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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

**Note.** The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning 01-01-2011 , and ending 12-31-2011

G Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Name of foundation  
THE WARREN AND AUGUSTA HUME FOUNDATION INC

**A Employer identification number**  
13-3675579

Number and street (or P O box number if mail is not delivered to street address)  
C/O MCGLADREY LLP 850 CANAL STREET

Room/suite

**B Telephone number** (see page 10 of the instructions)  
(203) 327-3112

City or town, state, and ZIP code  
STAMFORD, CT 06902

**C** If exemption application is pending, check here ☐

**D 1.** Foreign organizations, check here ☐

**2.** Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16)  
\$ 5,079,481

**J** Accounting method ☒ Cash ☐ Accrual

☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	144,825	144,825		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,537,360			
	b Gross sales price for all assets on line 6a 2,536,191				
	7 Capital gain net income (from Part IV, line 2) . . . .		1,537,360		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	15,874	15,874		
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	1,698,059	1,698,059		
	13 Compensation of officers, directors, trustees, etc	5,000	0		5,000
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule). . . . .	945	0		945
	b Accounting fees (attach schedule). . . . .	6,500	0		6,500
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see page 14 of the instructions)	5,116	1,833		50
	19 Depreciation (attach schedule) and depletion . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule). . . . .	34,954	28,678		2,298
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	52,515	30,511		14,793
	25 Contributions, gifts, grants paid . . . . .	222,500			222,500
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	275,015	30,511		237,293
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	1,423,044			
	b <b>Net investment income</b> (if negative, enter -0-)		1,667,548		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

Cat No 11289X

Form **990-PF** (2011)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .		-2	-2
	2	Savings and temporary cash investments . . . . .	184,452	57,325	57,325
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	2,128,811	3,731,290	5,021,801
	c	Investments—corporate bonds (attach schedule). . . . .	52,306	0	357
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	2,365,569	3,788,613	5,079,481

Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	

Net Assets or Fund Balances		<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27	Capital stock, trust principal, or current funds . . . . .	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	2,365,569	3,788,613	
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	2,365,569	3,788,613	
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	2,365,569	3,788,613	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	2,365,569
2	Enter amount from Part I, line 27a . . . . .	2	1,423,044
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3 . . . . .	4	3,788,613
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	3,788,613

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,537,360
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	245,518	4,554,189	0 053910
2009	241,472	3,991,392	0 060498
2008	264,983	4,912,462	0 053941
2007	211,690	5,388,222	0 039288
2006	204,035	4,618,579	0 044177

2	Total of line 1, column (d). . . . .	2	0 251814
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 050363
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5. . . . .	4	5,071,647
5	Multiply line 4 by line 3. . . . .	5	255,423
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	16,675
7	Add lines 5 and 6. . . . .	7	272,098
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	237,293

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	33,351
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2. . . . .		3	33,351
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	33,351
6		Credits/Payments			
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	1,680		
b	Exempt foreign organizations—tax withheld at source . . . . .	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	33,197		
d	Backup withholding erroneously withheld . . . . .	6d			
7		Total credits and payments Add lines 6a through 6d. . . . .		7	34,877
8		Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	26
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	1,500
11		Enter the amount of line 10 to be Credited to 2012 estimated tax 1,500 Refunded		11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) DE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of MARK LORUSSO Telephone no (203) 327-3112 Located at MCGLADREY LLP 850 CANAL STREET STAMFORD CT ZIP +4 06902			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year.			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	15		
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions ).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>	5b	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>		6b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3. . . . .	0



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	4,954,653
b	Average of monthly cash balances. . . . .	1b	194,227
c	Fair market value of all other assets (see page 24 of the instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	5,148,880
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	5,148,880
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . .	4	77,233
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,071,647
6	Minimum investment return. Enter 5% of line 5. . . . .	6	253,582

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	253,582
2a	Tax on investment income for 2011 from Part VI, line 5. . . . .	2a	33,351
b	Income tax for 2011 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	33,351
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	220,231
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	220,231
6	Deduction from distributable amount (see page 25 of the instructions). . . . .	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	220,231

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	237,293
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	237,293
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . .	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	237,293
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				220,231
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only. . . . .			167,403	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006. . . . .				
b From 2007. . . . .				
c From 2008. . . . .				
d From 2009. . . . .				
e From 2010. . . . .				
f Total of lines 3a through e. . . . .	0			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 237,293				
a Applied to 2010, but not more than line 2a			167,403	
b Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c Treated as distributions out of corpus (Election required—see page 26 of the instructions). . .	0			
d Applied to 2011 distributable amount. . . . .				69,890
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
d Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . .			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2011. . . . .				150,341
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions). . . . .	0			
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see page 27 of the instructions). . . . .	0			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a. . . . .	0			
10 Analysis of line 9				
a Excess from 2007. . . .				
b Excess from 2008. . . .				
c Excess from 2009. . . .				
d Excess from 2010. . . .				
e Excess from 2011. . . .				

Part XIV

Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2011	(b) 2010	(c) 2009	(d) 2008	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

WARREN C HUME

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	222,500
b Approved for future payment				
Total . . . . .			3b	0

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions )
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments					
<b>4</b> Dividends and interest from securities. . . .			14	144,825	
<b>5</b> Net rental income or (loss) from real estate					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .			14	15,874	
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	1,537,360	
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory. .					
<b>11</b> Other revenue <b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). .		0		1,698,059	0
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . . <b>13</b>				1,698,059	1,698,059

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
--------------------------	--------------------------	---------------------------------

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

\*\*\*\*\*

Signature of officer or trustee

2012-06-07

Date

\*\*\*\*\*

Title

Paid Preparer's Use Only

Preparer's Signature

MARK A LORUSSO

Date

Check if self-employed

☐

PTIN

P00082358

Firm's name

MCGLADREY LLP

850 CANAL STREET 4TH FLOOR

Firm's address

STAMFORD, CT 06902

Firm's EIN

42-0714325

Phone no

(203) 327-3112

May the IRS discuss this return with the preparer shown above? See instructions

☒

Yes

☐

No

Form 990-PF (2011)

**Additional Data**

**Software ID:**  
**Software Version:**  
**EIN:** 13-3675579  
**Name:** THE WARREN AND AUGUSTA HUME FOUNDATION INC

**Form 990PF - Special Condition Description:**

<b>Special Condition Description</b>
--------------------------------------

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo , day, yr )	<b>(d)</b> Date sold (mo , day, yr )
ROYAL DUTCH SHELL PLC	P	2010-12-17	2011-05-05
UBS - SEE ATTACHED	P		2011-06-30
GENERAL MOTORS	P		2011-06-30
EXELON CORP	P	2010-09-17	2011-02-10
KONINKLUKE PHILIPS	P	2010-04-28	2011-02-08
KPAC	P		2011-06-30



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,908		340	1,568
2,490,549		955,785	1,534,764
256			256
42,982		42,333	649
411		373	38
85			85


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,568
			1,534,764
			256
			649
			38
			85

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WARREN C HUME	CHAIRMAN/DIRECTOR 0 25	0	0	0
1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL 32792				
AUGUSTA HUME	VICE-CHAIRMAN/DIRECTOR 0 25	0	0	0
1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL 32792				
HAROLD A WARD III	SECRETARY/DIRECTOR 0 25	1,000	0	0
329 PARK AVENUE NORTH WINTER PARK, FL 32789				
ELIZABETH BROTHERS	DIRECTOR 0 25	1,000	0	0
100 INTERLACHEN AVE WINTER PARK, FL 32789				
G RUSSELL CREIGHTON	TREASURER/DIRECTOR 0 25	1,000	0	0
1579 THE GREENS WAY SUITE 20 JACKSONVILLE, FL 32250				
DR NICHOLAS HUME	PRESIDENT/DIRECTOR 0 25	1,000	0	0
1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL 32792				
CHRISTINA HUME	VICE PRESIDENT/DIRECTOR 0 25	1,000	0	0
1620 MAYFLOWER COURT APT A-115 WINTER PARK, FL 32792				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
GUARDIAN OF DREAMS4920 BRENTWOOD AVENUE JACKSONVILLE,FL 32206	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	5,000
LIGHTHOUSE OF CENTRAL FL215 EAST NEW HAMPSHIRE STREET ORLANDO,FL 32804	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
BACH FESTIVAL SOCIETY1000 HOLT AVENUE - 2763 WINTER PARK,FL 32789	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
THE GLOBAL VILLAGE SCHPOST OFFICE BOX 2200 DECATUR,GA 30031	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	2,500
ADULT LITERACY LEAGUE345 WEST MICHIGAN STREET 100 ORLANDO,FL 32806	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	5,000
COALITION FOR THE HOMELESS 639 WEST CENTRAL BOULEVARD ORLANDO,FL 32801	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	5,000
ATLANTA INTL SCHOOL2890 NORTH FULTON DRIVE ATLANTA,GA 30305	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
BILL MANESS OUTREACH CTR3434 ROSWELL ROAD ATLANTA,GA 30305	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
CHRISTIAN SERV CTR OF CENT808 WEST CENTRAL BOULEVARD ORLANDO,FL 32805	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	5,000
DECATUR ED FDN315 WEST PONCE DE LEON AVENUE SUITE 770 DECATUR,GA 30030	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
FRIENDS SCHOOL OF ATLANTA862 COLUMBIA DRIVE DECATUR,GA 30030	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
INTERNATIONAL COMMUNITY SCHOOL3260 COVINGTON HIGHWAY AVONDALE ESTATES,GA 30032	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	7,500
ORLANDO MUSEUM OF ART2416 NORTH MILLS AVENUE ORLANDO,FL 32803	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
ROLLINS COLLEGE1000 HOLT AVENUE - 2754 WINTER PARK,FL 32789	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	50,000
SECOND HARVEST FOOD BANK 2008 BRENGLE AVENUE ORLANDO,FL 32808	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	5,000
ST JOHN'S COUNTRY DAY SCHOOL 3100 DOCTORS LAKE DRIVE ORANGE PARK,FL 32073	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
STARBASE14300 FANG DRIVE JACKSONVILLE,FL 32218	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	7,500
THE CRADDOCK CTRPOST OFFICE BOX 69 CHERRY LOG,GA 30522	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
THE HOWARD SCHOOL INC1192 FOSTER STREET NW ATLANTA,GA 30318	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
WALDORF SCHOOL OF ATLANTA 827 KIRK ROAD DECATUR,GA 30030	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
YOUNG LIFE OF CLAY COPOST OFFICE BOX 395 ORANGE PARK,FL 32067	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
WINTER PARK DAY NURSERY741 SOUTH PENNSYLVANIA AVENUE WINTER PARK,FL 32789	N/A-NO RECIPIENT IS AN INDIVIDUAL	PUBLIC CHARITY	ALL ARE FOR CHARITABLE, EDUCATIONAL, SCIENTIFIC, OR LITERACY PURPOSES WITHIN THE MEANING OF IRC SECION 501(C)(3)	10,000
<b>Total . . . . .</b>  <b>3a</b>				222,500

## TY 2011 Accounting Fees Schedule

**Name:** THE WARREN AND AUGUSTA HUME FOUNDATION INC

**EIN:** 13-3675579

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	6,500	0		6,500

# TY 2011 Investments Corporate Bonds Schedule

**Name:** THE WARREN AND AUGUSTA HUME FOUNDATION INC

**EIN:** 13-3675579

Name of Bond	End of Year Book Value	End of Year Fair Market Value
50000 GENERAL MTRS CORP NTS	0	0
100,000 KIDDER PEABODY SERIES	0	357

TY 2011 Investments Corporate

Stock Schedule

Name:

THE WARREN AND AUGUSTA HUME FOUNDATION INC

EIN:

13-3675579

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2050 SHS BANKAMERICA CORP	0	0
800 SHS BRISTOL MYERS SQUIBB CO	0	0
1450 SHS CITIGROUP	0	0
1050 SHS BERKSHIRE HATHAWAY INC.	24,303	80,115
1350 SHS IBM	19,391	248,238
1950 SHS JOHNSON & JOHNSON	3,110	127,881
550 SHS NOVO NORDISK A/S	10,857	63,393
466 SHS ROYAL DUTCH PETROLEUM CO	0	0
5038 SHS MEXICO FUND	117,302	110,080
3060 SHS BANK OF NEW YORK MELLON CORP.	7,655	60,925
1590 AT&T INC	0	0
1000 SHS CONSOLIDATED EDISON NEW YORK	41,411	62,030
2300 SHS EXXON CORP	6,158	194,948
1550 SHS XCEL ENERGY INC.	0	0
600 SHS RAYTHEON CO	0	0
17,229 SHS PUTNAM INTERMEDIATE GOVERNMENT	149,033	87,523
3000 SHS ALLSTATE CORP	0	0
1980 SHS JP MORGAN CHASE & CO	37,312	65,835
1470 SHS DARDEN RESTAURANTS	33,861	67,003
1453 SHS ROYAL DUTCH SHELL PLC ADS	79,969	110,443
10000 SHS ALLIANCE BERNSTEIN	80,274	80,700
10640 SHS COHEN & STEERS ADV INC.	149,400	90,121
513 SHS KONINKLIJKE PHILIPS	0	0
138 WARRANTS MIRANT CORP	0	0
3000 SHS SUNOCO LOGISTICS	21,602	354,600
1000 SHS TRAVELERS COS INC.	46,049	59,170
2706 SHS US BANCORP DEL	73,266	73,197
4000 SHS FIRST TRUST VALUE LINE	0	0
2200 SHS PLAINS ALL AMERICAN PIPELINE	39,413	161,590
2000 SHS APACHE CORP	0	0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
2000 SHS EXELON CORP	0	0
5000 SHS PETROLEO BRASILEIRO SA	0	0
1000 SHS CANADIAN PACIFIC RAILWAY	0	0
6000 SHS ENBRIDGE ENERGY PARTNERS	45,107	199,140
60 SHS FAIRPOINT COMMUNICATIONS	0	0
2604 SHS VERIZON	79,921	104,472
2400 SHS SOUTHERN CO	64,428	111,096
5000 SHS PFIZER	0	0
2399 SHS WELLS FARGO(EXCH FR WACHOVIA)	96,218	66,116
1500 SHS AMER EXPRESS CO	65,099	70,755
350 SHS APPLE INC	123,646	141,750
2100 SHS BALL CORP	77,520	74,991
550 SHS BLACKROCK INC	104,533	98,032
3350 SHS BRISTOL MYERS SQUIBB CO	93,906	118,054
800 SHS CATERPILLAR INC	80,507	72,480
2800 SHS CISCO SYSTEMS INC	50,701	50,624
1850 SHS COVIDIEN PLC	95,016	83,269
3000 SHS CSX CORPORATION	74,879	63,180
2000 SHS CVS CAREMARK CORP	74,075	81,560
2200 SHS DR PEPPER SNAPPLE GROUP INC	81,456	86,856
1870 SHS DU PONT DE NEMOURS	89,421	85,609
4600 SHS GENL ELECTRIC CO	97,198	82,386
2400 SHS GENL MILLS INC	85,534	96,984
1400 SHS HEWLETT PACKARD CO	63,755	36,064
1400 SHS HOME DEPOT INC	58,602	58,856
1500 SHS HONEYWELL INTL INC	86,129	81,525
3300 SHS INTEL CORP	69,554	80,025
2200 SHS JOHNSON CONTROLS INC	87,658	68,772
1500 SHS MARATHON PETROLEUM CO	56,312	49,935
1100 SHS MCDONALDS CORP	83,269	110,363



Name of Stock	End of Year Book Value	End of Year Fair Market Value
2350 SHS MICROSOFT CORP	62,438	61,006
2000 SHS ORACLE CORP	65,796	51,300
1070 SHS PROCTER & GAMBLE CO	70,617	71,380
1100 SHS QUALCOMM INC	62,405	60,170
900 SHS SCHLUMBERGER LTD NETHERLANDS ANTILLES	60,224	61,479
1700 SHS TARGET CORP	91,628	87,074
1200 SHS THERMO FISHER SCIENTIFIC INC	65,087	53,964
1500 SHS WALT DISNEY CO (HOLDING CO)	63,357	56,250
900 SHS 3M CO	83,656	73,557
2200 SHS ISHARES TRUST S&P	80,257	78,364
650 SHS VANGUARD BD INDEX FD IC	52,137	52,546
1500 SHS MARATHON OIL CORP	48,878	43,905
3000 SHS GENERAL MOTOR CO	0	61
3000 WARRANTS GENERAL MOTORS	0	59

**TY 2011 Legal Fees Schedule**

**Name:** THE WARREN AND AUGUSTA HUME FOUNDATION INC

**EIN:** 13-3675579

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL	945	0		945

**TY 2011 Other Expenses Schedule****Name:** THE WARREN AND AUGUSTA HUME FOUNDATION INC**EIN:** 13-3675579

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UBS FEE	23,221	23,221		0
NON DEDUCTIBLE EXPENSES FROM SUNOCO LOGISTICS PARTNERS	255	0		0
ENBRIDGE ENERGY PARTNERS	3,498	0		0
NON DEDUCTIBLE EXPENSES FROM ENBRIDGE ENERGY PARTNERS	69	0		0
MISC	433	0		433
NON DEDUCTIBLE EXPENSES FROM PLAINS	156	0		0
SOUTHERN COUNCIL OF FOUNDATIONS	1,865	0		1,865
SAWGRASS ASSET MANAGEMENT	5,457	5,457		0

## TY 2011 Other Income Schedule

**Name:** THE WARREN AND AUGUSTA HUME FOUNDATION INC

**EIN:** 13-3675579

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
SUNOCO LOGISTICS PARTNERS LP	10,640	10,640	10,640
PLAINS ALL AMERICAN PIPELINE	5,234	5,234	5,234

**TY 2011 Taxes Schedule****Name:** THE WARREN AND AUGUSTA HUME FOUNDATION INC**EIN:** 13-3675579

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PLAINS-FOREIGN TAX	354	354		0
FOREIGN TAX	1,479	1,479		0
DE FILING FEES	50	0		50
US TREASURY	3,233	0		0