100
TEACHERS COLLEGE FUNDBOX 306 525 W 120TH STREET NEWYORK,NY 10027	NONE	PUBLIC	SUPPORTS EVERYONE AND EVERYTHING WE DO TC HAS ONLY A FEW REVENUE SOURCES, AND THE ANNUAL FUND IS AN INCREDIBLY IMPORTANT ONEIT'S OUR BEDROCK, OUR FOUNDATION	100
TEMPLE ISRAEL14 COLEYTOWN ROAD WESTPORT,CT 06880	NONE	PUBLIC	SUPPORT THE TEMPLE AND ITS CONGREGATION IN ACQUIRING	3,872
TEMPLE SHOLOM300 EAST PUTNAM AVENUE GREENWICH,CT 06830	NONE	PUBLIC	SUPPORT THE TEMPLE AND ITS PROGRAMS	18,715
THE BRONX CHARTER SCHOOL FOR CHILDREN388 WILLIS AVENUE BRONX,NY 10454	NONE	PUBLIC	TO HELP EDUCATE THE CHILDREN TO ACHIEVE THEIR GREATE	250
THE BROOKINGS INSTITUTION 1775 MASSACHUSETTS AVE NW WASHINGTON,DC 20036	NONE	PUBLIC	DEVOTED TO INDEPENDENT RESEARCH AND INNOVATIVE POLICY SOLUTIONS	10,000
THE CENTER FOR DISCOVERYPO BOX 840 HARRIS,NY 12742	NONE	PUBLIC	TO SUPPORT THE SERVICES TO CHILDREN AND ADULTS WITH	10,000
THE GOOD DOG FOUNDATIONPO BOX 1484 NEWYORK,NY 10276	NONE	PUBLIC	TO HELP PROMOTE THE USE OF ANIMAL-ASSISTED THERAPY	150

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TURNAROUND FOR CHILDREN25 WEST 45TH STREET 6TH FLOOR NEW YORK,NY 10036	NONE	PUBLIC	HELPING HIGH-POVERTY, LOW-PERFORMING PUBLIC SCHOOLS	1,295
UJA-FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW YORK,NY 10022	NONE	PUBLIC	HELP INSPIRE A PASSION FOR JEWISH LIFE AND LEARNING	2,150
WESTPORT LIBRARY20 JESUP ROAD WESTPORT,CT 06880	NONE	PUBLIC	TO PROVIDE AN INSPIRING SETTING FOR INQUISITIVE MINDS OF EVERY AGE AND INTEREST, OF EVERY PASSION AND PURSUIT	175
WHITNEY MUSEUM945 MADISON AVENUE NEW YORK,NY 10021	NONE	PUBLIC	DEDICATED TO COLLECTING, PRESERVING, INTERPRETING, AND EXHIBITING AMERICAN ART	200
WOMEN WHO CARE UNITED CEREBRAL PALSY80 MAIDEN LANE 8TH FLOOR NEW YORK,NY 10038	NONE	PUBLIC	TO HONOR FEMALE ROLE MODELS REPRESENTING VARIOUS SEGMENTS OF THE COMMUNITY	400
WORLD OF CHILDREN6200 STONERIDGE MALL ROAD 3RD FLOOR PLEASANTON,CA 94588	NONE	PUBLIC	PROVIDES FUNDING AND RECOGNITION TO SUPPORT LIFE-CHANGING WORK FOR CHILDREN	2,500
YALE NEW HAVEN HOSPITAL20 YORK STREET NEW HAVEN,CT 06510	NONE	PUBLIC	DEDICATED TO OFFERING QUALITY MEDICAL CARE SERVICES TO ALL PATIENTS WITH THE USE OF LEADING EDGE TECHNOLOGIES, TECHNIQUES, AND TREATMENTS	1,000
YOUTH RENEWAL FUND250 WEST 57TH STREET SUITE 632 NEW YORK,NY 10107	NONE	PUBLIC	PROVIDE CRITICAL SUPPLEMENTAL EDUCATIONAL OPPORTUNITIES TO DISADVANTAGED ISRAELI CHILDREN	250
YWCA OF GREENWICH259 EAST PUTNAM AVENUE GREENWICH,CT 06830	NONE	PUBLIC	TO ENHANCE THE LIVES OF WOMEN, MEN, AND CHILDREN WITH A VARIETY OF PROGRAMS AND ACTIVITIES	600
Total  3a				217,522

TY 2011 Investments - Other Schedule

Name: ROBERT & DALE ROSEN CHARITABLE

FOUNDATION TR U/A 8/05/92

EIN: 13-6997530

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VARIOUS PUBLICLY TRADED SECURITIES	AT COST	81,888	122,423

TY 2011 Other Expenses Schedule**Name:** ROBERT & DALE ROSEN CHARITABLE

FOUNDATION TR U/A 8/05/92

EIN: 13-6997530

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	2,540	1,270		1,270
ADR FEES	12	12		0

TY 2011 Taxes Schedule

Name: ROBERT & DALE ROSEN CHARITABLE
FOUNDATION TR U/A 8/05/92

EIN: 13-6997530

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	17	17		0
FEDERAL EXCISE TAX	475	0		0

Additional Data

Software ID:
Software Version:
EIN: 13-6997530
Name: ROBERT & DALE ROSEN CHARITABLE
FOUNDATION TR U/A 8/05/92

Form 990PF - Special Condition Description:

Special Condition Description