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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2011 or tax year beginning

, and ending

Name of foundation THE SERENBETZ FAMILY FOUNDATION, INC.		A Employer identification number 13-3993644
Number and street (or P.O. box number if mail is not delivered to street address) 695 WEST STREET	Room/suite	B Telephone number (914) 698-2251
City or town, state, and ZIP code HARRISON, NY 10528		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 6,503,995.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		310,000.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1,020.	1,020.		STATEMENT 1
4 Dividends and interest from securities		204,339.	204,339.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		<221,076.>			
b Gross sales price for all assets on line 6a	546,052.				
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		3,197.	3,197.		STATEMENT 3
12 Total. Add lines 1 through 11		297,480.	208,556.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees	STMT 4	9,180.	7,180.		2,000.
c Other professional fees					
17 Interest					
18 Taxes	STMT 5	3,671.	2,038.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	STMT 6	1,306.	622.		684.
24 Total operating and administrative expenses. Add lines 13 through 23		14,157.	9,840.		2,684.
25 Contributions, gifts, grants paid		300,000.			300,000.
26 Total expenses and disbursements. Add lines 24 and 25		314,157.	9,840.		302,684.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<16,677.>			
b Net investment income (if negative, enter -0-)			198,716.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		824,748.	1,093,051.	1,093,051.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7		310,073.	255,919.	277,305.
	b	Investments - corporate stock STMT 8		354,977.	346,181.	344,125.
	c	Investments - corporate bonds STMT 9		614,550.	799,596.	835,310.
11	Investments - land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 10		4,406,459.	3,999,383.	3,954,204.	
14	Land, buildings, and equipment: basis ▶					
	Less accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers)		6,510,807.	6,494,130.	6,503,995.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds		6,510,807.	6,494,130.		
30	Total net assets or fund balances		6,510,807.	6,494,130.		
31	Total liabilities and net assets/fund balances		6,510,807.	6,494,130.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,510,807.
2	Enter amount from Part I, line 27a	2	<16,677.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	6,494,130.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,494,130.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 546,052.		767,128.	<221,076.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			<221,076.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	<221,076.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	252,140.	6,043,682.	.041720
2009	278,237.	5,220,386.	.053298
2008	229,160.	5,654,544.	.040527
2007	231,839.	5,568,311.	.041635
2006	174,867.	4,629,151.	.037775

2 Total of line 1, column (d)	2	.214955
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.042991
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	6,568,947.
5 Multiply line 4 by line 3	5	282,406.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,987.
7 Add lines 5 and 6	7	284,393.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	302,684.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	1,987.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	1,987.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	1,987.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	2,100.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,100.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	5.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	108.	
11 Enter the amount of line 10 to be: Credited to 2012 estimated tax ☒ 108. Refunded ☒ 0.	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY, NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of Section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of STUART W. SERENBETZ Telephone no. 914-698-2251 Located at 695 WEST STREET, HARRISON, NY ZIP+4 10528			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	5,597,065.
b	Average of monthly cash balances	1b	1,071,917.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	6,668,982.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,668,982.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	100,035.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,568,947.
6	Minimum investment return. Enter 5% of line 5	6	328,447.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	328,447.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	1,987.
b	Income tax for 2011. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,987.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	326,460.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	326,460.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	326,460.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	302,684.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	302,684.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,987.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	300,697.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				326,460.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			295,582.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► \$ 302,684.				
a Applied to 2010, but not more than line 2a			295,582.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2011 distributable amount				7,102.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				319,358.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMA-OPERATION ENTERPRISE 1601 BROADWAY NEW YORK, NY 10019	NONE	PUBLIC CHARITY	EDUCATION- STUDENTS IN NEED	5,000.
CARVER COMMUNITY CENTER 7 ACADEMY STREET NORWALK, CT 06850	NONE	PUBLIC CHARITY	FAMILY COMMUNITY CENTERS	25,000.
FAMILY AND CHILDREN'S AGENCY INC 9 MOTT AVENUE NORWALK, CT 06850	NONE	PUBLIC CHARITY	FAMILY PROGRAMS AND CHILDCARE	25,000.
HORIZONS STUDENT ENRICHMENT PROGRAM 545 PONUS RIDGE NEW CANAAN, CT 06840	NONE	PUBLIC CHARITY	EDUCATION- LOW INCOME FAMILIES	60,000.
REACH PREP 2777 SUMMER STREET STAMFORD, CT 06905	NONE	PUBLIC CHARITY	EDUCATION-CHILDREN	60,000.
Total	SEE CONTINUATION SHEET(S)			300,000.
b Approved for future payment				
NONE				
Total				0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(7) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, this return is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Signature of officer or trustee

Date _____

Title

Print/Type preparer's name

Preparer's signature

Date _____

Check ☒ if self-employed

PTIN

**Paid
Preparer
Use Only**

DONALD J. ERCOLE

Firm's name ► POOLE & ASSOCIATES

Firm's address ► 155 VALLEY ROAD
NEW ROCHELLE, NY 10804

Firm's EIN ► 13-3614447

Phone no. (914) 632-2939

Form **990-PF** (2011)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

Employer identification number

THE SERENBETZ FAMILY FOUNDATION, INC.

13-3993644

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2011)

Name of organization	Employer identification number
THE SERENBETZ FAMILY FOUNDATION, INC.	13-3993644

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	<u>MARTIN TUCHMAN</u> <u>633 PROSPECT AVENUE</u> <u>PRINCETON, NJ 08540</u>	\$ <u>25,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
<u>2</u>	<u>PAUL SERENBETZ</u> <u>12 HOWARD AVENUE</u> <u>NEW HAVEN, CT 06519</u>	\$ <u>20,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
<u>3</u>	<u>STUART & JEAN SERENBETZ</u> <u>6 HICKORY DRIVE</u> <u>STAMFORD, CT 06902</u>	\$ <u>20,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
<u>4</u>	<u>THELMA SERENBETZ</u> <u>695 WEST STREET</u> <u>HARRISON, NY 10528</u>	\$ <u>100,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
<u>5</u>	<u>WARREN L. SERENBETZ</u> <u>695 WEST STREET</u> <u>HARRISON, NY 10528</u>	\$ <u>100,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
<u>6</u>	<u>WARREN L. SERENBETZ, JR.</u> <u>165 SIGNAL HILL ROAD</u> <u>WILTON, CT 06897</u>	\$ <u>20,000.</u>	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization	Employer identification number
THE SERENBETZ FAMILY FOUNDATION, INC.	13-3993644

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
7	CLAY R. SERENBETZ 774 MAYS BLVD # 10, PMB318 ONCLINE VILLAGE, NV 89451	\$ 25,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
THE SERENBETZ FAMILY FOUNDATION, INC.	13-3993644

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

THE SERENBETZ FAMILY FOUNDATION, INC.

13-3993644

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CERT OF DEPOSIT-BUILDERS BANK		P	07/10/09	01/18/11
b FNR 2006-53 JB 7-25-31		P	01/30/07	01/25/11
c GNMA 03-8 VE 4-16-25		P	11/24/08	01/16/11
d FNR 2006-53 JB 7-25-31		P	01/30/07	02/25/11
e GNMA 03-8 VE 4-16-25		P	11/24/08	02/16/11
f FNR 2006-53 JB 7-25-31		P	01/30/07	03/25/11
g GNMA 03-8 VE 4-16-25		P	11/24/08	03/16/11
h CERT OF DEPOSIT-FIRST CHICAGO		P	07/10/09	04/18/11
i FNR 2006-53 JB 7-25-31		P	01/30/07	04/25/11
j GNMA 03-8 VE 4-16-25		P	11/24/08	04/16/11
k 25,000 SUNOCO BDS		P	02/28/08	04/01/13
l FNR 2006-53 JB 7-25-31		P	01/30/07	05/25/11
m GNMA 03-8 VE 4-16-25		P	11/24/08	05/16/11
n FNR 2006-53 JB 7-25-31		P	01/30/07	06/25/11
o GNMA 03-8 VE 4-16-25		P	11/24/08	06/16/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 95,000.		95,000.	0.
b 945.		956.	<11.>
c 751.		751.	0.
d 1,745.		1,765.	<20.>
e 754.		754.	0.
f 1,953.		1,975.	<22.>
g 758.		758.	0.
h 150,000.		150,000.	0.
i 2,053.		2,076.	<23.>
j 761.		761.	0.
k 25,000.		25,000.	0.
l 1,604.		1,622.	<18.>
m 765.		765.	0.
n 1,455.		1,471.	<16.>
o 768.		768.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			0.
b			<11.>
c			0.
d			<20.>
e			0.
f			<22.>
g			0.
h			0.
i			<23.>
j			0.
k			0.
l			<18.>
m			0.
n			<16.>
o			0.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	25000 ALCOA BDS	P	04/07/11	06/28/11
b	FNR 2006-53 JB 7-25-31	P	01/30/07	07/25/11
c	GNMA 03-8 VE 4-16-25	P	11/24/08	07/16/11
d	FNR 2006-53 JB 7-25-31	P	01/30/07	08/25/11
e	GNMA 03-8 VE 4-16-25	P	11/24/08	08/16/11
f	FNR 2006-53 JB 7-25-31	P	01/30/07	09/25/11
g	GNMA 03-8 VE 4-16-25	P	11/24/08	09/16/11
h	FNR 2006-53 JB 7-25-31	P	01/30/07	10/25/11
i	GNMA 03-8 VE 4-16-25	P	11/24/08	10/16/11
j	12366/139 SHS COHEN&STEERS INTL REALTY C	P	VARIOUS	11/17/11
k	25,000 EMPIRE DEVEL BDS	P	01/09/09	11/17/11
l	FNR 2006-53 JB 7-25-31	P	01/30/07	11/25/11
m	GNMA 03-8 VE 4-16-25	P	11/24/08	11/16/11
n	389 SHS PA RL EST INVT TR	P	VARIOUS	11/17/11
o	30,000 FORTUNE BRANDS BDS	P	03/17/11	11/04/11

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 26,873.		26,484.	389.
b 1,604.		1,622.	<18.>
c 772.		772.	0.
d 1,400.		1,415.	<15.>
e 775.		775.	0.
f 1,595.		1,613.	<18.>
g 779.		779.	0.
h 1,574.		1,592.	<18.>
i 782.		782.	0.
j 111,172.		227,000.	<115,828.>
k 25,000.		25,000.	0.
l 1,871.		1,892.	<21.>
m 786.		786.	0.
n 3,520.		8,217.	<4,697.>
o 30,437.		30,315.	122.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			389.
b			<18.>
c			0.
d			<15.>
e			0.
f			<18.>
g			0.
h			<18.>
i			0.
j			<115,828.>
k			0.
l			<21.>
m			0.
n			<4,697.>
o			122.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	FNR 2006-53 JB 7-25-31	P	01/30/07	12/25/11
b	GNMA 03-8 VE 4-16-25	P	11/24/08	12/16/11
c	V/G			
d	9327.271 SHS ALPINE DYNAMIC FUND(V/G)	P	VARIOUS	11/17/11
e	1319.049 SHS BLACROCK EUROFUND(V/G)	P	VARIOUS	11/17/11
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,891.		1,913.	<22.>
b 790.		790.	0.
c			0.
d 34,511.		125,000.	<90,489.>
e 14,391.		25,959.	<11,568.>
f 1,217.			1,217.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<22.>
b			0.
c			0.
d			<90,489.>
e			<11,568.>
f			1,217.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

<221,076.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

If gain, also enter in Part I, line 8, column (c).

If (loss), enter "-0-" in Part I, line 8

3

N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MORGAN STANLEY BANK	903.
VANGUARD ADMIRAL TREASURY FUND	33.
VANGUARD PRIME MONEY MARKET FUND	84.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1,020.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
ACCRUED INT PURCHASED THRU			
MORGAN STANLEY	<4,558.>	0.	<4,558.>
BOND AMORTIZATION-M/S	<7,847.>	0.	<7,847.>
DIVIDENDS THROUGH MORGAN STANLEY	54,773.	4.	54,769.
INTEREST THROUGH MORGAN STANLEY	58,779.	0.	58,779.
OTHER PERIODIC			
INTEREST-REMIC(M/S)	12,192.	0.	12,192.
THROUGH VANGUARD BROKERAGE	26,196.	0.	26,196.
VANGUARD DEVELOPING MKTS INDEX			
FD	4,962.	0.	4,962.
VANGUARD EMERGING MKTS INDEX FD	5,449.	0.	5,449.
VANGUARD EQUITY INCOME FUND	18,173.	0.	18,173.
VANGUARD INTL VALUE FUND	8,311.	0.	8,311.
VANGUARD INTMD TERM BD FD-INV			
SHS	1,445.	385.	1,060.
VANGUARD REIT INDEX FUND	3,678.	0.	3,678.
VANGUARD SHORT TERM INV GR FD	9,869.	828.	9,041.
VANGUARD SMALL-CAP VAL INDEX FD	2,318.	0.	2,318.
VANGUARD TOTAL STK MKT IDX			
FD-INV SHS	2,049.	0.	2,049.
VANGUARD WELLINGTON ADMIRAL FD	9,767.	0.	9,767.
TOTAL TO FM 990-PF, PART I, LN 4	205,556.	1,217.	204,339.

FORM 990-PF

OTHER INCOME

STATEMENT

3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
AIP ABSOLUTE RETURN FUND STS	3,197.	3,197.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3,197.	3,197.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT

4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX RETURN PREPARATION FEES	9,180.	7,180.		2,000.
TO FORM 990-PF, PG 1, LN 16B	9,180.	7,180.		2,000.

FORM 990-PF

TAXES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	1,633.	0.		0.
FOREIGN TAXES IMPOSED ON DIVIDEND INCOME	2,038.	2,038.		0.
TO FORM 990-PF, PG 1, LN 18	3,671.	2,038.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT

6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE FILING FEES	360.	0.		360.
REGISTERED AGENTS FEES	149.	0.		149.
OFFICE EXPENSES	622.	622.		0.
BUSINESS MEETINGS	175.	0.		175.
TO FORM 990-PF, PG 1, LN 23	1,306.	622.		684.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
FNR 2006-53 NOTES	X		19,883.	20,051.
GNMA 03-8 VE BONDS	X		186,036.	204,947.
EMPIRE STATE NY DEVELOPMENT BDS		X	50,000.	52,307.
TOTAL U.S. GOVERNMENT OBLIGATIONS			205,919.	224,998.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			50,000.	52,307.
TOTAL TO FORM 990-PF, PART II, LINE 10A			255,919.	277,305.

FORM 990-PF

CORPORATE STOCK

STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PENN REAL ESTATE TRUST FD	0.	0.
BRISTOL-MYERS SQUIBB CO	25,498.	35,240.
XCEL ENERGY INC	9,317.	13,820.
MFS INTER INC TRUST 1	130,318.	122,892.
ENERPLUS RESOURCES TRUST	71,732.	48,108.
J HANCOCK PATRIOT PREM DIV FUND(V/B)	20,487.	23,278.
J HANCOCK PATRIOT PREM DIV FUND(M/S)	88,829.	100,787.
TOTAL TO FORM 990-PF, PART II, LINE 10B	346,181.	344,125.

FORM 990-PF

CORPORATE BONDS

STATEMENT

9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
AMGEN BONDS	25,490.	28,750.
WALT DISNEY BONDS	25,842.	28,315.
GOLDMAN SACHS BONDS	22,380.	21,160.
JPMC BONDS	23,818.	25,094.
KRAFT FOODS BONDS	25,146.	25,544.
ORACLE BONDS	25,109.	28,867.
SUNOCO BONDS	0.	0.
TARGET BONDS	25,716.	30,459.
INTL BK FOR RECONSTRUCTION BDS	23,940.	21,602.
EUROPEAN INVESTMENT BK BDS	24,867.	21,981.
AT & T BONDS	51,806.	55,121.
CATEPILLAR FINANCIAL BONDS	49,100.	55,359.
ALTRIA BONDS	53,062.	67,271.
RR DONNELLY BONDS	25,182.	24,438.
WELLPOINT BONDS	26,271.	27,315.
TEXTRON BONDS	26,330.	26,837.
FORD MOTOR BONDS	53,412.	54,852.
KRAFT FOODS BONDS 2/9/2016	25,623.	27,143.
UNITED HEALTH BONDS	26,502.	28,675.
AMERICAN EXPRESS BONDS	26,129.	27,555.
MOTOROLA BONDS	51,788.	51,655.
KROGER BONDS	36,587.	36,506.
INTL BK FOR RECONSTRUCTION BDS	29,182.	27,723.
GOLDMAN SACHS BONDS	31,624.	29,418.
PITNEY BOWES BONDS	31,347.	31,071.
ASSOCIATES CORP	33,343.	32,599.
TOTAL TO FORM 990-PF, PART II, LINE 10C	799,596.	835,310.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VANGUARD REIT INDEX FUND	FMV	81,762.	104,003.
VANGUARD SMALL-CAP VALUE IDX FD	FMV	91,849.	111,676.
CITIGROUP CAPITAL NOTES	FMV	24,912.	21,370.
JPMCHASE CAPITAL NOTES	FMV	25,000.	25,140.
OPPENHEIMER INTL BOND FD CLASS C	FMV	125,081.	131,418.
T R PRICE CAPITAL APP FUND(V/B)	FMV	200,070.	212,347.
VANGUARD INT'L VALUE FUND	FMV	350,000.	249,324.
VANGUARD INTMD TERM BOND INDEX FUND	FMV	25,000.	27,655.
VANGUARD SHORT TERM INVESTMENT GRADE FUND	FMV	300,000.	303,967.
VANGUARD TOTAL STOCK MARKET FUND	FMV	100,000.	107,076.
VANGUARD WELLINGTON ADVANTAGE FUND	FMV	325,000.	315,634.
BLACKROCK EUROFUND(V/B)	FMV	0.	0.
COHEN & STEERS INTL REALTY FUND	FMV	0.	0.
VANGUARD DEVELOPING MKTS INDEX FD	FMV	175,000.	128,768.
VANGUARD EMERGING MKTS INDEX FD	FMV	190,000.	202,685.
ALPINE DYNAMIC DIV FUND II(V/B)	FMV	0.	0.
ALTERNATIVE INVESTMENT PARTNERS ABSOLUTE RETURN FUND	FMV	218,405.	184,262.
VANGUARD EQUITY INCOME FUND	FMV	596,000.	633,519.
SELECT SECTOR SPDR TRUST(V/B)	FMV	309,180.	356,202.
BUILDERS BANK CD	FMV	0.	0.
FIRST CHICAGO BANK CD	FMV	0.	0.
LORD ABBOTT SHT DURATION TST	FMV	231,161.	231,662.
OPPENHEIMER INTL BOND FD CLASS A	FMV	330,000.	299,163.
T R PRICE EQUITY INCOME FUND(V/B)	FMV	100,000.	104,243.
RECEIVABLE FROM MORGAN STANLEY	FMV	1,438.	1,438.
RECEIVABLE FROM VANGUARD BROKERAGE	FMV	131.	131.
VANGUARD INFORMATION TECHNOLOGY FUND	FMV	199,394.	202,521.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,999,383.	3,954,204.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 11

NAME OF CONTRIBUTORADDRESS

WARREN L. SERENBETZ

695 WEST STREET
HARRISON, NY 10528

WARREN L. SERENBETZ, JR

165 SIGNAL HILL ROAD
WILTON, CT 06897

STUART SERENBETZ

6 HICKORY DRIVE
STAMFORD, CT 06902

PAUL SERENBETZ

12 HOWARD AVENUE
NEW HAVEN, CT 06519

CLAY SERENBETZ

774 MAYS BLVD #10, PMB 318
INCLINE VILLAGE, NV 89451

MARTIN TUCHMAN

633 PROSPECT AVENUE
PRINCETON, NJ 08540

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
THELMA R. SERENBETZ 695 WEST STREET HARRISON, NY 10528	PRESIDENT & TRUSTEE 0.00	0.	0.	0.
JEAN B. SERENBETZ 6 HICKORY DRIVE STAMFORD, CT 06902	SEC'Y/TREAS & TRUSTEE 0.00	0.	0.	0.
WARREN L. SERENBETZ 695 WEST STREET HARRISON, NY 10528	TRUSTEE 0.00	0.	0.	0.
WARREN L. SERENBETZ JR. 165 SIGNAL HILL RD NORTH WILTON, CT 06897	TRUSTEE 0.00	0.	0.	0.
CYNTHIA L. SERENBETZ 165 SIGNAL HILL RD NORTH WILTON, CT 06897	VICE PRESIDENT AND TRUSTEE 0.00	0.	0.	0.
PAUL SERENBETZ 12 HOWARD AVENUE NEW HAVEN, CT 06519	TRUSTEE 0.00	0.	0.	0.
STUART W. SERENBETZ 6 HICKORY DRIVE STAMFORD, CT 06902	TRUSTEE 0.00	0.	0.	0.
CLAY R. SERENBETZ 774 MAYS BLVD # 10, PMB318 INCLINE VILLAGE, NV 89451	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 13

NAME OF MANAGER

WARREN L. SERENBETZ
WARREN L. SERENBETZ JR.
PAUL SERENBETZ
STUART W. SERENBETZ
CLAY R. SERENBETZ

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the due date for filing your return See instructions	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE SERENBETZ FAMILY FOUNDATION, INC.	☒ 13-3993644
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	695 WEST STREET	☐
	City, town or post office, state, and ZIP code For a foreign address, see instructions	
	HARRISON, NY 10528	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

STUART W. SERENBETZ

- The books are in the care of ☒ 695 WEST STREET - HARRISON, NY 10528

Telephone No. ☒ 914-698-2251

FAX No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until NOVEMBER 15, 2012

5 For calendar year 2011, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension SEE STATEMENT 14

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	\$	1,955.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	2,100.
c	Balance due. Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title ☐

Date ☐

Form 8868 (Rev. 1-2012)

FORM 8688

EXPLANATION FOR EXTENSION

STATEMENT 14

EXPLANATION

ONE OF THE FOUNDATION'S INVESTMENTS IS A PARTNERSHIP, WHICH HAS ADVISED THAT THE YEAR 2011 FORM K-1 WILL NOT BE ISSUED UNTIL SOMETIME IN SEPTEMBER 2012. THUS AN ACCURATE AND COMPLETE RETURN CANNOT NOW BE FILED.