Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation THE GUTMAN FAMILY FOUNDATION	**A** Employer identification number 13-3936473
Number and street (or P O box number if mail is not delivered to street address) 53 SYCAMORE PLACE / Room/suite	**B** Telephone number (see instructions) (312) 506-6565
City or town, state, and ZIP code HIGLAND PARK, IL 60035	**C** If exemption application is pending, check here ▶ ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	**D** 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 1,610,275. **J** Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*	**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	17.	17.		ATCH 1
	4 Dividends and interest from securities	27,209.	27,209.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	178,320.			
	b Gross sales price for all assets on line 6a 289,056.				
	7 Capital gain net income (from Part IV, line 2)		178,320.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	205,546.	205,546.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 3	2,300.	2,300.		
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) **	2,833.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	76.			76.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	5,209.	2,300.		76.
	25 Contributions, gifts, grants paid	359,400.			359,400.
	26 **Total expenses and disbursements.** Add lines 24 and 25	364,609.	2,300.	0	359,476.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-159,063.			
	b **Net investment income** (if negative, enter -0-)		203,246.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	80,592.	32,983.	32,983.
	2	Savings and temporary cash investments	14,911.	12,845.	12,845.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶	2,500.		
	4	Pledges receivable ▶ Less. allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 6	758,606.	651,218.	1,563,447.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ ATCH 7)	500.	1,000.	1,000.
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	857,109.	698,046.	1,610,275.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ATCH 8)	30.	30.	
	23	**Total liabilities** (add lines 17 through 22)	30.	30.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds	857,079.	698,016.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	857,079.	698,016.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	857,109.	698,046.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	857,079.
2	Enter amount from Part I, line 27a	2	-159,063.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	698,016.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	698,016.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	178,320.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	439,513.	2,795,216.	0.157238
2009	541,249.	2,745,424.	0.197146
2008	445,692.	3,688,230.	0.120842
2007	439,863.	4,934,461.	0.089141
2006	444,660.	4,343,865.	0.102365

2 **Total** of line 1, column (d)	2	0.666732
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.133346
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	2,230,034.
5 Multiply line 4 by line 3	5	297,366.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,032.
7 Add lines 5 and 6	7	299,398.
8 Enter qualifying distributions from Part XII, line 4	8	359,476.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	2,032.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	2	
3	Add lines 1 and 2	3	2,032.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,032.
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 . . . . — 6a — 1,664.		
b	Exempt foreign organizations - tax withheld at source . . . . — 6b —		
c	Tax paid with application for extension of time to file (Form 8868) . . . . — 6c —		
d	Backup withholding erroneously withheld . . . . — 6d —		
7	Total credits and payments Add lines 6a through 6d	7	1,664.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	10.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶	9	378.
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶	10	
11	Enter the amount of line 10 to be **Credited to 2012 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶$ ______________ (2) On foundation managers ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	**11**		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	**12**		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	**13**	X	

Website address ▶ N/A

14 The books are in care of ▶ JOSEPH D. GUTMAN Telephone no ▶ 312-506-6565

Located at ▶ 53 SYCAMORE PLACE HIGHLAND PARK, IL ZIP + 4 ▶ 60035

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ **15**

		Yes	No
16 At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	**16**		X
See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☒ **No**			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☒ **No**			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☒ **No**			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☒ **No**			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☒ **No**			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ **Yes** ☒ **No**			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	**1b**		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	**1c**		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ **Yes** ☒ **No**			
If "Yes," list the years ▶ ________, ________, ________, ________			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	**2b**		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________, ________, ________, ________			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☒ **No**			
b If "Yes," did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)*	**3b**		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	**4a**		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	**4b**		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes				
a	Average monthly fair market value of securities			1a	2,201,176.
b	Average of monthly cash balances			1b	62,818.
c	Fair market value of all other assets (see instructions)			1c	
d	**Total** (add lines 1a, b, and c)			1d	2,263,994.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	2,263,994.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	33,960.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	2,230,034.
6	**Minimum investment return.** Enter 5% of line 5			6	111,502.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	111,502.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	2,032.		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,032.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	109,470.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	109,470.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	109,470.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes.		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	359,476.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	359,476.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,032.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	357,444.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1	Distributable amount for 2011 from Part XI, line 7					109,470.
2	Undistributed income, if any, as of the end of 2011					
a	Enter amount for 2010 only					
b	Total for prior years 20 09 ,20 08 ,20 07					
3	Excess distributions carryover, if any, to 2011					
a	From 2006	55,167.				
b	From 2007	441,345.				
c	From 2008	446,365.				
d	From 2009	541,565.				
e	From 2010	303,076.				
f	**Total** of lines 3a through e		1,787,518.			
4	Qualifying distributions for 2011 from Part XII, line 4 ▶ $ 359,476.					
a	Applied to 2010, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2011 distributable amount					109,470.
e	Remaining amount distributed out of corpus		250,006.			
5	Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		2,037,524.			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount - see instructions					
e	Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions					
f	Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)		55,167.			
9	**Excess distributions carryover to 2012.** Subtract lines 7 and 8 from line 6a		1,982,357.			
10	Analysis of line 9					
a	Excess from 2007	441,345.				
b	Excess from 2008	446,365.				
c	Excess from 2009	541,565.				
d	Excess from 2010	303,076.				
e	Excess from 2011	250,006.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JOSEPH D GUTMAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT ATTACHED				359,400.
Total . . . . ▶ 3a				359,400.
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	17.	
4 Dividends and interest from securities			14	27,209.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	178,320.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				205,546.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 205,546.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 5/14/12 | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ROBERT JACOBSON		5/8/12		P00440757

Firm's name ▶ FRIEDMAN & HUEY ASSOC., LLP Firm's EIN ▶ 36-3382360

Firm's address ▶ 1313 WEST 175TH STREET
HOMEWOOD, IL 60430 Phone no 708-799-6800

THE`GUTMAN FAMILY FOUNDATION FOUNDATION
FOR THE TAX YEAR ENDING DECEMBER 31, 2011

13-3936473

FORM 990PF, PART II - ENDING BOOK VALUE & FAIR MARKET VALUE

	12/31/2011 BOOK VALUE	12/31/2011 MARKET VALUE
CORPORATE STOCK		
9,000 SHS GOLDMAN SACHS GROUP, INC (THE) CMN (GS)	$ 97,287 30	813,870 00
900 SHS SPDR S&P 500 ETF TRUST SPDR (SPY)	$ 107,820 00	112,950.00
18 743 449 GS ASIA EQUITY INSTITUTIONAL CLASS I (GSAIX)	$ 275,829 61	315,264 81
9,216 00 SHS ISHARES FTSE CHINA 25 INDEX FD ETF (FXI)	$ 170,280.96	321,361.92
TOTAL CORPORATE STOCK:	**$ 651,217.87**	**$ 1,563,446.73**

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT	AMOUNT
A NEW DAY, INC P O BOX 2986 CHICAGO, IL 60654	NONE PUBLIC	GENERAL PURPOSES	500
AM SHALOM 840 VERNON AVENUE GLENCOE, IL 60022	NONE PUBLIC	GENERAL PURPOSES	18,000
ANTI-DEFAMATION LEAGUE (ADL) 605 THIRD AVENUE NEW YORK, NY 10158	NONE PUBLIC	GENERAL PURPOSES	1,500
BONNIE J ADDARIO LUNG CANCER FOUNDATION 1100 INDUSTRIAL ROAD, SUITE 1 SAN CARLOS, CA 94070	NONE PUBLIC	GENERAL PURPOSES	250
BERNARD P FLORIANI FOUNDATION 985 E MAPLEWOOD RD LAKE FOREST, IL 60045	NONE PUBLIC	GENERAL PURPOSES	500
BIRTHRIGHT ISRAEL FOUNDATION 33 EAST 33RD STREET, 7TH FL NEW YORK , NY 10016	NONE PUBLIC	GENERAL PURPOSES	20,000
BUILD 1223 N MILWAUKEE AVENUE CHICAGO, IL 60642	NONE PUBLIC	GENERAL PURPOSES	2,500
CHICAGO POLICE MEMORIAL FOUNDATION 1407 W WASHINGTON BLVD CHICAGO, IL 60607	NONE PUBLIC	GENERAL PURPOSES	5,000
CHILDREN'S MEMORIAL HOSPITAL 2300 CHILDREN'S PLAZA, BOX 4 CHICAGO, IL 60614	NONE PUBLIC	GENERAL PURPOSES	20,000
COVE SCHOOL 350 LEE ROAD NORTHBROOK, IL 60062-1521	NONE PUBLIC	GENERAL PURPOSES	1,000
CYSTIC FIBROSIS FOUNDATION 150 NORTH MICHIGAN AVENUE, SUITE 400 CHICAGO, IL 60601-7524	NONE PUBLIC	GENERAL PURPOSES	500
DANA-FARBER CANCER INSTITUTE 10 BROOKLINE PLACE WEST, 6TH FLOOR BROOKLINE, MASSACHUSETTS 02445-7226	NONE PUBLIC	GENERAL PURPOSES	1,000
DANCE FOR LIFE 200 WEST JACKSON BOULEVARD, SUITE 2200 CHICAGO, IL 60606	NONE PUBLIC	GENERAL PURPOSES	250
DENT FOUNDATION 1726 MEDICAL BLVD NAPLES, FLORIDA	NONE PUBLIC	GENERAL PURPOSES	500
ERICKSON INSTITUTE 451 NORTH LASALLE STREET CHICAGO, IL 60654-4510	NONE PUBLIC	GENERAL PURPOSES	10,000
FACING HISTORY AND OURSELVES 200 EAST RANDOLPH STREET, STE 2100 CHICAGO, IL 60601	NONE PUBLIC	GENERAL PURPOSES	15,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT	AMOUNT
FOR AUTISTIC KIDS 1200 N MILWAUKEE AVE GLENVIEW, IL 60025	NONE PUBLIC	GENERAL PURPOSES	1,000
FRACTURED ATLAS 248 WEST 35TH ST, 10TH FLOOR NEW YORK, NY 10010	NONE PUBLIC	GENERAL PURPOSES	5,000
GOLDA OCH ACADEMY 1418 PLEASANT VALLEY WAY WEST ORANGE, NJ 07052	NONE PUBLIC	GENERAL PURPOSES	5,000
HIGHLAND PARK HIGH SCHOOL GIANTS CLUB 433 VINE AVE HIGHLAND PARK, IL 60035	NONE PUBLIC	GENERAL PURPOSES	1,500
ILLINOIS EYE BANK 547 WEST JACKSON BLVD, SUITE 600 CHICAGO, IL 60661	NONE PUBLIC	GENERAL PURPOSES	250
ILLINOIS HOLOCAUST MUSEUM & EDUCATION CENTER 9603 WOODS DRIVE SKOKIE, IL 60077	NONE PUBLIC	GENERAL PURPOSES	25,000
ISRAEL CANCER P O BOX 4854 SKOKIE, IL 60076	NONE PUBLIC	GENERAL PURPOSES	1,000
INVEST FOR KIDS 875 NORTH MICHIGAN, STE 3400 CHICAGO, IL 60611	NONE PUBLIC	GENERAL PURPOSES	1,000
JEWISH CHILD & FAMILY SERVICES 216 W JACKSON BLVD , SUITE 800 CHICAGO, IL 60606	NONE PUBLIC	GENERAL PURPOSES	2,500
JEWISH UNITED FUND OF METROPOLITAN CHICAGO 30 SOUTH WELLS STREET CHICAGO, IL 60606	NONE PUBLIC	GENERAL PURPOSES	100,000
JEWISH NATIONAL FUND 42 EAST 69TH STREET NEW YORK, NY 10021	NONE PUBLIC	GENERAL PURPOSES	4,600
KESHET 617 LANDWEHR ROAD NORTHBROOK, IL 60062	NONE PUBLIC	GENERAL PURPOSES	2,500
MAKE A BETTER PLACE 27 BLEECKER STREET, NO 3A NEW YORK, NY 10012	NONE PUBLIC	GENERAL PURPOSES	10,000
MARCH OF DIMES 111 WEST JACKSON BOULEVARD, SUITE 2200 CHICAGO, IL 60604	NONE PUBLIC	GENERAL PURPOSES	500
MW PALLIATIVE 2050 CLAIRE COURT GLENVIEW, IL 60025	NONE PUBLIC	GENERAL PURPOSES	1,000
MILES FOR MATT FOUNDATION, INC PO BOX 20117 HUNTINGTON STATION, NY 11746	NONE PUBLIC	GENERAL PURPOSES	500
MORRISTOWN MEDICAL CENTER 475 SOUTH STREET MORRISTOWN , NJ 07960	NONE PUBLIC	GENERAL PURPOSES	1,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT	AMOUNT
MULTIPLE MYELOMA RESEARCH FOUNDATION 383 MAIN AVENUE, 5TH FL NORWALK, CT 06851	NONE PUBLIC	GENERAL PURPOSES	2,500
NA AMAT USA 505 8TH AVENUE, SUITE 2302 NEW YORK, NY 10118	NONE PUBLIC	GENERAL PURPOSES	500
NATIONAL FRAGILE X FOUNDATION PO BOX 37 WALNUT CREEK, CA 94597	NONE PUBLIC	GENERAL PURPOSES	5,000
NATIONAL MULITPLE SCHLEROSIS SOCIETY 733 THIRD AVE , 3RD FLOOR NEW YORK, NY 10017	NONE PUBLIC	GENERAL PURPOSES	6,000
NESIYA INSTITUTE 234 FIFTH AVENUE #411 NEW YORK, NY 10001	NONE PUBLIC	GENERAL PURPOSES	5,000
NEW YORK PUBLIC LIBRARY FOR THE PERFORMING ARTS 40 LINCOLN CENTER PLAZA NEW YORK, NY 10023	NONE PUBLIC	GENERAL PURPOSES	5,000
NORTHWESTERN UNIVERSITY 2020 RIDGE AVENUE EVANSTON, IL 60208	NONE PUBLIC	GENERAL PURPOSES	10,000
PKD FOUNDATION 8330 WARD PARKWAY, SUITE 510 KANSAS CITY, MO 64114-2000	NONE PUBLIC	GENERAL PURPOSES	2,500
RAVINIA 418 SHERIDAN ROAD HIGHLAND PARK, IL 60035	NONE PUBLIC	GENERAL PURPOSES	6,000
ROBIN HOOD 826 BROADWAY, 9TH FLOOR NEW YORK, NEW YORK 10003	NONE PUBLIC	GENERAL PURPOSES	10,000
SPECIAL KIDS NETWORK 1121 LAKE COOK RD , STE P DEERFIELD, IL 60015	NONE PUBLIC	GENERAL PURPOSES	10,000
SPECIAL OLYMPICS 1133 19TH STREET NW WASHINGTON, DC 20036	NONE PUBLIC	GENERAL PURPOSES	250
THE ARK 6450 N CALIFORNIA AVE CHICAGO, IL 60645	NONE PUBLIC	GENERAL PURPOSES	1,800
UNITED STATES HOLOCAUST MEMORIAL MUSEUM 100 RAOUL WALLENBERG PLACE, SW WASHINGTON, DC 20024	NONE PUBLIC	GENERAL PURPOSES	25,000
UNIVERSITY OF MICHIGAN 3003 SOUTH STATE STREET, STE 8000 ANN ARBOR, MI 48109	NONE PUBLIC	GENERAL PURPOSES	5,000
UNIVERSITY OF MICHIGAN HILLEL 1429 HILL STREET ANN ARBOR, MI 48104	NONE PUBLIC	GENERAL PURPOSES	1,000
UNIVERSITY OF WISCONSIN HILLEL 611 LANGDON STREET MADISON, WI 53703	NONE PUBLIC	GENERAL PURPOSES	5,000
TOTAL			359,400

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		1,000 SHS GOLDMAN SACHS GROUP INC.				P	12/23/2003	09/20/2011
		PROPERTY TYPE: SECURITIES						
104,712.		10,810.					93,902.	
		2,321.263 SHS GS ASIA EQUITY FUND INSTIT				P	09/08/2001	04/07/2011
		PROPERTY TYPE: SECURITIES						
50,000.		25,975.					24,025.	
		2,064 SHS GS ASIA EQUITY FUND INSTITUTIO				P	09/08/2004	09/20/2011
		PROPERTY TYPE: SECURITIES						
36,615.		23,096.					13,519.	
		1,080 SHS ISHARES FTSE CHINA 25 INDEX FD				P	11/23/2004	04/07/2011
		PROPERTY TYPE: SECURITIES						
49,549.		19,955.					29,594.	
		1,024 SHS ISHARES FTSE CHINA 25 INDEX FD				P	11/23/2004	09/20/2011
		PROPERTY TYPE: SECURITIES						
36,147.		18,920.					17,227.	
		100 SHS SPDR S&P 500 ETF TRUST				P	10/07/2005	09/20/2011
		PROPERTY TYPE: SECURITIES						
12,033.		11,980.					53.	
TOTAL GAIN(LOSS)		....					178,320.	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GOLDMAN SACHS - 02231	17.	17.
TOTAL	17.	17.

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GOLDMAN SACHS - 02231	27,209.	27,209.
TOTAL	27,209.	27,209.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	2,300.	2,300.		
TOTALS	2,300.	2,300.		

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
FEDERAL EXCISE TAX	2,833.
TOTALS	2,833.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
BANK FEES		76.	76.
	TOTALS	76.	76.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SEE STATEMENT ATTACHED	651,218.	1,563,447.
TOTALS	651,218.	1,563,447.

ATTACHMENT 7

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MISCELLANEOUS RECEIVABLE	1,000.	1,000.
TOTALS	1,000.	1,000.

ATTACHMENT 8

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION		ENDING BOOK VALUE
OTHER LIABILITIES		30.
	TOTALS	30.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION			
JOSEPH D. GUTMAN 53 SYCAMORE PLACE HIGLAND PARK, IL 60035	TRUSTEE - PART TIME	0	0	0
SHEILA H. GUTMAN 53 SYCAMORE PLACE HIGLAND PARK, IL 60035	TRUSTEE - PART TIME	0	0	0
	GRAND TOTALS			