



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2011****For calendar year 2011 or tax year beginning , and ending**

Name of foundation <b>David L. Klein, Jr. Foundation</b>		<b>A Employer identification number</b> 13-6085432
Number and street (or P O box number if mail is not delivered to street address) <b>Foundation Source 501 Silverside Rd</b>	Room/suite 123	<b>B Telephone number (see instructions)</b> (800) 839-1754
City or town, state, and ZIP code <b>Wilmington DE 19809-1377</b>		<b>C If exemption application is pending, check here</b> <input type="checkbox"/>
<b>G Check all that apply</b>		<b>D 1 Foreign organizations, check here</b> <input type="checkbox"/>
<input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity		<b>2. Foreign organizations meeting the 85% test, check here and attach computation</b> <input type="checkbox"/>
<input type="checkbox"/> Final return <input type="checkbox"/> Amended return		<b>E If private foundation status was terminated under section 507(b)(1)(A), check here</b> <input type="checkbox"/>
<input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here</b> <input type="checkbox"/>
<b>H Check type of organization</b> <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
<b>I Fair market value of all assets at end of year (from Part II, col (c), line 16)</b> <b>\$ 12,827,500</b>		
<b>J Accounting method</b> <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	422	422		
	<b>4</b> Dividends and interest from securities	431,968	431,968		
	<b>5 a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6 a</b> Net gain or (loss) from sale of assets not on line 10	102,758			
	<b>b</b> Gross sales price for all assets on line 6a	1,256,068			
	<b>7</b> Capital gain net income (from Part IV, line 2)		102,758		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10 a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	535,148	535,148			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.				
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16 a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)				
	<b>c</b> Other professional fees (attach schedule)	79,487	34,626		44,861
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	40,023			
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings	2,981			2,981
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	56,944	5,406		51,538
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	179,435	40,032		99,380
	<b>25</b> Contributions, gifts, grants paid	835,000			835,000
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	1,014,435	40,032		934,380	
<b>27 Subtract line 26 from line 12</b>					
<b>a Excess of revenue over expenses and disbursements.</b>	-479,287				
<b>b Net investment income</b> (if negative, enter -0-)		495,116			
<b>c Adjusted net income</b> (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

(HTA)

Form **990-PF** (2011)

SCANNED AUG 21 2012

914-18 19

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	322,148	393,485	393,485
	3 Accounts receivable <span style="float:right">2,158</span>			
	Less allowance for doubtful accounts	2,092	2,158	2,158
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S. and state government obligations (attach schedule)	2,507,656	2,174,579	2,349,671
	b Investments—corporate stock (attach schedule)	8,255,520	7,593,704	7,266,492
	c Investments—corporate bonds (attach schedule)	1,885,404	2,318,802	2,416,457
Liabilities	11 Investments—land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	368,980	379,785	399,237
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	15 Other assets (describe )			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	13,341,800	12,862,513	12,827,500
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe )			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	13,341,800	12,862,513	
	30 Total net assets or fund balances (see instructions)	13,341,800	12,862,513	
	31 Total liabilities and net assets/fund balances (see instructions)	13,341,800	12,862,513	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	13,341,800
2 Enter amount from Part I, line 27a	2	-479,287
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	12,862,513
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	12,862,513

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a Publicly-traded Securities</b>				
<b>b Passthrough K1 Capital Gain</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> 1,256,068		1,169,521	86,547	
<b>b</b>			16,211	
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
<b>a</b>			86,547	
<b>b</b>			16,211	
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2 Capital gain net income or (net capital loss)</b> { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	102,758
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b> If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	884,596	13,214,659	0.066941
2009	922,806	10,545,485	0.087507
2008	944,961	11,871,650	0.079598
2007	818,805	14,084,774	0.058134
2006	857,429	13,588,200	0.063101
<b>2 Total of line 1, column (d)</b>			<b>2</b> 0.355281
<b>3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>			<b>3</b> 0.071056
<b>4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5</b>			<b>4</b> 13,599,479
<b>5 Multiply line 4 by line 3</b>			<b>5</b> 966,325
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>			<b>6</b> 4,951
<b>7 Add lines 5 and 6</b>			<b>7</b> 971,276
<b>8 Enter qualifying distributions from Part XII, line 4</b> If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 934,380

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1 a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	9,902	
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0	
<b>3</b> Add lines 1 and 2	<b>3</b>	9,902	
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	9,902	
<b>6</b> Credits/Payments			
<b>a</b> 2011 estimated tax payments and 2010 overpayment credited to 2011	<b>6a</b>	9,007	
<b>b</b> Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	1,139	
<b>d</b> Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b> Total credits and payments. Add lines 6a through 6d	<b>7</b>	10,146	
<b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	<b>8</b>	0	
<b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	<b>9</b>	0	
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	<b>10</b>	244	
<b>11</b> Enter the amount of line 10 to be Credited to 2012 estimated tax <b>244</b> Refunded	<b>11</b>	0	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1 a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>c</b> Did the foundation file Form 1120-POL for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <b>\$</b> _____ (2) On foundation managers <b>\$</b> _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <b>\$</b> _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
<b>4 a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
<b>8 a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <b>NY</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶	13	X	
14	The books are in care of ▶ Foundation Source Telephone no ▶ (800) 839-1754 Located at ▶ 501 Silverside Road, Suite 123 Wilmington DE ZIP+4 ▶ 19809-1377			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year . ▶ 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes No	X X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? ▶ <input type="checkbox"/>	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions )	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011 )	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? .	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total number of others receiving over \$50,000 for professional services</b>		

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments See instructions	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3	0



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	13,037,564
<b>b</b>	Average of monthly cash balances	<b>1b</b>	369,777
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	399,237
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	13,806,578
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	13,806,578
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	207,099
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	13,599,479
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	679,974

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	679,974
<b>2a</b>	Tax on investment income for 2011 from Part VI, line 5	<b>2a</b>	9,902
<b>b</b>	Income tax for 2011 (This does not include the tax from Part VI.)	<b>2b</b>	0
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	9,902
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	670,072
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0
<b>5</b>	Add lines 3 and 4	<b>5</b>	670,072
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	670,072

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	934,380
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	934,380
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	934,380

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
<b>1</b> Distributable amount for 2011 from Part XI, line 7				670,072
<b>2</b> Undistributed income, if any, as of the end of 2011				
<b>a</b> Enter amount for 2010 only			0	
<b>b</b> Total for prior years 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2011				
<b>a</b> From 2006	207,270			
<b>b</b> From 2007	123,318			
<b>c</b> From 2008	359,192			
<b>d</b> From 2009	402,268			
<b>e</b> From 2010	276,711			
<b>f</b> Total of lines 3a through e	1,368,759			
<b>4</b> Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 934,380				
<b>a</b> Applied to 2010, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2011 distributable amount				670,072
<b>e</b> Remaining amount distributed out of corpus	264,308			
<b>5</b> Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a) )	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,633,067			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions		0		
<b>e</b> Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	207,270			
<b>9</b> Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	1,425,797			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2007	123,318			
<b>b</b> Excess from 2008	359,192			
<b>c</b> Excess from 2009	402,268			
<b>d</b> Excess from 2010	276,711			
<b>e</b> Excess from 2011	264,308			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>				
A BETTER CHANCE INC 240 W 35TH ST NEW YORK NY 10001	N/A	509(a)(1)	General & Unrestricted	1,000
ALVIN AILEY DANCE FOUNDATION INC 405 W 55TH ST NEW YORK NY 10019	N/A	509(a)(2)	Berkeley Camp	5,000
ASSOCIATION TO BENEFIT CHILDREN 419 E 86TH ST NEW YORK NY 10028	N/A	509(a)(1)	Cassidy's Place	1,500
BLACK ROCK FOREST CONSORTIUM INC 19 W 44TH ST RM 1407 CORNWALL NY 12518	N/A	509(a)(1)	BFRC Urban Assembly for Applied Math and Science	15,000
BREAKTHROUGH NEW YORK 55 EXCHANGE PL STE 503 NEW YORK NY 10005	N/A	509(a)(1)	General & Unrestricted	20,000
BREARLEY SCHOOL 610 E 83RD ST NEW YORK NY 10028	N/A	509(a)(1)	General & Unrestricted	3,000
BROOKLYN ACADEMY OF MUSIC INC 30 LAFAYETTE AVE BROOKLYN NY 11217	N/A	509(a)(1)	Support for the Three Penny Opera	30,000
BROWN UNIVERSITY PROVIDENCE 164 ANGEL ST PROVIDENCE RI 02912	N/A	509(a)(1)	General & Unrestricted	3,000
CHINATOWN HEALTH CLINIC FOUNDATION INC 268 CANAL ST NEW YORK NY 10013	N/A	509(a)(1)	General & Unrestricted	5,000
COLLEGE ART ASSOCIATION OF AMERICA INC 50 BROADWAY FL 21 NEW YORK NY 10004	N/A	509(a)(2)	General & Unrestricted	5,000
COMPASSION AND CHOICES PO BOX 101810 DENVER CO 80250	N/A	509(a)(2)	General & Unrestricted	2,000
<b>Total</b> See Attached Statement			<b>3a</b>	835,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b>			<b>3b</b>	0

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions )
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments					
<b>3</b> Interest on savings and temporary cash investments			14	422	
<b>4</b> Dividends and interest from securities			14	431,968	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property					
<b>b</b> Not debt-financed property					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income					
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	102,758	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e)		0		535,148	0
<b>13</b> Total. Add line 12, columns (b), (d), and (e)				13	535,148

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |   |              |     |    |
|---|--------------|-----|----|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |              | Yes | No |
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:   |              |     |    |
| <b>(1)</b> Cash   | <b>1a(1)</b> |     | X  |
| <b>(2)</b> Other assets   | <b>1a(2)</b> |     | X  |
| <b>b</b> Other transactions:  |              |     |    |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization   | <b>1b(1)</b> |     | X  |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization   | <b>1b(2)</b> |     | X  |
| <b>(3)</b> Rental of facilities, equipment, or other assets   | <b>1b(3)</b> |     | X  |
| <b>(4)</b> Reimbursement arrangements   | <b>1b(4)</b> |     | X  |
| <b>(5)</b> Loans or loan guarantees   | <b>1b(5)</b> |     | X  |
| <b>(6)</b> Performance of services or membership or fundraising solicitations   | <b>1b(6)</b> |     | X  |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | <b>1c</b>    |     | X  |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

**Title**

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date \_\_\_\_\_

PTIN

**Jeffrey D. Haskell**

**Jeffrey D. Haskell**

7/20/2012

Check ☐ if  
self-employed

P01345770

Firm's name	► Foundation Source
-------------	---------------------

Firm's EIN ▶ 51-0398347

**Firm's address** ► 55 Walls Drive, Fairfield, CT 06824

Phone no (800) 839-1754

**Form 990-PF, Part I, Line 16c - Other Professional Fees**

<b>TOTAL:</b>		<b>(a) Expenses per Books</b>	<b>(b) Net Investment Income</b>	<b>(c) Adjusted Net Income</b>	<b>(d) Charitable Purpose</b>
	<b>Description</b>				
<b>1</b>	Investment Management Services	34,626	34,626	0	0
<b>2</b>	Philanthropic Consulting Services	44,861	0	0	44,861
<b>3</b>					
<b>4</b>					
<b>5</b>					
<b>6</b>					
<b>7</b>					
<b>8</b>					
<b>9</b>					
<b>10</b>					

**Form 990-PF, Part I, Line 18 - Taxes**

		TOTAL:	40,023	0	0	0	0
	Description	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose		
1	Estimated Tax for 2011	7,700	0	0	0		
2	IRS Excise Tax Payment with 1st ext 2010 990-PF	32,323	0	0	0		
3							
4							
5							
6							
7							
8							
9							
10							



**Form 990-PF, Part I, Line 23 - Other Expenses**

		<b>TOTAL:</b>				
	Description	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purpose	
1	Administrative Fees	49,338	0	0	49,338	51,538
2	State or Local Filing Fees	750	0	0	750	
3	Foundation Dues & Memberships	1,450	0	0	1,450	
4	TECHNOLOGY OPPORTUNITY PARTNERS LP K-1 Pass-Through Share of Partnership Expenses	5,406	5,406	0	0	
5						
6						
7						
8						
9						
10						

**Form 990-PF, Part II, Line 10a - Investments - U.S. and State Government Obligations**

		TOTAL:	Book Value End of Year	FMV End of Year
	Description			
1	FHLB - 2.875% - 06/12/2015 (3133XWNB1)		80,240	80,228
2	FHLB - 4.750% - 12/16/2016 (3133XHVK1)		86,895	88,445
3	FHLB - 4.875% - 05/17/2017 (3133XKQX6)		224,972	238,088
4	FHLB - 5.000% - 07/16/2013 (31339Y7K2)		194,453	214,194
5	FHLB - 5.250% - 06/18/2014 (3133X7FK5)		115,263	111,531
6	FNMA - 4.375% - 09/15/2012 (31359MPF4)		129,116	128,661
7	US TIPS - 1.375% - 01/15/2020 (912828MF4)		204,813	238,432
8	US TIPS - 2.000% - 01/15/2014 (912828BW9)		156,082	162,243
9	US TIPS - 2.000% - 01/15/2016 (912828ET3)		142,105	159,464
10	US TIPS - 2.500% - 07/15/2016 (912828FL9)		168,964	194,609
11	US TIPS - 3.000% - 07/15/2012 (912828AF7)		37,116	38,593
12	US TREAS NOTE - 1.000% - 03/31/2012 (912828MU1)		99,963	100,234
13	US TREAS NOTE - 1.750% - 03/31/2014 (912828KJ8)		98,564	103,316
14	US TREAS NOTE - 4.000% - 11/15/2020 (912828AP5)		57,628	61,997
15	US TREAS NOTE - 4.125% - 05/15/2015 (912828DV9)		103,416	112,172
16	US TREAS NOTE - 4.250% - 08/15/2014 (912828CT5)		94,603	110,188
17	US TREAS NOTE - 4.250% - 08/15/2015 (912828EE6)		95,963	113,391
18	US TREAS NOTE - 4.750% - 05/15/2014 (912828CJ7)		84,423	93,885

**Form 990-PF, Part II, Line 10b - Investments - Corporate Stock**

<b>TOTAL:</b>					7,593,704	7,266,492
	Description	Shares	Book Value End of Year	FMV End of Year		
<b>1</b>	DODGE & COX FUNDS INTERNATIONAL STOCK FUND (DODFX)	11,863	415,481	346,864		
<b>2</b>	DU PONT DE NEMOURS (DD)	1,191	38,434	54,524		
<b>3</b>	FIRST EAGLE SOGEN OVERSEAS FUND CLASS I (SGOIX)	33,995	812,922	702,005		
<b>4</b>	IPATH DJ AIGCITR ETN (DJP)	2,300	149,523	97,152		
<b>5</b>	ISHARES MSCI EMERGING MARKETS INDEX FD (EEM)	5,500	243,799	208,670		
<b>6</b>	ISHARES RUSSELL 1000 INDEX FD (IWB)	31,000	2,250,363	2,150,470		
<b>7</b>	ISHARES TRUST RUSSELL 1000 GROWTH INDEX FD (IWF)	10,800	594,491	624,132		
<b>8</b>	PIMCO ALL ASSET FUND INSTITUTIONAL CLASS (PAAIX)	100,276	1,220,854	1,157,189		
<b>9</b>	PIMCO DEVELOPING LOCAL MRKTS FD INSTI CL (PLMIX)	60,264	631,586	597,212		
<b>10</b>	SPDR S&P 500 ETF TRUST (SPY)	4,250	406,978	533,375		
<b>11</b>	SPDR S&P EMERGING ASIA PACIFIC ETF (GMF)	4,250	326,624	280,458		
<b>12</b>	TEMPLETON GLOBAL BOND FUND - CLASS A (TPINX)	40,875	492,649	507,261		
<b>13</b>	US BANCORP DEL DEP SHS REP 1/100TH PERP PFD SER A (USB-PA)	10	10,000	7,180		

## Form 990-PF, Part II, Line 10c - Investments - Corporate Bonds

		TOTAL:		2,318,802		2,416,457	
	Description	Shares	Book Value End of Year	FMV End of Year			
1	ABBOTT LABS NT - 4 350% - 03/15/2014 (002824AQ3)	150,000	137,184	162,118			
2	AMGEN INC - 4 850% - 11/18/2014 (031162AJ9)	10,000	10,051	10,862			
3	ASTRAZENECA - 5 400% - 06/01/2014 (046353AA6)	10,000	10,179	11,067			
4	AT&T NT - 4 950% - 01/15/2013 (00206RAF9)	100,000	108,317	104,045			
5	BERKSHIRE HATHAWAY FIN CORP 5.0% 08/15/2013 (084664BG5)	100,000	105,025	106,224			
6	BHP BILLITON FINANCE USA - 5 250% - 12/15/2015 (055451AB4)	10,000	9,923	11,491			
7	CANADIAN NATL RR - 6 200% - 06/01/2036 (136375BN1)	5,000	4,983	6,541			
8	CATERPILLAR FIN - 4.625% - 06/01/2015 (14911RAJ8)	10,000	9,943	11,075			
9	CATERPILLAR FINL SVCS MTNS BE 4 70000% 03/15/2012 (14912L2R1)	50,000	52,689	50,415			
10	CISCO SYSTEMS INC - 5.000% - 02/22/2016 (17275RAC6)	10,000	9,954	11,633			
11	CONOCOPHILLIPS GTD 4 60000% 01/15/2015 (20825CAT1)	50,000	54,825	55,583			
12	DEERE JOHN CAP CORP MTNS BE 5 50000% 04/13/2017FR (24422EQF9)	50,000	54,097	58,888			
13	DISNEY WALT CO - 4 500% - 12/15/2013 (254687AW6)	50,000	54,794	53,933			
14	DU PONT E I DE - 4.750% - 11/15/2012 (263534BK4)	100,000	94,993	103,617			
15	ENDURANCE SPEC - 6.150% - 10/15/2015 (29267HAB5)	5,000	4,982	5,192			
16	ERP OPERTING LP 05.375%, 8/1/2016 (26884AAU7)	10,000	9,547	10,897			
17	GE CAP CORP - 4.625% - 01/07/2021 (36962G4Y7)	100,000	103,421	104,473			
18	GLAXOSMITHKLINE CAP INC - 4 375% - 04/15/2014 (377372AA5)	100,000	106,644	108,223			
19	GOLDMAN SACHS GROUP NT - 5 250% - 10/15/2013 (38141GDQ4)	5,000	4,997	5,115			
20	HOME DEPOT INC - 5.400% - 03/01/2016 (437076AP7)	10,000	9,951	11,592			
21	IBM CORP NOTES 5.700% 09/14/2017 (459200GJ4)	100,000	116,240	120,499			
22	JPMORGAN CHASE - 4.650% - 06/01/2014 (46625HHN3)	50,000	53,762	52,875			
23	LOEWS CORP - 5 250% - 03/15/2016 (540424AN8)	5,000	4,841	5,510			
24	LOWES COS INC 5.4% 10/15/2016 (548661CK1)	100,000	110,983	115,583			
25	MERCK & CO INC - 4 750% - 01/03/2015 (589331AK3)	100,000	93,198	111,564			
26	MERRILL LYNCH & CO 6 05% 8/15/12 (59018YJ36)	100,000	107,961	102,262			
27	MICROSOFT CORP NT 4 20000% 06/01/2019 (594918AC8)	75,000	84,246	86,149			
28	PEPSICO INC NOTE 03.75000% 03/01/2014 (713448BK3)	100,000	104,858	106,432			
29	PROCTOR & GAMBLE CO NOTE 04.70000% 02/15/2019 (742718DN6)	100,000	103,425	117,610			
30	REED ELSEVIER - 4 625% - 06/15/2012 (758202AD7)	10,000	9,989	10,200			
31	TARGET CORP - 4.00% - 6/15/2013 (87612EAM8)	50,000	53,436	52,461			
32	TRANS-CANADA PIPELINES - 5.850% - 03/15/2036 (89352HAB5)	5,000	4,986	5,969			
33	UNITED TECHNOLOGY 4 875% NT, 05/01/15 (913017BH1)	10,000	9,944	11,196			
34	UNITED TECHNOLOGIES CORP 04 50000% 04/15/2020 (913017BR9)	100,000	109,386	112,260			
35	VERIZON - 5 250% - 04/15/2013 (92343VAN4)	100,000	102,411	105,574			
36	WAL MART STORES INC NT - 2 875% - 04/01/2015 (931142CR2)	75,000	79,464	79,577			
37	WALGREEN CO NOTES 05 25000% 01/15/2019 MAKE WHOLE (931422AE9)	100,000	113,317	118,841			
38	WELLS FARGO & CO NEWSR NT 4 37500% 01/31/2013 (949746NY3)	75,000	80,088	77,513			
39	WELLS FARGO - 5.1250% - 09/15/2016 (949746JE2)	10,000	9,459	10,807			
40	WYETH CR SENS - 5 500% - 03/15/2013 (983024AA8)	10,000	10,309	10,591			

Form 990-PF, Part II, Line 13 - Investments - Other

TOTAL:			379,785	399,237
	Asset Description	Book Value End of Year	FMV End of Year	
1	TECHNOLOGY OPPORTUNITY PARTNERS LP	379,785	399,237	
2				
3				
4				
5				
6				
7				
8				
9				
10				

**Form 990-PF, Part VIII, Line 1 - Compensation of Officers, Directors, Trustees and Foundation Managers**

TOTAL:											0	0	0
	Name	Street	City	State	Zip Code	Title	Avg Hrs	Comp	Benefits	Expense Acct			
1	Geoff Barnett	Foundation Source 501 Silverside Rd	Wilmington	DE	19809	Trustee	1	0	0	0			
2	Jane Barnett	Foundation Source 501 Silverside Rd	Wilmington	DE	19809	Secretary / Trustee	2	0	0	0			
3	Peter Barnett	Foundation Source 501 Silverside Rd	Wilmington	DE	19809	Trustee	1	0	0	0			
4	Saretta Barnett	Foundation Source 501 Silverside Rd	Wilmington	DE	19809	Trustee	2	0	0	0			
5	Howard J Barnett Jr	Foundation Source 501 Silverside Rd	Wilmington	DE	19809	Treasurer	1	0	0	0			
6	Nancy Chirinos	Foundation Source 501 Silverside Rd	Wilmington	DE	19809	Trustee	1	0	0	0			
7	Barry Traub	Foundation Source 501 Silverside Rd	Wilmington	DE	19809	Trustee	1	0	0	0			
8	Janet Traub	Foundation Source 501 Silverside Rd	Wilmington	DE	19809	President	3	0	0	0			
9	Jennifer Traub	Foundation Source 501 Silverside Rd	Wilmington	DE	19809	Trustee	1	0	0	0			
10	Marjorie Traub	Foundation Source 501 Silverside Rd	Wilmington	DE	19809	Trustee	1	0	0	0			

**Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

CONNECTICUT COLLEGE

**Street**

270 MOHEGAN AVE

**City**

NEW LONDON

**State**

CT

**Zip Code**

06320

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

3,000

**Name**

COOPER-HEWITT, NATIONAL DESIGN MUSEUM

**Street**

2 E 91ST ST

**City**

NEW YORK

**State**

NY

**Zip Code**

10128

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Capital Campaign

**Amount**

20,000

**Name**

CORNWALL LIBRARY ASSOCIATION

**Street**

PO BOX 126

**City**

CORNWALL

**State**

CT

**Zip Code**

06753

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

1,000

**Name**

EARTHJUSTICE

**Street**

50 CALIFORNIA ST, STE 500

**City**

SAN FRANCISCO

**State**

CA

**Zip Code**

94111

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(2)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

8,000

**Name**

ELECTRONIC FRONTIER FOUNDATION INC

**Street**

454 SHOTWELL ST

**City**

SAN FRANCISCO

**State**

CA

**Zip Code**

94110

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

1,000

**Name**

EQUITY TRUST INC

**Street**

PO BOX 746

**City**

TURNERS FALLS

**State**

MA

**Zip Code**

01376

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

1,000

**Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

FOOD RUNNERS

**Street**

271 FRANCISCO ST

**City**

SAN FRANCISCO

**State**

CA

**Zip Code**

94133

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

4,000

**Name**

FRIENDS OF THE BANCROFT LIBRARY

**Street**

UNIVERSITY OF CALIFORNIA

**City**

BERKELEY

**State**

CA

**Zip Code**

94720

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(2)

**Purpose of grant/contribution**

General Support for the Magnes Collection

**Amount**

40,000

**Name**

GAY MENS HEALTH CRISIS INC

**Street**

446 W 33RD ST

**City**

NEW YORK

**State**

NY

**Zip Code**

10001

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

2,000

**Name**

GREEN CORPS INC

**Street**

44 WINTER ST FL 4

**City**

BOSTON

**State**

MA

**Zip Code**

02108

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

30,000

**Name**

HARLEM ACADEMY

**Street**

1330 5TH AVE

**City**

NEW YORK

**State**

NY

**Zip Code**

10026

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

10,000

**Name**

HARLEM CHILDRENS ZONE INC

**Street**

35 E 125TH ST

**City**

NEW YORK

**State**

NY

**Zip Code**

10035

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(2)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

30,000



**Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

HOLE IN THE WALL GANG FUND INC

**Street**

555 LONG WHART DR

**City**

NEW HAVEN

**State**

CT

**Zip Code**

06511

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

5,000

**Name**

HOMELESS PRENATAL PROGRAM INC

**Street**

2500 18TH ST

**City**

SAN FRANCISCO

**State**

CA

**Zip Code**

94110

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

5,000

**Name**

HOUSATONIC VALLEY ASSOCIATION INC

**Street**

PO BOX 28150 KENT RD

**City**

CORNWALL BRIDGE

**State**

CT

**Zip Code**

06754

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Support for Water Monitoring of the Shepaug River and Litchfield Hills Greenprint Collaborative

**Amount**

25,000

**Name**

INTERNATIONAL FOUNDATION FOR ART RESEARCH INC

**Street**

500 5TH AVE STE 935

**City**

NEW YORK

**State**

NY

**Zip Code**

10110

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(2)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

5,000

**Name**

LEE PESKY LEARNING CENTER INC

**Street**

3324 ELDER ST

**City**

BOISE

**State**

ID

**Zip Code**

83705

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

2,000

**Name**

LOWER EAST SIDE TENEMENT MUSEUM

**Street**

91 ORCHARD ST

**City**

NEW YORK

**State**

NY

**Zip Code**

10002

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Support for the Shop Life Exhibit

**Amount**

25,000

**Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

MANITOGA INC

**Street**

22 OLD MANITOU RD

**City**

GARRISON

**State**

NY

**Zip Code**

10524

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

5,000

**Name**

MARIN AGRICULTURAL LAND TRUST

**Street**

PO BOX 809

**City**

PT REYES STA

**State**

CA

**Zip Code**

94956

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

5,000

**Name**

MARIN COMMUNITY FOOD BANK

**Street**

75 DIGITAL DR

**City**

NOVATO

**State**

CA

**Zip Code**

94949

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

3,000

**Name**

MEALS ON WHEELS OF SAN FRANCISCO INC

**Street**

1375 FAIRFAX AVE

**City**

SAN FRANCISCO

**State**

CA

**Zip Code**

94124

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

2,000

**Name**

MEMORIAL SLOAN-KETTERING CANCER CENTER

**Street**

1275 YORK AVE

**City**

NEW YORK

**State**

NY

**Zip Code**

10065

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Melanoma Research

**Amount**

3,000

**Name**

NATIONAL WOMENS LAW CENTER

**Street**

11 DUPONT CIR NW STE 800

**City**

WASHINGTON

**State**

DC

**Zip Code**

20036

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

10,000

**Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

NATURAL RESOURCES DEFENSE COUNCIL INC

**Street**

40 W 20TH ST

**City**

NEW YORK

**State**

NY

**Zip Code**

10011

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

10,000

**Name**

NEW ISRAEL FUND

**Street**

2100 M ST N W STE 619

**City**

WASHINGTON

**State**

DC

**Zip Code**

20037

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

10,000

**Name**

NEW YORKERS FOR PARKS

**Street**

55 BROAD ST 23RD FL

**City**

NEW YORK

**State**

NY

**Zip Code**

10004

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

25,000

**Name**

OBERLIN COLLEGE

**Street**

173 W LORAIN ST

**City**

OBERLIN

**State**

OH

**Zip Code**

44074

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

5,000

**Name**

PHILANTHROPIC VENTURES FOUNDATION

**Street**

1222 PRESERVATION PARK WAY

**City**

OAKLAND

**State**

CA

**Zip Code**

94612

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Support for SFUSD Elementary School Teachers

**Amount**

50,000

**Name**

PIE RANCH

**Street**

PO BOX 363

**City**

PESCADERO

**State**

CA

**Zip Code**

94060

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

1,000

**Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

PLANNED PARENTHOOD FEDERATION OF AMERICA INC

**Street**

434 W 33RD ST

**City**

NEW YORK

**State**

NY

**Zip Code**

10001

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Support for I Stand with Planned Parenthood Campaign

**Amount**

35,000

**Name**

PLANNED PARENTHOOD LEAGUE OF MASSACHUSETTS INC

**Street**

1055 COMMONWEALTH AVE

**City**

BOSTON

**State**

MA

**Zip Code**

02215

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

1,000

**Name**

PLANNED PARENTHOOD OF NEW YORK CITY INC

**Street**

26 BLEECKER ST

**City**

NEW YORK

**State**

NY

**Zip Code**

10012

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

2,500

**Name**

PLANNED PARENTHOOD SHASTA PACIFIC

**Street**

2185 PACHECO ST

**City**

CONCORD

**State**

CA

**Zip Code**

94520

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(2)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

35,000

**Name**

POLYTECHNIC INSTITUTE OF NEW YORK UNIVERSITY

**Street**

6 METROTECH CTR

**City**

BROOKLYN

**State**

NY

**Zip Code**

11201

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

FIRST Lego League Championship for Middle School Students in NYC

**Amount**

10,000

**Name**

READING EXCELLENCE AND DISCOVERY FOUNDATION INC

**Street**

80 MAIDEN LN 11TH FL

**City**

NEW YORK

**State**

NY

**Zip Code**

10038

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

7,500

**Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

ROSE FOUNDATION FOR COMMUNITIES AND THE ENVIRONMENT

**Street**

6008 COLLEGE AVE APT 10

<b>City</b> OAKLAND	<b>State</b> CA	<b>Zip Code</b> 94618	<b>Foreign Country</b>
------------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> N/A	<b>Foundation Status</b> 509(a)(1)
----------------------------	---------------------------------------

<b>Purpose of grant/contribution</b> Support for the Environmental Grassroots	<b>Amount</b> 50,000
--	-------------------------

**Name**

SAN FRANCISCO CHILD ABUSE PREVENTION CENTER

**Street**

1757 WALLER ST

<b>City</b> SAN FRANCISCO	<b>State</b> CA	<b>Zip Code</b> 94117	<b>Foreign Country</b>
------------------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> N/A	<b>Foundation Status</b> 509(a)(1)
----------------------------	---------------------------------------

<b>Purpose of grant/contribution</b> General & Unrestricted	<b>Amount</b> 10,000
--	-------------------------

**Name**

SAN FRANCISCO FOOD BANK

**Street**

900 PENNSYLVANIA AVE

<b>City</b> SAN FRANCISCO	<b>State</b> CA	<b>Zip Code</b> 94107	<b>Foreign Country</b>
------------------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> N/A	<b>Foundation Status</b> 509(a)(1)
----------------------------	---------------------------------------

<b>Purpose of grant/contribution</b> General & Unrestricted	<b>Amount</b> 25,000
--	-------------------------

**Name**

SCHOLARSHIP &amp; WELFARE FUNDS OF ALUMNI ASSOC OF HUNTER COLLEGE

**Street**

695 PARK AVE RM 1314HE

<b>City</b> NEW YORK	<b>State</b> NY	<b>Zip Code</b> 10065	<b>Foreign Country</b>
-------------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> N/A	<b>Foundation Status</b> 509(a)(1)
----------------------------	---------------------------------------

<b>Purpose of grant/contribution</b> Miriam and David L Klein Scholarship Fund	<b>Amount</b> 5,000
---	------------------------

**Name**

STANFORD UNIVERSITY

**Street**

326 GALVEZ ST

<b>City</b> STANFORD	<b>State</b> CA	<b>Zip Code</b> 94305	<b>Foreign Country</b>
-------------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> N/A	<b>Foundation Status</b> 509(a)(1)
----------------------------	---------------------------------------

<b>Purpose of grant/contribution</b> Classics Department and Ggeneral Purpose	<b>Amount</b> 2,000
--	------------------------

**Name**

STEEP ROCK ASSOCIATION INC

**Street**

PO BOX 279

<b>City</b> WASHINGTON DT	<b>State</b> CT	<b>Zip Code</b> 06794	<b>Foreign Country</b>
------------------------------	--------------------	--------------------------	------------------------

<b>Relationship</b> N/A	<b>Foundation Status</b> 509(a)(2)
----------------------------	---------------------------------------

<b>Purpose of grant/contribution</b> General & Unrestricted	<b>Amount</b> 5,000
--	------------------------

**Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

STREB INC

**Street**

51 N 1ST ST

**City**

NEW YORK

**State**

NY

**Zip Code**

11211

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

20,000

**Name**

TEAM UP FOR YOUTH

**Street**

310 8TH ST STE 300

**City**

OAKLAND

**State**

CA

**Zip Code**

94607

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Support for Team Up for Girls in San Francisco

**Amount**

25,000

**Name**

THE NEW SCHOOL

**Street**

66 W 12TH ST

**City**

NEW YORK

**State**

NY

**Zip Code**

10011

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Support for Accessibility Upgrades to Insideschools org

**Amount**

25,000

**Name**

THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

**Street**

5TH AVE AND 42ND ST

**City**

NEW YORK

**State**

NY

**Zip Code**

10018

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

7,500

**Name**

THE SNAKE RIVER ALLIANCE EDUCATION FUND INC

**Street**

350 N 9TH ST

**City**

BOISE

**State**

ID

**Zip Code**

83702

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

2,000

**Name**

THE TAYLOR FAMILY FOUNDATION

**Street**

5555 ARROYO RD

**City**

LIVERMORE

**State**

CA

**Zip Code**

94550

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

2,000

**Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

**Name**

TIDES CENTER

**Street**

P O BOX 29907

**City**

SAN FRANCISCO

**State**

CA

**Zip Code**

94129

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Support the Capital Campaign and Expansion of Services for the Women's Community Clinic

**Amount**

40,000

**Name**

TRINITY EPISCOPAL SCHOOLS CORPORATION

**Street**

139 W 91ST ST

**City**

NEW YORK

**State**

NY

**Zip Code**

10024

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

General &amp; Unrestricted

**Amount**

3,000

**Name**

TRUSTEES OF PRINCETON UNIVERSITY

**Street**

701 CARNEGIE CENTER STE 154

**City**

PRINCETON

**State**

NJ

**Zip Code**

08540

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Outdoor Action for the Sustainability Program

**Amount**

1,000

**Name**

TRUSTEES OF PRINCETON UNIVERSITY

**Street**

701 CARNEGIE CENTER STE 154

**City**

PRINCETON

**State**

NJ

**Zip Code**

08540

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Support of the Princeton University Art Museum and the Mayan Ceramics Exhibit

**Amount**

15,000

**Name**

VISITING NURSE SERVICE OF NEW YORK

**Street**

107 E 70TH ST, 5TH FL

**City**

NEW YORK

**State**

NY

**Zip Code**

10021

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(3)

**Purpose of grant/contribution**

Support for Home Health Aides

**Amount**

25,000

**Name**

WOMENS HOUSING AND ECONOMIC DEVELOPMENT CORPORATION

**Street**

50 E 168TH ST

**City**

BRONX

**State**

NY

**Zip Code**

10452

**Foreign Country****Relationship**

N/A

**Foundation Status**

509(a)(1)

**Purpose of grant/contribution**

Support for the Family Day Care Microenterprise Program

**Amount**

25,000

**Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year**

Recipient(s) paid during the year

Name

YOUNG MENS &amp; YOUNG WOMENS HEBREW ASSOCIATION

Street

1395 LEXINGTON AVE

City

NEW YORK

State

NY

Zip Code

10128

Foreign Country

Relationship

N/A

Foundation Status

509(a)(1)

Purpose of grant/contribution

Support for Music in the Schools Program

Amount

20,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

0