



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , 2011, and ending , 20

Name of foundation **THE HAROLD W. SIEBENS CHARITABLE FOUNDATION, INC.**

Number and street (or P O box number if mail is not delivered to street address) **C/O MCDERMOTT WILL & EMERY LLP**
340 MADISON AVENUE

Room/suite **17TH FL**

City or town, state, and ZIP code **NEW YORK, NY 10173-1922**

A Employer identification number
13-3666768

B Telephone number (see instructions)
(212) 547-5699

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 3,830,624.**

J Accounting method: ☐ Cash ☒ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	5,153,000.			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	72,539.	72,539.		ATCH 1
	4 Dividends and interest from securities				
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	5,225,539.	72,539.		
	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages	427,509.			427,509.
	15 Pension plans, employee benefits	25,939.			25,939.
	16a Legal fees (attach schedule) ATCH 2	16,229.			16,239.
	b Accounting fees (attach schedule) ATCH 3	16,590.	8,295.		4,733.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,588.			
	19 Depreciation (attach schedule) and depletion	1,643.			
	20 Occupancy	21,443.			18,308.
	21 Travel, conferences, and meetings	39,385.			39,385.
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	26,077.	2,682.		27,191.
	24 Total operating and administrative expenses. Add lines 13 through 23	578,402.	10,977.		559,304.
	25 Contributions, gifts, grants paid	4,136,849.			4,136,849.
	26 Total expenses and disbursements. Add lines 24 and 25	4,715,251.	10,977.	0	4,696,153.
	27 Subtract line 26 from line 12	510,288.			
	a Excess of revenue over expenses and disbursements				
	b Net investment income (if negative, enter -0-)		61,562.		
	c Adjusted net income (if negative, enter -0-)				

RECEIVED
NOV 21 2012
OGDEN, UT
RSC

SCANNED NOV 27 2012

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	225,767.	300,461.	300,461.
	2	Savings and temporary cash investments	701,265.	1,145,487.	1,145,487.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	5,680.	3,347.	3,347.
	10 a	Investments - U.S. and state government obligations (attach schedule), **	2,388,935.	2,362,230.	2,362,230.
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule),			
	Liabilities	11	Investments - land, buildings, and equipment basis ▶		
		Less accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans			
13		Investments - other (attach schedule)			
14		Land, buildings, and equipment basis ▶ 22,596.			
		Less accumulated depreciation (attach schedule) ▶ 21,171.	3,067.	1,425.	1,425.
15		Other assets (describe ▶ ATTCH 7)	17,964.	17,674.	17,674.
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	3,342,678.	3,830,624.	3,830,624.
17		Accounts payable and accrued expenses	7,088.	10,203.	
18		Grants payable			
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)	7,088.	10,203.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,336,838.	3,820,421.	
30	Total net assets or fund balances (see instructions)	3,336,838.	3,820,421.		
31	Total liabilities and net assets/fund balances (see instructions)	3,343,926.	3,830,624.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,336,838.
2	Enter amount from Part I, line 27a	2	510,288.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,847,126.
5	Decreases not included in line 2 (itemize) ▶ ATTACHMENT 8	5	26,705.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,820,421.

**ATTCH 6

Form 990-PF (2011)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2010	4,301,747.	3,625,246.	1.186608
2009	4,633,646.	4,923,318.	0.941163
2008	3,892,265.	3,448,070.	1.128824
2007	3,528,753.	2,623,218.	1.345200
2006	2,750,661.	1,966,633.	1.398665
2 Total of line 1, column (d)		2	6.000460
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	1.200092
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5		4	3,681,587.
5 Multiply line 4 by line 3		5	4,418,243.
6 Enter 1% of net investment income (1% of Part I, line 27b)		6	616.
7 Add lines 5 and 6		7	4,418,859.
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions		8	4,696,153.

Part VI. Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 . . .		
	Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b . . .	1	-616.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	2	
3	Add lines 1 and 2 . . .	3	616.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	4	0
5	Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0- . . .	5	616.
6	Credits/Payments		
a	2011 estimated tax payments and 2010 overpayment credited to 2011 . . .	6a	53.
b	Exempt foreign organizations - tax withheld at source . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868) . . .	6c	1,500.
d	Backup withholding erroneously withheld . . .	6d	
7	Total credits and payments Add lines 6a through 6d . . .	7	1,553.
8	Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached . . .	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . .	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . .	10	937.
11	Enter the amount of line 10 to be Credited to 2012 estimated tax ☒ 937. Refunded ☐ 11	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ IA, MO, NY, TX, . . .		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . .		X

Form 990-PF (2011)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ DON HOBSEBORN Telephone no ☐ (403) 243-7644			
Located at ☐ 200-815-17 AVE. SW, CALGARY, AB, CANADA ZIP + 4 ☐ T2T-0A1				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐			
and enter the amount of tax-exempt interest received or accrued during the year ☐ 15				
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ☐				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1b	
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No		
If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) ☐	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011) ☐	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011? ☐	4b	X

Form 990-PF (2011)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 9		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 10		427,509.	0	0

Total number of other employees paid over \$50,000**3**

Form 990-PF (2011)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

[illegible]

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE ----- ----- -----	
2 ----- ----- -----	
3 ----- ----- -----	
4 ----- ----- -----	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE 	
2 	
All other program-related investments See instructions 3 NONE 	
Total. Add lines 1 through 3	

Form **990-PF** (2011)

Part XI Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,405,775.
b	Average of monthly cash balances	1b	1,331,877.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	3,737,652.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,737,652.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	56,065.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,681,587.
6	Minimum investment return. Enter 5% of line 5	6	184,079.

Part XII Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	184,079.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	616.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	616.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	183,463.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	183,463.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	183,463.

Part XIII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,696,153.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,696,153.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	616.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,695,537.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2011)

Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				183,463.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only				
b Total for prior years 20 09, 20 08, 20 07				
3 Excess distributions carryover, if any, to 2011				
a From 2006	2,653,179.			
b From 2007	3,399,309.			
c From 2008	3,721,150.			
d From 2009	4,389,261.			
e From 2010	4,122,198.			
f Total of lines 3a through e	18,285,097.			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 4,696,153.				
a Applied to 2010, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions).				
d Applied to 2011 distributable amount				183,463.
e Remaining amount distributed out of corpus	4,512,690.			
5 Excess distributions carryover applied to 2011. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	22,797,787.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions).	2,653,179.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	20,144,608.			
10 Analysis of line 9				
a Excess from 2007	3,399,309.			
b Excess from 2008	3,721,150.			
c Excess from 2009	4,389,261.			
d Excess from 2010	4,122,198.			
e Excess from 2011	4,512,690.			

Form 990-PF (2011)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATTACHMENT 11				
Total			3a	4,136,849.
b Approved for future payment ATTACHMENT 12				3,327,734.
Total			3b	3,327,734.

Form 990-PF (2011)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments				14	72,539.	
4 Dividends and interest from securities						
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property .						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory						
9 Net income or (loss) from special events . . .						
10 Gross profit or (loss) from sales of inventory . .						
11 Other revenue a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal Add columns (b), (d), and (e)					72,539.	
13 Total. Add line 12, columns (b), (d), and (e)						72,539.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----|---|-------|----|
| 1. | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule

[illegible]

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check	if
-------	----

PTIN	
------	--

Firm's name ► KPMG LLP

Firm's address ► 345 PARK AVENUE
NEW YORK, NY

10154-0102

Firm's EIN ► 13-5565207

Phone no 212-758-9700

Form 990-PF (2011)

Schedule of Contributors

OMB No 1545-0047

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

2011

Name of the organization

THE HAROLD W. SIEBENS CHARITABLE
FOUNDATION, INC.

Employer identification number

13-3666768

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization **THE HAROLD W. SIEBENS CHARITABLE
FOUNDATION, INC.**

Employer identification number
13-3666768

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SEACAY CORPORATION SUITE 200-815-17 AVENUE SW T2T 0A1 CALGARY ALBERTA CANADA	\$ 2,596,010.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	FAMSEA CORPORATION SUITE 200-815-17 AVENUE SW T2T 0A1 CALGARY ALBERTA CANADA	\$ 2,091,926.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
3	SEAFAM CORPORATION SUITE 200-815-17 AVENUE SW T2T 0A1 CALGARY ALBERTA CANADA	\$ 465,064.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Employer identification number	13-3666768
--------------------------------	------------

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization THE HAROLD W. SIEBENS CHARITABLE
FOUNDATION, INC.

Employer identification number
13-3666768

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ► \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Form **8868**

(Rev. January 2012)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

**Type or
print**File by the
due date for
filing your
return. See
instructions

Name of exempt organization or other filer, see instructions

Enter filer's identifying number, see instructions

Employer identification number (EIN) or

HAROLD W. SIEBENS CHARITABLE FOUNDATION INC.

☐ 13-3666768

Number, street, and room or suite no. If a P O box, see instructions

☐ Social security number (SSN)

340 MADISON AVENUE, 17TH FLOOR

City, town or post office, state, and ZIP code. For a foreign address, see instructions

NEW YORK, NY 10173

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► DON HOBSBAWN

Telephone No. ► 403-243-7644

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 12, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► ☒ calendar year 20 11 or► ☐ tax year beginning , 20 , and ending , 20 .

- 2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	1,500
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	1,500

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2012)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**.
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.		Enter filer's identifying number, see instructions	
	HAROLD W. SIEBENS CHARITABLE FOUNDATION INC.		Employer identification number (EIN) or	
	Number, street, and room or suite no. If a P.O. box, see instructions.		13-3666768	
	340 MADISON AVENUE, 17TH FLOOR		Social security number (SSN)	
City, town or post office, state, and ZIP code. For a foreign address, see instructions.				
NEW YORK, NY 10173				

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990	01		
Form 990-BL	02	Form 1041-A	08
Form 990-EZ	01	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

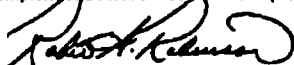
STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of DON HOBSEBAWN
- Telephone No. 403-243-7644 FAX No.
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.
- 4 I request an additional 3-month extension of time until 11/15, 20 12.
- 5 For calendar year 2011, or other tax year beginning , 20 , and ending , 20 .
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFPS (Electronic Federal Tax Payment System). See instructions.	8c \$

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title AUTHORIZED AGENT Date 8/1/12

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST	72,539.	72,539.
TOTAL	<u>72,539.</u>	<u>72,539.</u>

ATTACHMENT 2

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	16,229.			16,239.
TOTALS	<u>16,229.</u>			<u>16,239.</u>

ATTACHMENT 3FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
TAX PREPARATION FEES	16,950.	8,295.		4,733.
TOTALS	<u>16,950.</u>	<u>8,295.</u>		<u>4,733.</u>

ATTACHMENT 4FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
EXCISE TAXES	3,588.
TOTALS	<u>3,588.</u>

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
BANK CHARGES	2,682.	2,682.	2,406.
COMPUTER EXPENSE	2,406.		1,499.
GOODWILL & PROMOTION	1,499.		8,873.
PROFESSIONAL DEVELOPMENT	5,279.		6,315.
INSURANCE	6,315.		2,736.
OFFICE SUPPLIES & SUNDRIES	2,534.		300.
FEES & DUES	300.		5,062.
TELEPHONE	5,062.		
TOTALS	<u>26,077.</u>	<u>2,682.</u>	<u>27,191.</u>

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

<u>DESCRIPTION</u>	<u>ATTACHMENT 6</u>	
	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
US TREASURY NOTE	2,362,230.	2,362,230.
US OBLIGATIONS TOTAL	<u>2,362,230.</u>	<u>2,362,230.</u>

ATTACHMENT 7

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ACCRUED INTEREST RECEIVABLE	17,674.	17,674.
TOTALS	<u>17,674.</u>	<u>17,674.</u>

ATTACHMENT 8FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

UNREALIZED LOSS ON INVESTMENT

26,705.

TOTAL

26,705.

THE HAROLD W. SIEBENS CHARITABLE

13-3666768

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 9

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
STEWART D. SIEBENS C/O SUITE 200-815-17 AVENUE SW CALGARY ALBERTA CANADA	DIRECTOR 3.00	0	0	0
W CARTER SIEBENS C/O SUITE 200-815-17 AVENUE SW CALGARY ALBERTA CANADA	DIRECTOR 3.00	0	0	0
JAMES G MCKEE C/O SUITE 200-815-17 AVENUE SW CALGARY ALBERTA CANADA	DIRECTOR 3.00	0	0	0
HEATHER RAE JOHNSON C/O SUITE 200-815-17 AVENUE SW CALGARY ALBERTA CANADA	DIRECTOR 3.00	0	0	0

THE HAROLD W. SIEBENS CHARITABLE

13-3666768

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
HENRY CHRISTENSEN III C/O MCDERMOTT WILL & EMERY LLP 340 MADISON AVENUE 17TH FL NEW YORK, NY 10173-1922	DIRECTOR 3.00	0	0	0
JAY E RIVLIN C/O MCDERMOTT WILL & EMERY LLP 340 MADISON AVENUE 17TH FL NEW YORK, NY 10173-1922	DIRECTOR 3.00	0	0	0
GRAND TOTALS		0	0	0

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS EXPENSE ACCT TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES</u>
KAREN LEVY C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173	PROGRAM OFFICER 40.00	153,055.	0
MONICA FISHER C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173	PROGRAM OFFICER 40.00	143,495.	0
JEREMY MUELLER C/O MCDERMOTT WILL & EMERY LLP NEW YORK, NY 10173	PROGRAM OFFICER 40.00	130,959.	0
	TOTAL COMPENSATION	<u>427,509.</u>	<u>0</u>

THE HAROLD W SIEBENS CHARITABLE

13-3666768

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ABUSE VICTIMS EDUCATION NETWORK UNIFIED TO ENSURE P O BOX 284 HANNIBAL, MO 63401	NO RELATIONSHIP EXEMPT/CHARITABLE	DEVELOPMENT SPECIALIST	36,768.
BEYOND HOUSING, INC 4156 MANCHESTER AVE ST LOUIS, MO 63110	NO RELATIONSHIP EXEMPT/CHARITABLE	VIKING ADVANTAGE IDA PROGRAM	85,000
BIG SPRINGS MEDICAL ASSOCIATION P O BOX 157 ELLINGTON, MO 63638	NO RELATIONSHIP EXEMPT/CHARITABLE	CHILDREN'S ORAL HEALTH SERVICES PROGRAM	40,000
BIG SPRINGS MEDICAL ASSOCIATION P O BOX 157 ELLINGTON, MO 63638	NO RELATIONSHIP EXEMPT/CHARITABLE	CHILDREN'S ORAL HEALTH SERVICES CAPITAL PROJECT	26,049
BOYS & GIRLS CLUBS OF THE MIDLANDS 815 N 16TH STREET COUNCIL BLUFFS, IA 51501	NO RELATIONSHIP EXEMPT/CHARITABLE	2010 - 2011 OPERATING SUPPORT FOR BOYS & GIRLS CLUBS OF COUNCIL BLUFFS	50,000
BOYS & GIRLS CLUBS OF THE MIDLANDS 815 N. 16TH STREET COUNCIL BLUFFS, IA 51501	NO RELATIONSHIP EXEMPT/CHARITABLE	STAFF EXPANSION CONTINUATION	106,750

RU8725 E299

V 11-6 1

699040

ATTACHMENT 11

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CATHOLIC CHARITIES OF DALLAS, INC. 9461 LYNDON B JOHNSON FREEWAY, SUITE 128 DALLAS, TX 75243	NO RELATIONSHIP EXEMPT/CHARITABLE	BUILDING TOMORROW'S LEADERS TODAY	40,000.
CATHOLIC CHARITIES OF DALLAS, INC. 9461 LYNDON B JOHNSON FREEWAY, SUITE 128 DALLAS, TX 75243	NO RELATIONSHIP EXEMPT/CHARITABLE	2011-2013 SANER CHILD DEVELOPMENT CENTER	48,000
CATHOLIC COUNCIL FOR SOCIAL CONCERN, INC. 601 GRAND AVE DES MOINES, IA 50309-2501	NO RELATIONSHIP EXEMPT/CHARITABLE	PARISH OUTREACH LIAISON	31,100
CENTRAL MISSOURI COMMUNITY ACTION 807B N PROVIDENCE COLUMBIA, MO 65203	NO RELATIONSHIP EXEMPT/CHARITABLE	RESOURCE AND COMMUNITY DEVELOPMENT PROJECT	20,000
CENTRAL MISSOURI COMMUNITY ACTION 807B N. PROVIDENCE COLUMBIA, MO 65203	NO RELATIONSHIP EXEMPT/CHARITABLE	RESOURCE AND COMMUNITY DEVELOPMENT PROJECT	35,000
CHILDREN'S ADVOCACY CENTER OF COLLIN COUNTY, INC 2205 LOS RIOS BLVD PLANO, TX 75074-3422	NO RELATIONSHIP EXEMPT/CHARITABLE	2009-2012 SALARY SUPPORT - VOLUNTEER COORDINATOR	25,000

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CITY SQUARE 511 N AKARD, SUITE 302 DALLAS, TX 75201	NO RELATIONSHIP EXEMPT/CHARITABLE	2011-2012 TRANSITION RESOURCE ACTION CENTER- TRAC	50,000
COMMUNITIES IN SCHOOLS OF CAMERON COUNTY 700 EAST LEVEE STREET, SUITE 204 BROWNSVILLE, TX 78520	NO RELATIONSHIP EXEMPT/CHARITABLE	FAMILY ENGAGEMENT FOR HIGH SCHOOL SUCCESS	36,050
COMMUNITY ACTION PARTNERSHIP OF GREATER ST. JOSEPH 817 MONTEREY STREET, P O BOX 3068 ST JOSEPH, MO 64503	NO RELATIONSHIP EXEMPT/CHARITABLE	DEVELOPMENT DIRECTOR SALARY AND BENEFITS SUPPORT GRANT	30,000.
COMMUNITY ACTION PARTNERSHIP OF GREATER ST JOSEPH 817 MONTEREY STREET, P O BOX 3068 ST JOSEPH, MO 64503	NO RELATIONSHIP EXEMPT/CHARITABLE	MULTI-YEAR CAP REACHING HIGHER WORKING POOR EMERGE PATIENTS PROJECT	25,000
COMM CONFLICT SERVICES OF METROPOLITAN SAINT LOUIS 438 NORTH SKINKER BLVD ST LOUIS, MO 63130	NO RELATIONSHIP EXEMPT/CHARITABLE	RESTORATIVE JUSTICE SCHOOL PROGRAM	15,000
COMM CONFLICT SERVICES OF METROPOLITAN SAINT LOUIS 438 NORTH SKINKER BLVD ST. LOUIS, MO 63130	NO RELATIONSHIP EXEMPT/CHARITABLE	RESTORATIVE JUSTICE SCHOOL PROGRAM PILOT	5,000 0

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COMMUNITY FOUNDATION OF GREATER DUBUQUE 700 LOCUST ST. SUITE 195 DUBUQUE, IA 52001	NO RELATIONSHIP EXEMPT/CHARITABLE	COMMUNICATION AND DEVELOPMENT CAPACITY BUILDING PROGRAM	63,694
COMMUNITY SERVICES LEAGUE OF JACKSON COUNTY 404 NORTH NOLAND ROAD INDEPENDENCE, MO 64050	NO RELATIONSHIP EXEMPT/CHARITABLE	WORK EXPRESS JOB DEVELOPER AND CAREER COACH	25,000
COMMUNITY SERVICES LEAGUE OF JACKSON COUNTY 404 NORTH NOLAND ROAD INDEPENDENCE, MO 64050	NO RELATIONSHIP EXEMPT/CHARITABLE	WORK EXPRESS TECHNOLOGY PROJECT	25,000
DALLAS AFTERSCHOOL NETWORK 2902 SWISS AVENUE DALLAS, TX 75204	NO RELATIONSHIP EXEMPT/CHARITABLE	AFTERSCHOOL PROGRAM QUALITY INITIATIVE	30,000
DES MOINES AREA INTERFAITH HOSPITALITY NETWORK, INC 4114 ALLISON AVENUE DES MOINES, IA 50310	NO RELATIONSHIP EXEMPT/CHARITABLE	CASE MANAGEMENT STAFFING EXPANSION PROJECT	12,655
DEXTER COMMUNITY REGIONAL HEALTHCARE FOUNDATION 215 WEST GRANT DEXTER, MO 63841	NO RELATIONSHIP EXEMPT/CHARITABLE	BUILDING HEALTHY STABLE FAMILIES PROGRAM	40,000

THE HAROLD W SIEBENS CHARITABLE

13-3666768

FORM 990-E, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
EPWORTH CHILDREN & FAMILY SERVICES, INC. 110 N ELM AVE ST LOUIS, MO 63119	NO RELATIONSHIP EXEMPT/CHARITABLE	AGING OUT PREPARING FOSTER CARE YOUTH TO EXIT THE SYSTEM	50,000
FAITH COMMUNITIES COALITION OF DALLAS PO BOX 750509 DALLAS, TX 75275-0509	NO RELATIONSHIP EXEMPT/CHARITABLE	2011 METRICS PROJECT -- PHASE I & II	35,000
FAMILY CRISIS CENTERS OF NORTHWEST IOWA, INC P.O BOX 295 SIOUX CENTER, IA 51250	NO RELATIONSHIP EXEMPT/CHARITABLE	CHILDREN SOAR	53,339
FAMILY CRISIS CENTERS OF NORTHWEST IOWA, INC P O BOX 295 SIOUX CENTER, IA 51250	NO RELATIONSHIP EXEMPT/CHARITABLE	CHILDREN SOAR	39,406
FOOD BANK OF IOWA 2220 E 17TH STREET DES MOINES, IA 50316	NO RELATIONSHIP EXEMPT/CHARITABLE	COMMUNICATIONS SPECIALIST	37,500
FOOD OUTREACH, INC 3117 OLIVE STREET ST. LOUIS, MO 63103	NO RELATIONSHIP EXEMPT/CHARITABLE	NUTRITIONAL SUPPORT FOR LOW-INCOME CANCER PATIENTS PROJECTS	52,378

THE HAROLD W SIEBENS CHARITABLE

13-3666768

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FOUNDATION FOR CHILDREN & FAMILIES OF IOWA 1111 UNIVERSITY AVENUE DES MOINES, IA 50314	NO RELATIONSHIP EXEMPT/CHARITABLE	ELEVATE	21,798
FOUR OAKS FAMILY AND CHILDREN'S SERVICES 5400 KIRKWOOD BLVD SW CEDAR RAPIDS, IA 52404	NO RELATIONSHIP EXEMPT/CHARITABLE	HOLISTIC WORKS PROJECT	100,000
FOUR OAKS FAMILY AND CHILDREN'S SERVICES 5400 KIRKWOOD BLVD. SW CEDAR RAPIDS, IA 52404	NO RELATIONSHIP EXEMPT/CHARITABLE	MASON CITY CAPITAL CAMPAIGN FEASIBILITY STUDY	30,000
FREE CLINICS OF IOWA 3200 GRAND AVENUE DES MOINES, IA 50312	NO RELATIONSHIP EXEMPT/CHARITABLE	GENERAL OPERATING 2009-2011	10,000
HEART OF MISSOURI UNITED WAY, INC 1700 EAST POINTE DRIVE, SUITE 201 COLUMBIA, MO 65201	NO RELATIONSHIP EXEMPT/CHARITABLE	COMMUNITY IMPACT IMPLEMENTATION - PHASE I PROJECT	37,500
HEARTLAND FAMILY SERVICE 1515 AVENUE J COUNCIL BLUFFS, IA 51501	NO RELATIONSHIP EXEMPT/CHARITABLE	ASSESSMENT SUPPORT AND PREVENTION IN IOWA (ASAP IA)	140,871

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
INTERFAITH HOUSING COALITION PO BOX 720206 DALLAS, TX 75372-0206	NO RELATIONSHIP EXEMPT/CHARITABLE	STAFF AND RESOURCES FOR OUTCOMES MEASUREMENTS AND FUNDING COMPLIANCE	65,000
IOWA COUNCIL OF FOUNDATIONS P O BOX 13229 DES MOINES, IA 50310	NO RELATIONSHIP EXEMPT/CHARITABLE	FY 2012 PROGRAM ASSOCIATE	20,000
IOWA FOOD BANK ASSOCIATION 2101 KIMBALL AVE. SUITE 1408 WATERLOO, IA 50613	NO RELATIONSHIP EXEMPT/CHARITABLE	VEHICLE PURCHASE ASSISTANCE	50,630
IOWA HOME OWNERSHIP EDUCATION PROJECT, INC. 217 52ND STREET DES MOINES, IA 50132	NO RELATIONSHIP EXEMPT/CHARITABLE	OPERATING ASSISTANCE	10,000
IOWA LEGAL AID 1111 - 9TH ST., STE. 230 DES MOINES, IA 50314	NO RELATIONSHIP EXEMPT/CHARITABLE	PARTNERSHIP TO ADDRESS POVERTY AND DOMESTIC ABUSE	119,286
IOWA WOMEN'S FOUNDATION 320 KIRKWOOD AVENUE IOWA CITY, IA 52240	NO RELATIONSHIP EXEMPT/CHARITABLE	EXECUTIVE DIRECTOR SALARY	19,125

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
IRVING CARES, INC. P O BOX 177425 IRVING, TX 75060	NO RELATIONSHIP EXEMPT/CHARITABLE	EMERGENCY ASSISTANCE PROGRAM (EAP) ASSISTANCE	15,000
JACKSON RECOVERY CENTERS, INC. 800 5TH STREET, SUITE 200 SIOUX CITY, IA 51101	NO RELATIONSHIP EXEMPT/CHARITABLE	REINTEGRATION CAPITAL PROJECT	44,250
JOB POINT 2116 NELWOOD DRIVE, SUITE 200 COLUMBIA, MO 65202	NO RELATIONSHIP EXEMPT/CHARITABLE	COLUMBIA BUILDS YOUTH (CBY) PROGRAM	41,550
LOWER RIO GRANDE VALLEY COMMUNITY HEALTH MANAGEMEN 901 E VERMONT AVE MCALLEN, TX 78503	NO RELATIONSHIP EXEMPT/CHARITABLE	2011-2012 GENERAL OPERATING, SPECIALTY SERVICES SUBSIDIES	100,000
LUBBOCK AREA FOUNDATION, INC 2509 80TH STREET LUBBOCK, TX 79423	NO RELATIONSHIP EXEMPT/CHARITABLE	2011 ADMINISTRATIVE SUPPORT	50,000
LUTHERAN SERVICES IN IOWA, INC 3125 COTTAGE GROVE AVENUE DES MOINES, IA 50311	NO RELATIONSHIP EXEMPT/CHARITABLE	BREMWOOD SAFETY ENHANCEMENTS	35,000

THE HAROLD W SIEBENS CHARITABLE

13-3666768

FORM 990BF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MICAH HOUSE CORPORATION 1415 AVENUE J COUNCIL BLUFFS, IA 51501	NO RELATIONSHIP EXEMPT/CHARITABLE	SOCIAL WORKER	52,408
MO COALITION AGAINST DOMESTIC AND SEXUAL VIOLENCE 217 OSCAR DRIVE, SUITE A JEFFERSON CITY, MO 65101	NO RELATIONSHIP EXEMPT/CHARITABLE	DIRECTOR'S ACADEMY PROGRAM	50,000
NATIONAL ASSOC FOR LATINO COMMUNITY ASSET BUILDERS 1313 GUADALUPE STREET SUITE 203 SAN ANTONIO, TX 78207	NO RELATIONSHIP EXEMPT/CHARITABLE	2011-2012 BORDER COMMUNITY DEVELOPMENT INITIATIVE	45,000
NORTH DALLAS SHARED MINISTRIES, INC 2875 MERRELL ROAD DALLAS, TX 75229	NO RELATIONSHIP EXEMPT/CHARITABLE	HEALTHCARE ACCESS INITIATIVE	50,000
NORTHEAST IOWA COMMUNITY COLLEGE FOUNDATION PO BOX 400 CALMAR, IA 52132	NO RELATIONSHIP EXEMPT/CHARITABLE	RURAL GED/ESL ACCESS	41,920
OFICINA LEGAL DEL PUEBLO UNIDO, INC 1405 MONTOPOLIS DRIVE AUSTIN, TX 78741	NO RELATIONSHIP EXEMPT/CHARITABLE	VAWA PROGRAM SALARY SUPPORT	7,734

FORM 990E, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
OPERATION FOOD SEARCH, INC. 6282 OLIVE BOULEVARD ST LOUIS, MO 63130	NO RELATIONSHIP EXEMPT/CHARITABLE	OPERATION BACKPACK PROGRAM	70,000
ORCHARD PLACE 2116 GRAND DES MOINES, IA 50312	NO RELATIONSHIP EXEMPT/CHARITABLE	2011 SUMMER SCHOOL	40,000
OZARKS REGIONAL YMCA 417 S JEFFERSON SPRINGFIELD, MO 65806	NO RELATIONSHIP EXEMPT/CHARITABLE	HEALTHY LIVING ALLIANCE PROGRAM	50,000
PERMIAN BASIN AREA FOUNDATION 200 NORTH LORAIN, SUITE 500 MIDLAND, TX 79701	NO RELATIONSHIP EXEMPT/CHARITABLE	2011 UNRESTRICTED OPERATING	50,000
PLACES FOR PEOPLE, INCORPORATED 4130 LINDELL BOULEVARD ST. LOUIS, MO 63108	NO RELATIONSHIP EXEMPT/CHARITABLE	PLACES AT PAGE PROJECT BENEFITS	150,000
PREVENT CHILD ABUSE IOWA 505 FIFTH AVE , SUITE 900 DES MOINES, IA 50309	NO RELATIONSHIP EXEMPT/CHARITABLE	SUPPORTING COMMUNITIES IN PREVENTING CHILD ABUSE PROJECT	75,000

FORM 990BE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
R O W , INC 306 NORTH TUCKER ST LOUIS, MO 63101	NO RELATIONSHIP EXEMPT/CHARITABLE		ECONOMIC ACTION PROGRAM	40,000
ROY MAAS' YOUTH ALTERNATIVES, INC. 3103 WEST AVE SAN ANTONIO, TX 78213	NO RELATIONSHIP EXEMPT/CHARITABLE		READING INTERVENTION PROGRAM	101,596
SAFE HAVEN SHELTER FOR BATTERED WOMEN PO BOX 3558 DULUTH, MN 55803	NO RELATIONSHIP EXEMPT/CHARITABLE		2011-2013 GENERAL OPERATIONS	50,000.
SECOND HARVEST COMMUNITY FOOD BANK 915 DOUGLAS ST. ST JOSEPH, MO 64505	NO RELATIONSHIP EXEMPT/CHARITABLE		FOOD PURCHASE FOR CHOOSING HEALTHY OPTIONS PROGRAM	50,000
SOUTHWEST IOWA FAMILIES, INC 215 E WASHINGTON CLARINDA, IA 51632	NO RELATIONSHIP EXEMPT/CHARITABLE		COUNSELING STAFF EXPANSION CONTINUATION	26,402
ST ANTHONY FOUNDATION 311 S CLARK STREET, PO BOX 628 CARROLL, IA 51401	NO RELATIONSHIP		WEST CENTRAL IOWA AREA HEALTH EDUCATION CENTER	53,172

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
STODDARD COUNTY CHILDREN'S HOME P O BOX 164 DEXTER, MO 63841	NO RELATIONSHIP EXEMPT/CHARITABLE	VAN ACQUISITION PROJECT SHELTER MANAGER SALARY AND BENEFITS	40,000
THE DALLAS FOUNDATION 3963 MAPLE AVENUE, SUITE 390 DALLAS, TX 75219	NO RELATIONSHIP EXEMPT/CHARITABLE	ZERO TO FIVE FUNDERS' COLLABORATIVE	50,000
THE FOOD BANK FOR CENTRAL & NORTHEAST MISSOURI, INC 2101 VANDIVER DRIVE COLUMBIA, MO 65202	NO RELATIONSHIP EXEMPT/CHARITABLE	FOOD ACQUISITION AND DISTRIBUTION PROGRAM	100,000
THE JANE BOYD COMMUNITY HOUSE 943 14TH AVENUE SE CEDAR RAPIDS, IA 52403	NO RELATIONSHIP EXEMPT/CHARITABLE	BETTER TOGETHER INITIATIVE	50,000
THE SALVATION ARMY 6500 HARRY HINES BLVD , P O. BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE	SHERMAN SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,000
THE SALVATION ARMY 6500 HARRY HINES BLVD , P.O BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE	CORSICANA SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,640

THE HAROLD W SIEBENS CHARITABLE

13-3666768

FORM 990DP, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE SALVATION ARMY 6500 HARRY HINES BLVD , P O BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE	PARIS SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,000
THE SALVATION ARMY 6500 HARRY HINES BLVD., P O. BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE	BRYAN SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,000
THE SALVATION ARMY 6500 HARRY HINES BLVD , P.O BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE	ORANGE SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,640
THE SALVATION ARMY 6500 HARRY HINES BLVD , P.O BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE	PAMPA SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,000
THE SALVATION ARMY 6500 HARRY HINES BLVD , P O BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE	PLAINVIEW SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,000
THE SALVATION ARMY 6500 HARRY HINES BLVD , P O. BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE	BORGER SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	7,360

FORM 990DE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE SALVATION ARMY 6500 HARRY HINES BLVD , P O BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE		CLEBURNE SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,640
THE SALVATION ARMY 6500 HARRY HINES BLVD , P O BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE		AMARILLO SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	9,920
THE SALVATION ARMY 6500 HARRY HINES BLVD , P.O BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE		DFW AREA COMMAND SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	43,520
THE SALVATION ARMY 6500 HARRY HINES BLVD , P O BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE		GREENVILLE SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,000
THE SALVATION ARMY 6500 HARRY HINES BLVD , P O BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE		LAREDO SALVATION ARMY - 2011 EMERGENCY ASSISTANCE	8,000.
THE SALVATION ARMY 6500 HARRY HINES BLVD , P.O BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE		HARLINGEN SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,640

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	NO RELATIONSHIP EXEMPT/CHARITABLE	NO RELATIONSHIP EXEMPT/CHARITABLE		
THE SALVATION ARMY 6500 HARRY HINES BLVD., P.O. BOX 36607 DALLAS, TX 75235			TEMPLE SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,000
THE SALVATION ARMY 6500 HARRY HINES BLVD, P O BOX 36607 DALLAS, TX 75235			KILLEEN SALVATION ARMY - 2011 EMERGENCY ASSISTANCE	8,000
THE SALVATION ARMY 6500 HARRY HINES BLVD, P O BOX 36607 DALLAS, TX 75235			VICTORIA SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,000
THE SALVATION ARMY 6500 HARRY HINES BLVD, P O BOX 36607 DALLAS, TX 75235			TEXAS CITY SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,000
THE SALVATION ARMY 6500 HARRY HINES BLVD, P O BOX 36607 DALLAS, TX 75235			SAN ANGELO SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,000
THE SALVATION ARMY 6500 HARRY HINES BLVD., P.O. BOX 36607 DALLAS, TX 75235			LONGVIEW SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,000

THE HAROLD W SIEBENS CHARITABLE

13-3666768

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE SALVATION ARMY 6500 HARRY HINES BLVD , P.O. BOX 36607 DALLAS, TX 75235	NO RELATIONSHIP EXEMPT/CHARITABLE		LUFKIN SALVATION ARMY - 2011-2012 EMERGENCY ASSISTANCE	8,640.
THE U E R P C HOUSING INC. 134 GREENE ST , P O BOX 219 POSTVILLE, IA 52162-0219	NO RELATIONSHIP EXEMPT/CHARITABLE		CAREER PATHWAYS PILOT PROJECT FOR RURAL IOWA	77,500.
THE U.E.R P.C HOUSING INC 134 GREENE ST , P O BOX 219 POSTVILLE, IA 52162-0219	NO RELATIONSHIP EXEMPT/CHARITABLE		CAREER PATHWAYS PILOT PROJECT FOR RURAL IOWA	77,500
THE YOUNG MEN'S CHRISTIAN ASSOCIATION OF GREATER DES MOINES 101 LOCUST STREET DES MOINES, IA 50309	NO RELATIONSHIP EXEMPT/CHARITABLE		MILITARY FAMILY RECONNECT PROGRAM	40,000
THE YOUNG MEN'S CHRISTIAN ASSOCIATION OF GREATER ST. LOUIS CORPORATE OFFICE, 1528 LOCUST STREET ST LOUIS, MO 63103-1816	NO RELATIONSHIP EXEMPT/CHARITABLE		YMCA LITERACY SERVICES	30,000
TRINITY RIVER MISSION, INC 2060 SINGLETON BLVD , STE 104 DALLAS, TX 75212	NO RELATIONSHIP EXEMPT/CHARITABLE		CASH OPERATING RESERVES	30,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WEST DALLAS INITIATIVE 2300 CANADA DRIVE DALLAS, TX 75212	NO RELATIONSHIP EXEMPT/CHARITABLE		STUDENT SCHOLARSHIPS 2011-2014	50,000.
WHITE'S IOWA INSTITUTE P. O. BOX 8 NEW PROVIDENCE, IA 50206	NO RELATIONSHIP EXEMPT/CHARITABLE		PROMISE ACADEMY CAPITAL PROJECT	100,000
WHOLE HEALTH OUTREACH 180 COUNTY ROAD 700 ELLINGTON, MO 63638-8005	NO RELATIONSHIP EXEMPT/CHARITABLE		RELIEF OF IMMEDIATE NEEDS IN THE FOOTHILLS OF THE MISSOURI OZARKS	30,000
WOMEN'S SUPPORT AND COMMUN SERVICES, DBA, SAFE CNC 2165 HAMPTON AVENUE ST LOUIS, MO 63139	NO RELATIONSHIP EXEMPT/CHARITABLE		DEVELOPMENT PROGRAM VOLUNTEER AND OUTREACH COORDINATOR	36,238.
YOUNG WOMEN'S CHRISTIAN ASSOC OF BLACK HAWK CTRY 425 LAFAYETTE STREET WATERLOO, IA 50703	NO RELATIONSHIP EXEMPT/CHARITABLE		LATINO SERVICES PROGRAM	50,000.
YOUNG WOMEN'S CHRISTIAN ASSOC OF ST JOSEPH, MO 304 NORTH 8TH STREET ST JOSEPH, MO 64501	NO RELATIONSHIP EXEMPT/CHARITABLE		MULTI-YEAR WOMEN'S SHELTER SALARY AND BENEFITS	23,600

THE HAROLD W. SIEBENS CHARITABLE

13-3666768

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 11 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
YOUTH EMERGENCY SERVICES & SHELTER OF IOWA 918 SE 11TH STREET DES MOINES, IA 50309	NO RELATIONSHIP EXEMPT/CHARITABLE	DEVELOPMENT MANAGER	8,750
YOUTH EMERGENCY SERVICES & SHELTER OF IOWA 918 SE 11TH STREET DES MOINES, IA 50309	NO RELATIONSHIP EXEMPT/CHARITABLE	CHILD WELFARE EMERGENCY SERVICES EXPANSION	25,000
YOUTH VILLAGE RESOURCES OF DALLAS, INC 6333 E. MOCKINGBIRD, SUITE 147-872 DALLAS, TX 75214	NO RELATIONSHIP EXEMPT/CHARITABLE	2011 PROGRAM SUPPORT	20,000
EMPLOYEE CHARITABLE DONATION MATCHING PROGRAM 340 MADISON AVENUE NEW YORK, NY 10173	NO RELATIONSHIP EXEMPT/CHARITABLE	MATCHING PROGRAM	8,330.
TOTAL CONTRIBUTIONS PAID			<u>4,136,849</u>

ATTACHMENT 11

R08725 E299

V 11-6 1

699040

THE HAROLD W. SIEBENS CHARITABLE FOUNDATION, INC.
EIN 13-3666768
APPROVED FOR FUTURE PAYMENT

<u>Organization</u>	<u>Commitment Amount</u> <u>12/31/2011</u>
Abuse Victims Education Network Unified to Ensure Safety for Northeast Missouri, Inc	25,000
Catholic Charities of Dallas, Inc	32,000
Catholic Charities, Diocese of Des Moines	19,500
Central Missouri Community Action	10,000
Circle of Concern	30,000
CitySquare	50,000
Communities In Schools - Cameron County, Inc	120,000
Community Action Partnership of Greater St. Joseph	25,000
Community Foundation of Greater Dubuque	57,856
Community Services League	50,000
Dallas AfterSchool Network	30,000
Des Moines Area Interfaith Hospitality Network	25,049
Epworth Children & Family Services	50,000
Family Crisis Centers of Northwest Iowa	33,319
Food Bank of Iowa	26,250
Heart of Missouri United Way, Inc.	75,000
Iowans for Social & Economic Development (ISED)	171,811
Jane Boyd	100,000
Job Point	41,549
Kids Closet of Duluth	60,000
Life House	150,000
Lubbock Area Foundation, Inc	45,000
Mental Health America of Eastern Missouri	75,200
MERS/Missouri Goodwill Industries	91,227
Midland Fair Havens	60,000
Missouri Highlands Health Care	3,951
National Association for Latino Community Asset Builders	45,000
Nexus Recovery Center, Incorporated	100,000
North Dallas Shared Ministries, Inc	50,000
Northeast Iowa Food Bank	750,000
Opening Doors	140,000
Ozarks Food Harvest, Inc	65,000
Permian Basin Area Foundation	100,000
Proyecto Azteca	48,000
Safe Haven Shelter for Battered Women	50,000
St. Louis Public Schools Foundation	50,000
Texas Civil Rights Project	40,022
The Dallas Foundation	100,000
The Galaxy Youth Center	50,000
The Young Men's Christian Association of Greater Des Moines, Iowa	32,000
Trinity River Mission, Inc.	70,000
West Dallas Community School	100,000
YMCA of Greater St. Louis	25,000
YWCA of Black Hawk County	55,000
TOTAL	<u>3,327,734</u>

Note: The above amounts represent future grant commitments of the Foundation. The grant recipients must meet certain conditions before receiving payment from the Foundation.