



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

2011

Department of the Treasury  
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011, or tax year beginning

, 2011, and ending

DAVID L. LIEB FOUNDATION, INC.  
C/O DAVIS GRABER-150 E 58TH ST 20FL  
NEW YORK, NY 10155A Employer identification number  
13-6077728B Telephone number (see the instructions)  
(641) 472-6655C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity  
☐ Final return ☒ Amended return  
☐ Address change ☐ Name changeH Check type of organization. ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 6,525,518.  
J Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE	1	Contributions, gifts, grants, etc., received (att sch)				
	2	Ch <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3	Interest on savings and temporary cash investments	45,390.	45,390.	N/A	
	4	Dividends and interest from securities	133,846.	133,846.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain/(loss) from sale of assets not on line 10	131,992.			
	b	Gross sales price for all assets on line 6a 2,759,109.				
	7	Capital gain net income (from Part IV, line 2)		131,992.		
	8	Net short-term capital gain				
ADMINISTRATIVE AND OPERATING EXPENSES	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit/(loss) (att sch)				
	11	Other income (attach schedule) See Statement 1	-22,730.	-22,730.		
	12	Total. Add lines 1 through 11	288,498.	288,498.		
	13	Compensation of officers, directors, trustees, etc	100,000.	25,000.		75,000.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
b	Accounting fees (attach sch) See St 2	16,850.	8,425.		8,425.	
c	Other prof fees (attach sch)					
17	Interest	227.	227.			
18	Taxes (attach schedule)(see instrs) See Stm 3	8,549.	2,812.		5,737.	
19	Depreciation (attach sch) and depletion	460.	460.			
20	Occupancy					
21	Travel, conferences, and meetings					
22	Printing and publications					
23	Other expenses (attach schedule) See Statement 4	72,333.	37,125.		34,950.	
24	Total operating and administrative expenses. Add lines 13 through 23	198,419.	74,049.		124,112.	
25	Contributions, gifts, grants paid Part XV	271,028.			271,028.	
26	Total expenses and disbursements. Add lines 24 and 25	469,447.	74,049.		395,140.	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements	-180,949.				
b	Net investment income (if negative, enter -0-)		214,449.			
c	Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing				
	2	Savings and temporary cash investments		129,092.	261,375.	261,375.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule)		6,575,884.	6,421,099.	6,261,143.
	c	Investments — corporate bonds (attach schedule)				
	11	Investments — land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule)					
14	Land, buildings, and equipment basis	28,174.				
	Less: accumulated depreciation (attach schedule) See Stmt 5	27,754.	880.	420.	420.	
15	Other assets (describe See Statement 6)		4,067.	2,580.	2,580.	
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		6,709,923.	6,685,474.	6,525,518.	
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe See Statement 7)		5,000.	161,500.	
	23	Total liabilities (add lines 17 through 22)		5,000.	161,500.	
NET FUND ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds		6,704,923.	6,523,974.	
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)		6,704,923.	6,523,974.	
	31	Total liabilities and net assets/fund balances (see instructions)		6,709,923.	6,685,474.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,704,923.
2	Enter amount from Part I, line 27a	2	-180,949.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	6,523,974.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	6,523,974.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See Statement 8				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>		2	131,992.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0-            in Part I, line 8         </div>		3	-22,956.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	419,757.	6,920,687.	0.060653
2009	392,491.	6,948,143.	0.056489
2008	458,350.	8,250,708.	0.055553
2007	563,447.	9,474,678.	0.059469
2006	484,834.	9,287,203.	0.052205

2 Total of line 1, column (d)	2	0.284369
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.056874
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	6,811,438.
5 Multiply line 4 by line 3	5	387,394.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,144.
7 Add lines 5 and 6	7	389,538.
8 Enter qualifying distributions from Part XII, line 4	8	395,140.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

BAA

Form 990-PF (2011)

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2,144.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,144.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	2,144.
6 Credits/Payments			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a 10,225.		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	See Statement 9	7	4,289.
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	See Statement 10	10	2,145.
11 Enter the amount of line 10 to be Credited to 2012 estimated tax	2,145. Refunded	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

BAA

Form 990-PF (2011)

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>MONICA HADLEY</u> Telephone no <u>(641) 472-6655</u> Located at <u>P.O. BOX 337 FAIRFIELD IA</u> ZIP + 4 <u>52556</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u>N/A</u>				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No
1 a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If 'Yes,' did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3 b	N/A
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4 b	X

BAA

Form 990-PF (2011)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SAM LIEB 1108 HEAVENLY LANE FAIRFIELD, IA 52556	Vice Preside 0	0.	0.	0.
BARBARA LIEB BAUMSTEIN 211 E 70TH ST APT 16B NEW YORK, NY 10021	President 15.00	50,000.	0.	0.
JEFFREY BAUMSTEIN 201 E 66TH STREET 16C NEW YORK, NY 10065	Treasurer 0	0.	0.	0.
TOBY LIEB 1106 HEAVENLY LANE FAIRFIELD, IA 52556	Secretary 15.00	50,000.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2011)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	6,604,137.
b Average of monthly cash balances	1b	311,028.
c Fair market value of all other assets (see instructions)	1c	
d Total (add lines 1a, b, and c)	1d	6,915,165.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	6,915,165.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	103,727.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,811,438.
6 Minimum investment return. Enter 5% of line 5	6	340,572.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	340,572.
2a Tax on investment income for 2011 from Part VI, line 5	2a	2,144.	
b Income tax for 2011 (This does not include the tax from Part VI)	2b		
c Add lines 2a and 2b	2c	2,144.	
3 Distributable amount before adjustments Subtract line 2c from line 1	3	338,428.	
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5	338,428.	
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	338,428.	

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1a	395,140.
b Program-related investments — total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	395,140.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,144.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	392,996.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2011)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				338,428.
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only			0.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2011				
a From 2006	455,845.			
b From 2007	102,562.			
c From 2008	45,815.			
d From 2009	46,682.			
e From 2010	77,435.			
f Total of lines 3a through e	728,339.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 395,140.				
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2011 distributable amount				338,428.
e Remaining amount distributed out of corpus	56,712.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	785,051.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2010 Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	455,845.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	329,206.			
10 Analysis of line 9				
a Excess from 2007	102,562.			
b Excess from 2008	45,815.			
c Excess from 2009	46,682.			
d Excess from 2010	77,435.			
e Excess from 2011	56,712.			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE STATEMENT ATTACHED		PUBLIC		271,028.
<b>Total</b>				<b>3a</b> 271,028.
<b>b</b> Approved for future payment				
<b>Total</b>				<b>3b</b>

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	45,390.	
4	Dividends and interest from securities			14	133,846.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income				-22,730.	
8	Gain or (loss) from sales of assets other than inventory			18	131,992.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				288,498.	
13	<b>Total.</b> Add line 12, columns (b), (d), and (e)				13	288,498.

(See worksheet in line 13 instructions to verify calculations )

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



2011

## Federal Statements

Page 1

Client 0780-03X

DAVID L. LIEB FOUNDATION, INC.

13-6077728

4/30/13

11 55AM

**Statement 1**  
**Form 990-PF, Part I, Line 11**  
**Other Income**

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Other Investment Income	\$ -22,730.	\$ -22,730.	
Total	<u>\$ -22,730.</u>	<u>\$ -22,730.</u>	<u>0.</u>

**Statement 2**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL & OTHER ACCOUNTING	\$ 16,850.	\$ 8,425.		\$ 8,425.
Total	<u>\$ 16,850.</u>	<u>\$ 8,425.</u>		<u>\$ 8,425.</u>

**Statement 3**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN TAX	\$ 899.	\$ 899.		
PAYROLL TAX	7,650.	1,913.		\$ 5,737.
Total	<u>\$ 8,549.</u>	<u>\$ 2,812.</u>		<u>\$ 5,737.</u>

**Statement 4**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
BANK CHARGES	\$ 79.	\$ 79.		
CONSULTING	194.	97.		\$ 97.
EMPLOYEE BENEFITS	46,137.	11,534.		34,603.
INVESTMENT EXPENSE	519.	519.		
MANAGEMENT FEES	22,072.	22,072.		
OTHER DEDUCTIONS	258.			
OUTSIDE CONTRACTORS	2,695.	2,695.		
PORTFOLIO DEDUCTIONS	129.	129.		
STATE FILING FEES	250.			250.
Total	<u>\$ 72,333.</u>	<u>\$ 37,125.</u>		<u>\$ 34,950.</u>

Client 0780-03X

DAVID L. LIEB FOUNDATION, INC.

13-6077728

4/30/13

11 55AM

**Statement 5**  
**Form 990-PF, Part II, Line 14**  
**Land, Buildings, and Equipment**

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Machinery and Equipment	\$ 28,174.	\$ 27,754.	\$ 420.	\$ 420.
Total	<u>\$ 28,174.</u>	<u>\$ 27,754.</u>	<u>\$ 420.</u>	<u>\$ 420.</u>

**Statement 6**  
**Form 990-PF, Part II, Line 15**  
**Other Assets**

	Book Value	Fair Market Value
DIVIDENDS RECEIVABLE	\$ 1,880.	\$ 1,880.
DUE FROM AFFILIATE	700.	700.
Total	<u>\$ 2,580.</u>	<u>\$ 2,580.</u>

**Statement 7**  
**Form 990-PF, Part II, Line 22**  
**Other Liabilities**

CREDIT CARD PAYABLE	\$ 161,500.
Total	<u>\$ 161,500.</u>

**Statement 8**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	SECTION 1256 GAIN/LOSS	Purchased	Various	Various
2	SECTION 1256 GAIN/LOSS	Purchased	Various	Various
3	3800 MSFT STARS ISSUER BAC	Purchased	4/08/2011	10/18/2011
4	2000 EKSPORT STARS EWZ	Purchased	8/26/2010	3/04/2011
5	2000 EKSPORT STARS SPX	Purchased	8/25/2010	3/01/2011
6	4000 SEK CLIRN PALLADIUM	Purchased	5/27/2010	5/25/2011
7	4000 BAC ARN GOLD SPOT	Purchased	1/28/2010	3/29/2011
8	4000 BAC ARN CHINA BRAZIL	Purchased	5/28/2010	7/29/2011
9	2000 BAC STEPS GE	Purchased	6/24/2010	7/08/2011
10	2000 BAC STEPS C	Purchased	6/24/2010	7/07/2011
11	2000 BAC MKT LINK STEP UP PFE	Purchased	8/26/2010	9/09/2011
12	4000 EXPT BRL USD LINK NOTE	Purchased	4/30/2010	5/03/2011
13	RDM 4464 (SEE ATTACHED)	Purchased	Various	Various
14	RDM 4464 (SEE ATTACHED)	Purchased	Various	Various
15	ML 4100 (SEE ATTACHED)	Purchased	Various	Various
16	ML 4100 (SEE ATTACHED)	Purchased	Various	Various
17	ML 4073 (SEE ATTACHED)	Purchased	Various	Various
18	ML 4073 (SEE ATTACHED)	Purchased	Various	Various
19	ML 4073 (SEE ATTACHED)	Purchased	Various	Various

Client 0780-03X

DAVID L. LIEB FOUNDATION, INC.

13-6077728

4/30/13

11.55AM

Statement 8 (continued)  
Form 990-PF, Part IV, Line 1  
Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
20	ML 4073 (SEE ATTACHED)	Purchased	Various	Various
21	ML 4073 (SEE ATTACHED)	Purchased	Various	Various
22	ML 4073 (SEE ATTACHED)	Purchased	Various	Various
23	MELLON 849 (SEE ATTACHED)	Purchased	Various	Various
24	1631.32 BNY MELLON S/T US GVT	Purchased	8/05/2011	11/18/2011
25	MELLON 849 (SEE ATTACHED)	Purchased	Various	Various
26	+++++ BLACKROCK BUILD AMER	Purchased	8/26/2010	3/21/2011
27	279 CALPINE CORP	Purchased	Various	10/05/2011
28	17 ATLAS AIR WORLDWIDE	Purchased	7/12/2005	10/05/2011
29	3000 NUVEEN MORTGAGE OPRTNITY	Purchased	11/24/2009	Various
30	3750 LOEWS CORP	Purchased	6/30/2005	10/05/2011
31	CALPINE CORP	Purchased	Various	Various
32	ISHARES S&P GSCI	Purchased	Various	Various
33	ISHARES S&P GSCI	Purchased	Various	Various
34	BLACKROCK GLOBAL HORIZONS	Purchased	Various	Various
35	ML ASPECT FUTURESACCESS	Purchased	Various	Various
36	ML SYSTEMATIC MOMENTUM	Purchased	Various	Various
37	ML WINTON FUTURESACCESS	Purchased	Various	Various

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
1	0.		6,897.	-6,897.				\$ -6,897.
2	0.		10,346.	-10,346.				-10,346.
3	40,331.		37,931.	2,400.				2,400.
4	21,966.		20,000.	1,966.				1,966.
5	21,270.		20,000.	1,270.				1,270.
6	54,639.		40,000.	14,639.				14,639.
7	49,000.		40,000.	9,000.				9,000.
8	51,920.		40,000.	11,920.				11,920.
9	20,480.		20,000.	480.				480.
10	20,000.		20,000.	0.				0.
11	23,697.		20,000.	3,697.				3,697.
12	57,456.		40,000.	17,456.				17,456.
13	582,834.		586,166.	-3,332.				-3,332.
14	323,724.		323,912.	-188.				-188.
15	26,872.		26,919.	-47.				-47.
16	74,714.		67,735.	6,979.				6,979.
17	52,957.		53,099.	-142.				-142.
18	4,963.		4,976.	-13.				-13.
19	168,395.		152,908.	15,487.				15,487.
20	9,291.		9,291.	0.				0.
21	30,370.		29,408.	962.				962.
22	46,827.		45,216.	1,611.				1,611.
23	192,923.		210,402.	-17,479.				-17,479.
24	20,000.		20,098.	-98.				-98.
25	567,692.		521,309.	46,383.				46,383.
26	87,008.		100,000.	-12,992.				-12,992.
27	3,752.		3,918.	-166.				-166.
28	545.		1.	544.				544.
29	69,361.		75,000.	-5,639.				-5,639.
30	129,006.		77,314.	51,692.				51,692.
31	10.		0.	10.				10.
32	205.		0.	205.				205.
33	5,872.		0.	5,872.				5,872.

Client 0780-03X

DAVID L. LIEB FOUNDATION, INC.

13-6077728

4/30/13

11 55AM

**Statement 8 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j)	(l) Gain (Loss)
34	0.		620.	-620.				\$ -620.
35	630.		0.	630.				630.
36	0.		3,651.	-3,651.				-3,651.
37	399.		0.	399.				399.
Total								<u>\$ 131,992.</u>

**Statement 9**  
**Form 990-PF, Part VI, Line 7**  
**Total Credits and Payments**

Tax Credits and Payments	\$ 10,225.
Original Return Overpayment	-5,936.
Total	<u>\$ 4,289.</u>

**Statement 10**  
**Form 990-PF, Part VI, Line 10**  
**Overpayment**

Overpayment	\$ 2,145.
Total	<u>\$ 2,145.</u>

# David L. Lieb Foundation Transaction Detail By Account

January through December 2011

	Date	Name	Original Amount
<b>7340 · Charitable Contributions</b>			
	01/06/2011	MUM Donations	750 00
	01/06/2011	MUM	600 00
	01/06/2011	Global Country of World Peace	5,000 00
	01/12/2011	MGA/NL-MD	3,500 00
	01/12/2011	Maharishi Purusha Program	4,100 00
	01/16/2011	MSAE	22 00
	01/18/2011	Dharma Foundation	3,000 00
	01/20/2011	National MS Society	600 00
	01/20/2011	National MS Society	1,950 00
	01/20/2011	Dharma Foundation	200 00
	01/25/2011	Amarnath Temple	1,000 00
	01/25/2011	US Hoocaust Museum	100 00
	02/03/2011	Sacred Sun Ministries	50 00
	03/03/2011	MUM Donations	100 00
	03/07/2011	Unity Village	150 00
	03/22/2011	Shanti Childrens FDN	450 00
	03/23/2011	MUM Donations	500 00
	03/23/2011	MUM Donations	1,000 00
	03/23/2011	MUM Donations	3,600 00
	03/23/2011	MUM Donations	5,000 00
	03/23/2011	MUM Donations	15,000 00
	03/26/2011	BRAHMANDA SARASWATI	500 00
	03/28/2011	Sages of Israel	100 00
	04/21/2011	MSAE	1,400 00
	04/22/2011	Medical Assistance Foundation	300 00
	04/25/2011	Cancer Research	466 00
	04/25/2011	Cancer Research	548 00
	04/26/2011	Cancer Research	762 00
	04/26/2011	MUM Donations	50 00
	04/29/2011	MUM Donations	5,000 00
	05/01/2011	maharishi Vedic Foundation	500 00
	05/13/2011	David Lynch Foundation	250 00
	05/13/2011	David Lynch Foundation	75 00
	05/16/2011	1st FridayArtwalk	100 00
	05/24/2011	MUM Donations	1,000 00
	05/25/2011	Van Buren Jaycees	50 00
	05/26/2011	David Lynch Foundation	5,000 00
	06/01/2011	Cancer Research	568 00
	06/01/2011	MUM Donations	135 00
	06/17/2011	Unity Village	150 00
	06/27/2011	University of Iowa Foundation	750 00
	07/02/2011	MSAE	5,000 00
	07/05/2011	MUM Donations	100 00
	07/05/2011	MUM Donations	5,000 00

# David L. Lieb Foundation Transaction Detail By Account

January through December 2011

Date	Name	Original Amount
07/11/2011	MUM Donations	382 00
07/15/2011	Global Country of World Peace	1,000 00
07/19/2011	Columbia University Web Gift	500 00
08/17/2011	MUM Donations	2,000 00
08/19/2011	Dharma Foundation	3,000 00
08/28/2011	Amarnath Temple	500 00
08/29/2011	Medical Assistance Foundation	500 00
09/02/2011	maharishi Vedic Foundation	1,000 00
09/07/2011	Shanti Childrens FDN	450 00
09/07/2011	MUM	300 00
09/11/2011	MUM MSAE	507 00
09/16/2011	Unity Village	150 00
09/22/2011	MUM MSAE	750 00
09/22/2011	MUM MSAE	1,000 00
09/28/2011	Global Country of World Peace	108 00
09/30/2011	David Lynch Foundation	100 00
10/01/2011	National Brain Tumor Society	500 00
10/03/2011	Congregation Beth Shalom	500 00
10/09/2011	Dharma Foundation	100 00
10/11/2011	MUM MSAE	410 00
10/17/2011	uja - Fed NY Mission #2	1,000 00
10/17/2011	uja - Fed NY Mission #2	1,000 00
10/21/2011	national Kidney Foundation	500 00
10/24/2011	Dharma Foundation	30 00
10/26/2011	Global Country of World Peace	1,000 00
10/30/2011	Jewish National Fund	360 00
11/15/2011	MUM Donations	1,500 00
11/17/2011	MUM MSAE	200 00
11/21/2011	Bonnell Project	250 00
11/22/2011	Salvation Army	100 00
11/30/2011	David Lynch Foundation	5,000 00
11/30/2011	MUM MSAE	100 00
12/01/2011	SIEDA	100 00
12/01/2011	uja - Fed NY Mission #2	8,505 00
12/01/2011	GRANDSTAND SPORTS	550 00
12/05/2011	Lord's Cupboard	100 00
12/05/2011	Melanoma Research	5,000 00
12/05/2011	UJA-FED OPERATIONS EFM	25,000 00
12/05/2011	US Hoocaust Museum	5,000 00
12/05/2011	Jewish Federation of Suth Palm	10,000 00
12/07/2011	UJA-FED OPERATIONS EFM	100 00
12/07/2011	united States Holocaust	500 00
12/12/2011	Maharishi Purusha Program	200 00
12/12/2011	MUM MSAE	400 00
12/12/2011	UJA-FED OPERATIONS EFM	25,000 00

12:05 PM  
04/24/13  
Cash Basis

**David L. Lieb Foundation**  
**Transaction Detail By Account**

**January through December 2011**

**Original Amount**

<u>Date</u>	<u>Name</u>	
12/15/2011	Unity Village	150 00
12/19/2011	UJA-FED OPERATIONS EFM	25,000 00
12/20/2011	Safe Horizon Inc	5,000 00
12/20/2011	MaAfrika Takkum	5,000 00
12/20/2011	American Friends of OR	5,000 00
12/20/2011	Cancer Research	12,500 00
12/22/2011	NYU Som Developmnet	5,000 00
12/27/2011	MUM Donations	1,000 00
12/27/2011	Friends Select	150 00
12/27/2011	UJA-FED OPERATIONS EFM	25,000 00
12/28/2011	BRAHMANDA SARASWATI	5,000 00
12/30/2011	MUM Donations	1,000 00
12/30/2011	David Lynch Foundation	1,500 00

Total 7340 Charitable Contributions

**TOTAL**

**271,028.00**

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns.***Enter filer's identifying number, see instructions**

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	DAVID L. LIEB FOUNDATION, INC.		<input checked="" type="checkbox"/> 13-6077728
	Number, street, and room or suite number. If a P.O. box, see instructions		Social security number (SSN)
	C/O DAVIS GRABER-150 E 58TH ST 20FL		<input type="checkbox"/>
City, town or post office, state, and ZIP code. For a foreign address, see instructions			
NEW YORK, NY 10155			

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ MONICA HADLEY

Telephone No. ▶ (641) 472-6655 FAX No. ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 12, to file the exempt organization return for the organization named above.

The extension is for the organization's return for:

- ▶ ☒ calendar year 20 11 or
- ▶ ☐ tax year beginning \_\_\_\_\_, 20 \_\_\_\_\_, and ending \_\_\_\_\_, 20 \_\_\_\_\_

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	4,303.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	10,225.
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	0.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.**BAA For Paperwork Reduction Act Notice, see Instructions.**Form **8868** (Rev 1-2012)