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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation **THE J.M. KAPLAN FUND, INC.**
C/O CONDON O'MEARA MCGINTY & DONNELLY

Number and street (or P O box number if mail is not delivered to street address)
ONE BATTERY PARK PLAZA
7TH FLOOR

Room/suite

City or town, state, and ZIP code
NEW YORK, NY 10004-1405

A Employer identification number
13-6090286

B Telephone number (see instructions)
(212) 661-7777

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 129,632,831.**

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,276.	1,276.		ATCH 1
	4 Dividends and interest from securities	2,108,834.	2,108,834.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	26,328,308.			
	b Gross sales price for all assets on line 6a	34,957,226.			
	7 Capital gain net income (from Part IV, line 2)		12,322,056.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	1,821,918.	1,688,090.		ATCH 3
	12 Total Add lines 1 through 11	30,260,336.	16,120,256.		
	13 Compensation of officers, directors, trustees, etc.	362,081.	70,270.		278,012.
	14 Other employee salaries and wages	359,878.	64,901.		277,834.
	15 Pension plans, employee benefits	148,086.	36,400.		119,913.
	16a Legal fees (attach schedule) ATCH 4	236,938.			228,206.
	b Accounting fees (attach schedule) ATCH 5	31,096.	18,658.		21,564.
	c Other professional fees (attach schedule) ATCH 6	814,027.	497,394.		298,041.
	17 Interest	273,797.			
	18 Taxes (attach schedule) (see instructions) **	-50,470.	55,322.		28,627.
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	276,211.	27,621.		225,952.
	21 Travel, conferences, and meetings	161,366.	32,273.		204,160.
	22 Printing and publications	3,581.	716.		2,865.
	23 Other expenses (attach schedule) ATCH 9	1,403,745.	1,332,950.		44,665.
	24 Total operating and administrative expenses. Add lines 13 through 23	4,020,336.	2,136,505.		1,729,839.
	25 Contributions, gifts, grants paid	9,317,658.			8,253,827.
	26 Total expenses and disbursements Add lines 24 and 25	13,337,994.	2,136,505.		9,983,666.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	16,922,342.			
	b Net investment income (if negative, enter -0-)		13,983,751.		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		500.	500.	500.
	2	Savings and temporary cash investments		4,019,264.	12,423,129.	12,423,129.
	3	Accounts receivable ▶ 7,652,406.		194,707.	7,652,406.	7,652,406.
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ *		302,942.	* 303,571.	303,571.
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges ATCH 10		39,084.	11,814.	11,814.
	10 a	Investments - U.S. and state government obligations (attach schedule). **		3,799,657.	360,803.	360,803.
	b	Investments - corporate stock (attach schedule) ATCH 12		56,956,670.	44,068,873.	44,068,873.
	c	Investments - corporate bonds (attach schedule) ATCH 13		872,799.	888,417.	888,417.
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶ (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 14		72,922,992.	63,862,315.	63,862,315.	
14	Land, buildings, and equipment basis ▶ 3,503.					
	Less accumulated depreciation ▶ (attach schedule)		3,503.	3,503.	3,503.	
15	Other assets (describe ▶ ATCH 15)		57,500.	57,500.	57,500.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		139,169,618.	129,632,831.	129,632,831.	
Liabilities	17	Accounts payable and accrued expenses		184,171.	300,444.	
	18	Grants payable		1,918,518.	2,982,349.	
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ ATCH 16)		208,000.	163,000.	
23	Total liabilities (add lines 17 through 22)		2,310,689.	3,445,793.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒					
	27	Capital stock, trust principal, or current funds		18,370,506.	18,370,506.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		118,488,423.	107,816,532.	
	30	Total net assets or fund balances (see instructions)		136,858,929.	126,187,038.	
	31	Total liabilities and net assets/fund balances (see instructions)		139,169,618.	129,632,831.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	136,858,929.
2	Enter amount from Part I, line 27a	2	16,922,342.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	153,781,271.
5	Decreases not included in line 2 (itemize) ▶ ATTACHMENT 17	5	27,594,233.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	126,187,038.

**ATCH 11

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	12,322,056.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	8,592,741.	130,964,884.	0.065611
2009	11,498,922.	122,925,341.	0.093544
2008	8,639,921.	155,764,247.	0.055468
2007	10,936,429.	171,283,309.	0.063850
2006	7,640,328.	157,677,796.	0.048455
2 Total of line 1, column (d)			2 0.326928
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.065386
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 134,793,179.
5 Multiply line 4 by line 3			5 8,813,587.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 139,838.
7 Add lines 5 and 6			7 8,953,425.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 9,983,666.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	139,838.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	139,838.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	139,838.
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	440,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	440,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	300,162.	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☒ 150,000. Refunded ☐	11	150,162.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CALIFORNIA AND NEW YORK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>WWW.JMKFUND.ORG</u>				
14	The books are in care of <u>THE J.M. KAPLAN FUND</u> Telephone no <u>212-661-7777</u>			
Located at <u>ONE BATTERY PARK PLAZA, 7TH FLOOR</u> ZIP + 4 <u>10004-1405</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <u></u>	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b	X
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No		
If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) ☐	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? ☐	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☒ Yes ☐ NoOrganizations relying on a current notice regarding disaster assistance check here ☒c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE ATTACHED SCHEDULE		362,081.	58,244.	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 18		359,878.	89,841.	0

Total number of other employees paid over \$50,000 ☐ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 19		612,418.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	64,301,195.
b	Average of monthly cash balances	1b	10,951,126.
c	Fair market value of all other assets (see instructions)	1c	61,593,546.
d	Total (add lines 1a, b, and c)	1d	136,845,867.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	136,845,867.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions).	4	2,052,688.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	134,793,179.
6	Minimum investment return. Enter 5% of line 5	6	6,739,659.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	6,739,659.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	139,838.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	139,838.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	6,599,821.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	6,599,821.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,599,821.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	9,983,666.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	9,983,666.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	139,838.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	9,843,828.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				6,599,821.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20 09, 20 08, 20 07				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				2,855,707.
c From 2008				1,005,969.
d From 2009				5,399,427.
e From 2010				2,030,366.
f Total of lines 3a through e	11,291,469.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 9,983,666.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				6,599,821.
e Remaining amount distributed out of corpus	3,383,845.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	14,675,314.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	14,675,314.			
10 Analysis of line 9				
a Excess from 2007				2,855,707.
b Excess from 2008				1,005,969.
c Excess from 2009				5,399,427.
d Excess from 2010				2,030,366.
e Excess from 2011				3,383,845.

Form 990-PF (2011)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ATTACHMENT 20

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 21

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT ATTACHED

c Any submission deadlines

SEE STATEMENT ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT ATTACHED

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.

▼

MPG 11

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|---|---|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

b. If "Yes," complete the following schedule:		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Sign Here William D. Staloe 11/20/12 Controller

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name JAMES J. REILLY	Preparer's signature 	Date NOV 15 2012	Check ☐ if self-employed	PTIN P00183769
	Firm's name ▶ CONDON O'MEARA MCGINTY & DONNELLY L			Firm's EIN ▶ 13-3628255	
	Firm's address ▶ ONE BATTERY PARK PLAZA NEW YORK, NY			10004-1405 Phone no 212-661-7777	

Form 990-PF (2011)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE SCHEDULE ATTACHED PROPERTY TYPE: SECURITIES				P	12322056.	
TOTAL GAIN (LOSS)							<u>12322056.</u>	

The J.M. Kaplan Fund, Inc.
990PF

31-Dec-11

	Cost	Proceeds	Gains/ Losses	Per Tax Return
Asia Capital Debt Investors	\$ 30,630	\$ 30,630	\$ -	
Colchester Bond Fund-See attached	\$ 28,756	\$ 42,539	\$ 13,783	
Elliott/Westgate	\$ 217,404	\$ 1,500,000	\$ 1,282,596	
Farallon Capital	\$ 59,672	\$ 59,672	\$ -	
Special Opportunity Fund	\$ 239,615	\$ 239,615	\$ -	
Sun Capital	\$ 257,300	\$ 257,300	\$ -	
Emerging Market Growth	\$ 7,857,703	\$ 8,457,960	\$ 600,257	
Pimco- See Attached	\$ 4,627,454	\$ 4,500,041	\$ (127,413)	
Dover Street VI	\$ 217,411	\$ 217,411	\$ -	
Spindrift--see attached	\$ -	\$ 1,080,527	\$ 1,080,527	
Special Situations- See Attached	\$ 15,615	\$ 222,703	\$ 207,088	
Prospect Harbor	\$ 732,112	\$ 732,112	\$ -	
Cedar Rock Capital	\$ 965,143	\$ 13,814,421	\$ 12,849,278 *	
High Rise	\$ 2,250,000	\$ 2,880,940	\$ 630,940 *	
Cedar Bridge	\$ 750,000	\$ 921,355	\$ 171,355 *	
	\$ 18,248,815	\$ 34,957,226	\$ 16,708,411	\$ 16,708,411
Limited Partnerships Gains and Losses	\$ -	\$ 9,619,897	\$ 9,619,897	
	\$ 18,248,815	\$ 44,577,123	\$ 26,328,308	\$ 26,328,308
Subtotal Gains for Tax Purposes			<u>\$ 12,676,735</u>	
Loss on Limited Partnerships liquidated				\$ (256,746)
Less: Gains from Partnerships subject to UBIT				\$ (97,933)
Total Gains for Tax Return				<u>\$ 12,322,056</u>

The J.M. Kaplan Fund, Inc.
990PF December 31, 2011
EIN: 13-6090286
Partnership Gains and Losses

Adamas Opportunities	\$ 504,294
Adamas Opportunities 3yr	\$ 427,040
Adamas Partners	\$ 158,560
Adamas Partners 3yr	\$ 334,681
AEW Global	\$ (290,646)
Avanti II (Passive)	\$ 14,064
Avanti III(Passive)	\$ 275
Brandywine Global	\$ 15,910
Capital Z Partners	\$ 455,455
Cedar Bridge Capital	\$ 70,577
Cedar Rock Partners	\$ 6,961,624
Colchester Bond Fund	\$ 136,376
Dover Street III	\$ 45
Dover Street IV	\$ 76,637
Dover Street V	\$ 70,300
Farallon Capital	\$ (3,811)
High Rise Institutional Partners	\$ 296,457
Keyhaven Capital	\$ 14,713
KPP Investors II	\$ (115,954)
KPP Investors III	\$ 828
Metropolitan RE Global II	\$ 3,509
Metropolitan RE Partners IV	\$ (13,507)
Metropolitan RE Partners Int'l II	\$ (27,891)
Metropolitan RE Partners V	\$ 4,319
Paul Capital VI (10%)	\$ 2,762
Paul Capital VII(7.69%)	\$ 45,866
Paul Capital VIII	\$ 97,973
Prosepct Harbor	\$ 9,947
Semper Vic	\$ (35,251)
Special Opportunity Fund	\$ (2,967)
TCV III	\$ (49,623)
TCV IV	\$ 15,227
TCV V	\$ 40,465
Varde Fund VIII	\$ 60,264
Varde Fund IX	\$ 86,484
Venture Investment Assoc. IV	\$ 144,892
Venture Investment Assoc. V	\$ 71,156
Venture Inv. Assoc. VI	\$ 38,847

\$ 9,619,897

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
COMMUNITY CAPITAL BANK-CD	1,276.	1,276.
TOTAL	<u>1,276.</u>	<u>1,276.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST AND DIVIDENDS ON PARTNERSHIPS	2,019,002.	2,019,002.
INTEREST ON MONEY MARKET FUNDS	84,944.	84,944.
INTEREST ON NOTES RECEIVABLE	4,888.	4,888.
TOTAL	<u>2,108,834.</u>	<u>2,108,834.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER PARTNERSHIP INCOME (LOSS)	1,814,309.	1,814,309.
LESS: AMOUNT ATTRIBUTABLE TO UBI		-133,828.
OTHER INCOME	2,409.	2,409.
PRI INCOME	5,200.	5,200.
TOTALS	1,821,918.	1,688,090.

ATTACHMENT 4FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
PROSKAUER ROSE-GENERAL COUNSEL	236,938.			228,206.
TOTALS	<u>236,938.</u>			<u>228,206.</u>

ATTACHMENT 5FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONDON O'MEARA MCGINTY & DONNELLY-AUDIT & TAX SERVICE	31,096.	18,658.		21,564.
TOTALS	<u>31,096.</u>	<u>18,658.</u>		<u>21,564.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONSULTANT FEES AND STIPENDS	316,633.		298,041.
BRANDYWINE MANAGEMENT COMPANY - ADVISORY FEES	452,556. 736.	452,556. 736.	
BANK OF NEW YORK-CUSTODIAL FEE	42,539.	42,539.	
COLCHESTER	1,563.	1,563.	
PHILADELPHIA FINANCIAL			
TOTALS	<u>814,027.</u>	<u>497,394.</u>	<u>298,041.</u>

ATTACHMENT 7

FORM 990PF, PART I -- INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST EXPENSE THRU PARTNERS	273,797.	273,797.
LESS:AMOUNT ALLOCATED TO UBI		-273,797.
TOTALS	<u>273,797.</u>	

ATTACHMENT 8FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
PAYROLL TAXES	35,423.	6,796.	28,627.
STATE TAXES ON U.B.I.	-8,800.		
DEFERRED EXCISE TAXES	-45,000.		
LESS:AMOUNT ALLOCATED TO UBI			
FEDERAL TAXES ON UBI	-7,993.	48,526.	
FOREIGN WITHHOLDING-L.P.'S	48,526.		
FEDERAL EXCISE TAX	-72,626.		
TOTALS	<u>-50,470.</u>	<u>55,322.</u>	<u>28,627.</u>

ATTACHMENT 9

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
INSURANCE	21,195.	6,359.	13,309.
TELEPHONE	16,503.	4,951.	10,920.
SUPPLIES	6,397.	1,919.	4,416.
REPAIRS AND MAINTENANCE	13,977.	3,935.	9,608.
FILING FEES	1,500.		1,500.
MISCELLANEOUS	13,045.	4,364.	4,912.
LIMITED PARTNERSHIP DEDUCTIONS		1,331,128.	
LESS:AMOUNT ALLOCATED TO UBI	1,331,128.	-19,706.	
TOTALS	1,403,745.	1,332,950.	44,665.

ATTACHMENT 10

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID EXPENSES	11,814.	11,814.
TOTALS	<u>11,814.</u>	<u>11,814.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

<u>DESCRIPTION</u>	<u>ATTACHMENT 11</u>	
	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PIMCO	360,803.	360,803.
US OBLIGATIONS TOTAL	<u>360,803.</u>	<u>360,803.</u>

ATTACHMENT 12

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHED SCHEDULE	44,068,873.	44,068,873.
TOTALS	<u>44,068,873.</u>	<u>44,068,873.</u>

The J.M. Kaplan Fund, Inc.
Investments- Corporate Stock
990PF- Part II Line 10B
EIN : 13-6090286

	Cost Value	Market Value
Sun Capital	\$ 2,606,262	\$ 1,102,497
Brookside Cayman	\$ 7,664,037	\$ 12,014,607
Chilton Global Natural Resources	\$ 4,500,000	\$ 4,046,625
Westgate Associates	\$ 2,455,638	\$ 15,027,820
HopLite	\$ 5,000,000	\$ 5,108,087
Special Opportunities Fund	\$ 2,433,023	\$ 2,503,943
Dover Street VI	\$ 1,056,665	\$ 1,437,293
Spindrift A Class Series 1	\$ -	\$ 409,343
Asia Capital Debt Investors	\$ 1,376,548	\$ 1,681,276
Asia Capital Tax Exempt Investors	\$ 559,721	\$ 737,382
	<u>\$ 27,651,894</u>	<u>\$ 44,068,873</u>

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 13

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PHILADELPHIA FIN.- AVENTI VI	888,417.	888,417.
TOTALS	<u>888,417.</u>	<u>888,417.</u>

ATTACHMENT 14FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
EQUITY IN LIMITED PARTNERSHIPS	63,602,315.	63,602,315.
PROGRAM RELATED INVESTMENTS	260,000.	260,000.
TOTALS	<u>63,862,315.</u>	<u>63,862,315.</u>

FORM 990PF, PART II - OTHER ASSETSATTACHMENT 15

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ART WORK	57,500.	57,500.
TOTALS	<u>57,500.</u>	<u>57,500.</u>

ATTACHMENT 16FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DEFERRED TAXES	163,000.
TOTALS	<u>163,000.</u>

ATTACHMENT 17FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

UNREALIZED LOSS FROM INVESTMENTS & L.P.

27,594,233.

TOTAL

27,594,233.

The J.M. Kaplan Fund, Inc.
Ein: 13-6090286
Attachment to the 2011 Form 990PF
Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

- (1) Grantee: Train Foundation**
6 West 48th Street, 10th Floor
New York, NY 10036
- (2) Amount and Date Paid: \$10,000 paid on December 19, 2011**
- (3) Total paid: \$10,000**
- (4) Purpose of Grant: For the Paul Klebnikov Fund's journalism internship program.**
- (5) Amount of Grant Spent by Grantee: \$10,000**
- (6) Diversion: To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.**
- (7) Date of Report Received from Grantee: October 2012**
- (8) The J.M. Kaplan Fund, Inc. reviewed the grant report on October 15, 2012 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5 (c)).**

The J.M. Kaplan Fund, Inc.
Ein: 13-6090286
Attachment to the 2011 Form 990PF
Statement Required by Reg. 53.4945-5(d)

Information with Respect to Expenditure Responsibility Grants

- (1) Grantee: Saint Gaudens Memorial**
34 South Highland Avenue
Ossining, NY 10562
- (2) Amount and Date Paid: \$5,000 paid on December 22, 2011**
- (3) Total paid: \$5,000**
- (4) Purpose of Grant: For the on-going exhibition programs and concert series that support the National Historic Site in Cornish, NH and to promote awareness of the work of Augustus Saint-Gaudens.**
- (5) Amount of Grant Spent by Grantee: \$5,000**
- (6) Diversion: To the knowledge of the foundation, and based on the report furnished by the grantee, no part has been used for other than its intended purpose.**
- (7) Date of Report Received from Grantee: May 1, 2012**
- (8) The J.M. Kaplan Fund, Inc. reviewed the grant report on May 1, 2012 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5 (c)).**

The J.M. Kaplan Fund, Inc.
For the year ended
December 31, 2011

<u>TRUSTEE/Address</u>	<u>Hours Worked/Wk</u>	<u>Contributions to "EE" Benefit Plans</u>	<u>Expense Account</u>	<u>Compensation</u>
Note: The mailing address for All Trustees is: C/o The J.M Kaplan Fund, Inc. 261 Madison Avenue 19 th Fl. New York, NY 10016				
Joan Davidson, Trustee	3-5	None	None	5,000
Elizabeth K. Fonseca, Trustee	3-5	None	None	5,000
Mary E. Kaplan, Trustee	3-5	None	None	5,000
Richard D. Kaplan, Trustee	3-5	None	None	5,000
J. Matthew Davidson, Trustee	3-5	None	None	9,000
Brad Davidson, Trustee	3-5	None	None	9,000
Elizabeth Davidson Pickering, Trustee	3-5	None	None	9,000
Peter Davidson, Trustee	3-5	None	None	9,000
Caio Fonseca, Trustee	3-5	None	None	7,000
Isabel Fonseca, Trustee	3-5	None	None	9,000
Quina Fonseca, Trustee	3-5	None	None	9,000
Conn Nugent, Exec.Director/Secretary	40	<u>58,244</u>	<u>None</u>	<u>\$281,081</u>
		<u>\$ 58,244</u>	<u>\$ -0-</u>	<u>\$362,081</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 18

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
WILLIAM FALAHEE 261 MADISON AVENUE NEW YORK, NY 10016	CONTROLLER 40.00	129,802.	43,678. 0
ANGELA CARABINE 261 MADISON AVENUE NEW YORK, NY 10016	GRANTS MANAGER 40.00	103,057.	35,156. 0
LAURA HANSEN 261 MADISON AVENUE NEW YORK, NY 10016	PROGRAM OFFICER 30.00	65,493.	5,624. 0
ANN BIRCKMAYER 261 MADISON AVENUE NEW YORK, NY 10016	OFFICE ASSISTANT 30.00	61,526.	5,383. 0
	TOTAL COMPENSATION	<u>359,878.</u>	<u>89,841. 0</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 19

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
BRANDYWINE MANAGEMENT CO. 880 THIRD AVENUE NEW YORK, NY	INVESTMENT SERVICES	452,556.
SUZETTE BROOKS MASTERS 40 EAST 88TH STREET NEW YORK, NY 10075	GRANT CONSULTANT	87,762.
KEN LUSTBADER 37 WEST 12TH STREET NEW YORK, NY 10011	GRANT CONSULTANT	72,100.
	TOTAL COMPENSATION	<u>612,418.</u>

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

JACOB M. KAPLAN-DECEASED
HENRY C. KAPLAN-DECEASED

ATTACHMENT 21

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SEE STATEMENT ATTACHED

J.M.

KAPLAN

FUND

Guidelines

Organizations interested in applying for a grant from the Environment, Historic Preservation, or Migration programs should send a brief letter of inquiry to Angela Carabine, Grants Manager, J.M. Kaplan Fund, 261 Madison Ave, 19th floor, NY NY 10016. Applicants will be notified within thirty days if they are to submit a full proposal.

Requests for interviews or personal meetings will be honored only after the submission of a written letter of inquiry.

Organizations interested in the Furthermore program should contact Ann Birckmayer, Program Associate, Furthermore Grants in Publishing, PO Box 667, Hudson NY 12534, telephone 518-828-8900.

Discretionary grants are made by individual trustees on the basis of their own investigations; no applications or proposals will be reviewed.

[Letter from the Chairman](#)

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J.M.

KAPLAN

FUND

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Grant Programs

The J. M. Kaplan Fund is a New York-based family foundation. The four children and seven grandchildren of Jacob M. Kaplan constitute the Fund's Board of Trustees. Assets as of March 2009 are approximately \$120 million. Three-fifths of the annual grants budget is awarded by the trustees acting in common.

I. COMMON GRANTS

There are four official Fund grant programs:

- City Life
- Environment
- Historic Preservation
- Migrations

City Life Program.

The City Life Program concentrates on public spaces and public services throughout the five boroughs of New York City. It focuses particularly on *parks* and *streets*.

- Parks. Projects to: assure public access to well-maintained parks and greenery in all neighborhoods; enhance public uses of harbor and shoreline; and promote community participation in parks governance and operations.
- Streets. Projects to: advocate more and better rail, bus, and water transport; expand pedestrian zones and bikepaths; and regulate truck and automobile traffic.

Environment Program.

The Environment Program concentrates on marine conservation, especially in ocean waters that lie beyond the jurisdiction of a single national government. The program currently supports grantees working to: create international protections for species and ecoregions of the High Seas; educate scientists and the public about the value and vulnerability of the ocean as a world system; and foment civil society movements to protect Arctic waters and Arctic coastal communities.

Historic Preservation.

The Operating Board is currently reviewing proposals for the preservation and protection of major archaeological sites in North Africa and Southwest Asia. In addition, the Fund also supports: development of a movement to inventory and protect North American industrial architecture; zoning protections for twentieth century architecture in Miami and Havana; and the rescue of particularly valuable / particularly threatened buildings in New York City.

Migrations.

The Fund aims to support: a) comprehensive immigration policy reform for the United States; and b) the integration of immigrants into local and national communities. The former aim is pursued through grants for public education and advocacy. The latter is pursued by efforts, initiated by the Fund, to: bolster local immigrant-friendly policies and programs; highlight immigrant contributions to the commonwealth; and establish, with the Migration Policy Institute, a new award – the E Pluribus Unum Prize – intended to honor government agencies, non-profit organizations, businesses, and individuals who have succeeded in integrating recent immigrants and adding value to the larger community.

Application Procedures.

The great majority of projects funded through the four Common Grant programs

are solicited by Kaplan Fund staff. Unsolicited written inquiries are welcome, however. Organizations should submit a brief letter – no more than two pages – describing their work and its relevance to the specific program interests of the Fund. Send to: Angela Carabine, Grants Manager, JM Kaplan Fund, 261 Madison Avenue 19th Floor, New York NY 10016. Telephone inquiries are discouraged.

II. FURTHERMORE

In addition to these principal efforts, the Fund also sponsors Furthermore grants in publishing, a program directed by president emeritus Joan K. Davidson. Furthermore supports books on art, architecture, and design, conservation; cultural history; and public issues. More information about Furthermore can be obtained from the program's office at PO Box 667, Hudson NY 12534, telephone 518-828-8900.

III. DISCRETIONARY GRANTS

Approximately two-fifths of the Fund's annual grants budget is distributed to organizations selected by individual trustees on the basis of their own investigations. These discretionary grants support a wide variety of activities, including:

- art, architecture, publishing, and design;
- conservation of land and buildings;
- policy analysis and discussion of civic issues;
- human rights and social justice.

Most discretionary grants support groups or activities in New York City and New York State, the traditional focus of Kaplan Fund philanthropy. **Discretionary grant proposals are by invitation only.**



FORM 990FF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 22

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEE STATEMENT ATTACHED ED	NONE PUBLIC	SEE SCHEDULE ATTACHED	8,253,827.
TOTAL CONTRIBUTIONS PAID			<u>8,253,827.</u>

The J.M. Kaplan Fund, Inc.
Ein: 13-6090286
List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
The Walter and Duncan Gordon Foundation	2009104	Gordon-Kaplan Northern Fellowship	\$100,000 00
President and Fellows of Harvard College	2009319	Conservation of the Lydian Altar and Synagogue Mosaics at Sardis, Turkey	\$35,000 00
Montana Preservation Alliance Inc.	2009323	Montana's Endangered Industrial Architecture Re- Grant Program "Titans of Industry" Grants	\$40,000 00
Consultative Group on Biological Diversity	2010029	2010/2011 Membership	\$5,000 00
Barnard College	2010077	Enhancing Grammar Studies at Barnard College	\$12,000 00
New York University	2009315	Excavations at Aphrodisias: 1) Anastylis of Propylon (\$300,000 1:1 match); 2) Conservation of the Hadrianic Baths (\$100,00 1:1 match); 3) Stabilization of Colonnades of Theater & Agora (\$100,000), 4) Operating Support (\$50,000)	\$175,000 00
Penn Praxis Inc.	2009318	Gordion Pebble Mosaic Conservation Program	\$50,000 00
World Monuments Fund Inc.	2009317	1) Restoration of the Ani Cathedral (\$450,000), 2) Restoration of Ani Church of the Redeemer (\$100,000)	\$150,000 00
The Municipal Art Society of New York	2010081	Demonstration Project to Improve Efficiency of a Historic Building; and "Green" House Manual Publication	\$37,500 00
New York Landmarks Conservancy, Inc.	2010076	Sacred Sites Program	\$50,000 00
Cherokee Charitable Foundation	2010225	Mindful Living Initiative, aka The Green Communities Initiative	\$250,000 00
Essential Information, Inc	2010280	General Operating Support	\$45,753 00
Raritan Baykeeper Inc.	2010278	Fair Share Harbor Funding Campaign: Feasibility Study	\$5,000 00
Support Center for Nonprofit Management Inc	2010284	General Operating Support	\$750 00
Philanthropy New York	2010283	General Operating Support	\$750.00
Interfaith Center of New York Inc.	2010281	General Operating Support	\$10,000.00
New York Foundation for the Arts Inc	2010282	Artist Fund	\$22,992 00
National Parks Conservation Association	2010312	To convene a roundtable and project briefing in 2011 for NY/NJ Harbor Coalition members and potential funders.	\$10,000.00
Center for American Progress	2010270	Receiving Communities Initiative (RCI)	\$40,000.00
The New-York Historical Society	2010322	For the book, "Stories in Sterling: Four Centuries of Silver in New York"	\$5,000 00
Brooklyn Institute of Arts & Sciences	2010314	For the book, "Youth and Beauty: Art of the American Twenties"	\$10,000.00
Printed Matter Inc	2010325	For the book, "Field Guide to The High Line"	\$4,000.00
Photo Based Art Inc.	2010324	For the book, "Blind Spot Series 01: Hudson Valley"	\$4,000 00

The J.M. Kaplan Fund, Inc.
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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
The Museum of Fine Arts of Houston	2010320	For the book, "Carlos Cruz-Diez Color in Time and Space"	\$5,000 00
Smithsonian Institution	2010326	For the book, "To Make a World George Ault in 1940s America"	\$5,000 00
American Friends of the Trasimeno Music Festival, Inc.	2011071	General Support	\$10,000 00
Coudert Institute, Villa Dei Fiori Inc.	2011031	General Operating Support	\$15,000 00
The English Speaking Union of the United States	2011030	General Operating Support	\$12,000 00
Playwrights' Preview Productions Ltd.	2011029	General Operating Support	\$15,000 00
Musica Sacra of New York Inc	2011053	General Operating Support	\$2,500 00
WNYC Radio	2011051	General Operating Support	\$2,500 00
The Olana Partnership	2011050	General Operating Support	\$2,500 00
Scenic Hudson, Inc	2011049	General Operating Support	\$2,500.00
PEN American Center, Inc.	2011046	General Operating Support	\$5,000 00
W.A.M.C	2011047	General Operating Support	\$5,000.00
Essential Information, Inc.	2011074	General Operating Support	\$15,000 00
Poets House Inc.	2011073	General Operating Support	\$10,000.00
American Heart Association, Inc.	2011085	General Support	\$75 00
Cardinal's Archdiocesan Appeal	2011087	The Stewardship Appeal - Holy Rosary Church, Hawthorne, NY	\$120 00
Gilman School Inc	2011083	The Gilman Fund - 2010/2011	\$2,000 00
Key School Inc.	2011078	2010/2011 Annual Fund	\$5,000 00
The Nature Conservancy	2011099	General Operating Support	\$2,500 00
St. Luke's Chamber Ensemble, Inc.	2011102	General Operating Support	\$2,500 00
Annapolis Maritime Museum Inc.	2011081	General Operating Support	\$2,000 00
Free Africa Foundation, Inc.	2011114	General Operating Support	\$15,000.00
Puente de la Costa Sur	2011120	General Operating Support	\$10,000 00
Foundation for Sustainability and Peacemaking in Mesoamerica	2011075	General Operating Support	\$20,000 00
Bard College	2011096	Bard Music Festival	\$2,500.00
Battery Conservancy, Inc.	2011092	General Operating Support	\$5,000 00
Glynwood Center Inc.	2011093	General Operating Support	\$2,500.00
Mohonk Preserve, Inc.	2011097	General Operating Support	\$2,500 00
National Association for Olmsted Parks	2011098	General Operating Support	\$2,500.00
Seventh Regiment Armory Conservancy Inc.	2011100	General Operating Support	\$2,500.00
International Rescue Committee, Inc.	2010310	General Operating Support	\$2,500.00
Coalition for the Homeless, Inc.	2010305	General Operating Support	\$2,500.00
Amnesty International USA Inc.	2010309	General Operating Support	\$2,500.00
Board of Trustees of the Leland Stanford Jr University	2010279	\$25,000 for The Stanford Fund for Undergraduate Education, \$100,000 for The J M Kaplan Fund for the English Department	\$25,000 00
Manhattan Soccer Club Inc.	2011017	General Operating Support	\$5,000.00
Essential Information, Inc.	2011016	General Operating Support	\$60,000.00
HelpArgentina	2011026	Tango Digital Archive Initiative of TangoVia Buenos Aries	\$10,000.00
Holy Rosary Church	2011072	General Support	\$1,668.00
L S U. Foundation	2010319	For the book, "A History of New Orleans Landscape Design"	\$5,000 00

The J.M. Kaplan Fund, Inc.

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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
Whitney Museum of American Art	2010328	For the book, "Lyonel Feininger At the Edge of the World"	\$7,500 00
Parks & Trails New York Inc.	2010323	For the book, "Cycling in the Hudson Valley. A guide to 150 mile adventure, history, art, and architecture along the Hudson River"	\$5,000 00
Smithsonian Institution	2010316	For the book, "Color Moves Art and Fashion by Sonia Delaunay"	\$7,500 00
West Virginia University Foundation Inc	2010327	For the book, "Uncommon Vernacular The Early Houses of Jefferson County, WV 1735-1835"	\$7,000 00
C & O Canal Trust Inc	2010315	For the book, "A Washington Sketchbook Drawings by Robert L. Dickinson, from 1917-1918"	\$3,500 00
The Grolier Club of the City of New York	2010317	For the book, "The Best of Both Worlds Finely Printed Livres d'Artiste"	\$4,500 00
City Harvest, Inc	2010302	General Operating Support	\$2,500.00
Civitas Citizens Inc	2010288	General Operating Support	\$2,500 00
North Country School	2010297	General Operating Support	\$2,500 00
Parks & Trails New York Inc	2010298	General Operating Support	\$2,500.00
Shaker Museum and Library	2010299	General Operating Support	\$2,500.00
City Harvest, Inc.	2011063	General Operating Support	\$600 00
Fifth Avenue Committee Inc	2011065	General Operating Support	\$400 00
Food Bank for New York City, Food for Survival	2011064	General Operating Support	\$600.00
Make the Road New York	2011060	General Operating Support	\$1,000 00
Transportation Alternatives Inc	2011062	General Operating Support	\$600.00
Civitas Citizens Inc	2011057	General Operating Support	\$2,500 00
Friends of the Upper East Side Historic Districts	2011056	General Operating Support	\$2,500 00
New York Stem Cell Foundation Inc.	2011044	General Operating Support	\$10,000.00
Landmark West Inc.	2011054	General Operating Support	\$2,500 00
New York Society Library Trustees	2011052	General Operating Support	\$2,500 00
Association of Community Employment Programs for the Homeless Inc.	2011037	General Operating Support	\$10,000.00
The British Memorial Garden Trust Inc.	2011038	General Operating Support	\$5,000 00
Columbia-Greene Hospital Foundation	2011039	General Operating Support	\$5,000.00
Westminster College	2011035	For preservation, archival and general operating support for the National Churchill Museum	\$15,000.00
Cookies for Kids Cancer	2011086	General Support	\$150 00
Environmental Grantmakers Association	2011024	2011 Membership	\$3,145.00
Association of Small Foundations	2011023	2011 Membership	\$2,000.00
Philanthropy New York	2011021	2011 Membership	\$12,600 00
Riverkeeper Inc	2011048	General Operating Support	\$2,500 00
Hudson Opera House, Inc.	2011040	General Operating Support	\$5,000 00
The Municipal Art Society of New York	2011041	General Operating Support	\$5,000 00
Museum of Arts & Design	2011034	General Operating Support	\$10,000 00
Regional Plan Association, Inc.	2011032	General Operating Support	\$50,000 00
Payee Legal Name	Request ID	Request Project Title	Amount

The J.M. Kaplan Fund, Inc.

Ein: 13-6090286

List of Paid Grants for 2011

The Skyscraper Museum	2011042	General Operating Support	\$5,000.00
Susan Smith Blackburn Prize, Inc.	2011045	General Operating Support	\$1,000.00
Beacon School Parent Association	2011020	General Support	\$25,000.00
Brooklyn Friends School	2011058	2010/2011 Annual Fund	\$4,000.00
Center for Urban Pedagogy Inc	2011059	General Operating Support	\$500.00
Transportation Alternatives Inc.	2011007	Winning Public Opinion through a Bicycle Ambassador Team	\$75,000.00
Mayor's Fund to Advance New York City	2011006	Bike Share - Public Information Campaign	\$200,000.00
Trust for Public Land	2011011	New York Harbor Program	\$50,000.00
City Parks Alliance Inc.	2011012	International Urban Parks Conference 2012	\$50,000.00
Metropolitan Waterfront Alliance Inc	2011010	Implementing the Waterfront Action Agenda	\$50,000.00
Prospect Park Alliance, Inc.	2011013	General Operating Support - Tribute to Tupper Thomas' 30-year Leadership	\$30,000.00
Regional Plan Association, Inc.	2011009	Governors Island Alliance Creating An Invested Constituency	\$50,000.00
Ocean Foundation	2011028	To launch High Seas Alliance	\$25,000.00
Atlas Economic Research Foundation	2010242	Fund for the Study of Spontaneous Orders	\$220,000.00
National Parks Conservation Association	2011008	New York/New Jersey Harbor Coalition	\$200,000.00
Antarctic and Southern Ocean Coalition	2011005	For campaign to achieve MPA status for the Ross Sea and the proposed network of Southern Ocean MPAs	\$37,500.00
National Trust for Historic Preservation in the U S	2011001	To support Industrial Heritage Protection in North America - Advancing the Movement	\$50,000.00
Illinois Coalition for Immigrant and Refugee Rights	2011004	"Uniting America: Citizens. Volunteers Good Neighbors" Project, Receiving Communities Liaison component	\$75,000.00
Public Interest Projects Inc	2011002	To support the activities of the Four Freedoms Fund, a project of Public Interest Projects	\$150,000.00
World Education Services	2011003	Poised to Launch. Catalyzing Career Pathways for Foreign-Trained Professionals, a new alliance to address the workforce needs of foreign trained professionals	\$175,000.00
Van Alen Institute: Projects in Public Architecture	2011022	Launch of Van Alen Books	\$25,000.00
Prisoner & Visitation Support Committee	2011019	General Operating Support	\$10,000.00
Restore NYC, Inc	2011018	General Operating Support	\$10,000.00
Time & Space Limited Theatre Company Inc	2010300	General Operating Support	\$2,500.00
Wilderstein Preservation	2010301	General Operating Support	\$2,500.00
Food Bank for New York City, Food for Survival	2010304	General Operating Support	\$2,500.00
Church of the Holy Apostles	2010306	Holy Apostle Soup Kitchen	\$2,500.00
New York Cares, Inc.	2010307	General Operating Support	\$2,500.00
Citymeals on-Wheels	2010303	General Operating Support	\$2,500.00
New York League of Conservation Voters Education Fund Inc	2010295	General Operating Support	\$2,500.00
Columbia Land Conservancy, Inc	2010289	General Operating Support	\$10,000.00
Historic Hudson, Inc	2010290	General Operating Support	\$1,500.00
Payee Legal Name	Request ID	Request Project Title	Amount
Hudson Opera House, Inc	2010291	General Operating Support	\$9,924.00

The J.M. Kaplan Fund, Inc.

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List of Paid Grants for 2011

Hudson River Sloop Clearwater, Inc	2010292	General Operating Support	\$5,000 00
Berkshire Taconic Community Foundation, Inc.	2010287	TBD/General Operating Support	\$2,500 00
National Association for Olmsted Parks	2010294	General Operating Support	\$2,500 00
American Farmland Trust	2010286	New York State General Operating Support	\$2,500 00
Martha Graham Center of Contemporary Dance	2010296	General Operating Support	\$1,000 00
Hudson River Heritage, Inc.	2010293	General Operating Support	\$2,500 00
Beaux Arts Alliance, Inc	2010313	For the book, "Magnificent Enemies"	\$4,000 00
Nation Institute	2010321	For the book, "The Noir 40s"	\$5,000.00
Oxfam-America Inc	2010311	General Operating Support	\$2,500 00
International Rescue Committee, Inc.	2010308	General Operating Support	\$2,500 00
Parks & Trails New York Inc	2011101	General Operating Support	\$2,500 00
Young Life	2011119	General Operating Support	\$10,000 00
Publicolor Inc.	2011115	General Operating Support	\$1,000 00
Lenox Hill Hospital	2011055	General Operating Support	\$5,000 00
The Society of the Four Arts Inc	2011036	General Operating Support	\$10,000 00
Beth Israel Medical Center	2011043	\$10,000 The Martin M. Feuer M D Education Fund \$10,000 General Operating Support allocated by Dr. Marvin McMillen	\$20,000.00
Neighborhood Economic Development Advocacy Project	2011061	General Operating Support	\$1,000 00
City Lore Inc.	2011066	General Operating Support	\$300 00
Urban Justice Center	2011070	Street Vendor Project	\$250 00
Mount Holyoke College	2011116	Alumnae Annual Fund	\$10,000 00
Maryland Hall for the Creative Arts, Inc.	2011079	General Operating Support	\$5,000 00
New York Foundation for the Arts Inc.	2011015	Artists Fund	\$234,605 00
The Preservation League of New York State	2011089	General Operating Support	\$10,000 00
Historic Districts Council, Inc	2011094	General Operating Support	\$2,500 00
Winnakee Land Trust Inc	2011105	General Operating Support	\$2,500 00
North Country School	2011080	2010/2011 Annual Fund	\$5,000 00
London Town Foundation, Inc.	2011084	General Operating Support	\$1,000 00
St John's College	2011076	SJC Annual Fund 2011/2012	\$30,000 00
New York Preservation Archive Project	2011106	General Operating Support	\$1,000 00
The Municipal Art Society of New York	2011088	General Operating Support	\$10,000.00
Int'l Union for Conservation of Nature & Natural Resources	2011137	High Seas Conservation Initiative	\$100,000 00
Natural Resources Defense Council, Inc.	2011138	Integrating Strengthened International Marine Governance into U.S. Arctic Policy in partnership with WWF US and Clear Air Cool Planet	\$50,000 00
Clean Air Cool Planet Inc A Northeast Alliance	2011139	"Strengthening the Arctic Council's Conservation Role"	\$40,000.00
The Brookings Institution	2011153	Metropolitan Policy Program	\$20,000 00
The Bryn Mawr School for Girls of Baltimore City	2011136	Annual Fund 2011/2012	\$20,000 00
Philharmonic-Symphony Society of New York, Inc.	2011152	General Operating Support	\$5,000 00
Payee Legal Name	Request ID	Request Project Title	Amount
Parikrma USA Inc	2011191	General Operating Support	\$3,000 00

The J.M. Kaplan Fund, Inc.
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List of Paid Grants for 2011

The Point Community Development Corporation	2011189	General Operating Support	\$40,000 00
PEN American Center, Inc.	2011192	PEN/Saul Bellow Award for Achievement in American Fiction	\$2,500 00
Phoenix Art Museum	2011147	To support the acquisitions of Western American Art	\$40,000 00
Natural Resources Defense Council, Inc	2011169	General Operating Support	\$5,000 00
Natural Resources Defense Council, Inc.	2011170	General Operating Support - Midwest Regional Office	\$5,000.00
The Colorado College	2011172	2011/2012 Annual Fund	\$5,000 00
Chicago Public Media Inc	2011174	General Operating Support	\$5,000 00
Open Space Institute, Inc	2011176	General Operating Support	\$5,000 00
Bennington College Corporation	2011177	Annual Fund	\$5,000 00
Community Foundation of Anne Arundel County Inc.	2011077	General Operating Support	\$5,000 00
Annapolis Symphony Orchestra Association Inc	2011082	General Operating Support	\$2,000 00
Tri-State Transportation Campaign Inc.	2011127	BRT/Select Bus Service (SBS) Coalition	\$50,000 00
Sustainable South Bronx	2011131	South Bronx Greenway	\$30,000.00
The Point Community Development Corporation	2011132	South Bronx Greenway and Riverside Campus for Arts and the Environment	\$30,000 00
New Yorkers for Parks	2011133	Open Space Index: Growing Up Healthy in East Harlem, and Report Card on Large Parks	\$30,000 00
Transportation Alternatives Inc.	2011125	BRT/Select Bus Service (SBS) Coalition - Rider Rebellion Campaign	\$50,000 00
UPROSE	2011135	Sunset Park Greenway-Blueway and Waterfront Park	\$30,000 00
Pratt Institute	2011126	Pratt Center for Community Development BRT/Select Bus Service (SBS) Coalition	\$50,000 00
Bronx River Alliance Inc.	2011130	Bronx River Greenway	\$50,000 00
Environmental Defense Fund Inc.	2011128	BRT/Select Bus Service (SBS) Coalition	\$50,000 00
Brooklyn Greenway Initiative	2011129	Brooklyn Waterfront Greenway	\$50,000.00
Brooklyn Bridge Park Conservancy Inc.	2011134	Environmental Education Programming	\$30,000 00
Pacific Environment and Resource Center	2011140	Amplifying Community Voices: Mitigating the Impact of International Shipping and other Threats to Arctic Communities and their Environment	\$40,000.00
Environmental Defense Fund Inc.	2011141	Protecting Biodiversity in Cuba by Developing a Strong Foundation for Scientific Exchange and Environmental Cooperation between Cuba and the US	\$45,000 00
New York Foundation for the Arts Inc	2011025	Artists Fund	\$10,300 00
Habitat for Humanity International	2011143	Global Village Department Event Code: GV11343	\$2,000.00
American Heart Association, Inc.	2011142	Jump Rope for Heart Program	\$75.00
Ocean Foundation	2011027	Tri-national Initiative for Marine Research & Conservation in the Gulf of Mexico and Western Caribbean	\$30,000 00

The J.M. Kaplan Fund, Inc.

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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
1The Pew Charitable Trusts	2011145	Oceans North International Arctic Fisheries Campaign	\$50,000.00
Endometriosis Foundation of America Inc.	2011117	General Operating Support	\$5,000.00
Gentlemen of the Gardens Inc	2011033	General Operating Support	\$10,000.00
New York Public Interest Research Group Fund Inc	2011124	Straphangers Campaign Winning Faster and More Reliable Bus Service	\$50,000.00
Westchester Community College Foundation Inc	2011112	Community College Consortium for Immigration Education (CCCIE)	\$125,000.00
Bermuda Biological Station for Research Inc	2011107	To develop the core of a data repository for all scientific data collected in the Sargasso Sea	\$50,000.00
Welcoming America, Inc	2011109	General Operating Support	\$100,000.00
Migration Policy Institute	2011108	E Pluribus Unum National Awards Program	\$300,000.00
Progressive States Network	2011111	States Immigration Project	\$40,000.00
Center for New Community	2011110	Building Democracy Initiative	\$150,000.00
Grants Managers Network Inc.	2011123	2011 Membership	\$2,000.00
OneAmerica	2011113	To fund the track, "Engaging Host Communities" at the 4th Annual National Immigrant Integration Conference	\$20,000.00
The Foundation Center	2011122	2011 Membership	\$7,500.00
The Architectural League of New York	2011091	General Operating Support	\$5,000.00
Time & Space Limited Theatre Company Inc.	2011104	General Operating Support	\$2,500.00
North Country School	2011146	\$25,000 for 2010/2011 Capital Campaign, \$10,000 for 2010/2011 Annual Fund	\$35,000.00
New York Stem Cell Foundation Inc.	2011194	General Operating Support	\$15,000.00
The Culture Project Inc	2011197	General Operating Support	\$10,000.00
Thomas Cole Historic House	2011208	General Operating Support	\$5,000.00
Constitutional Education Foundation, Inc.	2011238	The Constitution Works Program	\$2,500.00
Chicago Public Media Inc	2011239	"Sound Opinions" Programming	\$2,500.00
ANAI Inc.	2011150	Stream and Watershed Biomonitoring in the Greater Talamanca Region Program	\$5,000.00
Ensemble Studio Theatre, Inc.	2011241	General Operating Support for Program, including theater development	\$100,000.00
Brooklyn Academy of Music, Inc.	2011180	General Operating Support	\$5,000.00
Columbia County Historical Society, Inc	2011181	General Operating Support	\$5,000.00
Columbia-Greene Hospital Foundation	2011182	General Operating Support	\$5,000.00
New York Shakespeare Festival	2011183	General Operating Support	\$5,000.00
The SS Columbia Project	2011184	General Operating Support	\$5,000.00
Four Nations, Inc	2011185	General Operating Support	\$3,000.00
Manitoga Inc.	2011186	General Operating Support	\$2,500.00
Thomas Cole Historic House	2011187	General Operating Support	\$2,500.00
Historic Hudson, Inc	2011188	General Operating Support	\$1,500.00
North Country School	2011171	For Capital Campaign in general, and the paying down of outstanding debt, in particular	\$250,000.00
Poets House Inc	2011247	General Operating Support	\$6,000.00
Putney School Inc.	2011246	Annual Fund	\$5,000.00
Payee Legal Name	Request ID	Request Project Title	Amount

The J.M. Kaplan Fund, Inc.

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List of Paid Grants for 2011

Free Africa Foundation, Inc.	2011245	General Support for Current Programs	\$15,000 00
Foundation for Sustainability and Peacemaking in Mesoamerica	2011244	General Operating Support and Mesoamerica Peace Center	\$15,000 00
Immigration Equality	2011242	General Operating Support	\$7,500 00
Land Institute	2011237	General Operating Support	\$25,000 00
Navy Seal Foundation Inc	2011193	General Operating Support	\$1,000 00
Alex Fund Inc.	2011190	"Every Child in 'Gradinita' A way to dramatically increase 'gradinita' attendance of impoverished children	\$30,000 00
Great Neck Center for the Visual and Performing Arts, Inc	2011199	General Operating Support	\$10,000 00
Broadway Walk of Stars Foundation Inc.	2011195	General Operating Support	\$10,000 00
Kenosha Christmas Charities Inc.	2011175	General Operating Support in honor of Howard Brown	\$5,000 00
Penn Praxis Inc	2011158	Certification Program in the Conservation and Management of Archaeological Sites for Turkish Nationals	\$37,500.00
Trustees of Phillips Academy	2011211	For the book, "Making a Presence. F Holland Day in Artistic Photography"	\$3,500 00
Aperture Foundation, Inc	2011212	For the book, "Aperture Magazine: An Anthology, Volume 1 (1952-1977)"	\$5,000 00
Bard College	2011213	For the book, "Jean Sibelius and His World"	\$5,000 00
Cornell University	2011214	For the book, "The Sanctified Landscape The Hudson River Valley, 1820-1909"	\$3,000 00
The Detroit Institute of Arts	2011215	For the book, "Detroit Revealed. Photographs, 2000-2010"	\$3,000 00
Lyme Historical Society Inc	2011216	For the book, "With Needle and Brush: Schoolgirl Embroidery From the Connecticut River Valley, 1740-1840"	\$5,000 00
Marist College	2011217	For the journal, "The Hudson River Valley Review"	\$3,500 00
Japan Society Inc.	2011218	For the book, "Fiber Futures: Japan's Textile Pioneers"	\$7,500 00
The Museum of the City of New York	2011219	For the book, "The Greatest Grid: The Master Plan of Manhattan"	\$7,500 00
Natural Resources Defense Council, Inc	2011220	For the magazine, "OnEarth"	\$15,000 00
New York Landmarks Conservancy, Inc.	2011221	For the anniversary edition of the journal, "Common Bond"	\$5,000.00
Wesleyan University	2011234	For the book, "Gervase Wheeler: A British Architect in America, 1847-1860"	\$4,500 00
The William A. Farnsworth Library & Art Museum Inc.	2011235	For the book, "Impressionist Summers Frank W Benson's North Haven"	\$4,500.00
Florida International University Foundation, Inc	2011236	For the book, "Liberty, Equality, and Fraternity French Design for Living"	\$5,000 00
Fiction Inc.	2011249	For "Fiction" Magazine	\$10,000.00
The San Francisco Art and Film Program	2011248	General Operating Support	\$7,500.00
Essential Information, Inc	2011243	General Support for Projects	\$65,000 00
Friends of City Reliquary Inc	2011240	Project Neon	\$6,000 00
The Grant Foundation	2011206	General Operating Support	\$5,000 00

The J.M. Kaplan Fund, Inc.
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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
The Franklin and Eleanor Roosevelt Institute	2011198	FDR Four Freedoms Park	\$10,000 00
Daniel Murphy Scholarship Fund	2011179	General Operating Support	\$2,500 00
Alaska Native Science Commission Inc	2011168	Strengthening and Expanding the Arctic Alliance	\$100,000 00
The Train Foundation	2011118	For the Journalism Internship Program of the Paul Klebnikov Fund, a project of The Train Foundation	\$10,000.00
Hope for Depression Research Foundation	2011200	General Operating Support	\$10,000 00
Aston Magna Foundation for Music and the Humanities Inc.	2011209	General Operating Support	\$2,000 00
North Country Underground Railroad Historical Society	2011222	For the book, "The Secret Lives of Sidney Howard Gay and Louis Napoleon in the Underground Railroad"	\$2,500 00
Performa Inc.	2011224	For the book, "Performa 09: Back to Futurism"	\$2,500 00
Sharon Historical Society	2011226	For the book, "Iron Making in and around Sharon, Connecticut"	\$1,500 00
Sharon Historical Society	2011149	SHS Historic Window Restoration Project	\$750 00
Sharon Historical Society	2011148	Support for its 100th Anniversary Event. Let Them Eat (Birthday) Cake	\$225 00
Ohio University Foundation	2011223	For the book, "The Early Engravers of Cincinnati"	\$3,500 00
Research Foundation of the City University of New York	2011225	For the book, "Who Hears the Fishes When They Cry"	\$2,500 00
Sustainable Development Institute	2011227	For the book, "Hudson Valley Revival"	\$5,000 00
Textile Museum of DC	2011228	For the book, "Weaving Abstraction. Kuba textiles and the Woven Art of Central Africa"	\$6,000 00
Trout Unlimited	2011229	For guidebooks, Book 1 and Book 2	\$2,500 00
The Regents of the University of California	2011230	For the book, "State of Mind: New California Art Circa 1970"	\$5,000 00
The University of Tennessee	2011231	For the book, "The Amphibians of Tennessee"	\$3,000.00
Trustees of Dartmouth College	2011232	For the book, "The Cultured Canvas: New Perspectives on American Landscape Painting"	\$5,000.00
Village of Red Hook, New York	2011233	For the book, "Pattern Book and Architectural Guide of Housing Types and Commercial Infill Buildings"	\$2,500 00
The New Press Inc.	2011253	General Operating Support	\$5,000.00
Edible Schoolyard New York	2011264	General Operating Support	\$5,000 00
Visiting Nurse Service of New York	2011286	General Operating Support	\$5,000.00
Planned Parenthood of Maryland Inc.	2011288	General Operating Support	\$1,000.00
Washington Empowered Against Violence	2011290	General Operating Support	\$2,000 00
The ISUS Institute of Health Care	2011292	General Operating Support	\$5,000.00
The Point Community Development Corporation	2011334	General Support	\$11,500 00
The Triangle Arts Association Ltd.	2011269	General Operating Support	\$5,000 00
iMentor	2011291	General Operating Support	\$5,000 00
Fugees Family Inc.	2011293	General Operating Support	\$5,000 00
The Point Community Development Corporation	2011335	General Support	\$8,000 00

The J.M. Kaplan Fund, Inc.
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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
Pro Bono Net Inc	2011301	General Operating Support	\$1,500 00
Ocean Classroom Foundation Inc.	2011302	World Ocean Observatory	\$4,000 00
Tides Center	2011300	Across the Aisle Foundation/NoLabels, a project of the Tides Center	\$5,000 00
Manhattan Institute for Policy Research Inc	2011380	Social Entrepreneurship Initiative	\$100,000 00
Association of Community Employment Programs for the Homesless Inc	2011381	general support	\$10,000 00
Garrison Institute	2011265	General Operating Support	\$5,000 00
Historic Hudson Valley	2011259	General Operating Support	\$5,000 00
Council on the Environment, Inc.	2011260	General Operating Support	\$5,000 00
Dalton Schools, Inc.	2011261	Annual Fund	\$20,000 00
Black Rock Forest Consortium Inc	2011273	General Operating Support	\$5,000 00
PTA of MS 54 Inc.	2011263	General Operating Support	\$6,000.00
Friends of PS 163 Inc	2011262	General Operating Support	\$3,000 00
The George Mason University Foundation Inc	2011382	Project for New Inquiry into Social Science Research	\$70,000 00
The University of Tennessee	2011318	For the book, "The Springfield Gas Machine. Illuminating Industry and Leisure, 1860-1920s"	\$2,000.00
Getting the Word Out, Inc.	2011311	For the journal, "A Jerry Russell Retrospective"	\$4,000.00
Center for Book Arts	2011307	For the book, "Fine & Dirty"	\$3,000.00
College Art Association of America, Inc	2011308	CAA Publications Business Analysis	\$5,000.00
The Graduate Center Foundation Inc.	2011310	For the book, "Lost & Found: The CUNY Poetics Document Initiative, Volume 3	\$4,000.00
Thomas Cole Historic House	2011317	For the book, "Thomas Cole National Historic Site The Place Where American Landscape Painting Began"	\$2,500 00
Brandeis University	2011306	For the book, "Encounter on th Great Plains: Nordic Newcomers abd Dakota Survival, 1890-1930	\$4,000 00
Columbia Land Conservancy, Inc	2011309	For the book, "Columbia County Outdoors"	\$5,000 00
West Virginia University Foundation Inc.	2011320	For the book, "Sharing Our Story. New Deal Photographs of West Virginia, 1934-1943"	\$3,000.00
Friends of the New York Tansit Museum	2011331	For the book, "Celebrating the Centennial of Grand Central Station"	\$5,000 00
The Literary Classics of the United States Inc.	2011313	For the book, "The Collected Writings of Joe Brainard"	\$5,000 00
Yale University	2011322	For the book, "The Incidental Steward: Citizen Science for a New Century"	\$5,000.00
Center for the Study of Classical Architecture Inc	2011312	For the book, "Gardens For A Beautiful America, 1885-1935, Photographs by Frances Benjamin Johnston"	\$6,500.00
Library of American Landscape History, Inc	2011314	For the book, "Frederick Law Olmsted, Calvert Vaux, and the Buffalo Park and Parkway System"	\$6,000 00
Proteus Gowanus Interdisciplinary Gallery Inc.	2011326	For the book, "Libraries on the Edge the Proteus Gowanus Libraries Project"	\$3,500 00
Environmental Concern Inc	2011327	For the book, "POW! The Planning of Wetlands"	\$2,000 00

The J.M. Kaplan Fund, Inc.

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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
Yale University	2011321	For the book, "The Bassoon"	\$5,000 00
Meserve-Kundhardt Foundation Inc	2011332	For the book, "Gordon Parks"	\$5,000 00
SUNY New Paltz Foundation Inc	2011315	For the book, "Russel Wright: Modern Design Trailblazer"	\$3,500 00
Council on Tall Buildings and Urban Habitat	2011330	For the book, "Tall Buildings The 2012 Reference Guide of the What, When, and Where of Tall and Urban"	\$3,500 00
Kent State University Foundation Inc	2011333	For the book, "Cleveland Goes Modern Design for the Home, 1930-1970"	\$2,500 00
University of Texas Foundation Inc	2011319	For the book, "Norman Bel Geddes Designs America"	\$5,000.00
The American-Scandinavian Foundation	2011328	For the book, "Luminous Modernism Nordic Art in America"	\$4,000 00
The Center for the Study of Place, Inc	2011329	For the book, "The West Coast From Bering to Baja"	\$5,000 00
Public Interest Projects Inc.	2011274	To support Four Freedoms Funds's commissioned research conducted by Westen Strategies in the two new meta-narratives	\$35,000 00
Marine Conservation Biology Institute	2011282	Deep Sea Conservation Coalition: Hig Seas Bottom Trawling Campaign	\$60,000.00
Sharon Green Preservation Association Inc.	2011351	general support	\$75 00
Sharon Land Trust Inc	2011350	general support	\$150 00
Sharon Fire Department Inc.	2011349	general support	\$225.00
American Friends of the Trasimeno Music Festival, Inc	2011364	general support	\$5,000 00
Prisoner & Visitation Support Committee	2011365	general support	\$5,000 00
Charity Global Inc	2011366	general support	\$5,000 00
Doctors Without Borders USA Inc.	2011367	general support	\$5,000.00
Friends of the Forest Preserve	2011356	general support	\$2,500 00
American Farmland Trust	2011357	general support	\$2,500.00
Hudson River Sloop Clearwater, Inc	2011358	general support	\$5,000 00
Bard College	2011359	general support	\$2,500 00
The New York Botanical Garden	2011360	To aid with the recovery and restoration of damaged trees from the October 2011 snowstorm	\$2,500.00
Neighborhood Coalition For Shelter, Inc.	2011363	general support	\$2,500.00
Shaker Museum and Library	2011103	General Operating Support	\$2,500.00
Asian Americans for Equality Inc.	2011162	Year 2 - Lower East Side (LES) Chinatown Bicycling Coalition	\$35,000.00
Good Old Lower East Side	2011163	Year 2 - Lower East Side (LES) Chinatown Bicycling Coalition	\$30,000 00
Hester Street Collaborative Inc	2011164	Year 2 - Lower East Side (LES) Chinatown Biking Coalition	\$30,000 00
Recycle-A-Bicycle Inc	2011165	Year 2 - Lower East Side (LES) Chinatown Biking Coalition	\$30,000.00
Green Map System Inc	2011166	Year 2 - Lower East Side (LES) Chinatown Biking Coalition	\$30,000 00

The J.M. Kaplan Fund, Inc.

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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
Times Up Inc	2011167	Year 2 - Lower East Side (LES) Chinatown Biking Coalition	\$15,000 00
Scenic Hudson, Inc	2011159	Conservation of Industrial Archaeological Ruins at West Point Foundry	\$100,000 00
The Working Group	2011154	Not In Our Town's Inclusive Communities Campaign	\$30,000 00
Ocean Foundation	2011284	For the 2012 activities of IPSO (International Programme on the State of the Ocean), a project of the Ocean Foundation	\$100,000 00
Natural Resources Defense Council, Inc	2011283	Improving Governance of the High Seas	\$50,000 00
Int'l Union for Conservation of Nature & Natural Resources	2011281	Expanding Shipping and other Marine Activities and the Ecology of the bering Strait Region	\$35,000.00
Urban Assembly Inc	2011285	General Operating Support	\$8,000 00
Young Women's Christian Association of Brooklyn	2011295	Towards low-income women's housing	\$7,000 00
National Audubon Society Inc.	2011252	The Florida Invasive Species Task Force	\$15,000 00
Anne Arundel County CASA Inc	2011287	General Operating Support	\$2,000 00
Compass Rose Studio Theater	2011289	General Operating Support	\$1,000 00
Casa of Maryland Inc Ctr. Amer Solidarity & Assistance of Maryland	2011254	General Operating Support	\$9,000 00
Food Link Inc.	2011255	General Operating Support	\$3,000 00
St. Paul's Episcopal Church	2011256	St. Paul's Rector Discretionary Fund	\$3,000 00
Community Foundation of Anne Arundel County Inc	2011257	Anne Arundel Women Giving Together Endowment Fund	\$1,000.00
Light House Inc.	2011258	Light House Shelter	\$1,000 00
Elawa Farm Foundation	2011251	General Operating Support	\$2,500 00
Marine Conservation Biology Institute	2011014	Safeguarding the Least Protected Jewels on Earth: The High Seas Jewels Project	\$100,000 00
World Monuments Fund Inc.	2011266	General Operating Support	\$5,000 00
Arts & Recreation Center	2011267	General Operating Support	\$1,000 00
Citizens Budget Commission Inc.	2011268	General Operating Support	\$2,000 00
Saint Ann's School	2011297	229 Baltic Street Fund	\$20,000.00
Partners in Island Education	2011299	Towards the purchase of curtain wall for gymnasium	\$5,000.00
The Green-Wood Historic Fund Inc.	2011323	The Historic Fund	\$5,000 00
Metropolitan Waterfront Alliance Inc.	2011324	General Operating Support	\$5,000.00
The Brookings Institution	2011343	Metropolitan Policy Program	\$2,500 00
Regional Plan Association, Inc.	2011344	General Support	\$2,500.00
Brooklyn Academy of Music, Inc	2011345	General Support	\$1,500.00
Island Institute	2011298	General Operating Support	\$15,000 00
New York Studio School of Drawing, Painting & Sculpture, Inc	2011341	General Support	\$45,000.00
Freedom Institute, Inc	2011342	General Support	\$5,000 00
Hudson Opera House, Inc	2011361	general support	\$10,000 00
Seven West Forty Third Street Foundation Inc	2011362	Facade Trust	\$5,000 00
Ann Norton Sculpture Gardens Inc	2011379	general support	\$10,000 00

The J.M. Kaplan Fund, Inc.
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List of Paid Grants for 2011

Payee Legal Name	Request ID	Request Project Title	Amount
Mystic Seaport Museum Inc	2011378	For the restoration of the Charles W Morgan and related educational programming	\$15,000 00
Rocky Mountain Institute	2011376	general support	\$20,000 00
Royal Oak Foundation, Inc.	2011375	general support	\$10,000 00
Great Neck Center for the Visual and Performing Arts, Inc	2011374	general support	\$10,000 00
Museum of Arts & Design	2011373	general support	\$10,000 00
Regional Plan Association, Inc.	2011372	general support	\$40,000 00
Playwrights' Preview Productions Ltd	2011371	general support	\$15,000 00
Hudson Opera House, Inc	2011370	general support	\$2,000 00
Gentlemen of the Gardens Inc.	2011369	general support	\$10,000 00
The American Ireland Fund	2011368	Cill Rialaig Project	\$5,000 00
Cambridge in America	2011377	Churchill Archives Centre's 2012 exhibition, "Churchill The Power of Words"	\$20,000.00
Westlake Athletic Booster Club Inc	2011336	General Support	\$1,620.00
The Leukemia & Lymphoma Society Inc.	2011337	General Support	\$150 00
Support Center for Nonprofit Management Inc.	2011338	General Support	\$750 00
Philanthropy New York	2011339	General Support	\$450 00
Environmental Advocates of New York Inc.	2011270	General Operating Support	\$2,500.00
Wilderstein Preservation	2011272	General Operating Support	\$2,500.00
Eleanor Roosevelt Center at Val-Kill Inc.	2011271	General Operating Support	\$2,500.00
Columbia Land Conservancy, Inc	2011348	general support	\$10,000 00
W A M.C.	2011347	general support	\$2,500.00
Old Merchants House of New York Inc.	2011346	general support	\$2,500 00
Salisbury Visiting Nurse Association Inc.	2011355	general support	\$150 00
Chore Service Inc.	2011354	general support	\$150 00
Womens Support Services Inc.	2011353	general support	\$75.00
Hotchkiss Library of Sharon Inc	2011352	general support	\$150.00
Interfaith Center of New York Inc.	2011202	General Operating Support	\$10,000 00
The Oliverian School Inc.	2011173	Annual Fund	\$5,000 00
Planned Parenthood Federation of America Inc.	2011178	General Operating Support	\$5,000.00
Saint-Gaudens Memorial	2011250	For the on-going exhibition programs and concert series that support the National Historic Site in Cornish, NH and to promote awareness and appreciation of the work of Augustus Saint-Gaudens	\$5,000 00
University of Toronto	2011160	Conservation and Interpretation of Bronze- and Iron-Age Architectural Ruins at Atchana and Tayinat	\$50,000 00
The American Ireland Fund	2011296	Cill Rialaig Program	\$5,000 00
American Scottish Foundation Inc	2011205	General Support	\$5,000 00
Wings Trust Inc	2011204	General Support	\$10,000 00
Churchill Centre	2011196	For Churchill Museum/War Rooms in London	\$10,000 00
Mary Obolensky Underwood Leukaemia Foundation Inc.	2011210	General Support	\$1,000 00
Payee Legal Name	Request ID	Request Project Title	Amount

The J.M. Kaplan Fund, Inc.

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List of Paid Grants for 2011

Added Value	2011068	General Support	\$250 00
Centro Hispano "Cuzcatlan"	2011069	General Support	\$250 00
Coney Island USA	2011067	General Support	\$250.00
Cotidiano Mujer	2011385	Nunca en Domingo Radio Program	\$25,000 00
Hudson River Foundation	2011201	General Support	\$30,000 00
The Zoological Society of London	2010390	To convene High Seas Alliance planning meeting, a workshop of non-governmental organizations working in the fields of ocean conservation and marine science to identify pathways for preserving the high seas.	\$100,000 00
Critical Review	2011340	General Support	\$100,000 00
International Committee for the Conservation of Industrial Architecture	2011121	Publication and Website for "Understanding and Conserving Industrial Heritage A Guide to International Best Practices"	\$15,000 00
Foundation Financial Officers Group	2011027	General Support/2011 Membership	\$2,000 00
Alliance for Justice	2011090	\$2500 for Scholarship Program/\$5000 for General Support	\$7,500 00
Historic House Trust of New York City, Inc.	2011095	General Operating Support	\$2,500 00
Tides Center	2011155	Define American	\$25,000 00
Trustees of Columbia University in the City of New York	2011304	Paul Spencer Byard Lecture Series	\$2,500 00
Berkshire Taconic Community Foundation, Inc.	2011303	General Support	\$5,000 00
Albany Institute of History and Art	2011305	For the book, "Capitol Story"	\$10,000 00

Total Grants Paid in 2011

\$8,253,827.00

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 23

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEE STATEMENT ATTACHED	NONE PUBLIC	SEE SCHEDULE ATTACHED	2,982,349
TOTAL CONTRIBUTIONS APPROVED			2,982,349

The J.M. Kaplan Fund, Inc.
Grants Payable
31-Dec-11

Hudson River Foundation	\$	5,000.00	Sumando	\$	12,500.00
Syracuse University	\$	3,500.00	NYC Public Private Initiatives	\$	70,000.00
Donors Trust	\$	100,000.00	University of Miami	\$	45,000.00
Critical Review Foundation	\$	50,000.00	Fifth Avenue Committee	\$	1,350.00
Donor's Inst.	\$	50,000.00	Canadian Parks & Wilderness Society	\$	5,000.00
Donor's Trust	\$	50,000.00	World Monuments Fund	\$	27,500.00
Colidiano Muler	\$	25,000.00	New York University	\$	200,000.00
Textile Museum	\$	2,500.00	World Monuments Fund	\$	200,000.00
Insh Georgian Society	\$	10,000.00	Penn Praxis Inc	\$	50,000.00
Insh Georgian Society	\$	20,000.00	Harvard University	\$	30,000.00
Wassminster College	\$	10,000.00	Iqualit	\$	20,000.00
	\$		Board of Trustees Stanford Univ	\$	25,000.00
	\$	326,000.00	Board of Trustees Stanford Univ	\$	25,000.00
			Board of Trustees Stanford Univ	\$	25,000.00
			Board of Trustees Stanford Univ	\$	25,000.00
			Matt Kids	\$	5,000.00
			Brad Tigs	\$	5,000.00
			Governors Island Alliance	\$	50,000.00
			Metropolitan Waterfront Alliance	\$	50,000.00
			Trust For Public Land	\$	50,000.00
			Transportation Alternatives	\$	75,000.00
			National Parks Conserv Assoc	\$	200,000.00
			Welcoming America	\$	100,000.00
			World Education Services	\$	125,000.00
			Koc University	\$	120,000.00
			French Institute	\$	40,000.00
			German Arch Institute	\$	50,000.00
			Global Heritage Fund	\$	45,000.00
			Univ of Wisconsin	\$	40,000.00
			Italian Architecture Mission	\$	50,000.00
			The Austman Institute	\$	75,000.00
			Vermont Studio Centre	\$	20,000.00
			Penn Praxis Inc	\$	37,500.00
			University of Toronto	\$	50,000.00
			Koc University	\$	50,000.00
			French Institute	\$	40,000.00
			German Arch Institute	\$	50,000.00
			Global Heritage Fund	\$	40,000.00
			University of Wisconsin	\$	40,000.00
			Italian Architecture Mission	\$	25,000.00
			German Arch Institute	\$	50,000.00
			Global Heritage Fund	\$	40,000.00
			University of Wisconsin	\$	40,000.00
			Italian Architecture Mission	\$	40,000.00
			International Union for Conservation	\$	25,000.00
			The Pew Charitable Trusts	\$	100,000.00
			Environmental Defense Fund	\$	50,000.00
			Manne Conservation Biology Inst	\$	45,000.00
			Antarctic& Southern Ocean Coalition	\$	75,000.00
				\$	37,500.00
				<u>\$</u>	<u>2,656,350.00</u>
				<u>\$</u>	<u>2,982,350.00</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 24

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	RELATED OR EXEMPT	
				AMOUNT	FUNCTION INCOME
PARTNERSHIP INCOME	900001	133,828.	18	1,688,090.	
PRI INCOME AND OTHER INCOME					
RETURN OF GRANTS					
TOTALS		<u>133,828.</u>		<u>1,688,090.</u>	