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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation
MAURICE SENDAK FOUNDATION INC

A Employer identification number
13-3807627

Number and street (or P O box number if mail is not delivered to street address)
200 CHESTNUT HILL RD

Room/suite

B Telephone number (see page 10 of the instructions)
(203) 438-9224

City or town, state, and ZIP code
RIDGEFIELD, CT 06877

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$** 2,326,811

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	87,056	87,056	87,056	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-84,484			
	b Gross sales price for all assets on line 6a _____ 1,503,019				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	2,572	87,056	87,056	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).	1,410	705		
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	366	366		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule).	526	338		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	2,302	1,409		0
	25 Contributions, gifts, grants paid.	125,000			125,000
	26 Total expenses and disbursements. Add lines 24 and 25	127,302	1,409		125,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-124,730			
	b Net investment income (if negative, enter -0-)		85,647		
	c Adjusted net income (if negative, enter -0-)			87,056	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	12,244	10,092	10,092
	2	Savings and temporary cash investments	486,867	15,505	15,505
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	845,508	1,194,292	1,135,014
	c	Investments—corporate bonds (attach schedule).	1,271,309	1,271,309	1,166,200
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,615,928	2,491,198	2,326,811	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	2,615,928	2,491,198	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	2,615,928	2,491,198	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,615,928	2,491,198	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	2,615,928
2	Enter amount from Part I, line 27a	2	-124,730
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	2,491,198
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,491,198

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-84,484
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	-68,964

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010			
2009			
2008			
2007			
2006			

2	Total of line 1, column (d).	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5.	4	
5	Multiply line 4 by line 3.	5	
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	
7	Add lines 5 and 6.	7	
8	Enter qualifying distributions from Part XII, line 4.	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1				
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)				
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	1,713	
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2		
3		Add lines 1 and 2.		3	1,713	
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4		
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	1,713	
6		Credits/Payments				
a		2011 estimated tax payments and 2010 overpayment credited to 2011	6a			
b		Exempt foreign organizations—tax withheld at source	6b			
c		Tax paid with application for extension of time to file (Form 8868)	6c	3,500		
d		Backup withholding erroneously withheld	6d			
7		Total credits and payments Add lines 6a through 6d.		7	3,500	
8		Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	3	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9		
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	1,784	
11		Enter the amount of line 10 to be Credited to 2012 estimated tax	1,784	Refunded	11	

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either - By language in the governing instrument, or - By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NY, DE _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address  N/A	13	Yes	
14	The books are in care of  TAXPAYER Telephone no  (203) 438-9224 Located at  200 CHESTNUT HILL RD RIDGEFIELD CT ZIP +4  06877			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here  and enter the amount of tax-exempt interest received or accrued during the year.  15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country 				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes  No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.  Yes  No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  Yes  No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  Yes  No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.  Yes  No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).  Yes  No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. 	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?.  Yes  No If "Yes," list the years  20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here  20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.  Yes  No			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	☐ Yes	☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	5b		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services.

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
--	----------

1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
--	--------

1	N/A	
2		
	All other program-related investments See page 24 of the instructions	
3		

Total. Add lines 1 through 3.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,219,720
b	Average of monthly cash balances.	1b	262,354
c	Fair market value of all other assets (see page 24 of the instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,482,074
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,482,074
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions).	4	37,231
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,444,843
6	Minimum investment return. Enter 5% of line 5.	6	122,242

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	122,242
2a	Tax on investment income for 2011 from Part VI, line 5.	2a	1,713
b	Income tax for 2011 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,713
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	120,529
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	120,529
6	Deduction from distributable amount (see page 25 of the instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	120,529

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	125,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	125,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	125,000
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1		Distributable amount for 2011 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2011			
a		Enter amount for 2010 only.			
b		Total for prior years 20____, 20____, 20____			
3		Excess distributions carryover, if any, to 2011			
a		From 2006. 44,099			
b		From 2007. 45,396			
c		From 2008.			
d		From 2009. 139,206			
e		From 2010. 78,536			
f		Total of lines 3a through e. 307,237			
4		Qualifying distributions for 2011 from Part XII, line 4 \$ 125,000			
a		Applied to 2010, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			
c		Treated as distributions out of corpus (Election required—see page 26 of the instructions).			
d		Applied to 2011 distributable amount. 120,529			
e		Remaining amount distributed out of corpus 4,471			
5		Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5 311,708			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions.			
e		Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions.			
f		Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011. 0			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions).			
8		Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions). 44,099			
9		Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a. 267,609			
10		Analysis of line 9			
a		Excess from 2007. 45,396			
b		Excess from 2008.			
c		Excess from 2009. 139,206			
d		Excess from 2010. 78,536			
e		Excess from 2011. 4,471			

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	125,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities. . . .					87,056
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory					-84,484
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e). .					2,572
13	Total. Add line 12, columns (b), (d), and (e).					2,572

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash.	1a(1)	No
	(2) Other assets.	1a(2)	No
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)	No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)	No
	(3) Rental of facilities, equipment, or other assets.	1b(3)	No
	(4) Reimbursement arrangements.	1b(4)	No
	(5) Loans or loan guarantees.	1b(5)	No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)	No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c	No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization		(b) Type of organization		(c) Description of relationship	
Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge				
	*****		2012-06-25		*****
	Signature of officer or trustee		Date		Title
	Paid Preparer's Use Only	Preparer's Signature ▶ SUSAN ANDRIULLI		Date 2012-06-25	Check if self-employed ▶ ☒
		Firm's name ▶ WAKOFF ANDRIULLI & CO LLC			Firm's EIN ▶
100 CRAIG RD STE 109					
Firm's address ▶ MANALAPAN, NJ 07726			Phone no (732) 866-8882		

May the IRS discuss this return with the preparer shown above? See instructions ☐ Yes ☒ No

Additional Data

Software ID:
Software Version:
EIN: 13-3807627
Name: MAURICE SENDAK FOUNDATION INC

Form 990PF - Special Condition Description:

Special Condition Description

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CH ROBINSON WORLDWIDE	P	2010-10-27	2011-01-05
VALERO ENERGY	P	2010-10-27	2011-02-03
SCHWAB CHARLES	P	2010-11-03	2011-03-03
HEWLETT PACKARD	P	2010-11-03	2011-06-03
KIMBERLY CLARK	P	2011-05-04	2011-06-03
ANADARKO PETE	P	2011-02-03	2011-07-06
E TRADE FINL	P	2011-03-03	2011-07-06
ISHARES MSCI EMERGING	P	2010-10-22	2011-08-03
AMAZON COM	P	2011-08-03	2011-09-06
DISNEY (WALT) CO	P	2011-06-03	2011-09-06
AMAZON COM	P	2011-08-03	2011-10-05
AMAZON COM	P	2011-08-03	2011-11-03
JACOBS ENGN	P	2010-10-22	2011-11-03
FEDERATED INVESORS	P	2010-11-03	2011-12-05
GENUINE PARTS	P	2011-07-06	2011-12-05
RANGE RESOURCES	P	2011-10-05	2011-12-05
CH ROBINSON WORLDWIDE	P	2010-11-08	2011-01-05
VALERO ENERGY	P	2010-11-08	2011-02-03
SCHWAB CHARLES	P	2010-12-03	2011-03-03
HEWLETT PACKARD	P	2010-12-03	2011-06-03
MARATHON OIL	P	2010-12-03	2011-06-03
ANADARKO PETE	P	2011-03-03	2011-07-06
E TRADE FINL	P	2011-04-05	2011-07-06
ISHARES MSCI EMERGING	P	2010-10-22	2011-08-03
CENTURYLINK	P	2011-06-03	2011-09-06
ISHARES MSCI EAFE	P	2010-10-22	2011-09-06
EMC CORP	P	2011-07-06	2011-10-05
DEERE CO	P	2010-10-22	2011-11-03
LINCOLN NTL CORP	P	2011-03-03	2011-11-03
FEDERATED INVESORS	P	2010-12-03	2011-12-05

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GOODYEAR TIRE RUBBER	P	2011-06-03	2011-12-05
TERADATA CORP	P	2011-09-06	2011-12-06
CH ROBINSON WORLDWIDE	P	2010-12-08	2011-01-05
VALERO ENERGY	P	2010-12-08	2011-02-03
SCHWAB CHARLES	P	2011-01-05	2011-03-03
HEWLETT PACKARD	P	2011-01-05	2011-06-03
NEXTERA ENERGY	P	2010-11-03	2011-06-03
ANADARKO PETE	P	2011-04-05	2011-07-06
E TRADE FINL	P	2011-05-04	2011-07-06
ISHARES TR SMALL CORE	P	2010-10-22	2011-08-03
DISCOVERY COMM	P	2011-02-03	2011-09-06
ISHARES TR MID CORE	P	2010-10-22	2011-09-06
FEDERATED INVESORS	P	2010-10-22	2011-10-05
EMC CORP	P	2011-07-06	2011-11-03
NOBLE CORP	P	2011-10-05	2011-11-03
FEDERATED INVESORS	P	2011-01-05	2011-12-05
GOODYEAR TIRE RUBBER	P	2011-09-06	2011-12-05
WALGREEN CO	P	2010-10-22	2011-12-05
TESORO CORP	P	2010-11-08	2011-01-10
VALERO ENERGY	P	2011-01-10	2011-02-03
SCHWAB CHARLES	P	2011-02-03	2011-03-03
HEWLETT PACKARD	P	2011-02-03	2011-06-03
NEXTERA ENERGY	P	2010-12-03	2011-06-03
ANADARKO PETE	P	2011-05-04	2011-07-06
E TRADE FINL	P	2011-06-03	2011-07-06
ISHARES TR MID CORE	P	2010-10-22	2011-08-03
DISCOVERY COMM	P	2011-03-03	2011-09-06
GENUINE PARTS	P	2011-07-06	2011-09-06
FORD MOTOR	P	2011-06-03	2011-10-05
FIFTH THIRD BANCORP	P	2011-08-03	2011-11-03

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
RANGE RESOURCES	P	2011-07-06	2011-11-03
FEDERATED INVESORS	P	2011-02-03	2011-12-05
GOODYEAR TIRE RUBBER	P	2011-10-05	2011-12-05
WALGREEN CO	P	2010-11-03	2011-12-05
CORNING	P	2010-10-27	2011-02-08
YAHOO	P	2010-10-27	2011-02-03
APPLE	P	2010-10-22	2011-06-03
HEWLETT PACKARD	P	2011-03-03	2011-06-03
NEXTERA ENERGY	P	2011-01-05	2011-06-03
APACHE CORP	P	2011-06-03	2011-07-06
ISHARES TR SMALL CORE	P	2010-10-22	2011-07-06
MARATHON OIL	P	2010-12-03	2011-08-03
DISCOVERY COMM	P	2011-04-05	2011-09-06
JACOBS ENGN	P	2010-10-22	2011-09-06
FIFTH THIRD BANCORP	P	2011-08-03	2011-10-05
FIFTH THIRD BANCORP	P	2011-09-06	2011-11-03
WESTERN DIGITAL	P	2011-06-03	2011-11-03
FEDERATED INVESORS	P	2011-03-03	2011-12-05
HESS CORP	P	2011-09-06	2011-12-06
WALGREEN CO	P	2010-12-03	2011-12-05
HESS CORP	P	2010-10-27	2011-02-03
YAHOO	P	2010-11-08	2011-02-03
CORNING	P	2010-10-22	2011-06-03
HEWLETT PACKARD	P	2011-04-05	2011-06-03
NEXTERA ENERGY	P	2011-02-03	2011-06-03
APPLE	P	2010-10-22	2011-07-06
JOHNSON CONTROLS	P	2011-02-03	2011-07-06
MARATHON OIL	P	2011-01-05	2011-08-03
DISCOVERY COMM	P	2011-07-06	2011-09-06
JABIL CIRCUIT	P	2011-07-06	2011-09-06

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
JOHNSON CONTROLS	P	2011-02-03	2011-10-05
FIFTH THIRD BANCORP	P	2011-09-06	2011-11-03
WESTERN DIGITAL	P	2011-08-03	2011-11-03
FEDERATED INVESORS	P	2011-04-05	2011-12-05
JACOBS ENGN	P	2010-10-22	2011-12-06
WALGREEN CO	P	2011-01-05	2011-12-05
HESS CORP	P	2010-11-08	2011-02-03
YAHOO	P	2010-12-08	2011-02-03
CORNING	P	2010-11-03	2011-06-03
HEWLETT PACKARD	P	2011-05-04	2011-06-03
NEXTERA ENERGY	P	2011-03-03	2011-06-03
APPLE	P	2010-11-03	2011-07-06
MARATHON PETROLEUM	P	2010-12-03	2011-07-06
MARATHON OIL	P	2011-03-03	2011-08-03
DEERE CO	P	2010-10-22	2011-09-06
JABIL CIRCUIT	P	2011-08-03	2011-09-06
JOHNSON CONTROLS	P	2011-03-03	2011-10-05
ISHARES MSCI EAFE	P	2010-10-22	2011-11-03
WESTERN DIGITAL	P	2011-09-06	2011-11-03
FEDERATED INVESORS	P	2011-05-04	2011-12-05
LINCOLN NTL CORP	P	2011-03-03	2011-12-05
WALGREEN CO	P	2011-02-03	2011-12-05
HESS CORP	P	2010-12-08	2011-02-03
YAHOO	P	2011-01-10	2011-02-03
CORNING	P	2010-12-03	2011-06-03
KIMBERLY CLARK	P	2010-10-22	2011-06-03
NEXTERA ENERGY	P	2011-04-05	2011-06-03
APPLE	P	2010-12-03	2011-07-06
MARATHON PETROLEUM	P	2011-01-05	2011-07-06
MARATHON OIL	P	2011-04-05	2011-08-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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DISNEY (WALT) CO	P	2010-10-22	2011-09-06
MICROSOFT CORP	P	2011-08-03	2011-09-06
JOHNSON CONTROLS	P	2011-04-05	2011-10-05
ISHARES MSCI EAFE	P	2010-11-03	2011-11-03
AMERICAN TOWER	P	2011-11-03	2011-12-06
FEDERATED INVESORS	P	2011-06-03	2011-12-05
LINCOLN NTL CORP	P	2011-04-05	2011-12-05
WALGREEN CO	P	2011-03-03	2011-12-05
HESS CORP	P	2011-01-10	2011-02-03
METLIFE	P	2011-02-03	2011-03-03
CORNING	P	2011-01-05	2011-06-03
KIMBERLY CLARK	P	2010-11-03	2011-06-03
NEXTERA ENERGY	P	2011-05-04	2011-06-03
APPLE	P	2011-01-05	2011-07-06
MARATHON PETROLEUM	P	2011-03-03	2011-07-06
MARATHON OIL	P	2011-05-04	2011-08-03
DISNEY (WALT) CO	P	2010-11-03	2011-09-06
PRUDENTIAL FINANCIAL	P	2011-02-03	2011-09-06
JOHNSON CONTROLS	P	2011-05-04	2011-10-05
ISHARES MSCI EMERGING	P	2010-10-22	2011-11-03
CENTURYLINK	P	2011-06-03	2011-12-06
FEDERATED INVESORS	P	2011-07-06	2011-12-05
LINCOLN NTL CORP	P	2011-05-04	2011-12-05
WALGREEN CO	P	2011-04-05	2011-12-05
MCKESSON CORP	P	2010-10-27	2011-02-03
MARATHON OIL	P	2010-12-03	2011-03-03
CORNING	P	2011-03-03	2011-06-03
KIMBERLY CLARK	P	2010-12-03	2011-06-03
SALESFORCE COM	P	2011-02-03	2011-06-03
APPLE	P	2011-02-03	2011-07-06

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
MARATHON PETROLEUM	P	2011-04-05	2011-07-06
MARATHON OIL	P	2011-07-06	2011-08-03
DISNEY (WALT) CO	P	2010-12-03	2011-09-06
PRUDENTIAL FINANCIAL	P	2011-03-03	2011-09-06
JOHNSON CONTROLS	P	2011-06-03	2011-10-05
ISHARES TR SMALL CORE	P	2010-10-22	2011-11-03
CONOCOPHILLIPS	P	2011-11-03	2011-12-06
FEDERATED INVESORS	P	2011-08-03	2011-12-05
LINCOLN NTL CORP	P	2011-06-03	2011-12-05
WALGREEN CO	P	2011-05-04	2011-12-05
MCKESSON CORP	P	2010-10-27	2011-02-03
QUEST DIAG	P	2010-11-03	2011-03-03
CORNING	P	2011-04-05	2011-06-03
KIMBERLY CLARK	P	2011-01-05	2011-06-03
SALESFORCE COM	P	2011-03-03	2011-06-03
APPLE	P	2011-03-03	2011-07-06
MARATHON PETROLEUM	P	2011-05-04	2011-07-06
PRUDENTIAL FINANCIAL	P	2011-02-03	2011-07-06
DISNEY (WALT) CO	P	2011-01-05	2011-09-06
PRUDENTIAL FINANCIAL	P	2011-04-05	2011-09-06
JOHNSON CONTROLS	P	2011-08-03	2011-10-05
ISHARES TR MID CORE	P	2010-10-22	2011-11-03
CARMAX	P	2011-11-03	2011-12-06
FEDERATED INVESORS	P	2011-09-06	2011-12-05
LINCOLN NTL CORP	P	2011-09-06	2011-12-05
WALGREEN CO	P	2011-07-06	2011-12-05
MCKESSON CORP	P	2010-11-08	2011-02-03
QUEST DIAG	P	2010-12-03	2011-03-03
CORNING	P	2011-05-04	2011-06-03
KIMBERLY CLARK	P	2011-02-03	2011-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
SALESFORCE COM	P	2011-04-05	2011-06-03
APPLE	P	2011-04-05	2011-07-06
WESTERN DIGITAL	P	2011-06-03	2011-07-06
PRUDENTIAL FINANCIAL	P	2011-02-03	2011-06-03
DISNEY (WALT) CO	P	2011-02-03	2011-09-06
PRUDENTIAL FINANCIAL	P	2011-05-04	2011-09-06
JOHNSON CONTROLS	P	2011-09-06	2011-10-05
GOOGLE	P	2011-09-06	2011-11-03
DISCOVER FINL	P	2011-11-03	2011-12-06
FEDERATED INVESORS	P	2011-11-03	2011-12-05
LINCOLN NTL CORP	P	2011-10-05	2011-12-05
WALGREEN CO	P	2011-11-03	2011-12-05
MCKESSON CORP	P	2010-12-08	2011-02-03
QUEST DIAG	P	2011-01-05	2011-03-03
ISHARES TR SMALL CORE	P	2010-10-22	2011-06-03
KIMBERLY CLARK	P	2011-03-03	2011-06-03
SALESFORCE COM	P	2011-05-04	2011-06-03
APPLE	P	2011-05-04	2011-07-06
ISHARES MSCI EAFE	P	2010-10-22	2011-08-03
PRUDENTIAL FINANCIAL	P	2011-02-03	2011-07-06
DISNEY (WALT) CO	P	2011-03-03	2011-09-06
PRUDENTIAL FINANCIAL	P	2011-05-04	2011-09-06
MICROSOFT CORP	P	2011-08-03	2011-10-05
GENUINE PARTS	P	2011-07-06	2011-11-03
DEERE CO	P	2010-10-22	2011-12-06
FORD MOTOR	P	2011-06-03	2011-12-07
MICROSOFT CORP	P	2011-08-03	2011-12-06
MCKESSON CORP	P	2011-01-10	2011-02-03
QUEST DIAG	P	2011-02-03	2011-03-03
ISHARES TR MID CORE	P	2010-10-22	2011-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
KIMBERLY CLARK	P	2011-03-03	2011-06-03
WALGREEN CO	P	2010-10-22	2011-06-03
E TRADE FINL	P	2011-01-05	2011-07-06
ISHARES MSCI EAFE	P	2010-10-22	2011-08-03
RANGE RESOURCES	P	2011-07-06	2011-08-03
DISNEY (WALT) CO	P	2011-04-05	2011-09-06
PRUDENTIAL FINANCIAL	P	2011-08-03	2011-09-06
TERADATA CORP	P	2011-09-06	2011-10-05
GOODYEAR TIRE RUBBER	P	2011-06-03	2011-11-03
EMC CORP	P	2011-07-06	2011-12-06
ISHARES MSCI EMERGING	P	2010-10-22	2011-12-05
NOBLE CORP	P	2011-10-05	2011-12-06
MARATHON OIL	P	2010-12-08	2011-02-03
SCHWAB CHARLES	P	2010-10-22	2011-03-03
HEWLETT PACKARD	P	2010-10-22	2011-06-03
KIMBERLY CLARK	P	2011-04-05	2011-06-03
ANADARKO PETE	P	2011-01-05	2011-07-06
E TRADE FINL	P	2011-02-03	2011-07-06
ISHARES MSCI EMERGING	P	2010-10-22	2011-08-03
WYNN RESORTS	P	2011-06-03	2011-08-03
DISNEY (WALT) CO	P	2011-05-04	2011-09-06
RANGE RESOURCES	P	2011-07-06	2011-09-06
WALGREEN CO	P	2010-10-22	2011-10-05
HESS CORP	P	2011-09-06	2011-11-03
FEDERATED INVESORS	P	2010-10-22	2011-12-05
GOOGLE	P	2011-09-06	2011-12-06
RANGE RESOURCES	P	2011-07-06	2011-12-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,908		9,104	804
12,905		9,055	3,850
2,046		1,738	308
3,767		4,634	-867
5,226		5,459	-233
2,325		2,381	-56
2,806		3,369	-563
1,156		1,166	-10
2,084		2,117	-33
778		1,024	-246
1,663		1,694	-31
17,818		17,361	457
1,574		1,589	-15
2,427		4,055	-1,628
20,233		19,646	587
696		621	75
1,982		1,816	166
2,428		1,761	667
1,767		1,567	200
3,767		4,602	-835
2,773		1,946	827
1,550		1,690	-140
1,574		1,891	-317
440		466	-26
1,116		1,464	-348
2,431		2,884	-453
711		984	-273
1,094		1,164	-70
7,011		11,566	-4,555
2,882		4,751	-1,869

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,934		16,816	-2,882
756		759	-3
1,585		1,565	20
511		451	60
1,488		1,474	14
4,305		5,372	-1,067
25,327		25,438	-111
1,163		1,288	-125
1,027		1,245	-218
569		566	3
16,992		18,041	-1,049
3,424		3,517	-93
5,029		7,102	-2,073
710		844	-134
2,219		1,739	480
2,351		4,205	-1,854
4,061		3,290	771
18,227		18,768	-541
14,138		10,054	4,084
894		867	27
1,767		1,735	32
2,691		3,616	-925
6,882		6,447	435
3,101		3,121	-20
1,369		1,508	-139
2,477		2,345	132
1,172		1,328	-156
2,560		2,889	-329
1,588		2,291	-703
9,567		9,718	-151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,737		1,429	308
3,034		5,464	-2,430
1,680		1,252	428
4,219		4,479	-260
629		562	67
8,933		9,002	-69
645		622	23
6,099		7,475	-1,376
4,955		4,782	173
53,896		52,912	984
1,897		1,616	281
20,124		15,742	4,382
2,539		2,709	-170
482		596	-114
9,226		11,046	-1,820
2,555		2,119	436
41,135		51,982	-10,847
1,972		3,686	-1,714
3,251		3,156	95
3,375		3,784	-409
27,114		20,914	6,200
2,151		2,160	-9
20,805		20,439	366
6,817		7,867	-1,050
4,680		4,750	-70
9,778		8,705	1,073
2,248		2,084	164
2,698		2,281	417
586		668	-82
39,492		53,612	-14,120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,360		15,913	-4,553
52,409		43,675	8,734
423		529	-106
2,958		5,306	-2,348
1,228		1,192	36
2,194		2,677	-483
4,519		3,680	839
1,902		1,930	-28
4,963		4,849	114
4,126		4,791	-665
4,955		4,981	-26
1,746		1,596	150
15,434		10,649	4,785
9,981		11,717	-1,736
5,300		5,434	-134
5,530		6,026	-496
406		651	-245
58,403		65,753	-7,350
3,099		3,090	9
3,489		6,053	-2,564
22,275		34,385	-12,110
3,409		4,359	-950
1,643		1,536	107
2,151		2,243	-92
4,295		4,291	4
8,819		9,084	-265
2,753		2,881	-128
1,746		1,636	110
2,069		1,543	526
2,428		2,923	-495

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,940		8,991	-1,051
2,724		2,958	-234
811		1,297	-486
3,074		3,499	-425
2,007		2,045	-38
379		657	-278
3,645		5,592	-1,947
3,207		4,119	-912
4,108		4,039	69
39,835		41,883	-2,048
4,963		5,045	-82
2,286		2,258	28
3,304		3,526	-222
2,095		2,061	34
7,655		7,926	-271
3,777		4,374	-597
1,401		1,679	-278
28,042		39,481	-11,439
1,758		2,655	-897
1,811		2,099	-288
3,867		4,603	-736
1,289		2,103	-814
2,633		4,059	-1,426
4,894		6,088	-1,194
25,368		20,834	4,534
431		318	113
4,104		5,021	-917
2,613		2,545	68
19,531		18,271	1,260
2,095		2,117	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,862		1,977	-115
22,390		27,489	-5,099
1,401		1,734	-333
2,893		4,264	-1,371
406		609	-203
27,083		26,105	978
47,087		46,698	389
1,668		2,341	-673
2,228		3,118	-890
1,350		1,764	-414
10,980		9,034	1,946
27,527		25,363	2,164
7,635		8,404	-769
1,960		1,947	13
2,893		2,667	226
1,397		1,485	-88
2,896		2,959	-63
309		313	-4
1,401		1,847	-446
3,116		4,491	-1,375
811		1,123	-312
25,842		23,840	2,002
2,587		2,652	-65
4,550		5,055	-505
8,303		7,632	671
844		1,124	-280
4,543		4,100	443
5,561		5,079	482
4,295		4,698	-403
2,613		2,667	-54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
868		841	27
2,445		2,455	-10
1,261		1,246	15
569		627	-58
1,557		2,080	-523
2,671		3,824	-1,153
1,352		1,535	-183
554		522	32
3,802		3,971	-169
607		825	-218
9,315		6,967	2,348
6,244		6,178	66
7,951		7,008	943
3,059		3,122	-63
614		566	48
1,633		1,648	-15
2,170		2,019	151
1,746		1,797	-51
2,766		2,884	-118
1,236		1,253	-17
467		705	-238
445		637	-192
2,137		2,286	-149
547		578	-31
6,144		6,210	-66
4,048		5,412	-1,364
2,990		3,227	-237
4,543		4,396	147
4,171		4,467	-296
1,761		1,563	198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
45,400		45,789	-389
1,889		1,564	325
12,523		15,244	-2,721
3,319		3,461	-142
4,987		4,571	416
1,557		2,194	-637
890		1,168	-278
2,013		2,024	-11
4,918		5,915	-997
895		1,125	-230
3,937		4,664	-727
1,007		869	138
1,775		1,415	360
11,064		9,027	2,037
17,401		21,042	-3,641
6,206		6,317	-111
15,115		15,036	79
1,779		2,258	-479
2,418		2,565	-147
22,560		22,569	-9
1,245		1,751	-506
1,540		1,429	111
616		695	-79
2,135		2,008	127
8,646		13,959	-5,313
3,046		2,612	434
18,800		15,429	3,371

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			804
			3,850
			308
			-867
			-233
			-56
			-563
			-10
			-33
			-246
			-31
			457
			-15
			-1,628
			587
			75
			166
			667
			200
			-835
			827
			-140
			-317
			-26
			-348
			-453
			-273
			-70
			-4,555
			-1,869

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,882
			-3
			20
			60
			14
			-1,067
			-111
			-125
			-218
			3
			-1,049
			-93
			-2,073
			-134
			480
			-1,854
			771
			-541
			4,084
			27
			32
			-925
			435
			-20
			-139
			132
			-156
			-329
			-703
			-151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			308
			-2,430
			428
			-260
			67
			-69
			23
			-1,376
			173
			984
			281
			4,382
			-170
			-114
			-1,820
			436
			-10,847
			-1,714
			95
			-409
			6,200
			-9
			366
			-1,050
			-70
			1,073
			164
			417
			-82
			-14,120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4,553
			8,734
			-106
			-2,348
			36
			-483
			839
			-28
			114
			-665
			-26
			150
			4,785
			-1,736
			-134
			-496
			-245
			-7,350
			9
			-2,564
			-12,110
			-950
			107
			-92
			4
			-265
			-128
			110
			526
			-495

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,051
			-234
			-486
			-425
			-38
			-278
			-1,947
			-912
			69
			-2,048
			-82
			28
			-222
			34
			-271
			-597
			-278
			-11,439
			-897
			-288
			-736
			-814
			-1,426
			-1,194
			4,534
			113
			-917
			68
			1,260
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-115
			-5,099
			-333
			-1,371
			-203
			978
			389
			-673
			-890
			-414
			1,946
			2,164
			-769
			13
			226
			-88
			-63
			-4
			-446
			-1,375
			-312
			2,002
			-65
			-505
			671
			-280
			443
			482
			-403
			-54

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			27
			-10
			15
			-58
			-523
			-1,153
			-183
			32
			-169
			-218
			2,348
			66
			943
			-63
			48
			-15
			151
			-51
			-118
			-17
			-238
			-192
			-149
			-31
			-66
			-1,364
			-237
			147
			-296
			198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-389
			325
			-2,721
			-142
			416
			-637
			-278
			-11
			-997
			-230
			-727
			138
			360
			2,037
			-3,641
			-111
			79
			-479
			-147
			-9
			-506
			111
			-79
			127
			-5,313
			434
			3,371

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MAURICE SENDAK  200 CHESTNUT HILL RD RIDGEFIELD, CT 06877	PRESIDENT 1 00	0	0	0
LYNN CAPONERA  300 CHESTNUT HILL RD RIDGEFIELD, CT 06877	TREASURER 2 00	0	0	0
DONA MC ADAMS  5677 SANDGATE RD SANDGATE, VT 05250	DIRECTOR 2 00	0	0	0
MICHAEL DI CAPUA  221 EAST 17TH ST NEW YORK, NY 10003	SECRETARY 2 00	0	0	0
DONALD HAMBURG  437 MADISON AVE NEW YORK, NY 10022	DIRECTOR 2 00	0	0	0
ANTONIA MARKIET  81 BEECHWOOD RD FLORHAM PARK, NJ 07932	DIRECTOR 2 00	0	0	0
JOHN VITALE  888 BELLIS PARKWAY ORADELL, NJ 07649	DIRECTOR 2 00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RIDGEFIELD LIBRARY472 MAIN ST RIDGEFIELD,CT 06877		501(C)(3)	LIBRARY CAPITAL CAMPAIGN	50,000
CITY HARVEST INC575 EIGHTH AVE NEW YORK,NY 10018		501(C)(3)	COMMUNITY FOOD PROGRAM	10,000
CARE USA151 ELLIS STREET NE ATLANTA,GA 30303		501(C)(3)	INTERNATIONAL DEVELOPMENT COMMUNITY	15,000
JANE GOODALL INSTITUTE4245 NORTH FAIRFAX DR ARLINGTON,VA 22203		501(C)(3)	PRESERVATION OF GREAT APES	25,000
THE ELEPHANT SANCTUARY804 DARBYTOWN RD HOHENWALD,TN 38462		501(C)(3)	REFUGE FOR ENDANGERED ELEPHANTS	25,000
Total			3a	125,000

TY 2011 Accounting Fees Schedule

Name: MAURICE SENDAK FOUNDATION INC

EIN: 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WAKOFF ANDRIULLI & CO LLC	1,410	705		

**TY 2011 Investments Corporate
Bonds Schedule****Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY 4.75%	205,034	197,018
ROYAL BK OF SCOTLAND 4.875%	204,992	191,236
HSBC FINANCE 3.9%	200,000	195,600
BANK OF AMERICA 7.75%	235,746	202,550
GOLDMAN SACHS GROUP 5.625%	217,275	196,118
MERRILL LYNCH 5.7%	208,262	183,678

TY 2011 Investments Corporate
Stock Schedule

Name: MAURICE SENDAK FOUNDATION INC
EIN: 13-3807627

Name of Stock	End of Year Book Value	End of Year Fair Market Value
700 MERCK	46,641	26,390
168 MEDCO	336	9,391
603 MEDCO	28,986	33,708
603 MEDCO	28,727	33,708
300 IBM	39,968	55,164
APPLE INC		
APPLE INC		
APPLE INC		
CH ROBINSON WORLDWIDE		
CH ROBINSON WORLDWIDE		
CH ROBINSON WORLDWIDE		
CORNING		
CORNING		
CORNING		
DEERE CO	44,487	41,769
DEERE CO		
DEERE CO		
DISNEY (WALT) CO		
DISNEY (WALT) CO		
DISNEY (WALT) CO		
FEDERATED INVESTORS		
FEDERATED INVESTORS		
FEDERATED INVESTORS		
HESS CORP	39,530	39,192
HESS CORP		
HESS CORP		
HEWLETT PACKARD		
HEWLETT PACKARD		
HEWLETT PACKARD		
ISHARES MSCI EAFE	166,575	144,380

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ISHARES MSCI EAFE		
ISHARES MSCI EAFE		
ISHARES MSCI EMERGING	57,762	47,046
ISHARES MSCI EMERGING		
ISHARES MSCI EMERGING		
ISHARES TR MID	99,296	98,774
ISHARES TR MID		
ISHARES TR MID		
ISHARES TR SMALL	104,319	99,279
ISHARES TR SMALL		
ISHARES TR SMALL		
JACOBS ENGN	20,774	18,870
JACOBS ENGN		
JACOBS ENGN		
KIMBERLY CLARK		
KIMBERLY CLARK		
KIMBERLY CLARK		
MARATHON OIL		
MCKESSON CORP		
MCKESSON CORP		
MCKESSON CORP		
MCKESSON CORP		
NEXTERA ENERGY		
NEXTERA ENERGY		
QUEST DIAGNOSTICS	18,672	18,579
QUEST DIAGNOSTICS		
SCHWAB CHARLES		
SCHWAB CHARLES		
SCHWAB CHARLES		
TESORO CORP		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VALERO ENERGY	42,703	39,047
VALERO ENERGY		
VALERO ENERGY		
WALGREEN		
WALGREEN		
WALGREEN		
YAHOO		
YAHOO		
YAHOO		
AMERICAN TOWER	17,824	18,303
ANADARKO PETE	18,181	16,793
CAPITAL ONE FINL	42,768	38,272
CARMAX INC	17,314	16,916
CENTURYLINK	48,759	43,338
DISCOVER FINL	42,688	41,280
EMC CORP	21,187	16,478
FLUOR CORP	18,456	16,583
FORD MOTOR	52,546	40,479
GOOGLE	15,147	18,731
MARATHON PETROLEUM	42,818	40,447
MICROSOFT CORP	44,123	42,574
NOBLE CORP	14,778	15,412
NOVELLUS SYS	42,084	48,103
TERADATA CORP	16,843	16,008

TY 2011 Other Expenses Schedule**Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
BANK CHARGES	12	6		
INVESTMENT FEES	150	150		
MISC	364	182		

TY 2011 Taxes Schedule**Name:** MAURICE SENDAK FOUNDATION INC**EIN:** 13-3807627

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	116	116		
STATE FILING FEES	250	250		