



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



HURRICANE SANDY

Form **990-PF**

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2011Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning , and ending

Name of foundation The Edward T. Cone Foundation		A Employer identification number 13-3646357
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) 212-448-1100
c/o McLaughlin & Stern, LLP, 260 Madison Avenue	1800	
City or town, state, and ZIP code New York NY 10016		C If exemption application is pending, check here ☐
G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 11,558,363	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	129,749			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	0	0		
	4 Dividends and interest from securities	240,861	240,861		
	5 a Gross rents		0		
	b Net rental income or (loss)	0			
	6 a Net gain or (loss) from sale of assets not on line 10	235,105			
	b Gross sales price for all assets on line 6a 6,278,829				
	7 Capital gain net income (from Part IV, line 2)		235,105		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10 a Gross sales less returns and allowances	0			
	b Less Cost of goods sold	0			
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule) Inv. Mgmt	0	0	0	
	12 Total. Add lines 1 through 11	605,715	475,966	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	120,402	60,201		40,134
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)	60,062	30,031	0	30,031
	b Accounting fees (attach schedule)	0	0	0	0
	c Other professional fees (attach schedule)	0	0	0	0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)		0	0	0
	19 Depreciation (attach schedule) and depletion		0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	77,552	38,776	0	38,776
	24 Total operating and administrative expenses. Add lines 13 through 23	258,016	129,008	0	108,941
	25 Contributions, gifts, grants paid	2,016,000			2,016,000
	26 Total expenses and disbursements. Add lines 24 and 25	2,274,016	129,008	0	2,124,941
	27 Subtract line 26 from line 12	-1,668,301			
	a Excess of revenue over expenses and disbursements				
	b Net investment income (if negative, enter -0-)		346,958		
	c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2011)

(HTA)

17916

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	16,942	13,500	13,500
	2 Savings and temporary cash investments	578,861	1,537,578	1,537,625
	3 Accounts receivable ▶	0		
	Less allowance for doubtful accounts ▶	0	0	0
	4 Pledges receivable ▶	0		
	Less allowance for doubtful accounts ▶	0	0	0
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)	0	0	0
	7 Other notes and loans receivable (attach schedule) ▶	0		
	Less allowance for doubtful accounts ▶	0	0	0
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S and state government obligations (attach schedule)	0	0	0
	b Investments—corporate stock (attach schedule)	4,421,380	3,070,235	3,684,447
	c Investments—corporate bonds (attach schedule)	2,027,990	2,187,867	2,274,231
	11 Investments—land, buildings, and equipment basis ▶	0		
Liabilities	Less accumulated depreciation (attach schedule) ▶	0	0	0
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	5,031,437	3,623,025	4,048,560
	14 Land, buildings, and equipment basis ▶	0		
	Less accumulated depreciation (attach schedule) ▶	0	0	0
	15 Other assets (describe ▶)	0	0	0
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	12,076,610	10,432,205	11,558,363
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21 Mortgages and other notes payable (attach schedule)	0	0	
	22 Other liabilities (describe ▶)	0	0	
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	12,042,004	10,373,703	
Part III Analysis of Changes in Net Assets or Fund Balances	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	12,042,004	10,373,703	
	31 Total liabilities and net assets/fund balances (see instructions)	12,042,004	10,373,703	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,042,004
2 Enter amount from Part I, line 27a	2	-1,668,301
3 Other increases not included in line 2 (itemize) ▶	3	0
4 Add lines 1, 2, and 3	4	10,373,703
5 Decreases not included in line 2 (itemize) ▶	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	10,373,703

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See attached schedule				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 6,278,829	0	6,043,724	235,105	
b 0	0	0	0	
c 0	0	0	0	
d 0	0	0	0	
e 0	0	0	0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a 0	0	0	235,105	
b 0	0	0	0	
c 0	0	0	0	
d 0	0	0	0	
e 0	0	0	0	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	235,105
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	2,101,485	12,405,175	0.169404
2009	1,986,530	13,819,209	0.143751
2008	3,032,367	16,372,963	0.185206
2007	2,956,018	19,728,107	0.149838
2006	2,687,618	17,084,252	0.157316
2 Total of line 1, column (d)			2 0.805515
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.161103
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 12,692,344
5 Multiply line 4 by line 3			5 2,044,775
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,470
7 Add lines 5 and 6			7 2,048,245
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 2,124,941

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		1	3,470
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2		3	3,470
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,470
6 Credits/Payments			
a 2011 estimated tax payments and 2010 overpayment credited to 2011	6a	21,941	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	0	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	21,941	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	0	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	18,471	
11 Enter the amount of line 10 to be Credited to 2012 estimated tax ☒ 18,471 Refunded ☐	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ 260 Madison Avenue, New York, NY	13	X	
14	The books are in care of ▶ T. Randolph Harris, Trustee Telephone no ▶ 212-448-1100 Located at ▶ ZIP+4 ▶ 10016			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?☒**5b**

N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**6b**

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
T. Randolph Harris 260 Madison Avenue New York NY 10016	Trustee 4.00	80,268	0	0
George W. Pitcher 18 College Road West Princeton NJ 08540	Trustee 2.00	40,134	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0
		0

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3	0
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	12,813,224
b	Average of monthly cash balances	1b	72,404
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	12,885,628
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	12,885,628
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	193,284
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,692,344
6	Minimum investment return. Enter 5% of line 5	6	634,617

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	634,617
2a	Tax on investment income for 2011 from Part VI, line 5	2a	3,470	
b	Income tax for 2011 (This does not include the tax from Part VI.)	2b	0	
c	Add lines 2a and 2b	2c	3,470	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	631,147	
4	Recoveries of amounts treated as qualifying distributions	4		
5	Add lines 3 and 4	5	631,147	
6	Deduction from distributable amount (see instructions)	6		
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	631,147	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	2,124,941
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,124,941
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	3,470
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,121,471

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				631,147
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2011				
a From 2006	1,858,880			
b From 2007	1,988,338			
c From 2008	2,238,471			
d From 2009	1,299,376			
e From 2010	1,495,636			
f Total of lines 3a through e	8,880,701			
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 2,124,941				
a Applied to 2010, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions)		0		
c Treated as distributions out of corpus (Election required—see instructions)	0			
d Applied to 2011 distributable amount				631,147
e Remaining amount distributed out of corpus	1,493,794			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	10,374,495			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	1,858,880			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	8,515,615			
10 Analysis of line 9				
a Excess from 2007	1,988,338			
b Excess from 2008	2,238,471			
c Excess from 2009	1,299,376			
d Excess from 2010	1,495,636			
e Excess from 2011	1,493,794			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year	Prior 3 years			(e) Total
(a) 2011	(b) 2010	(c) 2009	(d) 2008	
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
				0
0	0	0	0	0
0	0	0	0	0
				0
0	0	0	0	0
				0
				0
				0
				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient		If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)					
a <i>Paid during the year</i> See attached schedule -- all public charities					2,016,000
Total					▶ 3a 2,016,000
b <i>Approved for future payment</i>					
Total					▶ 3b 0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a _____		0		0	0
b _____		0		0	0
c _____		0		0	0
d _____		0		0	0
e _____		0		0	0
f _____		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				0	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____		0		0	0
b _____		0		0	0
c _____		0		0	0
d _____		0		0	0
e _____		0		0	0
12 Subtotal. Add columns (b), (d), and (e)		0		0	0
13 Total. Add line 12, columns (b), (d), and (e)					

13 0

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2011

Name of the organization

The Edward T Cone Foundation

Employer identification number

13-3646357

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization The Edward T. Cone Foundation	Employer identification number 13-3646357
--	---

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ETC Charitable Lead Unitrust #2 260 Madison Avenue, Ste 1800 New York NY 10016 Foreign State or Province Foreign Country	\$ 129,749	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
3	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
4	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
5	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
6	 Foreign State or Province Foreign Country	\$ 0	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

2011 Tax Information Statement

Page 8 of 51

Account Number: 286126
Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Recipient's Tax ID Number: XX-XXX6357
Payer's Federal ID Number: 13-4941247
Questions? (714)430-1221
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

2011 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price less commissions and option premiums.

Cusip Number	Description (Box 9)	Date of Sale (Box 1a)	Date of Acquisition (Box 1b)	Sales Price of Stocks Bonds, etc. (Box 2)	Cost or Other Basis (Box 3)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Covered (Box 6 is not checked)							
Short Term Sales Reported on 1099-B							
009711101	445.0000 AKAMI TECHNOLOGIES INC COM	05/24/2011	02/16/2011	14,653.57	19,030.29	-4,376.72	0.00
043353101	235.0000 ARVINMERITOR INC COM	02/14/2011	02/02/2011	4,649.22	4,321.96	327.26	0.00
253393102	200.0000 DICKS SPORTING GOODS INC OC-COM	02/14/2011	01/10/2011	7,521.85	7,204.84	317.01	0.00
26875P101	115.0000 EOG RES INC COM	02/14/2011	01/20/2011	12,115.31	11,547.27	568.04	0.00
26875P101	95.0000 EOG RES INC COM	04/07/2011	01/20/2011	10,831.15	9,539.04	1,292.11	0.00
35671D957	285.0000 FREEPORT MCMORAN COPPER & GOLD INC CL B	09/20/2011	07/19/2011	10,899.49	16,028.66	-5,129.17	0.00
35671D957	195.0000 FREEPORT MCMORAN COPPER & GOLD INC CL B	09/22/2011	07/19/2011	6,282.34	10,966.97	-4,684.63	0.00
447011107	640.0000 HUNTSMAN CORP COM	02/14/2011	01/10/2011	11,944.22	11,119.68	824.54	0.00
447011107	925.0000 HUNTSMAN CORP COM	07/26/2011	02/16/2011	18,369.85	17,534.85	835.00	0.00
447011107	765.0000 HUNTSMAN CORP COM	08/31/2011	01/10/2011	10,002.46	13,291.49	-3,289.03	0.00
447011107	450.0000 HUNTSMAN CORP COM	09/22/2011	01/10/2011	4,615.78	7,818.53	-3,202.75	0.00
447011107	340.0000 HUNTSMAN CORP COM	09/27/2011	01/10/2011	3,671.52	5,907.33	-2,235.81	0.00
487836108	330.0000 KELLOGG CO COM	12/07/2011	04/07/2011	16,370.44	17,824.55	-1,454.11	0.00
565949106	400.0000 MARATHON OIL CORP COM	08/04/2011	04/18/2011	10,111.80	12,418.34	-2,306.54	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.



2011 Tax Information Statement

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Account Number: 286126
Recipient's Tax ID Number: XX-XXX6357
Payer's Federal ID Number: 13-4941247
Questions? (714)430-1221
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Cusip Number	Description (Box 9)	Date of Sale (Box 1a)	Date of Acquisition (Box 1b)	Sales Price of Stocks Bonds, etc. (Box 2)	Cost or Other Basis (Box 3)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Covered (Box 6 is not checked)							
56585A102	200.0000 MARATHON PETROLEUM CORP COM	07/19/2011	04/18/2011	7,897.20	8,069.34	-172.14	0.00
571903202	190.0000 MARRIOTT INTL INC NEW CL A	02/14/2011	02/02/2011	7,748.05	7,649.40	98.65	0.00
571903202	165.0000 MARRIOTT INTL INC NEW CL A	05/11/2011	02/02/2011	5,991.86	6,642.90	-651.04	0.00
59001K100	1550.0000 MERITOR INC COM	08/31/2011	02/02/2011	13,024.23	28,506.51	-15,482.28	0.00
65339F101	115.0000 NEXTERA ENERGY INC COM	02/14/2011	01/10/2011	6,289.22	6,063.88	225.34	0.00
71715N106	110.0000 PHARMASSET INC COM	12/01/2011	09/12/2011	14,180.52	8,435.69	5,744.83	0.00
768573107	545.0000 RIVERBED TECHNOLOGY INC COM	10/04/2011	05/24/2011	10,118.42	20,121.34	-10,002.92	0.00
784635104	65.0000 SPX CORP COM	02/14/2011	01/24/2011	5,604.84	5,003.17	601.67	0.00
92342Y109	205.0000 VERIFONE SYSTEMS INC COM	02/14/2011	01/10/2011	9,945.29	8,800.65	1,144.64	0.00
92342Y109	310.0000 VERIFONE SYSTEMS INC COM	08/31/2011	01/10/2011	10,894.94	13,308.30	-2,413.36	0.00
931142103	220.0000 WAL MART STORES INC COM	12/07/2011	05/11/2011	12,865.93	12,133.37	732.56	0.00
Total Short Term Sales Reported on 1099-B				246,599.50	289,288.35	-42,688.85	0.00

Report on Form 8949, Part I, with Box A checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2011 Tax Information Statement

Page 10 of 51

Account Number: 286126
Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Recipient's Tax ID Number: XX-XXX6357
Payer's Federal ID Number: 13-4941247
Questions? (714)430-1221

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

2011 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price less commissions and option premiums.

For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service.

Cusip Number	Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered (Box 6 is checked)		(Box 1a)		(Box 2)			(Box 4)
Short Term Sales Reported on 1099-B							
00971T101	145.0000 AKAMI TECHNOLOGIES INC COM	02/14/2011	11/05/2010	6,050.73	7,825.65	-1,774.92	0.00
00971T101	885.0000 AKAMI TECHNOLOGIES INC COM	05/24/2011	Various	29,142.49	44,542.41	-15,399.92	0.00
025537101	1137.0000 AMERICAN ELEC PWR CO INC COM	01/10/2011	02/03/2010	40,502.77	39,397.05	1,105.72	0.00
03076C106	255.0000 AMERIPRISE FINANCIAL INC COM	02/14/2011	11/05/2010	16,455.52	13,737.21	2,718.31	0.00
031162100	165.0000 AMGEN INC COM	02/14/2011	08/03/2010	8,844.84	9,040.17	-195.33	0.00
031162100	200.0000 AMGEN INC COM	05/11/2011	08/03/2010	11,693.61	10,957.78	735.83	0.00
052800109	85.0000 AUTOLV INC COM	02/14/2011	12/13/2010	6,686.82	6,690.09	-3.27	0.00
052800109	510.0000 AUTOLV INC COM	09/09/2011	Various	26,155.09	39,022.45	-12,867.36	0.00
099724106	135.0000 BORG WARNER INC COM	02/14/2011	09/30/2010	10,672.54	7,122.45	3,550.09	0.00
099724106	155.0000 BORG WARNER INC COM	04/07/2011	09/30/2010	11,751.32	8,177.63	3,573.69	0.00
099724106	175.0000 BORG WARNER INC COM	05/24/2011	Various	11,634.84	8,538.25	3,096.59	0.00
171340102	145.0000 CHURCH & DWIGHT INC COM	02/14/2011	07/23/2010	10,464.44	9,558.24	906.20	0.00
171340102	295.0000 CHURCH & DWIGHT INC COM	02/16/2011	Various	21,423.90	19,325.62	2,098.28	0.00
171340102	210.0000 CHURCH & DWIGHT INC COM	05/11/2011	10/01/2010	17,055.76	13,655.61	3,400.15	0.00
171340102	55.0000 CHURCH & DWIGHT INC COM	05/24/2011	10/01/2010	4,468.37	3,576.47	891.90	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2011 Tax Information Statement

Account Number: 286126
Recipient's Tax ID Number: XX-XX6357
Payer's Federal ID Number: 13-4941247
Questions? (714)430-1221
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Cusip Number	Description (Box 9)	Date of Sale (Box 1a)	Date of Acquisition	Sales Price of Stocks Bonds, etc. (Box 2)	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Noncovered (Box 6 is checked)							
172967101	1440.0000 CITIGROUP INC COM	02/14/2011	12/13/2010	6,991.06	7,027.20	-36.14	0.00
172967424	5000 CITIGROUP INC COM	05/09/2011	12/13/2010	22.06	24.40	-2.34	0.00
206708109	130.0000 CONCUR TECHNOLOGIES INC COM	02/14/2011	08/03/2010	6,705.76	6,295.04	410.72	0.00
219023108	150.0000 CORN PRODUCTS INTL INC COM	02/14/2011	12/13/2010	7,216.36	7,098.27	118.09	0.00
254709108	490.0000 DISCOVER FINANCIAL SERVICES COM	02/14/2011	10/15/2010	10,627.89	8,427.46	2,200.43	0.00
35671D857	330.0000 FREEPORT MCMORAN COPPER & GOLD INC CL B	01/10/2011	Various	39,022.76	27,441.88	11,580.88	0.00
35671D857	180.0000 FREEPORT MCMORAN COPPER & GOLD INC CL B	02/14/2011	03/04/2010	10,096.00	7,136.10	2,959.90	0.00
35671D857	420.0000 FREEPORT MCMORAN COPPER & GOLD INC CL B	02/16/2011	03/04/2010	22,944.82	16,650.90	6,293.92	0.00
38141G104	100.0000 GOLDMAN SACHS GROUP INC COM	02/14/2011	Various	16,718.68	16,291.08	427.60	0.00
38141G104	117.0000 GOLDMAN SACHS GROUP INC COM	07/19/2011	09/30/2010	14,959.25	16,956.55	-1,997.30	0.00
447011107	280.0000 HUNTSMAN CORP COM	09/27/2011	12/13/2010	3,023.60	4,474.90	-1,451.30	0.00
447011107	855.0000 HUNTSMAN CORP COM	10/12/2011	12/13/2010	8,680.30	13,664.44	-4,984.14	0.00
447011107	269.0000 HUNTSMAN CORP COM	10/19/2011	12/13/2010	2,646.50	4,299.10	-1,652.60	0.00
447011107	258.0000 HUNTSMAN CORP COM	10/20/2011	12/13/2010	2,577.05	4,123.31	-1,546.26	0.00
447011107	518.0000 HUNTSMAN CORP COM	10/21/2011	12/13/2010	5,272.66	8,278.57	-3,005.91	0.00
45525H100	345.0000 J P MORGAN CHASE & CO COM	02/14/2011	12/13/2010	16,089.49	14,480.51	1,608.98	0.00
45525H100	335.0000 J P MORGAN CHASE & CO COM	07/19/2011	Various	13,530.26	13,922.76	-392.50	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2011 Tax Information Statement

Account Number: 286126
Recipient's Tax ID Number: XX-XXX6357
Payer's Federal ID Number: 13-4941247
Questions? (714)430-1221
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Cusip Number	Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered (Box 6 is checked)		(Box 1a)		(Box 2)			(Box 4)
571903202	280.0000 MARIOTT INTL INC NEW CL A	05/11/2011	08/03/2010	10,168.00	9,824.89	343.11	0.00
581550103	130.0000 MCKESSON HBOC INC COM	02/14/2011	04/26/2010	10,285.40	8,752.77	1,532.63	0.00
59156R108	315.0000 METLIFE INC COM	02/14/2011	05/03/2010	14,765.27	14,524.30	240.97	0.00
59156R108	1670.0000 METLIFE INC COM	02/16/2011	Various	79,141.44	72,366.26	6,775.18	0.00
637071101	275.0000 NATIONAL-OILWELL VARCO INC COM	02/14/2011	Various	21,709.07	15,779.72	5,929.35	0.00
637071101	300.0000 NATIONAL-OILWELL VARCO INC COM	04/07/2011	09/30/2010	23,524.36	13,406.67	10,117.69	0.00
637071101	95.0000 NATIONAL-OILWELL VARCO INC COM	04/18/2011	09/30/2010	7,262.66	4,245.45	3,017.21	0.00
690768403	310.0000 OWENS ILLINOIS INC COM	02/14/2011	04/27/2010	9,520.99	11,088.36	-1,567.37	0.00
690768403	1155.0000 OWENS ILLINOIS INC COM	07/19/2011	Various	28,956.33	34,418.77	-5,462.44	0.00
701094104	75.0000 PARKER HANNIFIN CORP COM	02/14/2011	11/05/2010	6,950.86	5,997.75	953.11	0.00
713448108	65.0000 PEPSICO INC COM	02/14/2011	08/16/2010	4,116.37	4,249.20	-132.83	0.00
806857108	185.0000 SCHLUMBERGER LTD COM	02/14/2011	04/29/2010	16,917.07	13,649.10	3,267.97	0.00
806857108	145.0000 SCHLUMBERGER LTD COM	04/18/2011	Various	12,291.25	10,657.98	1,633.27	0.00
808513105	780.0000 SCHWAB CHARLES CORP NEW COM	02/14/2011	04/05/2010	14,968.92	14,585.14	383.78	0.00
808513105	1350.0000 SCHWAB CHARLES CORP NEW COM	08/31/2011	09/13/2010	16,654.22	18,885.01	-2,230.79	0.00
83421A104	160.0000 SOLERA HOLDINGS INC COM	02/14/2011	08/17/2010	8,375.35	6,380.80	1,994.55	0.00
885175307	100.0000 THORATEC CORP COM NEW	02/14/2011	09/23/2010	2,714.94	3,854.89	-1,139.95	0.00
885175307	690.0000 THORATEC CORP COM NEW	03/31/2011	Various	17,562.84	26,213.69	-8,650.85	0.00
Total Short Term Sales Reported on 1099-B				726,012.88	706,640.30	19,372.58	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.



2011 Tax Information Statement

Account Number: 286126
Recipient's Tax ID Number: XX-XXX6357
Payer's Federal ID Number: 13-4941247
Questions? (714)430-1221
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Cusip Number	Description (Box 9)	Date of Sale (Box 1a)	Date of Acquisition	Sales Price of Stocks Bonds, etc. (Box 2)	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Report on Form 8949, Part I, with Box B checked							
Long Term 15% Sales Reported on 1099-B							
G1151C101	120.0000 ACCENTURE PLC CL A	02/14/2011	09/26/2008	6,273.47	4,490.40	1,783.07	0.00
02076X102	635.0000 ALPHA NAT RES INC COM	01/20/2011	Various	35,254.95	26,766.10	8,488.85	0.00
02076X102	120.0000 ALPHA NAT RES INC COM	02/14/2011	10/20/2009	6,674.07	4,703.72	1,970.35	0.00
02076X102	727.0000 ALPHA NAT RES INC COM	05/24/2011	10/20/2009	36,527.70	28,496.73	8,030.97	0.00
029912201	280.0000 AMERICAN TOWER CORP CL A	02/14/2011	09/18/2008	15,721.69	10,091.20	5,630.49	0.00
031100100	360.0000 AMETEK INC NEW COM	02/14/2011	09/18/2008	15,634.20	10,152.00	5,482.20	0.00
031100100	275.0000 AMETEK INC NEW COM	03/10/2011	09/18/2008	11,458.99	7,755.00	3,703.99	0.00
031100100	260.0000 AMETEK INC NEW COM	05/24/2011	09/18/2008	10,698.24	7,332.00	3,366.24	0.00
031162100	702.0000 AMGEN INC COM	05/11/2011	07/09/2008	41,044.59	35,570.34	5,474.25	0.00
032511107	195.0000 ANADARKO PETE CORP COM	02/14/2011	05/01/2009	15,519.75	8,808.13	6,711.62	0.00
037833100	100.0000 APPLE COMPUTER INC COM	02/14/2011	06/20/2008	35,894.31	17,655.99	18,238.32	0.00
050505104	2.0000 BANK AMER CORP COM	02/14/2011	07/24/2009	29.61	25.24	4.37	0.00
05548J106	435.0000 BJS WHSL CLUB INC COM	02/14/2011	02/26/2008	21,288.14	14,655.02	6,633.12	0.00
05548J106	1065.0000 BJS WHSL CLUB INC COM	02/16/2011	02/26/2008	53,262.71	35,879.53	17,383.18	0.00
151020104	380.0000 CELGENE CORP	02/14/2011	07/24/2009	20,144.40	20,735.73	-591.33	0.00
155764100	75.0000 CHEVRON CORP COM	02/14/2011	09/18/2008	7,260.61	6,104.25	1,156.36	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2011 Tax Information Statement

Page 14 of 51

Account Number: 286126
 Recipient's Tax ID Number: XX-XXX6357
 Payer's Federal ID Number: 13-4941247
 Questions? (714)430-1221
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Cusip Number	Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered (Box 6 is checked)		(Box 1a)		(Box 2)			(Box 4)
171340102	310.0000 CHURCH & DWIGHT INC COM	05/24/2011	07/17/2009	25,185.34	17,415.08	7,770.26	0.00
17275R102	580.0000 CISCO SYS INC COM	02/14/2011	12/30/1997	10,909.59	5,419.38	5,490.21	0.00
17275R102	1187.0000 CISCO SYS INC COM	02/16/2011	12/30/1997	21,984.59	11,091.03	10,893.56	0.00
17275R102	2160.0000 CISCO SYS INC COM	04/18/2011	12/30/1997	35,984.90	20,182.50	15,802.40	0.00
206708109	780.0000 CONCUR TECHNOLOGIES INC COM	08/05/2011	Various	31,721.12	35,591.37	-3,870.25	0.00
25490A101	1497.0000 DIRECTV CL A COM	01/10/2011	11/06/2009	62,214.26	43,411.35	18,802.91	0.00
254709108	980.0000 DISCOVER FINANCIAL SERVICES COM	10/19/2011	10/15/2010	22,533.47	16,854.92	5,678.55	0.00
268648102	150.0000 E M C CORP MASS	02/14/2011	01/14/2000	4,078.42	8,271.69	-4,193.27	0.00
278642103	235.0000 EBAY INC COM	02/14/2011	10/09/2009	7,985.49	5,835.05	2,150.44	0.00
28176E108	115.0000 EDWARDS LIFESCIENCES CORP	02/14/2011	03/17/2008	10,123.40	2,540.86	7,582.54	0.00
28176E108	240.0000 EDWARDS LIFESCIENCES CORP	03/31/2011	03/17/2008	21,032.41	5,302.67	15,729.74	0.00
29256R108	2.0000 ENERGIZER HLDGS INC COM	02/14/2011	09/26/2008	139.17	169.72	-30.55	0.00
26875P101	10.0000 EOG RES INC COM	04/07/2011	11/26/2008	1,140.12	853.06	287.06	0.00
302182100	305.0000 EXPRESS SCRIPTS INC COM	02/14/2011	Various	17,747.60	9,387.18	8,360.42	0.00
30231G102	180.0000 EXXON MOBIL CORP COM	02/14/2011	03/15/2004	15,229.50	7,581.94	7,647.56	0.00
30231G102	160.0000 EXXON MOBIL CORP COM	05/24/2011	03/15/2004	13,065.34	6,739.50	6,325.84	0.00
359604103	700.0000 GENERAL ELEC CO COM	02/14/2011	02/03/2010	15,035.71	11,717.23	3,318.48	0.00
38141G104	58.0000 GOLDMAN SACHS GROUP INC COM	07/19/2011	05/07/2009	7,415.70	7,761.97	-346.27	0.00
38141G104	427.0000 GOLDMAN SACHS GROUP INC COM	09/01/2011	05/07/2009	48,449.97	57,144.13	-8,694.16	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2011 Tax Information Statement

Account Number: 286126
Recipient's Tax ID Number: XX-XXX6357
Payer's Federal ID Number: 13-4941247
Questions? (714)430-1221
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:

THE EDWARD T. CONE FOUNDATION
C/O T. RANDOLPH HARRIS, TRUSTEE
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

Payer's Name and Address:

DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-3203
NEW YORK, NY 10005

Cusip Number	Description	Date of Sale	Date of Acquisition	Sales Price of Stocks Bonds, etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld
Noncovered (Box 6 is checked)		(Box 1a)		(Box 2)			(Box 4)
428236103	110.0000 HEWLETT PACKARD CO COM	02/14/2011	07/16/2009	5,302.39	4,362.60	939.79	0.00
428236103	712.0000 HEWLETT PACKARD CO COM	04/18/2011	07/16/2009	28,184.82	28,237.92	53.10	0.00
458140100	460.0000 INTEL CORP COM	02/14/2011	06/14/1995	9,935.80	3,164.30	6,771.50	0.00
458140100	1125.0000 INTEL CORP COM	04/18/2011	06/14/1995	22,089.51	7,738.77	14,350.74	0.00
45955V100	2.0000 INTERCONTINENTAL EXCHANGE INC COM	02/14/2011	12/10/2008	258.89	156.78	102.11	0.00
532716107	425.0000 LIMITED BRANDS INC COM	02/14/2011	09/24/2009	13,906.96	7,410.09	6,496.87	0.00
532716107	345.0000 LIMITED BRANDS INC COM	04/06/2011	09/24/2009	12,033.64	6,015.25	6,018.39	0.00
532716107	270.0000 LIMITED BRANDS INC COM	05/24/2011	09/24/2009	10,601.20	4,707.58	5,893.62	0.00
571903202	843.0000 MARRIOTT INTL INC NEW CL A	05/11/2011	07/24/2009	30,612.96	17,834.76	12,778.20	0.00
580135101	130.0000 MCDONALDS CORP COM	02/14/2011	09/18/2008	9,900.30	8,204.30	1,696.00	0.00
590135101	150.0000 MCDONALDS CORP COM	07/19/2011	09/18/2008	12,907.01	9,466.50	3,440.51	0.00
594918104	1045.0000 MICROSOFT CORP COM	02/14/2011	04/07/2003	28,338.39	27,240.43	1,097.96	0.00
61746SBS7	500000.0000 MORGAN DTD 10/21/2005 5 05% 1/21/2011	01/21/2011	12/28/2006	500,000.00	500,000.00	0.00	0.00
61945A107	95.0000 MOSAIC CO COM	02/14/2011	04/24/2009	8,406.38	3,874.77	4,531.61	0.00
61945A107	270.0000 MOSAIC CO COM	04/07/2011	04/24/2009	21,549.06	11,012.49	10,536.57	0.00
637071101	45.0000 NATIONAL-OILWELL VARCO INC COM	04/18/2011	04/15/2010	3,440.21	1,930.00	1,510.21	0.00
637071101	150.0000 NATIONAL-OILWELL VARCO INC COM	05/24/2011	04/15/2010	10,215.60	6,433.33	3,782.27	0.00
637071101	380.0000 NATIONAL-OILWELL VARCO INC COM	08/04/2011	04/15/2010	26,175.72	16,297.78	9,877.94	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2011 Tax Information Statement

Account Number: 286126

Recipient's Tax ID Number: XX-XXX6357

Payer's Federal ID Number: 13-4941247

Questions? (714)430-1221

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

THE EDWARD T. CONE FOUNDATION
C/O T. RANDOLPH HARRIS, TRUSTEE
MCLAUGHLIN & STERN, LLP
260 MADISON AVENUE 18TH FL.
NEW YORK, NY 10016

Payer's Name and Address:

DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-3203
NEW YORK, NY 10005

Cusip Number	Description (Box 9)	Date of Sale (Box 1a)	Date of Acquisition	Sales Price of Stocks Bonds, etc. (Box 2)	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Noncovered (Box 6 is checked)							
637071101	190.0000 NATIONAL-OILWELL VARCO INC COM	09/22/2011	04/15/2010	10,356.80	8,148.89	2,207.91	0.00
654106103	150.0000 NIKE INC CL B	02/14/2011	09/18/2008	12,874.25	9,021.00	3,853.25	0.00
655664100	175.0000 NORDSTROM INC COM	02/14/2011	02/03/2010	7,986.84	6,299.63	1,687.21	0.00
655844108	290.0000 NORFOLK SOUTHERN CORP COM	02/14/2011	11/04/2009	18,649.54	14,568.99	4,080.55	0.00
655844108	195.0000 NORFOLK SOUTHERN CORP COM	12/07/2011	11/04/2009	14,475.11	9,796.39	4,678.72	0.00
68389X105	65.0000 ORACLE CORP COM	02/14/2011	05/02/2008	2,171.60	1,396.02	775.58	0.00
690768403	705.0000 OWENS ILLINOIS INC COM	05/24/2011	04/27/2010	21,912.24	25,217.08	-3,304.84	0.00
690768403	160.0000 OWENS ILLINOIS INC COM	07/19/2011	04/27/2010	4,011.27	5,723.02	-1,711.75	0.00
713448108	60.0000 PEPSICO INC COM	02/14/2011	07/31/2002	3,799.72	2,537.40	1,262.32	0.00
693475105	260.0000 PNC FINL SVCS GROUP INC COM	02/14/2011	09/18/2008	16,673.47	18,925.40	-2,251.93	0.00
73935S105	2900.0000 POWERSHARES DB COMMODITY INDEX TRACKING	02/18/2011	05/08/2009	83,083.40	62,538.50	20,544.90	0.00
74144T108	260.0000 PRICE T ROWE GROUP INC COM	02/14/2011	05/02/2008	18,000.44	16,093.12	1,907.32	0.00
747525103	115.0000 QUALCOMM INC COM	02/14/2011	06/20/2008	6,733.61	5,568.30	1,165.31	0.00
776696106	195.0000 ROPER INDS INC COM	02/14/2011	09/11/2009	16,452.79	9,874.57	6,578.22	0.00
776696106	135.0000 ROPER INDS INC COM	03/10/2011	09/11/2009	11,420.97	6,836.24	4,584.73	0.00
776696106	130.0000 ROPER INDS INC COM	05/24/2011	09/11/2009	10,549.39	6,583.04	3,966.35	0.00
808513105	2545.0000 SCHWAB CHARLES CORP NEW COM	08/11/2011	Various	30,756.73	43,966.20	-13,209.47	0.00
808513105	1175.0000 SCHWAB CHARLES CORP NEW COM	08/31/2011	07/23/2010	14,495.34	17,917.46	-3,422.12	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2011 Tax Information Statement

Account Number: 286126
Recipient's Tax ID Number: XX-XXX6357
Payer's Federal ID Number: 13-4941247
Questions? (714)430-1221
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
 THE EDWARD T. CONE FOUNDATION
 C/O T. RANDOLPH HARRIS, TRUSTEE
 MCLAUGHLIN & STERN, LLP
 260 MADISON AVENUE 18TH FL.
 NEW YORK, NY 10016

Payer's Name and Address:
 DEUTSCHE BANK TRUST CO AMERICAS
 60 WALL STREET MS NYC60-3203
 NEW YORK, NY 10005

Cusip Number	Description (Box 9)	Date of Sale (Box 1a)	Date of Acquisition	Sales Price of Stocks Bonds, etc. (Box 2)	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Noncovered (Box 6 is checked)							
811065101	1185.0000 SCRIPPS NETWORKS INTERACTIVE COM	01/10/2011	09/11/2009	56,052.28	42,135.88	13,916.40	0.00
893641100	65.0000 TRANSDIGM GROUP INC COM	02/14/2011	02/03/2010	5,286.34	3,204.38	2,081.96	0.00
913017109	190.0000 UNITED TECHNOLOGIES CORP COM	02/14/2011	08/18/1999	16,125.88	6,413.54	9,712.34	0.00
913017109	175.0000 UNITED TECHNOLOGIES CORP COM	12/07/2011	08/18/1999	13,243.02	5,907.21	7,335.81	0.00
918204108	450.0000 V F CORP COM	01/24/2011	05/02/2008	37,160.06	34,949.92	2,210.14	0.00
918204108	50.0000 V F CORP COM	02/14/2011	05/02/2008	4,336.41	3,883.33	453.08	0.00
918204108	377.0000 V F CORP COM	02/16/2011	05/02/2008	32,400.64	29,280.27	3,120.37	0.00
931142103	70.0000 WAL MART STORES INC COM	02/14/2011	10/13/2008	3,836.62	3,709.80	126.82	0.00
Total Long Term 15% Sales Reported on 1099-B				1,931,367.13	1,577,075.24	354,291.89	0.00
Report on Form 8949, Part II, with Box B checked							
Long Term 28% Sales Reported on 1099-B							
78463V107	1031.0000 SPDR GOLD TRUST	08/25/2011	05/08/2009	176,710.52	92,197.15	84,513.37	0.00
78463V107	.0000 SPDR GOLD TRUST	12/31/2011	05/08/2009	1,001.51	596.52	404.99	0.00
Report on Form 8949, Part II, with Box B checked				177,712.03	92,793.67	84,918.36	0.00

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

ACCT K494 096011-000
FROM 01/01/2011
TO 12/31/2011

WILMINGTON TRUST COMPANY
STATEMENT OF CAPITAL GAINS AND LOSSES
WTNA AGT/EDWARD T CONE FND
TRUST YEAR ENDING 12/31/2011

PAGE 19
RUN 01/12/2012
02:22:43 PM

TAX PREPARER: MK
TRUST ADMINISTRATOR: LEO
INVESTMENT OFFICER: DHS

LEGEND: F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC
93531 8870 VANGUARD FIXED INCOME SECS FUND INC SHORT TERM CORP FD ADMIRAL SHS - 922031836 - MINOR = 7005							
SOLD		02/09/2011	1005467 78				
ACQ	52 1260	12/30/2010	560 35	560 88	-0 53	ST	Y
	23320.7340	05/03/2010	250697 89	250464 68	233 21	ST	Y
	70159 0270	06/18/2010	754209 54	750000.00	4209.54	ST	Y
1425 0000 ISHARES BARCLAYS TIPS BOND FUND - 464287176 - MINOR = 63J							
SOLD		02/10/2011	149931 19				
ACQ	1425 0000	05/03/2010	149931 19	150740 06	-808.87	ST	Y
6000 0000 VANGUARD FTSE ALL-WORLD EX-US INDEX FUND-U - 922042775 - MINOR = 7010							
SOLD		09/30/2011	234082 51				
ACQ	6000 0000	05/03/2010	234082 51	262597.20	-28514.69	LT15	Y
4100 0000 VANGUARD MSCI EMERGING MARKETS ETF - 922042858 - MINOR = 7010							
SOLD		09/30/2011	148461 01				
ACQ	4100 0000	12/14/2010	148461 01	195989 84	-47528.83	ST	Y
7710 0000 SPDR TRUST UNIT SER 1 - 78462F103 - MINOR = 5030							
SOLD		10/04/2011	833959.26				
ACQ	5000.0000	05/03/2010	540829 61	597412.50	-56582 89	LT15	Y
	1575 0000	12/14/2010	170361 33	196957 53	-26596 20	ST	Y
	1135 0000	02/10/2011	122768.32	149970 28	-27201 96	ST	
5300 0000 ISHARES TRUST DJ SELECT DIVIDEND INDEX - 464287168 - MINOR = 7010							
SOLD		11/09/2011	274998 46				
ACQ	500 0000	10/04/2011	25943.25	23174 75	2768 50	ST	
	4800 0000	10/10/2011	249055 21	238721 47	10333 74	ST	
1500 0000 ISHARES TRUST RUSSELL 2000 INDEX FUND - 464287655 - MINOR = 08020							
SOLD		11/09/2011	110069 08				
ACQ	1500 0000	12/14/2010	110069 08	116508 60	-6439 52	ST	Y
1031 0000 SPDR S&P MIDCAP 400 ETF TRUST - 78467Y107 - MINOR = 7010							
SOLD		11/09/2011	165177 35				
ACQ	31.0000	05/03/2010	4966 54	4663 00	303 54	LT15	Y
	1000 0000	12/14/2010	160210.81	163722 30	-3511 49	ST	Y
3928 0000 VANGUARD FTSE ALL-WORLD EX-US INDEX FUND-U - 922042775 - MINOR = 7010							
SOLD		11/09/2011	164989 32				
ACQ	3928 0000	05/03/2010	164989 32	171913 64	-6924 32	LT15	Y
2674 0000 VANGUARD MSCI EMERGING MARKETS ETF - 922042858 - MINOR = 7010							
SOLD		11/09/2011	110001 15				
ACQ	2654 0000	05/03/2010	109178 40	111618 48	-2440 08	LT15	Y
	20 0000	12/14/2010	822 75	956 05	-133 30	ST	Y
TOTALS			3197137 11	3385971 26			

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28%	LONG TERM 15%	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	-80575 99	0 00	-94158 44	0 00	0 00	0 00*
COVERED FROM ABOVE	-14099 72	0 00	0 00	0 00	0 00	
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	4022 78			0 00
	-94675 71	0 00	-90135 66	0 00	0 00	0 00
STATE						
NONCOVERED FROM ABOVE	-80575 99	0 00	-94158 44	0 00	0 00	0 00*
COVERED FROM ABOVE	-14099 72	0 00	0 00	0 00	0 00	
COMMON TRUST FUND	0 00	0 00	0 00			0 00
CAPITAL GAIN DIVIDENDS	0 00	0 00	4022 78			0 00
	-94675.71	0 00	-90135 66	0 00	0 00	0 00

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL	0 00	0 00
NEW YORK	N/A	N/A

Investment Detail

096011-000 WTNAAGT/EDWARD T CONE FND

As of December 31, 2011

Page 5 of 12

QUANTITY DESCRIPTION	MARKET VALUE (\$M)	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM (%)
PRINCIPAL PORTFOLIO(S)							
BONDS AND NOTES							
Corporate Obligations							
2014							
500,000.0000	\$554,775.00	10.92	\$511,500.00	\$43,275.00	\$9,350.00	\$24,750.00	4.46
PROCTER & GAMBLE CO CORP SR UNSUB	110.9550		102.30				0.72
DTD 8/10/2004 4.95% 8/15/2014							
Sub-Total Corporate Obligations							
	554,775.00	10.92	511,500.00	43,275.00	9,350.00	24,750.00	4.46
TOTAL BONDS AND NOTES							
	554,775.00	10.92	511,500.00	43,275.00	9,350.00	24,750.00	4.46
MUTUAL FUNDS							
56,120.7000							
ABSOLUTE STRATEGIES FUND I	620,133.83	12.20	602,812.85	17,320.98	177.34	2,076.47	0.33
	11.0500		10.74				
15,000.0000	854,943.00	16.82	736,957.21	117,985.79	0.00	29,383.20	3.44
ISHARES TRUST DJ SELECT DIVIDEND INDEX FUND	53.7700		46.35				
4,250.0000	495,932.50	9.76	449,575.63	46,356.87	0.00	20,332.00	4.10
ISHARES BARCLAYS TIPS BOND FUND	116.6900		105.78				
2,695.0000	198,018.75	3.90	197,407.86	610.89	0.00	2,765.55	1.40
ISHARES TRUST RUSSELL 2000 INDEX FUND	73.7500		73.52				
7,500.0000	292,275.00	5.75	248,662.35	43,612.65	0.00	14,287.50	4.89
THE JPMORGAN ALERIAN MLP INDEX ETN	38.9700		33.15				
5,350.0000	143,594.00	2.83	122,667.63	20,926.37	0.00	0.00	0.00
POWERSHARES DB COMMODITY INDEX TRACKING FUND	26.8400		22.93				
2,063.0000	313,555.37	6.17	184,469.26	129,086.11	0.00	0.00	0.00
SPDR GOLD TRUST	151.9900		89.42				
969.0000	154,545.81	3.04	145,756.50	8,789.31	512.97	1,659.90	1.07
SPDR S&P MIDCAP 400 ETF TRUST	159.4900		150.42				
3,797.0000	150,551.05	2.96	166,180.26	(15,629.21)	0.00	5,198.09	3.45
VANGUARD FTSE ALL-WORLD EX-US INDEX FUND	39.6500		43.77				
-U							

continued





Investment Detail

096011-000 WTNAAGT/EDWARD T CONE FND

As of December 31, 2011

Page 6 of 12

QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM (%)
PRINCIPAL PORTFOLIO(S)							
MUTUAL FUNDS							
4.6% 0000	\$171,792.16	3.38	\$189,086.93	(\$17,294.77)	\$0.00	\$4,073.38	2.37
VANGUARD MSCI EMERGING MARKETS ETF	38.2100		42.06				
36.9515300	300,046.42	5.90	301,511.40	(1,464.98)	1,170.60	14,485.00	4.83
WELLS FARGO ADV SHORT TERM HY BOND	8.1200		8.16				
TOTAL MUTUAL FUNDS	3,695,387.89	72.71	3,345,087.88	350,300.01	1,860.91	94,261.09	2.55
SHORT-TERM INVESTMENTS							
250,000 0000	250,000.00	4.92	250,000.00	0.00	63.71	750.14	0.30
WILMINGTON TRUST DEPOSIT SWEEP	1.0000		1.00				
581,871.8400	581,871.84	11.45	581,871.84	0.00	5.81	63.50	0.01
WILMINGTON PRIME MM FUND W CLASS	1.0000		1.00				
TOTAL SHORT-TERM INVESTMENTS	831,871.84	16.37	831,871.84	0.00	69.52	813.64	0.10
TOTAL PRINCIPAL PORTFOLIO(S)	5,082,034.73	100.00	4,688,459.72	393,575.01	11,280.43	119,824.73	2.36
ACCRUED INCOME							
	11,280.43						
MARKET VALUE WITH ACCRUED INCOME							
	5,093,315.16						
INCOME PORTFOLIO(S)							
SHORT-TERM INVESTMENTS							
152,797.7900	152,797.79	100.00	152,797.79	0.00	1.55	15.28	0.01
WILMINGTON US GOVT FUND W CLASS	1.0000		1.00				
TOTAL SHORT-TERM INVESTMENTS	152,797.79	100.00	152,797.79	0.00	1.55	15.28	0.01
TOTAL INCOME PORTFOLIO(S)	152,797.79	100.00	152,797.79	0.00	1.55	15.28	0.01
ACCRUED INCOME							
	1.55						
MARKET VALUE WITH ACCRUED INCOME							
	152,799.34						

continued

Investment Detail

096011-000 WTNAAGT/EDWARD T CONE FND

As of December 31, 2011

Page 7 of 12

QUANTITY DESCRIPTION	MARKET VALUE (M/V) MARKET UNIT PRICE	%M/V	FEDERAL TAX COST AVERAGE UNIT COST	UNREALIZED GAIN/(LOSS)	ACCRUED INCOME	ESTIMATED ANNUAL INCOME	YIELD (%) YTM (%)
GRAND TOTAL(S)	\$5,234,832.52		\$4,841,257.51	\$393,575.01	\$11,281.98	\$119,840.01	2.29
ACCRUED INCOME	11,281.98						
MARKET VALUE WITH ACCRUED INCOME	5,246,114.50						



Deutsche Bank

Private Wealth Management

Detailed Portfolio Information

As of December 31, 2011

List of Holdings - Cash and Cash Equivalents

Account Name	THE EDWARD T CONE FOUNDATION
Account Number	286126
Base Currency	USD

Quantity	Security Description Security ID	Moody'sS&P Rating	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Yield to Maturity %
Cash Management										
470,894.95	DWS MM MANAGED SHARES		88.94%	USD 1 000	1 000	470,894.95	470,894.95	41.15	376.72	0.08%
70,730.69	DWS MM MANAGED SHARES - INCOME		13.06%	USD 1 000	1 000	70,730.69	70,730.69	5.41	56.58	0.08%
Total Cash Management						541,625.64	541,625.64	46.56	433.30	
Total Cash and Cash Equivalents						541,625.64	541,625.64	46.56	433.30	0.08%
Total Accrued Income							46.56			
Total Cash and Cash Equivalents including Accrued Income							541,672.20			

For Cash Management vehicles and Money Market Funds, Annual Yield is reported in the Yield to Maturity column

[illegible]

000625 29/39

Detailed Portfolio Information

As of December 31, 2011

Account Name THE EDWARD T CONE FOUNDATION

Account Number 286126

Base Currency USD

List of Holdings - Fixed Income

Quantity	Security Description Security ID Moody's/S&P Rating	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Yield to Maturity %
Corporate									
500,000.00	JOHNSON & JOHNSON NT DTD 08/16/07 5 15% 08/15/2012 CUSIP 478160AP9 Aaa/AAA	30.48%	USD 101.477	102.877	507,385.00	514,385.00	9,727.78	25,750.00	0.43%
500,000.00	CONOCOPHILLIPS GTD NT DTD 02/03/09 4 75% 02/01/2014 CUSIP 20825CAS3 A1/A	31.98%	USD 102.239	108.002	511,195.00	540,010.00	9,895.83	23,750.00	0.83%
250,000.00	NOVARTIS CAPITAL CORP DTD 03/16/10 2 90% 04/24/2015 CUSIP 66989HAC2 Aa2/AA-	15.47%	USD 103.904	105.833	259,760.00	264,582.50	1,349.31	7,250.00	1.13%
250,000.00	PROCTER & GAMBLE CO DTD 08/28/09 3 15% 09/01/2015 CUSIP 742718DO9 Aa3/AA-	15.76%	USD 105.303	107.375	263,257.50	268,437.50	2,625.00	7,875.00	1.02%
Total Corporate					1,541,597.50	1,587,415.00	23,597.92	64,625.00	0.78%
Investment Funds									
2,030.00	IPATH DJ AIG AGR TOTALRTN SUB-INDEX ETN Ticker IJA	6.31%	USD 66.389	53.420	134,769.67	108,442.60			
Total Investment Funds					134,769.67	108,442.60			
Total Fixed Income					1,676,367.17	1,695,857.60	23,597.92	64,625.00	0.73%
Total Accrued Income						23,597.92			
Total Fixed Income including Accrued Income						1,719,455.52			

For Investment Funds, Annual Yield is reported in the Yield to Maturity column



Detailed Portfolio Information

As of December 31, 2011

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Equities

Equity by Sector	Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Consumer Discretionary										
515.00	BED BATH & BEYOND INC COM	Ticker BBBY	0.81%	USD 59 680	57 970	30,740 30	29,854 55			
595.00	BORG WARNER INC COM	Ticker BWA	1.03%	USD 45 450	63 740	27,041 44	37,925 30			
1,145.00	DICKS SPORTING GOODS INC OC-COM	Ticker DKS	1.15%	USD 36 020	36 880	41,247 71	42,227 60		572 50	1.36%
1,837.00	LIMITED BRANDS INC COM	Ticker LTD	2.01%	USD 17 370	40 350	31,916 40 M	74,122 95		1,469 60	1.98%
727.00	MCDONALDS CORP COM	Ticker MCD	1.98%	USD 63 110	100 330	45,880 97	72,939 91		2,035 60	2.79%
825.00	NIKE INC CL B	Ticker NKE	2.17%	USD 60 140	96 370	49,615 50	79,505 25	297 00	1,188 00	1.49%
920.00	NORDSTROM INC COM	Ticker JWN	1.24%	USD 36 000	49 710	33,118 07	45,733 20		846 40	1.85%
795.00	STARWOOD HOTELS & RESORTS COM	Ticker HOT	1.04%	USD 59 160	47 970	47,028 70	38,136 15		397 50	1.04%
210.00	WYNN RESORTS LTD COM	Ticker WYNN	0.63%	USD 124 760	110 490	26,199 09 M	23,202 90		420 00	1.81%
Total Consumer Discretionary						332,788.18	443,647.81	297 00	6,929.60	1.56%
Consumer Staples										
890.00	CORN PRODUCTS INTL INC COM	Ticker CPO	1.23%	USD 47 320	52 590	40,696 75	45,227 40	172 00	688 00	1.52%
680.00	COSTCO WHSL CORP NEW COM	Ticker COST	1.54%	USD 83 170	83 320	56,557 56 M	56,657 60		652 80	1.15%
395.00	KELLOGG CO COM	Ticker K	0.50%	USD 53 680	50 570	19,594 89 M	18,458 05		627 80	3.40%
1,000.00	KRAFT FOODS INC CL A	Ticker KFT	1.02%	USD 35 740	37 360	35,740 62 M	37,360 00	290 00	1,160 00	3.10%



Detailed Portfolio Information

As of December 31, 2011

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Equities

Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Equity by Sector									
Consumer Staples									
1,080 00	PEPSICO INC COM Ticker: PEP	1 96%	USD 45 060	66 350	48,660 51 M	71,658 00	556 20	2,224 80	3 10%
1,185 00	PROCTER & GAMBLE CO COM Ticker: PG	2 11%	USD 63 800	66 710	74,325 95	77,717 15		2,446 50	3 15%
630 00	WAL MART STORES INC COM Ticker: WMT	1 03%	USD 53 250	59 760	33,549 83 M	37,648 80	310 25	919 80	2 44%
580 00	WHOLE FOODS MKT INC COM Ticker: WFM	1 10%	USD 61 770	69 580	35,826 31 M	40,356 40		324 80	0 80%
Total Consumer Staples		10 49%			344,952 42	385,083 40	1,328 45	9,044 50	2 35%
Energy									
1,230 00	ANADARKO PETE CORP COM Ticker: APC	2 67%	USD 51 210	76 330	66,063 86 M	98,465 70		464 40	0 47%
482 00	CHEVRON CORP COM Ticker: CVX	1 39%	USD 81 390	106 400	39,229 98	51,264 80		1,561 68	3 05%
655 00	EOG RES INC COM Ticker: EOG	1 75%	USD 75 930	98 510	49,732 91 M	64,524 05		419 20	0 65%
1,070 00	EXXON MOBIL CORP COM Ticker: XOM	2 46%	USD 48 860	84 760	52,283 38 M	90,693 20		2,011 60	2 22%
755 00	HALLIBURTON CO COM Ticker: HAL	0 71%	USD 48 780	34 510	36,827 51 M	26,055 05		271 80	1 04%
285 00	NATIONAL-OILWELL VARCO INC COM Ticker: NOV	0 53%	USD 42 890	67 990	12,223 34	19,377 15		136 80	0 71%
690 00	OCCIDENTAL PETE CORP COM Ticker: OXY	1 76%	USD 101 780	93 700	70,227 95 M	64,653 00	317 40	1,269 60	1 96%



Detailed Portfolio Information

As of December 31, 2011

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Equities

Equity by Sector	Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Energy	595.00	PLAINS EXPL & PRODTN CO COM Ticker PXP	0.50%	USD 35.820	36.720	18,089.86	18,543.60			
	915.00	SCHLUMBERGER LTD COM COM Ticker SLB	1.70%	USD 45.020	68.310	41,192.51 M	62,503.65	228.75	915.00	1.46%
			13.48%			385,871.30	496,100.20	546.15	7,050.08	1.42%
Financials	1,362.00	AMERICAN TOWER CORP CL A CUSIP 029912201	2.22%	USD 36.040	60.010	49,086.48	81,733.62			
	1,427.00	AMERIPRISE FINANCIAL INC COM Ticker AMP	1.92%	USD 31.870	49.640	45,481.34 M	70,836.28		1,598.24	2.26%
	1,426.00	CTIGROUP INC COM Ticker C	1.02%	USD 40.910	26.310	58,338.80 M	37,518.06		57.04	0.15%
	1,775.00	DISCOVER FINANCIAL SERVICES COM Ticker DFS	1.16%	USD 17.200	24.000	30,528.05	42,600.00	177.50	710.00	1.67%
	1,635.00	J P MORGAN CHASE & CO COM Ticker JPM	1.48%	USD 37.820	33.250	61,839.05 M	54,363.75		1,635.00	3.01%
	1,255.00	PNC FINL SVCS GROUP INC COM Ticker PNC	1.96%	USD 72.790	57.670	91,351.45	72,375.85		1,757.00	2.43%
	1,375.00	PRICE T ROWE GROUP INC COM Ticker TROW	2.13%	USD 58.110	56.950	79,906.90 M	78,306.25		1,705.00	2.18%
	1,250.00	PRUDENTIAL FINL INC COM Ticker PRU	1.70%	USD 66.570	50.120	83,213.75	62,650.00		1,812.50	2.89%
			13.59%			499,745.82	500,383.81	177.50	9,274.78	1.85%
Total Financials										
Health Care	1,655.00	CAREFUSION CORP COM Ticker CFN	1.14%	USD 28.900	25.410	47,830.51 M	42,053.55			
	1,872.00	CELGENE CORP COM Ticker CELG	3.43%	USD 53.560	67.600	100,273.14 M	126,547.20			



Detailed Portfolio Information

As of December 31, 2011

Account Name THE EDWARD T CONE FOUNDATION

Account Number 286126

Base Currency USD

List of Holdings - Equities

Equity by Sector	Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Health Care	454.00	EDWARDS LIFESCIENCES CORP COM Ticker EW	0.89%	USD 22 090	70 700	10,251.83	32,804.80			
	1,749.00	EXPRESS SCRIPTS INC COM Ticker ESRX	2.12%	USD 30 050	44 690	52,559.64	78,162.81			
	1,020.00	GILEAD SCIENCES INC COM Ticker GILD	1.13%	USD 40 600	40 930	41,415.06	41,748.60			
	840.00	MCKESSON HBOC INC COM Ticker MCK	1.78%	USD 64 220	77 910	53,947.79 M	65,444.40	168.00	672.00	1.03%
	600.00	ST JUDE MED INC COM Ticker STJ	0.56%	USD 44 750	34 300	26,848.45 M	20,580.00	126.00	504.00	2.45%
1,015.00		THERMO FISHER SCIENTIFIC INC COM Ticker TMO	1.24%	USD 56 930	44 970	57,787.41 M	45,644.55			
Total Health Care			12.30%			390,913.83	452,985.91	294.00	1,176.00	0.26%
Industrials	1,523.00	AMETEK INC NEW COM Ticker AME	1.74%	USD 28 200	42 100	42,948.60	64,118.30		365.52	0.57%
	3,592.00	GENERAL ELEC CO COM Ticker GE	1.76%	USD 13 880	17 910	49,861.93 M	64,332.72	610.64	2,442.56	3.80%
	695.00	NAVISTAR INTL CORP COM NEW Ticker NAV	0.68%	USD 63 770	37 880	42,403.90 M	25,190.20			
	1,230.00	NORFOLK SOUTHERN CORP COM Ticker NSC	2.43%	USD 50 230	72 860	61,777.25 M	89,617.80		2,115.60	2.36%
	415.00	PARKER HANNIFIN CORP COM Ticker PH	0.86%	USD 70 840	76 250	29,399.11 M	31,643.75		614.20	1.94%
755.00		ROPER INDS INC COM Ticker ROP	1.78%	USD 50 640	86 870	38,232.29	65,586.85		415.25	0.63%
645.00		SPX CORP COM Ticker SPW	1.06%	USD 71 400	60 270	48,054.35 M	38,874.15	161.25	645.00	1.66%



Detailed Portfolio Information

As of December 31, 2011

List of Holdings - Equities

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
377.00	TRANSDIGM GROUP INC COM Ticker TDG	0.98%	USD 49.300	95.680	18,585.38	36,071.36			
965.00	UNITED TECHNOLOGIES CORP COM Ticker UTX	1.91%	USD 33.760	73.090	32,574.06	70,531.85		1,852.80	2.63%
Total Industrials		13.21%			361,836.87	485,966.98	771.89	8,450.93	1.74%
Information Technology									
695.00	ACCENTURE PLC CL A Ticker ACN	1.00%	USD 37.420	53.230	26,006.90	36,994.85		938.25	2.54%
590.00	APPLE INC COM Ticker AAPL	6.49%	USD 125.010	405.000	73,753.86 M	238,950.00			
690.00	CHECK POINT SOFTWARE TECHNOLOGIES LTD COM Ticker CHKP	0.98%	USD 53.590	52.540	36,975.10	36,252.60			
2,500.00	E M C CORP MASS COM Ticker EMC	1.46%	USD 39.600	21.540	99,000.80 M	53,850.00			
1,280.00	EBAY INC COM Ticker EBAY	1.05%	USD 24.830	30.330	31,782.40	38,822.40			
1,525.00	INTEL CORP COM Ticker INTC	1.00%	USD 6.880	24.250	10,490.33	36,981.25		1,281.00	3.46%
1,755.00	MICROSOFT CORP COM Ticker MSFT	1.24%	USD 26.070	25.980	45,748.29	45,559.80		1,404.00	3.08%
2,872.00	ORACLE CORP COM Ticker ORCL	1.83%	USD 25.210	25.650	66,103.94 M	67,254.30		629.28	0.94%
1,772.00	QUALCOMM INC COM Ticker QCOM	2.56%	USD 50.290	54.700	86,600.07 M	94,193.40		1,480.92	1.57%
785.00	SKYWORKS SOLUTIONS INC COM Ticker SWKS	0.35%	USD 26.430	16.220	20,751.08 M	12,732.70			



Detailed Portfolio Information

As of December 31, 2011

Account Name THE EDWARD T CONE FOUNDATION

Account Number 286126

Base Currency USD

List of Holdings - Equities

Equity by Sector	Quantity	Security Description	Security ID	Ticker	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Information Technology												
	930 00	SOLERA HOLDINGS INC COM		Ticker SLH	1 12%	USD 37 770	44 540	35,124 60 M	41,422 20		372 00	0 90%
	915 00	VERIFONE SYSTEMS INC COM		Ticker PAY	0 88%	USD 40 720	35 520	37,259 86 M	32,500 80			
Total Information Technology					19 96%			569,597 23	735,514 30		6,105 45	0 83%
Materials												
	780 00	ECOLAB INC COM		Ticker ECL	1 23%	USD 52 230	57 810	40,738 16 M	45,091 80	156 00	624 00	1 38%
	855 00	FREEPORT-MCMORAN COPPER & GOLD INC CL B		Ticker FCX	0 85%	USD 40 250	36 790	34,412 03 M	31,455 45		855 00	2 72%
	685 00	MOSAIC CO/THE WI COM		Ticker MOS	0 94%	USD 53 550	50 430	36,684 43 M	34,544 55		137 00	0 40%
	160 00	WALTER ENERGY INC COM		Ticker WLT	0 26%	USD 128 670	60 580	20,587 84	9,689 60		80 00	0 83%
Total Materials					3 28%			132,422 46	120,781 40	156 00	1,696 00	1 40%
Utilities												
	635 00	AMERICAN WATER WORKS COM		Ticker AWK	0 55%	USD 27 250	31 860	17,305 27	20,231 10		584 20	2 89%
	660 00	NEXTERA ENERGY INC COM		Ticker NEE	1 09%	USD 52 730	60 880	34,801 40	40,180 80		1,452 00	3 61%
Total Utilities					1 64%			52,106 67	60,411 90		2,036 20	3 37%
Total Equity by Sector					100 00%			3,070,234 78	3,680,875 71	3,570 99	51,763 54	1 41%



Deutsche Bank

Detailed Portfolio Information

As of December 31, 2011

List of Holdings - Equities

Account Name	THE EDWARD T CONE FOUNDATION
Account Number	286126
Base Currency	USD

Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Equity Investment Funds									
0 00	HENDERSON EUROPEAN FOCUS FUND CL W	0 00%	USD 10 000	21 520	0 04				
	Ticker HFEIX								
500 00	SPDR S&P MIDCAP 400 ETF TRUS	100 00%	USD 90 010	159 490	45,005 00	79,745 00	264 69	856 50	1 07%
	Ticker MDY								
Total Equity Investment Funds									
		100.00%			45,005.04	79,745 09	264 69	856 50	1.07%
Total Equities									
					3,115,239.82	3,760,620 80	3,835 68	52,620 04	1 40%
Total Accrued Income									
						3,835 68			
Total Equities including Accrued Income									
						3,764,456 48			

A "M" following the amount displayed in the Adjusted Cost Basis column indicates multiple lots, a "U" indicates undetermined cost



Detailed Portfolio Information

As of December 31, 2011

Account Name THE EDWARD T CONE FOUNDATION
Account Number 286126
Base Currency USD

List of Holdings - Alternative Investments

Quantity	Security Description Security ID	% of Category	Local Unit Cost	Price in USD Local Price	Adjusted Cost Basis in USD	Market Value in USD	Accrued Income in USD	Estimated Annual Income in USD	Annual Yield %
Commodities									
2,470.00	MARKET VECTORS AGRIBUSINESS ETF Ticker: MOO	42.63%	USD 56,880	47.150	140,497.55	116,460.50		750.88	0.64%
1,031.00	SPDR GOLD TRUST Ticker: GLD	57.37%	USD 89,650	151.990	92,434.20	156,701.69			
Total Commodities						273,162.19		750.88	0.27%
Total Alternative Investments						273,162.19		750.88	0.27%
Total Accrued Income									
Total Alternative Investments including Accrued Income						273,162.19			



Grants Made - Rider to Cone Fndn 990-PF - 2011

01/01/11 through 12/31/11

02/01/13

Page 1

Date	Num	Description	Memo	Amount
02/28/11	1957	ACLU Foundation	2011 Workplan	-5,000
02/28/11	1955	Adoption-Link	General Operating Support	-5,000
02/28/11	1956	American Composers Orchestra	General Support	-10,000
02/28/11	1960	American Farm School	General Operations	-5,000
02/28/11	1961	American Music Center	Composer Assistance Program	-5,000
02/28/11	1958	Answer/Rutgers University Foundation	Answer's Operations	-10,000
12/12/11	2038	Archdiocese Of Chicago	Concert for John Paul II	-100,000
02/28/11	1959	Arts Council of Princeton	Robeson Community Music Series	-5,000
02/28/11	2025	Canine Companions for Independence	Annual Campaign	-3,000
02/28/11	1962	Center For Contemporary Opera	Second Installment for The Secret Agent	-5,000
02/28/11	1963	Composers Guild of New Jersey	Concert Activities	-3,000
02/28/11	1968	Concert Artists Guild	New Music/New Places	-3,000
02/28/11	1965	Delaware and Rantan Greenway	General Support	-40,000
02/28/11	1966	Doctors Without Borders	General Support	-10,000
02/28/11	1967	Ebb & Flow Arts	General Operating Support	-10,000
02/28/11	1970	Elevator Repair Service Theater, Inc	The Sun Also Rises and Shuffle	-52,000
02/28/11	1971	Environmental Defense Fund, Inc	Fundraising Campaign	-5,000
02/28/11	1972	From The Top	General Operating Support	-3,000
02/28/11	1969	Human Rights Watch	General Support	-5,000
02/28/11	1974	Humane Society Veterinary Medical Association	General Support	-5,000
02/28/11	1976	Institute for Advanced Study	Concert Series - 4th of 4 installments	-250,000
02/28/11	1973	John Simon Guggenheim Memorial Found	Guggenheim Fellows Program	-5,000
02/28/11	1977	Joy In Singing	2011 Support	-25,000
02/28/11	1978	Kaufman Center	Current Season	-15,000
02/28/11	1979	League of Composers-ISCM	Fundraising Campaign	-10,000
02/28/11	1981	Look & Listen Festival	Look & Listen Festival	-5,000
02/28/11	1980	McCarter Theatre	General Support and Music Program	-25,000
02/28/11	1983	Millay Colony for the Arts	Edward T Cone Fellowship	-5,000
02/28/11	1982	MIMA Music, Inc	After-School Music Initiative	-8,000
02/28/11	1987	National Audubon Society	Important Bird Area Program	-10,000
02/28/11	1984	Natural Resources Defense Council, Inc	Annual Campaign	-5,000
02/28/11	1985	Nature Conservancy	Fundraising Campaign	-5,000
02/28/11	2027	New Jersey Arts News	General Operating Support	-5,000
02/28/11	1988	New Jersey Conservation Foundation	General Purposes	-10,000
02/08/11	1951	New Jersey Symphony Orchestra	Second Installment - New Jersey Roots	-300,000
02/28/11	2022	New York Foundation for the Arts	Poul Ruders Recording Project	-7,000
02/28/11	1986	New York Philharmonic	General Support	-5,000
02/08/11	1950	Opera New Jersey	2011 Season	-110,000
02/28/11	2023	Orchestra 2001	Paris and Philadelphia Musical Sister C.	-5,000
02/28/11	1990	Orpheus Chamber Orchestra	1st Installment for New Music Initiative	-15,000
02/28/11	1989	Part of the Solution	General Operations	-5,000
02/28/11	1992	People & Stories/Gente y Cuentos	General Support	-15,000
02/28/11	1993	Ploughshares Fund	Fundraising Campaign	-5,000
02/28/11	2021	Pocket Opera Players	General Operating Support	-10,000
02/28/11	1991	Princeton Future, Inc	General Support	-5,000
02/28/11	2017	Princeton HealthCare System Foundation, Inc	1st of 4 Installments for Capital Campaign	-25,000
02/28/11	1994	Princeton Pro Musica	General Support	-25,000
02/28/11	1995	Princeton Public Library Foundation	General Operating Support	-5,000
02/08/11	1954	Princeton Symphony Orchestra	2011 Season	-50,000
02/28/11	2019	Princeton Univeristy	Class of 1939	-10,000
02/28/11	2026	Princeton Univeristy	After the End of Music History Conference	-10,000

Grants Made - Rider to Cone Fndn 990-PF - 2011

01/01/11 through 12/31/11

02/01/13

Page 2

Date	Num	Description	Memo	Amount
02/28/11	1997	Prospect Theater Company	2011 Season	-5,000
02/28/11	1998	Rescue Mission of Trenton	General Operations	-5,000
02/28/11	1999	Riverdale Country School	Annual Fund	-10,000
02/28/11	1996	Riverside Symphony	2010 - 2011 Season	-5,000
02/28/11	2000	Rockefeller University	General Support	-5,000
02/28/11	2001	Rodfei Shalom Fellowship, Inc	General Operating Support	-5,000
02/08/11	1953	Salzburg Global Seminar	Instrumental Value The Transformative .	-300,000
02/28/11	2018	Simon Wiesenthal Center	New York Tolerance Center	-10,000
02/28/11	2003	Small Dog Rescue, Inc	General Operating Expenses	-5,000
02/28/11	2004	Southern Law Poverty Center	Annual Campaign	-5,000
06/22/11	2035	Spectrum Concerts Berlin - USA, Inc	Robert Helps in Berlin	-15,000
02/28/11	2002	TAKE Dance Company	General Support	-10,000
02/28/11	1964	The Bogliasco Foundation	Roger Sessions Memorial Fellowship	-25,000
02/28/11	1975	The Hummingbirds Institute	Music Program Support	-20,000
02/28/11	2024	The Jewish Museum	Matisse and Modern French Masters in t	-20,000
02/28/11	2006	The New Group	General Support	-15,000
02/28/11	2007	The New York Music Ensemble	General Operating Support	-5,000
02/08/11	1949	The Princeton Festival	2011 Season	-110,000
02/28/11	2005	The Princeton Singers	Operating Support	-12,000
02/08/11	1952	The Shakespeare Theatre Of New Jersey	Annual Fundraising Campaign	-50,000
02/28/11	2009	The Walden School	Summer Music Education Program	-5,000
02/28/11	2010	The Wooster Group	Summer Institute	-15,000
02/28/11	2008	Trenton Children's Chorus	Trenton Children's Chorus Two Projects	-10,000
02/28/11	2012	UNICEF	U S Fund for UNICEF	-5,000
02/28/11	2013	Volunteer Lawyers for the Arts	Annual Campaign	-15,000
02/28/11	2011	VZV Research Foundation, Inc.	Annual Campaign	-5,000
02/28/11	2015	Washington Square Contemporary Music Soci.	Current Concert Series	-5,000
02/28/11	2020	WWFM	Operating Support and Digital Radio Pro	-15,000
02/28/11	2016	Yellow Barn Music School & Festival	General Support	-5,000
02/28/11	2014	Young Audiences Arts For Learning New Jersey	Classical Initiative	-20,000
01/01/11 - 12/31/11				-2,016,000

OVERALL TOTAL -2,016,000

TOTAL INFLOWS 0

TOTAL OUTFLOWS -2,016,000

NET TOTAL -2,016,000