Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation
UW MCCURDY ARTICLE 2ND CHAR TR

A Employer identification number
13-6216017

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
P O BOX 1802

B Telephone number (see instructions)
(888) 866-3275

City or town, state, and ZIP code
PROVIDENCE, RI 02901-1802

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation
☒ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 19,492,837.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) ____________________
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	33,316.			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	681,007.	674,387.		STMT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	371,452.			
	b	Gross sales price for all assets on line 6a 7,117,792.				
	7	Capital gain net income (from Part IV, line 2)		371,452.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)		11,538.		STMT 4
	12	**Total.** Add lines 1 through 11	1,085,775.	1,057,377.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	153,714.	92,228.		61,486.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 5	14,040.	9,940.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications	2.			2.
	23	Other expenses (attach schedule) STMT 6	870.	17,685.		870.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	168,626.	119,853.		62,358.
	25	Contributions, gifts, grants paid	899,505.			899,505.
	26	**Total expenses and disbursements** Add lines 24 and 25	1,068,131.	119,853.		961,863.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	17,644.			
	b	**Net investment income** (if negative, enter -0-)		937,524.		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Description	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	NONE	NONE	
	2	Savings and temporary cash investments	464,607.	366,577.	366,577.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ *		* NONE	
		Less allowance for doubtful accounts ▶	NONE	NONE	NONE
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S. and state government obligations (attach schedule)	677,269.	300,439.	298,997.
	b	Investments - corporate stock (attach schedule)	13,118,015.	13,919,694.	17,142,551.
	c	Investments - corporate bonds (attach schedule)	1,535,713.	1,223,202.	1,295,767.
	11	Investments - land, buildings, and equipment basis ▶ NONE			
		Less accumulated depreciation (attach schedule) ▶	NONE	NONE	
	12	Investments - mortgage loans	NONE	NONE	
	13	Investments - other (attach schedule)	397,052.	595,473.	388,945.
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	NONE	NONE	NONE
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	16,192,656.	16,405,385.	19,492,837.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	16,192,656.	16,405,385.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	NONE	NONE	
	30	**Total net assets or fund balances** (see instructions)	16,192,656.	16,405,385.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	16,192,656.	16,405,385.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,192,656.
2	Enter amount from Part I, line 27a	2	17,644.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	195,085.
4	Add lines 1, 2, and 3	4	16,405,385.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	16,405,385.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,783,567.		5,603,449.	180,118.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				180,118.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	371,452.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	909,677.	18,588,891.	0.048937
2009	692,350.	17,001,431.	0.040723
2008	930,966.	19,741,189.	0.047159
2007			
2006			

2 **Total** of line 1, column (d)	2	0.136819
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.045606
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	19,752,441.
5 Multiply line 4 by line 3	5	900,830.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,375.
7 Add lines 5 and 6	7	910,205.
8 Enter qualifying distributions from Part XII, line 4	8	961,863.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ **(attach copy of letter if necessary - see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	9,375.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2			3	9,375.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	9,375.
6	Credits/Payments:				
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	6,116.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	6,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	12,116.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶			10	2,741.
11	Enter the amount of line 10 to be: **Credited to 2012 estimated tax** ▶ 2,741. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ____________ **(2)** On foundation managers. ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ US TRUST FIDUCIARY TAX SERVICES Telephone no ▶ (401)278-6825

Located at ▶ P.O. BOX 1802, PROVIDENCE, RI ZIP + 4 ▶ 02901-1802

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		153,714.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over $50,000 for professional services . . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a	Average monthly fair market value of securities	1a	19,550,591.
b	Average of monthly cash balances	1b	502,649.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	20,053,240.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	20,053,240.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	300,799.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	19,752,441.
6	**Minimum investment return.** Enter 5% of line 5	6	987,622.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	987,622.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	9,375.		
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	9,375.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	978,247.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	978,247.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	978,247.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	961,863.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	961,863.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	9,375.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	952,488.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7				978,247.
2	Undistributed income, if any, as of the end of 2011				
a	Enter amount for 2010 only			205,846.	
b	Total for prior years 20_____,20_____,20_____		NONE		
3	Excess distributions carryover, if any, to 2011:				
a	From 2006 NONE				
b	From 2007 NONE				
c	From 2008 NONE				
d	From 2009 NONE				
e	From 2010 NONE				
f	**Total** of lines 3a through e	NONE			
4	Qualifying distributions for 2011 from Part XII, line 4: ▶ $ 961,863.				
a	Applied to 2010, but not more than line 2a			205,846.	
b	Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see instructions)	NONE			
d	Applied to 2011 distributable amount				756,017.
e	Remaining amount distributed out of corpus	NONE			
5	Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b	Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e	Undistributed income for 2010 Subtract line 4a from line 2a. Taxable amount - see instructions				
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				222,230.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	NONE			
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a	NONE			
10	Analysis of line 9:				
a	Excess from 2007 NONE				
b	Excess from 2008 NONE				
c	Excess from 2009 NONE				
d	Excess from 2010 NONE				
e	Excess from 2011 NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test - enter					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SEE STATEMENT 10				
Total . . . . ▶ **3a**				899,505.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	681,007.	
5 Net rental income or (loss) from real estate·					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	371,452.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				1,052,459.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 1,052,459.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee

Date: 09/10/2012

Title: SVP Tax

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2011

Name of the organization

UW MCCURDY ARTICLE 2ND CHAR TR

Employer identification number

13-6216017

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☐ 501(c)(3) exempt private foundation
	☒ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF

Name of organization	Employer identification number
UW MCCURDY ARTICLE 2ND CHAR TR	13-6216017

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	UW MATILDA G MC CURDY J W GIBSON P.O. BOX 1802 PROVIDENCE, RI 02901-1802	$ 20,931.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	MATILDA G MC CURDY SG FASSNACHT P.O. BOX 1802 PROVIDENCE, RI 02901-1802	$ 12,385.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ABBOTT LABORATORIES	5,640.	5,640.
AMERIPRISE FINANCIAL INC	870.	870.
APACHE CORPORATION	1,800.	1,800.
BAXTER INTERNATIONAL INC	3,100.	3,100.
BHP BILLITON LIMITED ADR	2,020.	2,020.
BOEING CO SR UNSECD NT	5,000.	5,000.
BOFA GOVERNMENT RESERVES TRUST CLASS	9.	9.
BRISTOL MYERS SQUIBB CO COM	5,280.	5,280.
CABOT OIL & GAS CORP CL A	264.	264.
CATERPILLAR INCORPORATED	7,200.	7,200.
CHEVRON CORP SR NT	6,900.	6,900.
CISCO SYSTEMS INCORPORATED	2,520.	2,520.
COCA COLA CO COM	9,400.	9,400.
COSTCO WHOLESALE CORP	1,110.	1,110.
DANONE SPONSORED ADR	2,204.	2,204.
DEERE JOHN CAP CORP MTN	5,250.	5,250.
DEVON ENERGY CORPORATION	1,650.	1,650.
DISNEY WALT CO	3,200.	3,200.
DUKE ENERGY CORP NEW COM	7,920.	7,920.
ECOLAB INCORPORATED	3,150.	3,150.
EMERSON ELEC CO	1,435.	1,435.
EXXON MOBIL CORPORATION	5,920.	5,920.
FEDERAL HOME LN BKS CONS BD	4,000.	4,000.
FREEPORT-MCMORAN COPPER & GOLD INC COM	7,500.	7,500.
GENERAL ELEC CAP CORP MTN	4,875.	4,875.
GOLDCORP INC NEW	815.	815.
GOLDMAN SACHS GROUP INC SR NT	1,050.	1,050.
GOLDMAN SACHS GROUP INC SR NT	250.	250.
HEINZ H J CO	5,580.	5,580.
HEWLETT PACKARD CO COM	1,280.	1,280.
HEWLETT PACKARD CO NT	6,125.	6,125.
HONEYWELL INTERNATIONAL INC	2,740.	2,740.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ITT INDUSTRIES INC	3,500.	3,500.
INTEL CORPORATION	9,389.	9,389.
INTERMEDIATE TERM TAXABLE BOND FD	121,397.	121,023.
INTERNATIONAL BUSINESS MACHS	8,700.	8,700.
ISHARES INC MSCI BRAZIL FREE INDEX FUND	4,663.	4,663.
ISHARES FTSE XINHAU HK CHINA 25 INDEX	12,451.	12,451.
ISHARES MSCI EMERGING MKTS INDEX FUND	16,225.	16,225.
ISHARES RUSSELL MIDCAP INDEX FUND	6,600.	6,600.
ISHARES S&P SMALLCAP 600 INDEX FUND	7,274.	7,274.
J P MORGAN CHASE & CO COM	2,800.	2,800.
JPMORGAN CHASE & CO GLOBAL SUB HLDG CO N	10,250.	10,250.
JOHNSON & JOHNSON	4,500.	4,500.
JPMORGAN CHASE CAP XXVI CAP SECS VAR SER	30,000.	30,000.
LOWES COMPANIES INCORPORATED	5,000.	5,000.
MCDONALDS CORP	7,590.	7,590.
MERCK & CO INC SR UNSECD NT	4,000.	4,000.
MICROSOFT CORPORATION	8,840.	8,840.
MICROCHIP TECHNOLOGY INC COM	6,246.	
MONSANTO CO NEW COM	1,824.	1,824.
MORGAN STANLEY FDIC GTD NT	2,000.	2,000.
NEXTERA ENERGY INC COM	6,600.	6,600.
ORACLE SYS CORP	2,300.	2,300.
PEPSICO INC SR NT	5,150.	5,150.
PIMCO COMMODITY REALRETURN STRATEGY FUND	87,975.	87,975.
PRICE T ROWE GROUP INC COM	2,325.	2,325.
PROCTER & GAMBLE CO COM	7,199.	7,199.
PROCTER & GAMBLE CO NT	7,000.	7,000.
RIO TINTO PLC SPONSORED ADR	3,271.	3,271.
SPDR S&P HOMEBUILDERS ETF	533.	533.
SCHLUMBERGER LIMITED	3,840.	3,840.
SOUTHERN UN CO NEW COM	5,400.	5,400.
SPECTRA ENERGY CORP COM	4,240.	4,240.
STATE STREET CORP	1,100.	1,100.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
TEVA PHARMACEUTICAL INDS LTD ADR	3,570.	3,570.
UNION PAC CORP COM	3,762.	3,762.
UNITED STATES TREAS NTS INFLATION INDEX	6,685.	6,685.
UNITED STATES TREAS NTS	12,010.	12,010.
VANGUARD MSCI EAFE ETF FUND	63,522.	63,522.
VISA INC CL A COM	1,340.	1,340.
WACHOVIA CORP GLOBAL SR MTN	5,500.	5,500.
WELLS FARGO & CO NEW NEW SUB NT	5,125.	5,125.
LONG TERM TAXABLE BOND FUND FOR TRUSTS	46,936.	46,936.
COVIDIEN LTD PLC COM	300.	300.
COVIDIEN PLC COM	938.	938.
ACE LTD COM	4,488.	4,488.
NOBLE CORP COM	592.	592.
TOTAL	681,007.	674,387.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
UST EXCELSIOR DIRECTIONAL HEDGE FUND OF		14,532.
UST EXCELSIOR VENTURE PARTNER III LLC		1,011.
EXCELSIOR ABSOLUTE LIQ TRUST (ACTUAL CAP		-4,996.
EXCELSIOR ABSOLUTE RETURN LIQUIDATING TR		991.
TOTALS		11,538.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	1,103.	1,103.
FEDERAL ESTIMATES - PRINCIPAL	4,100.	
FOREIGN TAXES ON QUALIFIED FOR	8,209.	8,209.
FOREIGN TAXES ON NONQUALIFIED	628.	628.
TOTALS	14,040.	9,940.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OTHER ALLOCABLE EXPENSE-INCOME	750.		750.
OTHER NON-ALLOCABLE EXPENSE -	120.		120.
DIVIDEND INVESTMENT EXPENSE -		17,685.	
TOTALS	870.	17,685.	870.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
11 TYE INCOME ADJ		2,901.
ADJ CARRYING VALUE EXCELSIOR ROC		192,184.
	TOTAL	195,085.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES
===

OFFICER NAME:
BANK OF AMERICA, N.A.
ADDRESS:
ONE BRYANT PARK
NEW YORK, NY 10036
TITLE:
TRUSTEE
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 40
COMPENSATION .... 153,714.

TOTAL COMPENSATION: 153,714.
==============

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
SAINT LUKES ROOSEVELT
HOSPITAL CENTER
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
RESEARCH & DEVELOPMENT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 149,917.

RECIPIENT NAME:
CHRIST EPISCOPAL CHURCH
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHURCH MAINTENANCE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 149,917.

RECIPIENT NAME:
SAINT JAMES CHURCH
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
CHURCH MAINTENANCE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 149,917.

RECIPIENT NAME:
EYE BANK FOR SIGHT
RESTORATION
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
RESEARCH & DEVELOPMENT
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 149,918.

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID
==

RECIPIENT NAME:
YALE UNIVERSITY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EDUCATION
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 149,918.

RECIPIENT NAME:
UNIVERSITY OF THE SOUTH
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EDUCATION
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 149,918.

TOTAL GRANTS PAID: 899,505.
==============

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the Instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2011

Name of estate or trust: UW MCCURDY ARTICLE 2ND CHAR TR

Employer identification number: 13-6216017

Note: *Form 5227 filers need to complete* ***only*** *Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	2,192.
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	2,192.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo , day, yr.)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a	LONG-TERM CAPITAL GAIN DIVIDENDS					19,868.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	203,188.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	146,204.
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	369,260.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D (Form 1041) 2011**

JSA
1F1210 2 000

Part III Summary of Parts I and II

Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			2,192.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			369,260.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			371,452.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:

a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . 17

18 Enter the **smaller** of line 14a or 15 in column (2) but not less than zero . . . 18

19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . 19

20 Add lines 18 and 19 . . . 20

21 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . ▶ 21

22 Subtract line 21 from line 20. If zero or less, enter -0- . . . 22

23 Subtract line 22 from line 17. If zero or less, enter -0- . . . 23

24 Enter the **smaller** of the amount on line 17 or $2,300 . . . 24

25 Is the amount on line 23 equal to or more than the amount on line 24?

☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box.

☐ **No.** Enter the amount from line 23 . . . 25

26 Subtract line 25 from line 24 . . . 26

27 Are the amounts on lines 22 and 26 the same?

☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22 27

28 Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . 28

29 Subtract line 28 from line 27 . . . 29

30 Multiply line 29 by 15% (.15) . . . 30

31 Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . 31

32 Add lines 30 and 31 . . . 32

33 Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . 33

34 **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . 34

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	**Employer identification number**
UW MCCURDY ARTICLE 2ND CHAR TR	13-6216017

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 500. ABBOTT LABORATORIES	07/23/2007	12/07/2011	27,312.00	27,008.00	304.00
396. ABBOTT LABORATORIES	09/18/2007	12/07/2011	21,631.00	20,855.00	776.00
604. ABBOTT LABORATORIES	09/18/2007	12/07/2011	32,992.00	31,724.00	1,268.00
1500. ABBOTT LABORATORIES	03/13/2006	12/07/2011	81,935.00	67,418.00	14,517.00
2000. ADOBE SYS INC	04/19/2007	12/07/2011	55,117.00	85,050.00	-29,933.00
1000. AMERIPRISE FINANCIAL	05/30/2008	12/07/2011	47,285.00	47,361.00	-76.00
2000. BAXTER INTERNATIONAL	05/22/2007	12/07/2011	101,170.00	114,410.00	-13,240.00
500. BAXTER INTERNATIONAL	07/23/2007	12/07/2011	25,293.00	28,303.00	-3,010.00
1750. BERKSHIRE HATHAWAY I CL B COM	10/24/2008	12/07/2011	135,366.00	130,376.00	4,990.00
1000. BHP BILLITON LIMITED	08/17/2009	12/07/2011	75,567.00	60,085.00	15,482.00
1000. BRISTOL MYERS SQUIBB	04/15/2009	12/07/2011	33,438.00	20,238.00	13,200.00
3000. BRISTOL MYERS SQUIBB	03/09/2009	12/07/2011	100,314.00	56,690.00	43,624.00
200000. CHEVRON CORP SR NT	02/27/2009	09/03/2011	203,114.00	201,696.00	1,418.00
1200. COSTCO WHOLESALE COR	03/27/2008	12/07/2011	104,540.00	79,364.00	25,176.00
6000. DANONE SPONSORED ADR	03/17/2005	12/07/2011	78,478.00	60,347.00	18,131.00
800. DEVON ENERGY CORPORAT	08/07/2009	12/07/2011	52,840.00	51,353.00	1,487.00
1500. DEVON ENERGY CORPORA	06/15/2009	12/07/2011	99,074.00	95,001.00	4,073.00
1000. DEVON ENERGY CORPORA	06/17/2009	12/07/2011	66,050.00	61,961.00	4,089.00
1000. DUKE ENERGY CORP NEW	08/14/2006	12/07/2011	20,670.00	17,469.00	3,201.00
1000. DUKE ENERGY CORP NEW	08/11/2006	12/07/2011	20,670.00	17,263.00	3,407.00
1000. DUKE ENERGY CORP NEW	08/24/2006	12/07/2011	20,670.00	17,199.00	3,471.00
500. DUKE ENERGY CORP NEW	04/21/2006	12/07/2011	10,335.00	8,524.00	1,811.00
3000. DUKE ENERGY CORP NEW	04/29/2005	12/07/2011	62,010.00	50,685.00	11,325.00
1000. DUKE ENERGY CORP NEW	05/20/2005	12/07/2011	20,670.00	16,269.00	4,401.00
500. DUKE ENERGY CORP NEW	05/23/2006	12/07/2011	10,335.00	8,105.00	2,230.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side
UW MCCURDY ARTICLE 2ND CHAR TR

Employer identification number
13-6216017

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	1000. EMERSON ELEC CO	11/12/2008	12/07/2011	51,715.00	32,014.00	19,701.00
	3500. EXELIS INC COM	09/25/2001	12/07/2011	31,452.00	17,716.00	13,736.00
	500. GILEAD SCIENCES INC	04/06/2009	12/07/2011	19,808.00	23,834.00	-4,026.00
	500. GILEAD SCIENCES INC	06/04/2009	12/07/2011	19,808.00	22,693.00	-2,885.00
	500. GILEAD SCIENCES INC	11/07/2008	12/07/2011	19,808.00	22,519.00	-2,711.00
	2500. GILEAD SCIENCES INC	10/24/2008	12/07/2011	99,038.00	109,819.00	-10,781.00
	148. GOLDCORP INC NEW	09/02/2009	12/07/2011	7,676.00	5,907.00	1,769.00
	10000. GOLDMAN SACHS GROUP	05/14/2008	01/15/2011	10,000.00	10,141.00	-141.00
	100. GOOGLE INC CL A	06/25/2007	12/07/2011	62,191.00	53,401.00	8,790.00
	150. GOOGLE INC CL A	06/21/2006	12/07/2011	93,287.00	60,571.00	32,716.00
	1000. HEINZ H J CO	04/04/2008	12/07/2011	52,391.00	47,988.00	4,403.00
	2000. HEINZ H J CO	01/30/2008	12/07/2011	104,783.00	86,833.00	17,950.00
	500. HEWLETT PACKARD CO CO	08/29/2008	12/07/2011	14,192.00	23,551.00	-9,359.00
	3500. HEWLETT PACKARD CO C	08/22/2008	12/07/2011	99,344.00	164,526.00	-65,182.00
	1750. ITT CORP NEW COM	09/25/2001	12/07/2011	34,477.00	14,598.00	19,879.00
	1000. ISHARES INC MSCI BRA INDEX FUND	08/07/2009	12/07/2011	61,017.00	60,900.00	117.00
	500. ISHARES INC MSCI BRAZ INDEX FUND	08/14/2009	12/07/2011	30,508.00	29,860.00	648.00
	300. ISHARES INC MSCI BRAZ INDEX FUND	08/17/2009	12/07/2011	18,305.00	17,259.00	1,046.00
	2000. ISHARES INC MSCI BRA INDEX FUND	06/26/2009	12/07/2011	122,033.00	107,520.00	14,513.00
	1000. ISHARES FTSE XINHAU INDEX	06/26/2009	12/07/2011	36,595.00	38,440.00	-1,845.00
	1000. ISHARES FTSE XINHAU INDEX	06/29/2009	12/07/2011	36,595.00	38,320.00	-1,725.00
	2000. ISHARES FTSE XINHAU INDEX	06/17/2009	12/07/2011	73,191.00	74,980.00	-1,789.00
	3000. ISHARES FTSE XINHAU INDEX	05/18/2009	12/07/2011	109,786.00	106,650.00	3,136.00
	1500. ISHARES FTSE XINHAU INDEX	04/15/2009	12/07/2011	54,893.00	49,410.00	5,483.00
	500. ISHARES FTSE XINHAU H INDEX	04/06/2009	12/07/2011	18,298.00	15,360.00	2,938.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	**Employer identification number**
UW MCCURDY ARTICLE 2ND CHAR TR	13-6216017

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1500. ISHARES FTSE XINHAU INDEX	03/31/2009	12/07/2011	54,893.00	42,900.00	11,993.00
5000. ISHARES FTSE XINHAU INDEX	02/12/2009	12/07/2011	182,976.00	128,650.00	54,326.00
500. ISHARES FTSE XINHAU H INDEX	03/09/2009	12/07/2011	18,298.00	11,880.00	6,418.00
3500. J P MORGAN CHASE & C	03/09/2004	12/07/2011	117,877.00	148,610.00	-30,733.00
5000. LEUCADIA NATIONAL CO	05/18/2009	12/07/2011	117,117.00	102,931.00	14,186.00
300. LOWES COMPANIES INCOR	05/18/2009	12/07/2011	7,468.00	6,047.00	1,421.00
1000. LOWES COMPANIES INCO	04/06/2009	12/07/2011	24,894.00	19,388.00	5,506.00
1000. LOWES COMPANIES INCO	06/26/2009	12/07/2011	24,894.00	19,344.00	5,550.00
700. LOWES COMPANIES INCOR	04/15/2009	12/07/2011	17,425.00	13,487.00	3,938.00
2000. LOWES COMPANIES INCO	06/29/2009	12/07/2011	49,787.00	38,470.00	11,317.00
5000. LOWES COMPANIES INCO	03/31/2009	12/07/2011	124,468.00	91,672.00	32,796.00
200. MICROCHIP TECHNOLOGY	08/31/2007	12/07/2011	7,005.00	7,139.00	-134.00
500. MICROCHIP TECHNOLOGY	06/25/2007	12/07/2011	17,513.00	17,487.00	26.00
300. MICROCHIP TECHNOLOGY	08/28/2007	12/07/2011	10,508.00	10,250.00	258.00
1000. MICROCHIP TECHNOLOGY	01/23/2007	12/07/2011	35,026.00	32,515.00	2,511.00
1000. MICROCHIP TECHNOLOGY	01/10/2007	12/07/2011	35,026.00	32,005.00	3,021.00
3000. MICROCHIP TECHNOLOGY	06/26/2009	12/07/2011	105,077.00	59,150.00	45,927.00
800. MONSANTO CO NEW COM	02/06/2008	12/07/2011	56,330.00	84,745.00	-28,415.00
600. MONSANTO CO NEW COM	03/19/2008	12/07/2011	42,247.00	58,992.00	-16,745.00
200. MONSANTO CO NEW COM	06/08/2009	12/07/2011	14,082.00	16,407.00	-2,325.00
100000. MORGAN STANLEY FDI	01/14/2009	09/22/2011	100,000.00	100,674.00	-674.00
3000. NEXTERA ENERGY INC C	08/26/2008	12/07/2011	170,982.00	182,014.00	-11,032.00
500. PRICE T ROWE GROUP IN	04/17/2008	12/07/2011	29,233.00	26,392.00	2,841.00
2000. PRICE T ROWE GROUP I	02/14/2007	12/07/2011	116,931.00	99,126.00	17,805.00
1000. QUANTA SVCS INC	06/15/2009	12/07/2011	20,782.00	23,276.00	-2,494.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side
UW MCCURDY ARTICLE 2ND CHAR TR

Employer identification number
13-6216017

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1000. QUANTA SVCS INC	06/29/2009	12/07/2011	20,782.00	22,745.00	-1,963.00
5000. QUANTA SVCS INC	05/18/2009	12/07/2011	103,908.00	111,125.00	-7,217.00
5000. SPDR S&P HOMEBUILDER	04/04/2008	12/07/2011	85,573.00	120,500.00	-34,927.00
4000. SPECTRA ENERGY CORP	02/07/2007	12/07/2011	117,624.00	107,780.00	9,844.00
500. STATE STREET CORP	05/08/2008	12/07/2011	20,242.00	36,368.00	-16,126.00
500. STATE STREET CORP	06/26/2008	12/07/2011	20,242.00	33,359.00	-13,117.00
1000. STATE STREET CORP	04/23/2008	12/07/2011	40,485.00	66,198.00	-25,713.00
300. TEVA PHARMACEUTICAL I	08/29/2008	12/07/2011	11,985.00	14,192.00	-2,207.00
700. TEVA PHARMACEUTICAL I	08/14/2008	12/07/2011	27,964.00	33,078.00	-5,114.00
3000. TEVA PHARMACEUTICAL	07/30/2008	12/07/2011	119,848.00	132,593.00	-12,745.00
200. UNION PAC CORP COM	08/29/2008	12/07/2011	20,415.00	16,946.00	3,469.00
2000. UNION PAC CORP COM	08/22/2008	12/07/2011	204,151.00	158,335.00	45,816.00
377061. UNITED STATES TREA INFLATION INDEX NT	06/05/2001	01/15/2011	377,061.00	383,370.00	-6,309.00
1000. WEYERHAEUSER CO COM	11/24/2003	12/07/2011	17,086.00	56,202.00	-39,116.00
500. WEYERHAEUSER CO COM	09/11/2008	12/07/2011	8,543.00	27,549.00	-19,006.00
1000. WEYERHAEUSER CO COM	01/14/2003	12/07/2011	17,086.00	53,084.00	-35,998.00
500. WEYERHAEUSER CO COM	12/19/2002	12/07/2011	8,543.00	24,331.00	-15,788.00
5108. WEYERHAEUSER CO COM	09/01/2010	12/07/2011	87,275.00	79,378.00	7,897.00
3500. XYLEM INC COM	09/25/2001	12/07/2011	85,383.00	43,916.00	41,467.00
5000. LONG TERM TAXABLE BO TRUSTS	11/01/2002	12/09/2011	52,598.00	54,122.00	-1,524.00
23999. LONG TERM TAXABLE B TRUSTS	09/03/1993	12/09/2011	252,460.00	248,682.00	3,778.00
60221. LONG TERM TAXABLE B TRUSTS	01/02/1998	12/09/2011	633,501.00	621,867.00	11,634.00
21617. LONG TERM TAXABLE B TRUSTS	12/31/1992	12/09/2011	227,402.00	218,220.00	9,182.00
1000. COVIDIEN PLC COM	04/04/2008	12/07/2011	44,344.00	45,828.00	-1,484.00
500. COVIDIEN PLC COM	03/27/2008	12/07/2011	22,172.00	22,144.00	28.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	**Employer identification number**
UW MCCURDY ARTICLE 2ND CHAR TR	13-6216017

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1000. NOBLE CORP COM	10/05/2005	12/07/2011	33,949.00	31,313.00	2,636.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b 203,188.00

UW MCCURDY ARTICLE 2ND CHAR TR
13-6216017
Balance Sheet
12/31/2011

Cusip	Asset	Units	Basis	Market Value
H0023R105	ACE LTD	3300.000	168,263.46	231,396.00
99Z353023	EXCELSIOR ABSOLUTE RETURN	2.713	51,888.00	11,674.60
99Z305627	EXCELSIOR ABSOLUTE LIQ TRUST	1.720	37,657.00	3,340.21
949746CL3	WELLS FARGO & CO NEW	100000.000	100,319.00	102,577.00
92976WBJ4	WACHOVIA CORP GLOBAL	100000.000	100,400.00	105,510.00
92826C839	VISA INC	2000.000	120,053.90	203,060.00
921943858	VANGUARD MSCI EAFE ETF	56050.000	1,753,154.32	1,716,811.50
912828AF7	UNITED STATES TREAS NT	188907.000	197,366.27	192,964.72
844030106	SOUTHERN UN CO NEW	9000.000	122,885.80	378,990.00
806857108	SCHLUMBERGER LTD	4000.000	112,451.00	273,240.00
767204100	RIO TINTO PLC	2800.000	118,183.30	136,976.00
742718DM8	PROCTER & GAMBLE CO	200000.000	200,084.00	215,368.00
742718109	PROCTER & GAMBLE CO	3500.000	129,980.23	233,485.00
722005667	PIMCO COMMODITY REALRETURN	104845.222	779,000.00	685,687.75
713448BF4	PEPSICO INC	100000.000	102,956.00	101,687.00
68389X105	ORACLE CORP	10000.000	183,300.00	256,500.00
63872W409	NATIXIS FDS TR IV AEW REAL	55025.126	876,000.00	934,876.89
594918104	MICROSOFT CORP	13000.000	243,438.30	337,480.00
589331AP2	MERCK & CO INC	100000.000	100,403.00	110,123.00
580135101	MCDONALDS CORP	3000.000	165,824.89	300,990.00
48124G104	JPMORGAN CHASE CAP XXVI	15000.000	371,267.30	388,500.00
478160104	JOHNSON & JOHNSON	2000.000	133,450.00	131,160.00
46625HBV1	JPMORGAN CHASE & CO	200000.000	198,152.00	210,860.00
464287804	ISHARES S&P SMALLCAP 600 INDEX	13015.000	739,648.64	888,924.50
464287499	ISHARES RUSSELL MIDCAP INDEX	13022.000	1,263,413.97	1,281,625.24
464287234	ISHARES MSCI EMERGING MKTS INDEX	27956.000	1,002,388.29	1,060,650.64
459200101	INTERNATIONAL BUSINESS MACHS	3000.000	245,840.00	551,640.00
45899T998	INTERMEDIATE TERM TAXABLE BOND	274271.928	3,514,594.17	3,599,489.93
458140100	INTEL CORP	12000.000	201,440.00	291,000.00
438516106	HONEYWELL INTL INC	2000.000	60,941.40	108,700.00
428236AT0	HEWLETT PACKARD CO	100000.000	100,605.00	107,825.00
38141GDB7	GOLDMAN SACHS GROUP INC	20000.000	19,705.80	20,395.80
380956409	GOLDCORP INC	1852.000	73,917.02	81,951.00
36962GP65	GENERAL ELEC CAP CORP	100000.000	99,265.00	108,494.00

UW MCCURDY ARTICLE 2ND CHAR TR
13-6216017
Balance Sheet
12/31/2011

BankofAmerica

Cusip	Asset	Units	Basis	Market Value
35671D857	FREEPORT-MCMORAN COPPER &	5000.000	101,497.94	183,950.00
3133XRX88	FEDERAL HOME LN BKS	100000.000	103,072.60	106,032.00
30231G102	EXXON MOBIL CORP	3200.000	119,812.01	271,232.00
300862109	UST EXCELSIOR VENTURE PARTNER	98.736	13,370.00	12,225.69
3007229X5	EXCELSIOR MULTI-STRATEGY HEDGE	243.564	492,558.00	361,704.96
278865100	ECOLAB INC	4500.000	85,371.98	260,145.00
254687106	DISNEY WALT CO	8000.000	131,942.64	300,000.00
24422EQW2	DEERE JOHN CAP CORP	100000.000	100,425.00	103,481.00
191216100	COCA COLA CO	5000.000	222,830.10	349,850.00
17275R102	CISCO SYS INC	14000.000	156,790.93	253,120.00
156782104	CERNER CORP	4000.000	106,265.00	245,000.00
149123101	CATERPILLAR INC	4000.000	123,340.00	362,400.00
127097103	CABOT OIL & GAS CORP	2200.000	75,280.14	166,980.00
097023AV7	BOEING CO	100000.000	100,887.00	109,446.00
037833100	APPLE INC	1000.000	188,442.00	405,000.00
037411105	APACHE CORP	3000.000	228,685.16	271,740.00
	Cash/Cash Equivalent	366576.600	366,576.60	366,576.60
		Totals:	16,405,384.16	19,492,837.03

Form **8868**
(Rev January 2012)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return See instructions

Name of exempt organization or other filer, see instructions
UW MCCURDY ARTICLE 2ND CHAR TR

Employer identification number (EIN) or
☒ 13-6216017

Number, street, and room or suite no If a P O box, see instructions
P O BOX 1802

Social security number (SSN)
☐

City, town or post office, state, and ZIP code. For a foreign address, see instructions
PROVIDENCE, RI 02901-1802

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ PRIVATE BANK TAX SERVICES

Telephone No. ▶ (401)278-6825 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . . . ▶ ☐ . If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15 , 20 12 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☒ calendar year 20 11 or

▶ ☐ tax year beginning ________, 20 ___, and ending ________, 20 ___.

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	**3a**	$ 12,116.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	**3b**	$ 6,116.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	**3c**	$ 6,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. UW MCCURDY ARTICLE 2ND CHAR TR	Employer identification number (EIN) or ☒ 13-6216017
	Number, street, and room or suite no. If a P.O. box, see instructions. P O BOX 1802	Social security number (SSN) ☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. PROVIDENCE, RI 02901-1802	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
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Form 990-BL	02	Form 1041-A	08
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Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ US TRUST FIDUCIARY TAX SERVICES

  Telephone No. ▶ (401)278-6825 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . . . . ▶ ☐ . If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15 , 20 12 .

5 For calendar year 2011 , or other tax year beginning ______ , 20 ___ , and ending ______ , 20 ___ .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension ADDITIONAL TIME REQUESTED TO FILE A COMPLETE AND ACCURATE RETURN

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 9,437.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 12,116.
c	**Balance Due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ Date ▶

Form **8868** (Rev. 1-2012)