



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning

, 2011, and ending

NOVARTIS U.S. FOUNDATION
230 PARK AVENUE, 21ST FLOOR
NEW YORK, NY 10169A Employer identification number
13-6193034B Telephone number (see the instructions)
973-379-9100C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply:

☐ Initial return☐ Initial Return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, column (c), line 16)

▶ \$ 21,196,172.

J Accounting method: ☐ Cash ☒ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (att sch)

1,030,463.

2 Ch. ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

108.

108.

108.

4 Dividends and interest from securities

484,610.

484,610.

484,610.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

13,559.

b Gross sales price for all assets on line 6a 531,778.

7 Capital gain net income (from Part IV, line 2)

13,559.

8 Net short-term capital gain

9,246.

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

1,528,740.

498,277.

493,964.

13 Compensation of officers, directors, trustees, etc

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch) See St 1

6,300.

c Other prof fees (attach sch) See St 2

2,231.

2,232.

17 Interest

18 Taxes (attach schedule) See Stm 3

5,735.

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule) See Statement 4

264,628.

24 Total operating and administrative expenses. Add lines 13 through 23

278,894.

2,232.

25 Contributions, gifts, grants paid Part XV

1,512,166.

26 Total expenses and disbursements. Add lines 24 and 25

1,791,060.

2,232.

0.

1,430,505.

1,430,505.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-262,320.

b Net investment income (if negative, enter -0-)

496,045.

c Adjusted net income (if negative, enter -0-)

493,964.

614

9

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	522,010.	487,375.	487,375.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	1,944.	4,834.	
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	15,572,638.	15,539,029.	20,708,797.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe See Statement 5)		7,500.		
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	16,096,592.	16,038,738.	21,196,172.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses	219,119.	383,585.	
	18 Grants payable	142,507.	182,507.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	361,626.	566,092.	
N E T A S S E T B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	15,734,966.	15,472,646.	
	30 Total net assets or fund balances (see instructions)	15,734,966.	15,472,646.	
	31 Total liabilities and net assets/fund balances (see instructions)	16,096,592.	16,038,738.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	15,734,966.
2 Enter amount from Part I, line 27a	2	-262,320.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	15,472,646.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	15,472,646.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE ATTACHED SHORT TERM	P	Various	Various
b SEE ATTACHED LONG TERM	P	Various	Various
c LONG TERM CAPITAL GAIN DISTRN	P	Various	Various
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 442,134.		437,821.	4,313.
b 89,501.		80,398.	9,103.
c 143.			143.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			4,313.
b			9,103.
c			143.
d			
e			

2 Capital gain net income or (net capital loss)

☐ If gain, also enter in Part I, line 7
☐ If (loss), enter -0- in Part I, line 7

2

13,559.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

☐ If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8

3

9,246.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	1,430,080.	19,200,596.	0.074481
2009	1,009,786.	16,878,680.	0.059826
2008	932,496.	18,369,111.	0.050764
2007	787,283.	19,799,517.	0.039763
2006	605,566.	17,830,895.	0.033962

2 Total of line 1, column (d)

2

0.258796

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3

0.051759

4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5

4

20,893,033.

5 Multiply line 4 by line 3

5

1,081,402.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

4,960.

7 Add lines 5 and 6

7

1,086,362.

8 Enter qualifying distributions from Part XII, line 4

8

1,430,505.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	4,960.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,960.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,960.
6 Credits/Payments			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a	9,794.	
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	9,794.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	4,834.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☒ 4,834. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

BAA

Form 990-PF (2011)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>GBGD, LLP</u> Telephone no <u>973-379-9100</u> Located at <u>623 MORRIS AVE SPRINGFIELD NJ</u> ZIP + 4 <u>07081</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	N/A
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☒ No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>	☐ Yes	☒ No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

BAA

Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2011)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	20,741,083.
b	Average of monthly cash balances	1b	470,118.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	21,211,201.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	21,211,201.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	318,168.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	20,893,033.
6	Minimum investment return. Enter 5% of line 5	6	1,044,652.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,044,652.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	4,960.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	4,960.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,039,692.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,039,692.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,039,692.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	1,430,505.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,430,505.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	4,960.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,425,545.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

BAA

Form 990-PF (2011)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				1,039,692.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				25,448.
d From 2009				175,516.
e From 2010				479,912.
f Total of lines 3a through e	680,876.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 1,430,505.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2011 distributable amount				1,039,692.
e Remaining amount distributed out of corpus	390,813.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,071,689.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	1,071,689.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				25,448.
c Excess from 2009				175,516.
d Excess from 2010				479,912.
e Excess from 2011				390,813.

N/A

(4) Gross investment income

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Statement 7				
Total			3a	1,430,505.
b Approved for future payment				
Total			3b	

12/31/11

2011 Federal Book Depreciation Schedule

Page 1

Client 6193034

NOVARTIS U.S. FOUNDATION

13-6193034

5/29/12

09:39AM

No.	Description	Date Acquired	Date Sold	Cost/ Basis	Bus Pct	Cur 179 Bonus	Special Depr Allow	Prior 179/ Bonus/ Sp. Depr	Prior Dec Bal Depr	Salvage /Basis Reductn	Depr Basis	Prior Depr	Method	Life	Rate	Current Depr
Form 990/990-PF																
Amortization																
1.	SOFTWARE	10/01/11		10,000							10,000		S/L	1		2,500
Total Amortization				10,000		0	0	0	0	0	10,000	0				
Total Depreciation				0		0	0	0	0	0	0	0				
Grand Total Amortization				10,000		0	0	0	0	0	10,000	0				
Grand Total Depreciation				0		0	0	0	0	0	0	0				

2011

Federal Statements

Page 1

Client 6193034

NOVARTIS U.S. FOUNDATION

13-6193034

5/29/12

09 39AM

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Total	\$ 6,300.	\$ 0.	\$ 0.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT FEES	\$ 2,231.	\$ 2,232.	\$ 0.	\$ 0.
Total	\$ 2,231.	\$ 2,232.	\$ 0.	\$ 0.

Statement 3
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FEDERAL EXCISE TAX	\$ 4,960.			
NY FILING FEE	775.			
Total	\$ 5,735.	\$ 0.	\$ 0.	\$ 0.

Statement 4
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ADMINISTRATIVE FEES	\$ 58,300.			
Amortization	2,500.			N/A
BANK CHARGES	4,508.			
COMPUTER SERVICES	39,000.			
DONATIONS	136,600.			
FILING FEES	2,362.			
LICENSES AND FEES	600.			
MATCHING GIFT PROCESS FEE	20,758.			
Total	\$ 264,628.	\$ 0.	\$ 0.	\$ 0.

2011

Federal Statements

Page 2

Client 6193034

NOVARTIS U.S. FOUNDATION

13-6193034

5/29/12

09:39AM

Statement 5
Form 990-PF, Part II, Line 15
Other Assets

	<u>Book Value</u>	<u>Fair Market Value</u>
Net Intangible Assets	\$ 7,500.	
Total	<u>\$ 7,500.</u>	<u>\$ 0.</u>

Statement 6
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compen- sation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
ROBERT PELZER	Chairman 0	\$ 0.	\$ 0.	\$ 0.
'				
MERYL ZAUSNER	Trustee 0	0.	0.	0.
'				
BRENDA BLANCHARD	Trustee 0	0.	0.	0.
'				
JAMES ELKIN	Trustee 0	0.	0.	0.
'				
BRANDI ROBINSON	Trustee 0	0.	0.	0.
'				
Total		<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

2011

Federal Statements

Page 3

Client 6193034

NOVARTIS U.S. FOUNDATION

13-6193034

5/29/12

09.39AM

Statement 7
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
MATCHING GIFTS-SEE ATTACHED ,			MATCHING GIFT PROGRAMS ARE TO EDUCATIONAL TAX EX 501C3 ORG., PUBLIC RADIO & TV SCHOOL PROGRAMS	\$ 1,064,005.
METROPOLITAN MUSEUM OF ART ,			GENERAL OPERATING BUDGET	50,000.
EDWARD M. KENNEDY INSTITUTE ,			GENERAL OPERATING BUDGET	50,000.
LINK COMMUNITY SCHOOL ,			GENERAL OPERATING BUDGET	160,000.
FOREIGN POLICY ASSOCIATION ,			GENERAL OPERATING BUDGET	15,000.
ATLANTIC COUNCIL OF USA ,			GENERAL OPERATING BUDGET	75,000.
PROJECT HOPE 2011 ,			GENERAL OPERATING BUDGET	15,000.
MUSEUM OF JEWISH HERITAGE ,			GENREAL OPERATING BUDGET	500.
WOUNDED NATURE ,			GENERAL OPERATING BUDGET	1,000.
Total				<u>\$ 1,430,505.</u>

NOVARTIS U.S. FOUNDATION
FORM 990-PF - 2010
13-6193034

PART I: Line 1: Contributions

ALL CONTRIBUTIONS FROM NOVARTIS INC., NEW YORK, NY	<u>\$ 1,030,463</u>
--	---------------------

PART I: Line 16

(b) Accounting fees	<u>\$ 6,300</u>
(c) Investment fees	<u>\$ 2,231</u>

PART I: Line 18: Taxes

Federal Excise Tax	\$ 4,960
NY State Tax	<u>775</u>
	<u>\$ 5,735</u>

PART I: Line 23: Other Expenses

Matching Gift Process Fees	\$ 20,758
Administrative fees	58,300
Computer services	39,000
Filing Fees	2,362
Bank Charges	4,508
Donations	136,600
Amortization	2,500
Licenses and fees	<u>600</u>
	<u>\$ 264,628</u>

NOVARTIS FOUNDATION
CONTRIBUTIONS FROM CORPORATE
FOR THE YEAR 2011

CONTRIBUTION FEB	208,469.00	Q4 2010 REIMB
CONTRIBUTION APRIL	372,859.00	Q1 2011 REIMB
CONTRIBUTION AUGUST	409,260.00	Q2 2011 REIMB
CONTRIBUTION DEC	39,875.00	Q3 2011 REIMB
TOTAL	<u>1,030,463.00</u>	

Novartis US Foundation
Edgar Butz

Novartis Corporation
One Health Plaza
East Hanover, NJ 07936-1080

Tel 862 778 6362
Fax 973 781 2647
Email:
edgar.butz@novartis.com



February 9, 2011
Doug Arseneau
The Northern Trust Company
50 South La Salle Street Chicago
Illinois 60603

Re: Novartis Foundation
Transaction: Deposit
Sponsor: SANDO
Profile: FOUNDATION
Dear Doug:

You will be receiving a wire transfer from Novartis Corporation in the amount of \$ 208,469.00. Please deposit the funds into the Novartis US Foundation account 26-04450.

The wire will be made in accordance with the following information:

Receiving Bank: Northern Trust Bank
ABA No.: 071000152
Credit Acct. No: 5186061000
Credit Acct. Name: Master Trust Cash Processing
Further Credit Account #: 26-04450
Further Credit Acct. Name: Novartis Foundation

Narrative: Q4 10 Matching Gift Funding from Sectors

If you have any questions please call me.

Kindest regards,

Edgar Butz

Novartis US Foundation
Edgar Butz

Novartis Corporation
One Health Plaza
East Hanover, NJ 07936-1080

Tel 862 778 6362
Fax 973 781 2647
Email:
edgar.butz@novartis.com



April 13, 2011
Doug Arseneau
The Northern Trust Company
50 South La Salle Street Chicago
Illinois 60603

Re: Novartis Foundation
Transaction: Deposit
Sponsor: SANDO
Profile: FOUNDATION
Dear Doug:

You will be receiving a wire transfer from Novartis Corporation in the amount of \$ 372,859. Please deposit the funds into the Novartis US Foundation account 26-04450.

The wire will be made in accordance with the following information:

Receiving Bank: Northern Trust Bank
ABA No.: 071000152
Credit Acct. No: 5186061000
Credit Acct. Name: Master Trust Cash Processing
Further Credit Account #: 26-04450
Further Credit Acct. Name: Novartis Foundation

Narrative: Q1 11 Matching Gift Funding from Sectors

If you have any questions please call me.

Kindest regards,

A handwritten signature in black ink, appearing to read 'Edgar Butz', written over a circular stamp that also contains the name 'Edgar Butz'.

Edgar Butz

Novartis US Foundation
Edgar Butz

Novartis Corporation
One Health Plaza
East Hanover, NJ 07936-1080

Tel 862 778 6362
Fax 973 781 2647
Email:
edgar.butz@novartis.com



July 13, 2011
Doug Arseneau
The Northern Trust Company
50 South La Salle Street Chicago
Illinois 60603

Re: Novartis Foundation
Transaction: Deposit
Sponsor: SANDO
Profile: FOUNDATION
Dear Doug:

You will be receiving a wire transfer from Novartis Corporation in the amount of \$ 409260. Please deposit the funds into the Novartis US Foundation account 26-04450.

The wire will be made in accordance with the following information:

Receiving Bank: Northern Trust Bank
ABA No.: 071000152
Credit Acct. No: 5186061000
Credit Acct. Name: Master Trust Cash Processing
Further Credit Account #: 26-04450
Further Credit Acct. Name: Novartis Foundation

Narrative: Q2 11 Matching Gift Funding from Sectors

If you have any questions please call me.

Kindest regards,

Edgar Butz

NOT IN FILE

Novartis US Foundation
Edgar Butz

Novartis Corporation
One Health Plaza
East Hanover, NJ 07936-1080

Tel 862 778 6362
Fax 973 781 2647
Email:
edgar.butz@novartis.com



November 16, 2011
Doug Arseneau
The Northern Trust Company
50 South La Salle Street Chicago
Illinois 60603

Re: Novartis Foundation
Transaction: Deposit
Sponsor: SANDO
Profile: FOUNDATION
Dear Doug:

You will be receiving a wire transfer from Novartis Corporation in the amount of \$ 39,875.00. Please deposit the funds into the Novartis US Foundation account 26-04450.

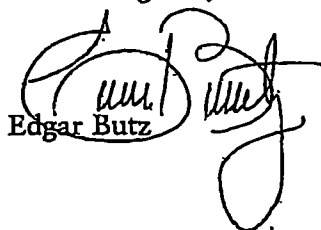
The wire will be made in accordance with the following information:

Receiving Bank: Northern Trust Bank
ABA No.: 071000152
Credit Acct. No: 5186061000
Credit Acct. Name: Master Trust Cash Processing
Further Credit Account #: 26-04450
Further Credit Acct. Name: Novartis Foundation

Narrative: Q3 11 Matching Gift Funding from Sectors

If you have any questions please call me.

Kindest regards,


Edgar Butz

NOVARTIS U.S. FOUNDATION
FORM 990-PF - 2011
13-6193034

PART I: Line 25 (a) column (a): Reconciliation of Charitable Gifts

TOTAL MATCHING GIFTS	1,512,166
----------------------	-----------

TOTAL CONTRIBUTIONS , GIFTS, GRANTS PAID	1,512,166
--	-----------

PART I: Line 25 (a) column (d): Reconciliation of Charitable Gifts

CONTRIBUTION, GRANTS PAID IN 2011	1,430,505
-----------------------------------	-----------

TOTAL CONTRIBUTIONS , GIFTS, GRANTS PAID	1,430,505
--	-----------

NOVARTIS

DISBURSEMENTS CHARITABLE PURPOSES

CASH BASIS ONLY

FORM 990PF PART I COL D LINE 25

PER TRIAL BALANCE - ACCRUAL BASIS

MATCHING GIFTS ACCT # 756	1,102,340
MATCHING GIFTS ACCT # 756.01	109,826
GRANTS ACCT # 757	<u>300,000</u>
TOTAL	1,512,166

ADD: ACCRUED GIFTS 1/1/11

GRANTS	150,000
MATCHING GIFTS	205,818

LESS: ACCRUED GIFTS 12/31/11

MATCHING GIFTS	(303,588)
MATCHING GIFTS - DISASTER	(53,241)
GRANTS	<u>(190,000)</u>

TOTAL PAID OUT CASH BASIS	1,321,155
---------------------------	-----------

FORM 990PF PART XV

CASH PAID OUT PER REGISTERS..

4TH QTR 2010	203,580
1ST QTR 2011	366,027
2ND QTR 2011	401,123
3RD QTR 2011	1,725
3RD QTR 2011	4,825
3RD QTR 2011	30,140
DISASTER RELIEF	<u>56,585</u>
	1,064,005
METRO MUSEUM	50,000
KENNEDY INSTITUTE	50,000
LINK SCHOOL	160,000

1,324,005

UNRECONCILED DIFFERENCE

2850

1,324,005

XCL/NOVARTIS 2011/ 990PF DISBURSEMENTS PART 1 COL D LINE 25

NOVARTIS U.S. FOUNDATION
FORM 990-PF - 2011
13-6193034

PART II: Line 2(b) & (c): Savings & temporary cash investments

Cash - checking account	\$ (13,733)
Cash - Investment account	501,104
Interest income receivable	<u>4</u>
 TOTAL SAVINGS & TEMPORARY CASH INVESTMENTS	 <u>\$ 487,375</u>

NOVARTIS U.S. FOUNDATION
FORM 990-PF - 2011
13-6193034

PART II: Line 17(b): Accounts payable & accrued expenses

Matching gifts payable	\$ 370,285
Accrued expenses - accounting	6,300
Accrued expenses - administrative	<u>7,000</u>

TOTAL ACCOUNTS PAYABLE & ACCRUED EXPENSES	<u>\$ 383,585</u>
---	-------------------

NOVARTIS U.S. FOUNDATION
FORM 990-PF - 2011
13-6193034

PART XV: Line 3A : Reconciliation of Matching Gifts

SEE STATEMENT ATTACHED

1,512,166

MATCHING GIFTS

DISASTER

PER REGISTER QTR I	366,026 59	1,375 00	
PER REGISTER QTR II	401,122 00	55,210 00	
PER REGISTER QTR III	1,725 00		
PER REGISTER QTR III	4,825 00		
PER REGISTER QTR III	30,140 18		
JULY CORRECTIONS	35,619 00		
OCTOBER DONOR MATCH	60,566 00		
OCTOBER CR CD EE MATCH	5,980 00		
NOVEMBER DONOR MATCH	32,744 50		
NOVEMBER CR CD EE MATCH	2,000 00		
DECEMBER DONOR MATCH	102,169 96		
DECEMBER CR CD EE MATCH	13,455 00		
DECEMBER AMERIGIVES ADDL GIFTS	51,054 00		BALANCE PLUS JULY = 86673
DECEMBER AMERIGIVE DISASTER		53,241 00	
	1,107,427.23	109,826 00	

ADJUSTMENTS

4TH QTR ADJ TO REGISTER	(2,588 00)	
VOID CK #9471	(750 00)	
RECORD CK # 9617	350 00	
REFUND FROM KU ENDOWMENT	(100 00)	
ADJUST TO 2ND QTR REGISTER	(499 29)	
RETURN PECK SCHOOL	(1,500 00)	
	<u>1,102,339 94</u>	<u>109,826 00</u>

GRANTS

300,000 00

GRAND TOTAL

MMATCHING GIFTS DONORS	1,102,339 94
MATCHING GIFTS - DISASTER RELIEF	109,826 00
GRANTS	<u>300,000 00</u>
	<u>1,512,165.94</u>

NOVARTIS MATCHING GIFT PROGRAM

2/11/2011

Checks Dated : 02/10/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
1	9219	100242128	ALS Association - Central/Southern Ohio Chapter	43220-3623	\$250.00
2	9220	100195151	ALS Association - Greater Bay Area Chapter	94111	\$175.00
3	9221	100259260	ALS Association - Oregon & S.W. Washington Chapter	97232	\$500.00
4	9222	100303292	Academy of Coastal Carolina Inc	28459	\$250.00
5	9223	100284290	ActionAid USA	20005	\$100.00
6	9224	100298979	Alameda County Mental Health Association	94608-2369	\$200.00
7	9225	100320351	Albany Middle School	94706	\$50.00
8	9226	100320234	Alexander Hamilton Home and School Association	07960	\$100.00
9	9227	100142789	Allegheny College	16335	\$25.00
10	9228	100144565	Alumni Association of the Bronx High School of Science	10468	\$80.00
11	9229	100263802	Alumni Fund Association of Yale University	06510	\$200.00
12	9230	100146545	Alverno College	53234-3922	\$50.00
13	9231	100166248	Alzheimers Association - Delaware Valley Chapter	19106	\$50.00
14	9232	100206541	Alzheimers Association - Greater Indiana Chapter	46240	\$475.00
15	9233	100170145	Alzheimers Association - Greater NJ Chapter - Central Regional Office	08550	\$100.00
16	9234	100174396	Alzheimers Association - Hudson Valley/Rockland/Westchester NY Chapter	12601	\$25.00
17	9235	100159597	Alzheimers Association - MA/NH Chapter	02472	\$50.00
18	9236	100142391	Alzheimers Association - New York City Chapter	10017	\$50.00
19	9237	100146295	Alzheimers Disease and Related Disorders Association Inc - National Office	60601	\$535.00
20	9238	100143420	American Associates Ben-Gurion University of the Negev Inc	10018	\$1,300.00
21	9239	100142504	American Cancer Society - Arlington Heights	60004	\$75.00
22	9240	100144709	American Cancer Society - Cedar Knolls	07927	\$1,676.00
23	9241	100235563	American Cancer Society - Flint	48532	\$300.00
24	9242	100160147	American Cancer Society - Florida Division	33629	\$200.00
25	9243	100169420	American Cancer Society - Hershey	17033-0897	\$75.00
26	9244	100192389	American Cancer Society - Mobile	36609	\$25.00
27	9245	100142369	American Cancer Society - New York City	10001	\$200.00
28	9246	100173959	American Cancer Society - Staten Island	10305	\$50.00
29	9247	100218249	American Cancer Society - Valenica	91355	\$100.00
30	9248	100207345	American Diabetes Association - Baltimore	21211	\$200.00
31	9249	100154899	American Diabetes Association - Detroit	48025	\$100.00
32	9250	100142365	American Diabetes Association - National Office	22311	\$50.00
33	9251	100205937	American Diabetes Association - New York City	10001	\$150.00
34	9252	100281736	American Heart Association	08691	\$350.00
35	9253	100176531	American Heart Association - Nashville	37203	\$25.00
36	9254	100228043	American Heart Association - National Center	75231	\$25.00
37	9255	100145260	American Heart Association - Northern Region	13220-3049	\$225.00
38	9256	100300443	American Heart Association - Philadelphia	19103	\$375.00
39	9257	100162145	American Heart Association - Triangle & Eastern NC	27560	\$50.00
40	9258	100240418	American Indian Foundation	10017	\$500.00
41	9259	100142791	American University	20016-8143	\$500.00
42	9260	100142792	Amherst College Trustees	01002-5000	\$1,130.00
43	9261	100216214	Amyotrophic Lateral Sclerosis Association	30329	\$30.00
44	9262	100216809	Angel Fund Inc	01880	\$100.00

7583

Page 1 of 10

NOVARTIS MATCHING GIFT PROGRAM

2/11/2011

Checks Dated : 02/10/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
45	9263	100178134	Anthony Chabot Elementary School	94618	\$1,010.00
46	9264	100154560	Appalachian State University Foundation Inc	28608	\$209.00
47	9265	100144317	Aquinas Institute of Rochester	14613	\$500.00
48	9266	100144896	Arizona State University Foundation	85280-2260	\$50.00
49	9267	100147813	Arthritis Foundation - Massachusetts Chapter	02458	\$75.00
50	9268	100147776	Arthritis Foundation - New Jersey Chapter	08830	\$100.00
51	9269	100142481	Arthritis Foundation - New York Chapter	10168-1898	\$30.00
52	9270	100155007	Arthritis Foundation - Northern California Chapter	94105	\$60.00
53	9271	100195239	Associated Parent Clubs of Piedmont	94611	\$2,160.00
54	9272	100158584	Association of Former Students of Texas A&M University	77840-2918	\$240.00
55	9273	100277928	Asthma & Allergy Foundation of America - Greater Kansas City Chapter	64131	\$25.00
56	9274	100141264	Atlanta Educational Telecommunications Collaborative	30324	\$121.00
57	9275	100144886	Auburn University Foundation	36849-5601	\$5,000.00
58	9276	100302050	Audubon PTSA	94404	\$1,100.00
59	9277	100185014	Autism New Jersey Inc	08691	\$25.00
60	9278	100229080	Autism Society of North Carolina Inc	27605-1345	\$200.00
61	9279	100319274	Autism Speaks - Orlando	32751	\$500.00
62	9280	100185232	Autism Speaks Inc	90036	\$500.00
63	9281	100176801	Autism Speaks Inc	08540	\$100.00
64	9282	100213795	Avon Products Foundation Incorporated	10580-4005	\$1,125.00
65	9283	100276995	Bay Area Chinese Bible Church - Chinese Christian Schools	94502	\$100.00
66	9284	100320352	Best Day Foundation Inc	95076	\$25.00
67	9285	100144285	Bishop O'Dowd High School	94605	\$750.00
68	9286	100143434	Blackburn College	62626	\$600.00
69	9287	100144858	Board of Trustees for the University of Alabama - Tuscaloosa	35487-0101	\$40.00
70	9288	100142805	Boston University Trustees	02215	\$370.00
71	9289	100183043	Brain Tumor Foundation for Children Inc	30328	\$400.00
72	9290	100142808	Brandeis University	02453	\$150.00
73	9291	100153869	Briar Cliff University	51104-2340	\$300.00
74	9292	100142809	Brigham Young University	84602-7188	\$50.00
75	9293	100318573	Brookwood Elementary School PTA	30041	\$60.00
76	9294	100155221	Brother Rice High School	60655-3356	\$500.00
77	9295	100142810	Brown University	02912-1908	\$25.00
78	9296	100144900	California Polytechnic State University Foundation	93407	\$150.00
79	9297	100226835	Campanile Foundation	92182-8035	\$25.00
80	9298	100145985	Cancer Care Inc	10001	\$250.00
81	9299	100196256	Cancer Care Inc - New Jersey	07450	\$3,677.00
82	9300	100144160	Cardinal Spellman High School	10466-5897	\$100.00
83	9301	100276245	Caring for Carcino Foundation Inc	02116	\$50.00
84	9302	100319173	Carolyn T. Douglas Parent Teacher Organization	01720	\$200.00
85	9303	100203511	Children on the Green Inc	07963-0759	\$100.00
86	9304	100208618	Childrens Healthcare of Atlanta	30329-2321	\$500.00
87	9305	100153719	Childrens Hospital of Los Angeles	90027	\$25.00
88	9306	100144211	Christ School Inc	28704	\$100.00

NOVARTIS MATCHING GIFT PROGRAM

2/11/2011

Checks Dated : 02/10/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
89	9307	100264115	Claire Lillenthal PTA	94123	\$1,000.00 —
90	9308	100318647	Clara Maass Foundation	07109	\$25.00 —
91	9309	100144823	Clemson University Foundation	29633-1889	\$50.00 —
92	9310	100210092	Clinton Township Foundation for Educational Excellence Inc.	08801-0006	\$100.00 —
93	9311	100302556	Coleman Middle School PTA	33629	\$125.00 —
94	9312	100142832	Colgate University	13346	\$100.00 —
95	9313	100160059	College Preparatory School	94618	\$1,200.00 —
96	9314	100143143	College of Saint Elizabeth	07960-0689	\$500.00 —
97	9315	100142835	College of St. Benedict	56374	\$50.00 —
98	9316	100220838	College of William & Mary	23187	\$50.00 —
99	9317	100184115	Community Research Initiative of New England Inc	02215	\$1,000.00 —
100	9318	100188277	Concord Educational Fund Inc	01742	\$100.00 —
101	9319	100186011	Conwell-Egan Catholic High School	19030	\$125.00 —
102	9320	100142845	Cooper Union for the Advancement of Science and Art	10003-7120	\$500.00 —
103	9321	100142846	Cornell University	14850-4353	\$700.00 —
104	9322	100143430	Corporation of Gonzaga University	99258-0098	\$250.00 —
105	9323	100145705	Crohns & Colitis Foundation of America	10016-8804	\$25.00 —
106	9324	100189255	Cystic Fibrosis Foundation - Arizona Chapter	85012	\$100.00 —
107	9325	100147532	Cystic Fibrosis Foundation - Massachusetts/Rhode Island Chapter	01760	\$100.00 —
108	9326	100199927	Cystic Fibrosis Foundation - Northern New England Chapter	03063	\$250.00 —
109	9327	100142199	Dana-Farber Cancer Institute Inc	02115	\$1,280.00 —
110	9328	100144032	Delbarton School	07960-5089	\$1,050.00 —
111	9329	100166306	Diocese of Metuchen/St. Peter High School	08840	\$1,050.00 —
112	9330	100155561	Divine Savior Holy Angels High School	53222	\$500.00 —
113	9331	100181727	Donald L. Rheem Elementary School	94556	\$425.00 —
114	9332	100142856	Drew University	07940	\$425.00 —
115	9333	100143373	Drury University	65802	\$25.00 —
116	9334	100147026	Dysautonomia Foundation Inc	10018	\$50.00 —
117	9335	100174413	East Hanover First Aid Squad	07936	\$25.00 —
118	9336	100213831	Education Foundation for Highland Park Independent School District	75205	\$500.00 —
119	9337	100140843	Educational Broadcasting Corp	10001	\$275.00 —
120	9338	100142860	Emory University - Atlanta	30322	\$25.00 —
121	9339	100142862	Fairleigh Dickinson University	07666	\$100.00 —
122	9340	100157630	Father Ryan High School	37204	\$100.00 —
123	9341	100146936	Finlandia University	49930	\$125.00 —
124	9342	100237600	Fistula Foundation	95126-1427	\$100.00 —
125	9343	100142863	Fordham University	10019	\$325.00 —
126	9344	100195587	Foundation for Catholic Education	08840	\$1,855.00 —
127	9345	100141127	Foundation for New Jersey Public Broadcasting Inc.	08625-0777	\$60.00 —
128	9346	100251595	Foundation for Peace	07845	\$1,000.00 —
129	9347	100220627	Foundation for the National Institute of Health Inc.	20814	\$500.00 —
130	9348	100147407	Foundation of the Massachusetts Eye and Ear Infirmary Inc	02114-3096	\$2,000.00 —
131	9349	100144775	Foundation of the State University of New York at Binghamton Inc	13902-6005	\$200.00 —
132	9350	100142864	Franklin & Marshall College	17604	\$25.00 —

7583

Page 3 of 10

NOVARTIS MATCHING GIFT PROGRAM

2/11/2011

Checks Dated : 02/10/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
133	9351	100302849	Franklin School Parents and Teachers Association Inc	02465	\$50.00
134	9352	100320097	Franklin Township School PTA	08868	\$90.00
135	9353	100320353	Freeman Public Schools Foundation	68301	\$3,000.00
136	9354	100298389	Friends for an Earlier Breast Cancer Test	27429	\$250.00
137	9355	100317464	Friends of Emeryville Child Development Center	94608	\$1,000.00
138	9356	100320756	Friends of Paseo Del Rey	90293-8372	\$300.00
139	9357	100173801	Friends of Public Radio Inc.	59101	\$50.00
140	9358	100155791	Germantown Academy	19034	\$300.00
141	9359	100319485	Gift of Hearing Foundation	02882	\$200.00
142	9360	100238916	Gift of Life Bone Marrow Foundation	33431	\$144.00
143	9361	100142870	Goucher College	21204	\$1,000.00
144	9362	100153939	Grand Valley State University Foundation	49503	\$4,000.00
145	9363	100261992	Gulliver Schools Inc	33146	\$72.00
146	9364	100215075	Hadassah Medical Relief Association	10019	\$57.00
147	9365	100259247	Harbor to the Bay Inc	02199	\$75.00
148	9366	100143339	Hastings College Foundation	68154	\$1,320.00
149	9367	100144419	Head-Royce School	94602	\$300.00
150	9368	100268746	Hebrew SeniorLife Inc.	02131	\$100.00
151	9369	100144199	Hebron Academy Incorporated	04238	\$100.00
152	9370	100177589	Hermosa Beach Education Foundation	90254-0864	\$1,250.00
153	9371	100143439	Illinois College	62650-2299	\$100.00
154	9372	100154056	Immaculata High School	08876	\$150.00
155	9373	100320347	Indian Hills High School Parent Teacher Student Organization	07436	\$300.00
156	9374	100243536	Injured Marine Semper Fi Fund	92055-5193	\$25.00
157	9375	100305344	International Health Organization Inc	02138	\$5,000.00
158	9376	100150742	International Rett Syndrome Foundation	45246	\$200.00
159	9377	100142892	Iona College	10801	\$50.00
160	9378	100144767	Iowa State University Foundation	50010-8644	\$150.00
161	9379	100143145	Ithaca College	14850-9948	\$250.00
162	9380	100144933	James Madison University Foundation Inc	22807	\$275.00
163	9381	100243569	Janney Elementary School PTA	20016	\$700.00
164	9382	100144118	Jewish Educational Center	07208	\$750.00
165	9383	100193854	Joseph Kushner Hebrew Academy	07039-4904	\$1,396.00
166	9384	100154365	Juvenile Diabetes Research Foundation - Georgia Chapter	30305-7023	\$715.00
167	9385	100189779	Juvenile Diabetes Research Foundation - Mid-Jersey Chapter	08816	\$5,100.00
168	9386	100181263	Juvenile Diabetes Research Foundation - New England Chapter/Bay State	02481	\$50.00
169	9387	100142533	Juvenile Diabetes Research Foundation - Northern NJ-Rockland County Chapter	07632	\$3,495.00
170	9388	100199775	Juvenile Diabetes Research Foundation - South Central Texas Chapter	78209	\$100.00
171	9389	100197502	Juvenile Diabetes Research Foundation - South Jersey Chapter	08034	\$50.00
172	9390	100199342	Juvenile Diabetes Research Foundation - Western PA Chapter	15222	\$50.00
173	9391	100143273	Kalamazoo College	49006	\$350.00
174	9392	100144889	Kansas State University Foundation	66502-2911	\$410.00
175	9393	100144790	Kansas University Endowment Association	66044-0928	\$4,850.00
176	9394	100319146	Killbuck Lakes Elementary PTO Inc	32312	\$200.00

7583

Page 4 of 10

NOVARTIS MATCHING GIFT PROGRAM

2/11/2011

Checks Dated : 02/10/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
177	9395	100306735	LCJSMS Parent Teacher Organization Inc	07901-2526	\$50.00
178	9396	100142900	Lafayette College	18042-1774	\$250.00
179	9397	100318563	Las Animas Home and School Club	95020	\$110.00
180	9398	100286711	Lawrence School Parent Teacher Organization	02446	\$200.00
181	9399	100142907	Lehigh University	18015-3089	\$50.00
182	9400	100147807	Leukemia & Lymphoma Society	94105	\$1,600.00
183	9401	100194796	Leukemia & Lymphoma Society - Alabama/Gulf Coast Chapter	35244	\$150.00
184	9402	100170316	Leukemia & Lymphoma Society - Eastern Pennsylvania Chapter	19428	\$25.00
185	9403	100159674	Leukemia & Lymphoma Society - Georgia Chapter	30327	\$100.00
186	9404	100195742	Leukemia & Lymphoma Society - Greater Los Angeles Chapter	90045-6422	\$100.00
187	9405	100156178	Leukemia & Lymphoma Society - Illinois Chapter	60661	\$100.00
188	9406	100156177	Leukemia & Lymphoma Society - Illinois Chapter - Central IL Branch	61761	\$50.00
189	9407	100142585	Leukemia & Lymphoma Society - National Office	10605	\$455.00
190	9408	100140606	Leukemia & Lymphoma Society - New Jersey Chapter	07016	\$325.00
191	9409	100147738	Leukemia & Lymphoma Society - San Diego/Hawaii Chapter	92123	\$25.00
192	9410	100272132	Leukemia & Lymphoma Society - South Carolina Chapter	29210	\$50.00
193	9411	100147742	Leukemia & Lymphoma Society - Suncoast Chapter	33607	\$75.00
194	9412	100162238	Leukemia & Lymphoma Society - Upstate NY/Vermont Chapter	12205	\$250.00
195	9413	100182782	Lexington Education Foundation	02420	\$740.00
196	9414	100186083	Lexington Montessori School Inc.	02421	\$1,700.00
197	9415	100320046	Limestone Creek Elementary School	33458	\$150.00
198	9416	100180955	Loudoun County Day School Inc	20176	\$500.00
199	9417	100193222	Lowell Catholic High School	08151	\$50.00
200	9418	100143073	Loyola College in Maryland Inc	21210	\$1,100.00
201	9419	100318784	Lung Transplant Foundation	27636-3126	\$725.00
202	9420	100143106	Lycoming College	17701	\$50.00
203	9421	100291563	M Norcross Stratton School PTO	02474	\$150.00
204	9422	100145731	MPTV Friends Inc.	53233-1443	\$56.02
205	9423	100142912	Macalester College	55105	\$300.00
206	9424	100192449	Maine Cancer Foundation	04112-0553	\$100.00
207	9425	100159162	March of Dimes - California Chapter	94111	\$50.00
208	9426	100142419	March of Dimes Foundation	10605	\$25.00
209	9427	100187089	Marengo Elementary School	91030	\$100.00
210	9428	100142915	Marist College	12601-1387	\$100.00
211	9429	100162483	Mary Hitchcock Memorial Hospital	03756	\$250.00
212	9430	100293096	Mary Lee Burbank School PTA	02478	\$150.00
213	9431	100240227	Marys House Inc	27401	\$2,500.00
214	9432	100142919	Massachusetts Institute of Technology	02142-1012	\$200.00
215	9433	100315626	McCall Home and School Association	19106	\$70.00
216	9434	100142057	Memorial Sloan-Kettering Cancer Center	10021	\$225.00
217	9435	100279807	Miami University	45056	\$1,000.00
218	9436	100144840	Miami University Foundation Inc.	45056	\$50.00
219	9437	100144768	Michigan State University	48824-1005	\$150.00
220	9438	100160453	Midland Foundation	08876	\$100.00

NOVARTIS MATCHING GIFT PROGRAM

2/11/2011

Checks Dated : 02/10/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
221	9439	100318662	Mitchell School Parent Teacher Council	02492	\$60.00
222	9440	100144815	Montclair State University Foundation Inc.	07043	\$50.00
223	9441	100145510	Moraga Educational Foundation	94556	\$1,650.00
224	9442	100183144	Mount Carmel Health System Foundation	43213	\$50.00
225	9443	100303186	Movember Inc	90294-2726	\$25.00
226	9444	100208963	Mt. Pisgah Christian School Inc.	30022 5349	\$500.00
227	9445	100200370	Multiple Myeloma Research Foundation Inc	06851	\$350.00
228	9446	100145406	Muscular Dystrophy Association - National Headquarters	85718	\$125.00
229	9447	100214581	Myers Park Traditional School PTA	28207	\$25.00
230	9448	100306442	Nanjing University Education Foundation US Inc	19803	\$1,000.00
231	9449	100197043	National FFA Foundation Inc.	46268-0960	\$500.00
232	9450	100176013	National Fragile X Foundation	94596	\$50.00
233	9451	100259635	National Lung Cancer Partnership	53705	\$50.00
234	9452	100142552	National Multiple Sclerosis Society - Central New England Chapter	02284-5945	\$675.00
235	9453	100164847	National Multiple Sclerosis Society - Central North Carolina Chapter	27407-3700	\$100.00
236	9454	100140619	National Multiple Sclerosis Society - Delaware Chapter	19806	\$25.00
237	9455	100167561	National Multiple Sclerosis Society - Eastern NC Chapter	27609	\$25.00
238	9456	100142323	National Multiple Sclerosis Society - Greater New England Chapter	02451	\$50.00
239	9457	100167998	National Multiple Sclerosis Society - Mid-Atlantic Chapter	28273	\$120.00
240	9458	100142436	National Multiple Sclerosis Society - NY City - Southern New York Chapter	10017-3288	\$25.00
241	9459	100182981	National Multiple Sclerosis Society - National Headquarters	10163	\$25.00
242	9460	100222620	National Multiple Sclerosis Society - New Jersey Metro Chapter	07755	\$50.00
243	9461	100145576	National Multiple Sclerosis Society - New Jersey Metro Chapter	07652	\$3,946.00
244	9462	100142564	National Multiple Sclerosis Society - Northern CA Chapter	94158	\$50.00
245	9463	100158244	National Multiple Sclerosis Society - Ohio Buckeye Chapter	44131-2217	\$75.00
246	9464	100142413	National Multiple Sclerosis Society - Training & Resource Center	80209	\$25.00
247	9465	100156569	National Tuberous Sclerosis Association	20910	\$200.00
248	9466	100264778	Neil Armstrong Academic Boosters	94583	\$250.00
249	9467	100177470	Nerinx Hall High School	63119	\$100.00
250	9468	100140900	New York Public Radio	10013	\$240.00
251	9469	100142159	New York University	10009-0837	\$100.00
252	9470	100141036	Newark Public Radio Inc	07102	\$100.00
253	9471	100319197	North Cambridge Catholic High School Inc	02125	\$750.00
254	9472	100144838	North Carolina State University Foundation Inc.	27695-7474	\$2,363.00
255	9473	100144845	North Georgia College & State University Foundation	30533	\$50.00
256	9474	100307831	North Oakland Community Charter School	94608	\$200.00
257	9475	100141179	Northern California Public Broadcasting Inc	94110-1400	\$188.00
258	9476	100142934	Northwestern University	60208-4305	\$450.00
259	9477	100250087	Notre Dame Regional High School	63701	\$2,800.00
260	9478	100319777	Oak Associates	44685	\$600.00
261	9479	100144085	Oak Knoll School of the Holy Child	07901	\$800.00
262	9480	100303297	Oaks Christian School	91362	\$750.00
263	9481	100144769	Ohio State University Foundation - Columbus	43271-0811	\$375.00
264	9482	100145793	Operation Smile Inc	23509	\$25.00

7583

Page 6 of 10

NOVARTIS MATCHING GIFT PROGRAM

2/11/2011

Checks Dated : 02/10/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
265	9483	100181372	Our Lady Queen of Peace School	62223	\$300.00
266	9484	100142064	Overlook Hospital Foundation	07901-3547	\$250.00
267	9485	100145724	PKD Foundation	64114	\$350.00
268	9486	100193470	Pacific Elementary School	90266	\$388.00
269	9487	100142573	Pan Massachusetts Challenge Trust	02494	\$2,155.00
270	9488	100220186	Pancreatic Cancer Action Network Inc	90266	\$400.00
271	9489	100264854	Parent Faculty Club of Walnut Acres Elementary School	94598	\$550.00
272	9490	100209313	Parents of Autistic Children of Ocean County Inc	08724	\$25.00
273	9491	100235956	Partners In Health	02284-5578	\$100.00
274	9492	100144772	Pennsylvania State University - University Park	16802-1506	\$1,500.00
275	9493	100143240	Philadelphia University	19144	\$100.00
276	9494	100319556	Phoebë Apperson Hearst Elementary School	94566-8005	\$100.00
277	9495	100262306	Plus X Catholic High School	68510	\$300.00
278	9496	100156758	Plus X Foundation	68510	\$500.00
279	9497	100142829	President & Trustees of Colby College	04901-8843	\$25.00
280	9498	100142878	President and Fellows of Harvard College	02138	\$750.00
281	9499	100246814	Presidents Leadership Class Inc.	80309	\$500.00
282	9500	100142868	Presidents and Directors of Georgetown College for Georgetown University	20073	\$500.00
283	9501	100316513	Promise Foundation	07450	\$188.00
284	9502	100142948	Providence College	02918	\$300.00
285	9503	100141032	Public Broadcasting of Colorado Inc	80112	\$200.00
286	9504	100220360	Purdue Foundation Inc	47907-2007	\$339.00
287	9505	100142949	Quinnipiac University	06518	\$250.00
288	9506	100147054	Rabbi Pesach Raymon Yeshiva	08817	\$36.00
289	9507	100318585	Racing 2 Cure	28117	\$25.00
290	9508	100288679	Ralston Middle School PTA	94002-1299	\$225.00
291	9509	100213609	Rectors & Visitors of the University of Virginia	22904-4807	\$300.00
292	9510	100169017	Regents of the University of Michigan	60055-0189	\$500.00
293	9511	100275970	Richer Elementary School PTO Inc.	01752-1922	\$200.00
294	9512	100142958	Rider University	08648	\$150.00
295	9513	100319435	Rivers Edge Elementary School PTA	23059	\$500.00
296	9514	100238166	Ronald McDonald House Charities of Greater Cincinnati	45229	\$2,335.00
297	9515	100143137	Roosevelt University	60605	\$150.00
298	9516	100143971	Roxbury Latin School Inc	02132-3496	\$1,645.00
299	9517	100316714	Runkle School Extended Day Inc	02445	\$100.00
300	9518	100144774	Rutgers University Foundation - New Brunswick	08901-1261	\$950.00
301	9519	100143924	Rye Country Day School	10580	\$100.00
302	9520	100142284	Saint Barnabas Development Foundation	07052	\$75.00
303	9521	100147408	Saint Joseph High School	08840	\$1,000.00
304	9522	100197743	Saint Marys School	27603-1610	\$100.00
305	9523	100178588	Samaritans Inc	02111	\$50.00
306	9524	100142314	San Francisco AIDS Foundation	94103	\$75.00
307	9525	100290680	San Ramon Valley Education Foundation	94582	\$445.00
308	9526	100281842	San Ramon Valley Education Foundation	94507	\$585.00

NOVARTIS MATCHING GIFT PROGRAM

2/11/2011

Checks Dated : 02/10/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
309	9527	100212310	School of the Incarnation	21054	\$900.00
310	9528	100143134	Seattle University	98122-4340	\$75.00
311	9529	100163621	Shady Hill School	02138	\$350.00
312	9530	100142978	Simmons College	02115	\$500.00
313	9531	100292879	Sizer Foundation Inc	01434	\$2,500.00
314	9532	100207770	Smile Train Inc	10010	\$275.00
315	9533	100177203	South Pasadena Educational Foundation	91031	\$50.00
316	9534	100301762	Sprague School Parent Teacher Organization Inc	02482	\$50.00
317	9535	100208055	St. Agnes Academy	38117	\$200.00
318	9536	100146137	St. Bede Academy	61354-2999	\$500.00
319	9537	100145061	St. Bonaventure Indian Mission & School	87323-0610	\$1,000.00
320	9538	100181583	St. Catherine of Siena School	94553	\$250.00
321	9539	100174496	St. John School	68505	\$5,000.00
322	9540	100142966	St. Johns University	11439	\$104.00
323	9541	100303294	St. Joseph Parish School	01880	\$5,000.00
324	9542	100173893	St. Joseph School	68506	\$3,350.00
325	9543	100317903	St. Josephs Hospital of Tampa Foundation Inc	33607	\$1,100.00
326	9544	100142123	St. Jude Childrens Research Hospital Inc	38105	\$5,125.00
327	9545	100143272	St. Marys College of California	94575	\$100.00
328	9546	100146743	St. Marys College of Maryland Foundation Inc.	20686	\$100.00
329	9547	100143140	St. Marys University of Minnesota	55987-1399	\$1,000.00
330	9548	100143324	St. Norbert College Inc	54115	\$200.00
331	9549	100208640	St. Patricks School	68507	\$300.00
332	9550	100144110	St. Paul Academy and Summit School	55105	\$100.00
333	9551	100228576	St. Paul Evangelical Lutheran School	53551	\$1,000.00
334	9552	100144145	St. Peters Preparatory School	07302	\$250.00
335	9553	100156108	Sunrise Communications Inc.	68508	\$60.00
336	9554	100308015	Susan G. Komen Breast Cancer Foundation - 3-Day for the Cure	60601	\$300.00
337	9555	100230118	Susan G. Komen Breast Cancer Foundation - Austin Affiliate	78768	\$50.00
338	9556	100198357	Susan G. Komen Breast Cancer Foundation - Greater Cincinnati Affiliate	45240	\$50.00
339	9557	100199656	Susan G. Komen Breast Cancer Foundation - Maryland Affiliate	21286	\$25.00
340	9558	100183097	Susan G. Komen Breast Cancer Foundation - Massachusetts Affiliate	02111	\$75.00
341	9559	100234270	Susan G. Komen Breast Cancer Foundation - Philadelphia Affiliate	19107	\$100.00
342	9560	100234421	Susan G. Komen Breast Cancer Foundation - Southeast WI Affiliate	53226	\$25.00
343	9561	100182058	Sycamore Valley Elementary School	94506	\$820.00
344	9562	100142988	Syracuse University	13244-5040	\$50.00
345	9563	100260262	Terri Brodeur Breast Cancer Foundation Inc	06320	\$100.00
346	9564	100144849	Thomas A Edison State College Foundation Inc	08608-1176	\$100.00
347	9565	100176622	Tidwell Hospice Inc	34238-5189	\$50.00
348	9566	100142990	Trinity College	06106	\$1,500.00
349	9567	100146755	Troy State University Foundation	36082	\$5,000.00
350	9568	100142840	Trustees of Columbia University in the City of New York	10025	\$100.00
351	9569	100142849	Trustees of Dartmouth College	03755-3555	\$300.00
352	9570	100143052	Trustees of Grinnell College	50112	\$275.00

7583

Page 8 of 10

NOVARTIS MATCHING GIFT PROGRAM

2/11/2011

Checks Dated : 02/10/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
353	9571	100142873	Trustees of Hamilton College	13323	\$1,000.00
354	9572	100142946	Trustees of Princeton University	08543-5357	\$700.00
355	9573	100143002	Trustees of the University of Pennsylvania	19104-6285	\$2,000.00
356	9574	100144784	UCLA Foundation	90024	\$400.00
357	9575	100168563	UMass Memorial Foundation Inc	01545	\$550.00
358	9576	100145336	UNC-TV - University of North Carolina at Chapel Hill	27709-4900	\$200.00
359	9577	100295669	United Cerebral Palsy Assn of Philadelphia & Vicinity	19118	\$150.00
360	9578	100146110	United Spinal Association Inc	11370-1178	\$25.00
361	9579	100144839	University at Albany Foundation - SUNY Albany	12222	\$100.00
362	9580	100145020	University of Arkansas Foundation - Fayetteville	72701	\$50.00
363	9581	100144783	University of California Berkeley Foundation	94720-4200	\$1,000.00
364	9582	100142998	University of Chicago	60637	\$250.00
365	9583	100144786	University of Delaware	19716-0701	\$275.00
366	9584	100144853	University of Florida Foundation Inc.	32604-2425	\$110.00
367	9585	100153991	University of Memphis Foundation	38148	\$75.00
368	9586	100144875	University of Nebraska Foundation	68501-2555	\$100.00
369	9587	100144836	University of New Mexico Foundation	87131-0001	\$100.00
370	9588	100144794	University of North Carolina at Chapel Hill	27514	\$250.00
371	9589	100143036	University of Notre Dame	46556	\$200.00
372	9590	100144868	University of Oregon Foundation	97401-3273	\$125.00
373	9591	100143005	University of Rochester	14627	\$1,250.00
374	9592	100143294	University of San Francisco	94160	\$75.00
375	9593	100140731	University of St. Andrews American Foundation	02118	\$1,000.00
376	9594	100144822	University of Texas at Austin	78713	\$250.00
377	9595	100144801	University of Wisconsin Foundation	53708-8860	\$1,850.00
378	9596	100189074	V.J. & Angela Skutt Catholic High School	68130	\$125.00
379	9597	100143009	Vanderbilt University	37240-7727	\$200.00
380	9598	100143011	Villanova University	19085-1673	\$550.00
381	9599	100318910	Vinson-Owen Parents Association	01890	\$100.00
382	9600	100144803	Virginia Tech Foundation Inc	24061	\$300.00
383	9601	100141126	WBUR-FM 90.9 - Trustees of Boston University	02215	\$620.00
384	9602	100254374	Waldorf School Inc	02420	\$1,000.00
385	9603	100167909	Walker School Inc	30062	\$50.00
386	9604	100184263	Washburn Endowment Association	66604	\$50.00
387	9605	100180337	Wayland High School Scholarship Committee	01778	\$300.00
388	9606	100143018	Wellesley College	02481-8203	\$1,250.00
389	9607	100159196	Wesleyan School Inc.	30092	\$125.00
390	9608	100144290	Westridge School for Girls	91105	\$250.00
391	9609	100176582	William Paterson University of New Jersey Alumni Association	07470-9950	\$25.00
392	9610	100306683	Woods Edge Inc	94611	\$500.00
393	9611	100143038	Worcester Polytechnic Institute	01609	\$100.00
394	9612	100187422	YMCA of Lincoln	68521	\$300.00
395	9613	100318362	Yale Whiffenpoof Alumni Inc	06520	\$2,500.00
396	9614	100194092	Yeshiva High School for Girls Inc	07666	\$480.00

NOVARTIS MATCHING GIFT PROGRAM

2/11/2011

Checks Dated : 02/10/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
397	9615	100176027	Young Mens Christian Association Corporation	07901	\$250.00
398	9616	100211840	Young Survival Coalition Inc	10006	\$75.00
Grand Total:			398		\$203,230.02

9617 -

350. -

203,580.02

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
1	9618	100242128	ALS Association - Central/Southern Ohio Chapter	43220-3623	\$25.00
2	9619	100243906	ALS Therapy Development Foundation	02142	\$100.00 ✓
3	9620	100320850	ALSAC - St. Jude Childrens Hospital	33126	\$50.00
4	9621	100262887	AMSA Charter School Foundation	01752	\$50.00
5	9622	100251565	ARCH Foundation for the University of Georgia Inc	30602-5582	\$50.00 ✓
6	9623	100284290	ActionAid USA	20005	\$50.00
7	9624	100199648	Albany Education Foundation	94706	\$100.00
8	9625	100151868	Albany Medical Center Foundation Inc	12208-3478	\$250.00 ✓
9	9626	100321864	Albany Middle School PTA	94706	\$30.00 ✓
10	9627	100143283	Albion Collège	49224	\$25.00
11	9628	100170176	Alumni Association of the New Jersey Medical School Inc	07103-2714	\$250.00
12	9629	100166248	Alzheimers Association - Delaware Valley Chapter	19106	\$25.00 ✓
13	9630	100233591	Alzheimers Association - OK Chapter - Central Oklahoma Center	73112	\$25.00 ✓
14	9631	100146295	Alzheimers Disease and Related Disorders Association Inc - National Office	60601	\$575.00 ✓
15	9632	100189645	Ambrose Elementary School	01890	\$50.00
16	9633	100142504	American Cancer Society - Arlington Heights	60004	\$500.00 ✓
17	9634	100169583	American Cancer Society - Bedford	03110	\$25.00
18	9635	100140467	American Cancer Society - California Division	94612	\$100.00 ✓
19	9636	100144709	American Cancer Society - Cedar Knolls	07927	\$75.00
20	9637	100224136	American Cancer Society - Cherry Hill	08034	\$25.00
21	9638	100145930	American Cancer Society - Elizabeth	07208	\$250.00 ✓
22	9639	100187976	American Cancer Society - Glen Allen	23060	\$50.00 ✓
23	9640	100146509	American Cancer Society - Hackensack	07601	\$50.00
24	9641	100145341	American Cancer Society - Hauppauge	11788	\$100.00
25	9642	100179360	American Cancer Society - Holyoke	01040	\$250.00
26	9643	100194777	American Cancer Society - Lincoln	68516	\$50.00
27	9644	100146391	American Cancer Society - National Office	30303	\$200.00
28	9645	100183009	American Cancer Society - Oklahoma City	73116	\$500.00 ✓
29	9646	100175054	American Cancer Society - South Atlantic Division	30303	\$50.00
30	9647	100252574	American Cancer Society - Weston	02493	\$50.00
31	9648	100209330	American Diabetes Association - Boston	02210	\$25.00
32	9649	100168598	American Diabetes Association - Dallas	75244	\$100.00
33	9650	100174345	American Diabetes Association - Jacksonville	32256	\$75.00
34	9651	100142365	American Diabetes Association - National Office	22311	\$260.00
35	9652	100162649	American Foundation for Suicide Prevention	10005	\$100.00 ✓
36	9653	100143471	American Friends of Tel Aviv University Inc	10006	\$200.00 ✓
37	9654	100259928	American Fund for Southampton University	19801	\$1,000.00
38	9655	100238530	American Heart Association	85062	\$25.00 ✓
39	9656	100146975	American Heart Association	94010	\$50.00 ✓
40	9657	100188541	American Heart Association - Birmingham	35213	\$25.00 ✓
41	9658	100145824	American Heart Association - Framingham	01701-4688	\$25.00 ✓
42	9659	100158687	American Heart Association - Glen Allen	23060-3359	\$125.00 ✓
43	9660	100140475	American Heart Association - Memphis	38134	\$500.00
44	9661	100199786	American Heart Association - Minneapolis	55435	\$200.00

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
45	9662	100228043	American Heart Association - National Center	75231	\$605.00 —
46	9663	100145260	American Heart Association - Northern Region	13220-3049	\$100.00
47	9664	100165841	American Heart Association - Topeka	66606	\$100.00
48	9665	100187021	American Hospice Foundation Inc	20037	\$200.00 —
49	9666	100142514	American Kidney Fund Inc	20852	\$200.00 —
50	9667	100313494	American Lung Association	08807	\$25.00 —
51	9668	100269644	American Optometric Foundation	20852	\$1,005.00
52	9669	100220504	Amyotrophic Lateral Sclerosis Association	33619-0907	\$250.00 —
53	9670	100225526	Amyotrophic Lateral Sclerosis Association - Massachusetts Chapter	02062	\$775.00 —
54	9671	100154560	Appalachian State University Foundation Inc.	28608	\$400.00 —
55	9672	100188236	Ara Parseghian Medical Research Foundation	85718-3327	\$200.00 —
56	9673	100144896	Arizona State University Foundation	85280-2260	\$25.00 —
57	9674	100165506	Arthritis Foundation - Eastern Pennsylvania Chapter	19106	\$25.00 —
58	9675	100195239	Associated Parent Clubs of Piedmont	94611	\$500.00
59	9676	100158584	Association of Former Students of Texas A&M University	77840-2918	\$365.00 —
60	9677	100144781	Association of Graduates of the United States Military Academy	10996	\$100.00 —
61	9678	100181159	Atlantic Health System Inc	07962	\$983.00 —
62	9679	100213795	Avon Products Foundation Incorporated	10580-4005	\$2,175.00
63	9680	100142794	Babson College	02457-0310	\$100.00 —
64	9681	100197842	Barnes Jewish Hospital Foundation	63110	\$50.00
65	9682	100323499	Basis Schools Inc	85259	\$500.00 —
66	9683	100142567	Bay Area Tumor Institute	94609	\$1,500.00 —
67	9684	100229119	Belmont-Redwood Shores Public School Foundation	94002	\$1,200.00
68	9685	100198110	Berkwood School	94703-1711	\$400.00
69	9686	100293255	Bighearted With Style Inc	07046	\$5,000.00 —
70	9687	100154055	Biola University Inc.	90639	\$500.00 —
71	9688	100168412	Bishop George Ahr High School	08820	\$200.00
72	9689	100144285	Bishop O'Dowd High School	94605	\$208.00
73	9690	100143870	Blair Academy	07825	\$200.00 —
74	9691	100143207	Bloomfield College	07003	\$50.00
75	9692	100142804	Boston College Trustees	02467	\$1,500.00
76	9693	100142805	Boston University Trustees	02215	\$4,050.00
77	9694	100168314	Bradley Gardens First Aid and Rescue Squad Inc	08807	\$30.00
78	9695	100183043	Brain Tumor Foundation for Children Inc	30328	\$300.00 —
79	9696	100321921	Brawley Middle School Parent Teacher Student Organization	28117	\$100.00
80	9697	100157480	Breast Cancer Network of Strength Inc	60603	\$50.00 —
81	9698	100287917	Breastcancer Org	19003	\$50.00 —
82	9699	100157969	Brentwood Academy Inc	37027-5753	\$100.00
83	9700	100229207	Bret Harte Educational Association	95120	\$180.00
84	9701	100166033	Briarwood-Brookwood Inc	77077	\$200.00
85	9702	100293254	Broderick Brain Foundation	10465-0618	\$500.00
86	9703	100183512	Brookline Foundation Inc.	02447	\$750.00 —
87	9704	100142810	Brown University	02912-1908	\$1,750.00 —
88	9705	100143946	Buckingham Browne and Nichols School	02138	\$1,500.00

7666

Page 2 of 13

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
89	9706	100144969	Cal State Fullerton Philanthropic Foundation	92831	\$50.00
90	9707	100194591	California High School	94583-5942	\$250.00
91	9708	100164982	Calvert Hall College of Baltimore City	21286-8022	\$50.00
92	9709	100142657	Cambridge Friends School Inc	02140	\$1,000.00
93	9710	100194448	Cancer Institute of New Jersey Foundation Inc	08901	\$250.00
94	9711	100318326	Candlelighters for Children with Cancer	97208	\$100.00
95	9712	100143032	Canisius College	14208-1098	\$100.00
96	9713	100143948	Cardinal Hayes High School	10451	\$250.00
97	9714	100142818	Carleton College	55057-4070	\$2,500.00
98	9715	100254475	Catholic High School Foundation	72205	\$250.00
99	9716	100189555	Cellac Disease Foundation	91604-1838	\$40.00
100	9717	100320333	Central Elementary PTA	94002	\$355.00
101	9718	100219367	Central High School	30116	\$1,000.00
102	9719	100157948	Charlotte Catholic High School	28226	\$50.00
103	9720	100196096	Chatham Day School Inc	07928	\$3,000.00
104	9721	100175160	Childrens Hospital & Research Center Foundation	94604	\$100.00
105	9722	100246063	Childrens Hospital Corporation	02115	\$200.00
106	9723	100227030	Childrens Hospital of Philadelphia Foundation	19104	\$25.00
107	9724	100142165	Childrens Specialized Hospital Foundation Inc	07092	\$25.00
108	9725	100144796	Citadel Foundation	29409	\$50.00
109	9726	100142828	Clarkson University	13699	\$150.00
110	9727	100142830	Colby-Sawyer College	03257	\$2,000.00
111	9728	100142832	Colgate University	13346	\$52.00
112	9729	100267497	College Foundation of the University of Virginia	22904-4807	\$2,800.00
113	9730	100160059	College Preparatory School	94618	\$5,000.00
114	9731	100142838	College of Wooster	44691	\$1,250.00
115	9732	100143187	College of the Holy Cross Trustees	01610-2395	\$5,000.00
116	9733	100144034	Collegiate School	23229	\$250.00
117	9734	100142839	Colorado College	80903	\$1,000.00
118	9735	100144826	Colorado State University Foundation - Fort Collins	80523-7116	\$100.00
119	9736	100143928	Columbus Academy	43230	\$1,950.00
120	9737	100188277	Concord Educational Fund Inc	01742	\$500.00
121	9738	100255235	Concord Trust for the Enhancement of Public Education	03302	\$25.00
122	9739	100143271	Concordia College Corporation	56562	\$200.00
123	9740	100265151	Concordia Learning Center at St. Josephs School for the Blind	07307	\$200.00
124	9741	100153905	Concordia University	68434	\$3,750.00
125	9742	100222679	Condit Elementary School	91711	\$250.00
126	9743	100142061	Connecticut Childrens Medical Center Foundation	06106	\$25.00
127	9744	100142843	Connecticut College	06320-4196	\$100.00
128	9745	100272689	Contra Costa College Memorial Scholarship Foundation	94806	\$650.00
129	9746	100144015	Convent of the Sacred Heart School	06831	\$2,500.00
130	9747	100143402	Cornell College	52314-1098	\$1,000.00
131	9748	100142846	Cornell University	14850-4353	\$2,340.00
132	9749	100143266	Corporation of Mercer University	31207	\$2,500.00

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
133	9750	100209730	Countryside Elementary School PTO Inc	02158	\$50.00
134	9751	100161026	County College of Morris Foundation	07869	\$250.00
135	9752	100204752	Cragmont PTA	94708	\$500.00 —
136	9753	100183032	Crohns & Colitis Foundation - Philadelphia/Delaware Valley Chapter	19053	\$300.00 —
137	9754	100145705	Crohns & Colitis Foundation of America	10016-8804	\$350.00 —
138	9755	100145060	Curtis Institute of Music	19103	\$50.00 —
139	9756	100155499	Cystic Fibrosis Foundation - Wisconsin Chapter	53186	\$4,975.00
140	9757	100142429	Cystic Fibrosis Foundation Headquarters	20814	\$200.00 —
141	9758	100316905	Dallin Elementary School Parent Teacher Organization	02476	\$100.00
142	9759	100142199	Dana-Farber Cancer Institute Inc	02115	\$4,752.00 —
143	9760	100174230	Deborah Hospital Foundation	08015	\$25.00 —
144	9761	100297201	Decibels Charity Corporation	01742	\$550.00
145	9762	100144032	Delbarton School	07960-5089	\$150.00 —
146	9763	100142851	Denison University	43023	\$25.00 —
147	9764	100142853	Dickinson College	17013-2896	\$160.00 —
148	9765	100318630	Dominic Konopka Scholarship Fund Inc	44233	\$25.00
149	9766	100148857	Dominican University of California	94901	\$1,000.00 —
150	9767	100143960	Don Bosco Preparatory High School	07446	\$100.00
151	9768	100236780	Doug Flutie Jr Foundation for Autism Inc	01701	\$50.00
152	9769	100228416	Douglass Fund	08901-8557	\$1,000.00
153	9770	100213051	Down Syndrome Assoc of Atlanta	30339	\$50.00 —
154	9771	100317821	Dravet Syndrome Foundation Inc	06468	\$100.00
155	9772	100142278	Duke University	27708-0581	\$3,120.00
156	9773	100142858	Duquesne University	15282-0231	\$100.00
157	9774	100147026	Dysautonomia Foundation Inc	10018	\$50.00
158	9775	100320477	Early College at Guilford Association	27410	\$100.00
159	9776	100194640	Easter Seals-Goodwill Northern Rocky Mountain Inc	59405-1641	\$1,000.00 —
160	9777	100140843	Educational Broadcasting Corp	10001	\$180.00
161	9778	100142713	Educational Foundation of Orinda	94563	\$600.00 —
162	9779	100143298	Elon University	27244	\$400.00 —
163	9780	100296351	Emerson Health Care Foundation Inc	01742	\$500.00
164	9781	100155631	Episcopal High School of Jacksonville Fla Inc	32207	\$150.00
165	9782	100190245	Essex Elementary School Foundation Inc	06426	\$50.00
166	9783	100321013	Evanston Township High School District 202 Educ Foundation	60201	\$250.00
167	9784	100142862	Fairleigh Dickinson University	07666	\$1,175.00
168	9785	100253761	Family & Community Services of Somerset County	8805	\$300.00 —
169	9786	100144985	Florida International University Foundation Inc	33199	\$25.00 —
170	9787	100188905	Food Allergy and Anaphylaxis Network	22033	\$75.00
171	9788	100143962	Fordham Preparatory School	10458	\$100.00
172	9789	100179732	Forest Ridge Academy Inc	46375-3350	\$400.00 —
173	9790	100246765	Foundation Fighting Blindness Inc	90064	\$100.00 —
174	9791	100304965	Foundation for Freedom	94114	\$250.00
175	9792	100141127	Foundation for New Jersey Public Broadcasting Inc.	08625-0777	\$300.00
176	9793	100251595	Foundation for Peace	07845	\$160.00 —

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
177	9794	100144775	Foundation of the State University of New York at Binghamton Inc	13902-6005	\$25.00
178	9795	100160968	Foundation of the University of North Carolina at Charlotte Inc.	28223	\$1,250.00
179	9796	100142864	Franklin & Marshall College	17604	\$150.00
180	9797	100322112	Friends of Cambridge Rindge and Latin High School Inc	02139	\$100.00
181	9798	100183928	Friends of the King Open School Inc	02139	\$150.00
182	9799	100154136	Friends of the University of Waterloo Foundation	20036-3532	\$100.00
183	9800	100144185	Frisch School	07652	\$900.00
184	9801	100144814	Georgia State University Foundation Inc. - Atlanta	30302	\$150.00
185	9802	100144764	Georgia Tech Foundation Inc.	30313	\$50.00
186	9803	100142869	Gettysburg College	17325	\$2,600.00
187	9804	100177223	Gibault High School	62298	\$425.00
188	9805	100144459	Gill St. Bernard's School	07934	\$2,500.00
189	9806	100299584	Good Grief Inc	07946	\$1,000.00
190	9807	100187457	Grace Centers of Hope	48342-2203	\$4,000.00
191	9808	100210818	Greater Raleigh Area Christian Education Inc	27606	\$1,000.00
192	9809	100194900	Greensboro Montessori School	27410-9700	\$1,000.00
193	9810	100142877	Hartwick College	13820	\$1,250.00
194	9811	100143339	Hastings College Foundation	68154	\$200.00
195	9812	100144082	Hathaway Brown School	44122	\$50.00
196	9813	100144419	Head-Royce School	94602	\$2,000.00
197	9814	100225998	Hearing Speech & Deafness Center	98122	\$1,000.00
198	9815	100177589	Hermosa Beach Education Foundation	90254-0864	\$350.00
199	9816	100256792	Himalayan Cataract Project Inc	05676	\$250.00
200	9817	100166977	Holy Cross College Inc.	46556	\$200.00
201	9818	100185489	Holy Family School	91030	\$250.00
202	9819	100167475	Holy Savior Catholic Academy	67208	\$2,000.00
203	9820	100200113	Holy Spirit School	07440	\$200.00
204	9821	100322018	Hope Outreach International	34264	\$200.00
205	9822	100143893	Hotchkiss School	06039-0800	\$500.00
206	9823	100144361	Hun School of Princeton	08540	\$500.00
207	9824	100144955	Illinois State University Foundation	61790-8000	\$75.00
208	9825	100156003	Immaculate Conception High School	07042	\$100.00
209	9826	100266535	Immaculate Conception School of the B.V.M.	62236	\$100.00
210	9827	100158790	Immaculate Conception Seminary of Seton Hall University	07104	\$400.00
211	9828	100144318	Incarnate Word Academy	63121	\$50.00
212	9829	100144766	Indiana University Foundation - Bloomington	47402	\$500.00
213	9830	100142892	Iona College	10801	\$100.00
214	9831	100144767	Iowa State University Foundation	50010-8644	\$50.00
215	9832	100142621	Israel Cancer Research Fund Inc	10017-7754	\$500.00
216	9833	100144933	James Madison University Foundation Inc	22807	\$50.00
217	9834	100318322	Jeff Dailey Memorial Foundation	18045	\$200.00
218	9835	100166495	Jefferson Medical College of Thomas Jefferson University	19107	\$250.00
219	9836	100144118	Jewish Educational Center	07208	\$100.00
220	9837	100322841	John Paul II Regional School	08084	\$100.00

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
221	9838	100142894	Johns Hopkins University	21201	\$25.00
222	9839	100193854	Joseph Kushner Hebrew Academy	07039-4904	\$1,000.00
223	9840	100294448	Josh Cares	45243	\$500.00
224	9841	100148967	Joslin Diabetes Center Inc	02215	\$5,000.00
225	9842	100323236	Julien Collot Foundation Inc	07922	\$25.00
226	9843	100143273	Kalamazoo College	49006	\$1,500.00
227	9844	100144889	Kansas State University Foundation	66502-2911	\$2,265.00
228	9845	100144790	Kansas University Endowment Association	66044-0928	\$1,400.00
229	9846	100199782	Karen Ann Quinlan Hospice	07860-2614	\$3,300.00
230	9847	100163904	Kennedy Christian High School	16148	\$200.00
231	9848	100143986	Kent Place School	07901	\$1,000.00
232	9849	100263644	Kids Kicking Cancer Inc	48226	\$2,000.00
233	9850	100266075	Kiwanis Club of Lincoln Capital City Foundation	68516-1566	\$1,000.00
234	9851	100321044	Klinefelter Syndrome and Associates	80046-1047	\$500.00
235	9852	100142900	Lafayette College	18042-1774	\$200.00
236	9853	100288441	Lake Area Free Clinic Inc	53066	\$100.00
237	9854	100142903	Lake Forest College	60045	\$100.00
238	9855	100321135	Lake Norman Elementary School PTO	28117	\$200.00
239	9856	100259502	Lance Armstrong Foundation Events	78702	\$500.00
240	9857	100321951	Laurens Hope Foundation Inc	18014	\$100.00
241	9858	100142907	Lehigh University	18015-3089	\$1,150.00
242	9859	100143750	Lesbian and Gay Community Services Center Inc	10011-7702	\$100.00
243	9860	100142909	Lesley University	02138	\$100.00
244	9861	100147807	Leukemia & Lymphoma Society	94105	\$1,550.00
245	9862	100203638	Leukemia & Lymphoma Society - Central California Chapter	93711	\$75.00
246	9863	100160269	Leukemia & Lymphoma Society - Desert Mtn States Chapter	85014	\$150.00
247	9864	100156178	Leukemia & Lymphoma Society - Illinois Chapter	60661	\$150.00
248	9865	100172144	Leukemia & Lymphoma Society - Indiana Chapter	46240-1842	\$100.00
249	9866	100147605	Leukemia & Lymphoma Society - Massachusetts Chapter	01760	\$75.00
250	9867	100150417	Leukemia & Lymphoma Society - Minnesota Chapter	55426	\$1,705.00
251	9868	100142585	Leukemia & Lymphoma Society - National Office	10605	\$1,630.00
252	9869	100140606	Leukemia & Lymphoma Society - New Jersey Chapter	07016	\$1,250.00
253	9870	100145771	Leukemia & Lymphoma Society - New York City Chapter	10016	\$25.00
254	9871	100194802	Leukemia & Lymphoma Society - North Carolina Chapter	27513	\$25.00
255	9872	100160134	Leukemia & Lymphoma Society - North TX Chapter	75251	\$100.00
256	9873	100178301	Leukemia & Lymphoma Society - Northern Ohio Chapter	44122	\$1,750.00
257	9874	100203343	Leukemia & Lymphoma Society - Oklahoma Chapter	73102	\$210.00
258	9875	100268172	Leukemia & Lymphoma Society - Rocky Mountain Chapter	80246	\$550.00
259	9876	100147738	Leukemia & Lymphoma Society - San Diego/Hawaii Chapter	92123	\$80.00
260	9877	100210101	Leukemia & Lymphoma Society - Silicon Valley/Monterey Bay Area Chapter	95112-5156	\$600.00
261	9878	100245753	Leukemia & Lymphoma Society - Southern Regional Office	22314	\$1,500.00
262	9879	100173257	Leukemia & Lymphoma Society - Texas Gulf Coast Chapter	77092	\$376.00
263	9880	100174587	Leukemia & Lymphoma Society - Washington/Alaska Chapter	98109	\$50.00
264	9881	100182782	Lexington Education Foundation	02420	\$200.00

7666

Page 6 of 13

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
265	9882	100186083	Lexington Montessori School Inc.	02421	\$250.00
266	9883	100142910	Long Island University	11548-1326	\$1,000.00
267	9884	100161574	Los Angeles Gay and Lesbian Community Services Center Inc	90028	\$150.00
268	9885	100143073	Loyola College in Maryland Inc	21210	\$600.00
269	9886	100209684	Lucile Packard Foundation for Children	94301	\$200.00
270	9887	100196965	Lufkin Educational Broadcasting Foundation	75915-1340	\$100.00
271	9888	100318784	Lung Transplant Foundation	27636-3126	\$1,380.00
272	9889	100201187	Lutheran High School Association of Hawaii	96822	\$500.00
273	9890	100197019	Madison Area YMCA - Kirby Childrens Center	07940	\$1,400.00
274	9891	100210891	Madison Montessori School	07940-2521	\$100.00
275	9892	100241141	Maggles Place Inc	85001	\$100.00
276	9893	100181192	Making Headway Foundation Inc	10514-3460	\$100.00
277	9894	100283211	Malaria No More Fund	10016	\$50.00
278	9895	100156282	March of Dimes - Alabama Chapter - Central Division	36117	\$25.00
279	9896	100142549	March of Dimes - Illinois Chapter	60604	\$100.00
280	9897	100142418	March of Dimes - NY State Chapter - Long Island Division	11797	\$100.00
281	9898	100142419	March of Dimes Foundation	10605	\$100.00
282	9899	100264932	Marin Elementary PTA	94706	\$100.00
283	9900	100166647	Massachusetts College of Pharmacy and Allied Health Sciences	02115	\$150.00
284	9901	100142919	Massachusetts Institute of Technology	02142-1012	\$350.00
285	9902	100199674	Mcadoo Catholic Elementary School	18237	\$3,000.00
286	9903	100142057	Memorial Sloan-Kettering Cancer Center	10021	\$1,025.00
287	9904	100144768	Michigan State University	48824-1005	\$400.00
288	9905	100153995	Middle Tennessee State University Foundation	37132	\$1,000.00
289	9906	100144931	Mississippi State University Foundation Inc.	39762	\$1,695.00
290	9907	100144829	Missouri University of Science and Technology	65409-1320	\$50.00
291	9908	100276620	Montclair School PTA	94611	\$250.00
292	9909	100144815	Montclair State University Foundation Inc.	07043	\$400.00
293	9910	100181526	Monte Vista High School Academic Booster Nonprofit Organization	94526-1129	\$350.00
294	9911	100318709	Montera Junior High Parent Faculty Student Club	94611	\$200.00
295	9912	100253102	Monterey Bay Academy	95076	\$500.00
296	9913	100145725	Moriah School of Englewood	07631	\$500.00
297	9914	100156469	Mount Olive College	28365	\$125.00
298	9915	100144559	Mount Saint Joseph Academy	19031	\$300.00
299	9916	100218524	Mount St. Marys Academy	72205	\$250.00
300	9917	100144324	Mount St. Michael Academy	10466	\$2,000.00
301	9918	100303186	Movember Inc	90294-2726	\$242.59
302	9919	100194007	Mt. Paran Christian School Inc	30152	\$100.00
303	9920	100200370	Multiple Myeloma Research Foundation Inc	06851	\$1,000.00
304	9921	100254806	Muscular Dystrophy Association - Charleston	29407	\$74.00
305	9922	100183166	Muscular Dystrophy Association - Nashville	37211	\$100.00
306	9923	100214581	Myers Park Traditional School PTA	28207	\$435.00
307	9924	100209034	NAMI	22203	\$25.00
308	9925	100188575	NAMI North Carolina Inc	27609	\$25.00

7666

Page 7 of 13

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
309	9926	100174188	NET Foundation for Radio	68503	\$300.00
310	9927	100142540	National Brain Tumor Society Inc	02472	\$100.00
311	9928	100146188	National Down Syndrome Society	10012	\$450.00
312	9929	100150369	National Multiple Sclerosis Society	21117-6217	\$118.00
313	9930	100142552	National Multiple Sclerosis Society - Central New England Chapter	02284-5945	\$100.00
314	9931	100167561	National Multiple Sclerosis Society - Eastern NC Chapter	27609	\$50.00
315	9932	100147712	National Multiple Sclerosis Society - Greater Delaware Valley Chapter	19147-5593	\$30.00
316	9933	100142436	National Multiple Sclerosis Society - NY City - Southern New York Chapter	10017-3288	\$25.00
317	9934	100222620	National Multiple Sclerosis Society - New Jersey Metro Chapter	07755	\$350.00
318	9935	100145576	National Multiple Sclerosis Society - New Jersey Metro Chapter	07652	\$50.00
319	9936	100142564	National Multiple Sclerosis Society - Northern CA Chapter	94158	\$275.00
320	9937	100142413	National Multiple Sclerosis Society - Training & Resource Center	80209	\$125.00
321	9938	100266082	Nephcure Foundation	19312	\$100.00
322	9939	100186755	New Horizons Montessori School Association	19025	\$250.00
323	9940	100140900	New York Public Radio	10013	\$1,720.00
324	9941	100142159	New York University	10009-0837	\$450.00
325	9942	100141036	Newark Public Radio Inc	07102	\$160.00
326	9943	100186840	Newtown Friends School	18940	\$100.00
327	9944	100174703	North Carolina State University	27695-7501	\$850.00
328	9945	100144838	North Carolina State University Foundation Inc.	27695-7474	\$1,860.00
329	9946	100307831	North Oakland Community Charter School	94608	\$562.00
330	9947	100142932	Northeastern University	02115	\$225.00
331	9948	100141179	Northern California Public Broadcasting Inc	94110-1400	\$120.00
332	9949	100261494	Northwest Iowa Christian Schools Tuition Organization	51041	\$5,000.00
333	9950	100142934	Northwestern University	60208-4305	\$200.00
334	9951	100266276	Norton Embracing Educational Development Inc.	02766	\$1,050.00
335	9952	100164424	Notre Dame Academy Toledo Ohio	43623	\$535.00
336	9953	100313906	Nurse Family Partnership	80203	\$250.00
337	9954	100144085	Oak Knoll School of the Holy Child	07901	\$200.00
338	9955	100307715	Oconomowoc Public Education Foundation	53066	\$100.00
339	9956	100143102	Oglethorpe University Inc.	30319	\$100.00
340	9957	100144769	Ohio State University Foundation - Columbus	43271-0811	\$920.00
341	9958	100144860	Old Dominion University Educational Foundation	23529	\$1,000.00
342	9959	100174908	Operation Blessing International Relief & Development Corp	23463-1001	\$735.00
343	9960	100145793	Operation Smile Inc	23509	\$100.00
344	9961	100297341	Orchard Lake Elementary School	55044	\$250.00
345	9962	100169024	Our Lady of Mt. Carmel School	46032	\$3,900.00
346	9963	100264952	PTO Massachusetts Parent-Teacher Organization Runkle School	024454501	\$750.00
347	9964	100141559	Pacifica Foundation	94704	\$150.00
348	9965	100221125	Palmer School	07924	\$250.00
349	9966	100320552	Palmetto Medical Initiative	29402	\$2,950.00
350	9967	100165157	Palo Alto Partners in Education	94302	\$50.00
351	9968	100220186	Pancreatic Cancer Action Network Inc	90266	\$225.00
352	9969	100322989	Parent School Association Inc of Union County Magnet High School	07076	\$100.00

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	ReciplentID	ReciplentName	ZIP	Check Amt
353	9970	100306685	Parent Teacher Association of PS 59 Inc	10017	\$500.00
354	9971	100158496	Park Day School Corporation	94609-2655	\$500.00
355	9972	100144292	Park School Corporation	02146	\$579.00 —
356	9973	100145887	Parkinsons Disease Foundation Inc	10018	\$35.00 —
357	9974	100235956	Partners In Health	02284-5578	\$400.00 —
358	9975	100142634	Peck School	07960	\$2,250.00 —
359	9976	100144772	Pennsylvania State University - University Park	16802-1506	\$6,370.00 —
360	9977	100154377	Pfeiffer University	28109-0960	\$100.00 —
361	9978	100145219	Phi Beta Kappa Society	20009	\$39.00 —
362	9979	100215077	Pillars Community Services	60526	\$40.00 —
363	9980	100160845	Pilot School Inc	19803	\$1,500.00 —
364	9981	100164334	Pincrest Academy Inc	30041	\$1,750.00
365	9982	100145227	Pingree School Inc	01982	\$350.00 —
366	9983	100143966	Pingry Corporation	08836	\$100.00 —
367	9984	100262306	Pius X Catholic High School	68510	\$617.00 —
368	9985	100156758	Plus X Foundation	68510	\$500.00 —
369	9986	100262012	Planned Parenthood Foundation	10001	\$50.00 —
370	9987	100164714	Planned Parenthood Health Systems Inc	27603-1802	\$50.00 —
371	9988	100159219	Planned Parenthood League of Massachusetts Inc	02215-1001	\$500.00 —
372	9989	100147641	Planned Parenthood Shasta Diablo Inc	94520	\$100.00 —
373	9990	100158627	Portage Community Outreach Center Inc	49002	\$50.00
374	9991	100196360	Prader-Willi Syndrome Association	34238	\$50.00 —
375	9992	100142829	President & Trustees of Colby College	04901-8843	\$100.00 —
376	9993	100142878	President and Fellows of Harvard College	02138	\$200.00 —
377	9994	100142921	Presidents and Fellows of Middlebury College	05753	\$500.00 —
378	9995	100207822	Prevent Blindness Georgia	30308	\$400.00
379	9996	100142055	Princeton Healthcare System Foundation	08540-3213	\$100.00 —
380	9997	100245488	Progeria Research Foundation Inc	01961	\$50.00 —
381	9998	100186677	Prospect Sierra School	94530	\$2,300.00
382	9999	100197163	Prostate Cancer Foundation	90401	\$100.00 —
383	10000	100220360	Purdue Foundation Inc	47907-2007	\$1,200.00 —
384	10001	100170887	Purdue University	47907-2007	\$250.00 —
385	10002	100143361	Rabbinical College of America	07962	\$2,810.00 —
386	10003	100187734	Ranney School	07724	\$1,250.00 —
387	10004	100294282	Raritan Valley Montessori Academy Inc	08807	\$500.00
388	10005	100169017	Regents of the University of Michigan	60055-0189	\$800.00 —
389	10006	100148225	Rehabilitation Institute of Chicago	60611	\$50.00
390	10007	100191538	Reicher Catholic High School	76708	\$500.00
391	10008	100142955	Rensselaer Polytechnic Institute	12180	\$50.00
392	10009	100142956	Rhode Island School of Design	02903	\$125.00
393	10010	100146317	Richmond Rescue Mission	94801	\$100.00 —
394	10011	100256987	Ride of New Jersey Inc	07059	\$75.00
395	10012	100142958	Rider University	08648	\$1,000.00
396	10013	100314995	Rochester Memorial School Parent Teacher Organization Inc	02770	\$50.00

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
397	10014	100185122	Ronald McDonald House Charities of Denver Inc	80205	\$25.00 →
398	10015	100203810	Ronald McDonald House at Stanford	94304-2001	\$100.00 →
399	10016	100147811	Ronald McDonald House of New York Inc	10021	\$25.00 →
400	10017	100143971	Roxbury Latin School Inc	02132-3496	\$5,000.00 →
401	10018	100163551	Rural California Broadcasting Corp KRCB-TV Channel 22	94928	\$135.00 →
402	10019	100144186	Rutgers Preparatory School	08873	\$200.00 →
403	10020	100144774	Rutgers University Foundation - New Brunswick	08901-1261	\$7,431.00
404	10021	100142284	Saint Barnabas Development Foundation	07052	\$1,500.00 →
405	10022	100211297	Saint Elizabeth School	07924	\$50.00
406	10023	100322767	Saint Raymond High School for Girls	10462	\$25.00
407	10024	100156930	Salanter Akiba Riverdale Academy	10471	\$5,000.00
408	10025	100146737	Salve Regina University	02840	\$100.00
409	10026	100142314	San Francisco AIDS Foundation	94103	\$2,425.00 →
410	10027	100281088	San Mateo High School Foundation	94401	\$5,000.00
411	10028	100290680	San Ramon Valley Education Foundation	94582	\$150.00
412	10029	100319312	Santa Cruz AIDS Project	95060	\$45.00 →
413	10030	100142251	Seattle Childrens Hospital Foundation	98145	\$1,000.00 →
414	10031	100142976	Seton Hall University	07079-2452	\$250.00 →
415	10032	100150391	Seton Institute	63134	\$500.00
416	10033	100163621	Shady Hill School	02138	\$1,750.00 →
417	10034	100242709	Shriners Hospitals for Children	33607	\$1,000.00 →
418	10035	100142977	Siena College	12211	\$250.00 →
419	10036	100154764	Sinal Special Needs Institute	07666	\$2,500.00 →
420	10037	100207770	Smile Train Inc	10010	\$1,327.00 →
421	10038	100142669	Solomon Schechter Day School of Essex & Union	07052	\$1,500.00
422	10039	100217725	Somerset Hills Handicapped Riders Club	08858	\$100.00 →
423	10040	100145024	South Dakota School of Mines and Technology Foundation	57701	\$200.00 →
424	10041	100144855	South Dakota State University Foundation	57007	\$25.00 →
425	10042	100214547	Spring Valley Education Foundation	29229	\$300.00 →
426	10043	100167944	St. Andrews-Sewanee School	37375	\$250.00 →
427	10044	100296398	St. Anthony of Padua School	29611	\$1,500.00 →
428	10045	100174496	St. John School	68505	\$1,000.00 →
429	10046	100142965	St. Johns University	56321	\$500.00 →
430	10047	100212648	St. Joseph Elementary School	40004	\$2,500.00
431	10048	100142123	St. Jude Childrens Research Hospital Inc	38105	\$2,704.00 →
432	10049	100186834	St. Margaret Mary School	40222	\$7,800.00 →
433	10050	100144246	St. Marks High School	19808	\$250.00
434	10051	100143222	St. Olaf College	55057	\$750.00
435	10052	100142983	Stanford University Board of Trustees	94305-6105	\$300.00
436	10053	100184236	Stephen Gaynor School	10024	\$250.00 →
437	10054	100142984	Stevens Institute of Technology Trustees	07030	\$100.00 →
438	10055	100150525	Sunshine Kids Foundation	77098	\$250.00 →
439	10056	100292295	Surfers Healing Foundation Inc	92693	\$25.00
440	10057	100251529	Susan G. Komen Breast Cancer Foundation - NC Triangle Affiliate	27601	\$200.00 →

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
441	10058	100204828	Susan G Komen Breast Cancer Foundation - Central Virginia Affiliate	23235	\$25.00
442	10059	100308015	Susan G. Komen Breast Cancer Foundation - 3-Day for the Cure	60601	\$100.00
443	10060	100146091	Susan G. Komen Breast Cancer Foundation Inc	75265-0309	\$225.00
444	10061	100142986	Swarthmore College	19081	\$579.00
445	10062	100142988	Syracuse University	13244-5040	\$50.00
446	10063	100247580	TRACS Education Foundation	28203	\$400.00
447	10064	100307815	Tabitha Foundation	68510	\$50.00
448	10065	100143888	Taft School Corporation	06795	\$250.00
449	10066	100222598	Temima High School Inc.	30329	\$500.00
450	10067	100143077	Temple University - PA	19122	\$300.00
451	10068	100144810	Texas Tech Foundation Inc.	79409-5025	\$50.00
452	10069	100142867	The George Washington University	20052	\$25.00
453	10070	100142790	Thunderbird School of Global Management	85306	\$500.00
454	10071	100204638	Torah Day School of Atlanta Inc.	30329	\$500.00
455	10072	100321894	Tour de Lis Inc	70112	\$500.00
456	10073	100287260	Travell Home & School Association Inc	07450	\$250.00
457	10074	100199257	Trinity Christian School of Montville Inc.	07045	\$2,250.00
458	10075	100142990	Trinity College	06106	\$450.00
459	10076	100144883	Truman State University Foundation	63501	\$100.00
460	10077	100142840	Trustees of Columbia University in the City of New York	10025	\$350.00
461	10078	100142849	Trustees of Dartmouth College	03755-3555	\$1,000.00
462	10079	100143903	Trustees of Newark Academy	07039	\$500.00
463	10080	100142704	Trustees of Phillips Academy	01810	\$1,000.00
464	10081	100142946	Trustees of Princeton University	08543-5357	\$1,075.00
465	10082	100142992	Trustees of Tufts College	02155	\$100.00
466	10083	100142995	Trustees of Union College	12308-3107	\$150.00
467	10084	100143874	Trustees of the Lawrenceville School	08648	\$100.00
468	10085	100143002	Trustees of the University of Pennsylvania	19104-6285	\$975.00
469	10086	100270259	Tug McGraw Foundation	94599	\$100.00
470	10087	100144979	U C Santa Cruz Foundation	95064	\$100.00
471	10088	100224217	U. S. Foundation for Queens University at Kingston	20015-2601	\$5,000.00
472	10089	100144893	UC Davis Foundation	95616	\$200.00
473	10090	100144784	UCLA Foundation	90024	\$800.00
474	10091	100145336	UNC-TV - University of North Carolina at Chapel Hill	27709-4900	\$147.00
475	10092	100280814	USAFA Endowment Inc	80840	\$100.00
476	10093	100145631	UWM Foundation Inc	53202	\$75.00
477	10094	100277139	Ulster Community College Foundation Inc	12484	\$600.00
478	10095	100262891	Unite for Sight Inc	06510	\$200.00
479	10096	100162565	University School	44122	\$150.00
480	10097	100146092	University at Buffalo Foundation Inc	14226-0900	\$200.00
481	10098	100146205	University of Alabama at Birmingham	35294-0112	\$600.00
482	10099	100144783	University of California Berkeley Foundation	94720-4200	\$100.00
483	10100	100142998	University of Chicago	60637	\$1,000.00
484	10101	100144910	University of Colorado Foundation Inc. - Boulder	80301-2538	\$500.00

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
485	10102	100143443	University of Dallas	75062	\$150.00 ✓
486	10103	100142999	University of Dayton	45469-2961	\$25.00 ✓
487	10104	100144789	University of Illinois Foundation	61802	\$175.00 ✓
488	10105	100144846	University of Kentucky Office of Controller & Treasurer	40506-0015	\$3,000.00 ✓
489	10106	100144792	University of Minnesota Foundation - Twin Cities	55170-3854	\$525.00 ✓
490	10107	100144940	University of Missouri - Columbia	65211	\$410.00 ✓
491	10108	100144875	University of Nebraska Foundation	68501-2555	\$440.00 ✓
492	10109	100144794	University of North Carolina at Chapel Hill	27514	\$1,900.00 ✓
493	10110	100143036	University of Notre Dame	46556	\$3,775.00 ✓
494	10111	100143003	University of Pittsburgh	15260	\$25.00 ✓
495	10112	100143005	University of Rochester	14627	\$100.00 ✓
496	10113	100143294	University of San Francisco	94160	\$100.00 ✓
497	10114	100144911	University of South Carolina Educational Foundation - Columbia	29208	\$2,712.00 ✓
498	10115	100144864	University of South Dakota Foundation	57069	\$50.00 ✓
499	10116	100144856	University of South Florida Foundation Inc.	33620	\$50.00 ✓
500	10117	100143478	University of Tennessee Foundation - Knoxville	37901-5016	\$2,000.00 ✓
501	10118	100144870	University of Texas Health Science Center at Houston	77225	\$500.00 ✓
502	10119	100144822	University of Texas at Austin	78713	\$50.00 ✓
503	10120	100144863	University of Toledo Foundation	43606	\$100.00 ✓
504	10121	100144799	University of Vermont and State Agricultural College	05401	\$200.00 ✓
505	10122	100197319	University of Virginia Alumni Association	22904-4314	\$250.00 ✓
506	10123	100144831	University of Washington Foundation	98195-9505	\$350.00 ✓
507	10124	100144801	University of Wisconsin Foundation	53726	\$200.00 ✓
508	10125	100143175	University of the Pacific	95211	\$1,000.00 ✓
509	10126	100146405	Ursinus College	19426-1000	\$1,550.00 ✓
510	10127	100168592	V Foundation	27513	\$120.00 ✓
511	10128	100160952	Valerie Fund	07040	\$2,850.00 ✓
512	10129	100143011	Villanova University	19085-1673	\$275.00 ✓
513	10130	100250800	Vince Lombardi Charitable Funds Inc	53234	\$100.00 ✓
514	10131	100144803	Virginia Tech Foundation Inc	24061	\$50.00 ✓
515	10132	100303600	Vision-Aid Incorporated	02420	\$500.00 ✓
516	10133	100166935	Visiting Nurse Association of Chittenden and Grand Isle Counties	05446	\$50.00 ✓
517	10134	100141242	WBEZ Alliance, Inc. to Chicago Public Media Inc	60611	\$36.00 ✓
518	10135	100141126	WBUR-FM 90.9 - Trustees of Boston University	02215	\$710.00 ✓
519	10136	100159211	WFDD-FM 88.5 - Wake Forest University	27109	\$303.00 ✓
520	10137	100140838	WGBH Educational Foundation	02134	\$640.00 ✓
521	10138	100141051	WHYY Inc	19106	\$70.00 ✓
522	10139	100307816	WNET ORG	10001	\$110.00 ✓
523	10140	100172351	Wake Forest University Baptist Medical Center	27157	\$50.00 ✓
524	10141	100143017	Washington & Lee University	24450	\$125.00 ✓
525	10142	100157946	Wayne Township Memorial First Aid Squad Inc	07474	\$100.00 ✓
526	10143	100143018	Wellesley College	02481-8203	\$1,750.00 ✓
527	10144	100143020	Wesleyan University	06459-0472	\$5,000.00 ✓
528	10145	100145305	Western Michigan University Foundation	49008-5403	\$25.00 ✓

NOVARTIS MATCHING GIFT PROGRAM

5/16/2011

Checks Dated : 04/18/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
529	10146	100140854	Western New York Public Broadcasting Association	14240-1263	\$120.00
530	10147	100143023	Wheaton College	02766	\$100.00
531	10148	100146781	Widener University	19013	\$250.00
532	10149	100154813	William J. Brennan Jr. Center for Justice Inc	10013-1205	\$2,500.00
533	10150	100144850	William Paterson University of New Jersey Foundation Inc.	07440	\$100.00
534	10151	100167837	William and Mary Business School Foundation	23187-3023	\$3,500.00
535	10152	100143025	Williams College	01267	\$125.00
536	10153	100190942	Windrush School	94530	\$4,000.00
537	10154	100147513	Winston-Salem State University Foundation Incorporated	27110	\$100.00
538	10155	100158010	Wisconsin Public Radio Association Inc	53706-1497	\$75.00
539	10156	100283953	Woodbury Elementary School PTA	92620	\$25.00
540	10157	100261960	Woodside School Inc.	03301	\$690.00
541	10158	100143030	Yale University	06521-2038	\$1,530.00
542	10159	100144156	York Preparatory School Foundation	10023	\$250.00
543	10160	100160421	York Street Project	07302	\$250.00
Grand Total:			543		\$366,026.59

NOVARTIS MATCHING GIFT PROGRAM

8/23/2011

Checks Dated : 07/14/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
1	10164	100241805	4 Paws for Ability Inc.	45385	\$4,495.00
2	10165	100143701	AIDS Action Committee of Massachusetts Inc	02119	\$105.00
3	10166	100262887	AMSA Charter School Foundation	01752	\$25.00
4	10167	100324107	ARVO Foundation for Eye Research	20852	\$100.00
5	10168	100223477	Academy of St. Elizabeth	07061-0297	\$350.00
6	10169	100193073	Acton-Boxborough Regional High School	01720	\$50.00
7	10170	100146968	Adirondack Community College Foundation Inc	12804	\$75.00
8	10171	100146955	Albany College of Pharmacy At Union University	12208	\$500.00
9	10172	100157587	Alpha Sigma Nu Incorporated	53201-1881	\$300.00
10	10173	100142685	Alpine Learning Group Inc	07652	\$300.00
11	10174	100154878	Alzheimers Association - Western Carolina Chapter	28215	\$50.00
12	10175	100283813	Alzheimers Disease Research Foundation	02481	\$50.00
13	10176	100146295	Alzheimers Disease and Related Disorders Association Inc - National Office	60601	\$35.00
14	10177	100146996	American Association of University Women Inc	20036	\$350.00
15	10178	100142460	American Brain Tumor Association	60018	\$50.00
16	10179	100142504	American Cancer Society - Arlington Heights	60004	\$600.00
17	10180	100216663	American Cancer Society - Athens	30605	\$250.00
18	10181	100140467	American Cancer Society - California Division	94612	\$150.00
19	10182	100145930	American Cancer Society - Elizabeth	07208	\$50.00
20	10183	100222530	American Cancer Society - Fresno	93711	\$100.00
21	10184	100196598	American Cancer Society - Gainesville	30534	\$100.00
22	10185	100187779	American Cancer Society - Greensboro	27407	\$5,000.00
23	10186	100145633	American Cancer Society - Houston	77057	\$75.00
24	10187	100282347	American Cancer Society - Johnson City	13790	\$75.00
25	10188	100166862	American Cancer Society - Mid-South Division	35205	\$25.00
26	10189	100146391	American Cancer Society - National Office	30303	\$1,285.00
27	10190	100142448	American Cancer Society - New England Division	01701	\$150.00
28	10191	100183009	American Cancer Society - Oklahoma City	73116	\$25.00
29	10192	100181340	American Cancer Society - Pittsburgh	15205	\$100.00
30	10193	100159214	American Cancer Society - San Francisco	94111	\$100.00
31	10194	100175054	American Cancer Society - South Atlantic Division	30303	\$125.00
32	10195	100324989	American Cancer Society - Springfield	07081	\$25.00
33	10196	100169285	American Cancer Society - Vienna	22180	\$50.00
34	10197	100252574	American Cancer Society - Weston	02493	\$25.00
35	10198	100250986	American Cancer Society - Williston	05495	\$100.00
36	10199	100154896	American Diabetes Association - Atlanta Chapter	30303	\$25.00
37	10200	100142365	American Diabetes Association - National Office	22311	\$425.00
38	10201	100285880	American Foundation for Children with AIDS Inc	17112	\$250.00
39	10202	100259928	American Fund for Southampton University	19801	\$1,000.00
40	10203	100281736	American Heart Association	08691	\$25.00
41	10204	100238530	American Heart Association	85062	\$100.00
42	10205	100183069	American Heart Association - Chattanooga	37403	\$62.00
43	10206	100282625	American Heart Association - Fayetteville	72703	\$1,325.00
44	10207	100145824	American Heart Association - Framingham	01701-4688	\$50.00

NOVARTIS MATCHING GIFT PROGRAM

8/23/2011

Checks Dated : 07/14/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
45	10208	100158687	American Heart Association - Glen Allen	23060-3359	\$25.00
46	10209	100179684	American Heart Association - Grand Rapids	49546	\$30.00
47	10210	100178903	American Heart Association - Greenville	27834	\$2,217.00
48	10211	100176653	American Heart Association - Indianapolis	46278	\$50.00
49	10212	100158040	American Heart Association - Lehigh Valley	18018	\$35.00
50	10213	100202567	American Heart Association - Madison	53718	\$200.00
51	10214	100199786	American Heart Association - Minneapolis	55435	\$175.00
52	10215	100228043	American Heart Association - National Center	75231	\$215.00
53	10216	100145260	American Heart Association - Northern Region	13220-3049	\$130.00
54	10217	100148759	American Heart Association - Plainville	11803	\$500.00
55	10218	100165432	American Heart Association - Providence	02908	\$150.00
56	10219	100173507	American Heart Association - Rochester	14623-2850	\$40.00
57	10220	100162145	American Heart Association - Triangle & Eastern NC	27560	\$55.00
58	10221	100226681	American Heart Association - Tucson	85712	\$40.00
59	10222	100142325	American Heart Association - Wallingford	06492-7522	\$950.00
60	10223	100174447	American Liver Foundation - New England Division	02461	\$50.00
61	10224	100242178	American Lung Association	37406	\$175.00
62	10225	100159688	American Lung Association in California	94621	\$75.00
63	10226	100159353	American Lung Association of New England	04330	\$25.00
64	10227	100269644	American Optometric Foundation	20852	\$1,095.00
65	10228	100212526	Amyotrophic Lateral Sclerosis Association	27603	\$250.00
66	10229	100225526	Amyotrophic Lateral Sclerosis Association - Massachusetts Chapter	02062	\$75.00
67	10230	100203748	Andover Advantage Foundation Inc	67002-9407	\$100.00
68	10231	100183374	Andover Committee for a Better Chance Inc	01810	\$50.00
69	10232	100228434	Angelman Syndrome Foundation Inc	60504	\$400.00
70	10233	100241375	Arc of New Jersey Inc	08835	\$25.00
71	10234	100191289	Arthritis Foundation Inc	35223	\$75.00
72	10235	100141264	Atlanta Educational Telecommunications Collaborative	30324	\$170.00
73	10236	100249608	Autism Society of Oregon	97036-0396	\$50.00
74	10237	100233907	Autism Speaks - New England Chapter	02026	\$150.00
75	10238	100259884	Autism Speaks Inc	10016	\$100.00
76	10239	100323769	Autism Support Group of NWA	72712	\$100.00
77	10240	100213795	Avon Products Foundation Incorporated	10580-4005	\$1,725.00
78	10241	100158258	Azusa Pacific University	91702	\$30.00
79	10242	100189411	Bais Kaila Torah Preparatory High School	08701-0952	\$2,000.00
80	10243	100162431	Barbara Ann Karmanos Cancer Institute	48201	\$100.00
81	10244	100142797	Barnard College	10027	\$5,200.00
82	10245	100323499	Basis Schools Inc	85259	\$500.00
83	10246	100182781	Belmont Education Foundation	02478	\$26.00
84	10247	100229119	Belmont-Redwood Shores Public School Foundation	94002	\$4,200.00
85	10248	100295979	Benjamin Franklin Middle School Home & School Assoc	07450	\$25.00
86	10249	100143157	Bennington College Corporation	05201	\$50.00
87	10250	100178101	Bentley School	94618	\$5,000.00
88	10251	100143217	Bentley University	02452-4705	\$500.00

NOVARTIS MATCHING GIFT PROGRAM

8/23/2011

Checks Dated : 07/14/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
89	10252	100250208	Benton County Sunshine School Inc	72756	\$600.00
90	10253	100144285	Bishop O'Dowd High School	94605	\$487.00
91	10254	100190905	Black Pine Circle Inc	94710	\$2,500.00
92	10255	100143870	Blair Academy	07825	\$500.00
93	10256	100142804	Boston College Trustees	02467	\$4,075.00
94	10257	100183043	Brain Tumor Foundation for Children Inc	30328	\$50.00
95	10258	100142808	Brandeis University	02453	\$25.00
96	10259	100157480	Breast Cancer Network of Strength Inc	60603	\$50.00
97	10260	100155210	Briarcrest Christian School System Inc.	38120	\$250.00
98	10261	100142274	Brigham & Womens Hospital Inc	02116	\$1,050.00
99	10262	100142809	Brigham Young University	84602-7188	\$25.00
100	10263	100142810	Brown University	02912-1908	\$1,175.00
101	10264	100142811	Bryn Mawr College	19010	\$300.00
102	10265	100142812	Bucknell University	17837	\$150.00
103	10266	100249290	Butler Health System Foundation	16001	\$5,000.00
104	10267	100142813	Butler University	46208	\$100.00
105	10268	100144997	California State University Los Angeles Foundation	90032	\$1,000.00
106	10269	100226835	Campanile Foundation	92182-8035	\$100.00
107	10270	100143032	Canisius College	14208-1098	\$50.00
108	10271	100143948	Cardinal Hayes High School	10451	\$100.00
109	10272	100167955	Cardinal Mooney High School	34232	\$500.00
110	10273	100142818	Carleton College	55057-4070	\$1,048.00
111	10274	100142817	Carnegie Mellon University	15222	\$100.00
112	10275	100254831	Cathedral Catholic High School	92130	\$100.00
113	10276	100324348	Cedar Hill Parent Teacher Organization Inc	07920	\$100.00
114	10277	100142822	Centenary College	07840	\$125.00
115	10278	100317517	Charmingly Pink	77284	\$600.00
116	10279	100140535	Chatham Emergency Squad Inc	07928	\$50.00
117	10280	100322545	Chevra Hatzalah of Elizabeth Corp	07202	\$100.00
118	10281	100141242	Chicago Public Media Inc	60611	\$100.00
119	10282	100142622	Children International	64121	\$50.00
120	10283	100246063	Childrens Hospital Corporation	02115	\$425.00
121	10284	100175144	Childrens Hospital Foundation Cincinnati Ohio	45229-3026	\$25.00
122	10285	100290581	Cipriani PTA	94002	\$360.00
123	10286	100144761	City College Fund	10031	\$36.00
124	10287	100142832	Colgate University	13346	\$150.00
125	10288	100142835	College of St. Benedict	56374	\$1,050.00
126	10289	100143977	College of St. Francis Xavier	10011-6302	\$500.00
127	10290	100147118	College of St. Joseph	05701	\$300.00
128	10291	100144974	College of Staten Island Foundation Inc	10314	\$50.00
129	10292	100142882	Colleges of the Seneca Hobart & Wm Smith Colleges	14456-3397	\$500.00
130	10293	100163630	Colorado Lutheran High School Association	80219	\$250.00
131	10294	100168393	Community Soup Kitchen and Outreach Center Inc	07960	\$25.00
132	10295	100323928	Concord Carlisle High School Parents Assoc Inc	01742	\$100.00

NOVARTIS MATCHING GIFT PROGRAM

8/23/2011

Checks Dated : 07/14/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
133	10296	100189867	Concord-Carlisle Scholarship Fund	01742-0217	\$150.00
134	10297	100153905	Concordia University	68434	\$250.00
135	10298	100222679	Condit Elementary School	91711	\$100.00
136	10299	100142845	Cooper Union for the Advancement of Science and Art	10003-7120	\$25.00
137	10300	100142846	Cornell University	14850-4353	\$3,000.00
138	10301	100143430	Corporation of Gonzaga University	99258-0098	\$50.00
139	10302	100147193	Marlboro College	05344	\$50.00
140	10303	100324581	Creative Heartwork Inc	07005	\$4,000.00
141	10304	100317501	Crohns & Colitis Foundation - Greater San Diego & Desert Area Chapter	92108	\$100.00
142	10305	100217181	Crohns & Colitis Foundation - New Jersey Chapter	07726	\$310.00
143	10306	100183032	Crohns & Colitis Foundation - Philadelphia/Delaware Valley Chapter	19053	\$100.00
144	10307	100145705	Crohns & Colitis Foundation of America	10016-8804	\$1,455.00
145	10308	100155506	Cystic Fibrosis Foundation - Greater Illinois Chapter	60601	\$25.00
146	10309	100217057	Cystic Fibrosis Foundation - Greater NJ Chapter	07601	\$30.00
147	10310	100187311	Cystic Fibrosis Foundation - Minnesota Chapter	55425	\$400.00
148	10311	100199927	Cystic Fibrosis Foundation - Northern New England Chapter	03063	\$750.00
149	10312	100324176	Cystic Fibrosis Foundation - Northwest Arkansas Chapter	72712	\$100.00
150	10313	100142429	Cystic Fibrosis Foundation Headquarters	20814	\$50.00
151	10314	100154243	Daemen College	14226-3505	\$100.00
152	10315	100142199	Dana-Farber Cancer Institute Inc	02284-9168	\$7,512.00
153	10316	100221696	Del Mar Schools Education Foundation	92130	\$1,800.00
154	10317	100144032	Delbarton School	07960-5089	\$500.00
155	10318	100170457	Down Syndrome Association of Charlotte Inc	28234	\$50.00
156	10319	100317806	Dreams Come True Therapeutic Riding	08867	\$300.00
157	10320	100176566	ESF College Foundation Inc	13210	\$75.00
158	10321	100155590	Easter Seals Massachusetts Inc	01608	\$50.00
159	10322	100140843	Educational Broadcasting Corp	10001	\$135.00
160	10323	100324214	Empower Congo Women	93160	\$500.00
161	10324	100258327	Epilepsy Foundation of Western PA	15233	\$100.00
162	10325	100286213	Epilepsy Society of San Diego County	92104-1091	\$35.00
163	10326	100146224	Ex-Students Association of the University of Texas	78713	\$100.00
164	10327	100142862	Fairleigh Dickinson University	07666	\$50.00
165	10328	100253761	Family & Community Services of Somerset County	8805	\$250.00
166	10329	100265775	Family Service Assoc of Bucks County	19047	\$100.00
167	10330	100142863	Fordham University	10019	\$1,250.00
168	10331	100142558	Foundation for AIDS Research	10005	\$25.00
169	10332	100251595	Foundation for Peace	07845	\$12,000.00
170	10333	100144775	Foundation of the State University of New York at Binghamton Inc	13902-6005	\$25.00
171	10334	100160968	Foundation of the University of North Carolina at Charlotte Inc.	28223	\$25.00
172	10335	100147052	Frank C. Havens School Parents Club	94611	\$350.00
173	10336	100188687	Fraxa Research Foundation Inc	01950	\$425.00
174	10337	100317464	Friends of Emeryville Child Development Center	94608	\$1,800.00
175	10338	100173801	Friends of Public Radio Inc.	59101	\$50.00
176	10339	100284139	Garden Academy	07040	\$125.00

NOVARTIS MATCHING GIFT PROGRAM

8/23/2011

Checks Dated : 07/14/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
177	10340	100143567	Gay Mens Health Crisis Inc	10011	\$525.00
178	10341	100144250	George School Interim Corp.	18940	\$100.00
179	10342	100320651	Georgia Student Scholarship Organization SSO Inc	30028	\$5,000.00
180	10343	100217048	Georgia Tech Alumni Association Inc	30313	\$25.00
181	10344	100144764	Georgia Tech Foundation Inc.	30313	\$50.00
182	10345	100142639	Germantown Friends School	19144	\$200.00
183	10346	100142869	Gettysburg College	17325	\$300.00
184	10347	100246337	Gildas Club New York City	10014	\$825.00
185	10348	100264395	Global Medical Relief Fund Inc	10305	\$100.00
186	10349	100142870	Goucher College	21204	\$1,000.00
187	10350	100140837	Greater Washington Educational Telecommunications Association Inc.	20090-6100	\$250.00
188	10351	100168402	Green Knoll Rescue Squad Inc.	08807	\$100.00
189	10352	100155854	Greensboro Independent School Corporation	27455	\$2,000.00
190	10353	100194900	Greensboro Montessori School	27410-9700	\$1,500.00
191	10354	100142658	Gulfstream School Inc.	33483	\$250.00
192	10355	100186749	Gwynedd-Mercy Academy Elementary	19477	\$150.00
193	10356	100215075	Hadassah Medical Relief Association	10019	\$25.00
194	10357	100153941	Hanover College Trustees	47243	\$5,000.00
195	10358	100202787	Hastings Catholic Schools	68901	\$500.00
196	10359	100193874	Hebrew Academy of Morris County	07869-1902	\$1,000.00
197	10360	100206992	Help Hospitalized Veterans	92596-9672	\$25.00
198	10361	100177589	Hermosa Beach Education Foundation	90254-0864	\$1,000.00
199	10362	100324502	High Tech High Foundation	92106	\$250.00
200	10363	100292315	Hillside Health Care International	53008	\$250.00
201	10364	100323071	Hoboken Dual Language Charter School	07030	\$300.00
202	10365	100173955	Holy Innocents' Episcopal School	30327	\$100.00
203	10366	100324466	Horace Mann Laboratory School	01970	\$5,000.00
204	10367	100154767	Hospice Foundation of Western New York Inc	14227-1480	\$25.00
205	10368	100143893	Hotchkiss School	06039-0800	\$250.00
206	10369	100144361	Hun School of Princeton	08540	\$250.00
207	10370	100154056	Immaculata High School	08876	\$3,000.00
208	10371	100158790	Immaculate Conception Seminary of Seton Hall University	07104	\$150.00
209	10372	100165479	International Preschools	10017	\$240.00
210	10373	100144767	Iowa State University Foundation	50010-8644	\$2,560.00
211	10374	100293812	Jack and Jill Late Stage Cancer Foundation Inc	30327	\$50.00
212	10375	100298902	Jamaica Plain VAMC Child Care Center Inc	02130	\$500.00
213	10376	100181719	James B. Davidson Middle School	94901	\$250.00
214	10377	100144118	Jewish Educational Center	07208	\$2,050.00
215	10378	100142894	Johns Hopkins University	21201	\$600.00
216	10379	100294448	Josh Cares	45243	\$400.00
217	10380	100318229	Journey Forward Inc	02021	\$2,500.00
218	10381	100154365	Juvenile Diabetes Research Foundation - Georgia Chapter	30305-7023	\$5,000.00
219	10382	100142533	Juvenile Diabetes Research Foundation - Northern NJ-Rockland County Chapter	07632	\$5,000.00
220	10383	100175378	Juvenile Diabetes Research Foundation - Piedmont Triad Chapter	27401	\$25.00

7768

Page 5 of 11

NOVARTIS MATCHING GIFT PROGRAM

8/23/2011

Checks Dated : 07/14/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
221	10384	100173442	Kenan-Flagler Business School Foundation	27514	\$50.00
222	10385	100163904	Kennedy Christian High School	16148	\$1,300.00
223	10386	100222525	Kings Ridge Christian School Inc	30004	\$5,000.00
224	10387	100174380	Kosair Charities Committee Inc	40233	\$5,000.00
225	10388	100144144	LaSalle College High School	19038	\$1,250.00
226	10389	100195369	Lance Armstrong Foundation	56007-6603	\$125.00
227	10390	100142907	Lehigh University	18015-3089	\$50.00
228	10391	100147807	Leukemia & Lymphoma Society	94105	\$3,600.00
229	10392	100318027	Leukemia & Lymphoma Society - Connecticut Chapter	06897	\$50.00
230	10393	100160269	Leukemia & Lymphoma Society - Desert Mtn States Chapter	85014	\$50.00
231	10394	100195742	Leukemia & Lymphoma Society - Greater Los Angeles Chapter	90045-6422	\$2,000.00
232	10395	100172144	Leukemia & Lymphoma Society - Indiana Chapter	46240-1842	\$400.00
233	10396	100172299	Leukemia & Lymphoma Society - KY & Southern IN Chapter	40202	\$750.00
234	10397	100150417	Leukemia & Lymphoma Society - Minnesota Chapter	55426	\$50.00
235	10398	100142585	Leukemia & Lymphoma Society - National Office	10605	\$401.00
236	10399	100140606	Leukemia & Lymphoma Society - New Jersey Chapter	07016	\$2,133.00
237	10400	100160134	Leukemia & Lymphoma Society - North TX Chapter	75251	\$300.00
238	10401	100178301	Leukemia & Lymphoma Society - Northern Ohio Chapter	44122	\$345.00
239	10402	100268172	Leukemia & Lymphoma Society - Rocky Mountain Chapter	80246	\$500.00
240	10403	100147738	Leukemia & Lymphoma Society - San Diego/Hawaii Chapter	92123	\$115.00
241	10404	100210101	Leukemia & Lymphoma Society - Silicon Valley/Monterey Bay Area Chapter	95112-5156	\$2,350.00
242	10405	100260711	Leukemia & Lymphoma Society - South Central Texas Chapter - Austin Office	78758	\$50.00
243	10406	100215640	Leukemia & Lymphoma Society - Southern Florida Chapter	33020	\$500.00
244	10407	100245753	Leukemia & Lymphoma Society - Southern Regional Office	22314	\$1,515.00
245	10408	100173257	Leukemia & Lymphoma Society - Texas Gulf Coast Chapter	77092	\$48,105.71
246	10409	100162238	Leukemia & Lymphoma Society - Upstate NY/Vermont Chapter	12205	\$100.00
247	10410	100238981	Leukemia & Lymphoma Society - Western & Central NY Chapter	14226	\$25.00
248	10411	100160811	Leukemia & Lymphoma Society - Western PA & WV Chapter	15219	\$3,000.00
249	10412	100158728	Leukemia & Lymphoma Society - Wisconsin Chapter	53005	\$425.00
250	10413	100186083	Lexington Montessori School Inc.	02421	\$250.00
251	10414	100168821	Little Flower Catholic High School	19140	\$150.00
252	10415	100142910	Long Island University	11548-1326	\$25.00
253	10416	100318784	Lung Transplant Foundation	27636-3126	\$50.00
254	10417	100222642	Lupus Foundation of America Georgia Chapter Inc	30080	\$100.00
255	10418	100174606	Lymphoma Research Foundation	10006	\$1,000.00
256	10419	100314301	Mammoth Spring School	72554	\$100.00
257	10420	100208236	Marc Lustgarten Pancreatic Cancer Foundation	11714	\$150.00
258	10421	100159162	March of Dimes - California Chapter	94111	\$2,205.00
259	10422	100156298	March of Dimes - Minnesota Chapter	55439	\$100.00
260	10423	100156284	March of Dimes - NC Chapter - Greater Triad Division	27101	\$25.00
261	10424	100250099	March of Dimes - Nebraska Chapter - Southeast Division	68508	\$375.00
262	10425	100183151	March of Dimes - TX Chapter - Fort Worth Division	76120	\$150.00
263	10426	100156302	Marian High School	18252	\$650.00
264	10427	100142915	Marist College	12601-1387	\$200.00

7768

NOVARTIS MATCHING GIFT PROGRAM

8/23/2011

Checks Dated : 07/14/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
265	10428	100142919	Massachusetts Institute of Technology	02142-1012	\$1,650.00
266	10429	100210816	Matty Eappen Foundation	60048	\$100.00
267	10430	100143295	McDaniel College Inc.	21157-4390	\$1,000.00
268	10431	100199674	Mcadoo Catholic Elementary School	18237	\$1,350.00
269	10432	100254502	Medical Foundation of North Carolina	27514	\$275.00
270	10433	100142057	Memorial Sloan-Kettering Cancer Center	10021	\$325.00
271	10434	100143180	Mercy College	10522	\$60.00
272	10435	100269075	Milltown Rescue Squad Inc	08850	\$25.00
273	10436	100144931	Mississippi State University Foundation Inc.	39762	\$5,000.00
274	10437	100145011	Montana State University Foundation	59717	\$1,000.00
275	10438	100144815	Montclair State University Foundation Inc.	07043	\$125.00
276	10439	100317612	Montessori Christian Academy Inc	30005	\$2,500.00
277	10440	100142923	Moravian College	18018	\$250.00
278	10441	100143878	Morristown-Beard School	07960	\$2,500.00
279	10442	100144229	Mount Mercy Academy	14220	\$100.00
280	10443	100142925	Muhlenberg College	18104	\$150.00
281	10444	100211763	Muscular Dystrophy Association - Burbank	91505	\$150.00
282	10445	100285537	NAMI Santa Clara County	95131	\$1,400.00
283	10446	100142540	National Brain Tumor Society Inc	02472	\$555.00
284	10447	100179357	National Eczema Association for Science & Education	94903-1953	\$150.00
285	10448	100166319	National Foundation for Transplants Inc	38119	\$150.00
286	10449	100176013	National Fragile X Foundation	94596	\$25.00
287	10450	100146555	National Kidney Foundation Inc.	91403	\$100.00
288	10451	100142552	National Multiple Sclerosis Society - Central New England Chapter	02284-5945	\$755.00
289	10452	100164847	National Multiple Sclerosis Society - Central North Carolina Chapter	27407-3700	\$1,000.00
290	10453	100170339	National Multiple Sclerosis Society - Des Moines	50325	\$150.00
291	10454	100183065	National Multiple Sclerosis Society - Greater New England Chapter	03101	\$100.00
292	10455	100142323	National Multiple Sclerosis Society - Greater New England Chapter	02451	\$100.00
293	10456	100154509	National Multiple Sclerosis Society - Long Island Chapter	11747	\$25.00
294	10457	100156542	National Multiple Sclerosis Society - Minnesota Chapter	55415-1255	\$50.00
295	10458	100197561	National Multiple Sclerosis Society - Nebraska Chapter	68114	\$250.00
296	10459	100222620	National Multiple Sclerosis Society - New Jersey Metro Chapter	07755	\$275.00
297	10460	100145576	National Multiple Sclerosis Society - New Jersey Metro Chapter	07652	\$1,350.00
298	10461	100142564	National Multiple Sclerosis Society - Northern CA Chapter	94158	\$50.00
299	10462	100147325	National Multiple Sclerosis Society - Pacific South Coast Chapter	92131	\$50.00
300	10463	100147821	National Multiple Sclerosis Society - Rhode Island Chapter	02886	\$100.00
301	10464	100220354	National Multiple Sclerosis Society - Southern New York Chapter	10017	\$50.00
302	10465	100270827	National Multiple Sclerosis Society - Wyoming Division - All American Chapter	82001	\$54.00
303	10466	100164784	National Organization for Rare Disorders Inc	06813	\$200.00
304	10467	100156555	National Parkinson Foundation Inc	33136	\$50.00
305	10468	100156556	National Psoriasis Foundation	97223	\$75.00
306	10469	100187715	Nepalese Youth Opportunity Foundation Inc.	94905	\$1,500.00
307	10470	100252573	New School	72701	\$200.00
308	10471	100140900	New York Public Radio	10013	\$1,231.00

NOVARTIS MATCHING GIFT PROGRAM

8/23/2011

Checks Dated : 07/14/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
309	10472	100142159	New York University	10009-0837	\$925.00
310	10473	100244537	North Carolina Agricultural Foundation Inc	27690-6773	\$500.00
311	10474	100174703	North Carolina State University	27695-7501	\$6,250.00
312	10475	100307831	North Oakland Community Charter School	94608	\$450.00
313	10476	100323029	Northern New Jersey Jewish Education for Generations Inc.	07621	\$720.00
314	10477	100215085	Northwest Mississippi Community College	38668	\$170.00
315	10478	100142934	Northwestern University	60208-4305	\$1,250.00
316	10479	100259304	Notre Dame Academy	30096	\$5,000.00
317	10480	100313906	Nurse Family Partnership	80203	\$100.00
318	10481	100144769	Ohio State University Foundation - Columbus	43271-0811	\$675.00
319	10482	100324138	Oklahoma Family Center for Autism Inc	73134	\$25.00
320	10483	100144885	Oklahoma State University Foundation - Stillwater	74076-1749	\$800.00
321	10484	100165715	Old Colony Hospice Inc	02368	\$500.00
322	10485	100152870	Oncology Nursing Foundation	15275	\$50.00
323	10486	100145793	Operation Smile Inc	23509	\$240.00
324	10487	100143323	Oral Roberts University	74171	\$500.00
325	10488	100313098	Our Lady of Grace School	94546	\$100.00
326	10489	100142573	Pan.Massachusetts Challenge Trust	02494	\$950.00
327	10490	100261015	Parkinson Research Foundation Inc	34238	\$100.00
328	10491	100174408	Parkinsons Unity Walk Inc	08528-0275	\$200.00
329	10492	100235956	Partners in Health	02284-5578	\$1,200.00
330	10493	100142634	Peck School	07960	\$2,500.00
331	10494	100144772	Pennsylvania State University - University Park	16802-1506	\$35.00
332	10495	100184619	Pike School Inc.	01810	\$500.00
333	10496	100145227	Pingree School Inc	01982	\$100.00
334	10497	100143966	Pingry Corporation	08836	\$500.00
335	10498	100262306	Pius X Catholic High School	68510	\$500.00
336	10499	100156758	Pius X Foundation	68510	\$700.00
337	10500	100262012	Planned Parenthood Foundation	10001	\$30.00
338	10501	100319659	Plant High School PTSA	33629-5147	\$100.00
339	10502	100143072	Pomona College	91711	\$250.00
340	10503	100142799	President and Trustees of Bates College	04240-6028	\$150.00
341	10504	100143039	President-Board of Trustees Santa Clara College	95053-1410	\$500.00
342	10505	100199103	Prince of Peace Catholic School	75093	\$100.00
343	10506	100264901	Princeton Academy of the Sacred Heart	08540	\$50.00
344	10507	100143881	Princeton Day School Inc.	08540	\$100.00
345	10508	100316513	Promise Foundation	07450	\$175.00
346	10509	100220360	Purdue Foundation Inc	47907-2007	\$1,117.00
347	10510	100170887	Purdue University	47907-2007	\$25.00
348	10511	100143361	Rabbinical College of America	07962	\$300.00
349	10512	100144848	Ramapo College Foundation	07430	\$50.00
350	10513	100270534	Red Sox Foundation Inc	02215	\$25.00
351	10514	100269339	Redwood Heights PTA	94619	\$390.00
352	10515	100169017	Regents of the University of Michigan	60055-0189	\$1,250.00

NOVARTIS MATCHING GIFT PROGRAM

8/23/2011

Checks Dated : 07/14/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
353	10516	100143932	Regis High School	10028	\$1,500.00
354	10517	100142955	Reynolds Polytechnic Institute	12180	\$100.00
355	10518	100143290	Rhodes College	38112	\$100.00
356	10519	100316276	Riverview Elementary School	55024	\$50.00
357	10520	100189373	Ronald McDonald House Charities of Rochester NY Inc	14620	\$25.00
358	10521	100159190	Roswell Park Alliance Foundation	14263	\$275.00
359	10522	100143971	Roxbury Latin School Inc	02132-3496	\$250.00
360	10523	100144774	Rutgers University Foundation - New Brunswick	08901-1261	\$4,200.00
361	10524	100181193	Salk Institute for Biological Studies	92186-5800	\$500.00
362	10525	100228235	San Carlos Educational Foundation	94070	\$2,000.00
363	10526	100142314	San Francisco AIDS Foundation	94103	\$1,750.00
364	10527	100142731	San Marino School Foundation	91108	\$2,000.00
365	10528	100281088	San Mateo High School Foundation	94401	\$1,500.00
366	10529	100142600	Save the Children Federation Inc	06880	\$650.00
367	10530	100142383	Schepens Eye Research Institute Inc	02114	\$500.00
368	10531	100142976	Seton Hall University	07079-2452	\$125.00
369	10532	100207770	Smile Train Inc	10010	\$345.00
370	10533	100188073	Solana Beach Foundation for Learning	92075-1241	\$1,000.00
371	10534	100322376	South Orange & Maplewood Educational Foundation Inc	07040	\$50.00
372	10535	100142099	Southern Ocean County Hospital Foundation Inc	08050	\$100.00
373	10536	100266243	Special Strides	08831	\$150.00
374	10537	100144104	St. Andrews School of Boca Raton Inc	33434	\$100.00
375	10538	100223026	St. Ann School	08869	\$250.00
376	10539	100144486	St. Anthony High School	07302	\$50.00
377	10540	100247093	St. Baldricks Foundation Inc	91016	\$150.00
378	10541	100145630	St. Benedicts Preparatory School	07102-1314	\$50.00
379	10542	100142962	St. Bonaventure University	14778	\$100.00
380	10543	100197759	St. Helena School	08820	\$425.00
381	10544	100212181	St. Jerome School	07764	\$50.00
382	10545	100174496	St. John School	68505	\$2,000.00
383	10546	100144217	St. Josephs Collegiate Institute	14223	\$150.00
384	10547	100142123	St. Jude Childrens Research Hospital Inc	38105	\$7,125.00
385	10548	100144195	St. Labre Indian School	59004	\$1,000.00
386	10549	100142969	St. Lawrence University	13617	\$250.00
387	10550	100255326	St. Peters Episcopal School	37415	\$700.00
388	10551	100294569	St. Rock Haiti Foundation Ltd	02045	\$500.00
389	10552	100307063	Stanbridge Academy	94401	\$1,000.00
390	10553	100154140	Stout University Foundation Inc.	54751-0790	\$25.00
391	10554	100324323	Summerfield Elementary School	27358	\$1,000.00
392	10555	100156108	Sunrise Communications Inc.	68508	\$280.00
393	10556	100251529	Susan G. Komen Breast Cancer Foundation - NC Triangle Affiliate	27601	\$50.00
394	10557	100204828	Susan G Komen Breast Cancer Foundation - Central Virginia Affiliate	23235	\$50.00
395	10558	100308015	Susan G. Komen Breast Cancer Foundation - 3-Day for the Cure	60601	\$3,070.00
396	10559	100210184	Susan G. Komen Breast Cancer Foundation - Greater Atlanta Affiliate	30342	\$50.00

NOVARTIS MATCHING GIFT PROGRAM

8/23/2011

Checks Dated : 07/14/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
397	10560	100228663	Susan G. Komen Breast Cancer Foundation - Minnesota Affiliate	55425	\$35.00
398	10561	100196044	Susan G. Komen Breast Cancer Foundation - North Jersey Affiliate	07901	\$465.00
399	10562	100283982	Susan G. Komen Breast Cancer Foundation - SW Michigan Affiliate	49007	\$200.00
400	10563	100239630	Susan G. Komen Breast Cancer Foundation - Sacramento Valley Affiliate	95825	\$500.00
401	10564	100229113	Susan G. Komen Breast Cancer Foundation - Southern NV Affiliate	89103	\$100.00
402	10565	100173370	T.C. Thompson Childrens Hospital Foundation Inc	37403	\$250.00
403	10566	100144810	Texas Tech Foundation Inc.	79409-5025	\$50.00
404	10567	100323279	The Atonement Academy	78255	\$2,200.00
405	10568	100187250	The Cathedral Preschool Inc	30305-2937	\$500.00
406	10569	100314391	Thoreau School PTG	01742	\$50.00
407	10570	100323950	Torrey Pines Elementary School Foundation	92037	\$200.00
408	10571	100142990	Trinity College	06106	\$500.00
409	10572	100157248	Trinity Valley School	76132	\$2,000.00
410	10573	100142849	Trustees of Dartmouth College	03755-3555	\$5,325.00
411	10574	100142873	Trustees of Hamilton College	13323	\$350.00
412	10575	100142946	Trustees of Princeton University	08543-5357	\$5,550.00
413	10576	100142992	Trustees of Tufts College	02155	\$250.00
414	10577	100143002	Trustees of the University of Pennsylvania	19104-6285	\$1,925.00
415	10578	100253254	Tuesdays Children	11030	\$100.00
416	10579	100144784	UCLA Foundation	90024	\$800.00
417	10580	100145336	UNC-TV - University of North Carolina at Chapel Hill	27709-4900	\$97.00
418	10581	100244885	Udavam Karangal of USA	01730	\$250.00
419	10582	100144782	United States Naval Academy Foundation Inc	21402	\$735.00
420	10583	100144839	University at Albany Foundation - SUNY Albany	12222	\$50.00
421	10584	100144960	University of Akron Foundation	44325-2603	\$150.00
422	10585	100144807	University of Arizona Foundation	85721-0416	\$300.00
423	10586	100142998	University of Chicago	60637	\$50.00
424	10587	100144786	University of Delaware	19716-0701	\$1,000.00
425	10588	100197106	University of Houston	77001-0867	\$75.00
426	10589	100144846	University of Kentucky Office of Controller & Treasurer	40506-0015	\$2,040.00
427	10590	100144862	University of Mississippi Foundation	38677	\$6,200.00
428	10591	100144875	University of Nebraska Foundation	68501-2555	\$150.00
429	10592	100161005	University of No Ala Foundation	35632	\$25.00
430	10593	100214342	University of North Carolina Chapel Hill Arts and Sciences Foundation	27599	\$100.00
431	10594	100144794	University of North Carolina at Chapel Hill	27514	\$250.00
432	10595	100144821	University of North Carolina at Greensboro Investment Fund Inc.	27402-6170	\$1,000.00
433	10596	100144795	University of North Dakota Foundation	58202-8157	\$1,000.00
434	10597	100143036	University of Notre Dame	46556	\$250.00
435	10598	100144833	University of Oklahoma Foundation Inc.	73019	\$1,120.00
436	10599	100144868	University of Oregon Foundation	97403-1905	\$100.00
437	10600	100143004	University of Richmond	23173	\$100.00
438	10601	100143005	University of Rochester	14627	\$550.00
439	10602	100143304	University of Scranton	18510	\$260.00
440	10603	100144911	University of South Carolina Educational Foundation - Columbia	29208	\$1,018.00

NOVARTIS MATCHING GIFT PROGRAM

8/23/2011

Checks Dated : 07/14/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
441	10604	100140731	University of St. Andrews American Foundation	02118	\$500.00
442	10605	100143478	University of Tennessee Foundation - Knoxville	37901-5016	\$1,760.00
443	10606	100144799	University of Vermont and State Agricultural College	05401	\$2,213.00
444	10607	100156745	University of the Sciences in Philadelphia	19104-4495	\$2,000.00
445	10608	100160952	Valerie Fund	07040	\$50.00
446	10609	100265329	Valhalla Elementary School Parent Faculty Association	945231717	\$2,500.00
447	10610	100143011	Villanova University	19085-1673	\$850.00
448	10611	100144803	Virginia Tech Foundation Inc	24061	\$50.00
449	10612	100141126	WBUR-FM 90.9 - Trustees of Boston University	02215	\$1,080.00
450	10613	100159211	WFDD-FM 88.5 - Wake Forest University	27109	\$400.00
451	10614	100140838	WGBH Educational Foundation	02134	\$250.00
452	10615	100141051	WHYY Inc	19106	\$250.00
453	10616	100154591	WUNC-FM 91.5 - University of North Carolina at Chapel Hill	27514	\$200.00
454	10617	100155143	Wake Forest University Health Services	27157-1021	\$150.00
455	10618	100254374	Waldorf School Inc	02420	\$1,000.00
456	10619	100244542	Wellness Community of Central New Jersey	07921	\$4,950.00
457	10620	100143020	Wesleyan University	06459-0472	\$100.00
458	10621	100143164	Western New England College	01119-2684	\$200.00
459	10622	100198020	Westmont School Inc.	07945	\$500.00
460	10623	100315219	Winthrop Elementary School	01938	\$3,000.00
461	10624	100261960	Woodside School Inc.	03301	\$1,380.00
462	10625	100143038	Worcester Polytechnic Institute	01609	\$50.00
463	10626	100194092	Yeshiva High School for Girls Inc	07666	\$3,000.00
464	10627	100144075	Yeshiva Rabbi Samson Raphael Hirsch	10033-7602	\$1,350.00
465	10628	100159374	Yeshiva of North Jersey	07661	\$500.00
466	10629	100176027	Young Mens Christian Association Corporation	07901	\$25.00
Grand Total:			466		\$401,122.71

7

Novartis US Foundation
Check Register for 10/27/2011

Check Number	Organization	Request ID	Payment ID	Check Amount
12025	826 NATIONAL	6057488	9638589	\$1,150.00
12020	++Alzheimer's Association DELAWARE VALLEY CHAPTER	6057487	9638591	\$425.00
12027	ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATION INC	6057488	9638591	\$100.00
12028	AMERICAN CANCER SOCIETY INC	6057489	9638592	\$175.00
12029	AMERICAN CANCER SOCIETY INC	6057490	9638593	\$75.00
12030	AMERICAN DIABETES ASSOCIATION INC	6057491	9638594	\$200.00
12031	AMERICAN HEART ASSOCIATION INC	6057492	9638595	\$725.00
12032	AMERICAN HEART ASSOCIATION INC	6057493	9638596	\$100.00
12033	AMERICAN HEART ASSOCIATION INC	6057494	9638597	\$225.00
12034	AMERICAN HEART ASSOCIATION INC	6057495	9638598	\$25.00
12035	AMERICAN HEART ASSOCIATION INC	6057496	9638599	\$200.00
12036	AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION	6057497	9638600	\$50.00
12037	AMYOTROPHIC LATERAL SCLEROSIS ASSOCIATION	6057498	9638601	\$500.00
12038	ASSOCIATION OF FORMER STUDENTS OF TEXAS A & M UNIVERSITY	6057499	9638602	\$250.00
12039	BETH ISRAEL MEDICAL CENTER FOUNDATION INC	6057500	9638603	\$100.00
12040	CANTERBURY EPISCOPAL SCHOOL	6057501	9638604	\$200.00
12041	CATHEDRAL PRESCHOOL INC	6057502	9638605	\$500.00
12042	Central Maine Medical Center	6057503	9638606	\$150.00
12043	CHILDRENS HOSPITAL CORPORATION	6057504	9638607	\$1,300.00
12044	CITIZENS UNITED FOR RESEARCH IN EPILEPSY	6057505	9638608	\$25.00
12045	++CORNELL UNIVERSITY FOUNDATION	6057507	9638610	\$2,500.00
12046	CT DOUGLAS SCHOOL PTO	6057508	9638611	\$700.00
12047	DANA FARBER CANCER INSTITUTE INC STEVEN CONNOLLY	6057509	9638612	\$675.00
12048	DAVIS PHINNEY FOUNDATION	6057510	9638613	\$2,500.00
12049	DUKE UNIVERSITY	6057511	9638614	\$250.00
12050	FAMILY & COMMUNITY SERVICES OF SOMERSET COUNTY	6057512	9638615	\$1,000.00
12051	FOUNDATION OF THE MASSACHUSETTS EYE AND EAR INFIRMARY INC	6057513	9638616	\$2,500.00
12052	HARBOR TO THE BAY INC	6057514	9638617	\$500.00
12053	HIGH TECH HIGH FOUNDATION	6057515	9638618	\$385.00
12054	++JUVENILE DIABETES RESEARCH FOUNDATION	6057516	9638619	\$25.00
12055	JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	6057517	9638620	\$150.00
12056	JUVENILE DIABETES RESEARCH FOUNDATION INTERNATIONAL	6057518	9638621	\$25.00
12057	KILLEARN LAKES ELEMENTARY PTO INC	6057519	9638622	\$200.00
12058	LANCE ARMSTRONG FOUNDATION EVENTS	6057520	9638623	\$25.00
12059	LEHIGH UNIVERSITY	6057521	9638624	\$350.00
12060	LEUKEMIA & LYMPHOMA SOCIETY INC	6057522	9638625	\$500.00
12061	LEUKEMIA & LYMPHOMA SOCIETY INC	6057523	9638626	\$50.00
12062	LIFELONG AIDS ALLIANCE	6057524	9638627	\$100.00

Novartis US Foundation
Check Register for 10/27/2011

Check Number	Organization	Request ID	Payment ID	Check Amount
12063	LOUISVILLE COMPREHENSIVE CARE	6057525	9668628	\$200.00
12064	MULTIPLE SCLEROSIS CENTER INC	6057526	9668629	\$100.00
12065	MEMORIAL SLOAN-KETTERING CANCER CENTER	6057527	9668630	\$100.00
12066	MONTEREY RIDGE EDUCATIONAL FOUNDATION	6057528	9668631	\$150.18
12067	MUSCULAR DYSTROPHY ASSOCIATION	6057529	9668632	\$100.00
12068	NATIONAL KIDNEY FOUNDATION INC	6057530	9668633	\$25.00
12069	NATIONAL MULTIPLE SCLEROSIS SOCIETY	6057531	9668634	\$150.00
12070	NATIONAL MULTIPLE SCLEROSIS SOCIETY	6057532	9668635	\$2,446.00
12071	NATIONAL MULTIPLE SCLEROSIS SOCIETY	6057533	9668636	\$200.00
12072	NATIONAL MULTIPLE SCLEROSIS SOCIETY	6057534	9668637	\$100.00
12073	NATIONAL MULTIPLE SCLEROSIS SOCIETY	6057535	9668638	\$3,980.00
12074	PAN MASSACHUSETTS CHALLENGE TRUST	6057536	9668639	\$100.00
12075	PASSION FOR CHILDRENS INC	6057537	9668640	\$1,000.00
12076	REGENTS OF THE UNIVERSITY OF MICHIGAN	6057538	9668641	\$50.00
12077	SOUTH DAKOTA STATE UNIVERSITY FOUNDATION	6057539	9668642	\$504.00
12078	++Susan G. Komen 3-Day for the Cure	6057540	9668643	\$120.00
12079	SYCAMORE VALLEY ELEMENTARY	6057541	9668644	\$100.00
12080	SYRACUSE UNIVERSITY	6057542	9668645	\$50.00
12081	THE NORTH CAROLINA PUBLIC TELEVISION FOUNDATION INC	6057543	9668646	\$2,500.00
12082	THE SIZER FOUNDATION INC	6057506	9668609	\$1,000.00
12082	CONTACT USA INC			

Number of Checks: 58
Total Check Amount: \$31,865.18

1725.00
30140.00

31865.00

Novartis US Foundation
Check Register for 10/28/2011

Check Number	Organization	Request ID	Payment ID	Check Amount
12092	AMERICAN CANCER SOCIETY INC	6069001	9681920	\$200.00
12093	AMERICAN CANCER SOCIETY INC	6069002	9681921	\$1,000.00
12094	AMERICAN HEART ASSOCIATION INC	6069003	9681922	\$1,350.00
12095	COMMUNITY RESEARCH INITIATIVE OF NEW ENGLAND INC	6069004	9681923	\$1,500.00
12096	FRIENDS OF PASEO DEL REY	6069005	9681924	\$150.00
12097	JOHNS HOPKINS UNIVERSITY	6069006	9681925	\$250.00
12098	NATIONAL MULTIPLE SCLEROSIS SOCIETY	6069007	9681926	\$175.00
12099	NATIONAL MULTIPLE SCLEROSIS SOCIETY	6069008	9681927	\$175.00
12100	NATIONAL MULTIPLE SCLEROSIS SOCIETY	6069009	9681928	\$25.00

Number of Checks: 9

Total Check Amount: \$4,825.00

NOVARTIS MATCHING GIFT PROGRAM FOR DIS.

8/23/2011

Checks Dated : 07/07/2011

SrNo	CheckNo	RecipientID	RecipientName	ZIP	Check Amt
1	10162	100148388	American Red Cross - International Response Fund	20013	\$43,535.00
Grand Total:			1		\$43,535.00

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box. ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	NOVARTIS U.S. FOUNDATION	☒ 13-6193034
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	230 PARK AVENUE, 21ST FLOOR	☐
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10169	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► GBGD, LLP

Telephone No ► 973-379-9100

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ☒ calendar year 20 11 or
- ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	4,960.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	9,794.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Paperwork Reduction Act Notice, see Instructions.Form **8868** (Rev 1-2012)