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HURRICANE SANDY

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, 2011, and ending

, 20

Name of foundation AMERICAN EXPRESS FOUNDATION		A Employer identification number 13-6123529						
Number and street (or P O box number if mail is not delivered to street address) 200 VESEY STREET		B Telephone number (see instructions) (212) 640-4648						
City or town, state, and ZIP code NEW YORK, NY 10285		C If exemption application is pending, check here <input type="checkbox"/>						
G Check all that apply: <table border="0"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity							
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return							
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change							
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>						
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 10,957,285.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>						
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)								

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)		-1,000,000.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		12,867.	12,867.		ATCH 1
4 Dividends and interest from securities					
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		1,012,867.	12,867.		
13 Compensation of officers, directors, trustees, etc.		0			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule) ATCH 2		17,500.			17,500.
c Other professional fees (attach schedule) *		6,833.	6,833.		
17 Interest					
18 Taxes (attach schedule) (see instructions)					
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) ATCH 4		94,807.			94,807.
24 Total operating and administrative expenses. Add lines 13 through 23		119,140.	6,833.		112,307.
25 Contributions, gifts, grants paid		8,591,500.			8,591,500.
26 Total expenses and disbursements. Add lines 24 and 25		8,710,640.	6,833.	0	8,703,807.
27 Subtract line 26 from line 12		-7,697,773.			
a Excess of revenue over expenses and disbursements			6,034.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

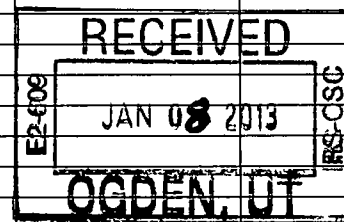
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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	62,026.	60,119.	60,119.	
	2	Savings and temporary cash investments	18,593,032.	10,897,166.	10,897,166.	
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)				
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶ (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶ (attach schedule)					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	18,655,058.	10,957,285.	10,957,285.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	0	0			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	18,655,058.	10,957,285.		
	30	Total net assets or fund balances (see instructions)	18,655,058.	10,957,285.		
	31	Total liabilities and net assets/fund balances (see instructions)	18,655,058.	10,957,285.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,655,058.
2	Enter amount from Part I, line 27a	2	-7,697,773.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	10,957,285.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,957,285.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	8,252,628.	11,989,084.	0.688345
2009	7,821,678.	9,599,893.	0.814767
2008	10,368,784.	11,800,611.	0.878665
2007	17,544,638.	15,296,101.	1.147001
2006	17,883,617.	15,334,516.	1.166233
2 Total of line 1, column (d)			4.695011
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			0.939002
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			15,721,118.
5 Multiply line 4 by line 3			14,762,161.
6 Enter 1% of net investment income (1% of Part I, line 27b)			60.
7 Add lines 5 and 6			14,762,221.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8,703,807.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	121.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	121.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	121.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a 11,041.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	11,041.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,920.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax <input type="checkbox"/> 10,920. Refunded <input type="checkbox"/> <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> MARY ELLEN CRAIG Telephone no <input type="checkbox"/> 212-640-4648			
Located at <input type="checkbox"/> 200 VESEY STREET, 48TH FL NEW YORK, NY ZIP + 4 <input type="checkbox"/> 10285				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? <input type="checkbox"/>	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="checkbox"/>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) <input type="checkbox"/>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? <input type="checkbox"/>	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHMENT 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	
b	Average of monthly cash balances	1b	15,960,526.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	15,960,526.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	15,960,526.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	239,408.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	15,721,118.
6	Minimum investment return. Enter 5% of line 5	6	786,056.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	786,056.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	121.
b	Income tax for 2011. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	121.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	785,935.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	785,935.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	785,935.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,703,807.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,703,807.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	8,703,807.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				785,935.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20 09, 20 08, 20 07				
3 Excess distributions carryover, if any, to 2011				
a From 2006	17,105,758.			
b From 2007	16,732,672.			
c From 2008	9,785,631.			
d From 2009	7,343,021.			
e From 2010	7,653,477.			
f Total of lines 3a through e	58,620,559.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 8,703,807.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				785,935.
e Remaining amount distributed out of corpus	7,917,872.			
5 Excess distributions carryover applied to 2011. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	66,538,431.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	17,105,758.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	49,432,673.			
10 Analysis of line 9				
a Excess from 2007	16,732,672.			
b Excess from 2008	9,785,631.			
c Excess from 2009	7,343,021.			
d Excess from 2010	7,653,477.			
e Excess from 2011	7,917,872.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Grants and Contributions Paid During the Year or Approved for Future Payment		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Recipient	Name and address (home or business)				
a Paid during the year					
ATTACHMENT 7					
Total				3a	8,591,500.
b Approved for future payment					
ATTACHMENT 8					
Total				3b	6,948,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	12,867.	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property .					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal Add columns (b), (d), and (e)				12,867.	
13 Total. Add line 12, columns (b), (d), and (e)				12,867.	12,867.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions.		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
	c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule:

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**


Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

✓ 12/11/12
Date

▶ President
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name MARIE ARRIGO	Preparer's signature 	Date 12/05/2012	Check <input type="checkbox"/> if self-employed	PTIN P00058583
	Firm's name ▶ EISNERAMPER LLP			Firm's EIN ▶ 13-1639826	
	Firm's address ▶ 750 THIRD AVENUE NEW YORK, NY 10017-2703			Phone no 212-949-8700	

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011**Name of the organization**

AMERICAN EXPRESS FOUNDATION

Employer identification number

13-6123529

Organization type (check one)**Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

JSA

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Name of organization AMERICAN EXPRESS FOUNDATION

Employer identification number
13-6123529**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AMERICAN EXPRESS SEGMENT 4 NEW YORK, NY	\$ 1,000,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

Employer identification number

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization AMERICAN EXPRESS FOUNDATION

Employer identification number

13-6123529

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once See instructions.) ► \$

Use duplicate copies of Part III if additional space is needed.

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
HARRIS BANK	12,867.	12,867.
TOTAL	<u>12,867.</u>	<u>12,867.</u>

ATTACHMENT 2FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
EISNERAMPER LLP	17,500.			17,500.
TOTALS	<u>17,500.</u>			<u>17,500.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT ADVISORY: RIVERSOURCE INVESTMENTS, LLC	6,833.	6,833.		
TOTALS	<u>6,833.</u>	<u>6,833.</u>		

ATTACHMENT 4FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BANK FEES	21,173.			21,173.
SOFTWARE SERVICE	10,524.			10,524.
FILING FEES	750.			750.
ADMINISTRATION FEES	62,360.			62,360.
TOTALS	94,807.			94,807.

ATTACHMENT 5FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: SEE ATTACHED
GRANTEE'S ADDRESS:
CITY, STATE & ZIP:
GRANT DATE:
GRANT AMOUNT:
GRANT PURPOSE:
AMOUNT EXPENDED:
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:

AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2011 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Arts & Business Ltd.
Mr. Philip Spedding
Director
Nutmeg House, 60 Gainsford Street
Butler's Wharf, United Kingdom

(2) AMOUNT OF GRANT AND DATE:

\$140,000.00 paid on 12/2/2011

(3) PURPOSE OF THE GRANT:

To The American Express Culture Card -UK (\$252,000/2 yrs., 2011-2012)
Support for administration of the Culture Card Program and grants to institutions
in the London/Brighton areas for 2011-2012.

(4) AMOUNT SPENT BY GRANTEE:

\$136,396.63

(5) To the knowledge of American Express Foundation, the grantee has not diverted
any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

April 16, 2012

(7) American Express Foundation has not undertaken any verification of the grantee's
reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2011 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Arts & Business Ltd.
Mr. Philip Spedding
Director
Nutmeg House, 60 Gainsford Street
Butler's Wharf, United Kingdom

(2) AMOUNT OF GRANT AND DATE:

\$210,000.00 paid on 12/7/2009

(3) PURPOSE OF THE GRANT:

To The American Express Culture Card -UK
Support for administration of the Culture Card Program and grants to institutions
in the London/Brighton areas for July 2009-December 2010.

(4) AMOUNT SPENT BY GRANTEE:

\$210,000

(5) To the knowledge of American Express Foundation, the grantee has not diverted
any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

April 16, 2012

(7) American Express Foundation has not undertaken any verification of the grantee's
reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2011 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Asociacion Civil RESPONDE
Dr. Marcela Benitez
Executive Director
Av. Cervino 4634 1 B
Ciudad Autonoma de Buenos Aires
Argentina

(2) AMOUNT OF GRANT AND DATE:

\$39,000.00 paid on 11/20/2009

(3) PURPOSE OF THE GRANT:

To Tourism in Rural Villages of Carmensa
Support for development of Tourism in Rural Villages Program in San Pedro del
Atuel (Carmensa)

(4) AMOUNT SPENT BY GRANTEE:

\$39,000

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

April 10, 2012

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2011 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Association for Persons with Special Needs
Dr. Chey Chor Khooon
Executive Director
29 Jalan Tembusu
Singapore

(2) AMOUNT OF GRANT AND DATE:

\$50,000.00 paid on 8/19/2011

(3) PURPOSE OF THE GRANT:

To Ecology Training Center for People with Special Needs
Support for development of an ecology facility designed to empower persons with special needs through environmental activities, to be supported by American Express volunteers.

(4) AMOUNT SPENT BY GRANTEE:

\$0

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

April 24, 2012

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2011 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

AWWA - Asian Women's Welfare Association (AWWA Elderly Services)
Mr. Keith Lee
Director
Blk 123, Ang Mo Kio Avenue 6
Singapore

(2) AMOUNT OF GRANT AND DATE:

\$50,000.00 paid on 10/29/2010

(3) PURPOSE OF THE GRANT:

To Refurbishment Project at Singapore Elder Services Center
Support for AXP volunteer program to revitalize an elderly home for 140 seniors
in Singapore

(4) AMOUNT SPENT BY GRANTEE:

\$49,166.82

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

April 23, 2012

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

**AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2011 FORM 990-PF
RETURN OF PRIVATE FOUNDATION**

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

English Heritage
Ms. Magdalen Fisher
Development Director
1 Waterhouse Square
138-142 Holborn
United Kingdom

(2) AMOUNT OF GRANT AND DATE:

\$45,000.00 paid on 10/29/2008

(3) PURPOSE OF THE GRANT:

To Volunteer Program at Chiswick House & Gardens
Support for training and equipment needed by community volunteers in London,
England.

(4) AMOUNT SPENT BY GRANTEE:

\$45,000

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

April 16, 2012

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2011 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Hungarian Chamber Symphony Orchestra
Mr. Imre Magyari
President
Visegrádi u. 9., IV-5
1132 Budapest
Hungary

(2) AMOUNT OF GRANT AND DATE:

\$25,000.00 paid on 12/12/2006

(3) PURPOSE OF THE GRANT:

To Pedagogical Concert Series for Children
A series of concerts performed for underprivileged children, to take place in hospitals, institutes for the blind, and homes for orphans.

(4) AMOUNT SPENT BY GRANTEE:

\$15,046

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

Last report received 9/15/2008.

Note: the organization is no longer reachable. It is our understanding that they have disbanded. See attached documentation of our attempt to communicate in 2012.

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION, INC.

FEIN 13-6123529

**ATTACHMENT TO 2011 FORM 990-PF
RETURN OF PRIVATE FOUNDATION**

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Instituto de Liderazgo en Museos, A.C.
Jose Antonio Alonso
President
Corregidora num. 90
Col. Campestre, Del. Alvaro Obregon
Mexico

(2) AMOUNT OF GRANT AND DATE:

\$20,000.00 paid on 3/14/2012

(3) PURPOSE OF THE GRANT:

To Mexican Museum Leadership Assessment Program
Support for leadership training for museum professionals in Mexico City, Mexico.

(4) AMOUNT SPENT BY GRANTEE:

\$0

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2011 FORM 990-PF
RETURN OF PRIVATE FOUNDATION

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Stichting Gemeentemuseum Den Haag
Mr. Hans Janssen
Curator
Stadhouderslan 41
Netherlands

(2) AMOUNT OF GRANT AND DATE:

\$50,000.00 paid on 10/7/2010

(3) PURPOSE OF THE GRANT:

To Mondrian Restoration Project
Support for an important restoration of the Gemeentemuseum's extensive collection of works of Piet Mondrian in order to ready it for safe touring and exhibition

(4) AMOUNT SPENT BY GRANTEE:

\$50,000

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

April 17, 2012

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION, INC.

FEIN 13-6123529

**ATTACHMENT TO 2011 FORM 990-PF
RETURN OF PRIVATE FOUNDATION**

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

Sussex Community Foundation
Mr. Kevin Richmond
Chief Executive Officer
Suite B, Falcon Wharf
Railway Lane
United Kingdom

(2) AMOUNT OF GRANT AND DATE:

\$70,000.00 paid on 12/2/2011

(3) PURPOSE OF THE GRANT:

To American Express Fund administered by Sussex Community Foundation
Support for strategic philanthropy in Brighton

(4) AMOUNT SPENT BY GRANTEE:

\$38,065

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

April 5, 2012

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

**AMERICAN EXPRESS FOUNDATION, INC.
FEIN 13-6123529
ATTACHMENT TO 2011 FORM 990-PF
RETURN OF PRIVATE FOUNDATION**

STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

(1) GRANTEE NAME:

TAMANA
Dr. Shayama Chona
President
C.P.W.D. Complex
Near Chinamaya Vidyalaya
Vasant Vihar
India

(2) AMOUNT OF GRANT AND DATE:

\$45,000.00 paid on 12/2/2009

(3) PURPOSE OF THE GRANT:

To Tamana Kindergarten - early childhood care & education program
Support for program to assist economically disadvantaged groups in childcare & education, expanding Tamana's existing infrastructure

(4) AMOUNT SPENT BY GRANTEE:

\$45,000

(5) To the knowledge of American Express Foundation, the grantee has not diverted any portion of the funds from the purpose of the grant.

(6) DATE OF ANY REPORTS RECEIVED FROM THE GRANTEE:

April 4, 2012

(7) American Express Foundation has not undertaken any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability.

AMERICAN EXPRESS FOUNDATION

American Express Tower
World Financial Center
New York, NY 10285-4804

Form 990-PF-2011

PART Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs

2a) American Express Philanthropy
American Express Tower
World Financial Center
New York, NY 10285-4804

- 2b)
- Name and address of organization
 - Contact person, title, phone number
 - Geographic area served by organization
 - History of previous support from the American Express Philanthropic Program, Foundation or an American Express subsidiary
 - Amount of funds requested
 - Description of project, including information on target groups to be served, needs addressed, program objectives, activities to be undertaken, timetable
 - Detailed project budget
 - Proof of nonprofit status, 501(c)(3) tax-exempt notification
 - Board of Directors
 - Latest annual report (if not available, description of organization's overall mission and latest accomplishments)
 - Funding sources

FORM 990PF - PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 7

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

RECIPIENT NAME AND ADDRESS

SEE ATTACHMENT

NONE

PUBLIC CHARITIES

8,591,500

TOTAL CONTRIBUTIONS PAID

8,591,500

AMERICAN EXPRESS FOUNDATION
American Express Tower
World Financial Center
New York, NY 10285-4804

Form 990-PF-2011
PART XV, LINE 3

2011 FOUNDATION GRANTS SUMMARY

Community Service	\$1,611,000
Historic Preservation	\$378,000
International	\$1,957,500
Leadership	\$2,500,000
Special Projects	\$2,145,000
Total Foundation Contribution	\$8,591,500

SEE SCHEDULES ATTACHED

Foundation Tax List 2011.XLS

<u>Payee Name</u>	<u>Payee Address</u>	<u>Payment / Check Date</u>	<u>Amt/Check#</u>	<u>Check #</u>	<u>Budget Committee</u>
Columbia Management	50189 AXP Financial Center Minneapolis, MN 55474	8/19/2011	\$1,706 27	11955	Foundation
Columbia Management	50189 AXP Financial Center Minneapolis, MN 55474	5/18/2011	\$1,738 06	11914	Foundation
Columbia Management	50189 AXP Financial Center Minneapolis, MN 55474	2/28/2011	\$1,859 30	11887	Foundation
Columbia Management	50189 AXP Financial Center Minneapolis, MN 55474	12/19/2011	\$1,529 04	12045	Foundation
			\$6,832 67		
Information Age Associates	47 Murray Place Princeton, NJ 08540	12/19/2011	\$330 00	12046	Foundation
Information Age Associates	47 Murray Place Princeton, NJ 08540	11/9/2011	\$4,537 50	12022	Foundation
Information Age Associates	47 Murray Place Princeton, NJ 08540	6/17/2011	\$3,382 50	11930	Foundation
Information Age Associates	47 Murray Place Princeton, NJ 08540	4/13/2011	\$11,807 86	11901	Foundation
Information Age Associates	47 Murray Place Princeton, NJ 08540	5/6/2011	\$2,640 00	11905	Foundation
Information Age Associates	47 Murray Place Princeton, NJ 08540	5/6/2011	\$4,950 00	11906	Foundation
Information Age Associates	47 Murray Place Princeton, NJ 08540	8/19/2011	\$13,015 02	11959	Foundation
Information Age Associates	47 Murray Place Princeton, NJ 08540	10/13/2011	\$10,477.50	11985	Foundation
Information Age Associates	47 Murray Place Princeton, NJ 08540	10/13/2011	\$10,230 00	11986	Foundation
Information Age Associates	47 Murray Place Princeton, NJ 08540	10/13/2011	\$990 00	11987	Foundation
			\$62,360.38		
EisnerAmper, LLP	750 Third Avenue New York, NY 10017	8/19/2011	\$3,000 00	11956	Foundation
EisnerAmper, LLP	750 Third Avenue New York, NY 10017	10/13/2011	\$7,600 00	11978	Foundation

Foundation Tax List 2011.XLS

EisnerAmper, LLP	750 Third Avenue New York, NY 10017	12/2/2011	\$6,900 00	12033	Foundation
			\$17,500 00		
MicroEdge, Inc	PO Box 347051 Pittsburgh, PA 15251-4051	7/28/2011	\$10,524 03	11943	Foundation
			\$10,524 03		
A Better Chance, Inc	253 W. 35th Street 6th floor New York, NY 10001-2506	10/13/2011	\$10,000 00	11970	Foundation
Advertising Council, Inc , The	815 Second Avenue - 9th floor New York, NY 10017	6/17/2011	\$50,000 00	11924	Foundation
American National Red Cross	2025 E Street Washington, DC 20006	10/27/2011	\$100,000 00	12001	Foundation
American National Red Cross	2025 E Street Washington, DC 20006	7/28/2011	\$5,000 00	11935	Foundation
Animal Haven, Inc	251 Centre Street New York, NY 10013	8/19/2011	\$25,000.00	11953	Foundation
Arthur Ashe Institute For Urban Health, Inc	483 Hudson Avenue Brooklyn, NY 11201	5/6/2011	\$50,000 00	11904	Foundation
Arts & Business Council of New York, Inc	1 E. 53rd Street, 3rd Floor New York, NY 10022	10/13/2011	\$25,000 00	11972	Foundation
Boys & Girls Clubs of America	National Headquarters 1275 Peachtree St NE Atlanta, GA 30309	10/27/2011	\$25,000 00	12007	Foundation
Central Park Conservancy Inc	14 East 60th Street New York, NY 10022-	5/18/2011	\$25,000 00	11913	Foundation
Children's Health Fund, The	215 West 125th Street Suite 301 New York, NY 10027	6/17/2011	\$10,000 00	11925	Foundation
City Parks Foundation	830 Fifth Avenue New York, NY 10065-7001	6/17/2011	\$25,000.00	11926	Foundation
City Year Inc	287 Columbus Avenue Boston, MA 02116	7/28/2011	\$100,000 00	11938	Foundation

Foundation Tax List 2011.XLS

Cooke Center for Learning and Development, The	475 Riverside Drive Suite 730 New York, NY 10115-1118	6/17/2011	\$10,000.00	11927	Foundation
Court Appointed Special Advocates, Inc.	50 Broadway, 31st. Floor New York, NY 10004	7/28/2011	\$25,000 00	11939	Foundation
Do Something Inc	19 W 21 Street, 8th Floor New York, NY 10010	7/28/2011	\$20,000 00	11940	Foundation
Do Something Inc	19 W 21 Street, 8th Floor New York, NY 10010	10/27/2011	\$150,000 00	12009	Foundation
Feeding America	35 E Wacker Drive Suite 2000 Chicago, IL 60601	10/13/2011	\$150,000 00	11980	Foundation
Foundation Center, The	79 Fifth Avenue New York, NY 10003	10/27/2011	\$10,000 00	12011	Foundation
God's Love We Deliver	166 Avenue of the Americas New York, NY 10013	10/27/2011	\$50,000 00	12012	Foundation
Habitat for Humanity International, Inc	121 Habitat St Americus, GA 31709	10/13/2011	\$50,000 00	11982	Foundation
Henry Street Settlement	265 Henry Street New York, NY 10002	10/13/2011	\$50,000 00	11984	Foundation
Housing Works, Inc.	57 Willoughby St. Brooklyn, NY 11201	8/19/2011	\$25,000 00	11958	Foundation
International Rescue Committee Inc	122 East 42nd Street 12th Floor New York, NY 10168-1289	8/19/2011	\$100,000 00	11961	Foundation
Manhattan Institute for Policy Research	52 Vanderbilt Avenue New York, NY 10017	6/17/2011	\$25,000 00	11931	Foundation
Mission Australia	Level 7 580 George Street Australia	12/2/2011	\$20,000 00	12037	Foundation
National Peace Corps Association	1900 L Street, NW Suite 205 Washington, DC 20036-5002	7/28/2011	\$100,000 00	11946	Foundation

Foundation Tax List 2011 XLS

New York Cares, Inc	214 West 29th Street 5th Floor New York, NY 10001	11/9/2011	\$30,000 00	12026	Foundation
New York Cares, Inc	214 West 29th Street 5th Floor New York, NY 10001	5/6/2011	\$25,000 00	11909	Foundation
New York Center for Children, The	333 East 70th Street New York, NY 10021	6/17/2011	\$15,000 00	11932	Foundation
New York City Partnership Foundation, Inc	One Battery Park Plaza New York, NY 10004-1479	8/19/2011	\$75,000 00	11965	Foundation
NPowerNY Inc	3 Metrotech Center Brooklyn, NY 11201	7/28/2011	\$25,000 00	11949	Foundation
Points of Light Foundation	600 Means Street, Suite 210 Atlanta, GA 30318	7/28/2011	\$50,000 00	11950	Foundation
Project Angel Food	922 Vine Street Los Angeles, CA 90038	12/2/2011	\$10,000 00	12040	Foundation
Project Sunshine	108 West 39th Street Suite 725 New York, NY 10018	10/27/2011	\$25,000 00	12016	Foundation
Taproot Foundation	466 Geary Street, Suite 200 San Francisco, CA 94102	6/17/2011	\$100,000 00	11933	Foundation
Harlem School of the Arts Inc , The	645 St Nicholas Avenue New York, NY 10030	12/2/2011	\$21,000.00	12035	Foundation
Total Community Service			\$1,611,000.00		
Art Education for the Blind	589 Broadway 3rd Floor New York, NY 10012	11/9/2011	\$10,000 00	12019	Foundation
Atlantic Theater Company	76 Ninth Avenue Suite 537 New York, NY 10011	12/2/2011	\$50,000 00	12032	Foundation
Corporation of Yaddo	P O. Box 395 312 Union Avenue Saratoga Springs, NY 12866	10/13/2011	\$10,000 00	11975	Foundation

Foundation Tax List 2011.XLS

Jacob's Pillow Dance Festival, Inc	358 George Carter Road Becket, MA 01223	10/13/2011	\$25,000 00	11988	Foundation
Montgomery County Historical Society	PO Box 196 Fort Johnson, NY 12070	12/2/2011	\$10,000 00	12038	Foundation
National Trust for Historic Preservation in the U S	1785 Massachusetts Avenue, N.W Washington, DC 20036	5/6/2011	\$25,000 00	11908	Foundation
National Trust for Historic Preservation in the U.S	1785 Massachusetts Avenue, N W Washington, DC 20036	11/9/2011	\$18,000 00	12025	Foundation
New Orleans Museum of Art	1 Collins Diboll Circle New Orleans, LA 70124	10/13/2011	\$25,000 00	11993	Foundation
Old Stone House of Brooklyn	336 Third Street P O Box 150613 Brooklyn, NY 11215	8/19/2011	\$20,000 00	11967	Foundation
Save Ellis Island, Inc	500 International Drive, Suite 120 Budd Lake, NJ 07828	11/9/2011	\$20,000 00	12027	Foundation
Schoharie Colonial Heritage Association	PO Box 554 Schoharie, NY 12157	12/2/2011	\$15,000 00	12041	Foundation
Smithsonian Institution	1000 Jefferson Drive, SW Room 302, LRC012 Washington, DC 20560-0012	10/27/2011	\$100,000 00	12017	Foundation
Tioga County Historical Society	110 Front Street Owego, NY 13827	12/2/2011	\$13,000 00	12043	Foundation
Wave Hill, Inc	675 West 252nd Street Bronx, NY 10471-2899	7/28/2011	\$25,000 00	11952	Foundation
Zadock Pratt Museum, Inc	14530 Main Street, PO Box 333 Prattsville, NY 12468	12/2/2011	\$12,000 00	12044	Foundation
Total Historic Preservation			\$378,000.00		
Art Gallery of Ontario	317 Dundas Street West Toronto, Ontario M5T 1G4 Canada	12/2/2011	\$250,000 00	12030	Foundation

Foundation Tax List 2011.XLS

Habitat for Humanity Toronto	155 Bermondsey Road Toronto, Ontario M4A 1X9 Canada	11/9/2011	\$25,000 00	12021	Foundation
American Friends of the British Museum, Inc , The	6 West 48th Street 10th Floor New York, NY 10036	12/2/2011	\$185,000 00	12029	Foundation
Arts & Business Ltd	137 Shepherdess Walk United Kingdom	12/2/2011	\$140,000 00	12031	Foundation
Common Purpose UK	Discovery House 28-42 Banner Street United Kingdom	5/18/2011	\$40,000 00	11916	Foundation
Money Advice Trust	21 Garlick Hill United Kingdom	11/9/2011	\$70,000 00	12023	Foundation
Sadler's Wells Association, Inc	222 Park Avenue South, 10A New York, NY 10003	6/3/2011	\$120,000 00	11923	Foundation
Sussex Community Foundation	Suite B, Falcon Wharf Railway Lane United Kingdom	12/2/2011	\$70,000 00	12042	Foundation
Alliance Publishing Trust	1st Floor, 25 Corsham Street United Kingdom	7/28/2011	\$5,000 00	11934	Foundation
Atlas Service Corps, Inc	8124 Tavern Keepers Way Mechanicsville, VA 23111	4/13/2011	\$15,000 00	11897	Foundation
Common Purpose UK	Discovery House 28-42 Banner Street United Kingdom	6/3/2011	\$55,000 00	11919	Foundation
Common Purpose UK	Discovery House 28-42 Banner Street United Kingdom	5/18/2011	\$122,000 00	11915	Foundation
European Foundation Centre	78, avenue de la Toison d'Or 1060 Brussels Belgium	10/27/2011	\$5,500 00	12010	Foundation
Global Initiatives, Inc	1001 Country Club Road West Chester, PA 19382	8/19/2011	\$50,000 00	11957	Foundation
Great Commission Alliance	1518 NW 183rd Terrace Pembroke Pines, FL 33029	12/2/2011	\$25,000 00	12034	Foundation
King Baudouin Foundation United States, Inc	10 Rockefeller Plaza, 16th Floor New York, NY 10020	8/19/2011	\$25,000 00	11963	Foundation

Foundation Tax List 2011.XLS

Japan Philanthropic Association	Shin-otemachi Building 244, 2-2-1 Otemachi Japan	10/13/2011	\$5,000.00	11990	Foundation
Association for Persons with Special Needs	29 Jalan Tembusu Singapore	8/19/2011	\$50,000 00	11954	Foundation
Australian Business and Community Network	Level 3, 141 York Street Australia	10/27/2011	\$70,000 00	12006	Foundation
Chunghwa Financial Education Development Association	8F1-1, No 369, Fuxing North Road Songshan District Taiwan	7/28/2011	\$10,000 00	11937	Foundation
Common Purpose UK	Discovery House 28-42 Banner Street United Kingdom	6/3/2011	\$50,000 00	11920	Foundation
ETIC	4F Apple Ohmi Building 1-5-7 Jinnan Japan	10/13/2011	\$69,000 00	11979	Foundation
Habitat for Humanity New Zealand Ltd	PO Box 112387 New Zealand	10/13/2011	\$20,000 00	11983	Foundation
Junior Achievement (Hong Kong) Limited	Unit 102, 1/F Beautiful Group Tower 74-77 Connaught Road Central, Central China	7/28/2011	\$12,000 00	11941	Foundation
Junior Achievement International China, Inc	13300 Durant Road Raleigh, NC 27614	8/19/2011	\$50,000 00	11962	Foundation
Japan Philanthropic Association	Shin-otemachi Building 244, 2-2-1 Otemachi Japan	10/13/2011	\$69,000 00	11989	Foundation
Asociacion Amigos del Museo Nacional de Bellas Artes	Av Figueroa Alcorta 2280 Buenos Aires Argentina	10/27/2011	\$60,000 00	12002	Foundation
Asociacion Civil RESPONDE	Av. Cervino 4634 1 B Ciudad Autonoma de Buenos Aires Argentina	10/27/2011	\$50,000 00	12003	Foundation
Asociacion de Amigos de MALBA	Avenida Figueroa Alcorta 3415 Argentina	10/27/2011	\$20,000 00	12004	Foundation
Fundacion Cimientos	Pte J.E Uriburu 1175 Argentina	6/17/2011	\$50,000 00	11929	Foundation

Foundation Tax List 2011.XLS

Instituto de Liderazgo en Museos, A C	Corregidora num 90 Col Campestre, Del Alvaro Obregon Mexico	12/2/2011	\$20,000 00	12036	Foundation
Out of the Box Foundation for the Arts, Inc	675 Third Avenue New York, NY 10017	10/13/2011	\$150,000 00	11995	Foundation
Total International			\$1,957,500.00		
American Council on Education	One Dupont Circle, NW Suite 800 Washington, DC 20036-1193	10/13/2011	\$75,000 00	11971	Foundation
Americans for Indian Opportunity	1001 Marquette Avenue, NW Albuquerque, NM 87102	7/28/2011	\$25,000 00	11936	Foundation
Americans for the Arts, Inc	1000 Vermont Avenue, NW 6th Floor Washington, DC 20005	4/13/2011	\$50,000 00	11895	Foundation
Aspen Institute Inc., The	2010 Carmichael Road P O Box 222 Queenstown, MD 21658	11/9/2011	\$44,000 00	12020	Foundation
Association of Performing Arts Presenters Inc	1211 Connecticut Ave NW Ste 200 Washington, DC 20036	10/27/2011	\$50,000 00	12005	Foundation
Atlas Service Corps, Inc	8124 Tavern Keepers Way Mechanicsville, VA 23111	4/13/2011	\$35,000 00	11896	Foundation
Cold Spring Harbor Laboratory	1 Bungtown Road Cold Spring Harbor, NY 11724	10/27/2011	\$75,000 00	12008	Foundation
Coro New York Leadership Center	42 Broadway Suite 1827-35 New York, NY 10004	4/13/2011	\$40,000 00	11898	Foundation
Craigslist Foundation	989 Market Street, Suite 200 San Francisco, CA 94103	6/17/2011	\$25,000 00	11928	Foundation
Dance/USA	1111 16th Street, NW Suite 300 Washington, DC 20036	10/13/2011	\$30,000 00	11976	Foundation
Discipleship Outreach Ministries, Inc	5220 4th Avenue Brooklyn, NY 11220	10/13/2011	\$10,000 00	11977	Foundation

Foundation Tax List 2011.XLS

Echoing Green	494 Eighth Avenue 2nd Floor New York, NY 10001	4/13/2011	\$25,000 00	11899	Foundation
Educational Foundation of the Texas Hotel & Motel Association Inc	1701 West Avenue Austin, TX 78701	6/3/2011	\$6,000 00	11921	Foundation
First Nations Development Institute Inc.	351 Coffman Street, Suite 200 Longmont, CO 80501	10/13/2011	\$25,000 00	11981	Foundation
Georgia Center for Nonprofits, Inc	100 Peachtree Street NW Suite 1500 Atlanta, GA 30303	3/11/2011	\$25,000 00	11890	Foundation
Good Shepherd Services	305 Seventh Avenue 9th Floor New York, NY 10001	4/13/2011	\$25,000 00	11900	Foundation
Hadley School for the Blind, The	700 Elm Street Winnetka, IL 60093	3/11/2011	\$25,000 00	11891	Foundation
IMentor	30 Broad Street, 9th Floor New York, NY 10004	10/27/2011	\$25,000 00	12013	Foundation
Independent Sector	1602 L Street NW, Suite 900 Washington, DC 20036	10/27/2011	\$150,000 00	12014	Foundation
Institute for Public Service, Inc , The	1 Centre Street Room 2425 New York, NY 10007	8/19/2011	\$15,000 00	11960	Foundation
Leadership Education for Asian Pacifics, Inc	327 East Second Street Suite 226 Los Angeles, CA 90012	7/28/2011	\$25,000 00	11942	Foundation
Local Initiatives Support Corporation	501 Seventh Avenue New York, NY 10018	2/28/2011	\$50,000 00	11888	Foundation
Mobilizing America's Youth, Inc	1875 K Street NW 5th Floor Washington, DC 20006	4/13/2011	\$50,000 00	11902	Foundation
Morehouse School of Medicine	720 Westview Drive, SW Atlanta, GA 30310	7/28/2011	\$50,000 00	11944	Foundation
National Academy Foundation	218 W 40th Street 5th Floor New York, NY 10018	8/19/2011	\$400,000 00	11964	Foundation

Foundation Tax List 2011.XLS

National Alliance of Media Arts Centers, Inc	145 9th Street Suite 102 San Francisco, CA 94103	5/6/2011	\$25,000 00	11907	Foundation
National Guild for Community Arts Education Inc	520 8th Avenue Suite 302 New York, NY 10018	11/9/2011	\$20,000 00	12024	Foundation
National Hispana Leadership Institute (NHLI)	1601 N Kent Street Suite 803 Arlington, VA 22209	7/28/2011	\$75,000 00	11945	Foundation
National Performance Network	P O Box 56698 New Orleans, LA 70156	7/28/2011	\$25,000 00	11947	Foundation
National Trust for Historic Preservation in the U S.	1785 Massachusetts Avenue, N W Washington, DC 20036	5/18/2011	\$35,000 00	11917	Foundation
National Urban Fellows, Inc	102 West 38th Street Suite 700 New York, NY 10018	7/28/2011	\$100,000 00	11948	Foundation
National Urban Fellows, Inc	102 West 38th Street Suite 700 New York, NY 10018	12/2/2011	\$100,000 00	12039	Foundation
National Women's Law Center	11 Dupont Circle, NW Suite 800 Washington, DC 20036	3/11/2011	\$25,000 00	11892	Foundation
NRF Foundation	325 Seventh Street, NW Suite 1100 Washington, DC 20004	8/19/2011	\$75,000 00	11966	Foundation
OPERA America, Inc	330 Seventh Avenue 8th Floor New York, NY 10001	10/13/2011	\$50,000 00	11994	Foundation
Partnership for After School Education, Inc	120 Broadway Suite 230 New York, NY 10271	3/11/2011	\$50,000 00	11893	Foundation
Public Allies, Inc	735 N Water Street, Suite 550 Milwaukee, WI 53202	10/13/2011	\$100,000 00	11996	Foundation
Rutgers University Foundation	7 College Avenue New Brunswick, NJ 08901	8/19/2011	\$30,000 00	11968	Foundation

Foundation Tax List 2011.XLS

Save the Children Federation, Inc.	54 Wilton Road Westport, CT 06880	10/13/2011	\$15,000 00	11997	Foundation
Theatre Bay Area	1663 Mission Street Suite 525 San Francisco, CA 94103	7/28/2011	\$25,000 00	11951	Foundation
Theatre Communications Group, Inc	520 Eighth Avenue 24th Floor New York, NY 10018	10/27/2011	\$100,000 00	12018	Foundation
Tides Center, The	The Presidio P O Box 29907 San Francisco, CA 94129-0709	4/13/2011	\$25,000 00	11903	Foundation
Wesleyan University	237 High Street Middletown, CT 06459	5/6/2011	\$25,000 00	11910	Foundation
Women's Leadership Fund	434 West 33rd Street, 8th Floor New York, NY 10001	5/18/2011	\$30,000 00	11918	Foundation
Year Up, Inc	93 Summer Street 5th Floor Boston, MA 02110	8/19/2011	\$100,000 00	11969	Foundation
Young Audiences Inc	171 Madison Avenue Suite 200 New York, NY 10016	5/6/2011	\$25,000 00	11911	Foundation
Young Nonprofit Professionals Network	1351 Carlsbad Drive Gaithersburg, MD 20879	5/6/2011	\$50,000 00	11912	Foundation
Young Mens Christian Association of Greater New York	5 West 63rd Street, 6th Floor New York, NY 10023	3/11/2011	\$40,000 00	11894	Foundation
Total Leadership			\$2,500,000.00		
Business Civic Leadership Center	1615 H. Street, NW Washington, DC 20062	10/13/2011	\$10,000 00	11974	Foundation
Leader to Leader Institute	320 Park Avenue, Third Floor New York, NY 10022	10/13/2011	\$25,000 00	11991	Foundation
My Good Deed	503 32nd Street Suite 120 Newport Beach, CA 92663	2/28/2011	\$1,000,000 00	11889	Foundation

Foundation Tax List 2011.XLS

National Corporate Theatre Fund	505 Eighth Avenue Suite 2303 New York, NY 10018	10/13/2011	\$10,000 00	11992	Foundation
Phoenix Parks and Conservation Foundation	2700 N 15th Avenue Phoenix, AZ 85007	10/27/2011	\$100,000 00	12015	Foundation
Smithsonian Institution	1000 Jefferson Drive, SW Room 302, LRC012 Washington, DC 20560-0012	10/13/2011	\$1,000,000 00	11998	Foundation
Total Special Projects			\$2,145,000.00		

AMERICAN EXPRESS FOUNDATION

13-6123529

FORM 990PF PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 8

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE ATTACHMENT

NONE

PUBLIC CHARITIES

6,948,000

TOTAL CONTRIBUTIONS APPROVED

6,948,000

2011 Foundation Future Commitments tax.XLS

Grantee Name	Grantee Address	2012	2013	2014	2015
American Council on Education	One Dupont Circle, NW Suite 800 Washington, DC 20036-1193	75,000	75,000		
American Friends of the British Museum, Inc., The	6 West 48th Street 10th Floor New York, NY 10036	90,000			
Art Gallery of Ontario	317 Dundas Street West Toronto, Ontario M5T 1G4 Canada	150,000			
Arts & Business Ltd	Nutmeg House 60 Gainsford Street Butler's Wharf United Kingdom	112,000			
Asociacion Amigos del Museo Nacional de Bellas Artes	Av Figueroa Alcorta 2280 Buenos Aires Argentina	40,000			
Aspen Institute, Inc., The	1 Dupont Circle NW Ste 700 Washington, DC 20036	106,000			
Association of Performing Arts Presenters Inc	1211 Connecticut Ave NW Ste 200 Washington, DC 20036	50,000			
Atlantic Theater Company	76 Ninth Avenue Suite 537 New York, NY 10011	50,000			
Brighton Dome and Festival, Ltd	12A Pavilion Building, Castle Square Brighton BN1 1EE United Kingdom	75,000			
City Harvest, Inc	575 Eighth Avenue, 4th Floor New York, NY 10018	75,000			
Cooper-Hewitt, National Design Museum	2 East 91st Street New York, NY 10128-0669	100,000	100,000		
Echoing Green	494 Eighth Avenue 2nd Floor New York, NY 10001	25,000	25,000		
God's Love We Deliver	166 Avenue of the Americas New York, NY 10013	100,000	100,000		
Independent Sector	1602 L Street NW, Suite 900 Washington, DC 20036		150,000		
Institute for Ethical Leadership, Rutgers University	One Washington Park Newark, NJ 07102	20,000			
Jacob's Pillow Dance Festival, Inc	358 George Carter Road Becket, MA 01223	25,000			
National Academy Foundation	218 W 40th Street 5th Floor New York, NY 10018	300,000	200,000	100,000	

2011 Foundation Future Commitments tax.XLS

<u>Grantee Name</u>	<u>Grantee Address</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>
National Guild for Community Arts Education Inc	520 8th Avenue Suite 302 New York, NY 10018	55,000			
National Hispana Leadership Institute (NHLI)	1601 N Kent Street Suite 803 Arlington, VA 22209	75,000			
National Urban Fellows, Inc	102 West 38th Street Suite 700 New York, NY 10018		100,000		
NRF Foundation	325 Seventh Street, NW Suite 1100 Washington, DC 20004	75,000			
Public Allies, Inc	735 N Water Street, Suite 550 Milwaukee, WI 53202	100,000	100,000		
Smithsonian Institution National Museum of African American History and Culture	MRC 509, P O Box 37012 Washington, DC 20013-7012	1,000,000	1,000,000	1,000,000	1,000,000
Toronto International Film Festival Group Foundation	Buffalo, New York 93 Summer Street 5th Floor Boston, MA 02110	100,000			
Year Up, Inc	1351 Carlsbad Drive Gaithersburg, MD 20879	50,000	50,000		
Young Nonprofit Professionals Network		2,948,000	1,900,000	1,100,000	1,000,000

AMERICAN EXPRESS FOUNDATION
American Express Tower
World Financial Center
New York, NY 10285-4804

Form 990-PF-2011
PART VIII, LINE 1

<u>NAME</u>	<u>TITLE</u>	<u>TIME DEVOTED TO POSITION</u>	<u>COMPENSATION</u>
Kenneth I. Chenault	Trustee	Six Hours Annually	None
Edward P. Gilligan	Trustee	Six Hours Annually	None
Daniel T. Henry	Trustee	Six Hours Annually	None
Stephen J. Squeri	Trustee	Six Hours Annually	None
Thomas Schick	Chairman	Six Hours Annually	None
Timothy J. McClimon	President	Twenty Hours Annually	None
Judy Tenzer	Secretary	Six Hours Annually	None
Tammy Fried	Counsel	Twelve Hours Annually	None
Michael J. Zammit	Counsel	Twelve Hours Annually	None
Richard A. Brown	Assistant Secretary	Six Hours Annually	None
David L. Yowan	Assistant Treasurer	Six Hours Annually	None
Mary Ellen Craig	Comptroller	Sixty Hours Annually	None
Address to use for all officers	C/O Foundation	200 Vesey Street - 48th Floor	New York, NY 10285

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

THIS FORM HAS BEEN
FILED BY OUR OFFICE
ON YOUR BEHALF
OMB No. 1545-1709
THIS IS YOUR COPY

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒ **X**
 - If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization AMERICAN EXPRESS FOUNDATION	Employer identification number 1 3 6 1 2 3 5 2 9
	Number, street, and room or suite no. If a P.O. box, see instructions. 200 Vesey Street, 48th Floor	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. New York NY 10285	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► AMERICAN EXPRESS FOUNDATION

Telephone No. ►

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15/2012 to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year 20 11 or
► ☐ tax year beginning / 20 , and ending / 20

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	11,041
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	11,041
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☐ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print	Name of exempt organization AMERICAN EXPRESS FOUNDATION	Employer identification number 1 3 6 1 2 3 5 2 9
File by the extended due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. 200 Vesey Street, 48th Floor	THIS FORM HAS BEEN FILED BY OUR OFFICE ON YOUR BEHALF
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. New York NY 10285	

Enter the Return code for the return that this application is for (file a separate application for each return)

THIS IS YOUR COPY

0	4
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Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ AMERICAN EXPRESS FOUNDATION
Telephone No. ▶ _____ FAX No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is
for the whole group, check this box . . . ▶ ☐. If it is for part of the group, check this box . . . ▶ ☐ and attach a
list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until 11/15/2012.
- 5 For calendar year 2011, or other tax year beginning /20, and ending /20.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension Awaiting third party information necessary for filing a complete and accurate return

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	11,041
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	11,041
c	Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature _____

Title ▶

Date ►

Form 8868 (Rev. 1-2011)