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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2011

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2011 or tax year beginning

, 2011, and ending

, 20

Name of foundation LAVELLE FUND FOR THE BLIND, INC.		A Employer identification number 13-1740463
Number and street (or P O box number if mail is not delivered to street address) 307 WEST 38TH STREET	Room/suite 2010	B Telephone number (see instructions) (212) 668-9801
City or town, state, and ZIP code NEW YORK, NY 10018		C If exemption application is pending, check here ☐
G Check all that apply	☐ Initial return ☐ Final return ☐ Address change	☐ Initial return of a former public charity ☐ Amended return ☐ Name change
H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 90,662,660.	J Accounting method ☐ Cash ☐ Accrual ☒ Other (specify) MODIFIED CASH (Part I, column (d) must be on cash basis.)	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	34,295.	34,295.		ATCH 1
	4 Dividends and interest from securities	1,873,979.	1,873,979.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	6,663,500.			
	b Gross sales price for all assets on line 6a	22,008,759.			
	7 Capital gain net income (from Part IV, line 2)		6,663,500.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	-30,437.	-586.		ATCH 3	
12 Total. Add lines 1 through 11	8,541,337.	8,571,188.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	204,049.	20,405.		183,644.
	14 Other employee salaries and wages	100,500.	10,050.		90,450.
	15 Pension plans, employee benefits	91,419.	9,142.		82,277.
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 4	37,490.	27,330.		10,160.
	c Other professional fees (attach schedule) *	420,539.	405,080.		15,459.
	17 Interest (attach schedule) (see instructions) *	121,343.	36,343.		
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	22,756.	2,276.		20,480.
	21 Travel, conferences, and meetings	5,839.			5,839.
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 7	158,815.	95,128.		63,687.
	24 Total operating and administrative expenses. Add lines 13 through 23	1,162,750.	605,754.		471,996.
	25 Contributions, gifts, grants paid	4,896,230.			4,896,230.
26 Total expenses and disbursements. Add lines 24 and 25	6,058,980.	605,754.		5,368,226.	
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements	2,482,357.				
b Net investment income (if negative, enter -0-)		7,965,434.			
c Adjusted net income (if negative, enter -0-)					

For Paperwork Reduction Act Notice, see instructions.

* ATCH 5 JSA ** ATCH 6

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		3,510,569.	7,442,096.	7,442,096.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ *		250,000.	ATCH 8	
		Less: allowance for doubtful accounts ▶		250,000.	250,000.	250,000.
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule) .				
	b	Investments - corporate stock (attach schedule) ATCH 9	73,638,621.	68,782,928.	68,782,928.	
	c	Investments - corporate bonds (attach schedule) ATCH 10	7,992,054.	6,412,920.	6,412,920.	
	11	Investments - land, buildings, and equipment, basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) ATCH 11	9,208,681.	7,636,566.	7,636,566.		
14	Land, buildings, and equipment, basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶ ATCH 12)		138,150.	138,150.		
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	94,349,925.	90,662,660.	90,662,660.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons .				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	94,349,925.	90,662,660.		
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds . .				
	30	Total net assets or fund balances (see instructions)	94,349,925.	90,662,660.		
	31	Total liabilities and net assets/fund balances (see instructions)	94,349,925.	90,662,660.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	94,349,925.
2	Enter amount from Part I, line 27a	2	2,482,357.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	96,832,282.
5	Decreases not included in line 2 (itemize) ▶ ATTACHMENT 13	5	6,169,622.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	90,662,660.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	6,663,500.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2010	3,880,049.	84,839,152.	0.045734
2009	3,470,508.	71,956,338.	0.048231
2008	6,405,833.	92,928,321.	0.068933
2007	5,262,400.	112,911,899.	0.046606
2006	4,407,508.	100,539,466.	0.043839
2 Total of line 1, column (d)			2 0.253343
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.050669
4 Enter the net value of noncharitable-use assets for 2011 from Part X, line 5			4 93,196,545.
5 Multiply line 4 by line 3			5 4,722,176.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 79,654.
7 Add lines 5 and 6			7 4,801,830.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 5,618,226.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	79,654.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	79,654.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	79,654.
6	Credits/Payments.		
a	2011 estimated tax payments and 2010 overpayment credited to 2011	6a	94,332.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	94,332.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	14,678.
11	Enter the amount of line 10 to be: Credited to 2012 estimated tax ☒ 14,678. Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NEW YORK		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.FDNCENTER.ORG/GRANTMAKER/LAVELLEFUND	13	X	
14	The books are in care of LAVELLE FUND FOR THE BLIND INC Telephone no 212-668-9801 Located at 307 WEST 38TH STREET, SUITE 2010, NEW YORK, NY ZIP + 4 10018			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here N/A and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	☐ Yes ☒ No	
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2011 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)		N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b** XOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**6b** X**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**7b** N/A**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 14		204,049.	55,475.	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MANNHI CHAU C/O THE FUND	OPERATIONS 40.00	MGR 85,000.	24,104.	0

Total number of other employees paid over \$50,000 ☐ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SASCO CAPITAL INC. 10 SASCO HILL ROAD, FAIRFIELD CT 06824	INVESTMENT MGMT FEE	306,095.
FIRST MANHATTAN 437 MADISON AVENUE, NEW YORK, NY 10022	INVESTMENT MGMT FEE	98,985.
THE PHILIPPE FUND - INT'L EQUITIES, LLC 2 PENN PLAZA, NEW YORK, NY 10121	MANAGEMENT FEE	78,118.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 IMPACT ASSETS, INC. - LOAN (SEE ATTACHMENTS 8, 8A & 8B)	150,000.
2 GIVING ASSETS, INC. - LOAN (SEE ATTACHMENTS 8, 8A & 8B)	100,000.
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	250,000.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	81,174,643.
b	Average of monthly cash balances	1b	4,450,310.
c	Fair market value of all other assets (see instructions)	1c	8,990,829.
d	Total (add lines 1a, b, and c)	1d	94,615,782.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	94,615,782.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,419,237.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	93,196,545.
6	Minimum investment return. Enter 5% of line 5	6	4,659,827.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	4,659,827.
2a	Tax on investment income for 2011 from Part VI, line 5	2a	79,654.
b	Income tax for 2011 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	79,654.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	4,580,173.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	4,580,173.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,580,173.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,368,226.
b	Program-related investments - total from Part IX-B	1b	250,000.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,618,226.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	79,654.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,538,572.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				4,580,173.
2 Undistributed income, if any, as of the end of 2011				
a Enter amount for 2010 only			842,989.	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2011:				
a From 2006				
b From 2007				
c From 2008				
d From 2009				
e From 2010				
f Total of lines 3a through e				
4 Qualifying distributions for 2011 from Part XII, line 4 ▶ \$ 5,618,226.				
a Applied to 2010, but not more than line 2a			842,989.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2011 distributable amount				4,580,173.
e Remaining amount distributed out of corpus	195,064.			
5 Excess distributions carryover applied to 2011 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	195,064.			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2010 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2011 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2012				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	195,064.			
10 Analysis of line 9				
a Excess from 2007				
b Excess from 2008				
c Excess from 2009				
d Excess from 2010				
e Excess from 2011	195,064.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2011	(b) 2010	(c) 2009	(d) 2008	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.

ATTACHMENT 15

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT 15A

c Any submission deadlines

SEE ATTACHMENT 15A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHMENT 15A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT 15B		PUBLIC CHARITIES		4,896,230.
Total			3a	4,896,230.
b Approved for future payment SEE ATTACHMENT 15B		PUBLIC CHARITIES		6,729,145.
Total			3b	6,729,145.

Enter gross amounts unless otherwise indicated.

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
2,000,000.		JP MORGAN ACCOUNT - MARKETABLE SECURITIES PROPERTY TYPE: SECURITIES 1,816,337.				P	183,663.	
13185837.		SASCO CAPITAL - MARKETABLE SECS. PROPERTY TYPE: SECURITIES 8,643,844.				P	4,541,993.	
3,325,377.		SASCO SMALL CAPITAL - MARKETABLE SECS. PROPERTY TYPE: SECURITIES 2,001,169.				P	1,324,208.	
1,337,599.		SASCO GROWTH & INCOME - MARKETABLE SECS. PROPERTY TYPE: SECURITIES 975,938.				P	361,661.	
2,159,946.		FIRST MANHATTAN - MARKETABLE SECS. PROPERTY TYPE: SECURITIES 2,211,773.				P	-51,827.	
		PHILLIPPE FUND - INT'L EQUITIES PROPERTY TYPE: OTHER				P	305,380.	
		PLAINS ALL AMERICAN PIPELINE, L.P. PROPERTY TYPE: OTHER				P	22.	
		EL PASO PIPELINE PARTNERS, L.P. PROPERTY TYPE: OTHER				P	-1,600.	
TOTAL GAIN (LOSS)							<u>6,663,500.</u>	

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

INFORMATION ABOUT INDEPENDENT CONTRACTORS
PART VIII

THE FUND HAS AN INVESTMENT IN "THE PHILIPPE FUND - INT'L EQUITIES, LLC", WHICH PROVIDES A SCHEDULE K-1 ANNUALLY. THE 2011 K-1 DISCLOSED MANAGEMENT FEE EXPENSE OF \$78,118, WHICH HAS BEEN REFLECTED ON PART VIII OF THE FORM 990-PF.

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
JP MORGAN CHECKING	109.	109.
JP MORGAN INVESTMENT ACCOUNT	1,092.	1,092.
SASCO SMALL CAP	22.	22.
SASCO CAPITAL	36.	36.
SASCO GROWTH & INCOME	10.	10.
THE PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC	710.	710.
FIRST MANHATTAN CO.	31,644.	31,644.
EL PASO PIPELINE PARTNERS, L.P.	646.	646.
PLAINS ALL AMERICAN PIPELINE, L.P.	26.	26.
TOTAL	<u>34,295.</u>	<u>34,295.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
JP MORGAN INVESTMENT ACCOUNT	650,114.	650,114.
SASCO SMALL CAP	84,528.	84,528.
SASCO CAPITAL	589,418.	589,418.
SASCO GROWTH & INCOME	210,600.	210,600.
THE PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC	188,598.	188,598.
FIRST MANHATTAN, CO.	150,648.	150,648.
PLAINS ALL AMERICAN PIPELINE, L.P.	73.	73.
TOTAL	1,873,979.	1,873,979.

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
LOSS FROM THE PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC	-586.	-586.
LOSS FROM EL PASO PIPELINE PARTNERS, L.P.	-29,129.	-29,129.
LOSS FROM PLAINS ALL AMERICAN PIPELINE, L.P.	-722.	-722.
LESS: AMOUNT ATTRIBUTABLE TO UBI		29,851.
TOTALS	-30,437.	-586.

ATTACHMENT 4FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CONDON O'MEARA MCGINTY & DONNELLY LLP				
- AUDIT AND TAX SERVICES	26,800.	20,100.		6,700.
DEMASCO, SENA & JAHELKA LLP				
- BOOKKEEPING	9,640.	7,230.		2,410.
ANN W. GAEL	1,050.			1,050.
TOTALS	<u>37,490.</u>	<u>27,330.</u>		<u>10,160.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES			
- SASCO CAPITAL INC.	306,095.	306,095.	
- FIRST MANHATTAN	98,985.	98,985.	
CONSULTING FEES			
- JOHNNY CHAU	5,188.		5,188.
- ELLEN TRIEF, ED	1,350.		1,350.
- TCC	8,921.		8,921.
TOTALS	<u>420,539.</u>	<u>405,080.</u>	<u>15,459.</u>

ATTACHMENT 6

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FEDERAL EXCISE TAXES	85,000.	
FOREIGN TAXES	9,040.	9,040.
PHILIPPE FUND - FOREIGN TAXES	26,579.	26,579.
PLAINS ALL AMERICAN PIPELINE, L.P. - FOREIGN TAXES	724.	724.
TOTALS	<u>121,343.</u>	<u>36,343.</u>

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
TELEPHONE	2,578.	258.	2,320.
OFFICE SUPPLIES	2,748.	275.	2,473.
OFFICE EQUIPMENT	19,294.	1,929.	17,365.
INSURANCE	8,086.	809.	7,277.
OFFICE ENHANCEMENTS	9,398.	940.	8,458.
POSTAGE	2,711.	271.	2,440.
UTILITIES	3,635.	363.	3,272.
FILING FEES	1,500.		1,500.
DUES & SUBSCRIPTIONS	10,752.	1,075.	9,677.
OTHER	9,894.	989.	8,905.
THE PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC	88,219.	88,219.	
TOTALS	158,815.	95,128.	63,687.

ATTACHMENT 8FORM 990PF, PART II - OTHER NOTES AND LOANS RECEIVABLE

BORROWER: IMPACT ASSETS, INC.
ORIGINAL AMOUNT: 150,000.
INTEREST RATE: 2.000000
MATURITY DATE: 12/15/2016

ENDING BALANCE DUE 150,000.

ENDING FAIR MARKET VALUE 150,000.

BORROWER: GIVING ASSETS, INC.
ORIGINAL AMOUNT: 100,000.
INTEREST RATE: 2.000000
MATURITY DATE: 12/15/2015

ENDING BALANCE DUE 100,000.

ENDING FAIR MARKET VALUE 100,000.

TOTAL ENDING BOOK - OTHER NOTES AND LOANS RECEIVABLE 250,000.

TOTAL ENDING FMV - OTHER NOTES AND LOANS RECEIVABLE 250,000.

FORM 990PF - GENERAL EXPLANATION ATTACHMENT

SUMMARY OF PROGRAM-RELATED INVESTMENTS
PART IX-B

GIVING ASSETS, INC. AND IMPACT ASSETS, INC. ARE IRC SECTION 501 (C) (3) PUBLIC CHARITIES, ACTING AS FISCAL INTERMEDIARIES IN CONNECTION WITH THE DEVELOPMENT OF A LOW-COST RETINAL IMAGING SYSTEM.

LAVELLE FUND FOR THE BLIND, INC.
NEW YORK, NY 10018

E.I.N.: 13-1740463
Year Ended December 31, 2011

STATEMENT OF EXPENDITURE RESPONSIBILITY

- (a) Name and address of grantee - Quantum Catch LLC
PO Box 12
Gibbon, MN 55335
- Giving Assets, Inc. and ImpactAssets, Inc., IRC Section 501 (c) (3) organizations,
are acting as fiscal intermediaries.
- (b) Date and amount of program related investment (grant) - 3/1/2011
\$250,000
- (c) Purpose of program related investment (grant) - Aid in the development of
a low cost retinal imaging
system.
- (d) Amount expended by grantee - \$129,459
- (e) Whether grantee has diverted any portion of the funds from the purpose of the
grant, to the knowledge of the Foundation - No.
- (f) Dates of any reports received from the grantee - 1/27/2012
- (g) The date and results of any verification of the grantee's reports pursuant to and to
the extent required by Regulation § 53.4945-5 (c) (1) -

The Foundation had no reason to doubt the accuracy or reliability of the
reports received, therefore no independent verification is required to be
undertaken.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
THRU JP MORGAN ACCOUNT	18,073,704.	18,073,704.
THRU SASCO SMALL CAP ACCOUNT	7,328,187.	7,328,187.
THRU SASCO CAPITAL ACCOUNT	30,462,262.	30,462,262.
THRU SASCO GROWTH & INCOME	5,893,838.	5,893,838.
THRU FIRST MANHATTAN	7,024,937.	7,024,937.
TOTALS	<u>68,782,928.</u>	<u>68,782,928.</u>

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 10

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
THRU JP MORGAN ACCOUNT	6,057,590.	6,057,590.
THRU FIRST MANHATTAN ACCOUNT	355,330.	355,330.
TOTALS	<u>6,412,920.</u>	<u>6,412,920.</u>

FORM 990PF, PART II - OTHER INVESTMENTSATTACHMENT 11

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
THE PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC PLAINS ALL AMERICAN PIPELINE, - L.P.	6,701,922. 330,525.	6,701,922. 330,525.
EL PASO PIPELINE PARTNERS, - L.P.	604,119.	604,119.
TOTALS	<u>7,636,566.</u>	<u>7,636,566.</u>

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION

<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
138,150.	138,150.
<u>138,150.</u>	<u>138,150.</u>

DUE FROM INVESTMENT CUSTODIAN
FOR NET INVESTMENT ACTIVITY

TOTALS

ATTACHMENT 13FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

UNREALIZED LOSS ON INVESTMENTS

6,169,622.

TOTAL

6,169,622.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
ANDREW S. FISHER C/O THE FUND	EXECUTIVE DIRECTOR 40.00	204,049.	55,475.	0
DANIEL M. CALLAHAN C/O THE FUND	PRESIDENT 10.00	0	0	0
JOHN J. CAFFEY C/O THE FUND	VICE PRESIDENT & TREASURER 10.00	0	0	0
J. ROBERT LUNNEY C/O THE FUND	TRUSTEE 1.00	0	0	0
NANCY L. BROWN C/O THE FUND	TRUSTEE 1.00	0	0	0
SISTER MARY FLOOD, MD C/O THE FUND	TRUSTEE 1.00	0	0	0
THOMAS A. GALVIN C/O THE FUND	TRUSTEE 1.00	0	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 14 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
BROTHER JAMES KEARNEY C/O THE FUND	TRUSTEE 1.00	0	0	0
MICHAEL A. LEMP, MD C/O THE FUND	TRUSTEE 1.00	0	0	0
KEVIN B. MCGRATH, JR. C/O THE FUND	TRUSTEE 1.00	0	0	0
JOHN J. MCNALLY C/O THE FUND	TRUSTEE 1.00	0	0	0
JANE B. O'CONNELL C/O THE FUND	TRUSTEE 1.00	0	0	0
PAUL A. SIDOTI, MD C/O THE FUND	TRUSTEE 1.00	0	0	0
GRAND TOTALS		<u>204,049.</u>	<u>55,475.</u>	<u>0</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

ANDREW S. FISHER EXECUTIVE DIRECTOR

LAVELLE FUND FOR THE BLIND, INC.
307 WEST 38TH STREET, SUITE 2010
NEW YORK, NY 10018
212-668-9801



Grant Guidelines

[HOME](#) [History & Mission](#) [Recent Grants](#) [Grant Guidelines](#) [Reporting Guidelines](#)
[College Scholarship Program](#) [Application Procedure](#) [Board & Staff](#) [Finances](#)

PROGRAM VS. CAPITAL SUPPORT

The Fund concentrates on providing program support earmarked for program creation, expansion, or improvement. (General operating support is rarely provided.) Capital support is generally awarded only in connection with specific program support needs.

APPLICATION PROCEDURE

The Fund asks that applicants please use the [Guide for Grant Proposals](#). The Guide is based in large part on the New York/New Jersey Area Common Application Form, which was created by the New York Regional Association of Grantmakers.

PROPOSAL REVIEW PROCESS

Grant proposals may be submitted at any time. All proposals submitted to the Fund will be acknowledged. Site visits or meetings are generally scheduled for grant requests that are candidates for Board review. Applicants with questions about the Fund's grant application or review procedures should feel free to call the Fund.

FUNDING LIMITATIONS

The Fund does not make grants to any of the following:

- Individuals.
- Organizations that do not have 501(c)(3) tax-exempt status with the U.S. Internal Revenue Service.
- Medical research programs.
- Conferences or media events (unless they are an integral part of a broader program of direct service).
- Efforts to influence legislation or elections.
- Organizations seeking support for deficit reduction or emergency funding.

CONTACT INFORMATION

Grant proposals should be sent to:

Andrew S. Fisher, Executive Director
Lavelle Fund for the Blind, Inc.

PLEASE NOTE OUR NEW ADDRESS

307 West 38th Street, Suite 2010

New York, NY 10018

Phone: (212) 668-9801

Fax: (212) 668-9803

E-mail: afisher@lavellefund.org



Application Procedure

[HOME](#) [History & Mission](#) [Recent Grants](#) [Grant Guidelines](#) [Reporting Guidelines](#)
[College Scholarship Program](#) [Application Procedure](#) [Board & Staff](#) [Finances](#)

GUIDE FOR GRANT PROPOSALS

- Please type all proposals, using relatively large type font (12-point is preferred).
- Please provide requested information in the order listed.
- Please use headings as indicated.
- Please submit only one copy.
- Please do not include any materials other than those specifically requested at this time.
- Please do not send videotapes.

I. COVER LETTER

A brief cover letter, on your organization's letterhead, should be signed by the executive director. The letter should include a one-paragraph summary of the request, including amount and purpose. It should also indicate the name, phone number and email of the contact person for this proposal, if other than the executive director.

II. NARRATIVE

The narrative description of the project for which funding is sought should be no more than 10 pages long.

A. Background

Describe the work of your agency, addressing each of the following:

1. A brief description of your organization's history and mission.
2. The need or problem that your organization works to address, and the population that your agency serves, including geographic location, socio-economic status, race, gender, age, and visual and other impairments.
3. Current programs and accomplishments. Please emphasize recent achievements.
4. Number of paid full-time staff; number of paid part-time staff; number

of volunteers.

5. A brief summary of your organization's key relationships — both formal and informal — with other organizations working to meet the same needs or providing similar services. Please explain how you differ from these other agencies.

B. Funding Request

Please describe the project for which you seek funding, including:

1. The project's goal(s) and objectives.
2. A brief summary of the need or problem that you are seeking to address, including relevant supporting statistics.
3. The population that you plan to serve and how this population will benefit from the project. Please indicate the total number of people to be served, their age range, the nature of their visual impairment (and non-visual impairments, if any), and the geographic area from which they will be drawn.
4. Strategies that you will employ to implement your project.
5. The names and qualifications of the key people who will be responsible for achieving the anticipated results.
6. Anticipated timeline for the project.
7. Expectations regarding the project's level of activity three years from now. Please indicate: expected number of people to be served, project budget, expected sources of project support, and staffing level.

C. Evaluation

Please explain how you will measure the effectiveness of your activities. Describe your criteria for a successful program and the results you expect to achieve by the end of the proposed funding period.

III. ATTACHMENTS

Please provide each of the attachments listed below.

A. Financial Information

Please make sure that each document mentioned below indicates the dates of the financial period(s) covered.

1. Your two most recent audited financial statements.
2. Your operating expense budgets for the current and most recent fiscal years — preferably aligned side by side on the same page.
3. A list of foundation and corporate supporters with grant amounts, for your most recent fiscal year.
4. A current expense budget for the project for which you are requesting

funding. As appropriate, either: (a) provide a complete budget narrative (a line-by-line explanation of each expense line in the proposed project budget) or (b) simply annotate any expense lines that are not self-explanatory. List each staff line separately and include the percentage of time spent on the project. If possible, indicate how the grant dollars now requested will be applied to specific project expenses.

(Note: In the case of program-related capital expenses, professional cost estimates should be provided.)

5. A list of all sources of income for the project, actual and prospective, with amounts. Please include here the applying organization's own contribution to the project, if any.

B. Other Supporting Materials

1. A list of your board of directors, with their affiliations.
2. A copy of your most recent IRS letter of determination indicating your agency's tax exempt status, or, if not available, an explanation.
3. One-paragraph sketches of professional backgrounds of the project leader(s), including qualifications relevant to your current request.
4. Your most recent annual report, if available.
5. At your option, a recent evaluation of your organization.

Current Year Paid and Future Commitments

12/31/2011

Organization Legal Name		Grant		Paid		Scheduled Payments		Remaining	
Grant Total and Term (In Months)	Request ID/Ref. Num.	Total	Prior Years	YTD	2011	2012	2013	Balance	
2005									
Helen Keller Services for the Blind Sands Point, NY Awarded \$148,725 over 54 months To provide a minimum of 2-4 deaf-blind National Center NY/NJ alumni with adaptive computer hardware and/or software for use in their homes and to purchase three more adaptive computer workstations for use on the campus of the National Center 143/04-45		\$148,725 00	\$111,510 00	\$0 00	\$0 00	\$37,215 00	\$0 00	\$0 00	
Total 2005 (1 item)		\$148,725 00	\$111,510 00	\$0 00	\$0 00	\$37,215 00	\$0 00	\$0 00	
2006									
International Agency for the Prevention of Blindness Southampton, NY Awarded \$250,000 over 43 months To support the Eye Fund Initiative, an effort to help developing world eye care programs build the capacity to provide high-quality, high-volume, affordable, and sustainable eye care 171/04-73		\$250,000 00	\$150,000 00	\$0 00	\$0 00	\$100,000 00	\$0 00	\$0 00	
Total 2006 (1 item)		\$250,000 00	\$150,000 00	\$0 00	\$0 00	\$100,000 00	\$0 00	\$0 00	
2007									

Organization Legal Name		Grant Total and Term (in Months)		Request ID/Ref. Num.		Grant		Paid		Scheduled Payments		Remaining Balance	
						Total		YTD		2011		2012	
						Prior Years							
Eye-Bank for Sight Restoration, Inc. New York, NY Awarded \$270,000 over 12 months <i>To support a marketing program to encourage New Yorkers to register as future eye donors - and to increase public awareness about the Eye-Bank's mission</i> 225/08-127						\$180,000.00		\$90,000.00		\$0.00		\$0.00	
						\$270,000.00							
Jewish Guild for the Blind New York, NY Awarded \$345,690 over 36 months <i>To continue a partnership with Columbia University Medical Center's Department of Ophthalmology to refer Columbia's low vision patients to Guild services and educate the department's residents about such services</i> 233/08-135						\$232,960.00		\$112,730.00		\$0.00		\$0.00	
						\$345,690.00							
Seion Hall University South Orange, NJ Awarded \$168,729 over 71 months <i>To support the stipend program for financially needy students who are legally blind</i> 230/08-132						\$131,229.00		\$37,500.00		\$0.00		\$0.00	
						\$168,729.00							
Seva Foundation Berkeley, CA Awarded \$197,020 over 36 months <i>To build sustainable eye care capacity and services in the East Central Africa region served by the Kilimanjaro Centre for Community Ophthalmology</i> 236/08-138						\$64,340.00		\$0.00		\$66,340.00		\$66,340.00	
						\$197,020.00							

Organization Legal Name		Grant		Paid		Paid		Scheduled Payments		Remaining Balance	
Grant Total and Term (in Months)		Total		Prior Years		YTD		2011		2012	
Request ID/Ref. Num.											
St. Thomas Aquinas College Sparkill, NY Awarded \$119,290 over 80 months To provide stipend support for blind and visually impaired students 211/04-113		\$119,290 00		\$96,790 00		\$22,500 00		\$0 00		\$0 00	
Optometric Center of New York New York, NY Awarded \$430,700 over 36 months To support a partnership with Wenzhou Medical College in China to improve and expand Wenzhou's care for blind and low vision patients and increase the number of qualified low vision practitioners throughout China 235/08-137		\$430,700 00		\$321,050 00		\$109,650 00		\$0 00		\$0 00	
Total 2008 (8 items)		\$1,531,429 00		\$1,026,369 00		\$372,380 00		\$66,340 00		\$66,340 00	
2009											
American Foundation for the Blind New York, NY Awarded \$279,750 over 36 months To continue support for Family Connect, an online partnership of AFB and the National Association of Parents of Visually Impaired Children 231/08-133		\$279,750 00		\$211,000 00		\$68,750 00		\$0 00		\$0 00	

Organization Legal Name		Grant Total and Term (in Months)		Request ID/Ref. Num.		Grant		Paid		Paid		Scheduled Payments		Remaining Balance	
						Total		Prior Years		YTD		2011		2012	
Cancer Care, Inc. of the National Cancer Foundation						\$375,000.00		\$135,000.00		\$120,000.00		\$0.00		\$0.00	
New York, NY															
Awarded \$375,000 over 36 months															
To provide (1) financial assistance and counseling and referral services to 180 tri-state area residents with ocular cancer and other vision-affecting cancers and (2) help broaden the program's support base by institutionalizing a direct mail campaign															
284/08-186															
Fordham University						\$51,140.00		\$31,464.50		\$13,679.50		\$0.00		\$5,996.00	
Bronx, NY															
Awarded \$51,140 over 12 months															
To provide stipend support for legally blind college students															
291/08-193															
Friends of Aravind						\$60,000.00		\$40,000.00		\$20,000.00		\$0.00		\$0.00	
New York, NY															
Awarded \$60,000 over 36 months															
To establish a permanent fundraising and fiscal office in the United States for the Aravind Eye Care System, based in South India															
188/04-90															
International Agency for the Prevention of Blindness						\$200,000.00		\$135,000.00		\$15,000.00		\$0.00		\$50,000.00	
Southampton, NY															
Awarded \$200,000 over 36 months															
To strengthen capacity-building organizations in developing world eye care															
240/08-142															

Organization Legal Name		Grant	Paid	Paid	Scheduled Payments		Remaining
Grant Total and Term (in Months)		Total	Prior Years	YTD	2011	2012	2013
Request ID/Ref. Num.							
International Agency for the Prevention of Blindness Southampton, NY Awarded \$200,000 over 36 months To support the Seva Foundation in strengthening: (1) eye care delivery and sustainability among six eye hospitals in India and Bangladesh and (2) the training competencies of hospitals in Seva's Centers for Community Ophthalmology (CCO) worldwide network 241/08-143		\$200,000.00	\$66,666.00	\$66,667.00	\$0.00	\$66,667.00	\$0.00
Seva Foundation Berkeley, CA Awarded \$360,000 over 36 months To strengthen and institutionalize 10 primary eye care centers in Nepal and North India and to provide supplemental support for the centers' eye care services to a total of 273,275 students and adults 289/08-191		\$360,000.00	\$233,920.00	\$0.00	\$126,080.00	\$0.00	\$0.00
Total 2009 (7 items)		\$1,525,890.00	\$853,050.50	\$304,096.50	\$126,080.00	\$122,663.00	\$120,000.00
2010							
Beneficent Technology, Inc. Palo Alto, CA Awarded \$199,137 over 24 months To create an automated converter tool to make Bookshare's digital library accessible on low-cost portable devices by blind and print-disabled readers 298/08-200		\$199,137.00	\$118,440.00	\$80,697.00	\$0.00	\$0.00	\$0.00

Organization Legal Name		Grant Total and Term (in Months)		Request ID/Ref. Num.		Grant		Paid		Paid		Scheduled Payments		Remaining Balance	
						Total		Prior Years		YTD		2011		2012	
Enrichment Audio Resource Services, Inc. (EARS)		New York, NY				\$150,000 00		\$50,000 00		\$50,000 00		\$0 00		\$50,000 00	
Awarded \$150,000 over 36 months															
<i>to expand community outreach and to increase the number of vision impaired individuals in the New York City metropolitan area who receive blind/low vision rehabilitation from the E.A.R.S. for EYES program</i>															
318/08-220															
Fordham University		Bronx, NY				\$120,000 00		\$0.00		\$0.00		\$0 00		\$120,000 00	
Awarded \$120,000 over 48 months															
<i>To provide scholarship support for students who are legally blind</i>															
308/08-210															
Foundation Center		New York, NY				\$4,500 00		\$0 00		\$1,500.00		\$0 00		\$1,500 00	
Awarded \$4,500 over 36 months															
<i>To provide general operating support</i>															
319/08-221															
Freedom Guide Dogs for the Blind, Inc.		Cassville, NY				\$225,000 00		\$75,000 00		\$150,000.00		\$0 00		\$0 00	
Awarded \$225,000 over 48 months															
<i>To stabilize and expand FDG's "Hometown Training" guide dog services in and beyond the New York City area</i>															
242/08-144															

Organization Legal Name		Grant		Paid		Paid		Scheduled Payments		Remaining					
Grant Total and Term (in Months)		Total		Prior Years		YTD		2011		2012		2013		Balance	
Request ID/Ref. Num.															
Friends of Aravind New York, NY Awarded \$599,817 over 36 months To (1) strengthen the Aravind Eye Care System's overall pediatric care capacity and particularly, (2) expand patient outreach so as to provide vision screening and care to 1 million children in two of Aravind's South Indian catchment areas 306/08-208		\$599,817 00		\$258,301 00		\$175,839 00		\$0 00		\$165,677 00		\$0 00		\$0 00	
Research Foundation of the City University of New York New York, NY Awarded \$432,426 over 48 months To help sustain the only Tri-State New York area college training program for teachers of orientation and mobility skills (O&M) for people with visual impairments 305/08-207		\$432,426 00		\$80,430 00		\$117,332 00		\$0 00		\$117,332 00		\$117,332 00		\$0 00	
Indo-American Eye Care Society, Inc. Rochester, NY Awarded \$550,000 over 36 months To establish and help operate 30 community-based primary eye care centers (Vision Centers) in three needy districts of south-central India - and to launch a spectacle dispensing unit to help supply these Centers 286/08-188		\$550,000 00		\$150,000 00		\$300,000 00		\$0 00		\$100,000 00		\$0 00		\$0 00	
Marist College Poughkeepsie, NY Awarded \$330,000 over 48 months To provide scholarship support for students who are blind 311/08-213		\$330,000 00		\$14,270 00		\$7,500 00		\$0 00		\$7,500 00		\$0 00		\$300,730 00	

Organization Legal Name		Grant		Paid		Paid		Scheduled Payments		Remaining Balance	
Grant Total and Term (in Months)		Total		Prior Years		YTD		2011		2012	
Request ID/Ref. Num.											
Perkins School for the Blind Watertown, MA Awarded \$80,000 over 48 months <i>To provide unrestricted support for the Perkins-affiliated FUNDAL School for the Deaf-Blind in Guatemala City, Guatemala</i> 302/08-204		\$80,000.00		\$20,000.00		\$20,000.00		\$0.00		\$20,000.00	
Perkins School for the Blind Watertown, MA Awarded \$258,152 over 48 months <i>to support the building of the FUNDAL School's own capacity (1) to serve blind, multi-disabled children itself and (2) to help launch, strengthen and expand other school programs serving these children in and near Guatemala</i> 320/08-222		\$258,152.00		\$74,759.00		\$0.00		\$0.00		\$122,083.00	
Project Orbis International New York, NY Awarded \$395,910 over 48 months <i>To help Siliguri Greater Lions Eye Hospital, West Bengal, build a strong and financially sustainable pediatric eye care program</i> 301/08-203		\$395,910.00		\$189,724.00		\$0.00		\$0.00		\$121,525.00	
Renew International Plainfield, NJ Awarded \$50,000 over 24 months <i>To support production and marketing of large-print religious education materials for low vision or visually impaired Catholic parishioners as part of an ongoing spiritual renewal project, focusing in the Dioceses of Camden, Newark, Paterson and New York</i> 294/08-196		\$50,000.00		\$30,000.00		\$20,000.00		\$0.00		\$0.00	

Organization Legal Name		Grant		Paid		Scheduled Payments		Remaining	
Grant Total and Term (In Months)		Total		Prior Years		2011		2012	
Request ID/Ref. Num.		YTD		YTD		2013		Balance	
St. John's University Queens, NY Awarded \$120,000 over 48 months <i>To provide scholarship support for students who are blind</i> 314/08-216		\$120,000 00		\$7,500 00		\$0 00		\$7,500 00	
\$15,000 00		\$15,000 00		\$0 00		\$0 00		\$90,000 00	
St. Thomas Aquinas College Sparkill, NY Awarded \$630,000 over 48 months <i>To provide scholarship support for students who are blind</i> 313/08-215		\$630,000 00		\$7,500 00		\$0.00		\$0.00	
\$14,343.00		\$14,343.00		\$0.00		\$0 00		\$608,157 00	
Task Force for Global Health Decatur, GA Awarded \$244,978 over 24 months <i>To conduct a comprehensive SAFE program in Ethiopia, including monitoring and evaluation</i> 249/08-151		\$244,978.00		\$31,159 00		\$0.00		\$98,654 00	
\$86,592 00		\$86,592 00		\$0.00		\$28,373 00		\$0 00	
VISIONS Services for the Blind and Visually Impaired New York, NY Awarded \$200,000 over 24 months <i>To support the Transitions to Adulthood Program</i> 303/08-205		\$200,000.00		\$100,000 00		\$0 00		\$0 00	
\$100,000 00		\$100,000 00		\$0 00		\$0 00		\$0 00	
VisionsSpring New York, NY Awarded \$300,000 over 36 months <i>To support a partnership with the Bangladeshi nonprofit BRAC to sell 360,000 affordable reading glasses to low-income people throughout Bangladesh</i> 239/08-141		\$300,000 00		\$100,000 00		\$0 00		\$100,000 00	
\$0 00		\$0 00		\$0 00		\$0 00		\$0 00	

Organization Legal Name	Grant Total and Term (in Months)	Request ID/Ref. Num.	Grant Total	Paid Prior Years	Paid YTD	Scheduled Payments 2011	2012	2013	Remaining Balance
2011									
Total 2010 (18 items)			\$4,889,920.00	\$1,307,083.00	\$1,238,803.00	\$0.00	\$911,771.00	\$313,376.00	\$1,118,887.00
Catholic Charities Community Services New York, NY									
Awarded \$375,000 over 36 months to launch the only comprehensive and self-sustaining vision rehabilitation program serving three Lower Hudson Valley counties respectively, Dutchess, Sullivan, and Ulster Counties 332/08-234			\$375,000.00	\$0.00	\$175,000.00	\$0.00	\$125,000.00	\$75,000.00	\$0.00
Hospital Audiencas, Inc. Staten Island, NY									
Awarded \$109,036 over 24 months to launch and help sustain the Career Discovery Project, an effort to provide meaningful internship opportunities in NYC museums to at least 28 high school students who are blind and visually impaired 336/08-238			\$109,036.00	\$0.00	\$79,518.00	\$0.00	\$29,518.00	\$0.00	\$0.00
Dominican College of Blauvelt Orangeburg, NY									
Awarded \$630,000 over 48 months To provide scholarship support for students who are legally blind (Phase II) 310/08-212			\$630,000.00	\$0.00	\$56,186.83	\$0.00	\$0.00	\$0.00	\$573,813.17
Dominican College of Blauvelt Orangeburg, NY									
Awarded \$289,557 over 36 months to provide continued core support to Dominican College's Teacher of the Visually Impaired Programs (M.S. Degree and Certificate) 340/08-242			\$289,557.00	\$0.00	\$82,956.00	\$0.00	\$120,175.00	\$86,426.00	\$0.00

Organization Legal Name	Grant Total and Term (in Months)	Request ID/Ref. Num.	Grant Total	Paid Prior Years	Paid YTD	Scheduled Payments 2011	2012	2013	Remaining Balance
Goodwill Industries of Greater New York and Northern New Jersey Astoria, NY Awarded \$148,000 over 12 months <i>To support a program to train, place, and support five young adults who are blind and multiply disabled in permanent paying jobs within Goodwill Industries' network of New York City Thrift Shops</i> 299/08-201			\$148,000.00	\$0.00	\$148,000.00	\$0.00	\$0.00	\$0.00	\$0.00
Helen Keller Services for the Blind Brooklyn, NY Awarded \$73,400 over 12 months <i>to cover the capital costs associated with launching a permanent Low Vision Center at Helen Keller Services for the Blind's subsidiary in Sands Point, Long Island</i> 334/08-236			\$73,400.00	\$0.00	\$73,400.00	\$0.00	\$0.00	\$0.00	\$0.00
Research Foundation of the City University of New York New York, NY Awarded \$159,993 over 36 months <i>to create an on-line national library of video clips demonstrating exemplary practices in teaching K-12 students with visual impairments</i> 337/08-239			\$159,993.00	\$0.00	\$60,944.00	\$0.00	\$56,712.00	\$42,337.00	\$0.00

Organization Legal Name		Grant Total and Term (in Months)		Grant		Paid		Paid		Scheduled Payments		Remaining	
Request ID/Ref. Num.				Total	Prior Years	YTD	2011	2012	2013	Balance			
International Eye Foundation Kensington, MD Awarded \$400,131 over 36 months to launch a sustainable eye care training center at the Visualiza Eye Clinic in Guatemala City, Guatemala and to partner with Visualiza and Visions for the Poor in launching a sustainable social enterprise eye care clinic in Managua, Nicaragua 331/08-233				\$400,131.00	\$0.00	\$264,029.00	\$0.00	\$114,359.00	\$21,743.00	\$0.00			
Lavelle School for the Blind Bronx, NY Awarded \$812,888 over 12 months To help underwrite the Lavelle School's 2010-2011 construction costs 317/08-219				\$812,888.00	\$0.00	\$812,888.00	\$0.00	\$0.00	\$0.00	\$0.00			
Lighthouse International New York, NY Awarded \$180,000 over 18 months to sustain and expand a Fund-initiated program to provide vision assessment, vision rehabilitation services, and/or eyeglasses to Roman Catholic religious and clergy living in the three Downstate New York Catholic dioceses 325/08-227				\$180,000.00	\$0.00	\$120,000.00	\$0.00	\$60,000.00	\$0.00	\$0.00			

Organization Legal Name Grant Total and Term (in Months) Request ID/Ref. Num.	Grant Total	Paid		Scheduled Payments			Remaining Balance
		Prior Years	YTD	2011	2012	2013	
Manhattanville College Purchase, NY Awarded \$330,000 over 48 months <i>To provide scholarship support to students who are blind</i> 315/08-217	\$330,000 00	\$0 00	\$25,680 00	\$0 00	\$0 00	\$0 00	\$304,320 00
National Association for Parents of the Visually Impaired, Inc. Watertown, MA Awarded \$240,000 over 36 months <i>to support the continued operations of NAPVI's New York City Chapter</i> 335/08-237	\$240,000 00	\$0 00	\$80,000 00	\$0 00	\$80,000 00	\$80,000 00	\$0 00
Elizabeth Pierce Olmsted, M.D., Center for the Visually Impaired Buffalo, NY Awarded \$224,000 over 24 months <i>to provide job training to 40 adults with visual impairments and/or physical disabilities, place at least 30 of the 40 in paying jobs with benefits in competitive workplaces, and offer ongoing career counseling and workplace support</i> 339/08-241	\$224,000 00	\$0 00	\$112,000 00	\$0 00	\$112,000 00	\$0 00	\$0 00
Perkins School for the Blind Watertown, MA Awarded \$317,880 over 36 months <i>to sustain and expand its Lavelle-supported work to strengthen educational services for blind and multiply disabled children in Brazil and Chile and scale-up the exemplary post-school transition services that are now being implemented in Peru</i> 333/08-235	\$317,880 00	\$0 00	\$104,760 00	\$0 00	\$104,760 00	\$108,360 00	\$0 00

Organization Legal Name		Grant	Paid	Paid	Scheduled Payments			Remaining
Grant Total and Term (in Months)		Total	Prior Years	YTD	2011	2012	2013	Balance
Request ID/Ref. Num.								
Seton Hall University South Orange, NJ Awarded \$630,000 over 48 months To provide scholarship support for students who are legally blind 309/08-211		\$630,000 00	\$0 00	\$15,000 00	\$0 00	\$0 00	\$0 00	\$615,000 00
Helen Keller International New York, NY Awarded \$1,350,600 over 48 months to support the ChildSight® Program's free vision screenings, examinations, and prescription eyeglasses to needy students in public and parochial middle schools located in NYC 330/08-232		\$1,350,600 00	\$0 00	\$337,650.00	\$0 00	\$337,650 00	\$337,650 00	\$337,650 00
VISIONS Services for the Blind and Visually Impaired New York, NY Awarded \$150,000 over 12 months to help launch the VISIONS at Selts Manor Senior Center. The Center will provide a mix of free services (vision rehabilitation, health-promotion, social services, recreation, and daily meals) to older NYC residents who are blind and visually impaired 338/08-240		\$150,000.00	\$0 00	\$150,000 00	\$0 00	\$0 00	\$0 00	\$0 00
Xavier Society for the Blind New York, NY Awarded \$100,000 over 12 months to underwrite the third-party duplication of a new edition of the authorized New American Bible (New Testament) in Braille, large-print, and audio-CD formats 324/08-226		\$100,000 00	\$0.00	\$100,000 00	\$0 00	\$0 00	\$0 00	\$0 00
Total 2011		\$6,520,485 00	\$0 00	\$2,798,011 83	\$0 00	\$1,140,174 00	\$751,516 00	\$1,830,783 17

Organization Legal Name	Grant Total and Term (In Months)	Grant	Paid	Paid	Paid	Scheduled Payments	2013	Remaining Balance
Request ID/Ref. Num.		Total	Prior Years	YTD	2011	2012		
Grand Total	\$15,729,758 00		\$4,104,383 00	\$4,896,229.83	\$216,420 00	\$2,311,823 00	\$1,251,232 00	\$2,949,670 17

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DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR-EXEMPT FUNCTION INCOME
LOSS FROM PHILIPPE FUND - INTERNATIONAL EQUITIES, LLC			14	-586.	
			14		
LOSS FROM EL PASO PIPELINE PARTNERS, L.P.	900001	-29,080.	14	-49.	
LOSS FROM PLAINS ALL AMERICAN PIPELINES, L.P.	900001	-401.	14	-321.	
TOTALS		-29,481.		-956.	