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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning , 2011, and endingVAN AMERINGEN FOUNDATION, INC.
509 MADISON AVENUE
NEW YORK, NY 10022-5501**A** Employer identification number
13-6125699**B** Telephone number (see the instructions)
(212) 758-6221**C** If exemption application is pending, check here ☐**D 1** Foreign organizations, check here ☐**2** Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**G** Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 76,796,603.
J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (att sch)				
2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	83,041.	83,041.	N/A	
4 Dividends and interest from securities	1,948,742.	1,948,742.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	2,017,356.			
b Gross sales price for all assets on line 6a	17,195,096.			
7 Capital gain net income (from Part IV, line 2)		2,017,356.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	4,049,139.	4,049,139.		
13 Compensation of officers, directors, trustees, etc	213,954.			213,954.
14 Other employee salaries and wages	59,592.	5,959.		53,623.
15 Pension plans, employee benefits	32,840.	447.		32,393.
16a Legal fees (attach schedule) SEE ST 1	35,081.	7,016.		28,065.
b Accounting fees (attach sch) SEE ST 2	15,000.	9,000.		6,000.
c Other prof fees (attach sch) SEE ST 3	446,240.	436,632.		9,608.
17 Interest				
18 Taxes (attach schedule)(see instrs) SEE STM 4	86,934.	354.		15,900.
19 Depreciation (attach sch) and depletion SEE ST 2	565.			
20 Occupancy	74,298.			74,298.
21 Travel, conferences, and meetings	5,765.			5,765.
22 Printing and publications				
23 Other expenses-(attach schedule) SEE STATEMENT 5	67,453.			67,453.
24 Total operating and administrative expenses. Add lines 13 through 23	1,037,722.	459,408.		507,059.
25 Contributions, gifts, grants paid PART XV	3,850,336.			3,850,336.
26 Total expenses and disbursements. Add lines 24 and 25	4,888,058.	459,408.		4,357,395.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-838,919.			
b Net investment income (if negative, enter -0-)		3,589,731.		
c Adjusted net income (if negative, enter -0-)				

BAA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

ASSETS	1	Cash — non-interest-bearing	84,876.	127,318.	127,318.
	2	Savings and temporary cash investments	2,705,739.	1,948,662.	1,948,662.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U S and state government obligations (attach schedule)	11,757,841.	12,445,793.	12,445,793.
	b	Investments — corporate stock (attach schedule)	53,480,867.	49,368,975.	49,368,975.
	c	Investments — corporate bonds (attach schedule)	12,919,484.	12,667,854.	12,667,854.
LIABILITIES	11	Investments — land, buildings, and equipment: basis			
		Less: accumulated depreciation (attach schedule)			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule)			
	14	Land, buildings, and equipment: basis	22,024.		
		Less: accumulated depreciation (attach schedule) SEE STMT 6	8,192.	14,397.	13,832.
	15	Other assets (describe SEE STATEMENT 7)	206,962.	238,001.	238,001.
	16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item i)	81,170,166.	76,810,435.	76,796,603.
	17	Accounts payable and accrued expenses	32,655.	32,840.	
	18	Grants payable			
NET ASSETS OR FUND BALANCES	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	32,655.	32,840.	
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. X			
	27	Capital stock, trust principal, or current funds	81,137,511.	76,777,595.	
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	81,137,511.	76,777,595.	
	31	Total liabilities and net assets/fund balances (see instructions)	81,170,166.	76,810,435.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	81,137,511.
2	Enter amount from Part I, line 27a	2	-838,919.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	80,298,592.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 8	5	3,520,997.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	76,777,595.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 9				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>		2	2,017,356.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	<div style="border: 1px solid black; padding: 2px;"> If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 </div>		3	381,231.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	4,059,001.	76,248,719.	0.053234
2009	4,478,258.	70,567,375.	0.063461
2008	4,478,595.	83,988,512.	0.053324
2007	4,567,927.	93,322,210.	0.048948
2006	4,445,532.	84,860,830.	0.052386

2 Total of line 1, column (d)	2	0.271353
3 Average distribution ratio for the 5-year base period— divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.054271
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	81,013,106.
5 Multiply line 4 by line 3	5	4,396,662.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	35,897.
7 Add lines 5 and 6	7	4,432,559.
8 Enter qualifying distributions from Part XII, line 4	8	4,357,395.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948— see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary— see instrs)	1	71,795.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3 Add lines 1 and 2	3	71,795.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	71,795.
6 Credits/Payments.		
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a	40,000.
b Exempt foreign organizations — tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	40,000.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	388.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	32,183.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses.</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes', attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>TAXPAYER</u> Telephone no. <u>(212) 758-6221</u> Located at <u>509 MADISON AVENUE NEW YORK NY</u> ZIP + 4 <u>10022</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20__ , 20__ , 20__ , 20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELEANOR SYPHER 515 EAST 89TH STREET NEW YORK, NY 10128	EXECUTIVE DIR 35	0.	0.	0.
PATRICIA SINGLETON 195-09 STATION ROAD FLUSHING, NY 11358	OFFICE MANAGE 35	0.	0.	0.

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	81,879,828.
b	Average of monthly cash balances	1b	106,005.
c	Fair market value of all other assets (see instructions)	1c	260,975.
d	Total (add lines 1a, b, and c)	1d	82,246,808.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	82,246,808.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	1,233,702.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	81,013,106.
6	Minimum investment return. Enter 5% of line 5	6	4,050,655.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	4,050,655.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	71,795.
b	Income tax for 2011. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	71,795.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	3,978,860.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	3,978,860.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	3,978,860.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	4,357,395.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,357,395.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,357,395.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				3,978,860.
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only			0.	
b Total for prior years: 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006	263,642.			
b From 2007	37,023.			
c From 2008	318,755.			
d From 2009	979,721.			
e From 2010	325,053.			
f Total of lines 3a through e	1,924,194.			
4 Qualifying distributions for 2011 from Part XII, line 4: \$ 4,357,395.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2011 distributable amount				3,978,860.
e Remaining amount distributed out of corpus	378,535.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,302,729.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012.				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	263,642.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	2,039,087.			
10 Analysis of line 9				
a Excess from 2007	37,023.			
b Excess from 2008	318,755.			
c Excess from 2009	979,721.			
d Excess from 2010	325,053.			
e Excess from 2011	378,535.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SCHEDULE ATTACHED	NONE	PUBLIC	VARIOUS	3,850,336.
Total			3a	3,850,336.
b Approved for future payment SCHEDULE ATTACHED - 2012	NONE	PUBLIC	VARIOUS	1,262,500.
Total			3b	1,262,500.

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STATEMENT 1
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FULTON, ROWE & HART LLP	\$ 35,081.	\$ 7,016.		\$ 28,065.
TOTAL	<u>\$ 35,081.</u>	<u>\$ 7,016.</u>		<u>\$ 28,065.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GLASSER & HAIMS, CPA, PC	\$ 15,000.	\$ 9,000.		\$ 6,000.
TOTAL	<u>\$ 15,000.</u>	<u>\$ 9,000.</u>		<u>\$ 6,000.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODY & INVESTMENT ADVISORY FEES	\$ 436,632.	\$ 436,632.		
JERRY KELLY - ANNUAL REPORT	9,608.			\$ 9,608.
TOTAL	<u>\$ 446,240.</u>	<u>\$ 436,632.</u>		<u>\$ 9,608.</u>

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX - 2010	\$ 30,680.			
EXCISE TAX - 2011	40,000.			
PAYROLL TAXES	16,254.	\$ 354.		\$ 15,900.
TOTAL	<u>\$ 86,934.</u>	<u>\$ 354.</u>		<u>\$ 15,900.</u>

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STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
COMPUTER EXPENSES	\$ 7,552.			\$ 7,552.
CONFERENCE RELATED EXPENSES	4,685.			4,685.
HEALTH INSURANCE	13,345.			13,345.
INSURANCE	18,210.			18,210.
NYS DEPT OF LAW	1,500.			1,500.
OFFICE EXPENSES	8,741.			8,741.
POSTAGE & MESSINGER SERVICE	2,921.			2,921.
SUBSCRIPTIONS, MEMBERSHIPS & DUES	5,813.			5,813.
TELEPHONE	4,686.			4,686.
TOTAL	<u>\$ 67,453.</u>	<u>\$ 0.</u>		<u>\$ 67,453.</u>

STATEMENT 6
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
IMPROVEMENTS	\$ 22,024.	\$ 8,192.	\$ 13,832.	\$ 0.
TOTAL	<u>\$ 22,024.</u>	<u>\$ 8,192.</u>	<u>\$ 13,832.</u>	<u>\$ 0.</u>

STATEMENT 7
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST & DIVIDENDS RECEIVABLE	\$ 226,653.	\$ 226,653.
RENT DEPOSIT	11,348.	11,348.
TOTAL	<u>\$ 238,001.</u>	<u>\$ 238,001.</u>

STATEMENT 8
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

UNREALIZED DEPRECIATION OF SECURITIES		\$ 3,520,997.
TOTAL		<u>\$ 3,520,997.</u>

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STATEMENT 9
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	SCHEDULE ATTACHED - REGULAR A/C	PURCHASED	VARIOUS	VARIOUS
2	SCHEDULE ATTACHED - REGULAR A/C	PURCHASED	VARIOUS	VARIOUS
3	SCHEDULE ATTACHED - EQUITY A/C	PURCHASED	VARIOUS	VARIOUS
4	SCHEDULE ATTACHED - EQUITY A/C	PURCHASED	VARIOUS	VARIOUS
5	SCHEDULE ATTACHED - SRI A/C	PURCHASED	VARIOUS	VARIOUS
6	SCHEDULE ATTACHED - SRI A/C	PURCHASED	VARIOUS	VARIOUS
7	SECURITIES LITIGATION SETTLEMENT	PURCHASED	VARIOUS	VARIOUS

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	1429614.		1268194.	161,420.				\$ 161,420.
2	9737092.		8805239.	931,853.				931,853.
3	1769610.		1585619.	183,991.				183,991.
4	2727623.		2213485.	514,138.				514,138.
5	346,843.		311,023.	35,820.				35,820.
6	1184197.		994,180.	190,017.				190,017.
7	117.		0.	117.				117.
TOTAL								\$ 2017356.

STATEMENT 10
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
HENRY P. VAN AMERINGEN 37 WEST 12TH STREET NEW YORK, NY 10010	PRES. - TREASUR 0	\$ 0.	\$ 0.	\$ 0.
GEORGE ROWE JR ONE ROCKEFELLER PLAZA NEW YORK, NY 10011	VP & SECY 0	0.	0.	0.
JUDITH BECK 1 BELMONT AVENUE BALA CYNWYD, PA 19004-1610	DIRECTOR 0	0.	0.	0.
CLARENCE J. SUNDRAM 28 TIERNEY DRIVE DELMAR, NY 12054	DIRECTOR 0	0.	0.	0.
ALEXANDRA HERZAN 300 CENTRAL PARK WEST NEW YORK, NY 10024	DIRECTOR 0	0.	0.	0.

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STATEMENT 10 (CONTINUED)
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
KENNETH A. KIND 1330 OLD FORD ROAD HUNTINGDOM VALLEY, PA 19006	DIRECTOR 0	\$ 0.	\$ 0.	\$ 0.
PATRICIA KIND C/O L MCKENNA, 503 AUBURN AVE WYBURN, PA 19038	DIRECTOR 0	0.	0.	0.
ANDREW KINDFULLER 10825 STANMORE DRIVE POTOMAC, MD 20854	DIRECTOR 0	0.	0.	0.
VALERIE KIND-RUBIN 27 PINWOOD DRIVE DOYLESTOWN, PA 18901	DIRECTOR 0	0.	0.	0.
LAURA K. MCKENNA 503 AUBURN AVENUE WYNDMOOR, PA 19038	DIRECTOR 0	0.	0.	0.
CHRISTINA KIND 301 CURTIS ROAD GLENSIDE, PA 19038	DIRECTOR 0	0.	0.	0.
TOTAL		\$ 0.	\$ 0.	\$ 0.

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**BALANCE SHEET
U.S. AND STATE GOVERNMENT OBLIGATIONS (FORM 990-PF)[O]**

SCHEDULE ATTACHED - MUNICIPAL	\$ 4,098,139.
SCHEDULE ATTACHED - US GOVT & AGENCY	8,347,654.
TOTAL	<u>\$ 12,445,793.</u>

**BALANCE SHEET
CORPORATE STOCK (FORM 990-PF)[O]**

SCHEDULE ATTACHED	\$ 34,970,616.
274,673 SHS INT'L FLAVORS & FRAGRANCES, INC.	14,398,359.
TOTAL	<u>\$ 49,368,975.</u>

**BALANCE SHEET
CORPORATE BONDS (FORM 990-PF)[O]**

SCHEDULE ATTACHED	\$ 9,617,854.
SCHEDULE ATTACHED - PROGRAM RELATED INVESTMENTS	3,050,000.
TOTAL	<u>\$ 12,667,854.</u>

**FMV OF ASSETS (990-PF)
US AND STATE GOVERNMENT OBLIGATIONS [O]**

SCHEDULE ATTACHED - MUNICIPAL	\$ 4,098,139.
SCHEDULE ATTACHED - US GOVT & AGENCY	8,347,654.
TOTAL	<u>\$ 12,445,793.</u>

**FMV OF ASSETS (990-PF)
CORPORATE STOCK [O]**

SCHEDULE ATTACHED	\$ 34,970,616.
274,673 SHS INT'L FLAVORS & FRAGRANCES, INC.	14,398,359.
TOTAL	<u>\$ 49,368,975.</u>

**FMV OF ASSETS (990-PF)
CORPORATE BONDS [O]**

SCHEDULE ATTACHED	\$ 9,617,854.
SCHEDULE ATTACHED - PROGRAM RELATED INVESTMENTS	3,050,000.
TOTAL	<u>\$ 12,667,854.</u>

van AMERINCEN FOUNDATION INC.
INVESTMENTS
DECEMBER 31, 2011

QUANTITY	DESCRIPTION	MARKET VALUE
	<u>EQUITIES - SRI A/C</u>	
2,285	3M COMPANY	\$ 186,753.05
6,440	ALTERA CORP	238,924.00
2,730	ANIXTER INTERNATIONAL INC	162,817.20
2,360	BECTON DICKSON & CO	176,339.20
2,290	BG GROUP PLC ADR	245,030.00
1,040	BLACKROCK INC	185,369.60
1,180	CANADIAN NATIONAL RAILROAD	92,700.80
17,425	CHARLES SCHWAB CORP NEW	196,205.50
2,425	CIMAREX ENERGY CO	150,107.50
5,325	COMCAST CORP NEW SPL CLASS A	125,457.00
3,680	COVIDIEN PLC	165,636.80
5,600	DANAHER CORP	263,424.00
2,960	ECOLAB INC	171,117.60
404	GOOGLE INC CL A	260,943.60
629	W W GRANGER INC	117,742.51
4,720	HERMAN MILLER INC	87,084.00
3,605	HOSPIRA INC	109,483.85
2,480	ICF INTL INC	61,454.40
371	MASTERCARD INC	138,316.22
3,620	MCCORMICK & CO INC	182,520.40
4,847	NATIONAL INSTRUMENTS CORP	125,779.65
6,665	NEWFIELD EXPLORATION CO	251,470.45
1,420	NOBLE ENERGY INC	134,033.80
990	NOVO NORDISK A/S ADR	114,107.40
1,960	NOVOZYMES A/S ADR	60,564.00
1,244	PRAXAIR INC	132,983.60
3,415	PROCTER & GAMBLE CO	227,814.65
11,885	PROGRESSIVE CORP-OHIO-	231,876.35
3,900	ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	165,945.00
4,695	SCRIPPS NETWORKS INTERACTIVE INC CL A	199,161.90
1,175	SMUCKER J M COMPANY	91,849.75
3,080	TARGET CORP	157,757.60
7,950	TEXAS INSTRUMENTS INC	231,424.50
3,230	UNILEVER NV NEW YORK SHS NEW ADR	111,015.10
	<u>TOTAL EQUITIES - EQUITY A/C</u>	<u>\$ 5,553,210.98</u>
	<u>EQUITIES - EQUITY A/C</u>	
8,400	AT&T INC	254,016.00
7,720	BCE INC	321,692.40
3,200	CANADIAN PACIFIC RAILWAY LTD	216,544.00
10,140	CENTRAL FUND OF CANADA LTD	198,744.00
2,690	EOG RES INC	264,991.90
5,600	GOLDCORP INC	247,800.00
10,920	GREAT PLAINS ENERGY INC	237,837.60
9,080	MEDTRONIC INC	347,310.00
11,630	MICROSOFT	301,914.80
3,880	MONSANTO CO	271,871.60
5,200	NEXTERA ENERGY INC	316,576.00
3,000	NOBLE ENERGY INC	283,170.00
5,570	NOVARTIS AG-SPONSORED ADR	318,436.90
11,030	J C PENNEY CO INC	387,704.50
7,800	PFIZER INC	168,792.00
5,210	PG&E CORP	214,756.20
5,280	POTASH CORP OF SASKATCHEWAN INC	217,958.40
2,950	SCHLUMBERGER LTD	201,514.50
5,310	SEMPRA ENERGY	292,050.00
3,470	SYNGENTA AG SPONSORED ADR	204,521.80
	<u>TOTAL EQUITIES - SRI A/C</u>	<u>\$ 5,268,202.60</u>
	<u>EQUITIES - REGULAR A/C</u>	
8,620	3M COMPANY	\$ 704,512.60
27,205	ALTERA CORP	1,009,305.50
8,575	ANHEUSER-BUSCH INBEV SA SPONSORED ADR	522,989.25
11,250	ANIXTER INTERNATIONAL INC	670,950.00
8,883	BG GROUP PLC ADR	950,481.00
4,495	BLACKROCK INC	801,188.80
9,460	C R BARD INC	808,830.00
8,835	CAMERON INTERNATIONAL CORP	434,593.65
3,310	CANADIAN NATIONAL RAILROAD	260,033.60
75,315	CHARLES SCHWAB CORP	848,046.90
8,410	COCA COLA CO	588,447.70
22,000	COMCAST CORP NEW SPL CLASS A	518,320.00
14,750	COVIDIEN PLC	663,897.50
24,210	DANAHER CORP	1,138,838.40
12,515	ECOLAB INC	723,492.15
1,715	GOOGLE INC, CL A	1,107,718.50
2,615	W W GRAINGER INC	489,501.85
15,780	HOSPIRA INC	479,238.60
1,570	MASTERCARD INC	585,327.40
14,670	MCCORMICK & CO INC NON-VOTING	739,661.40
19,182	NATIONAL INSTRUMENTS CORP	497,772.90
27,890	NEWFIELD EXPLORATION CO	1,052,289.70
4,945	PRAXAIR INC	528,620.50
16,255	PROCTER & GAMBLE CO	1,084,371.05
51,375	PROGRESSIVE CORP-OHIO	1,002,326.25
22,840	REPUBLIC SERVICES INC CL A	629,242.00
16,930	ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD	720,371.50
12,145	SCHLUMBERGER LTD	829,624.95
20,290	SCRIPPS NETWORKS INTERACTIVE INC CL A	860,701.80
13,610	TARGET CORP	697,104.20
33,035	TEXAS INSTRUMENTS INC	961,648.85
112,655	NEUBERGER BERMAN STRATEGIC INCME FD INSTL CL	1,239,753.98
	<u>TOTAL EQUITIES - REGULAR A/C</u>	<u>\$ 24,149,202.48</u>
	<u>TOTAL EQUITIES - ALL A/C</u>	<u>\$ 34,870,616.06</u>

van AMERINGEN FOUNDATION INC
INVESTMENTS
DECEMBER 31, 2011

QUANTITY	DESCRIPTION	MARKET VALUE
CORPORATION BONDS		
500,000	ABBOTT LABS 5.875% 5/15/2016	\$ 586,555.00
500,000	ANHEUSER BUSCH IMBEV W/W INC 5.375% 1/15/2020	586,400.00
350,000	APPALACHIAN PWR CO SR NT 4.6% 3/30/2021	383,831.00
500,000	AVON PRODS INC 5.625% 3/1/2014	531,940.00
500,000	BANK AMER FDG CORP 5.650% 05/1/2018	476,375.00
175,000	BERKSHIRE HATHAWAY INC 3.2% 2/11/2015	185,528.00
500,000	BOEING CAPITAL CORP 5.8% 01/15/2013	526,795.00
270,000	CATERPILLAR FINL SVCS CORP 5.85% 09/01/2017	321,710.40
400,000	CITIGROUP INC 8.5% 5/22/2019	470,836.00
500,000	COCA COLA CO 3.15% 11/15/2020	522,975.00
250,000	COLLATERALIZED MORTGAGE 8.8% 4/01/2018	950.28
500,000	CONOCOPHILLIPS 4.75% 2/01/2014	540,010.00
290,000	DEUTSCHE BK AG GLOBAL 5.37500% 10/12/2012	295,843.50
500,000	DU PONT E I DE NEMOURS & CO 3.25% 1/15/2015	534,625.00
385,000	EMERSON ELECTRIC CO 5.25% 10/15/2018	455,304.85
500,000	GENERAL ELEC CAP CORP 5.9% 5/13/2014	547,575.00
225,000	GOLDMAN SACHS GROUP INC 5.350% 01/15/2016	230,658.75
145,000	JOHNSON & JOHNSON 5.55% 8/15/2017	175,042.55
500,000	KEYBANK NA 3.2% 6/15/2012	506,835.98
500,000	LILLY Y ELI & CO 4.2% 3/6/2014	535,735.00
174,000	LILLY & ELI & COMPANY 6.57% 1/01/2016	206,287.44
500,000	MORGAN STANLEY 5.55% 4/27/2017	482,405.00
500,000	ROYAL BANK OF CANADA 2.1% 7/29/2013	507,590.00
250,000	SMALL BUSINESS ADMIN 8.05% 6/1/2012	6,045.20
	TOTAL CORPORATION BONDS	\$ 9,617,853.95
PROGRAM-RELATED INVESTMENTS		
1,000,000	COMMON GROUND	
	2%, INTEREST QUARTERLY, DUE 7/30/14	\$ 1,000,000.00
550,000	CORPORATION FOR SUPPORTIVE HOUSING	
	1% INTEREST QUARTERLY, DUE 7/21/14	550,000.00
	\$50,000 WILL BE A GRANT ON MATURITY	
500,000	THE REINVESTMENT FUND	
	3% INTEREST ANNUALLY, DUE 4/13/12	500,000.00
500,000	THE REINVESTMENT FUND	
	3.5% INTEREST ANNUALLY, DUE 4/13/14	500,000.00
500,000	THE REINVESTMENT FUND	
	4% INTEREST ANNUALLY, DUE 4/13/19	500,000.00
	TOTAL PROGRAM-RELATED INVESTMENTS	\$ 3,050,000.00
	TOTAL CORPORATION & PROGRAM-RELATED INVESTMENTS	\$12,667,853.95
MUNICIPAL BONDS		
700,000	ARIZONA BRD REGENTS UNIV ARIZ 4.56% 8/1/2017	778,477.00
500,000	CASINO RINVTMNT DEV AUTH NJ B 5.340% 6/01/2020	510,675.00
300,000	CHICAGO ILL TRAN AUTH SALES & REV 6.3%12/1/2021	331,212.00
500,000	CUYAHOGA CNTY OHIO ECONOMIC 1.6% 6/1/2012	501,015.00
500,000	FRESNO CNTY CA PENSION OBLIG ZERO CPN 8/15/2020	310,235.00
550,000	ILLINOIS ST GO 4.961% 3/1/2016	581,861.50
800,000	REGIONAL TRANSN AUTH ILL 2.843% 7/1/2012	808,632.00
275,000	WILMINGTON NC LTD OBLIG 1.83% 6/1/2012	276,031.25
	TOTAL MUNICIPAL BONDS	\$ 4,098,138.75
US GOVERNMENT & AGENCY BONDS		
250,000	FEDERAL HOME LOAN MTG CORP 4.25% 05/22/2013	\$ 263,580.61
500,000	FEDL HOME LOAN MTG CORP 7% 12/19/2013	500,000.00
500,000	FEDL HOME LOAN MTG CORP 5.0% 10/01/2035	187,538.11
450,000	FEDL HOME LOAN MTG CORP 5.0% 1/01/2019	103,396.12
588,000	FEDL HOME LOAN MTG CORP 5% 5/1/2018	87,931.45
500,000	FEDL HOME MTG CORP 5.50% 10/01/2018	134,195.82
500,000	FEDL HOME LOAN MTG CORP 6.0% 12/01/2036	158,970.37
1,000,000	FEDL HOME LOAN MTG CORP 5.50% 7/1/2037	346,812.68
200,000	FEDL HOME LOAN MTG CORP 4.50% 11/01/2024	134,613.28
330,000	FNMA GTD THRU POOL #255160 5.50% 3/01/2024	76,482.98
500,193	FNMA GTD PASS THRU POOL #256022 5.50% 12/01/2035	190,859.10
622,178	FNMA GTD PASS THRU POOL #256059 5.50% 1/01/2036	211,600.00
400,000	FNMA GTD PASS THRU POOL #256749 6% 6/1/2037	163,263.32
1,404,000	FNMA GTD PASS THRU POOL #323842 5.50% 7/1/2014	23,635.91
100,000	FNMA GTD PASS THRU POOL #582165 5.50% 6/01/2016	15,871.71
117,604	FNMA GTD PASS THRU POOL #594294 6.0% 01/01/2030	4,340.74
145,000	FNMA GTD PASS THRU POOL #6018004 6.0% 8/1/2016	13,424.74
290,000	FNMA GTD PASS THRU POOL #636899 6.50% 5/1/2032	11,460.02
179,018	FNMA GTD PASS THRU POOL #651231 6.0% 7/1/2017	7,276.22
301,057	FNMA GTD PASS THRU POOL #653116 6.0% 7/1/2017	16,122.02
300,000	FNMA GTD PASS THRU POOL #664711 6.50% 10/1/2032	16,252.29
216,000	FNMA GTD PASS THRU POOL #672659 6.50% 11/01/2032	57,670.80
116,000	FNMA GTD PASS THRU POOL #688031 5.0% 3/01/2018	13,624.75
795,000	FNMA GTD PASS THRU POOL #725424 5.50% 4/01/2034	164,384.44
1,491,814	FNMA GTD PASS THRU POOL #735115 6.0% 12/01/2034	296,421.70
454,130	FNMA GTD PASS THRU POOL #745257 6.0% 1/01/2036	170,332.75
469,944	FNMA GTD PASS THRU POOL #745418 5.50% 4/1/2036	160,085.29
297,395	FNMA GTD PASS THRU POOL #747960 5.50% 10/01/2018	55,583.21
560,000	FNMA GTD PASS THRU POOL #787819 6.0% 7/01/2034	177,792.96
443,052	FNMA GTD PASS THRU POOL #796306 5.50% 11/01/2018	66,818.60
1,000,000	FNMA GTD PASS THRU POOL #814752 5.50% 4/01/2035	509,195.65
1,215,000	FNMA GTD PASS THRU POOL #821405 5.50% 5/01/2035	310,975.48
637,024	FNMA GTD PASS THRU POOL #845341 5.50% 1/01/2036	213,924.37
300,000	FNMA GTD PASS THRU POOL #863002 6.0% 11/01/2035	104,497.47
350,000	G N M A PASS THRU POOL #489333X 6% 12/15/2013	19,465.03
612,442	G N M A PASS THRU POOL 563672X 6.0% 11/15/2017	83,948.62
225,000	G N M A PASS THRU POOL #590221X 6.50% 7/15/2032	13,196.03
290,000	G N M A PASS THRU POOL 781618X 6.50% 11/15/2032	54,267.19
1,000,000	TENNESSEE VALLEY AUTH FED STRIPS 12/15/2017	889,109.86
500,000	TENNESSEE VALLEY AUTH POWER 6.25% 12/15/2017	632,042.95
500,000	TENNESSEE VALLEY AUTH POWER 4.375% 6/15/20115	558,606.76
500,000	TENNESSEE VALLEY AUTH POWER BD C 6.0% 3/15/2013	533,112.97
500,000	UNITED STATES TREASURY NOTES 1.375% 7/15/2018	594,969.94
	TOTAL US GOVERNMENT & AGENCY BONDS	\$ 8,347,654.31
	TOTAL MUNICIPAL & US GOVERNMENT & AGENCY	\$12,445,793.06

van AMERINGEN FOUNDATION, INC.
GRANTS FOR THE YEAR 2011 AND COMMITMENTS FOR THE YEAR 2012

	<u>2011</u>	<u>2012</u>
Applied Behavior Research Institute	\$ 50,000	
Academy of Cognitive Therapy	15,000	
Achievability	30,000	
ACLU FOUNDATION	50,000	
Andrus Children Center	75,000	
Animation Project	20,000	\$ 20,000
Arise Academy	50,000	50,000
Baruch College	75,000	75,000
Bazelon Center	100,000	100,000
Big Apple Circus	50,000	50,000
Brain & Behavior Research	150,000	
Bridge Inc.	100,000	
Calvary Fund	10,000	10,000
Catholic Charities	50,000	50,000
Center for Family Life	25,000	
Center for Urban Community Services	50,000	
Children's Jubilee Fund	5,000	
Circle Lodge	7,500	
Coalition of Behavioral Health	77,040	
Coalition of Institutionalized Aged	50,000	
Community Access	50,000	
Comunlife	52,000	52,000
Correctional Association	75,000	75,000
Cristo Rey Philadelphia	15,000	
Disability Advocates	100,000	
Drexel University	85,000	
Fallen Warriors Foundation	5,000	
Family Guidance Center	50,000	
Fountain House	250,000	
Fortune Society	50,000	
Georgetown University	15,000	
Getting Out & Staying Out	50,000	
Hedwig House	7,500	
Highbridge Community	50,000	
Horticultural Society	50,000	
Int'l Center for Clubhouse Development	150,000	
Int'l Center for the Disabled	68,000	45,500
Jewish Board Family & Children's Service	75,000	75,000
Laurel House	30,000	
Lexington Center for Mental Health	45,000	
Martha's Vineyard Public Schools	10,000	
Masters School	10,000	
Mental Health Association in NJ	60,000	30,000
MFY Legal Services	100,000	100,000
Montifiore Medical Center	65,000	
Montgomery Co Community College	50,000	50,000

van AMERINGEN FOUNDATION, INC.
GRANTS FOR THE YEAR 2011 AND COMMITMENTS FOR THE YEAR 2012

	<u>2011</u>	<u>2012</u>
Montgomery Early Learning Centers	65,000	65,000
NAMI/ National Alliance for the Mentally Ill	45,000	
National Center for Law & Economic Justice	75,000	
New York Foundling Hospital	50,000	
New York Presbyterian Hospital	50,000	
Ninos Con Valor	5,000	
Northern Manhattan Improvement	50,000	
Northside Center	50,000	
Philadelphia Fight	40,000	
Philadelphia Montgomery Christ	5,000	
Prep for Prep	15,000	
Project Find	25,000	
Project H.O.M.E.	25,000	
Ramapo	50,000	
Refugee and Immigrant Fund	70,000	
Rutgers University Foundation	25,000	25,000
Salesian Missions	10,000	
Seedco	50,000	
Seniors Helping Seniors	25,000	25,000
Sikora Center	50,000	50,000
St. Christopher's	50,000	
St. Luke's /Roosevelt	50,000	50,000
St. Paul's Center	50,000	50,000
Supportive Housing Network	65,000	65,000
Temple Judea	5,000	
Transportation Alternatives	5,000	
Turning Point	20,000	
University of Pennsylvania	54,000	
Urban Justice Center	150,000	150,000
Visiting Nurse Service	25,000	
A Voice Heard	10,000	
West Bergen Mental Healthcare	46,000	
Whosoever Gospel Mission	5,000	
Women's Law Project	15,000	
	<hr/>	<hr/>
	\$ 3,937,040	\$ 1,262,500
Grant Returned - Drexel University	(85,000)	
Unused Portion of Grant Returned -		
NYU School of Medicine	(1,704)	
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Total of Grants	<u>\$ 3,850,336</u>	<u>\$ 1,262,500</u>