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HURRICANE SANDY IR-2012-83

Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, 2011, and ending

, 20

Name of foundation **KLEIN FAMILY FOUNDATION**

A Employer identification number

C/O ABRAHAM N. KLEIN

13-4092608

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

109-40 SAULTELL AVE

City or town, state, and ZIP code

CORONA, NY 11368

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationC If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Fair market value of all assets at end

J Accounting method: ☒ Cash ☐ Accrual

of year (from Part II, col. (c), line

☐ Other (specify)

16) \$ 45,199,383.

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1	Contributions, gifts, grants, etc., received (attach schedule)	777,500.			
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	1,951,574.	1,832,164.		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	557,464.			
b	Gross sales price for all assets on line 6a	7,966,444.			
7	Capital gain net income (from Part IV, line 2)		557,464.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	206,259.	6,082.		ATCH 2
12	Total. Add lines 1 through 11	3,492,797.	2,395,710.		
13	Compensation of officers, directors, trustees, etc.	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)	9,575.	4,788.		4,787.
c	Other professional fees (attach schedule)				
17	Interest. ATTACHMENT 3	266.	266.		
18	Taxes (attach schedule) (see instructions)	40,522.			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 5	96,306.	95,556.		750.
24	Total operating and administrative expenses. Add lines 13 through 23	146,669.	100,610.		5,537.
25	Contributions, gifts, grants paid	1,676,275.			1,676,275.
26	Total expenses and disbursements. Add lines 24 and 25	1,822,944.	100,610.	0	1,681,812.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	1,669,853.			
b	Net investment income (if negative, enter -0-)		2,295,100.		
c	Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions.

JSA ** ATCH 4

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HURRICANE SANDY IR 2012-83

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	2,209,490.	378,500.	378,500.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule). **	19,600,748.	24,896,197.	27,038,326.
	b	Investments - corporate stock (attach schedule) ATCH 7	17,613,870.	18,007,124.	15,862,058.
	c	Investments - corporate bonds (attach schedule) ATCH 8	3,880,325.	1,584,373.	1,554,223.
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans				
13	Investments - other (attach schedule) ATCH 9	234,917.	147,915.	147,915.	
14	Land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ ATCH 10)	23,267.	218,361.	218,361.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	43,562,617.	45,232,470.	45,199,383.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)			0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	43,562,617.	45,232,470.	
	30	Total net assets or fund balances (see instructions)	43,562,617.	45,232,470.	
31	Total liabilities and net assets/fund balances (see instructions)	43,562,617.	45,232,470.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	43,562,617.
2	Enter amount from Part I, line 27a	2	1,669,853.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	45,232,470.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	45,232,470.

**ATCH 6

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/89

(i) F M V as of 12/31/89	(j) Adjusted basis as of 12/31/89	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	557,464.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	1,131,564.	40,564,266.	0.027896
2009	897,260.	31,317,392.	0.028651
2008	1,127,590.	28,033,245.	0.040223
2007	1,769,468.	22,766,974.	0.077721
2006	673,350.	17,239,553.	0.039058

2 Total of line 1, column (d)	2	0.213549
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.042710
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	45,342,820.
5 Multiply line 4 by line 3	5	1,936,592.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	22,951.
7 Add lines 5 and 6	7	1,959,543.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,681,812.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	45,902.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2	3	45,902.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	45,902.
6 Credits/Payments.		
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	36,998.
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	30,000.
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	66,998.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	21.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	21,075.
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☐ 21,075. Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses ATTACHMENT 11		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>PARK TERRACE CARE CENTER INC.</u> Telephone no <u>718-592-9200</u> Located at <u>109-40 SAUTELL AVE CORONA, NY</u> ZIP + 4 <u>11368</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <u></u>	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) ☐	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? ☐	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

6b

7b

X

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 12		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0	0	0

Total number of other employees paid over \$50,000 ☐ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	NONE	0
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A

2

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE

2

All other program-related investments See instructions

3 NONE

Total. Add lines 1 through 3

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	45,438,405.
b Average of monthly cash balances	1b	403,500.
c Fair market value of all other assets (see instructions)	1c	191,415.
d Total (add lines 1a, b, and c)	1d	46,033,320.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	46,033,320.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	690,500.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	45,342,820.
6 Minimum investment return. Enter 5% of line 5	6	2,267,141.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	2,267,141.
2a Tax on investment income for 2011 from Part VI, line 5	2a	45,902.
b Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	45,902.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	2,221,239.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	2,221,239.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,221,239.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,681,812.
b Program-related investments - total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,681,812.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,681,812.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				2,221,239.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			1,555,189.	
b Total for prior years 20 09 20 08 20 07				
3 Excess distributions carryover, if any, to 2011				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 1,681,812.				
a Applied to 2010, but not more than line 2a			1,555,189.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				126,623.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				2,094,616.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Form 990-PF (2011)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

ABRAHAM KLEIN, SARA DINA KLEIN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATTACHMENT 13				
Total			▶ 3a	1,676,275.
b Approved for future payment				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue.						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities				14	1,951,574.	
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory				18	557,464.	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory . . .						
11 Other revenue: a _____						
b ATTACHMENT 14					206,259.	
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)					2,715,297.	
13 Total. Add line 12, columns (b), (d), and (e)					13	2,715,297.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

KLEIN FAMILY FOUNDATION
C/O ABRAHAM N. KLEIN

Employer identification number

13-4092608

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **KLEIN FAMILY FOUNDATION**
C/O ABRAHAM N. KLEIN

Employer identification number
13-4092608

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SARAH DINA KLEIN IRREVOCABLE TRUST 614 AVENUE J BROOKLYN, NY 11230	\$ 215,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	NORTHERN MANHATTAN NURSING 116 EAST 125TH STREET NEW YORK, NY 10035	\$ 25,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
3	FAIRVIEW NURSING CENTER INC 69-70 GRAND CENTRAL PARKWAY FOREST HILL, NY 11375	\$ 300,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
4	BEACH TERRACE CARE CENTER 640 WEST BROADWAY LONG BEACH, NY 11561	\$ 40,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
5	GRANDELL REHABILITATION 645 WEST BROADWAY LONG BEACH, NY 11561	\$ 50,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
6	QUEENS NASSAU NURSING HOME 520 BEACH 19TH STREET FAR ROCKAWAY, NY 11691	\$ 37,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization **KLEIN FAMILY FOUNDATION**
C/O ABRAHAM N. KLEIN

Employer identification number
13-4092608

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	PARK TERRACE CARE CENTER 59-20 VAN DOREN STREET REGO PARK, NY 11368	\$ 55,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
8	OCEANSIDE CARE CENTER 2914 LINCOLN AVENUE OCEANSIDE, NY 11572	\$ 55,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Employer identification number
13-4092608

Part II

[illegible]

Name of organization **KLEIN FAMILY FOUNDATION**
C/O ABRAHAM N. KLEIN

Employer identification number
13-4092608

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ _____

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/89	Adj. basis as of 12/31/89	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					92,641.	
1,633,035.		WELLS FARGO I - ST (SEE STATEMENT D - 1. P PROPERTY TYPE: SECURITIES 1,530,360.				P	01/02/2011 102,675.	12/31/2011
3,868,494.		WELLS FARGO I - LT (SEE STATEMENT D - 1. P PROPERTY TYPE: SECURITIES 3,768,400.				P	01/02/2010 100,094.	12/31/2011
7,255.		WELLS FARGO II - ST (SEE STATEMENT D - 1. P PROPERTY TYPE: SECURITIES 6,940.				P	01/02/2011 315.	12/31/2011
2,365,019.		WELLS FARGO II - LT (SEE ATTACHMENT D - P PROPERTY TYPE: SECURITIES 2,034,892.				P	01/02/2010 330,127.	12/31/2011
		LONGVIEW K-1 PROPERTY TYPE: SECURITIES 68,388.				P	-68,388.	
TOTAL GAIN(LOSS)							<u>557,464.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
LIPPER CONVERTIBLES LP	3.	3.
LONGVIEW	2,297.	2,297.
GOLDMAN SACHS	37.	37.
WELLS FARGO I	1,695,155.	1,575,745.
WELLS FARGO II	254,082.	254,082.
TOTAL	<u>1,951,574.</u>	<u>1,832,164.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
NONDIVIDEND DISTRIBUTIONS	5,654.	5,654.
ROYALTIES	428.	428.
BOOK/TAX DIFFERENCES	200,177.	
TOTALS	206,259.	6,082.

ATTACHMENT 3

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
MARGIN INTEREST	266.	266.
TOTALS	<u>266.</u>	<u>266.</u>

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
FEDERAL TAXES PAID	31,000.
FOREIGN TAXES PAID	9,522.
TOTALS	<u>40,522.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
NYS FILING FEE	750.		750.
K-1 PORTFOLIO EXP	5,905.	5,905.	
BANK CHARGES	750.	750.	
BOND AMORTIZATION	88,901.	88,901.	
TOTALS	96,306.	95,556.	750.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONSDESCRIPTION

US OBLIGATIONS TOTAL

WF I - MUNI BONDS - ATT. 6.1
WF I-TAXABLE MUNI BDS-ATT. 6.2

STATE OBLIGATIONS TOTAL

ATTACHMENT 6

	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
--	------------------------------	-----------------------

	2,635,220.	2,836,158.
	22,260,977.	24,202,168.

	<u>24,896,197.</u>	<u>27,038,326.</u>
--	--------------------	--------------------

KLEIN FAMILY FOUNDATION ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
FLORIDA HSG FIN CORP ^ PARTIAL CALL 34073N-Q6-0 B/E GNMA/FNMA/FHLMC CPN 5 150% DUE 01/01/12 DTD 08/17/06 FC 01/01/07 Moody AA1, S&P AA+ CUSIP 340072990 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 01/07/09 L nc	0 14	45,000	82 14 81 40	36,964 14 36,630 00	102 4510	46,102 95	9,138 81	N/A	N/A	N/A
MISSISSIPPI HM CORP ^ PARTIAL CALL 60535M-VX-4 B/E GUAR FNMA AMT CPN 6 450% DUE 02/01/12 DTD 06/25/02 FC 12/01/02 Moody AAA, S&P NR CUSIP 605343904 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 12/18/08 L nc	0 02	5,000	97 37	4,868.75	103 0990	5,154 95	286 20	N/A	N/A	N/A
NEW YORK ST ENERGY RESH & DEV ATH POLLUTN CTL VAR REV NIAGARA-B AMBAC CPN 0.000% DUE 12/01/25 DTD 12/26/85 FC 05/14/03 CALL 02/01/12 @ 100 000 Moody A1, S&P NR CUSIP 649845FT6 Acquired 07/29/08 L nc	2 21	800,000	100 00	800,000 00	90 5100	724,080 00	-75,920 00	N/A	N/A	N/A



KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357Fixed Income Securities
Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
NEW YORK ST HSG FIN AGY MLTI FMLY HSG RVKENSICO TERRACE APTS A B/E AMT CPN 4.750% DUE 08/15/26 DTD 11/01/06 FC 02/15/07 CALL 08/15/16 @ 100.000 Moody AA1, S&P NR CUSIP 64986EAH6 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 01/14/09 L nc	2.10	685,000	86.63 85.08	593,429.94 582,825.40	100.1550	686,061.75	92,631.81	12,201.56	32,537.50	4.74
NEW YORK ST MTG AGY RV SR 101 B/E LT AMT CPN 5.400% DUE 04/01/32 DTD 06/01/02 FC 10/01/02 CALL 12/28/11 @ 100.000 Moody AA1, S&P NR CUSIP 64988PQF6 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 01/30/09 L nc	0.50	165,000	92.69 92.18	152,941.44 152,101.95	100.0360	165,059.40	12,117.96	2,202.75	8,910.00	5.39
MISSISSIPPI HM CORP SINGLE FMLY REV SER B 2 B/E GUAR FNMA AMT CPN 6.450% DUE 12/01/33 DTD 06/25/02 FC 12/01/02 CALL 05/01/12 @ 105.000 Moody AAA, S&P NR CUSIP 60535MVX4 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 12/18/08 L nc	0.38	120,000	97.37	116,850.00	103.0990	123,718.80	6,868.80	623.50	7,740.00	6.25

KLEIN FAMILY FOUNDATION ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
FLORIDA HSG FIN CORP REV HOMEOWNER MTG SER 4 AMT B/E GNMA/FNMA/FHLMC CPN 5 150% DUE 07/01/37 DTD 08/17/06 FC 01/01/07 CALL 01/01/16 @ 100 000 Moody AA1, S&P AA+ CUSIP 34073NQ60 SUBJECT TO ALTERNATIVE MINIMUM TAX Acquired 01/07/09 L nc 195,000			82 14 81 40 86 25 94 50	160,177 94 158,730 00 495,937 50 274,050 00		199,779 45	39,601 51			
Acquired 01/21/09 L nc 575,000						589,093 25	93,155 75			
Acquired 03/03/09 L nc 290,000						297,107 90	23,057 90			
Total	3.32	1,060,000		\$930,165.44	102.4510	\$1,085,980.60	\$155,815.16	\$27,143.36	\$54,590.00	5.03
				\$928,717.50						
Total Municipal Bonds	8.67	2,880,000		\$2,635,219.71		\$2,836,158.45	\$200,938.74	\$42,171.17	\$103,777.50	3.66

* Denotes bonds with a maturity date in the next 60 days. Please contact us for further investment opportunities or any assistance.
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Taxable Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
ILLINOIS ST FOR ISSUES DTD PRIOR TO 01/16/2010 S EE 452151 SER 1 BUILD CPN 5 163% DUE 02/01/18 DTD 02/04/10 FC 08/01/10 Moody A1, S&P A+ CUSIP 452152BG5 Acquired 10/25/10 L nc 230,000	0.73	230,000	103 07 103 57	237,081.29 238,222.50	103 5130	238,079 90	998 61	4,914 89	11,874 90	4.98



**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER. 1594-0357

Fixed Income Securities

Municipal Bonds continued

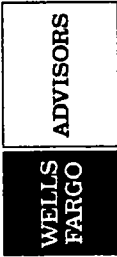
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
FLORIDA HSG FIN CORP REV HOMEOWNER MTG SER 4 AMT B/E GNMA/FNMA/FHLMC CPN 5 150% DUE 07/01/37 DTD 08/17/06 FC 01/01/07 CALL 01/01/16 @ 100 000 Moody AA1, S&P AA+ CUSIP 34073NQ60 SUBJECT TO ALTERNATIVE MINIMUM TAX		195,000	82 14 81 40 86 25 94 50	160,177 94 158,730 00 495,937 50 274,050 00		199,779 45	39,601.51		
Acquired 01/07/09 L nc						589,093 25	93,155 75		
Acquired 03/03/09 L nc		290,000				297,107 90	23,057 90		
Total	3.32	1,060,000		\$930,165.44 \$928,717.50	102.4510	\$1,085,980.60	\$155,815.16	\$27,143.36	\$54,590.00 5.03
Total Municipal Bonds	8.67	2,880,000		\$2,635,219.71 \$2,621,993.60		\$2,836,158.45	\$200,938.74	\$42,171.17	\$103,777.50 3.66

* Denotes bonds with a maturity date in the next 60 days. Please contact us for further investment opportunities or any assistance.
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Taxable Municipal Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
ILLINOIS ST FOR ISSUES DTD PRIOR TO 01/16/2010 S EE 452151 SER 1 BUILD CPN 5 163% DUE 02/01/18 DTD 02/04/10 FC 08/01/10 Moody A1, S&P A+ CUSIP 452152BG5 Acquired 10/25/10 L nc	0.73	230,000	103 07 103 57	237 081.29 238,222 50	103 5130	238,079 90	998 61	4,914 89	11,874 90 4.98





KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

Taxable municipal bonds continued											
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED			
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)	
YPSILANTI MICHIGAN REF TAXABLE-LTD TAX-CAP IMPT G/O LTD B/E OLD AMBAC CPN 5.800% DUE 05/01/18 DTD 06/08/06 FC 11/01/06 CALL 05/01/16 @ 100.000 Moody A2 , S&P A CUSIP 987830KN5 Acquired 10/05/09 L nc Acquired 10/06/09 L nc		200,000 115,000	102.10 103.01 102.10 103.01	204,203.98 206,020.00 117,418.02 118,461.50	 105.7360	211,472.00 \$333,068.40	7,268.02 \$11,446.40	 \$2,994.25	 \$18,270.00	 5.49	
Total	1.02	315,000		\$321,622.00 \$324,481.50							
FLORIDA ST DPT ENVMTL PROTN REV SER B BABS ISSUER SUBSIDY TXBL B/E CPN 5.306% DUE 07/01/18 DTD 01/28/10 FC 07/01/10 Moody A1 , S&P AA- CUSIP 34160WTW4 Acquired 01/08/10 L nc											
ILLINOIS ST SER 2010 3 BUILD AMER BDS G/O UT B/E TAXABLE CPN 5.547% DUE 04/01/19 DTD 04/26/10 FC 10/01/10 Moody A1 , S&P A+ CUSIP 452152FK2 Acquired 09/21/10 L nc											
	0.35	100,000	101.05 101.30	101,053.16 101,300.00	116.1580	116,158.00	15,104.84	2,638.26	5,306.00	4.56	
	0.66	200,000	104.61 105.27	209,236.69 210,552.00	107.2090	214,418.00	5,181.31	2,742.68	11,094.00	5.17	

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
ALLEN PARK MI SER A G/O LTD B/E TXBL CPN 6 625% DUE 05/01/19 DTD 11/12/09 FC 05/01/10 Moody NR, S&P BB+ CUSIP 018015MV6 Acquired 11/02/09 L nc	0 53	175,000	102 50 103 05	179,390 72 180,337 50	98 2430	171,925.25	-7,465 47	1,900 09	11,593 75	6 74
JENISON MI PUB SCH TAXBL BLDG&SITE A QUAL CONSTR BDS DIR G/O UNLTD B/E CPN 5 450% DUE 05/01/19 DTD 04/20/11 FC 11/01/11 Moody AA2 CUSIP 476034PC1 Acquired 04/07/11 S nc	0 34	100,000	101 16 101 25	101,168 82 101,250.00	111 7130	111,713 00	10,544 18	893 19	5,450.00	4 87
SAN FRANCISCO CA CITY & CNTY REDEV FING AUTH TAX ALLOC REV TXBL B/E OID A CPN 8 000% DUE 08/01/19 DTD 09/03/09 FC 02/01/10 Moody A1, S&P A CUSIP 79771PP33 Acquired 08/19/09 L nc	0 70	200,000	100 89 101 07	201,791 30 202,140 00	114 6140	229,228 00	27,436 70	6,622 22	16,000.00	6 97
MICHIGAN ST G/O UNLTD B/E OID CPN 6 850% DUE 11/01/19 DTD 04/14/09 FC 11/01/09 Moody AA2, S&P AA- CUSIP 5946103R6 Acquired 01/07/10 L nc Acquired 01/12/10 L nc Acquired 09/17/10 L nc		250,000 185,000 250,000	109 91 111 82 109 83 111 72 114 76 116 70	274,790 03 279,562 50 203,203 41 206,691 25 286,900 17 291,750 00		308,337 50 228,169 75 308,337 50	33,547 47 24,966 34 21,437 33			





KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Total	2.58	685,000		\$764,893.61 \$778,003.75	123.3350	\$844,844.75	\$79,951.14	\$7,690.08	\$46,922.50	5.55
METROPOLITAN TRANSN AUTH										
NY REV TRANSN SER C 1										
BAB ISSUER SUBSIDY TXBL										
CPN 5.119% DUE 11/15/19										
DTD 07/07/10 FC 11/15/10										
Moody A2, S&P A										
CUSIP 59259YDG1										
Acquired 10/29/10 L nc	250,000		103 31	258,286 47		272,962 50	14,676 03			
Acquired 10/29/10 L nc	150,000		103 70	259,272 50		163,777 50	8,805 62			
			103 31	154,971 88						
			103 70	155,563 50						
Total	1.33	400,000		\$413,258.35 \$414,836.00	109.1850	\$436,740.00	\$23,481.65	\$2,559.50	\$20,476.00	4.69
FLORIDA ST DPT ENVMTNL										
PROTN REV SER B BABS										
ISSUER SUBSIDY TXBL B/E										
CPN 5.756% DUE 07/01/20										
DTD 01/28/10 FC 07/01/10										
CALL 07/01/19 @ 100 000										
Moody A1, S&P AA-										
CUSIP 34160WTY0										
Acquired 01/08/10 L nc	0 53	150,000	101 29 101 55	151,948 48 152,325 00	115 1290	172,693.50	20,745 02	4,293 02	8,634 00	4 99
MILLBRAE CA SCH DIST SER										
B 1 QUALIFIED SCH CONSTR										
BDS TAXABLE G/O B/E										
CPN 5.400% DUE 07/01/20										
DTD 04/19/11 FC 01/01/12										
Moody AA2, S&P AA-										
CUSIP 60012PAZ7										
Acquired 04/04/11 S nc	0 34	100,000	101 27 101 35	101,276 06 101,350 00	111 6870	111,687 00	10,410 94	3,765 00	5,400 00	4 83

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
DICKINSON CNTY KS USD NO 487 TXBL BABS DIRECT PMT TO ISSUER G/O BIE CPN 5.600% DUE 09/01/20 DTD 02/01/10 FC 03/01/11 Moody NR, S&P A CUSIP 253180AL7 Acquired 01/08/10 L nc	0.35	100,000	102.55 102.97	102,553.35 102,975.00	114.4550	114,455.00	11,901.65	1,851.11	5,600.00	4.89
FLORIDA ST GOVERNMENTAL UTIL AUTH UTIL REV TXBL RFDG ALOHA BLD AMCA BDS CPN 5.022% DUE 10/01/20 DTD 10/13/10 FC 04/01/11 Moody A2, S&P NR CUSIP 34281PLB7 Acquired 10/04/10 L nc	0.67	200,000	101.12 101.25	202,258.04 202,500.00	109.6450	219,290.00	17,031.96	2,483.10	10,044.00	4.58
KENTUCKY ST PPTY & BLDGS COMM REV TAXABLE PROJ NO 99 SER C BABS B/E CPN 4.403% DUE 11/01/20 DTD 11/17/10 FC 05/01/11 Moody AA3, S&P A+ CUSIP 49151E7A5 Acquired 10/27/10 L nc Acquired 10/28/10 L nc		100,000 140,000	101.29 101.42 101.29 101.42	101,293.96 101,425.00 141,811.54 141,995.00		105,562.00 147,786.80	4,268.04 5,975.26			
Total	0.77	240,000		\$243,105.50 \$243,420.00	105.5620	\$253,348.80	\$10,243.30	\$1,731.85	\$10,567.20	4.17



WELLS
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KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
NEW YORK ST DORM AUTH REVS NON ST SUPPORTED DEBT TAXABLE PLEDGED CPN 5.000% DUE 12/01/20 DTD 12/09/10 FC 06/01/11 Moody AA2, S&P AA CUSIP 6499057D2 Acquired 12/06/10 L nc	0.34	100,000	99.72	99,725.00	111.3230	111,323.00	11,598.00	402.78	5,000.00	4.49
KANE COOK&DU PAGE CNTYS IL S/D NO 46 ELGIN SER G/O LTD B/E TAXABLE CPN 5.800% DUE 01/01/21 DTD 03/30/11 FC 07/01/11 S&P AA- CUSIP 483836QT2 Acquired 03/11/11 S nc	0.34	100,000	101.79 101.90	101,796.00 101,900.00	112.7710	112,771.00	10,975.00	2,883.89	5,800.00	5.14
PHARR SAN JUAN ALAMO TX INDPT SCH DIST TAXABLE QUALIFIED SCH CONSTR NTS CPN 5.497% DUE 02/01/21 DTD 02/01/11 FC 08/01/11 Moody AA3, S&P A+ CUSIP 717146RY2 Acquired 03/04/11 S nc	0.53	150,000	102.06 102.20	153,096.11 153,300.00	115.0890	172,633.50	19,537.39	3,412.72	8,245.50	4.77
ILLINOIS ST G/O UNLTD B/E TAXABLE CPN 5.750% DUE 03/01/21 DTD 04/26/10 FC 09/01/10 Moody A1, S&P A+ CUSIP 452152EK3 Acquired 07/21/11 S nc Acquired 07/25/11 S nc		150,000 85,000	104.28 104.44 104.29 104.44	156,434.73 156,661.50 88,647.78 88,774.85		157,731.00 89,380.90	1,296.27 733.12			
Total	0.76	235,000		\$245,082.51 \$245,436.35	105.1540	\$247,111.90	\$2,029.39	\$4,466.63	\$13,512.50	5.47

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
BAYONNE NJ RFDG G/O B/E ASSURED GUAR OID B/Q CPN 6.250% DUE 06/01/21 DTD 05/07/09 FC 12/01/09 Moody AA3, S&P AA- CUSIP 072887ZQ8 Acquired 12/31/09 L nc	0.77	215,000	106.97 108.05	229,995.26 232,307.50	116.7550	251,023.25	21,027.99	1,082.47	13,437.50	5.35
FLORIDA ST BRD ED LOTTERY REV B BUILD AMER BDS ISSUER SUBSIDY TXBL CPN 5.541% DUE 07/01/21 DTD 03/11/10 FC 07/01/10 CALL 07/01/19 @ 100.000 Moody A1, S&P AAA CUSIP 341507ZJ3 Acquired 10/19/10 L nc	0.34	100,000	106.61 107.47	106,619.11 107,475.00	111.4800	111,480.00	4,860.89	2,755.11	5,541.00	4.97
ILLINOIS ST SER 5 BUILD AMER BDS G/O UT B/E OID TAXABLE CPN 6.200% DUE 07/01/21 DTD 07/21/10 FC 01/01/11 Moody A1, S&P A+ CUSIP 452152GR6 Acquired 07/16/10 L nc	0.83	250,000	101.42 101.57	253,561.90 253,937.50	109.1470	272,867.50	19,305.60	7,706.94	15,500.00	5.68
CHIPPEWA MI WTR SUPPLY &SWR DISP BUILD AMER BDS G/O LTD B/E OID TAXABLE CPN 5.400% DUE 10/01/21 DTD 02/03/10 FC 04/01/10 CALL 04/01/20 @ 100.000 Moody NR, S&P AA- CUSIP 169682EG2 Acquired 01/25/10 L nc	0.60	180,000	100.95 101.11	181,715.55 182,005.20	109.8250	197,685.00	15,969.45	2,403.00	9,720.00	4.91



KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
WINNEBAGO BOONE ETC CNTY									
IL CCD SER B BUILD AMER									
BDS B/O UNLTD B/E TXBL									
CPN 5.410% DUE 01/01/22									
DTD 12/22/09 FC 07/01/10									
CALL 01/01/17 @ 100 000									
Moody NR , S&P AA									
CUSIP 974433GH1									
Acquired 12/29/09 L nc	1 08	325,000	99.80	324,350.00	108.7440	353,418.00	29,068.00	8,742.41	17,582.50 4.97
ITHACA CITY NY PUB IMPT									
SER B G/O UNLTD B/E TXBL									
CPN 6.000% DUE 01/15/22									
DTD 01/15/10 FC 01/15/11									
CALL 01/15/19 @ 100 000									
Moody AA2 , S&P NR									
CUSIP 465650QQ5									
Acquired 12/31/09 L nc	1 06	300,000	103.99 104.87	311,987.92 314,613.00	115.6840	347,052.00	35,064.08	8,250.00	18,000.00 5.18
ILLINOIS ST FOR ISSUES									
DTD PRIOR TO 01/16/2010									
S EE 452151 TAXABLE SER									
CPN 5.947% DUE 04/01/22									
DTD 04/26/10 FC 10/01/10									
Moody A1 , S&P A+									
CUSIP 452152FN6									
Acquired 07/13/11 S nc	1 23	380,000	103.86 103.98	394,678.61 395,124.00	106.1960	403,544.80	8,866.19	5,586.88	22,598.60 5.60
FLORIDA ST DPT ENVMTL									
PROTN REV SER B BABS									
ISSUER SUBSIDY TXBL B/E									
CPN 6.206% DUE 07/01/22									
DTD 01/28/10 FC 07/01/10									
CALL 07/01/19 @ 100 000									
Moody A1 , S&P AA-									
CUSIP 34160WJAO									
Acquired 01/08/10 L nc	0 53	150,000	101.34 101.60	152,019.11 152,400.00	116.2870	174,430.50	22,411.39	4,628.64	9,309.00 5.33

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCURED INTEREST	ANNUAL INCOME ANNUAL YIELD (%)
FOLSOM CORDOVA CA USD FACS IMPT DIST NO 3 TXBL BABS DIRECT PAY ASSURED CPN 6.388% DUE 10/01/22 DTD 12/03/09 FC 04/01/10 CALL 10/01/19 @ 100 000 Moody AA3, S&P AA- CUSIP 34440KBF8 Acquired 11/16/09 L nc	150,000		100 71 100 85 100 71 100 85	151,079 59 151,275 00 15,107 97 15,127 50		169,396 50 16,939 65	18,316 91 1,831 68		
Acquired 11/16/09 L nc	15,000								
Total	0.57	165,000		\$166,187.56 \$166,402.50	112.9310	\$186,336.15	\$20,148.59	\$2,605.77	\$10,540.20 5.66
JERSEY CITY NJ TAXABLE GEN IMPT SER B G/O BIE TXBL CPN 5.875% DUE 11/01/22 DTD 11/16/10 FC 05/01/11 CALL 11/01/20 @ 100 000 Moody A2, S&P NR CUSIP 476576CT8 Acquired 11/08/10 L nc	0.34	100,000	103 05 103 35	103,057 16 103,350 00	110 0040	110,004 00	6,946 84	962 85	5,875 00 5.34
MOUNT VERNON IL SER B BAB DIRECT PMT TAXABLE G/O UNLTD BIE AGM CPN 5.050% DUE 11/01/22 DTD 09/02/10 FC 05/01/11 CALL 11/01/20 @ 100 000 Moody AA3, S&P AA- CUSIP 623385DT5 Acquired 05/12/11 S nc	0.42	130,000	100 01 100 02	130,025 59 130,026 00	105 0840	136,609 20	6,583.61	1,075 93	6,565 00 4.80



KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
ROSEMONT IL TAXABLE CORP										
PURP SER B G/O UNLTD										
B/E OID										
CPN 5 250% DUE 12/01/22										
DTD 05/26/11 FC 12/01/11										
Moody AA3 , S&P A										
CUSIP 777543SS9										
Acquired 05/23/11 S nc	0 50	150,000	100 16 100 17	150,252 55 150,262 50	109 2090	163,813.50	13,560 95	634 38	7,875 00	4 80
NEW YORK NY SER D 1										
BUILD AMER BDS G/O B/E										
TXBL										
CPN 5 199% DUE 12/01/22										
DTD 12/17/09 FC 06/01/10										
Moody AA2 , S&P AA										
CUSIP 64966HTT7										
Acquired 12/28/09 L nc		350,000	98 42	344,487 50		394,754.50	50,267 00			
Acquired 12/30/09 L nc		105,000	98 55	103,477 50		118,426 35	14,948 85			
Acquired 12/31/09 L nc		175,000	98 67	172,681 25		197,377 25	24,696 00			
Total	2.17	630,000		\$620,646.25	112.7870	\$710,558.10	\$89,911.85	\$2,638.49	\$32,753.70	4.61
NY MUN BD BK AGY RECOVERY										
ACT SUB SER A2 REV										
B/E TAXABLE										
CPN 5 161% DUE 12/15/22										
DTD 12/30/09 FC 06/15/10										
Moody NR , S&P AA+										
CUSIP 64988VBY8										
Acquired 12/28/09 L nc	1 24	360,000	99 45	358,020 00	112 6780	405,640 80	47,620 80	774 15	18,579 60	4 58
OGLE CNTY IL CMNTY UNIT										
SCH DIST NO 226 TAXABLE										
SER A BABS G/O B/E										
CPN 5 898% DUE 01/01/23										
DTD 12/30/09 FC 07/01/10										
CALL 01/01/20 @ 100 000										
Moody AA3 , S&P A+										
CUSIP 676822EM7										
Acquired 12/23/09 L nc	0 84	250,000	101 34 101 60	253,368 48 254,000 00	110.1550	275,387 50	22,019 02	7,331 54	14,745 00	5 35

KLEIN FAMILY FOUNDATION ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
ITHACA CITY NY PUB IMPT SER B G/O UNLTD BIE TXBL CPN 6.000% DUE 01/15/23 DTD 01/15/10 FC 01/15/11 CALL 01/15/19 @ 100.000 Moody AA2, S&P NR CUSIP 465650QR3 Acquired 12/31/09 L nc	1.10	315,000	103.11 103.79	324,823.88 326,960.55	113.8100	358,501.50	33,677.62	8,662.50	18,900.00	5.27
GIG HARBOR WA W&S REV TAXABLE BUILD AMER BDS BIE TXBL CPN 5.403% DUE 04/01/23 DTD 03/30/10 FC 10/01/10 CALL 04/01/20 @ 100.000 Moody NR, S&P AA- CUSIP 37517HBR0 Acquired 03/12/10 L nc	0.57	170,000	102.27 102.65	173,868.82 174,505.00	110.3640	187,618.80	13,749.98	2,270.76	9,185.10	4.89
GRAND HAVEN MI CAP IMPT G/O LTD BIE TXBL CPN 5.125% DUE 04/01/23 DTD 10/21/09 FC 04/01/10 CALL 04/01/19 @ 100.000 Moody NR, S&P AA CUSIP 385532HA7 Acquired 10/06/09 L nc	0.77	235,000	99.27	233,300.95	107.6680	253,019.80	19,718.85	2,977.48	12,043.75	4.76
ONEIDA CNTY NY PUB IMPT SER B G/O UNLTD BIE ASSURED GUAR CPN 6.500% DUE 04/15/23 DTD 04/15/09 FC 04/15/10 Moody AA3, S&P AA- CUSIP 6824543V3 Acquired 10/27/09 L nc Acquired 10/28/09 L nc		150,000 100,000	108.22 109.30 108.22 109.30	162,334.14 163,950.00 108,225.40 109,300.00		170,253.00 113,502.00	7,918.86 5,276.60			



KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Total	0.87	250,000		\$270,559.54	113.5020	\$283,755.00	\$13,195.46	\$3,385.42	\$16,250.00	5.73
\$273,250.00										
FLORIDA ST DPT ENVMNTL										
PROTN REV SER B BABS										
ISSUER SUBSIDY TXBL B/E										
CPN 6 306% DUE 07/01/23										
DTD 01/28/10 FC 07/01/10										
CALL 07/01/19 @ 100.000										
Moody A1, S&P AA-										
CUSIP 34160WJUB8										
Acquired 01/08/10 L nc										
150,000										
101 34										
101 60										
152,400.00										
101 34										
65,875.73										
101 60										
66,040.00										
108 19										
135,238.73										
109 35										
136,687.50										
Total	1.21	340,000		\$353,135.37	116.2260	\$395,168.40	\$42,033.03	\$10,660.64	\$21,440.40	5.43
\$355,127.50										
SAN BERNARDINO CNTY CA										
PENSION OBLG RFDG REV										
B/E AGM TXBL										
CPN 6 020% DUE 08/01/23										
DTD 04/16/08 FC 08/01/08										
Moody AA3, S&P AA-										
CUSIP 796839BP2										
Acquired 10/20/09 L nc										
0 47										
101 96										
102 20										
147,848.12										
148,190.00										
105 1590										
152,480.55										
4,632.43										
3,612.84										
8,729.00										
5.72										
POTTAWATOMIE CNTY KS USD										
NO 320 TXBL SER B BABS										
DIRECT PMT TO ISSUER										
CPN 5 900% DUE 09/01/23										
DTD 12/15/09 FC 03/01/11										
CALL 09/01/19 @ 100.000										
Moody NR S&P A+										
CUSIP 737823DD3										
Acquired 12/16/09 L nc										
0 53										
102 23										
102 67										
153,356.00										
154,012.50										
115 7970										
173,695.50										
20,339.50										
2,925.42										
8,850.00										
5.09										

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
SCRANTON PA PENSION FDG SR C AMBAC B/E OID @99.275 5.56 LT TXBL CPN 5.500% DUE 09/01/23 DTD 08/01/03 FC 09/01/03 CALL 09/01/13 @ 100.000 S&P NR CUSIP 810759NN6 Acquired 07/08/10 L nc	0.28	100,000	82.74 81.30	82,741.09 81,300.00	92.6700	92,670.00	9,928.91	1,818.06	5,500.00	5.93
BYRON FOREST IL PRESERV DIST TAXABLE SER B BUILD AMER BDS G/O B/E TXBL CPN 6.125% DUE 01/01/24 DTD 02/01/10 FC 01/01/11 CALL 01/01/19 @ 100.000 Moody NR, S&P A+ CUSIP 124538CP2 Acquired 01/20/10 L nc	0.67	200,000	102.65 103.21	205,307.42 206,422.00	109.7940	219,588.00	14,280.58	6,090.97	12,250.00	5.57
LANSING MI BLDG AUTH RFDG TAXABLE G/O LTD B/E TXBL CPN 5.800% DUE 06/01/24 DTD 10/08/09 FC 06/01/10 CALL 06/01/19 @ 100.000 Moody A1, S&P AA CUSIP 516393KA2 Acquired 10/05/09 L nc	0.58	170,000	102.24 102.75	173,808.85 174,675.00	111.0470	188,779.90	14,971.05	794.28	9,860.00	5.22



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KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
DOUGLAS CNTY NE SCH DIST NO 010 TAXABLE SER A BUILD AMER BDS G/O CPN 5.546% DUE 06/15/24 DTD 01/28/10 FC 06/15/10 CALL 06/15/20 @ 100.000 Moody NR, S&P AA- CUSIP 259309VH2 Acquired 01/14/10 L nc	0.34	100,000	101.32 101.55	101,328.41 101,550.00	112.6040	112,604.00	11,275.59	231.08	5,546.00	4.92
SAN FRANCISCO CA CITY & CNTY REDV A FINGATH TAX ALLOC REV TXBL OID B/E CPN 8.250% DUE 08/01/24 DTD 09/03/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody A1, S&P A CUSIP 79771PP41 Acquired 09/11/09 L nc	0.35	100,000	106.05 107.31	106,051.13 107,314.00	113.9360	113,936.00	7,884.87	3,414.58	8,250.00	7.24
HONOLULU HI CITY & CNTY SER E BUILD AMER BNDS G/O B/E TXBL- CPN 5.780% DUE 09/01/24 DTD 11/19/09 FC 09/01/10 CALL 09/01/19 @ 100.000 Moody AA1, S&P NR CUSIP 438670VA6 Acquired 11/06/09 L nc	0.74	215,000	101.24 101.50	217,683.28 218,225.00	113.1310	243,231.65	25,548.37	4,107.81	12,427.00	5.10

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Attachment 6.2 (14/31)

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
FOLSOM CORDOVA CA USD FACS IMPT DIST NO 3 TXBL BABS DIRECT PAY ASSURED CPN 6.638% DUE 10/01/24 DTD 12/03/09 FC 04/01/10 CALL 10/01/19 @ 100.000 Moody AA3, S&P AA- CUSIP 34440KBH4 Acquired 11/19/09 L nc	0.36	105,000	100.95 101.12	105,999.50 106,181.25	113.2940	118,958.70	12,959.20	1,723.11	6,969.90	5.85
NEW YORK NY SER D 1 BUILD AMER BDS G/O UNLTD BIE TXBL CPN 5.399% DUE 12/01/24 DTD 12/17/09 FC 06/01/10 Moody AA2, S&P AA CUSIP 64966HTY6 Acquired 12/21/09 L nc Acquired 12/30/09 L nc Acquired 01/13/10 L nc		200,000 250,000 175,000	99.75 98.20 100.04 100.05	199,502.00 245,500.00 175,080.08 175,087.50		236,450.00 295,562.50 206,893.75	36,948.00 50,062.50 31,813.67			
Total	2.26	625,000		\$620,082.08 \$620,089.50	118.2250	\$738,906.25	\$118,824.17	\$2,718.25	\$33,743.75	4.57
DELL RAPIDS S/D NO 493 BUILD AMER BDS DRCT PAY G/O UT BIE TAXABLE A 2 CPN 5.654% DUE 01/15/25 DTD 04/13/10 FC 07/15/11 CALL 01/15/20 @ 100.000 Moody NR, S&P AA- CUSIP 247029DH1 Acquired 03/10/10 L nc	0.50	145,000	101.34 101.55	146,944.08 147,247.50	112.2990	162,833.55	15,889.47	3,757.55	8,198.30	5.03



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KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
NEW HAVEN CT TAXABLE SER										
A 2 BUILD AMER G/O TXBL										
CPN 6 500% DUE 02/01/25										
DTD 02/12/10 FC 08/01/10										
CALL 02/01/20 @ 100 000										
Moody A1 , S&P A-										
CUSIP 645020ZY7										
Acquired 02/05/10 L nc	0.84	250,000	102.73 103.20	256,840.84 258,000.00	110.2910	275,727.50	18,886.66	6,725.69	16,250.00	5.89
UNION CNTY NJ IMPT AUTH										
REV TAXABLE RFDG GTD LSE										
LINDEN BIE TXBL										
CPN 6 650% DUE 03/01/25										
DTD 09/01/10 FC 03/01/11										
S&P AA-										
CUSIP 906347SQ3										
Acquired 08/19/10 L nc	0.34	100,000	110.12 110.80	110,124.60 110,800.00	111.3830	111,383.00	1,258.40	2,198.19	6,650.00	5.97
GIG HARBOR WA W&S REV										
TAXABLE BUILD AMER BDS										
BIE TXBL										
CPN 5 703% DUE 04/01/25										
DTD 03/30/10 FC 10/01/10										
CALL 04/01/20 @ 100 000										
Moody NR , S&P AA-										
CUSIP 37517HBT6										
Acquired 03/15/10 L nc	0.46	135,000	102.45 102.85	138,310.59 138,847.50	111.0470	149,913.45	11,602.86	1,903.38	7,699.05	5.13
DECATUR GA SCH SYS CTF										
PARTN SCH BRDS ASSN TXBL										
BABS DRCT PAY RV ASSURED										
CPN 5 550% DUE 05/01/25										
DTD 01/21/10 FC 05/01/10										
CALL 05/01/20 @ 100.000										
Moody AA3 , S&P AA-										
CUSIP 243124AN4										
Acquired 01/14/10 L nc	0.66	190,000	101.45 101.70	192,759.11 193,230.00	112.9310	214,568.90	21,809.79	1,728.21	10,545.00	4.91

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Attachment 6.2 (16/31)

KLEIN FAMILY FOUNDATION ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
MILLBRAE CA SCH DIST SER B 1 QUALIFIED SCH CONSTR BDS TAXABLE G/O B/E CPN 6 157% DUE 07/01/25 DTD 04/19/11 FC 01/01/12 Moody AA2, S&P AA- CUSIP 60012PBA1 Acquired 04/04/11 S nc	0 42	115,000	101 48 101 52	116,709 55 116,753 75	118 4190	136,181.85	19,472 30	4,936 72	7,080 55	5 19
CIRCLEVILLE OH CITY S/D TXBL FACS CONS & IMP SER A (DRCT PYMT) G/O UNLTD CPN 5 650% DUE 11/01/25 DTD 08/11/10 FC 05/01/11 Moody AA2, S&P NR CUSIP 172720FM1 Acquired 08/04/10 L nc	0 54	150,000	101 69 101 80	152,548 16 152,700 00	117 2800	175,920 00	23,371.84	1,388 96	8,475 00	4.81
HILLIARD OH S/D TAXABLE ENRGY CONSV-LT-QLFD SCH CONSTR BD-DIRECT PMT-G/O CPN 5 550% DUE 12/01/25 DTD 03/15/11 FC 12/01/11 Moody AA1, S&P AA CUSIP 431621NM9 Acquired 03/07/11 S nc	0 37	100,000	101 62 101 67	101,622 65 101,675 00	121 3220	121,322 00	19,699 35	447 08	5,550 00	4 57
TOLEDO OH TAXABLE STR IMPT G/O LTD B/E ASSURED GUAR TXBL CPN 6 300% DUE 12/01/25 DTD 10/15/09 FC 06/01/10 CALL 12/01/19 @ 100 000 Moody AA3, S&P AA- CUSIP 889278PQ4 Acquired 10/15/09 L nc	0 80	235,000	102 90 103 50	241,838 05 243,225 00	111 2420	261,418 70	19,580 65	1,192 63	14,805 00	5 66



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KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED		
									ANNUAL INCOME	ANNUAL YIELD (%)	
HAMPTON VA TAXABLE PUB											
IMPT SER B G/O TXBL											
CPN 5.329% DUE 01/15/26											
DTD 03/11/10 FC 07/15/10											
Moody AA1 , S&P AA+											
CUSIP 409558Y56											
Acquired 03/09/10 L nc	0.60	165,000	101 60 101 72	167,641 55 167,851 25	118 0750	194,823 75	27,182 20	4,030 06	8,792 85	4 51	
PHARR SAN JUAN ALAMO TX											
INDPT SCH DIST QUALIFIED											
SCH CONSTR NTS DIRECT											
CPN 6.247% DUE 02/01/26											
DTD 02/01/11 FC 08/01/11											
CALL 02/01/21 @ 100 000											
Moody AA3 , S&P A+											
CUSIP 717146RZ9											
Acquired 02/07/11 S nc	0.86	250,000	101 45 101 55	253,634 13 253,875 00	112 6680	281,670 00	28,035 87	6,463 91	15,617 50	5 54	
VIRGINIA CLLG BLDG AUTH											
EDL FACS REV REF TXBL											
CENTURY CLG F-2 BABS											
CPN 5.400% DUE 02/01/26											
DTD 12/17/09 FC 08/01/10											
CALL 02/01/20 @ 100 000											
Moody AA1 , S&P AA+											
CUSIP 927781TN4											
Acquired 01/05/10 L nc	0.79	235,000	99 05	232,767 50	110 2410	259,066.35	26,298.85	5,252 25	12,690 00	4 89	
GRAND HAVEN MI CAP IMPT											
G/O LTD BIE TXBL											
CPN 5.400% DUE 04/01/26											
DTD 10/21/09 FC 04/01/10											
CALL 04/01/19 @ 100.000											
Moody NR , S&P AA											
CUSIP 385532HD1											
Acquired 10/06/09 L nc	0.54	165,000	99.95	164,917 50	108 0780	178,328 70	13,411 20	2,202 75	8,910 00	4 99	

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Attachment 6.2 (18/31)

KLEIN FAMILY FOUNDATION ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
DE WITT MI PUB SCH BLDG & SITE BUILD AMER BDS DRCT PMT G/O UT B/E TXBL CPN 6 100% DUE 05/01/26 DTD 12/22/09 FC 11/01/10 CALL 05/01/19 @ 100 000 Moody NR, S&P AA- CUSIP 242109QNG Acquired 12/11/09 L nc Acquired 12/15/09 L nc	100,000 15,000		101 08 101 30 101 08 101 30	101,082 76 101,300 00 15,162 42 15,195 00		106,615 00 15,992 25	5,532 24 829 83			
Total	0.37	115,000		\$116,245.18 \$116,495.00	106.6150	\$122,607.25	\$6,362.07	\$1,149.68	\$7,015.00	5.72
PORT ST LUCIE FL STRIMWTR UTIL RV SR B RECOVERY ZN ECON DV TXBL BDS ASSURED CPN 6 516% DUE 05/01/26 DTD 01/15/10 FC 05/01/10 CALL 05/01/20 @ 100 000 Moody AA3, S&P AA- CUSIP 735351CJ5 Acquired 01/08/10 L nc	0.34	100,000	101 55 101 80	101,550 69 101,800 00	111 9590	111,959 00	10,408 31	1,067 90	6,516 00	5.81
LITTLE LK CA CITY SCH DIST TAXABLE ELECTION 2000 SER D 1 G/O BLE CPN 5 964% DUE 07/01/26 DTD 06/02/10 FC 01/01/11 Moody AA3, S&P AA- CUSIP 537260DY4 Acquired 04/05/11 S nc	0.44	125,000	98 80	123,500 00	115 5870	144,483.75	20,983 75	3,706 79	7,455 00	5.15



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KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
MARICOPA CNTY AZ SD #066 ROOSEVELT ELEM TXBL IMPT PJ 2007 G/O UNTLD AGC CPN 6 243% DUE 07/01/26 DTD 08/03/10 FC 01/01/11 Moody A3, S&P AA- CUSIP 567337QV5 Acquired 08/09/10 L nc	0.35	100,000	104.65 104.92	104,657.11 104,925.00	114.8390	114,839.00	10,181.89	3,104.16	6,243.00	5.43
BURLINGTON VT TAXABLE PUB IMPT A QUAL SCH CONST BDS G/O BIE TXBL CPN 6 500% DUE 11/01/26 DTD 07/21/10 FC 05/01/11 Moody A3, S&P NR CUSIP 122062PT3 Acquired 06/27/11 S nc	0.34	100,000	108.91 109.10	108,919.85 109,103.00	112.6530	112,653.00	3,733.15	1,065.28	6,500.00	5.76
MORGANTOWN WV COMB UTIL SYS REV SR A BLD AMR BDS BIE TXBL ASSURED GUAR CPN 5 500% DUE 12/01/26 DTD 01/28/10 FC 06/01/10 CALL 12/01/20 @ 100.000 Moody NR, S&P AA- CUSIP 61759CAQ1 Acquired 01/25/10 L nc	0.34	100,000	100.43 100.50	100,437.89 100,500.00	111.0770	111,077.00	10,639.11	443.06	5,500.00	4.95
DECATUR MI PUB SCHS TAXABLE SCH BLDG & SITE SER A QUAL SCH CONST BDS CPN 6 450% DUE 05/01/27 DTD 06/22/10 FC 11/01/10 CALL 05/01/20 @ 100.000 Moody NR, S&P AA- CUSIP 243312DA0 Acquired 01/27/11 S nc	0.67	200,000	101.48 101.60	202,979.87 203,200.00	109.7780	219,556.00	16,576.13	2,114.17	12,900.00	5.87

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Attachment 6.2 (20/31)

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ACCRUED INTEREST	ANNUAL INCOME
OAKLAND MI INTER S/D BLDG&SITE QUAL CONSTR BD G/O LTD B/E TAXABLE CPN 6.250% DUE 05/01/27 DTD 06/02/10 FC 11/01/10 CALL 05/01/20 @ 100.000 Moody NR, S&P AA+ CUSIP 673475CB3 Acquired 07/09/10 L nc	0.48	145,000	101.49 101.67	147,163.16 147,428.75	107.8630	156,401.35	9,238.19	1,485.24	9,062.50
NASSAU CNTY NY TAXABLE GEN IMPT SER F BUILD AMER BDS G/O UNLTD B/E CPN 6.800% DUE 10/01/27 DTD 12/16/10 FC 04/01/11 CALL 10/01/20 @ 100.000 Moody A1, S&P A+ CUSIP 63165TF77 Acquired 01/20/11 S nc	1.11	325,000	103.81 104.10	337,391.56 338,325.00	112.1720	364,559.00	27,167.44	5,463.61	22,100.00
COOK CNTY IL S/D NO 104 ARGO SUMMIT TXBL SER A G/O LTD OID ASSURED GUAR CPN 5.900% DUE 12/01/27 DTD 10/01/09 FC 12/01/09 CALL 12/01/19 @ 100.000 Moody NR, S&P AA- CUSIP 214471MU5 Acquired 09/22/09 L nc	0.67	200,000	100.79 100.95	201,585.10 201,900.00	109.4870	218,974.00	17,388.90	950.56	11,800.00
									5.38



KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
CHAMPAIGN CNTY IL CMNTY USD #116 URBANA ALT REV SOURCE SR A B/E TAXABLE CPN 6.600% DUE 01/01/28 DTD 12/14/10 FC 07/01/11 CALL 01/01/20 @ 100.000 Moody NR, S&P AA- CUSIP 158285GU4 Acquired 12/03/10 L nc	0.46	130,000	101.53 101.67	131,989.05 132,177.50	114.8510	149,306.30	17,317.25	4,266.17	8,580.00	5.74
QUINCY IL SER C RECOVERY ZNE BLD AMR BDS ECON DEV G/O UT TXBL ASSURED GUAR CPN 6.050% DUE 01/01/28 DTD 12/15/09 FC 01/01/11 CALL 01/01/19 @ 100.000 Moody NR, S&P AA- CUSIP 748437KA7 Acquired 11/25/09 L nc	0.36	110,000	101.26 101.55	111,396.29 111,705.00	106.9310	117,624.10	6,227.81	3,309.01	6,655.00	5.65
NY MUN BD BK AGY RECOVERY ACT SUB SER C 2 BUILD REV B/E TAXABLE CPN 6.879% DUE 02/15/28 DTD 12/30/09 FC 08/15/10 CALL 02/15/20 @ 100.000 Moody NR, S&P A CUSIP 64988VCC5 Acquired 01/06/10 L nc	0.58	170,000	101.49 101.75	172,548.97 172,975.00	110.9900	188,683.00	16,134.03	4,385.36	11,694.30	6.19
PHILADELPHIA PA AUTH IND DEV PENSN FDG RETIREMENT SY A AGM TAXABLE OY=6.36 CPN 6.350% DUE 04/15/28 DTD 01/15/99 FC 04/15/99 Moody AA3, S&P AA- CUSIP 71781LAM1 Acquired 09/21/09 L nc	0.49	150,000	100.95 101.02	151,431.51 151,537.50	106.1400	159,210.00	7,778.49	1,984.38	9,525.00	5.98

KLEIN FAMILY FOUNDATION ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED		
									ANNUAL INCOME	ANNUAL YIELD (%)	
SOUTH CRRCTNL ENTITY FAC											
PDA WA BUILD AMR BD B											
DRCT PMT RV B/E OID TXBL											
CPN 6.416% DUE 01/01/29											
DTD 11/04/09 FC 01/01/10											
CALL 01/01/20 @ 100 000											
Moody AA3 , S&P AA											
CUSIP 83748CAN6											
Acquired 12/02/09 L nc	0.51	150,000	102.21 102.62	153,317.03 153,937.50	110.5640	165,846.00	12,528.97	4,785.27	9,624.00	5.80	
GRAND RAPIDS MI CMNTY											
CLLG BUILD AMER BDS											
(DIRECT PMT TO ISSUER)											
CPN 6.500% DUE 05/01/29											
DTD 06/01/09 FC 11/01/09											
CALL 05/01/19 @ 100 000											
Moody AA1 , S&P AA											
CUSIP 38624JIT2											
Acquired 02/01/11 S nc	0.44	125,000	102.95 103.23	128,699.08 129,041.25	115.8900	144,862.50	16,163.42	1,331.60	8,125.00	5.60	
AVONDALE AZ PJS OF 1998&											
2007 G/O UT B/E B/Q TXBL											
CPN 7.800% DUE 07/01/29											
DTD 07/21/09 FC 01/01/10											
CALL 07/01/19 @ 100 000											
Moody AA3 , S&P A+											
CUSIP 054314DX4											
Acquired 07/08/09 L nc	0.36	100,000	102.75 103.37	102,755.38 103,370.00	116.6310	116,631.00	13,875.62	3,878.33	7,800.00	6.68	
MIAMI DADE CNTY FL TRAN											
SYS SALES SURTAX RV SR B											
BLD AMER BDS DRCT TXBL											
CPN 6.710% DUE 07/01/29											
DTD 09/24/09 FC 01/01/10											
CALL 07/01/19 @ 100 000											
Moody AA3 , S&P AA											
CUSIP 59334PCN5											
Acquired 09/21/09 L nc	0.35	100,000	102.50 103.05	102,506.80 103,050.00	115.9410	115,941.00	13,434.20	3,336.36	6,710.00	5.78	



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KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 1594-0357

20,825

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
BONITA CA USD ELCTN 2008 A 1 BUILD AMER BDS DRCT PMT G/O UT B/E TAXABLE CPN 6.800% DUE 08/01/29 DTD 09/23/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody NR, S&P AA- CUSIP 098203RQ7 Acquired 09/24/09 L nc	140.000		102.68 103.25 102.68 103.25	143,752.09 144,550.00 102,680.06 103,250.00		155,274.00 110,910.00	11,521.91 8,229.94			
Total	0.81	240.000		\$246,432.15 \$247,800.00	110.9100	\$266,184.00	\$19,751.85	\$6,754.67	\$16,320.00	6.13
EL MONTE CA S/D ELEC 08 SR A 1 BLD AMR BDS DRCT PMT G/O UT TXBL ASSURED CPN 7.125% DUE 08/01/29 DTD 10/14/09 FC 08/01/10 CALL 08/01/19 @ 100.000 Moody AA3, S&P AA- CUSIP 283309HH8 Acquired 09/30/09 L nc	0.35	100.000	105.32 106.45	105,325.83 106,455.00	114.2910	114,291.00	8,965.17	2,948.96	7,125.00	6.23
SANTA MONICA MALIBU UNI SCH DIST CA ELCTN 2006 B 1 BLD G/O B/E TXBL CPN 7.406% DUE 08/01/29 DTD 08/05/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody AA1, S&P AA CUSIP 802498JK2 Acquired 07/27/09 L nc	0.36	100.000	101.43 101.75	101,434.35 101,750.00	117.7230	117,723.00	16,288.65	3,065.26	7,406.00	6.29

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Attachment 6.2 (24/31)

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
PUEBLO CNTY CO CTF PARTN TAXABLE SER A BUILD AMER REV BIE OID ASSURED GTY CPN 6.600% DUE 09/15/29 DTD 12/29/09 FC 03/15/10 Moody AA3, S&P AA- CUSIP 744829BU5 Acquired 12/18/09 L nc	0.73	200,000	98.36	196,728.00	119.5650	239,130.00	42,402.00	3,850.00	13,200.00	5.52
BURLINGTON VT PUB IMPT SER B G/O UNLTD BIE TXBL CPN 6.000% DUE 11/01/29 DTD 04/20/09 FC 11/01/09 CALL 11/01/18 @ 100.000 Moody A3 CUSIP 122062NA6 Acquired 02/17/11 S nc	0.56	170,000	98.02	166,635.70	107.2160	182,267.20	15,631.50	1,671.67	10,200.00	5.59
MC HENRY CNTY IL CMNTY UNIT S/D#200 WOOD STOCK TXBL A BAB UNLTD G/O B/E CPN 6.400% DUE 01/15/30 DTD 03/05/10 FC 01/15/11 CALL 01/15/19 @ 100.000 S&P AA CUSIP 581158MF6 Acquired 02/15/11 S nc	0.42	125,000	100.20 100.22	125,259.51 125,275.00	109.7120	137,140.00	11,880.49	3,666.67	8,000.00	5.83
CALIFORNIA ST G/O UNLTD B/E TAXABLE CPN 7.700% DUE 11/01/30 DTD 12/01/10 FC 05/01/11 CALL 11/01/20 @ 100.000 Moody A1, S&P A- CUSIP 13063BJB9 Acquired 12/13/10 L nc		400,000	102.23 102.40	408,925.65 409,600.00		454,588.00	45,662.35			
Acquired 03/09/11 S nc		140,000	104.75 105.05	146,660.98 147,070.00		159,105.80	12,444.82			
Acquired 10/03/11 S nc		125,000	114.99 115.30	143,742.89 144,125.00		142,058.75	-1,684.14			



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KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 11/09/11 S nc	200,000		115 10	230,214.63		227,294.00	-2,920.63			
Acquired 11/10/11 S nc	50,000		115 30	230,600.00		56,823.50	-658.04			
Acquired 11/14/11 S nc	30,000		115 15	57,481.54		34,094.10	-399.23			
Acquired 11/23/11 S nc	160,000		114 97	34,493.33		181,835.20	-2,213.87			
			115 15	34,545.00						
			115 03	184,049.07						
			115 17	184,272.00						
Total	3.84	1,105,000		\$1,205,568.09	113.6470	\$1,255,799.35	\$50,231.26	\$13,944.49	\$85,085.00	6.78
\$1,207,787.00										
METROPOLITAN TRANSN AUTH										
NY REV TAXABLE TRANSN										
SER E BUILD BIE TXBL										
CPN 7 134% DUE 11/15/30										
DTD 12/29/10 FC 05/15/11										
CALL 11/15/20 @ 100.000										
Moody A2, S&P A										
CUSIP 59259YGG8										
Acquired 01/07/11 S nc	0.53	150,000	103 53	155,302.81	116.2280	174,342.00	19,039.19	1,337.63	10,701.00	6.13
			103 80	155,700.00						
BAYONNE NJ REF TAXABLE-										
PENSION G/O UNLTD										
B/E MBIA-RE FGIC										
CPN 5.680% DUE 01/15/33										
DTD 01/15/03 FC 07/15/03										
Moody A1, S&P NR										
CUSIP 072887TS1										
Acquired 01/28/11 S nc	0.52	170,000	89 75	152,586.05	99.5740	169,275.80	16,689.75	4,425.67	9,656.00	5.70
			89 55	152,235.00						

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Attachment 6.2 (26/31)

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
OAKLAND CA USD ALAMEDA CNTY BABS SER B G/O UT BIE TAXABLE CPN 9.500% DUE 08/01/34 DTD 08/12/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody A2 CUSIP 672325TS6 Acquired 10/10/11 S nc	150,000		112 34 112 60 112 34 112 60	168,511 90 168,900 00 112,341 27 112,600 00		173,583 00 115,722 00	5,071 10 3,380 73			
Total	0.88	250,000		\$280,853.17 \$281,500.00	115.7220	\$289,305.00	\$8,451.83	\$9,829.86	\$23,750.00	8.21
WEST CONTRA COSTA CA UNI SCH DIST ELECTION 2005 SER C 2 G/O BIE TXBL CPN 8.460% DUE 08/01/34 DTD 08/26/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody AA3 S&P A+ CUSIP 952347VB5 Acquired 09/02/09 L nc	125,000		104 25 105 12 116 56 116 75	130,319 17 131,406 25 11,656 39 11,675 00		144,913 75 11,593 10	14,594 58 -63 29			
Total	0.48	135,000		\$141,975.56 \$143,081.25	115.9310	\$156,506.85	\$14,531.29	\$4,727.03	\$11,421.00	7.30
CHICAGO IL TAXABLE PROJ SER C 1 G/O BIE TXBL CPN 7.781% DUE 01/01/35 DTD 01/26/11 FC 07/01/11 Moody AA3 S&P A+ CUSIP 167486MM8 Acquired 02/04/11 S nc	0 93	250,000	100 79 100 80	251,984 17 252,000 00	121 1780	302,945 00	50,960 83	9,672 22	19,452 50	6 42



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Attachment 6.2 (27/31)

KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357Fixed Income Securities
Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
CORONA NORCO CA USD BUILD AMER BDS D DIRECT PMT G/O UNLTD B/E TXBL CPN 7.343% DUE 08/01/35 DTD 12/17/09 FC 02/01/10 CALL 08/01/19 @ 100.000 Moody AA2 S&P AA- CUSIP 219764LM7 Acquired 12/03/09 L nc	0.69	200,000	101.56 101.85	203,127.71 203,700.00	112.7790	225,558.00	22,430.29	6,078.37	14,686.00	6.51
NASSAU CNTY NY TAXABLE GEN IMPT SER F BUILD AMER BDS G/O B/E TXBL CPN 7.400% DUE 10/01/35 DTD 12/16/10 FC 04/01/11 CALL 10/01/20 @ 100.000 Moody A1 S&P A+ CUSIP 63165TFX8 Acquired 12/20/10 L nc		100,000	102.14 102.30	102,140.12 102,300.00		112,603.00	10,462.88			
Acquired 01/10/11 S nc		225,000	103.99	233,998.58		253,356.75	19,358.17			
Acquired 11/14/11 S nc		30,000	104.30	234,675.00		33,780.90	517.56			
Acquired 11/21/11 S nc		65,000	110.87 111.00 111.09 111.20	33,263.34 33,300.00 72,211.17 72,280.00		73,191.95	980.78			
Total	1.45	420,000		\$441,613.21 \$442,555.00	112.6030	\$472,932.60	\$31,319.39	\$7,683.67	\$31,080.00	6.57
CALIFORNIA ST VAR PURP BUILD AMER BDS B/O UNLTD B/E TAXABLE CPN 7.950% DUE 03/01/36 DTD 04/01/10 FC 09/01/10 CALL 03/01/20 @ 100.000 Moody A1 S&P A- CUSIP 13063BFV9 Acquired 02/09/11 S nc		115,000	103.99 104.30	119,597.61 119,945.00		130,174.25	10,576.64			

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/30/11 S nc		220,000	113.81	250,394.41		249,029.00	-1,365.41			
			114.25	251,363.20						
Acquired 08/31/11 S nc		225,000	113.85	256,170.38		254,688.75	-1,481.63			
			114.29	257,163.75						
Acquired 08/31/11 S nc		70,000	113.81	79,671.07		79,236.50	-434.57			
			114.25	79,979.20						
Acquired 09/20/11 S nc		30,000	115.21	34,564.76		33,958.50	-606.26			
			115.62	34,687.50						
Acquired 10/03/11 S nc		20,000	115.07	23,014.75		22,639.00	-375.75			
			115.42	23,085.00						
Acquired 10/12/11 S nc		140,000	112.19	157,078.32		158,473.00	1,394.68			
			112.44	157,425.80						
Acquired 10/24/11 S nc		135,000	113.36	153,046.83		152,813.25	-233.58			
			113.60	153,360.00						
Acquired 11/14/11 S nc		100,000	115.31	115,311.71		113,195.00	-2,116.71			
			115.50	115,500.00						
Acquired 11/15/11 S nc		110,000	116.05	127,661.10		124,514.50	-3,146.60			
			116.25	127,875.00						
Total	4.03	1,165,000		\$1,316,510.94	113.1950	\$1,318,721.75	\$2,210.81	\$30,615.23	\$92,617.50	7.02
				\$1,320,384.45						
OAK PARK MI TAXABLE FAC										
FING RECOVERY G/O UNLTD										
B/E TXBL										
CPN 7.000% DUE 05/01/36										
DTD 11/01/10 FC 05/01/11										
CALL 05/01/20 @ 100.000										
Moody A1 . S&P A+										
CUSIP 671664PH7										
Acquired 09/15/11 S nc	0.32	100,000	106.71	106,714.28	105.8690	105,869.00	-845.28	1,147.22	7,000.00	6.61
			106.88	106,880.00						



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KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 02/10/11 S nc	200.000	94.02	188,050.00	213,812.00	25,762.00					
Acquired 02/18/11 S nc	100.000	93.58	93,584.91	106,906.00	13,321.09					
Acquired 11/14/11 S nc	20.000	93.50	93,500.00	21,381.20	309.85					
Acquired 11/21/11 S nc	35.000	105.35	21,071.35	21,084.00						
		105.42	21,084.00	37,778.55	-361.45					
		107.93	37,778.55	37,807.35						
		108.02	37,807.35							
Total	1.65	505,000		\$481,109.81	106.9060	\$539,875.30	\$58,765.49	\$8,364.76	\$33,835.00	6.27
				\$481,066.35						
WATERBURY CT PENSION G/O										
BIE TXBL										
CPN 7.089% DUE 12/01/38										
DTD 09/17/09 FC 12/01/09										
Moody NR, S&P A-										
CUSIP 941247N20										
Acquired 09/08/09 L nc	0.34	100.000	101.02	101,024.24	109.7150	109,715.00	8,690.76	571.06	7,089.00	6.46
			101.05	101,050.00						
SAN BERNARDINO CA CCD										
ELEC OF 2008 SER C BUILD										
AMER BDS G/O LTD TXBL										
CPN 7.430% DUE 08/01/39										
DTD 06/18/09 FC 02/01/10										
Moody AA2, S&P AA-										
CUSIP 796720GJ3										
Acquired 07/22/09 L nc	0.58	150,000	104.88	157,325.47	127.0590	190,588.50	33,263.03	4,612.79	11,145.00	5.84
			105.01	157,522.50						

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Taxable Municipal Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
HAYWARD CA UNI SCH DIST TAXABLE ELECTION 2008 SER C BABS G/O BIE AGM CPN 7 350% DUE 08/01/43 DTD 07/01/10 FC 02/01/11 CALL 08/01/20 @ 100 000 Moody AA3, S&P AA- CUSIP 4212902E6 Acquired 10/31/11 S nc	100,000	108 13 108 25 108 69 108 80 109 82 109 87	108 138 90 108 250 00 163 045 31 163 200 00 137 276 41 137 343 75	110 602 00	2,463 10	110 6020	\$414,757.50	\$6,296.88	\$11,407.81	\$27,562.50
Acquired 11/09/11 S nc	150,000				165,903 00					
Acquired 12/07/11 S nc	125,000				138,252 50					
Total	1.27	375,000		\$408,460.62 \$408,793.75	110.6020	\$414,757.50	\$6,296.88	\$11,407.81	\$27,562.50	6.65
NAPA VLY CA UNI SCH DIST BUILD AMER BDS SER B G/O BIE TXBL CPN 8 100% DUE 08/01/44 DTD 08/04/09 FC 02/01/10 CALL 08/01/19 @ 100 000 Moody AA2, S&P AA- CUSIP 630361Z97 Acquired 07/28/09 L nc	0 36	100,000	102 55 103 10	102,556 55 103,107 00	116 6170	116,617 00	14,060 45	3,352.50	8,100 00	6 94
Total Taxable Municipal Bonds	73.95	21,575,000		\$22,260,977.53 \$22,328,943.80		\$24,202,168.20	\$1,941,190.67	\$400,598.87	\$1,368,856.90	5.66
Total Fixed Income Securities	87.36			\$26,480,570.91 \$26,550,625.12		\$28,592,550.05	\$2,111,979.14	\$464,746.97	\$1,569,108.15	5.49

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS



FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 7

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
GOLDMAN SACHS - HEDGE FUNDS	1,000,000.	966,725.
GOLDMAN SACHS - PRIVATE EQUITY	935,363.	935,363.
WF I - STOCK - ATT. 7.1	926,329.	791,908.
WF I - MUTUAL FUNDS - ATT. 7.2	186,074.	139,484.
WF I - PREFERRED - ATT. 7.3	3,325,952.	2,625,995.
WF II - STOCK - ATT. 7.4	3,251,642.	2,411,912.
WF II - MUTUAL FUNDS- ATT. 7.5	8,381,764.	7,990,671.
TOTALS	<u>18,007,124.</u>	<u>15,862,058.</u>

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Additional information			
	THIS PERIOD	THIS YEAR	
Accrued interest on sales	11,048 87	41,418.02	Gross proceeds
Accrued interest on purchases	-3,343 23	-85,178.42	
Expense tracking summary			
1	THIS PERIOD 532,250 00	THIS YEAR 1,099,774.00	Unspecified
			Total
			567,410 00
			1,666,460.00

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CITIGROUP INC NEW									
C - HELD IN MARGIN									
Acquired 01/18/08 L nc	0.35	4.384	34.21	149,999.52	26,3100	115,343.04	-34,656.48	175.36	0.15



KLEIN FAMILY FOUNDATION
ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
FORD MOTOR COMPANY									
F									
Acquired 02/25/11 S		9,100	14.94	135,986.76		97,916.00	-38,070.76		
Acquired 02/25/11 S		600	14.93	8,965.56		6,456.00	-2,509.56		
Acquired 02/25/11 S		300	14.93	4,482.93		3,228.00	-1,254.93		
Total	0.33	10,000		\$149,435.25	10.7600	\$107,600.00	-\$41,835.25	\$2,000.00	1.86
GOLDMAN SACHS GROUP INC									
GS									
Acquired 08/10/11 S		2,000	113.50	227,036.00		180,860.00	-46,176.00		
Acquired 09/30/11 S		1,000	96.00	96,036.00		90,430.00	-5,606.00		
Total	0.83	3,000		\$323,072.00	90.4300	\$271,290.00	-\$51,782.00	\$4,200.00	1.55
UNITED STATES STEEL CORP									
NEW									
X									
Acquired 08/10/11 S		7,500	29.00	217,536.00		198,450.00	-19,086.00		
Acquired 09/28/11 S		3,750	23.00	86,286.00		99,225.00	12,939.00		
Total	0.91	11,250		\$303,822.00	26.4600	\$297,675.00	-\$6,147.00	\$2,250.00	0.76
Total Stocks and ETFs	2.42			\$926,328.77		\$791,908.04	-\$134,420.73	\$8,625.36	1.09
Total Stocks, options & ETFs	2.42			\$926,328.77		\$791,908.04	-\$134,420.73	\$8,625.36	1.09

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.



**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Mutual Funds

Mutual fund shares are priced at net asset value Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return

Closed End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
NUVEEN MULTI-STRATEGY INCOME AND GROWTH FUND									
JPC - HELD IN MARGIN									
Acquired 12/27/05 L nc		3,400	11 90	38,652 84		27,234 00	-11,418 84		
Acquired 12/27/05 L nc		500	11 91	5,689 20		4,005 00	-1,684 20		
Acquired 12/27/05 L nc		1,500	11 91	17,067 69		12,015 00	-5,052 69		
Acquired 12/27/05 L nc		2,100	11 92	23,915 76		16,821 00	-7,094 76		
Total	0.18	7,500		\$85,325.49	8.0100	\$60,075.00	-\$25,250.49	\$5,700.00	9.49
WESTERN ASSET HIGH INC OPPORTUNITY FUND INC									
HIO - HELD IN MARGIN									
On Reinvestment									
Acquired UNKNOWN O nc		168	6 81	1,144 83		1,036 56	-108 27		
Acquired 12/19/00 L nc		10,000	8 32	83,276 00		61,699 90	-21,576 10		
Reinvestments L nc		1,659 17300	5 97	9,905 87		10,237 14	331 27		
Reinvestments S nc		1,043 04700	6 15	6,421 72		6,435 65	13 93		
Total	0.24	12,870.22000		\$100,748.42	6.1700	\$79,409.25	-\$21,339.17	\$6,563.81	8.27
Client Investment (Excluding Reinvestments)									
						\$84,420 83			
Gain/Loss on Client Investment (Including Reinvestments)						-\$5,011 58			
Total Closed End Mutual Funds	0.43			\$186,073 91		\$139,484.25	-\$46,589.66	\$12,263.81	8.79
Total Mutual Funds	0.43			\$186,073.91		\$139,484.25	-\$46,589.66	\$12,263.81	8.79

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN INTL GRP 7 70% DUE 12/18/2062 CALL STARTING 12/18/12 AVF - HELD IN MARGIN Acquired 09/24/08 L nc	0.29	4,000	6.19	24,768.80	23.3900	93,560.00	68,791.20	7,700.00	8.23
AMERICAN INTNL GRP 6.45% JR SUB NTS DUE 06/15/77 CALL STARTING 6/15/12 AFF - HELD IN MARGIN Acquired 05/31/07 L nc	0.50	8,000	25.00	200,000.00	20.3100	162,480.00	-37,520.00	12,896.00	7.93
BAC CAP TRUST X 6.25% DUE 3/29/2055 CALL START 03/29/2011 BAC'B - HELD IN MARGIN Acquired 03/21/06 L nc	0.34	6,000	25.00	150,000.00	18.6800	112,080.00	-37,920.00	9,378.00	8.36
BARCLAY BANK PLC 7.75% NON CUM PERPETUAL PFD CALL STARTING 3/15/2013 BCSC Acquired 11/30/07 L nc	0.32	5,000	25.00	125,000.00	21.0800	105,400.00	-19,600.00	9,690.00	9.19
CBS CORP 6.75% SR NOTE DUE 3/27/2056 CALL STARTING 3/27/2012 CPV - HELD IN MARGIN Acquired 03/20/07 L nc	0.31	4,000	25.00	100,000.00	25.4100	101,640.00	1,640.00	6,752.00	6.64
CITIGROUP CAP XV 6.50% DUE 9/15/66 CALL STARTING 9/15/11 C'U - HELD IN MARGIN Acquired 09/07/06 L nc	0.27	4,000	25.00	100,000.00	21.7900	87,160.00	-12,840.00	6,500.00	7.45
CITIGROUP CAP XVI 6.45% DUE 12/31/2066 CALL STARTING 12/31/2011 C'W - HELD IN MARGIN Acquired 11/15/06 L nc	0.26	4,000	25.00	100,000.00	21.4800	85,920.00	-14,080.00	6,448.00	7.50



KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CITIGROUP CAPITAL X 6 1% TRUPS DUE 9/30/33 CALLABLE 9/30/08 C'R - HELD IN MARGIN Acquired 09/05/03 L nc	0 26	4,000	25 00	100,000.00	21 1100	84,440 00	-15,560 00	6,100 00	7 22
FIFTH THIRD CAP V 7 25% DUE 08/15/67 CALL STARTING 08/15/12 FTBA - HELD IN MARGIN Acquired 08/01/07 L nc	0 31	4,000	25 00	100,000 00	25 3000	101,200 00	1,200 00	7,252 00	7 16
GOLDMAN SACHS GRP 6 20% NON-CUM PERP PFD CALL STARTING 11/10/10 GSB - HELD IN MARGIN Acquired 10/21/05 L nc	0 29	4,000	25 00	100,000 00	24 0100	96,040 00	-3,960 00	6,200 00	6 45
HSBC HOLDING PLC 8 125% NON-CUM PERP PFD CALL STARTING 4/15/2013 HCS - HELD IN MARGIN Acquired 04/02/08 L nc	0 47	6,000	25 00	150,000 00	25 7500	154,500 00	4,500 00	12,186 00	7 88
JP MORGAN CHASE 8 625% NON-CUM PERPETUAL MAT CALLABLE START 09/01/13 JPM1 - HELD IN MARGIN Acquired 08/14/08 L nc	0 51	6,000	25 00	150,000 00	27 6000	165,600.00	15,600 00	12,937 80	7 81
LEHMAN BROS HLDG 7 95% NON-CUM PERP PFD CALL STARTING 2/15/2013 LEHJQ - HELD IN MARGIN Acquired 02/05/08 L nc Acquired 02/05/08 L nc	10,000 5,000		25 00 25 00	250,000 00 125,000.00		50 00 25 00	-249,950 00 -124,975 00		
Total	0 00	15,000		\$375,000.00	0.0050	\$75.00	-\$374,925.00	N/A	N/A
MERRILL LYNCH 6 45% CAP TR DUE 12/15/2086 CALL STARTING 12/15/11 MER'K - HELD IN MARGIN Acquired 12/07/06 L nc	0 23	4,000	25 00	100,000 00	18 6000	74,400 00	-25,600 00	6,450 00	8 66

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
MERRILL LYNCH 6.45% PFD CAP TR II DUE 06/15/62 VARIABLE/CALLABLE MERM - HELD IN MARGIN Acquired 04/25/07 L nc Acquired 10/30/07 L nc	6,000 3,000	25.00 22.88	150,000.00 68,040.00			111,960.00 55,980.00	-38,040.00 -12,060.00		
Total	0.51	9,000		\$218,040.00	18.6600	\$167,940.00	-\$50,100.00	\$14,508.00	8.64
MERRILL LYNCH 7.375% PFD CAP TR III DUE 9/15/2062 CALL STARTING 9/15/2012 MERP - HELD IN MARGIN Acquired 08/15/07 L nc	4,000	25.00	100,000.00		21.4600	85,840.00	-14,160.00	7,376.00	8.59
MORGAN STANLEY 6.60% TR PFD CAP VI DUE 2/1/46 CALL STARTING 2/1/11 MSJ - HELD IN MARGIN Acquired 01/19/06 L nc	4,000	25.00	100,000.00		21.7100	86,840.00	-13,160.00	6,600.00	7.60
MORGAN STANLEY 6.45% PFD CAP TRUST VIII 4/15/2067 CALL STARTING 7/15/12 MSK - HELD IN MARGIN Acquired 04/19/07 L nc	8,000	25.00	200,000.00		21.7600	174,080.00	-25,920.00	12,900.00	7.41
PRUDENTIAL PLC 6.50% PERPETUAL EXCH CAP SEC CALL STARTING 9/23/10 PUK'A - HELD IN MARGIN Acquired 07/12/05 L nc	4,000	25.00	100,000.00		25.3600	101,440.00	1,440.00	6,500.00	6.40
RBS CAP FDG TR V 5.9%PFD GTD TR SECS RBS'E - HELD IN MARGIN Acquired 06/26/03 L nc Acquired 07/30/07 L nc Acquired 10/30/07 L nc	5,000 2,000 3,000	25.00 22.00 21.38	125,000.00 44,003.00 64,140.00			45,500.00 18,200.00 27,300.00	-79,500.00 -25,803.00 -36,840.00		
Total	0.28	10,000		\$233,143.00	9.1000	\$91,000.00	-\$142,143.00	N/A	N/A
ROYAL BK SCOTLAND 5.75% SER L NON CUM PFD CALLABLE 9/30/09 RBS'L - HELD IN MARGIN Acquired 06/16/03 L nc	4,000	25.00	100,000.00		14.7500	59,000.00	-41,000.00	5,752.00	9.74



WELLS
FARGO

ADVISORS

20.837

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KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Preferreds/Fixed Rate Cap Securities

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
WELLS FARGO & CO 8% PFD CALLABLE 12/15/17 SERIES J WFCJ - HELD IN MARGIN Acquired 12/18/07 L nc	0.69	8,000	25.00	200,000.00	28.4300	227,440.00	27,440.00	16,000.00	7.03
WELLS FARGO CAP 7.875% CAP XII DUE 3/15/68 CALL STARTING 3/15/2013 BWF - HELD IN MARGIN Acquired 03/05/08 L nc	0.64	8,000	25.00	200,000.00	25.9900	207,920.00	7,920.00	15,749.60	7.57
Total Preferreds/Fixed Rate Cap Securities	8.02			\$3,325,951.80		\$2,625,995.00	-\$699,956.80	\$195,875.40	7.46

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

Bank Deposit Sweep Allocation

Monies on deposit at each bank, together with any other deposits held in the same insurable capacity at each bank, are eligible for FDIC insurance up to \$250,000 per depositor, per bank in accordance with FDIC rules. These assets are not held in your securities brokerage account and therefore are not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2 PM ET on the last business day of the month. If you have questions about your sweep option, including rates, please contact Your Financial Advisor.

DESCRIPTION	CURRENT VALUE	AS OF	
		VALUE	DATE
WELLS FARGO BANK, N A	250,000.00		12/30
WELLS FARGO BANK SOUTH CENTRAL, N A	250,000.00		12/30
WELLS FARGO BANK NORTHWEST, N A	78,941.64		12/30
Total Bank Deposits	\$578,941.64		

WSJ60WT

000125 365195135216 N23NN NNNNNN NNNNNNNN 000031

001 PNK3 PNAO

Attachment 7.3 (4/4)

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 6180-3558

Additional information

Gross proceeds

THIS PERIOD	THIS YEAR
0.00	2,372,274.83

Portfolio detail**Cash and Sweep Balances**

Bank Deposit Sweep - Consists of monies held at Wells Fargo Bank, N.A. and (if amounts exceed \$250,000) at one or more other Wells Fargo affiliated banks. These assets are not covered by SIPC, but are instead eligible for FDIC insurance of up to \$250,000 per depositor, per institution, in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account, please contact Your Financial Advisor.

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
Cash	-1.68	0.00	-175,000.00	0.00
BANK DEPOSIT SWEEP	0.17	0.08	17,319.09	13.85
Interest Period 12/01/11 - 12/31/11				

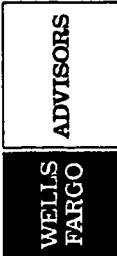
Total Cash and Sweep Balances -1.52 **-\$157,680.91** **\$13.85**

* APYE measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield earned is expressed as an annualized rate, based on a 365 day year.

Stocks, options & ETFs**Stocks and ETFs**

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
ALCATEL-LUCENT ADR									
ALU									
Acquired 08/30/00 L nc		44,485.40	172.52	7,671.52		69.40	-7,602.12		
Acquired 09/19/00 L nc		214,622.60	141.15	30,281.26		334.81	-29,946.45		
Acquired 11/21/03 L nc		585,334.50	15.68	9,175.84		913.12	-8,262.72		
Acquired 12/08/05 L nc		975,557.50	14.37	14,018.64		1,521.87	-12,496.77		
Total	0.03	1,820		\$61,147.26	1.5600	\$2,839.20	-\$58,308.06	N/A	N/A
AMERICAN WTS									
INTERNATIONAL GROUP INC									
EXP 01/19/21									
AIGWS									
Acquired 01/20/11 S nc	0.02	347	16.29	5,652.63	5.5100	1,911.97	-3,740.66	N/A	N/A





KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 6180-3558

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
AMERICAN INTL GROUP INC									
AIG									
Acquired 10/25/04 L nc		200	1,112.00	222,610.00		4,640.00	-217,970.00		
Acquired 11/21/07 L nc		25	1,034.20	25,880.00		580.00	-25,300.00		
Acquired 01/22/08 L nc		100	1,003.00	100,393.00		2,320.00	-98,073.00		
Acquired 11/11/08 L nc		325	42.60	13,909.35		7,540.00	-6,369.35		
Total	0.14	650		\$362,792.35	23.2000	\$15,080.00	-\$347,712.35	N/A	N/A
AOL INC									
AOL									
Acquired 12/19/00 L nc		30,161.28	74.45	2,245.11		455.44	-1,789.67		
Acquired 12/26/00 L nc		6,032.26	67.27	405.75		91.09	-314.66		
Acquired 11/21/03 L nc		36,193.55	26.34	953.35		546.52	-406.83		
Acquired 11/16/04 L nc		114,612.91	29.41	3,369.91		1,730.65	-1,639.26		
Total	0.03	187		\$6,974.12	15.1000	\$2,823.70	-\$4,150.42	N/A	N/A
CITIGROUP INC NEW									
C									
Acquired 10/22/07 L nc		201	422.50	85,018.98		5,288.31	-79,730.67		
Acquired 10/22/07 L nc		100	423.20	42,360.00		2,631.00	-39,729.00		
Acquired 01/22/08 L nc		500	239.00	119,650.00		13,155.00	-106,495.00		
Acquired 08/12/09 L nc		40	39.39	1,577.08		1,052.40	-524.68		
Acquired 08/12/09 L nc		960	39.40	37,858.56		25,257.60	-12,600.96		
Acquired 01/24/11 S		1,800	48.70	87,696.00		47,358.00	-40,338.00		
Total	0.91	3,601		\$374,160.62	26.3100	\$94,742.31	-\$279,418.31	\$144.04	0.15
FINANCIAL SELECT ET									
SECTOR SPDR									
XLF									
On Reinvestment									
Acquired 01/22/08 L nc		15,000	24.91	373,887.00		194,999.85	-178,887.15		
Acquired 03/06/08 L nc		5,000	24.30	121,584.00		65,000.00	-56,584.00		
Acquired 06/27/08 L nc		5,000	20.80	104,050.00		65,000.00	-39,050.00		
Acquired 10/24/08 L nc		3,500	14.00	49,000.00		45,500.00	-3,500.00		
Acquired 11/18/08 L nc		3,250	11.80	38,366.58		42,250.00	3,883.42		
Acquired 01/30/09 L nc		5,000	9.65	48,290.00		65,000.00	16,710.00		
Acquired 10/29/09 L nc		10,000	14.46	144,636.00		130,000.10	-14,635.90		
Reinvestments L nc		960,867.00	14.40	13,842.40		12,491.29	-1,351.11		
Reinvestments S nc		782,022.00	13.83	10,818.87		10,166.31	-652.56		
Total	6.06	48,492,889.00		\$904,474.85	13.0000	\$630,407.55	-\$274,067.30	\$10,910.90	1.73

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 6180-3558

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
GENERAL ELECTRIC COMPANY									
GE									
Acquired 10/22/08 L nc		1,300	19.11	24,843.00		23,283.00	-1,560.00		
Acquired 11/11/08 L nc		1,000	17.87	17,878.00		17,910.00	32.00		
Acquired 09/08/10 L nc		3,059	15.74	48,170.07		54,786.69	6,616.62		
Acquired 09/08/10 L nc		941	15.75	14,820.75		16,853.31	2,032.56		
Total	1.08	6,300		\$105,711.82	17.9100	\$112,833.00	\$7,121.18	\$4,284.00	3.80
HEWLETT-PACKARD COMPANY									
HPQ									
Acquired 12/26/00 L nc		2,000	31.00	62,200.00		51,519.98	-10,680.02		
Reinvestments L nc		4,11400	38.89	160.00		105.99	-54.01		
Total	0.50	2,004.11400		\$62,360.00	25.7600	\$51,625.97	-\$10,734.03	\$961.97	1.86
HOVNANIAN ENTERPRISES									
INC CL A									
HOV									
Acquired 07/07/08 L nc	0.07	5,000	4.75	23,900.00	1.4500	7,250.00	-16,650.00	N/A	N/A
INTEL CORP									
INTC									
Acquired 12/30/04 L nc	0.23	1,000	23.38	23,430.00	24.2500	24,250.00	820.00	840.00	3.46
ISHARES FTSE CHINA 25									
INDEX FUND									
FXI									
On Reinvestment									
Acquired 10/24/08 L nc		1,100	22.09	24,299.44		38,356.99	14,057.55		
Reinvestments L nc		32,07600	40.77	1,307.79		1,118.49	-189.30		
Reinvestments S nc		21,09900	41.11	867.52		735.73	-131.79		
Total	0.39	1,153.17500		\$26,474.75	34.8700	\$40,211.21	\$13,736.46	\$882.17	2.19
ISHARES MSCI BRAZIL									
INDEX									
EWZ									
On Reinvestment									
Acquired 10/24/08 L nc		550	30.27	16,651.80		31,564.49	14,912.69		
Reinvestments L nc		59,97800	72.87	4,370.89		3,442.13	-928.76		
Reinvestments S nc		16,21100	67.60	1,095.92		930.36	-165.56		
Total	0.35	626.18900		\$22,118.61	57.3900	\$35,936.98	\$13,818.37	\$942.41	2.62





KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 6180-3558

Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ISHARES TR -RUSSELL									
2000 INDEX FD									
IWM									
On Reinvestment									
Acquired 03/14/07 L nc		600	76.22	45,693.70		44,249.99	-1,443.71		
Acquired 10/27/08 L nc		1,000	45.83	45,838.00		73,750.00	27,912.00		
Acquired 11/20/08 L nc		600	40.98	24,588.00		44,250.01	19,662.01		
Reinvestments L nc		97 03500	64.42	6,251.17		7,156.33	905.16		
Reinvestments S nc		31 73900	74.91	2,377.71		2,340.75	-36.96		
Total	1.65	2,328.77400		\$124,748.58	73.7500	\$171,747.08	\$46,998.50	\$2,398.63	1.40
JPMORGAN CHASE & CO									
JPM									
Acquired 08/30/00 L nc		185	53.45	9,970.00		6,151.25	-3,818.75		
Acquired 09/19/00 L nc		849	46.97	39,976.00		28,229.25	-11,746.75		
Acquired 09/07/07 L nc		1,000	43.33	43,389.00		33,250.00	-10,139.00		
Acquired 01/08/08 L nc		1,000	39.62	39,660.00		33,250.00	-6,410.00		
Acquired 01/22/08 L nc		1,000	38.88	38,928.50		33,250.00	-5,678.50		
Total	1.29	4,034		\$171,923.50	33.2500	\$134,130.50	-\$37,793.00	\$4,034.00	3.01
MICROSOFT CORP									
MSFT									
Acquired 12/19/00 L nc		1,000	23.53	23,527.04		25,960.00	2,432.96		
Acquired 11/11/08 L nc		1,000	21.19	21,198.00		25,960.00	4,762.00		
Total	0.50	2,000		\$44,725.04	25.9600	\$51,920.00	\$7,194.96	\$1,600.00	3.08
NORTEL NETWORKS CORP									
NRTLQ									
Acquired 12/30/04 L nc		1,242	35.31	43,842.60	0.0165	20.49	-43,822.11	N/A	N/A
PEPSICO INCORPORATED									
PEP									
Acquired 01/27/06 L nc		300	56.00	16,815.00		19,905.00	3,090.00		
Acquired 07/22/08 L nc		2,700	66.13	178,596.36		179,144.97	548.61		
Reinvestments L nc		24 48800	55.12	1,350.00		1,624.80	274.80		
Total	1.93	3,024.48800		\$196,761.36	66.3500	\$200,674.77	\$3,913.41	\$6,230.44	3.10
POWERSHARES QQQ TR ET									
SERIES 1									
QQQ									
On Reinvestment									
Acquired 10/24/08 L nc		1,625	29.50	47,937.50		90,723.73	42,786.23		

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 6180-3558

Stocks, options & ETFs

Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 11/19/08 L nc		1,000	27.00	27,000.00		55,830.01	28,830.01		
Reinvestments L nc		23,40700	46.67	1,092.50		1,306.81	214.31		
Reinvestments S nc		26,68200	56.88	1,517.82		1,489.66	-28.16		
Total	1.44	2,675.08900		\$77,547.82	55.8300	\$149,350.21	\$71,802.39	\$1,238.56	0.83
PROSHARES TR ET									
ULTRA FINANCIALS NEW									
NON-TRADITIONAL									
UYG									
On Reinvestment									
Acquired 01/30/09 L nc		1,500	35.10	52,650.00		66,554.99	13,904.99		
Reinvestments L nc		8,30820	51.94	431.60		368.64	-62.96		
Reinvestments S nc		4,78600	46.61	223.12		212.35	-10.77		
Total	0.65	1,513.09420		\$53,304.72	44.3700	\$67,135.98	\$13,831.26	\$223.93	0.33
PULTE GROUP INC									
PHM									
Acquired 10/19/07 L nc		4,000	13.73	55,083.20		25,240.00	-29,843.20		
Acquired 11/21/07 L nc		4,000	10.09	40,515.20		25,240.00	-15,275.20		
Acquired 07/11/08 L nc		1,600	8.42	13,520.00		10,096.00	-3,424.00		
Acquired 07/15/08 L nc		2,400	8.42	20,280.00		15,144.00	-5,136.00		
Total	0.73	12,000		\$129,398.40	6.3100	\$75,720.00	-\$53,678.40	N/A	N/A
SPDR DOW JONES INDL ET									
AVERAGE ETF TR									
DIA									
On Reinvestment									
Acquired 10/27/08 L nc		400	82.54	33,017.60		48,740.00	15,722.40		
Acquired 11/20/08 L nc		325	76.00	24,700.00		39,601.25	14,901.25		
Reinvestments L nc		60,37500	102.97	6,216.85		7,356.70	1,139.85		
Reinvestments S nc		29,74400	118.98	3,539.16		3,624.30	85.14		
Total	0.95	815.11900		\$67,473.61	121.8500	\$99,322.25	\$31,848.64	\$2,421.71	2.44
SPDR S&P MIDCAP 400 ET									
TRUST SERIES N									
MDY									
On Reinvestment									
Acquired 10/24/08 L nc		500	90.25	45,125.00		79,745.00	34,620.00		
Acquired 10/27/08 L nc		500	88.62	44,311.00		79,745.00	35,434.00		
Acquired 11/20/08 L nc		300	80.00	24,000.00		47,846.99	23,846.99		
Reinvestments L nc		18,08200	132.38	2,393.72		2,883.89	490.17		





KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 6180-3558Stocks, options & ETFs
Stocks and ETFs continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S nc		12 65100	171.43	2,168.85		2,017.72	-151.13		
Total	2.04	1,330.73300		\$117,998.57	159.4900	\$212,238.60	\$94,240.03	\$2,279.54	1.07
SPDR S&P 500 TRUST ET SPY									
On Reinvestment									
Acquired 11/20/08 L nc		325	79.00	25,675.00		40,787.49	15,112.49		
Reinvestments L nc		9 66400	110.02	1,063.30		1,212.84	149.54		
Reinvestments S nc		6 38500	129.78	828.65		801.31	-27.34		
Total	0.41	341.04900		\$27,566.95	125.5000	\$42,801.64	\$15,234.69	\$878.54	2.05
TIME WARNER CABLE TWC									
Acquired 12/19/00 L nc		83 54840	130.51	10,888.63		5,311.17	-5,577.46		
Acquired 12/26/00 L nc		16 70970	117.93	1,967.88		1,062.24	-905.64		
Acquired 11/21/03 L nc		100 25810	46.18	4,623.71		6,373.41	1,749.70		
Acquired 11/16/04 L nc		317 48380	51.55	16,343.83		20,182.44	3,838.61		
Total	0.32	518		\$33,824.05	63.5700	\$32,929.26	-\$894.79	\$994.56	3.02
TIME WARNER INC NEW TWX									
Acquired 12/19/00 L nc		333 22580	92.54	30,829.92		12,042.78	-18,787.14		
Acquired 12/26/00 L nc		66 64520	83.62	5,571.81		2,408.56	-3,163.25		
Acquired 11/21/03 L nc		399 87100	32.74	13,091.51		14,451.33	1,359.82		
Acquired 11/16/04 L nc		1,266 25800	36.55	46,275.71		45,762.57	-513.14		
Total	0.72	2,066		\$95,768.95	36.1400	\$74,665.24	-\$21,103.71	\$1,942.04	2.60
UNITED TECHNOLOGIES CORP UTX									
Acquired 01/22/08 L nc	0.53	750	66.99	50,272.50	73.0900	54,817.50	4,545.00	1,440.00	2.62
VODAFONE GROUP PLC SPONSORED ADR NEW VOD									
Acquired 12/19/00 L nc	0.24	875	42.61	37,288.00	28.0300	24,526.25	-12,761.75	1,262.62	5.14
Total Stocks and ETFs	23.19			\$3,251,641.66		\$2,411,911.66	-\$839,730.00	\$45,910.06	1.90
Total Stocks, options & ETFs	23.19			\$3,251,641.66		\$2,411,911.66	-\$839,730.00	\$45,910.06	1.90

nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 6180-3558

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return
If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions

Open End Mutual Funds

Open End mutual funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CAPITAL INCOME BLD R									
CL A									
CAIBX									
On Reinvestment									
Acquired 01/24/05 L nc		3,838.77200	52.10	200,000.00		188,944.32	-11,055.68		
Acquired 06/22/05 L nc		0.00400	52.74	0.21		0.20	-0.01		
Acquired 09/27/05 L nc		0.00400	53.94	0.21		0.20	-0.01		
Acquired 12/23/05 L nc		0.00600	52.93	0.31		0.30	-0.01		
Acquired 12/23/05 L nc		0.00200	52.93	0.10		0.10	0.00		
Acquired 12/27/05 L nc		0.00400	52.93	0.21		0.20	-0.01		
Acquired 06/21/06 L nc		0.00400	54.31	0.21		0.20	-0.01		
Acquired 09/21/06 L nc		0.00400	58.11	0.23		0.20	-0.03		
Acquired 12/26/06 L nc		0.00900	60.76	0.54		0.44	-0.10		
Acquired 12/27/06 L nc		0.00400	60.76	0.24		0.20	-0.04		
Acquired 03/22/07 L nc		0.00400	61.41	0.24		0.20	-0.04		
Acquired 09/25/07 L nc		0.00400	65.85	0.26		0.20	-0.06		
Acquired 11/15/07 L nc		1,527.18400	65.48	100,000.00		75,168.00	-24,832.00		
Acquired 12/26/07 L nc		0.00400	61.61	0.24		0.20	-0.04		
Acquired 12/26/07 L nc		0.01900	61.61	1.17		0.94	-0.23		
Acquired 12/27/07 L nc		0.00400	61.61	0.24		0.20	-0.04		
Acquired 01/08/08 L nc		1,625.75200	61.51	100,000.00		80,019.51	-19,980.49		
Acquired 06/23/08 L nc		0.00500	57.07	0.28		0.25	-0.03		
Acquired 09/26/08 L nc		0.00500	52.28	0.26		0.25	-0.01		
Acquired 12/29/08 L nc		0.00600	40.69	0.24		0.30	0.06		
Acquired 12/30/08 L nc		0.00700	40.69	0.28		0.34	0.06		
Acquired 03/23/09 L nc		0.00600	37.17	0.22		0.30	0.08		
Reinvestments L nc		1,726.77500	51.63	89,161.30		84,991.89	-4,169.41		
Reinvestments S nc		374.93400	49.24	18,462.28		18,454.21	-8.07		
Total	4.30	9,093.52200		\$507,629.27	49.2200	\$447,583.15	-\$60,046.12	\$17,004.88	3.80
Client Investment (Excluding Reinvestments)								\$400,005.69	
Gain/Loss on Client Investment (Including Reinvestments)								\$47,577.46	



KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
CAPITAL WORLD GROWTH & INCOME FD INC CLASS A									
CWGIX									
On Reinvestment									
Acquired 02/15/06 L nc		5,485.46700	37.60	206,253.56		176,193.15	-30,060.41		
Acquired 03/13/07 L nc		2,430.72400	41.14	100,000.00		78,074.85	-21,925.15		
Acquired 10/19/07 L nc		2,069.53600	48.32	100,000.00		66,473.50	-33,526.50		
Acquired 11/15/07 L nc		5,226.84500	47.83	250,000.00		167,886.26	-82,113.74		
Acquired 01/08/08 L nc		2,292.00100	43.63	100,000.00		73,619.10	-26,380.90		
Reinvestments L nc		3,713.17600	37.44	139,055.55		119,267.22	-19,788.33		
Reinvestments S nc		600.42500	33.88	20,348.36		19,285.66	-1,062.70		
Total	6.74	21,818.17400		\$915,657.47	32.1200	\$700,799.74	-\$214,857.73	\$17,454.53	2.49
Client Investment (Excluding Reinvestments)									
						\$756,253.56			
				Gain/Loss on Client Investment (Including Reinvestments)		-\$55,453.82			
COLUMBIA ACORN SELECT									
FUND CLASS A									
LTFAX									
On Reinvestment									
Reinvestments S nc	0.03	145.71600	26.04	3,795.89	22.9500	3,344.18	-451.71	55.95	1.67
FIDELITY									
ADVISOR NEW INSIGHTS FD									
CLASS A									
FNIAX									
On Reinvestment									
Acquired 01/17/06 L nc		5,668.93400	17.64	100,000.00		111,791.32	11,791.32		
Acquired 01/20/06 L nc		5,790.38800	17.27	100,000.00		114,186.45	14,186.45		
Acquired 02/23/06 L nc		5,750.43100	17.39	100,000.00		113,398.50	13,398.50		
Acquired 12/29/06 L nc		5,443.65800	18.37	100,000.00		107,348.94	7,348.94		
Acquired 01/22/08 L nc		7,820.64700	19.18	150,000.00		154,223.16	4,223.16		
Acquired 06/03/08 L nc		4,873.29400	20.52	100,000.00		96,101.36	-3,898.64		
Reinvestments L nc		850.87400	20.24	17,227.11		16,779.27	-447.84		
Reinvestments S nc		66.00500	20.84	1,375.72		1,301.63	-74.09		
Total	6.87	36,264.23100		\$668,602.83	19.7200	\$715,130.63	\$46,527.80	N/A	N/A
Client Investment (Excluding Reinvestments)									
						\$650,000.00			
				Gain/Loss on Client Investment (Including Reinvestments)		\$65,130.63			

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
INTEGRITY FUNDS									
WILLISTON BASIN/MID NRTH									
AMERICA STK FD CL A									
ICPAX									
On Reinvestment									
Acquired 06/13/11 S nc	6.13	117,700.73000	5.48	645,000.00	5.4200	637,937.95	-7,062.05	N/A	N/A
IVY FDS INC									
ASSET STRATEGY FD CL A									
WASAX									
On Reinvestment									
Acquired 06/03/08 L nc		3,668.37900	27.26	100,000.00		81,658.08	-18,341.92		
Acquired 06/18/08 L nc		3,657.64400	27.34	100,000.00		81,419.16	-18,580.84		
Reinvestments L nc		1,061.13500	17.80	18,890.63		23,620.89	4,730.26		
Reinvestments S nc		103.42000	22.73	2,351.76		2,302.13	-49.63		
Total	1.82	8,490.57800		\$221,242.39	22.2600	\$189,000.26	-\$32,242.13	\$2,377.36	1.26
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$200,000.00			
						-\$10,999.74			
MUTUAL SER FD INC									
EUROPEAN FD CLASS Z									
MEURX									
On Reinvestment									
Acquired 12/19/00 L nc		42,213.69100	15.20	641,648.10		799,949.44	158,301.34		
Acquired 12/21/00 L nc		11,270.32900	15.17	170,970.89		213,572.73	42,601.84		
Acquired 06/26/01 L nc		40.77300	15.86	646.66		772.65	125.99		
Acquired 06/26/01 L nc		194.59800	15.86	3,086.32		3,687.63	601.31		
Acquired 12/18/01 L nc		1,622.66200	14.12	22,911.99		30,749.44	7,837.45		
Acquired 05/21/10 L nc		5,128.20500	19.50	100,040.00		97,179.48	-2,860.52		
Reinvestments L nc		34,319.92400	21.93	752,667.35		650,362.56	-102,304.79		
Reinvestments S nc		3,998.78500	18.53	74,128.73		75,776.99	1,648.26		
Total	18.00	98,788.96700		\$1,766,100.04	18.9500	\$1,872,050.92	\$105,950.88	\$76,462.66	4.08
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$939,303.96			
						\$932,746.96			
MUTUAL SER FD INC									
GLBL DISCOVERY FUND CL Z									
MDISX									
On Reinvestment									
Acquired 12/19/00 L nc		23,484.57500	18.55	435,638.86		645,121.28	209,482.42		



WELLS
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KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 06/27/01 L nc		201.74000	19.77	3,988.40		5,541.80	1,553.40		
Acquired 06/27/01 L nc		207.52700	19.77	4,102.81		5,700.76	1,597.95		
Acquired 06/27/01 L nc		304.05700	19.77	6,011.21		8,352.45	2,341.24		
Acquired 12/18/01 L nc		2,086.44500	17.66	36,846.62		57,314.62	20,468.00		
Reinvestments L nc		12,438.45900	25.39	315,867.53		341,684.47	25,816.94		
Reinvestments S nc		1,787.29100	26.72	47,761.92		49,096.90	1,334.98		
Total	10.70	40,510.09400		\$850,217.35	27.4700	\$1,112,812.28	\$262,594.93	\$22,361.57	2.01
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$486,587.90			
						\$626,224.38			
DAVIS FINANCIAL FUND									
CL A									
RPFGX									
On Reinvestment		6,375.92500	39.21	250,000.00		168,069.32	-81,930.68		
Acquired 01/04/08 L nc		3,888.02500	38.58	150,000.00		102,488.34	-47,511.66		
Acquired 01/08/08 L nc		2,748.00800	36.39	100,000.00		72,437.49	-27,562.51		
Acquired 06/03/08 L nc		10,992.03100	36.39	400,000.00		289,749.94	-110,250.06		
Acquired 12/30/11 S nc		6,638.84700	26.36	175,000.00		175,000.05	0.05		
Reinvestments L nc		3,176.91200	18.28	58,095.70		83,743.41	25,647.71		
Reinvestments S nc		2,612.82700	26.07	68,142.52		68,874.12	731.60		
Total	9.23	36,432.57500		\$1,201,238.22	26.3600	\$960,362.67	-\$240,875.55	\$17,232.60	1.79
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$1,075,000.00			
						-\$114,637.33			
NUVEEN TRADEWINDS VAL									
OPPORTUNITIES FD									
CLASS A									
NVOAX									
On Reinvestment		7,479.86200	34.76	260,000.00		227,013.74	-32,986.26		
Acquired 06/10/11 S nc		692.22500	30.45	21,082.06		21,009.10	-72.96		
Reinvestments S nc									
Total	2.38	8,172.08700		\$281,082.06	30.3500	\$248,022.84	-\$33,059.22	\$6,153.58	2.48
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$260,000.00			
						-\$11,977.16			

KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
OPPENHEIMER DEVELOPING									
MKTS FDS CLASS A									
ODMAX									
On Reinvestment									
Acquired 01/17/06 L nc		2,617.80100	38.20	100,000.00		76,753.90	-23,246.10		
Acquired 01/20/06 L nc		2,636.43600	37.93	100,000.00		77,300.30	-22,699.70		
Reinvestments L nc		5,264.87300	22.91	120,657.92		154,366.09	33,708.17		
Reinvestments S nc		197.19800	29.92	5,900.17		5,781.86	-118.31		
Total	3.02	10,716.30800		\$326,558.09	29.3200	\$314,202.15	-\$12,355.94	\$6,011.84	1.91
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$200,000.00			
						\$114,202.15			
OPPENHEIMER INTL SMALL									
CO FD CL A									
OSMAX									
On Reinvestment									
Acquired 07/10/07 L nc		1,420.85800	35.19	50,000.00		26,527.40	-23,472.60		
Acquired 08/16/07 L nc		897.02200	27.87	25,000.00		16,747.40	-8,252.60		
Acquired 10/19/07 L nc		2,874.38900	34.79	100,000.00		53,664.84	-46,335.16		
Acquired 11/15/07 L nc		2,975.30500	33.61	100,000.00		55,548.94	-44,451.06		
Acquired 12/17/07 L nc		1,928.26800	25.93	50,000.00		36,000.76	-13,999.24		
Acquired 01/04/08 L nc		1,884.65900	26.53	50,000.00		35,186.58	-14,813.42		
Acquired 01/22/08 L nc		3,488.37200	21.50	75,000.00		65,127.91	-9,872.09		
Acquired 08/12/08 L nc		2,941.17600	17.00	50,000.00		54,911.76	4,911.76		
Reinvestments L nc		4,422.98700	24.40	107,942.26		82,577.17	-25,365.09		
Reinvestments S nc		1,150.41100	18.09	20,810.94		21,478.19	667.25		
Total	4.30	23,983.44700		\$628,753.20	18.6700	\$447,770.95	-\$180,982.25	\$21,848.92	4.88
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$500,000.00			
						-\$52,229.05			
ROYCE FUND									
VALUE PLUS FUND									
SERVICE CLASS									
RYVPX									
On Reinvestment									
Acquired 09/08/10 L nc		17,873.10100	11.19	200,050.00		214,477.03	14,427.03		
Reinvestments L nc		46.24200	13.18	609.47		554.95	-54.52		
Reinvestments S nc		120.26600	11.88	1,429.96		1,443.32	13.36		



KLEIN FAMILY FOUNDATION #2

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 6180-3558

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	2.08	18,039.60900		\$202,089.43	12.0000	\$216,475.30	\$14,385.87	\$1,443.16	0.67
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$200,050.00			
						\$16,425.30			
Total Open End Mutual Funds	75.61			\$8,217,966.24		\$7,865,493.02	-\$352,473.22	\$188,407.05	2.40

nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Closed End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
THE INDIA FUND INC									
IFN									
On Reinvestment									
Acquired 03/05/07 L nc		1,250	36.10	45,125.00		23,799.99	-21,325.01		
Acquired 01/30/09 L nc		3,000	17.89	53,697.90		57,120.00	3,422.10		
Acquired 08/21/09 L nc		1,416	N/A##	37,410.72		26,960.64	-10,450.08		
Reinvestments L nc		13 13200	38.83	509.94		250.03	-259.91		
Reinvestments S nc		895 31900	30.21	27,054.25		17,046.88	-10,007.37		
Total	1.20	6,574.45100		\$163,797.81	19.0400	\$125,177.54	-\$38,620.27	\$131.48	0.11
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)						\$136,233.62			
						-\$11,056.08			
Total Closed End Mutual Funds	1.20			\$163,797.81		\$125,177.54	-\$38,620.27	\$131.48	0.11
Total Mutual Funds	76.81			\$8,381,764.05		\$7,990,670.56	-\$391,093.49	\$188,538.53	2.36

Cost information for one or more securities is not available If you have cost information and would like to see it on future statements, contact Your Financial Advisor
nc Cost information for this tax lot is not covered by IRS reporting requirements Unless indicated, cost for all other lots will be reported to the IRS

Activity detail by date

DATE	ACCOUNT TYPE	TRANSACTION/ CHECK NUMBER	QUANTITY	DESCRIPTION	PRICE	AMOUNT	CASH AND SWEEP BALANCES
12/01				BEGINNING BALANCE			15,613.85

ATTACHMENT 8FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
WF I- CORPORATE BONDS-ATT. 8.1	1,584,373.	1,554,223.
TOTALS	<u>1,584,373.</u>	<u>1,554,223.</u>

KLEIN FAMILY FOUNDATION ACCOUNT #1

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
LEHMAN BROS HOLDINGS INC ^										
GLOBAL NOTES										
CPN 6 625% DUE 01/18/12										
DTD 01/10/02 FC 07/18/02										
Moody NR , S&P NR										
CUSIP 52517PSC6										
Acquired 05/22/02 L nc	0 02	25,000	100.01 102 23	25,003 63 25,564 00	25 5000	6,375 00	-18,628.63	N/A	N/A	N/A
NEXTEL COMMUNICATIONS										
SERIES E										
CALLABLE										
CPN 6 875% DUE 10/31/13										
DTD 04/30/05 FC 10/31/05										
Moody B1 , S&P B+										
CUSIP 65332VBH5										
Acquired 10/06/05 L nc	0 40	130,000	100 00 108 37	130,000 00 140,887 50	99 8750	129,837 50	-162.50	1,489 58	8,937 50	6 88
HSBC FINANCE CORP										
NOTES										
CPN 5 500% DUE 01/19/16										
DTD 01/19/06 FC 07/19/06										
Moody A3 , S&P A										
CUSIP 40429CFN7										
Acquired 10/24/08 L nc	0 53	170,000	90 26 84.08	153,444 14 142,942 80	102 7330	174,646 10	21,201 96	4,181 53	9,350 00	5 35
GENERAL ELEC CAP CORP										
STEP UP NOTES										
CALLABLE										
CPN 6 000% DUE 02/13/19										
DTD 02/13/04 FC 08/13/04										
CALL 02/13/12 @ 100.000										
Moody AA2 , S&P AA+										
CUSIP 36962GF74										
Acquired 10/25/04 L nc		100,000	100 99	100,996 00		99,975 00	-1,021 00			
Acquired 01/07/05 L nc		100,000	100 40	100,437 00		99,975 00	-462 00			
Acquired 01/18/05 L nc		25,000	100 00	25,001 75		24,993 75	-8 00			



KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER 1594-0357Fixed Income Securities
Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	MARKET VALUE	CURRENT VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
										ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 01/18/05 L nc		65,000	100 40	65,264 55		64,983 75		-280 80			
Acquired 01/28/05 L nc		36,000	100 39	36,142 92		35,991 00		-151 92			
Total	1.00	326,000		\$327,842.22	99.9750	\$325,918.50	\$325,918.50	-\$1,923.72	\$7,443.67	\$19,560.00	6.00
CHES & POT TEL MD											
DEB											
CALLABLE 5/01/13 @100											
CPN 7 150% DUE 05/01/23											
DTD 05/01/93 FC 11/01/93											
CALL 05/01/13 @ 100.000											
Moody WR , S&P A-											
CUSIP 165069AS4											
Acquired 01/09/06 L nc		100,000	101 14	101,141 59		106,382 00		5,240 41			
			105 25	105,250 00							
Acquired 01/09/06 L nc		30,000	101 14	30,342 79		31,914 60		1,571 81			
			105 25	31,575 00							
Total	0.42	130,000		\$131,484.38	106.3820	\$138,296.60	\$138,296.60	\$6,812.22	\$1,523.35	\$9,295.00	6.72
LEHMAN BROTHERS HOLDINGS											
LEHMAN NOTES											
MONTHLY PAY - CALLABLE											
CPN 6 100% DUE 08/12/23											
DTD 08/12/03 FC 09/12/03											
Moody NR , S&P NR											
CUSIP 52519FBN0											
Acquired 11/23/04 L nc		50,000	100 00	50,000 00	24 5000	12,250 00		-37,750 00	N/A	N/A	N/A
			103 52	51,760 50							
GENERAL ELEC CAP CORP											
STEP CPN MED TERM NOTES											
SERIES A/CALLABLE											
CPN 5 500% DUE 04/30/24											
DTD 04/30/04 FC 10/30/04											
CALL 04/30/12 @ 100 000											
Moody AA2 , S&P AA+											
CUSIP 36962GH98											
Acquired 12/07/04 L nc		50,000	101 00	50,505 00		50,812 50		307 50			
Acquired 12/31/04 L nc		10,000	100 88	10,088 20		10,162 50		74 30			
Acquired 04/12/05 L nc		43,000	98 50	42,355 00		43,698 75		1,343 75			
Acquired 06/13/05 L nc		18,000	100 00	18,000 00		18,292 50		292 50			

**KLEIN FAMILY FOUNDATION
ACCOUNT #1**

DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 1594-0357

Fixed Income Securities
Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
Acquired 08/09/05 L nc		54,000	99 25	53,595 00		54,877 50	1,282 50			
Acquired 02/28/06 L nc		25,000	96 00	24,000 00		25,406 25	1,406 25			
Acquired 04/09/07 L nc		50,000	97 00	48,500 00		50,812 50	2,312 50			
Total	0.78	250,000		\$247,043.20	101.6250	\$254,062.50	\$7,019.30	\$2,291.67	\$13,750.00	5.41
GENERAL ELEC CAP CORP										
STEP COUPON MEDIUM TERM										
NOTES SERIES A CALLABLE										
CPN 5.250% DUE 11/05/24										
DTD 11/05/04 FC 05/05/05										
CALL 05/05/12 @ 100 000										
Moody AA2, S&P AA+										
CUSIP 36962GL93										
Acquired 01/24/05 L nc		50,000	98 31	49,159 50		50,093.50	934.00			
Acquired 02/15/07 L nc		25,000	95 05	23,762 50		25,046 75	1,284 25			
Total	0.23	75,000		\$72,922.00	100.1870	\$75,140.25	\$2,218.25	\$601.56	\$3,937.50	5.24
REPUBLIC NY CAPITAL I										
NOTES										
CALLABLE										
CPN 7.750% DUE 11/15/26										
DTD 05/15/97 FC 11/15/97										
CALL 11/15/12 @ 101 460										
Moody A3, S&P BBB+										
CUSIP 760715AB8										
Acquired 02/21/07 L nc	0.75	240,000	101 78 103 79	244,280 56 249,100 80	102 2040	245,289 60	1,009 04	2,325 00	18,600 00	7 58
BANK OF AMERICA										
SUBORDINATE INTERNOTES										
CALLABLE/SEMI-ANNUAL PAY										
CPN 6.750% DUE 05/15/27										
DTD 05/16/02 FC 11/15/02										
CALL 05/15/12 @ 100 000										
Moody BAA2, S&P BBB+										
CUSIP 06050XFS7										
Acquired 08/12/03 L nc	0.10	35,000	100 00 103 35	35,000 00 36,173 20	92 6120	32,414 20	-2,585 80	295 31	2,362 50	7.28



WELLS
FARGO

ADVISORS

20,799

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KLEIN FAMILY FOUNDATION
ACCOUNT #1DECEMBER 1 - DECEMBER 31, 2011
ACCOUNT NUMBER: 1594-0357Fixed Income Securities
Corporate Bonds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
SLM CORP EDNOTES MONTHLY PAY - CALLABLE CPN 6.000% DUE 12/15/28 DTD 09/11/03 FC 10/15/03 Moody BA1, S&P BBB- CUSIP 78490FFY0 Acquired 03/17/04 L nc	0.09	40,000	101.39 102.03	40,557.78 40,814.00	75.7360	30,294.40	-10,263.38	100.00	2,400.00	7.92
PACIFIC BELL DEBENTURE EXTRAORDINARY CALL CPN 6.625% DUE 10/15/34 DTD 10/15/93 FC 04/15/94 CALL 10/15/13 @ 101.120 Moody A2, S&P A- CUSIP 694032AX1 Acquired 02/09/06 L nc	0.40	125,000	101.43 102.25	126,795.76 127,812.50	103.7590	129,698.75	2,902.99	1,725.26	8,281.25	6.38
Total Corporate Bonds	4.75	1,596,000		\$1,584,373.67 \$1,599,687.72		\$1,554,223.40	-\$30,150.27	\$21,976.93	\$96,473.75	6.21

* Denotes bonds with a maturity date in the next 60 days. Please contact us for further investment opportunities or any assistance.
nc Cost information for this tax lot is not covered by IRS reporting requirements. Unless indicated, cost for all other lots will be reported to the IRS.

ATTACHMENT 9

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
LIPPER CONVERTIBLES	2,446.	2,446.
LONGVIEW FUND	145,469.	145,469.
TOTALS	<u>147,915.</u>	<u>147,915.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 10

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
DIVIDENDS RECEIVABLE	1,257.	1,257.
CAP GAINS DISTR RECEIVABLE	2,104.	2,104.
LOANS & EXCHANGE	215,000.	215,000.
TOTALS	218,361.	218,361.

KLEIN FAMILY FOUNDATION
FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>DATE</u>	<u>DIRECT PUBLIC SUPPORT</u>
SARAH DINA KLEIN IRREVOCABLE TRUST 614 AVENUE J BROOKLYN, NY 11230	12/31/2011	215,000.
TOTAL CONTRIBUTION AMOUNTS		215,000.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
ABRAHAM KLEIN 614 AVENUE J BROOKLYN, NY 11230	TRUSTEE	0	0	0
SARA DINAH KLEIN 614 AVENUE J BROOKLYN, NY 11230	TRUSTEE	0	0	0
GRAND TOTALS		<u>0</u>	<u>0</u>	<u>0</u>

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 13

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	NONE TAX EXEMPT			
SEE ATTACHMENT 13.1			CHARITABLE	1,676,275.
			TOTAL CONTRIBUTIONS PAID	1,676,275.

KLEIN FAMILY FOUNDATION
EIN # 13-0092608
CONTRIBUTIONS - 2011

Recipient Name	Recipient Address	Amount	Relationship	Purpose	Status
American Friends of Yeshiva Talmud Torah Kinyat Malach	29 South Parker Drive	Monsey New York 10952	1,800 00	NONE	CHARITABLE TAX EXEMPT
A.F. of Daas Zekalnim	1303 53rd Street #159	Brooklyn New York 11219	360 00	NONE	CHARITABLE TAX EXEMPT
Agudas Israel of Flatbush South Special Fund	2141 Coney Island Avenue	Brooklyn New York 11219	2 500 00	NONE	CHARITABLE TAX EXEMPT
Agudath Israel of America	42 Broadway, 14th Floor	New York New York 10004	5 000 00	NONE	CHARITABLE TAX EXEMPT
Ahavas Chesed (International Medical & Emergency Lifeline)	720 Lefferts Avenue	Brooklyn New York 11203	360 00	NONE	CHARITABLE TAX EXEMPT
Ahavas Chesed (International Medical & Emergency Lifeline)	720 Lefferts Avenue	Brooklyn New York 11203	500 00	NONE	CHARITABLE TAX EXEMPT
American Friends of Hamesorah	8233 Grenfell St	Kew Gardens New York 11415	500 00	NONE	CHARITABLE TAX EXEMPT
American Friends of Tiffere Moshe Betzelei	1368 44th Street	Brooklyn New York 11219	12 500 00	NONE	CHARITABLE TAX EXEMPT
American Friends of Tiffere Moshe Betzelei	1368 44th Street	Brooklyn New York 11219	100 000 00	NONE	CHARITABLE TAX EXEMPT
American Friends of Tiffere Moshe Betzelei	1368 44th Street	Brooklyn New York 11219	100 000 00	NONE	CHARITABLE TAX EXEMPT
American Friends of Tiffere Moshe Betzelei	1368 44th Street	Brooklyn New York 11219	18 000 00	NONE	CHARITABLE TAX EXEMPT
American Friends of Tiffere Moshe Betzelei	1368 44th Street	Brooklyn New York 11219	12 500 00	NONE	CHARITABLE TAX EXEMPT
American Friends of Yeshivas Meharash Engel	1513 41st Street	Brooklyn New York 11219	250 00	NONE	CHARITABLE TAX EXEMPT
Bais David	972 45th Street	Brooklyn New York 11219	180 00	NONE	CHARITABLE TAX EXEMPT
Bais HaMadrash and Mesveta of Baltimore	6823 Old Pimlico Road	Baltimore Maryland 21209	500 00	NONE	CHARITABLE TAX EXEMPT
Bais Yaakov of Ger	1975 51st Street	Brooklyn New York 11204	1 600 00	NONE	CHARITABLE TAX EXEMPT
Bais Yaakov of Ger	1975 51st Street	Brooklyn New York 11204	2 000 00	NONE	CHARITABLE TAX EXEMPT
Beth Jacob Teachers Seminary and High School of America	4409 15th Ave #4421	Brooklyn New York 11219	2 500 00	NONE	CHARITABLE TAX EXEMPT
Bonei Olam	1755 46th Street	Brooklyn New York 11204	1 000 00	NONE	CHARITABLE TAX EXEMPT
Business Halacha Institute	114 East 2nd Street	Brooklyn New York 11230	15 000 00	NONE	CHARITABLE TAX EXEMPT
Business Halacha Institute	114 East 2nd Street	Brooklyn New York 11230	10 000 00	NONE	CHARITABLE TAX EXEMPT
Chai Lifeline	151 West 30th Street	New York New York 10001	1 000 00	NONE	CHARITABLE TAX EXEMPT
Chaim V Chesed Inc	169 Hewes Street, Apt. 10	Brooklyn New York 11211	750 00	NONE	CHARITABLE TAX EXEMPT
Chesed L Abraham Inc	P.O. Box 615	Monsey New York 10952	500 00	NONE	CHARITABLE TAX EXEMPT
Chofetz Chaim Heritage Foundation	361 Spook Rock Road	Suffern New York 10901	360 00	NONE	CHARITABLE TAX EXEMPT
Chumash Sorosi Bamidinos	1157 East 9th Street	Brooklyn New York 11230	500 00	NONE	CHARITABLE TAX EXEMPT
Congregation Achmei Yisrael	179 South 8th Street	Brooklyn New York 11211	180 00	NONE	CHARITABLE TAX EXEMPT
Congregation Adas Yosef	1825 59th Street	Brooklyn New York 11204	1 800 00	NONE	CHARITABLE TAX EXEMPT
Congregation B M Z	1015 44th Street	Brooklyn New York 11219	500 00	NONE	CHARITABLE TAX EXEMPT
Congregation Beer Moshe	1557 47th Street	Brooklyn New York 11219	4 500 00	NONE	CHARITABLE TAX EXEMPT
Congregation Chaim V Chesed	157 Bates Drive	Monsey New York 10952	180 00	NONE	CHARITABLE TAX EXEMPT
Congregation Chaim V Chesed	458 8th Street	Lakewood New Jersey 08701	250 00	NONE	CHARITABLE TAX EXEMPT
Congregation Darg	814 East 3rd Street	Brooklyn New York 11218	1 000 00	NONE	CHARITABLE TAX EXEMPT
Congregation K.C. Rachmistrivka Inc.	6 Horizon Court	Monsey New York 10952	1 000 00	NONE	CHARITABLE TAX EXEMPT
Congregation Kedushas Hatorah	1565 48th Street	Brooklyn New York 11219	360 00	NONE	CHARITABLE TAX EXEMPT
Congregation Khal Chasidai Gur	4214 16th Avenue	Brooklyn New York 11204	180 00	NONE	CHARITABLE TAX EXEMPT
Congregation Kiruv Krumm	1317 East 8th Street	Brooklyn New York 11230	360 00	NONE	CHARITABLE TAX EXEMPT
Congregation Macher Avrum	112 Webster Avenue	Brooklyn New York 11230	250 00	NONE	CHARITABLE TAX EXEMPT
Congregation Menachem Tilon	928 44th Street	Brooklyn New York 11204	1 000 00	NONE	CHARITABLE TAX EXEMPT
Congregation Meor Yisrael	1821 48th Street	Brooklyn New York 11219	1 000 00	NONE	CHARITABLE TAX EXEMPT
Congregation Meor Yisrael	1821 48th Street	Brooklyn New York 11219	400 00	NONE	CHARITABLE TAX EXEMPT
Congregation Meor Yisrael	1821 48th Street	Brooklyn New York 11219	1 200 00	NONE	CHARITABLE TAX EXEMPT
Congregation Meor Yisrael	199 Lee Avenue	Brooklyn New York 11211	250 00	NONE	CHARITABLE TAX EXEMPT
Congregation Mosdos Toldos Aharon	1712 57th Street	Brooklyn New York 11204	250 00	NONE	CHARITABLE TAX EXEMPT
Congregation Ohr Yeheskel	4310 16th Street	Brooklyn New York 11204	180 00	NONE	CHARITABLE TAX EXEMPT
Congregation Osh Tiedoks V Chesed	199 Lee Avenue, #246	Brooklyn New York 11211	250 00	NONE	CHARITABLE TAX EXEMPT
Congregation Pelech Yoetz	199 Lee Avenue, #246	Brooklyn New York 11211	250 00	NONE	CHARITABLE TAX EXEMPT
Congregation Pnei Menachem	4533 18th Ave	Brooklyn New York 11204	10 000 00	NONE	CHARITABLE TAX EXEMPT
Congregation Sfas Emes	1337 42nd Street	Brooklyn New York 11218	360 00	NONE	CHARITABLE TAX EXEMPT
Congregation Shevet Haleri	1254 51 Street	Brooklyn New York 11219	1 000 00	NONE	CHARITABLE TAX EXEMPT
Congregation Tzekakah V Chesed	1357 44th Street	Brooklyn New York 11219	100 00	NONE	CHARITABLE TAX EXEMPT
Congregation Tzemach Tiedek	1231 51st Street	Brooklyn New York 11219	500 00	NONE	CHARITABLE TAX EXEMPT
Congregation Yeshiva Ohr Pnei Yehoshua	287 Hewes Street	Brooklyn New York 11211	250 00	NONE	CHARITABLE TAX EXEMPT
Congregation Yeshiva Ohr Pnei Yehoshua	287 Hewes Street	Brooklyn New York 11211	250 00	NONE	CHARITABLE TAX EXEMPT
Congregation Zichron Avraham	1401 55th Street	Brooklyn New York 11219	500 00	NONE	CHARITABLE TAX EXEMPT
Congregation Zichron Meir Tzvi	1415 59th Street	Brooklyn New York 11219	4 000 00	NONE	CHARITABLE TAX EXEMPT
Congregation Zichron Meir Tzvi	1415 59th Street	Brooklyn New York 11219	4 750 00	NONE	CHARITABLE TAX EXEMPT
Congregation Ziv Yisroel	4910 16th Avenue	Brooklyn New York 11204	250 00	NONE	CHARITABLE TAX EXEMPT
Congregation Ziv Yisroel	4910 16th Avenue	Brooklyn New York 11204	180 00	NONE	CHARITABLE TAX EXEMPT
Education Opportunity Fund	900 Lakewood Avenue	Lakewood New Jersey 08701	1 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Bais Yisroel	4601 19th Avenue	Brooklyn New York 11204	1 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	180 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	10 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	50 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	5 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	5 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	12 500 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	3 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	5 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	5 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	180 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	1 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	146 429 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	3 600 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	500 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	5 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	5 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	3 600 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	12 500 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	10 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	7 800 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	250 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	100 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	100 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	5 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	1 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	100 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	18 000 00	NONE	CHARITABLE TAX EXEMPT
Friends of Masdal Goor	1310 48th Street	Brooklyn New York 11219	1 800 00	NONE	CHARITABLE TAX EXEMPT
Friends of Realm	1066 East 2nd Street	Brooklyn New York 11230	1 800 00	NONE	CHARITABLE TAX EXEMPT
Institute for Dayanim	25625 Southfield Road, Suite 107	Southfield Michigan 48075	1 000 00	NONE	CHARITABLE TAX EXEMPT
Kahilas Yosef Pupa	1217 40th Street	Brooklyn New York 11218	250 00	NONE	CHARITABLE TAX EXEMPT
Keren Ahavas Chesed	211 Beach 17th Street	Far Rockaway New York 11691	250 00	NONE	CHARITABLE TAX EXEMPT
Keren Ezra	11 Gwen Lane	Monsey New York 10952	250 00	NONE	CHARITABLE TAX EXEMPT
Keren Zichron Gedalyahu	593 East 8th Street	Brooklyn New York 11218	6 000 00	NONE	CHARITABLE TAX EXEMPT
Keren Zichron Gedalyahu	529 East 8th Street	Brooklyn New York 11218	6 000 00	NONE	CHARITABLE TAX EXEMPT
Khal Adas Yosef	1825 59th Street	Brooklyn New York 11204	1 000 00	NONE	CHARITABLE TAX EXEMPT
Khal Adas Yosef	1825 59th Street	Brooklyn New York 11204	1 000 00	NONE	CHARITABLE TAX EXEMPT
Khal Torah Chaim	5228 New Utrecht Avenue	Brooklyn New York 11219	250 00	NONE	CHARITABLE TAX EXEMPT
Kiryas Joel Volunteer E.M.S. Inc	51 Forest Road, Suite 375	Monroe New York 10905	1 800 00	NONE	CHARITABLE TAX EXEMPT

KLEIN FAMILY FOUNDATION
EIN # 13-4092608
CONTRIBUTIONS 2011

Recipient Name	Recipient Address	Amount	Relationship	Purpose	Status
Koliel Chermdas Hatorah	1621 43rd Street	Brooklyn New York 11204	180.00	NONE	CHARITABLE TAX EXEMPT
Koliel Avraham D Chassidai Gur	1573 51st Street	Brooklyn New York 11219	4,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Avraham D Chassidai Gur	1573 51st Street	Brooklyn New York 11219	7,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Avraham of Brooklyn	5321 12th Avenue	Brooklyn New York 11219	360.00	NONE	CHARITABLE TAX EXEMPT
Koliel Chasedai Gur	1573 51st Street	Brooklyn New York 11219	7,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Chasedai Gur	1573 51st Street	Brooklyn New York 11219	1,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Chasedai Gur	1573 51st Street	Brooklyn New York 11219	7,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Chasedai Gur	1573 51st Street	Brooklyn New York 11219	7,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Chasedai Gur	1573 51st Street	Brooklyn New York 11219	7,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Chasedai Gur	1573 51st Street	Brooklyn New York 11219	7,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Chasedai Gur	1573 51st Street	Brooklyn New York 11219	3,600.00	NONE	CHARITABLE TAX EXEMPT
Koliel Chasedai Gur	1573 51st Street	Brooklyn New York 11219	7,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Chasedai Gur	1573 51st Street	Brooklyn New York 11219	1,800.00	NONE	CHARITABLE TAX EXEMPT
Koliel Chasedai Gur	1573 51st Street	Brooklyn New York 11219	1,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Chasedai Gur	1573 51st Street	Brooklyn New York 11210	360.00	NONE	CHARITABLE TAX EXEMPT
Koliel Kiryat Ata	3011 Avenue L	Brooklyn New York 11210	360.00	NONE	CHARITABLE TAX EXEMPT
Koliel Yad Chaim Mordechai	2573 Larchmont Drive	Brooklyn New York 11211	180.00	NONE	CHARITABLE TAX EXEMPT
Kupas Ezra Karen Etziel Yechiel	645 Bedford Avenue	Brooklyn New York 11219	360.00	NONE	CHARITABLE TAX EXEMPT
Kupas Hef Kerem	1331 49th Street	Brooklyn New York 11219	5,000.00	NONE	CHARITABLE TAX EXEMPT
Kupat Ezer Nisum "Bais Yisroel"	1274 49th Street #169	Brooklyn New York 11219	5,000.00	NONE	CHARITABLE TAX EXEMPT
Kupat Ezer Nisum "Bais Yisroel"	1274 49th Street #169	Brooklyn New York 11219	5,000.00	NONE	CHARITABLE TAX EXEMPT
Kupat Ezer Nisum "Bais Yisroel"	1274 49th Street #169	Brooklyn New York 11219	3,600.00	NONE	CHARITABLE TAX EXEMPT
Kupat Rambahn Koliel Polen	1349 49th Street	Brooklyn New York 11219	500.00	NONE	CHARITABLE TAX EXEMPT
Kupat Rambahn Koliel Polen	1349 49th Street	Brooklyn New York 11219	100,000.00	NONE	CHARITABLE TAX EXEMPT
Kupat Rambahn Koliel Polen	1349 49th Street	Brooklyn New York 11219	500.00	NONE	CHARITABLE TAX EXEMPT
Machane Ger	2040 53rd Street	Brooklyn New York 11204	1,800.00	NONE	CHARITABLE TAX EXEMPT
Machzikei Hadath Rabbincal College	5407 16th Avenue	Brooklyn New York 11204	10,000.00	NONE	CHARITABLE TAX EXEMPT
Machzikei Hadath Rabbincal College	5407 16th Avenue	Brooklyn New York 11204	10,000.00	NONE	CHARITABLE TAX EXEMPT
Manhigut Yehudit	P O Box 241	Cedarhurst New York 11516	1,000.00	NONE	CHARITABLE TAX EXEMPT
Merchaz Hatorah Vehachinuch	128 Parkville Avenue	Brooklyn New York 11230	1,000.00	NONE	CHARITABLE TAX EXEMPT
Mesivta Bais Yisroel	5407 16th Avenue	Brooklyn New York 11204	5,000.00	NONE	CHARITABLE TAX EXEMPT
Mesivta Bais Yisroel	5407 16th Avenue	Brooklyn New York 11204	5,000.00	NONE	CHARITABLE TAX EXEMPT
Mesivta Bais Yisroel	5407 16th Avenue	Brooklyn New York 11204	280.00	NONE	CHARITABLE TAX EXEMPT
Mesivta Bais Yisroel	5407 16th Avenue	Brooklyn New York 11230	360.00	NONE	CHARITABLE TAX EXEMPT
Mesivta Yeshiva Rabbi Chaim Berlin	1585 Coney Island Avenue	Brooklyn New York 11230	4,000.00	NONE	CHARITABLE TAX EXEMPT
Mesorah Heritage Foundation	4401 Second Avenue	Brooklyn New York 11232	4,000.00	NONE	CHARITABLE TAX EXEMPT
Mesorah Heritage Foundation	4401 Second Avenue	Brooklyn New York 11232	4,000.00	NONE	CHARITABLE TAX EXEMPT
Mifal Chased Inc	1933 50th Street	Brooklyn New York 11204	2,500.00	NONE	CHARITABLE TAX EXEMPT
Mifal Chased, Inc	1933 50th Street	Brooklyn New York 11204	1,000.00	NONE	CHARITABLE TAX EXEMPT
Mifal Halacha Lemashe	5322 18th Avenue	Brooklyn New York 11204	180.00	NONE	CHARITABLE TAX EXEMPT
Mitzhalas Chasanau	5614 15th Avenue	Brooklyn New York 11219	250.00	NONE	CHARITABLE TAX EXEMPT
Mosdos Beth Yoseph Ziv	195 Division Avenue	Brooklyn New York 11211	100.00	NONE	CHARITABLE TAX EXEMPT
National Council of Young Israel	111 John Street	New York New York 10038	2,500.00	NONE	CHARITABLE TAX EXEMPT
National Council of Young Israel	111 John Street	New York New York 10038	2,500.00	NONE	CHARITABLE TAX EXEMPT
National Council of Young Israel	1750 East 18th Street	Brooklyn New York 11229	500.00	NONE	CHARITABLE TAX EXEMPT
Nefesh Academy	1763 63rd Street	Brooklyn New York 11204	1,000.00	NONE	CHARITABLE TAX EXEMPT
Press Foundation	5218 16th Ave	Brooklyn New York 11204	10,000.00	NONE	CHARITABLE TAX EXEMPT
Shuvu Chazon Avraham	4609 Bay Parkway	Brooklyn New York 11230	500.00	NONE	CHARITABLE TAX EXEMPT
Stettiner Bais Hamedrash of Ocean Parkway	4609 Bay Parkway	Brooklyn New York 11230	1,800.00	NONE	CHARITABLE TAX EXEMPT
Stettiner Bais Hamedrash of Ocean Parkway	149 Rodney Street	Brooklyn New York 11211	100.00	NONE	CHARITABLE TAX EXEMPT
The Jewish Center for Special Education	226 Sussex Avenue	Morristown New Jersey 07960	250.00	NONE	CHARITABLE TAX EXEMPT
Tiferes Bachurim	2066 East Ninth Street	Brooklyn New York 11223	500.00	NONE	CHARITABLE TAX EXEMPT
Torah Academy of Brooklyn	1618 43rd Street	Brooklyn New York 11204	200.00	NONE	CHARITABLE TAX EXEMPT
Torah Communications Network	1618 43rd Street	Brooklyn New York 11204	96.00	NONE	CHARITABLE TAX EXEMPT
Torah Communications Network	1555 48th Street	Brooklyn New York 11219	100.00	NONE	CHARITABLE TAX EXEMPT
Tredakah V chesed	1310 48th Street	Brooklyn New York 11219	18,000.00	NONE	CHARITABLE TAX EXEMPT
World Hope Inc	1329 47th Street, Apt 1	Brooklyn New York 11219	180.00	NONE	CHARITABLE TAX EXEMPT
Y&V Charities	555 Ocean Parkway	Brooklyn New York 11218	9,000.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva & Mesivta Torah Temimah	555 Ocean Parkway	Brooklyn New York 11218	4,500.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva & Mesivta Torah Temimah	1876 50th Street	Brooklyn New York 11204	500.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Chasen Soffer	5108 18th Avenue	Brooklyn New York 11204	500.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Gevocha	5108 18th Avenue	Brooklyn New York 11204	2,500.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Gevocha D'Chasedai Gur	5108 18th Avenue	Brooklyn New York 11204	2,500.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Gevocha D'Chasedai Gur	5108 18th Avenue	Brooklyn New York 11204	350.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Gevocha D'Chasedai Gur	5108 18th Avenue	Brooklyn New York 11204	5,000.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Harbotzas Torah Zichron Schneur	1049 East 15th Street	Brooklyn New York 11230	1,000.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Harbotzas Torah Zichron Schneur	1049 East 15th Street	Brooklyn New York 11230	1,000.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Simchas Chaim	1815 Avenue M	Brooklyn New York 11230	1,000.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Tal Chaim	343 Ocean Parkway	Brooklyn New York 11218	180.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Tal Chaim	343 Ocean Parkway	Brooklyn New York 11218	250.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Tiferes Naftoli	3058 Englishtown Road	Old Bridge New Jersey 08831	500.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Yagdil Torah	5110 18th Avenue	Brooklyn New York 11204	250.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Yagdil Torah	5110 18th Avenue	Brooklyn New York 11204	500.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Yagdil Torah	5110 18th Avenue	Brooklyn New York 11204	6,000.00	NONE	CHARITABLE TAX EXEMPT
Yeshiva Yagdil Torah	5110 18th Avenue	Brooklyn New York 11204	25,000.00	NONE	CHARITABLE TAX EXEMPT
Yeshivah of Brooklyn	1470 Ocean Parkway	Brooklyn New York 11230	1,800.00	NONE	CHARITABLE TAX EXEMPT
Alleh Seach	Rechov Yafu 17	Jerusalem Israel 94141	1,000.00	NONE	CHARITABLE TAX EXEMPT
Avodes Chaim	22 Aish Kedesh	Beitar Illit Israel 90900	180.00	NONE	CHARITABLE TAX EXEMPT
Baeth Talmud	P O Box 1564	Bnei Brak Israel 51400	500.00	NONE	CHARITABLE TAX EXEMPT
Congregation Ner Avrohom	Rechov Malachi 4	Jerusalem Israel 95504	1,500.00	NONE	CHARITABLE TAX EXEMPT
Congregation Ner Avrohom	Rechov Malachi 4	Jerusalem Israel 95504	500.00	NONE	CHARITABLE TAX EXEMPT
Congregation Ner Avrohom	Rechov Malachi 4	Jerusalem Israel 95504	1,000.00	NONE	CHARITABLE TAX EXEMPT
Congregation Ner Avrohom	Rechov Malachi 4	Jerusalem Israel 95504	3,500.00	NONE	CHARITABLE TAX EXEMPT
Congregation Peleh Yoetz	22 Rechov Ezra	Jerusalem Israel 95276	7,200.00	NONE	CHARITABLE TAX EXEMPT
Enkatsur	Rechov Yafu 216	Jerusalem Israel 94383	1,000.00	NONE	CHARITABLE TAX EXEMPT
Friends of Tahora Hamishpaca	10 Rechov Ezras Torah	Jerusalem Israel 95320	250.00	NONE	CHARITABLE TAX EXEMPT
Hayashar Vshatov	1 Kav Yenuki Street	Jerusalem Israel 94392	104,000.00	NONE	CHARITABLE TAX EXEMPT
Hayashar Vshatov	1 Kav Yenuki Street	Jerusalem Israel 94392	118,000.00	NONE	CHARITABLE TAX EXEMPT
Homercos Hacharadi	4 Rechov Am Veolamo	Jerusalem Israel 94383	1,000.00	NONE	CHARITABLE TAX EXEMPT
Keren Shmuel	P O Box 314	Bnei Brak Israel 51400	5,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Bais Yisroel Yerusalayim	2 Rechov Raibach	Jerusalem Israel 95510	18,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Bais Yisroel Yerusalayim	2 Rechov Raibach	Jerusalem Israel 95510	18,000.00	NONE	CHARITABLE TAX EXEMPT
Koliel Bais Yisroel Yerusalayim	2 Rechov Raibach	Jerusalem Israel 95510	25,800.00	NONE	CHARITABLE TAX EXEMPT
Lshmor Chukotov	18 Hayasmin Street	Ashdod Israel 77322	500.00	NONE	CHARITABLE TAX EXEMPT
Machon Shaarel Limud	19 Rechov M Rosin	Jerusalem Israel 96925	360.00	NONE	CHARITABLE TAX EXEMPT
Meor Hauma	Kiryat Herzog, P O Box 122	Bnei Brak Israel 51400	1,000.00	NONE	CHARITABLE TAX EXEMPT
Mercor Hatorah Vchesed Neizer Rachel	Rechov Panim Meirim 17	Beitar Illit Israel 90900	500.00	NONE	CHARITABLE TAX EXEMPT
Mercor Mosdos Alexander	13 Admorel Alexander Street	Bnei Brak Israel 51341	7,500.00	NONE	CHARITABLE TAX EXEMPT
Mifal Halacha Ha artz	42 Sha ulzon Street	Jerusalem Israel 95400	180.00	NONE	CHARITABLE TAX EXEMPT
Mosdos Vitznitz	Rechov Toras Chaim 7	Bnei Brak Israel 51608	180.00	NONE	CHARITABLE TAX EXEMPT
Ohv Somaech	22 Shimon Hatzadik	Jerusalem Israel 91180	10,000.00	NONE	CHARITABLE TAX EXEMPT
Ohv Somaech	22 Shimon Hatzadik	Jerusalem Israel 91180	10,000.00	NONE	CHARITABLE TAX EXEMPT

KLEIN FAMILY FOUNDATION
EIN # 13-4092608
CONTRIBUTIONS 2011

Recipient Name	Recipient Address	Amount	Relationship	Purpose	Status			
Ohr Somaech	22 Shimon Hatzadik	Jerusalem	Israel	91180	5,000.00	NONE	CHARITABLE	TAX EXEMPT
Pri Gedolim	6 Rava Street	Ashdod	Israel	77650	1,000.00	NONE	CHARITABLE	TAX EXEMPT
Shear Hashomaim	71 Rashi St. , P O B 193	Jerusalem	Israel	94665	500.00	NONE	CHARITABLE	TAX EXEMPT
Shear Hashomaim	71 Rashi St. , P O B 193	Jerusalem	Israel	94665	500.00	NONE	CHARITABLE	TAX EXEMPT
Shear Hashomaim	71 Rashi St. , P O B 193	Jerusalem	Israel	94665	1,000.00	NONE	CHARITABLE	TAX EXEMPT
Talmud Torah Chasedai Gur	Rechov Yerimiyahu	Jerusalem	Israel	95306	5,400.00	NONE	CHARITABLE	TAX EXEMPT
Toldot Moshe	8 Avtalyon Street	Bnei Brak	Israel	51344	180.00	NONE	CHARITABLE	TAX EXEMPT
Tzidkas Ephraim	32 Chazon Ish St. , P O B 742	Bet Shemesh	Israel	99574	18,000.00	NONE	CHARITABLE	TAX EXEMPT
Tzidkas Ephraim	32 Chazon Ish St. , P O B 742	Bet Shemesh	Israel	99574	1,800.00	NONE	CHARITABLE	TAX EXEMPT
Yachad	Rechov Moshe Levi 14/52	Ashdod	Israel	77232	1,800.00	NONE	CHARITABLE	TAX EXEMPT
Yad Aharon	Rechov Sorotzkin 7	Bnei Brak	Israel	51544	180.00	NONE	CHARITABLE	TAX EXEMPT
Yagdil Torah	Haamon 16/20 Street	Ashdod	Israel	77232	360.00	NONE	CHARITABLE	TAX EXEMPT
Yeshiva Maor Yisroel	34 Meltzer Street	Bnei Brak	Israel	51529	1,800.00	NONE	CHARITABLE	TAX EXEMPT
Yeshiva Nezer Yisroel	25 HaOranim St. , P O B 90	Rishon L'Tzion	Israel	75281	1,800.00	NONE	CHARITABLE	TAX EXEMPT
Yeshiva Sfas Emes	26 Yosef Ben Matityahu Street	Jerusalem	Israel	94728	5,000.00	NONE	CHARITABLE	TAX EXEMPT
Yeshiva Sharei Tova	7 Shlomo Zalman Druk Street	Jerusalem	Israel	94665	180.00	NONE	CHARITABLE	TAX EXEMPT
Yeshiva Torah Ore	2 Panim Meirot Street	Jerusalem	Israel	94423	180.00	NONE	CHARITABLE	TAX EXEMPT
Yisvas Elyezer	Rechov Ya'avets 24	Bnei Brak	Israel	51389	360.00	NONE	CHARITABLE	TAX EXEMPT
Zechar Le Miriam	16 Tsafnia Street	Jerusalem	Israel	95783	180.00	NONE	CHARITABLE	TAX EXEMPT
					<u>\$ 1,676,275.00</u>			

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 14

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
BOOK/TAX DIFFERENCES					
NONDIVIDEND DISTRIBUTIONS					
ROYALTIES					
				200,177.	
				5,654.	
				428.	
TOTALS				206,259.	

SCHEDULE D
(Form 1041)

Capital Gains and Losses

OMB No 1545-0092

2011

Department of the Treasury
Internal Revenue Service

► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for
Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

Name of estate or trust **KLEIN FAMILY FOUNDATION**

Employer identification number

C/O ABRAHAM N. KLEIN

13-4092608

Note: Form 5227 filers need to complete **only** Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b **1b** **34,602.**

2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 **2**

3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts **3**

4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2010 Capital Loss Carryover Worksheet **4** ()

5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back **5** **34,602.**

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b **6b** **430,221.**

7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 **7**

8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts **8**

9 Capital gain distributions **9** **92,641.**

10 Gain from Form 4797, Part I **10**

11 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2010 Capital Loss Carryover Worksheet **11** ()

12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back **12** **522,862.**

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2011

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part.				
13	Net short-term gain or (loss)	13		34,602.
14	Net long-term gain or (loss):			
a	Total for year	14a		522,862.
b	Unrecaptured section 1250 gain (see line 18 of the wrksh.)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a ▶	15		557,464.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000 Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the Capital Loss Carryover Worksheet in the instructions to figure your capital loss carryover.
16	()

Part V Tax Computation Using Maximum Capital Gains Rates
Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . .	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero.	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23.	25	
26	Subtract line 25 from line 24.	26	
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30; go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (.15)	30	
31	Figure the tax on the amount on line 23. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2011 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36).	34	

Schedule D (Form 1041) 2011

OMB No 1545-0092

Department of the Treasury
Internal Revenue Service

► See instructions for Schedule D (Form 1041).

► **Attach to Schedule D to list additional transactions for lines 1a and 6a.**

2011

Name of estate or trust

KLEIN FAMILY FOUNDATION

Employer Identification number

13-4092608

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 34,602.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2011

JSA

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Annual Statement Information

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Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1
 For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

This information is provided for courtesy purposes only. Each individual taxpayer should consult with a Tax Advisor as to any additional reporting requirements or adjustments. THIS INFORMATION IS NOT VERIFIED OR GUARANTEED BY FIRST CLEARING, LLC TO BE ACCURATE FOR EACH TAXPAYER'S UNIQUE REPORTING REQUIREMENTS. THEREFORE, YOU SHOULD NEVER ASSUME THIS STATEMENT IS ACCURATE IN LIEU OF COMPLETING YOUR TAX RETURN AND CONSULTING WITH YOUR TAX ADVISOR.

Federal tax reporting requirements will create differences between the information presented here and what appears on your Form 1099-B, including but not limited to the following situations:

* Cost basis for many fixed income tax lots has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities. For securities that were purchased at an Original Issue Discount (OID), only those positions whose cost basis has been adjusted will reflect the impacts of the OID accruals on the original cost basis. The original issue discount amount reported on your Form 1099-OID is not adjusted for market discount, acquisition premium or bond premium. Therefore, the amortization and accretion adjustments used on this statement may not be consistent with the Form 1099-OID amount because the reporting requirements on the Form 1099-OID are different

* The Original Price represents the unadjusted price of the security. The Original Price can be used to calculate the original unadjusted cost of the security

* Long-term capital gains reported by a RIC or REIT appear on Form 1099-DIV only, as noted in the instructions for that form

* Lots closed due to transfers or journals will not be reflected in the Realized Gain/Loss Statement, or on the Form 1099-B

REALIZED GAIN/LOSS SUMMARY

	COVERED	NONCOVERED	TOTAL
Short term	\$26.92	\$102,647.13	\$102,674.05
Long term	\$0.00	\$100,094.15	\$100,094.15
Other term	Not applicable	\$0.00	\$0.00
Index options	Not applicable	\$0.00	\$0.00
Total - Realized Gain/Loss	\$26.92	\$202,741.28	\$202,768.20

Realized Gain/Loss Detail

Short Term	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
Description								
BURLINGAME CA ELEM SCH								
DIST TAXBLE ELEC C2 QUAL								
CONSTR DIR G/O UNLTD B/E								
CPN 5 388% DUE 08/01/19								
DTD 04/26/11 FC 02/01/12								
	X	100,000 00000	101 1793 101 2500	04/07/11	12/14/11	108,068 00	101,179.39 101,250 00	6,888 61

001 / PNK3 / PNAO

D - 1.1 (1/3)

Annual Statement Information

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Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1
 For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Short Term	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
Description									
CALIFORNIA ST VAR PURP									
G/O UNLTD B/E TAXABLE									
CPN 5 750% DUE 03/01/17									
DTD 04/01/10 FC 09/01/10		X	175,000 000000	106 9029 107 9220	11/15/10	10/12/11	191,742 25	187 080 10 188,863 50	4,662 15
CAROL STREAM IL PK DIST									
RFDG TAXABLE SER C G/O									
B/E AGM TXBL									
CPN 4 400% DUE 11/01/20									
DTD 10/15/10 FC 05/01/11		X	125,000 000000	101 2869 101 3900	09/29/10	09/15/11	129,250.00	126,608 63 126,737 50	2,641 37
CHICAGO IL TAXABLE PROJ									
SER B BUILD G/O B/E TXBL									
MWC									
CPN 7 517% DUE 01/01/40		X	100,000 000000	99,3000	02/04/11	07/13/11	114,520 00	99,300 00	15,220 00
DTD 12/14/10 FC 07/01/11		X	150,000 000000	99 9500	02/08/11	07/13/11	171,780 00	149,925 00	21,855 00
		X	100,000 000000	99 9000	02/10/11	07/13/11	114,520.00	99,900.00	14,620 00
Subtotal			350,000.000000				400,820.00	349,125.00	51,695.00
CITIGROUP INC NEW			0 60000	0 0000	05/06/11	05/06/11	26 93	0 01	26 92
ILLINOIS ST TXBL									
G/O UNLTD B/E									
OY=5 1%									
CPN 5 100% DUE 06/01/33		X	200,000 000000	77 3670 77 2500	12/13/10	03/21/11	161,014 00	154,734 08 154,500 00	6,279 92
DTD 06/12/03 FC 12/01/03		X	255,000 000000	75 9028 75 8000	12/29/10	03/21/11	205,292 85	193,552 26 193,290 00	11,740 59
		X	150,000 000000	76 0171 75 9250	01/07/11	03/21/11	120,760 50	114,025 70 113,887 50	6,734 80

Annual Statement Information

Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1
 For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Short Term	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
Description									
Subtotal			605,000.00000				487,067.35	462,312.04 461,677.50	24,755.31
KANE COOK & DU PAGE									
CNTYS IL SD #46 ELGIN									
TXBL SR A G/O LTD B/E									
CPN 5 600% DUE 01/01/20									
DTD 03/30/11 FC 07/01/11									
X			150,000.00000	101 4815 101 5750	03/09/11	12/14/11	159,345 00	152,222 27 152,362 50	7,122 73
X			50,000 00000	101 5515 101 6500	03/15/11	12/14/11	53,115 00	50,775 79 50,825 00	2,339 21
Subtotal			200,000.00000				212,460.00	202,998.06 203,187.50	9,461.94
ROSEMONT IL TAXABLE CORP									
PURP SER C G/O B/E TXBL									
CPN 4 870% DUE 12/01/19									
DTD 11/18/10 FC 06/01/11									
X			100,000 00000	101 0572 101 1500	11/10/10	10/10/11	103,600 00	101,057 25 101,150 00	2,542 75
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES							\$1,633,007.60	\$1,530,360.47 \$1,531,991.00	\$102,647.13
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES							\$26.93	\$0.01	\$26.92
Long Term									
Description		Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
ADRIAN MI BUILD AMER BD									
B DIRECT PAYMENT G/O LTD									
B/E TXBL									
CPN 5 650% DUE 05/01/23									
DTD 11/16/09 FC 05/01/10									
CALL 05/01/19 @ 100 000									
X			165,000 00000	101 1962 101 4500	10/16/09	12/06/11	175,642 50	166,973 84 167,392 50	8,668.66

Annual Statement Information

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Account Number: 6180-3558
Command Account Number: 9087460358
KLEIN FAMILY FOUNDATION #2
For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Realized Gain/Loss Detail

Short Term		Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
Description									
AMERICAN INTERNATIONAL GROUP INC EXP 01/19/21		X	0.05645	16.2900	01/20/11	01/20/11	0.90	0.91	-0.01
DAVIS NEW YORK VENTURE FUND CL A		X	211.44000	32.8200	12/02/10	06/13/11	7,254.51	6,939.46	315.05
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES									\$0.00
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES									\$6,940.37
									\$7,255.41
Long Term		Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
Description									
COLUMBIA ACORN SELECT FUND CLASS A		X	3,405.99500	29.3600	07/26/07	06/10/11	88,147.15	100,000.00	-11,852.85
		X	889.36300	28.1100	08/14/07	06/10/11	23,016.71	25,000.00	-1,983.29
		X	1,379.69100	27.1800	08/16/07	06/10/11	35,706.40	37,500.00	-1,793.60
		X	92.05300	28.1000	12/14/07	06/10/11	2,382.33	2,586.68	-204.35
		X	3,850.59700	25.9700	01/08/08	06/10/11	99,653.46	100,000.00	-346.54
		X	257.15100	25.9600	06/16/08	06/10/11	6,655.07	6,675.63	-20.56
Subtotal									-16,201.19
DAVIS NEW YORK VENTURE FUND CL A		X	2,890.17300	34.6000	01/17/06	06/13/11	99,161.83	100,000.00	-838.17
		X	2,931.69200	34.1100	01/20/06	06/13/11	100,586.35	100,000.00	586.35
		X	2,900.23200	34.4800	02/23/06	06/13/11	99,506.96	100,000.00	-493.04
		X	62.53200	37.6600	12/06/06	06/13/11	2,145.47	2,354.95	-209.48
		X	2,596.05400	38.5200	12/29/06	06/13/11	89,070.61	100,000.00	-10,929.39
		X	1,309.58600	38.1800	01/09/07	06/13/11	44,931.89	50,000.00	-5,068.11
		X	134.95200	40.2000	12/06/07	06/13/11	4,630.20	5,425.07	-794.87

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D - 1.2 (1/1)

Employer identification number

[illegible]

430,221.

JSA

Annual Statement Information

Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1
 For Banking Inquiries call: 1-888-215-3904

WELLS
FARGO

ADVISORS

Realized Gain/Loss

Short Term	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
Description									
Subtotal									
			605,000.00000				487,067.35	462,312.04 461,677.50	24,755.31
KANE COOK & DU PAGE CNTYS IL SD #46 ELGIN TXBL SR A G/O LTD B/E CPN 5 600% DUE 01/01/20 DTD 03/30/11 FC 07/01/11		X	150,000 00000	101 4815 101 5750	03/09/11	12/14/11	159,345 00	152,222 27 152,362 50	7,122 73
		X	50,000 00000	101 5515 101 6500	03/15/11	12/14/11	53,115 00	50,775 79 50,825 00	2,339 21
Subtotal			200,000.00000				212,460.00	202,998.06 203,187.50	9,461.94
ROSEMONT IL TAXABLE CORP PURP SER C G/O B/E TXBL CPN 4 870% DUE 12/01/19 DTD 11/18/10 FC 06/01/11									
		X	100,000 00000	101 0572 101 1500	11/10/10	10/10/11	103,600 00	101,057 25 101,150 00	2,542 75
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES									
							\$1,633,007.60	\$1,530,360.47 \$1,531,991.00	\$102,647.13
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES									
							\$26.93	\$0.01	\$26.92
Long Term									
Description		Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
ADRIAN MI BUILD AMER BD B DIRECT PAYMENT G/O LTD B/E TXBL CPN 5 650% DUE 05/01/23 DTD 11/16/09 FC 05/01/10 CALL 05/01/19 @ 100 000		X	165,000 00000	101 1962 101 4500	10/16/09	12/06/11	175,642 50	166,973 84 167,392 50	8,668 66

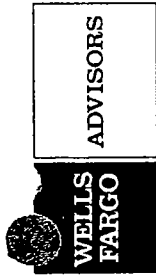
Annual Statement Information

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Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1
 For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Long Term	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
Description									
ALTOONA PA WTR AUTH SWR									
REV TAXABLE BUILD AMER									
BONDS SER B AGM									
CPN 5.434% DUE 12/01/22									
DTD 02/04/10 FC 06/01/10									
CALL 12/01/20 @ 100.000		X	200,000.00000	101.3667 101.5500	01/21/10	10/12/11	214,440.00	202,733.52 203,100.00	11,706.48
BLUE SPRINGS MO SER B									
BUILD AMER BDS ISSUER									
SUBSIDY G/O BIE TXBL									
CPN 5.890% DUE 03/01/29									
DTD 12/01/09 FC 03/01/10									
CALL 03/01/18 @ 100.000		X	100,000.00000	101.2465 101.5500	11/30/09	12/05/11	106,767.00	101,246.59 101,550.00	5,520.41
DALLAS TX STEP-UP-TXBL-									
PENSION-SER C G/O LTD									
B/E MBIA									
CPN 5.500% DUE 02/15/24									
DTD 02/16/05 FC 02/15/06									
PRE 02/15/11 @ 100.000		X	200,000.00000	100.0000	01/21/05	02/15/11	200,000.00	200,000.00	0.00
FLORIDA HSG FIN CORP REV									
HOMEOWNER MTG SER 4 AMT									
B/E GNMA/FNMA/FHLMC									
CPN 5.150% DUE 07/01/11									
DTD 08/17/06 FC 01/01/07		X	75,000.00000	82.0080 81.4000	01/07/09	07/01/11	75,000.00	61,506.06 61,050.00	13,493.94
FLORIDA HSG FIN CORP XXX									
PARTIAL CALL 34073N-06-0									
B/E GNMA/FNMA/FHLMC									
CPN 5.150% DUE 01/01/11									
DTD 08/17/06 FC 01/01/07		X	65,000.00000	81.8793 81.4000	01/07/09	01/03/11	65,000.00	53,221.59 52,910.00	11,778.41



Annual Statement Information

Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1
For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Long Term	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
Description									
GENERAL ELEC CAP CORP									
STEP CPN GLOBAL NOTES									
SEMI ANNL PAY - CALLABLE									
CPN 7.000% DUE 05/09/18									
DTD 05/09/03 FC 11/09/03									
CALL 05/09/12 @ 100.000									
		X	20,000.00000	98.7500	01/04/05	11/09/11	20,000.00	19,751.80	248.20
		X	10,000.00000	99.2500	01/26/05	11/09/11	10,000.00	9,925.80	74.20
		X	41,000.00000	98.4200	03/15/05	11/09/11	41,000.00	40,352.20	647.80
		X	20,000.00000	98.1900	04/11/05	11/09/11	20,000.00	19,638.00	362.00
		X	4,000.00000	98.6600	08/04/05	11/09/11	4,000.00	3,946.40	53.60
		X	15,000.00000	98.6200	08/05/05	11/09/11	15,000.00	14,793.00	207.00
		X	10,000.00000	98.9400	09/23/05	11/09/11	10,000.00	9,894.00	106.00
			120,000.00000				120,000.00	118,301.20	1,698.80
Subtotal									
GENERAL ELEC CAP CORP									
STEP BOND NOTES									
CALLABLE									
CPN 5.250% DUE 04/15/13									
DTD 04/15/03 FC 05/15/03									
CALL 08/15/11 @ 100.000									
		X	55,000.00000	99.9200	01/03/05	08/15/11	55,000.00	54,957.65	42.35
		X	115,000.00000	99.9500	01/13/05	08/15/11	115,000.00	114,942.50	57.50
		X	125,000.00000	99.9600	02/01/05	08/15/11	125,000.00	124,950.00	50.00
		X	47,000.00000	99.9400	02/23/05	08/15/11	47,000.00	46,972.74	27.26
		X	10,000.00000	100.1500	05/31/05	08/15/11	10,000.00	10,015.00	-15.00
		X	100,000.00000	99.9200	07/26/05	08/15/11	100,000.00	99,925.00	75.00
		X	25,000.00000	100.0800	08/04/05	08/15/11	25,000.00	25,020.50	-20.50
		X	55,000.00000	100.0800	08/05/05	08/15/11	55,000.00	55,045.10	-45.10
		X	20,000.00000	100.0800	08/08/05	08/15/11	20,000.00	20,016.40	-16.40
		X	85,000.00000	98.7800	11/21/05	08/15/11	85,000.00	83,963.00	1,037.00

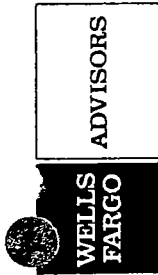
Annual Statement Information

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Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1
 For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Long Term Description	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
GENERAL ELEC CAP CORP									
STEP CPN BOND									
MONTHLY PAY - CALLABLE									
CPN 5.500% DUE 12/18/18		X	20,000.00000	98.8000	01/19/06	08/15/11	20,000.00	19,760.00	240.00
DTD 12/18/03 FC 01/18/04		X	25,000.00000	99.5000	02/28/06	08/15/11	25,000.00	24,875.00	125.00
CALL 02/18/12 @ 100.000		X	20,000.00000	99.1100	03/07/06	08/15/11	20,000.00	19,822.00	178.00
Subtotal			702,000.00000				702,000.00	700,264.89	1,735.11
GENERAL ELEC CAP CORP									
MEDIUM TERM NOTES SER A									
CALLABLE									
CPN 6.350% DUE 05/12/18		X	51,000.00000	101.5000	10/14/04	11/23/11	51,000.00	51,768.06	-768.06
DTD 05/12/06 FC 11/12/06		X	125,000.00000	100.9300	11/03/04	11/23/11	125,000.00	126,166.25	-1,166.25
CALL 05/12/12 @ 100.000			176,000.00000				176,000.00	177,934.31	-1,934.31
GENERAL ELEC CAP CORP									
SENIOR NOTES									
CPN 5.875% DUE 01/14/38									
DTD 01/14/08 FC 07/14/08		X	100,000.00000	100.0000	05/04/06	11/14/11	100,000.00	100,000.00	0.00
GENERAL ELEC CAP CORP									
GLOBAL STEP CPN NOTES									
SEMI ANNUAL PAY - CALLABLE									
CPN 6.000% DUE 05/15/15		X	200,000.00000	92.1000	08/22/08	05/20/11	205,150.00	184,210.00	20,940.00
DTD 05/15/03 FC 11/15/03									
CALL 05/15/12 @ 100.000									
GENERAL ELEC CAP CORP									
GLOBAL STEP CPN NOTES									
SEMI ANNUAL PAY - CALLABLE									
CPN 6.000% DUE 05/15/15		X	100,000.00000	100.0000	04/29/03	11/15/11	100,000.00	100,000.00	0.00
DTD 05/15/03 FC 11/15/03		X	110,000.00000	100.3600	10/21/04	11/15/11	110,000.00	110,403.70	-403.70
CALL 05/15/12 @ 100.000		X	100,000.00000	100.1400	12/03/04	11/15/11	100,000.00	100,146.00	-146.00



Annual Statement Information

Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1
For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Long Term Description	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
		X	155,000.00000	100.0800	01/04/05	11/15/11	155,000.00	155,124.00	-124.00
		X	125,000.00000	100.0200	01/13/05	11/15/11	125,000.00	125,027.50	-27.50
		X	59,000.00000	99.7800	02/16/05	11/15/11	59,000.00	58,871.97	128.03
		X	10,000.00000	98.6700	05/11/05	11/15/11	10,000.00	9,867.00	133.00
		X	15,000.00000	98.5300	07/21/05	11/15/11	15,000.00	14,779.50	220.50
		X	37,000.00000	98.8900	10/17/06	11/15/11	37,000.00	36,589.30	410.70
		X	46,000.00000	99.2700	12/28/06	11/15/11	46,000.00	45,664.20	335.80
		X	145,000.00000	99.6200	02/21/07	11/15/11	145,000.00	144,456.25	543.75
		X	12,000.00000	100.0000	04/24/07	11/15/11	12,000.00	12,000.72	-0.72
			914,000.00000				914,000.00	912,930.14	1,069.86
Subtotal									
HSBC FINANCE 6.875% PFD DUE 1/30/33									
CALLABLE 1/30/08 @ 25.00		X	1,600.00000	25.0000	01/22/03	07/15/11	40,000.00	40,000.00	0.00
ILLINOIS ST TXBL-PENSION G/O UNLTD B/E OID									
CPN 4.350% DUE 06/01/18									
DTD 06/12/03 FC 12/01/03		X	150,000.00000	98.7500	09/14/10	09/19/11	149,580.00	148,125.00	1,455.00
Subtotal									
LASALLE FUNDING LLC STEP CPN LASALLE NOTES CALLABLE SEMI ANNUAL PAY									
CPN 5.500% DUE 03/15/16		X	50,000.00000	100.0000	02/04/05	09/15/11	50,000.00	50,000.00	0.00
DTD 03/08/04 FC 09/15/04		X	30,000.00000	99.9700	02/22/05	09/15/11	30,000.00	29,991.00	9.00
CALL 03/15/12 @ 100.000			80,000.00000				80,000.00	79,991.00	9.00
Subtotal									

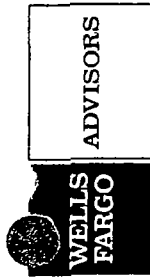
Annual Statement Information

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Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1
 For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Long Term	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
MASON CNTY WA PUB HOSP DIST #001 SR B BABS DRCT PMT G/O LTD B/E TAXABLE CPN 4 227% DUE 12/01/18 DTD 10/14/10 FC 06/01/11		X	125,000 00000	101 1290 101,2500	09/15/10	09/19/11	130,265 00	126,411 34 126,562 50	3,853 66
MISSISSIPPI HM CORP SINGLE FMLY REV SER B 2 BIE GUAR FNMA AMT CPN 6 450% DUE 06/01/11 DTD 06/25/02 FC 12/01/02		X	10,000 00000	97 3700	12/18/08	06/01/11	10,000 00	9,737 50	262 50
MISSISSIPPI HM CORP SINGLE FMLY REV SER B 2 BIE GUAR FNMA AMT CPN 6 450% DUE 07/01/11 DTD 06/25/02 FC 12/01/02		X	5,000 00000	97 3700	12/18/08	07/01/11	5,000 00	4,868 75	131 25
MISSISSIPPI HM CORP SINGLE FMLY REV SER B 2 BIE GUAR FNMA AMT CPN 6 450% DUE 12/01/11 DTD 06/25/02 FC 12/01/02		X	5,000 00000	97 3700	12/18/08	12/01/11	5,000 00	4,868 75	131 25
MISSISSIPPI HM CORP XXX PARTIAL CALL 60535M-VX-4 BIE GUAR FNMA AMT CPN 6 450% DUE 02/01/11 DTD 06/25/02 FC 12/01/02		X	5,000 00000	97 3700	12/18/08	02/01/11	5,000 00	4,868 75	131 25
NATIONAL CITY CAP 6 625% DUE 11/15/2066 CALL STARTING 11/15/11		X	5,000 00000	25,0000	10/27/06	11/15/11	125,000 00	125,000 00	0 00



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Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1
For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Long Term	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
Description									
NEW YORK ST MTG AGY RV									
SR 101 B/E LT AMT									
DTD 06/01/02 FC 10/01/02		X	5,000 00000	92 6840 92 1800	01/30/09	12/16/11	5,000 00	4,634.20 4,609 15	365 80
OHIO ST REVITALIZATION									
PROJ BUILD AMER BDS B									
B/E TAXABLE									
CPN 5 181% DUE 10/01/19									
DTD 02/18/10 FC 10/01/10		X	100,000 00000	100 8795 101 0000	02/11/10	08/10/11	111,900 00	100,879 59 101,000 00	11,020 41
PHOENIX AZ & PIMA CNTXXX									
PARTIAL CALL 71881L-AD-0									
2007-1 GNMA/FNMA/FHLMC									
CPN 5 250% DUE 01/01/11									
DTD 03/20/07 FC 05/01/07		X	2,100 00000	84 0566 83 6900	02/26/09	01/03/11	2,100 00	1,765 19 1,757 56	334 81
PHOENIX AZ & PIMA CNTXXX									
PARTIAL CALL 71881L-AD-0									
2007-1 GNMA/FNMA/FHLMC									
CPN 5 250% DUE 02/01/11									
DTD 03/20/07 FC 05/01/07		X	1,679 00000	84 0726 83 6900	02/26/09	02/01/11	1,679 00	1,411 58 1,405 21	267 42
PHOENIX AZ & PIMA CNTXXX									
PARTIAL CALL 71881L-AD-0									
2007-1 GNMA/FNMA/FHLMC									
CPN 5 250% DUE 03/01/11									
DTD 03/20/07 FC 05/01/07		X	2,193 00000	84,0898 83 6900	02/26/09	03/01/11	2,193 00	1,844.09 1,835.39	348.91

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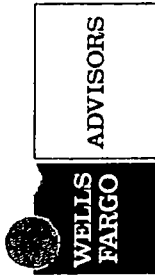
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Account Number: 1594-0357
 Command Account Number: 9086702095
 KLEIN FAMILY FOUNDATION
 ACCOUNT #1
 For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Long Term	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
PHOENIX AZ & PIMA CNTXXX PARTIAL CALL 71881L-AD-0 2007-1 GNMA/FNMA/FHLMC CPN 5 250% DUE 04/01/11 DTD 03/20/07 FC 05/01/07		X	2,502 00000	84 1075 83 6900	02/26/09	04/01/11	2,502 00	2,104 37 2,094 00	397 63
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5 250% DUE 05/01/11 DTD 03/20/07 FC 05/01/07		X	1,066 00000	84 1257 83 6900	02/26/09	05/02/11	1,066 00	896 78 892 17	169 22
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5 250% DUE 06/01/11 DTD 03/20/07 FC 05/01/07		X	1,225 00000	84 1428 83 6900	02/26/09	06/01/11	1,225 00	1,030 75 1,025 24	194 25
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5 250% DUE 07/01/11 DTD 03/20/07 FC 05/01/07		X	1,793 00000	84 1611 83 6900	02/26/09	07/01/11	1,793 00	1,509 01 1,500 62	283 99
PHOENIX AZ & PIMA CNTY INDL ATHS S/F MTG RV AMT 2007-1 GNMA/FNMA/FHLMC CPN 5 250% DUE 08/01/11 DTD 03/20/07 FC 05/01/07		X	836 00000	84 1806 83 6900	02/26/09	08/03/11	836.00	703.75 699 68	132 25



Annual Statement Information

Account Number: 1594-0357
Command Account Number: 9086702095
KLEIN FAMILY FOUNDATION
ACCOUNT #1
For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Long Term	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
Description									
PHOENIX AZ & PIMA CNTYXXX									
INDL A THS SIF MTG RV AMT									
2007-1 GNMA/FNMA/FHLMC									
CPN 5 250% DUE 08/01/38									
DTD 03/20/07 FC 05/01/07		X	33,765 00000	84 1928 83 6900	02/26/09	08/25/11	34,355 89	28,427 70 28,258 85	5,928.19
WACHOVIA 7 85% PFD									
CUM CAP TR X 12/1/2067									
CALL STARTING 12/15/2012		X	4,000 00000	25 0000	11/14/07	10/03/11	100,000 00	100,000 00	0.00
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES									
							<u>\$3,868,494.39</u>	<u>\$3,768,400.24</u>	\$100,094.15
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES							\$0.00	\$0.00	\$0.00

Annual Statement Information

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Account Number: 6180-3558
 Command Account Number: 9087460358
 KLEIN FAMILY FOUNDATION #2
 For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Realized Gain/Loss Detail

Short Term									
Description	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount	
AMERICAN INTERNATIONAL GROUP INC EXP 01/19/21	X	0.05645	16.2900	01/20/11	01/20/11	0.90	0.91	-0.01	
DAVIS NEW YORK VENTURE FUND CL A	X	211.44000	32.8200	12/02/10	06/13/11	7,254.51	6,939.46	315.05	
TOTAL SHORT TERM GAINS OR LOSSES FOR COVERED SECURITIES						\$0.00	\$0.00	\$0.00	
TOTAL SHORT TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES						\$7,255.41	\$6,940.37	\$315.04	
Long Term									
Description	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount	
COLUMBIA ACORN SELECT FUND CLASS A	X	3,405.99500	29.3600	07/26/07	06/10/11	88,147.15	100,000.00	-11,852.85	
	X	889.36300	28.1100	08/14/07	06/10/11	23,016.71	25,000.00	-1,983.29	
	X	1,379.69100	27.1800	08/16/07	06/10/11	35,706.40	37,500.00	-1,793.60	
	X	92.05300	28.1000	12/14/07	06/10/11	2,382.33	2,586.68	-204.35	
	X	3,850.59700	25.9700	01/08/08	06/10/11	99,653.46	100,000.00	-346.54	
	X	257.15100	25.9600	06/16/08	06/10/11	6,655.07	6,675.63	-20.56	
Subtotal						255,561.12	271,762.31	-16,201.19	
DAVIS NEW YORK VENTURE FUND CL A	X	2,890.17300	34.6000	01/17/06	06/13/11	99,161.83	100,000.00	-838.17	
	X	2,931.69200	34.1100	01/20/06	06/13/11	100,586.35	100,000.00	586.35	
	X	2,900.23200	34.4800	02/23/06	06/13/11	99,506.96	100,000.00	-493.04	
	X	62.53200	37.6600	12/06/06	06/13/11	2,145.47	2,354.95	-209.48	
	X	2,596.05400	38.5200	12/29/06	06/13/11	89,070.61	100,000.00	-10,929.39	
	X	1,309.58600	38.1800	01/09/07	06/13/11	44,931.89	50,000.00	-5,068.11	
	X	134.95200	40.2000	12/06/07	06/13/11	4,630.20	5,425.07	-794.87	



Annual Statement Information

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Account Number: 6180-3558
Command Account Number: 9087460358
KLEIN FAMILY FOUNDATION #2
For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Long Term Description	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
		X	5,265.92900	37.9800	01/11/08	06/13/11	180,674.04	200,000.00	-19,325.96
		X	287.62000	21.2600	12/03/08	06/13/11	9,868.24	6,114.80	3,753.44
		X	126.45900	30.5200	12/02/09	06/13/11	4,338.81	3,859.54	479.27
Subtotal			18,505.22900				634,914.40	667,754.36	-32,839.96
15SHARES MSCI BRAZIL INDEX		X	250.00000	30.2700	10/24/08	02/09/11	17,583.66	7,569.00	10,014.66
15SHARES TR -RUSSELL 2000 INDEX FD		X	2,000.00000	76.2200	03/14/07	02/09/11	161,490.50	152,312.34	9,178.16
MERIDIAN INTERSTATE BANCORP		X	2,800.00000	10.0000	01/15/08	01/24/11	35,213.80	28,000.00	7,213.80
		X	1,200.00000	10.0000	01/15/08	01/24/11	14,996.83	12,000.00	2,996.83
		X	500.00000	10.0000	01/15/08	01/24/11	6,248.18	5,000.00	1,248.18
		X	300.00000	10.0000	01/15/08	01/24/11	3,757.01	3,000.00	757.01
		X	100.00000	10.0000	01/15/08	01/24/11	1,249.64	1,000.00	249.64
		X	900.00000	10.0000	01/15/08	01/25/11	11,300.72	9,000.00	2,300.72
		X	802.00000	10.0000	01/15/08	01/25/11	10,142.38	8,020.00	2,122.38
		X	800.00000	10.0000	01/15/08	01/25/11	10,061.09	8,000.00	2,061.09
		X	700.00000	10.0000	01/15/08	01/25/11	8,796.45	7,000.00	1,796.45
		X	602.00000	10.0000	01/15/08	01/25/11	7,522.81	6,020.00	1,502.81
		X	500.00000	10.0000	01/15/08	01/25/11	6,298.18	5,000.00	1,298.18
		X	500.00000	10.0000	01/15/08	01/25/11	6,233.22	5,000.00	1,233.22
		X	337.00000	10.0000	01/15/08	01/25/11	4,197.80	3,370.00	827.80
		X	298.00000	10.0000	01/15/08	01/25/11	3,768.62	2,980.00	788.62
		X	163.00000	10.0000	01/15/08	01/25/11	2,038.54	1,630.00	408.54
		X	100.00000	10.0000	01/15/08	01/25/11	1,254.64	1,000.00	254.64
Subtotal			10,602.00000				133,079.91	106,020.00	27,059.91

Annual Statement Information

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Account Number: 6180-3558
Command Account Number: 9087460358
KLEIN FAMILY FOUNDATION #2
For Banking Inquiries call: 1-888-215-3904

Realized Gain/Loss

Long Term	Continued	Noncovered	Share Quantity	Adjusted Price/ Original Price	Date Acquired	Close Date	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
Description									
MUTUAL SER FD INC									
EUROPEAN FD CLASS Z		X	20,000.00000	15.2000	12/19/00	02/09/11	458,000.00	304,000.00	154,000.00
MUTUAL SER FD INC									
GLBL DISCOVERY FUND CL Z		X	10,000.00000	18.5500	12/19/00	02/09/11	308,700.00	185,500.01	123,199.99
SINOPEC SHANGHAI									
SPONS ADR		X	100.00000	81.2500	10/25/07	02/08/11	6,248.08	8,130.00	-1,881.92
		X	900.00000	81.3500	10/25/07	02/08/11	56,232.72	73,266.12	-17,033.40
		X	1,000.00000	43.0600	01/22/08	02/08/11	62,480.80	43,100.00	19,380.80
Subtotal			2,000.00000				124,961.60	124,496.12	465.48
SPDR DOW JONES INDL ETF									
AVERAGE ETF TR		X	600.00000	83.0000	10/24/08	04/29/11	76,810.46	49,800.00	27,010.46
		X	200.00000	82.5400	10/27/08	04/29/11	25,603.49	16,508.80	9,094.69
Subtotal			800.00000				102,413.95	66,308.80	36,105.15
TOLL BROTHERS									
		X	2,500.00000	20.6000	10/19/07	02/09/11	53,730.97	51,600.00	2,130.97
		X	2,500.00000	18.8800	11/21/07	02/09/11	53,730.97	47,296.50	6,434.47
Subtotal			5,000.00000				107,461.94	98,896.50	8,565.44
UNITED TECHNOLOGIES CORP									
		X	400.00000	66.9900	01/22/08	01/24/11	32,452.18	26,812.00	5,640.18
		X	200.00000	66.9900	01/22/08	01/24/11	16,229.09	13,406.00	2,823.09
		X	150.00000	66.9900	01/22/08	01/24/11	12,171.07	10,054.50	2,116.57
Subtotal			750.00000				60,852.34	50,272.50	10,579.84
TOTAL LONG TERM GAINS OR LOSSES FOR COVERED SECURITIES							\$0.00	\$0.00	\$0.00
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES							\$2,365,019.42	\$2,034,891.94	\$330,127.48