Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2011

For calendar year 2011, or tax year beginning , 2011, and ending ,

Name of foundation: M J SURGALA TRUST

Number and street (or P O box number if mail is not delivered to street address): 5966 WYNDEMERE DRIVE — Room/suite:

City or town: ERIE — State: PA — ZIP code: 16505

A Employer identification number: 11-6556638

B Telephone number (see the instructions): (814) 838-4921

C If exemption application is pending, check here ► [ ]

D 1 Foreign organizations, check here ► [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ► [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ► [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► [ ]

G Check all that apply: [ ] Initial return [ ] Initial Return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

H Check type of organization: [ ] Section 501(c)(3) exempt private foundation [X] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 16,161,960.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► [X] if the foundn is **not** req to att Sch B				
	3 Interest on savings and temporary cash investments	462.	462.	462.	
	4 Dividends and interest from securities	319,739.	319,739.	319,739.	
	5a Gross rents				
	b Net rental income or (loss)		L-6a Stmt		
	6a Net gain/(loss) from sale of assets not on line 10	140,860.			
	b Gross sales price for all assets on line 6a 822,191.				
	7 Capital gain net income (from Part IV, line 2)		140,860.		
	8 Net short-term capital gain			0.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	461,061.	461,061.	320,201.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	64,729.	64,729.	64,729.	64,729.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)	2,250.	2,250.	2,250.	2,250.
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule)(see instrs) TAX ON NET INVEST	17,239.	17,239.	17,239.	17,239.
	19 Depreciation (attach sch) and depletion				
	20 Occupancy	6,000.	6,000.	6,000.	6,000.
	21 Travel, conferences, and meetings	1,884.	1,884.	1,884.	1,884.
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	1,832.	1,832.	1,832.	1,832.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	93,934.	93,934.	93,934.	93,934.
	25 Contributions, gifts, grants paid	455,350.			455,350.
	26 **Total expenses and disbursements.** Add lines 24 and 25	549,284.	93,934.	93,934.	549,284.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-88,223.			
	b **Net investment income** (if negative, enter -0-)		367,127.		
	c **Adjusted net income** (if negative, enter -0-)			226,267.	

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing			
	2	Savings and temporary cash investments	2,872,576.	2,974,145.	2,974,145.
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments — U S and state government obligations (attach schedule)			
	b	Investments — corporate stock (attach schedule) L-10b Stmt	8,505,137.	8,538,992.	11,852,385.
	c	Investments — corporate bonds (attach schedule) L-10c Stmt	1,568,080.	1,344,433.	1,335,430.
	11	Investments — land, buildings, and equipment: basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule)			
	14	Land, buildings, and equipment. basis ►			
		Less: accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)			
	16	**Total assets** (to be completed by all filers — see the instructions Also, see page 1, item I)	12,945,793.	12,857,570.	16,161,960.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	12,945,793.	12,857,570.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► [ ] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	12,945,793.	12,857,570.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	12,945,793.	12,857,570.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,945,793.
2	Enter amount from Part I, line 27a	2	-88,223.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	12,857,570.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	12,857,570.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	GENERAL MOTORS CO WTS	P	06/27/03	04/21/11
b	GENERAL MOTORS CO COMMON STOCK	P	06/27/03	10/28/11
c	LORILLARD INC 500 SHS COMMOM STOCK	P	12/17/03	06/07/11
d	VALERO ENERGY CALL	P	10/14/11	12/17/11
e	See Columns (a) thru (d)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	18.		0.	18.
b	55.		97.	-42.
c	48,279.		11,978.	36,301.
d	903.		0.	903.
e	See Columns (e) thru (h)		669,257.	103,680.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				18.
b				-42.
c				36,301.
d				903.
e	See Columns (i) thru (l)			103,680.

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	140,860.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-12,655.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2010	555,279.	13,314,410.	0.041705
2009	588,505.	11,816,064.	0.049806
2008	587,150.	12,977,640.	0.045243
2007	542,517.	12,724,555.	0.042635
2006	490,365.	11,904,359.	0.041192

2	**Total** of line 1, column (d)	2	0.220581
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044116
4	Enter the net value of noncharitable-use assets for 2011 from Part X, line 5	4	15,618,867.
5	Multiply line 4 by line 3	5	689,042.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,671.
7	Add lines 5 and 6	7	692,713.
8	Enter qualifying distributions from Part XII, line 4	8	659,284.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _______ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b		1	7,343.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	7,343.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	7,343.
6 Credits/Payments:			
a 2011 estimated tax pmts and 2010 overpayment credited to 2011	6a 15,000.		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	15,000.
8 Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	207.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	7,450.
11 Enter the amount of line 10 to be **Credited to 2012 estimated tax** ► 7,450. **Refunded** ►		11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ ______ (2) On foundation managers ► $ ______			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ______			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY - New York			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2011 or the taxable year beginning in 2011 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► MARY LINCOLN Telephone no ► (814) 838-4921

Located at ► 5966 WYNDEMERE DR ERIE PA ZIP + 4 ► 16505

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► [X]

and enter the amount of tax-exempt interest received or accrued during the year ► 15 0.

			Yes	No
16	At any time during calendar year 2011, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1 a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	[ ] Yes [X] No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	[ ] Yes [X] No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	[ ] Yes [X] No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	[ ] Yes [X] No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	[ ] Yes [X] No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	[ ] Yes [X] No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ► [ ]				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2011?		1c		X
2	Taxes on failure to distribute income (section 4942) (does **not** apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2011, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2011?	[ ] Yes [X] No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement– see instructions.)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__ .				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	[ ] Yes [X] No			
b	If 'Yes,' did it have excess business holdings in 2011 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2011)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2011?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did**any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MARY LINCOLN (TRUSTEE) 5966 WYNDEMERE DRIVE ERIE PA 16505	EXEC DIR 8.00	64,729.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
0				
0				
0				
0				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a	Average monthly fair market value of securities		1a	12,932,376.
b	Average of monthly cash balances		1b	2,924,342.
c	Fair market value of all other assets (see instructions)		1c	
d	**Total** (add lines 1a, b, and c)		1d	15,856,718.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	15,856,718.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	237,851.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	15,618,867.
6	**Minimum investment return.** Enter 5% of line 5		6	780,943.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	780,943.
2a	Tax on investment income for 2011 from Part VI, line 5	2a 7,343.		
b	Income tax for 2011 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	7,343.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	773,600.
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	773,600.
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	773,600.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc— total from Part I, column (d), line 26	1a	549,284.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	110,000.
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	659,284.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	659,284.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2010	(c) 2010	(d) 2011
1 Distributable amount for 2011 from Part XI, line 7				773,600.
2 Undistributed income, if any, as of the end of 2011:				
a Enter amount for 2010 only			655,906.	
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2011:				
a From 2006 — 0.				
b From 2007 — 0.				
c From 2008 — 0.				
d From 2009 — 0.				
e From 2010 — 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2011 from Part XII, line 4: ► $ 659,284.				
a Applied to 2010, but not more than line 2a			655,906.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2011 distributable amount				
e Remaining amount distributed out of corpus	3,378.			
5 Excess distributions carryover applied to 2011 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,378.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2010. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2011. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2012				773,600.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2006 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2012. Subtract lines 7 and 8 from line 6a	3,378.			
10 Analysis of line 9:				
a Excess from 2007 — 0.				
b Excess from 2008 — 0.				
c Excess from 2009 — 0.				
d Excess from 2010 — 0.				
e Excess from 2011 — 3,378.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2011, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2011	**(b)** 2010	**(c)** 2009	**(d)** 2008	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter.					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

MARY LINCOLN TRUSTEE
5966 WYNDEMERE DRIVE
ERIE PA 16505 (814) 868-4921

b The form in which applications should be submitted and information and materials they should include

CONTACT THE TRUSTEE FOR INFORMATION ON SUBMITTING APPLICATIONS

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

AWARDS ARE MADE IN THE FIELDS OF HEALTHCARE, EDUCATION, SOCIAL SERVICES AND THE ARTS.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST JOSEPH'S MEDICAL CENTER FDN 703 MAIN STREET PATERSON NJ 07503	N/A	501(c)(3)	REGIONAL HEALTH CARE FACILITY SERVING RESI-DENTS OF PATERSON NJ	100,000.
DEPARTMENT OF COMMUNITY MEDICINE MT SINAI MEDICAL CENTER NEW YORK NY 10011	N/A	509(a)(1)	COMMUNITY HEALTH CARE FOR HOMEBOUND AND HOMELESS	50,000.
CLEVELAND CLINIC 9500 EUCLID AVENUE CLEVELAND OH 44195	N/A	501(c)(3)	ACADEMIC AND RESEARCH HEALTH CARE FACILITY	5,000.
COMMUNITY SHELTER SERVICES 655 WEST 16TH STREET ERIE PA 16503	N/A	501(c)(3)	PROVIDES RESIDENCE FOR THE HOMELESS	5,000.
HAMOT HEALTH FOUNDATION 2 EAST 2ND STREET ERIE, PA 16507	N/A	501(c)(3)	FOR THE CONSTRUCTION OF THE WOMEN'S AND CHILDREN'S HOSPITAL	6,000.
UNITARIAN UNIVERSALIST CONGREGATION 7180 PERRY HIGHWAY ERIE, PA 16509	N/A	501(c)(3)	RELIGIOUS	20,000.
NEIGHBORHOOD ART HOUSE 201 EAST 10TH STREET ERIE, PA 16503	N/A	501(c)(3)	PROVIDES ART EDUCA-TION AND SUPPORT TO INNER CITY YOUTH	10,000.
ERIE PHILHARMONIC ORCHESTRA 1006 STATE STREET ERIE PA 16501	N/A	501(c)(3)	SUPPORTS A SYMPHONY ORCHESTRA TO THE REGION	12,500.
HELPING HANDS 541 CAMBRIDGE STREET BOSTON MA 02134	N/A	501(c)(3)	PROVIDES HIGHLY TRAINED MONKEYS TO QUADRIPLEGICS TO ASSIST WITH DAILY LIVING	5,000.
See Line 3a statement				241,850.
Total			► **3a**	455,350.
b *Approved for future payment*				
ERIE CITY MISSION 1023 FRENCH STREET ERIE PA 16501	N/A	501(C)(3)	SOCIAL SERVICE RENOVATION OF FACILITIES	110,000.
Total			► **3b**	110,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments	90001		18	462.	
4 Dividends and interest from securities	90001		18	319,739.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income .					
8 Gain or (loss) from sales of assets other than inventory	90001		18	140,860.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				461,061.	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 461,061.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Mary Lincoln, Trustee 11/14/12 ▶ TRUSTEE / EXEC DIR

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
DAVID M. LASTOWSKI CPA	DAVID M. LASTOWSKI CPA	11/14/12		P00263646

Firm's name ▶ DAVID M. LASTOWSKI CPA Firm's EIN ▶ 25-1720520

Firm's address ▶ 1137 W 38TH ST

ERIE PA 16508-2457 Phone no (814) 868-4802

Form 990-PF Part I, Line 6a | **Net Gain or Loss From Sale of Assets** | **2011**

Name: M J SURGALA TRUST

Employer Identification Number: 11-6556638

Asset Information:

Description of Property: ALL SALES
Date Acquired: Various | How Acquired: Purchased
Date Sold: Various | Name of Buyer: VARIOUS
Sales Price: 822,191. | Cost or other basis (do not reduce by depreciation): 681,331.
Sales Expense: | Valuation Method: Cost
Total Gain (Loss): 140,860. | Accumulation Depreciation:

Description of Property
Date Acquired | How Acquired
Date Sold | Name of Buyer
Sales Price | Cost or other basis (do not reduce by depreciation)
Sales Expense | Valuation Method
Total Gain (Loss) | Accumulation Depreciation

Description of Property
Date Acquired | How Acquired
Date Sold | Name of Buyer
Sales Price | Cost or other basis (do not reduce by depreciation)
Sales Expense | Valuation Method
Total Gain (Loss) | Accumulation Depreciation

Description of Property
Date Acquired | How Acquired
Date Sold | Name of Buyer
Sales Price | Cost or other basis (do not reduce by depreciation)
Sales Expense | Valuation Method
Total Gain (Loss) | Accumulation Depreciation

Description of Property
Date Acquired | How Acquired
Date Sold | Name of Buyer
Sales Price | Cost or other basis (do not reduce by depreciation)
Sales Expense | Valuation Method
Total Gain (Loss) | Accumulation Depreciation

Description of Property
Date Acquired | How Acquired
Date Sold | Name of Buyer
Sales Price | Cost or other basis (do not reduce by depreciation)
Sales Expense | Valuation Method
Total Gain (Loss) | Accumulation Depreciation

Description of Property
Date Acquired | How Acquired
Date Sold | Name of Buyer
Sales Price | Cost or other basis (do not reduce by depreciation)
Sales Expense | Valuation Method
Total Gain (Loss) | Accumulation Depreciation

Description of Property
Date Acquired | How Acquired
Date Sold | Name of Buyer
Sales Price | Cost or other basis (do not reduce by depreciation)
Sales Expense | Valuation Method
Total Gain (Loss) | Accumulation Depreciation

Additional Information

PART XII LINE 3b

AMOUNTS SET ASIDE FOR SPECIFIC PROJECTS SATISFYING THE CASH DISTRIBUTION TEST:

CONTRI8BUTION TO BE PAID TO:
ERIE CITY MISSION
1023 STATE STREET
ERIE, PA 16501

CONTRIBUTION AMOUNT $110,000
TO BE PAID AS FOLLOWS
YEAR 2012 $27,500
YEAR 2013 $27,500
YEAR 2014 $27,500
TEAR 2015 $27,500

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
REGISTRATION FEES	750.	750.	750.	750.
INVESTMENT MATERIALS	185.	185.	185.	185.
COMPUTER & SOFTWARE	897.	897.	897.	897.
Total	1,832.	1,832.	1,832.	1,832.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
INTL BUSINESS MACHINES CORP CALL	P	10/08/10	03/16/11
INTL BUSINESS MACHHINES CORP CALL	P	03/16/11	07/05/11
INTL BUSINESS MACHHINES CORP CALL	P	07/05/11	08/18/11
INTL BUSINESS MACHHINES CORP CALL	P	07/06/11	08/18/11
SPDR S&P 500 EFT CALL	P	12/31/10	01/28/11
NOVAGOLD RES INC NEW CALL	P	01/18/11	08/24/11
SPDR S&P 500 EFT PUT	P	12/31/10	01/28/11
VANGUARD MSCI EMERGING 200 SHARES	P	03/28/11	05/13/11
VEOLIA ENCIRON ARD 1,000 UNITS	P	03/18/11	12/07/11
CATEPILLAR INC. 1300 SHS	P	07/20/07	08/24/11
CENTURYLINK INC	P	12/08/09	12/05/11
CHINA UNICOM HK ADR 500	P	10/01/09	06/16/11
ENERGY TRANSFER PARTNERS 1,000 SHS	P	09/08/08	12/07/11
INTL BUSINESS MACHINES CORP 2,000 SHS	P	12/08/05	01/21/11
QUALCOMM INC.	P	10/16/09	03/16/11
SPDR S&P 500 EFT 200 SHS	P	11/20/08	01/28/11
SPDR GOLD TRUST	P	08/12/10	08/24/11
VALERO ENERGY CORP 300 SHS	P	05/21/08	08/29/11
VANGUARD BOND INDEX FUND	P	06/22/09	02/08/11
VANGUARD GROWTH FUND 1,000 SHS	P	10/02/07	05/13/11
VANGUARD MSCI EMERGING 200 SHS	P	10/06/09	05/13/11
VANGUARD MSCI PACIFIC 500 SHS	P	06/14/06	05/13/11
INTL BUSINESS MACHINES CALL	P	03/17/11	04/16/11
INTL BUSINESS MACHINES CALL	P	05/11/11	12/31/11
INTL BUSINESS MACHINES CALL	P	07/19/11	12/31/11
INTL BUSINESS MACHINES CALL	P	03/17/11	07/16/11
INTL BUSINESS MACHINES CALL	P	07/05/11	08/19/11
INTL BUSINESS MACHINES CALL	P	07/06/11	08/18/11
INTL BUSINESS MACHINES CALL	P	10/07/11	12/31/11
INTL BUSINESS MACHINES CALL	P	10/14/11	12/31/11
SPDR S&P 500 ETF CALL	P	01/28/11	02/19/11
VALERO ENERGY CORP CALL	P	10/14/11	12/17/11
SPDR S&P 500 ETF PUT	P	01/28/11	02/19/11

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,637.		2,338.	-701.
2,987.		7,623.	-4,636.
4,562.		2,313.	2,249.
1,487.		438.	1,049.
476.		530.	-54.
9,401.		13,839.	-4,438.
106.		370.	-264.
9,467.		9,519.	-52.
13,481.		30,839.	-17,358.
116,346.		91,033.	25,313.
8,161.		8,253.	-92.
10,131.		7,039.	3,092.
44,290.		38,541.	5,749.
299,663.		229,690.	69,973.
25,676.		20,884.	4,792.
25,611.		15,189.	10,422.
17,117.		11,884.	5,233.
6,423.		15,189.	-8,766.
49,313.		48,718.	595.
65,430.		65,306.	124.
9,467.		7,799.	1,668.
27,816.		28,681.	-865.
0.		2,338.	-2,338.
8,583.		0.	8,583.
787.		0.	787.
2,987.		7,623.	-4,636.
4,562.		2,313.	2,249.
1,487.		438.	1,049.
2,612.		0.	2,612.
1,862.		0.	1,862.
0.		530.	-530.
903.		0.	903.
106.		0.	106.
Total		669,257.	103,680.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			-701.
			-4,636.
			2,249.
			1,049.
			-54.
			-4,438.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Continued
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
(i) Fair Market Value as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of column (i) over column (j), if any	
			-264.
			-52.
			-17,358.
			25,313.
			-92.
			3,092.
			5,749.
			69,973.
			4,792.
			10,422.
			5,233.
			-8,766.
			595.
			124.
			1,668.
			-865.
			-2,338.
			8,583.
			787.
			-4,636.
			2,249.
			1,049.
			2,612.
			1,862.
			-530.
			903.
			106.
Total			103,680.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
ERIE CITY MISSION 1023 FRENCH STREET ERIE, PA 16501	N/A	501(c)(3)	ASSISTS THE HOMELESS AND POOR WITH SHELTER AND HOT MEALS	Person or Business X 25,000.
ST CASMIR'S CHURCH 147 MONTGOMERY STREET PATERSON NJ 07501	N/A	501(c)(3)	RELIGIOUS	Person or Business X 2,000.

Form 990-PF, Page 11, Part XV, line 3a Continued
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
ERIE ART MUSEUM 417 STATE STREET ERIE, PA 16507	N/A	501(c)(3)	PROVIDES A MUSEUM FOR ART EXHIBITS AND ART EDUCATION	Person or Business X 24,500.
BOCA RATON REGIONAL HOSPITAL 800 MEADOWS ROAD BOCA RATON FL 33486	N/A	501(c)(3)	PROVIDES COMMUNITY HEALTH CARE SERVICES	Person or Business X 1,000.
YOUNG PEOPLES' CHORUS OF ERIE BEHREND COLLEGE ERIE PA 16510	N/A	501(c)(3)	CHORAL GROUP FOR ELEMENTARY AND HIGH SCHOOL STUDENTS	Person or Business X 900.
SALVATION ARMY 1209 SASSAFRAS STREET ERIE PA 16502	N/A	501(c)(3)	PROVIDES SOCIAL SERVICES TO THE POOR AND HOMELESS	Person or Business X 5,000.
MERCY CENTER FOR WOMEN 1039 EAST 27TH STREET ERIE PA 16504	N/A	501(c)(3)	PROVIDES SUPPORTIVE SERVICES TO WOMEN IN NEED.	Person or Business X 4,000.
ERIE HOMES FOR CHILDREN AND ADULTS 226 EAST 27TH STREET ERIE PA 16504	N/A	501(c)(3)	PROVIDES HOUSING AND SUPPORTIVE CARE TO INDIVIDUALS WITH DISABIL	Person or Business X 10,000.
ERIE PLAYHOUSE 13 WEST 10TH STREET ERIE PA 16501	N/A	501(c)(3)	PROVIDES A COMMUNITY THEATRE AND PROMOTES EDUCATION IN THEATRE	Person or Business X 1,000.
BOCA RATON MUSEUM OF ART 501 PLAZA REAL BOCA RATON FL 33432	N/A	501(C)(3)	PROVIDES A MUSEUM FOR ART EXHIBITS AND ART EDUCATION	Person or Business X 3,400.
EMMAUS MINISTRIES 310 EAST 10TH STREET ERIE PA 16503	N/A	501(C)(3)	PROVIDES MEALS AND SHELTER TO THE HOMELESS	Person or Business X 10,000.
ERIE JUNIOR PHILHARMONIC 609 WALNUT STREET ERIE, PA 16502	N/A	501(C)(3)	PROVIDES CHILDREN WITH MUSIC EDUCATION	Person or Business X 1,000.
ERIE ZOOLOGICAL SOCIETY 423 WEST 38TH STREET ERIE, PA 16508	N/A	501(C)(3)	PROVIDES EDUCATIONAL AND RECREATIONAL ACTIVITIES THROUGH A COMMUNITY ZOO	Person or Business X 3,150.
UNITED WAY OF ERIE 420 WEST 6TH STREET ERIE PA 16507	N/A	501(C)(3)	PROVIDES ASSISTANCE TO SOCIAL SERVICE ORGANIZAT IN THE ERIE AREA	Person or Business X 10,000.
Visiting Nurse Association 2253 W Grandview Blvd ERIE PA 16508	N/A	501(C)(3)	PROVIDES HEALTH AND SUP-PORTIVE CARE TO TERMIN-ALLY ILL INDIVIDUALS	Person or Business X 5,000.
MARCH OF DIMES 1407 PENINSULA DRIVE ERIE PA 16505	N/A	501(C)(3)	PROMOTES HEALTH OF PREGNANT WOMEN AND THEIR BABIES	Person or Business X 1,500.
Flagship Niagara League 150 East Front Street ERIE PA 16507	N/A	501(C)(3)	WORKS TO PROMOTE PRESERVATION OF THE FLAGSHIP NIAGARA	Person or Business X 16,000.

Form 990-PF, Page 11, Part XV, line 3a Continued
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
BARBER NATIONAL INSTITUTE 100 BARBER PLACE ERIE PA 16507	N/A	501 (C) (3)	RESEARCH CENTER AND REHABILIATION SERVICES	Person or Business X 600.
SAFE NET PO BOX 1436 ERIE PA 16512	N/A	501 (C) (3)	ASSISTANCE TO WOMEN SUFFERING FROM DOMESTIC ABUSE	Person or Business X 3,000.
St. Paul's Neighborhood Free Clinic 1608 WALNUT STREET ERIE PA 16502	N/A	501 (C) (3)	FREE MEDICAL CLINIC FOR LOW INCOME INDIVIDUALS	Person or Business X 10,000.
Asbury Woods Partnership 4105 ASBURY ROAD ERIE PA 16506	N/A	501 (C) (3)	COMMUNITY PARK AND NATURE CENTER	Person or Business X 1,000.
BAYFRONT CTR FOR MARITIME STUDIES 40 HOLLAND STREET ERIE PA 16507	N/A	501 (C) (3)	UNIQUE HANDS ON MARITIME EDUCATIONAL & RECREATIONAL	Person or Business X 1,000.
ST MARY'S RC CHURCH 1300 NORTHERN BLVD MANHASSET NY 11030	N/A	501 (C) (3)	RELIGIOUS ORGANIZATION	Person or Business X 20,000.
CROHN'S AND COLITIS FOUNDATION 300 PENN CENTER BLVD PITTSBURGH PA 15235	N/A	501 (C) (3)	RESEARCH AND ASSISTANCE TO INDIVIDUALS	Person or Business X 3,000.
JUNIOR ACHIEVEMENT OF WESTERM PA 5101 JORDAN ROAD BURKE ERIE PA 16563	N/A	501 (C) (3)	PROGRAMS TO INSPIRE AND PREPARE YOUNG PEOPLE TO SUCCEED IN A GLOBAL ECON	Person or Business X 1,500.
SECOND HARVEST FOOD BANK OF NWPA 1507 GRIMM DRIVE ERIE PA 16502	N/A	501 (C) (3)	PROVIDES LOW COST FOOD TO THE POOR IN NW PA	Person or Business X 10,000.
PRESQUE ISLE PARTNERSHIP 301 PENINSULA DRIVE ERIE PA 16505	N/A	501 (C) (3)	SUPPORTS THE PA DNR IN THE OPERATION OF PRESQUE ISLE STATE PARK	Person or Business X 5,500.
EXPERIENCE CHILDREN'S MUSEUM 420 FRENCH STREET ERIE PA 16507	N/A	501 (C) (3)	OPERATES A CHILDREN'S MUSEUM	Person or Business X 1,000.
YMCA OF ERIE 3727 CHERRY STREET ERIE PA 16508	N/A	501 (C) (3)	SUPPORT OF THE TEEN PROGRAM	Person or Business X 2,000.
LAKE ERIE COLLEGE FUND 391 WEST WASHINGTON STREET PAINESVILLE PA 44077	N/A	501 (C) (3)	EDUCATIONAL INSTITUTION	Person or Business X 500.
UNITARIAN UNIVERSALIST CHURCH OF GIRARD MYRTLE STREET GIRARD PA 16417		501 (C) (3)	RELIGIOUS	Person or Business X 1,000.
LAKE ERIE CLG OSTEOPATHIC MEDICINE 1858 WEST GRANDVIEW BLVD ERIE PA 16509	N/A	501 (C) (3)	EDUCATIONAL INSTITUTION MEDICAL SCHOOL	Person or Business X 2,800.

Form 990-PF, Page 11, Part XV, line 3a Continued
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
SARAH A. REED CHILDREN'S CENTER 2445 WEST 34TH STREET ERIE PA 16506	N/A	501(C)(3)	EDUCATIONAL INSTITUTION	Person or [ ] Business [X] 50,000.
ERIE SUMMER FESTIVAL OF THE ARTS 1001 STATE STREET ERIE PA 16501	N/A	501(C)(3)	MULTI MEDIA ARTS FESTIVAL	Person or [ ] Business [X] 4,500.
SISTERS OF ST JOSEPH NEIGHBORHOOD NETWORK 425 west 18th street ERIE PA 16502	N/A	501(C)(3)	SOCIAL SERVICE ORGANIZATION	Person or [ ] Business [X] 1,000.
Total				241,850.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	**End of Year Book Value**	**Fair Market Value**
CHARLES SCHWAB & CO	1,796,839.	2,296,634.
IBM CORPORATION (DIVIDEND REINVESTMENT PROGRAM)	3,944,779.	6,361,919.
MORGAN STANLEY DW INC. 684-032215-020	2,797,374.	3,193,832.
Total	8,538,992.	11,852,385.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	**End of Year Book Value**	**Fair Market Value**
MORGAN STANLEY DW INC. 684-032215-020	620,590.	615,600.
CHARLES SCHWAB & CO	723,843.	719,830.
Total	1,344,433.	1,335,430.