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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2011

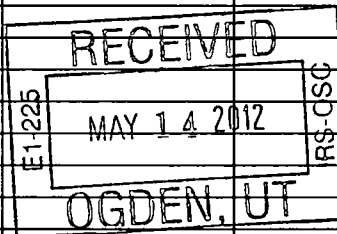
For calendar year 2011 or tax year beginning

, and ending

Name of foundation THE KROLL FAMILY FOUNDATION		A Employer identification number 13-4154059
Number and street (or P O box number if mail is not delivered to street address) 25 RECTORY LANE		B Telephone number 914-725-4149
City or town, state, and ZIP code SCARSDALE, NY 10583		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 879,094.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		426.	426.		STATEMENT 1
4 Dividends and interest from securities		32,970.	32,970.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		859.			
b Gross sales price for all assets on line 6a 859.					
7 Capital gain net income (from Part IV, line 2)			859.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		424.	0.		STATEMENT 3
12 Total. Add lines 1 through 11		34,679.	34,255.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		26,106.	0.		26,106.
b Accounting fees STMT 5		10,000.	0.		10,000.
c Other professional fees					
17 Interest					
18 Taxes STMT 6		1,523.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		747.	158.		589.
24 Total operating and administrative expenses Add lines 13 through 23		38,376.	158.		36,695.
25 Contributions, gifts, grants paid		34,363.			34,363.
26 Total expenses and disbursements. Add lines 24 and 25		72,739.	158.		71,058.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<38,060.>			
b Net investment income (if negative, enter -0-)			34,097.		
c Adjusted net income (if negative, enter -0-)				N/A	

SCANNED MAY 16 2012



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	334,725.	76,741.	76,741.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	54,750.	63,840.	63,840.
	c Investments - corporate bonds STMT 9	218,749.	220,358.	220,358.
11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 10	319,794.	517,905.	517,905.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ OTHER ASSETS)	1,000.	250.	250.	
16 Total assets (to be completed by all filers)	929,018.	879,094.	879,094.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	929,018.	879,094.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	929,018.	879,094.		
31 Total liabilities and net assets/fund balances	929,018.	879,094.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	929,018.
2 Enter amount from Part I, line 27a	2	<38,060.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	890,958.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZE LOSS ON INVESTMENTS	5	11,864.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	879,094.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CAPITAL GAINS DIVIDENDS				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 859.			859.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			859.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	859.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	25,450.	839,583.	.030313
2009	57,280.	610,116.	.093884
2008	20,845.	492,866.	.042293
2007	27,621.	352,910.	.078266
2006	18,395.	305,201.	.060272
2 Total of line 1, column (d)			2 .305028
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .061006
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 898,734.
5 Multiply line 4 by line 3			5 54,828.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 341.
7 Add lines 5 and 6			7 55,169.
8 Enter qualifying distributions from Part XII, line 4			8 71,058.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	341.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	341.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	341.
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	700.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	700.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	359.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ☒ 359. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>LOIS KROLL</u> Telephone no. ► <u>914-722-6330</u> Located at ► <u>250 EAST HARTSDALE AVENUE SUITE 30, SCARSDALE, NY</u> ZIP+4 ► <u>10530-3507</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years ► _____, _____, _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☒ Yes ☐ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b X

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

☐ Yes ☒ No

7b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LOIS KROLL 25 RECTORY LANE SCARSDALE, NY 10583	PRESIDENT 1.00	0.	0.	0.
PAMELA KROLL 25 RECTORY LANE SCARSDALE, NY 10583	VICE PRESIDENT 1.00	0.	0.	0.
LUCY DEL PRIORE TREADWELL 25 RECTORY LANE SCARSDALE, NY 10583	TREASURER 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	712,281.
b Average of monthly cash balances	1b	200,139.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	912,420.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	912,420.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	13,686.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	898,734.
6 Minimum investment return. Enter 5% of line 5	6	44,937.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	44,937.
2a Tax on investment income for 2011 from Part VI, line 5	2a	341.
b Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	341.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	44,596.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	44,596.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	44,596.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	71,058.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	71,058.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	341.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	70,717.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				44,596.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006	1,395.			
b From 2007	27,621.			
c From 2008	20,845.			
d From 2009	27,158.			
e From 2010				
f Total of lines 3a through e	77,019.			
4 Qualifying distributions for 2011 from Part XII, line 4: ▶ \$	71,058.			
a Applied to 2010, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				44,596.
e Remaining amount distributed out of corpus	26,462.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	103,481.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	1,395.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	102,086.			
10 Analysis of line 9:				
a Excess from 2007	27,621.			
b Excess from 2008	20,845.			
c Excess from 2009	27,158.			
d Excess from 2010				
e Excess from 2011	26,462.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling



b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities.

[illegible]

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ARTHUR KROLL (DECEASED 2/15/2010)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ACTION AGAINST HUNGER 247 WEST 37TH STREET, 10TH FLOOR NEW YORK, NY 10018	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
AMERICARES 88 HAMILTON AVENUE STAMFORD, CT 06902	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
ASPCA 424 EAST 92ND STREET NEW YORK, NY 10128	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
AUDUBON 225 VARICK STREET, 7TH FLOOR NEW YORK, NY 10014	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
AUTISM SPEAKS 5455 WILSHIRE BOULEVARD, STE. 2250 LOS ANGELES, CA 90036	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	200.
Total SEE CONTINUATION SHEET(S)				3a 34,363.
b Approved for future payment				
NONE				
Total				3b 0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of:		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions:		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

5/3/12

▶ President
Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

THOMAS F. BLANEY,
CPA, CFE

Preparer's signature

OK Be

Date _____

4/15/12

Check ☐ if
self-employed

PTIN

P00234022

Firm's name ► O'CONNOR DAVIES MUNNS & DOBBINS, LLP

Firm's EIN ► 13-3385019

Firm's address ► 60 EAST 42ND STREET
NEW YORK, NY 10165

Phone no. **212-286-2600**

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BEST FRIENDS 5001 ANGEL CANYON ROAD KANAB, UT 84741	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	100.
BOWERY MISSION 132 MADISON AVENUE NEW YORK, NY 10016	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
CASTLETON RANCH HORSE RESCUE INC. PO BOX 1226 LANCASTER, CA 93584	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	30.
DEFENDERS OF WILDLIFE 1130 17TH STREET, NW WASHINGTON, DC 20036	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
DISABLED AMERICAN VETERANS (DAV) PO BOX 14301 CINCINNATI, OH 45250	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	40.
DOCTORS WITHOUT BORDERS 333 SEVENTH AVENUE, 2ND FLOOR NEW YORK, NY 10001	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
DON BOSCO COMMUNITY CENTER 22 DON BOSCO PLACE PORT CHESTER, NY 10573	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	200.
DORIS DAY ANIMAL LEAGUE (DDAL) 2100 L STREET, NW WASHINGTON, DC 20037	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	30.
EARTH JUSTICE 426 17TH STREET, 6TH FLOOR OAKLAND, CA 94612	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	45.
FARM SANCTUARY PO BOX 150 WATKINS GLEN, NY 14891	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
Total from continuation sheets				33,938.

THE KROLL FAMILY FOUNDATION

13-4154059

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FEEDING AMERICA P.O. BOX 96749 WASHINGTON, DC 20090	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	125.
FOOD BANK OF WESTCHESTER 358 SAW MILL RIVER ROAD MILWOOD, NY 10546	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
FRESH AIR FUND 633 THIRD AVENUE NEW YORK, NY 10017	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
FUND FOR ANIMALS 200 WEST 57TH STREET NEW YORK, NY 10019	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	40.
GREENBURGH NATURE CENTER 99 DROMORE ROAD SCARSDALE, NY 10583	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
HUMAN RIGHTS WATCH 350 FIFTH AVENUE, 34 FL NEW YORK, NY 10118	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	35.
HUMANE SOCIETY INTERNATIONAL (HIS) 2100 L STREET, NW WASHINGTON, DC 20037	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	30.
INTERNATIONAL RESCUE COMMITTEE (IRC) 122 EAST 42ND STREET NEW YORK, NY 10168	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
INTERNATIONAL CAMPAIGN FOR TIBET (ICT) 1825 JEFFERSON PLACE, N.W. WASHINGTON, DC 20036	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
JEWISH BOARD FAMILY & CHILDREN SERVICES (JBPCS) 135 WEST 50TH STREET, 6TH FLOOR NEW YORK, NY 10020	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	21,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LEUKEMIA & LYMPHOMA SOCIETY 1311 MAMARONECK AVENUE, STE 130 WHITE PLAINS, NY 10605	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	3,500.
NAACP 4805 MT. HOPE DRIVE BALTIMORE, MD 21215	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
NATINAL WILDLIFE FEDERATION 11100 WILDLIFE CENTER DRIVE RESTON, VA 20190	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	150.
NATIONAL PARKS CONSERVATION ASSOCIATION 777 6TH STREET, NW WASHINGTON, DC 20001	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
NATIONAL RESOURCES DEFENSE COUNCIL (NRDC) 40 WEST 20TH STREET NEW YORK, NY 10011	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
NATIVE PLANT CENTER 75 GRASSLANDS ROAD VALHALLA, NY 10595	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	80.
NEW ROCHELLE FUND FOR EXCELLENCE 265 CLOVE ROAD NEW ROCHELLE, NY 10801	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	100.
NEW YORK CITY RESCUE MISSION (NYCRM) 90 LAFAYETTE STREET NEW YORK, NY 10013	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	125.
OCEAN CONSERVANCY 1300 19TH STREET, NW, 8TH FLOOR WASHINGTON, DC 20036	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
PAWS PO BOX 849 GALT, CA 95632	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	85.
Total from continuation sheets				

THE KROLL FAMILY FOUNDATION

13-4154059

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SAVE THE CHILDREN 54 WILTON ROAD WESTPORT, CT 06880	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
SAVE THE CHIMPS PO BOX 12220 FORT PIERCE, FL 34979	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	40.
SCARSDALE PBA PO BOX 484 SCARSDALE, NY 10583	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
SCARSDALE POST 52 AMERICAN LEGION 700 N PENNSYLVANIA STREET INDIANAPOLIS, IN 46206	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	25.
SCARSDALE VOLUNTEER AMBULANCE CORPS PO BOX 92 SCARSDALE, NY 10583	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	100.
SIERRA CLUB 85 SECOND STREET, 2ND FLOOR SAN FRANCISCO, CA 94105	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
SPECIAL OLYMPICS 504 BALLTOWN ROAD SCHENECTADY, NY 12304	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	58.
STUDENT ADVOCACY 3 WEST MAIN STREET ELMSFORD, NY 10523	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	2,450.
TEACH FOR AMERICA 315 W 36TH ST, 7TH FLOOR NEW YORK, NY 10018	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	3,000.
THE CENTER FOR VICTIMS OF TORTURE 717 EAST RIVER ROAD MINNEAPOLIS, MN 55455	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	25.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE CORNELL LAB OF ORNITHOLOGY 159 SAPSUCKER WOODS ROAD ITHACA, NY 14850	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	100.
THE NATURE CONSERVANCY 4245 NORTH FAIRFAX DRIVE ARLINGTON, VA 22203	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	100.
THE OASIS SANCTUARY PO BOX 2166 SCOTTSDALE, AZ 85252	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
THE UN REFUGEE AGENCY (UNHCR) 1775 K STREET, SUITE 290 WASHINGTON, DC 20006	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	150.
THE WILDERNESS SOCIETY 1615 M STREET, NW, STE. 200 WASHINGTON, DC 20036	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
THIRTEEN 825 8TH AVENUE NEW YORK, NY 10019	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	75.
UNIFORMED FIREFIGHTER'S ASSOCIATION PO BOX 61 SCARSDALE, NY 10583	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	125.
VOLUNTEER OF AMERICA 1660 DUKE STREET ALEXANDRIA, VA 22314	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	50.
WESTCHESTER ARC 265 SAW MILL RIVER ROAD HAWTHORNE, NY 10532	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	200.
WESTCHESTER COMMUNITY COLLEGE FOUNTION 75 GRASSLANDS ROAD VALHALLA, NY 10595	N/A	PUBLIC CHARITY	GENERAL OPERATING SUPPORT	100.
Total from continuation sheets				

13-4154059

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MERRILL LYNCH	426.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	426.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH	33,829.	859.	32,970.
TOTAL TO FM 990-PF, PART I, LN 4	33,829.	859.	32,970.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
IRS REFUND	424.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	424.	0.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SCHLESINGER GANNON & LAZETERA LLP	26,106.	0.		26,106.
TO FM 990-PF, PG 1, LN 16A	26,106.	0.		26,106.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FTI CONSULTING LLC	10,000.	0.		10,000.
TO FORM 990-PF, PG 1, LN 16B	10,000.	0.		10,000.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	1,523.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,523.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES	389.	0.		389.
FILING FEES	200.	0.		200.
BANK FEES	158.	158.		0.
TO FORM 990-PF, PG 1, LN 23	747.	158.		589.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
600 SHARES-CHEVRON CORP	63,840.	63,840.
TOTAL TO FORM 990-PF, PART II, LINE 10B	63,840.	63,840.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT A	220,358.	220,358.
TOTAL TO FORM 990-PF, PART II, LINE 10C	220,358.	220,358.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT B	FMV	517,905.	517,905.
TOTAL TO FORM 990-PF, PART II, LINE 13		517,905.	517,905.
